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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 12/01, 2011, and ending 11/30, 20 12

Name of foundation The Rosen Family Foundation, Inc		A Employer identification number 41-2054672
Number and street (or P O box number if mail is not delivered to street address) PO Box 933	Room/suite	B Telephone number (see instructions) 507-238-6001
City or town, state, and ZIP code Fairmont, MN 56031		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,498,246	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	251,500			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	555	555		
	4 Dividends and interest from securities	35,019	35,019		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,869			
	b Gross sales price for all assets on line 6a 314,469				
	7 Capital gain net income (from Part IV, line 2)		2,869		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	289,943	38,443		
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) Stmt 1	7,114	7,114		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 2	705	680		25
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses. Add lines 13 through 23					
25 Contributions, gifts, grants paid Stmt 3	289,500			289,500	
26 Total expenses and disbursements. Add lines 24 and 25	297,319	7,794		289,525	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(7,376)				
b Net investment income (if negative, enter -0-)		30,649			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	307,307	59,921	59,921
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
Liabilities	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,149,950	1,383,370	1,438,325
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,457,257	1,443,291	1,498,246
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,457,257	1,443,291	
	30	Total net assets or fund balances (see instructions)			
	31	Total liabilities and net assets/fund balances (see instructions)	1,457,257	1,443,291	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,457,257
2	Enter amount from Part I, line 27a	2	(7,376)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,449,881
5	Decreases not included in line 2 (itemize) ▶ federal tax	5	6,590
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,443,291

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Mutual funds/stocks - statement 6	P	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 314,469		311,600	2,869	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,869
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	141,050	1,270,654	.111006
2009	205,048	1,053,368	.194659
2008	180,442	832,414	.216770
2007	103,675	943,168	.109922
2006	72,437	852,356	.084984
2	Total of line 1, column (d)		2 .717341
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 .143468
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5		4 1,389,536
5	Multiply line 4 by line 3		5 199,354
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 306
7	Add lines 5 and 6		7 199,660
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8 289,525

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1		306
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		306
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		306
6	Credits/Payments:			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a		4,000
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		4,000
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		3,694
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax 3,694 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>None</u> (2) On foundation managers. ► \$ <u>None</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ <u>None</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>Minnesota</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶				
14	The books are in care of ▶ <u>Dominick V Driano, Jr</u>	Telephone no. ▶	<u>507-238-6001</u>	
	Located at ▶ <u>PO Box 933, Fairmont, MN</u>	ZIP+4 ▶	<u>56031</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	✓
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Statement 4				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over \$50,000 ☐				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	None	
2		
All other program-related investments. See instructions.		
3	None	
Total. Add lines 1 through 3		▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,323,020
b	Average of monthly cash balances	1b	87,676
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,410,696
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,410,696
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	21,160
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,389,536
6	Minimum investment return. Enter 5% of line 5	6	69,477

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	69,477
2a	Tax on investment income for 2011 from Part VI, line 5	2a	613
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	613
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	68,864
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	68,864
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	68,864

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	289,525
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	289,525
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	306
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	289,219

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				68,864
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	30,900			
b From 2007	56,853			
c From 2008	139,087			
d From 2009	153,700			
e From 2010	79,312			
f Total of lines 3a through e	459,852			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 289,525				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				68,864
e Remaining amount distributed out of corpus	220,661			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	680,513			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	30,900			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	649,613			
10 Analysis of line 9:				
a Excess from 2007	56,853			
b Excess from 2008	139,087			
c Excess from 2009	153,700			
d Excess from 2010	79,312			
e Excess from 2011	220,661			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				289,500
Total			▶ 3a	289,500
b Approved for future payment				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No	
	4/5/13 Date NP-Tax Services Title						
Paid Preparer Use Only	Print/type preparer's name		Preparer's signature		Date	Check ☐ if self-employed	PTIN
	Firm's name ▶					Firm's EIN ▶	
	Firm's address ▶					Phone no	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

The Rosen Family Foundation, Inc

Employer identification number

41-2054672

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
----------------------	--------------------------------

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Rosen's Diversified, Inc. PO Box 933 Fairmont, MN 56031	\$ 250,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

The Rosen Family Foundation, Inc
41-2054672
Supplementary Statements
For Year Ended 11/30/12

Statement 1 - Other Professional Fees

WealthOne-investment fees	7,061
CT Corporation-representation fee	53
	<u>7,114</u>

Statement 2 - Taxes

Foreign tax paid	680
State of Minnesota-tax FYE 11/30/11	25
	<u>705</u>

Statement 3 - Grants and Contributions

Name and Address				Relationship	Status	Purpose	Amount
ALS Association MN Chapter	333 Washington Avenue N, Suite 105	Minneapolis, MN 55401	None			Grant-Research	5,000 00
ALS Association MN Chapter	333 Washington Avenue N, Suite 105	Minneapolis, MN 55401	None			Grant Dinner of Champions	5,000 00
Children's HeartLink	5075 Arcadia Avenue	Minneapolis, MN 55436	None			Grant-2012 HeartLink Gala	5,000 00
CREST	PO Box 185	Fairmont, MN 56031	None			Grant-General	10,000 00
Martin County Humane Society	522 East Margaret Street	Fairmont, MN 56031	None			Grant-MCHS Building Fund	5,000 00
Martin County Youth for Christ	213 Downtown Plaza	Fairmont, MN 56031	None			Grant-December Capital Campaign	7,500 00
Martin County Youth for Christ	213 Downtown Plaza	Fairmont, MN 56031	None			Grant-Championship Sponsor	2,500 00
Martin County Youth for Christ	213 Downtown Plaza	Fairmont, MN 56031	None			Grant-Lighthouse Remodel Pledge	5,000 00
Martin County Youth for Christ	213 Downtown Plaza	Fairmont, MN 56031	None			Grant-2012 Capital Campaign	7,500 00
Minnesota FFA Foundation	PO Box 118	Rosemount, MN 55068	None			Grant-Star Partner Program	5,750 00
Monogram Loves Kids Foundatio	930 S White Station Road	Memphis, TN 38117	None			Grant-November 1st Fundraiser	10,000 00
Progressive Ag Foundation	PO Box 2970	Denver, CO 80201-2970	None			Grant-Progressive Agriculture Safety Day Pi	20,000 00
Southern Minnesota Initiative For	525 Florence Ave, PO Box 695	Owatonna, MN 55060	None			Grant-Entrepreneur Fund	100,000 00
Twin Valley Council-Boy Scouts of	810 Madison Avenue	Mankato, MN 56001	None			Grant-Boy Scout Camp at Cuyuna	3,000 00

Grant-scholarships for post- secondary €

Heather	Adams	406 E 22nd Terrace	Kearney	MO	64060	Brigham Young University	1,000 00
Ben	Allen	2635 S Wanda Street	Juniata	NE	68955	Fort Scott Community College	1,000 00
Aaron	Avitia	4 Centennial Drive, PO Box 344	Gibbon	NE	68840	University of Nebraska-Kearney	1,000 00
Karlle	Baker	884 Spring Drive	Murphysboro	IL	62966	Southern Illinois University of Carbondale	1,000 00
Brigham	Barzee	8029 N Flora Avenue	Kansas City	MO	64118	Brigham Young University	750 00
Colt	Benge	11465 Pawnee Road	Gibbon	NE	68840	Hastings Central Community College	750 00
David	Benge	105 Murnen Avenue	Gibbon	NE	68840	University of Nebraska-Kearney	750 00
Briant	Bierhals	2305 Oliver Lane	Green Bay	WI	54303	Northeast Wisconsin Technical College	750 00
Mitchel	Brasel	1409 Willowdale Rd	Yankton	SD	57078	Mount Marty College	750 00
Shannon	Brasel	1409 Willowdale Rd	Yankton	SD	57078	Mount Marty College	1,000 00
Jordan	Bndges	19374 Evening Star Court	Farmington	MN	55024	Jamestown College	750 00
Tyler	Bndges	19374 Evening Star Court	Farmington	MN	55024	University of Minnesota	750 00
Melissa	Cady	458 Grove St	Manawa	WI	54949	University of Wisconsin-Steven Point	750 00
Elizabeth	Case	3395 Range Rd	Gibbon	NE	68840	Hastings College	750 00
Emily	Case	3395 Range Rd	Gibbon	NE	68840	Hastings College	750 00
Aaron	Collins	12664 Holmes Street	Omaha	NE	68137	Western Washington University	1,000 00
Anna	nh	140 N Ashland Ave	Green Bay	WI	54303	University of Wisconsin-Madison	750 00
Courtney	Fender	411 Walnut Street	Yankton	SD	57078	University of South Dakota	1,000 00
Taylor	Fender	411 Walnut	Yankton	SD	57078	University of South Dakota	1,000 00
Brandon	Fishburn	12688 Fishburn Rd	Mechanicsburg	IL	62545	Illinois College	750 00
April	Fletcher	822 Avenue D	Kearney	NE	68847	Wayne State College	750 00
Isabel	Flores	512 Peters St	Green Bay	WI	54302	Northeast Wisconsin Technical College	750 00
Christian	Fuentes	1113 Offutt Blvd	Bellevue	NE	68005	University of Nebraska-Omaha	750 00
Nicole	Galloway	520 B Street	Shelton	NE	68876	University of Nebraska-Kearney	750 00
Cynthia	Garcia	144 Lincoln Street	Shelton	NE	68876	Central Community College	1,000 00
Tyler	Gardner	545 South 2nd Street	Rexburg	ID	83440	Brigham Young University	750 00
Emily	German	406 N Dakota St	Howard	SD	57349	Kansas State University	1,000 00
Brennan	Gille	5987 Geano Beach Road	Abrams	WI	54101	Saint Norbert College	1,000 00
Brittany	Gillming	1319 E 45th Street, Apt #O-11	Kearney	NE	68845	University of Nebraska-Kearney	750 00
Lindsay	Gillming	607 Court Street	Gibbon	NE	68840	University of Nebraska Medical Center	750 00
Adilene	Gonzalez	4217 Lariat Place	Grand Island	NE	68803	Central Community College	750 00
Tory	Gross	911 Mulberry St	Yankton	SD	57078	University of South Dakota	1,000 00
Melissa	Haag	40840 267th St	Dimock	SD	57331	University of South Dakota	750 00
Jessica	Hackbarth	1036 S 10th Ave	Wausau	WI	54401	University of Wisconsin-Green Bay	750 00
Taylor	Hamlin	2008 Memonal Dr Apt 209	Green Bay	WI	54303	University of Phoenix	500 00
Rachael	Hansen	1610 LeBrun Road	De Pere	WI	54115	University of Wisconsin	750 00
Diamond	Hargrove	365 Dodie Dr Apt #3	Waukesha	WI	53188	University of Wisconsin-Waukesha	750 00
Yesenia	Hernandez	552 Spinnaker Ln	Green Bay	WI	54302	Northeast Wisconsin Technical College	750 00
Alexa	Hoffman	2621 Valley Heights Dr	Green Bay	WI	54311	Brigham Young University	1,000 00
Veronica	Hoguin	305 E 28th Street	Kearney	NE	68847	University of Nebraska-Kearney	750 00
Joshua	Holmes	3639 Glenbrooke Lane	Green Bay	WI	54301	University of Wisconsin	750 00
Mark	Inbody	19590 County Road 12	Bluffton	OH	45817	University of Kentucky	750 00
Jennifer	Kleine	105 Shepard Rd NW	Alexandria	MN	56308	North Dakota State University	750 00
Jany	Kong	2409 Main St, Apt A	Stevens Point	WI	54481	University of Wisconsin-Stevens Point	750 00
Aaron	Krueger	760 Dane Street	Green Bay	WI	54313	University of Wisconsin-Platteville	1,000 00

Chad	Krueger	760 Dane Street	Green Bay	WI	54313 Ripon College	750 00
Janet	Leon	1834 Basten Street	Green Bay	WI	54302 Northeast Wisconsin Technical College	750 00
Juan	Linares	1416 S Norwood St	Green Bay	WI	54304 Western Illinois University	1,000 00
Brandon	Lipp	1544 Kimball Street	Green Bay	WI	54302 University of Wisconsin-Madison	750 00
Monica	Lopez	518 E 2nd Street	Hastings	NE	68901 University of Nebraska-Lincoln	750 00
Patricia	Lopez	107 E Main Street	Clarksa	MN	56440 Mayville State University	750 00
Goe	Lor	1786 Debra Lane	Green Bay	WI	54302 University of Wisconsin-Stout	750 00
Janny	Lor	1786 Debra Lane	Green Bay	WI	54302 University of Wisconsin	750 00
Kia Tong	Lor	1786 Debra Lane	Green Bay	WI	54302 University of Wisconsin-Milwaukee	750 00
Nalee	Lor	2720 N Frederick Ave, Apt 327	Green Bay	WI	54302 University of Wisconsin-Milwaukee	750 00
See	Lor	532 S Ashland Ave	Green Bay	WI	54303 Northeast Wisconsin Technical College	750 00
Cheyann	Lovett	16310 S Conestoga Ave	Bladen	NE	68928 Southeast Community College	1,000 00
Panhia	Ly	2053 Hillside Ln	Green Bay	WI	54302 University of Wisconsin-Milwaukee	750 00
Toua	Ly	2053 Hillside Ln	Green Bay	WI	54302 University of Wisconsin-OshKosh	750 00
Lance	Lyons	705 B Street	Shelton	NE	68876 Central Community College	1,000 00
Molly	Magni	201 Woodward Avenue	Geneva	IL	60134 Xavier University	1,000 00
William	Massey	508 South 4th Street	Menno	SD	57045 University of South Dakota	750 00
Bradley	Mayer	2021 Deckner Ave Apt 201	Green Bay	WI	54302 University of Wisconsin-Green Bay	750 00
Reba	McClone	N5065 Radloff Rd	Cecil	WI	54111 University of Wisconsin-Madison	1,000 00
Laura	Mejia Rosales	7854 74th Street South	Cottage Grove	MN	55016 Saint Cloud State University	750 00
Karley	Mendez	1632 Louise St	Green Bay	WI	54302 University of Wisconsin-Madison	750 00
Austin	Mouw	2021 Freedom Street	Brookings	SD	57006 South Dakota State University	750 00
Hunter	Mouw	2021 Freedom Street	Brookings	SD	57006 South Dakota State University	750 00
Fabiola	Navarro	449 Robert Lane	Green Bay	WI	54311 University of Wisconsin-OshKosh	750 00
Elizabeth	Norman	3305 E Dutchman Circle	Bellevue	NE	68123 University of Nebraska-Omaha	750 00
Joshua	Norman	3305 E Dutchman Circle	Bellevue	NE	68123 Metropolitan Community College	750 00
Cassie	Odquist	512 S Broadway	Huntington	IN	46750 Ivy Tech Community College	750 00
Ashlie	Orcutt	9105 Bellvue Drive	Plattsmouth	NE	68048 Clarkson College	1,000 00
Stephanie	Orcutt	9105 Bellvue Drive	Plattsmouth	NE	68048 Metropolitan Community College	1,000 00
Carolina	Ortega	1482 Cedar Street	Green Bay	WI	54302 University of Wisconsin-Madison	750 00
Chelsey	Pacl	3445 Unity Lane	Neola	IA	51559 Northwest Missouri State	750 00
Cody	Perman	1218 Hurst Avenue	Mitchell	SD	57301 North Central University	1,000 00
Brittney	Pilz	1096 Sandra Lane	Green Bay	WI	54304 Northeast Wisconsin Technical College	750 00
Andrea	Piasecencia	301 Michigan Ave Apt 7	Stevens Point	WI	54481 University of Wisconsin-Stevens Point	750 00
Ana	Ramirez	1013 N Lawe St	Appleton	WI	54911 Fox Valley Technical College	750 00
Juan	Rodriguez	1400 N Baird St Lot #99	Green Bay	WI	54302 Fox Valley Technical College	750 00
John	Rothschadl	703 E 8th Avenue #304	Mitchell	SD	57301 University Center - Sioux Falls	750 00
Heriberto	Rubio	1410 Superior Avenue	Oconto	WI	54153 Northeast Wisconsin Technical College	750 00
Mayra	Salas	1827 Debra Lane	Green Bay	WI	54302 Northeast Wisconsin Technical College	500 00
Elizabeth	Sanchez	1850 Fiesta Lane	Green Bay	WI	54302 University of Wisconsin-Green Bay	750 00
Yesenia	Sanchez	2121 Rebecca Ct	Green Bay	WI	54311 University of Wisconsin-Green Bay	750 00
Josephine	Oorlog	182 Knies Ave	Yankton	SD	57078 Mount Marty College	750 00
Luke	Schiefelbein	35670 732nd Avenue	Kimball	MN	55353 Massachusetts Inst Of Technology	750 00
Travis	Schiefelbein	35670 732nd Avenue	Kimball	MN	55353 University of Nebraska	1,000 00
Luke	Schroeder	29335 446 Avenue	Irene	SD	57037 University of South Dakota	1,000 00
Tate	Schroeder	29335 446 Avenue	Irene	SD	57037 South Dakota State University	750 00
Lyndsey	Seewald	N2915 9th Rd	Coleman	WI	54112 University of Denver	750 00
Dylan	Sharp	615 Cottonwood Lane	Liberty	MO	64068 Missouri State University	750 00
Hannah	Slater	2720 Quail Ridge Ct	Highland Village	TX	75077 Oklahoma State University	750 00
Rachel	Smoldt	Box 275	Albion	IA	50005 Marshalltown Community College	750 00
Nicholas	Sunder	17395 Co 94	Sauk Centre	MN	56378 Alexandria Technical & Community College	750 00
Jessica	Sylvester	39 Peyton Street	Trevose	PA	19053 University of the Sciences in Philadelphia	750 00
Kimberly	Tappa	2728 Cross Rd	Abrams	WI	54101 University of Wisconsin-OshKosh	750 00
Benjamin	Tauchen	N5759 Division Rd	Cecil	WI	54111 Michigan Technological University	750 00
Samuel	Tauchen	N5759 Division Rd	Cecil	WI	54111 University of Wisconsin-River Falls	750 00
Samuel	Tauchen	N5759 Division Rd	Cecil	WI	54111 University of Wisconsin-River Falls	750 00
Heather	Troiber	1121 S 50th Ave, Apt 42	Wausau	WI	54401 Carroll University	1,000 00
Michael	Vanderbush	2106 Verlin Rd Apt 8	Green Bay	WI	54311 University of Wisconsin-Platteville	500 00
Christopher	Vandervest	1435 Langlade Avenue	Green Bay	WI	54304 University of Minnesota-Duluth	750 00
Daniel	Vandervest	1435 Langlade Avenue	Green Bay	WI	54304 Northeast Wisconsin Technical College	750 00
Alvin	Vang	1593 Foeller Dr	Green Bay	WI	54302 Northeast Wisconsin Technical College	750 00
Adan	Vasquez	1579 Proper St	Green Bay	WI	54302 Northeast Wisconsin Technical College	750 00
Ana	Vasquez	1312 W 9th Street	Hastings	NE	68901 Hastings College	750 00
Jessi	Vasquez	1312 W 9th Street	Hastings	NE	68901 Creighton University	1,000 00
Pa	Vue	1022 Newtols St	Green Bay	WI	54302 University of Wisconsin-Madison	1,000 00
Chelsea	Wallace	1527 Thomas Rd	DuQuoin	IL	62832 John A Logan College	750 00
Jessy	Wallace	1527 Thomas Rd	DuQuoin	IL	62832 Mineral Area College	1,000 00
Brandon	Wanatee	2552 Smith Ave #144	Marshalltown	IA	50158 Iowa State University	1,000 00
Sadie	Wilson	615 Cottonwood Lane	Liberty	MO	64068 University of Missouri	1,000 00
Zachery	Wroblewski	1896 Cross Road	Sobieski	WI	54171 Fox Valley Technical College	750 00
Hue	Xiong	860 Amberly Trl	Green Bay	WI	54311 University of Wisconsin-Green Bay	750 00
Jim	Xiong	3029 Saybrook Circle	Green Bay	WI	54311 University of Wisconsin-Green Bay	750 00
Kia	Xiong	3029 Saybrook Circle	Green Bay	WI	54311 Bellin College	750 00
Bee	Yang	3359 Heaven Drive	Green Bay	WI	54311 University of Wisconsin-Madison	750 00
Doua	Yang	1742 Ontario Road	Green Bay	WI	54311 Carthage College	750 00
Jesse	Yang	3118 Blakeley Avenue, Apt #6	Eau Claire	WI	54701 University of Wisconsin-Eau Claire	750 00

Mong Yang 2312 Southwood Dr #4 Appleton WI 54915 University of Wisconsin-Platteville 750 00

289,500 00

Statement 4 - Officers, Directors, Etc

Name & Address	Title/Time	Compensation	Contribution to Emp Ben Plans	Exp A/C
Thomas J Rosen 1120 Lake Avenue Fairmont, MN 56031	Pres/Sec 1 hour/week	None	None	None
Richard H Rosen 1120 Lake Avenue Fairmont, MN 56031	Treasurer 1 hour/week	None	None	None
Dominick V Driano, Jr 1120 Lake Avenue Fairmont, MN 56031	VP/Asst Sec 1 hour/week	None	None	None
Kay Dillon Jones 1120 Lake Avenue Fairmont, MN 56031	VP-Tax Services 1 hour/week	None	None	None

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 5 - Unrealized Gains/Losses
As of 11/30/12

Ticker	Security Name	# Shares	Unit Cost	Cost Basis	Purchase Date	Market Price	Market Value	Unrealized Gain/Loss
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	379	84.62	32,070.98	06/03/2011	90.83	34,348.77	2,277.79
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,9676	83.99	333.24	07/12/2011	90.63	359.58	26.34
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	4,0864	86.69	354.25	08/09/2011	90.63	370.35	16.10
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,9899	88.251	175.61	09/12/2011	90.63	180.34	4.73
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,8844	87.142	164.21	10/12/2011	90.63	170.78	6.57
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,9474	87.83	171.04	11/09/2011	90.63	176.49	5.45
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	271	87.347	23,671.04	11/28/2011	90.63	24,560.73	889.69
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	68	87.34	5,939.12	11/28/2011	90.63	6,162.84	223.72
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	232	87.35	20,265.20	11/28/2011	90.63	21,028.16	760.96
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.54	87.15	308.51	12/09/2011	90.63	320.83	12.32
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1.153	86.969	100.28	01/04/2012	90.63	104.50	4.22
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.741	85.971	325.36	01/04/2012	90.63	339.05	13.69
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	13.343	86.97	1,160.44	01/04/2012	90.63	1,209.28	48.84
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.602	87.931	316.73	02/09/2012	90.63	326.45	9.72
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.325	87.929	292.36	03/09/2012	90.63	301.34	8.98
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.558	86.65	308.30	04/11/2012	90.63	322.46	14.16
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	0.321	86.658	27.82	04/11/2012	90.63	29.09	1.27
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.543	86.651	307.00	04/11/2012	90.63	321.10	14.10
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.308	88.009	291.13	05/09/2012	90.63	299.80	8.67
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.394	88.64	300.84	06/11/2012	90.63	307.60	6.76
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.283	89.191	292.81	07/11/2012	90.63	297.54	4.73
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.314	89.671	297.17	08/09/2012	90.63	300.35	3.18
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2.606	89.87	234.20	09/12/2012	90.63	236.18	1.98
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2.48	90.211	223.72	10/10/2012	90.63	224.76	1.04
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2.545	90.121	229.36	11/09/2012	90.63	230.65	1.29
BIV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	199	90.32	17,973.88	11/09/2012	90.63	18,035.37	61.69
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	257	81.51	20,948.07	06/03/2011	96.89	24,900.73	3,952.66
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	386	81.507	31,461.70	06/03/2011	96.89	37,399.54	5,937.84
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3.2056	79.76	255.68	07/12/2011	96.89	310.59	54.91
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3.1679	85.7	271.49	08/09/2011	96.89	306.94	35.45
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.9364	89.371	262.43	09/12/2011	96.89	284.51	22.08
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.6499	91.449	242.33	10/12/2011	96.89	256.75	14.42
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.8443	90.859	258.43	11/09/2011	96.89	275.58	17.15
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.518	90.439	227.73	12/09/2011	96.89	243.97	16.24
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.607	91.891	239.56	01/04/2012	96.89	252.59	13.03
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0.313	91.888	28.76	01/04/2012	96.89	30.33	1.57
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	5.917	92.03	544.54	01/05/2012	96.89	573.30	28.76
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.406	90.679	218.17	02/09/2012	96.89	233.12	14.95
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.448	91.598	224.23	03/09/2012	96.89	237.19	12.96
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0.758	88.924	67.40	04/11/2012	96.89	73.44	6.04
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0.379	88.924	33.70	04/11/2012	96.89	36.72	3.02
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.756	88.921	245.07	04/11/2012	96.89	267.03	21.96
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.573	91.499	235.43	05/09/2012	96.89	249.30	13.87
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.418	95.189	230.17	06/11/2012	96.89	234.28	4.11
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.421	95.531	231.28	07/11/2012	96.89	234.57	3.29
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.444	97.521	238.34	08/09/2012	96.89	236.80	(1.54)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.278	96.839	220.60	09/12/2012	96.89	220.72	0.12
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.222	96.291	213.96	10/10/2012	96.89	215.29	1.33
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2.314	96.3	222.84	11/09/2012	96.89	224.20	1.36
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	126	97.48	12,282.48	11/09/2012	96.89	12,208.14	(74.34)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	1,182	81.097	95,856.65	06/03/2011	81.43	96,250.26	393.61
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	208	81.1	16,868.80	06/03/2011	81.43	16,937.44	68.64
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3,7777	80.93	305.73	07/12/2011	81.43	307.62	1.89
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3,8741	81.48	315.66	08/09/2011	81.43	315.47	(0.19)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2,5025	81.57	204.13	09/12/2011	81.43	203.78	(0.35)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2,229	81.05	180.66	10/12/2011	81.43	181.51	0.85
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2,5126	81.469	204.70	11/09/2011	81.43	204.60	(0.10)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	390	81.208	31,671.12	11/28/2011	81.43	31,757.70	86.58
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	100	81.21	8,121.00	11/28/2011	81.43	8,143.00	22.00
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	300	81.21	24,363.00	11/28/2011	81.43	24,429.00	66.00
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3,359	81.21	272.78	12/09/2011	81.43	273.52	0.74
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	1,803	80.853	145.78	01/04/2012	81.43	146.82	1.04
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.46	80.85	279.74	01/04/2012	81.43	281.75	2.01
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	9.254	80.85	748.19	01/04/2012	81.43	753.55	5.36
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.404	81.28	276.68	02/09/2012	81.43	277.19	0.51
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.165	81.171	256.91	03/09/2012	81.43	257.73	0.82
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	0.686	80.81	55.44	04/11/2012	81.43	55.86	0.42
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	0.239	80.813	19.31	04/11/2012	81.43	19.48	0.15
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.36	80.81	271.52	04/11/2012	81.43	273.60	2.08
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.235	81.12	262.42	05/09/2012	81.43	263.43	1.01
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.211	81.081	260.35	06/11/2012	81.43	261.47	1.12
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.022	81.131	245.18	07/11/2012	81.43	246.08	0.90
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3.103	81.229	252.05	08/09/2012	81.43	252.68	0.63
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2.783	81.319	226.31	09/12/2012	81.43	226.62	0.31
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2.642	81.4	215.06	10/10/2012	81.43	215.14	0.08
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2.693	81.249	218.80	11/09/2012	81.43	219.29	0.49
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	479	81.297	38,941.28	11/09/2012	81.43	39,004.97	63.71
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	93	76.717	7,134.88	06/03/2011	79.98	7,438.14	303.48
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	400	76.72	30,688.00	06/03/2011	79.98	31,992.00	1,304.00
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	153	66.777	10,216.88	11/28/2011	79.98	12,236.94	2,020.06
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	12.897	69.46	895.83	01/04/2012	79.98	1,031.50	135.67
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	0.23	79.956	18.39	03/30/2012	79.98	18.40	0.01
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	139	77.45	10,765.55	11/09/2012	79.98	11,117.22	351.67

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 5 - Unrealized Gains/Losses
As of 11/30/12

Ticker	Security Name	# Shares	Unit Cost	Cost Basis	Purchase Date	Market Price	Market Value	Unrealized Gain/Loss
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	512	38 05	19,481 80	06/03/2011	34 13	17,474 56	(2,007 04)
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	2265	38 047	88,176 48	06/03/2011	34 13	77,304 45	(8,872 01)
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	200	30 645	6,129 00	11/28/2011	34 13	6,826 00	897 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	100	30 65	3,065 00	11/28/2011	34 13	3,413 00	348 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	696	30 647	21,330 31	11/28/2011	34 13	23,754 48	2,424 17
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	100	30 65	3,065 00	11/28/2011	34 13	3,413 00	348 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	100	30 65	3,065 00	11/28/2011	34 13	3,413 00	348 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	193 7	30 57	5,921 41	12/30/2011	34 13	6,610 98	689 57
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	1 869	39 269	73 39	03/30/2012	34 13	63 79	(9 60)
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	86 092	33 361	2,872 12	10/02/2012	34 13	2,938 32	66 20
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	807	32 737	26,418 76	11/09/2012	34 13	27,542 91	1,124 15
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	613	51 48	31,557 24	06/03/2011	52 549	32,212 54	655 30
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	1619	51 52	83,410 88	06/03/2011	52 549	85,078 83	1,665 95
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 28	52 11	275 14	07/11/2011	52 549	277 46	2 32
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 6017	51 77	290 00	08/08/2011	52 549	294 36	4 36
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	6 4286	52 36	336 80	09/09/2011	52 549	337 82	1 02
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 8595	52 16	305 63	10/11/2011	52 549	307 91	2 28
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 8996	51 91	308 25	11/08/2011	52 549	310 02	3 77
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	107	51 804	5,543 03	11/28/2011	52 549	5,622 74	79 71
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	4 864	51 84	252 15	12/08/2011	52 549	255 60	3 45
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	18 456	51 81	956 21	01/04/2012	52 549	989 84	13 63
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	4 235	52 15	220 86	02/08/2012	52 549	222 55	1 69
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	4 544	52	236 29	03/08/2012	52 549	238 78	2 49
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	4 029	51 959	209 34	04/10/2012	52 549	211 72	2 38
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	4 241	52 3	221 80	05/08/2012	52 549	222 86	1 06
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	3 376	52 271	176 47	06/08/2012	52 549	177 41	0 94
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	3 712	52 521	194 96	07/10/2012	52 549	195 06	0 10
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	2 955	52 629	155 52	08/08/2012	52 549	155 28	(0 24)
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	1 878	52 79	99 14	09/11/2012	52 549	98 89	(0 25)
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	1 419	52 879	75 04	10/09/2012	52 549	74 57	(0 47)
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	522	52 559	27,435 80	11/09/2012	52 549	27,430 58	(5 22)
VMBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	1 148	52 758	60 57	11/13/2012	52 549	60 33	(0 24)
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	295	83 62	24,667 90	08/15/2012	88 46	26,095 70	1,427 80
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	2 553	87 229	222 70	10/02/2012	88 46	225 84	3 14
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	51	86 52	4,412 52	11/09/2012	88 46	4,511 46	98 94
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	988	55 97	55,298 36	06/03/2011	58 06	57,363 28	2,064 92
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	2146	55 967	120,105 18	06/03/2011	58 06	124,596 76	4,491 58
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	24 566	55 31	1,358 75	07/05/2011	58 06	1,426 30	67 55
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	31 529	48 49	1,528 84	10/03/2011	58 06	1,830 57	301 73
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	100	49 2	4,920 00	11/28/2011	58 06	5,806 00	886 00
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	100	49 21	4,921 00	11/28/2011	58 06	5,806 00	885 00
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	343	49 207	16,878 00	11/28/2011	58 06	19,914 58	3,036 58
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	37 754	52 36	1 976 80	01/04/2012	58 06	2,192 00	215 20
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	29 179	57 23	1,669 91	04/03/2012	58 06	1,694 13	24 22
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	34 552	54 25	1,874 45	07/03/2012	58 06	2,006 09	131 64
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	25 299	58 81	1,487 83	10/02/2012	58 06	1,468 86	(18 97)
VTI	VANGUARD INDEX FDS VANGUARD VALUE ETF	821	57 127	46,901 27	11/09/2012	58 06	47,667 26	765 99
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	478	64 087	30,633 59	06/03/2011	71 55	34,200 90	3,567 31
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	1900	64 09	121,771 00	06/03/2011	71 55	135,945 00	14,174 00
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	11 3261	63 84	723 06	07/05/2011	71 55	810 38	87 32
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	10 002	58 98	589 92	10/03/2011	71 55	715 64	125 72
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	349	59 947	20,921 50	11/28/2011	71 55	24,970 95	4,049 45
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	300	59 95	17,985 00	11/28/2011	71 55	21,485 00	3,480 00
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	14 92	61 61	919 22	01/04/2012	71 55	1,087 53	148 31
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	11 182	70 51	788 44	04/03/2012	71 55	800 07	11 63
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	12 055	66 13	797 20	07/03/2012	71 55	862 54	65 34
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	10 603	72 19	765 43	10/02/2012	71 55	758 64	(6 79)
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	734	68 99	50,638 66	11/09/2012	71 55	52,517 70	1,879 04
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	593	48 707	28,883 25	06/03/2011	42 015	24,914 90	(3,968 35)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	200	48 71	9,742 00	06/03/2011	42 015	8,403 00	(1,339 00)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	314	38 647	12,135 16	11/28/2011	42 015	13,192 71	1,057 55
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	36 495	38 43	1,402 50	12/30/2011	42 015	1,533 34	130 84
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	14 36	41 805	600 32	10/02/2012	42 015	603 34	3 02
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	233	41 355	9,635 72	11/09/2012	42 015	9,789 50	153 78
Rounding				0 38			(0 23)	(0 61)
				1,383,370 18			1,438,324 94	54,954 76

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 6 - Realized Gains/Loss
12/01/11 - 11/30/12

Symbol	Security	Transaction Type	Shares	Share Price	Cost Basis	Date Acquired	Status	Date Sold	Price per Sh.	Sales Price	Realized Gain/Loss
VB	Vanguard Small Cap ETF	SELL	28	76.71714286	2,148.08	06/03/2011	short-term	04/17/2012	107.00	2,996.12	848.04
VEA	Vanguard MSCI EAFE ETF	SELL	585	38.05	22,259.25	06/03/2011	short-term	04/17/2012	33.24	19,444.96	(2,814.29)
VTI	Vanguard Value ETF	SELL	81	55.96	4,532.76	06/03/2011	short-term	04/17/2012	56.80	4,600.69	67.93
VUG	VANGUARD INDEX FDS	SELL	329	50.84112462	16,726.73	06/03/2011	short-term	04/17/2012	55.99	18,421.27	1,694.54
VWO	Vanguard MSCI Emerging	SELL	18	48.71	876.78	06/03/2011	short-term	04/17/2012	42.91	772.36	(104.42)
Total Short-Term					46,543.60					46,235.40	(308.20)
BIV	VANGUARD BD INDEX FDI	SELL	271	84.62	22,932.02	06/03/2011	long-term	08/15/2012	94.63	25,644.87	2,712.85
BLV	VANGUARD BD INDEX FDI	SELL	59	81.51	4,809.09	06/03/2011	long-term	08/15/2012	105.88	6,246.88	1,437.79
BSV	VANGUARD BD INDEX FDI	SELL	192	81.1	15,571.20	06/03/2011	long-term	08/15/2012	86.15	16,540.52	969.32
VB	Vanguard Small Cap ETF	SELL	279	76.71709677	21,404.07	06/03/2011	long-term	08/15/2012	77.10	21,510.47	106.40
VEA	Vanguard MSCI EAFE ETF	SELL	1103	38.05	41,969.15	06/03/2011	long-term	08/15/2012	32.65	36,016.67	(5,952.48)
VMBS	Vanguard Mortgage-Backed	SELL	634	51.48	32,638.32	06/03/2011	long-term	08/15/2012	52.45	33,252.55	614.23
VTI	Vanguard Value ETF	SELL	1031	55.96981571	57,704.88	06/03/2011	long-term	08/15/2012	57.54	59,326.07	1,621.19
VUG	VANGUARD INDEX FDS	SELL	740	64.08709459	47,424.45	06/03/2011	long-term	08/15/2012	70.64	52,275.12	4,850.67
VWO	Vanguard MSCI Emerging	SELL	423	48.70971631	20,604.21	06/03/2011	long-term	08/15/2012	41.18	17,420.87	(3,183.34)
Total Long-Term					265,057.39					268,234.02	3,176.63
					311,600.99					314,469.42	2,868.43