



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

For calendar year 2011 or tax year beginning

DEC 1, 2011

, and ending

NOV 30, 2012

Name of foundation

36 FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

C/O EWA, 150 S. WACKER

Room/suite

410

City or town, state, and ZIP code

CHICAGO, IL 60606

G Check all that apply:

Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method:

(from Part II, col. (c), line 16)

 Cash  Accrual

► \$ 1,082,764. (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	474,500.		N/A	
2	Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	9.	9.		STATEMENT 1
4	Dividends and interest from securities	26,988.	26,988.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	65,600.			
b	Gross sales price for all assets on line 6a	395,290.			
7	Capital gain net income (from Part IV, line 2)		65,600.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	567,097.	92,597.		
13	Compensation of officers, directors, trustees, etc.	0.	0.	0.	
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees				
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 3	530.	0.	0.
24	Total operating and administrative expenses. Add lines 13 through 23		530.	0.	0.
25	Contributions, gifts, grants paid		544,250.		544,250.
26	Total expenses and disbursements. Add lines 24 and 25		544,780.	0.	544,250.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements		22,317.		
b	Net investment income (if negative, enter -0-)			92,597.	
c	Adjusted net income (if negative, enter -0-)				N/A

123501  
12-02-11 LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2011)

SCANNED MAR 12 2013

Operating and Administrative Expenses

RECEIVED

OGDEN, UT

11380214 796142 36FND

2011.05000 36 FOUNDATION, INC.

924-26

36FND\_1

18

<b>Part II</b> <b>Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
1	Cash - non-interest-bearing	6,726.	55,687.	55,687.	
2	Savings and temporary cash investments	201,580.	164,678.	164,678.	
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations				
b	Investments - corporate stock	STMT 4	767,115.	685,133.	862,399.
c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ► _____)				
16	<b>Total assets (to be completed by all filers)</b>		975,421.	905,498.	1,082,764.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ► _____)				
23	<b>Total liabilities (add lines 17 through 22)</b>		0.	0.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		975,421.	905,498.	
30	<b>Total net assets or fund balances</b>		975,421.	905,498.	
31	<b>Total liabilities and net assets/fund balances</b>		975,421.	905,498.	

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	975,421.
2	Enter amount from Part I, line 27a	2	22,317.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	997,738.
5	Decreases not included in line 2 (itemize) ► <b>UNREALIZED ASSET APPREC. /DEPRECIATION</b>	5	92,240.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	905,498.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b	SEE ATTACHED STATEMENT				
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
a					
b					
c					
d					
e	395,290.	329,690.		65,600.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e				65,600.	
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	65,600.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8					N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	162,094.	1,081,450.	.149886
2009	261,086.	1,145,691.	.227885
2008	167,416.	1,313,905.	.127419
2007	104,300.	1,663,743.	.062690
2006	92,650.	1,513,841.	.061202

2 Total of line 1, column (d)

2 .629082

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .125816

4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5

4 937,279.

5 Multiply line 4 by line 3

5 117,925.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 926.

7 Add lines 5 and 6

7 118,851.

8 Enter qualifying distributions from Part XII, line 4

8 544,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	(attach copy of letter if necessary-see instructions)	1	926.
1b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
1c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3	Add lines 1 and 2	2	0.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	926.	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	4	0.	
6	<b>Credits/Payments:</b>	5	926.	
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	560.	
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	560.	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	5.	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	371.	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10		
11	Enter the amount of line 10 to be: <b>Credited to 2012 estimated tax</b> ►	11	Refunded ►	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No		
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	X			
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>					
1c	Did the foundation file Form 1120-POL for this year?	X			
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:				
(1)	On the foundation. ► \$ 0.	(2)	On foundation managers. ► \$ 0.		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	X			
<i>If "Yes," attach a detailed description of the activities.</i>					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X			
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	N/A			
b	If "Yes," has it filed a tax return on Form 990-T for this year?				
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?				
<i>If "Yes," attach the statement required by General Instruction T.</i>					
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
• By language in the governing instrument, or					
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?					
7	Did the foundation have at least \$5,000 in assets at any time during the year?				
<i>If "Yes," complete Part II, col. (c), and Part XV.</i>					
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►				
<b>IL</b>					
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X			
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X			
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X			

**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13	X	
Website address ► N/A		
14	The books are in care of ► JOHN D. MABIE	Telephone no. ► 312-419-9204
	Located at ► 150 S. WACKER, SUITE 410, CHICAGO, IL	ZIP+4 ► 60606
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15   N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	► 16   Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a	During the year did the foundation (either directly or indirectly):	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	
	Organizations relying on a current notice regarding disaster assistance check here ►	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):	
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► _____, _____, _____, _____, _____	
	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	
	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No Yes  No Yes  No Yes  No Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

► 

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

 Yes  No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN D. MABIE 150 S. WACKER, SUITE 410 CHICAGO, IL 60606	DIRECTOR 0.00	0.	0.	0.
MARTHA G. MABIE 150 S. WACKER, SUITE 410 CHICAGO, IL 60606	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	Expenses
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	Amount
2		
3		
Total. Add lines 1 through 3		0.

Form 990-PF (2011)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	847,186.
b Average of monthly cash balances	1b	104,366.
c Fair market value of all other assets	1c	
<b>d Total</b> (add lines 1a, b, and c)	1d	951,552.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	951,552.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,273.
<b>5 Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	937,279.
<b>6 Minimum investment return.</b> Enter 5% of line 5	6	46,864.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	46,864.
<b>2a Tax on investment income for 2011 from Part VI, line 5</b>	2a	926.
<b>b Income tax for 2011. (This does not include the tax from Part VI.)</b>	2b	
<b>c Add lines 2a and 2b</b>	2c	926.
<b>3 Distributable amount before adjustments. Subtract line 2c from line 1</b>	3	45,938.
<b>4 Recoveries of amounts treated as qualifying distributions</b>	4	0.
<b>5 Add lines 3 and 4</b>	5	45,938.
<b>6 Deduction from distributable amount (see instructions)</b>	6	0.
<b>7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1</b>	7	45,938.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	544,250.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
<b>4 Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	544,250.
<b>5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b</b>	5	926.
<b>6 Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	543,324.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				45,938.
2 Undistributed income, if any, as of the end of 2011			0.	
a Enter amount for 2010 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	23,485.			
b From 2007	22,605.			
c From 2008	102,089.			
d From 2009	204,629.			
e From 2010	109,883.			
f Total of lines 3a through e	462,691.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 544,250.			0.	
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	544,250.			
d Applied to 2011 distributable amount	0.			0.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	45,938.			45,938.
6 Enter the net total of each column as indicated below:	961,003.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	961,003.			
10 Analysis of line 9:				
a Excess from 2007	152.			
b Excess from 2008	102,089.			
c Excess from 2009	204,629.			
d Excess from 2010	109,883.			
e Excess from 2011	544,250.			

\*\* SEE STATEMENT 5



## Part XV: Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ACADEMY FOR URBAN SCHOOL LEADERSHIP 3400 N. AUSTIN AVENUE CHICAGO, IL 60634	N/A	OTHER PUBLIC CHARITY	CHARITABLE	11,000.
ART RESOURCES IN TEACHING 111 EAST ADAMS CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
ART INSTITUTE OF CHICAGO 111 S. MICHIGAN AVENUE CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,000.
BOYS AND GIRLS CLUB OF MARTIN COUNTY 11500 S.E. LARES AVE HOBE SOUND, FL 33475	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
BRAIN RESEARCH FOUNDATION 120 S. LASALLE #1300 CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	25,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 544,250.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		(e) Related or exempt function income	
	(a) Business code	(b) Amount		
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments			9.	
4 Dividends and interest from securities				
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory			65,600.	
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a				
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)	0.	0.	92,597.	
13 Total. Add line 12, columns (b), (d), and (e)	13		92,597.	
(See worksheet in line 13 instructions to verify calculations.)				

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
<b>INCOME EARNED ON INVESTMENTS HELD FOR THE PURPOSE OF MAKING DISTRIBUTIONS TO ORGANIZATIONS THAT QUALIFY AS EXEMPT ORGANIZATIONS UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986.</b>	

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

#### **c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr.)?

Signature of officer or trustee

1  
Date

## ► PRESIDENT

Signature of officer or trustee		Date	Title			
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN	
	<b>JULIE BESENT</b>	<i>Bessent</i>	<i>2/14/13</i>		<b>P00234390</b>	
	Firm's name ► EVERGREEN WEALTH ADVISORS			Firm's EIN ► 20-8096688		
Firm's address ► 150 S. WACKER #410 CHICAGO, IL 60606			Phone no. 312-419-9204			

## Part XV: Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BIG SHOULDERS FUND 212 W VAN BUREN ST CHICAGO, IL 60607	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,500.
CHICAGO BOTANIC GARDEN 1000 LAKE COOK ROAD GLENCOE, IL 60022	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CHICAGO COMMUNITY TRUST 111 E. WACKER DR., STE. 1400 CHICAGO, IL 60601	N/A	OTHER PUBLIC CHARITY	CHARITABLE	10,000.
CHICAGO COUNCIL ON GLOBAL AFFAIRS 332 S. MICHIGAN #1100 CHICAGO, IL 60604	N/A	OTHER PUBLIC CHARITY	CHARITABLE	3,000.
CHICAGO FOUNDATION FOR EDUCATION 400 N. MICHIGAN AVE., STE. 720 CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CHICAGO HIGH SCHOOL FOR THE ARTS 521 E 35TH ST CHICAGO, IL 60616	N/A	OTHER PUBLIC CHARITY	CHARITABLE	60,000.
CHICAGO SHAKESPEARE THEATER SUITE 825 CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CHICAGO ZOOLOGICAL SOCIETY 3300 GOLF ROAD BROOKFIELD, IL 60513	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
CATHOLIC THEOLOGICAL UNION 5416 S CORNELL AVE CHICAGO, IL 60615	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CONGRESSIONAL MEDAL OF HONOR FOUNDATION 40 PATRIOTS POINT ROAD MOUNT PLEASANT, SC 29464	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
Total from continuation sheets				504,250.

**Part XV. Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIELD MUSEUM 1400 S LAKE SHORE D CHICAGO, IL 60605	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
EVANS SCHOLARS FOUNDATION ONE BRIAR ROAD GOLF, IL 60029	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,750.
FOX VALLEY VOLUNTEER HOSPICE 200 WHITFIELD DRIVE GENEVA, IL 60134	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
GOLDEN APPLE FOUNDATION 8 SOUTH MICHIGAN AVE., STE. 700 CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	11,000.
HOMAN SQUARE COMMUNITY CENTER 3517 W ARTHINGTON ST CHICAGO, IL 60624	N/A	OTHER PUBLIC CHARITY	CHARITABLE	40,000.
HADLEY SCHOOL FOR THE BLIND 700 ELM STREET WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	30,000.
HOBE SOUND COMMUNITY CHEST P.O. BOX 511 HOBE SOUND, FL 33475	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HORIZON HOSPICE 833 W. CHICAGO AVENUE CHICAGO, IL 60622	N/A	OTHER PUBLIC CHARITY	CHARITABLE	102,500.
HOWARD AREA COMMUNITY CENTER 7646 N. PAULINA CHICAGO, IL 60626	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HORIZONS AT GREEN FARMS ACADEMY PO BOX 998 GREEN FARMS, CT 06838	N/A	OTHER PUBLIC CHARITY	CHARITABLE	500.
<b>Total from continuation sheets</b>				

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INDIAN HILL CLUB SCHOLARSHIP FOUNDATION INDIAN HILL ROAD WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,500.
JUPITER MEDICAL CENTER FOUNDATION 1210 SOUTH OLD DIXIE HIGHWAY JUPITER, FL 33458	N/A	OTHER PUBLIC CHARITY	CHARITABLE	90,000.
KENILWORTH UNION CHURCH KENILWORTH DRIVE KENILWORTH, IL 60092	N/A	OTHER PUBLIC CHARITY	CHARITABLE	14,000.
LINCOLN PARK ZOO P.O. BOX 14903 / CANNON DRIVE AT FULLERTON PARKWAY CHICAGO, IL 60614	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
LOBLOLLOPP FOUNDATION 7407 SE HILL HOBE SOUND, FL 33455	N/A	OTHER PUBLIC CHARITY	CHARITABLE	10,000.
MARINE CORPS- LAW ENFORCEMENT FOUNDATION 10 ROCKEFELLER PLAZA NEW YORK, NY 10020	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
MILLENIUM PARK 201 EAST RANDOLPH STREET CHICAGO, IL 60602	N/A	OTHER PUBLIC CHARITY	CHARITABLE	10,000.
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	41,000.
NORTH SHORE SENIOR CENTER 161 NORTHFIELD ROAD NORTHFIELD, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
NORTH SHORE UNITED WAY 560 W LAKE ST CHICAGO, IL 60661	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
Total from continuation sheets				

## Part XV: Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NORTHWESTERN UNIVERSITY 750 N. LAKE SHORE DRIVE CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	8,500.
OLD ELM SCHOLARS FOUNDATION 800 OLD ELM RD HIGHLAND PARK, IL 60035	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
PATHWAYS 150 N MICHIGAN AVE # 2100 CHICAGO, IL 60601	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
PERSPECTIVES CHARTER SCHOOL 601 S. LASALLE CHICAGO, IL 60605	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
POSSE FOUNDATION 111 W. JACKSON CHICAGO, IL 60604	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
PRESBYTERIAN FRONTIER FELLOWSHIP 7132 PORTLAND AVENUE RICHFIELD, MN 55423	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
RAVINIA FESTIVAL 418 SHERIDIAN ROAD HIGHLAND PARK, IL 60031	N/A	OTHER PUBLIC CHARITY	CHARITABLE	3,500.
RESURGE INTERNATIONAL 857 W MAUDE AVE MOUNTAIN VIEW, CA 94043	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
ROLAND PARK COUNTRY SCHOOL 5204 ROLAND AVENUE BALTIMORE, MD 21210	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
STEP UP WOMEN'S NETWORK 875 N MICHIGAN AVE CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
<b>Total from continuation sheets</b>				

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. MICHAEL'S PARISH DAY SCHOOL 02 N WILMOT RD TUCSON, AZ 85711	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
THRESHOLDS 1700 MORSE AVE. CHICAGO, IL 60626	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,000.
UNITED WAY OF MARTIN COUNTY 10 S.E. CENTRAL PARKWAY STUART, FL 34994	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
UNIVERSITY OF CHICAGO 5801 S. ELLIS AVENUE ADM 601 CHICAGO, IL 60637	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
UNIVERSITY OF CHICAGO HOSPITAL 5841 SOUTH MARYLAND AVENUE CHICAGO, IL 60637	N/A	OTHER PUBLIC CHARITY	CHARITABLE	7,000.
WBEZ 848 EAST GRAND AVE CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	3,000.
WILLIAMS COLLEGE PO BOX 231 WILLIAMSTOWN, MA 01267	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
WINNETKA COMMUNITY HOUSE 620 LINCOLN AVENUE WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
WINNETKA-NORTHFIELD UNITED WAY 620 LINCOLN AVENUE #202 WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,000.
WRITER'S THEATRE 664 VERNON AVE GLENCOE, IL 60022	N/A	OTHER PUBLIC CHARITY	CHARITABLE	500.
Total from continuation sheets				

## Part XV Supplementary Information

### 3 Grants and Contributions Paid During the Year (Continuation)

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No. 1545-0047

**2011****Name of the organization****Employer identification number****36 FOUNDATION, INC.****36-4201500****Organization type (check one):****Filers of:** **Section:**Form 990 or 990-EZ  501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organizationForm 990-PF  501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.**Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

36 FOUNDATION, INC.

Employer identification number

36-4201500

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN D. MABIE 150 S. WACKER #400 CHICAGO, IL 60606	\$ 74,500.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	JOHN D. MABIE 150 S. WACKER #400 CHICAGO, IL 60606	\$ 400,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

36 FOUNDATION, INC.

36-4201500

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	ABBOTT LABORATORIES (ABT) CALLS	\$ 74,500.	01/05/12
		\$	
		\$	
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

**Name of organization**

**Employer identification number**

36 FOUNDATION, INC.

36-4201500

Part III

**Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ► \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I				(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
				(e) Transfer of gift		
				Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
				(e) Transfer of gift		
(a) No. from Part I				(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
				(e) Transfer of gift		
				Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
				(e) Transfer of gift		
(a) No. from Part I				(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
				(e) Transfer of gift		
				Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## 36 FOUNDATION, INC.

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EBAY 1500 SH		09/09/09	12/20/11
b	SOUTHWEST AIRLINES		08/29/11	02/29/12
c	ABBOTT CALL @ 50		01/26/11	03/15/12
d	FORD 2000 SH		03/29/10	05/01/12
e	FORD 3000 SH		04/06/10	05/01/12
f	HERTZ 4000 SH		02/29/12	05/01/12
g	ABBOTT 1000 SH		05/08/09	06/21/12
h	PRUDENTIAL 9%		08/05/10	11/19/12
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 45,689.		34,080.	11,609.
b 35,235.		34,385.	850.
c 79,749.		35,250.	44,499.
d 22,380.		27,140.	<4,760.>
e 33,569.		38,250.	<4,681.>
f 63,559.		57,691.	5,868.
g 62,888.		47,535.	15,353.
h 52,221.		55,359.	<3,138.>
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			11,609.
b			850.
c			44,499.
d			<4,760.>
e			<4,681.>
f			5,868.
g			15,353.
h			<3,138.>
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	65,600.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } 3	3	N/A

123591  
05-01-11

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
WILLIAM BLAIR MONEY MARKET	9.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	9.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WILLIAM BLAIR	26,988.	0.	26,988.
TOTAL TO FM 990-PF, PART I, LN 4	26,988.	0.	26,988.

## FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL CHARITY BUREAU FUND	15.	0.		0.
BANK FEES	325.	0.		0.
SECRETARY OF STATE FILING FEE	10.	0.		0.
CUSTODIAN FEES	180.	0.		0.
TO FORM 990-PF, PG 1, LN 23	530.	0.		0.

## FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
VARIOUS SECURITIES	685,133.	862,399.
TOTAL TO FORM 990-PF, PART II, LINE 10B	685,133.	862,399.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION  
53.4942(A)-3(D)(2) TO TREAT  
EXCESS QUALIFYING DISTRIBUTIONS  
AS DISTRIBUTIONS OUT OF CORPUS

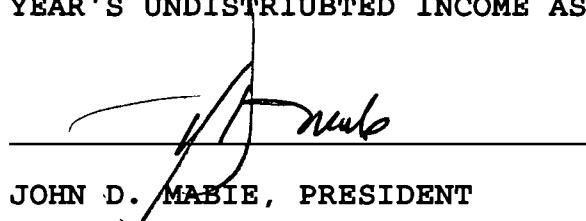
STATEMENT 5

## FEDERAL ELECTIONS

DESCRIPTION: SECTION 4942(H)(2)

REGULATION REFERENCE: SECTION 4942 (H)(2)

PURSUANT TO IRC SECTION 4942(J)(2) AND REGULATION 53.4942(A)-3(D)(2),  
THE ABOVE REFERENCED FOUNDATION HEREBY ELECTS TO TREAT CURRENT-YEAR  
QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX  
YEAR'S UNDISTRIBTED INCOME AS BEING MADE OUT OF CORPUS.

  
JOHN D. MABIE, PRESIDENT

Form **4720**Department of the Treasury  
Internal Revenue Service**Return of Certain Excise Taxes Under Chapters  
41 and 42 of the Internal Revenue Code**

(Sections 170(f)(10), 664(c)(2), 4911, 4912, 4941, 4942, 4943, 4944, 4945, 4955, 4958, 4965, 4966, and 4967)

► See separate instructions.

OMB No. 1545-0052

**2011**

For calendar year 2011 or other tax year beginning

DEC 1, 2011, and ending

NOV 30, 2012

Name of organization or entity

**36 FOUNDATION, INC.**

Number, street, and room or suite no. (or P.O. box if mail is not delivered to street address)

**C/O EWA, 150 S. WACKER, NO. 410**

City or town, state, and ZIP code

**CHICAGO, IL 60606**

Employer identification number

**36-4201500**

Check box for type of annual return:

 Form 990  Form 990-EZ Form 990-PF Form 5227

	Yes	No
A Is the organization a foreign private foundation within the meaning of section 4948(b)?		X
B Has corrective action been taken on any taxable event that resulted in Chapter 42 taxes being reported on this form? (Enter "N/A" if not applicable)		N/A
If "Yes," attach a detailed description and documentation of the corrective action taken and, if applicable, enter the fair market value of any property recovered as a result of the correction ► \$ . If "No," (i.e., any uncorrected acts, or transactions), attach an explanation (see instructions).		

**Part I Taxes on Organization** (Sections 170(f)(10), 664(c)(2), 4911(a), 4912(a), 4942(a), 4943(a), 4944(a)(1), 4945(a)(1), 4955(a)(1), 4965(a)(1), and 4966(a)(1))

1 Tax on undistributed income - Schedule B, line 4	1
2 Tax on excess business holdings - Schedule C, line 7	2
3 Tax on investments that jeopardize charitable purpose - Schedule D, Part I, column (e)	3
4 Tax on taxable expenditures - Schedule E, Part I, column (g)	4
5 Tax on political expenditures - Schedule F, Part I, column (e)	5
6 Tax on excess lobbying expenditures - Schedule G, line 4	6
7 Tax on disqualifying lobbying expenditures - Schedule H, Part I, column (e)	7
8 Tax on premiums paid on personal benefit contracts	8
9 Tax on being a party to prohibited tax shelter transactions - Schedule J, Part I, column (h)	9
10 Tax on taxable distributions - Schedule K, Part I, column (f)	10
11 Tax on a charitable remainder trust's unrelated business taxable income. Attach schedule	11
12 Total (add lines 1 - 11)	12

**Part II-A Taxes on Managers, Self-Dealers, Disqualified Persons, Donors, Donor Advisors, and Related Persons**

(Sections 4912(b), 4941(a), 4944(a)(2), 4945(a)(2), 4955(a)(2), 4958(a), 4965(a)(2), 4966(a)(2), and 4967(a))

(a) Name and address of person subject to tax		(b) Taxpayer identification number	
a			
b			
c			
d			
	(c) Tax on self-dealing - Schedule A, Part II, col. (d), and Part III, col. (d)	(d) Tax on investments that jeopardize charitable purpose - Schedule D, Part II, col. (d)	(e) Tax on taxable expenditures - Schedule E, Part II, col. (d)
a			
b			
c			
d			
<b>Total</b>			
	(g) Tax on disqualifying lobbying expenditures - Sch H, Part II, col. (d)	(h) Tax on excess benefit transactions - Sch I, Part II, col. (d), and Part III, col. (d)	(i) Tax on being a party to prohibited tax shelter transactions - Schedule J, Part II, col. (d)
a			
b			
c			
d			
<b>Total</b>			
	(k) Tax on prohibited benefits - Sch L, Part II, col. (d) and Part III, col. (d)		(l) Total - Add cols. (c) through (k)
a			
b			
c			
d			
<b>Total</b>			

124081  
12-07-11

LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form 4720 (2011)

11380214 796142 36FND

2011.05000 36 FOUNDATION, INC.

36FND\_1

**Part II-B** **Summary of Taxes** (See **Tax Payments** in the instructions.)

- 1 Enter the taxes listed in Part II-A, column (l), that apply to managers, self-dealers, disqualified persons, donors, donor advisors, and related persons who sign this form. If all sign, enter the total amount from Part II-A, column (l)
- 2 **Total tax.** Add Part I, line 12, and Part II-B, line 1
- 3 Total payments including amount paid with Form 8868 (see instructions)
- 4 **Tax due.** If line 2 is larger than line 3, enter amount owed (see instructions)
- 5 **Overpayment.** If line 2 is smaller than line 3, enter the difference. This is your refund

1

2

3

4

0.

**SCHEDULE A - Initial Taxes on Self-Dealing (Section 4941)**

Part II Acts of Self-Dealing and Tax Computation

(a) Act number	(b) Date of act	(c) Description of act
1		
2		
3		
4		
5		
(d) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the act		(e) Amount involved in act
		(f) Initial tax on self-dealing (10% of col. (e))
		(g) Tax on foundation managers (if applicable) (lesser of \$20,000 or 5% of col. (e))

**Part II** Summary of Tax Liability of Self-Dealers and Proration of Payments

### Part III: Summary of Tax Liability of Foundation Managers and Proration of Payments

**SCHEDULE B - Initial Tax on Undistributed Income (Section 4942)**

- 1 Undistributed income for years before 2010 (from Form 990-PF for 2011, Part XIII, line 6d)
- 2 Undistributed income for 2010 (from Form 990-PF for 2011, Part XIII, line 6e)
- 3 Total undistributed income at end of current tax year beginning in 2011 and subject to tax under section 4942 (add lines 1 and 2)
- 4 Tax - Enter 30% of line 3 here and on Part I, line 1

2

2

10

3

## SCHEDULE C - Initial Tax on Excess Business Holdings (Section 4943)

## Business Holdings and Computation of Tax

If you have taxable excess holdings in more than one business enterprise, attach a separate schedule for each enterprise. Refer to the instructions for each line item before making any entries.

Name and address of business enterprise

Employer identification number

Form of enterprise (corporation, partnership, trust, joint venture, sole proprietorship, etc.)

	(a) Voting stock (profits interest or beneficial interest)	(b) Value	(c) Nonvoting stock (capital interest)
1 Foundation holdings in business enterprise			
2 Permitted holdings in business enterprise			
3 Value of excess holdings in business enterprise			
4 Value of excess holdings disposed of within 90 days; or, other value of excess holdings not subject to section 4943 tax (attach explanation)			
5 Taxable excess holdings in business enterprise - line 3 minus line 4			
6 Tax - Enter 10% of line 5			
7 Total tax - Add amounts on line 6, columns (a), (b), and (c); enter total here and on Part I, line 2	7		

## SCHEDULE D - Initial Taxes on Investments That Jeopardize Charitable Purpose (Section 4944)

## Part I Investments and Tax Computation

(a) Investment number	(b) Date of investment	(c) Description of investment	(d) Amount of investment	(e) Initial tax on foundation (10% of col. (d))	(f) Initial tax on foundation managers (if applicable) - (lesser of \$10,000 or 10% of col. (d))
1					
2					
3					
4					
5					

Total - column (e). Enter here and on Part I, line 3

Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below

## Part II Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Investment no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see instructions)

## SCHEDULE E - Initial Taxes on Taxable Expenditures (Section 4945)

## Part I | Expenditures and Computation of Tax

(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Name and address of recipient	(e) Description of expenditure and purposes for which made
1				
2				
3				
4				
5				
(f) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the expenditure		(g) Initial tax imposed on foundation (20% of col. (b))		(h) Initial tax imposed on foundation managers (if applicable) (lesser of \$10,000 or 5% of col. (b))
Total - column (g). Enter here and on page 1, Part I, line 4				
Total - column (h). Enter total (or prorated amount) here and in Part II, column (c), below				

## Part II | Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (h), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see instructions)

## SCHEDULE F - Initial Taxes on Political Expenditures (Section 4955)

## Part I | Expenditures and Computation of Tax

(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of political expenditure	(e) Initial tax imposed on organization or foundation (10% of col. (b))	(f) Initial tax imposed on managers (if applicable) (lesser of \$5,000 or 2 1/2% of col. (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on Part I, line 5					

Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below

## Part II | Summary of Tax Liability of Organization Managers or Foundation Managers and Proration of Payments

(a) Names of organization managers or foundation managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see instructions)

## SCHEDULE G - Tax on Excess Lobbying Expenditures (Section 4911)

1	Excess of grassroots expenditures over grassroots nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1h). (See the instructions before making an entry.)	1	
2	Excess of lobbying expenditures over lobbying nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1i). (See the instructions before making an entry.)	2	
3	Taxable lobbying expenditures - enter the larger of line 1 or line 2	3	
4	Tax - Enter 25% of line 3 here and on Part I, line 6	4	

## SCHEDULE H - Taxes on Disqualifying Lobbying Expenditures (Section 4912)

Part I Expenditures and Computation of Tax					
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of lobbying expenditures	(e) Tax imposed on organization (5% of col. (b))	(f) Tax imposed on organization managers (if applicable) (5% of col. (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on Part I, line 7					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					
Part II Summary of Tax Liability of Organization Managers and Proration of Payments					
(a) Names of organization managers liable for tax	(b) Item no. from Part I, col. (f)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see instructions)		

## SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958)

Part I Excess Benefit Transactions and Tax Computation		
(a) Transaction number	(b) Date of transaction	(c) Description of transaction
1		
2		
3		
4		
5		
(d) Amount of excess benefit		(e) Initial tax on disqualified persons (25% of col. (d))

Form 4720 (2011)

**SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958) Continued**

**Part II Summary of Tax Liability of Disqualified Persons and Proration of Payments**

**Part III Summary of Tax Liability of 501(c)(3), (c)(4) & (c)(29) Organization Managers and Proration of Payments**

**SCHEDULE J - Taxes on Being a Party to Prohibited Tax Shelter Transactions (Section 4965)**

**Part I Prohibited Tax Shelter Transactions (PTST) and Tax Imposed on the Tax-Exempt Entity  
(see instructions)**

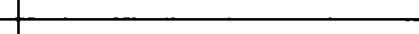
(a) Transaction number	(b) Transaction date	(c) Type of transaction 1- Listed 2- Subsequently listed 3- Confidential 4- Contractual protection	(d) Description of transaction
1			
2			
3			
4			
5			

Total - column (h). Enter here and on Part I, line 9

## Part II Tax Imposed on Entity Managers (Section 4965) *Continued*

**SCHEDULE K - Taxes on Taxable Distributions of Sponsoring Organizations Maintaining Donor Advised Funds** (Section 4966). See the instructions.

Part II Taxable Distributions and Tax Computation

PART II: DISTRIBUTIONS AND TAX COMPUTATION			
(a) Item number	(b) Name of sponsoring organization and donor advised fund	(c) Description of distribution	
1			
2			
3			
4			
(d) Date of distribution	(e) Amount of distribution	(f) Tax imposed on organization (20% of col. (e))	(g) Tax on fund managers (lesser of 5% of col. (e) or \$10,000)
<b>Total - column (f). Enter here and on Part I, line 10</b>			

**Total - column (g).** Enter total (or prorated amount) here and in Part II, column (c), below

## SCHEDULE L - Taxes on Prohibited Benefits Distributed From Donor Advised Funds (Section 4967).

See the instructions.

<b>Part I</b> Prohibited Benefits and Tax Computation			
(a) Item number	(b) Date of prohibited benefit	(c) Description of benefit	
1			
2			
3			
4			
5			
(d) Amount of prohibited benefit		(e) Tax on prohibited benefit (125% of col. (d)) (see instructions)	(f) Tax on fund managers (if applicable) (lesser of 10% of col. (d) or \$10,000) (see instructions)
<b>Part II</b> Summary of Tax Liability of Donors, Donor Advisors, Related Persons and Proration of Payments			
(a) Names of donors, donor advisor, or related persons liable for tax		(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (e) or prorated amount
<b>Part III</b> Tax Liability of Fund Managers and Proration of Payments			
(a) Names of fund managers liable for tax		(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f) or prorated amount

Form 4720 (2011)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**PRESIDENT**

Signature of officer or trustee

Title

Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person

Date

**Sign  
Here**

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person

Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person

Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person

Date

Print/Type preparer's name

Preparer's signature

Date

Check  if  
self-employed

PTIN

P00234390

**Paid  
Preparer  
Use Only**

JULIE BESENT

Firm's name ► EVERGREEN WEALTH ADVISORS

Firm's EIN ► 20-8096688

Firm's address ► 150 S. WACKER #410  
CHICAGO, IL 60606

Phone no. 312-419-9204

May the IRS discuss this return with the preparer shown above? (see instructions)

Yes

No

Form 4720 (2011)