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EXTENSION ATTACHED

Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2011**

Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning **DEC 1, 2011**, and ending **NOV 30, 2012**

Name of foundation  
**THE OSTBERG FOUNDATION INC.**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**87 RUCKMAN ROAD- PO BOX 1098**

City or town, state, and ZIP code  
**ALPINE, NJ 07620-1098**

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 443,750.** (Part I, column (d) must be on cash basis)

J Accounting method:  Cash  Accrual  
 Other (specify)

A Employer identification number  
**13-2963335**

B Telephone number  
**201-767-8000**

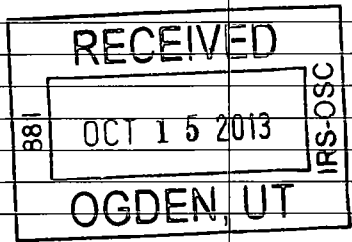
C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	3,750.		N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	12,450.	12,450.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	69,597.			
b	Gross sales price for all assets on line 6a	132,618.			
7	Capital gain net income (from Part IV, line 2)		69,597.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	<b>Total</b> Add lines 1 through 11	85,797.	82,047.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees	5,000.	0.		0.
17	Interest				
18	Taxes	200.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	886.	0.		0.
24	<b>Total operating and administrative expenses</b> Add lines 13 through 23	6,086.	0.		0.
25	Contributions, gifts, grants paid	163,905.			163,905.
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	169,991.	0.		163,905.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<84,194.>			
b	<b>Net investment income</b> (if negative, enter -0-)		82,047.		
c	<b>Adjusted net income</b> (if negative, enter -0-)			N/A	



SCANNED OCT 18 2013  
Operating and Administrative Expenses

123501 12-02-11

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2011)

<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	21,059.	19,948.	19,948.
	2 Savings and temporary cash investments	27,036.	6,972.	6,972.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <b>STMT 5</b>	281,672.	218,653.	403,930.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ <b>STATEMENT 6</b> )	12,900.	12,900.	12,900.	
<b>16 Total assets</b> (to be completed by all filers)	<b>342,667.</b>	<b>258,473.</b>	<b>443,750.</b>	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
<b>23 Total liabilities</b> (add lines 17 through 22)	<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>			
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>			
	<b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds	545,985.	545,985.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	<203,318.>	<287,512.>		
<b>30 Total net assets or fund balances</b>	<b>342,667.</b>	<b>258,473.</b>		
<b>31 Total liabilities and net assets/fund balances</b>	<b>342,667.</b>	<b>258,473.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	342,667.
2 Enter amount from Part I, line 27a	2	<84,194.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	258,473.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	258,473.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>				
<b>b</b> SEE ATTACHED STATEMENT				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>	132,618.	63,021.	69,597.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>			69,597.	
<b>2</b> Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	69,597.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	143,087.	588,683.	.243063
2009	132,599.	671,370.	.197505
2008	114,230.	722,069.	.158198
2007	170,307.	886,907.	.192024
2006	185,532.	1,110,513.	.167069

<b>2</b> Total of line 1, column (d)	2	.957859
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.191572
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	484,306.
<b>5</b> Multiply line 4 by line 3	5	92,779.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	820.
<b>7</b> Add lines 5 and 6	7	93,599.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	163,905.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	820.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	820.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	820.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,888.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,888.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	1,068.
11	Enter the amount of line 10 to be: <b>Credited to 2012 estimated tax</b> <input checked="" type="checkbox"/> <b>1,068.</b> <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.NONPROFITDATA.COM/07620/OSTBERG-FOUNDATION</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>201-767-8000</u> Located at ► <u>87 RUCKMAN ROAD ALPINE NJ, ALPINE, NJ</u> ZIP+4 ► <u>07620</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)  N/A

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HENRY D. OSTBERG 87 RUCKMAN ROAD ALPINE, NJ 07620	DIRECTOR 3.00	0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3 <span style="float: right;">▶ 0.</span>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	454,173.
b	Average of monthly cash balances	1b	37,508.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	491,681.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	491,681.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,375.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	484,306.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	24,215.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,215.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	820.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	820.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	23,395.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	23,395.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	23,395.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	163,905.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	163,905.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	820.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	163,085.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				23,395.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	98,311.			
b From 2007	171,637.			
c From 2008	114,459.			
d From 2009	132,952.			
e From 2010	143,238.			
f Total of lines 3a through e	660,597.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$	163,905.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	163,905.			
d Applied to 2011 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	23,395.			23,395.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	801,107.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	74,916.			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	726,191.			
10 Analysis of line 9:				
a Excess from 2007	171,637.			
b Excess from 2008	114,459.			
c Excess from 2009	132,952.			
d Excess from 2010	143,238.			
e Excess from 2011	163,905.			

\*\* SEE STATEMENT 7

Form 990-PF (2011)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**HENRY OSTBERG , THE FOUNDATION, 201.767.8000  
87 RUCKMAN ROAD, ALPINE, NJ 07620**

**b** The form in which applications should be submitted and information and materials they should include:

**SEE ATTACHED INFORMATION FORM**

**c** Any submission deadlines.

**NONE**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**NONE**







THE OSTBERG FOUNDATION INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a INTERNATIONAL BUSINESS MACHINES	P	06/24/04	03/29/12
b CVS CORP	P	11/05/96	05/22/12
c CATERPILLAR INC	P	06/28/04	05/22/12
d EXXON MOBIL	P	06/28/04	05/22/12
e INTERNATIONAL BUSINESS MACHINES	P	06/28/04	05/22/12
f SPDR DOW JONES	P	05/19/99	05/24/12
g CVS CORP	P	11/05/96	09/11/12
h CVS CORP	P	11/05/96	09/11/12
i SYSCO CORP	P	07/14/97	11/08/12
j SYSCO CORP	P	11/14/97	11/08/12
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,681.		8,911.	11,770.
b 22,023.		17,198.	4,825.
c 18,365.		10,617.	7,748.
d 18,675.		8,558.	10,117.
e 11,814.		6,468.	5,346.
f 3,098.		370.	2,728.
g 13,788.		2,894.	10,894.
h 9,198.		1,930.	7,268.
i 11,981.		5,050.	6,931.
j 2,995.		1,025.	1,970.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			11,770.
b			4,825.
c			7,748.
d			10,117.
e			5,346.
f			2,728.
g			10,894.
h			7,268.
i			6,931.
j			1,970.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	69,597.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

123591  
05-01-11

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
SECURITIES	12,450.	0.	12,450.
TOTAL TO FM 990-PF, PART I, LN 4	12,450.	0.	12,450.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	5,000.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	5,000.	0.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS ANNUAL FILING FEES	200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	200.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	466.	0.		0.
PROGRAM EXPENSE	420.	0.		0.
TO FORM 990-PF, PG 1, LN 23	886.	0.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 5

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
JANNEY MONTGOMERY SCOTT	COST	218,653.	403,930.
TOTAL TO FORM 990-PF, PART II, LINE 13		218,653.	403,930.

FORM 990-PF OTHER ASSETS STATEMENT 6

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DUE FROM HENRY OSTBERG	12,900.	12,900.	12,900.
TO FORM 990-PF, PART II, LINE 15	12,900.	12,900.	12,900.

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FORM 990-PF

ELECTION UNDER REGULATIONS SECTION  
53.4942(A)-3(D)(2) TO TREAT  
EXCESS QUALIFYING DISTRIBUTIONS  
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 7

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ELECTION PURSUANT TO REG 53.4942(A)-3(D)(2)

FOUNDATION HEREBY ELECTS UNDER REG 53.4942(A)-3(D)(2) TO TREAT ITS  
QUALIFYING DISTRIBUTIONS FOR 2012 TO BE MADE OUT OF CORPUS.

OSTBERG FOUNDATION, INC  
GRANT RECIPIENTS  
December 2010 through November 2011

OSTBERG FOUNDATION INC  
GRANT RECIPIENTS 12/1/11-11/30/12

Recipients Name	Address	Amount	Purpose
A C E		11 700 00	Operating Support
ABDUL SANKOH	Sierra Leone Africa	2 400 00	Economic Support
ALPHA KALOKO	4601 Powhatan Ave Apt BB2 Nborfolk VA 23508	2 627 41	Economic Support
ALPINE'S VOLUNTEER EMERGENCY AMBULANCE	72 RUCKMAN ROAD Closter NJ 07624	250 00	Operating Support
ALPINE VOLUNTEER FIRE DEPARTMENT	P O Box 93 Alpine NJ 07620	500 00	Operating Support
AMERICAN JEWISH COMMITTEE	165 E 56th st ste 631 NY NY 10022	450 00	Operating Support
AMOROSO DAWN	40 Leigh Ave Staten Island NY 10314	3 000 00	Economic Support
BAKER ELMYRE/Bridge of Children	Haiti Orphanage-209 Redding Circle Princeton NJ 08540	33 086 16	Operating Support
Caribbean Airmail Inc	Haiti Orphanage Haiti( for food)	14 400 00	Operating Support
BERSHTEYN FAINA	160 E Bellevue St Apt 316D Tucson AZ 85712	2 400 00	Economic Support
CEDAR GROVE CEMETARY ASSOCIATION	P O Box 228 Flushing NY 11352	260 00	Operating Support
COLLINS CHARMAINE	3022 Ave V Apt 6C Brooklyn NY 11229	1 489 99	Economic Support
CUCS	198 E 121st Street Ny NY 10035	28 356 33	Operating Support
EAST BERGEN CHRISTIAN CHURCH	320 Beverley Rd Teaneck NJ 07666	300 00	Operating Support
ECKSTEIN BLANKA	251 Lexington Ave New York NY 10016	3 000 00	Economic Support
FODAY TOMMY	131 Jersey St Apt 6A Staten Island NY 10301	6 000 00	Economic Support
FLOR COLLINS	1595 NE 129 St Apt 2N Miami FL 33161	100 00	Economic Support
FRIENDS OF HESC	480 Park Ave Suite 20 C NY NY 10022	1 700 00	Operating Support
GBLA AMIE	Sierra Leone Africa	1 200 00	Economic Support
GREENBERG ARLENE KRANTZ	151-26 80th St Apt 2B Howard Beach NY 11414	2 600 00	Economic Support
GUGGENHEIM MUSEUM	1071 5th Ave NY Ny 10128	226 46	Operating Support
JOEL MIOT	242 s 6TH Ave Apt 4G Highland Park NJ 08904	250 00	Economic Support
LEO.MATTHEW	24 Westminister Ave Clifton NJ 07624	1 000 00	Economic Support
LEO, NICHOLAS	24 Westminister Ave Clifton NJ 07624	1 000 00	Economic Support
LEO,STEVE & ANNETTE	24 Westminister Ave Clifton NJ 07624	2 000 00	Economic Support
LABASTILLE GANIAU	HAITI	400 00	Economic Support
LESSOFF CONNIE	10425 E Clairmont Cir Bldg D2 Temarac FL 33321	4 050 00	Economic Support
MARIE KAMARA	11 matsma Rd Sierra Leone Africa	1 200 00	Economic Support
MEMORIAL MARKERS	P O Box 1037 Minnetonka MN 55345	450 00	Economic Support
MORRIS REBECCA	29 Spring Street Lexington MA 02421	3 300 00	Economic Support
MUCTAR JALLOH	151 Jersey St Apt 8F Staten Island NY 10301	1 800 00	Economic Support
MURPHY, HELEN	135 W 23rd St Apt 617 New York NY 10011	1 500 00	Economic Support
NY PRESBYTARIAN HOSPITAL	5141 Broadway NY NY 10034	1 000 00	Operating Support
PRISCILLA, CORPUZ	PO Box 570903 Tarzana CA 91357	175 00	Economic Support
RALSTON MARY I	35095 Sunrise Ct Pittsville HD 21850	2 400 00	Economic Support
BURST, RAY	35 Fairview Ave ISLIP Terr NY 11752	250 00	Economic Support
RCALD	2 CROSFIELD AVE STE 411 W Nyack NY 10994	50 00	Operating Support
ROCKLAND FAMILY SHELTER	9 JOHNSON LANE New City NY 10956	50 00	Operating Support
S E W A	Andhra Pradesh India	2 510 00	Operating Support
RUBINOFF SCOTT	701 PLANZ ROAD #38 Bakersfield CA 93304	1 500 00	Economic Support
SIDI S KALOKO	P O Box 11645 Alexandria VA 22312	13 431 97	Economic Support
SIMMONS, LaCRISHA	927 E Williams Decatur IL 62521	1 200 00	Economic Support
TEMPLE BETH EI	69 Wilson Place Closter NJ 07624	1 800 00	Operating Support
THE MESUEM OF MODERN ART	11 W 53rd st NY NY 10019	360 00	Operating Support
THE METROPOLITAN MUSEUM OF ART	1000 5th Ave NY NY 10130	0 00	Operating Support
The ONYX & BREEZY FOUNDATION	P O Box 656 Tuxedo Park NY 10987	1 445 00	Operating Support
WEINER SHARON	PO Box 70 Riverdale NJ 07457	250 00	Economic Support
WIGGINS CARMILETTE	235 E 95th St Apt 6C New York NY 10128	2 400 00	Economic Support
WHITTNEY MUSEUM	925 Madison Ave NY NY 10021	250 00	Operating Support
WNET 13	825 8t Ave NY Ny 10019	240 00	Operating Support
WINCHESTER LINDA	P O Box 29 Lexington NY 12452	1 800 00	Economic Support
YOUSEF BASHIR	195 Binney St Apt 4112 Cambridge MA 02142	296 93	Economic Support
TOTAL		163,905 25	

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box  **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
<b>Type or print</b>	Name of exempt organization or other filer, see instructions
File by the due date for filing your return See instructions	<b>THE OSTBERG FOUNDATION INC.</b>
	Employer identification number (EIN) or <input checked="" type="checkbox"/> <b>13-2963335</b>
	Number, street, and room or suite no If a P O box, see instructions
	<b>87 RUCKMAN ROAD - PO BOX 1098</b>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions
	<b>ALPINE, NJ 07620-1098</b>

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**THE FOUNDATION**

- The books are in the care of  **87 RUCKMAN ROAD ALPINE NJ - ALPINE, NJ 07620**  
Telephone No  **201-767-8000** FAX No
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **OCTOBER 15, 2013**

5 For calendar year \_\_\_\_\_, or other tax year beginning **DEC 1, 2011**, and ending **NOV 30, 2012**

6 If the tax year entered in line 5 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period

7 State in detail why you need the extension  
**STILL WAITING FOR INFORMATION TO COMPLETE THE RETURN**

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	<b>8a</b>	\$ <b>65.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	<b>8b</b>	\$ <b>1,888.</b>
<b>c Balance due.</b> Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	<b>8c</b>	\$ <b>0.</b>

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  \_\_\_\_\_ Title  **CPA** Date  \_\_\_\_\_