



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Department of the Treasury
 Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning **DEC 1, 2011**, and ending **NOV 30, 2012**

Name of foundation **SWBC FOUNDATION** A Employer identification number **20-0511684**

Number and street (or P O box number if mail is not delivered to street address) **9311 SAN PEDRO AVE** Room/suite **600** B Telephone number **210-321-7213**

City or town, state, and ZIP code **SAN ANTONIO, TX 78216**

C If exemption application is pending, check here

D 1 Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

G Check all that apply: Initial return Initial return of a former public charity Final return Amended return Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 14,269,864.** J Accounting method: Cash Accrual Other (specify) _____

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	750,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	398,355.	398,355.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	176,014.			
	b Gross sales price for all assets on line 6a 4,098,554.				
	7 Capital gain net income (from Part IV, line 2)		176,014.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	10a b Less Cost of goods sold				
c Gross profit or (loss)					
11 Other income	236.	236.		STATEMENT 2	
12 Total. Add lines 1 through 11	1,324,605.	574,605.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	35,854.	4,158.		31,696.
	c Other professional fees				
	17 Interest				
	18 Taxes OCT 21 2011	2,949.	1,749.		0.
	19 Depreciation and depletion				
	20 Occupancy OC DEN UT				
	21 Travel, conferences and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	106,825.	106,495.		330.
	24 Total operating and administrative expenses Add lines 13 through 23	145,628.	112,402.		32,026.
	25 Contributions, gifts, grants paid	676,000.			676,000.
26 Total expenses and disbursements. Add lines 24 and 25	821,628.	112,402.		708,026.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	502,977.				
b Net investment income (if negative, enter -0-)		462,203.			
c Adjusted net income (if negative, enter -0-)			N/A		

9-16

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	399.	-137,986.	-137,986.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	10,615,736.	11,624,554.	12,942,198.
	c Investments - corporate bonds STMT 7	1,745,436.	1,377,980.	1,465,652.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	12,361,571.	12,864,548.	14,269,864.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	12,361,571.	12,864,548.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	12,361,571.	12,864,548.		
31 Total liabilities and net assets/fund balances	12,361,571.	12,864,548.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,361,571.
2 Enter amount from Part I, line 27a	2	502,977.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,864,548.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,864,548.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,098,554.		3,922,540.	176,014.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			176,014.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	176,014.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010			
2009			
2008			
2007			
2006			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits. Total tax due is 15,199.00, with 15,199.00 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and substantial contributors. Includes 'N/A' and 'TX' entries.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.SWBC.COM
14 The books are in care of LISA WILSON Telephone no 210-321-7213 Located at 9311 SAN PEDRO, SUITE 600, SAN ANTONIO, TX ZIP+4 78216-9459
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A 5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES E AMATO 9311 SAN PEDRO, SUITE 600 SAN ANTONIO, TX 78216	DIRECTOR 2.00	0.	0.	0.
GARY L DUDLEY 9311 SAN PEDRO, SUITE 600 SAN ANTONIO, TX 78216	DIRECTOR 2.00	0.	0.	0.
LISA W WILSON 9311 SAN PEDRO, SUITE 600 SAN ANTONIO, TX 78216	DIRECTOR 12.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	14,102,055.
b	Average of monthly cash balances	1b	315,866.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	14,417,921.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	14,417,921.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	216,269.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,201,652.
6	Minimum investment return. Enter 5% of line 5	6	710,083.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	710,083.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	9,244.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,244.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	700,839.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	700,839.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	700,839.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	708,026.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	708,026.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	708,026.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				700,839.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			674,756.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 708,026.				
a Applied to 2010, but not more than line 2a			674,756.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				33,270.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				667,569.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶ 12/19/03

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE
 b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE
 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:
LISA WILSON, (210) 321-7213
9311 SAN PEDRO, SUITE 600, SAN ANTONIO, TX 78216

b The form in which applications should be submitted and information and materials they should include:
APPLICATION FORMS AND COPY OF ORGANIZATION'S EXEMPTION LETTER FROM IRS.

c Any submission deadlines:
NONE.
 d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
NONE.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HARLANDALE EDUCATION FOUNDATION 102 GENEVIEVE STREET SAN ANTONIO, TX 78214			SCHOLARSHIP PROGRAM	750.
SUSAN G. KOMEN FOUNDATION PO BOX 6678 SAN ANTONIO, TX 78209			GENERAL OPERATIONAL SUPPORT	2,500.
ST. PJ'S CHILDREN'S HOME 919 MISSION ROAD SAN ANTONIO, TX 78210			GENERAL OPERATIONAL SUPPORT	2,500.
ALAMO COMMUNITY COLLEGE DISTRICT FOUNDATION 201 W SHERIDAN, BLDG C-3 SAN ANTONIO, TX 78204-1450			TO INCREASE OPPORTUNITIES FOR HIGHER EDUCATION AND WORKFORCE DEVELOPMENT	10,000.
JUNIOR ACHIEVEMENT OF SOUTH TEXAS 403 EAST RAMSEY, STE 201 SAN ANTONIO, TX 78216			FINANCE PARK PRESENTING SPONSORSHIP	25,000.
Total	SEE CONTINUATION SHEET(S)			676,000.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Table with columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue, 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate, 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue, 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

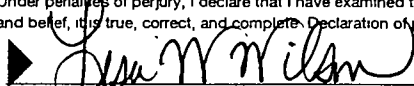
(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  Yes No
 Signature of officer or trustee Date 10/15/2013 Title DIRECTOR

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶ SOUTHWEST BUSINESS CORPORATION				P01482250
	Firm's address ▶ 9311 SAN PEDRO AVE, SUITE 600 SAN ANTONIO, TX 78216			Firm's EIN ▶ 75-1553739	Phone no. 2103217213

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

SWBC FOUNDATION

Employer identification number

20-0511684

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

-
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization SWBC FOUNDATION	Employer identification number 20-0511684
--	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SOUTHWEST BUSINESS CORPORATION 9311 SAN PEDRO AVE, SUITE 600 SAN ANTONIO, TX 78216	\$ 750,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

SWBC FOUNDATION

20-0511684

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

SWBC FOUNDATION

20-0511684

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUNIOR ACHIEVEMENT OF SOUTH TEXAS 403 EAST RAMSEY, STE 201 SAN ANTONIO, TX 78216			GENERAL OPERATIONAL SUPPORT	2,500.
MARCH OF DIMES FOUNDATION 7400 BLANCO ROAD, STE 129 SAN ANTONIO, TX 78216			GENERAL OPERATIONAL SUPPORT	10,000.
UNITED COMMUNITIES OF SAN ANTONIO PO BOX 830219 SAN ANTONIO, TX 78283			PROMOTE RESPECT AND UNDERSTANDING AMONG CULTURES	2,500.
MAKE-A-WISH FOUNDATION 1931 NW MILITARY HWY SAN ANTONIO, TX 78213			GENERAL OPERATIONAL SUPPORT	5,000.
TEXAS ASSOCIATION AGAINST SEXUAL ASSAULT 6200 LA CALMA, STE 110 AUSTIN, TX 78752			EDUCATIONAL PROGRAMS TO END SEXUAL ASSAULT	5,000.
NATIONAL WESTERN ART FOUNDATION 315 EAST COMMERCE STREET, STE 102 SAN ANTONIO, TX 78205			TO PROVIDE COMMUNITY CULTURAL ACTIVITIES	2,500.
CHRISTY'S HOPE FOR BATTERED WOMEN AND CHILDREN 1919 NW LOOP 410, STE 200 SAN ANTONIO, TX 78213			TO PROVIDE SERVICES TO BATTERED WOMEN AND CHILDREN	5,000.
RESPITE CARE OF SAN ANTONIO 605 BELKNAP PLACE, PO BOX 12633 SAN ANTONIO, TX 78212			GENERAL OPERATIONAL SUPPORT	2,500.
BAPTIST CHILD & FAMILY SERVICES 1506 BEXAR CROSSING SAN ANTONIO, TX 78232			GENERAL OPERATIONAL SUPPORT	3,000.
GIRL SCOUTS OF SOUTHWEST TEXAS 811 N COKER LOOP SAN ANTONIO, TX 78216-2812			GRANT FOR "ENVISIONING YOUR FUTURE" PROGRAM	30,000.
Total from continuation sheets				635,250.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHARITY BALL ASSOCIATION PO BOX 6708 SAN ANTONIO, TX 78209			GENERAL OPERATIONAL SUPPORT	5,000.
EVA'S HEROES 11107 WURZBACH ROAD, STE 203 SAN ANTONIO, TX 78209			TO PROVIDE ASSISTANCE TO SPECIAL NEED CITIZENS	5,000.
CHILDREN'S ASSOCIATION FOR MAXIMUM POTENTIAL PO BOX 27086 SAN ANTONIO, TX 78227			TO PROVIDE RECREATIONAL OPPORTUNITIES FOR DISABLED CHILDREN	2,500.
EXCELLENCE IN EDUCATION FOUNDATION 515 CONGRESS AVENUE, STE 1780 AUSTIN, TX 78701			SUPPORT SCHOOL ACCOUNTABILITY CAMPAIGN	5,000.
SUNSHINE COTTAGE SCHOOL 603 E HILDEBRAND AVENUE SAN ANTONIO, TX 78212			PROVIDE SERVICES FOR HEARING IMPAIRED CHILDREN	500.
UNITED WAY OF SAN ANTONIO 700 SOUTH ALAMO STREET SAN ANTONIO, TX 78205			DONATION TO FUND FOR ANDREW OBERLE	500.
CTRC AT UT HEALTH SCIENCE CENTER OF SAN ANTONIO 7979 WURZBACH ROAD, STE U600 SAN ANTONIO, TX 78229			GRANT FOR PHASE 1 CLINICAL RESEARCH PROGRAM	3,000.
CYSTIC FIBROSIS FOUNDATION 8620 NORTH NEW BRAUNFELS, STE 110 SAN ANTONIO, TX 78217			SUPPORT ALTERNATIVE THERAPIES	2,500.
SOUTH TEXAS BLOOD & TISSUE CENTER FOUNDATION 6211 IH-10 W AT FIRST PARK TEN BLVD SAN ANTONIO, TX 78201			SUPPORT FOR TEXAS CORD BLOOD BANK	3,500.
RESPIRE CARE OF SAN ANTONIO 605 BELKNAP PLACE, PO BOX 12633 SAN ANTONIO, TX 78212			GENERAL OPERATIONAL SUPPORT	3,750.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRIGHTON SCHOOL INC 14207 HIGGINS ROAD SAN ANTONIO, TX 78217			PROGRAMS FOR CHILDREN WITH DISABILITIES	1,000.
CLARITY CHILD GUIDANCE CENTER 8535 TOM SLICK DRIVE SAN ANTONIO, TX 78229			PROVIDE MENTAL HEALTH SERVICES FOR CHILDREN	7,500.
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE, STE 420 ROCKVILLE, MD 20850-5168			PROVIDE MILITARY FAMILIES WITH HOUSING AT MEDICAL FACILITIES	5,000.
PHIL HARDBERGER PARK CONSERVANCY 115 E TRAVIS, STE 1004 SAN ANTONIO, TX 78205			PROVIDE URBAN GREEN SPACE	2,500.
SAM HOUSTON STATE UNIVERSITY PO BOX 2537 HUNTSVILLE, TX 77341-2537			COLLEGE OF BUSINESS ADMINISTRATION DEAN'S CHAIR ENDOWMENT	500,000.
UIW SCHOOL OF NURSING 4301 BROADWAY SAN ANTONIO, TX 78209			CAPITAL CAMPAIGN FOR NURSING SCHOOL	20,000.
Total from continuation sheets				

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TOTAL SA SP ADR	P		05/14/12
b WALT DISNEY COMPANY (THE) CMN	P		05/15/12
c INTL BUSINESS MACHINES CORP COM	P		05/15/12
d ACCO BRANDS CORP	P		05/17/12
e PHILLIPS 66 SHS	P		05/17/12
f ACCO BRANDS CORP	P		05/17/12
g PHILLIPS 66 SHS	P		05/17/12
h AVIVA PLC SPONSORED ADR CMN	P		05/18/12
i AVIVA PLC SPONSORED ADR CMN	P		05/18/12
j AVIVA PLC SPONSORED ADR CMN	P		05/18/12
k CHEUNG KONG HLDGS LTD (ADR) ADR CMN	P		05/18/12
l MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/18/12
m MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/18/12
n MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/18/12
o XSTRATA PLC ADR CMN	P		05/18/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,944.		5,022.	-1,078.
b 725.		618.	107.
c 20,098.		13,045.	7,053.
d 881.		737.	144.
e 1,132.		1,106.	26.
f 521.		526.	-5.
g 3,273.		3,771.	-498.
h 575.		833.	-258.
i 92.		135.	-43.
j 991.		1,287.	-296.
k 832.		912.	-80.
l 60.		62.	-2.
m 302.		359.	-57.
n 649.		727.	-78.
o 376.		634.	-258.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-1,078.
b			107.
c			7,053.
d			144.
e			26.
f			-5.
g			-498.
h			-258.
i			-43.
j			-296.
k			-80.
l			-2.
m			-57.
n			-78.
o			-258.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a XSTRATA PLC ADR CMN	P		05/18/12
b MASTERCARD INCORPORATED CMN CLASS A	P		05/21/12
c XSTRATA PLC ADR CMN	P		05/21/12
d XSTRATA PLC ADR CMN	P		05/21/12
e XSTRATA PLC ADR CMN	P		05/21/12
f XSTRATA PLC ADR CMN	P		05/21/12
g BAKER HUGHES INC COM	P		05/21/12
h AVIVA PLC SPONSORED ADR CMN	P		05/22/12
i AVIVA PLC SPONSORED ADR CMN	P		05/22/12
j AVIVA PLC SPONSORED ADR CMN	P		05/22/12
k FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		05/22/12
l MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/23/12
m MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/23/12
n MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/23/12
o ORACLE CORPORATION CMN	P		05/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 238.		377.	-139.
b 1,623.		957.	666.
c 567.		939.	-372.
d 649.		682.	-33.
e 1,582.		2,359.	-777.
f 1,023.		1,278.	-255.
g 6,933.		8,126.	-1,193.
h 261.		395.	-134.
i 540.		759.	-219.
j 244.		345.	-101.
k 537.		583.	-46.
l 390.		406.	-16.
m 150.		166.	-16.
n 60.		70.	-10.
o 627.		788.	-161.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-139.
b			666.
c			-372.
d			-33.
e			-777.
f			-255.
g			-1,193.
h			-134.
i			-219.
j			-101.
k			-46.
l			-16.
m			-16.
n			-10.
o			-161.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VINCI ADR CMN	P		05/24/12
b DEVON ENERGY CORPORATION (NEW) CMN	P		05/29/12
c DEVON ENERGY CORPORATION (NEW) CMN	P		05/29/12
d AT&T INC CMN	P		06/06/12
e CITIGROUP INC. CMN	P		06/06/12
f CITIGROUP INC. CMN	P		06/06/12
g WALT DISNEY COMPANY (THE) CMN	P		06/06/12
h WALT DISNEY COMPANY (THE) CMN	P		06/06/12
i WALT DISNEY COMPANY (THE) CMN	P		06/06/12
j GENERAL MILLS INC CMN	P		06/07/12
k GENERAL MILLS INC CMN	P		06/07/12
l COLUMBIA ACORN FUND CLASS Z SHARES	P		06/07/12
m COLUMBIA ACORN USA FUND CLASS Z SHARES	P		06/07/12
n PROASSURANCE CORP CMN	P		06/12/12
o LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A	P		06/12/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 944.		1,442.	-498.
b 61.		93.	-32.
c 797.		1,206.	-409.
d 1,773.		1,543.	230.
e 1,521.		1,942.	-421.
f 498.		642.	-144.
g 678.		503.	175.
h 1,131.		965.	166.
i 633.		504.	129.
j 1,483.		1,378.	105.
k 685.		661.	24.
l 1,372.			1,372.
m 147.			147.
n 439.		317.	122.
o 378.		256.	122.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-498.
b			-32.
c			-409.
d			230.
e			-421.
f			-144.
g			175.
h			166.
i			129.
j			105.
k			24.
l			1,372.
m			147.
n			122.
o			122.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A	P		06/12/12
b TUPPERWARE BRANDS CORPORATION CMN	P		06/14/12
c HONEYWELL INTL INC CMN	P		06/14/12
d AT&T INC CMN	P		06/15/12
e AT&T INC CMN	P		06/15/12
f EMC CORPORATION MASS CMN	P		06/15/12
g MIDDLEBY CORP CMN	P		06/18/12
h FROST MID CAP EQUITY FUND I	P		06/18/12
i CAMERON INTERNATIONAL CORP CMN	P		06/19/12
j CAMERON INTERNATIONAL CORP CMN	P		06/19/12
k MGM RESORTS INTERNATIONAL CMN	P		06/19/12
l MGM RESORTS INTERNATIONAL CMN	P		06/20/12
m TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/20/12
n TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/20/12
o TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/20/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 680.		412.	268.
b 266.		265.	1.
c 1,207.		1,226.	-19.
d 572.		475.	97.
e 679.		588.	91.
f 648.		649.	-1.
g 476.		441.	35.
h 300,372.		319,047.	-18,675.
i 1,215.		1,246.	-31.
j 450.		502.	-52.
k 524.		538.	-14.
l 258.		263.	-5.
m 105.		149.	-44.
n 620.		972.	-352.
o 19.		34.	-15.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			268.
b			1.
c			-19.
d			97.
e			91.
f			-1.
g			35.
h			-18,675.
i			-31.
j			-52.
k			-14.
l			-5.
m			-44.
n			-352.
o			-15.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/20/12
b TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/21/12
c TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/21/12
d TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/22/12
e TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/22/12
f TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/22/12
g TELECOM ITALIA SPA SPONSORED ADR CMN	P		06/22/12
h TEXAS INSTRUMENTS INC. CMN	P		06/25/12
i PSS WORLD MEDICAL INC CMN	P		06/26/12
j HONEYWELL INTL INC CMN	P		06/26/12
k HONEYWELL INTL INC CMN	P		06/26/12
l ONYX PHARMACEUTICALS INC COM	P		06/26/12
m PSS WORLD MEDICAL INC CMN	P		06/27/12
n PSS WORLD MEDICAL INC CMN	P		06/27/12
o NEWFIELD EXPLORATION CO. CMN	P		06/27/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 296.		511.	-215.
b 140.		160.	-20.
c 632.		920.	-288.
d 57.		64.	-7.
e 208.		232.	-24.
f 370.		420.	-50.
g 531.		597.	-66.
h 1,703.		1,797.	-94.
i 598.		757.	-159.
j 480.		501.	-21.
k 693.		765.	-72.
l 13,642.		8,115.	5,527.
m 397.		504.	-107.
n 198.		266.	-68.
o 807.		1,228.	-421.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-215.
b			-20.
c			-288.
d			-7.
e			-24.
f			-50.
g			-66.
h			-94.
i			-159.
j			-21.
k			-72.
l			5,527.
m			-107.
n			-68.
o			-421.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEWFIELD EXPLORATION CO. CMN	P		06/27/12
b PIONEER NATURAL RESOURCES CO COM	P		06/27/12
c BANK OF AMERICA CORP CMN	P		06/28/12
d METLIFE, INC. CMN	P		06/28/12
e METLIFE, INC. CMN	P		06/28/12
f THE TRAVELERS COMPANIES, INC CMN	P		06/28/12
g U.S. BANCORP CMN	P		06/28/12
h GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		07/02/12
i GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		07/02/12
j TEVA PHARMACEUTICAL IND LTD ADS	P		07/02/12
k TEVA PHARMACEUTICAL IND LTD ADS	P		07/02/12
l TEVA PHARMACEUTICAL IND LTD ADS	P		07/02/12
m CANON INC ADR SPONSORED ADR CMN	P		07/03/12
n COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	P		07/03/12
o HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		07/03/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 417.		632.	-215.
b 6,064.		8,329.	-2,265.
c 297.		284.	13.
d 116.		151.	-35.
e 261.		340.	-79.
f 433.		397.	36.
g 372.		324.	48.
h 185.		173.	12.
i 972.		913.	59.
j 118.		138.	-20.
k 78.		100.	-22.
l 471.		586.	-115.
m 639.		764.	-125.
n 704.		626.	78.
o 392.		402.	-10.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-215.
b			-2,265.
c			13.
d			-35.
e			-79.
f			36.
g			48.
h			12.
i			59.
j			-20.
k			-22.
l			-115.
m			-125.
n			78.
o			-10.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		07/03/12
b HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		07/03/12
c HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		07/03/12
d SHIRE LIMITED SPONSORED ADR CMN	P		07/03/12
e SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/03/12
f SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/03/12
g SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/03/12
h VINCI ADR CMN	P		07/03/12
i VINCI ADR CMN	P		07/03/12
j VINCI ADR CMN	P		07/03/12
k VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		07/03/12
l YAMANA GOLD INC CMN	P		07/05/12
m CANON INC ADR SPONSORED ADR CMN	P		07/06/12
n CANON INC ADR SPONSORED ADR CMN	P		07/06/12
o CANON INC ADR SPONSORED ADR CMN	P		07/06/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 560.		577.	-17.
b 112.		110.	2.
c 168.		153.	15.
d 1,472.		1,706.	-234.
e 1,113.		1,017.	96.
f 38.		34.	4.
g 64.		57.	7.
h 779.		752.	27.
i 198.		201.	-3.
j 349.		465.	-116.
k 604.		604.	0.
l 1,939.		1,634.	305.
m 429.		524.	-95.
n 468.		573.	-105.
o 975.		1,190.	-215.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-17.
b			2.
c			15.
d			-234.
e			96.
f			4.
g			7.
h			27.
i			-3.
j			-116.
k			0.
l			305.
m			-95.
n			-105.
o			-215.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a CANON INC ADR SPONSORED ADR CMN	P		07/06/12
b CHEUNG KONG HLDGS LTD (ADR) ADR CMN	P		07/06/12
c CHEUNG KONG HLDGS LTD (ADR) ADR CMN	P		07/06/12
d EAST JAPAN RAILWAY COMPANY UNSPONSORED ADR (JAPAN	P		07/06/12
e KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
f KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
g KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
h KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
i KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
j KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
k KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
l KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
m KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
n KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	P		07/06/12
o MITSUBISHI ESTATE LTD ADR ADR CMN	P		07/06/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 39.		46.	-7.
b 2,012.		1,952.	60.
c 63.		63.	0.
d 1,480.		1,343.	137.
e 107.		122.	-15.
f 95.		107.	-12.
g 59.		67.	-8.
h 701.		832.	-131.
i 559.		690.	-131.
j 59.		70.	-11.
k 36.		41.	-5.
l 95.		121.	-26.
m 12.		15.	-3.
n 36.		42.	-6.
o 1,488.		1,496.	-8.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-7.
b			60.
c			0.
d			137.
e			-15.
f			-12.
g			-8.
h			-131.
i			-131.
j			-11.
k			-5.
l			-26.
m			-3.
n			-6.
o			-8.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/06/12
b SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/06/12
c VINCI ADR CMN	P		07/06/12
d VINCI ADR CMN	P		07/06/12
e VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		07/06/12
f VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		07/06/12
g WAL MART STORES INC CMN	P		07/06/12
h FORD MOTOR COMPANY COM	P		07/09/12
i O'REILLY AUTOMOTIVE INC COM	P		07/09/12
j GENERAL ELECTRIC CO CMN	P		07/10/12
k BALFOUR BEATTY PLC SPONSORED ADR CMN	P		07/11/12
l PACCAR INC COM	P		07/11/12
m SOURCEFIRE INC. CMN	P		07/12/12
n MACY'S INC. CMN	P		07/12/12
o MACY'S INC. CMN	P		07/12/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 786.		674.	112.
b 180.		161.	19.
c 863.		1,022.	-159.
d 995.		1,062.	-67.
e 97.		101.	-4.
f 1,007.		986.	21.
g 4,265.		3,349.	916.
h 6,188.		8,170.	-1,982.
i 32,563.		14,789.	17,774.
j 470.		484.	-14.
k 1.			1.
l 12,726.		18,043.	-5,317.
m 438.		237.	201.
n 1,507.		1,882.	-375.
o 393.		457.	-64.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			112.
b			19.
c			-159.
d			-67.
e			-4.
f			21.
g			916.
h			-1,982.
i			17,774.
j			-14.
k			1.
l			-5,317.
m			201.
n			-375.
o			-64.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SAP AG (SPON ADR)	P		07/12/12
b HMSC HOLDINGS CORP. CMN	P		07/13/12
c SCHNITZER STEEL INDUSTRIES, INC CLASS A	P		07/13/12
d CANON INC ADR SPONSORED ADR CMN	P		07/13/12
e HONDA MTR LTD (AMER SHS) ADR CMN	P		07/13/12
f TOYOTA MOTOR CORPORATION SPON ADR	P		07/13/12
g VALE S.A. ADR	P		07/13/12
h VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		07/13/12
i VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		07/13/12
j WPP PLC ADR CMN	P		07/13/12
k METLIFE, INC. CMN	P		07/16/12
l METLIFE, INC. CMN	P		07/16/12
m VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		07/16/12
n ST JUDE MEDICAL INC CMN	P		07/18/12
o ST JUDE MEDICAL INC CMN	P		07/18/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,117.		1,227.	-110.
b 165.		123.	42.
c 447.		930.	-483.
d 940.		1,158.	-218.
e 665.		809.	-144.
f 382.		341.	41.
g 1,867.		2,353.	-486.
h 263.		275.	-12.
i 821.		845.	-24.
j 369.		383.	-14.
k 879.		1,097.	-218.
l 242.		305.	-63.
m 934.		954.	-20.
n 451.		502.	-51.
o 188.		236.	-48.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-110.
b			42.
c			-483.
d			-218.
e			-144.
f			41.
g			-486.
h			-12.
i			-24.
j			-14.
k			-218.
l			-63.
m			-20.
n			-51.
o			-48.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CVS CAREMARK CORPORATION CMN	P		07/19/12
b E.ON AG SPONSORED ADR CMN	P		07/19/12
c E.ON AG SPONSORED ADR CMN	P		07/19/12
d E.ON AG SPONSORED ADR CMN	P		07/19/12
e E.ON AG SPONSORED ADR CMN	P		07/19/12
f SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/20/12
g SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/20/12
h E.ON AG SPONSORED ADR CMN	P		07/23/12
i E.ON AG SPONSORED ADR CMN	P		07/23/12
j FORTUM OYJ UNSPONSORED ADR CMN	P		07/23/12
k TEREX CORP COM	P		07/23/12
l SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		07/24/12
m E.ON AG SPONSORED ADR CMN	P		07/25/12
n FORTUM OYJ UNSPONSORED ADR CMN	P		07/25/12
o FORTUM OYJ UNSPONSORED ADR CMN	P		07/25/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,700.		1,668.	32.
b 997.		1,214.	-217.
c 455.		539.	-84.
d 22.		28.	-6.
e 824.		929.	-105.
f 473.		403.	70.
g 328.		276.	52.
h 723.		863.	-140.
i 40.		51.	-11.
j 1,102.		1,824.	-722.
k 5,424.		8,443.	-3,019.
l 1,255.		1,085.	170.
m 1,909.		2,312.	-403.
n 299.		488.	-189.
o 1,114.		1,775.	-661.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			32.
b			-217.
c			-84.
d			-6.
e			-105.
f			70.
g			52.
h			-140.
i			-11.
j			-722.
k			-3,019.
l			170.
m			-403.
n			-189.
o			-661.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FORTUM OYJ UNSPONSORED ADR CMN	P		07/25/12
b MONRO MUFFLER BRAKE, INC. CMN	P		07/26/12
c PEPSICO INC CMN	P		07/26/12
d ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		07/27/12
e ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		07/27/12
f SHIRE LIMITED SPONSORED ADR CMN	P		07/27/12
g VALE S.A. ADR	P		07/27/12
h VALE S.A. ADR	P		07/27/12
i VALE S.A. ADR	P		07/27/12
j U.S. BANCORP CMN	P		07/30/12
k AT&T INC CMN	P		07/31/12
l CELGENE CORPORATION CMN	P		08/01/12
m MYLAN INC CMN	P		08/01/12
n PHILIP MORRIS INTL INC CMN	P		08/01/12
o COMCAST CORPORATION CMN CLASS A NON VOTING	P		08/02/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 563.		940.	-377.
b 324.		324.	0.
c 715.		660.	55.
d 1,296.		920.	376.
e 405.		316.	89.
f 1,083.		1,204.	-121.
g 1,232.		1,819.	-587.
h 1,268.		1,734.	-466.
i 1,105.		1,566.	-461.
j 1,686.		1,322.	364.
k 1,311.		1,083.	228.
l 551.		461.	90.
m 717.		671.	46.
n 637.		595.	42.
o 4,490.		2,964.	1,526.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-377.
b			0.
c			55.
d			376.
e			89.
f			-121.
g			-587.
h			-466.
i			-461.
j			364.
k			228.
l			90.
m			46.
n			42.
o			1,526.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LAUDER ESTEE COMPANIES CLASS A	P		08/03/12
b LOWES COMPANIES INC CMN	P		08/07/12
c LOWES COMPANIES INC CMN	P		08/08/12
d SPRINT CORPORATION CMN	P		08/09/12
e INTESA SANPAOLO SPONSORED ADR CMN	P		08/10/12
f DIAGEO PLC SPSD ADR NEW	P		08/10/12
g EQUITY RESIDENTIAL	P		08/10/12
h ISHARES IBOXX \$	P		08/10/12
i DIAGEO PLC SPSD ADR NEW	P		08/10/12
j EQUITY RESIDENTIAL	P		08/10/12
k LOWES COMPANIES INC CMN	P		08/15/12
l LOWES COMPANIES INC CMN	P		08/15/12
m LOWES COMPANIES INC CMN	P		08/15/12
n CANON INC ADR SPONSORED ADR CMN	P		08/16/12
o CHINA MOBILE LIMITED SPONSORED ADR CMN	P		08/16/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,451.		7,716.	-265.
b 961.		972.	-11.
c 909.		919.	-10.
d 655.		626.	29.
e 1,709.		2,521.	-812.
f 14,001.		9,618.	4,383.
g 11,830.		10,180.	1,650.
h 11,388.		10,264.	1,124.
i 5,771.		5,163.	608.
j 4,984.		4,726.	258.
k 295.		288.	7.
l 268.		263.	5.
m 1,179.		1,192.	-13.
n 552.		741.	-189.
o 1,650.		1,487.	163.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-265.
b			-11.
c			-10.
d			29.
e			-812.
f			4,383.
g			1,650.
h			1,124.
i			608.
j			258.
k			7.
l			5.
m			-13.
n			-189.
o			163.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HONDA MTR LTD (AMER SHS) ADR CMN	P		08/16/12
b HONDA MTR LTD (AMER SHS) ADR CMN	P		08/16/12
c HONDA MTR LTD (AMER SHS) ADR CMN	P		08/16/12
d ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		08/17/12
e RESMED INC. CMN	P		08/17/12
f CANON INC ADR SPONSORED ADR CMN	P		08/20/12
g CANON INC ADR SPONSORED ADR CMN	P		08/20/12
h CANON INC ADR SPONSORED ADR CMN	P		08/20/12
i CANON INC ADR SPONSORED ADR CMN	P		08/20/12
j FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		08/20/12
k FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		08/20/12
l FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		08/20/12
m FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		08/20/12
n UNITEDHEALTH GROUP INCORPORATE CMN	P		08/20/12
o UNILEVER N.V. NY SHS (NEW) ADR CMN	P		08/22/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 259.		289.	-30.
b 97.		116.	-19.
c 485.		571.	-86.
d 890.		633.	257.
e 955.		641.	314.
f 174.		234.	-60.
g 488.		632.	-144.
h 313.		413.	-100.
i 174.		232.	-58.
j 284.		269.	15.
k 639.		631.	8.
l 710.		707.	3.
m 213.		218.	-5.
n 7,710.		5,774.	1,936.
o 927.		891.	36.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-30.
b			-19.
c			-86.
d			257.
e			314.
f			-60.
g			-144.
h			-100.
i			-58.
j			15.
k			8.
l			3.
m			-5.
n			1,936.
o			36.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		08/23/12
b GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		08/23/12
c SWEDBANK A B ADR CMN	P		08/23/12
d CAMBIAR SMALL CAP FUND INSTL	P		08/28/12
e FROST MID CAP EQUITY FUND I	P		08/28/12
f UNILEVER N.V. NY SHS (NEW) ADR CMN	P		08/29/12
g STARWOOD HOTELS & RESORTS COM	P		08/29/12
h CANON INC ADR SPONSORED ADR CMN	P		08/30/12
i CANON INC ADR SPONSORED ADR CMN	P		08/30/12
j SWEDBANK A B ADR CMN	P		08/30/12
k VANGUARD INFLAT PROTECTED SEC FD	P		08/30/12
l COCA COLA COM	P		08/30/12
m ISHARES IBOXX \$	P		08/30/12
n WILLIAMS-SONOMA, INC. CMN	P		09/04/12
o WILLIAMS-SONOMA, INC. CMN	P		09/05/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,059.		965.	94.
b 460.		434.	26.
c 1,711.		1,720.	-9.
d 177,700.		143,900.	33,800.
e 204,033.		209,917.	-5,884.
f 592.		561.	31.
g 9,389.		9,261.	128.
h 681.		883.	-202.
i 272.		374.	-102.
j 650.		674.	-24.
k 138,285.		127,226.	11,059.
l 7,507.		6,585.	922.
m 11,404.		10,264.	1,140.
n 330.		318.	12.
o 418.		397.	21.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			94.
b			26.
c			-9.
d			33,800.
e			-5,884.
f			31.
g			128.
h			-202.
i			-102.
j			-24.
k			11,059.
l			922.
m			1,140.
n			12.
o			21.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TECO ENERGY INC	P		09/05/12
b TECO ENERGY INC	P		09/05/12
c FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		09/06/12
d SEADRILL LTD. CMN	P		09/06/12
e SEADRILL LTD. CMN	P		09/06/12
f GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/07/12
g GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/07/12
h GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/07/12
i GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/07/12
j UNILEVER N.V. NY SHS (NEW) ADR CMN	P		09/07/12
k UNILEVER N.V. NY SHS (NEW) ADR CMN	P		09/07/12
l BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		09/10/12
m DANONE SPONSORED ADR CMN	P		09/10/12
n IMPERIAL TOBACCO GROUP PLC SPON ADR	P		09/10/12
o IMPERIAL TOBACCO GROUP PLC SPON ADR	P		09/10/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,369.		9,424.	-55.
b 3,925.		4,050.	-125.
c 796.		777.	19.
d 600.		516.	84.
e 1,360.		1,146.	214.
f 1,264.		1,134.	130.
g 45.		42.	3.
h 316.		297.	19.
i 361.		367.	-6.
j 924.		801.	123.
k 249.		231.	18.
l 1,133.		1,038.	95.
m 1,192.		1,364.	-172.
n 576.		481.	95.
o 1,008.		991.	17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-55.
b			-125.
c			19.
d			84.
e			214.
f			130.
g			3.
h			19.
i			-6.
j			123.
k			18.
l			95.
m			-172.
n			95.
o			17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PIMCO ALL ASSET FUND INSTL CL	P		09/11/12
b PIMCO GLOBAL MULTI-ASSET FUND INSTL CL	P		09/11/12
c COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO P	P		09/12/12
d COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO P	P		09/12/12
e COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO P	P		09/12/12
f GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/12/12
g GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/12/12
h EQUINIX INC CMN	P		09/13/12
i BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		09/13/12
j BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		09/13/12
k BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		09/13/12
l FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		09/13/12
m FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		09/13/12
n FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		09/13/12
o DEERE & CO COM	P		09/13/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 47,037.		45,026.	2,011.
b 40,000.		42,502.	-2,502.
c 383.		321.	62.
d 613.		711.	-98.
e 3,832.		3,221.	611.
f 643.		642.	1.
g 459.		459.	0.
h 803.		352.	451.
i 96.		86.	10.
j 430.		382.	48.
k 717.		677.	40.
l 220.		212.	8.
m 366.		335.	31.
n 733.		663.	70.
o 17,940.		12,635.	5,305.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,011.
b			-2,502.
c			62.
d			-98.
e			611.
f			1.
g			0.
h			451.
i			10.
j			48.
k			40.
l			8.
m			31.
n			70.
o			5,305.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UNITED STS COMMODITY INDEX FUND ETF	P		09/13/12
b PAR PHARMACEUTICAL COS INC CMN	P		09/14/12
c PAR PHARMACEUTICAL COS INC CMN	P		09/14/12
d WILLIAMS-SONOMA, INC. CMN	P		09/14/12
e PEPSICO INC COM	P		09/14/12
f FACEBOOK, INC. CMN CLASS A	P		09/17/12
g BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		09/17/12
h BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		09/17/12
i COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	P		09/17/12
j DEUTSCHE POST AG SPONSORED ADR CMN	P		09/17/12
k DEUTSCHE POST AG SPONSORED ADR CMN	P		09/17/12
l DEUTSCHE POST AG SPONSORED ADR CMN	P		09/17/12
m DEUTSCHE POST AG SPONSORED ADR CMN	P		09/17/12
n GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/17/12
o GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		09/17/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 42,370.		40,892.	1,478.
b 997.		684.	313.
c 997.		691.	306.
d 1,392.		1,232.	160.
e 19,372.		16,823.	2,549.
f 915.		1,349.	-434.
g 471.		426.	45.
h 471.		431.	40.
i 1,213.		1,035.	178.
j 706.		629.	77.
k 81.		79.	2.
l 565.		540.	25.
m 867.		838.	29.
n 698.		681.	17.
o 326.		321.	5.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,478.
b			313.
c			306.
d			160.
e			2,549.
f			-434.
g			45.
h			40.
i			178.
j			77.
k			2.
l			25.
m			29.
n			17.
o			5.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RESMED INC. CMN	P		09/17/12
b RESMED INC. CMN	P		09/17/12
c SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE)	P		09/17/12
d UNILEVER N.V. NY SHS (NEW) ADR CMN	P		09/17/12
e PEET'S COFFEE & TEA, INC. CMN	P		09/24/12
f WALGREEN CO. CMN	P		09/24/12
g WALGREEN CO. CMN	P		09/24/12
h RESMED INC. CMN	P		09/24/12
i SEADRILL LTD. CMN	P		09/24/12
j SEADRILL LTD. CMN	P		09/24/12
k CBS CORPORATION CMN CLASS B	P		09/25/12
l CBS CORPORATION CMN CLASS B	P		09/25/12
m BG GROUP PLC SPON ADR ADR CMN	P		09/25/12
n LENOVO GROUP LIMITED SPONSORED ADR CMN	P		09/25/12
o SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE)	P		09/25/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 937.		594.	343.
b 507.		321.	186.
c 735.		655.	80.
d 826.		708.	118.
e 1,101.		630.	471.
f 571.		580.	-9.
g 2,284.		2,189.	95.
h 792.		495.	297.
i 159.		143.	16.
j 674.		573.	101.
k 1,635.		1,103.	532.
l 892.		625.	267.
m 1,230.		1,249.	-19.
n 2,165.		2,164.	1.
o 575.		558.	17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			343.
b			186.
c			80.
d			118.
e			471.
f			-9.
g			95.
h			297.
i			16.
j			101.
k			532.
l			267.
m			-19.
n			1.
o			17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SILICONWARE PRECISION IND CO L SPONSORED ADR	P		09/25/12
b SILICONWARE PRECISION IND CO L SPONSORED ADR	P		09/25/12
c SILICONWARE PRECISION IND CO L SPONSORED ADR	P		09/25/12
d SILICONWARE PRECISION IND CO L SPONSORED ADR	P		09/25/12
e SILICONWARE PRECISION IND CO L SPONSORED ADR	P		09/25/12
f CHINA MOBILE LIMITED SPONSORED ADR CMN	P		09/26/12
g MYRIAD GENETICS INCORPORATED COM	P		09/26/12
h MASCO CORPORATION CMN	P		09/27/12
i URBAN OUTFITTERS INC CMN	P		10/01/12
j BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN	P		10/01/12
k OCCIDENTAL PETROLEUM CORP CMN	P		10/03/12
l OCCIDENTAL PETROLEUM CORP CMN	P		10/03/12
m OCCIDENTAL PETROLEUM CORP CMN	P		10/03/12
n OCCIDENTAL PETROLEUM CORP CMN	P		10/03/12
o SEADRILL LTD. CMN	P		10/03/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 61.		93.	-32.
b 110.		157.	-47.
c 33.		47.	-14.
d 66.		93.	-27.
e 149.		214.	-65.
f 1,201.		1,091.	110.
g 8,360.		7,794.	566.
h 689.		609.	80.
i 1,164.		789.	375.
j 1,513.		1,504.	9.
k 924.		1,022.	-98.
l 588.		655.	-67.
m 588.		593.	-5.
n 924.		940.	-16.
o 510.		448.	62.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-32.
b			-47.
c			-14.
d			-27.
e			-65.
f			110.
g			566.
h			80.
i			375.
j			9.
k			-98.
l			-67.
m			-5.
n			-16.
o			62.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEADRILL LTD. CMN	P		10/03/12
b TOYOTA MOTOR CORPORATION SPON ADR	P		10/03/12
c DEUTSCHE POST AG SPONSORED ADR CMN	P		10/04/12
d DONGFENG MOTOR CORPORATION ADR CLASS H	P		10/04/12
e RESMED INC. CMN	P		10/05/12
f VARIAN MEDICAL SYSTEMS INC COM	P		10/05/12
g FRESENIUS SE & CO KGAA SPONSORED ADR CMN	P		10/08/12
h MICROSOFT CORPORATION CMN	P		10/09/12
i TORO CO (DELAWARE) CMN	P		10/10/12
j PFIZER INC. CMN	P		10/10/12
k SPRINT CORPORATION CMN	P		10/11/12
l YAMANA GOLD INC CMN	P		10/11/12
m CAIRN ENERGY PLC ADR CMN	P		10/12/12
n LENOVO GROUP LIMITED SPONSORED ADR CMN	P		10/12/12
o VIACOM INC CMN CLASS B	P		10/12/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 431.		393.	38.
b 1,385.		1,227.	158.
c 1,033.		935.	98.
d 557.		854.	-297.
e 1,279.		823.	456.
f 7,895.		8,246.	-351.
g 2,761.		2,262.	499.
h 2,358.		2,264.	94.
i 591.		446.	145.
j 5,674.		4,097.	1,577.
k 962.		763.	199.
l 727.		513.	214.
m 688.		1,722.	-1,034.
n 2,055.		2,131.	-76.
o 8,059.		6,245.	1,814.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			38.
b			158.
c			98.
d			-297.
e			456.
f			-351.
g			499.
h			94.
i			145.
j			1,577.
k			199.
l			214.
m			-1,034.
n			-76.
o			1,814.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a SPRINT CORPORATION CMN	P		10/15/12
b VIACOM INC CMN CLASS B	P		10/15/12
c CHINA MOBILE LIMITED SPONSORED ADR CMN	P		10/17/12
d CHINA MOBILE LIMITED SPONSORED ADR CMN	P		10/17/12
e CHINA MOBILE LIMITED SPONSORED ADR CMN	P		10/17/12
f CHINA MOBILE LIMITED SPONSORED ADR CMN	P		10/17/12
g CHINA MOBILE LIMITED SPONSORED ADR CMN	P		10/17/12
h CHINA MOBILE LIMITED SPONSORED ADR CMN	P		10/17/12
i SEADRILL LTD. CMN	P		10/17/12
j SEADRILL LTD. CMN	P		10/17/12
k SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12
l SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12
m SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12
n SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12
o SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 345.		274.	71.
b 6,496.		5,063.	1,433.
c 1,563.		1,438.	125.
d 1,024.		961.	63.
e 647.		646.	1.
f 1,078.		1,015.	63.
g 755.		745.	10.
h 1,348.		1,376.	-28.
i 41.		32.	9.
j 1,193.		999.	194.
k 136.		157.	-21.
l 429.		523.	-94.
m 109.		124.	-15.
n 87.		99.	-12.
o 266.		328.	-62.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			71.
b			1,433.
c			125.
d			63.
e			1.
f			63.
g			10.
h			-28.
i			9.
j			194.
k			-21.
l			-94.
m			-15.
n			-12.
o			-62.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12
b SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/17/12
c SYNGENTA AG SPONSORED ADR CMN	P		10/17/12
d SYNGENTA AG SPONSORED ADR CMN	P		10/17/12
e ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		10/18/12
f SEADRILL LTD. CMN	P		10/18/12
g SEADRILL LTD. CMN	P		10/18/12
h TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS	P		10/18/12
i BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN	P		10/19/12
j BANK OF YOKOHAMA LTD JAPAN(ADR ADR CMN	P		10/19/12
k HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		10/19/12
l KASIKORNBANK PUB CO LTD ADR CMN	P		10/19/12
m KASIKORNBANK PUB CO LTD ADR CMN	P		10/19/12
n SWEDBANK A B ADR CMN	P		10/19/12
o GENERAL ELECTRIC	P		10/19/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 54.		65.	-11.
b 5.		8.	-3.
c 1,121.		1,029.	92.
d 598.		502.	96.
e 864.		575.	289.
f 1,206.		1,182.	24.
g 2,120.		1,653.	467.
h 1,441.		1,346.	95.
i 56.		58.	-2.
j 707.		714.	-7.
k 660.		574.	86.
l 45.		37.	8.
m 1,514.		1,190.	324.
n 927.		922.	5.
o 100,000.		97,327.	2,673.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-11.
b			-3.
c			92.
d			96.
e			289.
f			24.
g			467.
h			95.
i			-2.
j			-7.
k			86.
l			8.
m			324.
n			5.
o			2,673.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FRESNIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		10/24/12
b COCA COLA COM	P		10/24/12
c JUNIPER NETWORKS, INC. CMN	P		10/25/12
d SPRINT CORPORATION CMN	P		10/25/12
e SPRINT CORPORATION CMN	P		10/25/12
f SILICONWARE PRECISION IND CO L SPONSORED ADR	P		10/25/12
g ABB LTD SPONSORED ADR CMN	P		10/26/12
h ABB LTD SPONSORED ADR CMN	P		10/26/12
i ABB LTD SPONSORED ADR CMN	P		10/26/12
j MERIDIAN BIOSCIENCE INC CMN	P		10/31/12
k ORACLE CORPORATION CMN	P		11/01/12
l P G & E CORPORATION CMN	P		11/01/12
m P G & E CORPORATION CMN	P		11/01/12
n EXELON CORPORATION	P		11/01/12
o EXELON CORPORATION	P		11/01/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 890.		803.	87.
b 12,981.		11,532.	1,449.
c 1,331.		1,723.	-392.
d 28.		23.	5.
e 945.		807.	138.
f 209.		257.	-48.
g 1,764.		2,434.	-670.
h 400.		437.	-37.
i 673.		948.	-275.
j 593.		633.	-40.
k 1,884.		1,924.	-40.
l 2,025.		2,254.	-229.
m 591.		617.	-26.
n 11,490.		13,950.	-2,460.
o 4,833.		5,575.	-742.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			87.
b			1,449.
c			-392.
d			5.
e			138.
f			-48.
g			-670.
h			-37.
i			-275.
j			-40.
k			-40.
l			-229.
m			-26.
n			-2,460.
o			-742.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SLM CORPORATION CMN	P		11/07/12
b SLM CORPORATION CMN	P		11/07/12
c ABB LTD SPONSORED ADR CMN	P		11/07/12
d ABB LTD SPONSORED ADR CMN	P		11/07/12
e HALLIBURTON COMPANY CMN	P		11/08/12
f DBS GROUP HOLDINGS SPONSORED ADR CMN	P		11/08/12
g NEW WORLD DEVELOPMENT & CO LTD (SPONS ADR)	P		11/08/12
h TELENOR ASA AMERICAN DEPOSITARY SHARES 1 ADS = 3	P		11/08/12
i TELENOR ASA AMERICAN DEPOSITARY SHARES 1 ADS = 3	P		11/08/12
j CAIRN ENERGY PLC ADR CMN	P		11/12/12
k CAIRN ENERGY PLC ADR CMN	P		11/12/12
l TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A	P		11/13/12
m DEVON ENERGY CORPORATION (NEW) CMN	P		11/14/12
n DEVON ENERGY CORPORATION (NEW) CMN	P		11/14/12
o DEVON ENERGY CORPORATION (NEW) CMN	P		11/14/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 714.		637.	77.
b 1,326.		1,183.	143.
c 733.		834.	-101.
d 147.		159.	-12.
e 338.		479.	-141.
f 1,583.		1,621.	-38.
g 3,061.		2,168.	893.
h 530.		453.	77.
i 1,001.		847.	154.
j 457.		1,194.	-737.
k 616.		1,654.	-1,038.
l 6,740.		3,931.	2,809.
m 161.		278.	-117.
n 376.		557.	-181.
o 645.		948.	-303.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			77.
b			143.
c			-101.
d			-12.
e			-141.
f			-38.
g			893.
h			77.
i			154.
j			-737.
k			-1,038.
l			2,809.
m			-117.
n			-181.
o			-303.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAIRN ENERGY PLC ADR CMN	P		11/14/12
b CAIRN ENERGY PLC ADR CMN	P		11/14/12
c CAIRN ENERGY PLC ADR CMN	P		11/14/12
d FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		11/16/12
e FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		11/16/12
f NEW WORLD DEVELOPMENT & CO LTD (SPONS ADR)	P		11/16/12
g ST JUDE MEDICAL INC CMN	P		11/19/12
h ST JUDE MEDICAL INC CMN	P		11/19/12
i ST JUDE MEDICAL INC CMN	P		11/19/12
j HONDA MTR LTD (AMER SHS) ADR CMN	P		11/19/12
k FORTINET INC COM	P		11/19/12
l NETAPP INC COM	P		11/19/12
m CONSOLIDATED EDISON INC	P		11/19/12
n ABB LTD SPONSORED ADR CMN	P		11/20/12
o ABB LTD SPONSORED ADR CMN	P		11/20/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 879.		1,132.	-253.
b 136.		378.	-242.
c 742.		919.	-177.
d 395.		411.	-16.
e 592.		602.	-10.
f 939.		698.	241.
g 929.		1,328.	-399.
h 357.		472.	-115.
i 679.		816.	-137.
j 967.		1,085.	-118.
k 13,560.		7,559.	6,001.
l 5,712.		11,510.	-5,798.
m 12,793.		11,557.	1,236.
n 504.		578.	-74.
o 108.		125.	-17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-253.
b			-242.
c			-177.
d			-16.
e			-10.
f			241.
g			-399.
h			-115.
i			-137.
j			-118.
k			6,001.
l			-5,798.
m			1,236.
n			-74.
o			-17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ABB LTD SPONSORED ADR CMN	P		11/20/12
b ABB LTD SPONSORED ADR CMN	P		11/20/12
c ABB LTD SPONSORED ADR CMN	P		11/20/12
d CAIRN ENERGY PLC ADR CMN	P		11/20/12
e CAIRN ENERGY PLC ADR CMN	P		11/20/12
f FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		11/20/12
g HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		11/20/12
h HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		11/20/12
i HONDA MTR LTD (AMER SHS) ADR CMN	P		11/20/12
j HONDA MTR LTD (AMER SHS) ADR CMN	P		11/20/12
k HONDA MTR LTD (AMER SHS) ADR CMN	P		11/20/12
l HONDA MTR LTD (AMER SHS) ADR CMN	P		11/20/12
m HONDA MTR LTD (AMER SHS) ADR CMN	P		11/20/12
n BAIDU INC SPONS ADR	P		11/20/12
o LOWES COMPANIES INC CMN	P		11/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 630.		721.	-91.
b 630.		695.	-65.
c 252.		230.	22.
d 677.		890.	-213.
e 535.		588.	-53.
f 198.		206.	-8.
g 506.		453.	53.
h 759.		689.	70.
i 1,331.		1,518.	-187.
j 285.		288.	-3.
k 380.		489.	-109.
l 32.		56.	-24.
m 1,109.		1,123.	-14.
n 14,129.		16,525.	-2,396.
o 1,370.		1,021.	349.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-91.
b			-65.
c			22.
d			-213.
e			-53.
f			-8.
g			53.
h			70.
i			-187.
j			-3.
k			-109.
l			-24.
m			-14.
n			-2,396.
o			349.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		11/21/12
b FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		11/21/12
c RESEARCH IN MOTION LIMITED CMN	P		11/21/12
d RESEARCH IN MOTION LIMITED CMN	P		11/21/12
e RESEARCH IN MOTION LIMITED CMN	P		11/21/12
f RESEARCH IN MOTION LIMITED CMN	P		11/21/12
g RESEARCH IN MOTION LIMITED CMN	P		11/23/12
h MAINSTAY LARGE CAP GROWTH FD I	P		11/28/12
i REYNOLDS AMERICAN	P		11/28/12
j ADOBE SYSTEMS INC CMN	P		11/29/12
k BARD C R INC N J CMN	P		11/29/12
l CISCO SYSTEMS, INC. CMN	P		11/29/12
m CISCO SYSTEMS, INC. CMN	P		11/29/12
n CISCO SYSTEMS, INC. CMN	P		11/29/12
o CLOROX CO (THE) (DELAWARE) CMN	P		11/29/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,183.		1,234.	-51.
b 657.		668.	-11.
c 100.		135.	-35.
d 650.		2,640.	-1,990.
e 200.		575.	-375.
f 500.		1,400.	-900.
g 930.		1,077.	-147.
h 117,735.		100,000.	17,735.
i 60,625.		44,005.	16,620.
j 578.		576.	2.
k 2,466.		2,338.	128.
l 570.		520.	50.
m 6,935.		7,501.	-566.
n 1,140.		1,156.	-16.
o 2,633.		2,230.	403.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-51.
b			-11.
c			-35.
d			-1,990.
e			-375.
f			-900.
g			-147.
h			17,735.
i			16,620.
j			2.
k			128.
l			50.
m			-566.
n			-16.
o			403.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a COCA-COLA COMPANY (THE) CMN	P		11/29/12
b COMCAST CORPORATION CMN CLASS A NON VOTING	P		11/29/12
c CONOCOPHILLIPS CMN	P		11/29/12
d DELL INC CMN	P		11/29/12
e EXXON MOBIL CORPORATION CMN	P		11/29/12
f JOHNSON & JOHNSON CMN	P		11/29/12
g MICROSOFT CORPORATION CMN	P		11/29/12
h PEPSICO INC CMN	P		11/29/12
i PFIZER INC. CMN	P		11/29/12
j PROCTER & GAMBLE COMPANY (THE) CMN	P		11/29/12
k RESEARCH IN MOTION LIMITED CMN	P		11/29/12
l RESEARCH IN MOTION LIMITED CMN	P		11/29/12
m STRYKER CORP CMN	P		11/29/12
n SYSCO CORPORATION CMN	P		11/29/12
o THE BANK OF NY MELLON CORP CMN	P		11/29/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,325.		1,103.	222.
b 1,966.		1,208.	758.
c 2,848.		2,582.	266.
d 726.		724.	2.
e 440.		388.	52.
f 1,385.		1,256.	129.
g 2,153.		2,264.	-111.
h 5,978.		5,610.	368.
i 1,739.		1,275.	464.
j 12,859.		12,128.	731.
k 58.		36.	22.
l 1,323.		1,548.	-225.
m 2,712.		2,882.	-170.
n 4,099.		3,926.	173.
o 1,088.		847.	241.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			222.
b			758.
c			266.
d			2.
e			52.
f			129.
g			-111.
h			368.
i			464.
j			731.
k			22.
l			-225.
m			-170.
n			173.
o			241.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A	P		11/29/12
b U.S. BANCORP CMN	P		11/29/12
c VIACOM INC CMN CLASS B	P		11/29/12
d WAL MART STORES INC CMN	P		11/29/12
e WELLPOINT, INC. CMN	P		11/29/12
f OTHER REALIZED GAIN/LOSS	P		11/30/12
g SPDR DOW JONES REIT ETF	P		11/30/12
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 17,660.		10,220.	7,440.
b 810.		661.	149.
c 1,285.		1,055.	230.
d 1,062.		837.	225.
e 555.		573.	-18.
f 1.		400.	-399.
g 147,848.		105,107.	42,741.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			7,440.
b			149.
c			230.
d			225.
e			-18.
f			-399.
g			42,741.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	176,014.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AVALON DIVIDENDS	65,332.	0.	65,332.
BANK OF AMERICA DIVIDENDS	142,312.	0.	142,312.
FROST TRUST DIVIDENDS	81,168.	0.	81,168.
GS ADVISORY DIVIDENDS	29,218.	0.	29,218.
GS ADVISORY INTEREST	42.	0.	42.
GS BROKERAGE INTEREST	3.	0.	3.
GS GANNETT WELSH DIVIDENDS	1,219.	0.	1,219.
GS GANNETT WELSH INTEREST	5.	0.	5.
GS LG CAP GROWTH DIVIDENDS	1,805.	0.	1,805.
GS LG CAP GROWTH INTEREST	7.	0.	7.
GS LG CAP VALUE DIVIDENDS	3,070.	0.	3,070.
GS LG CAP VALUE INTEREST	6.	0.	6.
GS LORD ABBETT DIVIDENDS	16,719.	0.	16,719.
GS LORD ABBETT INTEREST	21.	0.	21.
GS YACKTMAN DIVIDENDS	13,741.	0.	13,741.
GS YACKTMAN INTEREST	43.	0.	43.
SWBC INVESTMENT SERVICES DIVIDENDS	11,149.	0.	11,149.
SWBC INVESTMENT SERVICES INTEREST	32,495.	0.	32,495.
TOTAL TO FM 990-PF, PART I, LN 4	398,355.	0.	398,355.

FORM 990-PF	OTHER INCOME			STATEMENT 2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
OTHER INCOME	236.	236.		
TOTAL TO FORM 990-PF, PART I, LINE 11	236.	236.		

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING SERVICES	35,854.	4,158.		31,696.
TO FORM 990-PF, PG 1, LN 16B	35,854.	4,158.		31,696.

FORM 990-PF	TAXES	STATEMENT	4
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,327.	1,327.		0.
TAX PAYMENT	1,200.	0.		0.
RECLAIMABLE FOREIGN TAX	422.	422.		0.
TO FORM 990-PF, PG 1, LN 18	2,949.	1,749.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANALYSIS FEES	330.	0.		330.
INVESTMENT FEES	105,347.	105,347.		0.
PREMIUM AMORTIZED	717.	717.		0.
DIVIDEND FEES	431.	431.		0.
TO FORM 990-PF, PG 1, LN 23	106,825.	106,495.		330.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE STOCK (SEE ATTACHED DETAIL)	11,624,554.	12,942,198.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,624,554.	12,942,198.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE BONDS (SEE ATTACHED DETAIL)	1,377,980.	1,465,652.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,377,980.	1,465,652.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 8
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
SOUTHWEST BUSINESS CORPORATION	9311 SAN PEDRO AVE, SUITE 600 SAN ANTONIO, TX 78216

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NOVARTIS AG-ADR SPONSORED ADR CMN	P		12/01/11
b NOVARTIS AG-ADR SPONSORED ADR CMN	P		12/01/11
c NOVARTIS AG-ADR SPONSORED ADR CMN	P		12/01/11
d PEARSON PLC SPON ADR SPONSORED ADR CMN	P		12/01/11
e REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		12/01/11
f REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		12/01/11
g WASTE MANAGEMENT INC NEW	P		12/01/11
h COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	P		12/02/11
i PEARSON PLC SPON ADR SPONSORED ADR CMN	P		12/02/11
j PEARSON PLC SPON ADR SPONSORED ADR CMN	P		12/02/11
k PEARSON PLC SPON ADR SPONSORED ADR CMN	P		12/02/11
l PEARSON PLC SPON ADR SPONSORED ADR CMN	P		12/02/11
m REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		12/02/11
n REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		12/02/11
o SKF AB SPONSORED ADR SWKR 12.50	P		12/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 218.		221.	-3.
b 382.		405.	-23.
c 436.		474.	-38.
d 409.		400.	9.
e 230.		234.	-4.
f 99.		98.	1.
g 7,979.		9,477.	-1,498.
h 766.		725.	41.
i 142.		150.	-8.
j 36.		37.	-1.
k 249.		255.	-6.
l 36.		35.	1.
m 292.		319.	-27.
n 422.		434.	-12.
o 424.		433.	-9.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-3.
b			-23.
c			-38.
d			9.
e			-4.
f			1.
g			-1,498.
h			41.
i			-8.
j			-1.
k			-6.
l			1.
m			-27.
n			-12.
o			-9.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ST JUDE MEDICAL INC CMN	P		12/06/11
b PITNEY BOWES INC	P		12/07/11
c MAINSTAY LARGE CAP GROWTH FD I	P		12/08/11
d COLUMBIA ACORN FUND CLASS Z SHARES	P		12/08/11
e COLUMBIA ACORN USA FUND CLASS Z SHARES	P		12/08/11
f PIMCO COMMODITY REALRETURN STRATEGY FUND	P		12/08/11
g SMITH & NEPHEW PLC ADR CMN	P		12/09/11
h SKF AB SPONSORED ADR SWKR 12.50	P		12/12/11
i SMITHS GROUP PLC SPONSORED ADR CMN	P		12/12/11
j SMITHS GROUP PLC SPONSORED ADR CMN	P		12/12/11
k CONOCOPHILLIPS CMN	P		12/13/11
l LOWES COMPANIES INC CMN	P		12/14/11
m GENERAL ELECTRIC CO CMN	P		12/14/11
n SKF AB SPONSORED ADR SWKR 12.50	P		12/14/11
o SKF AB SPONSORED ADR SWKR 12.50	P		12/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 698.		795.	-97.
b 7,102.		9,261.	-2,159.
c 2,381.			2,381.
d 3,420.			3,420.
e 485.			485.
f 392.			392.
g 415.		481.	-66.
h 1,134.		1,211.	-77.
i 397.		526.	-129.
j 397.		570.	-173.
k 1,696.		1,918.	-222.
l 752.		814.	-62.
m 133.		161.	-28.
n 516.		584.	-68.
o 706.		755.	-49.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-97.
b			-2,159.
c			2,381.
d			3,420.
e			485.
f			392.
g			-66.
h			-77.
i			-129.
j			-173.
k			-222.
l			-62.
m			-28.
n			-68.
o			-49.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SKF AB SPONSORED ADR SWKR 12.50	P		12/14/11
b SKF AB SPONSORED ADR SWKR 12.50	P		12/14/11
c SKF AB SPONSORED ADR SWKR 12.50	P		12/14/11
d PIMCO GLOBAL MULTI-ASSET FUND INSTL CL	P		12/14/11
e GS CORE PLUS FIXED INCOME FUND CLASS A SHARES	P		12/14/11
f FORD MOTOR COMPANY CMN	P		12/15/11
g FORD MOTOR COMPANY CMN	P		12/15/11
h FORD MOTOR COMPANY CMN	P		12/15/11
i JOHNSON CONTROLS INC CMN	P		12/15/11
j ADOBE SYSTEMS INC CMN	P		12/16/11
k CAMERON INTERNATIONAL CORP CMN	P		12/16/11
l CAMERON INTERNATIONAL CORP CMN	P		12/16/11
m CAMBIAR SMALL CAP FUND INSTL	P		12/16/11
n FROST LKCM SMALL MID-CAP EQ FD I	P		12/16/11
o FROST TOTAL RETURN BOND FUND INSTL	P		12/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 592.		853.	-261.
b 535.		670.	-135.
c 134.		145.	-11.
d 1,188.			1,188.
e 1,765.			1,765.
f 1,118.		2,051.	-933.
g 318.		464.	-146.
h 472.		646.	-174.
i 204.		275.	-71.
j 680.		813.	-133.
k 758.		993.	-235.
l 426.		537.	-111.
m 1,746.			1,746.
n 9,789.			9,789.
o 1,122.			1,122.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-261.
b			-135.
c			-11.
d			1,188.
e			1,765.
f			-933.
g			-146.
h			-174.
i			-71.
j			-133.
k			-235.
l			-111.
m			1,746.
n			9,789.
o			1,122.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEUBERGER BERMAN EQU INCM MUTUAL FUND	P		12/16/11
b CAMBIAR SMALL CAP FUND INV CL	P		12/19/11
c T ROWE PRICE INSTL HIGHT YLD MUTUAL FUND CLASS I	P		12/19/11
d JOHNSON CONTROLS INC CMN	P		12/20/11
e JOHNSON CONTROLS INC CMN	P		12/20/11
f MATTHEWS INTL CORP CL-A CMN CLASS A	P		12/21/11
g TRACTOR SUPPLY CO CMN	P		12/21/11
h BOSTON SCIENTIFIC CORP. COMMON STOCK	P		12/22/11
i BOSTON SCIENTIFIC CORP. COMMON STOCK	P		12/22/11
j BOSTON SCIENTIFIC CORP. COMMON STOCK	P		12/22/11
k CISCO SYSTEMS, INC. CMN	P		12/22/11
l CISCO SYSTEMS, INC. CMN	P		12/22/11
m EQT CORPORATION CMN	P		12/22/11
n EQT CORPORATION CMN	P		12/22/11
o FRANKLIN RESOURCES INC CMN	P		12/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 631.			631.
b 757.			757.
c 1,377.			1,377.
d 441.		623.	-182.
e 1,234.		1,653.	-419.
f 778.		913.	-135.
g 360.		250.	110.
h 1,146.		1,517.	-371.
i 492.		694.	-202.
j 319.		444.	-125.
k 1,741.		1,628.	113.
l 617.		591.	26.
m 1,669.		1,586.	83.
n 445.		520.	-75.
o 1,141.		1,458.	-317.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			631.
b			757.
c			1,377.
d			-182.
e			-419.
f			-135.
g			110.
h			-371.
i			-202.
j			-125.
k			113.
l			26.
m			83.
n			-75.
o			-317.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FRANKLIN RESOURCES INC CMN	P		12/22/11
b GENERAL MILLS INC CMN	P		12/22/11
c GOOGLE, INC. CMN CLASS A	P		12/22/11
d PPL CORPORATION CMN	P		12/22/11
e WELLPOINT, INC. CMN	P		12/22/11
f WELLPOINT, INC. CMN	P		12/22/11
g VANGUARD SHORT TERM INV GRADE INSTL	P		12/27/11
h DELAWARE EMERGING MKTS FUND CL INSTL CL	P		12/27/11
i VANGUARD INFLAT PROTECTED SEC FD	P		12/28/11
j ARTIO GLOBAL HIGH INCOME FUND CL I	P		12/30/11
k WASTE MANAGEMENT INC NEW	P		01/04/12
l ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		01/05/12
m ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		01/05/12
n FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		01/05/12
o FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		01/05/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 475.		600.	-125.
b 888.		777.	111.
c 1,261.		1,238.	23.
d 678.		600.	78.
e 1,001.		957.	44.
f 668.		692.	-24.
g 220,852.		223,971.	-3,119.
h 1,259.			1,259.
i 444.			444.
j 1,282.			1,282.
k 311.		362.	-51.
l 659.		696.	-37.
m 240.		238.	2.
n 703.		760.	-57.
o 281.		287.	-6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-125.
b			111.
c			23.
d			78.
e			44.
f			-24.
g			-3,119.
h			1,259.
i			444.
j			1,282.
k			-51.
l			-37.
m			2.
n			-57.
o			-6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		01/05/12
b FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	P		01/05/12
c GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		01/05/12
d NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS	P		01/05/12
e NOVARTIS AG-ADR SPONSORED ADR CMN	P		01/05/12
f PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/05/12
g SMITH & NEPHEW PLC ADR CMN	P		01/05/12
h SMITH & NEPHEW PLC ADR CMN	P		01/05/12
i PFIZER INC. CMN	P		01/05/12
j GOOGLE, INC. CMN CLASS A	P		01/06/12
k GOOGLE, INC. CMN CLASS A	P		01/06/12
l GOOGLE, INC. CMN CLASS A	P		01/06/12
m DEUTSCHE POST AG SPONSORED ADR CMN	P		01/06/12
n HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDIN	P		01/06/12
o PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/06/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 703.		716.	-13.
b 492.		510.	-18.
c 321.		295.	26.
d 687.		754.	-67.
e 343.		356.	-13.
f 921.		921.	0.
g 565.		641.	-76.
h 141.		162.	-21.
i 3,754.		3,187.	567.
j 651.		583.	68.
k 651.		537.	114.
l 651.		545.	106.
m 390.		495.	-105.
n 482.		510.	-28.
o 454.		451.	3.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-13.
b			-18.
c			26.
d			-67.
e			-13.
f			0.
g			-76.
h			-21.
i			567.
j			68.
k			114.
l			106.
m			-105.
n			-28.
o			3.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UNILEVER N.V. NY SHS (NEW) ADR CMN	P		01/09/12
b GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		01/09/12
c GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		01/09/12
d BOEING COMPANY CMN	P		01/10/12
e EMERSON ELECTRIC CO. CMN	P		01/12/12
f EMERSON ELECTRIC CO. CMN	P		01/12/12
g PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/12/12
h PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/12/12
i SMITH & NEPHEW PLC ADR CMN	P		01/12/12
j SMITH & NEPHEW PLC ADR CMN	P		01/12/12
k SMITH & NEPHEW PLC ADR CMN	P		01/12/12
l TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/12/12
m TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/12/12
n TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/12/12
o TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/12/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 506.		452.	54.
b 1,067.		994.	73.
c 1,155.		1,095.	60.
d 825.		778.	47.
e 824.		991.	-167.
f 97.		121.	-24.
g 562.		559.	3.
h 431.		441.	-10.
i 367.		431.	-64.
j 367.		431.	-64.
k 275.		323.	-48.
l 1,305.		1,658.	-353.
m 228.		298.	-70.
n 895.		1,182.	-287.
o 486.		598.	-112.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			54.
b			73.
c			60.
d			47.
e			-167.
f			-24.
g			3.
h			-10.
i			-64.
j			-64.
k			-48.
l			-353.
m			-70.
n			-287.
o			-112.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BRIDGESTONES CORP. ADR ADR CMN	P		01/13/12
b BRIDGESTONES CORP. ADR ADR CMN	P		01/13/12
c BRIDGESTONES CORP. ADR ADR CMN	P		01/13/12
d BRIDGESTONES CORP. ADR ADR CMN	P		01/13/12
e BRIDGESTONES CORP. ADR ADR CMN	P		01/13/12
f UNITED STS COMMODITY INDEX FUND ETF	P		01/13/12
g FORTUM OYJ UNSPONSORED ADR CMN	P		01/17/12
h MARRIOTT INTERNATIONAL, INC. CMN CLASS A	P		01/18/12
i SPRINT CORPORATION CMN	P		01/18/12
j UNILEVER N.V. NY SHS (NEW) ADR CMN	P		01/18/12
k UNILEVER N.V. NY SHS (NEW) ADR CMN	P		01/18/12
l UNILEVER N.V. NY SHS (NEW) ADR CMN	P		01/18/12
m EMERSON ELECTRIC CO COM	P		01/18/12
n PPL CORPORATION CMN	P		01/19/12
o WALT DISNEY COMPANY (THE) CMN	P		01/19/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 180.		206.	-26.
b 674.		679.	-5.
c 404.		405.	-1.
d 764.		748.	16.
e 719.		725.	-6.
f 10,236.		10,163.	73.
g 772.		997.	-225.
h 575.		475.	100.
i 474.		941.	-467.
j 1,045.		965.	80.
k 621.		577.	44.
l 621.		592.	29.
m 16,305.		14,946.	1,359.
n 616.		574.	42.
o 1,094.		1,081.	13.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-26.
b			-5.
c			-1.
d			16.
e			-6.
f			73.
g			-225.
h			100.
i			-467.
j			80.
k			44.
l			29.
m			1,359.
n			42.
o			13.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		01/19/12
b NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		01/19/12
c NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		01/19/12
d PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/19/12
e SMITH & NEPHEW PLC ADR CMN	P		01/19/12
f TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/19/12
g TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/19/12
h ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	P		01/20/12
i PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/20/12
j PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/20/12
k PEARSON PLC SPON ADR SPONSORED ADR CMN	P		01/20/12
l SAFRAN SA SPONSORED ADR CMN	P		01/20/12
m SAFRAN SA SPONSORED ADR CMN	P		01/20/12
n SAFRAN SA SPONSORED ADR CMN	P		01/20/12
o SAFRAN SA SPONSORED ADR CMN	P		01/20/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 172.		172.	0.
b 320.		315.	5.
c 74.		73.	1.
d 850.		862.	-12.
e 971.		1,130.	-159.
f 955.		1,278.	-323.
g 106.		140.	-34.
h 991.		1,012.	-21.
i 363.		359.	4.
j 134.		129.	5.
k 1,622.		1,629.	-7.
l 331.		444.	-113.
m 60.		76.	-16.
n 872.		1,096.	-224.
o 421.		546.	-125.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			5.
c			1.
d			-12.
e			-159.
f			-323.
g			-34.
h			-21.
i			4.
j			5.
k			-7.
l			-113.
m			-16.
n			-224.
o			-125.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/20/12
b TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	P		01/20/12
c TOTAL SA SPONSORED ADR CMN	P		01/20/12
d JPMORGAN CHASE & CO COM	P		01/20/12
e HARTFORD FINANCIAL SRVCS GROUP CMN	P		01/23/12
f TEXAS INSTRUMENTS INC. CMN	P		01/23/12
g VODAFONE GROUP PLC SPONSORED ADR CMN	P		01/23/12
h VODAFONE GROUP PLC SPONSORED ADR CMN	P		01/23/12
i VODAFONE GROUP PLC SPONSORED ADR CMN	P		01/23/12
j VODAFONE GROUP PLC SPONSORED ADR CMN	P		01/23/12
k VODAFONE GROUP PLC SPONSORED ADR CMN	P		01/23/12
l BAKER HUGHES INC CMN	P		01/24/12
m BAKER HUGHES INC CMN	P		01/24/12
n BAKER HUGHES INC CMN	P		01/24/12
o HARTFORD FINANCIAL SRVCS GROUP CMN	P		01/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 675.		893.	-218.
b 246.		287.	-41.
c 1,594.		1,557.	37.
d 13,661.		16,313.	-2,652.
e 300.		456.	-156.
f 298.		264.	34.
g 641.		651.	-10.
h 920.		950.	-30.
i 753.		788.	-35.
j 418.		422.	-4.
k 1,254.		1,265.	-11.
l 231.		258.	-27.
m 1,337.		1,437.	-100.
n 277.		320.	-43.
o 1,260.		1,939.	-679.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-218.
b			-41.
c			37.
d			-2,652.
e			-156.
f			34.
g			-10.
h			-30.
i			-35.
j			-4.
k			-11.
l			-27.
m			-100.
n			-43.
o			-679.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HARTFORD FINANCIAL SRVCS GROUP CMN	P		01/24/12
b TOTAL SA SPONSORED ADR CMN	P		01/24/12
c AMERICAN ELECTRIC POWER INC CMN	P		01/25/12
d TEXAS INSTRUMENTS INC. CMN	P		01/25/12
e NOVARTIS AG-ADR SPONSORED ADR CMN	P		01/25/12
f NOVARTIS AG-ADR SPONSORED ADR CMN	P		01/25/12
g TELENOR ASA AMERICAN DEPOSITARY SHARES 1 ADS = 3	P		01/25/12
h VANGUARD MSCI EMERGING MKTS EFT	P		01/25/12
i CHEUNG KONG HLDGS LTD (ADR) ADR CMN	P		01/26/12
j E.ON AG SPONSORED ADR CMN	P		01/26/12
k ERICSSON AMERICAN ADR CMN CLASS B	P		01/26/12
l SMITHS GROUP PLC SPONSORED ADR CMN	P		01/26/12
m SMITHS GROUP PLC SPONSORED ADR CMN	P		01/26/12
n MICROSOFT CORPORATION CMN	P		01/26/12
o TOTAL SYS SVC INC. CMN	P		01/26/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 463.		681.	-218.
b 1,310.		1,256.	54.
c 847.		774.	73.
d 1,622.		1,467.	155.
e 1,907.		2,143.	-236.
f 1,253.		1,365.	-112.
g 1,167.		1,245.	-78.
h 14,991.		14,250.	741.
i 2,637.		2,494.	143.
j 1,683.		1,931.	-248.
k 428.		612.	-184.
l 15.		20.	-5.
m 831.		1,014.	-183.
n 3,702.		3,538.	164.
o 2,841.		2,219.	622.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-218.
b			54.
c			73.
d			155.
e			-236.
f			-112.
g			-78.
h			741.
i			143.
j			-248.
k			-184.
l			-5.
m			-183.
n			164.
o			622.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A	P		01/26/12
b OCCIDENTAL PETROLEUM CORP CMN	P		01/27/12
c SAP AG (SPON ADR)	P		01/27/12
d SAP AG (SPON ADR)	P		01/27/12
e TEVA PHARMACEUTICAL IND LTD ADS	P		01/27/12
f TOTAL SA SPONSORED ADR CMN	P		01/27/12
g TOTAL SA SPONSORED ADR CMN	P		01/27/12
h TOTAL SA SPONSORED ADR CMN	P		01/27/12
i UNILEVER N.V. NY SHS (NEW) ADR CMN	P		01/27/12
j VODAFONE GROUP PLC SPONSORED ADR CMN	P		01/27/12
k KINROSS GOLD CORP COM	P		01/27/12
l SMITHS GROUP PLC SPONSORED ADR CMN	P		01/30/12
m SMITHS GROUP PLC SPONSORED ADR CMN	P		01/30/12
n LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A	P		01/31/12
o JUNIPER NETWORKS INC COM	P		02/02/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,291.		4,788.	1,503.
b 1,302.		1,208.	94.
c 662.		678.	-16.
d 120.		129.	-9.
e 768.		913.	-145.
f 1,215.		1,155.	60.
g 792.		776.	16.
h 792.		775.	17.
i 568.		561.	7.
j 1,601.		1,670.	-69.
k 4,878.		8,122.	-3,244.
l 967.		1,286.	-319.
m 967.		1,021.	-54.
n 1,200.		721.	479.
o 7,160.		8,500.	-1,340.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,503.
b			94.
c			-16.
d			-9.
e			-145.
f			60.
g			16.
h			17.
i			7.
j			-69.
k			-3,244.
l			-319.
m			-54.
n			479.
o			-1,340.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a EMERSON ELECTRIC CO. CMN	P		02/03/12
b EMERSON ELECTRIC CO. CMN	P		02/03/12
c EMERSON ELECTRIC CO. CMN	P		02/03/12
d NATIONAL GRID PLC SPONSORED ADR CMN	P		02/03/12
e NATIONAL GRID PLC SPONSORED ADR CMN	P		02/03/12
f ERICSSON AMERICAN ADR CMN CLASS B	P		02/06/12
g ERICSSON AMERICAN ADR CMN CLASS B	P		02/06/12
h NOVARTIS AG-ADR SPONSORED ADR CMN	P		02/06/12
i TELENOR ASA AMERICAN DEPOSITARY SHARES 1 ADS = 3	P		02/06/12
j TEVA PHARMACEUTICAL IND LTD ADS	P		02/06/12
k TEVA PHARMACEUTICAL IND LTD ADS	P		02/06/12
l TEVA PHARMACEUTICAL IND LTD ADS	P		02/06/12
m BARCLAYS PLC, AMER DEP SHS ADR CMN	P		02/07/12
n BARCLAYS PLC, AMER DEP SHS ADR CMN	P		02/07/12
o BARCLAYS PLC, AMER DEP SHS ADR CMN	P		02/07/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 843.		858.	-15.
b 738.		816.	-78.
c 474.		473.	1.
d 304.		305.	-1.
e 911.		878.	33.
f 66.		89.	-23.
g 928.		1,479.	-551.
h 337.		367.	-30.
i 2,218.		2,292.	-74.
j 226.		262.	-36.
k 407.		483.	-76.
l 407.		450.	-43.
m 762.		994.	-232.
n 135.		183.	-48.
o 523.		658.	-135.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-15.
b			-78.
c			1.
d			-1.
e			33.
f			-23.
g			-551.
h			-30.
i			-74.
j			-36.
k			-76.
l			-43.
m			-232.
n			-48.
o			-135.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BARCLAYS PLC,AMER DEP SHS ADR CMN	P		02/07/12
b BARCLAYS PLC,AMER DEP SHS ADR CMN	P		02/07/12
c CAIRN ENERGY PLC ADR CMN	P		02/07/12
d CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	P		02/07/12
e CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	P		02/07/12
f CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	P		02/07/12
g CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	P		02/07/12
h CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	P		02/07/12
i GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		02/07/12
j GLAXOSMITHKLINE PLC SPONSORED ADR CMN	P		02/07/12
k TEVA PHARMACEUTICAL IND LTD ADS	P		02/08/12
l TEVA PHARMACEUTICAL IND LTD ADS	P		02/08/12
m BRIDGESTONES CORP. ADR ADR CMN	P		02/08/12
n INTESA SANPAOLO SPONSORED ADR CMN	P		02/08/12
o LIBERTY GLOBAL, INC. CMN CLASS A	P		02/09/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 194.		263.	-69.
b 628.		792.	-164.
c 2.			2.
d 1,148.		1,666.	-518.
e 930.		1,398.	-468.
f 191.		196.	-5.
g 547.		875.	-328.
h 410.		435.	-25.
i 358.		348.	10.
j 134.		124.	10.
k 2,049.		2,558.	-509.
l 579.		653.	-74.
m 1,239.		1,215.	24.
n 532.		499.	33.
o 1,027.		800.	227.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-69.
b			-164.
c			2.
d			-518.
e			-468.
f			-5.
g			-328.
h			-25.
i			10.
j			10.
k			-509.
l			-74.
m			24.
n			33.
o			227.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LIBERTY GLOBAL, INC. CMN CLASS A	P		02/09/12
b ERICSSON AMERICAN ADR CMN CLASS B	P		02/09/12
c ERICSSON AMERICAN ADR CMN CLASS B	P		02/09/12
d NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		02/09/12
e NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		02/09/12
f VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0.6	P		02/10/12
g PRUDENTIAL FINANCIAL INC CMN	P		02/13/12
h SALIX PHARMACEUTICALS LTD COM	P		02/13/12
i LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A	P		02/14/12
j APPLE, INC. CMN	P		02/15/12
k JPMORGAN CHASE & CO CMN	P		02/15/12
l NVIDIA CORP CMN	P		02/15/12
m ALBEMARLE CORPORATION COM	P		02/17/12
n GEN-PROBE INC NEW COM	P		02/17/12
o DEVON ENERGY CORPORATION (NEW) CMN	P		02/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,419.		1,116.	303.
b 1,600.		2,329.	-729.
c 502.		792.	-290.
d 269.		273.	-4.
e 293.		294.	-1.
f 1,569.		1,336.	233.
g 1,083.		1,136.	-53.
h 11,418.		8,022.	3,396.
i 623.		361.	262.
j 1,005.		688.	317.
k 605.		724.	-119.
l 582.		537.	45.
m 14,061.		9,217.	4,844.
n 8,307.		8,064.	243.
o 676.		761.	-85.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			303.
b			-729.
c			-290.
d			-4.
e			-1.
f			233.
g			-53.
h			3,396.
i			262.
j			317.
k			-119.
l			45.
m			4,844.
n			243.
o			-85.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PEPSICO INC CMN	P		02/21/12
b PEPSICO INC CMN	P		02/21/12
c VANGUARD SHORT TERM INV GRADE INSTL	P		02/21/12
d PEABODY ENERGY CORP COM	P		02/22/12
e JOHNSON & JOHNSON CMN	P		02/24/12
f JOHNSON & JOHNSON CMN	P		02/24/12
g AFLAC INCORPORATED CMN	P		02/24/12
h THE HOME DEPOT, INC. CMN	P		02/24/12
i AFLAC INCORPORATED CMN	P		02/27/12
j MARATHON OIL CORP	P		02/27/12
k SLM CORPORATION CMN	P		02/29/12
l SLM CORPORATION CMN	P		02/29/12
m HSBC HOLDINGS PLC SPONSORED ADR CMN	P		02/29/12
n HSBC HOLDINGS PLC SPONSORED ADR CMN	P		02/29/12
o NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	P		02/29/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,516.		1,576.	-60.
b 568.		580.	-12.
c 253,074.		254,017.	-943.
d 6,853.		12,289.	-5,436.
e 773.		731.	42.
f 580.		541.	39.
g 468.		488.	-20.
h 2,863.		2,538.	325.
i 1,259.		1,317.	-58.
j 6,490.		5,795.	695.
k 811.		769.	42.
l 1,860.		1,690.	170.
m 45.		56.	-11.
n 1,559.		1,951.	-392.
o 1,165.		1,194.	-29.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-60.
b			-12.
c			-943.
d			-5,436.
e			42.
f			39.
g			-20.
h			325.
i			-58.
j			695.
k			42.
l			170.
m			-11.
n			-392.
o			-29.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS	P		02/29/12
b NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		02/29/12
c NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		02/29/12
d NOVARTIS AG-ADR SPONSORED ADR CMN	P		02/29/12
e NVIDIA CORP CMN	P		03/01/12
f NVIDIA CORP CMN	P		03/01/12
g IMPERIAL TOBACCO GROUP PLC SPON ADR	P		03/01/12
h IMPERIAL TOBACCO GROUP PLC SPON ADR	P		03/01/12
i IMPERIAL TOBACCO GROUP PLC SPON ADR	P		03/01/12
j NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		03/01/12
k NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		03/01/12
l NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		03/01/12
m MICROSOFT CORPORATION CMN	P		03/01/12
n NOVARTIS AG-ADR SPONSORED ADR CMN	P		03/02/12
o NOVARTIS AG-ADR SPONSORED ADR CMN	P		03/02/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 184.		188.	-4.
b 94.		99.	-5.
c 519.		500.	19.
d 438.		490.	-52.
e 1,375.		1,342.	33.
f 382.		358.	24.
g 564.		444.	120.
h 403.		290.	113.
i 564.		421.	143.
j 213.		209.	4.
k 237.		227.	10.
l 735.		722.	13.
m 3,389.		2,972.	417.
n 54.		61.	-7.
o 1,242.		1,444.	-202.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-4.
b			-5.
c			19.
d			-52.
e			33.
f			24.
g			120.
h			113.
i			143.
j			4.
k			10.
l			13.
m			417.
n			-7.
o			-202.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NOVARTIS AG-ADR SPONSORED ADR CMN	P		03/02/12
b NOVARTIS AG-ADR SPONSORED ADR CMN	P		03/02/12
c REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/02/12
d VODAFONE GROUP PLC SPONSORED ADR CMN	P		03/02/12
e VODAFONE GROUP PLC SPONSORED ADR CMN	P		03/02/12
f DEVON ENERGY CORPORATION (NEW) CMN	P		03/05/12
g COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	P		03/06/12
h COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	P		03/06/12
i COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	P		03/06/12
j CAVIUM INC CMN	P		03/09/12
k HANGER INC CMN	P		03/09/12
l HITTITE MICROWAVE CORPORATION CMN	P		03/09/12
m ICU MEDICAL INC CMN	P		03/09/12
n MIDDLEBY CORP CMN	P		03/09/12
o POWER INTEGRATIONS INC CMN	P		03/09/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 432.		485.	-53.
b 648.		751.	-103.
c 1,094.		1,135.	-41.
d 977.		947.	30.
e 136.		142.	-6.
f 650.		761.	-111.
g 101.		95.	6.
h 431.		409.	22.
i 101.		92.	9.
j 167.		209.	-42.
k 107.		131.	-24.
l 272.		308.	-36.
m 238.		202.	36.
n 477.		441.	36.
o 189.		199.	-10.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-53.
b			-103.
c			-41.
d			30.
e			-6.
f			-111.
g			6.
h			22.
i			9.
j			-42.
k			-24.
l			-36.
m			36.
n			36.
o			-10.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHNITZER STEEL INDUSTRIES, INC CLASS A	P		03/09/12
b SILGAN HOLDINGS INC CMN	P		03/09/12
c THE RYLAND GROUP, INC. CMN	P		03/09/12
d TORTOISE ENERGY INFRASTRUCTURE CORP COMMON	P		03/09/12
e TRACTOR SUPPLY CO CMN	P		03/09/12
f TUPPERWARE BRANDS CORPORATION CMN	P		03/09/12
g WEST PHARMACEUTICAL SERVICES INC	P		03/09/12
h AMERIPRISE FINANCIAL, INC. CMN	P		03/13/12
i AMERIPRISE FINANCIAL, INC. CMN	P		03/13/12
j AMERIPRISE FINANCIAL, INC. CMN	P		03/13/12
k EMC CORPORATION MASS CMN	P		03/13/12
l STARWOOD HOTELS & RESORTS CMN	P		03/13/12
m STARWOOD HOTELS & RESORTS CMN	P		03/13/12
n SUNTRUST BANKS INC \$1.00 PAR CMN	P		03/13/12
o NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	P		03/13/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 217.		310.	-93.
b 217.		182.	35.
c 97.		86.	11.
d 200.		203.	-3.
e 436.		250.	186.
f 318.		265.	53.
g 208.		200.	8.
h 674.		539.	135.
i 674.		711.	-37.
j 1,855.		2,023.	-168.
k 963.		824.	139.
l 337.		271.	66.
m 1,683.		1,365.	318.
n 656.		894.	-238.
o 1,109.		1,131.	-22.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-93.
b			35.
c			11.
d			-3.
e			186.
f			53.
g			8.
h			135.
i			-37.
j			-168.
k			139.
l			66.
m			318.
n			-238.
o			-22.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a MERCK & CO., INC. CMN	P		03/14/12
b MERCK & CO., INC. CMN	P		03/14/12
c EAST JAPAN RAILWAY COMPANY UNSPONSORED ADR (JAPAN	P		03/14/12
d FROST MID CAP EQUITY FUND I	P		03/16/12
e YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		03/19/12
f YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		03/19/12
g FORTINET INC COM	P		03/20/12
h INTL BUSINESS MACHINES CORP COM	P		03/20/12
i COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO P	P		03/21/12
j NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		03/21/12
k NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		03/21/12
l REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/21/12
m REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/21/12
n REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/21/12
o YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		03/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,441.		2,129.	312.
b 1,106.		943.	163.
c 2,162.		1,911.	251.
d 20,130.		19,740.	390.
e 219.		348.	-129.
f 1,179.		1,791.	-612.
g 12,056.		4,435.	7,621.
h 11,302.		7,175.	4,127.
i 670.		578.	92.
j 546.		571.	-25.
k 750.		769.	-19.
l 240.		253.	-13.
m 69.		71.	-2.
n 103.		109.	-6.
o 1,288.		1,841.	-553.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			312.
b			163.
c			251.
d			390.
e			-129.
f			-612.
g			7,621.
h			4,127.
i			92.
j			-25.
k			-19.
l			-13.
m			-2.
n			-6.
o			-553.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		03/21/12
b NIKE CLASS-B CMN CLASS B	P		03/22/12
c BRIDGESTONES CORP. ADR ADR CMN	P		03/22/12
d BRIDGESTONES CORP. ADR ADR CMN	P		03/22/12
e REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/22/12
f REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/22/12
g SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		03/22/12
h HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
i HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
j HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
k HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
l HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
m HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
n HONDA MTR LTD (AMER SHS) ADR CMN	P		03/23/12
o SEADRILL LTD. CMN	P		03/23/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 439.		653.	-214.
b 1,100.		833.	267.
c 580.		638.	-58.
d 1,498.		1,395.	103.
e 618.		635.	-17.
f 172.		181.	-9.
g 1,514.		1,409.	105.
h 153.		173.	-20.
i 153.		217.	-64.
j 267.		368.	-101.
k 38.		49.	-11.
l 267.		304.	-37.
m 534.		751.	-217.
n 343.		354.	-11.
o 300.		275.	25.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-214.
b			267.
c			-58.
d			103.
e			-17.
f			-9.
g			105.
h			-20.
i			-64.
j			-101.
k			-11.
l			-37.
m			-217.
n			-11.
o			25.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEADRILL LTD. CMN	P		03/23/12
b SEADRILL LTD. CMN	P		03/23/12
c SEADRILL LTD. CMN	P		03/23/12
d TRACTOR SUPPLY CO CMN	P		03/26/12
e NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		03/26/12
f REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		03/26/12
g SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
h SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
i SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
j SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
k SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
l SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
m SILICONWARE PRECISION IND CO L SPONSORED ADR	P		03/26/12
n TOYOTA MOTOR CORPORATION SPON ADR	P		03/26/12
o CELGENE CORPORATION CMN	P		03/27/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 825.		806.	19.
b 187.		187.	0.
c 375.		353.	22.
d 898.		500.	398.
e 703.		737.	-34.
f 572.		565.	7.
g 64.		92.	-28.
h 69.		94.	-25.
i 116.		156.	-40.
j 35.		47.	-12.
k 162.		224.	-62.
l 208.		221.	-13.
m 341.		391.	-50.
n 1,092.		886.	206.
o 624.		461.	163.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			19.
b			0.
c			22.
d			398.
e			-34.
f			7.
g			-28.
h			-25.
i			-40.
j			-12.
k			-62.
l			-13.
m			-50.
n			206.
o			163.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a COCA-COLA COMPANY (THE) CMN	P		03/27/12
b WALGREEN CO. CMN	P		04/02/12
c WALGREEN CO. CMN	P		04/02/12
d WALGREEN CO. CMN	P		04/02/12
e YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/02/12
f AMERICAN TOWER CORPORATION CMN	P		04/03/12
g ORACLE CORPORATION CMN	P		04/03/12
h THERMO FISHER SCIENTIFIC INC CMN	P		04/03/12
i THERMO FISHER SCIENTIFIC INC CMN	P		04/03/12
j THERMO FISHER SCIENTIFIC INC CMN	P		04/03/12
k ADOBE SYSTEMS INC CMN	P		04/03/12
l MORGAN STANLEY CMN	P		04/03/12
m DBS GROUP HOLDINGS SPONSORED ADR CMN	P		04/03/12
n DBS GROUP HOLDINGS SPONSORED ADR CMN	P		04/03/12
o REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		04/03/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,658.		2,552.	106.
b 884.		904.	-20.
c 894.		904.	-10.
d 791.		809.	-18.
e 175.		293.	-118.
f 190.		155.	35.
g 117.		131.	-14.
h 1,797.		1,850.	-53.
i 393.		400.	-7.
j 899.		871.	28.
k 750.		746.	4.
l 867.		730.	137.
m 526.		556.	-30.
n 833.		919.	-86.
o 429.		423.	6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			106.
b			-20.
c			-10.
d			-18.
e			-118.
f			35.
g			-14.
h			-53.
i			-7.
j			28.
k			4.
l			137.
m			-30.
n			-86.
o			6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SONIC HEALTHCARE LIMITED UNSPONSORED ADR CMN	P		04/03/12
b HALLIBURTON CO COM	P		04/03/12
c GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
d GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
e GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
f GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
g GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
h GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
i GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
j GAFISA, S.A. SPONSORED ADR CMN	P		04/04/12
k AVON PRODUCTS INC. CMN	P		04/05/12
l THERMO FISHER SCIENTIFIC INC CMN	P		04/05/12
m HITACHI LTD (ADR 10 COM) ADR CMN	P		04/10/12
n HITACHI LTD (ADR 10 COM) ADR CMN	P		04/10/12
o YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/10/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 320.		280.	40.
b 9,303.		9,236.	67.
c 152.		411.	-259.
d 326.		814.	-488.
e 336.		627.	-291.
f 547.		1,216.	-669.
g 147.		201.	-54.
h 46.		129.	-83.
i 55.		162.	-107.
j 427.		1,275.	-848.
k 1,023.		1,264.	-241.
l 56.		54.	2.
m 375.		390.	-15.
n 1,124.		1,004.	120.
o 313.		586.	-273.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			40.
b			67.
c			-259.
d			-488.
e			-291.
f			-669.
g			-54.
h			-83.
i			-107.
j			-848.
k			-241.
l			2.
m			-15.
n			120.
o			-273.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/10/12
b ST JUDE MEDICAL INC CMN	P		04/11/12
c AMERICAN ELECTRIC POWER INC CMN	P		04/11/12
d AMERICAN ELECTRIC POWER INC CMN	P		04/11/12
e AMERICAN ELECTRIC POWER INC CMN	P		04/11/12
f BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOS	P		04/11/12
g CHEUNG KONG HLDGS LTD (ADR) ADR CMN	P		04/11/12
h HITACHI LTD (ADR 10 COM) ADR CMN	P		04/11/12
i HITACHI LTD (ADR 10 COM) ADR CMN	P		04/11/12
j NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		04/11/12
k NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		04/11/12
l NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1	P		04/11/12
m REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		04/11/12
n YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/11/12
o YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/11/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 380.		747.	-367.
b 828.		878.	-50.
c 559.		523.	36.
d 559.		572.	-13.
e 1,118.		1,106.	12.
f 2,125.		2,348.	-223.
g 533.		544.	-11.
h 1,203.		1,106.	97.
i 63.		56.	7.
j 1,317.		1,404.	-87.
k 246.		262.	-16.
l 514.		561.	-47.
m 508.		529.	-21.
n 21.		37.	-16.
o 232.		406.	-174.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-367.
b			-50.
c			36.
d			-13.
e			12.
f			-223.
g			-11.
h			97.
i			7.
j			-87.
k			-16.
l			-47.
m			-21.
n			-16.
o			-174.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/11/12
b YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D	P		04/11/12
c SUNCOR ENERGY INC	P		04/11/12
d REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		04/12/12
e REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		04/12/12
f REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		04/12/12
g COMCAST CORPORATION CMN CLASS A NON VOTING	P		04/12/12
h MICROSOFT CORPORATION CMN	P		04/12/12
i UNITEDHEALTH GROUP INCORPORATE CMN	P		04/12/12
j REED ELSEVIER GROUP PLC SPONSORED ADR CMN	P		04/13/12
k EAST JAPAN RAILWAY COMPANY UNSPONSORED ADR (JAPAN	P		04/16/12
l SUMITOMO CORPORATION SPONSORED ADR CMN	P		04/16/12
m THE KANSAI ELECTRIC POWER COMP UNSPONSORED ADR CM	P		04/16/12
n VINCI ADR CMN	P		04/16/12
o VINCI ADR CMN	P		04/16/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 105.		220.	-115.
b 379.		637.	-258.
c 14,067.		14,653.	-586.
d 581.		620.	-39.
e 547.		565.	-18.
f 239.		250.	-11.
g 2,618.		1,976.	642.
h 927.		849.	78.
i 2,618.		1,792.	826.
j 133.		146.	-13.
k 308.		284.	24.
l 762.		830.	-68.
m 3,581.		4,155.	-574.
n 580.		774.	-194.
o 250.		364.	-114.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-115.
b			-258.
c			-586.
d			-39.
e			-18.
f			-11.
g			642.
h			78.
i			826.
j			-13.
k			24.
l			-68.
m			-574.
n			-194.
o			-114.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VINCI ADR CMN	P		04/16/12
b APPLE, INC. CMN	P		04/17/12
c INVESCO INTL GROWTH FUND CL Y	P		04/17/12
d COLUMBIA ACORN INTERNATIONAL FUND CLASS Z SHARES	P		04/17/12
e COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHA	P		04/17/12
f DELAWARE EMERGING MKTS FUND CL INSTL CL	P		04/17/12
g PIMCO TOTAL RETURN FUND INSTL	P		04/17/12
h PIMCO EMERGING LOCAL BD FUND INSTL CL	P		04/17/12
i HONDA MTR LTD (AMER SHS) ADR CMN	P		04/18/12
j HONDA MTR LTD (AMER SHS) ADR CMN	P		04/18/12
k NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	P		04/18/12
l NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	P		04/18/12
m VODAFONE GROUP PLC SPONSORED ADR CMN	P		04/18/12
n VODAFONE GROUP PLC SPONSORED ADR CMN	P		04/18/12
o EXXON MOBIL CORP COM	P		04/18/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11.		15.	-4.
b 1,214.		688.	526.
c 2,385.		2,210.	175.
d 21,602.		19,514.	2,088.
e 28,085.		24,238.	3,847.
f 151,379.		182,709.	-31,330.
g 585,361.		570,440.	14,921.
h 49,564.		48,214.	1,350.
i 1,104.		1,218.	-114.
j 605.		655.	-50.
k 431.		440.	-9.
l 2,773.		2,741.	32.
m 437.		413.	24.
n 2,569.		2,473.	96.
o 15,867.		12,628.	3,239.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-4.
b			526.
c			175.
d			2,088.
e			3,847.
f			-31,330.
g			14,921.
h			1,350.
i			-114.
j			-50.
k			-9.
l			32.
m			24.
n			96.
o			3,239.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HITACHI LTD (ADR 10 COM) ADR CMN	P		04/19/12
b HITACHI LTD (ADR 10 COM) ADR CMN	P		04/19/12
c HITACHI LTD (ADR 10 COM) ADR CMN	P		04/19/12
d MITSUBISHI ESTATE LTD ADR ADR CMN	P		04/19/12
e MITSUBISHI ESTATE LTD ADR ADR CMN	P		04/19/12
f ISHARES INC MSCI CDA INDEX FUND	P		04/19/12
g ISHARES MSCI SOUTH KOREA INDEX FUND	P		04/19/12
h MARKET VECTORS ETF TR AGRIBUSINESS ETF	P		04/19/12
i VANGUARD INDEX TR VANGUARD TOTAL STK MKT VIPERS	P		04/19/12
j CITIGROUP INC. CMN	P		04/20/12
k GENERAL MOTORS COMPANY CMN	P		04/24/12
l XILINX INCORPORATED CMN	P		04/24/12
m XILINX INCORPORATED CMN	P		04/24/12
n MCDONALDS CORP	P		04/24/12
o TRACTOR SUPPLY CO CMN	P		04/25/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,289.		1,109.	180.
b 709.		640.	69.
c 1,289.		1,012.	277.
d 1,348.		1,318.	30.
e 691.		691.	0.
f 551.		536.	15.
g 591.		456.	135.
h 5,627.		5,016.	611.
i 48,799.		41,845.	6,954.
j 576.		569.	7.
k 642.		719.	-77.
l 577.		630.	-53.
m 1,731.		1,811.	-80.
n 16,769.		13,538.	3,231.
o 980.		500.	480.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			180.
b			69.
c			277.
d			30.
e			0.
f			15.
g			135.
h			611.
i			6,954.
j			7.
k			-77.
l			-53.
m			-80.
n			3,231.
o			480.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a EQUINIX INC CMN	P		04/25/12
b GENERAL MOTORS COMPANY CMN	P		04/25/12
c ABB LTD SPONSORED ADR CMN	P		04/25/12
d ABB LTD SPONSORED ADR CMN	P		04/25/12
e HSBC HOLDINGS PLC SPONSORED ADR CMN	P		04/25/12
f HSBC HOLDINGS PLC SPONSORED ADR CMN	P		04/25/12
g HSBC HOLDINGS PLC SPONSORED ADR CMN	P		04/25/12
h HSBC HOLDINGS PLC SPONSORED ADR CMN	P		04/25/12
i COCA-COLA COMPANY (THE) CMN	P		04/27/12
j SOURCEFIRE INC. CMN	P		04/30/12
k U.S. BANCORP CMN	P		05/01/12
l U.S. BANCORP CMN	P		05/01/12
m CATERPILLAR INC COM	P		05/01/12
n ST JUDE MEDICAL INC COM	P		05/01/12
o VALE SA SP ADR	P		05/01/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,218.		704.	514.
b 369.		411.	-42.
c 349.		487.	-138.
d 291.		384.	-93.
e 893.		1,114.	-221.
f 938.		1,098.	-160.
g 402.		505.	-103.
h 45.		54.	-9.
i 6,918.		5,672.	1,246.
j 509.		237.	272.
k 65.		54.	11.
l 1,137.		941.	196.
m 26,959.		15,791.	11,168.
n 12,253.		12,323.	-70.
o 12,516.		13,868.	-1,352.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			514.
b			-42.
c			-138.
d			-93.
e			-221.
f			-160.
g			-103.
h			-9.
i			1,246.
j			272.
k			11.
l			196.
m			11,168.
n			-70.
o			-1,352.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VALLEY NATL BANCORP N J	P		05/02/12
b ACCO BRANDS CORP	P		05/03/12
c PHILLIPS 66 SHS	P		05/03/12
d VALLEY NATL BANCORP N J	P		05/03/12
e VALLEY NATL BANCORP N J	P		05/03/12
f GENERAL MOTORS COMPANY CMN	P		05/04/12
g GENERAL MOTORS COMPANY CMN	P		05/04/12
h RESMED INC. CMN	P		05/04/12
i VERTEX PHARMACEUTICALS INC CMN	P		05/07/12
j CHEUNG KONG HLDGS LTD (ADR) ADR CMN	P		05/07/12
k MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/07/12
l MITSUBISHI ESTATE LTD ADR ADR CMN	P		05/07/12
m VINCI ADR CMN	P		05/07/12
n VINCI ADR CMN	P		05/07/12
o HIBBETT SPORTS INC CMN	P		05/08/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,133.		3,342.	-209.
b 402.		302.	100.
c 6,859.		6,485.	374.
d 6,239.		6,764.	-525.
e 3,918.		3,943.	-25.
f 421.		488.	-67.
g 797.		918.	-121.
h 1,256.		912.	344.
i 701.		477.	224.
j 1,230.		1,203.	27.
k 651.		704.	-53.
l 146.		152.	-6.
m 802.		1,192.	-390.
n 89.		124.	-35.
o 880.		460.	420.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-209.
b			100.
c			374.
d			-525.
e			-25.
f			-67.
g			-121.
h			344.
i			224.
j			27.
k			-53.
l			-6.
m			-390.
n			-35.
o			420.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MONRO MUFFLER BRAKE, INC. CMN	P		05/08/12
b ANGLO AMERICAN PLC ADR CMN	P		05/08/12
c ANGLO AMERICAN PLC ADR CMN	P		05/08/12
d ANGLO AMERICAN PLC ADR CMN	P		05/08/12
e ANGLO AMERICAN PLC ADR CMN	P		05/08/12
f ANGLO AMERICAN PLC ADR CMN	P		05/08/12
g ANGLO AMERICAN PLC ADR CMN	P		05/08/12
h XSTRATA PLC ADR CMN	P		05/08/12
i XSTRATA PLC ADR CMN	P		05/08/12
j XSTRATA PLC ADR CMN	P		05/08/12
k XSTRATA PLC ADR CMN	P		05/08/12
l PHILLIPS 66 CMN	P		05/09/12
m LOWES COMPANIES INC CMN	P		05/11/12
n VERTEX PHARMACEUTICALS INC CMN	P		05/14/12
o TOTAL SA SP ADR	P		05/14/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 381.		324.	57.
b 89.		135.	-46.
c 674.		966.	-292.
d 89.		131.	-42.
e 603.		903.	-300.
f 89.		127.	-38.
g 142.		205.	-63.
h 21.		26.	-5.
i 297.		386.	-89.
j 140.		185.	-45.
k 150.		187.	-37.
l 4,624.		4,527.	97.
m 1,047.		919.	128.
n 390.		220.	170.
o 9,349.		11,882.	-2,533.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			57.
b			-46.
c			-292.
d			-42.
e			-300.
f			-38.
g			-63.
h			-5.
i			-89.
j			-45.
k			-37.
l			97.
m			128.
n			170.
o			-2,533.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Federated Prime Oblig FD Instl - Income	660 78	660 78
Invesco STIC Liquid Asset Instl - Principal	106,191 31	106,191 31
Investments in Money Market Funds - Frost	106,852 09	106,852 09
Adobe Systems Inc	8,043.23	8,479 45
Allergan Inc	15,720 60	24,115 00
Amazon.Com Inc	14,936 65	30,246 00
Anadarko Petroleum Corp	15,287 80	17,565.60
Annaly Capital Mgmt Inc	91,302 90	86,259 20
Apple Inc	15,757 49	46,822 40
Baxter International Inc	7,606 17	8,283.75
BHP Billiton Ltd	18,019 73	17,649 80
Biogen Idec Inc	8,381 69	10,436 30
Blackrock Inc	8,444 98	8,866 80
Borgwarner Inc	13,519 42	24,531 00
Cameron International Corp	7,641 55	8,632 00
Celgene Corp	15,258 81	20,040 45
Cepheid Inc	7,930 78	7,132 40
Chart Inds Inc	7,395 14	6,350 40
Chevron Corporation	17,706.98	24,308 70
Chipotle Mexican Grill Inc	7,203.50	6,594 50
Citrix Systems Inc	7,712 24	6,421 80
Cobalt Intl Energy	8,072.25	5,480 20
Coca Cola Co	14,631.89	21,235 20
Cognizant Tech Solutions	16,327 95	25,211 25
Costco Wholesale Corp	14,964 16	27,019 20
Cummins Inc	13,527 76	24,540 00
CVS Caremark Corp	7,804 98	8,139 25
D R Horton Inc	8,099 95	10,508.40
DirecTV Com	14,318 05	19,631 50
Dollar General Corp	7,651 28	9,500 00
E M C Corp Massachusetts	14,928 60	22,089 80
Ebay Incorporated	7,993 98	10,564 00
Edwards LifeSciences Corp	8,429.08	9,544 70
Eli Lilly & Co	7,407.45	7,601 20
EOG Resources Inc	11,804.08	12,350 10
FedEx Corp	12,220.19	12,086 55
Fresh Market Inc	7,765 44	7,774 50
F5 Networks Inc	11,515 90	10,304 80
General Dynamics Corp	18,866 22	16,625 00
Google Inc	20,586 49	27,934 80

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Illumina Inc	7,792 95	10,473.45
Intel Corp	15,185 06	14,869.40
Invesco Ltd	15,428 97	18,742.50
iShares iBoxx \$ Invt Grade Corp	124,791 16	131,289 75
iShares JP Morgan USD Emerging	123,656 31	126,994.50
LAM Research Corporation	11,402 57	7,726 40
Lincoln Electric Holdings Inc	11,865.50	15,203.20
Mead Johnson Nutrition Co	7,811 55	7,841.85
Metlife Inc	14,448 82	11,616.50
Michael Kors Hldgs Ltd	7,134 87	9,567 00
Microsoft Corp	8,007 87	6,919.90
Monsanto Co	8,124 16	9,616 95
Oracle Corporation	15,749 21	23,005 13
Panera Bread Co	7,999 61	8,827.50
Philip Morris Intl Inc	15,979 88	30,109 80
Potash Corp SASK Inc	19,200.01	15,600 60
Praxair Inc	15,734 46	21,442 00
Priceline com Incorporated	13,334.55	43,107 35
Qualcomm Inc	17,423.11	26,720 40
Rockwell Automation Inc	14,755 48	21,791 00
Schlumberger Limited	17,890 61	18,263 10
SPDR DJ Wilshire Intl	149,224 43	151,231 20
SPDR Gold Trust	131,007 19	166,050 00
Starbucks Corp	12,768.15	29,825 25
Union Pacific Corp	14,583 25	25,783 80
Vanguard Small Cap Growth	288,110 59	294,436 80
Visa Inc	17,837.90	31,439 10
VMWare Inc	12,345.70	12,278 25
Investments in Stocks - Frost	<u>1,634,379 28</u>	<u>1,941,648 68</u>
John Hancock FDS III-Disciplned Val	143,541 00	146,947 83
LKCM Equity Fund Institutional	118,181 83	119,337 81
T Rowe Price Growth Stock Fund	545,000.00	645,252 82
T Rowe Price Intl Discovery Fund	122,715 91	142,414 14
Investments in Common Trust Funds - Frost	<u>929,438.74</u>	<u>1,053,952 60</u>
BOFA Cash Reserves Capital Class	37,632.15	37,632 15
Investments in Money Market Funds - BOA	<u>37,632.15</u>	<u>37,632 15</u>
Columbia Dividend Income Fund	305,189 39	440,955 80

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Columbia Select Large Cap Growth Fund	411,079.82	462,464.79
iShares TR S&P N Amer Nat Res Fund	21,431.05	26,330.36
JP Morgan US Large Cap Core Plus Fund	176,315.62	225,812.87
Vanguard Index Total Stock Mkt Vipers	272,839.16	327,887.12
Columbia Acorn Select Fund	105,340.41	120,218.80
Vanguard Index Mid-Cap Value	98,174.12	118,525.44
Vanguard Mid-Cap	51,743.40	61,547.60
Cambiar Small Cap Fund	67,718.83	78,730.72
Columbia Acorn USA Fund	75,243.15	88,908.06
Vanguard Small-Cap	36,360.43	44,868.78
Columbia Acorn International Fund	30,647.91	35,587.43
Invesco Intl Growth Fund	98,114.33	110,815.60
iShares Inc MSCI Australia Index Fund	25,214.36	28,166.16
iShares Inc MSCI CDA Index Fund	21,520.31	24,062.16
iShares Inc MSCI Ex-Japan Index Fund	1,093.50	1,255.50
iShares Inc MSCI Singapore Index Fund	22,951.50	26,383.50
Market Vectors ETF TR Agribusiness	40,008.48	53,109.06
Thornburg Intl Value Fund	91,555.05	104,722.88
iShares MSCI Brazil Free Index Fund	36,805.90	28,678.48
Vanguard MSCI Emerging Mkts	124,947.99	137,557.11
WisdomTree Emerging Mkts	168,434.48	159,780.00
Investments in Common Trust Funds - BOA	2,282,729.19	2,706,368.22
iShares Barclays 1-3 Yr Bond Fund	602,694.50	607,353.84
Pimco Total Return Fund Instl	788,839.80	864,004.36
Artio Global High Income Fund	72,735.04	78,563.43
Pimco Emerging Local Bond Fund	52,110.30	54,826.48
SPDR Barclays High Yield Bond	196,658.50	203,611.20
Investment in Fixed Income Funds - BOA	1,713,038.14	1,808,359.31
Hussman Invt Strategic Growth Fund	100,000.00	87,977.70
Ivy Asset Strategy Fund	75,243.15	86,199.60
Pimco All Asset All Auth Fund	100,000.00	101,611.45
Pimco All Asset Fund	97,526.35	103,253.71
Pimco Global Multi-Asset Fund	188,109.21	190,260.45
Investment in Hedge Funds - BOA	560,878.71	569,302.91
Vanguard Global Ex-US Real Estate	26,366.44	31,536.68
Vanguard REIT	23,575.74	27,674.51
Investment in Real Estate Funds - BOA	49,942.18	59,211.19

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
iShares Silver TR	22,606 48	33,169 00
Pimco Commodity RealReturn Strategy Fund	150,077 51	119,954.20
SPDR Gold TR Gold SHS	47,654 35	67,084 20
Investments in Tangible Assets - BOA	<u>220,338 34</u>	<u>220,207.40</u>
Fidelity Select Money Mkt	2,475 10	2,475 10
Prime Fund - Capital Reserves Class	204,399 55	204,399.55
Investments in Money Market Funds - Inv Svs	<u>206,874 65</u>	<u>206,874 65</u>
iShares Barclays 1-3 Yr CD Bond Fund	137,904 57	138,600 72
Investments in Common Trust Funds - Inv Svs	<u>137,904 57</u>	<u>138,600 72</u>
HSBC BK USA NA CD FLT	50,000 00	49,025 00
Investment in Fixed Income Funds - Inv Svs	<u>50,000 00</u>	<u>49,025 00</u>
American Cap Agy Corp Com	29,959.32	32,685 30
Annaly Capital Management Inc	29,957.24	24,552 96
Investment in Other Securities - Inv Svs	<u>59,916.56</u>	<u>57,238 26</u>
Cash	0 10	0 10
BIF Treasury Fund	18,383 00	18,383 00
Investments in Money Market Funds - Avalon	<u>18,383 10</u>	<u>18,383.10</u>
Altria Group	77,831 51	99,502 83
AT&T Inc	59,743 86	68,942 60
Bristol-Myers Squibb Co	21,430 64	24,668 28
Canadian Imperial Bank	17,059 66	18,139 50
Centerpoint Energy Inc	30,557.72	36,125 63
Chevron Corp	44,018 68	47,032 05
Cinemark Hldgs Inc	17,252 51	20,889 60
Cisco Systems Inc	18,075 28	18,077 96
Coca Cola	20,592 54	23,169 12
Conagra Foods Inc	18,057 12	19,229.84
ConocoPhillips	38,229 19	41,110.68
Consolidated Edison Inc	17,338.58	17,964 38
Dominion Res Inc	20,548.26	23,306 16
Dr Pepper Snapple Group	16,867 88	18,971 55
Du Pont	17,133 93	14,710 74
Duke Energy Corp	41,864 78	48,503 20

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Eli Lilly & Co	26,071 07	35,161 68
Exxon Mobil Corp	34,987 32	36,489.96
General Electric	42,505 63	50,986 69
Genuine Parts Co	16,145 04	19,266 64
Glaxosmithkline Plc	23,114 89	24,386 67
Hasbro Inc	18,257 34	18,730.02
HCP Inc	33,247 43	41,536 10
Heinz	32,214.20	36,479 04
Intel Corp	33,113.84	28,154 04
Intl Paper Co	15,901.70	18,681 42
JP Morgan Chase & Co	20,869.63	24,237 20
Kimberly Clark	20,380 28	26,744 64
Kraft Foods Inc	14,941 74	17,824.56
Lockheed Martin Corp	23,342 64	28,363 20
Marathon Oil Corp	15,600 23	14,838.85
Marsh & McLennan Cos Inc	15,768 52	19,582 32
Maxim Integrated Prods	17,501.03	18,156 18
McDonalds Corp	16,234.35	15,667 20
MeadWestVaco Corp	15,169.53	19,287 84
Merck & Co	20,708.69	24,763 70
Microsoft Corp	23,947 60	23,607.51
Mondelez International	18,964 48	22,446.63
National Retail Pptys	25,188 62	28,293.12
Pfizer Inc	31,654 40	43,159.50
Philip Morris Intl Inc	50,673.49	68,039.16
Pinnacle West Cap Corp	14,235.39	17,033 26
Procter & Gamble	26,970 94	28,700 13
Reynolds American Inc	63,207 58	78,477 40
Royal Dutch	50,394 01	51,395.52
Scana Corp	13,900 46	15,338.54
Southern Company	28,183 19	30,789.85
Sysco Corporation	17,909.53	19,211 55
Time Warner Cable Inc	17,604.98	18,218 88
Ventas Inc	19,849.76	24,568 90
Verizon Communications	58,660 50	72,709.76
Vodafone Group	20,606 74	19,449.44
Walgreen Co	18,149 94	17,361.92
Weingarten Rlty	15,090.46	17,313 66
Investments in Stocks - Avalon	1,447,869 31	1,655,796 80
iShares iBoxx \$ Invt Grade Corp BD Fund	35,491 44	38,470 95

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
iShares iBoxx \$ High Yield Corp BD Fund	51,630.49	53,224.60
S&P Pfd Stk Index Fund iShares	38,159.11	39,241.36
Investment in Mutual Funds - Avalon	125,281.04	130,936.91
Goldman Sachs Bank Deposit	34,689.49	34,689.49
Investments in Money Market Funds - Brokerage	34,689.49	34,689.49
US Dollar	1,291.74	1,291.74
Goldman Sachs Bank Deposit	3.29	3.29
Investments in Money Market Funds - Advisory	1,295.03	1,295.03
GS Core Plus Fixed Income Fund	419,365.51	446,966.44
T Rowe Price Funds T Rowe Price Instl High Yield	81,082.02	78,828.84
Wisdom Tree Japan Hedged Equity Fund	40,102.31	37,788.75
Neuberger Berman Equ Incm Mutual Fund	158,597.94	167,341.15
Investments in Mutual Funds - Advisory	699,147.78	730,925.18
US Dollar	32.10	32.10
Goldman Sachs Bank Deposit	2,154.42	2,154.42
Investments in Money Market Funds - Gannett Welsh	2,186.52	2,186.52
Air Methods Corp	1,071.91	1,637.55
BJs Restaurants Inc	774.60	685.80
Blackbaud Inc	1,411.65	1,235.30
Cardtronics Inc	1,210.41	1,147.00
Cavium Inc	1,376.51	1,233.05
Clarcor Inc	1,672.17	1,855.20
Clearbridge Energy	539.46	580.00
Cleco Corporation	2,041.31	2,618.85
Cognex Corp	1,253.25	1,616.85
Cohen & Steers	1,509.74	1,664.30
Cohu Inc	939.33	634.40
Compass Minerals Intl	1,370.95	1,153.43
Costar Group	1,053.79	1,302.90
Cubist Pharmaceuticals Inc	1,700.66	1,624.40
Drl-Quip	1,587.74	1,407.40
FEI Company	1,571.38	2,201.20
Glacier Bancorp	1,415.43	1,380.35
Grand Canyon Education	1,263.37	1,894.40
Group 1 Automotive	1,634.77	2,436.40

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
GulfPort Energy	2,088 59	2,853 00
Hanger Orthopedic Group	1,690 81	1,697.15
Harmonic Inc	1,255 54	618 30
Harris Teeter Supermarkets	1,643 66	1,709 55
Healthcare Svcs Group	1,211.70	1,648 50
Heartland Express Inc	1,994 76	1,716 25
Hibbett Sports Inc	920 70	1,612 20
Hittite Microwave Corporation	1,847.93	1,820 40
HMSC Holdings Corp	1,721 44	1,621.90
IberiaBank Corporation	1,681.92	1,462.50
ICU Medical Inc	1,420 88	2,061.15
II-VI Inc	1,435.29	1,026.60
IPC - The Hospitalist Company	1,634.18	1,321 25
Kayne Anderson	1,046.28	1,089 55
Landauer Inc	920.25	893 70
Life Time Fitness	1,558.39	1,882 40
Markettaxess Holdings	1,323 33	1,697.30
MeriData Solutions	611 31	600.00
Middleby Corp	1,729 09	2,547 80
Monro Muffler Brake	653 75	641 60
NIC Inc	1,090 54	1,220.80
Nordson Corp	1,670.17	1,835 70
Northwestern Corporation	1,079.81	1,040.70
Portfolio Recovery Assocs	1,644.80	1,976.40
Power Integrations	1,196.40	934 80
Proassurance Corp	1,267 80	1,813.60
Pros Holdings	769 18	992.88
RBC Bearings Inc	1,432 63	1,863 60
Rofin-Sinar Technologies	1,872 38	1,057.50
Schnitzer Steel Industries	886 37	425 66
Scquest Inc	675 64	733 05
Signature Bank	2,089 82	2,806 40
Silgan Holdings	1,633 50	2,007 00
Solera Holdings	1,489 83	1,556 55
SourceFire	236 70	492 30
Steiner Leisure Limited	941.96	920 00
Stifel Financial Corp	2,281 79	1,581 84
SVB Financial Group	1,333 50	1,380 50
Texas Roadhouse	1,679 06	1,661 00
The Corp Executive Board	1,517 34	1,284 00
The Ryland Group	1,293 67	1,839 75

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Toro Co	1,039.91	1,570.10
Tortoise Energy Infrastructure Corp	784.48	783.48
Tupperware Brands Corp	1,326.83	1,621.25
Tyler Technologies Inc	1,428.65	1,642.20
Umpqua Hldgs Corp	841.50	874.50
United Therapeutics Corp	2,117.52	1,839.25
Universal Forest Products	990.20	1,135.80
US Ecology Inc	653.60	874.40
WD-40 Co	1,005.75	1,181.00
West Pharmaceutical Services	1,215.01	1,620.90
American Campus Cmnty	1,455.36	1,971.00
Mid-America Apt Cmnty	1,850.52	1,869.60
National Health Investors	1,342.54	1,665.00
Ritchie Bros Auctioneers	1,281.36	1,265.11
Tesco Corporation	1,171.30	756.00
Investment in Stocks - Gannett Welsh	<u>100,375.65</u>	<u>108,923.50</u>
US Dollar	14.30	14.30
Goldman Sachs Bank Deposit	<u>3,401.21</u>	<u>3,401.21</u>
Investment in Money Market Funds - Lg Cap Growth	3,415.51	3,415.51
Abbott Laboratories	4,007.29	4,225.00
ActiVision Blizzard	2,836.56	2,886.66
Amazon Com	1,738.00	2,520.50
American Express Co	3,940.26	4,527.90
American Tower Corporation	5,597.84	8,167.37
Apple Inc	8,366.19	13,461.44
Avon Products	1,722.68	868.62
CBRE Group	5,016.34	4,391.76
Chipotle Mexican Grill	2,857.04	2,637.80
CME Group	4,544.26	4,145.25
Costco Wholesale	3,773.15	5,403.84
Crown Castle Intl Corp	3,403.15	5,401.60
Equinix Inc	2,412.57	4,272.48
Google Inc	7,778.55	9,078.81
Halliburton Company	2,906.78	2,267.80
Honeywell Intl	1,988.11	2,037.42
Las Vegas Sands Corp	1,436.81	1,726.05
Marriott International	2,548.44	3,423.48
MasterCard Incorporated	1,950.26	3,909.44

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Netapp Inc	4,254 95	2,949 03
Nike	4,270 63	4,971.48
Northern Trust	2,302.66	2,112 88
Oracle Corporation	3,735 36	3,667 95
Pepsico Inc	3,066 66	3,299.87
Praxair Inc	3,524 67	3,966.77
Priceline Com	1,166 73	1,326.38
Proctor & Gamble	3,504 78	3,770.82
PVH Corp	1,814 98	3,094.94
Qualcomm Inc	8,023 12	9,288.52
Salesforce Com	2,057 35	2,365.05
Schlumberger	8,040 60	6,830.03
Urban Outfitters	2,151 37	3,204.50
Xilinx Inc	3,630 40	3,742 20
Teva Pharmaceutical	3,120 98	2,514 36
Investment in Stocks - Lg Cap Growth	123,489 52	142,458 00
US Dollar	45 27	45 27
Goldman Sachs Bank Deposit	3,548 67	3,548 67
Investment in Money Market Funds - Lg Cap Value	3,593 94	3,593 94
Adobe Systems Inc	1,865 31	2,041 99
Altera Corp	1,992.27	1,884.42
AT&T Inc	2,084 00	2,252 58
Bank of America Corp	3,453 06	4,506.02
Bed Bath & Beyond Inc	2,399 93	2,290.08
Boeing Company	3,720 86	3,885 44
Celgene Corporation	1,817.80	2,436 29
CitiGroup Inc	1,297 99	1,244 52
Devon Energy Corp	5,152 71	3,668 57
DirecTV	1,834.41	1,838 90
Duke Energy Corporation	3,041 43	3,035 50
Eastman Chem Co	1,467.40	1,886.35
EMC Corporation	2,747.63	2,730.20
Everest RE Group	2,102 45	2,723 75
Exxon Mobil Corp	6,165 45	7,008 09
General Electric	6,192 51	6,550 30
General Motors	1,841 27	2,044 52
Google	2,069 39	2,095 11
Halliburton Company	3,818 67	3,901 95

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
JM Smucker Co	1,874 04	2,135.52
Johnson & Johnson	5,150 91	5,556.86
JPMorgan Chase & Co	7,321 96	7,106.84
LAM Research Corp	2,879 12	2,634.00
Lowe's Companies	2,399 90	3,284.19
Masco Corporation	1,417 61	1,882.56
Merck & Co	1,790 45	1,993.50
MGM Resorts International	1,381 58	1,218.00
Morgan Stanley	1,850 28	1,872.57
Mylan Inc	2,454 71	2,935.44
Pfizer Inc	3,568 01	4,669.40
Philip Morris Intl	1,974 63	2,067 24
PPL Corporation	3,227.15	3,463 30
Proctor & Gamble	3,100 33	3,282 01
Prudential Financial	4,695 89	4,553 40
Suntrust Banks	3,685 63	3,835 20
The Travelers Companies	2,760.22	3,399 36
TransOcean Ltd	2,664.73	2,725 80
US Bancorp	1,544 79	1,871 08
UnitedHealth Group	2,305.24	2,447 55
Urban Outfitters Inc	1,587.96	1,960 40
Vertex Pharmaceuticals Inc	1,638 93	1,512 02
Viacom Inc	2,044 52	1,909 57
Xcel Energy Inc	3,879 25	3,895 20
Investment in Stocks - Lg Cap Value	122,262 38	130,235.59
Goldman Sachs Bank Deposit	10,413.57	10,413 57
Investment in Money Market Funds - Lord Abbett	10,413 57	10,413 57
Hengdeli Holdings Ltd	6,090.79	5,617 79
Resmed Inc	2,396 50	3,218 28
WPP PLC	7,343 25	7,557 00
Anglo American	9,384 21	6,552 78
Anheuser-Busch	4,503 50	6,685 72
Aviva	8,074 25	8,960 40
AXA-UAP American Depositary Shares	6,525.65	6,556 77
Balfour Beatty	6,137 36	5,588 33
Bank of Yokohama	4,715 59	4,532 64
Barclays Plc	7,275 80	8,042 51
BG Group	6,063 16	4,544 22

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
British Sky Broadcasting Group	3,824 48	4,233 07
China Overseas Land & Invt Ltd	7,495 96	9,239 15
Coca-Cola	3,553 18	4,243 01
Danone	7,457 11	6,863 67
DBS Group Holdings	4,730 26	4,972 80
Deutsche Post	2,937 13	3,321 28
DongFeng Motor Corporation	3,192 57	2,601 91
East Japan Railway Company	7,380 24	8,341 88
Empresas	6,377 29	9,092 64
Fletcher Building Ltd	5,424 79	5,771 56
Fresenius SE & Co	7,682.71	8,381 00
Gazprom	4,635 96	3,791 40
Heineken	6,834.84	8,211 27
Henkel AG & Co	3,185.59	3,726 85
HSBC Holdings Plc	8,324.67	8,900 84
Imperial Tobacco Group	6,512.13	7,763 49
ING Groep	9,945.47	9,187.78
International Consolidated Airlines Group	3,111 03	3,190.25
Intesa SanPaolo	4,453 11	4,170.47
Kasikornbank	5,511.02	7,043.80
KB Financial Group	9,105 81	6,935 57
KDDI Corp	8,541 85	8,247 43
Keppel Corp	8,636 53	8,512.23
Kinross Gold Corp	6,993 24	6,975 36
Koninklijke Ahold	6,617 72	6,395 26
Mapfre	6,246 47	6,675 69
National Grid	6,442.32	7,589 76
New World Development & Co	2,330.45	3,311 61
Nissan Motor Co	5,682.70	5,985 36
Ocean Rig	3,027 64	2,835 00
Orix Corporation	6,935.10	7,244 38
Prudential Corp	7,953 43	10,096 28
Repsol SA	5,801 94	6,066 92
Rio Tinto	8,129 98	8,515 80
Roche Holding	9,404 60	10,632 17
Safran SA	7,145 84	8,436 94
SAP AG	4,079 00	5,225 33
Schneider Electric	7,140.88	7,984 38
Shire Limited	6,104.33	5,457 69
Siliconware Precision	4,792.51	4,941 00
SSE	8,217.65	8,655 60

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
 Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Sumitomo Corporation	7,489 01	6,636.67
Swedbank	4,907 52	6,792.18
Syngenta	6,344 28	7,597 15
Taiwan Semiconductor	9,411 98	11,260 04
Telenor ASA American	7,369 05	9,137 55
Teva Pharmaceutical	6,329 71	5,634 58
Toyota Motor Corporation	7,757 77	8,866 24
Tullow Oil Plc	7,785 04	7,613 46
Unilever	5,799 06	6,894.94
Vivendi	8,308 71	9,819.10
Yamana Gold	4,829 35	6,392.00
Yanzhou Coal Mining Co	5,160 25	4,949 14
Investment in Stock - Lord Abbett	401,901 32	429,217.37
US Dollar	70,077 92	70,077.92
Goldman Sachs Bank Deposit	46,446.34	46,446 34
Investment in Money Market Funds - Yacktman	116,524 26	116,524 26
Apollo Group	11,577 01	7,388 15
Avon Products	10,010 70	7,698 90
Bard CR	22,930 97	24,554 48
Becton Dickinson & Co	7,468 68	6,900 30
Cisco Systems Inc	19,855.17	23,495 20
Clorox Co	15,866 81	18,094 95
Coca-Cola Company	12,762 52	15,469 80
Comcast Corporation	6,257 89	10,271 40
ConocoPhillips	18,813.11	20,769.00
Corning Incorporated	7,276 90	5,482 40
Dell Inc	3,454 22	3,566 80
Exxon Mobil Corporation	5,438 20	6,212 55
H&R Block	7,807 65	10,727 85
Hewlett-Packard	16,914 59	5,910 45
Johnson & Johnson	18,532 52	20,762 50
Microsoft Corporation	29,916 34	29,413 68
News Corporation	30,691 70	50,758.40
Pepsico	44,973 65	48,093.85
Pfizer Inc	8,376 33	11,625.80
Proctor & Gamble	45,599 58	50,277.60
Stryker Corp	15,071.81	15,164.80
Sysco Corporation	22,476 41	24,212 25

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

SWBC Foundation
For the year ended November 30, 2012

Detail for Investments of Corporate Stock Held
Form 990-PF, Part II, line 10(b)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
The Bank of NY Mellon Corp	3,951.43	5,027.40
US Bancorp	10,442.10	12,742.70
Viacom Inc	12,531.26	15,328.17
Walmart Stores	5,581.18	7,202.00
Wellpoint Inc	6,615.48	6,428.50
Research In Motion Limited	<u>2,606.54</u>	<u>4,350.00</u>
Investment in Stocks - Yacktman	423,800.75	467,929.88
Total Investments - Corporate Stock Pt II in 10b	<u>11,624,553.77</u>	<u>12,942,197.83</u>

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

SWBC Foundation
For the year ended November 30, 2011

Detail for Investments of Corporate Bonds Held
Form 990-PF, Part II, line 10(c)

<u>Description</u>	<u>Tax Cost</u>	<u>Market Value</u>
Frost Total Return Bond Fund	376,398.84	386,176.99
Pimco Foreign Bond (USD Hedged)	249,537.90	267,026.40
Vanguard Inflation Protected Fund	237,218.81	250,575.36
Vanguard Short Term Inv Grade Fund	<u>100,279.33</u>	<u>101,303.54</u>
Investment in Bond Funds - Frost	963,434.88	1,005,082.29
Detroit Edison Co 1st Mtg	100,000.00	107,119.00
Dr Pepper Snapple Group Inc Cr	49,574.50	63,454.00
Lubrizol Corp SR NT	48,505.00	54,385.00
Simon Property Group LP	47,130.00	56,550.50
Nisource Fin Corp GTD NT	18,380.00	24,393.80
Rio Tinto Fin USA Notes	49,685.00	51,515.00
HSBC Fin Corp	<u>100,000.00</u>	<u>103,152.00</u>
Investment in Bonds - Inv Svs	413,274.50	460,569.30
Detroit Edison Co 1st Mtg	<u>1,270.23</u>	-
Investments in Bond Premium - Inv Svs	1,270.23	-
Total Investment - Corporate Bonds PII In 10c	<u><u>1,377,979.61</u></u>	<u><u>1,465,651.59</u></u>

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

2011 Form 990-PF
Part VII-B Schedules

Part VII-B, Question 1a(3)

SWBC Foundation accepted services and facilities from Southwest Business Corporation, EIN 75-1553739, a disqualified person, during the year.

However, under Regulation 53.4941(d)-2(d)(3), a disqualified person furnishing goods, services, or facilities to the Foundation without charge is not an act of self-dealing

SWBC Foundation
9311 San Pedro, Suite 600
San Antonio, TX 78216

20-0511684

2011 Form 990-PF
Part VII-B Schedules

Part VII-B, Question 1a(4):

SWBC Foundation reimburses Southwest Business Corporation for the cost of accounting and management services, which are reasonable and necessary for carrying out the exempt purpose of SWBC Foundation

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
ABB Ltd	-	-	-	-	-	191 88	-	-	-	-	-	-	191 88
Abbott Laboratories	-	-	-	-	-	23 97	-	-	33 15	-	-	33 15	90 27
Accenture Plc	-	-	-	-	-	-	-	-	-	-	-	-	-
Aflac Inc	-	-	-	12 21	-	-	-	-	-	-	-	-	12 21
Albermarle Corp	-	37 63	-	-	-	-	-	-	-	-	-	-	37 63
Allergan Inc	13 00	-	-	13 00	-	-	13 00	-	-	13 00	-	-	52 00
Altera Corp	-	-	-	-	-	-	4 64	-	-	5 80	-	-	10 44
Allria Group Inc	-	848 52	-	-	1,206 63	-	-	1,206 63	15 19	-	1,294 92	-	4,557 70
American Campus Cmnty Inc	-	-	15 19	-	-	15 19	-	-	-	-	-	15 19	60 76
American Cap Agy Corp	-	1,450 40	-	-	1,295 00	-	-	1,295 00	-	-	1,295 00	-	5,335 40
American Electric Power Co	31 02	-	11 52	21 15	-	12 80	-	-	16 20	-	25 07	-	56 72
American Express Co	39 20	-	15 96	-	22 89	-	-	23 98	-	-	-	-	111 14
American Tower Corporation	-	-	-	-	-	-	-	-	-	-	-	-	15 96
Ameriprise Financial Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
Ameron International Corp	-	-	-	-	-	-	21 60	-	-	21 60	-	-	66 40
Anadarko Petroleum	21 60	-	-	21 60	-	97 29	-	-	-	57 76	-	-	155 05
Anglo American Plc	-	-	-	-	-	184 11	-	-	-	-	-	-	184 11
Anheuser-Busch Inbev	-	4,290 96	-	-	4,140 40	-	-	4,140 40	267 65	-	3,764 00	-	16,335 76
Annelly Capital Management Inc	-	-	-	-	-	-	-	-	-	-	-	267 65	535 30
Apple Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
Arceormittel	-	-	-	-	-	-	-	-	-	-	-	-	-
Artio Global High Income Fund Class I	1,194 98	-	423 16	479 05	463 08	526 95	558 89	471 06	518 97	526 95	423 16	510 98	6,097 23
Aston Montag & Caldwell Growth Fund Class I	-	-	656 04	-	-	951 72	-	-	926 64	-	-	911 24	3,445 64
AT&T Inc	-	-	-	-	-	274 49	-	-	-	-	-	229 65	504 14
Aviva	-	-	-	125 58	-	-	115 46	-	-	115 46	-	-	474 03
Avon Products Inc	117 53	-	-	-	-	-	-	-	-	-	-	-	25 50
AXA-UAP American Depository	-	-	-	-	-	25 50	-	-	-	-	-	-	-
Baker Hughes Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
Banco Santander Brasil	-	-	-	-	-	-	-	-	-	-	-	-	-
Bank of America Corp	0 52	-	-	3 87	-	33 15	3 87	-	-	4 57	-	-	12 83
Bank of NY Mellon Corp	-	-	33 15	-	-	-	90 46	-	33 15	-	-	33 15	132 60
Bank of Yokohama	92 51	-	-	-	-	-	17 18	-	-	-	-	-	182 97
Barclays Plc	26 80	-	-	52 32	-	-	-	-	-	17 70	-	-	114 00
Barclays C R	-	-	39 90	-	-	51 87	-	-	54 60	-	-	54 60	200 97
BASF SE	-	-	-	-	-	-	-	-	-	-	-	-	-
Bayer AG	-	-	-	-	-	-	-	-	-	-	-	-	-
Becton Dickinson & Co	40 50	-	-	40 50	-	-	40 50	-	-	40 50	-	-	162 00
BG Group	-	-	-	-	-	-	42 12	-	-	38 61	-	-	80 73
BHP Billiton Ltd	-	-	-	269 50	-	-	-	-	-	-	279 30	-	548 80
BIF Money Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
BIF Treasury Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Blackbaud Inc	0 28	-	-	-	-	-	6 60	-	-	6 60	-	-	0 28
Blackrock	6 60	-	-	6 60	-	-	67 50	-	-	67 50	-	-	264 38
Blackrock	61 88	-	-	67 50	-	-	-	-	-	-	-	-	-
BNP Paribas	-	-	-	-	-	-	-	-	-	-	-	-	-
Boeing Company	21 42	-	-	17 60	-	-	17 60	-	-	17 60	-	-	74 22
BofA Cash Reserves Capital Class	1 33	-	-	-	-	-	-	-	-	-	-	-	1 33
Bridgestones Corp	-	-	-	-	37 80	-	-	-	-	-	-	-	37 80
Bristol-Myers Squibb Co	-	-	180 88	-	-	257 04	-	200 75	257 04	-	-	257 04	952 00
British Sky Broadcasting Group	119 87	-	-	-	-	90 15	-	-	-	-	-	69 89	279 91
Broadcom Corp	-	-	-	-	-	-	-	-	-	-	-	-	-
Cairn Energy	-	-	-	2,739 62	-	-	-	-	-	-	-	-	2,739 62
Cambiar Small Cap Fund Insl	5,362 87	-	-	-	-	-	-	-	-	-	-	-	5,362 87
Canadian Imperial Bank of Commerce	-	-	-	-	-	-	-	200 75	-	-	211 14	-	411 89
Canon Inc	-	-	-	-	87 09	-	-	-	-	-	-	-	214 33
Caterpillar Inc	-	-	119 60	-	-	119 60	-	-	-	127 24	-	-	239 20
CBS Corporation	-	6 80	-	-	6 80	-	-	6 80	-	-	8 16	-	28 56

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Centerpoint Energy Inc	254.18	-	-	260.62	-	-	370.78	-	-	370.78	-	-	1,256.36
Charles Schwab Corporation	-	-	-	-	-	-	55.58	-	-	-	-	-	55.58
Cheung Kong Hldgs	383.94	-	-	383.94	-	-	518.40	-	-	607.50	-	-	1,893.78
Chevron Corp	-	-	-	-	-	-	192.50	-	-	-	148.44	-	340.94
China Mobile	-	-	-	-	-	-	161.28	-	-	58.52	-	-	58.52
Cinemark Hldgs Inc	-	-	-	-	-	-	-	-	-	161.28	-	-	322.56
Cinn Fincl Corp Ohio	-	89.70	-	-	119.60	-	-	122.80	-	-	369.74	-	701.84
CitiGroup Inc	-	4.80	-	-	4.80	0.77	-	4.80	-	-	5.40	-	0.77
Clearcor Inc	-	-	9.13	-	4.80	9.13	-	-	9.25	-	-	9.25	19.80
Clearbridge Energy MLP Fund IN Mutual Fund	470.00	-	20.31	-	-	20.31	-	-	21.94	-	-	21.94	36.76
Cleco Corporation	-	-	-	-	-	-	-	-	-	-	-	-	84.50
Cleco Natural Resources	-	-	-	-	-	-	-	-	-	-	-	-	-
Cliffs Natural Resources	-	-	-	-	-	-	-	-	-	-	-	-	-
Clorox Co	-	-	90.00	-	-	163.20	-	-	174.08	-	-	174.08	601.36
CME Group Inc	21.00	-	-	78.45	-	-	33.45	-	-	33.75	-	-	166.65
Coca Cola	470.00	-	-	-	768.03	-	-	551.82	-	-	594.08	-	2,383.93
Cognex Corp	4.50	-	-	4.50	-	-	4.95	-	-	4.95	-	-	18.90
Cohen & Steers Inc	8.25	-	-	9.90	9.90	-	9.90	-	-	9.90	-	-	37.95
Cohu Inc	-	3.90	-	-	3.90	-	-	-	3.90	-	3.90	-	15.60
Columbia Acorn International Fund Class Z Shares	-	-	-	-	-	-	171.99	-	-	-	-	-	171.99
Columbia Acorn Select Fund Class Z Shares	-	-	-	-	-	-	-	-	-	-	-	-	-
Columbia Dividend Income Fund Class Z Shares	2,985.89	-	-	2,765.27	-	-	2,921.96	-	-	2,770.92	-	-	11,444.04
Columbia Marsico Intl Opportunities Fd Class Z Shares	-	-	-	-	-	-	-	-	-	-	-	-	-
Columbia Smallicap Value I Fund Class Z Shares	-	63.56	-	-	91.81	-	-	77.19	-	-	55.25	-	287.81
Comcast Corporation	-	-	-	-	-	-	-	-	-	-	-	-	-
Compania De Minas Buenaventura	-	-	-	-	-	-	-	157.54	-	-	-	-	157.54
Compania De Saneamiento Basico	-	-	-	-	-	-	-	-	-	-	-	-	-
Compania De Vale Do Rio Doce	-	-	-	-	-	165.97	-	-	-	-	-	-	165.97
Compass Minerals Intl	6.75	-	-	7.43	-	-	7.43	-	-	7.43	-	-	29.04
ConocoPhillips	551.76	-	-	529.98	-	-	747.12	-	-	747.12	-	-	2,575.98
Consolidated Edison	235.20	-	-	237.16	-	-	336.38	-	-	336.38	-	-	1,145.12
Corning Incorporated	33.38	-	-	33.38	-	-	33.38	-	-	33.38	-	-	133.52
Costco	-	-	74.88	-	-	-	85.80	-	85.80	-	-	85.80	332.28
Covidien	-	-	-	-	-	-	-	-	-	-	-	-	-
Credit Suisse Group	-	-	-	-	-	-	-	-	-	-	-	-	-
Cummins	100.00	-	-	100.00	-	-	100.00	-	-	125.00	-	-	425.00
CVS Caremark Corporation	-	-	-	-	-	6.01	-	-	34.45	-	-	28.44	68.90
D R Horton Inc	-	-	-	-	-	20.25	-	-	20.25	-	-	-	40.50
Daimler AG	-	-	-	-	-	-	-	-	-	-	-	-	-
Danone	-	-	-	-	-	131.41	-	122.51	-	-	-	-	131.41
DBS Group Holdings	-	-	-	-	-	105.80	-	-	105.80	-	127.39	-	249.90
Deere & Co	-	-	94.30	-	-	-	-	-	-	-	-	-	305.90
Delaware Emerging Mkts Fund Cl Instl	-	-	-	-	-	266.40	-	-	-	-	-	-	266.40
Deutsche Post	15.47	-	-	16.40	-	-	13.60	-	-	18.60	-	-	64.07
Devon Energy Corporation	158.09	-	-	240.54	194.36	-	240.54	-	-	240.54	-	-	879.71
Diageo	-	-	-	-	-	-	-	-	-	67.10	-	-	67.10
Dominion Res Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
DongFeng Motor Corporation	-	-	-	-	-	-	-	-	-	-	-	-	-
Dr Pepper Snapple Group	98.40	-	-	98.40	128.86	-	-	143.82	-	-	143.82	-	501.62
Du Pont E I De Nemours	-	-	-	-	-	-	146.63	-	-	146.63	-	-	490.06
Duff & Phelps Corp	261.25	-	-	261.25	-	-	371.00	-	-	581.40	-	-	1,550.50
Duke Energy	-	-	-	-	-	-	-	-	-	-	-	-	315.76
E.ON AG	124.15	-	-	-	-	315.76	-	97.97	-	-	-	-	222.12
East Japan Railway Company	-	-	-	-	-	-	-	-	-	-	-	-	-

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Eastman Chem Co	-	-	-	-	-	-	-	-	-	-	-	-	16 12
Eli Lilly & Co	247 45	-	-	247 45	-	-	351 33	-	-	351 33	8 06	-	1,197 56
Emerson Electric	155 20	-	-	-	-	-	-	-	-	-	-	-	155 20
Enel Societa Per Azioni	-	-	-	-	-	-	-	-	-	-	-	-	-
Entergy	-	-	-	-	-	-	-	-	-	-	-	-	-
EOG Resources Inc	-	16 80	-	-	17 85	-	-	17 85	-	-	17 85	-	70 35
EQT Corporation	8 36	-	-	-	-	-	-	-	-	-	-	-	8 36
Equity Residential	-	111 80	-	-	94 50	-	-	94 50	-	-	-	-	300 80
Ericsson	-	-	-	-	-	-	-	-	-	-	-	-	-
Everest Re Group Ltd	12 00	-	-	12 00	-	12 00	-	-	-	12 00	-	-	48 00
Exelon Corp	178 50	-	-	178 50	70 40	-	183 18	-	-	253 58	-	-	864 16
Exxon Mobil	144 76	-	-	150 87	-	224 01	-	-	-	265 05	-	-	784 69
Federated Prime Oblig	23 14	5 47	9 53	9 67	3 92	6 52	10 05	8 50	16 34	18 98	14 11	12 76	138 99
Federated US Treasury Cash Reserves #125	0 64	0 07	-	-	-	0 55	-	-	-	-	-	-	1 26
FedEx Corp	17 55	-	-	17 55	-	-	-	18 90	-	-	18 90	-	72 90
FEI Company	-	-	-	-	-	-	-	3 20	-	-	3 20	-	6 40
Fidelity Select Money Market	0 21	0 27	0 23	0 27	0 21	0 22	0 17	0 20	0 18	0 13	0 13	0 08	2 30
Ford Motor Company	-	-	-	-	-	258 55	32 50	-	-	-	-	-	32 50
Fortum OYJ	-	-	-	-	-	-	-	-	-	-	-	-	258 55
Franklin Resources Inc	38 59	-	-	-	-	106 31	-	-	-	-	-	-	38 59
Fresenius Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	106 31
Frost Total Return Bond Fund	2,197 38	-	982 31	1,430 55	1,437 59	1,515 11	1,497 49	1,430 55	1,529 20	1,427 02	1,275 51	1,472 83	16,195 54
Galfisa	-	36 00	-	-	-	-	-	-	-	-	-	-	36 00
Gazprom	-	-	-	-	-	-	-	-	-	-	-	-	-
General Dynamics	-	-	117 50	-	-	127 50	-	-	127 50	-	-	127 50	500 00
General Electric	-	303 28	-	-	303 28	-	-	407 49	-	-	462 91	-	1,476 96
General Mills Inc	-	-	17 39	-	-	17 39	-	-	-	-	-	-	34 78
Genuine Parts	-	93 60	-	-	146 52	-	-	146 52	-	-	146 52	-	533 16
Glacier Bancorp Inc	-	7 15	-	-	12 35	-	-	12 35	-	-	12 35	-	44 20
GlaxoSmithKline	-	300 81	-	-	404 92	-	-	375 04	-	-	364 26	-	1,445 03
Goldman Sachs Core Plus Fixed Income Fund Cl A	7,907 27	818 02	954 29	1,261 92	883 57	913 29	768 18	761 57	-	1,516 07	888 87	818 84	17,491 89
Group 1 Automotive Inc	5 20	-	-	5 60	-	-	6 00	-	-	6 00	-	-	22 80
H&R Block Inc	-	119 00	-	-	119 00	-	-	119 00	-	-	119 00	-	476 00
Halliburton Co	33 21	-	-	39 42	-	-	13 32	-	-	17 64	-	-	103 59
Harmen Intl	-	-	-	-	-	-	-	-	-	-	-	-	-
Harris Teeter Supermarkets	-	-	-	-	-	-	-	6 30	-	-	6 30	-	12 60
Hartford Financial Svcs Group	-	10 90	-	-	-	-	-	-	-	-	-	-	10 90
Hasbro Inc	-	-	-	-	-	-	-	-	-	-	-	175 32	175 32
HCP Inc	-	-	325 00	-	-	461 00	-	-	461 00	-	-	461 00	1,708 00
Healthcare Svcs Group Inc	-	-	-	11 29	-	11 38	-	-	11 46	-	-	11 55	45 68
Heartland Express Inc	2 00	-	-	-	2 00	-	-	2 00	-	-	2 00	-	8 00
Heineken	-	-	-	-	-	-	-	-	-	44 77	-	-	44 77
Henz HJ Co	-	188 16	-	-	266 88	-	-	321 36	-	-	321 36	-	1,097 76
Hengdeli Holdings	-	-	-	-	-	-	-	-	-	-	-	-	121 75
Henkel AG	-	-	-	94 87	-	-	-	-	-	-	-	-	94 87
Hewlett Packard Co	-	54 60	-	54 60	-	-	-	60 06	-	-	60 06	-	229 32
Hilachi	29 53	-	-	-	-	-	-	-	-	-	-	-	29 53
Honda Mtr	43 66	-	-	43 59	-	59 67	-	-	-	-	-	-	89 20
Honeywell Intl Inc	16 39	-	-	28 68	-	35 22	-	-	42 52	-	-	-	164 89
HSBC Holdings Plc	-	-	-	-	-	28 68	-	-	-	12 29	-	-	86 04
Hussman Invst Strategic Growth Fund	-	70 20	-	-	-	100 10	-	41 40	-	-	41 40	-	253 10
IllianaBank Corporation	-	492 83	-	-	10 20	-	-	-	-	-	-	-	492 83
Illinois Tool Works	-	10 20	-	-	-	-	-	10 20	-	-	10 20	-	40 80
Impenal Tobacco Group	-	-	292 04	-	-	-	-	-	-	-	-	-	-
Intel Corp	371 91	-	-	371 91	-	-	461 79	-	118 24	494 78	-	-	1,700 39
International Consolidated Airlines Group	-	-	-	-	-	-	-	-	-	-	-	-	-

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Intesa San Paolo	-	-	-	-	-	-	-	154 90	-	-	-	-	154 90
Intl Business Machines (IBM)	116 25	-	-	116 25	-	-	85 00	-	-	-	-	-	317 50
Intl Paper Co	93 19	-	-	93 19	-	-	132 04	-	-	132 04	-	-	450 46
Invesco Intl Growth Fund Class Y	1,594 62	-	-	-	-	-	-	-	-	-	-	-	1,594 62
Invesco Ltd	91 88	-	-	91 88	-	-	129 38	-	-	129 38	-	-	442 52
Invesco Stc Liquid Asset Inst	-	-	-	-	-	-	-	-	-	-	-	-	-
iShares Barclays 1-3 Yr Bond Fund	597 77	528 41	538 69	622 41	559 19	981 58	978 62	996 93	977 79	933 37	941 04	897 04	9,552 84
iShares FTSE China 25 Index Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
iShares iBoxx \$ High Yield Corp Bond Fund	220 70	214 96	203 74	307 00	311 81	291 04	310 10	296 24	288 69	290 74	277 47	292 02	3,304 51
iShares iBoxx Investment Grade Corp Bond Fund	136 97	137 61	138 96	636 72	627 96	614 82	625 05	620 12	607 94	534 71	533 49	525 98	5,740 33
iShares JP Morgan USD Emerging ETF	-	-	-	-	-	-	-	-	-	442 13	414 08	425 04	1,281 25
iShares MSCI Australia Index Fund	735 50	-	-	-	-	-	513 92	-	-	-	-	-	1,249 42
iShares MSCI Brazil Free Index Fund	234 23	-	-	-	-	-	588 22	-	-	-	-	-	822 45
iShares MSCI CDA Index Fund	309 48	-	-	-	-	-	184 80	-	-	-	-	-	494 28
iShares MSCI Pacific Ex-Japan Index Fund	26 28	-	-	-	-	-	23 66	-	-	-	-	-	49 94
iShares MSCI Singapore Index Fund	540 47	-	-	-	-	-	382 32	-	-	-	-	-	922 79
iShares MSCI South Korea Index Fund	3 73	-	-	-	-	-	-	-	-	-	-	-	3 73
iShares Russell Midcap Value Index Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
iShares S&P Midcap 400 Growth	-	-	-	-	-	-	-	-	-	-	-	-	-
iShares S&P US Preferred Stock Index Fund	124 31	126 32	121 53	146 20	184 17	173 62	189 95	201 86	206 01	206 14	189 51	207 55	2,096 17
iShares TR S&P North American Natural Resources	84 34	-	-	43 28	-	-	98 12	-	-	-	103 09	-	328 83
Itau Unibanco Banco Holdings	-	-	-	-	-	-	-	-	-	-	-	-	-
Ivy Asset Strategy Fund Class I	1,096 64	-	-	-	-	-	-	-	-	-	-	-	1,096 64
Ivy High Income Fund Class I	-	-	-	-	-	-	-	-	-	-	-	-	-
JM Smucker & Co	-	11 52	-	-	-	-	11 52	-	-	12 48	-	-	24 00
Johnson & Johnson	191 52	-	-	191 52	-	-	234 24	-	-	240 34	-	-	869 14
Johnson Controls Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
JP Morgan Chase & Co	-	215 75	-	-	178 50	-	-	224 70	-	-	228 90	-	847 85
JP Morgan US Large Cap Core Plus Fund Class S	1,250 22	-	-	-	-	-	-	-	-	-	-	-	1,250 22
Kansai Electric Power Comp	86 30	-	-	-	-	-	-	84 41	-	-	-	-	170 71
Kasikornbank	-	-	-	-	-	84 50	-	-	-	-	23 13	-	107 63
Kayne Anderson MLP Invnt Co Mutual Fund	-	17 85	-	-	18 11	-	-	18 46	-	-	18 81	-	73 23
KB Financial Group	-	-	-	-	108 20	-	-	-	-	-	-	-	108 20
Keppel Corp	-	154 00	-	-	230 88	201 16	-	230 88	140 12	-	230 88	-	846 64
Kimberly Clark	-	-	-	-	-	-	-	-	-	25 04	-	-	25 04
Kinross Gold	-	-	-	-	-	-	-	-	-	-	-	-	-
Komatsu	32 15	-	-	-	-	-	-	-	-	-	-	-	32 15
Koninklijke Ahold	-	-	-	-	-	313 59	-	-	-	-	-	-	313 59
Koninklijke Philips Electrics	-	-	-	-	-	-	-	-	-	-	-	-	-
Kraft Foods Inc	-	177 19	-	-	251 43	-	-	251 43	-	-	251 43	-	931 48
Kubota Corp	-	-	-	-	-	-	-	-	-	-	-	-	-
Landauer Inc	-	8 25	-	-	8 25	-	-	8 25	-	9 25	8 25	-	33 00
Las Vegas Sands Corp	-	-	-	-	-	-	-	-	-	-	-	-	-
Lazard Emerging Markets	-	-	-	-	-	-	-	-	-	-	-	-	-
Lincoln Electric Holdings Inc	-	54 40	-	-	54 40	-	-	54 40	-	-	54 40	-	217 60
Lockhead Martin	214 00	-	-	214 00	-	-	304 00	-	-	304 00	-	-	1,036 00
Lowe's Companies	-	-	33 60	-	-	38 64	-	-	42 88	-	-	20 96	136 08
Lukoil Oil Co	-	-	-	-	-	-	-	-	-	-	-	-	-
LyonDeilBasell Industries	332 50	-	-	7 00	-	-	11 20	-	-	-	-	-	350 70
Macy's Inc	-	-	-	-	-	-	-	11 60	-	-	-	-	11 60
Marathon Oil	78 45	-	-	88 91	-	-	81 77	-	-	81 77	-	-	330 90
Market Vectors EFT TR Agribusiness ETF	342 61	-	-	6 05	-	6 05	-	-	6 05	-	-	6 05	342 61
Marketaxess Holdings Inc	-	-	-	9 40	-	-	12 22	-	-	12 22	-	-	24 20
Marriott International Inc	-	10 40	-	-	-	-	-	-	-	-	-	-	44 24
Marsh & McLennan Inc	-	-	86 24	-	-	122 32	-	-	127 88	-	-	127 88	464 32
Masco Corporation	-	-	-	-	-	-	-	-	8 63	-	-	-	16 96

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
MasterCard Incorporated	-	-	1 80	-	-	3 60	-	-	2 40	-	-	2 40	10 20
Mathews Intl Corp	-	-	-	-	-	-	-	-	-	-	-	-	-
Maxm Integrated Products Inc	16 94	-	-	-	-	-	-	-	-	-	-	-	16 94
McDonalds	175 70	-	-	175 70	-	-	126 00	-	-	126 00	-	-	603 40
Mead Johnson Nutrition	-	29 90	-	-	34 50	-	134 00	34 50	-	156 00	34 50	-	479 00
MeadWestVaco Corp	94 50	-	-	94 50	-	-	134 00	234 78	-	156 00	253 68	-	966 84
Merck & Co	-	204 54	-	-	273 84	-	-	-	-	-	-	-	22 80
Meridian Bioscience Inc	5 70	-	5 70	-	-	5 70	-	-	5 70	-	-	-	259 00
Meilife Inc	427 60	-	-	402 60	-	-	480 40	-	-	480 40	19 80	-	1,791 00
Microsoft	30 03	19 80	-	-	19 80	-	-	19 80	-	-	-	-	79 20
Mid-America Apt Cmnty Inc	-	-	-	-	-	-	-	26 96	-	-	-	-	56 99
Mitsubishi Estate Ltd	3 60	-	-	3 60	-	-	3 00	-	-	2 00	-	-	12 20
Mitsubishi UFJ Finl Group	-	-	-	-	-	-	-	-	-	-	-	-	102 38
Monro Muffler Brake Inc	-	-	6 40	-	31 50	-	3 00	31 50	-	2 00	39 38	-	23 05
Monsanto Co	-	-	-	-	-	-	-	-	5 55	-	-	5 55	420 47
Morgan Stanley	-	150 25	-	-	-	5 55	-	-	270 22	-	-	-	85 20
National Grid	-	26 10	-	-	-	19 50	-	-	19 50	-	-	20 10	51 04
National Health Investors Inc	-	-	167 48	-	-	238 32	-	-	244 51	-	-	363 80	1,014 11
National Retail Ppty	-	-	-	-	-	-	-	-	-	-	-	-	5,200 05
Nestle	1,487 07	-	-	1,036 29	-	-	1,297 50	-	-	1,379 19	-	-	59 97
Neuberger Berman Equ Incm Mutual Fund	-	-	-	-	-	59 97	-	-	-	-	-	-	-
New World Development & Co	-	-	-	-	-	-	-	-	-	-	-	-	-
New York Cmnty Bancorp	-	-	-	-	-	-	-	-	-	-	-	-	-
News Corporation	-	-	-	-	259 25	-	-	-	-	-	259 25	-	518 50
Nike	125 79	16 20	-	-	16 20	-	-	12 60	-	-	15 48	-	60 48
Nippon Teleg & Tel	-	3 75	-	-	-	-	3 75	40 86	-	4 50	-	-	166 65
Nordson Corp	-	12 32	-	-	12 32	-	-	13 20	-	-	13 20	-	15 75
Northern Trust Corp	-	-	-	-	129 07	-	-	-	-	-	-	-	129 07
Novartis	-	-	-	-	9 72	-	-	9 72	-	-	19 44	-	38 88
Occidental Petroleum Corp	-	-	51 42	-	-	51 18	-	-	49 74	-	-	53 34	205 68
Oracle Corp	-	-	-	-	-	-	75 78	-	-	-	-	-	75 78
Orix Corporation	-	28 21	-	-	28 21	-	-	28 21	-	-	-	-	112 84
P G & E Corporation	60 30	234 50	-	60 30	-	67 00	-	-	-	-	-	-	422 10
Paccar Inc	-	-	-	16 15	-	-	-	-	-	-	-	-	16 15
Peabody Energy	-	-	-	-	-	-	-	-	-	-	-	-	-
Pearson Plc	-	581 96	-	564 96	-	-	589 64	-	-	-	-	-	-
Pepsico	-	-	-	-	-	-	-	-	-	584 27	-	-	2,320 83
Petroleo Brasileiro	523 60	668 36	-	473 88	-	-	586 30	-	-	586 30	-	-	2,170 08
Pfizer Inc	-	-	-	-	857 78	-	-	863 94	-	871 21	947 75	-	3,337 83
Philip Morris Intl	-	-	-	-	-	-	813 93	-	-	731 55	-	-	1,545 48
Pimco All Asset Auth Fund Instl Cl	-	-	-	-	-	-	468 07	-	-	969 44	-	-	13,227 56
Pimco All Asset Fund Instl Cl	11,123 41	-	-	666 64	-	-	222 35	191 51	170 70	223 68	167 12	218 02	3,492 89
Pimco Commodity RealReturn Strategy Fund	531 89	359 36	300 49	377 34	451 59	278 84	222 35	191 51	170 70	223 68	167 12	218 02	3,492 89
Pimco Emerging Local Bond Fund	4,620 96	309 97	425 87	513 41	612 14	563 21	674 56	658 92	586 29	656 78	516 61	500 54	10,148 72
Pimco Foreign Bond (USD Hedged) Instl	2,081 35	8,122 13	-	-	-	-	-	-	-	547 41	-	-	11,251 43
Pimco Global Multi-Asset Fund	12,324 58	4,034 51	3,804 74	3,552 77	4,380 95	3,246 76	2,639 85	2,355 12	1,908 64	2,056 81	1,662 92	2,440 02	44,407 67
Pimco Total Return Fund	122 33	-	-	122 33	-	-	173 78	-	-	173 78	-	-	592 22
Pinnacle West Cap Corp	-	-	-	-	3 00	-	-	-	-	-	-	-	3 00
Pioneer Natural Resources	139 86	-	-	-	-	-	-	-	-	-	-	-	139 86
Pliny Bowes Inc	-	-	28 35	-	-	56 70	-	-	56 70	-	-	85 05	226 80
Polash Corp	-	-	-	1 75	-	-	1 50	-	-	1 50	-	-	6 50
Power Integrations Inc	1 75	-	-	-	-	-	-	-	-	-	-	-	-
PowerShares Global Water Portfolio	-	-	-	-	-	-	-	-	-	-	-	-	-
PPG Industries Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
PPL Corporation	-	42 35	-	-	27 36	-	-	35 28	-	-	35 28	-	140 27
Praxair	118 50	-	-	130 35	-	-	130 35	-	-	130 35	-	-	509 55

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Prime Fund - Capital Reserves	0.04	0.05	0.10	0.11	0.13	0.19	0.21	0.23	0.29	0.28	0.59	1.21	3.43
PrivateBancorp Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
ProAssurance Corp	-	6.25	-	-	6.25	-	-	5.00	-	-	5.00	-	22.50
Proctor & Gamble	-	-	541.81	-	-	705.87	-	-	796.35	-	-	796.35	2,840.38
Progress Energy Inc	-	55.69	-	133.30	-	-	189.72	-	-	-	-	-	378.71
Prudential Corp	94.25	-	-	1.01	-	186.84	-	-	-	1.01	94.18	-	375.27
PVH Corp	-	-	-	118.90	-	138.25	-	-	-	138.25	-	-	3.03
Qualcomm	118.90	-	-	-	-	-	-	-	-	-	-	-	514.30
R R Donnelly Sons	-	-	-	-	-	-	-	-	-	-	-	-	-
Reed Elsevier Group	-	-	-	-	-	-	-	-	-	-	-	-	-
ResMed Inc	-	707.84	-	-	1,005.20	-	-	1,059.05	-	28.22	1,059.05	-	28.22
Reynolds American	-	-	-	-	-	-	-	-	-	-	-	-	3,831.14
Rio Tinto Plc	-	-	-	-	-	-	-	-	-	81.39	-	-	81.39
Ritchie Bros Auctioneers Inc	3.38	-	-	3.38	-	-	3.38	-	-	3.68	-	-	13.82
Rockwell Automation	116.88	-	-	116.88	-	-	116.88	-	-	129.25	-	-	479.89
Royal Dutch	424.20	-	-	424.20	-	-	616.62	-	-	616.62	-	-	2,081.64
Ruddick Corp	-	5.85	-	-	6.30	-	-	-	-	-	-	-	12.15
Satran	-	59.36	-	-	-	-	-	79.39	-	-	-	-	138.75
SAP	-	-	-	-	-	-	119.01	-	-	-	-	-	119.01
Scana Corp	-	113.01	-	-	163.85	-	-	163.85	-	-	163.85	-	604.56
Schlumberger Limited	-	83.75	-	-	92.13	-	-	94.61	-	-	96.26	-	366.75
Schneider Electric	-	-	-	-	-	-	151.76	-	-	-	-	-	151.76
Schnitzer Steel Industries Inc	0.60	-	-	0.60	-	5.63	-	-	2.81	-	-	-	9.64
Seadrill Ltd	95.76	-	-	134.40	-	-	119.31	-	-	171.36	-	-	520.83
Secom	-	-	-	-	-	-	-	-	-	-	-	-	-
Shire Limited	-	-	-	-	20.77	-	-	-	-	-	3.60	-	24.37
Silgan Holdings Inc	5.50	-	-	6.00	-	-	5.40	-	-	5.40	-	-	22.30
Siliconware Precision	-	-	-	-	-	-	-	-	291.78	-	-	-	291.78
SLM Corporation	16.80	-	-	21.00	-	-	-	-	-	15.00	-	-	52.80
Smith & Nephew	-	-	-	-	-	-	-	-	-	-	-	-	-
Smiths Group	93.07	-	-	-	-	-	-	-	-	-	-	-	93.07
Solera Holdings Inc	2.50	-	-	2.50	-	-	3.00	-	-	3.75	-	-	11.75
Sonic Healthcare Limited	-	-	-	-	118.82	-	-	-	-	-	-	-	118.82
Sony Corporation	-	-	-	-	-	-	-	-	-	-	-	-	-
Southern Company	235.31	-	-	235.31	-	-	346.43	-	-	346.43	-	-	1,163.48
Southwest Airlines	-	-	-	-	-	-	-	-	-	-	-	-	-
SPDR Barclays High Yield Bond ETF	-	-	-	-	-	1,236.37	1,186.93	1,182.97	1,152.72	1,118.17	1,113.73	1,128.10	8,120.99
SPDR Dow Jones Reit	1,279.47	-	-	913.31	-	-	1,153.06	-	-	328.57	1,255.33	-	4,601.17
SSE Plc	-	-	-	-	-	-	-	16.56	-	-	12.65	-	328.57
St Jude Medical Inc	-	82.74	-	-	94.99	-	-	-	-	-	-	-	206.94
Staples Inc	-	-	-	-	-	97.75	-	-	97.75	-	-	-	195.50
Starbucks	97.75	-	97.75	-	-	-	-	-	-	-	-	120.75	316.25
Starwood Hotels & Resorts	87.50	-	-	-	-	-	-	-	-	-	-	-	87.50
Sifal Financial Corp	-	-	-	-	-	-	-	-	-	-	-	-	-
Stryker Corp	-	46.75	-	-	46.75	-	-	46.75	-	-	46.75	-	187.00
Sumitomo Corporation	152.75	-	-	-	-	-	-	190.18	-	-	-	-	342.93
Suncor Energy	48.51	-	-	49.87	-	-	-	-	-	-	-	-	98.38
Suntrust Banks Inc	6.00	-	-	7.25	-	-	5.75	-	-	7.05	-	-	26.05
Swedbank	-	-	-	-	385.67	-	-	-	-	-	-	-	385.67
Syngenta AG	-	-	-	-	-	-	191.76	-	-	-	-	-	191.76
Sysco Corp	-	254.61	-	-	340.47	-	-	353.97	-	-	405.54	-	1,354.59
T Rowe Price Growth Stock Fund	71.57	-	-	-	-	-	-	-	-	-	-	-	71.57
T Rowe Price Instl High Yield Mutual Fund Class I	901.18	418.39	433.69	457.95	437.46	457.84	445.87	428.10	-	938.79	470.26	472.90	5,862.43
T Rowe Price Intl Discovery Fund	1,186.26	-	-	-	-	-	-	-	-	-	-	-	1,186.26
T Rowe Price Midcap Value Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Taiwan Semiconductor	-	-	-	-	-	-	-	272.06	-	-	-	-	272.06

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Teco Energy Inc	-	-	118.14	-	-	167.64	-	-	167.64	-	-	-	453.42
Telecom Italia	-	-	-	-	-	-	170.04	-	-	-	-	-	170.04
Telenor ASA American Depositary	-	-	-	-	-	-	432.15	-	-	-	-	-	432.15
Templeton Global Bond Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Tesco	-	70.07	-	-	-	-	-	-	-	-	-	-	70.07
Teva Pharmaceutical	61.46	-	-	52.85	-	-	53.84	-	-	46.86	-	-	215.01
Texas Roadhouse Inc	6.40	-	-	7.20	-	-	9.00	-	-	9.00	-	-	31.60
The Ryland Group Inc	-	1.05	-	-	0.90	-	-	0.90	-	-	1.65	-	4.50
The Travelers Companies Inc	-	-	-	16.81	-	-	25.30	-	-	22.08	-	-	64.19
Thermo Fisher Scientific Inc	-	-	-	-	7.28	-	-	-	-	-	-	-	7.28
Thornburg Intl Value Fund Class I	148.33	-	-	265.22	-	-	675.09	-	-	379.15	-	-	1,467.79
Toro Co	-	5.50	-	-	5.50	-	5.50	-	-	-	5.50	-	22.00
Tortoise Energy Infrastructure Corp	-	-	-	13.94	-	-	11.20	-	-	11.25	-	11.30	50.91
Total SA SP ADR	-	80.85	-	-	225.06	-	-	-	-	-	-	-	305.91
Total Sys Svc Inc	-	13.10	-	-	-	-	-	-	-	-	-	-	13.10
Toyota Motor Corporation	-	-	-	-	-	-	67.39	-	-	-	-	-	67.39
Tractor Supply Co	-	-	-	3.00	-	-	-	-	-	-	-	-	3.00
Tullow Oil	-	-	-	-	-	-	41.22	-	-	-	22.20	-	63.42
Upperware Brands Corporation	-	10.50	-	-	10.80	-	-	9.00	-	-	9.00	-	39.30
Umpqua Holdings Corp	-	5.25	-	-	5.25	-	-	6.75	-	-	6.75	-	24.00
Unilever	80.02	-	-	61.38	-	-	66.20	-	-	82.56	-	-	290.16
Union Pacific Corp	-	126.00	-	-	126.00	-	-	126.00	-	-	126.00	-	504.00
UnitedHealth Group Incorporated	30.88	-	-	38.19	-	-	40.37	-	-	9.56	-	-	119.00
Universal Forest Products Inc	6.00	-	-	-	112.52	-	6.00	105.30	-	-	93.21	-	381.03
US Bancorp	-	70.00	-	-	7.20	-	-	7.20	-	-	7.20	-	28.80
US Ecology Inc	-	7.20	-	-	-	-	-	-	-	-	-	-	7.20
Vale SA	-	-	-	-	185.96	-	-	-	-	-	-	-	185.96
Valley Natl Bancorp	-	130.93	-	-	-	316.14	-	-	-	-	-	-	316.89
Vanguard Global Ex-US Real Estate ETF	318.83	-	-	-	-	-	-	-	-	427.62	-	-	746.45
Vanguard Index TR Mid-Cap Value ETF	2,285.10	-	-	12.14	-	-	-	-	-	-	-	-	2,297.24
Vanguard Index TR Total STK Mkt Vipers	1,873.23	-	-	1,598.21	-	-	1,558.65	-	-	1,637.64	-	-	6,665.73
Vanguard Inflat Protected	5,184.14	-	-	937.40	-	-	755.13	-	-	1,051.02	-	-	7,927.69
Vanguard Intl Equity Index	-	-	-	-	-	-	-	-	-	-	-	-	-
Vanguard Mid-Cap ETF	729.33	-	-	6.04	-	-	-	-	-	-	-	-	735.37
Vanguard MSCI Emerging Mkts ETF	3,292.40	-	-	-	-	-	-	-	-	1,718.85	-	-	5,011.25
Vanguard REIT ETF	193.88	-	-	151.60	-	-	223.69	-	-	226.28	-	-	795.45
Vanguard Short Term Bond ETF	-	-	-	-	-	-	-	-	-	-	-	-	-
Vanguard Short Term Inv Grade Instl	1,281.57	1,240.41	811.67	598.35	215.82	205.09	206.60	196.16	196.98	191.55	183.03	183.58	5,510.81
Vanguard Small-Cap ETF	527.34	-	-	10.66	-	-	-	-	-	-	-	-	538.00
Ventas	156.40	-	580.50	239.32	-	824.00	239.32	-	824.00	-	-	848.72	3,077.22
Verizon Communications	-	-	-	-	-	-	-	-	-	-	162.25	-	162.25
Viacom Inc	-	147.50	-	-	147.50	-	-	-	-	-	-	-	294.00
Vinci	-	49.38	-	-	-	-	142.91	-	-	-	-	-	192.29
Visa	46.20	-	-	46.20	-	-	46.20	-	-	46.20	-	-	184.80
Vodafone	-	-	966.57	-	92.04	-	-	-	762.15	-	-	-	1,728.72
Volkswagen	-	-	-	-	-	-	-	-	-	-	-	-	92.04
Waddell & Reed Fin Inc	-	-	-	-	-	-	-	-	-	-	-	-	-
Wal Mart	-	63.88	-	-	69.56	-	69.56	-	-	45.71	-	-	248.71
Walgreen Co	-	-	-	-	-	-	-	-	-	22.00	-	-	22.00
Walt Disney Company	-	58.80	-	-	-	-	-	-	-	-	-	-	58.80
Waste Management	-	-	-	-	-	-	-	-	-	-	-	-	92.48
WD-40 Co	92.48	-	-	-	7.25	-	-	7.25	-	-	7.25	-	29.00
Weingarten Rlty Inv	123.20	-	-	184.73	-	-	184.73	-	-	184.73	-	-	677.39
Wellpoint Inc	6.25	-	-	-	-	-	-	-	-	35.94	-	-	42.19
West Pharmaceutical Services Inc	-	-	6.30	-	-	5.40	-	-	5.40	-	-	5.70	22.80
Williams-Sonoma Inc	-	-	-	-	-	10.78	-	-	10.78	-	-	-	21.56

Ordinary Dividends

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Wisdomtree Japan Total Dividend Fund	303 83	-	-	-	-	-	2,172 71	-	-	2,353 95	-	-	4,830 49
WPP	-	-	-	-	-	-	-	123 33	-	-	-	76 75	200 08
Xcel Energy Inc	-	-	-	-	-	-	-	19 71	-	-	32 40	-	52 11
Xlinx Incorporated	-	-	30 21	-	-	-	23 76	-	23 76	-	-	23 76	101 49
Xstrata	-	-	-	-	-	-	91 53	-	-	-	-	-	91 53
Yamana Gold Inc	-	24 95	-	-	27 45	-	-	27 45	-	-	24 57	-	104 42
YPF Sociedad Anonima	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	90,993 51	29,986 88	13,984 06	30,318 48	26,166 17	18,967 44	37,020 15	24,938 82	14,952 85	37,510 98	25,226 66	15,666 92	365,732 92

Capital Gains Distributions

Payee	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Total
Artio Global High Income Fund Cl I	1,281 85	-	-	-	-	-	-	-	-	-	-	-	1,281 85
Aston Montag & Caldwell Growth Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Cambiar Small Cap Fund Instl	2,502 96	-	-	-	-	-	-	-	-	-	-	-	2,502 96
Columbia Acorn Fund Class Z Shares	3,420 18	-	-	-	-	-	1,372 04	-	-	-	-	-	4,792 22
Columbia Acorn USA Fund Class Z Shares	484 67	-	-	-	-	-	146 81	-	-	-	-	-	631 48
Columbia Sm Cap Value I Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Delaware Emerging Mkts Fund Cl Instl Cl	1,258 73	-	-	-	-	-	-	-	-	-	-	-	1,258 73
Frost LKCM Small Mid-Cap Eq Fd I	9,788 91	-	-	-	-	-	-	-	-	-	-	-	9,788 91
Frost Total Return Bond Fund Instl	1,121 97	-	-	-	-	-	-	-	-	-	-	-	1,121 97
GS Core Plus Fixed Income Fund Cl A Shares	1,764 88	-	-	-	-	-	-	-	-	-	-	-	1,764 88
iShares Barclays 1-3 Yr CD Bd Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Ivy High Income Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Ivy High Income Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Mainstay Large Cap Growth Fd I	2,380 92	-	-	-	-	-	-	-	-	-	-	-	2,380 92
Neuberger Berman Equ Incm Mutual Fund	630 63	-	-	-	-	-	-	-	-	-	-	-	630 63
Pimco Commodity RealReturn Strategy Fund	391 60	-	-	-	-	-	-	-	-	-	-	-	391 60
Pimco Global Multi-Asset Fund Instl Cl	1,188 31	-	-	-	-	-	-	-	-	-	-	-	1,188 31
Pimco Total Return	-	-	-	-	-	-	-	-	-	-	-	-	-
T Rowe Price Instl High Yld Mutual Fund Cl I Sh	1,377 28	-	-	-	-	-	-	-	-	-	-	-	1,377 28
Vanguard Inflat Protected Sec Fd	444 35	-	-	-	-	-	-	-	-	-	-	-	444 35
Vanguard Short Term Bond ETF	-	-	-	-	-	-	-	-	-	-	-	-	-
Vanguard Short Term Inv Grade Instl	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	28,037 24	-	-	-	-	-	1,518 85	-	-	-	-	-	29,556 09

	Gannett	GS Growth	GS Value	Lord Abbett	Yacktman	Total	Frost Ckg	BOA Ckg	Total
5	99,936	122,585	115,236	385,814	529,673	12,917,615	36,006	500	36,506
3	104,901	130,100	120,952	406,831	542,749	13,409,397	800,972	500	801,472
3	107,338	138,450	125,848	425,287	554,112	14,241,798	267,689	500	268,189
6	109,561	143,622	131,081	426,449	566,998	14,390,066	262,656	500	263,156
7	108,476	142,599	127,996	422,070	557,922	14,404,177	252,649	500	253,149
6	102,869	133,053	119,949	375,486	537,267	13,743,685	227,114	500	227,614
1	106,300	136,130	124,340	399,655	565,223	14,065,934	284,752	500	285,252
5	106,890	138,483	125,325	400,651	562,310	14,208,181	294,715	500	295,215
5	109,988	144,489	128,868	411,103	576,417	14,381,496	299,684	500	300,184
5	112,485	147,923	133,775	425,930	590,980	14,622,147	312,156	500	312,656
0	109,968	142,017	133,548	429,295	576,779	14,429,014	313,130	500	313,630
0	111,110	145,874	133,830	439,631	584,454	14,411,148	420,869	500	421,369
5	1,289,822	1,665,325	1,520,748	4,948,202	6,744,884	169,224,658	3,772,392	6,000	3,778,392
2	107,485	138,777	126,729	412,350	562,074	14,102,055	314,366	500	314,866

SWBC Foundation

Calculation of Undistributed Income for Excise Tax Filing

November 30, 2012

<u>Fiscal Year</u>	<u>PY Carryforward</u>	<u>Distributions Paid</u>	<u>Distrib from CY</u>	<u>CY Carryforward</u>	<u>PY Undistributed</u>
2004	17,876	(19,100)	19,803	18,579	-
2005	18,579	(20,000)	49,553	48,132	-
2006	48,132	(50,150)	60,983	58,965	-
2007	58,965	(115,000)	151,618	95,583	-
2008	95,583	(102,829)	369,896	362,650	-
2009	362,650	(224,037)	621,121	759,734	138,613
2010	759,734	(763,584)	674,756	670,906	-
2011	670,906	(676,330)	701,478	696,054	-