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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 12/1/2011, and ending 11/30/2012

Name of foundation THE DOCTOR FAMILY FOUNDATION		A Employer identification number 20-2047965
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 1501, NJ2-130-03-31		B Telephone number (see instructions) 609-274-6834
City or town, state, and ZIP code SPRINGINGTON NJ 08534-1501		C If exemption application is pending, check here ☐
Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,903,123	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	0	0		
	4 Dividends and interest from securities	90,292	90,292		
	5 a Gross rents		0		
	b Net rental income or (loss)	0			
	6 a Net gain or (loss) from sale of assets not on line 10	110,341			
	b Gross sales price for all assets on line 6a	3,159,388			
	7 Capital gain net income (from Part IV, line 2)		110,341		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	0	0	0		
12 Total. Add lines 1 through 11	200,633	200,633	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	2,500	0	0	2,500
	c Other professional fees (attach schedule)	17,296	10,378	0	6,919
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,650	281	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	0	0	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	23,446	10,659	0	9,419
	25 Contributions, gifts, grants paid	130,000			130,000
26 Total expenses and disbursements. Add lines 24 and 25	153,446	10,659	0	139,419	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	47,187				
b Net investment income (if negative, enter -0-)		189,974			
c Adjusted net income (if negative, enter -0-)			0		

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Form 990-PF (2011) THE DOCTOR FAMILY FOUNDATION

20-2047965

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		1	390	390
	2	Savings and temporary cash investments		25,491	83,557	83,557
	3	Accounts receivable	0			
		Less: allowance for doubtful accounts	0	0	0	0
	4	Pledges receivable	0			
		Less: allowance for doubtful accounts	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule)	0			
		Less: allowance for doubtful accounts	0	0	0	0
	8	Inventories for sale or use		0	0	
	9	Prepaid expenses and deferred charges			0	
	10 a	Investments—U.S. and state government obligations (attach schedule)		0	0	0
	b	Investments—corporate stock (attach schedule)		0	0	0
	c	Investments—corporate bonds (attach schedule)		0	0	0
	11	Investments—land, buildings, and equipment basis	0			
	Less: accumulated depreciation (attach schedule)	0	0	0	0	
12	Investments—mortgage loans					
13	Investments—other (attach schedule)		2,696,762	2,686,481	2,819,176	
14	Land, buildings, and equipment basis	0				
	Less: accumulated depreciation (attach schedule)	0	0	0	0	
15	Other assets (describe)		0	0	0	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		2,722,254	2,770,428	2,903,123	
Liabilities	17	Accounts payable and accrued expenses		0	0	
	18	Grants payable				
	19	Deferred revenue		0	0	
	20	Loans from officers, directors, trustees, and other disqualified persons		0	0	
	21	Mortgages and other notes payable (attach schedule)		0	0	
	22	Other liabilities (describe)		0	0	
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		2,722,254	2,770,428	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		2,722,254	2,770,428	
31	Total liabilities and net assets/fund balances (see instructions)		2,722,254	2,770,428		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,722,254
2	Enter amount from Part I, line 27a	2	47,187
3	Other increases not included in line 2 (itemize) <u>GAIN ON PY SALES SETTLED IN CY</u>	3	981
4	Add lines 1, 2, and 3	4	2,770,422
5	Decreases not included in line 2 (itemize) <u>COST BASIS ADJUSTMENT</u>	5	1,140
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,769,282

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	110,341
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchangible-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	143,489	2,948,826	0.048660
2009	126,504	2,820,440	0.044853
2008	99,836	2,636,123	0.037872
2007	151,204	2,976,563	0.050798
2006	129,138	3,145,856	0.041050
2 Total of line 1, column (d)			2 0.223233
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.044647
4 Enter the net value of nonchangible-use assets for 2011 from Part X, line 5			4 2,904,220
5 Multiply line 4 by line 3			5 129,665
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,900
7 Add lines 5 and 6			7 131,565
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 139,419

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,900	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0	
3 Add lines 1 and 2		3	1,900	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,900	
6 Credits/Payments				
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,223		
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	0		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d	7	2,223		
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	323		
11 Enter the amount of line 10 to be. Credited to 2012 estimated tax ☒ 323 Refunded ☐ 0	11	0		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of MLTC, A DIVISION OF BANK OF AMERICA, N.A. Telephone no 609-274-6834 Located at 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 15			0
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country 16	Yes	No	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) 2b	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) 3b	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? 4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**5b**

N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b**

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b**

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONALD L DOCTOR 512 MAYMONT DRIVE CRAMERTON NC 2803	PRESIDENT 2.00	0	0	0
MARY DOCTOR 512 MAYMONT DRIVE CRAMERTON NC 2803	SECRETARY/TREASURER 2.00	0	0	0
JORDAN DOCTOR 512 MAYMONT DRIVE CRAMERTON NC 2803	DIRECTOR 2.00	0	0	0
LONDON DOCTOR 512 MAYMONT DRIVE CRAMERTON NC 2803	DIRECTOR 2.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	

0

Total. Add lines 1 through 3



0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,879,771
b	Average of monthly cash balances	1b	68,676
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,948,447
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,948,447
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	44,227
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,904,220
6	Minimum investment return. Enter 5% of line 5	6	145,211

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	145,211
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,900
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	0
c	Add lines 2a and 2b	2c	1,900
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	143,311
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	143,311
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	143,311

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	139,419
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	139,419
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,900
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	137,519

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				143,311
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			129,884	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006	0			
b From 2007	0			
c From 2008	0			
d From 2009	0			
e From 2010	0			
f Total of lines 3a through e	0			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 139,419				
a Applied to 2010, but not more than line 2a			129,884	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2011 distributable amount				9,535
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				133,776
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2007	0			
b Excess from 2008	0			
c Excess from 2009	0			
d Excess from 2010	0			
e Excess from 2011	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2011	(b) 2010	(c) 2009	(d) 2008	
0				0
b 85% of line 2a	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0			0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0			0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DONALD L AND MARY DOCTOR

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number of the person to whom applications should be addressed.

DONALD DOCTOR 512 MAYMONT DRIVE CRAMERTON NC 28032 (704)386 5924

- b** The form in which applications should be submitted and information and materials they should include

FORM ATTACHED

- c** Any submission deadlines

PRIOR TO MAY 15

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			3a	130,000
b Approved for future payment NONE				
Total			3b	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☒ if
self-employed

PTIN

DONALD J ROMAN

4/9/2013

P00364310

Firm's name ► PricewaterhouseCoopers, LLP

Firm's EIN	13-4008324
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Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219

Phone no	412-355-6000
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THE DOCTOR FAMILY FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year**

Name

SALVATION ARMY NATIONAL CORP

Street

615 SLATERS LN

City

ALEXANDRIA

State

VA

Zip Code

22313

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

FOUR PRESIDENTS CORNERS HISTORICAL SOCIETY

Street

20422 MAPLES ROAD

City

MONROEVILLE

State

IN

Zip Code

46773

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,500

Name

GASTON SKILLS INC.

Street

1301 BESSEMER CITY ROAD

City

GASTONIA

State

NC

Zip Code

28052

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

CANCER SERVICES OF GASTON COUNTY INC

Street

246 E GARRISON BLVD

City

GASTONIA

State

NC

Zip Code

28054

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

BOYS AND GIRLS CLUBS OF GREATER GASTON INC

Street

PO BOX 23

City

GASTONIA

State

NC

Zip Code

28053

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

CRISIS PREGNANCY CENTER OF GASTON COUNTY

Street

800 ROBINSON ROAD

City

GASTONIA

State

NC

Zip Code

28056

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

THE DOCTOR FAMILY FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

GASTONIA POTTERS HOUSE INC

Street

PO BOX 322

City

LOWELL

State

NC

Zip Code

28098

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

BLUMENTHAL PERFORMING ARTS CENTER

Street

130 N TRYON STREET

City

CHARLOTTE

State

NC

Zip Code

28202

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

60,000

Name

SERVING OUR COMMUNITY WITH KINDNESS IN SPRINGWOOD INC

Street

PO BOX 269

City

MC ADENVILLE

State

NC

Zip Code

28101

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

LUTHERIDGE-LUTHEROCK MINISTRIES INC

Street

28 SPRUCE DRIVE

City

ARDEN

State

NC

Zip Code

28704

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

NETWORK FOR GOOD INC

Street

1140 CONNECTICUT AVENUE NW

City

WASHINGTON

State

DC

Zip Code

20036

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals,														
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales		Cost, Other Basis and Expenses		Depreciation	Net Gain or Loss
									Price	Other Basis	Valuation Method	Expense of Sale and Cost of Improvements		
Long Term CG Distributions									3,148,872		3,049,047		99,825	
Short Term CG Distributions									10,516		0		10,516	
1	X	VANGUARD INFORMATION	92204A702			4/23/2012		10/11/2012	430	425				5
2	X	VANGUARD INFORMATION	92204A702			12/8/2009		1/11/2012	5,770	4,797				973
3	X	VANGUARD INFORMATION	92204A702			5/21/2009		1/11/2012	2,346	1,441				905
4	X	VANGUARD INFORMATION	92204A702			10/26/2011		10/11/2012	33,751	29,503				4,248
5	X	VANGUARD INFORMATION	92204A702			9/15/2011		10/11/2012	7,596	6,367				1,229
6	X	VANGUARD INFORMATION	92204A702			9/15/2011		6/15/2012	25,945	23,127				2,818
7	X	VANGUARD INFORMATION	92204A702			8/31/2011		6/15/2012	20,217	17,970				2,247
8	X	VANGUARD INFORMATION	92204A702			1/6/2011		5/25/2012	17,865	16,896				969
9	X	VANGUARD MSCI EUROPEAN	922042874			4/29/2011		5/14/2012	1,262	1,714				-452
10	X	VANGUARD MSCI EUROPEAN	922042874			4/29/2011		5/14/2012	15,940	21,678				-5,738
11	X	VANGUARD MSCI EUROPEAN	922042874			10/27/2011		5/11/2012	8,810	9,979				-1,169
12	X	VANGUARD MSCI EUROPEAN	922042874			4/29/2011		5/11/2012	16,209	21,360				-5,151
13	X	VANGUARD MSCI EUROPEAN	922042874			4/29/2011		5/11/2012	1,283	1,688				-405
14	X	VANGUARD MSCI EUROPEAN	922042874			4/29/2011		1/11/2012	2,579	3,489				-910
15	X	VANGUARD MSCI PACIFIC	922042866			10/7/2010		1/17/2012	1,605	1,771				-166
16	X	VANGUARD MSCI PACIFIC	922042866			10/7/2010		1/17/2012	1,552	1,771				-219
17	X	VANGUARD MSCI EMERGING	922042858			8/14/2012		1/17/2012	6,653	6,625				28
18	X	VANGUARD MSCI EMERGING	922042858			4/23/2012		5/17/2012	1,943	2,134				-191
19	X	VANGUARD MSCI EMERGING	922042858			9/24/2010		5/17/2012	16,840	20,886				-4,046
20	X	VANGUARD MSCI EMERGING	922042858			9/14/2010		5/17/2012	1,181	1,434				-253
21	X	VANGUARD MSCI EMERGING	922042858			4/23/2012		5/14/2012	2,013	2,134				-121
22	X	VANGUARD MSCI EMERGING	922042858			9/24/2010		5/14/2012	17,444	19,833				-2,389
23	X	VANGUARD MSCI EMERGING	922042858			9/14/2010		5/14/2012	1,223	1,360				-137
24	X	VANGUARD MSCI EMERGING	922042858			9/14/2010		1/11/2012	4,018	4,430				-412
25	X	VANGUARD MSCI EMERGING	922042858			8/2/2010		1/11/2012	7,081	7,653				-572
26	X	SECTOR SPDR UTILITIES	81369Y886			5/8/2012		1/17/2012	5,337	5,448				-111
27	X	VANGUARD INFORMATION	92204A702			11/2/2010		5/25/2012	7,962	7,122				840
28	X	VANGUARD INFORMATION	92204A702			8/31/2011		5/25/2012	4,140	3,714				426
29	X	VANGUARD INFORMATION	92204A702			12/7/2011		5/25/2012	11,751	11,454				297
30	X	VANGUARD INFORMATION	92204A702			1/6/2011		5/25/2012	2,671	2,531				140
31	X	VANGUARD INFORMATION	92204A702			11/2/2010		4/23/2012	9,923	8,379				1,544
32	X	VANGUARD INFORMATION	92204A702			12/28/2009		4/23/2012	13,325	10,396				2,929
33	X	VANGUARD INFORMATION	92204A702			12/28/2009		4/20/2012	11,160	8,572				2,588
34	X	VANGUARD INFORMATION	92204A702			12/8/2009		4/20/2012	17,497	12,810				4,687
35	X	VANGUARD INFORMATION	92204A702			5/21/2009		1/10/2012	12,983	8,021				4,962
36	X	VANGUARD INFORMATION	92204A702			3/11/2009		1/10/2012	22,184	11,232				10,952
37	X	VANGUARD INFORMATION	92204A702			6/24/2008		1/10/2012	2,521	2,186				335
38	X	VANGUARD MSCI EUROPEAN	922042874			8/7/2012		11/7/2012	4,908	4,846				62
39	X	VANGUARD MSCI EUROPEAN	922042874			4/23/2012		5/15/2012	14,826	15,699				-873
40	X	VANGUARD MSCI EUROPEAN	922042874			10/27/2011		5/15/2012	8,484	10,152				-1,668
41	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/3/2012		7/17/2012	12,393	12,328				65
42	X	SECTOR SPDR INDUSTRIAL	81369Y704			10/13/2011		7/17/2012	32,012	28,996				3,016
43	X	VANGUARD MSCI EUROPEAN	922042874			10/27/2011		5/15/2012	7,701	9,059				-1,358
44	X	VANGUARD MSCI EUROPEAN	922042874			10/27/2011		5/14/2012	14,426	16,616				-2,190
45	X	CONSUMER DISCRETIONARY	81369Y407			11/4/2010		7/31/2012	18,878	15,718				3,160
46	X	CONSUMER DISCRETIONARY	81369Y407			12/8/2009		7/31/2012	2,321	1,550				771
47	X	CONSUMER DISCRETIONARY	81369Y407			11/17/2009		1/11/2012	5,814	4,216				1,598
48	X	SECTOR SPDR CONSMRS ST	81369Y308			11/2/2009		1/17/2012	6,958	5,215				1,743
49	X	SECTOR SPDR CONSMRS ST	81369Y308			11/2/2009		1/11/2012	5,470	4,455				1,015
50	X	HEALTH CARE SELECT SPDR	81369Y209			4/21/2011		11/7/2012	6,966	6,078				888
51	X	HEALTH CARE SELECT SPDR	81369Y209			4/21/2011		8/21/2012	2,167	1,923				244

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount						
Short Term CG Distributions										571	0					
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals							
									Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss		
52	X	HEALTH CARE SELECT SPDR	81369Y209			4/1/2011		8/21/2012	23,339	20,574				3,148,872	3,049,047	99,825
53	X	HEALTH CARE SELECT SPDR	81369Y209			3/3/2011		8/21/2012	13,506	11,548				10,516	0	10,516
54	X	HEALTH CARE SELECT SPDR	81369Y209			2/24/2011		8/21/2012	9,133	7,591						1,958
55	X	HEALTH CARE SELECT SPDR	81369Y209			2/24/2011		1/11/2012	3,163	2,863						300
56	X	HEALTH CARE SELECT SPDR	81369Y209			12/10/2009		1/11/2012	2,843	2,510						333
57	X	MATERIALS SELECT SECTOR	81369Y100			12/29/2010		1/17/2012	3,592	3,859						-267
58	X	SPDR BARCLAYS INTL	78464A516			5/18/2011		1/11/2012	8,895	9,345						-450
59	X	SPDR BARCLAYS INTL	78464A516			3/24/2011		1/11/2012	1,628	1,691						-63
60	X	SPDR BARCLAYS	78464A417			1/24/2012		1/17/2012	6,494	6,352						142
61	X	SPDR BARCLAYS	78464A417			1/24/2012		5/23/2012	23,037	23,566						-529
62	X	SPDR BARCLAYS	78464A417			1/19/2012		5/23/2012	6,631	6,751						-120
63	X	SPDR BARCLAYS	78464A417			1/19/2012		5/16/2012	23,889	23,882						7
64	X	SPDR BARCLAYS	78464A417			1/11/2012		5/16/2012	9,134	9,043						91
65	X	SPDR BARCLAYS	78464A417			1/11/2012		4/23/2012	30,859	30,415						444
66	X	SPDR BARCLAYS	78464A417			12/2/2010		4/23/2012	8,940	9,081						-141
67	X	SPDR BARCLAYS	78464A417			12/2/2010		4/23/2012	392	398						-6
68	X	SPDR BARCLAYS	78464A417			12/2/2010		1/11/2012	387	398						-11
69	X	SPDR GOLD TRUST	78463V107			4/14/2011		1/17/2012	4,303	3,720						583
70	X	SPDR GOLD TRUST	78463V107			4/14/2011		5/8/2012	13,389	12,305						1,084
71	X	SPDR GOLD TRUST	78463V107			12/3/2010		5/8/2012	10,743	9,492						1,251
72	X	SPDR GOLD TRUST	78463V107			9/16/2010		5/8/2012	4,048	3,227						821
73	X	SPDR GOLD TRUST	78463V107			9/16/2010		5/1/2012	16,972	13,031						3,941
74	X	SPDR GOLD TRUST	78463V107			6/4/2010		5/1/2012	2,425	1,779						646
75	X	SPDR GOLD TRUST	78463V107			6/4/2010		1/11/2012	4,472	3,320						1,152
76	X	SPDR GOLD TRUST	78463V107			4/29/2011		12/9/2011	8	7						1
77	X	SPDR GOLD TRUST	78463V107			4/14/2011		12/9/2011	8	7						1
78	X	SPDR GOLD TRUST	78463V107			6/4/2010		12/9/2011	2	2						0
79	X	SPDR GOLD TRUST	78463V107			9/16/2010		12/9/2011	7	5						2
80	X	SPDR GOLD TRUST	78463V107			12/3/2010		12/9/2011	4	3						1
81	X	SECTOR SPDR UTILITIES	81369Y886			5/8/2012		8/17/2012	4,482	4,308						174
82	X	SECTOR SPDR UTILITIES	81369Y886			1/11/2012		8/17/2012	30,970	29,339						1,631
83	X	SECTOR SPDR UTILITIES	81369Y886			6/29/2011		8/17/2012	6,668	6,030						638
84	X	SECTOR SPDR UTILITIES	81369Y886			6/9/2011		8/17/2012	2,260	2,028						232
85	X	SECTOR SPDR UTILITIES	81369Y886			6/9/2011		3/19/2012	16,118	15,423						695
86	X	SECTOR SPDR UTILITIES	81369Y886			5/10/2011		3/19/2012	10,942	10,707						235
87	X	SECTOR SPDR UTILITIES	81369Y886			7/27/2010		3/19/2012	5,732	5,120						612
88	X	AMEX TECHNLGY SELECT SPDR	81369Y803			7/31/2012		1/17/2012	2,660	2,742						-82
89	X	SECTOR SPDR INDUSTRIAL	81369Y704			8/21/2012		1/17/2012	1,497	1,537						-40
90	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/9/2012		1/17/2012	548	525						23
91	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/9/2012		9/27/2012	48,046	46,029						2,017
92	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/9/2012		7/17/2012	14,418	14,445						-27
93	X	ISHARES RUSSELL MIDCAP	464287499			12/28/2010		6/1/2012	16,443	16,563						-120
94	X	ISHARES RUSSELL MIDCAP	464287499			12/28/2010		1/11/2012	4,172	4,166						6
95	X	ISHARES BARCLYS 1-3 YEAR	464287457			5/16/2012		1/17/2012	2,701	2,701						0
96	X	ISHARES BARCLYS 1-3 YEAR	464287457			3/21/2012		4/23/2012	24,575	24,512						63
97	X	ISHARES BARCLYS 1-3 YEAR	464287457			10/4/2011		2/9/2012	27,868	27,892						-24
98	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/14/2010		2/9/2012	14,863	14,765						98
99	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/14/2010		2/7/2012	20,948	20,806						142
100	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/8/2010		2/7/2012	9,629	9,569						60
101	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/8/2010		2/3/2012	20,113	19,978						135
102	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/8/2010		1/19/2012	21,885	21,740						145

Long Term CG Distributions										Amount				
Short Term CG Distributions										5710				
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss
103	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/7/2010		1/19/2012	7,605	7,574				31
104	X	ISHARES BARCLYS 1-3 YEAR	464287457			12/7/2010		1/1/2012	26,542	26,427				115
105	X	ISHARES BARCLAY 7-10 YR	464287440			8/25/2011		1/1/2012	3,247	3,090				157
106	X	ISHARES BARCLAY 7-10 YR	464287440			8/25/2011		10/3/2012	22,007	20,911				1,096
107	X	ISHARES BARCLAY 7-10 YR	464287440			8/4/2011		10/3/2012	3,361	3,122				239
108	X	ISHARES BARCLAY 7-10 YR	464287440			8/4/2011		4/23/2012	21,961	20,945				1,016
109	X	ISHARES BARCLAY 7-10 YR	464287440			8/4/2011		3/19/2012	6,530	6,445				85
110	X	ISHARES BARCLAY 7-10 YR	464287440			6/10/2011		3/19/2012	29,996	28,556				1,440
111	X	ISHARES BARCLAY 7-10 YR	464287440			4/18/2011		1/24/2012	30,354	27,255				3,099
112	X	ISHARES BARCLAY 7-10 YR	464287440			4/18/2011		1/19/2012	9,113	8,148				965
113	X	ISHARES BARCLAY 7-10 YR	464287440			5/25/2010		1/19/2012	16,655	14,933				1,722
114	X	ISHARES BARCLAY 7-10 YR	464287440			10/1/2009		1/19/2012	4,818	4,272				546
115	X	ISHARES BARCLAY 7-10 YR	464287440			10/1/2009		1/1/2012	9,060	7,987				1,073
116	X	ISHARE BARCLYS 20+ YR	464287432			6/1/2012		10/4/2012	28,740	30,147				-1,407
117	X	ISHARE BARCLYS 20+ YR	464287432			5/30/2012		10/4/2012	13,938	14,189				-251
118	X	ISHARE BARCLYS 20+ YR	464287432			5/25/2012		10/4/2012	5,427	5,431				-4
119	X	ISHARE BARCLYS 20+ YR	464287432			5/25/2012		10/1/2012	13,095	13,084				11
120	X	ISHARE BARCLYS 20+ YR	464287432			5/17/2012		10/1/2012	19,890	19,952				-62
121	X	ISHARE BARCLYS 20+ YR	464287432			5/15/2012		10/1/2012	15,196	14,888				308
122	X	ISHARE BARCLYS 20+ YR	464287432			5/15/2012		9/10/2012	6,681	6,536				145
123	X	ISHARE BARCLYS 20+ YR	464287432			5/15/2012		9/10/2012	37,118	36,311				807
124	X	ISHARE BARCLYS 20+ YR	464287432			5/14/2012		9/10/2012	3,712	3,629				83
125	X	ISHARE BARCLYS 20+ YR	464287432			5/14/2012		8/16/2012	31,809	31,759				50
126	X	ISHARE BARCLYS 20+ YR	464287432			5/14/2012		8/16/2012	17,119	17,057				62
127	X	ISHARE BARCLYS 20+ YR	464287432			5/8/2012		8/16/2012	20,761	20,368				393
128	X	ISHARE BARCLYS 20+ YR	464287432			5/8/2012		8/14/2012	7,955	7,623				332
129	X	ISHARE BARCLYS 20+ YR	464287432			4/23/2012		8/14/2012	24,363	23,103				1,260
130	X	ISHARE BARCLYS 20+ YR	464287432			1/1/2012		8/14/2012	12,430	11,990				440
131	X	ISHARE BARCLYS 20+ YR	464287432			1/1/2012		8/14/2012	27,222	26,256				966
132	X	ISHARE BARCLYS 20+ YR	464287432			1/1/2012		8/7/2012	43,530	41,482				2,048
133	X	ISHARE BARCLYS 20+ YR	464287432			1/1/2012		3/21/2012	24,539	26,496				-1,957
134	X	ISHARES IBOXX \$	464287242			7/21/2009		1/17/2012	1,100	917				183
135	X	ISHARES IBOXX \$	464287242			1/13/2009		1/17/2012	5,376	4,467				909
136	X	ISHARES IBOXX \$	464287242			1/13/2009		10/24/2012	38,265	31,677				6,588
137	X	ISHARES IBOXX \$	464287242			1/13/2009		4/20/2012	29,165	25,484				3,681
138	X	ISHARES IBOXX \$	464287242			1/13/2009		1/1/2012	8,655	7,716				939
139	X	ISHARES BARCLAYS TIPS BO	464287176			4/12/2011		1/17/2012	4,410	3,943				467
140	X	ISHARES BARCLAYS TIPS BO	464287176			4/12/2011		8/22/2012	4,907	4,491				416
141	X	ISHARES BARCLAYS TIPS BO	464287176			5/6/2010		8/2/2012	9,377	8,610				1,127
142	X	ISHARES BARCLAYS TIPS BO	464287176			5/6/2010		5/16/2012	9,587	8,610				977
143	X	ISHARES BARCLAYS TIPS BO	464287176			4/8/2011		8/22/2012	19,988	18,183				1,805
144	X	ISHARES BARCLAYS TIPS BO	464287176			4/8/2011		8/2/2012	15,335	13,719				1,616
145	X	ISHARES BARCLAYS TIPS BO	464287176			11/10/2009		5/16/2012	19,892	17,407				2,485
146	X	ISHARES BARCLAYS TIPS BO	464287176			11/10/2009		4/10/2012	237	210				27
147	X	ISHARES BARCLAYS TIPS BO	464287176			10/30/2009		4/10/2012	23,480	20,562				2,918
148	X	ISHARES BARCLAYS TIPS BO	464287176			9/10/2008		4/10/2012	5,455	4,853				602
149	X	ISHARES BARCLAYS TIPS BO	464287176			9/10/2008		1/23/2012	30,223	27,323				2,900
150	X	ISHARES BARCLAYS TIPS BO	464287176			9/10/2008		1/1/2012	10,096	9,073				1,023
151	X	ISHARES MSCI CDA INDX FD	464286509			4/29/2011		1/1/2012	6,337	5,121				-1,216
152	X	ALPS ALERIAN MLP ETF	00162Q866			10/24/2012		10/26/2012	38,003	38,301				-298
153	X	ALPS ALERIAN MLP ETF	00162Q866			10/3/2012		10/26/2012	25,230	25,362				-132
Totals									Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Securities									3,148,872		3,049,047		99,825	
Other sales									10,516		0		10,516	

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss	
Long Term CG Distributions										3,148,872		3,049,047		99,825	
Short Term CG Distributions										10,516		0		10,516	
154	X	ALPS ALERIAN MLP ETF	00182Q866			8/2/2012		10/26/2012	25,380	25,083				297	
155	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/21/2011		7/17/2012	314	329				-15	
156	X	SECTOR SPDR INDUSTRIAL	81369Y704			10/13/2011		5/14/2012	4,641	4,174				467	
157	X	SECTOR SPDR INDUSTRIAL	81369Y704			3/24/2011		5/14/2012	9,317	9,600				-283	
158	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/21/2011		5/14/2012	316	318				-2	
159	X	SECTOR SPDR INDUSTRIAL	81369Y704			10/13/2011		1/11/2012	4,618	4,111				507	
160	X	SECTOR SPDR INDUSTRIAL	81369Y704			3/24/2011		1/11/2012	9,413	9,810				-397	
161	X	SECTOR SPDR INDUSTRIAL	81369Y704			1/21/2011		1/11/2012	18,008	18,298				-290	
162	X	SECTOR SPDR FINANCIAL	81369Y605			1/21/2011		1/17/2012	7,460	6,678				782	
163	X	SECTOR SPDR FINANCIAL	81369Y605			1/10/2012		5/31/2012	19,861	19,843				18	
164	X	SECTOR SPDR FINANCIAL	81369Y605			2/11/2011		5/31/2012	1,677	2,080				-403	
165	X	SECTOR SPDR FINANCIAL	81369Y605			1/12/2011		5/31/2012	12,525	12,558				-33	
166	X	SECTOR SPDR FINANCIAL	81369Y605			1/10/2012		5/31/2012	16,030	15,896				134	
167	X	SECTOR SPDR FINANCIAL	81369Y605			2/11/2011		5/30/2012	13,533	16,622				-3,089	
168	X	SECTOR SPDR FINANCIAL	81369Y605			2/11/2011		5/30/2012	673	825				-152	
169	X	SECTOR SPDR FINANCIAL	81369Y605			2/11/2011		1/11/2012	2,346	2,911				-565	
170	X	SECTOR SPDR FINANCIAL	81369Y605			8/17/2012		1/18/2012	10,747	11,179				-432	
171	X	SECTOR SPDR ENERGY	81369Y506			7/31/2012		1/18/2012	18,285	18,468				-183	
172	X	SECTOR SPDR ENERGY	81369Y506			7/31/2012		1/17/2012	2,757	2,749				8	
173	X	SECTOR SPDR ENERGY	81369Y506			12/5/2011		5/8/2012	67	70				-3	
174	X	SECTOR SPDR ENERGY	81369Y506			11/10/2011		5/8/2012	17,201	18,302				-1,101	
175	X	SECTOR SPDR ENERGY	81369Y506			11/10/2011		5/8/2012	667	710				-43	
176	X	SECTOR SPDR ENERGY	81369Y506			11/10/2011		3/29/2012	4,865	4,901				-36	
177	X	SECTOR SPDR ENERGY	81369Y506			11/10/2011		3/29/2012	71	71				0	
178	X	SECTOR SPDR ENERGY	81369Y506			1/12/2011		3/29/2012	20,588	20,472				116	
179	X	SECTOR SPDR ENERGY	81369Y506			1/6/2011		3/29/2012	4,019	3,859				160	
180	X	SECTOR SPDR ENERGY	81369Y506			1/6/2011		1/31/2012	17,577	16,790				787	
181	X	SECTOR SPDR ENERGY	81369Y506			12/30/2010		1/31/2012	8,647	8,309				338	
182	X	SECTOR SPDR ENERGY	81369Y506			12/30/2010		1/11/2012	12,400	11,986				414	
183	X	SECTOR SPDR ENERGY	81369Y506			11/10/2010		1/11/2012	2,959	3,034				-75	
184	X	SECTOR SPDR ENERGY	81369Y506			11/10/2010		1/17/2012	5,457	4,303				1,154	
185	X	CONSUMER DISCRETIONARY	81369Y407			12/8/2009		7/31/2012	24,040	16,059				7,981	
186	X	CONSUMER DISCRETIONARY	81369Y407			11/17/2009		7/31/2012	876	586				290	
187	X	CONSUMER DISCRETIONARY	81369Y407			6/22/2011		12/9/2011	9	8				1	
188	X	SPDR GOLD TRUST	78463V107			10/14/2011		11/15/2012	6,243	5,598				645	
189	X	SPDR S&P 500 ETF TRUST	78462F103			10/12/2011		11/15/2012	36,370	32,532				3,838	
190	X	SPDR S&P 500 ETF TRUST	78462F103			10/12/2011		11/14/2012	13,870	12,382				1,488	
191	X	SPDR S&P 500 ETF TRUST	78462F103			10/12/2011		11/14/2012	29,237	25,615				3,622	
192	X	SPDR S&P 500 ETF TRUST	78462F103			10/10/2011		1/17/2012	10,897	9,293				1,604	
193	X	SPDR S&P 500 ETF TRUST	78462F103			10/10/2011		1/11/2012	12,548	11,557				991	
194	X	SPDR S&P 500 ETF TRUST	78462F103			4/23/2012		11/7/2012	4,326	4,253				73	
195	X	POWERSHARES EXCHANGE	73936Q769			5/14/2012		5/15/2012	31,534	31,403				131	
196	X	DB US DOLLAR IND BULLISH	73936D107			4/23/2012		5/15/2012	26,245	25,782				463	
197	X	DB US DOLLAR IND BULLISH	73936D107			2/2/2011		1/11/2012	6	8				-2	
198	X	PIMCO COMMODITY REAL	72201M842			2/2/2011		1/11/2012	7,346	10,452				-3,106	
199	X	PIMCO COMMODITY REAL	72201M842			10/18/2010		1/11/2012	7	8				-1	
200	X	PIMCO COMMODITY REAL	72201M842			4/23/2012		10/23/2012	145	140				5	
201	X	ISHARES RS 2000 VALUE	464287630			12/7/2011		10/23/2012	30,370	27,623				2,747	
202	X	ISHARES RS 2000 VALUE	464287630			10/18/2011		10/23/2012	290	251				39	
203	X	ISHARES RS 2000 VALUE	464287630			10/18/2011		5/25/2012	18,514	17,144				1,370	
204	X	ISHARES RS 2000 VALUE	464287630			10/18/2011		5/25/2012	18,514	17,144				1,370	

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Net Gain or Loss					
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales		Cost, Other Basis and Expenses		Depreciation	Net Gain or Loss	
									Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements			
Long Term CG Distributions										3,148,872	3,049,047				99,825
Short Term CG Distributions										10,516	0				10,516
205	X	ISHARES RS 2000 VALUE	464287630			1/5/2011		5/25/2012	10,240	10,874				-634	
206	X	ISHARES RS 2000 VALUE	464287630			1/5/2011		1/11/2012	2,792	2,952				-160	
207	X	ISHARES COHEN & STEERS	464287564			7/17/2012		1/17/2012	1,770	1,870				-100	
208	X	ISHARES COHEN & STEERS	464287564			7/17/2012		10/26/2012	15,668	16,663				-995	
209	X	ISHARES COHEN & STEERS	464287564			5/1/2012		10/26/2012	18,496	19,376				-880	
210	X	ISHARES COHEN & STEERS	464287564			4/20/2012		10/26/2012	7,719	7,796				-77	
211	X	ISHARES COHEN & STEERS	464287564			4/20/2012		10/25/2012	20,666	20,919				-253	
212	X	ISHARES COHEN & STEERS	464287564			1/31/2012		10/25/2012	21,276	20,808				468	
213	X	ISHARES COHEN & STEERS	464287564			1/31/2012		10/24/2012	5,781	5,594				187	
214	X	ISHARES COHEN & STEERS	464287564			7/7/2011		10/24/2012	14,644	14,400				244	
215	X	ISHARES COHEN & STEERS	464287564			2/16/2011		10/24/2012	18,267	16,704				1,563	
216	X	ISHARES COHEN & STEERS	464287564			8/6/2009		10/24/2012	3,623	2,096				1,527	
217	X	ISHARES COHEN & STEERS	464287564			8/6/2009		4/23/2012	379	223				156	
218	X	ISHARES COHEN & STEERS	464287564			8/6/2009		1/11/2012	1,843	1,159				684	
219	X	ISHARES RUSSELL MIDCAP	464287499			4/14/2011		1/17/2012	4,265	4,192				73	
220	X	ISHARES RUSSELL MIDCAP	464287499			4/14/2011		6/1/2012	2,724	2,902				-178	
221	X	ISHARES RUSSELL MIDCAP	464287499			1/13/2011		6/1/2012	9,987	10,260				-273	
222	X	PIMCO COMMODITY REAL	72201M842			10/18/2010		1/11/2012	10,503	13,690				-3,187	
223	X	PIMCO COMMODITY REAL	72201M842			10/1/2010		1/11/2012	7	8				-1	
224	X	PIMCO COMMODITY REAL	72201M842			10/1/2010		1/11/2012	16,076	19,608				-3,532	
225	X	PIMCO COMMODITY REAL	72201M842			9/24/2010		1/11/2012	15,882	19,395				-3,513	
226	X	PIMCO COMMODITY REAL	72201M842			9/20/2010		1/11/2012	16,558	19,824				-3,266	
227	X	PIMCO COMMODITY REAL	72201M842			9/20/2010		1/11/2012	7	8				-1	
228	X	PIMCO COMMODITY REAL	72201M842			9/1/2010		1/11/2012	16,993	19,964				-2,971	
229	X	PIMCO COMMODITY REAL	72201M842			4/5/2010		1/11/2012	14,685	17,516				-2,831	
230	X	S&P US PFD STK INDEX FD	464288687			1/23/2012		1/13/2012	10,417	9,896				521	
231	X	S&P US PFD STK INDEX FD	464288687			2/8/2011		1/13/2012	15,546	15,308				238	
232	X	S&P US PFD STK INDEX FD	464288687			2/8/2011		1/17/2012	279	274				5	
233	X	S&P US PFD STK INDEX FD	464288687			12/11/2009		1/17/2012	4,101	3,723				378	
234	X	S&P US PFD STK INDEX FD	464288687			12/11/2009		4/23/2012	270	253				17	
235	X	S&P US PFD STK INDEX FD	464288687			12/11/2009		1/11/2012	858	831				27	
236	X	ISHARES BARCLAYS SHORT	464288679			5/31/2012		7/9/2012	28,539	28,547				-8	
237	X	ISHARES BARCLAYS SHORT	464288679			5/31/2012		7/9/2012	21,376	21,383				-7	
238	X	ISHARES BARCLAYS SHORT	464288679			9/22/2011		1/12/2012	18,515	18,522				-7	
239	X	ISHARES BARCLAYS SHORT	464288679			9/9/2011		1/12/2012	3,967	3,969				-2	
240	X	ISHARES BARCLAYS SHORT	464288679			9/9/2011		1/11/2012	10,912	10,914				-2	
241	X	ISHARES BARCLAYS SHORT	464288679			9/6/2011		1/11/2012	5,511	5,512				-1	
242	X	ISHARES BARCLAYS SHORT	464288679			9/6/2011		1/9/2012	13,006	13,010				-4	
243	X	ISHARES BARCLAYS SHORT	464288679			8/19/2011		1/9/2012	19,068	19,077				-9	
244	X	ISHARES BARCLAYS SHORT	464288679			8/19/2011		1/9/2012	27,334	27,347				-13	
245	X	ISHARES BARCLAYS SHORT	464288679			8/19/2011		1/9/2012	1,323	1,323				0	
246	X	ISHARES BARCLAYS SHORT	464288679			8/19/2011		1/6/2012	20,389	20,398				-9	
247	X	ISHARES BARCLAYS SHORT	464288679			8/10/2011		1/6/2012	18,735	18,741				-6	
248	X	ISHARES BARCLAYS SHORT	464288679			8/10/2011		1/5/2012	12,233	12,237				-4	
249	X	ISHARES BARCLAYS SHORT	464288679			8/10/2011		1/5/2012	13,886	13,892				-6	
250	X	ISHARES BARCLAYS SHORT	464288679			8/9/2011		1/5/2012	13,005	13,010				-5	
251	X	ISHARES BARCLAYS SHORT	464288679			8/8/2011		1/3/2012	24,356	24,365				-9	
252	X	ISHARES BARCLAYS SHORT	464288679			8/8/2011		1/3/2012	14,658	14,663				-5	
253	X	ISHARES BARCLAYS SHORT	464288679			8/8/2011		12/7/2011	21,823	21,832				-9	
254	X	ISHARES BARCLAYS SHORT	464288679			8/8/2011		12/7/2011	5,731	5,733				-2	
255	X	ISHARES BARCLAYS 1-3 YEA	464288646			2/9/2012		1/17/2012	3,063	3,042				21	

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Amount				
Long Term CG Distributions										571				
Short Term CG Distributions										0				
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals					
									Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss
256	X	ISHARES BARCLAYS 1-3 YEA	464288646			2/9/2012		4/23/2012	7,973	7,971				
257	X	ISHARES BARCLAYS MBS BO	464288588			3/3/2009		11/7/2012	3,690	3,563				127
258	X	ISHARES BARCLAYS MBS BO	464288588			3/3/2009		9/6/2012	5,439	5,244				195
259	X	ISHARES BARCLAYS MBS BO	464288588			3/3/2009		9/6/2012	16,317	15,719				598
260	X	ISHARES BARCLAYS MBS BO	464288588			3/3/2009		1/25/2012	29,725	28,841				884
261	X	ISHARES BARCLAYS MBS BO	464288588			3/3/2009		1/11/2012	4,220	4,090				130
262	X	ISHARES BARCLAYS MBS BO	464288588			2/4/2009		1/11/2012	1,840	1,775				65
263	X	ISHARES JP MORGAN EM BO	464288281			1/19/2012		11/7/2012	4,384	3,932				452
264	X	ISHARES JP MORGAN EM BO	464288281			1/19/2012		5/23/2012	14,931	14,745				186
265	X	ISHARES JP MORGAN EM BO	464288281			1/19/2012		4/23/2012	453	437				16
266	X	ISHARES DJ US TELCOMMUN	464287713			7/8/2011		1/11/2012	2,663	3,152				-489
267	X	ISHARES DJ US TELCOMMUN	464287713			5/11/2011		1/11/2012	1,811	2,134				-323
268	X	ISHARES TR RUSSELL 2000	464287655			7/9/2012		11/7/2012	4,091	4,098				-7
269	X	ISHARES RS 2000 GROWTH	464287648			4/23/2012		8/16/2012	183	181				2
270	X	ISHARES RS 2000 GROWTH	464287648			1/11/2012		8/16/2012	17,224	16,474				750
271	X	ISHARES RS 2000 GROWTH	464287648			12/14/2010		8/16/2012	20,248	19,185				1,063
272	X	ISHARES RS 2000 GROWTH	464287648			12/2/2010		8/16/2012	2,199	2,018				181
273	X	ISHARES RS 2000 GROWTH	464287648			12/2/2010		5/25/2012	12,707	12,192				515
274		LOSS DISALLOWED DUE TO V							10,516					10,516

Line 16b (990-PF) - Accounting Fees

		2,500	0	0	2,500
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	2,500			2,500
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

		17,296	10,378	0	6,919
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	MERRILL LYNCH FEES AS AGENT	17,296	10,378		6,919
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PY FED EXCISE TAX	1,146			
2	ESTIMATED EXCISE TAX PAID	2,223			
3	FOREIGN TAX WITHHELD	281	281		
4					
5					
6					
7					
8					
9					
10					
		3,650	281	0	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Totals										109,770		0		0			
		Short Term CG Distributions		571		0		3,158,817		0		3,049,047		Gain or Loss		F M V as of 12/31/69		Adjusted Basis as of 12/31/69		Excess of FMV Over Adj Basis	
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses								
1	VANGUARD INFORMATION	92204A702		4/23/2012	10/11/2012	430	0	425	5			0	109,770								
2	VANGUARD INFORMATION	92204A702		12/8/2009	1/11/2012	5,770	0	4,797	973			0	0								
3	VANGUARD INFORMATION	92204A702		5/21/2009	1/11/2012	2,346	0	1,441	905			0	905								
4	VANGUARD INFORMATION	92204A702		10/26/2011	10/11/2012	33,751	0	29,503	4,248			0	4,248								
5	VANGUARD INFORMATION	92204A702		9/15/2011	10/11/2012	7,596	0	6,367	1,229			0	1,229								
6	VANGUARD INFORMATION	92204A702		9/15/2011	6/15/2012	25,945	0	23,127	2,818			0	2,818								
7	VANGUARD INFORMATION	92204A702		8/31/2011	6/15/2012	20,217	0	17,970	2,247			0	2,247								
8	VANGUARD INFORMATION	922042874		1/6/2011	5/25/2012	17,865	0	16,896	969			0	969								
9	VANGUARD MSCI EUROPEAN	922042874		4/29/2011	5/14/2012	1,262	0	1,714	-452			0	-452								
10	VANGUARD MSCI EUROPEAN	922042874		4/29/2011	5/14/2012	15,940	0	21,678	-5,738			0	-5,738								
11	VANGUARD MSCI EUROPEAN	922042874		10/27/2011	5/11/2012	8,810	0	9,979	-1,169			0	-1,169								
12	VANGUARD MSCI EUROPEAN	922042874		4/29/2011	5/11/2012	16,209	0	21,360	-5,151			0	-5,151								
13	VANGUARD MSCI EUROPEAN	922042874		4/29/2011	5/11/2012	1,283	0	1,688	-405			0	-405								
14	VANGUARD MSCI EUROPEAN	922042874		4/29/2011	1/11/2012	2,579	0	3,489	-910			0	-910								
15	VANGUARD MSCI PACIFIC	922042866		10/7/2010	1/17/2012	1,605	0	1,771	-166			0	-166								
16	VANGUARD MSCI PACIFIC	922042866		10/7/2010	1/11/2012	1,552	0	1,771	-219			0	-219								
17	VANGUARD MSCI EMERGING	922042858		8/14/2012	1/17/2012	6,653	0	6,625	28			0	28								
18	VANGUARD MSCI EMERGING	922042858		4/23/2012	5/17/2012	1,943	0	2,134	-191			0	-191								
19	VANGUARD MSCI EMERGING	922042858		9/24/2010	5/17/2012	16,840	0	20,886	-4,046			0	-4,046								
20	VANGUARD MSCI EMERGING	922042858		9/14/2010	5/17/2012	1,181	0	1,434	-253			0	-253								
21	VANGUARD MSCI EMERGING	922042858		4/23/2012	5/14/2012	2,013	0	2,134	-121			0	-121								
22	VANGUARD MSCI EMERGING	922042858		9/24/2010	5/14/2012	17,444	0	19,833	-2,389			0	-2,389								
23	VANGUARD MSCI EMERGING	922042858		9/14/2010	5/14/2012	1,223	0	1,360	-137			0	-137								
24	VANGUARD MSCI EMERGING	922042858		9/14/2010	1/11/2012	4,018	0	4,430	-412			0	-412								
25	VANGUARD MSCI EMERGING	922042858		8/2/2010	1/11/2012	7,081	0	7,653	-572			0	-572								
26	SECTOR SPDR UTILITIES	81369Y886		5/8/2012	1/17/2012	5,337	0	5,448	-111			0	-111								
27	VANGUARD INFORMATION	92204A702		11/2/2010	5/25/2012	7,962	0	7,122	840			0	840								
28	VANGUARD INFORMATION	92204A702		8/31/2011	5/25/2012	4,140	0	3,714	426			0	426								
29	VANGUARD INFORMATION	92204A702		1/27/2011	5/25/2012	11,751	0	11,454	297			0	297								
30	VANGUARD INFORMATION	92204A702		1/6/2011	5/25/2012	2,671	0	2,531	140			0	140								
31	VANGUARD INFORMATION	92204A702		11/2/2010	4/23/2012	9,923	0	8,379	1,544			0	1,544								
32	VANGUARD INFORMATION	92204A702		12/26/2009	4/23/2012	13,325	0	10,396	2,929			0	2,929								
33	VANGUARD INFORMATION	92204A702		12/26/2009	4/20/2012	11,160	0	8,572	2,588			0	2,588								
34	VANGUARD INFORMATION	92204A702		12/8/2009	4/20/2012	17,497	0	12,810	4,687			0	4,687								
35	VANGUARD INFORMATION	92204A702		5/21/2009	1/10/2012	12,983	0	8,021	4,962			0	4,962								
36	VANGUARD INFORMATION	92204A702		3/11/2009	1/10/2012	22,184	0	11,232	10,952			0	10,952								
37	VANGUARD INFORMATION	92204A702		6/24/2008	1/10/2012	2,521	0	2,186	335			0	335								
38	VANGUARD MSCI EUROPEAN	922042874		8/7/2012	1/17/2012	4,908	0	4,846	62			0	62								
39	VANGUARD MSCI EUROPEAN	922042874		4/23/2012	5/15/2012	14,826	0	15,699	-873			0	-873								
40	VANGUARD MSCI EUROPEAN	922042874		10/27/2011	5/15/2012	8,484	0	10,152	-1,668			0	-1,668								
41	SECTOR SPDR INDUSTRIAL	81369Y704		1/3/2012	7/17/2012	12,393	0	12,328	65			0	65								
42	SECTOR SPDR INDUSTRIAL	81369Y704		10/13/2011	7/17/2012	32,012	0	28,996	3,016			0	3,016								
43	VANGUARD MSCI EUROPEAN	922042874		10/27/2011	5/15/2012	7,701	0	9,059	-1,358			0	-1,358								
44	VANGUARD MSCI EUROPEAN	922042874		10/27/2011	5/14/2012	14,426	0	16,616	-2,190			0	-2,190								
45	CONSUMER DISCRETIONARY	81369Y407		11/4/2010	7/31/2012	18,878	0	15,718	3,160			0	3,160								
46	CONSUMER DISCRETIONARY	81369Y407		12/8/2009	7/31/2012	2,321	0	1,550	771			0	771								
47	CONSUMER DISCRETIONARY	81369Y407		11/17/2009	1/11/2012	5,814	0	4,216	1,598			0	1,598								
48	SECTOR SPDR CONSMRS STPL	81369Y308		11/2/2009	1/17/2012	6,958	0	5,215	1,743			0	1,743								
49	SECTOR SPDR CONSMRS STPL	81369Y308		11/2/2009	1/11/2012	5,470	0	4,455	1,015			0	1,015								
50	HEALTH CARE SELECT SPDR	81369Y209		4/21/2011	1/17/2012	6,966	0	6,078	888			0	888								
51	HEALTH CARE SELECT SPDR	81369Y209		4/21/2011	8/21/2012	2,167	0	1,923	244			0	244								
52	HEALTH CARE SELECT SPDR	81369Y209		4/1/2011	8/21/2012	23,839	0	20,574	3,265			0	3,265								
53	HEALTH CARE SELECT SPDR	81369Y209		3/3/2011	8/21/2012	13,506	0	11,548	1,958			0	1,958								
54	HEALTH CARE SELECT SPDR	81369Y209		2/24/2011	8/21/2012	9,133	0	7,591	1,542			0	1,542								
55	HEALTH CARE SELECT SPDR	81369Y209		2/24/2011	1/11/2012	3,163	0	2,863	300			0	300								
56	HEALTH CARE SELECT SPDR	81369Y209		12/10/2009	1/11/2012	2,843	0	2,510	333			0	333								
57	MATERIALS SELECT SECTOR	81369Y100		12/29/2010	1/17/2012	3,592	0	3,859	-267			0	-267								
58	SPDR BARCLAYS INTL	78464A516		5/18/2011	1/11/2012	8,895	0	9,345	-450			0	-450								

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions														Amount						
Short Term CG Distributions														571	0					
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses							
Totals														3,158,817	0	3,049,047	109,770	0	0	109,770
59	SPDR BARCLAYS INTL	78464A516		3/24/2011	1/11/2012	1,528	0	1,691	-63			0	-63							
60	SPDR BARCLAYS	78464A417		1/24/2012	11/7/2012	6,494	0	6,352	142			0	142							
61	SPDR BARCLAYS	78464A417		1/24/2012	5/23/2012	23,037	0	23,566	-529			0	-529							
62	SPDR BARCLAYS	78464A417		1/19/2012	5/23/2012	6,631	0	6,751	-120			0	-120							
63	SPDR BARCLAYS	78464A417		1/19/2012	5/16/2012	23,889	0	23,882	7			0	7							
64	SPDR BARCLAYS	78464A417		1/11/2012	5/16/2012	9,134	0	9,043	91			0	91							
65	SPDR BARCLAYS	78464A417		1/11/2012	4/23/2012	30,859	0	30,415	444			0	444							
66	SPDR BARCLAYS	78464A417		12/2/2010	4/23/2012	8,940	0	9,081	-141			0	-141							
67	SPDR BARCLAYS	78464A417		12/2/2010	4/23/2012	392	0	398	-6			0	-6							
68	SPDR BARCLAYS	78464A417		12/2/2010	1/11/2012	387	0	398	-11			0	-11							
69	SPDR GOLD TRUST	78463V107		4/14/2011	11/7/2012	4,303	0	3,720	583			0	583							
70	SPDR GOLD TRUST	78463V107		4/14/2011	5/8/2012	13,389	0	12,305	1,084			0	1,084							
71	SPDR GOLD TRUST	78463V107		12/3/2010	5/8/2012	10,743	0	9,492	1,251			0	1,251							
72	SPDR GOLD TRUST	78463V107		9/16/2010	5/8/2012	4,048	0	3,227	821			0	821							
73	SPDR GOLD TRUST	78463V107		9/16/2010	5/1/2012	16,972	0	13,031	3,941			0	3,941							
74	SPDR GOLD TRUST	78463V107		6/4/2010	5/1/2012	2,425	0	1,779	646			0	646							
75	SPDR GOLD TRUST	78463V107		6/4/2010	11/1/2012	4,472	0	3,320	1,152			0	1,152							
76	SPDR GOLD TRUST	78463V107		4/29/2011	12/9/2011	8	0	7	1			0	1							
77	SPDR GOLD TRUST	78463V107		4/14/2011	12/9/2011	8	0	7	1			0	1							
78	SPDR GOLD TRUST	78463V107		6/4/2010	12/9/2011	2	0	2	0			0	0							
79	SPDR GOLD TRUST	78463V107		9/16/2010	12/9/2011	7	0	5	2			0	2							
80	SPDR GOLD TRUST	78463V107		12/3/2010	12/9/2011	4	0	3	1			0	1							
81	SECTOR SPDR UTILITIES	81369Y886		5/8/2012	8/17/2012	4,482	0	4,308	174			0	174							
82	SECTOR SPDR UTILITIES	81369Y886		1/11/2012	8/17/2012	30,970	0	29,339	1,631			0	1,631							
83	SECTOR SPDR UTILITIES	81369Y886		6/29/2011	8/17/2012	6,668	0	6,030	638			0	638							
84	SECTOR SPDR UTILITIES	81369Y886		6/9/2011	8/17/2012	2,260	0	2,028	232			0	232							
85	SECTOR SPDR UTILITIES	81369Y886		6/9/2011	3/19/2012	16,118	0	15,423	695			0	695							
86	SECTOR SPDR UTILITIES	81369Y886		5/10/2011	3/19/2012	10,942	0	10,707	235			0	235							
87	SECTOR SPDR UTILITIES	81369Y886		7/27/2010	3/19/2012	5,732	0	5,120	612			0	612							
88	AMEX TECHNLGY SELECT SPDR	81369Y803		7/31/2012	11/7/2012	2,660	0	2,742	-82			0	-82							
89	SECTOR SPDR INDUSTRIAL	81369Y704		8/21/2012	11/7/2012	1,497	0	1,537	-40			0	-40							
90	SECTOR SPDR INDUSTRIAL	81369Y704		1/9/2012	11/7/2012	548	0	525	23			0	23							
91	SECTOR SPDR INDUSTRIAL	81369Y704		1/9/2012	9/21/2012	48,046	0	46,029	2,017			0	2,017							
92	SECTOR SPDR INDUSTRIAL	81369Y704		1/9/2012	7/17/2012	14,418	0	14,445	-27			0	-27							
93	ISHARES RUSSELL MIDCAP	464287499		12/28/2010	6/1/2012	16,443	0	16,563	-120			0	-120							
94	ISHARES RUSSELL MIDCAP	464287499		12/28/2010	11/1/2012	4,172	0	4,166	6			0	6							
95	ISHARES BARCLYS 1-3 YEAR	464287457		5/16/2012	11/7/2012	2,701	0	2,701	0			0	0							
96	ISHARES BARCLYS 1-3 YEAR	464287457		3/21/2012	4/23/2012	24,575	0	24,512	63			0	63							
97	ISHARES BARCLYS 1-3 YEAR	464287457		10/4/2011	2/9/2012	27,868	0	27,892	-24			0	-24							
98	ISHARES BARCLYS 1-3 YEAR	464287457		12/14/2010	2/9/2012	14,863	0	14,765	98			0	98							
99	ISHARES BARCLYS 1-3 YEAR	464287457		12/14/2010	2/7/2012	20,948	0	20,806	142			0	142							
100	ISHARES BARCLYS 1-3 YEAR	464287457		12/8/2010	2/7/2012	9,629	0	9,569	60			0	60							
101	ISHARES BARCLYS 1-3 YEAR	464287457		12/8/2010	2/3/2012	20,113	0	19,978	135			0	135							
102	ISHARES BARCLYS 1-3 YEAR	464287457		12/8/2010	1/19/2012	21,885	0	21,740	145			0	145							
103	ISHARES BARCLYS 1-3 YEAR	464287457		12/7/2010	1/19/2012	7,605	0	7,574	31			0	31							
104	ISHARES BARCLYS 1-3 YEAR	464287457		12/7/2010	11/1/2012	26,542	0	26,427	115			0	115							
105	ISHARES BARCLAY 7-10 YR	464287440		8/25/2011	11/7/2012	3,247	0	3,090	157			0	157							
106	ISHARES BARCLAY 7-10 YR	464287440		8/25/2011	10/3/2012	22,007	0	20,911	1,096			0	1,096							
107	ISHARES BARCLAY 7-10 YR	464287440		8/4/2011	10/3/2012	3,361	0	3,122	239			0	239							
108	ISHARES BARCLAY 7-10 YR	464287440		8/4/2011	4/23/2012	21,981	0	20,945	1,016			0	1,016							
109	ISHARES BARCLAY 7-10 YR	464287440		8/4/2011	3/19/2012	6,530	0	6,445	85			0	85							
110	ISHARES BARCLAY 7-10 YR	464287440		6/10/2011	3/19/2012	29,996	0	28,556	1,440			0	1,440							
111	ISHARES BARCLAY 7-10 YR	464287440		4/18/2011	1/24/2012	30,354	0	27,255	3,099			0	3,099							
112	ISHARES BARCLAY 7-10 YR	464287440		4/18/2011	1/19/2012	9,113	0	8,148	965			0	965							
113	ISHARES BARCLAY 7-10 YR	464287440		5/25/2010	1/19/2012	16,655	0	14,933	1,722			0	1,722							
114	ISHARES BARCLAY 7-10 YR	464287440		10/1/2009	1/19/2012	4,818	0	4,272	546			0	546							
115	ISHARES BARCLAY 7-10 YR	464287440		10/1/2009	1/11/2012	9,060	0	7,987	1,073			0	1,073							
116	ISHARE BARCLYS 20+ YR	464287432		6/1/2012	10/4/2012	28,740	0	30,147	-1,407			0	-1,407							

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Totals		3,158,817		0		3,049,047		109,770		0		0		Gains Minus Excess of FMV Over Adjusted Basis or Losses	
Short Term CG Distributions		571		0															
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis							
117	ISHARE BARCLYS 20+ YR	464287432		5/30/2012	10/4/2012	13,938	0	14,189	-251			0							
118	ISHARE BARCLYS 20+ YR	464287432		5/25/2012	10/4/2012	5,427	0	5,431	-4			0							
119	ISHARE BARCLYS 20+ YR	464287432		5/25/2012	10/1/2012	13,095	0	13,084	11			0							
120	ISHARE BARCLYS 20+ YR	464287432		5/17/2012	10/1/2012	19,890	0	19,952	-62			0							
121	ISHARE BARCLYS 20+ YR	464287432		5/15/2012	10/1/2012	15,196	0	14,888	308			0							
122	ISHARE BARCLYS 20+ YR	464287432		5/15/2012	9/10/2012	6,681	0	6,536	145			0							
123	ISHARE BARCLYS 20+ YR	464287432		5/15/2012	9/10/2012	37,118	0	36,311	807			0							
124	ISHARE BARCLYS 20+ YR	464287432		5/14/2012	9/10/2012	3,712	0	3,629	83			0							
125	ISHARE BARCLYS 20+ YR	464287432		5/14/2012	8/16/2012	31,809	0	31,759	50			0							
126	ISHARE BARCLYS 20+ YR	464287432		5/14/2012	8/16/2012	17,119	0	17,057	62			0							
127	ISHARE BARCLYS 20+ YR	464287432		5/8/2012	8/16/2012	20,761	0	20,368	393			0							
128	ISHARE BARCLYS 20+ YR	464287432		5/8/2012	8/14/2012	7,955	0	7,623	332			0							
129	ISHARE BARCLYS 20+ YR	464287432		4/23/2012	8/14/2012	24,363	0	23,103	1,260			0							
130	ISHARE BARCLYS 20+ YR	464287432		1/1/2012	8/14/2012	12,430	0	11,990	440			0							
131	ISHARE BARCLYS 20+ YR	464287432		1/1/2012	8/14/2012	27,222	0	26,256	966			0							
132	ISHARE BARCLYS 20+ YR	464287432		1/1/2012	8/7/2012	43,530	0	41,482	2,048			0							
133	ISHARE BARCLYS 20+ YR	464287432		1/1/2012	3/21/2012	24,539	0	26,496	-1,957			0							
134	ISHARES IBOXX \$	464287242		7/21/2009	1/17/2012	1,100	0	917	183			0							
135	ISHARES IBOXX \$	464287242		1/13/2009	1/17/2012	5,376	0	4,467	909			0							
136	ISHARES IBOXX \$	464287242		1/13/2009	10/24/2012	38,265	0	31,677	6,588			0							
137	ISHARES IBOXX \$	464287242		1/13/2009	4/20/2012	29,165	0	25,484	3,681			0							
138	ISHARES IBOXX \$	464287242		1/13/2009	1/11/2012	8,655	0	7,716	939			0							
139	ISHARES BARCLAYS TIPS BO	464287176		4/12/2011	1/17/2012	4,410	0	3,943	467			0							
140	ISHARES BARCLAYS TIPS BO	464287176		4/12/2011	8/22/2012	4,907	0	4,491	416			0							
141	ISHARES BARCLAYS TIPS BO	464287176		5/6/2010	8/2/2012	9,737	0	8,610	1,127			0							
142	ISHARES BARCLAYS TIPS BO	464287176		5/6/2010	5/16/2012	9,587	0	8,610	977			0							
143	ISHARES BARCLAYS TIPS BO	464287176		4/8/2011	8/22/2012	19,988	0	18,183	1,805			0							
144	ISHARES BARCLAYS TIPS BO	464287176		4/8/2011	8/2/2012	15,335	0	13,719	1,616			0							
145	ISHARES BARCLAYS TIPS BO	464287176		1/1/2009	5/16/2012	19,892	0	17,407	2,485			0							
146	ISHARES BARCLAYS TIPS BO	464287176		1/1/2009	4/10/2012	237	0	210	27			0							
147	ISHARES BARCLAYS TIPS BO	464287176		10/30/2009	4/10/2012	23,480	0	20,562	2,918			0							
148	ISHARES BARCLAYS TIPS BO	464287176		9/10/2008	4/10/2012	5,455	0	4,853	602			0							
149	ISHARES BARCLAYS TIPS BO	464287176		9/10/2008	1/23/2012	30,223	0	27,323	2,900			0							
150	ISHARES BARCLAYS TIPS BO	464287176		9/10/2008	1/11/2012	10,096	0	9,073	1,023			0							
151	ISHARES MSCI CDA INDX FD	464286509		4/29/2011	1/11/2012	5,121	0	6,337	-1,216			0							
152	ALPS ALERIAN MLP ETF	00162Q866		10/24/2012	10/26/2012	38,003	0	38,301	-298			0							
153	ALPS ALERIAN MLP ETF	00162Q866		10/3/2012	10/26/2012	25,230	0	25,362	-132			0							
154	ALPS ALERIAN MLP ETF	00162Q866		8/2/2012	10/26/2012	25,380	0	25,083	297			0							
155	SECTOR SPDR INDUSTRIAL	81369Y704		1/21/2011	7/17/2012	314	0	329	-15			0							
156	SECTOR SPDR INDUSTRIAL	81369Y704		10/13/2011	5/14/2012	4,641	0	4,174	467			0							
157	SECTOR SPDR INDUSTRIAL	81369Y704		3/24/2011	5/14/2012	9,317	0	9,600	-283			0							
158	SECTOR SPDR INDUSTRIAL	81369Y704		1/21/2011	5/14/2012	316	0	318	-2			0							
159	SECTOR SPDR INDUSTRIAL	81369Y704		1/21/2011	5/14/2012	17,508	0	17,578	-70			0							
160	SECTOR SPDR INDUSTRIAL	81369Y704		10/13/2011	1/11/2012	4,618	0	4,111	507			0							
161	SECTOR SPDR INDUSTRIAL	81369Y704		3/24/2011	1/11/2012	9,413	0	9,810	-397			0							
162	SECTOR SPDR INDUSTRIAL	81369Y704		1/21/2011	1/11/2012	18,008	0	18,298	-290			0							
163	SECTOR SPDR FINANCIAL	81369Y605		1/12/2012	1/17/2012	7,460	0	6,678	782			0							
164	SECTOR SPDR FINANCIAL	81369Y605		1/10/2012	5/31/2012	19,861	0	19,843	18			0							
165	SECTOR SPDR FINANCIAL	81369Y605		2/11/2011	5/31/2012	1,677	0	2,080	-403			0							
166	SECTOR SPDR FINANCIAL	81369Y605		1/12/2012	5/31/2012	12,525	0	12,558	-33			0							
167	SECTOR SPDR FINANCIAL	81369Y605		1/10/2012	5/31/2012	16,030	0	15,896	134			0							
168	SECTOR SPDR FINANCIAL	81369Y605		2/11/2011	5/30/2012	13,533	0	16,622	-3,089			0							
169	SECTOR SPDR FINANCIAL	81369Y605		2/11/2011	5/30/2012	673	0	825	-152			0							
170	SECTOR SPDR FINANCIAL	81369Y605		2/11/2011	1/11/2012	2,346	0	2,911	-565			0							
171	SECTOR SPDR ENERGY	81369Y506		8/17/2012	1/18/2012	10,747	0	11,179	-432			0							
172	SECTOR SPDR ENERGY	81369Y506		7/31/2012	1/18/2012	18,285	0	18,468	-183			0							
173	SECTOR SPDR ENERGY	81369Y506		7/31/2012	1/17/2012	2,757	0	2,749	8			0							
174	SECTOR SPDR ENERGY	81369Y506		12/5/2011	5/8/2012	67	0	70	-3			0							

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						Amount	571								
	Long Term CG Distributions					571									
	Short Term CG Distributions					0									
175	SECTOR SPDR ENERGY	81369Y506		11/10/2011	5/8/2012			17,201	0	18,302	-1,101			0	109,770
176	SECTOR SPDR ENERGY	81369Y506		11/10/2011	5/8/2012			667	0	710	-43			0	-43
177	SECTOR SPDR ENERGY	81369Y506		11/10/2011	3/29/2012			4,865	0	4,901	-36			0	-36
178	SECTOR SPDR ENERGY	81369Y506		11/10/2011	3/29/2012			71	0	71	0			0	0
179	SECTOR SPDR ENERGY	81369Y506		1/12/2011	3/29/2012			20,588	0	20,472	116			0	116
180	SECTOR SPDR ENERGY	81369Y506		1/6/2011	3/29/2012			4,019	0	3,859	160			0	160
181	SECTOR SPDR ENERGY	81369Y506		1/6/2011	1/31/2012			17,377	0	16,790	787			0	787
182	SECTOR SPDR ENERGY	81369Y506		12/30/2010	1/31/2012			8,647	0	8,309	338			0	338
183	SECTOR SPDR ENERGY	81369Y506		12/30/2010	1/11/2012			12,400	0	11,986	414			0	414
184	SECTOR SPDR ENERGY	81369Y506		11/10/2010	1/11/2012			2,959	0	3,034	-75			0	-75
185	CONSUMER DISCRETIONARY	81369Y407		11/4/2010	1/17/2012			5,457	0	4,303	1,154			0	1,154
186	CONSUMER DISCRETIONARY	81369Y407		12/8/2009	7/31/2012			24,040	0	16,059	7,981			0	7,981
187	CONSUMER DISCRETIONARY	81369Y407		11/17/2009	7/31/2012			876	0	586	290			0	290
188	SPDR GOLD TRUST	78463V107		6/22/2011	12/9/2011			9	0	8	1			0	1
189	SPDR S&P 500 ETF TRUST	78462F103		10/14/2011	11/15/2012			6,243	0	5,598	645			0	645
190	SPDR S&P 500 ETF TRUST	78462F103		10/12/2011	11/15/2012			36,370	0	32,532	3,838			0	3,838
191	SPDR S&P 500 ETF TRUST	78462F103		10/12/2011	11/14/2012			13,870	0	12,382	1,488			0	1,488
192	SPDR S&P 500 ETF TRUST	78462F103		10/10/2011	11/14/2012			29,237	0	25,615	3,622			0	3,622
193	SPDR S&P 500 ETF TRUST	78462F103		10/10/2011	11/7/2012			10,897	0	9,293	1,604			0	1,604
194	SPDR S&P 500 ETF TRUST	78462F103		10/10/2011	11/11/2012			12,548	0	11,557	991			0	991
195	POWERSHARES EXCHANGE	73936Q769		4/23/2012	11/7/2012			4,326	0	4,253	73			0	73
196	DB US DOLLAR IND BULLISH	73936D107		5/14/2012	5/15/2012			31,534	0	31,403	131			0	131
197	DB US DOLLAR IND BULLISH	73936D107		4/23/2012	5/15/2012			26,245	0	25,782	463			0	463
198	PIMCO COMMODITY REAL	72201M842		2/2/2011	1/11/2012			6	-2	8	-2			0	-2
199	PIMCO COMMODITY REAL	72201M842		2/2/2011	1/11/2012			7,346	0	10,452	-3,106			0	-3,106
200	PIMCO COMMODITY REAL	72201M842		10/18/2010	1/11/2012			7	0	8	-1			0	-1
201	ISHARES RS 2000 VALUE	464287630		4/23/2012	10/23/2012			145	0	140	5			0	5
202	ISHARES RS 2000 VALUE	464287630		12/7/2011	10/23/2012			30,370	0	27,623	2,747			0	2,747
203	ISHARES RS 2000 VALUE	464287630		10/19/2011	10/23/2012			290	0	251	39			0	39
204	ISHARES RS 2000 VALUE	464287630		10/16/2011	5/25/2012			18,514	0	17,144	1,370			0	1,370
205	ISHARES RS 2000 VALUE	464287630		1/5/2011	5/25/2012			10,240	0	10,874	-634			0	-634
206	ISHARES RS 2000 VALUE	464287630		1/5/2011	1/11/2012			2,792	0	2,952	-160			0	-160
207	ISHARES COHEN & STEERS	464287564		7/17/2012	11/7/2012			1,770	0	1,870	-100			0	-100
208	ISHARES COHEN & STEERS	464287564		7/17/2012	10/26/2012			15,868	0	16,663	-995			0	-995
209	ISHARES COHEN & STEERS	464287564		5/1/2012	10/26/2012			18,496	0	19,376	-880			0	-880
210	ISHARES COHEN & STEERS	464287564		4/20/2012	10/26/2012			7,719	0	7,796	-77			0	-77
211	ISHARES COHEN & STEERS	464287564		4/20/2012	10/25/2012			20,866	0	20,919	-253			0	-253
212	ISHARES COHEN & STEERS	464287564		1/31/2012	10/25/2012			21,276	0	20,808	468			0	468
213	ISHARES COHEN & STEERS	464287564		1/31/2012	10/24/2012			5,781	0	5,594	187			0	187
214	ISHARES COHEN & STEERS	464287564		7/7/2011	10/24/2012			14,644	0	14,400	244			0	244
215	ISHARES COHEN & STEERS	464287564		2/16/2011	10/24/2012			18,267	0	16,704	1,563			0	1,563
216	ISHARES COHEN & STEERS	464287564		8/6/2009	10/24/2012			3,623	0	2,096	1,527			0	1,527
217	ISHARES COHEN & STEERS	464287564		8/6/2009	4/23/2012			379	0	223	156			0	156
218	ISHARES COHEN & STEERS	464287564		8/6/2009	11/7/2012			1,843	0	1,159	684			0	684
219	ISHARES RUSSELL MIDCAP	464287499		4/14/2011	11/7/2012			4,265	0	4,192	73			0	73
220	ISHARES RUSSELL MIDCAP	464287499		4/14/2011	6/1/2012			2,724	0	2,902	-178			0	-178
221	ISHARES RUSSELL MIDCAP	464287499		1/13/2011	6/1/2012			9,987	0	10,260	-273			0	-273
222	PIMCO COMMODITY REAL	72201M842		10/19/2010	1/11/2012			10,503	0	13,690	-3,187			0	-3,187
223	PIMCO COMMODITY REAL	72201M842		10/1/2010	1/11/2012			7	0	8	-1			0	-1
224	PIMCO COMMODITY REAL	72201M842		10/1/2010	1/11/2012			16,076	0	19,608	-3,532			0	-3,532
225	PIMCO COMMODITY REAL	72201M842		9/24/2010	1/11/2012			15,882	0	19,395	-3,513			0	-3,513
226	PIMCO COMMODITY REAL	72201M842		9/20/2010	1/11/2012			16,558	0	19,824	-3,266			0	-3,266
227	PIMCO COMMODITY REAL	72201M842		9/20/2010	1/11/2012			7	0	8	-1			0	-1
228	PIMCO COMMODITY REAL	72201M842		9/1/2010	1/11/2012			16,993	0	19,964	-2,971			0	-2,971
229	PIMCO COMMODITY REAL	72201M842		4/5/2010	1/11/2012			14,685	0	17,516	-2,831			0	-2,831
230	S&P US PFD STK INDEX FD	464288687		1/23/2012	11/13/2012			10,417	0	9,896	521			0	521
231	S&P US PFD STK INDEX FD	464288687		2/8/2011	11/13/2012			15,546	0	15,308	238			0	238
232	S&P US PFD STK INDEX FD	464288687		2/8/2011	11/7/2012			279	0	274	5			0	5

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Long Term CG Distributions Short Term CG Distributions	Amount		CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
		571	0												
	Kind(s) of Property Sold	Totals						3,158,817	0	3,049,047	109,770	0	0	0	109,770
233	S&P US PFD STK INDEX FD	464288687		12/11/2009	11/7/2012			4,101	0	3,723	378				378
234	S&P US PFD STK INDEX FD	464288687		12/11/2009	4/23/2012			270	0	253	17				17
235	S&P US PFD STK INDEX FD	464288687		12/11/2009	1/1/2012			858	0		27				27
236	ISHARES BARCLAYS SHORT	464288679		5/31/2012	7/9/2012			28,539	0	28,547	-8				-8
237	ISHARES BARCLAYS SHORT	464288679		5/31/2012	7/9/2012			21,376	0	21,383	-7				-7
238	ISHARES BARCLAYS SHORT	464288679		9/22/2011	1/1/2012			18,515	0	18,522	-7				-7
239	ISHARES BARCLAYS SHORT	464288679		9/9/2011	1/1/2012			3,967	0	3,969	-2				-2
240	ISHARES BARCLAYS SHORT	464288679		9/9/2011	1/1/2012			10,912	0	10,914	-2				-2
241	ISHARES BARCLAYS SHORT	464288679		9/6/2011	1/1/2012			5,511	0	5,512	-1				-1
242	ISHARES BARCLAYS SHORT	464288679		9/6/2011	1/9/2012			13,006	0	13,010	-4				-4
243	ISHARES BARCLAYS SHORT	464288679		8/19/2011	1/9/2012			19,068	0	19,077	-9				-9
244	ISHARES BARCLAYS SHORT	464288679		8/19/2011	1/9/2012			27,334	0	27,347	-13				-13
245	ISHARES BARCLAYS SHORT	464288679		8/19/2011	1/9/2012			1,323	0	1,323	0				0
246	ISHARES BARCLAYS SHORT	464288679		8/19/2011	1/6/2012			20,398	0	20,398	-9				-9
247	ISHARES BARCLAYS SHORT	464288679		8/10/2011	1/6/2012			18,735	0	18,741	-6				-6
248	ISHARES BARCLAYS SHORT	464288679		8/10/2011	1/5/2012			12,233	0	12,237	-4				-4
249	ISHARES BARCLAYS SHORT	464288679		8/10/2011	1/5/2012			13,886	0	13,892	-6				-6
250	ISHARES BARCLAYS SHORT	464288679		8/8/2011	1/5/2012			13,005	0	13,010	-5				-5
251	ISHARES BARCLAYS SHORT	464288679		8/8/2011	1/3/2012			24,356	0	24,365	-9				-9
252	ISHARES BARCLAYS SHORT	464288679		8/8/2011	1/3/2012			14,658	0	14,663	-5				-5
253	ISHARES BARCLAYS SHORT	464288679		8/8/2011	12/7/2011			21,823	0	21,832	-9				-9
254	ISHARES BARCLAYS SHORT	464288679		8/8/2011	12/7/2011			5,731	0	5,733	-2				-2
255	ISHARES BARCLAYS 1-3 YEA	464288646		2/9/2012	11/7/2012			3,063	0	3,042	21				21
256	ISHARES BARCLAYS 1-3 YEA	464288646		2/9/2012	4/23/2012			7,973	0	7,971	2				2
257	ISHARES BARCLAYS MBS BON	464288588		3/3/2009	11/7/2012			3,690	0	3,563	127				127
258	ISHARES BARCLAYS MBS BON	464288588		3/3/2009	9/6/2012			5,439	0	5,244	195				195
259	ISHARES BARCLAYS MBS BON	464288588		3/3/2009	9/6/2012			16,317	0	15,719	598				598
260	ISHARES BARCLAYS MBS BON	464288588		3/3/2009	1/25/2012			29,725	0	28,841	884				884
261	ISHARES BARCLAYS MBS BON	464288588		3/3/2009	1/11/2012			4,220	0	4,090	130				130
262	ISHARES BARCLAYS MBS BON	464288588		2/4/2009	1/11/2012			1,840	0	1,775	65				65
263	ISHARES JP MORGAN EM BON	464288281		1/19/2012	11/7/2012			4,384	0	3,932	452				452
264	ISHARES JP MORGAN EM BON	464288281		1/19/2012	5/23/2012			14,331	0	14,745	186				186
265	ISHARES JP MORGAN EM BON	464288281		1/19/2012	4/23/2012			453	0	437	16				16
266	ISHARES DJ US TELCOMMUNI	464287713		7/8/2011	1/11/2012			2,663	0	3,152	-489				-489
267	ISHARES DJ US TELCOMMUNI	464287713		5/11/2011	1/11/2012			1,811	0	2,134	-323				-323
268	ISHARES TR RUSSELL 2000	464287655		7/9/2012	11/7/2012			4,091	0	4,098	-7				-7
269	ISHARES RS 2000 GROWTH	464287648		4/23/2012	8/16/2012			183	0	181	2				2
270	ISHARES RS 2000 GROWTH	464287648		1/11/2012	8/16/2012			17,224	0	16,474	750				750
271	ISHARES RS 2000 GROWTH	464287648		12/14/2010	8/16/2012			20,248	0	19,185	1,063				1,063
272	ISHARES RS 2000 GROWTH	464287648		12/2/2010	8/16/2012			2,199	0	2,018	181				181
273	ISHARES RS 2000 GROWTH	464287648		12/2/2010	5/25/2012			12,707	0	12,192	515				515
274	LOSS DISALLOWED DUE TO WASH SAL							10,516	0	0	10,516				10,516

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours	Compensation
1	DONALD L DOCTOR		512 MAYMONT DRIVE	CRAMERTON	NC	28032		PRESIDENT	2.00	
2	MARY DOCTOR		512 MAYMONT DRIVE	CRAMERTON	NC	28032		TARY/TREA	2.00	
3	JORDAN DOCTOR		512 MAYMONT DRIVE	CRAMERTON	NC	28032		DIRECTOR	2.00	
4	LONDON DOCTOR		512 MAYMONT DRIVE	CRAMERTON	NC	28032		DIRECTOR	2.00	
5										
6										
7										
8										
9										
10										

0

Part

	0	0	0
	Benefits	Expense Account	Explanation
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	0
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	2,223
7 Total	7	2,223

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2010 that remained undistributed at the beginning of the 2011 tax year	1	129,884
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	129,884

MERRILL LYNCH TRUST COMPANY,
A DIVISION OF BANK OF AMERICA, N.A.
AGENT OF THE
THE DOCTOR FAMILY FOUNDATION
TUA 12-21-2007



Net Portfolio Value: **\$2,903,123.13**

Your Financial Advisor:
DAVIS/CICHAN GROUP
4500 CAMERON VALLEY PKWY #400
CHARLOTTE NC 28211
1-800-937-0406

Trust Officer:
JEFFREY SCRIBNER
561-347-5668

TRUST MANAGEMENT ACCOUNT

This account is enrolled in the Personal Investment Advisory Program

November 01, 2012 - November 30, 2012

	November 30	October 31
ASSETS		
Cash/Money Accounts	83,947.28	78,146.00
Fixed Income	-	-
Equities	-	-
Mutual Funds	2,693,476.00	2,837,089.82
Options	-	-
Other	-	-
Alternative Investments	125,699.85	101,766.30
Subtotal (Long Portfolio)	2,903,123.13	3,017,002.12
TOTAL ASSETS	\$2,903,123.13	\$3,017,002.12
LIABILITIES		
Debit Balance	-	-
Short Market Value	-	-
NET PORTFOLIO VALUE	\$2,903,123.13	\$3,017,002.12

	This Statement	Year to Date
CASH FLOW		
Opening Cash/Money Accounts	\$78,146.00	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Other Debits	(120,555.00)	(133,369.00)
ML Trust Fees	(1,771.06)	(18,911.13)
Non ML Trust Fees	-	-
Bill Payment	-	-
Subtotal	(\$122,326.06)	(\$152,280.13)
Net Cash Flow	(\$122,326.06)	(\$152,280.13)
Dividends/Interest Income	3,142.32	62,650.56
Security Purchases/Debits	(140,623.71)	(3,023,129.32)
Security Sales/Credits	265,608.73	3,120,704.21
Closing Cash/Money Accounts	\$83,947.28	-
Securities You Transferred In/Out	-	-

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

THE DOCTOR FAMILY FOUNDATION

ACCOUNT INVESTMENT OBJECTIVE

November 01, 2012 - November 30, 2012

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	0.77	0.77		.77		
BIF MONEY FUND	34,033.00	34,033.00	1.0000	34,033.00		
TOTAL		34,033.77		34,033.77		

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
AMEX TECHNOLOGY SELECT SPDR SYMBOL: XLK Initial Purchase:07/31/12 Equity 100%	2,071	62,204.50	29.1300	60,328.23	(1,876.27)	62,204	(1,876)	920	1.52
CONSUMER DISCRETIONARY SPDR SYMBOL: XLY Initial Purchase:11/04/10 Equity 100%	2,623	103,909.61	47.5300	124,671.19	20,761.58	103,909	20,761	1,768	1.41
HEALTH CARE SELECT SPDR SYMBOL: XLV Initial Purchase:04/21/11 Equity 100%	3,951	145,654.07	40.2400	158,988.24	13,334.17	145,654	13,334	3,051	1.91
ISHARES COHEN & STEERS REALTY MAJORS INDEX FUND	498	40,480.03	76.3900	38,042.22	(2,437.81)	40,480	(2,437)	1,141	2.99

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2012 - November 30, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL: ICF Equity 100%	Initial Purchase: 07/17/12							
ISHARES RUSSELL MIDCAP INDEX FUND	867	90,672.41	111.4500	96,627.15	5,954.74	90,672	5,954	1,506 1.55
SYMBOL: IWR Equity 100%	Initial Purchase: 04/14/11							
ISHARES BARCLAY 7-10 YR TREAS INDEX FUND	671	70,181.80	108.8000	73,004.80	2,823.00	70,181	2,823	1,359 1.86
SYMBOL: IEF Fixed Income 100%	Initial Purchase: 08/25/11							
ISHARES IBOX \$ INVT GRADE CORP BD FUND	1,181	124,672.66	122.1300	144,235.53	19,562.87	124,672	19,562	5,521 3.82
SYMBOL: LQD Fixed Income 100%	Initial Purchase: 07/21/09							
ISHARES BARCLYS 1-3 YEAR TREAS INDEX FUND	1,017	85,857.10	84.4400	85,875.48	18.38	85,857	18	362 .42
SYMBOL: SHY Fixed Income 100%	Initial Purchase: 05/16/12							
ISHARES BARCLAYS TIPS BO PROTECTED SECS FD	797	88,206.31	122.9100	97,959.27	9,752.96	88,206	9,752	1,944 1.98
SYMBOL: TIP Fixed Income 100%	Initial Purchase: 04/12/11							
ISHARES BARCLAYS 1-3 YEA	650	68,218.60	105.4800	68,562.00	343.40	68,218	343	1,083 1.57

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THE DOCTOR FAMILY FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2012 - November 30, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
CREDIT								
SYMBOL: CSJ Initial Purchase: 02/09/12 Fixed Income 100%								
ISHARES BARCLAYS SHORT TREASUR	777	85,633.17	110.2200	85,640.94	7.77	85,633	7	11 .01
SYMBOL: SHV Initial Purchase: 11/14/12 Fixed Income 100%								
ISHARES BARCLAYS MBS BON FUND	767	82,357.71	108.3400	83,096.78	739.07	82,357	739	1,854 2.23
SYMBOL: MBB Initial Purchase: 03/03/09 Fixed Income 100%								
ISHARES JP MORGAN EM BON SYMBOL: EMB Initial Purchase: 01/19/12 Fixed Income 100%	806	90,899.54	122.7000	98,896.20	7,996.66	90,899	7,996	4,187 4.23
ISHARES TR RUSSELL 2000 INDEX FD	1,142	94,047.47	82.0800	93,735.36	(312.11)	94,047	(312)	1,507 1.60
SYMBOL: IWM Initial Purchase: 07/09/12 Equity 100%								
MATERIALS SELECT SECTOR SPDR FUND	2,224	81,887.21	36.7000	81,620.80	(266.41)	81,887	(266)	1,664 2.03
SYMBOL: XLB Initial Purchase: 12/29/10 Equity 100%								
POWERSHARES EXCHANGE	3,875	94,944.40	24.7200	95,790.00	845.60	94,944	845	4,724 4.93



November 01, 2012 - November 30, 2012

THE DOCTOR FAMILY FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
TRADED FD TR II SENIOR LN PORT NYSE ARCA								
SYMBOL: BKLN Initial Purchase: 04/23/12								
Fixed Income 100%								
S&P US PFD STK INDEX FD	1,813	69,534.53	39.9200	72,374.96	2,840.43	69,534	2,840	4,154 5.73
IShares								
SYMBOL: PFF Initial Purchase: 01/23/12								
Fixed Income 100%								
SECTOR SPDR CONSMRS STPL	4,453	137,658.63	36.0400	160,486.12	22,827.49	137,658	22,827	4,249 2.64
SYMBOL: XLP Initial Purchase: 11/02/09								
Equity 100%								
SECTOR SPDR ENERGY	459	33,292.51	71.0600	32,616.54	(675.97)	33,292	(675)	554 1.69
SYMBOL: XLE Initial Purchase: 08/17/12								
Equity 100%								
SECTOR SPDR FINANCIAL	10,625	165,161.36	15.7600	167,450.00	2,288.64	165,161	2,288	2,805 1.67
SYMBOL: XLF Initial Purchase: 01/12/12								
Equity 100%								
SECTOR SPDR INDUSTRIAL	1,260	47,149.07	37.1300	46,783.80	(365.27)	47,149	(365)	1,005 2.14
SYMBOL: XLI Initial Purchase: 08/21/12								
Equity 100%								
SECTOR SPDR UTILITIES	3,443	124,698.89	35.3200	121,606.76	(3,092.13)	124,698	(3,092)	4,934 4.05
SYMBOL: XLU Initial Purchase: 05/08/12								
Equity 100%								

THE DOCTOR FAMILY FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2012 - November 30, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Current Yield%
SPDR BARCLAYS HIGH YIELD BOND ETF SYMBOL: JNK Initial Purchase: 01/24/12 Fixed Income 100%	3,607	144,663.67	40.5600	146,299.92	1,636.25	144,663	1,636	10,010	6.84
STANDARD&POORS DEP RCPT SYMBOL: SPY Initial Purchase: 10/14/11 Equity 100%	1,112	137,511.82	142.1550	158,076.36	20,564.54	137,511	20,564	3,171	2.00
VANGUARD MSCI EUROPEAN ETF SYMBOL: VGK Initial Purchase: 08/07/12 Equity 100%	2,413	108,728.79	47.2000	113,893.60	5,164.81	108,728	5,164	3,468	3.04
VANGUARD MSCI PACIFIC ETF SYMBOL: VPL Initial Purchase: 10/07/10 Equity 100%	700	37,266.22	51.8200	36,274.00	(992.22)	37,266	(992)	709	1.95
VANGUARD MSCI EMERGING MKRKTs ETF SYMBOL: VWO Initial Purchase: 08/14/12 Equity 100%	3,583	149,002.76	42.0150	150,539.75	1,536.99	149,002	1,536	2,509	1.66
Subtotal (Fixed Income)				1,051,735.88					
Subtotal (Equities)				1,641,740.12					

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THE DOCTOR FAMILY FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 01, 2012 - November 30, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
TOTAL		2,564,494.84		2,693,476.00	128,981.16		128,974	70,170	2.61

Total Client Investment: Cost of shares directly purchased and still held Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

ALTERNATIVE INVESTMENTS

Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SPDR GOLD TRUST	04/14/11	33	143.0160	4,719.53	166.0500	5,479.65	760.12		
SPDR GOLD TRUST	04/29/11	144	150.1682	21,624.23	166.0500	23,911.20	2,286.97		
SPDR GOLD TRUST	06/22/11	162	151.5332	24,548.39	166.0500	26,900.10	2,351.71		
SPDR GOLD TRUST	04/23/12	2	158.4800	316.96	166.0500	332.10	15.14		
SPDR GOLD TRUST	10/11/12	243	171.6118	41,701.67	166.0500	40,350.15	(1,351.52)		
SPDR GOLD TRUST	11/08/12	173	168.0638	29,075.05	166.0500	28,726.65	(348.40)		
Subtotal		757		121,985.83		125,699.85	3,714.02		
TOTAL				121,985.83		125,699.85	3,714.02		

TOTAL PRINCIPAL INVESTMENTS

				2,720,514.44		2,853,209.62	132,695.18	70,170	2.46
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♦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

THE DOCTOR FAMILY FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS November 01, 2012 - November 30, 2012

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Income	Yield%
CASH	389.51	389.51		389.51			
BIF MONEY FUND	49,524.00	49,524.00	1.0000	49,524.00			
TOTAL		49,913.51		49,913.51			
TOTAL INCOME INVESTMENTS		49,913.51		49,913.51			

LONG PORTFOLIO		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Current
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS		2,770,427.95	2,903,123.13	132,695.18		70,170	2.42

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Quantity	Description	Income	Principal	Income
Date	Transaction Type			Cash	Cash	Year To Date
11/07	Subtotal (Tax-Exempt Dividends)					3,187.01
	Dividend		ISHARES BARCLAY 7-10 YR	101.84		
			TREAS INDEX FUND			
			DIVIDEND			
			HOLDING 701.0000			
			PAY DATE 11/07/2012			
11/07	Dividend		ISHARES IBOX \$	466.94		
			INVT GRADE CORP BD FUND			
			DIVIDEND			
			HOLDING 1234.0000			
			PAY DATE 11/07/2012			
11/07	Dividend		ISHARES BARCLYS 1-3 YEAR	16.52		
			TREAS INDEX FUND			
			DIVIDEND			
			HOLDING 742.0000			
			PAY DATE 11/07/2012			

