Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2011

For calendar year 2011 or tax year beginning 12/01 **, 2011, and ending** 11/30, 2012

Name of foundation: THE EUGENE GARFIELD FOUNDATION 0706-03-4/5

A Employer identification number: 23-2553258

Number and street (or P.O. box number if mail is not delivered to street address): GLENMEDE TRUST CO 1650 MARKET ST, STE 1200

Room/suite:

B Telephone number (see instructions): (215) 419-6000

City or town, state, and ZIP code: PHILADELPHIA, PA 19103-7391

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,035,386.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

SCANNED APR 10 2013

RECEIVED APR 08 2013 OGDEN, UT

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
3 Interest on savings and temporary cash investments			N/A	
4 Dividends and interest from securities	136,255.	136,255.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	72,671.			
b Gross sales price for all assets on line 6a 1,552,395.				
7 Capital gain net income (from Part IV, line 2)		72,671.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 **Total.** Add lines 1 through 11	208,926.	208,926.		
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)	269.	NONE	NONE	269.
b Accounting fees (attach schedule)	3,000.	NONE	NONE	3,000.
c Other professional fees (attach schedule)	16,485.	16,485.		
17 Interest				
18 Taxes (attach schedule) (see instructions)	7,386.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 1	324.	35.		289.
24 **Total operating and administrative expenses.** Add lines 13 through 23	27,464.	16,520.	NONE	3,558.
25 Contributions, gifts, grants paid	137,442.			137,442.
26 **Total expenses and disbursements** Add lines 24 and 25	164,906.	16,520.	NONE	141,000.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	44,020.			
b **Net investment income** (if negative, enter -0-)		192,406.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	316.	287.	287.
2	Savings and temporary cash investments	266,257.	417,131.	417,131.
3	Accounts receivable ▶ UNSETTLED TRADE			
	Less: allowance for doubtful accounts ▶	10,634.	34,223.	34,223.
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U.S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	3,253,387.	3,122,981.	3,583,745.
14	Land, buildings, and equipment. basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,530,594.	3,574,622.	4,035,386.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	3,517,979.	3,557,571.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	12,615.	17,051.	
30	**Total net assets or fund balances** (see instructions)	3,530,594.	3,574,622.	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,530,594.	3,574,622.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,530,594.
2	Enter amount from Part I, line 27a	2	44,020.
3	Other increases not included in line 2 (itemize) ▶ ROUNDING	3	8.
4	Add lines 1, 2, and 3	4	3,574,622.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,574,622.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	CAPITAL GAIN DIVIDENDS	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,541,315.		1,479,724.	61,591.
b	11,080.		-0-	11,080.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				61,591.
b				11,080.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 72,671.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2010	207,200.	3,990,596.	0.051922
2009	264,507.	3,822,377.	0.069200
2008	379,508.	3,576,345.	0.106116
2007	253,200.	4,795,943.	0.052795
2006	275,400.	5,480,133.	0.050254

2	**Total** of line 1, column (d)	2	0.330287
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.066057
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,904,400.
5	Multiply line 4 by line 3	5	257,913.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,924.
7	Add lines 5 and 6	7	259,837.
8	Enter qualifying distributions from Part XII, line 4	8	141,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter: ________________ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	3,848.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3	Add lines 1 and 2		3	3,848.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,848.
6	Credits/Payments:			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a 4,400.		
b	Exempt foreign organizations - tax withheld at source	6b NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	4,400.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	552.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 552. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ -0- (2) On foundation managers ▶ $ -0-			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ -0-			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PENNSYLVANIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ THE GLENMEDE TRUST COMPANY, N.A. Telephone no. ▶ (215)419-6000			
	Located at ▶ 1650 MARKET ST STE 1200, PHILADELPHIA, PA ZIP + 4 ▶ 19103-7391			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X (No)
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 2		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions. 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,744,724.
b	Average of monthly cash balances	1b	219,134.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	3,963,858.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	3,963,858.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	59,458.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,904,400.
6	**Minimum investment return.** Enter 5% of line 5	6	195,220.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	195,220.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	3,848.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,848.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	191,372.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	191,372.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	191,372.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	141,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	141,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	141,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					191,372.
2 Undistributed income, if any, as of the end of 2011:					
a Enter amount for 2010 only				NONE	
b Total for prior years: 20____, 20____, 20____			NONE		
3 Excess distributions carryover, if any, to 2011:					
a From 2006	NONE				
b From 2007	NONE				
c From 2008	32,603.				
d From 2009	75,774.				
e From 2010	207,200.				
f **Total** of lines 3a through e		315,577.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 141,000.					
a Applied to 2010, but not more than line 2a				NONE	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		141,000.			
d Applied to 2011 distributable amount					NONE
e Remaining amount distributed out of corpus		NONE			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		191,372.			191,372.
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5		265,205.			
b Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions				NONE	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		NONE			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a		265,205.			
10 Analysis of line 9:					
a Excess from 2007	NONE				
b Excess from 2008	NONE				
c Excess from 2009	NONE				
d Excess from 2010	124,205.				
e Excess from 2011	141,000.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

DR. EUGENE GARFIELD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 3

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 4				137,442.
Total ▶ **3a**				137,442.
b *Approved for future payment*				
N/A				
Total ▶ **3b**				-0-

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	136,255.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	72,671.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				208,926.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 208,926.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] | 2/19/13 | ▶ President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
FRANCIS X. MEHAFFEY	Francis X. Mehaffey	2/5/13		P01072411

Firm's name ▶ THE GLENMEDE TRUST COMPANY, N.A. — Firm's EIN ▶ 32-0274136

Firm's address ▶ 1650 MARKET STREET, SUITE 1200 PHILADELPHIA, PA 19103-7391 — Phone no. 215-419-6000

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2012

ATTACHMENTS PAGE 1 FORM 990-PF
PART I ANALYSIS OF REVENUE AND EXPENSES

		COLUMN A	COLUMN B	COLUMN D
REVENUE:				
LINE 4	DIVIDENDS AND INTEREST FROM SECURITIES - DIVIDENDS AND TAXABLE INTEREST	136,255	136,255	
EXPENSES:				
LINE 16a	LEGAL FEES - COZEN O'CONNOR	269	0	269
LINE 16b	ACCOUNTING FEES - THE GLENMEDE TRUST COMPANY, N.A. 11/30/2011 TAX PREPARATION FEE	3,000	0	3,000
LINE 16c	OTHER PROFESSIONAL FEES - THE GLENMEDE TRUST COMPANY, N.A. INVESTMENT MANAGEMENT FEE	16,485	16,485	0
LINE 18	TAXES - EXCISE TAX PAYMENTS	7,386	0	0
LINE 23	OTHER EXPENSES			
	- REIMBURSE TRUSTEE FOR MEETING EXPENSE	289	0	289
	- MISCELLANEOUS CLASS ACTION SETTLEMENT FEE	35	35	0
		324	35	289

STATEMENT 1

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Cash & Reserves									
452269	GLENMEDE FUND INC-GOVT CASH PORTFOLIO (GOVTCASH)		1.00	452,269	1 00	452,269		1	0.00 *
287+	Cash		1.00	287	1.00	287		0	0.00
Cash & Reserves Total				452,556		452,556		1	0 00
Fixed Income									
U S Government Obligations									
50000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 (912828CJ7)		0 99	49,250	106.52	53,262	4,012	2,375	4.46
10000	US TREASURY N/B DTD 6/30/2009 2.625% 6/30/2014 (912828KY5)		1.02	10,243	103.73	10,373	130	263	2.53
35000	US TREASURY N/B DTD 11/30/2011 1.375% 11/30/2018 (912828RT9)		1.02	35,819	103.22	36,127	308	481	1 33
	Total			95,312		99,762	4,449	3,119	3.13
U S Government Agencies									
50000	FHLB DTD 7/23/2003 5% 7/23/2013 (31339YJU7)	AA+	0.98	48,994	103.04	51,522	2,528	2,500	4.85
10000	FHLB DTD 5/27/2004 5.25% 6/18/2014 (3133X7FK5)	Aaa	1.12	11,186	107.70	10,770	416-	525	4 87

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
40000	FHLB DTD 5/3/2006 5.375% 5/18/2016 (3133XFJF4)	Aaa	1.16	46,508	116 94	46,776	268	2,150	4.60
40000	FHLB DTD 10/19/2007 5% 11/17/2017 (3133XMQ87)	AA+	1.21	48,358	120.67	48,268	90-	2,000	4.14
	Total			155,046		157,336	2,290	7,175	4.56
Corporate Bonds - Industrial									
35000	UNITED TECHNOLOGIES CORP DTD 4/29/2005 4.875% 5/1/2015 (913017BH1)	A	1.10	38,388	109.94	38,478	89	1,706	4.43
40000	JOHNSON & JOHNSON DTD 8/16/2007 5 55% 8/15/2017 (478160AQ7)	AAA	1.11	44,571	121.31	48,524	3,953	2,220	4 58
25000	PEPSICO INC DTD 10/24/2008 7 9% 11/1/2018 (713448BJ6)	Aa3	1.27	31,737	136 63	34,157	2,420	1,975	5.78
25000	CATERPILLAR INC DTD 12/5/2008 7.9% 12/15/2018 (149123BQ3)	A2	1 30	32,423	137.50	34,374	1,951	1,975	5.75
35000	IBM CORP DTD 11/1/1989 8.375% 11/1/2019 (459200AG6)	AA-	1.38	48,403	143.78	50,322	1,919	2,931	5.82
	Total			195,522		205,855	10,331	10,807	5.25

Corporate Bonds - Finance

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
20000	WACHOVIA BANK NA DTD 10/21/2004 4.8% 11/1/2014 (92976GAB7)	A+	1.07	21,492	107.27	21,454	38-	960	4.47	
50000	JPMORGAN CHASE & CO DTD 10/4/2005 5.15% 10/1/2015 (46625HDF4)	A-	1.05	52,718	109.90	54,948	2,230	2,575	4 69	
35000	HONEYWELL INTERNATIONAL DTD 2/29/2008 5 3% 3/1/2018 (438516AX4)	A	1.07	37,522	120.74	42,259	4,736	1,855	4.39	
35000	ORACLE CORP DTD 4/9/2008 5 75% 4/15/2018 (68389XAC9)	A1	1.10	38,502	122 55	42,891	4,389	2,013	4.69	
30000	JOHN DEERE CAP DTD 7/12/2011 3.9% 7/12/2021 (24422ERE1)	A	1 08	32,370	112 79	33,836	1,466	1,170	3 46	
	Total			182,604		195,388	12,784	8,573	4.39	
Other Taxable Bond Mutual Funds										
14240+	GLENMEDE FUND INC-CORE FIXED INCOME PORTFOLIO (GTCGX)		11.59	165,000	11 75	167,320	2,319	4,827	2.89	#
7060+	PAYDEN HIGH INCOME FUND (PYHRX)		7 17	50,620	7.30	51,538	918	3,636	7.05	#
5024+	TEMPLETON GLOBAL BOND FD-AD (TGBAX)		13 52	67,951	13.57	68,181	230	3,030	4 44	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
13866+	LEGG MASON BW GLOBAL OP BD-IS DTD 6/1/2009 (GOBSX)		11.01	152,666	11.71	162,371	9,705	5,258	3 24	#
26634+	TIAA CREF HIGH YIELD FUND - IN DTD 5/1/2012 (TIHYX)		9.76	260,000	10.33	275,132	15,132	17,682	6.43	#
	Total			696,237		724,542	28,305	34,433	4 75	
Fixed Income Total				1,324,721		1,382,883	58,160	64,107	4.64	
Equities										
Basic Industries										
200	3M CO (MMM)		89.77	17,953	90.95	18,190	237	472	2 59	
120	W W GRAINGER INC (GWW)		194.34	23,321	194.02	23,282	38-	384	1.65	#
305	HONEYWELL INTERNATIONAL INC (HON)		33.34	10,170	61.33	18,706	8,536	500	2.67	#
260	J. B. HUNT (JBHT)		35.50	9,231	59.45	15,457	6,226	146	0.94	
190	MONSANTO CO (MON)		90 45	17,185	91.59	17,402	217	285	1.64	
200	PARKER-HANNIFIN CORP. (PH)		87.18	17,435	82 15	16,430	1,005-	328	2.00	#
115	PRECISION CASTPARTS CORP (PCP)		81.20	9,338	183.39	21,090	11,752	14	0.07	
	Total			104,633		130,557	25,924	2,129	1.63	
Consumer Discretionary										
262	ADVANCE AUTO PARTS (AAP)		70.34	18,430	73.15	19,165	735	63	0.33	#
410	BED BATH & BEYOND INC (BBBY)		60.41	24,769	58.72	24,075	693-	0	0.00	#
345	COACH INC (COH)		27.37	9,443	57 84	19,955	10,512	414	2 07	#
295	HOME DEPOT INC (HD)		32.37	9,549	65 07	19,196	9,647	342	1.78	

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
390	YUM BRANDS INC (YUM)		50.22	19,585	67.08	26,161	6,576	523	2.00	#
	Total			81,776		108,552	26,777	1,342	1 24	
Consumer Staples										
785	COCA COLA CO. (KO)		36.76	28,859	37.92	29,767	909	801	2.69	#
215	COLGATE PALMOLIVE CO (CL)		59.22	12,733	108.50	23,328	10,595	533	2 29	#
375	PHILIP MORRIS INTERNATIONAL (PM)		58.97	22,114	89.88	33,705	11,591	1,275	3.78	#
488	PROCTER & GAMBLE CO (PG)		64.94	31,689	69.83	34,077	2,388	1,097	3.22	#
	Total			95,395		120,877	25,482	3,706	3.07	
Energy										
355	CHEVRON CORP (CVX)		86.38	30,665	105.69	37,520	6,855	1,278	3.41	#
270	NATIONAL OILWELL VARCO INC (NOV)		65.16	17,592	68.30	18,441	849	140	0.76	#
310	OCCIDENTAL PETROLEUM CORP (OXY)		95.58	29,630	75.21	23,315	6,315-	670	2.87	#
355	SCHLUMBERGER LTD (SLB)		64 75	22,986	71 62	25,425	2,439	391	1.54	#
	Total			100,873		104,701	3,828	2,479	2.37	
Financials										
300	ACE LTD (ACE)		70.63	21,188	79.23	23,769	2,581	588	2.47	#
430	AMERICAN EXPRESS CO. (AXP)		33.83	14,548	55.90	24,037	9,489	344	1.43	#
75	BLACKROCK INC (BLK)		187 91	14,093	197 04	14,778	686	450	3.05	
161	FRANKLIN RESOURCES INC. (BEN)		103.36	16,641	132 02	21,255	4,614	174	0.82	#
405	PNC FINANCIAL SERVICES GROUP (PNC)		63.35	25,657	56 14	22,737	2,921-	648	2.85	#
525	US BANCORP (USB)		19 21	10,087	32 26	16,937	6,850	410	2.42	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
1010	WELLS FARGO CO (WFC)		27.52	27,792	33.01	33,340	5,548	889	2 67	#
	Total			130,006		156,853	26,847	3',503	2.23	
Health Care										
175	ALLERGAN INC (AGN)		91.94	16,089	92.75	16,231	142	35	0 22	#
380	BAXTER INTL. INC (BAX)		50.84	19,321	66 27	25,183	5,861	684	2.72	#
130	C R BARD INC. (BCR)		95.37	12,398	99.01	12,871	473	104	0.81	#
545	JOHNSON & JOHNSON (JNJ)		66.81	36,409	69 73	38,003	1,594	1,330	3.50	#
240	LABORATORY CORP OF AMERICA HOLDINGS (LH)		74.08	17,779	84.59	20,302	2,523	0	0.00	#
220	ROCHE HOLDINGS LTD SPONSORED ADR (RHHBY)		46.99	10,337	49.22	10,829	492	339	3.13	
435	UNITEDHEALTH GROUP INC (UNH)		47.09	20,484	54.39	23,660	3,176	370	1.56	#
	Total			132,817		147,079	14,261	2,862	1.95	
Technology										
315	ACCENTURE PLC (ACN)		27.58	8,687	67.92	21,395	12,708	510	2.39	#
230	AMPHENOL CORP-CL A (APH)		44.82	10,309	61.92	14,242	3,933	97	0.68	#
85	APPLE INC. (AAPL)		46.19	3,926	585.28	49,749	45,823	901	1 81	
335	AVAGO TECHNOLOGIES LTD (AVGO)		32.63	10,930	35.10	11,759	829	214	1.82	#
225	COGNIZANT TECH SOLUTIONS CRP (CTSH)		64.85	14,592	67.23	15,127	535	0	0.00	#
140	INTERNATIONAL BUSINESS MACHINES CORP. (IBM)		210.21	29,429	190 07	26,610	2,819-	476	1 79	
455	MICROCHIP TECHNOLOGY INC (MCHP)		29 01	13,198	30.42	13,841	643	641	4 63	#
1280	MICROSOFT CORP. (MSFT)		23 75	30,402	26.62	34,067	3,666	1,178	3.46	#
1000	ORACLE CORP (ORCL)		15.38	15,381	32.18	32,175	16,794	240	0 75	#
446	QUALCOMM CORP (QCOM)		55.41	24,711	63.62	28,375	3,663	446	1.57	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
	Total			161,565		247,340	85,774	4,703	1.90
U S Mutual Funds									
3335+	GLENMEDE FUND INC - ADVISOR SMALL CAP EQUITY PORTFOLIO (GTCSX)		17.10	57,039	18.24	60,833	3,794	263	0.43 *#
4107+	GLENMEDE FUND INC - US EMERGING GROWTH (GTGSX)		7.30	30,000	7 57	31,089	1,089	57	0.18 #
9766+	GLENMEDE FUND INC SECURED OPTIONS (GTSOX)		12.01	117,276	13.17	128,618	11,342	0	0.00 *#
2085+	HARBOR SMALL CAP VALUE - INSTI (HASCX)		11.69	24,375	22.00	45,872	21,497	113	0.25 #
726+	ROYCE SPECIAL EQUITY FUND -IN (RSEIX)		14.79	10,741	22.16	16,089	5,348	86	0.53 #
	Total			239,431		282,501	43,071	519	0.18
International Mutual Funds									
3750	ISHARES MSCI EAFE INDEX FUND (EFA)		41.09	154,076	55.07	206,513	52,436	6,442	3.12
1175	ISHARES MSCI EMERGING MKT IN (EEM)		22.07	25,932	41.79	49,097	23,165	958	1.95
2541+	MATTHEWS PACIFIC TIGER FD - IS (MIPTX)		20.00	50,827	23.99	60,967	10,140	386	0.63 #
2631+	TWEEDY BROWNE GLOBAL VALUE FUND (TBGVX)		21.35	56,164	25.44	66,924	10,760	1,105	1.65 #

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/12
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
	Total			286,999		383,501	96,501	8,891	2.32	
Equities Total				1,333,495		1,681,961	348,465	30,134	1 79	
Other										
Alternative Assets										
36768+	PIMCO ALL ASSETS AUTH -IS (PAUIX)		10.59	389,488	11.35	417,320	27,831	29,378	7.04	#
2391+	THIRD AVENUE REAL ESTATE VAL (TAREX)		19.91	47,615	26.80	64,090	16,475	0	0.00	#
841+	VAN ECK GLOBAL HARD ASSETS -I (GHAIX)		32.89	27,662	44.58	37,491	9,829	40	0.11	#
	Total			464,765		518,901	54,136	29,418	5.67	
Other Total				464,765		518,901	54,136	29,418	5.67	
-35138	Cash Payable			35,138-		35,138-		0	0.00	
34223	Cash Receivable			34,223		34,223		0	0.00	
Grand Total				3,574,622		4,035,386	460,760	123,660	3 06	

* AS A RESULT OF CAPITAL GAIN DISTRIBUTIONS, THE TAX COST COLUMN DOES NOT REFLECT ADJUSTED INVESTMENT.
\# INDICATES MULTIPLE TAX LOTS
\+ FRACTIONAL SHARE EXISTS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Gross sale price less expenses of sale	Depreciation allowed/ allowable	Description / Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired / Gain or (loss)	Date sold
1,400.00		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					1,400.	
9,680.00		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					9,680.	
		10. ADVANCE AUTO PARTS PROPERTY TYPE: SECURITIES					06/12/2012	06/21/2012
676.00		726.00					-50.00	
		20. ADVANCE AUTO PARTS PROPERTY TYPE: SECURITIES					06/12/2012	10/25/2012
1,360.00		1,453.00					-93.00	
		10. AIR PRODUCTS & CHEMICALS INC. PROPERTY TYPE: SECURITIES					02/01/2012	06/21/2012
781.00		895.00					-114.00	
		20. AIR PRODUCTS & CHEMICALS INC. PROPERTY TYPE: SECURITIES					02/01/2012	10/25/2012
1,546.00		1,791.00					-245.00	
		200. AIR PRODUCTS & CHEMICALS INC. PROPERTY TYPE: SECURITIES					06/07/2011	11/28/2012
16,502.00		18,146.00					-1,644.00	
		15. AIR PRODUCTS & CHEMICALS INC. PROPERTY TYPE: SECURITIES					02/01/2012	11/28/2012
1,238.00		1,343.00					-105.00	
		10. ALLERGAN INC PROPERTY TYPE: SECURITIES					10/09/2012	10/25/2012
911.00		926.00					-15.00	
		10. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					12/20/2010	06/21/2012
566.00		415.00					151.00	
		30. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					08/19/2009	10/25/2012
1,654.00		1,196.00					458.00	
		10. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	06/21/2012
548.00		489.00					59.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		100. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	10/16/2012
5,968.00		4,888.00					1,080.00	
		20. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	10/25/2012
1,208.00		978.00					230.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	01/24/2012
4,240.00		462.00					3,778.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	02/29/2012
5,472.00		462.00					5,010.00	
		5. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	03/08/2012
2,703.00		231.00					2,472.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	06/21/2012
5,814.00		462.00					5,352.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	10/25/2012
6,137.00		462.00					5,675.00	
		20. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	06/21/2012
1,035.00		1,324.00					-289.00	
		30. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	10/25/2012
1,840.00		1,985.00					-145.00	
		30. BED BATH & BEYOND INC PROPERTY TYPE: SECURITIES					03/05/2012	10/25/2012
1,739.00		1,840.00					-101.00	
		585. BRISTOL MYERS SQUIBB CO. PROPERTY TYPE: SECURITIES					03/27/2012	06/18/2012
20,200.00		19,696.00					504.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. BRISTOL MYERS SQUIBB CO. PROPERTY TYPE: SECURITIES					06/01/2012	06/18/2012
690.00		667.00					23.00	
		20. CHEVRON CORP PROPERTY TYPE: SECURITIES					03/01/2012	06/21/2012
2,011.00		2,194.00					-183.00	
		30. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	10/25/2012
3,300.00		2,972.00					328.00	
		35. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	03/01/2012
2,652.00		720.00					1,932.00	
		30. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	03/08/2012
2,295.00		617.00					1,678.00	
		20. COACH INC PROPERTY TYPE: SECURITIES					04/24/2012	06/21/2012
1,199.00		1,439.00					-240.00	
		20. COACH INC PROPERTY TYPE: SECURITIES					04/24/2012	10/25/2012
1,136.00		1,439.00					-303.00	
		20. COCA COLA CO. PROPERTY TYPE: SECURITIES					05/10/2012	06/21/2012
1,495.00		1,554.00					-59.00	
		60. COCA COLA CO. PROPERTY TYPE: SECURITIES					05/10/2012	10/25/2012
2,219.00		2,331.00					-112.00	
		10. COGNIZANT TECH SOLUTIONS CRP PROPERTY TYPE: SECURITIES					05/10/2012	06/21/2012
583.00		603.00					-20.00	
		20. COGNIZANT TECH SOLUTIONS CRP PROPERTY TYPE: SECURITIES					06/17/2011	10/31/2012
1,339.00		1,363.00					-24.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					07/29/2010	10/25/2012
2,064.00		1,568.00					496.00	
		75. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					03/25/2011	01/06/2012
3,320.00		3,465.00					-145.00	
		50. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					12/22/2010	04/24/2012
2,515.00		2,376.00					139.00	
		13. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					12/22/2010	05/16/2012
693.00		618.00					75.00	
		222. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					12/22/2010	06/12/2012
10,929.00		10,551.00					378.00	
		110. DARDEN RESTAURANTS INC. PROPERTY TYPE: SECURITIES					01/04/2011	06/12/2012
5,415.00		5,099.00					316.00	
		75. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	05/10/2012
7,589.00		3,773.00					3,816.00	
		17. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	06/21/2012
1,861.00		854.00					1,007.00	
		7. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	06/21/2012
763.00		352.00					411.00	
		18. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	06/21/2012
1,957.00		904.00					1,053.00	
		28. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	06/21/2012
3,031.00		1,405.00					1,626.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	06/21/2012
1,081.00		501.00					580.00	
		39. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/14/2011	06/21/2012
4,221.00		1,954.00					2,267.00	
		30. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/01/2012	06/22/2012
3,258.00		2,573.00					685.00	
		31. DOLLAR TREE INC PROPERTY TYPE: SECURITIES					02/23/2011	06/22/2012
3,366.00		1,553.00					1,813.00	
		20. E I DU PONT DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					02/01/2012	06/21/2012
992.00		1,033.00					-41.00	
		30. E I DU PONT DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					02/01/2012	10/25/2012
1,351.00		1,549.00					-198.00	
		90. E I DU PONT DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					02/01/2012	11/28/2012
3,853.00		4,646.00					-793.00	
		295. E I DU PONT DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					08/17/2011	11/28/2012
12,631.00		13,816.00					-1,185.00	
		42. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/05/2009	05/11/2012
2,042.00		1,465.00					577.00	
		88. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/05/2009	05/11/2012
4,252.00		3,069.00					1,183.00	
		260. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/05/2009	05/14/2012
12,436.00		9,067.00					3,369.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. EXPRESS SCRIPTS HOLDING INC PROPERTY TYPE: SECURITIES					01/11/2011	06/21/2012
1,054.00		1,138.00					-84.00	
		30. EXPRESS SCRIPTS HOLDING INC PROPERTY TYPE: SECURITIES					01/11/2011	10/25/2012
1,884.00		1,706.00					178.00	
		60. EXPRESS SCRIPTS HOLDING INC PROPERTY TYPE: SECURITIES					01/11/2011	11/14/2012
3,005.00		3,413.00					-408.00	
		45. EXPRESS SCRIPTS HOLDING INC PROPERTY TYPE: SECURITIES					06/01/2012	11/14/2012
2,253.00		2,293.00					-40[illegible].00	
		80. EXPRESS SCRIPTS HOLDING INC PROPERTY TYPE: SECURITIES					01/11/2011	11/14/2012
4,013.00		4,550.00					-537.00	
		270. EXPRESS SCRIPTS HOLDING INC PROPERTY TYPE: SECURITIES					01/11/2011	11/21/2012
13,936.00		12,506.00					1,430.00	
		20000. FFCB DTD 2/13/2008 3.3% 2/13/2012 PROPERTY TYPE: SECURITIES					02/22/2008	02/13/2012
20,000.00		20,000.00						
		40000. FHLB DTD 1/17/2008 3.375% 2/27/20 PROPERTY TYPE: SECURITIES					11/04/2009	06/27/2012
40,829.00		41,916.00					-1,087.00	
		30000. FHLMC DTD 7/16/2004 5% 7/15/2014 PROPERTY TYPE: SECURITIES					06/27/2011	10/31/2012
32,386.00		33,724.00					-1,338.00	
		50000. FNMA DTD 3/25/2004 4.125% 4/15/20 PROPERTY TYPE: SECURITIES					03/25/2008	10/31/2012
52,780.00		51,340.00					1,440.00	
		19. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/20/2008	12/07/2011
1,918.00		1,911.00					7.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					12/20/2010	12/07/2011
5,047.00		5,597.00					-550.00	
		10. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/20/2008	06/21/2012
1,052.00		1,006.00					46.00	
		10. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					10/16/2012	10/25/2012
1,260.00		1,317.00					-57.00	
		135. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					08/18/2009	12/20/2011
12,279.00		21,601.00					-9,322.00	
		25. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					04/20/2011	12/20/2011
2,274.00		3,806.00					-1,532.00	
		30. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					06/24/2011	12/20/2011
2,729.00		3,864.00					-1,135.00	
		35000. GOLDMAN SACHS GROUP INC DTD 1/18/ PROPERTY TYPE: SECURITIES					11/12/2009	06/13/2012
36,613.00		37,340.00					-727.00	
		10. W W GRAINGER INC PROPERTY TYPE: SECURITIES					05/11/2012	06/21/2012
1,841.00		1,992.00					-151.00	
		10. W W GRAINGER INC PROPERTY TYPE: SECURITIES					05/11/2012	10/25/2012
1,992.00		1,992.00						
		325. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	06/11/2012
9,027.00		10,311.00					-1,284.00	
		35000. HEWLETT-PACKARD CO DTD 12/5/2008 PROPERTY TYPE: SECURITIES					11/12/2009	12/07/2011
37,596.00		39,447.00					-1,851.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. HOME DEPOT INC. PROPERTY TYPE: SECURITIES					08/22/2011	06/21/2012
1,028.00		647.00					381.00	
		145. HOME DEPOT INC. PROPERTY TYPE: SECURITIES					08/22/2011	09/18/2012
8,505.00		4,694.00					3,811.00	
		30. HOME DEPOT INC. PROPERTY TYPE: SECURITIES					08/22/2011	10/25/2012
1,817.00		971.00					846.00	
		110. HOME DEPOT INC. PROPERTY TYPE: SECURITIES					08/22/2011	11/02/2012
6,865.00		3,561.00					3,304.00	
		50. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/07/2009	06/01/2012
2,697.00		1,683.00					1,014.00	
		45. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/07/2009	06/05/2012
2,404.00		1,508.00					896.00	
		20. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/07/2009	06/21/2012
1,112.00		667.00					445.00	
		45. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/07/2009	07/12/2012
2,402.00		1,502.00					900.00	
		20. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/07/2009	10/25/2012
1,228.00		667.00					561.00	
		45. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	06/05/2012
2,417.00		1,598.00					819.00	
		20. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	06/21/2012
1,153.00		710.00					443.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		60. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	07/12/2012
3,463.00		2,130.00					1,333.00	
		20. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	10/25/2012
1,144.00		710.00					434.00	
		10. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					01/31/2007	06/21/2012
555.00		774.00					-219.00	
		211. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					01/31/2007	10/25/2012
5,522.00		14,857.00					-9,335.00	
		10. INTERNATIONAL BUSINESS MACHINES CORP PROPERTY TYPE: SECURITIES					10/16/2012	10/25/2012
1,908.00		2,102.00					-194.00	
		250. ISHARES MSCI EAFE INDEX FUND PROPERTY TYPE: SECURITIES					10/28/2008	06/21/2012
12,082.00		10,272.00					1,810.00	
		644. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					10/27/2005	12/07/2011
21,754.00		23,338.00					-1,584.00	
		20. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					06/18/2012	06/21/2012
1,327.00		1,327.00						
		40. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					10/09/2012	10/25/2012
2,851.00		2,743.00					108.00	
		430. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	12/01/2011
21,078.00		22,238.00					-1,160.00	
		10. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	06/21/2012
877.00		753.00					124.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	10/25/2012
1,706.00		1,506.00					200.00	
		65. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					03/22/2012	06/21/2012
1,966.00		2,082.00					-116.00	
		5. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/04/2009	06/21/2012
151.00		101.00					50.00	
		100. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/17/2012	10/25/2012
2,787.00		3,007.00					-220.00	
		20. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					01/08/2010	06/21/2012
652.00		566.00					86.00	
		30. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					06/11/2012	10/25/2012
946.00		961.00					-15.00	
		10. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					06/08/2012	06/21/2012
649.00		661.00					-12.00	
		20. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					06/08/2012	10/25/2012
1,466.00		1,322.00					144.00	
		10. OCCIDENTAL PETROLEUM CORP. PROPERTY TYPE: SECURITIES					06/08/2012	06/21/2012
801.00		855.00					-54.00	
		20. OCCIDENTAL PETROLEUM CORP. PROPERTY TYPE: SECURITIES					06/08/2012	10/25/2012
1,602.00		1,711.00					-109.00	
		75. OMNICOM GROUP PROPERTY TYPE: SECURITIES					01/07/2008	02/01/2012
3,498.00		3,405.00					93.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		400. OMNICOM GROUP PROPERTY TYPE: SECURITIES					01/07/2008	02/09/2012
19,048.00		18,159.00					889.00	
		15. OMNICOM GROUP PROPERTY TYPE: SECURITIES					06/24/2011	02/09/2012
714.00		693.00					21.00	
		45. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					02/07/2012	06/21/2012
1,254.00		1,298.00					-44.00	
		15. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	06/21/2012
418.00		206.00					212.00	
		80. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	10/25/2012
2,464.00		1,099.00					1,365.00	
		10. PNC FINANCIAL SERVICES GROUP INC PROPERTY TYPE: SECURITIES					03/28/2012	06/21/2012
590.00		645.00					-55.00	
		30. PNC FINANCIAL SERVICES GROUP INC PROPERTY TYPE: SECURITIES					03/28/2012	10/25/2012
1,740.00		1,935.00					-195.00	
		10. PARKER-HANNIFIN CORP. PROPERTY TYPE: SECURITIES					07/20/2011	06/21/2012
773.00		872.00					-99.00	
		20. PARKER-HANNIFIN CORP. PROPERTY TYPE: SECURITIES					07/20/2011	10/25/2012
1,551.00		1,744.00					-193.00	
		40. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/09/2010	01/05/2012
3,118.00		2,386.00					732.00	
		10. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/09/2010	06/21/2012
856.00		596.00					260.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/09/2010	10/25/2012
2,634.00		1,789.00					845.00	
		617.589 PIMCO ALL ASSETS AUTH -IS PROPERTY TYPE: SECURITIES					08/23/2011	01/09/2012
6,250.00		6,688.00					-438.00	
		6793.478 PIMCO ALL ASSETS AUTH -IS PROPERTY TYPE: SECURITIES					06/02/2011	01/09/2012
68,750.00		75,000.00					-6,250.00	
		10. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/05/2009	10/25/2012
1,683.00		812.00					871.00	
		20. PROCTER & GAMBLE CO. PROPERTY TYPE: SECURITIES					03/08/2012	06/21/2012
1,197.00		1,339.00					-142.00	
		40. PROCTER & GAMBLE CO. PROPERTY TYPE: SECURITIES					03/01/2012	10/25/2012
2,818.00		2,677.00					141.00	
		149. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	03/28/2012
3,428.00		2,912.00					516.00	
		81. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	03/28/2012
1,852.00		1,579.00					273.00	
		54. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	05/15/2012
1,178.00		1,053.00					125.00	
		171. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	05/15/2012
3,731.00		3,334.00					397.00	
		20. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	06/21/2012
413.00		390.00					23.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		72. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	10/16/2012
1,614.00		1,404.00					210.00	
		503. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	10/16/2012
11,285.00		9,808.00					1,477.00	
		49. QUALCOMM CORP. PROPERTY TYPE: SECURITIES					02/09/2011	05/16/2012
2,967.00		2,748.00					219.00	
		10. QUALCOMM CORP. PROPERTY TYPE: SECURITIES					07/21/2011	06/21/2012
554.00		570.00					-16.00	
		20. QUALCOMM CORP. PROPERTY TYPE: SECURITIES					02/09/2011	06/21/2012
1,108.00		1,122.00					-14.00	
		30. QUALCOMM CORP. PROPERTY TYPE: SECURITIES					02/09/2011	10/25/2012
1,728.00		1,682.00					46.00	
		235. ROCKWELL COLLINS INC. PROPERTY TYPE: SECURITIES					01/21/2011	06/18/2012
11,595.00		14,975.00					-3,380.00	
		20. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	06/21/2012
1,262.00		1,323.00					-61.00	
		30. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	10/25/2012
2,111.00		1,985.00					126.00	
		477. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					04/07/2010	12/07/2011
5,824.00		9,275.00					-3,451.00	
		454. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					04/07/2010	01/18/2012
5,494.00		8,075.00					-2,581.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Gross sale price less expenses of sale	Depreciation allowed/ allowable	Description / Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired / Gain or (loss)	Date sold
		549. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					08/20/2010	01/18/2012
6,571.00		7,613.00					-1,042.00	
		31. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/08/2010	02/01/2012
2,159.00		1,883.00					276.00	
		139. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/08/2010	02/01/2012
9,654.00		8,444.00					1,210.00	
		30. STATE STREET CORP PROPERTY TYPE: SECURITIES					12/07/2011	03/28/2012
1,351.00		1,244.00					107.00	
		20. STATE STREET CORP PROPERTY TYPE: SECURITIES					12/07/2011	06/21/2012
864.00		829.00					35.00	
		68. STATE STREET CORP PROPERTY TYPE: SECURITIES					12/07/2011	08/15/2012
2,809.00		2,820.00					-11.00	
		342. STATE STREET CORP PROPERTY TYPE: SECURITIES					12/07/2011	08/15/2012
14,138.00		14,017.00					121.00	
		5681.818 TEMPLETON GLOBAL BOND FD-AD PROPERTY TYPE: SECURITIES					08/23/2011	02/03/2012
75,000.00		77,889.00					-2,889.00	
		1692.763 THIRD AVENUE REAL ESTATE VAL PROPERTY TYPE: SECURITIES					03/23/2011	12/02/2011
34,837.00		40,000.00					-5,163.00	
		2650.83 THIRD AVENUE REAL ESTATE VAL PROPERTY TYPE: SECURITIES					01/19/2010	12/02/2011
54,554.00		60,409.00					-5,855.00	
		1952.172 THIRD AVENUE REAL ESTATE VAL PROPERTY TYPE: SECURITIES					01/19/2010	01/09/2012
40,000.00		39,808.00					192.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1609.658 THORNBURG INTL VALUE FD-I PROPERTY TYPE: SECURITIES					08/26/2010	01/09/2012
40,000.00		38,857.00					1,143.00	
		556.793 THORNBURG INTL VALUE FD-I PROPERTY TYPE: SECURITIES					08/26/2010	02/03/2012
15,000.00		13,441.00					1,559.00	
		3512.852 THORNBURG INTL VALUE FD-I PROPERTY TYPE: SECURITIES					06/24/2009	06/22/2012
87,224.00		75,349.00					11,875.00	
		2291.476 TWEEDY BROWNE GLOBAL VALUE FUND PROPERTY TYPE: SECURITIES					07/13/2010	01/09/2012
50,000.00		49,304.00					696.00	
		660.211 TWEEDY BROWNE GLOBAL VALUE FUND PROPERTY TYPE: SECURITIES					07/13/2010	02/03/2012
15,000.00		14,195.00					805.00	
		1612.903 TWEEDY BROWNE GLOBAL VALUE FUND PROPERTY TYPE: SECURITIES					07/13/2010	10/25/2012
40,000.00		34,677.00					5,323.00	
		250. U S BANCORP PROPERTY TYPE: SECURITIES					11/02/2007	03/28/2012
7,921.00		4,620.00					3,301.00	
		20. U S BANCORP PROPERTY TYPE: SECURITIES					04/20/2011	06/21/2012
629.00		499.00					130.00	
		40. U S BANCORP PROPERTY TYPE: SECURITIES					04/20/2011	10/25/2012
1,336.00		998.00					338.00	
		750. U.S. COMMODITY INDEX FUND LP PROPERTY TYPE: SECURITIES					01/06/2012	05/18/2012
43,605.00		44,978.00					-1,373.00	
		40000. US TREASURY N/B DTD 6/30/2007 4.8 PROPERTY TYPE: SECURITIES					07/10/2007	07/02/2012
40,000.00		40,000.00						

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					05/02/2012	06/21/2012
1,752.00		1,701.00					51.00	
		30. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					05/02/2012	10/25/2012
1,685.00		1,700.00					-15.00	
		1000. VANGUARD EMERGING MARKET ETF PROPERTY TYPE: SECURITIES					07/16/2010	10/25/2012
41,872.00		39,710.00					2,162.00	
		12. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/14/2008	01/23/2012
826.00		558.00					268.00	
		18. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/14/2008	01/23/2012
1,242.00		836.00					406.00	
		30. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/14/2008	03/27/2012
2,097.00		1,394.00					703.00	
		65. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/14/2008	05/02/2012
4,230.00		3,020.00					1,210.00	
		200. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/14/2008	06/01/2012
11,527.00		7,908.00					3,619.00	
		162. WALGREEN CO. PROPERTY TYPE: SECURITIES					02/17/2011	12/20/2011
5,307.00		6,886.00					-1,579.00	
		140. WALGREEN CO. PROPERTY TYPE: SECURITIES					07/21/2011	03/26/2012
4,751.00		5,291.00					-540.00	
		43. WALGREEN CO. PROPERTY TYPE: SECURITIES					02/17/2011	03/26/2012
1,459.00		1,828.00					-369.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		355. WALGREEN CO. PROPERTY TYPE: SECURITIES					02/17/2011	03/26/2012
12,040.00		14,514.00					-2,474.00	
		60. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	01/25/2012
5,300.00		3,447.00					1,853.00	
		40. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	03/27/2012
3,735.00		2,298.00					1,437.00	
		10. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	06/21/2012
805.00		575.00					230.00	
		38. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	10/09/2012
3,121.00		2,178.00					943.00	
		55. WATERS CORP PROPERTY TYPE: SECURITIES					06/01/2012	10/09/2012
4,546.00		4,246.00					300.00	
		55. WATERS CORP PROPERTY TYPE: SECURITIES					04/23/2008	10/09/2012
4,546.00		3,151.00					1,395.00	
		70. WATERS CORP PROPERTY TYPE: SECURITIES					04/23/2008	10/10/2012
5,665.00		4,011.00					1,654.00	
		27. WATERS CORP PROPERTY TYPE: SECURITIES					04/23/2008	10/10/2012
2,164.00		1,547.00					617.00	
		165. WELLS FARGO CO PROPERTY TYPE: SECURITIES					12/07/2011	03/28/2012
5,621.00		4,693.00					928.00	
		60. WELLS FARGO CO PROPERTY TYPE: SECURITIES					05/15/2012	06/21/2012
1,955.00		1,938.00					17.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		80. WELLS FARGO CO PROPERTY TYPE: SECURITIES					05/15/2012	10/25/2012
2,706.00		2,647.00					59.00	
		150. YUM BRANDS INC PROPERTY TYPE: SECURITIES					08/27/2010	03/27/2012
10,680.00		6,649.00					4,031.00	
		10. YUM BRANDS INC PROPERTY TYPE: SECURITIES					08/27/2010	06/21/2012
651.00		425.00					226.00	
		30. YUM BRANDS INC PROPERTY TYPE: SECURITIES					08/27/2010	10/25/2012
2,110.00		1,275.00					835.00	
		30. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	03/22/2012
1,901.00		767.00					1,134.00	
		10. ACCENTURE PLC PROPERTY TYPE: SECURITIES					02/07/2012	06/21/2012
568.00		575.00					-7.00	
		20. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	10/25/2012
1,338.00		511.00					827.00	
		60. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	01/20/2012
3,536.00		2,392.00					1,144.00	
		20. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	01/20/2012
1,179.00		797.00					382.00	
		10. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	06/21/2012
669.00		399.00					270.00	
		220. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	10/16/2012
16,263.00		8,769.00					7,494.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		40. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					05/11/2012	10/16/2012
2,957.00		2,452.00					505.00	
		55. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					09/09/2011	10/16/2012
4,066.00		2,486.00					1,580.00	
		60. ENSCO PLC SHS CLASS A PROPERTY TYPE: SECURITIES					09/08/2011	06/08/2012
2,649.00		2,968.00					-319.00	
		195. ENSCO PLC SHS CLASS A PROPERTY TYPE: SECURITIES					04/19/2011	06/08/2012
8,609.00		10,842.00					-2,233.00	
		10. ACE LTD PROPERTY TYPE: SECURITIES					03/01/2012	06/21/2012
716.00		726.00					-10.00	
		20. ACE LTD PROPERTY TYPE: SECURITIES					10/16/2012	10/25/2012
1,599.00		1,578.00					21.00	
		20. AVAGO TECHNOLOGIES LTD PROPERTY TYPE: SECURITIES					02/11/2011	06/21/2012
691.00		665.00					26.00	
		20. AVAGO TECHNOLOGIES LTD PROPERTY TYPE: SECURITIES					02/11/2011	10/25/2012
669.00		665.00					4.00	
TOTAL GAIN(LOSS)							72,671.	

SUMMARY OF GAIN/LOSS

1,552,395.00		1,479,724.00	-0-	-0-	-0-	72,671.

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2012

ATTACHMENTS PAGE 6 FORM 990-PF
PART VIII LIST OF OFFICERS, DIRECTORS, TRUSTEES, ETC.

NAME AND ADDRESS	TITLE	AVERAGE HOURS PER WEEK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCT
1. EUGENE GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	PRESIDENT/ TREASURER/ CHAIRMAN	AS NEEDED	-0-	-0-	-0-
2. JOSHUA GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	VICE PRESIDENT/ SECRETARY	AS NEEDED	-0-	-0-	-0-
3. ROBERT S. BRAMSON C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	DIRECTOR	AS NEEDED	-0-	-0-	-0-
TOTAL			-0-	-0-	-0-

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2012

PART XV, ATTACHMENTS PAGE 10, FORM 990-PF

2a NAME, ADDRESS AND PHONE FOR APPLICATIONS
EUGENE GARFIELD
C/O THE GLENMEDE TRUST COMPANY, N.A.
1650 MARKET STREET, SUITE 1200
PHILADELPHIA, PA 19103
215-419-6000

2b THE FORM IN WHICH APPLICATIONS SHOULD BE SUBMITTED, ETC.,
IN WRITING, WITH NAME, ADDRESS & PURPOSE OF ORGANIZATION

2c NONE

2d NONE

STATEMENT 3

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2012

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
1	**ACLU Foundation** New York, NY General Support	25,000.00	12/22/2011
2	**Opera Company of Philadelphia** Philadelphia, PA Sounds of Learning Program	15,000.00	12/22/2011
3	**Kimmel Center for the Performing Arts** Philadelphia, PA Society of Founders Wall, payment 9 of 10	15,000.00	12/22/2011
4	**Philadelphia Orchestra** Philadelphia, PA Philadelphia Orchestra Endowment Fund, payment 7 of 10	2,500.00	12/22/2011
5	**Center for Innovative Medicine** Baltimore, MD In Memory of Frank Coulson	500.00	12/28/2011
6	**American Cancer Society** Philadelphia, PA In Memory of Debbie Czubachowski	500.00	12/28/2011

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
7	**Phi Beta Mu** Tallahassee, FL Eugene Garfield Fellowship awards - 6 recipients for 2012 Category: Education Age Group: Adults	18,000.00	4/18/2012
8	**Annual Reviews** Los Altos, CA General Support	6,441.59	7/18/2012
9	**American Cancer Research Institute** Bryn Mawr, PA General Support	500.00	8/30/2012
10	**New Horizons Senior Center** Narbeth, PA General Support	1,500.00	8/30/2012
11	**The University of Pennsylvania, Van Pelt-Dietrich Library Center** Philadelphia, PA Payment # 5 (final) Eugene Garfield Library Residency Program Fund, University Libraries	50,000.00	8/30/2012
12	**Kimmel Cancer Center at Jefferson** Philadelphia, PA General Support	1,500.00	10/25/2012

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2012

PART XV, FORM 990-PF, PAGE 11

No.	Grantee Organization, City/State and Project Description	Grant Amount	Payment Date
13	**Global Film Network** Spencertown, NY Lynn Margulis documentary	1,000.00	11/29/2012
	Grand Total	137,441.59	

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2012

Supplemental Schedule of Information

ATTACHMENTS PAGE 9 FORM 990-PF
PART XIII, UNDISTRIBUTED INCOME, LINE 4c

ELECTION UNDER IRC REG. SEC. 53.4942(a)-3(d)(2)

In accordance with Regulation Section 53.4942(a)-3(d)(2), The Eugene Garfield Foundation hereby elects to treat current year's qualifying distributions for the year ending November 30, 2012 in the amount of $141,000, to have been distributed out of corpus.

(Signature) 2/19/13
Title

President
(Print Name)