Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 12/01 , 2011, and ending 11/30, 2012

Name of foundation 31-C7B007575005 THE RICHARD AND SUSAN BRADDOCK FAMILY FOUNDATION, INC.

A Employer identification number 06-1565971

Number and street (or P O box number if mail is not delivered to street address) 10 GRACIE SQUARE APT. 9F

Room/suite

B Telephone number (see instructions) ( ) -

City or town, state, and ZIP code NEW YORK, NY 10028

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 8,632,513.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ____ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	158,836.	161,159.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-75,311.			
	b Gross sales price for all assets on line 6a 3,785,736.				
	7 Capital gain net income (from Part IV, line 2)		71,144.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	277,871.			STMT 2
	12 **Total.** Add lines 1 through 11	361,396.	232,303.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	35,000.			35,000.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STMT 3	64,094.	64,094.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 4	24,464.	1,554.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 5	1,323.	472.		750.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	124,881.	66,120.	NONE	35,750.
	25 Contributions, gifts, grants paid	1,733,500.			1,733,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,858,381.	66,120.	NONE	1,769,250.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-1,496,985.			
	b **Net investment income** (if negative, enter -0-)		166,183.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	852,081.	1,003,706.	1,003,706.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule) STMT 6	489,476.	1,743,226.	1,812,687.
	b Investments - corporate stock (attach schedule) STMT 7	4,675,993.	1,661,618.	2,851,795.
	c Investments - corporate bonds (attach schedule) STMT 8	1,823,206.	237,617.	310,669.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT 9	1,850,740.	3,365,488.	2,653,656.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	9,691,496.	8,011,655.	8,632,513.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27 Capital stock, trust principal, or current funds	9,691,496.	8,011,655.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	9,691,496.	8,011,655.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	9,691,496.	8,011,655.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,691,496.
2	Enter amount from Part I, line 27a	2	-1,496,985.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	19,502.
4	Add lines 1, 2, and 3	4	8,214,013.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	202,358.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,011,655.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,780,356.		3,714,541.	65,815.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				65,815.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 71,144.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	776,000.	10,073,200.	0.077036
2009	2,120,793.	8,452,439.	0.250909
2008	1,684,775.	7,913,994.	0.212886
2007	1,063,655.	11,885,378.	0.089493
2006	401,003.	12,183,576.	0.032913

2	**Total** of line 1, column (d)	2	0.663237
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.132647
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	8,645,598.
5	Multiply line 4 by line 3	5	1,146,813.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,662.
7	Add lines 5 and 6	7	1,148,475.
8	Enter qualifying distributions from Part XII, line 4	8	1,769,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	1,662.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,662.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,662.
6	Credits/Payments:				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	17,492.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	17,492.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	15,830.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 15,830. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ________ (2) On foundation managers ▶$ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statement Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ SEE STATEMENT 12 Telephone no ▶			
	Located at ▶ ZIP + 4 ▶			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		35,000.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,161,986.
b	Average of monthly cash balances	1b	615,271.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	8,777,257.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	8,777,257.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	131,659.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,645,598.
6	**Minimum investment return.** Enter 5% of line 5	6	432,280.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	432,280.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,662.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,662.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	430,618.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	430,618.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	430,618.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,769,250.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,769,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,662.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,767,588.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				430,618.
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only			NONE	
b	Total for prior years 20 09 ,20_____,20_____		NONE		
3	Excess distributions carryover, if any, to 2011:				
a	From 2006 . . . . . . NONE				
b	From 2007 . . . . . . 112,374.				
c	From 2008 . . . . . . 1,294,655.				
d	From 2009 . . . . . . 1,712,253.				
e	From 2010 . . . . . . 289,832.				
f	**Total** of lines 3a through e	3,409,114.			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 1,769,250.				
a	Applied to 2010, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2011 distributable amount				430,618.
e	Remaining amount distributed out of corpus	1,338,632.			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	4,747,746.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	4,747,746.			
10	Analysis of line 9:				
a	Excess from 2007 . . . 112,374.				
b	Excess from 2008 . . . 1,294,655.				
c	Excess from 2009 . . . 1,712,253.				
d	Excess from 2010 . . . 289,832.				
e	Excess from 2011 . . . 1,338,632.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test - enter **(1)** Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 14

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 15				
Total . . . . . . ▶ **3a**				1,733,500.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	158,836.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-75,311.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b OTHER INCOME			01	277,871.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				361,396.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 361,396.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/15 Title:

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY E. KUHLIN	[signature]	10/10/2013		P00353001
Firm's name ▶ KPMG LLP			Firm's EIN ▶ 13-5565207	
Firm's address ▶ 100 WESTMINSTER ST, 6TH FL, SUITE A PROVIDENCE, RI 02903-2321			Phone no 800-770-8444	

[redacted]

Asset Allocation Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value.

Client Service Information

Your Relationship Manager: C2J	Contact Information	Client Service Information
BRENT A. SIMS PRIVATE BANKING USA 11 MADISON AVENUE 7TH FLOOR NEW YORK NY 10010-3698	**Telephone Number:** (800) 647-2516	**Service Hours:** Monday - Friday 07:00 a.m. - 10:00 p.m. (EST) Saturday - Sunday 08:00 a.m. - 06:00 p.m. (EST) **Client Service Telephone Number:** (866) 355-4746 **Web Site:** WWW.CREDIT-SUISSE.COM/CLIENTVIEW

Investment Objective: SPECULATION
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Relationship Manager.

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Portfolio Holdings

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 0.00% of Portfolio *(In Maturity Date Sequence)*									
[6]Asset Backed Securities									
[5]CLYDESDALE STRATEGIC CLO I LTD SUB NT 144A 0.000% 01/20/17 B/E DTD 01/20/05 *Factor*. 1.00000000 *Current Face Value*: 500,000.000 500,000.00000 of these shares are held in safekeeping, and may require additional paperwork and information to confirm validity. Please call your Relationship Manager for more information.			**Security Identifier:** 18971VAB4						
500,000.000	12/14/04*	100.0010	500,005.00 Original Cost Basis: $500,005.00	N/A	N/A	N/A	0.00		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
6 Asset Backed Securities *(continued)*									
5 PORTER SQUARE CDO III LTD SUB NT 144A 3C7 ISIN#US73629LAA98 0.000% 04/08/41 B/E DTD 10/25/05 CLB *Factor* 1.00000000 *Current Face Value*. 750,000 000 750,000.00000 of these shares are held in safekeeping, and may require additional paperwork and information to confirm validity. Please call your Relationship Manager for more information.		**Security Identifier:** 73629LAA9							
750,000.000	08/09/06*	68.0010	510,005.00 Original Cost Basis: $510,005.00	N/A	N/A	N/A	0 00		
Total Asset Backed Securities 1,250,000.000			**$1,010,010.00**		**$0.00**	**$0.00**	**$0.00**	**$0.00**	
Total Current Face Value: 1,250,000.000									
Total Fixed Income 1,250,000.000			**$1,010,010.00**		**$0.00**	**$0.00**	**$0.00**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest
Total Portfolio Holdings	**$1,010,010.00**	**$0.00**	**$0.00**	**$0.00**

* Noncovered under the cost basis rules as defined below.
Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:
- *Stock in a corporation acquired on or after January 1, 2011*
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency. USD

CASH AND SHORT TERM INVESTMENTS 12.82% *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	294,181 54	0.000	294,181.54	0.00 —	294,181 54	0 00	294,181.54	0.00	0 00
Short Term Investments									
CITIBANK M D A. (L) CUSIP 9900CST72 Yield 05%	607,685 04	1.000	607,685.04	1.00 —	607,685 04	12.13	607,697.17	0.00	303 84
Total Cash and Short Term Investments			**901,866.58**		**901,866.58**	**12.13**	**901,878.71**	**0.00**	**303.84**

EQUITIES 40.59% *SORTED ALPHABETICALLY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
AARON RENTS INC Ticker/CUSIP . AAN/002535300 Yield .24% Sector : Consumer Discretionary	173	27.518	4,760.62	28 70 30 Nov 12	4,965 10	2.94	4,968.04	204.48	11.76
ACCENTURE PLC Ticker/CUSIP · ACN/G1151C101 Yield 2.39% Sector . Consumer Discretionary	50	55.764	2,788.18	67.92 30 Nov 12	3,396.00	0.00	3,396.00	607.82	81.00
ACUITY BRANDS INC Ticker/CUSIP : AYI/00508Y102 Yield .79% Sector Materials	103	58.271	6,001 89	66.15 30 Nov 12	6,813 45	0.00	6,813.45	811.56	53 56
ADIDAS AG SPON ADR Ticker/CUSIP : ADDYY/00687A107 Yield 1.03% Sector . Consumer Discretionary Conv. Ratio 5·1	153	35.679	5,458.92	44 14 30 Nov 12	6,753.42	0 00	6,753.42	1,294.50	69.77
ADT CORP Ticker/CUSIP · ADT/00101J106 Sector . Consumer Discretionary	81	43 555	3,527.97	45 90 30 Nov 12	3,717 90	0 00	3,717 90	189.93	40 50

Consolidated Statement of Accounts

Statement Period 1 Nov 2012 - 30 Nov 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
ADT CORP Ticker/CUSIP · ADT/00101J106 Yield 1 09% Sector · Consumer Discretionary	41	31 790	1,303.39	45 90 30 Nov 12	1,881.90	0 00	1,881 90	578 51	20.50
AES CORP Ticker/CUSIP : AES/00130H105 Sector · Utilities	311	11.767	3,659.68	10.67 30 Nov 12	3,318 37	0.00	3,318 37	(341 31)	49.76
AFFILIATED MANAGERS GROUP Ticker/CUSIP · AMG/008252108 Sector . Financials	90	104.890	9,440.10	128 87 30 Nov 12	11,598 30	0.00	11,598.30	2,158 20	0.00
AIA GROUP LTD Ticker/CUSIP AAGIY/001317205 Yield 99% Sector Financials Conv Ratio 4·1	307	14 363	4,409.32	15.62 30 Nov 12	4,795.34	0 00	4,795 34	386 02	47 59
AIRGAS INC Ticker/CUSIP · ARG/009363102 Yield 1 81% Sector Industrials	38	82.601	3,138 83	88 57 30 Nov 12	3,365 66	0.00	3,365 66	226.83	60 80
ALEXION PHARMACEUTICALS INC Ticker/CUSIP ALXN/015351109 Sector · Health Care	85	0.000	0.00	96.02 30 Nov 12	8,161.70	0.00	8,161.70	8,161 70	0.00
ALLERGAN INC Ticker/CUSIP : AGN/018490102 Sector · Health Care	149	86.661	12,912 54	92 75 30 Nov 12	13,819 75	12.45	13,832.20	907.21	29.80
AMAZON COM INC Ticker/CUSIP AMZN/023135106 Sector Consumer Discretionary	120	195.843	23,501.17	252 05 30 Nov 12	30,246 00	0 00	30,246 00	6,744 83	0.00
AMERICAN ELECTRIC POWER CO INC Ticker/CUSIP . AEP/025537101 Yield 4 41% Sector · Utilities	117	38 562	4,511 73	42.65 30 Nov 12	4,990 05	54 99	5,045 04	478.32	219 96

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
AMERICAN EXPRESS CO Ticker/CUSIP : AXP/025816109 Yield 1 43% Sector : Financials	107	50 326	5,384.91	55 90 30 Nov 12	5,981.30	0.00	5,981 30	596.39	85 60
AMERICAN INTL GROUP INC Ticker/CUSIP AIG/026874784 Sector · Financials	203	34.918	7,088.39	33 13 30 Nov 12	6,725.39	0.00	6,725.39	(363.00)	0.00
AMERIPRISE FINANCIAL INC Ticker/CUSIP AMP/03076C106 Yield 2 97% Sector . Financials	99	57.981	5,740.13	60.67 30 Nov 12	6,006.33	0.00	6,006.33	266.20	178.20
AMETEK INC Ticker/CUSIP : AME/031100100 Yield 64% Sector · Utilities	202	28 562	5,769.59	37 33 30 Nov 12	7,540.66	0.00	7,540 66	1,771.07	48.48
ANHEUSER-BUSCH INBEV SPONS Ticker/CUSIP · BUD/03524A108 Sector . Consumer Staples Conv Ratio 1:1	80	84.753	6,780.25	87.97 30 Nov 12	7,037.60	0 00	7,037.60	257.35	103.44
ANSYS INC Ticker/CUSIP ANSS/03662Q105 Sector . Information Technology	129	57.154	7,372 87	66.33 30 Nov 12	8,556.57	0.00	8,556.57	1,183.70	0 00
APPLE INC Ticker/CUSIP : AAPL/037833100 Sector Information Technology	77	337.703	26,003.15	585 28 30 Nov 12	45,066.56	0.00	45,066.56	19,063.41	816 20
APPLIED MATERIALS INC DELAWARE Ticker/CUSIP · AMAT/038222105 Yield 3 36% Sector : Information Technology	181	11 288	2,043 18	10 73 30 Nov 12	1,942.13	16 29	1,958.42	(101.05)	65.16
APTARGROUP INC Ticker/CUSIP ATR/038336103 Yield 1 85% Sector : Materials	134	52.546	7,041 16	47.67 30 Nov 12	6,387 78	0 00	6,387.78	(653.38)	117 92

Consolidated Statement of Accounts

Statement Period 1 Nov 2012 - 30 Nov 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
ARM HOLDINGS PLC ADR Ticker/CUSIP · ARMH/042068106 Yield 42% Sector . Information Technology Conv. Ratio 3 1	101	28.117	2,839 81	37 32 30 Nov 12	3,769.32	0 00	3,769 32	929 51	15.86
ASML HOLDING N V NY REG SHS Ticker/CUSIP : ASML/N07059210 Sector Other	232 06	52 861	13,865.04	62 57 30 Nov 12	14,519.99	0 00	14,519 99	654.95	177.22
ASML HOLDING N V NY REG SHS Ticker/CUSIP ASML/N07059210 Yield 1 08% Sector : Other	35 05	68.522	2,401.70	62 57 30 Nov 12	2,193.08	0.00	2,193 08	(208 62)	23.59
ASSA ABLOY AB-SEK Ticker/CUSIP . ASAZY/045387107 Sector . Consumer Discretionary Conv Ratio 5.1	358	13.371	4,786.91	18.00 30 Nov 12	6,444.00	0 00	6,444.00	1,657 09	90 21
AT&T INC Ticker/CUSIP : T/00206R102 Yield 5 27% Sector Telecommunication Services	153	31.382	4,801 39	34.13 30 Nov 12	5,221 89	0 00	5,221 89	420.50	275.40
ATHENAHEALTH INC Ticker/CUSIP · ATHN/04685W103 Sector Consumer Discretionary	35	74 997	2,624.91	63.69 30 Nov 12	2,229.15	0.00	2,229.15	(395 76)	0.00
BAIDU INC SPON ADR RPTNG Ticker/CUSIP BIDU/056752108 Sector Other	33	117 632	3,881 84	96.31 30 Nov 12	3,178.23	0 00	3,178.23	(703 61)	0 00
BAKER HUGHES INC Ticker/CUSIP BHI/057224107 Yield 1 39% Sector · Energy	130	55.601	7,228.17	43 15 30 Nov 12	5,609 50	0 00	5,609.50	(1,618.67)	78 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
BG GROUP PLC SPON ADR Ticker/CUSIP . BRGYY/055434203 Yield 1 45% Sector : Energy Conv. Ratio 1:1	233	22 626	5,271.86	17 15 30 Nov 12	3,995.95	0.00	3,995.95	(1,275.91)	57.78
BIO-RAD LABORATORIES INC CL A Ticker/CUSIP . BIO/090572207 Sector . Health Care	80	117.577	9,406.15	104.49 30 Nov 12	8,359 20	0 00	8,359.20	(1,046.95)	0.00
BIOGEN IDEC INC Ticker/CUSIP : BIIB/09062X103 Sector . Health Care	29	112 217	3,254.30	149.09 30 Nov 12	4,323.61	0 00	4,323 61	1,069.31	0.00
BIOMARIN PHARMACEUTICAL INC Ticker/CUSIP : BMRN/09061G101 Sector · Health Care	80	37.012	2,960.95	48 50 30 Nov 12	3,880.00	0 00	3,880 00	919.05	0 00
BLACKBAUD INC Ticker/CUSIP : BLKB/09227Q100 Yield 2.15% Sector . Information Technology	299	27 967	8,362.15	22.34 30 Nov 12	6,679 66	35.88	6,715.54	(1,682.49)	143.52
BOEING CO Ticker/CUSIP : BA/097023105 Sector Industrials	53	74.063	3,925.32	74 28 30 Nov 12	3,936.84	30.80	3,967.64	11 52	93.28
BORGWARNER INC Ticker/CUSIP · BWA/099724106 Sector . Industrials	45	68.045	3,062.04	66.30 30 Nov 12	2,983 50	0.00	2,983 50	(78 54)	0 00
BRISTOL MYERS SQUIBB CO Ticker/CUSIP BMY/110122108 Sector · Health Care	222	33.073	7,342 27	32.63 30 Nov 12	7,243 86	0 00	7,243 86	(98 41)	301.92
BURBERRY GROUP PLC Ticker/CUSIP BURBY/12082W204 Sector : Consumer Discretionary Conv Ratio 2 1	71	38.869	2,759.70	41 48 30 Nov 12	2,945.08	0 00	2,945.08	185.38	69 51

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
CA INC Ticker/CUSIP CA/12673P105 Yield 4 51% Sector Information Technology	126	23.353	2,942.46	22.16 30 Nov 12	2,792 16	31 50	2,823 66	(150.30)	126 00
CANADIAN NATL RAILWAY CO Ticker/CUSIP CNI/136375102 Yield 1 68% Sector . Industrials	69	77 152	5,323.47	89.83 30 Nov 12	6,198 27	0 00	6,198 27	874 80	104 19
CARLISLE COS INC Ticker/CUSIP CSL/142339100 Yield 1 41% Sector : Materials	132	44.421	5,863.51	56 67 30 Nov 12	7,480.44	34 60	7,515 04	1,616.93	105.60
CARMAX INC Ticker/CUSIP KMX/143130102 Sector . Consumer Discretionary	140	29.698	4,157 72	36 26 30 Nov 12	5,076 40	0.00	5,076.40	918 68	0 00
CARNIVAL CORP Ticker/CUSIP CCL/143658300 Yield 2 59% Sector Industrials	195	39 800	7,761.00	38 66 30 Nov 12	7,538.70	73.50	7,612.20	(222.30)	195.00
CERNER CORP Ticker/CUSIP CERN/156782104 Sector · Information Technology	129	70 520	9,097 15	77.22 30 Nov 12	9,961.38	0 00	9,961.38	864.23	0.00
CHECK POINT SOFTWARE TECH Ticker/CUSIP CHKP/M22465104 Sector Information Technology	80	53 775	4,301.97	46 17 30 Nov 12	3,693 60	0 00	3,693 60	(608.37)	0 00
CHEVRON CORP Ticker/CUSIP . CVX/166764100 Yield 3 41% Sector · Energy	77	103.038	7,933.92	105 69 30 Nov 12	8,138.13	69 30	8,207 43	204 21	277 20
CHIPOTLE MEXICAN GRILL Ticker/CUSIP CMG/169656105 Sector Consumer Discretionary	18	259 101	4,663 82	263 78 30 Nov 12	4,748 04	0.00	4,748.04	84 22	0 00

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
CHURCH & DWIGHT CO INC Ticker/CUSIP · CHD/171340102 Yield 1.77% Sector · Consumer Staples	108	41 385	4,469.58	54.15 30 Nov 12	5,848 20	28.32	5,876.52	1,378 62	103 68
CIGNA CORP Ticker/CUSIP · CI/125509109 Yield 08% Sector · Health Care	41	42.177	1,729.26	52.27 30 Nov 12	2,143 07	0 00	2,143.07	413 81	1.64
CISCO SYS INC Ticker/CUSIP . CSCO/17275R102 Yield 2 96% Sector . Telecommunication Services	252	16.122	4,062.80	18.91 30 Nov 12	4,765.32	35.28	4,800.60	702 52	141 12
CITY NATIONAL CORP Ticker/CUSIP . CYN/178566105 Yield 2.05% Sector : Financials	141	54.866	7,736.11	48 69 30 Nov 12	6,865.29	70.50	6,935 79	(870 82)	141.00
CLARCOR INC Ticker/CUSIP : CLC/179895107 Yield 1.16% Sector . Industrials	143	44.325	6,338.54	46 38 30 Nov 12	6,632.34	0.00	6,632.34	293.80	77.22
CLIFFS NATURAL RESOURCES Ticker/CUSIP : CLF/18683K101 Yield 8 7% Sector Materials	54	54.010	2,916.54	28 75 30 Nov 12	1,552.50	33.75	1,586.25	(1,364.04)	135.00
CNOOC LTD SPONS ADR Ticker/CUSIP CEO/126132109 Yield 2.34% Sector . Energy Conv. Ratio 100 1	29	218.149	6,326.32	213 16 30 Nov 12	6,181.64	0 00	6,181.64	(144 68)	144.68
COACH INC Ticker/CUSIP COH/189754104 Sector Consumer Discretionary	110	58.676	6,454.31	57.84 30 Nov 12	6,362 40	0 00	6,362.40	(91 91)	132.00
COCA-COLA CO Ticker/CUSIP · KO/191216100 Sector · Consumer Staples	290	34 118	9,894.25	37.92 30 Nov 12	10,996.80	96.39	11,093 19	1,102.55	295 80

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
COLUMBIA SPORTSWEAR CO Ticker/CUSIP COLM/198516106 Yield 1 52% Sector . Consumer Discretionary	58	62.103	3,601.95	57 90 30 Nov.12	3,358 20	0 00	3,358.20	(243.75)	51.04
COMCAST CORP CL A Ticker/CUSIP · CMCSA/20030N101 Yield 1 75% Sector · Consumer Discretionary	221	24 908	5,504.68	37 195 30 Nov 12	8,220.09	0.00	8,220 09	2,715.41	143.65
COPART INC Ticker/CUSIP CPRT/217204106 Sector Consumer Discretionary	111	23.205	2,575.75	30.15 30 Nov 12	3,346.65	0 00	3,346.65	770 90	0.00
CREDIT SUISSE GROUP ADR Ticker/CUSIP · CS/225401108 Yield 3 32% Sector Financials Conv. Ratio 1 1	167	32.797	5,477.08	23 61 30 Nov 12	3,942 87	0.00	3,942.87	(1,534 21)	130 93
CROWN CASTLE INTERNATIONAL Ticker/CUSIP · CCI/228227104 Sector · Telecommunication Services	125	56.547	7,068.39	67.52 30 Nov 12	8,440 00	0.00	8,440 00	1,371.61	0 00
CULLEN FROST BANKERS INC Ticker/CUSIP CFR/229899109 Yield 3 52% Sector Financials	59	58 980	3,479 82	54.61 30 Nov 12	3,221.99	28.32	3,250.31	(257 83)	113.28
DANA HOLDING CORP Ticker/CUSIP . DAN/235825205 Yield 1 41% Sector · Industrials	252	14.653	3,692.60	14.18 30 Nov 12	3,573 36	0 00	3,573 36	(119 24)	50.40
DANAHER CORP DE Ticker/CUSIP . DHR/235851102 Sector Health Care	96	54 600	5,241 57	53.97 30 Nov 12	5,181 12	0 00	5,181.12	(60 45)	9.60
DASSAULT SYSTEMS SA ADR Ticker/CUSIP · DASTY/237545108 Yield 54% Sector Information Technology Conv. Ratio 1·1	35	82.730	2,895 55	113 75 30 Nov 12	3,981 25	0.00	3,981.25	1,085 70	21 35

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
DELL INC Ticker/CUSIP : DELL/24702R101 Yield 3.32% Sector : Information Technology	226	15.911	3,595.88	9 64 30 Nov 12	2,178.64	0.00	2,178.64	(1,417.24)	72 32
DENTSPLY INTL INC Ticker/CUSIP . XRAY/249030107 Yield .55% Sector : Health Care	255	39.336	10,030 58	39.70 30 Nov 12	10,123.50	0 00	10,123.50	92 92	56.10
DEUTSCHE BANK-EUR Ticker/CUSIP : DB/D18190898 Yield 2.09% Sector Financials	72	43.360	3,121 89	44.13 30 Nov 12	3,177.36	0.00	3,177 36	55 47	66.53
DEVON ENERGY CORP NEW Ticker/CUSIP . DVN/25179M103 Yield 1.55% Sector . Energy	61	83.247	5,078.07	51 67 30 Nov 12	3,151.87	0.00	3,151.87	(1,926 20)	48 80
DISCOVERY COMMUNICATIONS INC Ticker/CUSIP : DISCA/25470F104 Sector · *Consumer Discretionary*	66	52.898	3,491.30	60.41 30 Nov 12	3,987.06	0.00	3,987.06	495.76	0.00
DRIL QUIP INC Ticker/CUSIP : DRQ/262037104 Sector : Energy	51	69.680	3,553.68	70.37 30 Nov 12	3,588.87	0.00	3,588.87	35.19	0.00
EMBRAER S A-BRL Ticker/CUSIP . ERJ/29082A107 Sector Industrials Conv Ratio 4.1	229	28.130	6,441 66	24.93 30 Nov 12	5,708.97	0.00	5,708.97	(732.69)	0.00
EMC CORP-MASS Ticker/CUSIP . EMC/268648102 *Sector : Information Technology*	253	27 386	6,928 63	24 82 30 Nov 12	6,279.46	0 00	6,279.46	(649 17)	0.00
ENERGIZER HLDGS INC Ticker/CUSIP . ENR/29266R108 Yield 2 01% Sector Industrials	51	75 070	3,828 59	79 76 30 Nov 12	4,067.76	20 40	4,088 16	239.17	81 60

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***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
ENSCO PLC Ticker/CUSIP ESV/G3157S106 Yield 2.58% Sector Energy	111	55.101	6,116.25	58 23 30 Nov 12	6,463.53	0 00	6,463 53	347 28	166 50
EOG RESOURCES INC Ticker/CUSIP · EOG/26875P101 Sector . Energy	23	116.958	2,690 04	117 62 30 Nov 12	2,705.26	0 00	2,705 26	15 22	15.64
EQUIFAX INC Ticker/CUSIP EFX/294429105 Yield 1 41% Sector . Consumer Discretionary	146	35 656	5,205.84	51 24 30 Nov 12	7,481 04	31 86	7,512.90	2,275 20	105.12
EXPRESS SCRIPTS HOLDING CO Ticker/CUSIP . ESRX/30219G108 Sector . Consumer Discretionary	125	57.935	7,241 82	53 85 30 Nov 12	6,731.25	0 00	6,731 25	(510 57)	0 00
F5 NETWORKS INC Ticker/CUSIP : FFIV/315616102 Sector Information Technology	65	100.534	6,534.70	93.68 30 Nov 12	6,089 20	0.00	6,089 20	(445.50)	0 00
FACEBOOK INC - A Ticker/CUSIP FB/30303M102 Sector : Information Technology	233	28 675	6,681.30	28 00 30 Nov 12	6,524 00	0 00	6,524.00	(157.30)	0.00
FACTSET RESEARCH SYSTEMS INC Ticker/CUSIP · FDS/303075105 Yield 1 34% Sector . Consumer Discretionary	49	108.400	5,311 60	92 39 30 Nov 12	4,527 11	15 19	4,542 30	(784 49)	60 76
FAIR ISAAC & CO INC Ticker/CUSIP FICO/303250104 Yield 19% Sector · Consumer Discretionary	195	28.856	5,626 89	42.82 30 Nov 12	8,349.90	0.00	8,349.90	2,723.01	15.60
FAMILY DOLLAR STORES INC Ticker/CUSIP · FDO/307000109 Sector . Consumer Staples	105	57 426	6,029 75	71 20 30 Nov 12	7,476 00	0 00	7,476 00	1,446 25	88 20

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
FANUC CORP Ticker/CUSIP · FANUY/307305102 Yield 1.33% Sector Industrials Conv. Ratio 1667:1	208	26.110	5,430 88	28.20 30 Nov 12	5,865.60	0 00	5,865.60	434.72	77.79
FEDEX CORP Ticker/CUSIP FDX/31428X106 Sector · Industrials	36	89.561	3,224.21	89 53 30 Nov 12	3,223 08	6.44	3,229.52	(1.13)	20 16
FLIR SYSTEMS INC Ticker/CUSIP . FLIR/302445101 Yield 1 37% Sector Industrials	215	29.823	6,412.02	20.40 30 Nov 12	4,386.00	15.05	4,401.05	(2,026 02)	60 20
FLSMIDTH & CO A/S UNSPON Ticker/CUSIP : FLIDY/343793105 Yield 3.85% Sector : Industrials Conv. Ratio .1·1	520	8 190	4,258.80	5.63 30 Nov 12	2,927 60	0.00	2,927.60	(1,331 20)	112.84
FMC TECHNOLOGIES INC Ticker/CUSIP . FTI/30249U101 Sector Energy	120	42.426	5,091.06	40.86 30 Nov 12	4,903 20	0.00	4,903.20	(187 86)	0.00
FOREST CITY ENTERPRISES INC Ticker/CUSIP FCEA/345550107 Sector · Financials	326	18.859	6,147.94	15.05 30 Nov 12	4,906.30	0.00	4,906.30	(1,241.64)	0.00
FRESENIUS MEDICAL CARE Ticker/CUSIP : FMS/358029106 Yield 91% Sector : Health Care Conv Ratio .5 1	97	71.480	6,933 56	68 70 30 Nov 12	6,663.90	0.00	6,663 90	(269.66)	60.82
GAP INC DELAWARE Ticker/CUSIP GPS/364760108 Yield 1.45% Sector Consumer Discretionary	153	18 247	2,791.82	34 46 30 Nov 12	5,272.38	0.00	5,272.38	2,480.56	76.50

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
GENERAL ELECTRIC CO Ticker/CUSIP · GE/369604103 Sector Industrials	246	21 369	5,256 75	21.13 30 Nov 12	5,197.98	0.00	5,197 98	(58 77)	167 28
GENERAL ELECTRIC CO Ticker/CUSIP . GE/369604103 Yield 3 22% Sector · Industrials	340	19.576	6,655.92	21.13 30 Nov 12	7,184.20	0 00	7,184.20	528.28	231 20
GENTEX CORP Ticker/CUSIP GNTX/371901109 Yield 2 93% Sector Industrials	383	24.107	9,232.80	17 75 30 Nov 12	6,798.25	0.00	6,798 25	(2,434 55)	199 16
GILEAD SCIENCES INC Ticker/CUSIP GILD/375558103 Sector Health Care	45	73.006	3,285.26	75.00 30 Nov 12	3,375.00	0 00	3,375 00	89 74	0 00
GOOGLE INC Ticker/CUSIP · GOOG/38259P508 Sector · Information Technology	39	528.639	20,616 94	698 37 30 Nov 12	27,236 43	0.00	27,236 43	6,619 49	0.00
GRACO INC Ticker/CUSIP · GGG/384109104 Yield 1.82% Sector · Industrials	106	49.931	5,292 69	49 41 30 Nov 12	5,237.46	0 00	5,237.46	(55.23)	95.40
HANG LUNG PROPERTIES LTD Ticker/CUSIP . HLPPY/41043M104 Yield 3 47% Sector · Financials Conv Ratio 5 1	227	19 954	4,529 57	18 35 30 Nov 12	4,165 45	0 00	4,165.45	(364 12)	144 37
HCC INSURANCE HOLDINGS INC Ticker/CUSIP HCC/404132102 Yield 1 79% Sector Financials	295	31 752	9,366 90	36 88 30 Nov 12	10,879 60	0 00	10,879 60	1,512.70	194 70
HENNES & MAURITZ AB ADR Ticker/CUSIP · HNNMY/425883105 Yield 3.23% Sector · Consumer Discretionary Conv Ratio .2.1	1,032	7 450	7,688 40	6.417 30 Nov 12	6,622.34	0 00	6,622 34	(1,066.06)	213 62

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
HOLOGIC INC Ticker/CUSIP . HOLX/436440101 Sector Health Care	248	20 828	5,165 28	19.08 30 Nov 12	4,731.84	0 00	4,731.84	(433.44)	0.00
HOME DEPOT INC Ticker/CUSIP : HD/437076102 Yield 1.78% Sector Consumer Discretionary	134	36.696	4,917.30	65.07 30 Nov 12	8,719 38	38.86	8,758 24	3,802.08	155.44
HONEYWELL INTL INC Ticker/CUSIP · HON/438516106 Yield 2.67% Sector · Industrials	107	59.164	6,330.56	61.33 30 Nov 12	6,562.31	43 87	6,606.18	231.75	175.48
HONG KONG EXCHANGES AND Ticker/CUSIP HKXCY/43858F109 Yield 2 89% Sector : Financials Conv. Ratio 1·1	237	22.210	5,263.77	15.92 30 Nov 12	3,773.04	0.00	3,773.04	(1,490.73)	109.02
HSBC HLDG PLC SP ADR NEW Ticker/CUSIP : HBC/404280406 Yield 4 89% Sector : Financials Conv. Ratio 5:1	108	44 638	4,820.95	51.13 30 Nov 12	5,522.04	0.00	5,522.04	701.09	270 00
IDEX CORPORATION Ticker/CUSIP . IEX/45167R104 Yield 1 78% Sector . Industrials	169	43.974	7,431 68	44.95 30 Nov 12	7,596.55	0.00	7,596.55	164.87	135.20
ILLUMINA INC Ticker/CUSIP . ILMN/452327109 Sector · Health Care	105	46.250	4,856.30	53 71 30 Nov 12	5,639.55	0.00	5,639.55	783.25	0 00
INDUSTRIAL & COML BK Ticker/CUSIP IDCBY/455807107 Yield 3 93% Sector · Financials Conv Ratio 20 1	391	16.430	6,424.13	13.41 30 Nov 12	5,243.31	0 00	5,243.31	(1,180.82)	206 06

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***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
INTEL CORP Ticker/CUSIP INTC/458140100 Yield 4 6% Sector Information Technology	201	23.240	4,671 24	19 565 30 Nov 12	3,932.56	51.08	3,983.64	(738 68)	180 90
INTERCONTINENTALEXCHANGE INC Ticker/CUSIP ICE/45865V100 Sector Financials	40	121.675	4,867.00	132 15 30 Nov 12	5,286.00	0 00	5,286 00	419.00	0.00
INTL BUSINESS MACHINES CORP Ticker/CUSIP : IBM/459200101 Sector · Information Technology	31	195 841	6,071 07	190.07 30 Nov 12	5,892.17	34.00	5,926.17	(178.90)	105 40
INTUITIVE SURGICAL INC Ticker/CUSIP ISRG/46120E602 Sector : Health Care	10	349.630	3,496.30	529.00 30 Nov 12	5,290.00	0.00	5,290.00	1,793.70	0.00
ITAU UNIBANCO BANCO HLDG Ticker/CUSIP : ITUB/465562106 Yield 59% Sector : Financials Conv Ratio 1 1	405	19.741	7,994.94	15.15 30 Nov 12	6,135.75	4 44	6,140.19	(1,859.19)	36.05
JACK HENRY & ASSOCIATES INC Ticker/CUSIP · JKHY/426281101 Yield 1 18% Sector . Information Technology	128	30.888	3,953.64	38.87 30 Nov 12	4,975.36	14 72	4,990 08	1,021 72	58.88
JACOBS ENGINEERING GROUP INC Ticker/CUSIP JEC/469814107 Sector Industrials	162	44 583	7,222 49	40 94 30 Nov 12	6,632 28	0.00	6,632.28	(590 21)	0.00
JB HUNT TRANSPORT SERVICES INC Ticker/CUSIP . JBHT/445658107 Yield .94% Sector Industrials	96	46 206	4,435.78	59 45 30 Nov 12	5,707.20	0 00	5,707 20	1,271.42	53 76
JOHN WILEY & SONS INC CL A Ticker/CUSIP . JWA/968223206 Yield 2 25% Sector Consumer Discretionary	211	50.980	10,756 76	42.70 30 Nov 12	9,009 70	0 00	9,009.70	(1,747 06)	202 56

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
JOHNSON & JOHNSON Ticker/CUSIP . JNJ/478160104 Sector Health Care	64	72.248	4,623.87	69 73 30 Nov 12	4,462.72	50 02	4,512.74	(161 15)	156 16
JOHNSON & JOHNSON Ticker/CUSIP : JNJ/478160104 Yield 3.5% Sector · Health Care	56	65.333	3,658 62	69.73 30 Nov 12	3,904.88	34 16	3,939 04	246.26	136.64
JOY GLOBAL INC Ticker/CUSIP JOY/481165108 Sector : Energy	97	76.119	7,383 51	56 99 30 Nov 12	5,528.03	21.70	5,549 73	(1,855.48)	67 90
JPMORGAN CHASE & CO Ticker/CUSIP . JPM/46625H100 Yield 2 92% Sector Financials	183	41 966	7,679.84	41 08 30 Nov 12	7,517 64	0.00	7,517.64	(162.20)	219.60
KDDI CORP-JPY Ticker/CUSIP · KDDIY/48667L106 Yield 2 36% Sector Telecommunication Services Conv. Ratio 25 1	203	18.017	3,657.55	18.50 30 Nov 12	3,755 50	65.88	3,821.38	97.95	88.51
KINDER MORGAN INC DEL Ticker/CUSIP . KMI/49456B101 Sector : Utilities	142	35 741	5,075.25	33.81 30 Nov 12	4,801.02	0 00	4,801 02	(274.23)	204.48
KINGFISHER PLC Ticker/CUSIP : KGFHY/495724403 Yield 2.98% Sector Consumer Discretionary Conv Ratio 2 1	848	9.090	7,708 32	8.89 30 Nov 12	7,538.72	0.00	7,538.72	(169.60)	224 72
KIRBY CORP Ticker/CUSIP KEX/497266106 Sector : Industrials	146	53 400	7,796.45	57.88 30 Nov 12	8,450.48	0.00	8,450.48	654.03	0 00

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
KOMATSU LTD ADR NEW Ticker/CUSIP : KMTUY/500458401 Yield 2 12% Sector Industrials Conv. Ratio 1.1	298	27.324	8,142.43	22.50 30 Nov 12	6,705 00	113.94	6,818 94	(1,437 43)	142 44
KRAFT FOODS GROUP INC Ticker/CUSIP · KRFT/50076Q106 Sector Consumer Staples	153	40.792	6,241.18	45.24 30 Nov 12	6,921 72	0.00	6,921.72	680 54	0.00
LAM RESEARCH CORP Ticker/CUSIP . LRCX/512807108 Sector Industrials	51	42 054	2,144 75	35.12 30 Nov 12	1,791.12	0 00	1,791.12	(353 63)	0 00
LAS VEGAS SANDS CORP Ticker/CUSIP LVS/517834107 Sector . Consumer Discretionary	155	40.243	6,237.74	46.65 30 Nov 12	7,230.75	0.00	7,230 75	993 01	155.00
LAS VEGAS SANDS CORP Ticker/CUSIP LVS/517834107 Yield 2 14% Sector : Consumer Discretionary	109	42 002	4,578.17	46 65 30 Nov 12	5,084.85	0.00	5,084.85	506 68	109.00
LENNAR CORP Ticker/CUSIP : LEN/526057104 Yield .42% Sector Industrials	159	17 814	2,832 50	38.04 30 Nov 12	6,048 36	0 00	6,048.36	3,215 86	25 44
LI & FUNG LTD-HKD Ticker/CUSIP LFUGY/501897102 Yield 3 14% Sector · Consumer Discretionary Conv. Ratio 2·1	1,171	3.522	4,124.29	3 22 30 Nov 12	3,770 62	0 00	3,770 62	(353.67)	118.27
LINKEDIN CORP Ticker/CUSIP LNKD/53578A108 Sector · Other	40	105.513	4,220.51	108 14 30 Nov 12	4,325.60	0 00	4,325 60	105.09	0.00
LKQ CORP Ticker/CUSIP LKQ/501889208 Sector Consumer Discretionary	533	12.531	6,679.21	21.90 30 Nov 12	11,672 70	0.00	11,672 70	4,993 49	0 00

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
LVMH MOET HENNESSY LOUIS Ticker/CUSIP : LVMUY/502441306 Yield 1 59% Sector · Consumer Staples Conv. Ratio 2:1	280	32.847	9,197.14	35.29 30 Nov 12	9,881 20	86 17	9,967.37	684.06	156.80
MARKEL CORP Ticker/CUSIP · MKL/570535104 Sector · Financials	30	409.377	12,281.30	479.00 30 Nov 12	14,370.00	0.00	14,370.00	2,088.70	0.00
MEADWESTVACO CORP Ticker/CUSIP . MWV/583334107 Yield 3.24% Sector : Materials	77	29 371	2,261.57	30.91 30 Nov 12	2,380.07	19.25	2,399.32	118.50	77 00
MEDTRONIC INC Ticker/CUSIP : MDT/585055106 Yield 2.47% Sector : Health Care	75	38 783	2,908.75	42.11 30 Nov 12	3,158.25	0.00	3,158.25	249 50	78.00
MERCADOLIBRE INC Ticker/CUSIP : MELI/58733R102 Sector : Consumer Discretionary	51	60.646	3,092.96	71.95 30 Nov 12	3,669 45	0.00	3,669.45	576.49	22.24
METTLER TOLEDO INTL INC Ticker/CUSIP . MTD/592688105 Sector . Health Care	22	169.040	3,718 88	187 09 30 Nov 12	4,115.98	0.00	4,115 98	397.10	0.00
MICHELIN CGDE UNSPON ADR Ticker/CUSIP . MGDDY/59410T106 Yield 2 12% Sector . Consumer Discretionary Conv Ratio 2:1	300	14 227	4,267 99	18.45 30 Nov 12	5,535.00	0.00	5,535.00	1,267 01	117 60
MICROSOFT CORP Ticker/CUSIP MSFT/594918104 Sector Information Technology	448	26 177	11,727.10	26.615 30 Nov 12	11,923.52	133.40	12,056 92	196.42	412.16

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
MITSUBISHI UFJ FINANCIAL Ticker/CUSIP MTU/606822104 Yield 2 99% Sector · Financials Conv. Ratio 1 1	905	4.560	4,126 80	4 61 30 Nov 12	4,172 05	0.00	4,172.05	45 25	124.89
MONDELEZ INTERNATIONAL Ticker/CUSIP : MDLZ/609207105 Sector Consumer Staples	173	25 766	4,457 50	25 89 30 Nov 12	4,478.97	0.00	4,478.97	21.47	89 96
MONDELEZ INTERNATIONAL Ticker/CUSIP : MDLZ/609207105 Yield 2 01% Sector · Consumer Staples	162	22.929	3,714 52	25 89 30 Nov 12	4,194 18	0.00	4,194 18	479.66	84.24
MONSANTO CO NEW Ticker/CUSIP MON/61166W101 Sector Materials	109	69.962	7,625 86	91.59 30 Nov 12	9,983 31	0 00	9,983.31	2,357.45	163.50
MORNINGSTAR INC Ticker/CUSIP . MORN/617700109 Yield 63% Sector Financials	184	59 681	10,981 30	63 83 30 Nov 12	11,744.72	0 00	11,744 72	763.42	73 60
MYLAN INC Ticker/CUSIP · MYL/628530107 Sector Health Care	158	18 558	2,932 20	27.18 30 Nov 12	4,294 44	0 00	4,294 44	1,362.24	0 00
NABORS INDUSTRIES LTD-USD Ticker/CUSIP NBR/G6359F103 Sector · Energy	140	25.080	3,511.16	14 70 30 Nov 12	2,058 00	0 00	2,058 00	(1,453 16)	0.00
NATIONAL OILWELL VARCO INC Ticker/CUSIP : NOV/637071101 Sector Energy	80	67.996	5,439 64	68 30 30 Nov 12	5,464 00	0.00	5,464 00	24.36	41 60
NESTLE S A SPONSORED ADR Ticker/CUSIP NSRGY/641069406 Yield 2 71% Sector Consumer Staples Conv Ratio 1.1	96	62.380	5,988 48	65 50 30 Nov 12	6,288 00	0 00	6,288 00	299.52	170 11

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
NEXTERA ENERGY INC Ticker/CUSIP . NEE/65339F101 Sector . Utilities	64	71 535	4,578 21	68.71 30 Nov 12	4,397.44	49.80	4,447 24	(180 77)	153 60
NIKE INC CL B Ticker/CUSIP NKE/654106103 Sector · Materials	65	85 307	5,544 96	97.48 30 Nov 12	6,336 20	0 00	6,336.20	791.24	109 20
NOVARTIS AG ADR Ticker/CUSIP NVS/66987V109 Yield 3 39% Sector : Health Care Conv. Ratio 1:1	111	61 621	6,839 88	62.05 30 Nov 12	6,887 55	0 00	6,887 55	47 67	233 77
NOVO-NORDISK A S ADR Ticker/CUSIP NVO/670100205 Yield 1 15% Sector : Health Care Conv. Ratio 1:1	53	124.588	6,603.17	158.67 30 Nov 12	8,409.51	0.00	8,409.51	1,806 34	97 10
O REILLY AUTOMOTIVE INC Ticker/CUSIP . ORLY/67103H107 Sector : Consumer Discretionary	129	72.765	9,386.75	94 08 30 Nov 12	12,136 32	0.00	12,136 32	2,749.57	0 00
OCEANEERING INTERNATIONAL INC Ticker/CUSIP : OII/675232102 Yield 1 37% Sector . Energy	94	40.870	3,841.78	52 68 30 Nov 12	4,951 92	16 92	4,968.84	1,110.14	67.68
PALL CORP Ticker/CUSIP . PLL/696429307 Yield 1 68% Sector : Industrials	56	44 333	2,482.66	59 48 30 Nov 12	3,330.88	0.00	3,330.88	848.22	56 00
PEARSON PLC SPONSORED ADR Ticker/CUSIP · PSO/705015105 Yield 3 65% Sector : Consumer Discretionary Conv Ratio 1 1	240	18.697	4,487.31	19 04 30 Nov 12	4,569.60	0.00	4,569.60	82.29	166 80

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Common Stock *CONTINUED*									
PENTAIR LTD Ticker/CUSIP · PNR/H6169Q108 Yield 1.81% Sector : Utilities	25	38.892	972.30	48.49 30 Nov 12	1,212.25	0.00	1,212.25	239.95	22.00
PEPSICO INC Ticker/CUSIP PEP/713448108 Yield 3 06% Sector : Consumer Staples	53	67.021	3,552.10	70 21 30 Nov 12	3,721.13	0 00	3,721.13	169.03	113 95
PFIZER INC Ticker/CUSIP · PFE/717081103 Sector Health Care	224	22 695	5,083.68	25.02 30 Nov 12	5,604.48	63.36	5,667.84	520 80	197.12
PFIZER INC Ticker/CUSIP . PFE/717081103 Yield 3 52% Sector . Health Care	361	20.546	7,417 13	25 02 30 Nov 12	9,032 22	79 42	9,111.64	1,615 09	317 68
PHILIP MORRIS INTL INC Ticker/CUSIP . PM/718172109 Sector : Consumer Staples	110	80 430	8,847 33	89 88 30 Nov 12	9,886 80	0.00	9,886 80	1,039 47	374 00
POTASH CORP SASK INC- Ticker/CUSIP POT/73755L107 Yield 2 18% Sector Materials	127	52 420	6,657.31	38.52 30 Nov 12	4,892.04	0.00	4,892 04	(1,765 27)	106 68
PRAXAIR INC Ticker/CUSIP PX/74005P104 Sector Materials	60	100.476	6,028.54	107 21 30 Nov 12	6,432 60	0.00	6,432.60	404.06	132.00
PRECISION CASTPARTS CORP Ticker/CUSIP PCP/740189105 Sector Materials	49	154 250	7,558.25	183.39 30 Nov 12	8,986 11	0 00	8,986 11	1,427 86	5 88
PRICELINE COM INC (NEW) Ticker/CUSIP PCLN/741503403 Sector · Consumer Discretionary	1,612	8 425	13,581 12	663.19 30 Nov 12	1,069,062.28	0 00	1,069,062.28	1,055,481.16	0 00

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Common Stock *CONTINUED*									
PROCTER & GAMBLE CO Ticker/CUSIP . PG/742718109 Sector . Consumer Staples	110	67.021	7,372.30	69 83 30 Nov 12	7,681.30	0 00	7,681.30	309 00	247 28
PUBLICIS GROUPE ADR Ticker/CUSIP PUBGY/74463M106 Yield 1.21% Sector : Consumer Discretionary Conv Ratio 25:1	385	13 931	5,363.51	14 17 30 Nov 12	5,455.45	0.00	5,455 45	91.94	66 22
QUALCOMM INC Ticker/CUSIP QCOM/747525103 Sector Information Technology	262	57 760	15,133.24	63.62 30 Nov 12	16,668 44	0.00	16,668.44	1,535.20	262 00
RANGE RESOURCES CORP Ticker/CUSIP · RRC/75281A109 Sector Energy	129	55.691	7,184.14	64.02 30 Nov 12	8,258.58	0.00	8,258 58	1,074.44	20.64
RECKITT BENCKISER GP ADR Ticker/CUSIP RBGPY/756255105 Sector · Consumer Staples Conv Ratio .2:1	625	11.250	7,031.25	12.55 30 Nov 12	7,843.75	0.00	7,843.75	812 50	223.13
REGENERON PHARMACEUTICALS INC Ticker/CUSIP · REGN/75886F107 Sector Health Care	35	55 801	1,953.03	176 55 30 Nov 12	6,179 25	0.00	6,179.25	4,226.22	0.00
SABMILLER PLC SPON ADR Ticker/CUSIP SBMRY/78572M105 Yield 1.98% Sector . Consumer Staples Conv Ratio 1.1	79	37 390	2,953.81	45.59 30 Nov 12	3,601.61	0.00	3,601.61	647.80	71.42
SALESFORCE.COM INC Ticker/CUSIP . CRM/79466L302 Sector Information Technology	95	147 404	14,003.38	157 67 30 Nov 12	14,978.65	0 00	14,978.65	975.27	0.00

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Common Stock *CONTINUED*									
SALLY BEAUTY HLDGS INC Ticker/CUSIP · SBH/79546E104 Sector Consumer Discretionary	368	16 358	6,019.81	25 35 30 Nov 12	9,328 80	0.00	9,328.80	3,308 99	0 00
SANDISK CORP Ticker/CUSIP SNDK/80004C101 Sector Information Technology	70	46 869	3,280 80	39.10 30 Nov 12	2,737.00	0 00	2,737.00	(543.80)	0 00
SAP AG SPONS ADR-USD Ticker/CUSIP SAP/803054204 Yield 87% Sector . Information Technology Conv Ratio 1 1	101	60.165	6,076.69	77 99 30 Nov 12	7,876.99	0 00	7,876.99	1,800.30	68.18
SBERBANK SPONSORED ADR Ticker/CUSIP : SBRCY/80585Y308 Yield 1 64% Sector : Financials . Conv. Ratio 4·1	380	10 628	4,038.65	11 80 30 Nov 12	4,484 00	0.00	4,484 00	445 35	73.72
SCHEIN (HENRY) INC Ticker/CUSIP . HSIC/806407102 Sector : Health Care	113	72 351	8,175.66	80 77 30 Nov 12	9,127.01	0 00	9,127 01	951.35	0 00
SCHLUMBERGER LTD Ticker/CUSIP : SLB/806857108 Sector : Energy	205	79 924	16,384.50	71.62 30 Nov 12	14,682 10	89.93	14,772 03	(1,702.40)	225 50
SCHLUMBERGER LTD Ticker/CUSIP SLB/806857108 Yield 1 54% Sector Energy	109	79.088	8,620 61	71.62 30 Nov 12	7,806 58	29.98	7,836.56	(814 03)	119.90
SEI INVESTMENTS CO Ticker/CUSIP · SEIC/784117103 Yield 1 36% Sector . Financials	260	21 117	5,490.32	22.01 30 Nov 12	5,722 60	0 00	5,722 60	232.28	78.00
SHERWIN WILLIAMS CO Ticker/CUSIP SHW/824348106 Sector : Materials	26	141.008	3,666.22	152 52 30 Nov 12	3,965 52	0 00	3,965 52	299 30	40 56

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Common Stock *CONTINUED*									
SIEMENS A G SPONS ADR Ticker/CUSIP · SI/826197501 Yield 2.75% Sector · Health Care Conv Ratio 1 1	46	98.628	4,536.87	103 50 30 Nov 12	4,761 00	0.00	4,761.00	224.13	130.92
SLM CORP Ticker/CUSIP · SLM/78442P106 Yield 3.02% Sector · Financials	83	16.838	1,397.53	16.55 30 Nov 12	1,373.65	0 00	1,373 65	(23.88)	41 50
SOLERA HLDGS INC Ticker/CUSIP : SLH/83421A104 Yield 97% Sector · Information Technology	84	51.094	4,291 92	51.76 30 Nov 12	4,347 84	12.25	4,360 09	55.92	42 00
SOUTHERN COPPER CORP DEL Ticker/CUSIP . SCCO/84265V105 Yield 10.22% Sector : Materials	69	31.194	2,152.36	36.30 30 Nov 12	2,504.70	0.00	2,504.70	352 34	255.99
SOUTHWESTERN ENERGY CO Ticker/CUSIP . SWN/845467109 Sector Energy	115	40 698	4,680.31	34.71 30 Nov 12	3,991.65	0.00	3,991.65	(688.66)	0 00
STARBUCKS CORP Ticker/CUSIP · SBUX/855244109 Sector Consumer Discretionary	225	36 794	8,278.61	51 87 30 Nov 12	11,670.75	0.00	11,670.75	3,392 14	189 00
STATE STREET CORP Ticker/CUSIP STT/857477103 Yield 2 16% Sector : Financials	140	43.070	6,029 85	44.44 30 Nov 12	6,221.60	0.00	6,221.60	191.75	134.40
SWATCH GROUP AG UNSPON ADR Ticker/CUSIP . SWGAY/870123106 Yield 1 45% Sector : Consumer Discretionary Conv. Ratio .05·1	219	18 758	4,108 10	24.17 30 Nov 12	5,293 23	0.00	5,293.23	1,185.13	76 87

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
SYNGENTA AG ADR Ticker/CUSIP · SYT/87160A100 Yield 1 81% Sector : Consumer Staples Conv Ratio 2 1	44	66.682	2,934 00	79 97 30 Nov 12	3,518 68	0.00	3,518 68	584.68	63 58
TAIWAN SEMICONDUCTOR MFG Ticker/CUSIP · TSM/874039100 Yield 2 3% Sector : Information Technology Conv. Ratio 5.1	210	13 320	2,797.13	17 27 30 Nov 12	3,626.70	0 00	3,626.70	829.57	83.58
TE CONNECTIVITY LTD-CHF Ticker/CUSIP . TEL/H84989104 Yield 2.39% Sector : Industrials	142	37.158	5,276 43	35.19 30 Nov 12	4,996 98	0 00	4,996.98	(279.45)	119 28
TENCENT HLDGS LTD-HKD Ticker/CUSIP · TCEHY/88032Q109 Yield 27% Sector · Telecommunication Services Conv Ratio 1 1	213	28 251	6,017.42	32.50 30 Nov 12	6,922.50	0.00	6,922 50	905.08	18.53
TERADATA CORP Ticker/CUSIP TDC/88076W103 Sector Information Technology	54	67 672	3,654 30	59.48 30 Nov 12	3,211 92	0.00	3,211 92	(442.38)	0.00
TEREX CORP NEW Ticker/CUSIP TEX/880779103 Sector Industrials	183	27.181	4,974.08	24.19 30 Nov 12	4,426.77	0 00	4,426.77	(547 31)	0 00
TEVA PHARMACEUTICAL INDS Ticker/CUSIP TEVA/881624209 Yield 1 99% Sector . Health Care Conv Ratio 1 1	169	49 998	8,449.70	40.35 30 Nov 12	6,819 15	51.21	6,870 36	(1,630.55)	135.88
TEXTRON INC Ticker/CUSIP · TXT/883203101 Yield 34% Sector Industrials	265	22 774	6,035.15	23 49 30 Nov 12	6,224 85	0 00	6,224 85	189 70	21.20

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
THERMO FISHER SCIENTIFIC INC Ticker/CUSIP TMO/883556102 Yield .94% Sector · Health Care	44	51.030	2,245.33	63.55 30 Nov 12	2,796.20	0 00	2,796 20	550 87	26.40
TIME WARNER INC Ticker/CUSIP . TWX/887317303 Yield 2 2% Sector Consumer Discretionary	76	36.928	2,806 53	47.30 30 Nov 12	3,594 80	19 76	3,614.56	788.27	79 04
TOYOTA MOTOR CORP ADR NEW Ticker/CUSIP : TM/892331307 Yield 1.6% Sector . Industrials Conv. Ratio 2.1	90	80.031	7,202.78	86.08 30 Nov 12	7,747.20	90 38	7,837.58	544.42	123.75
TRANSDIGM GROUP INC Ticker/CUSIP · TDG/893641100 Sector · Industrials	25	111 890	2,797.25	136.02 30 Nov 12	3,400 50	0.00	3,400.50	603.25	0.00
TRAVELERS COMPANIES INC Ticker/CUSIP TRV/89417E109 Yield 2.6% Sector : Financials	100	62 336	6,233.63	70.82 30 Nov 12	7,082.00	0.00	7,082.00	848 37	184.00
TURKIYE GARANTI BANKASI Ticker/CUSIP TKGBY/900148701 Sector . Financials Conv Ratio 1.1	659	4 390	2,893.01	4.87 30 Nov 12	3,209.33	0.00	3,209.33	316.32	37.56
TYCO INTL LTD CHF Ticker/CUSIP . TYC/H89128104 Yield 2.11% Sector : Consumer Discretionary	108	24.208	2,614.43	28 37 30 Nov 12	3,063.96	0.00	3,063 96	449.53	64.80
UMPQUA HOLDINGS CORPORATION Ticker/CUSIP · UMPQ/904214103 Yield 3 09% Sector : Financials	420	11 578	4,862 76	11.66 30 Nov 12	4,897.20	0 00	4,897 20	34.44	151 20

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Common Stock *CONTINUED*									
UNILEVER NV NY SHS-NEW Ticker/CUSIP UN/904784709 Sector Consumer Staples Conv. Ratio 1 1	97	37 388	3,626.66	37 83 30 Nov 12	3,669.51	33.58	3,703.09	42.85	101 07
UNION PACIFIC CORP Ticker/CUSIP . UNP/907818108 Sector . Industrials	51	90 781	4,629 83	122 78 30 Nov 12	6,261 78	46.23	6,308.01	1,631 95	140 76
UNITEDHEALTH GROUP INC Ticker/CUSIP : UNH/91324P102 Sector · Health Care	48	50.438	2,421.02	54 39 30 Nov 12	2,610.72	0.00	2,610 72	189.70	40.80
V F CORP Ticker/CUSIP VFC/918204108 Sector : Consumer Discretionary	26	97.730	2,540.98	160 51 30 Nov 12	4,173.26	0.00	4,173.26	1,632.28	90.48
VALERO ENERGY CORP-NEW Ticker/CUSIP VLO/91913Y100 Yield 2 17% Sector Energy	127	25 707	3,264.82	32.26 30 Nov 12	4,097 02	22 23	4,119 25	832 20	88.90
VARIAN MEDICAL SYSTEMS INC Ticker/CUSIP VAR/92220P105 Sector Health Care	72	67 503	4,860.24	69 16 30 Nov 12	4,979 52	0 00	4,979 52	119.28	0 00
VERISK ANALYTICS INC CL A Ticker/CUSIP VRSK/92345Y106 Sector . Information Technology	103	34.046	3,506.73	49 84 30 Nov 12	5,133.52	0.00	5,133 52	1,626 79	0 00
VERIZON COMMUNICATIONS Ticker/CUSIP VZ/92343V104 Sector Telecommunication Services	296	40 760	12,064 96	44.12 30 Nov 12	13,059 52	0.00	13,059.52	994 56	609 76
VERTEX PHARMACEUTICALS INC Ticker/CUSIP · VRTX/92532F100 Sector Health Care	154	50.199	7,730 66	39.79 30 Nov 12	6,127.66	0 00	6,127 66	(1,603.00)	0.00

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Common Stock *CONTINUED*									
VISA INC COM CL A Ticker/CUSIP . V/92826C839 Sector . Consumer Discretionary	120	78.794	9,455 28	149.71 30 Nov 12	17,965 20	74 25	18,039 45	8,509.92	158.40
VODAFONE GROUP PLC SPONS Ticker/CUSIP · VOD/92857W209 Yield 5 82% Sector : Telecommunication Services Conv Ratio 10 1	110	26 152	2,876.73	25.795 30 Nov 12	2,837.45	84.03	2,921.48	(39.28)	165.00
WAL-MART DE MEXICO SA DE Ticker/CUSIP · WMMVY/93114W107 Yield 1.03% Sector : Consumer Staples Conv. Ratio 10:1	146	29 970	4,375.62	31.271 30 Nov 12	4,565.57	0.00	4,565.57	189.95	47.01
WESTERN DIGITAL CORP Ticker/CUSIP · WDC/958102105 Yield 2.99% Sector : Information Technology	71	34.665	2,461 23	33.43 30 Nov 12	2,373.53	0 00	2,373.53	(87.70)	71 00
WEX INC CUSIP 96208T104 Sector . Other	47	48 456	2,277.43	71.96 30 Nov 12	3,382 12	0.00	3,382 12	1,104.69	0 00
YANDEX NV-A Ticker/CUSIP . YNDX/N97284108 Sector Other	147	25 392	3,732.58	21.82 30 Nov 12	3,207.54	0.00	3,207.54	(525.04)	0 00
YUM BRANDS INC Ticker/CUSIP YUM/988498101 Yield 2% Sector : Consumer Discretionary	67	65 219	4,369.67	67.08 30 Nov 12	4,494.36	0.00	4,494.36	124.69	89 78
Preferred Stock									
ACGL 6 3/4 SERIES C Ticker/CUSIP : ARHPRC/G0450A204 Yield 6 38% Sector · Other Call 02APR17 @ 25	119	25 020	2,977.37	26 45 30 Nov 12	3,147.55	0 00	3,147.55	170 18	200 87

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Preferred Stock *CONTINUED*									
AEGON N V PFD 6 5% Ticker/CUSIP AED/007924400 Yield 6 51% Sector Financials Call 04JAN13 @ 25	89	22.001	1,958.13	24.95 30 Nov 12	2,220.55	36 16	2,256 71	262 42	144 63
AEGON N V 7.25% PFD Ticker/CUSIP : AEF/N00927348 Yield 7.19% Sector : Financials Call 15DEC12 @ 25	87	24.994	2,174.52	25.23 30 Nov 12	2,195 01	39.42	2,234.43	20.49	157.73
AEGON N V 8.00% Ticker/CUSIP AEK/007924608 Yield 7.2% Sector Other Call 15AUG17 @ 25	153	25.634	3,921 93	27.76 30 Nov 12	4,247.28	0 00	4,247 28	325.35	306.00
AEGON N.V CAP SECS 6.375% Ticker/CUSIP · AEH/007924301 Yield 6.28% Sector Financials Call 15JUN15 @ 25	323	24 170	7,807.00	25 40 30 Nov 12	8,204.20	128 70	8,332 90	397 20	514 86
AEGON NV 6 875% PFD Ticker/CUSIP · AEV/N00927306 Yield 6 88% Sector Financials Call 04JAN13 @ 25	33	24 622	812 53	25 00 30 Nov 12	825 00	14.18	839.18	12.47	56.73
AEGON NV Ticker/CUSIP AEB/007924509 Yield 4.29% Sector . Financials Call 15MAR11 @ 25	184	23 445	4,313 84	23 59 30 Nov 12	4,340 56	46 51	4,387 07	26 72	186 02
AFFILATED MANAGERS GROUP Ticker/CUSIP MGR/008252876 Yield 6 23% Sector · Other	33	25.629	845 76	25 60 30 Nov 12	844 80	0.00	844.80	(0.96)	52 60

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
AFLAC INC PFD 5 5% Ticker/CUSIP AFSD/001055300 Yield 5 45% Sector : Other	42	24.721	1,038.30	25 21 30 Nov 12	1,058.82	12 67	1,071.49	20 52	57 75
ALEXANDRIA REAL ESTATE 6.45% Ticker/CUSIP : AREPRE/015271703 Yield 6 06% Sector . Other Call 15MAR17 @ 25	40	24 538	981 51	26.6101 30 Nov 12	1,064.40	0.00	1,064.40	82.89	64 48
AMERICAN FINANCIAL GROUP Ticker/CUSIP . AFA/025932500 Yield 5.63% Sector : Other Call 25AUG17 @ 25	25	25.071	626.78	25.53 30 Nov 12	638.25	0 00	638.25	11.47	35.95
AMERICAN FINANCIAL GROUP PFD Ticker/CUSIP : AFW/025932401 Yield 6 04% Sector : Other Call 12JUN17 @ 25	39	24.780	966 42	26 40 30 Nov 12	1,029.60	15.54	1,045.14	63.18	62.17
AMERIPRISE FINANCIAL INC 7.75% Ticker/CUSIP : AMPPRA/03076C205 Yield 6.89% Sector · Financials Call 15JUN14 @ 25	122	28.232	3,444.35	28.1276 30 Nov 12	3,431.57	59.09	3,490.66	(12.78)	236 44
ASPEN INSURANCE HLDG 7.25% Ticker/CUSIP . AHLPRB/G05384147 Yield 6.9% Sector Other Call 01JUL17 @ 25	66	24.943	1,646.25	26.29 30 Nov 12	1,735 14	0.00	1,735.14	88.89	119.66
AXIS CAPITAL HLDGS Ticker/CUSIP AXSPRC/G0692U307 Yield 6 35% Sector Other	143	25.310	3,619.35	27.07 30 Nov 12	3,871 01	0.00	3,871 01	251.66	245 82

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***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
BANK AMER CORP PFD 6.625% Ticker/CUSIP BACPRI/060505740 Yield 6 16% Sector Financials Call 01OCT17 @ 25	100	24 990	2,498.97	26 87 30 Nov 12	2,687 00	0 00	2,687 00	188 03	165.60
BANK OF AMERICA CORP 6.375% Ticker/CUSIP BMLPRI/060505617 Yield 6 4% Sector Financials Call 05JAN13 @ 25	74	21 651	1,602.19	24 90 30 Nov 12	1,842.60	0.00	1,842.60	240 41	117 96
BANK OF AMERICA CORP 8 20% Ticker/CUSIP : BACPRH/060505765 Yield 8 06% Sector Financials Call 01MAY13 @ 25	51	24 895	1,269.67	25.45 30 Nov 12	1,297.95	0.00	1,297.95	28 28	104.55
BANK OF AMERICA CORP PFD 6.204 Ticker/CUSIP · BACPRD/060505831 Yield 6 25% Sector Financials Call 05JAN13 @ 25	140	24 208	3,389.13	24.80 30 Nov 12	3,472 00	54 29	3,526.29	82 87	217 14
BANK OF NEW YORK MELLON 5 20% Ticker/CUSIP . BKPRC/064058209 Yield 5 18% Sector Other Call 20SEP17 @ 25	165	25 059	4,134.70	25 10 30 Nov 12	4,141 50	0.00	4,141 50	6 80	214.50
BARCLAYS BANK PLC 7 10% Ticker/CUSIP BCSPRA/06739H776 Yield 7 08% Sector . Other Call 15DEC12 @ 25	243	25 108	6.101 27	25 08 30 Nov 12	6,094 44	107 83	6,202 27	(6.83)	431.33
BARCLAYS BANK PLC 7 75% Ticker/CUSIP · BCSPRC/06739H511 Yield 7.69% Sector · Other Call 15MAR13 @ 25	95	25 486	2,421 13	25 20 30 Nov 12	2,394 00	46.02	2,440.02	(27 13)	184 11

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
BARCLAYS BANK PLC 8 125% Ticker/CUSIP · BCSPRD/06739H362 Yield 7.94% Sector . Other Call 15JUN13 @ 25	156	26.841	4,187 24	25.57 30 Nov 12	3,988.92	79 22	4,068.14	(198 32)	316 84
BARCLAYS BK PLC 6.625% Ticker/CUSIP BCSPR/06739F390 Yield 6.65% Sector Other Call 15SEP12 @ 25	164	24 965	4,094.31	24 90 30 Nov 12	4,083.60	67.91	4,151 51	(10.71)	271 58
BB&T CORP PREF 5.85% Ticker/CUSIP BBTPRD/054937206 Yield 5.64% Sector . Other Call 01MAY17 @ 25	48	24.949	1,197.54	25.93 30 Nov 12	1,244 64	0 00	1,244 64	47 10	70 18
BB&T CORPORATION 5.625% PFD Ticker/CUSIP BBTPRE/054937404 Yield 5 52% Sector · Other	156	25.138	3,921.56	25.45 30 Nov 12	3,970.20	0.00	3,970.20	48 64	219.34
BERKLEY (WR) CORP 6.75% PFD Ticker/CUSIP · WRBPRA/084490203 Yield 6 7% Sector · Financials Call 05JAN13 @ 25	207	25.240	5,224 68	25.20 30 Nov 12	5,216 40	0 00	5,216.40	(8 28)	349 42
CAPITAL ONE FINANCIAL CORP Ticker/CUSIP : COFPRP/14040H402 Yield 6 01% Sector · Other	217	24.944	5,412.90	24 95 30 Nov 12	5,414 15	91.30	5,505.45	1.25	325.50
CHARLES SCHWAB CORP 6 0% Ticker/CUSIP . SCHWPRB/808513204 Yield 5 76% Sector · Other Call 01SEP17 @ 25	95	24.705	2,347.00	26.02 30 Nov 12	2,471.90	35.63	2,507.53	124.90	142 50

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***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
COMMONWEALTH REIT 5.75% Ticker/CUSIP · CWHO/203233705 Yield 5.99% Sector Other Call 01AUG17 @ 25	41	24 169	990.91	23.99 30 Nov 12	983.59	0.00	983 59	(7.32)	58 96
COMMONWEALTH REIT 7.50% SENIOR Ticker/CUSIP · CWHN/203233507 Yield 6.99% Sector Other Call 15NOV14 @ 20	49	21.800	1,068.20	21 45 30 Nov 12	1,051 05	0.00	1,051.05	(17.15)	73.50
COMMONWEALTH REIT PFD 7.25% Ticker/CUSIP · CWHPRE/203233606 Yield 7 36% Sector Other Call 15MAY16 @ 25	31	24 736	766.83	24.62 30 Nov 12	763.22	0.00	763 22	(3.61)	56 20
COUNTRYWIDE CAPITAL IV 6 75% Ticker/CUSIP . CFCPRA/22238E206 Yield 6 72% Sector · Financials Call 05JAN13 @ 25	105	25 145	2,640.21	25 12 30 Nov 12	2,637.60	0.00	2,637.60	(2.61)	177.24
COUNTRYWIDE CAPITAL V 7 0% Ticker/CUSIP . CFCPRB/222388209 Yield 6 96% Sector Financials Call 01NOV11 @ 25	32	25 135	804 32	25 13 30 Nov 12	804.16	0.00	804 16	(0.16)	56 00
CREDIT SUISSE 7 90% Ticker/CUSIP CRP/225448208 Yield 7 64% Sector · Financials Call 28MAR13 @ 25	385	27 308	10,513 47	25 85 30 Nov 12	9,952 25	0 00	9,952 25	(561.22)	760.38
DEUTSCHE BANK CONTINGENT 7 6% Ticker/CUSIP · DTK/25154A108 Yield 7 08% Sector . Other Call 20FEB18 @ 25	138	25.834	3,565.13	26.84 30 Nov 12	3,703 92	0 00	3,703 92	138 79	262.20

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
DEUTSCHE BANK CONTINGENT 8.05% Ticker/CUSIP · DKT/25150L108 Yield 7.27% Sector : Financials Call 30JUN18 @ 25	53	27 340	1,449.02	27.69 30 Nov 12	1,467 57	0.00	1,467 57	18.55	106.64
DEUTSCHE BK CAP FDG TR 6.375% Ticker/CUSIP . DUA/25153U204 Yield 6.38% Sector · Other Call 05JAN13 @ 25	101	24 646	2,489.28	24 97 30 Nov 12	2,521 97	0.00	2,521.97	32.69	160 99
DEUTSCHE BK CAP FDG TR X 7 35% Ticker/CUSIP : DCE/25154D102 Yield 7 21% Sector Financials Call 04JAN13 @ 25	75	25 690	1,926.75	25.48 30 Nov 12	1,911.00	0 00	1,911.00	(15.75)	137.78
DEUTSCHE BK CONTINGENT CAP TR Ticker/CUSIP · DXB/25153X208 Yield 6.34% Sector : Other Call 23MAY17 @ 25	400	24.729	9,891.79	25.82 30 Nov 12	10,328.00	0.00	10,328.00	436 21	654 80
DIGITAL REALTY TR PREF 7 00% Ticker/CUSIP . DLRPRE/253868707 Yield 6 47% Sector . Other Call 15SEP16 @ 25	37	24.935	922.61	27.04 30 Nov 12	1,000.48	0 00	1,000.48	77.87	64 75
DIGITAL REALTY TRUST 6 625% Ticker/CUSIP : DLRPRF/253868806 Yield 6 18% Sector · Other Call 05APR17 @ 25	40	24 890	995.60	26.78 30 Nov 12	1,071.20	0 00	1,071.20	75.60	66 24
DOMINION RESOURCES INC. 8.375% Ticker/CUSIP DRU/25746U604 Yield 7 53% Sector . Utilities Call 15JUN14 @ 25	104	28.833	2,998.68	27.82 30 Nov 12	2,893.28	0.00	2,893 28	(105 40)	217 78

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
DTE ENERGY CO JR SUB DEB 6.50% Ticker/CUSIP DTZ/233331602 Yield 5 93% Sector Other Call 01DEC16 @ 25	36	25 113	904 06	27.42 30 Nov 12	987.12	14.63	1,001 75	83 06	58 50
DTE ENERGY CO 5 25% Ticker/CUSIP . DTQ/233331701 Yield 5.2% Sector Other Call 01DEC17 @ 25	25	24.988	624 71	25.26 30 Nov 12	631.50	5.47	636.97	6.79	32 83
DUKE REALTY CORP 8 375% SER O Ticker/CUSIP . DREPRO/264411679 Yield 8.1% Sector · Financials Call 22FEB13 @ 25	21	27 469	576 84	25.85 30 Nov 12	542 85	0 00	542.85	(33.99)	43.97
ENTERGY ARKANSAS PREFERRED Ticker/CUSIP · EAA/29364D779 Yield 5 33% Sector Other Call 01NOV15 @ 25	44	25 720	1,131 70	27.00 30 Nov 12	1,188 00	0.00	1,188 00	56.30	63 27
ENTERGY LOUISIANA LLC 5 875% Ticker/CUSIP . ELA/29364W405 Yield 5 38% Sector . Other Call 23NOV15 @ 25	22	25.540	561 88	27 29 30 Nov 12	600.38	0 00	600.38	38.50	32 32
ENTERGY LOUISIANA LLC 6 00% Ticker/CUSIP : ELB/29364W306 Yield 5.45% Sector . Utilities Call 15MAR15 @ 25	33	26 370	870.21	27 50 30 Nov 12	907 50	0 00	907 50	37.29	49 50
ENTERGY MISSISSIPPI INC 6 00% Ticker/CUSIP · EMZ/29364N835 Yield 5.63% Sector Other Call 01MAY16 @ 25	45	25 200	1,134 00	26 65 30 Nov 12	1,199 25	0 00	1,199.25	65 25	67 50

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
ENTERGY TEXAS INC 7.875% Ticker/CUSIP . EDT/29365T203 Yield 6 93% Sector : Utilities Call 01JUN14 @ 25	30	28 880	866.40	28 43 30 Nov 12	852.90	14 77	867.67	(13 50)	59.07
EVEREST RE CAP TR II 6.20% Ticker/CUSIP : REPRB/29980R202 Yield 6.15% Sector Financials Call 05JAN13 @ 25	233	24 950	5,813.42	25.19 30 Nov 12	5,869.27	0.00	5,869.27	55.85	361 15
FIRST NIAGARA FIN GROUP 8 625% Ticker/CUSIP : FNFGPRB/33582V207 Yield 7.3% Sector · Other Call 15FEB17 @ 25	81	25.242	2,044.61	29.55 30 Nov 12	2,393 55	0.00	2,393.55	348.94	174 64
GENERAL ELEC CAP CORP 4.875% Ticker/CUSIP : GEB/369622428 Yield 4.86% Sector . Other Call 15OCT17 @ 25	196	24 849	4,870.49	25.09 30 Nov 12	4,917.64	0.00	4,917.64	47.15	238 92
GOLDMAN SACHS GROUP INC 5.95% Ticker/CUSIP · GSPRI/38145G209 Yield 6 01% Sector : Other Call 10NOV17 @ 25	118	25.007	2,950 88	24.74 30 Nov 12	2,919 32	0.00	2,919 32	(31 56)	175 47
GOLDMAN SACHS GROUP INC Ticker/CUSIP . GSPRA/38143Y665 Yield 4 83% Sector · Financials Call 05JAN13 @ 25	77	22 275	1,715.19	20 50 30 Nov 12	1,578.50	0.00	1,578 50	(136.69)	76.23
GOLDMAN SACHS GROUP INC FRN Ticker/CUSIP GSPRD/38144G804 Yield 5.07% Sector : Financials Call 05JAN13 @ 25	24	22.384	537 22	20.83 30 Nov 12	499 92	0.00	499 92	(37.30)	25.34

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
HARTFORD FINL SVCS GRP 7.875 Ticker/CUSIP . HGH/416518504 Yield 7 06% Sector Other Call 15APR22 @ 25	76	25 768	1,958.38	27.87 30 Nov 12	2,118 12	0.00	2,118 12	159.74	149.64
HEALTH CARE REIT INC 6.50% Ticker/CUSIP HCNPRJ/42217K700 Yield 6 08% Sector : Other Call 07MAR17 @ 25	79	25.279	1,997.02	26.71 30 Nov 12	2,110.09	0 00	2,110 09	113.07	128 38
HOSPITALITY PROP TRUST 7.125% Ticker/CUSIP · HPTPRD/44106M607 Yield 6.77% Sector . Other Call 15JAN17 @ 25	110	24.911	2,740.19	26.31 30 Nov 12	2,894.10	0 00	2,894.10	153.91	195 91
HSBC HOLDINGS PLC 6 20% Ticker/CUSIP . HBCPRA/404280604 Yield 6.19% Sector . Financials Call 04JAN13 @ 25	172	24.407	4,197 94	25.06 30 Nov 12	4,310 32	66.65	4,376 97	112.38	266 60
HSBC HOLDINGS PLC 8.00% Ticker/CUSIP · HCSPRB/404280802 Yield 7 22% Sector Other Call 15DEC15 @ 25	491	27.640	13,571.20	27.70 30 Nov 12	13,600.70	245.50	13,846.20	29.50	982 00
HSBC HOLDINGS PLC 8 125% Ticker/CUSIP . HCS/404280703 Yield 7 84% Sector Financials Call 15APR13 @ 25	30	27 329	819 88	25.91 30 Nov 12	777 30	0.00	777 30	(42 58)	60 93
ING GROEP N V 7 375% PFD Ticker/CUSIP · IDG/456837707 Yield 7 29% Sector · Financials Call 15OCT12 @ 25	89	24.920	2,217.88	25 28 30 Nov 12	2,249 92	0 00	2,249 92	32 04	164 12

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
ING GROEP NV 6.125% PFD Ticker/CUSIP : ISG/456837509 Yield 6.41% Sector : Financials Call 15OCT12 @ 25	88	22 202	1,953 78	23 89 30 Nov 12	2,102.32	0.00	2,102.32	148.54	134 73
ING GROEP NV 6.375% PFD Ticker/CUSIP . ISF/456837608 Yield 6.63% Sector : Financials Call 15JUN12 @ 25	164	21.546	3,533.56	24 06 30 Nov 12	3,945.84	65.34	4,011.18	412.28	261.42
ING GROEP NV Ticker/CUSIP : IND/456837202 Yield 7.05% Sector Financials Call 15MAR12 @ 25	232	24 163	5,605.74	24.98 30 Nov 12	5,795.36	102 23	5,897.59	189.62	408.78
ING GROEP NV Ticker/CUSIP · ISP/456837400 Yield 6.43% Sector : Financials Call 15OCT12 @ 25	56	21.149	1,184.33	24.10 30 Nov 12	1,349.60	0.00	1,349.60	165.27	86.80
ING GROUP NV 7 20% PFD Ticker/CUSIP : INZ/456837301 Yield 7 2% Sector Financials Call 15SEP12 @ 25	212	24 768	5,250.77	25.00 30 Nov 12	5,300.00	95.40	5,395.40	49.23	381.60
JP MORGAN CHASE CAP XI 5 875% Ticker/CUSIP · JPMPRK/46626V207 Yield 5 79% Sector Financials Call 05JAN13 @ 25	50	25 205	1,260 26	25.38 30 Nov 12	1,269.00	0.00	1,269.00	8.74	73.45
JPM CHASE CAPITAL XXIX 6 70% Ticker/CUSIP : JPMPRC/48125E207 Yield 6 48% Sector Financials Call 02APR15 @ 25	93	26 040	2,421.72	25 86 30 Nov 12	2,404.98	0.00	2,404 98	(16 74)	155 78

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
JPMORGAN CHASE & CO 5 5% Ticker/CUSIP JPMPRD/48126E750 Yield 5 51% Sector : Other Call 27AUG17 @ 25	186	25 061	4,661.33	24 95 30 Nov 12	4,640.70	66 78	4,707.48	(20.63)	255.75
KIMCO REALTY CORP 6.90% Ticker/CUSIP KIMPRH/49446R828 Yield 6 3% Sector Other Call 30AUG15 @ 25	87	25.798	2,244.45	27 40 30 Nov 12	2,383.80	0 00	2,383.80	139 35	150 08
KIMCO REALTY CORPORATION 5 50% Ticker/CUSIP KIMPRJ/49446R778 Yield 5.53% Sector Other Call 25JUL17 @ 25	43	24 723	1,063 11	24 85 30 Nov 12	1,068 55	0 00	1,068 55	5 44	59 13
KIMCO REALTY CORPORATION 6 00% Ticker/CUSIP KIMPRI/49446R794 Yield 5.87% Sector : Other Call 20MAR17 @ 25	120	24 840	2,980.74	25.56 30 Nov 12	3,067 20	0 00	3,067 20	86 46	180.00
LLOYDS BANK GRP PLC 7 75% Ticker/CUSIP . LYGPRA/539439802 Yield 7% Sector . Other Call 15JUL15 @ 25	421	25 982	10,938.24	27 70 30 Nov 12	11,661 70	0 00	11,661 70	723 46	815.90
MERRILL LYNCH CAP TR II 6 45% Ticker/CUSIP MERPRM/59024T203 Yield 6.41% Sector Financials Call 05JAN13 @ 25	104	24 298	2,526.97	25 16 30 Nov 12	2,616.64	0.00	2,616 64	89 67	167.65
MERRILL LYNCH CAPITAL TR 6.45% Ticker/CUSIP : MERPRK/590199204 Yield 6 41% Sector Other Call 05JAN13 @ 25	155	24.329	3,770.99	25.14 30 Nov 12	3,896.70	0 00	3,896.70	125.71	249.86

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EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
NATIONAL RETAIL PROP INC Ticker/CUSIP : NNNPRD/637417601 Yield 6.3% Sector Other Call 23FEB17 @ 25	103	25 007	2,575 76	26.28 30 Nov 12	2,706 84	42 65	2,749.49	131.08	170 57
NEXEN INC 7.35% PFD Ticker/CUSIP . NXYPRB/65334H508 Yield 7.22% Sector : Energy Call 05JAN13 @ 25	23	25.252	580 80	25.45 30 Nov 12	585 35	0.00	585.35	4.55	42.25
NEXTERA ENERGY CAP HLDG 5.625% Ticker/CUSIP · NEEPRH/65339K704 Sector . Other Call 15JUN17 @ 25	39	24.751	965 27	26.21 30 Nov 12	1,022.19	0 00	1,022.19	56.92	0 00
NEXTERA ENERGY CAP HLDGS 5.70% Ticker/CUSIP · NEEPRG/65339K605 Yield 5 43% Sector · Other Call 01MAR17 @ 25	39	24.917	971 78	26.25 30 Nov 12	1,023.75	13.89	1,037.64	51.97	55.58
NEXTERA ENERGY CAPITAL PFD Ticker/CUSIP · NEEPRI/65339K803 Yield 5.11% Sector : Other Call 15NOV17 @ 25	84	24 663	2,071 68	25.09 30 Nov 12	2,107 56	0.00	2,107.56	35.88	107 60
PARTNERRE LTD 6 5% Ticker/CUSIP : PREPRD/G68603409 Yield 6 45% Sector · Financials Call 05JAN13 @ 25	175	24 343	4,259 96	25 21 30 Nov 12	4,411 75	71 09	4,482.84	151.79	284.38
PARTNERRE LTD 6 75% Ticker/CUSIP : PREPRC/G6852T204 Yield 6 7% Sector Financials Call 05JAN13 @ 25	82	24.758	2,030 15	25.18 30 Nov 12	2,064.76	34 59	2,099.35	34.61	138.42

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EQUITIES *CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
PARTNERRE LTD 7.25% Ticker/CUSIP · PREPRE/G68603508 Yield 6.76% Sector · Other Call 01JUN16 @ 25	58	25 127	1,457.35	26 83 30 Nov 12	1,556.14	26.28	1,582.42	98.79	105 15
PNC FINANCIAL SCVS GRP 6.125% Ticker/CUSIP · PNCPRP/693475857 Yield 5.62% Sector : Other Call 01MAY22 @ 25	228	25 386	5,787.97	27 26 30 Nov 12	6,215.28	0 00	6,215 28	427 31	349 07
PROTECTIVE LIFE CORP 6 25% Ticker/CUSIP PLPRC/743674608 Yield 6.04% Sector Other Call 15MAY17 @ 25	71	24.708	1,754.30	25.89 30 Nov 12	1,838 19	0 00	1,838 19	83 89	110.97
PRUDENTIAL FINANCIAL INC 9 00% Ticker/CUSIP PHR/744320508 Yield 8 77% Sector · Financials Call 15JUN13 @ 25	163	28 345	4,620.17	25.67 30 Nov 12	4,184 21	91.69	4,275.90	(435 96)	366.75
PRUDENTIAL FINANCIAL INC Ticker/CUSIP PRU3937566/744320607 Sector Other	43	24.699	1,062 04	25.00 29 Nov 12	1,075 00	0 00	1,075 00	12 96	0 00
PRUDENTIAL PLC PFD 6 75% Ticker/CUSIP PUKPR/G7293H114 Yield 6.62% Sector Financials Call 04JAN13 @ 25	46	25.544	1,175.03	25.49 30 Nov 12	1,172.54	0.00	1,172.54	(2 49)	77.65
PS BUSINESS PARKS 6 00% Ticker/CUSIP . PSBPRT/69360J685 Yield 5 81% Sector Other Call 14MAY17 @ 25	123	24 794	3,049.66	25 84 30 Nov 12	3,178 32	0.00	3,178 32	128 66	184.50

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Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
PS BUSINESS PARKS INC DEP Ticker/CUSIP PSBPRU/69360J669 Yield 5.73% Sector · Financials	25	24.981	624.52	25.08 30 Nov 12	627 00	0 00	627.00	2.48	35.95
PS BUSINESS PARKS PREF 6.45% Ticker/CUSIP · PSBPRS/69360J719 Yield 6.09% Sector : Other Call 18JAN17 @ 25	77	24 920	1,918.83	26.67 30 Nov 12	2,053.59	0.00	2,053.59	134.76	125.13
PUBLIC STORAGE 5 375 CUM PFD Ticker/CUSIP . PSAPRV/74460W800 Yield 5.26% Sector : Other	42	24.715	1,038.02	25.57 30 Nov 12	1,073.94	0.00	1,073.94	35.92	56.45
PUBLIC STORAGE 5.625% Ticker/CUSIP · PSAPRU/74460W602 Yield 5.4% Sector : Other Call 15JUN17 @ 25	40	24.783	991.33	26.03 30 Nov 12	1,041.20	0.00	1,041.20	49.87	56.24
PUBLIC STORAGE Ticker/CUSIP · PSAPRO/74460D182 Yield 6 35% Sector . Financials Call 15APR15 @ 25	19	27.100	514.90	27.06 30 Nov 12	514.14	0.00	514.14	(0.76)	32 66
PUBLIC STORAGE PREF 6.35% Ticker/CUSIP : PSAPRR/74460D125 Yield 5.89% Sector Other Call 26JUL16 @ 25	112	24.858	2,784.06	26.95 30 Nov 12	3,018.40	0.00	3,018.40	234 34	177.74
PUBLIC STORAGE PREF 6 50% Ticker/CUSIP PSAPRQ/74460D141 Yield 5 84% Sector · Other Call 14APR16 @ 25	75	25 474	1,910 55	27.83 30 Nov 12	2,087.25	0.00	2,087.25	176 70	121.88

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
PUBLIC STORAGE PREFERRED 5.75% Ticker/CUSIP . PSAPRT/74460W404 Yield 5.44% Sector . Other Call 13MAR17 @ 25	136	24 879	3,383 58	26.41 30 Nov 12	3,591 76	0.00	3,591.76	208 18	195.57
PUBLIC STORAGE PREFERRED 5 90% Ticker/CUSIP PSAPRS/74460W206 Yield 5.59% Sector Other Call 12JAN17 @ 25	113	24 881	2,811.55	26 39 30 Nov 12	2,982 07	0 00	2,982 07	170.52	166 68
QWEST CORP 7 00% Ticker/CUSIP CTU/74913G501 Yield 6 58% Sector . Other Call 01JUL17 @ 25	39	24 964	973.59	26 59 30 Nov 12	1,037 01	0 00	1,037 01	63 42	68.25
QWEST CORP 7 50% Ticker/CUSIP · CTW/74913G303 Yield 6.9% Sector . Other Call 15SEP16 @ 25	132	25 061	3,308 10	27 17 30 Nov 12	3,586 44	0 00	3,586 44	278.34	247.50
QWEST CORPORATION 7.00% Ticker/CUSIP : CTX/74913G402 Yield 6.59% Sector Other Call 01APR17 @ 25	81	24 969	2,022.46	26.55 30 Nov 12	2,150.55	0.00	2,150.55	128 09	141 75
QWEST CORPORATION 7 375% Ticker/CUSIP . CTQ/74913G204 Yield 6 92% Sector Other Call 01JUN16 @ 25	122	25 198	3,074 21	26.65 30 Nov 12	3,251.30	56.23	3,307 53	177.09	224 97
RAYMOND JAMES FIN INC 6 90% Ticker/CUSIP RJD/754730208 Sector Other Call 15MAR17 @ 25	47	25 461	1,196 66	27.67 30 Nov 12	1,300 49	0.00	1,300 49	103 83	0 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency· USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
REALTY INCOME CORP 6.625% Ticker/CUSIP : OPRF/756109807 Sector · Other Call 15FEB17 @ 25	117	25.007	2,925.84	26.75 30 Nov 12	3,129.75	0.00	3,129 75	203 91	0 00
REGENCY CENTERS CORP 6% SER 7 Ticker/CUSIP · REGPRG/758849806 Yield 5 94% Sector · Other	27	24 800	669.60	25.25 30 Nov 12	681.75	0 00	681.75	12.15	40 50
REGENCY CENTERS CORP 6 625% Ticker/CUSIP REGPRF/758849707 Yield 6.15% Sector · Other Call 16FEB17 @ 25	78	24.997	1,949.80	26 93 30 Nov 12	2,100.54	0.00	2,100.54	150.74	129.17
RENAISSANCERE HLDGS 6 08% Ticker/CUSIP · RNRPRC/G7498P309 Yield 6 08% Sector . Financials Call 07DEC12 @ 25	32	24.190	774 07	25 01 30 Nov 12	800.32	12.16	812.48	26 25	48.64
RENAISSANCERE HLDGS 6.60% Ticker/CUSIP · RNRPRD/G7498P408 Yield 6.56% Sector . Financials Call 05JAN13 @ 25	191	25.373	4,846.29	25 14 30 Nov 12	4,801.74	78.79	4,880.53	(44 55)	315.15
ROYAL BK SCOTLAND GROUP Ticker/CUSIP RBSPRL/780097788 Yield 6.18% Sector . Financials Call 04JAN13 @ 25	424	16.762	7,107.29	23 28 30 Nov 12	9,870.72	0.00	9,870.72	2,763 43	609.71
ROYAL BK SCOTLAND GROUP PL Ticker/CUSIP . RBSPRS/780097739 Yield 7 08% Sector · Financials Call 04JAN13 @ 25	76	20 250	1,539 00	23 31 30 Nov 12	1,771 56	0.00	1,771 56	232.56	125.40

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INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
ROYAL BK SCOTLAND GRP 6 35 Ticker/CUSIP RBSPRN/780097770 Yield 6.91% Sector · Financials Call 04JAN13 @ 25	45	19.900	895 50	22.96 30 Nov 12	1,033.20	0 00	1,033.20	137 70	71 42
ROYAL BK SCOTLAND GRP 6 4% Ticker/CUSIP RBSPRM/780097796 Yield 6 98% Sector · Financials Call 04JAN13 @ 25	114	19.179	2,186.46	22.93 30 Nov 12	2,614.02	0.00	2,614.02	427 56	182 40
SANTANDER FINANCE PFD Ticker/CUSIP SANPRE/E8683R144 Yield 9.59% Sector : Financials Call 29SEP14 @ 25	59	29 250	1,725.75	27 37 30 Nov 12	1,614 83	0.00	1,614 83	(110.92)	154.88
SCANA CORPORATION 7.70% Ticker/CUSIP : SCU/80589M201 Yield 7.09% Sector . Utilities Call 30JAN15 @ 25	18	28.302	509 44	27 14 30 Nov 12	488.52	0 00	488.52	(20.92)	34.65
SENIOR HOUSING PROP TR 5.625% Ticker/CUSIP · SNHN/81721M208 Yield 5.77% Sector Other Call 01AUG17 @ 25	88	24 266	2,135 45	24.36 30 Nov 12	2,143.68	0 00	2,143 68	8 23	123 73
STANLEY BLACK & DECKER 5 75% Ticker/CUSIP SWJ/854502705 Yield 5 49% Sector : Other Call 25JUL17 @ 25	86	25 246	2,171 14	26 17 30 Nov 12	2,250 62	0 00	2,250 62	79.48	123 67
STATE STREET CORP Ticker/CUSIP STTPRC/857477509 Yield 5.22% Sector : Other	42	24 900	1,045.80	25 13 30 Nov 12	1,055 46	17 46	1,072.92	9 66	55 15

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
TCF FINANCIAL CORP 7.50% Ticker/CUSIP TCBPRB/872277207 Yield 6 95% Sector : Other Call 25JUN17 @ 25	64	26.043	1,666.72	26.9923 30 Nov 12	1,727.51	30.00	1,757 51	60 79	120.00
TELEPHONE & DATA SYS 6 875% Ticker/CUSIP . TDE/879433845 Yield 6.46% Sector · Other Call 15NOV15 @ 25	44	25 234	1,110 29	26.61 30 Nov 12	1,170.84	0.00	1,170.84	60.55	75 64
TELEPHONE & DATA SYS 7.000% Ticker/CUSIP : TDJ/879433837 Yield 6.43% Sector Other Call 15MAR16 @ 25	74	25 345	1,875.50	27.23 30 Nov 12	2,015.02	0.00	2,015.02	139 52	129.50
THE GOLDMAN SACHS GROUP INC Ticker/CUSIP : GSF/38145X111 Yield 5 81% Sector : Other Call 01NOV15 @ 25	266	24.846	6,608.99	26.35 30 Nov 12	7,009.10	0.00	7,009.10	400.11	407.25
THE GOLDMAN SACHS GRP 6 50% NT Ticker/CUSIP : GSJ/38144G184 Yield 6 02% Sector Other Call 01NOV16 @ 25	271	24 673	6,686.26	27.00 30 Nov 12	7,317.00	0 00	7,317 00	630 74	440.38
TORCHMARK CORP JR SUB DEB Ticker/CUSIP : TMKPRB/891027302 Yield 5 79% Sector · Other Call 15DEC17 @ 25	25	25.036	625.90	25 35 30 Nov 12	633 75	8.26	642.01	7 85	36 73
U S BANCORP PREF 6 50% Ticker/CUSIP USBPRM/902973833 Yield 5 64% Sector . Other Call 15JAN22 @ 25	63	27 864	1,755.44	28.82 30 Nov 12	1,815 66	0.00	1,815.66	60.22	102.38

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INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
UBS PREFERRED FUNDING TR IV Ticker/CUSIP UBSPRD/90263W201 Yield 1 43% Sector Financials Call 05JAN13 @ 25	196	18 559	3,637.62	15.86 30 Nov 12	3,108 56	3.71	3,112.27	(529.06)	44.49
US BANCORP 6.00% Ticker/CUSIP : USBPRN/902973817 Yield 5 44% Sector . Other Call 15APR17 @ 25	55	25 413	1,397.70	27.58 30 Nov 12	1,516 90	0 00	1,516 90	119 20	82.50
US BANCORP FLOATING RATE Ticker/CUSIP USBPRH/902973155 Yield 3 64% Sector . Financials Call 07DEC12 @ 25	35	22.850	799 75	24.58 30 Nov 12	860 30	0 00	860.30	60 55	31.29
US CELLULAR CORP 6 95% Ticker/CUSIP UZA/911684405 Yield 6.3% Sector Other Call 15MAY16 @ 25	38	24 937	947 60	27 56 30 Nov 12	1,047 28	0.00	1,047 28	99 68	66 01
VORNADO REALTY L.P 7 875% Ticker/CUSIP . VNOD/929043602 Yield 7.14% Sector . Financials Call 01OCT14 @ 25	265	27 350	7,247.75	27 5625 30 Nov 12	7,304 06	0.00	7,304 06	56 31	521.79
VORNADO REALTY TRUST 6 875% Ticker/CUSIP VNOPRJ/929042869 Yield 6 32% Sector : Other Call 20APR16 @ 25	52	25 185	1,309 63	27 21 30 Nov 12	1,414 92	0.00	1,414 92	105 29	89 39
VORNADO REALTY TRUST Ticker/CUSIP : VNOPRK/929042851 Yield 5 59% Sector Other Call 18JUL17 @ 25	58	25 148	1,458 56	25 48 30 Nov 12	1,477 84	0 00	1,477 84	19 28	82 65

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Preferred Stock *CONTINUED*									
WACHOVIA PFD FUNDING 7.25% PFD Ticker/CUSIP WNAPR/92977V206 Yield 6 71% Sector : Financials Call 31DEC22 @ 25	187	26.113	4,883.16	27.03 30 Nov 12	5,054 61	0 00	5,054 61	171.45	339 03
WEINGARTEN REALTY INVEST PFD Ticker/CUSIP · WRD/948741848 Yield 7 11% Sector : Financials Call 15SEP14 @ 20	21	23.969	503.35	22.80 30 Nov 12	478.80	8.51	487.31	(24.55)	34.02
WEINGARTEN REALTY INVST 6 50% Ticker/CUSIP WRIPRF/948741889 Yield 6.41% Sector . Financials Call 05JAN13 @ 25	93	24.880	2,313 84	25.36 30 Nov 12	2,358.48	0.00	2,358.48	44.64	151 13
WEINGARTEN REALTY INVST 6.75% Ticker/CUSIP . WRIPRD/948741509 Yield 6 66% Sector Financials Call 05JAN13 @ 25	23	25.100	577 30	25.36 30 Nov 12	583.28	0.00	583.28	5.98	38 82
WELLS FARGO & CO 8.00% PFD Ticker/CUSIP WFCPRJ/949746879 Yield 6 78% Sector . Financials Call 15DEC17 @ 25	19	29.200	554.80	29.50 30 Nov 12	560.50	9.50	570.00	5.70	38 00
XCEL ENERGY INC 7.60% Ticker/CUSIP XCJ/98389B886 Yield 7 44% Sector Utilities Call 16JAN13 @ 25	101	27.577	2,785.25	25 54 30 Nov 12	2,579 54	0 00	2,579 54	(205.71)	191 90
Total Equities			**1,661,617.78**		**2,851,794.82**	**4,234.62**	**2,856,029.44**	**1,190,177.04**	**44,432.91**

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INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME 25.78% *SORTED BY CALL DATE/MATURITY DATE*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds									
U S TREASURY NOTES SER AE-2017 MAT 31OCT17 Rate 75% Pay Frqncy Semi Annual CUSIP 912828TW0 Yield 6%	55,000	100.332	55,182 62	100.711 30 Nov 12	55,391 05	35.13	55,426.18	208.43	412.50
U S TREASURY NOTES SER H-2019 MAT 28FEB19 Rate 1.375% Pay Frqncy Semi Annual S&P N/A Moodys Aaa CUSIP 912828SH4 Yield 87%	26,000	99.531	25,878.12	103.086 30 Nov 12	26,802.36	90.86	26,893.22	924.24	357.50
U S TREASURY NOTES SER F-2021 MAT 15NOV21 Rate 2% Pay Frqncy Semi Annual S&P N/A Moodys Aaa CUSIP 912828RR3 Yield 1 42%	49,000	100.422	49,206 72	104 898 30 Nov 12	51,400.02	43 31	51,443.33	2,193.30	980.00
UNITED STATES TREAS NOTES MAT 15JUL22 Rate 125% Pay Frqncy Semi Annual S&P N/A Moodys Aaa CUSIP 912828TE0	30,000	108 412	32,523.59	110.318 30 Nov 12	33,095 40	12 53	33,107.93	571 81	37 50
US TSY INFLATION INDEX BDS CPI MAT 15JAN29 Rate 2 5% Pay Frqncy Semi Annual S&P NR Moodys Aaa CUSIP 912810PZ5	6,000	152 910	9,174.62	155.4712 30 Nov 12	9,328.27	56.66	9,384.93	153.65	150 00
FNMA PL#735893 DTD 09/01/2005 MAT 01OCT35 Rate 5% Pay Frqncy Monthly CUSIP 31402RRN1 Yield 4.38%	0 01	100.000	0.01	108 905 30 Nov 12	0 01	0.00	0 01	0 00	0 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds *CONTINUED*									
FNMA PL#745418 DTD 03/01/2006 MAT 01APR36 Rate 5.5% Pay Frqncy Monthly CUSIP 31403DDX4 Yield 4.83%	0.01	100.000	0 01	109.335 30 Nov 12	0 01	0.00	0.01	0.00	0.00
FNMA PL#934231 DTD 12/01/2008 MAT 01JAN39 Rate 5% Pay Frqncy Monthly CUSIP 31412TLL5 Yield 4 45%	8,530.05	107 390	9,160.46	108 402 30 Nov 12	9,246.74	35 54	9,282.28	86.28	426 50
FNMA PL#AA5224 DTD 03/01/2009 MAT 01MAR39 Rate 4 5% Pay Frqncy Monthly CUSIP 31416NYW6 Yield 4 02%	36,779.53	104.297	38,359.90	107.788 30 Nov 12	39,643.92	137.92	39,781.84	1,284.02	1,655 08
FNMA PL#AA7681 DTD 06/01/2009 MAT 01JUN39 Rate 4.5% Pay Frqncy Monthly CUSIP 31416RRB1 Yield 4.02%	6,407.54	107.937	6,916.13	107.788 30 Nov 12	6,906.56	24.03	6,930.59	(9.57)	288.34
FNMA PL#AE1557 DTD 08/01/2010 MAT 01AUG40 Rate 5% Pay Frqncy Monthly CUSIP 31419BWT8 Yield 4.44%	20,196.85	106 953	21,601.15	108.902 30 Nov 12	21,994.77	84.15	22,078.92	393 62	1,009 84
FNMA PL#AJ4052 DTD 10/01/2011 MAT 01OCT41 Rate 4% Pay Frqncy Monthly CUSIP 3138AVQE8 Yield 3 59%	9,445.04	105.891	10,001 43	107.299 30 Nov 12	10,134 43	31.48	10,165.91	133.00	377 80
FNMA PL#AB4115 DTD 11/01/2011 MAT 01DEC41 Rate 4% Pay Frqncy Monthly CUSIP 31417ASD2 Yield 3.59%	28,054 39	105.719	29,658 76	107.299 30 Nov 12	30,102.08	93.51	30,195.59	443 32	1,122.17

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INVESTMENT POSITIONS - PRINCIPAL

Reference Currency· USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds *CONTINUED*									
FNMA PL#AB4297 DTD 12/01/2011 MAT 01JAN42 Rate 3 5% Pay Frqncy Monthly CUSIP 31417AX38 Yield 3 14%	26,219 53	103.109	27,034.79	106.844 30 Nov 12	28,013.99	76.47	28,090 46	979 20	917 69
FNMA PL#AL1948 DTD 06/01/2012 MAT 01JAN42 Rate 4% Pay Frqncy Monthly CUSIP 3138EJEW4 Yield 3 44%	33,768 91	109 328	36,918.92	110.174 30 Nov 12	37,204 56	112 56	37,317 12	285 64	1,350.75
FNMA PL#AB5468 DTD 05/01/2012 MAT 01JUN42 Rate 3 5% Pay Frqncy Monthly CUSIP 31417CCE3 Yield 3 13%	28,469 77	104.969	29,884.36	107.094 30 Nov 12	30,489.42	83 04	30,572.46	605 06	996.44
FNMA PL#AB5670 DTD 06/01/2012 MAT 01JUL42 Rate 3 5% Pay Frqncy Monthly CUSIP 31417CJQ9 Yield 3 13%	44,949.1	107 188	48,179.82	107 188 30 Nov 12	48,180 04	131.10	48,311 14	0 22	1,573 22
FNMA PL#AB7079 DTD 11/01/2012 MAT 01NOV42 Rate 3% Pay Frqncy Monthly CUSIP 31417D2M4 Yield 2 74%	31,000	105 398	32,673 52	105 31 30 Nov 12	32,646 10	77 50	32,723 60	(27 42)	930 00
Mutual Funds									
FIXED INCOME SHARES FD Rate 539% CUSIP 01882B304 Yield 4 78%	18,662 753	10.628	198,347.12	11 27 30 Nov 12	210,329.23	0 00	210,329.23	11,982 11	10,059.22
FIXED INCOME SHARES FD SERIES Rate .663% CUSIP 01882B205 Yield 4.84%	15,280 939	13.243	202,367.36	13.76 30 Nov 12	210,265.72	0 00	210,265.72	7,898 36	10,177 11

Citi Trust

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency· USD

***FIXED INCOME** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Mutual Funds *CONTINUED*									
TEMPLETON GLOBAL BOND FUND Rate .57% CUSIP 880208103 Yield 4.19%	16,593.774	13.870	230,155 64	13.61 30 Nov 12	225,841 26	0.00	225,841.26	(4,314.38)	9,458.45
VANGUARD LONG TERM CORPORATE Rate 504% CUSIP 922031109 Yield 4 58%	62,956 683	10.325	650,000.00	11.05 30 Nov 12	695,671 35	0 00	695,671.35	45,671 35	31,856.08
Total Fixed Income			**1,743,225.05**		**1,812,687.29**	**1,125.79**	**1,813,813.08**	**69,462.24**	**74,138.19**

ALTERNATIVE INVESTMENTS 20.81% *SORTED ALPHABETICALLY*

Description	Investment	Quantity	NAV	Distribution	Estimated Asset Value[1]	Valuation Date	Post Valuation Activity[2]	Est. Asset Val. + Post Val. Activity + Distributions[3]
Hedge Funds								
ACL Alternative Fund Cl C USD -Depreciation Deposit CUSIP 415990415	5,305.07				5,059.60	20 Jul 12		5,059.60
ACL Alternative Fund Cl C USD CUSIP 715992830	295,435.27	2,947.35	85 63		252,381.85	30 Oct 12		252,381.85
Diversified Inflation Strategies Ltd LA CTP CUSIP 99PPFD303	✓125,000 00						125,000.00	125,000 00
HedgeForum Artha Emerging Markets LLC Cl B CUSIP 815990247	100,000.00	99 79	927 86		92,593.10	31 Oct 12		92,593 10

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INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

ALTERNATIVE INVESTMENTS CONTINUED

Description	Investment	Quantity	NAV	Distribution	Estimated Asset Value[1]	Valuation Date	Post Valuation Activity[2]	Est. Asset Val. + Post Val. Activity + Distributions[3]
Hedge Funds *CONTINUED*								
HedgeForum CFM Ltd Cl A USD CUSIP 851994947	✓100,000 00	90 12	1,069 47		96,379 68	31 Oct 12		96,379 68
HedgeForum SCF Ltd Cl A CUSIP 816995526	✓200,000 00	168 40	1,295 59		218,176 94	31 Oct 12		218,176 94
HedgeForum Saba Ltd Cl A CUSIP 851995613	✓200,000 00	191.68	1,016.22		194,785 83	31 Oct 12		194,785 83
HedgeForum Third Point LLC Cl A CUSIP 143996395	150,000.00	110 68	1,379 39		152,668 46	31 Oct 12		152,668 46
SkyBridge Multi-Adviser Hedge Fund Portfolios LLC G CUSIP 424998730	304,737 97	262 24	1,245.98		326,744.55	31 Oct 12		326,744.55
Total Hedge Funds (USD)[4]	1,480,478 31		7,020 15		1,338,790.01			1,463,789 99

Total Alternative Investments	**Estimated Asset Value (USD)[3,4]**	**1,338,790.01**		
	Post Valuation Activity (USD)[3,4]		**125,000.00**	
	Estimated Asset Value[1] + Post Valuation Activity (USD)[3,4]			**1,463,790.01**

[1] Estimated Asset Values are based on valuations received directly from the related funds or their agents and are subject to change Refer to the "Notice" section at end of statement

[2] Post Valuation Activity reflects investor contributions minus fund distributions since the Valuation Date

[3] These figures represent an aggregation of the noted columns and do not reflect a current valuation of the related investment

[4] The aggregation of individual figures to create totals involves adding together values that are computed based on different accounting valuation methodologies and valuation dates

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

	Total Cost	Market Value	Accrued Interest or Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
TOTAL INVESTMENT POSITIONS - PRINCIPAL	4,306,709.41	7,030,138.70	5,372.54	7,035,511.24	1,259,639.28	118,872.94

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INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS **100.00%** *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0 000	0 00	0 00	0 00	0 00	0.00	0 00	0.00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield 05%	41,705.13	1.000	41,705 13	1 00	41,705.13	2.87	41,708 00	0 00	20 86
Total Cash and Short Term Investments			**41,705.13**		**41,705.13**	**2.87**	**41,708.00**	**0.00**	**20.86**
TOTAL INVESTMENT POSITIONS - INCOME			**41,705.13**		**41,705.13**	**2.87**	**41,708.00**	**0.00**	**20.86**
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME			**4,348,414.54**		**7,071,843.83**	**5,375.41**	**7,077,219.24**	**1,259,639.28**	**118,893.80**

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Description	Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired	Gain or (loss)	Date sold
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS									5,264.	
.4413 ACCO BRANDS CORP PROPERTY TYPE: SECURITIES	5.00		5.00					05/20/2011		05/09/2012
21. ACCO BRANDS CORP PROPERTY TYPE: SECURITIES	201.00		234.00					05/20/2011	-33.00	05/29/2012
3.5 THE ADT CORPORATION PROPERTY TYPE: SECURITIES	136.00		119.00					03/23/2012	17.00	10/04/2012
2.5 THE ADT CORPORATION PROPERTY TYPE: SECURITIES	97.00		79.00					05/20/2011	18.00	10/04/2012
7. THE ADT CORPORATION PROPERTY TYPE: SECURITIES	266.00		223.00					05/20/2011	43.00	10/23/2012
22. THE ADT CORPORATION PROPERTY TYPE: SECURITIES	970.00		958.00					11/28/2012	12.00	11/28/2012
11. AGCO CORP PROPERTY TYPE: SECURITIES	501.00		445.00					08/05/2011	56.00	12/05/2011
180. AES CORP PROPERTY TYPE: SECURITIES	2,160.00		2,288.00					07/22/2011	-128.00	12/05/2011
58. AES CORP PROPERTY TYPE: SECURITIES	696.00		748.00					07/08/2011	-52.00	12/05/2011
144. AIA GROUP LTD PROPERTY TYPE: SECURITIES	2,254.00		2,218.00					10/05/2012	36.00	11/27/2012

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		400000. AIG-FP PRIV FUND LTD PROPERTY TYPE: SECURITIES				2/2	02/23/2007	02/24/2012
493,060.00		400,000.00					93,060.00	
		146. AT&T INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,240.00		4,578.00					-338.00	
		19. AARONS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
546.00		523.00					23.00	
		15. ABBOTT LABS PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
922.00		805.00					117.00	
		150. ABBOTT LABS PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
9,292.00		8,052.00					1,240.00	
		80. ABERCROMBIE & FITCH CO PROPERTY TYPE: SECURITIES					11/04/2011	02/27/2012
3,848.00		4,954.00					-1,106.00	
		56. ACTUANT CORP CL A NEW 00 PROPERTY TYPE: SECURITIES					11/16/2011	12/05/2011
1,273.00		1,299.00					-26.00	
		19. ACUITY BRANDS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,227.00		1,137.00					90.00	
		99. ADIDAS AG ADR PROPERTY TYPE: SECURITIES					06/22/2011	12/05/2011
3,397.00		3,719.00					-322.00	
		15. ADIDAS AG ADR PROPERTY TYPE: SECURITIES					09/04/2012	11/27/2012
643.00		595.00					48.00	
		58. ADIDAS AG ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,486.00		2,145.00					341.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		122. AETNA US HEALTHCARE - WI PROPERTY TYPE: SECURITIES					05/20/2011	01/11/2012
5,383.00		5,526.00					-143.00	
		109. AETNA US HEALTHCARE - WI PROPERTY TYPE: SECURITIES					10/17/2011	05/29/2012
4,540.00		4,103.00					437.00	
		73. AETNA US HEALTHCARE - WI PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
3,040.00		3,307.00					-267.00	
		17. AFFILIATED MANAGERS GROUP INC PROPERTY TYPE: SECURITIES					05/20/2011	09/19/2012
2,145.00		1,790.00					355.00	
		15. AFFILIATED MANAGERS GROUP INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,920.00		1,580.00					340.00	
		31. AIRGAS INC PROPERTY TYPE: SECURITIES					08/05/2011	12/05/2011
2,387.00		2,010.00					377.00	
		6. AIRGAS INC PROPERTY TYPE: SECURITIES					08/07/2012	11/27/2012
528.00		496.00					32.00	
		316. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,145.00		5,153.00					-2,008.00	
		30. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	03/02/2012
307.00		489.00					-182.00	
		22. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	03/05/2012
218.00		359.00					-141.00	
		45. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	03/14/2012
461.00		734.00					-273.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		37. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	05/22/2012
315.00		603.00					-288.00	
		26. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
228.00		424.00					-196.00	
		69. ALCOA INC PROPERTY TYPE: SECURITIES					05/20/2011	06/01/2012
580.00		1,125.00					-545.00	
		51. ALCOA INC PROPERTY TYPE: SECURITIES					06/03/2011	06/08/2012
432.00		820.00					-388.00	
		35. ALCOA INC PROPERTY TYPE: SECURITIES					08/03/2011	06/08/2012
296.00		494.00					-198.00	
		52. INVERNESS MED INNOVATIONS IN PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,201.00		2,112.00					-911.00	
		55. ALEXION PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
4,757.00		2,636.00					2,121.00	
		40. ALEXION PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					05/20/2011	07/13/2012
3,874.00		1,917.00					1,957.00	
		70. ALEXION PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
6,685.00		3,355.00					3,330.00	
		33. ALLEGHENY TECHNOLOGIES INC PROPERTY TYPE: SECURITIES					11/23/2011	12/05/2011
1,626.00		1,617.00					9.00	
		35. ALLERGAN INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
3,275.00		2,926.00					349.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		67. ALLERGAN INC PROPERTY TYPE: SECURITIES					05/20/2011	07/31/2012
5,634.00		5,604.00					30.00	
		20. ALLERGAN INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,831.00		1,672.00					159.00	
		70. ALLERGAN INC PROPERTY TYPE: SECURITIES					11/13/2012	11/28/2012
6,410.00		6,379.00					31.00	
		10. ALLERGAN INC PROPERTY TYPE: SECURITIES					10/17/2012	11/28/2012
918.00		953.00					-35.00	
		977.849 ALLIANZ GL INV FXD INC SH-C PROPERTY TYPE: SECURITIES					05/20/2011	08/30/2012
13,367.00		13,015.00					352.00	
		1532. ALLIANZ GL INV FXD INC SH-C PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
21,050.00		20,391.00					659.00	
		2664.631 ALLIANZ GL INV FXD INC SH-M PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
30,004.00		28,325.00					1,679.00	
		115. ALLSCRIPTS HEALTHCARE SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/31/2011	12/05/2011
2,229.00		2,311.00					-82.00	
		30. AMAZON COM INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
6,777.00		5,969.00					808.00	
		65. AMAZON COM INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
15,972.00		12,933.00					3,039.00	
		15. AMAZON COM INC PROPERTY TYPE: SECURITIES					06/06/2012	11/28/2012
3,703.00		3,312.00					391.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		115. AMERICAN ELEC PWR INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,521.00		4,440.00					81.00	
		117. AMERICAN ELEC PWR INC PROPERTY TYPE: SECURITIES					05/20/2011	01/18/2012
4,855.00		4,528.00					327.00	
		5. AMERICAN ELEC PWR INC PROPERTY TYPE: SECURITIES					05/20/2011	04/02/2012
195.00		193.00					2.00	
		99. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,792.00		5,083.00					-291.00	
		83. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					10/24/2011	04/30/2012
4,991.00		4,140.00					851.00	
		61. AMERICAN INTL GROUP INC PROPERTY TYPE: SECURITIES					10/09/2012	11/28/2012
1,988.00		2,160.00					-172.00	
		95. AMERIPRISE FINANCIAL INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,424.00		5,917.00					-1,493.00	
		30. AMETEK INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	06/19/2012
1,577.00		1,285.00					292.00	
		29. AMETEK INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,067.00		828.00					239.00	
		29. ANADARKO PETE CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,352.00		2,174.00					178.00	
		6. ANADARKO PETE CORP PROPERTY TYPE: SECURITIES					05/20/2011	02/10/2012
521.00		450.00					71.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		7. ANADARKO PETE CORP PROPERTY TYPE: SECURITIES					05/20/2011	02/27/2012
608.00		525.00					83.00	
		5. ANADARKO PETE CORP PROPERTY TYPE: SECURITIES					05/20/2011	03/20/2012
412.00		375.00					37.00	
		3. ANADARKO PETE CORP PROPERTY TYPE: SECURITIES					05/20/2011	03/21/2012
243.00		225.00					18.00	
		10. ANADARKO PETE CORP PROPERTY TYPE: SECURITIES					09/30/2011	03/22/2012
784.00		688.00					96.00	
		23. ANHEUSER BUSCH INBEV SA/NV PROPERTY TYPE: SECURITIES					10/15/2012	11/28/2012
1,967.00		1,999.00					-32.00	
		25. ANSYS INC COM PROPERTY TYPE: SECURITIES					05/20/2011	09/19/2012
1,833.00		1,429.00					404.00	
		110. APACHE CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/24/2012
10,025.00		13,625.00					-3,600.00	
		6. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	04/17/2012
3,631.00		2,026.00					1,605.00	
		15. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
8,538.00		5,066.00					3,472.00	
		1. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	05/17/2012
534.00		338.00					196.00	
		9. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
5,204.00		3,039.00					2,165.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		3. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	10/08/2012
1,919.00		1,013.00					906.00	
		10. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	10/11/2012
6,292.00		3,377.00					2,915.00	
		31. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
18,038.00		10,469.00					7,569.00	
		13. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
7,579.00		4,390.00					3,189.00	
		122. APPLIED MATERIALS INC PROPERTY TYPE: SECURITIES					05/20/2011	02/16/2012
1,586.00		1,724.00					-138.00	
		455. APPLIED MATERIALS INC PROPERTY TYPE: SECURITIES					05/20/2011	02/28/2012
5,722.00		6,428.00					-706.00	
		509. APPLIED MATERIALS INC PROPERTY TYPE: SECURITIES					12/14/2011	03/06/2012
6,117.00		6,240.00					-123.00	
		81. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES					05/20/2011	12/01/2011
1,541.00		2,650.00					-1,109.00	
		56. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,111.00		1,832.00					-721.00	
		107. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES					05/20/2011	12/09/2011
2,010.00		3,501.00					-1,491.00	
		30. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES					05/20/2011	12/12/2011
518.00		982.00					-464.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		30. ARCHER DANIELS MIDLAND PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
926.00		942.00					-16.00	
		35. ARCHER DANIELS MIDLAND PROPERTY TYPE: SECURITIES					05/20/2011	05/09/2012
1,152.00		1,099.00					53.00	
		365. ARCHER DANIELS MIDLAND PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
11,844.00		11,457.00					387.00	
		70. ARM HOLDINGS PLC-SPONS ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,954.00		1,968.00					-14.00	
		50. ARM HOLDINGS PLC-SPONS ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,822.00		1,406.00					416.00	
		348. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,252.00		4,900.00					-648.00	
		41. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	01/24/2012
547.00		577.00					-30.00	
		86. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	01/25/2012
1,142.00		1,211.00					-69.00	
		85. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	09/07/2012
1,310.00		1,197.00					113.00	
		199. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,552.00		2,802.00					750.00	
		23. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
403.00		324.00					79.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
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		16. ASSA ABLOY AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/29/2012
283.00		225.00					58.00	
		15. ATHENAHEALTH INC PROPERTY TYPE: SECURITIES					03/14/2012	05/07/2012
1,099.00		1,125.00					-26.00	
		25. ATHENAHEALTH INC PROPERTY TYPE: SECURITIES					03/14/2012	11/28/2012
1,581.00		1,875.00					-294.00	
		216. ATMEL CORP PROPERTY TYPE: SECURITIES					12/02/2011	12/06/2011
1,972.00		2,044.00					-72.00	
		129. AVIS BUDGET GROUP PROPERTY TYPE: SECURITIES					08/19/2011	12/05/2011
1,547.00		1,854.00					-307.00	
		1. BAC CAP TR VGTD CAP SECS 6% 11/3/34 PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
24.00		24.00						
		34. BAC CAP TR VGTD CAP SECS 6% 11/3/34 PROPERTY TYPE: SECURITIES					05/20/2011	10/12/2012
862.00		805.00					57.00	
		31. BAC CAPITAL TRUST X 6.250% PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
775.00		747.00					28.00	
		1. BAC CAPITAL TRUST X 6.250% PROPERTY TYPE: SECURITIES					04/12/2012	07/25/2012
25.00		24.00					1.00	
		41. BG GROUP PLC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,388.00		4,638.00					-250.00	
		48. BG GROUP PLC PROPERTY TYPE: SECURITIES					05/20/2011	03/28/2012
1,105.00		1,086.00					19.00	

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		180. BG GROUP PLC PROPERTY TYPE: SECURITIES					05/20/2011	05/17/2012
3,535.00		4,077.00					-542.00	
		70. BG GROUP PLC PROPERTY TYPE: SECURITIES					05/20/2011	11/01/2012
1,241.00		1,584.00					-343.00	
		109. BG GROUP PLC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,848.00		2,466.00					-618.00	
		39. BAC CAPITAL TR XII 6.875% PFD PROPERTY TYPE: SECURITIES					05/20/2011	10/09/2012
992.00		975.00					17.00	
		3.00013 ADR BAIDU INC REG PROPERTY TYPE: SECURITIES					04/25/2012	11/27/2012
284.00		400.00					-116.00	
		10.99987 ADR BAIDU INC REG PROPERTY TYPE: SECURITIES					04/26/2012	11/27/2012
1,041.00		1,473.00					-432.00	
		82. BAKER HUGHES INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,466.00		5,794.00					-1,328.00	
		.99984 BAKER HUGHES INC PROPERTY TYPE: SECURITIES					09/12/2011	01/18/2012
48.00		56.00					-8.00	
		143.00016 BAKER HUGHES INC PROPERTY TYPE: SECURITIES					09/28/2011	01/18/2012
6,835.00		7,616.00					-781.00	
		55. BALLY TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,061.00		2,187.00					-126.00	
		1. BANK OF AMERICA CORP 8.20% PRF PROPERTY TYPE: SECURITIES					02/15/2012	04/12/2012
25.00		25.00						

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		24. BANK OF NEW YORK MELLON CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/03/2012
585.00		679.00					-94.00	
		347. BANK OF NEW YORK MELLON CORP PROPERTY TYPE: SECURITIES					08/25/2011	05/15/2012
7,329.00		9,521.00					-2,192.00	
		72. BANKUNITED INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,613.00		1,904.00					-291.00	
		1. BARCLAYS BK PLC 7.10% PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
25.00		26.00					-1.00	
		72. BAXTER INTL INC PROPERTY TYPE: SECURITIES					04/25/2012	05/29/2012
3,714.00		3,962.00					-248.00	
		150. BAXTER INTL INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
7,737.00		9,064.00					-1,327.00	
		3. BERKLEY W R CAP TR II ORIG PFD 6.75% PROPERTY TYPE: SECURITIES					04/12/2012	10/09/2012
76.00		76.00						
		1. BERKLEY W R CAP TR II ORIG PFD 6.75% PROPERTY TYPE: SECURITIES					05/20/2011	10/09/2012
25.00		25.00						
		32. BERKLEY W R CAP TR II ORIG PFD 6.75% PROPERTY TYPE: SECURITIES					05/20/2011	10/31/2012
805.00		808.00					-3.00	
		5. BIO RAD LABS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
515.00		622.00					-107.00	
		65. BIOMARIN PHARMACEUTICAL INC PROPERTY TYPE: SECURITIES					11/13/2012	11/28/2012
3,177.00		2,852.00					325.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. BIOGEN IDEC INC PROPERTY TYPE: SECURITIES					02/01/2012	04/23/2012
1,255.00		1,211.00					44.00	
		1. BIOGEN IDEC INC PROPERTY TYPE: SECURITIES					11/02/2011	11/28/2012
149.00		115.00					34.00	
		9. BIOGEN IDEC INC PROPERTY TYPE: SECURITIES					02/01/2012	11/28/2012
1,344.00		1,090.00					254.00	
		6. BIOGEN IDEC INC PROPERTY TYPE: SECURITIES					11/02/2011	11/30/2012
902.00		687.00					215.00	
		31. BNY CAP V TR PFD SECS SER F 5.95% PROPERTY TYPE: SECURITIES					05/20/2011	12/09/2011
786.00		783.00					3.00	
		17. BOEING CO PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,259.00		1,319.00					-60.00	
		19. BORG WARNER INC. 00 PROPERTY TYPE: SECURITIES					10/28/2011	05/15/2012
1,425.00		1,437.00					-12.00	
		14. BORG WARNER INC. 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
912.00		994.00					-82.00	
		59. BRISTOL MYERS SQUIBB CO PROPERTY TYPE: SECURITIES					09/24/2012	11/28/2012
1,930.00		1,999.00					-69.00	
		553. BROCADE COMMUNICATIONS SYS ICOM NEW PROPERTY TYPE: SECURITIES					08/03/2011	12/05/2011
3,032.00		3,471.00					-439.00	
		20. BURBERRY GROUP PLC PROPERTY TYPE: SECURITIES					10/22/2012	11/27/2012
793.00		758.00					35.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		106. CBS CORP CL B NEW					05/20/2011	12/05/2011
		PROPERTY TYPE: SECURITIES						
2,711.00		2,865.00					-154.00	
		3. CBS CORP CL B NEW					05/20/2011	07/02/2012
		PROPERTY TYPE: SECURITIES						
98.00		81.00					17.00	
		5. CBS CORP CL B NEW					02/16/2012	07/02/2012
		PROPERTY TYPE: SECURITIES						
164.00		147.00					17.00	
		7. CBS CORP CL B NEW					05/20/2011	09/06/2012
		PROPERTY TYPE: SECURITIES						
256.00		189.00					67.00	
		10. CBS CORP CL B NEW					05/20/2011	09/18/2012
		PROPERTY TYPE: SECURITIES						
369.00		270.00					99.00	
		13. CBS CORP CL B NEW					05/20/2011	09/24/2012
		PROPERTY TYPE: SECURITIES						
485.00		351.00					134.00	
		8. CBS CORP CL B NEW					05/20/2011	11/01/2012
		PROPERTY TYPE: SECURITIES						
265.00		216.00					49.00	
		5. CBS CORP CL B NEW					05/20/2011	11/07/2012
		PROPERTY TYPE: SECURITIES						
172.00		135.00					37.00	
		19. CBS CORP CL B NEW					05/20/2011	11/14/2012
		PROPERTY TYPE: SECURITIES						
645.00		514.00					131.00	
		7. CBS CORP CL B NEW					05/20/2011	11/19/2012
		PROPERTY TYPE: SECURITIES						
240.00		189.00					51.00	
		10. CBS CORP CL B NEW					05/20/2011	11/27/2012
		PROPERTY TYPE: SECURITIES						
350.00		270.00					80.00	

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		6. CBS CORP CL B NEW PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
210.00		162.00					48.00	
		10. CBS CORP CL B NEW PROPERTY TYPE: SECURITIES					05/20/2011	11/29/2012
355.00		270.00					85.00	
		10. CBS CORP CL B NEW PROPERTY TYPE: SECURITIES					05/20/2011	11/30/2012
358.00		270.00					88.00	
		26. CBS CORP NEW SR NT 6.750% PROPERTY TYPE: SECURITIES					05/20/2011	01/03/2012
664.00		667.00					-3.00	
		300. CBS CORP NEW SR NT 6.750% PROPERTY TYPE: SECURITIES					05/20/2011	03/13/2012
7,494.00		7,691.00					-197.00	
		105. C H ROBINSON WORLDWIDE INC PROPERTY TYPE: SECURITIES					05/20/2011	01/03/2012
7,430.00		8,483.00					-1,053.00	
		25. CNOOC LTD SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,999.00		5,827.00					-828.00	
		2. CNOOC LTD SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	01/10/2012
396.00		466.00					-70.00	
		3. CNOOC LTD SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	01/11/2012
585.00		699.00					-114.00	
		3. CNOOC LTD SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	01/12/2012
582.00		699.00					-117.00	
		3.00017 CNOOC LTD SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
630.00		699.00					-69.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		11.99983 CNOOC LTD SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,520.00		2,797.00					-277.00	
		33. CVS CORPORATION (DEL) PROPERTY TYPE: SECURITIES					05/20/2011	12/14/2011
1,223.00		1,272.00					-49.00	
		23. CVS CORPORATION (DEL) PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
1,009.00		887.00					122.00	
		27. CVS CORPORATION (DEL) PROPERTY TYPE: SECURITIES					05/20/2011	05/18/2012
1,204.00		1,041.00					163.00	
		102. CVS CORPORATION (DEL) PROPERTY TYPE: SECURITIES					08/12/2011	05/29/2012
4,627.00		3,416.00					1,211.00	
		152. CVS CORPORATION (DEL) PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
6,895.00		5,859.00					1,036.00	
		86.00161 CA, INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,840.00		1,999.00					-159.00	
		10.99839 CA, INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
235.00		256.00					-21.00	
		5. CA, INC PROPERTY TYPE: SECURITIES					05/20/2011	01/23/2012
112.00		116.00					-4.00	
		19. CAMDEN PPTY TR SHS BEN INT PROPERTY TYPE: SECURITIES					10/04/2011	12/05/2011
1,092.00		1,024.00					68.00	
		55. CANADIAN NATL RAILWAY CO PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,363.00		4,243.00					120.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		14. CANADIAN NATL RAILWAY CO PROPERTY TYPE: SECURITIES					05/20/2011	06/06/2012
1,132.00		1,080.00					52.00	
		12. CANADIAN NATL RAILWAY CO PROPERTY TYPE: SECURITIES					05/20/2011	09/07/2012
1,102.00		926.00					176.00	
		34. CANADIAN NATL RAILWAY CO PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,009.00		2,623.00					386.00	
		69. CANADIAN NAT RES LTD COM CN$ PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,630.00		2,908.00					-278.00	
		29. CANADIAN NAT RES LTD COM CN$ PROPERTY TYPE: SECURITIES					05/20/2011	07/24/2012
782.00		1,222.00					-440.00	
		70. CANADIAN NAT RES LTD COM CN$ PROPERTY TYPE: SECURITIES					05/20/2011	07/26/2012
1,913.00		2,951.00					-1,038.00	
		39. CANADIAN NAT RES LTD COM CN$ PROPERTY TYPE: SECURITIES					04/11/2012	07/27/2012
1,078.00		1,234.00					-156.00	
		46. CANADIAN NAT RES LTD COM CN$ PROPERTY TYPE: SECURITIES					05/20/2011	07/27/2012
1,272.00		1,939.00					-667.00	
		112. CANON INC ADR REPSTG 5 SHS PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,971.00		5,060.00					-89.00	
		54. CANON INC ADR REPSTG 5 SHS PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
1,832.00		2,440.00					-608.00	
		184. CANON INC ADR REPSTG 5 SHS PROPERTY TYPE: SECURITIES					05/20/2011	07/27/2012
5,875.00		8,313.00					-2,438.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		79. CAREFUSION CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,974.00		2,274.00					-300.00	
		41. CARLISLE COMPANIES INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,305.00		1,973.00					332.00	
		37. CARMAX INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,308.00		1,099.00					209.00	
		159. CARNIVAL CORP PAIRED CTF 1 COM CARN PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,502.00		6,328.00					-826.00	
		41. CARNIVAL CORP PAIRED CTF 1 COM CARNI PROPERTY TYPE: SECURITIES					05/20/2011	01/17/2012
1,211.00		1,632.00					-421.00	
		378. CARNIVAL CORP PAIRED CTF 1 COM CARN PROPERTY TYPE: SECURITIES					04/25/2012	05/29/2012
12,126.00		11,829.00					297.00	
		17.00305 CARNIVAL CORP PAIRED CTF 1 COM PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
651.00		677.00					-26.00	
		81.99695 CARNIVAL CORP PAIRED CTF 1 COM PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,141.00		3,263.00					-122.00	
		47. CAVIUM INC PROPERTY TYPE: SECURITIES					11/01/2011	12/05/2011
1,545.00		1,492.00					53.00	
		20. CERNER CORP 00 PROPERTY TYPE: SECURITIES					03/13/2012	05/07/2012
1,623.00		1,526.00					97.00	
		13. CERNER CORP 00 PROPERTY TYPE: SECURITIES					05/09/2012	11/27/2012
997.00		1,034.00					-37.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		70. CERNER CORP 00 PROPERTY TYPE: SECURITIES					06/29/2012	11/28/2012
5,407.00		5,475.00					-68.00	
		14. CERNER CORP 00 PROPERTY TYPE: SECURITIES					05/09/2012	11/28/2012
1,087.00		1,112.00					-25.00	
		76. CHEVRONTEXACO CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
7,767.00		7,831.00					-64.00	
		85. CHEVRONTEXACO CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
8,481.00		8,793.00					-312.00	
		32. CHEVRONTEXACO CORP PROPERTY TYPE: SECURITIES					04/27/2012	05/29/2012
3,193.00		3,403.00					-210.00	
		17. CHIPOTLE MEXICAN GRILL INC PROPERTY TYPE: SECURITIES					11/13/2012	11/28/2012
4,526.00		4,471.00					55.00	
		10. CHURCH & DWIGHT INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
539.00		414.00					125.00	
		93. CIENA CORP PROPERTY TYPE: SECURITIES					11/01/2011	12/05/2011
1,109.00		1,196.00					-87.00	
		92. CINEMARK HLDGS INC PROPERTY TYPE: SECURITIES					09/20/2011	12/05/2011
1,837.00		1,943.00					-106.00	
		160. CISCO SYS INC PROPERTY TYPE: SECURITIES					11/23/2011	12/05/2011
2,991.00		2,624.00					367.00	
		32. CITRIX SYS INC PROPERTY TYPE: SECURITIES					05/20/2011	01/27/2012
2,065.00		2,705.00					-640.00	

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		70. CITRIX SYS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/12/2012
4,162.00		5,917.00					-1,755.00	
		13. CLARCOR INC PROPERTY TYPE: SECURITIES					05/02/2012	11/27/2012
601.00		631.00					-30.00	
		32. CLIFFS NATURAL RESOURCES INC COM PROPERTY TYPE: SECURITIES					10/26/2011	12/05/2011
2,269.00		1,926.00					343.00	
		14. COACH INC PROPERTY TYPE: SECURITIES					05/20/2011	02/21/2012
1,043.00		833.00					210.00	
		30. COACH INC PROPERTY TYPE: SECURITIES					11/28/2011	05/07/2012
2,169.00		1,852.00					317.00	
		63. COACH INC PROPERTY TYPE: SECURITIES					05/20/2011	06/06/2012
4,026.00		3,750.00					276.00	
		20. COACH INC PROPERTY TYPE: SECURITIES					11/28/2011	11/28/2012
1,194.00		1,234.00					-40.00	
		80. COACH INC PROPERTY TYPE: SECURITIES					10/10/2011	11/28/2012
4,777.00		4,764.00					13.00	
		30. COCA COLA COMPANY PROPERTY TYPE: SECURITIES					05/20/2011	12/23/2011
2,083.00		2,052.00					31.00	
		64. COCA COLA COMPANY PROPERTY TYPE: SECURITIES					05/20/2011	02/09/2012
4,344.00		4,377.00					-33.00	
		88. COCA COLA COMPANY PROPERTY TYPE: SECURITIES					06/26/2012	11/28/2012
3,301.00		3,301.00						

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		39. COGNIZANT TECHNOLOGY SOLUTIONS CORP PROPERTY TYPE: SECURITIES					01/06/2012	05/18/2012
2,328.00		2,596.00					-268.00	
		73. COGNIZANT TECHNOLOGY SOLUTIONS CORP PROPERTY TYPE: SECURITIES					05/07/2012	06/12/2012
4,280.00		4,702.00					-422.00	
		7. COLUMBIA SPORTSWEAR CO PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
397.00		461.00					-64.00	
		236. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,444.00		5,942.00					-498.00	
		16. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					05/20/2011	05/15/2012
467.00		403.00					64.00	
		89. COMCAST CORP 7% PREF PROPERTY TYPE: SECURITIES					05/20/2011	02/27/2012
2,225.00		2,309.00					-84.00	
		89. COMCAST CORP 7% PREF PROPERTY TYPE: SECURITIES					05/20/2011	03/23/2012
2,238.00		2,309.00					-71.00	
		1. COMCAST CORP PFD 6.625% PROPERTY TYPE: SECURITIES					04/12/2012	07/23/2012
25.00		26.00					-1.00	
		181. COMCAST CORP PFD 6.625% PROPERTY TYPE: SECURITIES					05/20/2011	07/23/2012
4,524.00		4,689.00					-165.00	
		120. COMERICA INC PROPERTY TYPE: SECURITIES					10/28/2011	12/05/2011
3,080.00		4,026.00					-946.00	
		34. COMERICA INC PROPERTY TYPE: SECURITIES					01/24/2012	09/04/2012
1,042.00		995.00					47.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		134. COMERICA INC PROPERTY TYPE: SECURITIES					05/20/2011	09/04/2012
4,106.00		4,883.00					-777.00	
		2. HRPT PROPERTIES PROPERTY TYPE: SECURITIES					04/12/2012	08/24/2012
50.00		50.00						
		131. HRPT PROPERTIES PROPERTY TYPE: SECURITIES					05/20/2011	08/24/2012
3,275.00		3,292.00					-17.00	
		133. HRPT PROPERTIES PROPERTY TYPE: SECURITIES						08/24/2012
6.00							6.00	
		16. HRPT PROPERTIES PROPERTY TYPE: SECURITIES					05/20/2011	10/12/2012
349.00		349.00						
		1. HRPT PROPERTIES PROPERTY TYPE: SECURITIES					04/12/2012	10/12/2012
22.00		23.00					-1.00	
		62. COMSTOCK RESOURCES INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,029.00		1,632.00					-603.00	
		42. CONSTELLATION BRANDS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
808.00		922.00					-114.00	
		41. COPART INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,227.00		951.00					276.00	
		567. CORNING INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
7,399.00		11,363.00					-3,964.00	
		153. CREDIT SUISSE GROUP SPNS ADR PROPERTY TYPE: SECURITIES					05/26/2011	12/05/2011
3,891.00		6,378.00					-2,487.00	

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		22. CREDIT SUISSE GROUP SPNS ADR PROPERTY TYPE: SECURITIES					05/20/2011	02/28/2012
605.00		913.00					-308.00	
		66. CREDIT SUISSE GROUP SPNS ADR PROPERTY TYPE: SECURITIES					05/20/2011	02/29/2012
1,781.00		2,738.00					-957.00	
		. CREDIT SUISSE GROUP SPNS ADR PROPERTY TYPE: SECURITIES						08/20/2012
10.00							10.00	
		84. CREDIT SUISSE GROUP SPNS ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,934.00		3,485.00					-1,551.00	
		1. CREDIT SUISSE 7.90% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
26.00		27.00					-1.00	
		32. CREDIT SUISSE 7.90% PROPERTY TYPE: SECURITIES					05/20/2011	09/13/2012
830.00		878.00					-48.00	
		19. CROWN CASTLE INTL CORP COM 00 PROPERTY TYPE: SECURITIES					05/01/2012	11/26/2012
1,263.00		1,076.00					187.00	
		35. CROWN CASTLE INTL CORP COM 00 PROPERTY TYPE: SECURITIES					05/01/2012	11/28/2012
2,336.00		1,983.00					353.00	
		1. DTE ENERGY CO PFD 6.50% PROPERTY TYPE: SECURITIES					11/29/2011	04/12/2012
28.00		25.00					3.00	
		204. DANA HLDG CORP PROPERTY TYPE: SECURITIES					07/19/2011	12/05/2011
2,570.00		3,638.00					-1,068.00	
		26. DANAHER CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,372.00		1,427.00					-55.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,440.00		2,482.00					-42.00	
		4. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/14/2011
313.00		331.00					-18.00	
		1. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/20/2011
78.00		83.00					-5.00	
		2. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/23/2011
156.00		165.00					-9.00	
		3. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/27/2011
235.00		248.00					-13.00	
		5. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/28/2011
394.00		414.00					-20.00	
		18. DASSAULT SYS S A SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,016.00		1,489.00					527.00	
		5. DAVITA INC PROPERTY TYPE: SECURITIES					09/29/2011	12/02/2011
386.00		317.00					69.00	
		12. DAVITA INC PROPERTY TYPE: SECURITIES					10/06/2011	12/05/2011
906.00		761.00					145.00	
		52.02527 DELL INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
824.00		836.00					-12.00	
		262.97473 DELL INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,165.00		4,228.00					-63.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		24. DELL INC PROPERTY TYPE: SECURITIES					01/19/2012	05/23/2012
297.00		396.00					-99.00	
		12. DELL INC PROPERTY TYPE: SECURITIES					10/07/2011	07/30/2012
144.00		195.00					-51.00	
		7. DELL INC PROPERTY TYPE: SECURITIES					05/20/2011	07/30/2012
84.00		113.00					-29.00	
		51. DELL INC PROPERTY TYPE: SECURITIES					05/20/2011	09/10/2012
542.00		820.00					-278.00	
		22. DELL INC PROPERTY TYPE: SECURITIES					05/20/2011	10/04/2012
209.00		354.00					-145.00	
		22. DELL INC PROPERTY TYPE: SECURITIES					05/20/2011	10/17/2012
215.00		354.00					-139.00	
		23. DENTSPLY INTL INC NEW COM PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
896.00		908.00					-12.00	
		1. DB CON CAPITAL TRUST V PFD 8.05% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
26.00		27.00					-1.00	
		41. DEUTSCHE BK CAP FUND IX 6.625% PROPERTY TYPE: SECURITIES					05/20/2011	03/16/2012
980.00		1,014.00					-34.00	
		61. DEVON ENERGY CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,016.00		5,078.00					-1,062.00	
		40. DEVON ENERGY CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	01/17/2012
2,554.00		3,335.00					-781.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		125. DEVON ENERGY CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	02/02/2012
7,995.00		10,421.00					-2,426.00	
		188. DEVON ENERGY CORP NEW PROPERTY TYPE: SECURITIES					05/09/2012	05/29/2012
11,434.00		12,706.00					-1,272.00	
		205. DIAMONDROCK HOSPITALITY CO PROPERTY TYPE: SECURITIES					07/26/2011	12/05/2011
1,857.00		2,171.00					-314.00	
		97. DIGITAL RIV INC PROPERTY TYPE: SECURITIES					08/02/2011	12/05/2011
1,501.00		2,846.00					-1,345.00	
		17. DISCOVERY COMMUNICATNS NEW PROPERTY TYPE: SECURITIES					06/26/2012	11/28/2012
1,002.00		899.00					103.00	
		36. DOLBY LABORATORIES INC PROPERTY TYPE: SECURITIES					07/12/2011	12/05/2011
1,158.00		1,477.00					-319.00	
		27. DOW CHEM CO PROPERTY TYPE: SECURITIES					04/03/2012	04/25/2012
967.00		930.00					37.00	
		353. DOW CHEM CO PROPERTY TYPE: SECURITIES					04/04/2012	05/29/2012
11,246.00		11,995.00					-749.00	
		22. DUKE REALTY CORP PFD 1/10 SER M 9.65 PROPERTY TYPE: SECURITIES					05/20/2011	03/05/2012
550.00		555.00					-5.00	
		73. E M C CORP MASS PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,813.00		2,052.00					-239.00	
		10. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					05/20/2011	03/02/2012
1,150.00		1,070.00					80.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		11. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
1,153.00		1,177.00					-24.00	
		22. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					05/20/2011	06/18/2012
2,096.00		2,354.00					-258.00	
		3. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					05/20/2011	06/21/2012
271.00		321.00					-50.00	
		25. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					12/06/2011	06/21/2012
2,256.00		2,595.00					-339.00	
		7. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					11/16/2012	11/28/2012
814.00		819.00					-5.00	
		134. EXCO RES INC PROPERTY TYPE: SECURITIES					07/29/2011	12/05/2011
1,608.00		2,184.00					-576.00	
		28. EATON CORP PROPERTY TYPE: SECURITIES					06/29/2011	02/16/2012
1,419.00		1,393.00					26.00	
		270. EATON CORP PROPERTY TYPE: SECURITIES					05/22/2012	05/29/2012
11,747.00		12,280.00					-533.00	
		6. EDWARDS LIFESCIENCES CORP PROPERTY TYPE: SECURITIES					04/17/2012	06/18/2012
602.00		425.00					177.00	
		11. EDWARDS LIFESCIENCES CORP PROPERTY TYPE: SECURITIES					04/17/2012	06/20/2012
1,119.00		779.00					340.00	
		22. EDWARDS LIFESCIENCES CORP PROPERTY TYPE: SECURITIES					04/17/2012	06/26/2012
2,240.00		1,526.00					714.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		64. EDWARDS LIFESCIENCES CORP PROPERTY TYPE: SECURITIES					03/08/2012	08/06/2012
6,438.00		4,339.00					2,099.00	
		145. EMBRAER-EMPRESA BRASILEIRA D PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,693.00		4,735.00					-1,042.00	
		120. EMBRAER-EMPRESA BRASILEIRA D PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,252.00		3,918.00					-666.00	
		51. ENERGIZER HLDGS INC PROPERTY TYPE: SECURITIES					08/03/2011	12/05/2011
3,737.00		3,929.00					-192.00	
		5. ENERGIZER HLDGS INC PROPERTY TYPE: SECURITIES					06/03/2011	03/30/2012
373.00		394.00					-21.00	
		59. ENERSYS PROPERTY TYPE: SECURITIES					06/08/2011	12/05/2011
1,489.00		1,991.00					-502.00	
		113. ENSCO PLC F PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,834.00		6,306.00					-472.00	
		47. EQUIFAX INC COM PROPERTY TYPE: SECURITIES					05/20/2011	05/14/2012
2,163.00		1,747.00					416.00	
		31. EQUIFAX INC COM PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,580.00		1,152.00					428.00	
		25. ESTERLINE TECHNOLOGIES CORP PROPERTY TYPE: SECURITIES					11/04/2011	12/05/2011
1,338.00		1,579.00					-241.00	
		21. EVEREST RE CAP TR II 6.20% PFD TR PROPERTY TYPE: SECURITIES					05/20/2011	11/06/2012
529.00		524.00					5.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1. EVEREST RE CAP TR II 6.20% PFD TR PROPERTY TYPE: SECURITIES					04/12/2012	11/06/2012
25.00		25.00						
		36. EXPRESS SCRIPTS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,891.00		2,167.00					-276.00	
		111. FLIR SYS INC PROPERTY TYPE: SECURITIES					05/20/2011	08/08/2012
2,331.00		4,073.00					-1,742.00	
		60. FMC TECHNOLOGIES INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
2,647.00		2,546.00					101.00	
		100. FMC TECHNOLOGIES INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
4,099.00		4,243.00					-144.00	
		13. FPL GROUP CAP TR I PFD SECS 5.875% PROPERTY TYPE: SECURITIES					05/20/2011	02/01/2012
338.00		333.00					5.00	
		15. FPL GROUP CAP TR I PFD SECS 5.875% PROPERTY TYPE: SECURITIES					05/20/2011	02/29/2012
390.00		384.00					6.00	
		22.00002 FACEBOOK INC CL A PROPERTY TYPE: SECURITIES					05/21/2012	11/28/2012
578.00		753.00					-175.00	
		112.99998 FACEBOOK INC CL A PROPERTY TYPE: SECURITIES					05/21/2012	11/28/2012
2,966.00		3,865.00					-899.00	
		22. FACEBOOK INC CL A PROPERTY TYPE: SECURITIES					05/18/2012	11/28/2012
580.00		888.00					-308.00	
		43. FAIR ISAAC & CO INC PROPERTY TYPE: SECURITIES					05/20/2011	01/04/2012
1,527.00		1,241.00					286.00	

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		6. FAIR ISAAC & CO INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
254.00		173.00					81.00	
		13. FAIR ISAAC & CO INC PROPERTY TYPE: SECURITIES					06/05/2012	11/27/2012
550.00		505.00					45.00	
		30. FAMILY DLR STORES INC PROPERTY TYPE: SECURITIES					05/09/2012	11/28/2012
2,069.00		2,039.00					30.00	
		144. FANUC CORPORATION PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,006.00		3,760.00					246.00	
		105. FANUC CORPORATION PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,971.00		2,742.00					229.00	
		126.71 FHLMCG POOL #G0468 5.50015% 9/01 PROPERTY TYPE: SECURITIES					11/10/2011	12/15/2011
127.00		137.00					-10.00	
		123.99 FHLMCG POOL #G0468 5.50015% 9/01 PROPERTY TYPE: SECURITIES					11/10/2011	12/31/2011
124.00		134.00					-10.00	
		116.79 FHLMCG POOL #G0468 5.50015% 9/01 PROPERTY TYPE: SECURITIES					11/10/2011	01/31/2012
117.00		126.00					-9.00	
		134.5 FHLMCG POOL #G0468 5.50015% 9/01/ PROPERTY TYPE: SECURITIES					11/10/2011	03/15/2012
135.00		145.00					-10.00	
		145.94 FHLMCG POOL #G0468 5.50015% 9/01 PROPERTY TYPE: SECURITIES					11/10/2011	04/15/2012
146.00		158.00					-12.00	
		3135.72 FHLMCG POOL #G0468 5.50015% 9/0 PROPERTY TYPE: SECURITIES					11/10/2011	04/18/2012
3,412.00		3,384.00					28.00	

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		84.38 FHLMCG POOL #G0491 4.99987% 3/01/ PROPERTY TYPE: SECURITIES					11/10/2011	12/15/2011
84.00		90.00					-6.00	
		86.2 FHLMCG POOL #G0491 4.99987% 3/01/3 PROPERTY TYPE: SECURITIES					11/10/2011	12/31/2011
86.00		92.00					-6.00	
		81.07 FHLMCG POOL #G0491 4.99987% 3/01/ PROPERTY TYPE: SECURITIES					11/10/2011	01/31/2012
81.00		87.00					-6.00	
		90.21 FHLMCG POOL #G0491 4.99987% 3/01/ PROPERTY TYPE: SECURITIES					11/10/2011	03/15/2012
90.00		97.00					-7.00	
		99.22 FHLMCG POOL #G0491 4.99987% 3/01/ PROPERTY TYPE: SECURITIES					11/10/2011	04/15/2012
99.00		106.00					-7.00	
		2571.81 FHLMCG POOL #G0491 4.99987% 3/0 PROPERTY TYPE: SECURITIES					11/10/2011	04/18/2012
2,781.00		2,753.00					28.00	
		99.11 FHLMC POOL #A97618 4.50014% 3/01/ PROPERTY TYPE: SECURITIES					11/10/2011	12/15/2011
99.00		104.00					-5.00	
		109.25 FHLMC POOL #A97618 4.50014% 3/01 PROPERTY TYPE: SECURITIES					11/10/2011	12/31/2011
109.00		115.00					-6.00	
		94.35 FHLMC POOL #A97618 4.50014% 3/01/ PROPERTY TYPE: SECURITIES					11/10/2011	01/31/2012
94.00		99.00					-5.00	
		163.49 FHLMC POOL #A97618 4.50014% 3/01 PROPERTY TYPE: SECURITIES					11/10/2011	03/15/2012
163.00		172.00					-9.00	
		99.8 FHLMC POOL #A97618 4.50014% 3/01/4 PROPERTY TYPE: SECURITIES					11/10/2011	04/15/2012
100.00		105.00					-5.00	

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4064.31 FHLMC POOL #A97618 4.50014% 3/0 PROPERTY TYPE: SECURITIES		11/10/2011	04/18/2012	4,330.00		4,279.00				51.00
13000. FED HOME LN MTG CORP 0.625% 12/29 PROPERTY TYPE: SECURITIES		02/22/2012	08/03/2012	13,087.00		13,031.00				56.00
7041.54 FNMA POOL #AI4815 4.50047% 6/0 PROPERTY TYPE: SECURITIES		03/07/2012	04/18/2012	7,530.00		7,497.00				33.00
270.54 FNMA POOL #AI4815 4.50047% 6/01 PROPERTY TYPE: SECURITIES		03/07/2012	04/25/2012	271.00		288.00				-17.00
197.17 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	05/25/2012	197.00		209.00				-12.00
152.61 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	06/25/2012	153.00		162.00				-9.00
377.75 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	07/25/2012	378.00		400.00				-22.00
306.46 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	08/25/2012	306.00		325.00				-19.00
340.12 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	09/25/2012	340.00		360.00				-20.00
202.55 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	10/25/2012	203.00		214.00				-11.00
333.27 FNMA POOL #AJ4052 4.000% 10/01 PROPERTY TYPE: SECURITIES		04/23/2012	11/25/2012	333.00		353.00				-20.00

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		15.77 FNMA POOL #AJ8203 4.49995% 1/01/ PROPERTY TYPE: SECURITIES					02/08/2012	03/25/2012
16.00		17.00					-1.00	
		6935.01 FNMA POOL #AJ8203 4.49995% 1/0 PROPERTY TYPE: SECURITIES					02/08/2012	04/18/2012
7,455.00		7,464.00					-9.00	
		10.76 FNMA POOL #AJ8203 4.49995% 1/01/ PROPERTY TYPE: SECURITIES					02/08/2012	04/25/2012
11.00		12.00					-1.00	
		98.69 FNMA POOL #AL0662 5.50054% 1/01/ PROPERTY TYPE: SECURITIES					01/18/2012	02/25/2012
99.00		108.00					-9.00	
		167.61 FNMA POOL #AL0662 5.50054% 1/01 PROPERTY TYPE: SECURITIES					01/18/2012	03/25/2012
168.00		183.00					-15.00	
		4032.63 FNMA POOL #AL0662 5.50054% 1/0 PROPERTY TYPE: SECURITIES					01/18/2012	04/18/2012
4,409.00		4,403.00					6.00	
		137.36 FNMA POOL #AL0662 5.50054% 1/01 PROPERTY TYPE: SECURITIES					01/18/2012	04/25/2012
137.00		150.00					-13.00	
		257.72 FNMA POOL #AL1948 4.000% 1/01 PROPERTY TYPE: SECURITIES					08/07/2012	09/25/2012
258.00		282.00					-24.00	
		415.32 FNMA POOL #AL1948 4.000% 1/01 PROPERTY TYPE: SECURITIES					08/07/2012	10/25/2012
415.00		454.00					-39.00	
		209.66 FNMA POOL #AL1948 4.000% 1/01 PROPERTY TYPE: SECURITIES					08/07/2012	11/25/2012
210.00		229.00					-19.00	
		25000. FEDL NATL MTG ASSN 0.750% 12/18 PROPERTY TYPE: SECURITIES					05/24/2011	08/03/2012
25,166.00		24,912.00					254.00	

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		1259.92 FNMA POOL #735893 4.99999% 10/0 PROPERTY TYPE: SECURITIES					07/10/2012	08/25/2012
1,260.00		1,375.00					-115.00	
		1302.62 FNMA POOL #735893 4.99999% 10/0 PROPERTY TYPE: SECURITIES					07/10/2012	09/25/2012
1,303.00		1,421.00					-118.00	
		1225.11 FNMA POOL #735893 4.99999% 10/0 PROPERTY TYPE: SECURITIES					07/10/2012	10/25/2012
1,225.00		1,337.00					-112.00	
		1329.86 FNMA POOL #735893 4.99999% 10/0 PROPERTY TYPE: SECURITIES					07/10/2012	11/25/2012
1,330.00		1,451.00					-121.00	
		14559.91 FNMA POOL #735893 4.99999% 10/ PROPERTY TYPE: SECURITIES					07/10/2012	11/26/2012
15,759.00		15,889.00					-130.00	
		11351.79 FNMA POOL #735893 4.99999% 10/ PROPERTY TYPE: SECURITIES					07/10/2012	11/28/2012
12,295.00		12,388.00					-93.00	
		56.72 FNMA POOL #745343 5.49991% 3/01/ PROPERTY TYPE: SECURITIES					10/07/2011	12/25/2011
57.00		62.00					-5.00	
		50.34 FNMA POOL #745343 5.49991% 3/01/ PROPERTY TYPE: SECURITIES					10/07/2011	12/31/2011
50.00		55.00					-5.00	
		49.64 FNMA POOL #745343 5.49991% 3/01/ PROPERTY TYPE: SECURITIES					10/07/2011	02/25/2012
50.00		54.00					-4.00	
		50.05 FNMA POOL #745343 5.49991% 3/01/ PROPERTY TYPE: SECURITIES					10/07/2011	03/25/2012
50.00		54.00					-4.00	
		1587.73 FNMA POOL #745343 5.49991% 3/0 PROPERTY TYPE: SECURITIES					10/07/2011	04/18/2012
1,736.00		1,726.00					10.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		52.84 FNMA POOL #745343 5.49991% 3/01/ PROPERTY TYPE: SECURITIES					10/07/2011	04/25/2012
53.00		57.00					-4.00	
		49.72 FNMA PL # 745418 5.49975% 4/01/ PROPERTY TYPE: SECURITIES					09/09/2011	12/25/2011
50.00		55.00					-5.00	
		50.01 FNMA PL # 745418 5.49975% 4/01/ PROPERTY TYPE: SECURITIES					09/09/2011	12/31/2011
50.00		55.00					-5.00	
		45.91 FNMA PL # 745418 5.49975% 4/01/ PROPERTY TYPE: SECURITIES					09/09/2011	02/25/2012
46.00		50.00					-4.00	
		54.81 FNMA PL # 745418 5.49975% 4/01/ PROPERTY TYPE: SECURITIES					09/09/2011	03/25/2012
55.00		60.00					-5.00	
		1668.61 FNMA PL # 745418 5.49975% 4/0 PROPERTY TYPE: SECURITIES					09/09/2011	04/18/2012
1,825.00		1,831.00					-6.00	
		57.47 FNMA PL # 745418 5.49975% 4/01/ PROPERTY TYPE: SECURITIES					09/09/2011	04/25/2012
57.00		63.00					-6.00	
		111.49 FNMA PL # 745418 5.49975% 4/01 PROPERTY TYPE: SECURITIES					10/19/2012	11/25/2012
111.00		123.00					-12.00	
		2263.48 FNMA PL # 745418 5.49975% 4/0 PROPERTY TYPE: SECURITIES					10/19/2012	11/28/2012
2,456.00		2,497.00					-41.00	
		5152.99 FNMA POOL #888283 5.00015% 8/0 PROPERTY TYPE: SECURITIES					03/07/2012	04/18/2012
5,597.00		5,569.00					28.00	
		185.67 FNMA POOL #888283 5.00015% 8/01 PROPERTY TYPE: SECURITIES					03/07/2012	04/25/2012
186.00		201.00					-15.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1104.89 FNMA POOL #934231 5.00002% 1/0 PROPERTY TYPE: SECURITIES					10/11/2011	12/25/2011
1,105.00		1,187.00					-82.00	
		722.28 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	12/31/2011
722.00		776.00					-54.00	
		1108.12 FNMA POOL #934231 5.00002% 1/0 PROPERTY TYPE: SECURITIES					10/11/2011	02/25/2012
1,108.00		1,190.00					-82.00	
		1202.6 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	03/25/2012
1,203.00		1,291.00					-88.00	
		4946.76 FNMA POOL #934231 5.00002% 1/0 PROPERTY TYPE: SECURITIES					10/11/2011	04/18/2012
5,369.00		5,312.00					57.00	
		1804.39 FNMA POOL #934231 5.00002% 1/0 PROPERTY TYPE: SECURITIES					10/11/2011	04/25/2012
1,804.00		1,938.00					-134.00	
		764.72 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	05/25/2012
765.00		821.00					-56.00	
		834.26 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	06/25/2012
834.00		896.00					-62.00	
		677.44 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	07/25/2012
677.00		728.00					-51.00	
		452.27 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	08/25/2012
452.00		486.00					-34.00	
		580.91 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	09/25/2012
581.00		624.00					-43.00	

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		801.37 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	10/25/2012
801.00		861.00					-60.00	
		825.15 FNMA POOL #934231 5.00002% 1/01 PROPERTY TYPE: SECURITIES					10/11/2011	11/25/2012
825.00		886.00					-61.00	
		2967.29 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	12/25/2011
2,967.00		3,226.00					-259.00	
		3129.46 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	12/31/2011
3,129.00		3,403.00					-274.00	
		2638.17 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	02/25/2012
2,638.00		2,869.00					-231.00	
		4268.66 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	03/25/2012
4,269.00		4,642.00					-373.00	
		2942.16 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	04/25/2012
2,942.00		3,199.00					-257.00	
		3246.71 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	05/25/2012
3,247.00		3,530.00					-283.00	
		3626.44 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	06/25/2012
3,626.00		3,943.00					-317.00	
		3295.06 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	07/25/2012
3,295.00		3,583.00					-288.00	
		3832.84 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	08/25/2012
3,833.00		4,168.00					-335.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		2719.22 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	09/25/2012
2,719.00		2,957.00					-238.00	
		2808.51 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	10/25/2012
2,809.00		3,054.00					-245.00	
		2347.52 FNMA POOL #972295 5.49995% 2/0 PROPERTY TYPE: SECURITIES					06/09/2011	11/25/2012
2,348.00		2,553.00					-205.00	
		33106.08 FNMA POOL #972295 5.49995% 2/ PROPERTY TYPE: SECURITIES					06/09/2011	11/28/2012
35,899.00		35,998.00					-99.00	
		50.16 FNMA POOL #995676 4.49974% 5/01/ PROPERTY TYPE: SECURITIES					07/11/2011	12/25/2011
50.00		52.00					-2.00	
		50.34 FNMA POOL #995676 4.49974% 5/01/ PROPERTY TYPE: SECURITIES					07/11/2011	12/31/2011
50.00		53.00					-3.00	
		24.08 FNMA POOL #995676 4.49974% 5/01/ PROPERTY TYPE: SECURITIES					07/11/2011	02/25/2012
24.00		25.00					-1.00	
		45.39 FNMA POOL #995676 4.49974% 5/01/ PROPERTY TYPE: SECURITIES					07/11/2011	03/25/2012
45.00		47.00					-2.00	
		1319.52 FNMA POOL #995676 4.49974% 5/0 PROPERTY TYPE: SECURITIES					07/11/2011	04/18/2012
1,418.00		1,378.00					40.00	
		31.21 FNMA POOL #995676 4.49974% 5/01/ PROPERTY TYPE: SECURITIES					07/11/2011	04/25/2012
31.00		33.00					-2.00	
		1461.27 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	12/25/2011
1,461.00		1,524.00					-63.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		2250.82 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	12/31/2011
2,251.00		2,348.00					-97.00	
		5983.36 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	02/25/2012
5,983.00		6,240.00					-257.00	
		2538.3 FNMA POOL #AA5224 4.500% 3/01 PROPERTY TYPE: SECURITIES					06/09/2011	03/25/2012
2,538.00		2,647.00					-109.00	
		29752.72 FNMA POOL #AA5224 4.500% 3/ PROPERTY TYPE: SECURITIES					06/09/2011	04/18/2012
31,817.00		31,031.00					786.00	
		6785.34 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	04/25/2012
6,785.00		7,077.00					-292.00	
		7196.85 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	05/25/2012
7,197.00		7,506.00					-309.00	
		2100.05 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	06/25/2012
2,100.00		2,190.00					-90.00	
		3632.07 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	07/25/2012
3,632.00		3,788.00					-156.00	
		3716.59 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	08/25/2012
3,717.00		3,876.00					-159.00	
		1927.57 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	09/25/2012
1,928.00		2,010.00					-82.00	
		1383.87 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	10/25/2012
1,384.00		1,443.00					-59.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1646.18 FNMA POOL #AA5224 4.500% 3/0 PROPERTY TYPE: SECURITIES					06/09/2011	11/25/2012
1,646.00		1,717.00					-71.00	
		320.34 FNMA POOL #AA7681 4.500% 6/01 PROPERTY TYPE: SECURITIES					07/09/2012	08/25/2012
320.00		346.00					-26.00	
		358.3 FNMA POOL #AA7681 4.500% 6/01/ PROPERTY TYPE: SECURITIES					07/09/2012	09/25/2012
358.00		387.00					-29.00	
		353.79 FNMA POOL #AA7681 4.500% 6/01 PROPERTY TYPE: SECURITIES					07/09/2012	10/25/2012
354.00		382.00					-28.00	
		325.38 FNMA POOL #AA7681 4.500% 6/01 PROPERTY TYPE: SECURITIES					07/09/2012	11/25/2012
325.00		351.00					-26.00	
		446.77 FNMA POOL #AB4115 3.99999% 12/01 PROPERTY TYPE: SECURITIES					04/09/2012	05/25/2012
447.00		472.00					-25.00	
		702.24 FNMA POOL #AB4115 3.99999% 12/01 PROPERTY TYPE: SECURITIES					04/09/2012	06/25/2012
702.00		742.00					-40.00	
		1182.16 FNMA POOL #AB4115 3.99999% 12/0 PROPERTY TYPE: SECURITIES					04/09/2012	07/25/2012
1,182.00		1,250.00					-68.00	
		1096.46 FNMA POOL #AB4115 3.99999% 12/0 PROPERTY TYPE: SECURITIES					04/09/2012	08/25/2012
1,096.00		1,159.00					-63.00	
		2061.4 FNMA POOL #AB4115 3.99999% 12/01 PROPERTY TYPE: SECURITIES					04/09/2012	09/25/2012
2,061.00		2,179.00					-118.00	
		1354. FNMA POOL #AB4115 3.99999% 12/01/ PROPERTY TYPE: SECURITIES					04/09/2012	10/25/2012
1,354.00		1,431.00					-77.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		935.18 FNMA POOL #AB4115 3.99999% 12/01 PROPERTY TYPE: SECURITIES					04/09/2012	11/25/2012
935.00		989.00					-54.00	
		74.64 FNMA POOL #AB4297 3.50001% 1/01/ PROPERTY TYPE: SECURITIES					01/10/2012	02/25/2012
75.00		77.00					-2.00	
		54.62 FNMA POOL #AB4297 3.50001% 1/01/ PROPERTY TYPE: SECURITIES					01/10/2012	03/25/2012
55.00		56.00					-1.00	
		123.67 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	04/25/2012
124.00		128.00					-4.00	
		212.78 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	05/25/2012
213.00		219.00					-6.00	
		251.92 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	06/25/2012
252.00		260.00					-8.00	
		270.12 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	07/25/2012
270.00		279.00					-9.00	
		313.24 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	08/25/2012
313.00		323.00					-10.00	
		853.96 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	09/25/2012
854.00		881.00					-27.00	
		950.13 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	10/25/2012
950.00		980.00					-30.00	
		632.05 FNMA POOL #AB4297 3.50001% 1/01 PROPERTY TYPE: SECURITIES					01/10/2012	11/25/2012
632.00		652.00					-20.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		74.87 FNMA POOL #AB5468 3.500% 6/01/ PROPERTY TYPE: SECURITIES					06/07/2012	07/25/2012
75.00		79.00					-4.00	
		167.33 FNMA POOL #AB5468 3.500% 6/01 PROPERTY TYPE: SECURITIES					06/07/2012	08/25/2012
167.00		176.00					-9.00	
		345.97 FNMA POOL #AB5468 3.500% 6/01 PROPERTY TYPE: SECURITIES					06/07/2012	09/25/2012
346.00		363.00					-17.00	
		460.32 FNMA POOL #AB5468 3.500% 6/01 PROPERTY TYPE: SECURITIES					06/07/2012	10/25/2012
460.00		483.00					-23.00	
		392.77 FNMA POOL #AB5468 3.500% 6/01 PROPERTY TYPE: SECURITIES					06/07/2012	11/25/2012
393.00		412.00					-19.00	
		479.87 FNMA POOL #AB5670 3.500% 7/01 PROPERTY TYPE: SECURITIES					10/05/2012	11/25/2012
480.00		514.00					-34.00	
		6515.29 FNMA POOL #AE0609 5.50005% 4/0 PROPERTY TYPE: SECURITIES					03/07/2012	04/18/2012
7,124.00		7,127.00					-3.00	
		199.26 FNMA POOL #AE0609 5.50005% 4/01 PROPERTY TYPE: SECURITIES					03/07/2012	04/25/2012
199.00		218.00					-19.00	
		16.92 FNMA POOL #AE0609 5.50005% 4/01/ PROPERTY TYPE: SECURITIES					03/07/2012	05/25/2012
17.00		19.00					-2.00	
		20.55 FNMA POOL #AE0609 5.50005% 4/01/ PROPERTY TYPE: SECURITIES					03/07/2012	06/25/2012
21.00		22.00					-1.00	
		16.63 FNMA POOL #AE0609 5.50005% 4/01/ PROPERTY TYPE: SECURITIES					03/07/2012	07/25/2012
17.00		18.00					-1.00	

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		16.96 FNMA POOL #AE0609 5.50005% 4/01/ PROPERTY TYPE: SECURITIES					03/07/2012	08/25/2012
17.00		19.00					-2.00	
		21.56 FNMA POOL #AE0609 5.50005% 4/01/ PROPERTY TYPE: SECURITIES					03/07/2012	09/25/2012
22.00		24.00					-2.00	
		17.44 FNMA POOL #AE0609 5.50005% 4/01/ PROPERTY TYPE: SECURITIES					03/07/2012	10/25/2012
17.00		19.00					-2.00	
		19.1 FNMA POOL #AE0609 5.50005% 4/01/3 PROPERTY TYPE: SECURITIES					03/07/2012	11/25/2012
19.00		21.00					-2.00	
		522.36 FNMA POOL #AE0609 5.50005% 4/01 PROPERTY TYPE: SECURITIES					03/07/2012	11/28/2012
574.00		571.00					3.00	
		259.95 FNMA POOL #AE1557 5.000% 8/01 PROPERTY TYPE: SECURITIES					06/09/2011	12/25/2011
260.00		278.00					-18.00	
		29.55 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	12/31/2011
30.00		32.00					-2.00	
		29.65 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	02/25/2012
30.00		32.00					-2.00	
		30.06 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	03/25/2012
30.00		32.00					-2.00	
		1652.64 FNMA POOL #AE1557 5.000% 8/0 PROPERTY TYPE: SECURITIES					06/09/2011	04/25/2012
1,653.00		1,768.00					-115.00	
		30.32 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	05/25/2012
30.00		32.00					-2.00	

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		392.72 FNMA POOL #AE1557 5.000% 8/01 PROPERTY TYPE: SECURITIES					06/09/2011	06/25/2012
393.00		420.00					-27.00	
		28.41 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	07/25/2012
28.00		30.00					-2.00	
		1090.1 FNMA POOL #AE1557 5.000% 8/01 PROPERTY TYPE: SECURITIES					06/09/2011	08/25/2012
1,090.00		1,166.00					-76.00	
		29.72 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	09/25/2012
30.00		32.00					-2.00	
		26.92 FNMA POOL #AE1557 5.000% 8/01/ PROPERTY TYPE: SECURITIES					06/09/2011	10/25/2012
27.00		29.00					-2.00	
		27.4 FNMA POOL #AE1557 5.000% 8/01/4 PROPERTY TYPE: SECURITIES					06/09/2011	11/25/2012
27.00		29.00					-2.00	
		10. FEDEX CORPORATION PROPERTY TYPE: SECURITIES					01/13/2012	11/28/2012
894.00		896.00					-2.00	
		30. F5 NETWORKS INC 00 PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
3,958.00		3,236.00					722.00	
		15. F5 NETWORKS INC 00 PROPERTY TYPE: SECURITIES					05/20/2011	05/17/2012
1,766.00		1,618.00					148.00	
		24.99971 F5 NETWORKS INC 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
2,303.00		2,696.00					-393.00	
		30.00029 F5 NETWORKS INC 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
2,763.00		3,236.00					-473.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		162. FIFTH THIRD BANCORP PROPERTY TYPE: SECURITIES					03/13/2012	06/01/2012
2,059.00		2,289.00					-230.00	
		67. FIFTH THIRD CAP TR VI PROPERTY TYPE: SECURITIES					05/20/2011	08/08/2012
1,675.00		1,694.00					-19.00	
		1. FIFTH THIRD CAP TR VI PROPERTY TYPE: SECURITIES					04/12/2012	08/08/2012
25.00		25.00						
		1. FIFTH THIRD CAP TR V GTDTR PFD SC67 PROPERTY TYPE: SECURITIES					10/07/2011	04/12/2012
25.00		25.00						
		49. FIFTH THIRD CAP TR V GTDTR PFD SC67 PROPERTY TYPE: SECURITIES					10/14/2011	08/15/2012
1,225.00		1,227.00					-2.00	
		346. FLSMIDTH & CO A S SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,211.00		2,834.00					-623.00	
		270. FLSMIDTH & CO A S SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,496.00		2,211.00					-715.00	
		43. FOREST CITY ENTERPRISES INC CL A PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
657.00		811.00					-154.00	
		68. FRESENIUS MED CARE AG & CO SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,638.00		4,861.00					-223.00	
		30.99983 FRESENIUS MED CARE AG & CO SPD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,105.00		2,216.00					-111.00	
		18.00017 FRESENIUS MED CARE AG & CO SPD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,222.00		1,287.00					-65.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		184. GAP INC. DEL PROPERTY TYPE: SECURITIES					07/27/2011	12/05/2011
3,502.00		3,533.00					-31.00	
		9. GAP INC. DEL PROPERTY TYPE: SECURITIES					05/20/2011	03/02/2012
221.00		173.00					48.00	
		5. GAP INC. DEL PROPERTY TYPE: SECURITIES					05/20/2011	05/11/2012
141.00		96.00					45.00	
		8. GAP INC. DEL PROPERTY TYPE: SECURITIES					05/20/2011	08/02/2012
264.00		154.00					110.00	
		6. GAP INC. DEL PROPERTY TYPE: SECURITIES					05/20/2011	09/18/2012
216.00		115.00					101.00	
		8. GAP INC. DEL PROPERTY TYPE: SECURITIES					05/20/2011	10/17/2012
294.00		154.00					140.00	
		74. GAYLORD ENTERTAINMENT CORP NEW PROPERTY TYPE: SECURITIES					10/27/2011	12/05/2011
1,739.00		2,009.00					-270.00	
		33.99956 GENERAL ELEC CO. PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
551.00		667.00					-116.00	
		232.00044 GENERAL ELEC CO. PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,763.00		4,550.00					-787.00	
		51.01136 GENERAL ELEC CO. PROPERTY TYPE: SECURITIES					11/02/2012	11/28/2012
1,077.00		1,090.00					-13.00	
		15.98864 GENERAL ELEC CO. PROPERTY TYPE: SECURITIES					11/02/2012	11/28/2012
338.00		342.00					-4.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		18. GENERAL ELEC CAP CORP 6% PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
466.00		464.00					2.00	
		16. GENERAL ELEC CAP CORP 6% PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
411.00		412.00					-1.00	
		23. GENERAL ELEC CAP CORP 6% PROPERTY TYPE: SECURITIES					05/20/2011	10/25/2012
583.00		593.00					-10.00	
		1. GENERAL ELEC CAP CORP 6% PROPERTY TYPE: SECURITIES					04/12/2012	10/25/2012
25.00		25.00						
		25. GENERAL ELEC CAP CORP 6.05% PROPERTY TYPE: SECURITIES					05/20/2011	03/20/2012
635.00		644.00					-9.00	
		28. GENERAL ELEC CAP CORP 6.05% PROPERTY TYPE: SECURITIES					05/20/2011	05/14/2012
709.00		721.00					-12.00	
		16. GENERAL ELEC CAP CORP 6.05% PROPERTY TYPE: SECURITIES					05/20/2011	06/26/2012
409.00		412.00					-3.00	
		16. GENERAL ELEC CAP CORP 6.05% PROPERTY TYPE: SECURITIES					05/20/2011	07/17/2012
414.00		412.00					2.00	
		16. GENERAL ELEC CAP CORP 6.05% PROPERTY TYPE: SECURITIES					05/20/2011	08/20/2012
411.00		412.00					-1.00	
		16. GENERAL ELEC CAP CORP 6.05% PROPERTY TYPE: SECURITIES					05/20/2011	10/05/2012
407.00		412.00					-5.00	
		14. GENERAL ELEC CAP 6.45% PROPERTY TYPE: SECURITIES					05/20/2011	12/21/2011
357.00		358.00					-1.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		21. GENERAL ELEC CAP 6.45% PROPERTY TYPE: SECURITIES					05/20/2011	01/06/2012
543.00		537.00					6.00	
		22. GENERAL ELEC CAP 6.45% PROPERTY TYPE: SECURITIES					05/20/2011	02/21/2012
571.00		563.00					8.00	
		52. GENTEX CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
899.00		1,560.00					-661.00	
		40. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					02/03/2012	05/08/2012
1,976.00		2,183.00					-207.00	
		13. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					11/13/2012	11/28/2012
973.00		973.00						
		7. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					12/01/2011	01/12/2012
4,419.00		4,248.00					171.00	
		5. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					05/20/2011	04/30/2012
3,048.00		2,643.00					405.00	
		11. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					03/22/2012	05/07/2012
6,657.00		7,087.00					-430.00	
		17. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					10/10/2011	11/28/2012
11,576.00		9,005.00					2,571.00	
		4. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					03/22/2012	11/28/2012
2,724.00		2,577.00					147.00	
		4. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
2,733.00		2,114.00					619.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		15. GRAINGER W W INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
3,068.00		2,265.00					803.00	
		60. GRAINGER W W INC PROPERTY TYPE: SECURITIES					05/20/2011	11/13/2012
11,514.00		9,061.00					2,453.00	
		36. HCC INS HLDGS INC PROPERTY TYPE: SECURITIES					05/20/2011	10/17/2012
1,282.00		1,176.00					106.00	
		48. HCC INS HLDGS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,753.00		1,567.00					186.00	
		30. HSBC HOLDINGS PLC-SPONS ADR PROPERTY TYPE: SECURITIES					04/27/2012	07/20/2012
1,250.00		1,368.00					-118.00	
		19. HSBC HOLDINGS PLC-SPONS ADR PROPERTY TYPE: SECURITIES					04/27/2012	07/24/2012
751.00		866.00					-115.00	
		50. HSBC HOLDINGS PLC-SPONS ADR PROPERTY TYPE: SECURITIES					04/27/2012	11/27/2012
2,489.00		2,257.00					232.00	
		30. HSBC HLDGS PLC PFD 6.20% PROPERTY TYPE: SECURITIES					05/20/2011	10/31/2012
762.00		739.00					23.00	
		1. HSBC HLDGS PLC PFD 6.20% PROPERTY TYPE: SECURITIES					04/12/2012	10/31/2012
25.00		25.00						
		34. HSBC HOLDINGS PLC 8.125% PROPERTY TYPE: SECURITIES					05/20/2011	11/16/2012
872.00		931.00					-59.00	
		15. HSBC HLDGS PERP SUB CAP SECS EXCH PR PROPERTY TYPE: SECURITIES					05/20/2011	06/01/2012
402.00		420.00					-18.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		208. HALLIBURTON CO PROPERTY TYPE: SECURITIES					05/23/2012	05/29/2012
6,598.00		6,740.00					-142.00	
		290. HALLIBURTON CO PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
9,199.00		13,783.00					-4,584.00	
		90. HANCOCK HLDG CO PROPERTY TYPE: SECURITIES					08/11/2011	12/05/2011
2,740.00		2,756.00					-16.00	
		124. HANG LUNG PPTYS LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,937.00		2,568.00					-631.00	
		101. HANG LUNG PPTYS LTD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,812.00		2,092.00					-280.00	
		44. HARSCO CORP PROPERTY TYPE: SECURITIES					06/10/2011	12/05/2011
905.00		1,375.00					-470.00	
		760. HENNES & MAURITZ AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,796.00		5,662.00					-866.00	
		189. HENNES & MAURITZ AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	03/07/2012
1,280.00		1,408.00					-128.00	
		519. HENNES & MAURITZ AB ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,306.00		3,867.00					-561.00	
		42. HENRY JACK & ASSOC INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,627.00		1,297.00					330.00	
		189. HESS CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/27/2012
9,724.00		14,845.00					-5,121.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		213. HOLOGIC INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,710.00		4,501.00					-791.00	
		198. HOLOGIC INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,449.00		4,203.00					-754.00	
		13. HOLOGIC INC PROPERTY TYPE: SECURITIES					05/20/2011	01/31/2012
264.00		275.00					-11.00	
		148. HOME DEPOT INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,928.00		5,476.00					452.00	
		9. HOME DEPOT INC PROPERTY TYPE: SECURITIES					12/27/2011	02/22/2012
424.00		359.00					65.00	
		7. HOME DEPOT INC PROPERTY TYPE: SECURITIES					05/15/2012	08/14/2012
384.00		345.00					39.00	
		6. HOME DEPOT INC PROPERTY TYPE: SECURITIES					05/20/2011	11/05/2012
374.00		222.00					152.00	
		5. HOME DEPOT INC PROPERTY TYPE: SECURITIES					05/15/2012	11/05/2012
312.00		246.00					66.00	
		7. HOME DEPOT INC PROPERTY TYPE: SECURITIES					05/20/2011	11/13/2012
445.00		259.00					186.00	
		113. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
6,152.00		6,675.00					-523.00	
		7. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/20/2011	01/18/2012
406.00		413.00					-7.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		181. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
10,304.00		10,717.00					-413.00	
		34. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					08/19/2011	05/29/2012
1,936.00		1,463.00					473.00	
		3. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/20/2011	06/22/2012
167.00		177.00					-10.00	
		166. HONG KONG EXCHANGES & CLEARI PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,844.00		3,687.00					-843.00	
		122. HONG KONG EXCHANGES & CLEARI PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,967.00		2,710.00					-743.00	
		14. HOSPIRA INC PROPERTY TYPE: SECURITIES					05/20/2011	02/17/2012
518.00		773.00					-255.00	
		77. HOSPIRA INC PROPERTY TYPE: SECURITIES					11/02/2011	05/29/2012
2,499.00		2,425.00					74.00	
		151. HOSPIRA INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
4,901.00		8,341.00					-3,440.00	
		30. HUNT J B TRANS SVCS INC PROPERTY TYPE: SECURITIES					05/20/2011	06/19/2012
1,814.00		1,386.00					428.00	
		7. HUNT J B TRANS SVCS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
421.00		323.00					98.00	
		119. ICON PUB LTD CO PROPERTY TYPE: SECURITIES					08/30/2011	12/05/2011
1,987.00		2,818.00					-831.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. IDEX CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,101.00		1,116.00					-15.00	
		31. ILLUMINA INC PROPERTY TYPE: SECURITIES					05/20/2011	12/13/2011
835.00		2,259.00					-1,424.00	
		26. ILLUMINA INC PROPERTY TYPE: SECURITIES					05/20/2011	01/27/2012
1,362.00		1,894.00					-532.00	
		130. ILLUMINA INC PROPERTY TYPE: SECURITIES					05/20/2011	01/30/2012
6,700.00		9,456.00					-2,756.00	
		175. ILLUMINA INC PROPERTY TYPE: SECURITIES					09/13/2011	03/09/2012
8,822.00		12,282.00					-3,460.00	
		32. ILLUMINA INC PROPERTY TYPE: SECURITIES					05/20/2011	08/23/2012
1,339.00		2,332.00					-993.00	
		32. ILLUMINA INC PROPERTY TYPE: SECURITIES					07/28/2011	11/28/2012
1,634.00		2,245.00					-611.00	
		271. INDUSTRIAL & COML BK CHINA ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,385.00		4,453.00					-1,068.00	
		205. INDUSTRIAL & COML BK CHINA ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,747.00		3,368.00					-621.00	
		402. ING GROEP N.V. PROPERTY TYPE: SECURITIES					08/16/2011	12/05/2011
3,401.00		3,509.00					-108.00	
		148. ING GROEP N.V. PROPERTY TYPE: SECURITIES					08/12/2011	04/26/2012
1,048.00		1,273.00					-225.00	

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		736. ING GROEP N.V. PROPERTY TYPE: SECURITIES					09/16/2011	04/27/2012
5,148.00		5,611.00					-463.00	
		1. ING GROEP N V PREF 7.05% PERP PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
23.00		24.00					-1.00	
		4. ING GROEP NV 6.125% PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
85.00		90.00					-5.00	
		4. ING GROEP NV 6.375% PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
87.00		91.00					-4.00	
		201. INTEL CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,007.00		4,671.00					336.00	
		44. INTEL CORP PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
1,089.00		1,026.00					63.00	
		150. INTEL CORP PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
3,706.00		3,499.00					207.00	
		7. INTEL CORP PROPERTY TYPE: SECURITIES					05/29/2012	08/27/2012
174.00		182.00					-8.00	
		7. INTEL CORP PROPERTY TYPE: SECURITIES					05/29/2012	10/01/2012
161.00		174.00					-13.00	
		11. INTEL CORP PROPERTY TYPE: SECURITIES					12/21/2011	11/06/2012
241.00		262.00					-21.00	
		12. INTEL CORP PROPERTY TYPE: SECURITIES					12/21/2011	11/19/2012
244.00		282.00					-38.00	

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		3. INTEL CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/19/2012
61.00		70.00					-9.00	
		20. INTERCONTINENTALEXCHANGE INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
2,521.00		2,434.00					87.00	
		40. INTERCONTINENTALEXCHANGE INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
5,233.00		4,867.00					366.00	
		9. INTERNATIONAL BUSINESS MACHS CORP PROPERTY TYPE: SECURITIES					09/24/2012	11/28/2012
1,726.00		1,850.00					-124.00	
		181. INTERPUBLIC GROUP COS (DEL) PROPERTY TYPE: SECURITIES					10/14/2011	12/05/2011
1,757.00		1,447.00					310.00	
		7. INTUITIVE SURGICAL INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	01/09/2012
3,168.00		2,447.00					721.00	
		3. INTUITIVE SURGICAL INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
1,689.00		1,049.00					640.00	
		3. INTUITIVE SURGICAL INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	05/17/2012
1,582.00		1,049.00					533.00	
		9. INTUITIVE SURGICAL INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
4,768.00		3,147.00					1,621.00	
		250. ITAU UNIBANCO HOLDING S.A PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,772.00		5,428.00					-656.00	
		37.00011 ITAU UNIBANCO HOLDING S.A PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
548.00		803.00					-255.00	

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		162.99989 ITAU UNIBANCO HOLDING S.A					05/20/2011	11/27/2012
		PROPERTY TYPE: SECURITIES						
2,416.00		3,539.00					-1,123.00	
		217. JDS UNIPHASE CORP					11/21/2011	12/05/2011
		PROPERTY TYPE: SECURITIES						
2,311.00		2,261.00					50.00	
		159. J. P . MORGAN CHASE & CO F/K/A					05/20/2011	12/05/2011
		PROPERTY TYPE: SECURITIES						
5,298.00		6,862.00					-1,564.00	
		65. J. P . MORGAN CHASE & CO F/K/A					12/06/2011	05/07/2012
		PROPERTY TYPE: SECURITIES						
2,727.00		2,697.00					30.00	
		9. J. P . MORGAN CHASE & CO F/K/A					06/13/2011	05/11/2012
		PROPERTY TYPE: SECURITIES						
337.00		401.00					-64.00	
		5. J. P . MORGAN CHASE & CO F/K/A					05/20/2011	05/11/2012
		PROPERTY TYPE: SECURITIES						
186.00		216.00					-30.00	
		174. J. P . MORGAN CHASE & CO F/K/A					03/02/2012	06/11/2012
		PROPERTY TYPE: SECURITIES						
5,773.00		7,182.00					-1,409.00	
		37. JP MORGAN CHASE CAP XI 5.875%					05/20/2011	04/12/2012
		PROPERTY TYPE: SECURITIES						
931.00		933.00					-2.00	
		41. JP MORGAN CHASE CAP XI 5.875%					05/20/2011	07/02/2012
		PROPERTY TYPE: SECURITIES						
1,036.00		1,033.00					3.00	
		29. JP MORGAN CHASE CAP XI 5.875%					05/20/2011	09/05/2012
		PROPERTY TYPE: SECURITIES						
739.00		731.00					8.00	
		26. JP MORGAN CHASE CAP XI 5.875%					05/20/2011	09/12/2012
		PROPERTY TYPE: SECURITIES						
654.00		655.00					-1.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		37. JACOBS ENGR GROUP INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,512.00		1,727.00					-215.00	
		44. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,769.00		2,888.00					-119.00	
		41. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					07/20/2011	12/06/2011
2,611.00		2,697.00					-86.00	
		22. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					05/20/2011	03/19/2012
1,429.00		1,447.00					-18.00	
		76. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					06/23/2011	04/17/2012
4,873.00		4,953.00					-80.00	
		18. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					10/18/2012	11/28/2012
1,247.00		1,300.00					-53.00	
		27. JOY GLOBAL INC-WI PROPERTY TYPE: SECURITIES					03/01/2012	11/28/2012
1,519.00		2,554.00					-1,035.00	
		20. JPMORGAN CHASE CAP XIV PFD PROPERTY TYPE: SECURITIES					05/20/2011	03/22/2012
509.00		504.00					5.00	
		23. JPMORGAN CHASE CAP XIV PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/19/2012
583.00		579.00					4.00	
		83. JPM CHASE CAPITAL XXIII PFD 7.20% PROPERTY TYPE: SECURITIES					05/20/2011	03/27/2012
2,134.00		2,156.00					-22.00	
		17. JPM CHASE CAPITAL XXIX 6.7% PROPERTY TYPE: SECURITIES					05/20/2011	03/27/2012
439.00		443.00					-4.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		32. JPM CHASE CAPITAL XXIX 6.7% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
822.00		833.00					-11.00	
		22. JPM CHASE CAPITAL XXIX 6.7% PROPERTY TYPE: SECURITIES					05/20/2011	06/22/2012
574.00		573.00					1.00	
		31. JPM CHASE CAPITAL XXIX 6.7% PROPERTY TYPE: SECURITIES					05/20/2011	07/02/2012
797.00		807.00					-10.00	
		28. JPM CHASE CAPITAL XXIX 6.7% PROPERTY TYPE: SECURITIES					05/20/2011	09/13/2012
741.00		729.00					12.00	
		33. JPM CHASE CAPITAL XXIX 6.7% PROPERTY TYPE: SECURITIES					05/20/2011	10/05/2012
877.00		859.00					18.00	
		163. JUNIPER NETWORK INC PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
3,306.00		6,371.00					-3,065.00	
		22. KBR INC PROPERTY TYPE: SECURITIES					05/20/2011	01/13/2012
689.00		791.00					-102.00	
		170. KBR INC PROPERTY TYPE: SECURITIES					05/09/2012	05/29/2012
4,525.00		5,056.00					-531.00	
		228. KBR INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
6,069.00		8,197.00					-2,128.00	
		19. KANSAS CITY SOUTHERN INDS PROPERTY TYPE: SECURITIES					08/19/2011	12/05/2011
1,308.00		951.00					357.00	
		143. KDDI CORP ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,307.00		2,577.00					-270.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		102. KDDI CORP ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,896.00		1,838.00					58.00	
		68. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,778.00		4,640.00					138.00	
		27. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					09/30/2011	12/06/2011
1,911.00		1,925.00					-14.00	
		56. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					09/30/2011	01/06/2012
4,067.00		3,919.00					148.00	
		4. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	02/06/2012
290.00		273.00					17.00	
		5. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	04/19/2012
376.00		341.00					35.00	
		6. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
479.00		409.00					70.00	
		7. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	06/14/2012
575.00		478.00					97.00	
		5. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	06/19/2012
416.00		341.00					75.00	
		5. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	06/25/2012
405.00		341.00					64.00	
		4. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	06/26/2012
327.00		273.00					54.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	07/05/2012
84.00		68.00					16.00	
		3. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
253.00		205.00					48.00	
		6. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	08/01/2012
523.00		409.00					114.00	
		7. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	09/24/2012
596.00		478.00					118.00	
		3. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	09/25/2012
257.00		205.00					52.00	
		3. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	10/09/2012
259.00		205.00					54.00	
		5. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	10/25/2012
413.00		341.00					72.00	
		5. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES					05/20/2011	10/31/2012
415.00		341.00					74.00	
		1. KIMCO REALTY CORP 6.00% PROPERTY TYPE: SECURITIES					03/09/2012	04/12/2012
25.00		25.00						
		144. KIMCO RLTY CORP D/S REP 1/100 PFD PROPERTY TYPE: SECURITIES					05/20/2011	09/27/2012
3,663.00		3,798.00					-135.00	
		1. KIMCO REALTY CORP PROPERTY TYPE: SECURITIES					04/12/2012	08/15/2012
25.00		25.00						

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		21. KIMCO REALTY CORP PROPERTY TYPE: SECURITIES					05/20/2011	08/15/2012
525.00		525.00						
		43. KINDER MORGAN INC DEL PROPERTY TYPE: SECURITIES					08/02/2012	11/28/2012
1,465.00		1,537.00					-72.00	
		577. KINGFISHER PLC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,726.00		5,245.00					-519.00	
		430. KINGFISHER PLC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,758.00		3,909.00					-151.00	
		11. KIRBY CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
623.00		592.00					31.00	
		25. KOHLS CORP PROPERTY TYPE: SECURITIES					09/29/2011	12/13/2011
1,278.00		1,224.00					54.00	
		83. KOHLS CORP PROPERTY TYPE: SECURITIES					09/29/2011	05/16/2012
3,910.00		3,980.00					-70.00	
		173. KOMATSU LTD SPNS ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,522.00		5,341.00					-819.00	
		39. KOMATSU LTD SPNS ADR PROPERTY TYPE: SECURITIES					05/20/2011	03/01/2012
1,143.00		1,204.00					-61.00	
		142. KOMATSU LTD SPNS ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,152.00		4,384.00					-1,232.00	
		174. KRAFT FOODS INC-A PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
6,318.00		6,131.00					187.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. KRAFT FOODS INC-A PROPERTY TYPE: SECURITIES					05/20/2011	03/16/2012
383.00		352.00					31.00	
		5. KRAFT FOODS INC-A PROPERTY TYPE: SECURITIES					05/20/2011	05/31/2012
192.00		176.00					16.00	
		.6667 KRAFT FOODS GROUP INC PROPERTY TYPE: SECURITIES					05/01/2012	10/11/2012
32.00		28.00					4.00	
		24. KRAFT FOODS GROUP INC PROPERTY TYPE: SECURITIES					10/10/2012	11/28/2012
1,099.00		1,125.00					-26.00	
		88. KROGER CO PROPERTY TYPE: SECURITIES					06/30/2011	01/20/2012
2,094.00		2,184.00					-90.00	
		179. KROGER CO PROPERTY TYPE: SECURITIES					06/30/2011	02/09/2012
4,237.00		4,393.00					-156.00	
		68. LKQ CORP PROPERTY TYPE: SECURITIES					04/17/2012	05/14/2012
2,411.00		2,009.00					402.00	
		101. LKQ CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/26/2012
2,182.00		1,325.00					857.00	
		78. LKQ CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,693.00		1,023.00					670.00	
		814. LI & FUNG LTD PROPERTY TYPE: SECURITIES					09/09/2011	12/05/2011
3,500.00		3,060.00					440.00	
		411. LI & FUNG LTD PROPERTY TYPE: SECURITIES					09/08/2011	03/27/2012
2,036.00		1,538.00					498.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		576. LI & FUNG LTD PROPERTY TYPE: SECURITIES					09/16/2011	11/27/2012
1,797.00		2,143.00					-346.00	
		204. LVMH MOET HENNESSY LOUIS VUITTON PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
6,328.00		6,914.00					-586.00	
		49. LVMH MOET HENNESSY LOUIS VUITTON PROPERTY TYPE: SECURITIES					05/20/2011	09/04/2012
1,591.00		1,661.00					-70.00	
		127. LVMH MOET HENNESSY LOUIS VUITTON PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
4,279.00		4,304.00					-25.00	
		48. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					06/08/2011	01/24/2012
2,296.00		1,922.00					374.00	
		21. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					02/02/2012	03/02/2012
1,199.00		1,072.00					127.00	
		60. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					10/10/2011	05/07/2012
3,225.00		2,777.00					448.00	
		35. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					05/10/2012	06/26/2012
1,501.00		1,801.00					-300.00	
		6. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					02/02/2012	09/25/2012
282.00		306.00					-24.00	
		95. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					06/08/2011	09/25/2012
4,470.00		3,805.00					665.00	
		53. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					05/31/2012	11/27/2012
2,472.00		2,649.00					-177.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		140. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
6,559.00		5,870.00					689.00	
		44. LAUDER ESTEE COS INC CL A PROPERTY TYPE: SECURITIES					08/15/2011	07/12/2012
2,202.00		2,037.00					165.00	
		56. LAUDER ESTEE COS INC CL A PROPERTY TYPE: SECURITIES					08/10/2011	07/13/2012
2,877.00		2,579.00					298.00	
		59. LEAP WIRELESS INTL INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
547.00		930.00					-383.00	
		59. LEAR CORP PROPERTY TYPE: SECURITIES					10/31/2011	12/05/2011
2,489.00		2,754.00					-265.00	
		64. LEGG MASON INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,685.00		2,171.00					-486.00	
		222. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,249.00		4,000.00					249.00	
		11. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	01/10/2012
228.00		198.00					30.00	
		11. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	02/10/2012
257.00		198.00					59.00	
		10. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/03/2012
289.00		180.00					109.00	
		8. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	06/27/2012
228.00		144.00					84.00	

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		6. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	09/17/2012
215.00		108.00					107.00	
		4. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	10/19/2012
154.00		72.00					82.00	
		6. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	10/31/2012
222.00		108.00					114.00	
		8. LENNAR CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/13/2012
292.00		144.00					148.00	
		38. LINKEDIN CORP PROPERTY TYPE: SECURITIES					05/16/2012	10/11/2012
4,376.00		4,269.00					107.00	
		10. LINKEDIN CORP PROPERTY TYPE: SECURITIES					05/16/2012	11/28/2012
1,078.00		1,123.00					-45.00	
		2. LLOYDS BANKING GROUP PLC PFD 7.750% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
53.00		54.00					-1.00	
		34. LORILLARD INC PROPERTY TYPE: SECURITIES					10/03/2011	01/27/2012
3,753.00		3,854.00					-101.00	
		26. M & T CAPITAL TRUST IV PROPERTY TYPE: SECURITIES					05/20/2011	12/09/2011
685.00		681.00					4.00	
		184. MANITOWOC INC COM W/RTS EXP 09/18/2 PROPERTY TYPE: SECURITIES					12/01/2011	12/05/2011
2,077.00		2,807.00					-730.00	
		3. MARKEL CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/18/2012
1,320.00		1,252.00					68.00	

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		7. MARKEL CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,427.00		2,921.00					506.00	
		17. MARKEL CORP PFD SER DEB 7.5% PROPERTY TYPE: SECURITIES					05/20/2011	12/07/2011
431.00		440.00					-9.00	
		14. MARKEL CORP PFD SER DEB 7.5% PROPERTY TYPE: SECURITIES					05/20/2011	03/15/2012
355.00		363.00					-8.00	
		19. MARKEL CORP PFD SER DEB 7.5% PROPERTY TYPE: SECURITIES					05/20/2011	06/05/2012
481.00		492.00					-11.00	
		95. MARKEL CORP PFD SER DEB 7.5% PROPERTY TYPE: SECURITIES					05/20/2011	08/01/2012
2,375.00		2,460.00					-85.00	
		38. MCDONALDS CORP PROPERTY TYPE: SECURITIES					05/23/2011	04/20/2012
3,690.00		3,138.00					552.00	
		43. MCDONALDS CORP PROPERTY TYPE: SECURITIES					05/23/2011	05/08/2012
4,005.00		3,551.00					454.00	
		12. MEAD JOHNSON NUTRITION CO PROPERTY TYPE: SECURITIES					06/12/2012	07/11/2012
924.00		981.00					-57.00	
		43. MEAD JOHNSON NUTRITION CO PROPERTY TYPE: SECURITIES					05/20/2011	07/11/2012
3,310.00		2,899.00					411.00	
		16. MEAD JOHNSON NUTRITION CO PROPERTY TYPE: SECURITIES					12/23/2011	07/16/2012
1,166.00		1,061.00					105.00	
		40. MEAD JOHNSON NUTRITION CO PROPERTY TYPE: SECURITIES					05/20/2011	07/16/2012
2,915.00		2,697.00					218.00	

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		87. MEADWESTVACO CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,586.00		2,894.00					-308.00	
		10. MEADWESTVACO CORP PROPERTY TYPE: SECURITIES					05/20/2011	01/09/2012
293.00		333.00					-40.00	
		14. MEADWESTVACO CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/26/2012
443.00		466.00					-23.00	
		315. MEDTRONIC INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
11,674.00		13,311.00					-1,637.00	
		29. MERCADOLIBRE INC PROPERTY TYPE: SECURITIES					09/20/2011	12/05/2011
2,638.00		2,006.00					632.00	
		21. MERCADOLIBRE INC PROPERTY TYPE: SECURITIES					09/22/2011	11/27/2012
1,559.00		1,391.00					168.00	
		2. MERRILL LYNCH CAPITAL TR PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
47.00		49.00					-2.00	
		1. MERRILL LYNCH CAP TR II 6.45% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
24.00		24.00						
		300. METLIFE INC PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
9,144.00		13,319.00					-4,175.00	
		46. METLIFE INC PROPERTY TYPE: SECURITIES					08/25/2011	05/29/2012
1,402.00		1,481.00					-79.00	
		21. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	12/09/2011
530.00		534.00					-4.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	12/30/2011
509.00		509.00						
		20. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	01/27/2012
509.00		509.00						
		39. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	03/27/2012
991.00		993.00					-2.00	
		15. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
382.00		382.00						
		19. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	06/01/2012
480.00		484.00					-4.00	
		23. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	06/27/2012
586.00		585.00					1.00	
		22. METLIFE INC 6.50% PFD PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
567.00		560.00					7.00	
		4. METTLER-TOLEDO INTL INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
743.00		676.00					67.00	
		145. MICHELIN COMPAGNIE GENERALE ADR PROPERTY TYPE: SECURITIES					09/07/2012	11/27/2012
2,552.00		2,153.00					399.00	
		71. MICROSOFT CORP PROPERTY TYPE: SECURITIES					08/18/2011	12/02/2011
1,792.00		1,769.00					23.00	
		132. MICROSOFT CORP PROPERTY TYPE: SECURITIES					05/17/2012	11/28/2012
3,609.00		4,221.00					-612.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		742. MITSUBISHI UFJ FINL GROUP APD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,287.00		3,384.00					-97.00	
		226. MITSUBISHI UFJ FINL GROUP APD ADR PROPERTY TYPE: SECURITIES					05/20/2011	03/01/2012
1,152.00		1,031.00					121.00	
		454. MITSUBISHI UFJ FINL GROUP APD ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,070.00		2,070.00						
		51. MONDELEZ INTL INC PROPERTY TYPE: SECURITIES					05/01/2012	11/28/2012
1,306.00		1,324.00					-18.00	
		24. MONSANTO CO NEW PROPERTY TYPE: SECURITIES					01/06/2012	08/23/2012
2,058.00		1,859.00					199.00	
		26. MONSANTO CO NEW PROPERTY TYPE: SECURITIES					04/12/2012	11/26/2012
2,363.00		2,009.00					354.00	
		26. MONSANTO CO NEW PROPERTY TYPE: SECURITIES					10/14/2011	11/28/2012
2,375.00		1,925.00					450.00	
		7. MONSANTO CO NEW PROPERTY TYPE: SECURITIES					04/12/2012	11/28/2012
640.00		541.00					99.00	
		148. MONSTER WORLDWIDE INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,135.00		2,218.00					-1,083.00	
		22. MORNINGSTAR INC PROPERTY TYPE: SECURITIES					03/26/2012	11/27/2012
1,387.00		1,369.00					18.00	
		6. MORNINGSTAR INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
378.00		358.00					20.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		224. MOSAIC CO NEW PROPERTY TYPE: SECURITIES					02/09/2012	05/29/2012
11,050.00		14,213.00					-3,163.00	
		71. MOTOROLA SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,312.00		3,330.00					-18.00	
		10. MOTOROLA SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/16/2011
461.00		469.00					-8.00	
		17. MOTOROLA SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/20/2011
785.00		797.00					-12.00	
		9. MOTOROLA SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/22/2011
418.00		422.00					-4.00	
		18. MOTOROLA SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/22/2011
838.00		844.00					-6.00	
		19. MOTOROLA SOLUTIONS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/30/2011
883.00		891.00					-8.00	
		13.00938 MYLAN LABORATORIES INCORPORATED PROPERTY TYPE: SECURITIES					10/27/2011	12/05/2011
259.00		265.00					-6.00	
		115.99062 MYLAN LABORATORIES INCORPORATE PROPERTY TYPE: SECURITIES					10/27/2011	12/05/2011
2,308.00		2,168.00					140.00	
		116. MYRIAD GENETICS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,474.00		2,902.00					-428.00	
		92. NII HLDGS INC PROPERTY TYPE: SECURITIES					11/01/2011	12/05/2011
2,057.00		3,275.00					-1,218.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		328. NYSE EURONEXT INC PROPERTY TYPE: SECURITIES					05/02/2012	05/29/2012
8,105.00		8,735.00					-630.00	
		12. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					05/20/2011	02/16/2012
1,011.00		818.00					193.00	
		14. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					07/27/2011	03/02/2012
1,165.00		1,112.00					53.00	
		17. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					07/27/2011	04/25/2012
1,286.00		1,305.00					-19.00	
		40. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
2,826.00		2,720.00					106.00	
		55. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					05/20/2011	05/08/2012
3,803.00		3,740.00					63.00	
		149. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					05/20/2011	05/09/2012
10,165.00		10,153.00					12.00	
		70. NATIONAL-OILWELL INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
4,873.00		4,760.00					113.00	
		22. NATL RURAL UTILS COOP FIN PFD 5.95% PROPERTY TYPE: SECURITIES					05/20/2011	05/10/2012
551.00		558.00					-7.00	
		38. NAVISTAR INTL CORP NEW PROPERTY TYPE: SECURITIES					08/01/2011	12/05/2011
1,485.00		2,106.00					-621.00	
		193. NAVISTAR INTL CORP NEW PROPERTY TYPE: SECURITIES					09/01/2011	05/29/2012
5,620.00		8,981.00					-3,361.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		75. NESTLE S A SPONSORED ADR REPSTG REG PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,194.00		4,679.00					-485.00	
		19. NESTLE S A SPONSORED ADR REPSTG REG PROPERTY TYPE: SECURITIES					05/20/2011	02/02/2012
1,097.00		1,185.00					-88.00	
		50. NESTLE S A SPONSORED ADR REPSTG REG PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,221.00		3,119.00					102.00	
		32. NETAPP INC PROPERTY TYPE: SECURITIES					03/26/2012	05/18/2012
1,066.00		1,482.00					-416.00	
		145. NETAPP INC PROPERTY TYPE: SECURITIES					03/26/2012	06/12/2012
4,316.00		6,398.00					-2,082.00	
		12. NETFLIX COM INC PROPERTY TYPE: SECURITIES					11/04/2011	01/27/2012
1,381.00		1,076.00					305.00	
		20. NETFLIX COM INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
1,489.00		4,934.00					-3,445.00	
		47. NETFLIX COM INC PROPERTY TYPE: SECURITIES					11/04/2011	06/01/2012
2,923.00		4,213.00					-1,290.00	
		37. NETFLIX COM INC PROPERTY TYPE: SECURITIES					05/20/2011	09/05/2012
2,040.00		9,128.00					-7,088.00	
		38. NETFLIX COM INC PROPERTY TYPE: SECURITIES					01/09/2012	09/05/2012
2,095.00		3,371.00					-1,276.00	
		99.811 HF IVORY LLC CLASS A RECEIVABLE PROPERTY TYPE: SECURITIES					12/30/2011	12/31/2011
95,354.00		100.00					95,254.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		17. NEXEN INC PREF 7.35% PROPERTY TYPE: SECURITIES					02/29/2012	05/11/2012
431.00		430.00					1.00	
		19. NEXTERA ENERGY INC PROPERTY TYPE: SECURITIES					10/17/2012	11/28/2012
1,280.00		1,359.00					-79.00	
		18. NEXTERA ENERGY CAPITAL PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
519.00		521.00					-2.00	
		30. NIKE INC CL B PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
3,346.00		2,559.00					787.00	
		53. NIKE INC CL B PROPERTY TYPE: SECURITIES					04/30/2012	09/18/2012
5,172.00		5,931.00					-759.00	
		60. NIKE INC CL B PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
5,925.00		5,118.00					807.00	
		65. NISOURCE INC PROPERTY TYPE: SECURITIES					10/12/2011	12/05/2011
1,465.00		1,402.00					63.00	
		384. NOKIA CORP SPONSORED ADR PROPERTY TYPE: SECURITIES					01/17/2012	04/11/2012
1,655.00		2,150.00					-495.00	
		62. NORFOLK SOUTHERN CORP PROPERTY TYPE: SECURITIES					05/22/2012	05/29/2012
4,130.00		4,181.00					-51.00	
		109. NORFOLK SOUTHERN CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
7,261.00		7,887.00					-626.00	
		102. NOVARTIS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,526.00		6,285.00					-759.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		24. NOVARTIS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	01/10/2012
1,365.00		1,479.00					-114.00	
		20. NOVARTIS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	02/01/2012
1,110.00		1,232.00					-122.00	
		9.99847 NOVARTIS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
605.00		616.00					-11.00	
		50.00153 NOVARTIS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,027.00		3,081.00					-54.00	
		48. NOVO NORDISK A S ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,389.00		5,980.00					-591.00	
		5. NOVO NORDISK A S ADR PROPERTY TYPE: SECURITIES					05/20/2011	07/13/2012
725.00		623.00					102.00	
		7. NOVO NORDISK A S ADR PROPERTY TYPE: SECURITIES					05/20/2011	07/13/2012
1,017.00		872.00					145.00	
		8. NOVO NORDISK A S ADR PROPERTY TYPE: SECURITIES					05/20/2011	09/19/2012
1,247.00		997.00					250.00	
		25. NOVO NORDISK A S ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,982.00		3,115.00					867.00	
		16. O REILLY AUTOMOTIVE INC PROPERTY TYPE: SECURITIES					05/20/2011	01/19/2012
1,363.00		925.00					438.00	
		53. O REILLY AUTOMOTIVE INC PROPERTY TYPE: SECURITIES					05/20/2011	05/02/2012
5,650.00		3,065.00					2,585.00	

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		22. O REILLY AUTOMOTIVE INC PROPERTY TYPE: SECURITIES					09/19/2012	11/27/2012
2,028.00		1,812.00					216.00	
		15. O REILLY AUTOMOTIVE INC PROPERTY TYPE: SECURITIES					07/16/2012	11/28/2012
1,395.00		1,362.00					33.00	
		45. OCCIDENTAL PETROLEUM CORP PROPERTY TYPE: SECURITIES					02/03/2012	06/12/2012
3,770.00		4,609.00					-839.00	
		34. OCEANEERING INTL INC PROPERTY TYPE: SECURITIES					05/20/2011	02/23/2012
1,926.00		1,390.00					536.00	
		2. OCEANEERING INTL INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
106.00		82.00					24.00	
		12. OCEANEERING INTL INC PROPERTY TYPE: SECURITIES					06/05/2012	11/27/2012
636.00		542.00					94.00	
		160. ON SEMICONDUCTOR CORPORATION PROPERTY TYPE: SECURITIES					05/20/2011	01/20/2012
1,431.00		1,816.00					-385.00	
		620. ON SEMICONDUCTOR CORPORATION PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
4,185.00		7,037.00					-2,852.00	
		464. ON SEMICONDUCTOR CORPORATION PROPERTY TYPE: SECURITIES					05/21/2012	05/29/2012
3,132.00		3,276.00					-144.00	
		65. OPENTABLE INC PROPERTY TYPE: SECURITIES					05/20/2011	03/09/2012
2,786.00		5,891.00					-3,105.00	
		65. ORACLE CORP PROPERTY TYPE: SECURITIES					05/20/2011	03/13/2012
1,952.00		2,238.00					-286.00	

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		158. ORACLE CORP PROPERTY TYPE: SECURITIES					05/20/2011	03/23/2012
4,512.00		5,441.00					-929.00	
		87. ORACLE CORP PROPERTY TYPE: SECURITIES					05/20/2011	06/21/2012
2,430.00		2,996.00					-566.00	
		159. ORACLE CORP PROPERTY TYPE: SECURITIES					09/22/2011	10/18/2012
4,949.00		5,381.00					-432.00	
		104. OWENS ILL INC NEW PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,098.00		3,331.00					-1,233.00	
		294. PMC-SIERRA INC PROPERTY TYPE: SECURITIES					10/31/2011	12/05/2011
1,590.00		1,833.00					-243.00	
		28. PNC CAP TR D CAP SECS 6.125% PROPERTY TYPE: SECURITIES					05/20/2011	01/05/2012
708.00		714.00					-6.00	
		26. PNC CAP TR D CAP SECS 6.125% PROPERTY TYPE: SECURITIES					05/20/2011	02/27/2012
658.00		663.00					-5.00	
		29. PNC CAP TR D CAP SECS 6.125% PROPERTY TYPE: SECURITIES					05/20/2011	03/23/2012
729.00		739.00					-10.00	
		18. PNC CAP TR E GTD TR PFD SECS 7.750% PROPERTY TYPE: SECURITIES					05/20/2011	12/08/2011
469.00		473.00					-4.00	
		3. PNC CAP TR E GTD TR PFD SECS 7.750% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
78.00		79.00					-1.00	
		38. PNC CAP TR E GTD TR PFD SECS 7.750% PROPERTY TYPE: SECURITIES					05/20/2011	06/05/2012
994.00		998.00					-4.00	

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		1. PPL CAPITAL FUNDING INC SR NT PROPERTY TYPE: SECURITIES					04/12/2012	08/14/2012
25.00		26.00					-1.00	
		30. PPL CAPITAL FUNDING INC SR NT PROPERTY TYPE: SECURITIES					05/20/2011	08/14/2012
750.00		788.00					-38.00	
		22. PS BUSINESS PKS INC CALIF PROPERTY TYPE: SECURITIES					05/20/2011	10/09/2012
550.00		553.00					-3.00	
		22. PS BUSINESS PKS INC CALIF PROPERTY TYPE: SECURITIES						10/09/2012
1.00							1.00	
		17. PS BUSINESS PKS INC CALIF PROPERTY TYPE: SECURITIES					05/20/2011	06/01/2012
431.00		429.00					2.00	
		16. PS BUSINESS PKS INC CALIF PROPERTY TYPE: SECURITIES					05/20/2011	06/15/2012
400.00		404.00					-4.00	
		16. PS BUSINESS PKS INC CALIF PROPERTY TYPE: SECURITIES						06/15/2012
6.00							6.00	
		9. PALL CORP PROPERTY TYPE: SECURITIES					08/19/2011	11/27/2012
535.00		399.00					136.00	
		60. PATTERSON-UTI ENERGY INC 00 PROPERTY TYPE: SECURITIES					10/31/2011	12/05/2011
1,274.00		1,375.00					-101.00	
		119. PEARSON PLC SPND ADR PROPERTY TYPE: SECURITIES					02/03/2012	11/27/2012
2,241.00		2,274.00					-33.00	
		69. PENN NATL GAMING INC 00 PROPERTY TYPE: SECURITIES					08/05/2011	12/05/2011
2,593.00		2,653.00					-60.00	

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		57. PEPSICO INC PROPERTY TYPE: SECURITIES					05/20/2011	12/01/2011
3,650.00		4,082.00					-432.00	
		31. PEPSICO INC PROPERTY TYPE: SECURITIES					06/26/2012	10/12/2012
2,181.00		2,138.00					43.00	
		29. PEPSICO INC PROPERTY TYPE: SECURITIES					06/22/2011	10/12/2012
2,040.00		2,012.00					28.00	
		36. PEPSICO INC PROPERTY TYPE: SECURITIES					09/23/2011	10/16/2012
2,532.00		2,349.00					183.00	
		30. PEPSICO INC PROPERTY TYPE: SECURITIES					06/20/2012	10/16/2012
2,110.00		2,051.00					59.00	
		359. PFIZER INC. PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
7,090.00		7,467.00					-377.00	
		64. PFIZER INC. PROPERTY TYPE: SECURITIES					07/12/2012	11/28/2012
1,577.00		1,452.00					125.00	
		30. PHILIP MORRIS INTL INC PROPERTY TYPE: SECURITIES					06/20/2012	11/02/2012
2,620.00		2,617.00					3.00	
		32. PHILIP MORRIS INTL INC PROPERTY TYPE: SECURITIES					06/12/2012	11/28/2012
2,878.00		2,715.00					163.00	
		55. PINNACLE ENTMT INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
566.00		745.00					-179.00	
		55.00017 POTASH CORP SASK INC COM PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,342.00		2,886.00					-544.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		35.99983 POTASH CORP SASK INC COM PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,533.00		1,889.00					-356.00	
		63. POTASH CORP SASK INC COM PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,425.00		3,306.00					-881.00	
		25. PRAXAIR INC PROPERTY TYPE: SECURITIES					03/22/2012	05/07/2012
2,876.00		2,773.00					103.00	
		42. PRAXAIR INC PROPERTY TYPE: SECURITIES					08/30/2011	08/28/2012
4,411.00		4,117.00					294.00	
		5. PRAXAIR INC PROPERTY TYPE: SECURITIES					03/22/2012	11/28/2012
531.00		555.00					-24.00	
		50. PRAXAIR INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
5,314.00		5,237.00					77.00	
		7. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					06/12/2012	11/28/2012
1,268.00		1,161.00					107.00	
		7. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,268.00		1,080.00					188.00	
		3. PRICELINE COM INC PROPERTY TYPE: SECURITIES					05/20/2011	03/22/2012
2,127.00		1,575.00					552.00	
		5. PRICELINE COM INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
3,713.00		2,625.00					1,088.00	
		10. PRICELINE COM INC PROPERTY TYPE: SECURITIES					08/29/2011	11/28/2012
6,550.00		5,240.00					1,310.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		34. PROCTOR & GAMBLE CO PROPERTY TYPE: SECURITIES					05/20/2011	12/06/2011
2,204.00		2,296.00					-92.00	
		18. PROCTOR & GAMBLE CO PROPERTY TYPE: SECURITIES					05/20/2011	06/20/2012
1,083.00		1,216.00					-133.00	
		31. PROCTOR & GAMBLE CO PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
2,152.00		2,093.00					59.00	
		12. PROTECTIVE LIFE CORP 7.25% PFC CAP PROPERTY TYPE: SECURITIES					05/20/2011	06/14/2012
300.00		303.00					-3.00	
		5. PROTECTIVE LIFE CORP 7.25% PFC CAP PROPERTY TYPE: SECURITIES					05/20/2011	07/03/2012
126.00		126.00						
		16. PROTECTIVE LIFE CORP 7.25% PFC CAP PROPERTY TYPE: SECURITIES					05/20/2011	07/20/2012
404.00		403.00					1.00	
		7. PRUDENTIAL FINANCIAL INC PROPERTY TYPE: SECURITIES					11/10/2011	02/17/2012
428.00		366.00					62.00	
		139. PRUDENTIAL FINANCIAL INC PROPERTY TYPE: SECURITIES					11/10/2011	05/29/2012
6,619.00		7,268.00					-649.00	
		23. PRUDENTIAL FINANCIAL INC PFD 9.0% PROPERTY TYPE: SECURITIES					05/20/2011	10/09/2012
610.00		652.00					-42.00	
		1. PUBLIC STORAGE 6.875% PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
27.00		27.00						
		110. PUBLIC STORAGE INC PROPERTY TYPE: SECURITIES					05/20/2011	03/12/2012
2,752.00		2,827.00					-75.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		23. PUBLIC STORAGE PROPERTY TYPE: SECURITIES					05/20/2011	12/07/2011
587.00		587.00						
		43. PUBLIC STORAGE PROPERTY TYPE: SECURITIES					05/20/2011	02/09/2012
1,075.00		1,098.00					-23.00	
		43. PUBLIC STORAGE PROPERTY TYPE: SECURITIES						02/09/2012
8.00							8.00	
		17. PUBLIC STORAGE INC D/S REP 1/1000 PF PROPERTY TYPE: SECURITIES					05/20/2011	06/05/2012
433.00		430.00					3.00	
		1. PUBLIC STORAGE INC D/S REP 1/1000 PF PROPERTY TYPE: SECURITIES					04/12/2012	06/05/2012
25.00		25.00						
		17. PUBLIC STORAGE INC D/S REP 1/1000 PF PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
425.00		430.00					-5.00	
		17. PUBLIC STORAGE INC D/S REP 1/1000 PF PROPERTY TYPE: SECURITIES						07/12/2012
1.00							1.00	
		16. PUBLIC STORAGE PROPERTY TYPE: SECURITIES					05/20/2011	05/01/2012
403.00		403.00						
		12. PUBLIC STORAGE PROPERTY TYPE: SECURITIES					05/20/2011	05/03/2012
303.00		302.00					1.00	
		132. PUBLICIS S A NEW SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,128.00		3,678.00					-550.00	
		195. PUBLICIS S A NEW SPND ADR 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,708.00		2,717.00					-9.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		80. QUALCOMM INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
4,964.00		4,612.00					352.00	
		50. QUALCOMM INC PROPERTY TYPE: SECURITIES					05/20/2011	10/16/2012
3,003.00		2,882.00					121.00	
		40. QUALCOMM INC PROPERTY TYPE: SECURITIES					05/20/2011	11/01/2012
2,390.00		2,306.00					84.00	
		110. QUALCOMM INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
6,869.00		6,341.00					528.00	
		40. QUALCOMM INC PROPERTY TYPE: SECURITIES					04/17/2012	11/28/2012
2,504.00		2,704.00					-200.00	
		3. QWEST CORP 7.375% PROPERTY TYPE: SECURITIES					07/06/2011	04/12/2012
78.00		76.00					2.00	
		111. RF MICRO DEVICES INC 00 PROPERTY TYPE: SECURITIES					06/20/2011	12/05/2011
703.00		590.00					113.00	
		18. RALCORP HLDGS INC NEW PROPERTY TYPE: SECURITIES					10/05/2011	12/05/2011
1,464.00		1,375.00					89.00	
		38. RANGE RES CORP PROPERTY TYPE: SECURITIES					06/18/2012	11/28/2012
2,563.00		2,241.00					322.00	
		1. RAYMOND JAMES FINANCIAL PROPERTY TYPE: SECURITIES					03/01/2012	04/12/2012
26.00		25.00					1.00	
		66. RAYTHEON COMPANY PROPERTY TYPE: SECURITIES					05/20/2011	12/01/2011
2,999.00		3,275.00					-276.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		17. REALTY INCOME CORP PROPERTY TYPE: SECURITIES					05/20/2011	01/31/2012
429.00		438.00					-9.00	
		30. REALTY INCOME CORP PROPERTY TYPE: SECURITIES					05/20/2011	03/27/2012
756.00		773.00					-17.00	
		23. REALTY INCOME CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/04/2012
578.00		592.00					-14.00	
		529. RECKITT BENCKISER GROUP PLC ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,327.00		5,951.00					-624.00	
		136. RECKITT BENCKISER GROUP PLC ADR PROPERTY TYPE: SECURITIES					05/20/2011	09/19/2012
1,602.00		1,530.00					72.00	
		99. RECKITT BENCKISER GROUP PLC ADR PROPERTY TYPE: SECURITIES					05/20/2011	10/24/2012
1,207.00		1,114.00					93.00	
		320. RECKITT BENCKISER GROUP PLC ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
4,016.00		3,600.00					416.00	
		50. RECKITT BENCKISER GROUP PLC ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
622.00		563.00					59.00	
		33. REGAL BELOIT CORP PROPERTY TYPE: SECURITIES					07/15/2011	12/05/2011
1,684.00		2,202.00					-518.00	
		31. REGENCY CTRS CORPPFD 7.250% PROPERTY TYPE: SECURITIES					05/20/2011	03/12/2012
774.00		785.00					-11.00	
		1. REGENCY CTRS CORP PFD SER 5 6.750% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
25.00		25.00						

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		16. REGENCY CTRS CORP PFD SER 5 6.750% PROPERTY TYPE: SECURITIES					05/20/2011	09/13/2012
400.00		392.00					8.00	
		25. REGENERON PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					01/09/2012	05/07/2012
3,271.00		1,633.00					1,638.00	
		15. REGENERON PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					01/09/2012	07/13/2012
1,759.00		980.00					779.00	
		20. REGENERON PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					01/09/2012	07/31/2012
2,703.00		1,307.00					1,396.00	
		15. REGENERON PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					01/09/2012	09/26/2012
2,142.00		980.00					1,162.00	
		15. REGENERON PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					09/13/2011	11/01/2012
2,199.00		975.00					1,224.00	
		25. REGENERON PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					09/13/2011	11/28/2012
4,360.00		1,533.00					2,827.00	
		147. REPUBLIC SVCS INC PROPERTY TYPE: SECURITIES					08/15/2011	12/06/2011
3,999.00		4,109.00					-110.00	
		72. RIVERBED TECHNOLOG PROPERTY TYPE: SECURITIES					10/17/2011	12/05/2011
1,976.00		1,634.00					342.00	
		14. ROCKWELL INTL CORP NEW PROPERTY TYPE: SECURITIES					01/12/2012	04/25/2012
1,064.00		1,098.00					-34.00	
		25. ROCKWELL INTL CORP NEW PROPERTY TYPE: SECURITIES					01/12/2012	06/26/2012
1,587.00		1,940.00					-353.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		69. ROCKWELL INTL CORP NEW PROPERTY TYPE: SECURITIES					12/06/2011	07/13/2012
4,312.00		5,293.00					-981.00	
		53. ROWAN COS INC COM 00 PROPERTY TYPE: SECURITIES					12/02/2011	12/06/2011
1,780.00		1,851.00					-71.00	
		2. ROYAL BK SCOTLAND GROUP SPD ADR PROPERTY TYPE: SECURITIES					03/26/2012	04/12/2012
38.00		38.00						
		160. ROYAL DUTCH SHELL PLC SPD ADR PROPERTY TYPE: SECURITIES					05/25/2011	05/29/2012
10,033.00		11,132.00					-1,099.00	
		63. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES					08/12/2011	12/05/2011
2,591.00		2,219.00					372.00	
		72. SEI CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,606.00		1,671.00					-65.00	
		34. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					09/22/2011	12/05/2011
2,278.00		2,240.00					38.00	
		57. SABMILLER PLC SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,986.00		2,131.00					-145.00	
		40. SABMILLER PLC SPD ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,818.00		1,496.00					322.00	
		5. SALESFORCE COM INC PROPERTY TYPE: SECURITIES					12/06/2011	04/20/2012
791.00		624.00					167.00	
		45. SALESFORCE COM INC PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
6,849.00		6,633.00					216.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		28. SALESFORCE COM INC PROPERTY TYPE: SECURITIES					12/06/2011	07/30/2012
3,608.00		3,492.00					116.00	
		90. SALESFORCE COM INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
14,109.00		13,266.00					843.00	
		20. SALLY BEAUTY HLDGS INC PROPERTY TYPE: SECURITIES					07/25/2011	11/27/2012
495.00		360.00					135.00	
		30. SANDISK CORP PROPERTY TYPE: SECURITIES					03/02/2012	04/30/2012
1,110.00		1,538.00					-428.00	
		32. SANDISK CORP PROPERTY TYPE: SECURITIES					03/02/2012	05/18/2012
1,019.00		1,624.00					-605.00	
		42. SANDISK CORP PROPERTY TYPE: SECURITIES					12/06/2011	06/26/2012
1,522.00		2,118.00					-596.00	
		21. SANDISK CORP PROPERTY TYPE: SECURITIES					12/06/2011	11/28/2012
849.00		1,059.00					-210.00	
		101. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,985.00		6,266.00					-281.00	
		18. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	03/05/2012
1,223.00		1,117.00					106.00	
		19. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	04/13/2012
1,245.00		1,179.00					66.00	
		23. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
1,334.00		1,427.00					-93.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		16. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	09/19/2012
1,162.00		993.00					169.00	
		18. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	10/24/2012
1,285.00		1,117.00					168.00	
		48. SAP AG-SPONSORED ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,695.00		2,978.00					717.00	
		298. SBERBANK RUSSIA PROPERTY TYPE: SECURITIES					09/12/2011	12/05/2011
3,498.00		3,325.00					173.00	
		199. SBERBANK RUSSIA PROPERTY TYPE: SECURITIES					09/12/2011	11/27/2012
2,280.00		2,115.00					165.00	
		20. SCHEIN HENRY INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,607.00		1,447.00					160.00	
		52.99977 SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,093.00		4,446.00					-353.00	
		25.00023 SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,931.00		2,097.00					-166.00	
		16. SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	03/02/2012
1,244.00		1,346.00					-102.00	
		45. SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
3,195.00		3,783.00					-588.00	
		10.00026 SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
699.00		839.00					-140.00	

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		44.99974 SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,146.00		3,775.00					-629.00	
		32. SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
2,262.00		2,692.00					-430.00	
		90. SCHLUMBERGER LTD PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
6,331.00		7,566.00					-1,235.00	
		725. SCHWAB CHARLES CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	03/22/2012
10,763.00		12,630.00					-1,867.00	
		121. SCOTTS CO CL A PROPERTY TYPE: SECURITIES					07/21/2011	01/27/2012
5,780.00		6,501.00					-721.00	
		115. SEALED AIR CORP PROPERTY TYPE: SECURITIES					10/28/2011	12/05/2011
2,071.00		2,066.00					5.00	
		6. SHERWIN WILLIAMS CO PROPERTY TYPE: SECURITIES					08/08/2012	11/28/2012
939.00		846.00					93.00	
		45. SIEMENS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,591.00		5,883.00					-1,292.00	
		4. SIEMENS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	08/31/2012
378.00		523.00					-145.00	
		8. SIEMENS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	09/04/2012
752.00		1,046.00					-294.00	
		11. SIEMENS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	09/19/2012
1,133.00		1,438.00					-305.00	

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		13. SIEMENS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	10/11/2012
1,291.00		1,700.00					-409.00	
		23. SIEMENS AG-ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,336.00		3,007.00					-671.00	
		61. SIGMA ALDRICH CORP PROPERTY TYPE: SECURITIES					06/30/2011	04/23/2012
4,287.00		4,335.00					-48.00	
		14. SOLERA HLDGS INC PROPERTY TYPE: SECURITIES					07/28/2011	11/27/2012
693.00		804.00					-111.00	
		110. SOLUTIA INC PROPERTY TYPE: SECURITIES					12/01/2011	12/05/2011
1,779.00		1,683.00					96.00	
		.7276 SOUTHERN COPPER CORP DEL PROPERTY TYPE: SECURITIES					12/09/2011	03/05/2012
23.00		23.00						
		33. SOUTHERN COPPER CORP DEL PROPERTY TYPE: SECURITIES					09/07/2012	11/27/2012
1,191.00		1,098.00					93.00	
		50. SOUTHWESTERN ENERGY CO PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
1,451.00		2,130.00					-679.00	
		90. SOUTHWESTERN ENERGY CO PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
3,160.00		3,834.00					-674.00	
		137. SPIRIT AEROSYSTEMS PROPERTY TYPE: SECURITIES					06/03/2011	12/05/2011
2,740.00		3,061.00					-321.00	
		1027. SPRINT CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,692.00		5,594.00					-2,902.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1039. SPRINT CORP PROPERTY TYPE: SECURITIES					11/08/2011	01/31/2012
2,203.00		3,774.00					-1,571.00	
		21. STARBUCKS CORP PROPERTY TYPE: SECURITIES					05/20/2011	02/21/2012
1,020.00		773.00					247.00	
		75. STARBUCKS CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
4,157.00		2,759.00					1,398.00	
		40. STARBUCKS CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/17/2012
2,116.00		1,472.00					644.00	
		30. STARBUCKS CORP PROPERTY TYPE: SECURITIES					05/20/2011	06/29/2012
1,588.00		1,104.00					484.00	
		100. STARBUCKS CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
5,118.00		3,679.00					1,439.00	
		34. STARBUCKS CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,745.00		1,251.00					494.00	
		24. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
1,384.00		1,426.00					-42.00	
		36. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					05/20/2011	05/18/2012
1,818.00		2,139.00					-321.00	
		14. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					03/02/2012	06/26/2012
704.00		765.00					-61.00	
		8. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					05/20/2011	06/26/2012
402.00		475.00					-73.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		62. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					08/23/2011	10/23/2012
3,380.00		2,888.00					492.00	
		42. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					03/02/2012	10/23/2012
2,290.00		2,285.00					5.00	
		111. STATE STREET CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,423.00		5,219.00					-796.00	
		25. STERICYCLE INC PROPERTY TYPE: SECURITIES					08/15/2011	03/21/2012
2,135.00		2,019.00					116.00	
		22. SUNTRUST CAP IX PROPERTY TYPE: SECURITIES					05/20/2011	01/25/2012
570.00		568.00					2.00	
		14. SUNTRUST CAP IX PROPERTY TYPE: SECURITIES					05/20/2011	01/31/2012
363.00		361.00					2.00	
		15. SUNTRUST CAP IX PROPERTY TYPE: SECURITIES					05/20/2011	02/01/2012
389.00		387.00					2.00	
		290. SUPERIOR ENERGY SERVICES INC PROPERTY TYPE: SECURITIES					02/29/2012	05/29/2012
6,455.00		8,498.00					-2,043.00	
		58.99608 SWATCH GROUP AG PROPERTY TYPE: SECURITIES					11/04/2011	12/05/2011
1,160.00		1,215.00					-55.00	
		26.00392 SWATCH GROUP AG PROPERTY TYPE: SECURITIES					11/04/2011	12/05/2011
511.00		520.00					-9.00	
		57. SWATCH GROUP AG PROPERTY TYPE: SECURITIES					09/04/2012	11/27/2012
1,343.00		1,186.00					157.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		47. SWATCH GROUP AG PROPERTY TYPE: SECURITIES					10/18/2011	11/27/2012
1,107.00		903.00					204.00	
		165. SYMANTEC CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,708.00		3,209.00					-501.00	
		20. SYMANTEC CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
304.00		389.00					-85.00	
		759. SYMANTEC CORP PROPERTY TYPE: SECURITIES					04/24/2012	05/29/2012
11,430.00		12,589.00					-1,159.00	
		16. SYMANTEC CORP PROPERTY TYPE: SECURITIES					05/20/2011	06/06/2012
235.00		311.00					-76.00	
		27. SYMANTEC CORP PROPERTY TYPE: SECURITIES					05/20/2011	06/22/2012
393.00		525.00					-132.00	
		62. SYMANTEC CORP PROPERTY TYPE: SECURITIES					03/23/2012	07/25/2012
935.00		1,064.00					-129.00	
		81. SYMANTEC CORP PROPERTY TYPE: SECURITIES					05/20/2011	07/25/2012
1,221.00		1,575.00					-354.00	
		21. SYNGENTA AG-ADR PROPERTY TYPE: SECURITIES					03/26/2012	11/27/2012
1,642.00		1,430.00					212.00	
		76. TAIWAN SEMICONDUCTOR-SP ADR PROPERTY TYPE: SECURITIES					09/19/2012	10/19/2012
1,159.00		1,146.00					13.00	
		74. TAIWAN SEMICONDUCTOR-SP ADR PROPERTY TYPE: SECURITIES					09/19/2012	10/22/2012
1,133.00		1,071.00					62.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		100. TAIWAN SEMICONDUCTOR-SP ADR PROPERTY TYPE: SECURITIES					07/27/2012	11/27/2012
1,683.00		1,365.00					318.00	
		22. TARGET CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/03/2012
1,272.00		1,099.00					173.00	
		20. TARGET CORP PROPERTY TYPE: SECURITIES					01/18/2012	05/29/2012
1,156.00		993.00					163.00	
		200. TARGET CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
11,556.00		9,993.00					1,563.00	
		16.99994 TECK RESOURCES LTD-CLS B PROPERTY TYPE: SECURITIES					07/21/2011	12/05/2011
662.00		910.00					-248.00	
		43.00006 TECK RESOURCES LTD-CLS B PROPERTY TYPE: SECURITIES					07/21/2011	12/05/2011
1,674.00		2,302.00					-628.00	
		22. TECK RESOURCES LTD-CLS B PROPERTY TYPE: SECURITIES					07/25/2011	03/22/2012
769.00		1,176.00					-407.00	
		109. TECK RESOURCES LTD-CLS B PROPERTY TYPE: SECURITIES					12/01/2011	03/23/2012
3,835.00		5,292.00					-1,457.00	
		146. TELEFONICA DE ESPANA SPONSORED PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,764.00		3,470.00					-706.00	
		325. TELEFONICA DE ESPANA SPONSORED PROPERTY TYPE: SECURITIES					05/20/2011	01/25/2012
5,498.00		7,725.00					-2,227.00	
		19455.253 TEMPLETON INCOME TR GLOBAL BD PROPERTY TYPE: SECURITIES					05/18/2011	12/02/2011
250,000.00		269,844.00					-19,844.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		71.00007 TENCENT HLDGS LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,397.00		2,009.00					-612.00	
		97.99993 TENCENT HLDGS LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,928.00		2,773.00					-845.00	
		44. TENCENT HLDGS LTD PROPERTY TYPE: SECURITIES					11/22/2011	03/20/2012
1,227.00		1,256.00					-29.00	
		106. TENCENT HLDGS LTD PROPERTY TYPE: SECURITIES					11/22/2011	11/27/2012
3,430.00		3,004.00					426.00	
		458. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,130.00		2,876.00					-746.00	
		25. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	01/06/2012
121.00		157.00					-36.00	
		25. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	01/10/2012
126.00		157.00					-31.00	
		91. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	04/27/2012
474.00		571.00					-97.00	
		109. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/04/2012
548.00		684.00					-136.00	
		114. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/10/2012
594.00		716.00					-122.00	
		109. TENET HEALTHCARE CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/24/2012
511.00		684.00					-173.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		12. TERADATA CORP DEL PROPERTY TYPE: SECURITIES					07/03/2012	11/28/2012
711.00		860.00					-149.00	
		12.00002 TEREX CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
201.00		354.00					-153.00	
		163.99998 TEREX CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,743.00		4,833.00					-2,090.00	
		6. TEREX CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	02/01/2012
130.00		177.00					-47.00	
		12. TEREX CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	02/09/2012
279.00		354.00					-75.00	
		5. TEREX CORP NEW PROPERTY TYPE: SECURITIES					05/20/2011	09/13/2012
120.00		147.00					-27.00	
		241. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,627.00		4,960.00					-333.00	
		66. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	06/29/2012
963.00		1,358.00					-395.00	
		27. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	06/29/2012
395.00		556.00					-161.00	
		94. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	10/08/2012
1,430.00		1,935.00					-505.00	
		93. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	10/09/2012
1,399.00		1,914.00					-515.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		159. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	10/10/2012
2,399.00		3,272.00					-873.00	
		125. TESCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	10/11/2012
1,878.00		2,573.00					-695.00	
		110. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,418.00		5,500.00					-1,082.00	
		20. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
803.00		1,000.00					-197.00	
		20. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					05/20/2011	05/24/2012
774.00		1,000.00					-226.00	
		20. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					11/17/2011	05/29/2012
770.00		985.00					-215.00	
		180. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
6,932.00		8,989.00					-2,057.00	
		68.99974 TEVA PHARMACEUTICAL INDS LTD AD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,799.00		3,450.00					-651.00	
		15.00026 TEVA PHARMACEUTICAL INDS LTD AD PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
608.00		750.00					-142.00	
		19.99938 TEXTRON INC PROPERTY TYPE: SECURITIES					07/29/2011	12/05/2011
383.00		463.00					-80.00	
		216.00062 TEXTRON INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,137.00		4,968.00					-831.00	

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		12.99909 THERMO ELECTRON CORP PROPERTY TYPE: SECURITIES					10/27/2011	12/05/2011
606.00		653.00					-47.00	
		11.00091 THERMO ELECTRON CORP PROPERTY TYPE: SECURITIES					10/27/2011	12/05/2011
512.00		556.00					-44.00	
		44. 3M COMPANY 00 PROPERTY TYPE: SECURITIES					05/20/2011	12/06/2011
3,629.00		4,136.00					-507.00	
		75. TIME WARNER INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,582.00		2,770.00					-188.00	
		125. TIME WARNER INC PROPERTY TYPE: SECURITIES					05/20/2011	03/26/2012
4,647.00		4,642.00					5.00	
		18. TIME WARNER INC PROPERTY TYPE: SECURITIES					05/20/2011	04/03/2012
672.00		667.00					5.00	
		227. TIME WARNER INC PROPERTY TYPE: SECURITIES					05/20/2011	04/04/2012
8,388.00		8,405.00					-17.00	
		37. TITANIUM METALS CORP PROPERTY TYPE: SECURITIES					06/16/2011	12/05/2011
593.00		607.00					-14.00	
		70. TOYOTA MTR CORP 00 PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,768.00		5,602.00					-834.00	
		15. TOYOTA MTR CORP 00 PROPERTY TYPE: SECURITIES					05/20/2011	02/16/2012
1,252.00		1,200.00					52.00	
		44. TOYOTA MTR CORP 00 PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
3,759.00		3,521.00					238.00	

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		10. TRANSDIGM GROUP INC PROPERTY TYPE: SECURITIES					02/07/2012	11/27/2012
1,335.00		1,119.00					216.00	
		96. THE TRAVELERS COMPANIES INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
5,226.00		5,984.00					-758.00	
		7. THE TRAVELERS COMPANIES INC PROPERTY TYPE: SECURITIES					05/20/2011	08/23/2012
454.00		436.00					18.00	
		59. TRINITY INDUSTRIES INCORPORATED PROPERTY TYPE: SECURITIES					08/05/2011	12/05/2011
1,752.00		1,496.00					256.00	
		308. TRIQUINT SEMICONDUCTOR INC PROPERTY TYPE: SECURITIES					09/30/2011	12/05/2011
1,473.00		1,657.00					-184.00	
		731. TURKIYE GARANTI BANKASI A S PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,544.00		3,209.00					-665.00	
		347. TURKIYE GARANTI BANKASI A S PROPERTY TYPE: SECURITIES					05/20/2011	02/03/2012
1,344.00		1,523.00					-179.00	
		292. TURKIYE GARANTI BANKASI A S PROPERTY TYPE: SECURITIES					05/20/2011	02/10/2012
1,072.00		1,282.00					-210.00	
		396. TURKIYE GARANTI BANKASI A S PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
1,865.00		1,738.00					127.00	
		93. TURKIYE GARANTI BANKASI A S PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
424.00		408.00					16.00	
		36. TYSON FOODS INC CL A PROPERTY TYPE: SECURITIES					05/09/2012	07/17/2012
552.00		698.00					-146.00	

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		19. TYSON FOODS INC CL A PROPERTY TYPE: SECURITIES					05/09/2012	08/28/2012
295.00		368.00					-73.00	
		288. TYSON FOODS INC CL A PROPERTY TYPE: SECURITIES					08/10/2011	08/28/2012
4,464.00		5,211.00					-747.00	
		1. US BANCORP DEL PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
23.00		23.00						
		22. USB CAPITAL XI PFD PROPERTY TYPE: SECURITIES					05/20/2011	12/12/2011
552.00		570.00					-18.00	
		54. USB CAPITAL XI PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
1,364.00		1,399.00					-35.00	
		28. USB CAPITAL XI PFD PROPERTY TYPE: SECURITIES					05/20/2011	05/18/2012
700.00		725.00					-25.00	
		33. USB CAPITAL XII 6.3% PROPERTY TYPE: SECURITIES					05/20/2011	03/27/2012
836.00		839.00					-3.00	
		65. USB CAP VIII 6.35% TR PFD SECS PROPERTY TYPE: SECURITIES					05/20/2011	02/22/2012
1,625.00		1,646.00					-21.00	
		35. UMPQUA HLDGS CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
414.00		405.00					9.00	
		25. UNDER ARMOUR INC PROPERTY TYPE: SECURITIES					06/06/2012	08/24/2012
1,400.00		1,268.00					132.00	
		81. UNDER ARMOUR INC PROPERTY TYPE: SECURITIES					06/06/2012	10/23/2012
4,623.00		4,012.00					611.00	

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		17. UNILEVER N V NY SHS NEW PROPERTY TYPE: SECURITIES					01/18/2012	05/29/2012
540.00		556.00					-16.00	
		225. UNILEVER N V NY SHS NEW PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
7,153.00		7,244.00					-91.00	
		28. UNILEVER N V NY SHS NEW PROPERTY TYPE: SECURITIES					10/17/2012	11/28/2012
1,056.00		1,047.00					9.00	
		23. UNION PAC CORP PROPERTY TYPE: SECURITIES					10/07/2011	03/19/2012
2,601.00		2,036.00					565.00	
		81. UNION PAC CORP PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
9,056.00		8,277.00					779.00	
		16. UNION PAC CORP PROPERTY TYPE: SECURITIES					07/30/2012	11/28/2012
1,962.00		1,974.00					-12.00	
		10000. U.S. T BDS INFL IN 5.06978% 4/15 PROPERTY TYPE: SECURITIES					05/24/2011	02/16/2012
21,092.00		18,097.00					2,995.00	
		4000. U.S. TSY INFL IDX NT 2.500% 1/15/ PROPERTY TYPE: SECURITIES					07/27/2011	01/27/2012
5,701.00		5,043.00					658.00	
		12000. U.S. TSY INFL IDX NT 2.500% 1/15 PROPERTY TYPE: SECURITIES					07/27/2011	01/27/2012
17,053.00		15,128.00					1,925.00	
		6000. U.S. TSY INFL IDX NT 2.500% 1/15/ PROPERTY TYPE: SECURITIES					07/12/2011	02/02/2012
8,696.00		7,372.00					1,324.00	
		6000. U.S. TSY INFL IDX NT 2.500% 1/15/ PROPERTY TYPE: SECURITIES					05/24/2011	02/28/2012
8,614.00		7,358.00					1,256.00	

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		5000. U.S. TSY INFL IDX NT 2.500% 1/15/ PROPERTY TYPE: SECURITIES					07/12/2011	02/29/2012
7,125.00		6,131.00					994.00	
		3000. U.S. TSY INFL IDX NT 2.500% 1/15/ PROPERTY TYPE: SECURITIES					07/27/2011	03/01/2012
4,247.00		3,678.00					569.00	
		26000. U.S. T NTS INFL IN 3.77643% 7/15 PROPERTY TYPE: SECURITIES					05/24/2011	07/15/2012
26,000.00		34,560.00					-8,560.00	
		2000. U.S. T NTS INFL IN 3.77643% 7/15/ PROPERTY TYPE: SECURITIES					04/03/2012	07/15/2012
2,000.00		2,616.00					-616.00	
		48000. U S TREAS NTS 0.875% 2/29 PROPERTY TYPE: SECURITIES					05/24/2011	02/29/2012
48,000.00		48,257.00					-257.00	
		10000. U S TREAS NTS 1.375% 3/15 PROPERTY TYPE: SECURITIES					05/24/2011	03/01/2012
10,121.00		10,159.00					-38.00	
		20000. U S TREAS NTS 1.500% 7/31 PROPERTY TYPE: SECURITIES					08/08/2011	03/01/2012
20,623.00		20,414.00					209.00	
		30000. U S TREAS NTS 2.125% 8/15 PROPERTY TYPE: SECURITIES					09/13/2011	09/17/2012
31,222.00		30,375.00					847.00	
		6000. U S TREAS NTS 2.000% 11/15/ PROPERTY TYPE: SECURITIES					10/16/2012	11/28/2012
6,287.00		6,070.00					217.00	
		24000. U S TREAS NTS 2.000% 2/15 PROPERTY TYPE: SECURITIES					03/20/2012	09/14/2012
24,484.00		23,995.00					489.00	
		6000. U S TREAS NTS 1.375% 2/28/ PROPERTY TYPE: SECURITIES					03/01/2012	11/28/2012
6,176.00		5,972.00					204.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. UNITED TECHNOLOGIES CP PROPERTY TYPE: SECURITIES					05/20/2011	02/03/2012
2,025.00		2,191.00					-166.00	
		27. UNITED TECHNOLOGIES CP PROPERTY TYPE: SECURITIES					05/20/2011	04/20/2012
2,200.00		2,366.00					-166.00	
		48. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					05/20/2011	02/03/2012
2,458.00		2,421.00					37.00	
		16. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
845.00		807.00					38.00	
		66. UNIVERSAL HEALTH SVCS INC CL B PROPERTY TYPE: SECURITIES					05/20/2011	03/01/2012
2,922.00		3,527.00					-605.00	
		8. V F CORP PROPERTY TYPE: SECURITIES					05/20/2011	02/21/2012
1,157.00		782.00					375.00	
		8. V F CORP PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
1,297.00		782.00					515.00	
		122. VALERO ENERGY CORP COM NEW PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,755.00		3,197.00					-442.00	
		95. VALUECLICK INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
1,506.00		1,674.00					-168.00	
		6. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/20/2011	12/02/2011
382.00		414.00					-32.00	
		27. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					08/19/2011	12/05/2011
1,721.00		1,659.00					62.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		13. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
898.00		896.00					2.00	
		22. VERIFONE SYSTEMS INC PROPERTY TYPE: SECURITIES					11/02/2011	04/23/2012
1,142.00		906.00					236.00	
		58. VERIFONE SYSTEMS INC PROPERTY TYPE: SECURITIES					11/02/2011	04/30/2012
2,763.00		2,225.00					538.00	
		87. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					07/27/2012	11/28/2012
3,783.00		3,798.00					-15.00	
		40. VERISK ANALYTICS I CL A USD0.001 PROPERTY TYPE: SECURITIES					05/20/2011	04/18/2012
1,918.00		1,362.00					556.00	
		11. VERISK ANALYTICS I CL A USD0.001 PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
532.00		375.00					157.00	
		41. VERTEX PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					06/01/2012	11/28/2012
1,621.00		2,457.00					-836.00	
		284. VIACOM INC 6.85% PROPERTY TYPE: SECURITIES					05/20/2011	01/09/2012
7,100.00		7,350.00					-250.00	
		70. VISA INC-CLASS A SHARES PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
8,307.00		5,516.00					2,791.00	
		30. VISA INC-CLASS A SHARES PROPERTY TYPE: SECURITIES					05/20/2011	06/29/2012
3,693.00		2,364.00					1,329.00	
		20. VISA INC-CLASS A SHARES PROPERTY TYPE: SECURITIES					05/20/2011	11/01/2012
2,873.00		1,576.00					1,297.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		105. VISA INC-CLASS A SHARES PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
15,425.00		8,273.00					7,152.00	
		4. VISTEON CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/02/2011
225.00		249.00					-24.00	
		33. VISTEON CORP PROPERTY TYPE: SECURITIES					08/10/2011	12/05/2011
1,868.00		1,870.00					-2.00	
		413. VODAFONE GROUP SPD ADR REP 10 ORD S PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
11,147.00		11,778.00					-631.00	
		4. VODAFONE GROUP SPD ADR REP 10 ORD SH PROPERTY TYPE: SECURITIES					11/23/2011	11/27/2012
100.00		105.00					-5.00	
		51. VODAFONE GROUP SPD ADR REP 10 ORD SH PROPERTY TYPE: SECURITIES					01/25/2012	11/27/2012
1,281.00		1,401.00					-120.00	
		89. VOLKSWAGEN AG PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,079.00		3,172.00					-93.00	
		64. VOLKSWAGEN AG PROPERTY TYPE: SECURITIES					05/20/2011	03/12/2012
2,352.00		2,281.00					71.00	
		130. VOLKSWAGEN AG PROPERTY TYPE: SECURITIES					05/20/2011	03/13/2012
4,707.00		4,633.00					74.00	
		5. VORNADO REALTY LP PFD 7.875% PROPERTY TYPE: SECURITIES					04/12/2012	07/26/2012
140.00		140.00						
		23. VORNADO REALTY LP PFD 7.875% PROPERTY TYPE: SECURITIES					05/20/2011	07/26/2012
644.00		629.00					15.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1. WACHOVIA PFD 7.250% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
26.00		26.00						
		31. WACHOVIA PFD 7.250% PROPERTY TYPE: SECURITIES					05/20/2011	06/18/2012
834.00		820.00					14.00	
		32. WACHOVIA PFD 7.250% PROPERTY TYPE: SECURITIES					05/20/2011	06/29/2012
865.00		846.00					19.00	
		28. WACHOVIA PFD 7.250% PROPERTY TYPE: SECURITIES					05/20/2011	07/20/2012
761.00		741.00					20.00	
		31. WACHOVIA PFD 7.250% PROPERTY TYPE: SECURITIES					05/20/2011	08/23/2012
842.00		820.00					22.00	
		32. WACHOVIA CAP TR IX 6.375% PROPERTY TYPE: SECURITIES					04/12/2012	05/03/2012
812.00		814.00					-2.00	
		105. WAL MART STORES INC PROPERTY TYPE: SECURITIES					06/22/2011	12/06/2011
6,147.00		5,568.00					579.00	
		50. WAL MART STORES INC PROPERTY TYPE: SECURITIES					10/04/2011	01/05/2012
2,967.00		2,612.00					355.00	
		115. WAL-MART DE MEXICO SA-SP ADR PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,164.00		3,447.00					-283.00	
		37. WAL-MART DE MEXICO SA-SP ADR PROPERTY TYPE: SECURITIES					05/20/2011	03/20/2012
1,221.00		1,109.00					112.00	
		74. WAL-MART DE MEXICO SA-SP ADR PROPERTY TYPE: SECURITIES					05/20/2011	11/27/2012
2,277.00		2,218.00					59.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		42. WARNACO GROUP INC/THE PROPERTY TYPE: SECURITIES					10/18/2011	12/05/2011
2,234.00		1,973.00					261.00	
		26. WATERS CORP PROPERTY TYPE: SECURITIES					05/20/2011	12/13/2011
1,914.00		2,576.00					-662.00	
		66. WATERS CORP PROPERTY TYPE: SECURITIES					07/26/2011	01/10/2012
4,905.00		6,416.00					-1,511.00	
		42. WEINGARTEN RLTY INVS PFD SER F 6.50% PROPERTY TYPE: SECURITIES					05/20/2011	06/04/2012
1,063.00		1,045.00					18.00	
		2. WEINGARTEN RLTY INVS PFD SER F 6.50% PROPERTY TYPE: SECURITIES					04/12/2012	06/04/2012
51.00		50.00					1.00	
		26. WEINGARTEN RLTY INVS PFD SER F 6.50% PROPERTY TYPE: SECURITIES					05/20/2011	08/20/2012
662.00		647.00					15.00	
		36. WEINGARTEN RLTY INVS PFD SER F 6.50% PROPERTY TYPE: SECURITIES					05/20/2011	10/12/2012
911.00		896.00					15.00	
		18. WEINGARTEN RLTY INVS PFD SER F 6.50% PROPERTY TYPE: SECURITIES					05/20/2011	11/01/2012
457.00		448.00					9.00	
		16. WEINGARTEN RLTY INVS PFD SER F 6.50% PROPERTY TYPE: SECURITIES					05/20/2011	11/14/2012
403.00		398.00					5.00	
		67. WELLS FARGO CAP VII PFD SECS 5.85% PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
1,680.00		1,680.00						
		29. WELLS FARGO CAP IX PROPERTY TYPE: SECURITIES					05/20/2011	03/26/2012
730.00		726.00					4.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		38. WELLS FARGO CAP IX PROPERTY TYPE: SECURITIES					05/20/2011	06/07/2012
964.00		952.00					12.00	
		37. WELLS FARGO CAPITAL XI 6.25% PROPERTY TYPE: SECURITIES					05/20/2011	06/01/2012
939.00		947.00					-8.00	
		1. WELLS FARGO CAPITAL XI 6.25% PROPERTY TYPE: SECURITIES					04/12/2012	06/15/2012
25.00		26.00					-1.00	
		125. WELLS FARGO CAPITAL XI 6.25% PROPERTY TYPE: SECURITIES					05/20/2011	06/15/2012
3,125.00		3,199.00					-74.00	
		70. WESTERN UN CO PROPERTY TYPE: SECURITIES					05/20/2011	12/16/2011
1,244.00		1,450.00					-206.00	
		471. WESTERN UN CO PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
7,931.00		9,758.00					-1,827.00	
		242. WESTERN UN CO PROPERTY TYPE: SECURITIES					02/09/2012	05/29/2012
4,075.00		4,064.00					11.00	
		20. WEX INC PROPERTY TYPE: SECURITIES					08/02/2011	11/27/2012
1,409.00		969.00					440.00	
		19. WHITING PETE CORP NEW PROPERTY TYPE: SECURITIES					11/29/2011	12/05/2011
931.00		850.00					81.00	
		14. XCEL ENERGY INC JR SUB PFD 7.60% PROPERTY TYPE: SECURITIES					05/20/2011	12/08/2011
382.00		386.00					-4.00	
		17. XCEL ENERGY INC JR SUB PFD 7.60% PROPERTY TYPE: SECURITIES					05/20/2011	02/24/2012
459.00		469.00					-10.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		192. YAHOO INC PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
3,049.00		3,137.00					-88.00	
		26. YAHOO INC PROPERTY TYPE: SECURITIES					05/20/2011	12/14/2011
391.00		425.00					-34.00	
		48. YAHOO INC PROPERTY TYPE: SECURITIES					05/20/2011	12/19/2011
715.00		784.00					-69.00	
		12. YAHOO INC PROPERTY TYPE: SECURITIES					05/20/2011	12/22/2011
193.00		196.00					-3.00	
		100. YAHOO INC PROPERTY TYPE: SECURITIES					05/20/2011	08/13/2012
1,503.00		1,634.00					-131.00	
		72. YAHOO INC PROPERTY TYPE: SECURITIES					07/09/2012	08/13/2012
1,082.00		1,136.00					-54.00	
		17. YUM BRANDS INC PROPERTY TYPE: SECURITIES					05/20/2011	02/02/2012
1,084.00		959.00					125.00	
		37. YUM BRANDS INC PROPERTY TYPE: SECURITIES					05/20/2011	07/03/2012
2,341.00		2,086.00					255.00	
		35. YUM BRANDS INC PROPERTY TYPE: SECURITIES					05/20/2011	07/12/2012
2,206.00		1,974.00					232.00	
		35. YUM BRANDS INC PROPERTY TYPE: SECURITIES					03/20/2012	11/27/2012
2,590.00		2,446.00					144.00	
		129. ZIONS BANCORP PROPERTY TYPE: SECURITIES					11/16/2011	12/05/2011
2,147.00		2,498.00					-351.00	

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Kind of Property / Description	P or D	Date acquired	Date sold	Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)
1. CAPITAL GAINS FRON OTHER ACCOUNT PROPERTY TYPE: SECURITIES		01/01/2010	11/30/2012			5,104.00				-5,104.00
35. DEUTSCHE BANK AG NAMEN 00 PROPERTY TYPE: SECURITIES		10/26/2012	11/27/2012	1,524.00		1,526.00				-2.00
26. SANTANDER FIN PFD PRF 10.5% NON CUM PROPERTY TYPE: SECURITIES		05/20/2011	03/21/2012	703.00		761.00				-58.00
62. SANTANDER FIN PFD PRF 10.5% NON CUM PROPERTY TYPE: SECURITIES		05/20/2011	04/12/2012	1,643.00		1,814.00				-171.00
28. SANTANDER FIN PFD PRF 10.5% NON CUM PROPERTY TYPE: SECURITIES		05/20/2011	05/10/2012	732.00		819.00				-87.00
32. SANTANDER FIN PFD PRF 10.5% NON CUM PROPERTY TYPE: SECURITIES		05/20/2011	07/10/2012	841.00		936.00				-95.00
25. SANTANDER FIN PFD PRF 10.5% NON CUM PROPERTY TYPE: SECURITIES		05/20/2011	10/05/2012	681.00		731.00				-50.00
24. SANTANDER FIN PFD PRF 10.5% NON CUM PROPERTY TYPE: SECURITIES		05/20/2011	10/31/2012	655.00		702.00				-47.00
81. ARCH CAP GROUP LTD PFD 8.00% PROPERTY TYPE: SECURITIES		05/20/2011	05/02/2012	2,025.00		2,070.00				-45.00
38. ARCH CAPITAL GRP 7.875% PROPERTY TYPE: SECURITIES		05/20/2011	05/02/2012	950.00		966.00				-16.00
162. ARCH CAP GROUP LTD PFD-C 6.75% PROPERTY TYPE: SECURITIES		03/27/2012	06/01/2012	4,181.00		4,053.00				128.00

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		23. ACCENTURE PLC PROPERTY TYPE: SECURITIES					07/24/2012	11/27/2012
1,549.00		1,314.00					235.00	
		12. COOPER INDS PLC COM PROPERTY TYPE: SECURITIES					05/20/2011	04/03/2012
765.00		748.00					17.00	
		133. COOPER INDS PLC COM PROPERTY TYPE: SECURITIES					05/20/2011	05/22/2012
9,356.00		8,289.00					1,067.00	
		92. COVIDIEN PLC PROPERTY TYPE: SECURITIES					05/20/2011	11/08/2012
4,963.00		5,240.00					-277.00	
		36. COVIDIEN PLC PROPERTY TYPE: SECURITIES					07/30/2012	11/08/2012
1,942.00		2,008.00					-66.00	
		2. ENSCO PLC PROPERTY TYPE: SECURITIES					05/20/2011	11/01/2012
119.00		112.00					7.00	
		41. INGERSOLL-RAND COMPANY LTD PROPERTY TYPE: SECURITIES					12/14/2011	02/17/2012
1,672.00		1,244.00					428.00	
		207. INGERSOLL-RAND COMPANY LTD PROPERTY TYPE: SECURITIES					12/14/2011	05/21/2012
8,572.00		6,246.00					2,326.00	
		139. NABORS INDUSTRIES LTD SHS PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
2,513.00		3,739.00					-1,226.00	
		116. NABORS INDUSTRIES LTD SHS PROPERTY TYPE: SECURITIES					06/15/2011	12/05/2011
2,095.00		3,007.00					-912.00	
		98. PARTNERRE LTD PROPERTY TYPE: SECURITIES					01/24/2012	05/29/2012
7,036.00		6,195.00					841.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		3. PARTNERRE LTD PROPERTY TYPE: SECURITIES					04/12/2012	09/12/2012
76.00		75.00					1.00	
		4. PARTNERRE LTD PROPERTY TYPE: SECURITIES					05/20/2011	09/12/2012
102.00		97.00					5.00	
		21. PARTNERRE LTD PROPERTY TYPE: SECURITIES					05/20/2011	09/13/2012
533.00		511.00					22.00	
		24. PRUDENTIAL PLC 6.5% PFD PROPERTY TYPE: SECURITIES					05/20/2011	04/12/2012
609.00		612.00					-3.00	
		39. PRUDENTIAL PLC 6.5% PFD PROPERTY TYPE: SECURITIES					05/20/2011	08/16/2012
993.00		994.00					-1.00	
		128. SEAGATE TECHNOLOGY PLC PROPERTY TYPE: SECURITIES					06/15/2011	12/05/2011
2,117.00		2,081.00					36.00	
		208. WILLIS GROUP HLDGS ORD USD0.000115 PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
7,380.00		8,295.00					-915.00	
		40. WILLIS GROUP HLDGS ORD USD0.000115 N PROPERTY TYPE: SECURITIES					02/17/2012	05/29/2012
1,419.00		1,363.00					56.00	
		10. ACE LTD PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
754.00		688.00					66.00	
		112. ACE LTD PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
8,179.00		7,706.00					473.00	
		129. WEATHERFORD INT LTD COM USD1.00 PROPERTY TYPE: SECURITIES					09/01/2011	12/05/2011
2,003.00		2,236.00					-233.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		38. WEATHERFORD INT LTD COM USD1.00 PROPERTY TYPE: SECURITIES					01/19/2012	06/22/2012
458.00		649.00					-191.00	
		17. WEATHERFORD INT LTD COM USD1.00 PROPERTY TYPE: SECURITIES					01/19/2012	07/02/2012
213.00		276.00					-63.00	
		39. WEATHERFORD INT LTD COM USD1.00 PROPERTY TYPE: SECURITIES					09/02/2011	07/05/2012
496.00		630.00					-134.00	
		24. WEATHERFORD INT LTD COM USD1.00 PROPERTY TYPE: SECURITIES					09/06/2011	07/18/2012
306.00		376.00					-70.00	
		25. WEATHERFORD INT LTD COM USD1.00 PROPERTY TYPE: SECURITIES					09/28/2011	07/25/2012
293.00		360.00					-67.00	
		.9138 PENTAIR LTD REGISTERED PROPERTY TYPE: SECURITIES					03/23/2012	10/10/2012
40.00		38.00					2.00	
		152. TE CONNECTIVITY LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,843.00		5,648.00					-805.00	
		6. TE CONNECTIVITY LTD PROPERTY TYPE: SECURITIES					05/20/2011	12/27/2011
187.00		223.00					-36.00	
		7. TE CONNECTIVITY LTD PROPERTY TYPE: SECURITIES					05/20/2011	09/04/2012
244.00		260.00					-16.00	
		101. TYCO INTERNATIONAL COM USD0.80 PROPERTY TYPE: SECURITIES					05/20/2011	12/05/2011
4,737.00		4,980.00					-243.00	
		99. TYCO INTERNATIONAL COM USD0.80 PROPERTY TYPE: SECURITIES					06/30/2011	02/13/2012
4,914.00		4,770.00					144.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. CHECK POINT SOFTWARE TECH LTD ORD 00 PROPERTY TYPE: SECURITIES					11/10/2011	12/05/2011
1,394.00		1,436.00					-42.00	
		21. CHECK POINT SOFTWARE TECH LTD ORD 00 PROPERTY TYPE: SECURITIES					04/24/2012	11/27/2012
956.00		1,250.00					-294.00	
		20. CHECK POINT SOFTWARE TECH LTD ORD 00 PROPERTY TYPE: SECURITIES					11/09/2011	11/27/2012
910.00		1,168.00					-258.00	
		60. ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					05/20/2011	05/07/2012
2,951.00		2,380.00					571.00	
		14.95 ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					10/19/2012	11/29/2012
771.00		654.00					117.00	
		54.05 ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					08/29/2011	11/29/2012
2,788.00		1,716.00					1,072.00	
		14.95 ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					11/01/2012	11/29/2012
771.00		693.00					78.00	
		29.67 ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					06/13/2012	11/29/2012
1,530.00		1,115.00					415.00	
		11.27 ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					10/28/2011	11/29/2012
581.00		400.00					181.00	
		15. ASML HOLDING N V PROPERTY TYPE: SECURITIES					10/12/2012	11/27/2012
1,173.00		1,041.00					132.00	
		56.95 ASML HOLDING N V PROPERTY TYPE: SECURITIES					05/20/2011	11/28/2012
4,394.00		2,934.00					1,460.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50.05 ASML HOLDING N V PROPERTY TYPE: SECURITIES					11/01/2012	11/28/2012
3,862.00		3,648.00					214.00	
		29. ASML HOLDING N V PROPERTY TYPE: SECURITIES					06/13/2012	11/28/2012
2,249.00		1,914.00					335.00	
		77. YANDEX N.V. COM USD0.01 CL 'A' PROPERTY TYPE: SECURITIES					06/02/2011	12/05/2011
1,655.00		2,620.00					-965.00	
		80. YANDEX N.V. COM USD0.01 CL 'A' PROPERTY TYPE: SECURITIES					06/15/2011	11/27/2012
1,770.00		2,483.00					-713.00	
		149. FLEXTRONICS INTL LTD PROPERTY TYPE: SECURITIES					05/20/2011	04/25/2012
994.00		1,019.00					-25.00	
		1851. FLEXTRONICS INTL LTD PROPERTY TYPE: SECURITIES					05/20/2011	05/29/2012
11,939.00		12,661.00					-722.00	
TOTAL GAIN(LOSS)							71,144.	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST		158,836.	161,159.
	TOTAL	158,836.	161,159.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
PARTNERSHIP INCOME		101,713.
MSSB ACCOUNT		176,158.
	TOTALS	277,871.

STATEMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MGMT-CITIBANK	56,860.	56,860.
IVESTMENT MGMT-MBBS	7,234.	7,234.
TOTALS	64,094.	64,094.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	1,339.	1,554.
PRIOR YEAR BAL DUE	17,350.	
ESTIMATED TAX PYMTS	5,775.	
TOTALS	24,464.	1,554.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADR FOREIGN FEES	472.	472.	
NY LAW JOURNAL	750.		750.
LATE FEE	101.		
TOTALS	1,323.	472.	750.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENT		489,476.	1,743,226.	1,812,687.
	TOTALS	489,476.	1,743,226.	1,812,687.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENT	4,675,993.	1,661,618.	2,851,795.
TOTALS	4,675,993.	1,661,618.	2,851,795.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENT	1,823,206.	237,617.	310,669.
TOTALS	1,823,206.	237,617.	310,669.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
GMAC G STAR	C	750,000.	750,000.	
SEE ATTACHED SCHEDULE	C	1,100,740.	2,615,488.	2,653,656.
TOTALS		1,850,740.	3,365,488.	2,653,656.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
TC ADJUSTMENT TO CARRYING VALUES	11,685.
TC ADJUSTMENT ACCRUED MARKET DISCOUNT	5.
TC ADJUSTMENT SALE REVERSAL	7,812.
TOTAL	19,502.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
TC ADJUSTMENT ACCRUED INTEREST PD	703.
TC ADJUSTMENT FOR ALEXION PHARMACETICALS	4,602.
TC ADJUSMENT FOR MSSB ACCOUNT	197,053.
TOTAL	202,358.

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF

NAME: CITIBANK, N.A.

ADDRESS: ONE COURT SQUARE, 19TH FLOOR
LONG ISLAND CITY, NY 11120

TELEPHONE NUMBER: (800)770-8444

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

==

OFFICER NAME:
SUSAN BRADDOCK
ADDRESS:
TWO COURT SQUARE 8TH FLOOR
LONG ISLAND CITY, NY 11120
TITLE:
DIRECTOR
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:
JENNIFER BRADDOCK GERBER
ADDRESS:
TWO COURT SQUARE 8TH FLOOR
LONG ISLAND CITY, NY 11120
TITLE:
PRESIDENT
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 20
COMPENSATION .... 35,000.

OFFICER NAME:
RICHARD BRADDOCK
ADDRESS:
TWO COURT SQUARE 8TH FLOOR
LONG ISLAND CITY, NY 11120
TITLE:
DIRECTOR
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

TOTAL COMPENSATION: 35,000.
==============

STATEMENT 13

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS
==

RICHARD S. BRADDOCK
SUSAN BRADDOCK
JENNIFER BRADDOCK GERBER

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
  SEE ATTACHED STATEMENT
RELATIONSHIP:
  NONE
PURPOSE OF GRANT:
  GENERAL CHARITABLE PURPOSES
FOUNDATION STATUS OF RECIPIENT:
  N/A
AMOUNT OF GRANT PAID ....1,733,500.

TOTAL GRANTS PAID: 1,733,500.

FEDERAL FOOTNOTES

NOTICE 2006-16 ACKNOWLEDGEMENT : PLEASE BE ADVISED THAT SOLELY AS A RESULT OF ITS DIRECT (AND/OR INDIRECT) INVESTMENTS IN THE FOLLOWING PARTNERSHIP(S), HEDGE FORUM ARTHA EMERGING MARKETS , LLC (THE "FUND") WOULD BE CONSIDERED TO HAVE PARTICIPATED IN ONE OR MORE TRANSACTIONS THAT MAY BE CONSIDERED THE SAME AS OR SUBSTANTIALLY SIMILAR TO THE TRANSACTION DESCRIBED IN NOTICE 2002 - 35 . THE PARTNERSHIPS HAVE ADVISED US THAT THEY EITHER HAVE OR WILL COMPLY WITH THE FEDERAL DISCLOSURE REQUIREMENTS DESCRIBEDIN REG . SEC. 1.6011- 4 RELATED TO THESE TRANSACTIONS AS WELL AS ANY RELATED STATE DISCLOSURE OBLIGATIONS. BASED ON THAT REPRESENTATION AND EXCEP1 FOR ITS INVESTMENT IN THE PARTNERSHIP(S) LISTED BELOW, THE FUND HAS NOT OTHERWISE PARTICIPATED IN SUCH TRANSACTIONS . THEREFORE , PURSUANT TO NOTICE 2006 - 16 , THE FUND IS NOT REQUIRED TO FILE A DISCLOSURE STATEMENT WITH RESPECT TO THIS TRANSACTION . PLEASE CONSULT YOUR TAX ADVISOR. ARTHA MASTER FUND, LTD (EIN:66-0610887).

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-20,815.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-20,815.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					5,264.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	86,630.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	65.
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	91,959.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-20,815.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			91,959.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			71,144.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary.*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17	
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19			
20	Add lines 18 and 19	20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21			
22	Subtract line 21 from line 20. If zero or less, enter -0-			22	
23	Subtract line 22 from line 17. If zero or less, enter -0-			23	
24	Enter the **smaller** of the amount on line 17 or $2,300			24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25	
26	Subtract line 25 from line 24			26	
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28	
29	Subtract line 28 from line 27			29	
30	Multiply line 29 by 15% (.15)				30
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				31
32	Add lines 30 and 31				32
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				33
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)				34

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a .4413 ACCO BRANDS CORP	05/20/2011	05/09/2012	5.00	5.00	
3.5 THE ADT CORPORATION	03/23/2012	10/04/2012	136.00	119.00	17.00
22. THE ADT CORPORATION	11/28/2012	11/28/2012	970.00	958.00	12.00
11. AGCO CORP	08/05/2011	12/05/2011	501.00	445.00	56.00
180. AES CORP	07/22/2011	12/05/2011	2,160.00	2,288.00	-128.00
58. AES CORP	07/08/2011	12/05/2011	696.00	748.00	-52.00 *
144. AIA GROUP LTD	10/05/2012	11/27/2012	2,254.00	2,218.00	36.00
146. AT&T INC	05/20/2011	12/05/2011	4,240.00	4,578.00	-338.00
15. ABBOTT LABS	05/20/2011	04/25/2012	922.00	805.00	117.00
80. ABERCROMBIE & FITCH CO	11/04/2011	02/27/2012	3,848.00	4,954.00	-1,106.00
56. ACTUANT CORP CL A NEW	11/16/2011	12/05/2011	1,273.00	1,299.00	-26.00
99. ADIDAS AG ADR	06/22/2011	12/05/2011	3,397.00	3,719.00	-322.00
15. ADIDAS AG ADR	09/04/2012	11/27/2012	643.00	595.00	48.00
122. AETNA US HEALTHCARE -	05/20/2011	01/11/2012	5,383.00	5,526.00	-143.00
109. AETNA US HEALTHCARE -	10/17/2011	05/29/2012	4,540.00	4,103.00	437.00
31. AIRGAS INC	08/05/2011	12/05/2011	2,387.00	2,010.00	377.00
6. AIRGAS INC	08/07/2012	11/27/2012	528.00	496.00	32.00
316. ALCOA INC	05/20/2011	12/05/2011	3,145.00	5,153.00	-2,008.00
30. ALCOA INC	05/20/2011	03/02/2012	307.00	489.00	-182.00
22. ALCOA INC	05/20/2011	03/05/2012	218.00	359.00	-141.00
45. ALCOA INC	05/20/2011	03/14/2012	461.00	734.00	-273.00
35. ALCOA INC	08/03/2011	06/08/2012	296.00	494.00	-198.00
52. INVERNESS MED INNOVATI	05/20/2011	12/05/2011	1,201.00	2,112.00	-911.00
55. ALEXION PHARMACEUTICAL	05/20/2011	05/07/2012	4,757.00	2,636.00	2,121.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 33. ALLEGHENY TECHNOLOGIES	11/23/2011	12/05/2011	1,626.00	1,617.00	9.00
35. ALLERGAN INC	05/20/2011	05/07/2012	3,275.00	2,926.00	349.00
70. ALLERGAN INC	11/13/2012	11/28/2012	6,410.00	6,379.00	31.00
10. ALLERGAN INC	10/17/2012	11/28/2012	918.00	953.00	-35.00
115. ALLSCRIPTS HEALTHCARE INC.	05/31/2011	12/05/2011	2,229.00	2,311.00	-82.00
30. AMAZON COM INC	05/20/2011	05/07/2012	6,777.00	5,969.00	808.00
15. AMAZON COM INC	06/06/2012	11/28/2012	3,703.00	3,312.00	391.00
115. AMERICAN ELEC PWR INC	05/20/2011	12/05/2011	4,521.00	4,440.00	81.00
117. AMERICAN ELEC PWR INC	05/20/2011	01/18/2012	4,855.00	4,528.00	327.00
5. AMERICAN ELEC PWR INC	05/20/2011	04/02/2012	195.00	193.00	2.00
99. AMERICAN EXPRESS CO	05/20/2011	12/05/2011	4,792.00	5,083.00	-291.00
83. AMERICAN EXPRESS CO	10/24/2011	04/30/2012	4,991.00	4,140.00	851.00
61. AMERICAN INTL GROUP IN	10/09/2012	11/28/2012	1,988.00	2,160.00	-172.00
95. AMERIPRISE FINANCIAL I	05/20/2011	12/05/2011	4,424.00	5,917.00	-1,493.00
29. ANADARKO PETE CORP	05/20/2011	12/05/2011	2,352.00	2,174.00	178.00
6. ANADARKO PETE CORP	05/20/2011	02/10/2012	521.00	450.00	71.00
7. ANADARKO PETE CORP	05/20/2011	02/27/2012	608.00	525.00	83.00
5. ANADARKO PETE CORP	05/20/2011	03/20/2012	412.00	375.00	37.00
3. ANADARKO PETE CORP	05/20/2011	03/21/2012	243.00	225.00	18.00
10. ANADARKO PETE CORP	09/30/2011	03/22/2012	784.00	688.00	96.00
23. ANHEUSER BUSCH INBEV S	10/15/2012	11/28/2012	1,967.00	1,999.00	-32.00
110. APACHE CORP	05/20/2011	04/24/2012	10,025.00	13,625.00	-3,600.00
6. APPLE COMPUTER INC	05/20/2011	04/17/2012	3,631.00	2,026.00	1,605.00
15. APPLE COMPUTER INC	05/20/2011	05/07/2012	8,538.00	5,066.00	3,472.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. APPLE COMPUTER INC	05/20/2011	05/17/2012	534.00	338.00	196.00
122. APPLIED MATERIALS INC	05/20/2011	02/16/2012	1,586.00	1,724.00	-138.00
455. APPLIED MATERIALS INC	05/20/2011	02/28/2012	5,722.00	6,428.00	-706.00
509. APPLIED MATERIALS INC	12/14/2011	03/06/2012	6,117.00	6,240.00	-123.00
81. ARCELORMITTAL-NY REGIS	05/20/2011	12/01/2011	1,541.00	2,650.00	-1,109.00
56. ARCELORMITTAL-NY REGIS	05/20/2011	12/05/2011	1,111.00	1,832.00	-721.00
107. ARCELORMITTAL-NY REGI	05/20/2011	12/09/2011	2,010.00	3,501.00	-1,491.00
30. ARCELORMITTAL-NY REGIS	05/20/2011	12/12/2011	518.00	982.00	-464.00
30. ARCHER DANIELS MIDLAND	05/20/2011	04/25/2012	926.00	942.00	-16.00
35. ARCHER DANIELS MIDLAND	05/20/2011	05/09/2012	1,152.00	1,099.00	53.00
70. ARM HOLDINGS PLC-SPONS	05/20/2011	12/05/2011	1,954.00	1,968.00	-14.00
348. ASSA ABLOY AB ADR	05/20/2011	12/05/2011	4,252.00	4,900.00	-648.00
41. ASSA ABLOY AB ADR	05/20/2011	01/24/2012	547.00	577.00	-30.00
86. ASSA ABLOY AB ADR	05/20/2011	01/25/2012	1,142.00	1,211.00	-69.00
15. ATHENAHEALTH INC	03/14/2012	05/07/2012	1,099.00	1,125.00	-26.00
25. ATHENAHEALTH INC	03/14/2012	11/28/2012	1,581.00	1,875.00	-294.00
216. ATMEL CORP	12/02/2011	12/06/2011	1,972.00	2,044.00	-72.00
129. AVIS BUDGET GROUP	08/19/2011	12/05/2011	1,547.00	1,854.00	-307.00
1. BAC CAP TR VGTD CAP SEC	05/20/2011	04/12/2012	24.00	24.00	
1. BAC CAPITAL TRUST X 6.2	04/12/2012	07/25/2012	25.00	24.00	1.00
41. BG GROUP PLC	05/20/2011	12/05/2011	4,388.00	4,638.00	-250.00
48. BG GROUP PLC	05/20/2011	03/28/2012	1,105.00	1,086.00	19.00
180. BG GROUP PLC	05/20/2011	05/17/2012	3,535.00	4,077.00	-542.00
3.00013 ADR BAIDU INC REG	04/25/2012	11/27/2012	284.00	400.00	-116.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10.99987 ADR BAIDU INC REG	04/26/2012	11/27/2012	1,041.00	1,473.00	-432.00 *
82. BAKER HUGHES INC	05/20/2011	12/05/2011	4,466.00	5,794.00	-1,328.00
.99984 BAKER HUGHES INC	09/12/2011	01/18/2012	48.00	56.00	-8.00 *
143.00016 BAKER HUGHES INC	09/28/2011	01/18/2012	6,835.00	7,616.00	-781.00
55. BALLY TECHNOLOGIES INC	05/20/2011	12/05/2011	2,061.00	2,187.00	-126.00
1. BANK OF AMERICA CORP 8.	02/15/2012	04/12/2012	25.00	25.00	
24. BANK OF NEW YORK MELLO	05/20/2011	04/03/2012	585.00	679.00	-94.00
347. BANK OF NEW YORK MELL	08/25/2011	05/15/2012	7,329.00	9,521.00	-2,192.00
72. BANKUNITED INC	05/20/2011	12/05/2011	1,613.00	1,904.00	-291.00
1. BARCLAYS BK PLC 7.10% P	05/20/2011	04/12/2012	25.00	26.00	-1.00
72. BAXTER INTL INC	04/25/2012	05/29/2012	3,714.00	3,962.00	-248.00
3. BERKLEY W R CAP TR II O 6.75%	04/12/2012	10/09/2012	76.00	76.00	
65. BIOMARIN PHARMACEUTICA	11/13/2012	11/28/2012	3,177.00	2,852.00	325.00
10. BIOGEN IDEC INC	02/01/2012	04/23/2012	1,255.00	1,211.00	44.00
9. BIOGEN IDEC INC	02/01/2012	11/28/2012	1,344.00	1,090.00	254.00
31. BNY CAP V TR PFD SECS	05/20/2011	12/09/2011	786.00	783.00	3.00
19. BORG WARNER INC. 00	10/28/2011	05/15/2012	1,425.00	1,437.00	-12.00
59. BRISTOL MYERS SQUIBB C	09/24/2012	11/28/2012	1,930.00	1,999.00	-69.00
553. BROCADE COMMUNICATION NEW	08/03/2011	12/05/2011	3,032.00	3,471.00	-439.00
20. BURBERRY GROUP PLC	10/22/2012	11/27/2012	793.00	758.00	35.00
106. CBS CORP CL B NEW	05/20/2011	12/05/2011	2,711.00	2,865.00	-154.00
5. CBS CORP CL B NEW	02/16/2012	07/02/2012	164.00	147.00	17.00
26. CBS CORP NEW SR NT 6.7	05/20/2011	01/03/2012	664.00	667.00	-3.00
300. CBS CORP NEW SR NT 6.	05/20/2011	03/13/2012	7,494.00	7,691.00	-197.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 105. C H ROBINSON WORLDWID	05/20/2011	01/03/2012	7,430.00	8,483.00	-1,053.00
25. CNOOC LTD SPND ADR 00	05/20/2011	12/05/2011	4,999.00	5,827.00	-828.00
2. CNOOC LTD SPND ADR 00	05/20/2011	01/10/2012	396.00	466.00	-70.00
3. CNOOC LTD SPND ADR 00	05/20/2011	01/11/2012	585.00	699.00	-114.00
3. CNOOC LTD SPND ADR 00	05/20/2011	01/12/2012	582.00	699.00	-117.00
33. CVS CORPORATION (DEL)	05/20/2011	12/14/2011	1,223.00	1,272.00	-49.00
23. CVS CORPORATION (DEL)	05/20/2011	04/25/2012	1,009.00	887.00	122.00
27. CVS CORPORATION (DEL)	05/20/2011	05/18/2012	1,204.00	1,041.00	163.00
102. CVS CORPORATION (DEL)	08/12/2011	05/29/2012	4,627.00	3,416.00	1,211.00
86.00161 CA, INC	05/20/2011	12/05/2011	1,840.00	1,999.00	-159.00
10.99839 CA, INC	05/20/2011	12/05/2011	235.00	256.00	-21.00 *
5. CA, INC	05/20/2011	01/23/2012	112.00	116.00	-4.00
19. CAMDEN PPTY TR SHS BEN	10/04/2011	12/05/2011	1,092.00	1,024.00	68.00
55. CANADIAN NATL RAILWAY	05/20/2011	12/05/2011	4,363.00	4,243.00	120.00
69. CANADIAN NAT RES LTD C	05/20/2011	12/05/2011	2,630.00	2,908.00	-278.00
39. CANADIAN NAT RES LTD C	04/11/2012	07/27/2012	1,078.00	1,234.00	-156.00
112. CANON INC ADR REPSTG	05/20/2011	12/05/2011	4,971.00	5,060.00	-89.00
79. CAREFUSION CORP	05/20/2011	12/05/2011	1,974.00	2,274.00	-300.00
159. CARNIVAL CORP PAIRED CARNI	05/20/2011	12/05/2011	5,502.00	6,328.00	-826.00
41. CARNIVAL CORP PAIRED C CARNI	05/20/2011	01/17/2012	1,211.00	1,632.00	-421.00
378. CARNIVAL CORP PAIRED CARNI	04/25/2012	05/29/2012	12,126.00	11,829.00	297.00
47. CAVIUM INC	11/01/2011	12/05/2011	1,545.00	1,492.00	53.00
20. CERNER CORP 00	03/13/2012	05/07/2012	1,623.00	1,526.00	97.00
13. CERNER CORP 00	05/09/2012	11/27/2012	997.00	1,034.00	-37.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 70. CERNER CORP 00	06/29/2012	11/28/2012	5,407.00	5,475.00	-68.00
14. CERNER CORP 00	05/09/2012	11/28/2012	1,087.00	1,112.00	-25.00
76. CHEVRONTEXACO CORP	05/20/2011	12/05/2011	7,767.00	7,831.00	-64.00
32. CHEVRONTEXACO CORP	04/27/2012	05/29/2012	3,193.00	3,403.00	-210.00
17. CHIPOTLE MEXICAN GRILL	11/13/2012	11/28/2012	4,526.00	4,471.00	55.00
93. CIENA CORP	11/01/2011	12/05/2011	1,109.00	1,196.00	-87.00
92. CINEMARK HLDGS INC	09/20/2011	12/05/2011	1,837.00	1,943.00	-106.00
160. CISCO SYS INC	11/23/2011	12/05/2011	2,991.00	2,624.00	367.00
32. CITRIX SYS INC	05/20/2011	01/27/2012	2,065.00	2,705.00	-640.00
13. CLARCOR INC	05/02/2012	11/27/2012	601.00	631.00	-30.00
32. CLIFFS NATURAL RESOURC	10/26/2011	12/05/2011	2,269.00	1,926.00	343.00
14. COACH INC	05/20/2011	02/21/2012	1,043.00	833.00	210.00
30. COACH INC	11/28/2011	05/07/2012	2,169.00	1,852.00	317.00
20. COACH INC	11/28/2011	11/28/2012	1,194.00	1,234.00	-40.00
30. COCA COLA COMPANY	05/20/2011	12/23/2011	2,083.00	2,052.00	31.00
64. COCA COLA COMPANY	05/20/2011	02/09/2012	4,344.00	4,377.00	-33.00
88. COCA COLA COMPANY	06/26/2012	11/28/2012	3,301.00	3,301.00	
39. COGNIZANT TECHNOLOGY S CORP	01/06/2012	05/18/2012	2,328.00	2,596.00	-268.00
73. COGNIZANT TECHNOLOGY S CORP	05/07/2012	06/12/2012	4,280.00	4,702.00	-422.00
236. COMCAST CORP NEW CL A	05/20/2011	12/05/2011	5,444.00	5,942.00	-498.00
16. COMCAST CORP NEW CL A	05/20/2011	05/15/2012	467.00	403.00	64.00
89. COMCAST CORP 7% PREF	05/20/2011	02/27/2012	2,225.00	2,309.00	-84.00
89. COMCAST CORP 7% PREF	05/20/2011	03/23/2012	2,238.00	2,309.00	-71.00
1. COMCAST CORP PFD 6.625%	04/12/2012	07/23/2012	25.00	26.00	-1.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 120. COMERICA INC	10/28/2011	12/05/2011	3,080.00	4,026.00	-946.00
34. COMERICA INC	01/24/2012	09/04/2012	1,042.00	995.00	47.00
2. HRPT PROPERTIES	04/12/2012	08/24/2012	50.00	50.00	
133. HRPT PROPERTIES		08/24/2012	6.00		6.00
1. HRPT PROPERTIES	04/12/2012	10/12/2012	22.00	23.00	-1.00
62. COMSTOCK RESOURCES INC	05/20/2011	12/05/2011	1,029.00	1,632.00	-603.00
42. CONSTELLATION BRANDS I	05/20/2011	12/05/2011	808.00	922.00	-114.00
153. CREDIT SUISSE GROUP S	05/26/2011	12/05/2011	3,891.00	6,378.00	-2,487.00
22. CREDIT SUISSE GROUP SP	05/20/2011	02/28/2012	605.00	913.00	-308.00
66. CREDIT SUISSE GROUP SP	05/20/2011	02/29/2012	1,781.00	2,738.00	-957.00
. CREDIT SUISSE GROUP SPNS		08/20/2012	10.00		10.00
1. CREDIT SUISSE 7.90%	05/20/2011	04/12/2012	26.00	27.00	-1.00
19. CROWN CASTLE INTL CORP	05/01/2012	11/26/2012	1,263.00	1,076.00	187.00
35. CROWN CASTLE INTL CORP	05/01/2012	11/28/2012	2,336.00	1,983.00	353.00
1. DTE ENERGY CO PFD 6.50%	11/29/2011	04/12/2012	28.00	25.00	3.00
204. DANA HLDG CORP	07/19/2011	12/05/2011	2,570.00	3,638.00	-1,068.00
30. DASSAULT SYS S A SPD A	05/20/2011	12/05/2011	2,440.00	2,482.00	-42.00
4. DASSAULT SYS S A SPD AD	05/20/2011	12/14/2011	313.00	331.00	-18.00
1. DASSAULT SYS S A SPD AD	05/20/2011	12/20/2011	78.00	83.00	-5.00
2. DASSAULT SYS S A SPD AD	05/20/2011	12/23/2011	156.00	165.00	-9.00
3. DASSAULT SYS S A SPD AD	05/20/2011	12/27/2011	235.00	248.00	-13.00
5. DASSAULT SYS S A SPD AD	05/20/2011	12/28/2011	394.00	414.00	-20.00
5. DAVITA INC	09/29/2011	12/02/2011	386.00	317.00	69.00
12. DAVITA INC	10/06/2011	12/05/2011	906.00	761.00	145.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 52.02527 DELL INC	05/20/2011	12/05/2011	824.00	836.00	-12.00 *
262.97473 DELL INC	05/20/2011	12/05/2011	4,165.00	4,228.00	-63.00
24. DELL INC	01/19/2012	05/23/2012	297.00	396.00	-99.00
12. DELL INC	10/07/2011	07/30/2012	144.00	195.00	-51.00
1. DB CON CAPITAL TRUST V	05/20/2011	04/12/2012	26.00	27.00	-1.00
41. DEUTSCHE BK CAP FUND I	05/20/2011	03/16/2012	980.00	1,014.00	-34.00
61. DEVON ENERGY CORP NEW	05/20/2011	12/05/2011	4,016.00	5,078.00	-1,062.00
40. DEVON ENERGY CORP NEW	05/20/2011	01/17/2012	2,554.00	3,335.00	-781.00
125. DEVON ENERGY CORP NEW	05/20/2011	02/02/2012	7,995.00	10,421.00	-2,426.00
188. DEVON ENERGY CORP NEW	05/09/2012	05/29/2012	11,434.00	12,706.00	-1,272.00
205. DIAMONDROCK HOSPITALI	07/26/2011	12/05/2011	1,857.00	2,171.00	-314.00
97. DIGITAL RIV INC	08/02/2011	12/05/2011	1,501.00	2,846.00	-1,345.00
17. DISCOVERY COMMUNICATNS	06/26/2012	11/28/2012	1,002.00	899.00	103.00
36. DOLBY LABORATORIES INC	07/12/2011	12/05/2011	1,158.00	1,477.00	-319.00
27. DOW CHEM CO	04/03/2012	04/25/2012	967.00	930.00	37.00
353. DOW CHEM CO	04/04/2012	05/29/2012	11,246.00	11,995.00	-749.00
22. DUKE REALTY CORP PFD 1 9.65	05/20/2011	03/05/2012	550.00	555.00	-5.00
10. EOG RESOURCES INC	05/20/2011	03/02/2012	1,150.00	1,070.00	80.00
11. EOG RESOURCES INC	05/20/2011	04/25/2012	1,153.00	1,177.00	-24.00
25. EOG RESOURCES INC	12/06/2011	06/21/2012	2,256.00	2,595.00	-339.00
7. EOG RESOURCES INC	11/16/2012	11/28/2012	814.00	819.00	-5.00
134. EXCO RES INC	07/29/2011	12/05/2011	1,608.00	2,184.00	-576.00
28. EATON CORP	06/29/2011	02/16/2012	1,419.00	1,393.00	26.00
270. EATON CORP	05/22/2012	05/29/2012	11,747.00	12,280.00	-533.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
1a 6. EDWARDS LIFESCIENCES CO	04/17/2012	06/18/2012	602.00	425.00	177.00	
11. EDWARDS LIFESCIENCES C	04/17/2012	06/20/2012	1,119.00	779.00	340.00	
22. EDWARDS LIFESCIENCES C	04/17/2012	06/26/2012	2,240.00	1,526.00	714.00	
64. EDWARDS LIFESCIENCES C	03/08/2012	08/06/2012	6,438.00	4,339.00	2,099.00	
145. EMBRAER-EMPRESA BRASI	05/20/2011	12/05/2011	3,693.00	4,735.00	-1,042.00	
51. ENERGIZER HLDGS INC	08/03/2011	12/05/2011	3,737.00	3,929.00	-192.00	
5. ENERGIZER HLDGS INC	06/03/2011	03/30/2012	373.00	394.00	-21.00	
59. ENERSYS	06/08/2011	12/05/2011	1,489.00	1,991.00	-502.00	
113. ENSCO PLC F	05/20/2011	12/05/2011	5,834.00	6,306.00	-472.00	
47. EQUIFAX INC COM	05/20/2011	05/14/2012	2,163.00	1,747.00	416.00	
25. ESTERLINE TECHNOLOGIES	11/04/2011	12/05/2011	1,338.00	1,579.00	-241.00	
1. EVEREST RE CAP TR II 6.	04/12/2012	11/06/2012	25.00	25.00		
60. FMC TECHNOLOGIES INC	05/20/2011	05/07/2012	2,647.00	2,546.00	101.00	
13. FPL GROUP CAP TR I PFD	05/20/2011	02/01/2012	338.00	333.00	5.00	
15. FPL GROUP CAP TR I PFD	05/20/2011	02/29/2012	390.00	384.00	6.00	
22.00002 FACEBOOK INC CL A	05/21/2012	11/28/2012	578.00	753.00	-175.00	*
112.99998 FACEBOOK INC CL	05/21/2012	11/28/2012	2,966.00	3,865.00	-899.00	
22. FACEBOOK INC CL A	05/18/2012	11/28/2012	580.00	888.00	-308.00	*
43. FAIR ISAAC & CO INC	05/20/2011	01/04/2012	1,527.00	1,241.00	286.00	
13. FAIR ISAAC & CO INC	06/05/2012	11/27/2012	550.00	505.00	45.00	
30. FAMILY DLR STORES INC	05/09/2012	11/28/2012	2,069.00	2,039.00	30.00	
144. FANUC CORPORATION	05/20/2011	12/05/2011	4,006.00	3,760.00	246.00	
126.71 FHLMCG POOL #G0468 9/01/38	11/10/2011	12/15/2011	127.00	137.00	-10.00	
123.99 FHLMCG POOL #G0468 9/01/38	11/10/2011	12/31/2011	124.00	134.00	-10.00	

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 116.79 FHLMCG POOL #G0468 9/01/38	11/10/2011	01/31/2012	117.00	126.00	-9.00
134.5 FHLMCG POOL #G0468 5 9/01/38	11/10/2011	03/15/2012	135.00	145.00	-10.00
145.94 FHLMCG POOL #G0468 9/01/38	11/10/2011	04/15/2012	146.00	158.00	-12.00
3135.72 FHLMCG POOL #G0468 9/01/38	11/10/2011	04/18/2012	3,412.00	3,384.00	28.00
84.38 FHLMCG POOL #G0491 4 3/01/38	11/10/2011	12/15/2011	84.00	90.00	-6.00
86.2 FHLMCG POOL #G0491 4. 3/01/38	11/10/2011	12/31/2011	86.00	92.00	-6.00
81.07 FHLMCG POOL #G0491 4 3/01/38	11/10/2011	01/31/2012	81.00	87.00	-6.00
90.21 FHLMCG POOL #G0491 4 3/01/38	11/10/2011	03/15/2012	90.00	97.00	-7.00
99.22 FHLMCG POOL #G0491 4 3/01/38	11/10/2011	04/15/2012	99.00	106.00	-7.00
2571.81 FHLMCG POOL #G0491 3/01/38	11/10/2011	04/18/2012	2,781.00	2,753.00	28.00
99.11 FHLMC POOL #A97618 4 3/01/41	11/10/2011	12/15/2011	99.00	104.00	-5.00
109.25 FHLMC POOL #A97618 3/01/41	11/10/2011	12/31/2011	109.00	115.00	-6.00
94.35 FHLMC POOL #A97618 4 3/01/41	11/10/2011	01/31/2012	94.00	99.00	-5.00
163.49 FHLMC POOL #A97618 3/01/41	11/10/2011	03/15/2012	163.00	172.00	-9.00
99.8 FHLMC POOL #A97618 4. 3/01/41	11/10/2011	04/15/2012	100.00	105.00	-5.00
4064.31 FHLMC POOL #A97618 3/01/41	11/10/2011	04/18/2012	4,330.00	4,279.00	51.00
13000. FED HOME LN MTG COR 12/29/14	02/22/2012	08/03/2012	13,087.00	13,031.00	56.00
7041.54 FNMA POOL #AI4815 6/01/41	03/07/2012	04/18/2012	7,530.00	7,497.00	33.00
270.54 FNMA POOL #AI4815 6/01/41	03/07/2012	04/25/2012	271.00	288.00	-17.00
197.17 FNMA POOL #AJ4052 10/01/41	04/23/2012	05/25/2012	197.00	209.00	-12.00
152.61 FNMA POOL #AJ4052 10/01/41	04/23/2012	06/25/2012	153.00	162.00	-9.00
377.75 FNMA POOL #AJ4052 10/01/41	04/23/2012	07/25/2012	378.00	400.00	-22.00
306.46 FNMA POOL #AJ4052 10/01/41	04/23/2012	08/25/2012	306.00	325.00	-19.00
340.12 FNMA POOL #AJ4052 10/01/41	04/23/2012	09/25/2012	340.00	360.00	-20.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 202.55 FNMA POOL #AJ4052 10/01/41	04/23/2012	10/25/2012	203.00	214.00	-11.00
333.27 FNMA POOL #AJ4052 10/01/41	04/23/2012	11/25/2012	333.00	353.00	-20.00
15.77 FNMA POOL #AJ8203 4 1/01/42	02/08/2012	03/25/2012	16.00	17.00	-1.00
6935.01 FNMA POOL #AJ8203 1/01/42	02/08/2012	04/18/2012	7,455.00	7,464.00	-9.00
10.76 FNMA POOL #AJ8203 4 1/01/42	02/08/2012	04/25/2012	11.00	12.00	-1.00
98.69 FNMA POOL #AL0662 5 1/01/38	01/18/2012	02/25/2012	99.00	108.00	-9.00
167.61 FNMA POOL #AL0662 1/01/38	01/18/2012	03/25/2012	168.00	183.00	-15.00
4032.63 FNMA POOL #AL0662 1/01/38	01/18/2012	04/18/2012	4,409.00	4,403.00	6.00
137.36 FNMA POOL #AL0662 1/01/38	01/18/2012	04/25/2012	137.00	150.00	-13.00
257.72 FNMA POOL #AL1948 1/01/42	08/07/2012	09/25/2012	258.00	282.00	-24.00
415.32 FNMA POOL #AL1948 1/01/42	08/07/2012	10/25/2012	415.00	454.00	-39.00
209.66 FNMA POOL #AL1948 1/01/42	08/07/2012	11/25/2012	210.00	229.00	-19.00
1259.92 FNMA POOL #735893 10/01/35	07/10/2012	08/25/2012	1,260.00	1,375.00	-115.00
1302.62 FNMA POOL #735893 10/01/35	07/10/2012	09/25/2012	1,303.00	1,421.00	-118.00
1225.11 FNMA POOL #735893 10/01/35	07/10/2012	10/25/2012	1,225.00	1,337.00	-112.00
1329.86 FNMA POOL #735893 10/01/35	07/10/2012	11/25/2012	1,330.00	1,451.00	-121.00
14559.91 FNMA POOL #735893 10/01/35	07/10/2012	11/26/2012	15,759.00	15,889.00	-130.00
11351.79 FNMA POOL #735893 10/01/35	07/10/2012	11/28/2012	12,295.00	12,388.00	-93.00
56.72 FNMA POOL #745343 5 3/01/36	10/07/2011	12/25/2011	57.00	62.00	-5.00
50.34 FNMA POOL #745343 5 3/01/36	10/07/2011	12/31/2011	50.00	55.00	-5.00
49.64 FNMA POOL #745343 5 3/01/36	10/07/2011	02/25/2012	50.00	54.00	-4.00
50.05 FNMA POOL #745343 5 3/01/36	10/07/2011	03/25/2012	50.00	54.00	-4.00
1587.73 FNMA POOL #745343 3/01/36	10/07/2011	04/18/2012	1,736.00	1,726.00	10.00
52.84 FNMA POOL #745343 5 3/01/36	10/07/2011	04/25/2012	53.00	57.00	-4.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 49.72 FNMA PL # 745418 5 4/01/36	09/09/2011	12/25/2011	50.00	55.00	-5.00
50.01 FNMA PL # 745418 5 4/01/36	09/09/2011	12/31/2011	50.00	55.00	-5.00
45.91 FNMA PL # 745418 5 4/01/36	09/09/2011	02/25/2012	46.00	50.00	-4.00
54.81 FNMA PL # 745418 5 4/01/36	09/09/2011	03/25/2012	55.00	60.00	-5.00
1668.61 FNMA PL # 745418 4/01/36	09/09/2011	04/18/2012	1,825.00	1,831.00	-6.00
57.47 FNMA PL # 745418 5 4/01/36	09/09/2011	04/25/2012	57.00	63.00	-6.00
111.49 FNMA PL # 745418 4/01/36	10/19/2012	11/25/2012	111.00	123.00	-12.00
2263.48 FNMA PL # 745418 4/01/36	10/19/2012	11/28/2012	2,456.00	2,497.00	-41.00
5152.99 FNMA POOL #888283 8/01/34	03/07/2012	04/18/2012	5,597.00	5,569.00	28.00
185.67 FNMA POOL #888283 8/01/34	03/07/2012	04/25/2012	186.00	201.00	-15.00
1104.89 FNMA POOL #934231 1/01/39	10/11/2011	12/25/2011	1,105.00	1,187.00	-82.00
722.28 FNMA POOL #934231 1/01/39	10/11/2011	12/31/2011	722.00	776.00	-54.00
1108.12 FNMA POOL #934231 1/01/39	10/11/2011	02/25/2012	1,108.00	1,190.00	-82.00
1202.6 FNMA POOL #934231 1/01/39	10/11/2011	03/25/2012	1,203.00	1,291.00	-88.00
4946.76 FNMA POOL #934231 1/01/39	10/11/2011	04/18/2012	5,369.00	5,312.00	57.00
1804.39 FNMA POOL #934231 1/01/39	10/11/2011	04/25/2012	1,804.00	1,938.00	-134.00
764.72 FNMA POOL #934231 1/01/39	10/11/2011	05/25/2012	765.00	821.00	-56.00
834.26 FNMA POOL #934231 1/01/39	10/11/2011	06/25/2012	834.00	896.00	-62.00
677.44 FNMA POOL #934231 1/01/39	10/11/2011	07/25/2012	677.00	728.00	-51.00
452.27 FNMA POOL #934231 1/01/39	10/11/2011	08/25/2012	452.00	486.00	-34.00
580.91 FNMA POOL #934231 1/01/39	10/11/2011	09/25/2012	581.00	624.00	-43.00
2967.29 FNMA POOL #972295 2/01/38	06/09/2011	12/25/2011	2,967.00	3,226.00	-259.00
3129.46 FNMA POOL #972295 2/01/38	06/09/2011	12/31/2011	3,129.00	3,403.00	-274.00
2638.17 FNMA POOL #972295 2/01/38	06/09/2011	02/25/2012	2,638.00	2,869.00	-231.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4268.66 FNMA POOL #972295 2/01/38	06/09/2011	03/25/2012	4,269.00	4,642.00	-373.00
2942.16 FNMA POOL #972295 2/01/38	06/09/2011	04/25/2012	2,942.00	3,199.00	-257.00
3246.71 FNMA POOL #972295 2/01/38	06/09/2011	05/25/2012	3,247.00	3,530.00	-283.00
50.16 FNMA POOL #995676 4 5/01/39	07/11/2011	12/25/2011	50.00	52.00	-2.00
50.34 FNMA POOL #995676 4 5/01/39	07/11/2011	12/31/2011	50.00	53.00	-3.00
24.08 FNMA POOL #995676 4 5/01/39	07/11/2011	02/25/2012	24.00	25.00	-1.00
45.39 FNMA POOL #995676 4 5/01/39	07/11/2011	03/25/2012	45.00	47.00	-2.00
1319.52 FNMA POOL #995676 5/01/39	07/11/2011	04/18/2012	1,418.00	1,378.00	40.00
31.21 FNMA POOL #995676 4 5/01/39	07/11/2011	04/25/2012	31.00	33.00	-2.00
1461.27 FNMA POOL #AA5224 3/01/39	06/09/2011	12/25/2011	1,461.00	1,524.00	-63.00
2250.82 FNMA POOL #AA5224 3/01/39	06/09/2011	12/31/2011	2,251.00	2,348.00	-97.00
5983.36 FNMA POOL #AA5224 3/01/39	06/09/2011	02/25/2012	5,983.00	6,240.00	-257.00
2538.3 FNMA POOL #AA5224 3/01/39	06/09/2011	03/25/2012	2,538.00	2,647.00	-109.00
29752.72 FNMA POOL #AA5224 3/01/39	06/09/2011	04/18/2012	31,817.00	31,031.00	786.00
6785.34 FNMA POOL #AA5224 3/01/39	06/09/2011	04/25/2012	6,785.00	7,077.00	-292.00
7196.85 FNMA POOL #AA5224 3/01/39	06/09/2011	05/25/2012	7,197.00	7,506.00	-309.00
320.34 FNMA POOL #AA7681 6/01/39	07/09/2012	08/25/2012	320.00	346.00	-26.00
358.3 FNMA POOL #AA7681 6/01/39	07/09/2012	09/25/2012	358.00	387.00	-29.00
353.79 FNMA POOL #AA7681 6/01/39	07/09/2012	10/25/2012	354.00	382.00	-28.00
325.38 FNMA POOL #AA7681 6/01/39	07/09/2012	11/25/2012	325.00	351.00	-26.00
446.77 FNMA POOL #AB4115 12/01/41	04/09/2012	05/25/2012	447.00	472.00	-25.00
702.24 FNMA POOL #AB4115 12/01/41	04/09/2012	06/25/2012	702.00	742.00	-40.00
1182.16 FNMA POOL #AB4115 12/01/41	04/09/2012	07/25/2012	1,182.00	1,250.00	-68.00
1096.46 FNMA POOL #AB4115 12/01/41	04/09/2012	08/25/2012	1,096.00	1,159.00	-63.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust
31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number
06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2061.4 FNMA POOL #AB4115 12/01/41	04/09/2012	09/25/2012	2,061.00	2,179.00	-118.00
1354. FNMA POOL #AB4115 3 12/01/41	04/09/2012	10/25/2012	1,354.00	1,431.00	-77.00
935.18 FNMA POOL #AB4115 12/01/41	04/09/2012	11/25/2012	935.00	989.00	-54.00
74.64 FNMA POOL #AB4297 3 1/01/42	01/10/2012	02/25/2012	75.00	77.00	-2.00
54.62 FNMA POOL #AB4297 3 1/01/42	01/10/2012	03/25/2012	55.00	56.00	-1.00
123.67 FNMA POOL #AB4297 1/01/42	01/10/2012	04/25/2012	124.00	128.00	-4.00
212.78 FNMA POOL #AB4297 1/01/42	01/10/2012	05/25/2012	213.00	219.00	-6.00
251.92 FNMA POOL #AB4297 1/01/42	01/10/2012	06/25/2012	252.00	260.00	-8.00
270.12 FNMA POOL #AB4297 1/01/42	01/10/2012	07/25/2012	270.00	279.00	-9.00
313.24 FNMA POOL #AB4297 1/01/42	01/10/2012	08/25/2012	313.00	323.00	-10.00
853.96 FNMA POOL #AB4297 1/01/42	01/10/2012	09/25/2012	854.00	881.00	-27.00
950.13 FNMA POOL #AB4297 1/01/42	01/10/2012	10/25/2012	950.00	980.00	-30.00
632.05 FNMA POOL #AB4297 1/01/42	01/10/2012	11/25/2012	632.00	652.00	-20.00
74.87 FNMA POOL #AB5468 6/01/42	06/07/2012	07/25/2012	75.00	79.00	-4.00
167.33 FNMA POOL #AB5468 6/01/42	06/07/2012	08/25/2012	167.00	176.00	-9.00
345.97 FNMA POOL #AB5468 6/01/42	06/07/2012	09/25/2012	346.00	363.00	-17.00
460.32 FNMA POOL #AB5468 6/01/42	06/07/2012	10/25/2012	460.00	483.00	-23.00
392.77 FNMA POOL #AB5468 6/01/42	06/07/2012	11/25/2012	393.00	412.00	-19.00
479.87 FNMA POOL #AB5670 7/01/42	10/05/2012	11/25/2012	480.00	514.00	-34.00
6515.29 FNMA POOL #AE0609 4/01/37	03/07/2012	04/18/2012	7,124.00	7,127.00	-3.00
199.26 FNMA POOL #AE0609 4/01/37	03/07/2012	04/25/2012	199.00	218.00	-19.00
16.92 FNMA POOL #AE0609 5 4/01/37	03/07/2012	05/25/2012	17.00	19.00	-2.00
20.55 FNMA POOL #AE0609 5 4/01/37	03/07/2012	06/25/2012	21.00	22.00	-1.00
16.63 FNMA POOL #AE0609 5 4/01/37	03/07/2012	07/25/2012	17.00	18.00	-1.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 16.96 FNMA POOL #AE0609 5 4/01/37	03/07/2012	08/25/2012	17.00	19.00	-2.00
21.56 FNMA POOL #AE0609 5 4/01/37	03/07/2012	09/25/2012	22.00	24.00	-2.00
17.44 FNMA POOL #AE0609 5 4/01/37	03/07/2012	10/25/2012	17.00	19.00	-2.00
19.1 FNMA POOL #AE0609 5. 4/01/37	03/07/2012	11/25/2012	19.00	21.00	-2.00
522.36 FNMA POOL #AE0609 4/01/37	03/07/2012	11/28/2012	574.00	571.00	3.00
259.95 FNMA POOL #AE1557 8/01/40	06/09/2011	12/25/2011	260.00	278.00	-18.00
29.55 FNMA POOL #AE1557 8/01/40	06/09/2011	12/31/2011	30.00	32.00	-2.00
29.65 FNMA POOL #AE1557 8/01/40	06/09/2011	02/25/2012	30.00	32.00	-2.00
30.06 FNMA POOL #AE1557 8/01/40	06/09/2011	03/25/2012	30.00	32.00	-2.00
1652.64 FNMA POOL #AE1557 8/01/40	06/09/2011	04/25/2012	1,653.00	1,768.00	-115.00
30.32 FNMA POOL #AE1557 8/01/40	06/09/2011	05/25/2012	30.00	32.00	-2.00
10. FEDEX CORPORATION	01/13/2012	11/28/2012	894.00	896.00	-2.00
30. F5 NETWORKS INC 00	05/20/2011	05/07/2012	3,958.00	3,236.00	722.00
15. F5 NETWORKS INC 00	05/20/2011	05/17/2012	1,766.00	1,618.00	148.00
162. FIFTH THIRD BANCORP	03/13/2012	06/01/2012	2,059.00	2,289.00	-230.00
1. FIFTH THIRD CAP TR VI	04/12/2012	08/08/2012	25.00	25.00	
1. FIFTH THIRD CAP TR V GT	10/07/2011	04/12/2012	25.00	25.00	
49. FIFTH THIRD CAP TR V G SC67	10/14/2011	08/15/2012	1,225.00	1,227.00	-2.00
346. FLSMIDTH & CO A S SPO	05/20/2011	12/05/2011	2,211.00	2,834.00	-623.00
68. FRESENIUS MED CARE AG	05/20/2011	12/05/2011	4,638.00	4,861.00	-223.00
184. GAP INC. DEL	07/27/2011	12/05/2011	3,502.00	3,533.00	-31.00
9. GAP INC. DEL	05/20/2011	03/02/2012	221.00	173.00	48.00
5. GAP INC. DEL	05/20/2011	05/11/2012	141.00	96.00	45.00
74. GAYLORD ENTERTAINMENT	10/27/2011	12/05/2011	1,739.00	2,009.00	-270.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
1a 33.99956 GENERAL ELEC CO.	05/20/2011	12/05/2011	551.00	667.00	-116.00	*
232.00044 GENERAL ELEC CO.	05/20/2011	12/05/2011	3,763.00	4,550.00	-787.00	
51.01136 GENERAL ELEC CO.	11/02/2012	11/28/2012	1,077.00	1,090.00	-13.00	
15.98864 GENERAL ELEC CO.	11/02/2012	11/28/2012	338.00	342.00	-4.00	*
1. GENERAL ELEC CAP CORP 6	04/12/2012	10/25/2012	25.00	25.00		
25. GENERAL ELEC CAP CORP	05/20/2011	03/20/2012	635.00	644.00	-9.00	
28. GENERAL ELEC CAP CORP	05/20/2011	05/14/2012	709.00	721.00	-12.00	
14. GENERAL ELEC CAP 6.45%	05/20/2011	12/21/2011	357.00	358.00	-1.00	
21. GENERAL ELEC CAP 6.45%	05/20/2011	01/06/2012	543.00	537.00	6.00	
22. GENERAL ELEC CAP 6.45%	05/20/2011	02/21/2012	571.00	563.00	8.00	
40. GILEAD SCIENCES INC	02/03/2012	05/08/2012	1,976.00	2,183.00	-207.00	
13. GILEAD SCIENCES INC	11/13/2012	11/28/2012	973.00	973.00		
7. GOOGLE INC CL A	12/01/2011	01/12/2012	4,419.00	4,248.00	171.00	
5. GOOGLE INC CL A	05/20/2011	04/30/2012	3,048.00	2,643.00	405.00	
11. GOOGLE INC CL A	03/22/2012	05/07/2012	6,657.00	7,087.00	-430.00	
4. GOOGLE INC CL A	03/22/2012	11/28/2012	2,724.00	2,577.00	147.00	
15. GRAINGER W W INC	05/20/2011	05/07/2012	3,068.00	2,265.00	803.00	
30. HSBC HOLDINGS PLC-SPON	04/27/2012	07/20/2012	1,250.00	1,368.00	-118.00	
19. HSBC HOLDINGS PLC-SPON	04/27/2012	07/24/2012	751.00	866.00	-115.00	
50. HSBC HOLDINGS PLC-SPON	04/27/2012	11/27/2012	2,489.00	2,257.00	232.00	
1. HSBC HLDGS PLC PFD 6.20	04/12/2012	10/31/2012	25.00	25.00		
208. HALLIBURTON CO	05/23/2012	05/29/2012	6,598.00	6,740.00	-142.00	
90. HANCOCK HLDG CO	08/11/2011	12/05/2011	2,740.00	2,756.00	-16.00	
124. HANG LUNG PPTYS LTD	05/20/2011	12/05/2011	1,937.00	2,568.00	-631.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust
31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number
06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 44. HARSCO CORP	06/10/2011	12/05/2011	905.00	1,375.00	-470.00
760. HENNES & MAURITZ AB A	05/20/2011	12/05/2011	4,796.00	5,662.00	-866.00
189. HENNES & MAURITZ AB A	05/20/2011	03/07/2012	1,280.00	1,408.00	-128.00
189. HESS CORP	05/20/2011	04/27/2012	9,724.00	14,845.00	-5,121.00
213. HOLOGIC INC	05/20/2011	12/05/2011	3,710.00	4,501.00	-791.00
198. HOLOGIC INC	05/20/2011	12/05/2011	3,449.00	4,203.00	-754.00
13. HOLOGIC INC	05/20/2011	01/31/2012	264.00	275.00	-11.00 *
148. HOME DEPOT INC	05/20/2011	12/05/2011	5,928.00	5,476.00	452.00
9. HOME DEPOT INC	12/27/2011	02/22/2012	424.00	359.00	65.00
7. HOME DEPOT INC	05/15/2012	08/14/2012	384.00	345.00	39.00
5. HOME DEPOT INC	05/15/2012	11/05/2012	312.00	246.00	66.00
113. HONEYWELL INTERNATION	05/20/2011	12/05/2011	6,152.00	6,675.00	-523.00
7. HONEYWELL INTERNATIONAL	05/20/2011	01/18/2012	406.00	413.00	-7.00
34. HONEYWELL INTERNATIONA	08/19/2011	05/29/2012	1,936.00	1,463.00	473.00
166. HONG KONG EXCHANGES &	05/20/2011	12/05/2011	2,844.00	3,687.00	-843.00
14. HOSPIRA INC	05/20/2011	02/17/2012	518.00	773.00	-255.00
77. HOSPIRA INC	11/02/2011	05/29/2012	2,499.00	2,425.00	74.00
119. ICON PUB LTD CO	08/30/2011	12/05/2011	1,987.00	2,818.00	-831.00
31. ILLUMINA INC	05/20/2011	12/13/2011	835.00	2,259.00	-1,424.00
26. ILLUMINA INC	05/20/2011	01/27/2012	1,362.00	1,894.00	-532.00
130. ILLUMINA INC	05/20/2011	01/30/2012	6,700.00	9,456.00	-2,756.00
175. ILLUMINA INC	09/13/2011	03/09/2012	8,822.00	12,282.00	-3,460.00
271. INDUSTRIAL & COML BK	05/20/2011	12/05/2011	3,385.00	4,453.00	-1,068.00
402. ING GROEP N.V.	08/16/2011	12/05/2011	3,401.00	3,509.00	-108.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
1a 148. ING GROEP N.V.	08/12/2011	04/26/2012	1,048.00	1,273.00	-225.00	
736. ING GROEP N.V.	09/16/2011	04/27/2012	5,148.00	5,611.00	-463.00	
1. ING GROEP N V PREF 7.05	05/20/2011	04/12/2012	23.00	24.00	-1.00	
4. ING GROEP NV 6.125% PFD	05/20/2011	04/12/2012	85.00	90.00	-5.00	*
4. ING GROEP NV 6.375% PFD	05/20/2011	04/12/2012	87.00	91.00	-4.00	*
201. INTEL CORP	05/20/2011	12/05/2011	5,007.00	4,671.00	336.00	
7. INTEL CORP	05/29/2012	08/27/2012	174.00	182.00	-8.00	
7. INTEL CORP	05/29/2012	10/01/2012	161.00	174.00	-13.00	
11. INTEL CORP	12/21/2011	11/06/2012	241.00	262.00	-21.00	
12. INTEL CORP	12/21/2011	11/19/2012	244.00	282.00	-38.00	
20. INTERCONTINENTALEXCHAN	05/20/2011	05/07/2012	2,521.00	2,434.00	87.00	
9. INTERNATIONAL BUSINESS	09/24/2012	11/28/2012	1,726.00	1,850.00	-124.00	
181. INTERPUBLIC GROUP COS	10/14/2011	12/05/2011	1,757.00	1,447.00	310.00	
7. INTUITIVE SURGICAL INC	05/20/2011	01/09/2012	3,168.00	2,447.00	721.00	
3. INTUITIVE SURGICAL INC	05/20/2011	05/07/2012	1,689.00	1,049.00	640.00	
3. INTUITIVE SURGICAL INC	05/20/2011	05/17/2012	1,582.00	1,049.00	533.00	
250. ITAU UNIBANCO HOLDING	05/20/2011	12/05/2011	4,772.00	5,428.00	-656.00	
217. JDS UNIPHASE CORP	11/21/2011	12/05/2011	2,311.00	2,261.00	50.00	
159. J. P . MORGAN CHASE &	05/20/2011	12/05/2011	5,298.00	6,862.00	-1,564.00	*
65. J. P . MORGAN CHASE &	12/06/2011	05/07/2012	2,727.00	2,697.00	30.00	
9. J. P . MORGAN CHASE & C	06/13/2011	05/11/2012	337.00	401.00	-64.00	
5. J. P . MORGAN CHASE & C	05/20/2011	05/11/2012	186.00	216.00	-30.00	
174. J. P . MORGAN CHASE &	03/02/2012	06/11/2012	5,773.00	7,182.00	-1,409.00	
37. JP MORGAN CHASE CAP XI	05/20/2011	04/12/2012	931.00	933.00	-2.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 44. JOHNSON & JOHNSON	05/20/2011	12/05/2011	2,769.00	2,888.00	-119.00
41. JOHNSON & JOHNSON	07/20/2011	12/06/2011	2,611.00	2,697.00	-86.00
22. JOHNSON & JOHNSON	05/20/2011	03/19/2012	1,429.00	1,447.00	-18.00
76. JOHNSON & JOHNSON	06/23/2011	04/17/2012	4,873.00	4,953.00	-80.00
18. JOHNSON & JOHNSON	10/18/2012	11/28/2012	1,247.00	1,300.00	-53.00
27. JOY GLOBAL INC-WI	03/01/2012	11/28/2012	1,519.00	2,554.00	-1,035.00
20. JPMORGAN CHASE CAP XIV	05/20/2011	03/22/2012	509.00	504.00	5.00
23. JPMORGAN CHASE CAP XIV	05/20/2011	04/19/2012	583.00	579.00	4.00
83. JPM CHASE CAPITAL XXII	05/20/2011	03/27/2012	2,134.00	2,156.00	-22.00
17. JPM CHASE CAPITAL XXIX	05/20/2011	03/27/2012	439.00	443.00	-4.00
32. JPM CHASE CAPITAL XXIX	05/20/2011	04/12/2012	822.00	833.00	-11.00
163. JUNIPER NETWORK INC	05/20/2011	04/25/2012	3,306.00	6,371.00	-3,065.00
22. KBR INC	05/20/2011	01/13/2012	689.00	791.00	-102.00
170. KBR INC	05/09/2012	05/29/2012	4,525.00	5,056.00	-531.00
19. KANSAS CITY SOUTHERN I	08/19/2011	12/05/2011	1,308.00	951.00	357.00
143. KDDI CORP ADR	05/20/2011	12/05/2011	2,307.00	2,577.00	-270.00
68. KIMBERLY-CLARK CORP CO	05/20/2011	12/05/2011	4,778.00	4,640.00	138.00
27. KIMBERLY-CLARK CORP CO	09/30/2011	12/06/2011	1,911.00	1,925.00	-14.00
56. KIMBERLY-CLARK CORP CO	09/30/2011	01/06/2012	4,067.00	3,919.00	148.00
4. KIMBERLY-CLARK CORP COM	05/20/2011	02/06/2012	290.00	273.00	17.00
5. KIMBERLY-CLARK CORP COM	05/20/2011	04/19/2012	376.00	341.00	35.00
1. KIMCO REALTY CORP 6.00%	03/09/2012	04/12/2012	25.00	25.00	
1. KIMCO REALTY CORP	04/12/2012	08/15/2012	25.00	25.00	
43. KINDER MORGAN INC DEL	08/02/2012	11/28/2012	1,465.00	1,537.00	-72.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I. Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 577. KINGFISHER PLC	05/20/2011	12/05/2011	4,726.00	5,245.00	-519.00
25. KOHLS CORP	09/29/2011	12/13/2011	1,278.00	1,224.00	54.00
83. KOHLS CORP	09/29/2011	05/16/2012	3,910.00	3,980.00	-70.00
173. KOMATSU LTD SPNS ADR	05/20/2011	12/05/2011	4,522.00	5,341.00	-819.00
39. KOMATSU LTD SPNS ADR	05/20/2011	03/01/2012	1,143.00	1,204.00	-61.00
174. KRAFT FOODS INC-A	05/20/2011	12/05/2011	6,318.00	6,131.00	187.00
10. KRAFT FOODS INC-A	05/20/2011	03/16/2012	383.00	352.00	31.00
.6667 KRAFT FOODS GROUP IN	05/01/2012	10/11/2012	32.00	28.00	4.00
24. KRAFT FOODS GROUP INC	10/10/2012	11/28/2012	1,099.00	1,125.00	-26.00
88. KROGER CO	06/30/2011	01/20/2012	2,094.00	2,184.00	-90.00
179. KROGER CO	06/30/2011	02/09/2012	4,237.00	4,393.00	-156.00
68. LKQ CORP	04/17/2012	05/14/2012	2,411.00	2,009.00	402.00
814. LI & FUNG LTD	09/09/2011	12/05/2011	3,500.00	3,060.00	440.00
411. LI & FUNG LTD	09/08/2011	03/27/2012	2,036.00	1,538.00	498.00
204. LVMH MOET HENNESSY LO	05/20/2011	12/05/2011	6,328.00	6,914.00	-586.00
48. LAS VEGAS SANDS CORP	06/08/2011	01/24/2012	2,296.00	1,922.00	374.00
21. LAS VEGAS SANDS CORP	02/02/2012	03/02/2012	1,199.00	1,072.00	127.00
60. LAS VEGAS SANDS CORP	10/10/2011	05/07/2012	3,225.00	2,777.00	448.00
35. LAS VEGAS SANDS CORP	05/10/2012	06/26/2012	1,501.00	1,801.00	-300.00
6. LAS VEGAS SANDS CORP	02/02/2012	09/25/2012	282.00	306.00	-24.00
53. LAS VEGAS SANDS CORP	05/31/2012	11/27/2012	2,472.00	2,649.00	-177.00
44. LAUDER ESTEE COS INC C	08/15/2011	07/12/2012	2,202.00	2,037.00	165.00
56. LAUDER ESTEE COS INC C	08/10/2011	07/13/2012	2,877.00	2,579.00	298.00
59. LEAP WIRELESS INTL INC	05/20/2011	12/05/2011	547.00	930.00	-383.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 59. LEAR CORP	10/31/2011	12/05/2011	2,489.00	2,754.00	-265.00
64. LEGG MASON INC	05/20/2011	12/05/2011	1,685.00	2,171.00	-486.00
222. LENNAR CORP	05/20/2011	12/05/2011	4,249.00	4,000.00	249.00
11. LENNAR CORP	05/20/2011	01/10/2012	228.00	198.00	30.00
11. LENNAR CORP	05/20/2011	02/10/2012	257.00	198.00	59.00
10. LENNAR CORP	05/20/2011	05/03/2012	289.00	180.00	109.00
38. LINKEDIN CORP	05/16/2012	10/11/2012	4,376.00	4,269.00	107.00
10. LINKEDIN CORP	05/16/2012	11/28/2012	1,078.00	1,123.00	-45.00
2. LLOYDS BANKING GROUP PL	05/20/2011	04/12/2012	53.00	54.00	-1.00 *
34. LORILLARD INC	10/03/2011	01/27/2012	3,753.00	3,854.00	-101.00
26. M & T CAPITAL TRUST IV	05/20/2011	12/09/2011	685.00	681.00	4.00
184. MANITOWOC INC COM W/R 09/18/2006	12/01/2011	12/05/2011	2,077.00	2,807.00	-730.00
3. MARKEL CORP	05/20/2011	04/18/2012	1,320.00	1,252.00	68.00
17. MARKEL CORP PFD SER DE	05/20/2011	12/07/2011	431.00	440.00	-9.00
14. MARKEL CORP PFD SER DE	05/20/2011	03/15/2012	355.00	363.00	-8.00
38. MCDONALDS CORP	05/23/2011	04/20/2012	3,690.00	3,138.00	552.00
43. MCDONALDS CORP	05/23/2011	05/08/2012	4,005.00	3,551.00	454.00
12. MEAD JOHNSON NUTRITION	06/12/2012	07/11/2012	924.00	981.00	-57.00
16. MEAD JOHNSON NUTRITION	12/23/2011	07/16/2012	1,166.00	1,061.00	105.00
87. MEADWESTVACO CORP	05/20/2011	12/05/2011	2,586.00	2,894.00	-308.00
10. MEADWESTVACO CORP	05/20/2011	01/09/2012	293.00	333.00	-40.00
14. MEADWESTVACO CORP	05/20/2011	04/26/2012	443.00	466.00	-23.00
29. MERCADOLIBRE INC	09/20/2011	12/05/2011	2,638.00	2,006.00	632.00
2. MERRILL LYNCH CAPITAL T	05/20/2011	04/12/2012	47.00	49.00	-2.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. MERRILL LYNCH CAP TR II	05/20/2011	04/12/2012	24.00	24.00	
46. METLIFE INC	08/25/2011	05/29/2012	1,402.00	1,481.00	-79.00
21. METLIFE INC 6.50% PFD	05/20/2011	12/09/2011	530.00	534.00	-4.00
20. METLIFE INC 6.50% PFD	05/20/2011	12/30/2011	509.00	509.00	
20. METLIFE INC 6.50% PFD	05/20/2011	01/27/2012	509.00	509.00	
39. METLIFE INC 6.50% PFD	05/20/2011	03/27/2012	991.00	993.00	-2.00
15. METLIFE INC 6.50% PFD	05/20/2011	05/07/2012	382.00	382.00	
145. MICHELIN COMPAGNIE GE	09/07/2012	11/27/2012	2,552.00	2,153.00	399.00
71. MICROSOFT CORP	08/18/2011	12/02/2011	1,792.00	1,769.00	23.00
132. MICROSOFT CORP	05/17/2012	11/28/2012	3,609.00	4,221.00	-612.00
742. MITSUBISHI UFJ FINL G	05/20/2011	12/05/2011	3,287.00	3,384.00	-97.00
226. MITSUBISHI UFJ FINL G	05/20/2011	03/01/2012	1,152.00	1,031.00	121.00
51. MONDELEZ INTL INC	05/01/2012	11/28/2012	1,306.00	1,324.00	-18.00
24. MONSANTO CO NEW	01/06/2012	08/23/2012	2,058.00	1,859.00	199.00
26. MONSANTO CO NEW	04/12/2012	11/26/2012	2,363.00	2,009.00	354.00
7. MONSANTO CO NEW	04/12/2012	11/28/2012	640.00	541.00	99.00
148. MONSTER WORLDWIDE INC	05/20/2011	12/05/2011	1,135.00	2,218.00	-1,083.00
22. MORNINGSTAR INC	03/26/2012	11/27/2012	1,387.00	1,369.00	18.00
224. MOSAIC CO NEW	02/09/2012	05/29/2012	11,050.00	14,213.00	-3,163.00
71. MOTOROLA SOLUTIONS INC	05/20/2011	12/05/2011	3,312.00	3,330.00	-18.00
10. MOTOROLA SOLUTIONS INC	05/20/2011	12/16/2011	461.00	469.00	-8.00
17. MOTOROLA SOLUTIONS INC	05/20/2011	12/20/2011	785.00	797.00	-12.00
9. MOTOROLA SOLUTIONS INC	05/20/2011	12/22/2011	418.00	422.00	-4.00
18. MOTOROLA SOLUTIONS INC	05/20/2011	12/22/2011	838.00	844.00	-6.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 19. MOTOROLA SOLUTIONS INC	05/20/2011	12/30/2011	883.00	891.00	-8.00
13.00938 MYLAN LABORATORIE INCORPORATED	10/27/2011	12/05/2011	259.00	265.00	-6.00 *
115.99062 MYLAN LABORATORI INCORPORATED	10/27/2011	12/05/2011	2,308.00	2,168.00	140.00
116. MYRIAD GENETICS INC	05/20/2011	12/05/2011	2,474.00	2,902.00	-428.00
92. NII HLDGS INC	11/01/2011	12/05/2011	2,057.00	3,275.00	-1,218.00
328. NYSE EURONEXT INC	05/02/2012	05/29/2012	8,105.00	8,735.00	-630.00
12. NATIONAL-OILWELL INC	05/20/2011	02/16/2012	1,011.00	818.00	193.00
14. NATIONAL-OILWELL INC	07/27/2011	03/02/2012	1,165.00	1,112.00	53.00
17. NATIONAL-OILWELL INC	07/27/2011	04/25/2012	1,286.00	1,305.00	-19.00
40. NATIONAL-OILWELL INC	05/20/2011	05/07/2012	2,826.00	2,720.00	106.00
55. NATIONAL-OILWELL INC	05/20/2011	05/08/2012	3,803.00	3,740.00	63.00
149. NATIONAL-OILWELL INC	05/20/2011	05/09/2012	10,165.00	10,153.00	12.00
22. NATL RURAL UTILS COOP 5.95%	05/20/2011	05/10/2012	551.00	558.00	-7.00
38. NAVISTAR INTL CORP NEW	08/01/2011	12/05/2011	1,485.00	2,106.00	-621.00
193. NAVISTAR INTL CORP NE	09/01/2011	05/29/2012	5,620.00	8,981.00	-3,361.00
75. NESTLE S A SPONSORED A REG SH	05/20/2011	12/05/2011	4,194.00	4,679.00	-485.00
19. NESTLE S A SPONSORED A REG SH	05/20/2011	02/02/2012	1,097.00	1,185.00	-88.00
32. NETAPP INC	03/26/2012	05/18/2012	1,066.00	1,482.00	-416.00
145. NETAPP INC	03/26/2012	06/12/2012	4,316.00	6,398.00	-2,082.00
12. NETFLIX COM INC	11/04/2011	01/27/2012	1,381.00	1,076.00	305.00
20. NETFLIX COM INC	05/20/2011	05/07/2012	1,489.00	4,934.00	-3,445.00
47. NETFLIX COM INC	11/04/2011	06/01/2012	2,923.00	4,213.00	-1,290.00
38. NETFLIX COM INC	01/09/2012	09/05/2012	2,095.00	3,371.00	-1,276.00
99.811 HF IVORY LLC CLASS	12/30/2011	12/31/2011	95,354.00	100.00	95,254.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. NEXEN INC PREF 7.35%	02/29/2012	05/11/2012	431.00	430.00	1.00
19. NEXTERA ENERGY INC	10/17/2012	11/28/2012	1,280.00	1,359.00	-79.00
30. NIKE INC CL B	05/20/2011	05/07/2012	3,346.00	2,559.00	787.00
53. NIKE INC CL B	04/30/2012	09/18/2012	5,172.00	5,931.00	-759.00
65. NISOURCE INC	10/12/2011	12/05/2011	1,465.00	1,402.00	63.00
384. NOKIA CORP SPONSORED	01/17/2012	04/11/2012	1,655.00	2,150.00	-495.00
62. NORFOLK SOUTHERN CORP	05/22/2012	05/29/2012	4,130.00	4,181.00	-51.00
102. NOVARTIS AG-ADR	05/20/2011	12/05/2011	5,526.00	6,285.00	-759.00
24. NOVARTIS AG-ADR	05/20/2011	01/10/2012	1,365.00	1,479.00	-114.00
20. NOVARTIS AG-ADR	05/20/2011	02/01/2012	1,110.00	1,232.00	-122.00
48. NOVO NORDISK A S ADR	05/20/2011	12/05/2011	5,389.00	5,980.00	-591.00
16. O REILLY AUTOMOTIVE IN	05/20/2011	01/19/2012	1,363.00	925.00	438.00
53. O REILLY AUTOMOTIVE IN	05/20/2011	05/02/2012	5,650.00	3,065.00	2,585.00
22. O REILLY AUTOMOTIVE IN	09/19/2012	11/27/2012	2,028.00	1,812.00	216.00
15. O REILLY AUTOMOTIVE IN	07/16/2012	11/28/2012	1,395.00	1,362.00	33.00
45. OCCIDENTAL PETROLEUM C	02/03/2012	06/12/2012	3,770.00	4,609.00	-839.00
34. OCEANEERING INTL INC	05/20/2011	02/23/2012	1,926.00	1,390.00	536.00
12. OCEANEERING INTL INC	06/05/2012	11/27/2012	636.00	542.00	94.00
160. ON SEMICONDUCTOR CORP	05/20/2011	01/20/2012	1,431.00	1,816.00	-385.00
464. ON SEMICONDUCTOR CORP	05/21/2012	05/29/2012	3,132.00	3,276.00	-144.00
65. OPENTABLE INC	05/20/2011	03/09/2012	2,786.00	5,891.00	-3,105.00
65. ORACLE CORP	05/20/2011	03/13/2012	1,952.00	2,238.00	-286.00
158. ORACLE CORP	05/20/2011	03/23/2012	4,512.00	5,441.00	-929.00
104. OWENS ILL INC NEW	05/20/2011	12/05/2011	2,098.00	3,331.00	-1,233.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 294. PMC-SIERRA INC	10/31/2011	12/05/2011	1,590.00	1,833.00	-243.00
28. PNC CAP TR D CAP SECS	05/20/2011	01/05/2012	708.00	714.00	-6.00
26. PNC CAP TR D CAP SECS	05/20/2011	02/27/2012	658.00	663.00	-5.00
29. PNC CAP TR D CAP SECS	05/20/2011	03/23/2012	729.00	739.00	-10.00
18. PNC CAP TR E GTD TR PF 7.750%	05/20/2011	12/08/2011	469.00	473.00	-4.00
3. PNC CAP TR E GTD TR PFD	05/20/2011	04/12/2012	78.00	79.00	-1.00
1. PPL CAPITAL FUNDING INC	04/12/2012	08/14/2012	25.00	26.00	-1.00
22. PS BUSINESS PKS INC CA		10/09/2012	1.00		1.00
16. PS BUSINESS PKS INC CA		06/15/2012	6.00		6.00
60. PATTERSON-UTI ENERGY I	10/31/2011	12/05/2011	1,274.00	1,375.00	-101.00
119. PEARSON PLC SPND ADR	02/03/2012	11/27/2012	2,241.00	2,274.00	-33.00
69. PENN NATL GAMING INC 0	08/05/2011	12/05/2011	2,593.00	2,653.00	-60.00
57. PEPSICO INC	05/20/2011	12/01/2011	3,650.00	4,082.00	-432.00
31. PEPSICO INC	06/26/2012	10/12/2012	2,181.00	2,138.00	43.00
30. PEPSICO INC	06/20/2012	10/16/2012	2,110.00	2,051.00	59.00
359. PFIZER INC.	05/20/2011	12/05/2011	7,090.00	7,467.00	-377.00
64. PFIZER INC.	07/12/2012	11/28/2012	1,577.00	1,452.00	125.00
30. PHILIP MORRIS INTL INC	06/20/2012	11/02/2012	2,620.00	2,617.00	3.00
32. PHILIP MORRIS INTL INC	06/12/2012	11/28/2012	2,878.00	2,715.00	163.00
55. PINNACLE ENTMT INC	05/20/2011	12/05/2011	566.00	745.00	-179.00
55.00017 POTASH CORP SASK	05/20/2011	12/05/2011	2,342.00	2,886.00	-544.00
35.99983 POTASH CORP SASK	05/20/2011	12/05/2011	1,533.00	1,889.00	-356.00 *
25. PRAXAIR INC	03/22/2012	05/07/2012	2,876.00	2,773.00	103.00
42. PRAXAIR INC	08/30/2011	08/28/2012	4,411.00	4,117.00	294.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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2011

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. PRAXAIR INC	03/22/2012	11/28/2012	531.00	555.00	-24.00
7. PRECISION CASTPARTS COR	06/12/2012	11/28/2012	1,268.00	1,161.00	107.00
3. PRICELINE COM INC	05/20/2011	03/22/2012	2,127.00	1,575.00	552.00
5. PRICELINE COM INC	05/20/2011	05/07/2012	3,713.00	2,625.00	1,088.00
34. PROCTOR & GAMBLE CO	05/20/2011	12/06/2011	2,204.00	2,296.00	-92.00
7. PRUDENTIAL FINANCIAL IN	11/10/2011	02/17/2012	428.00	366.00	62.00
139. PRUDENTIAL FINANCIAL	11/10/2011	05/29/2012	6,619.00	7,268.00	-649.00
1. PUBLIC STORAGE 6.875% P	05/20/2011	04/12/2012	27.00	27.00	
110. PUBLIC STORAGE INC	05/20/2011	03/12/2012	2,752.00	2,827.00	-75.00
23. PUBLIC STORAGE	05/20/2011	12/07/2011	587.00	587.00	
43. PUBLIC STORAGE	05/20/2011	02/09/2012	1,075.00	1,098.00	-23.00
43. PUBLIC STORAGE		02/09/2012	8.00		8.00
1. PUBLIC STORAGE INC D/S PF	04/12/2012	06/05/2012	25.00	25.00	
17. PUBLIC STORAGE INC D/S PF		07/12/2012	1.00		1.00
16. PUBLIC STORAGE	05/20/2011	05/01/2012	403.00	403.00	
12. PUBLIC STORAGE	05/20/2011	05/03/2012	303.00	302.00	1.00
132. PUBLICIS S A NEW SPND	05/20/2011	12/05/2011	3,128.00	3,678.00	-550.00
80. QUALCOMM INC	05/20/2011	05/07/2012	4,964.00	4,612.00	352.00
40. QUALCOMM INC	04/17/2012	11/28/2012	2,504.00	2,704.00	-200.00
3. QWEST CORP 7.375%	07/06/2011	04/12/2012	78.00	76.00	2.00
111. RF MICRO DEVICES INC	06/20/2011	12/05/2011	703.00	590.00	113.00
18. RALCORP HLDGS INC NEW	10/05/2011	12/05/2011	1,464.00	1,375.00	89.00
38. RANGE RES CORP	06/18/2012	11/28/2012	2,563.00	2,241.00	322.00
1. RAYMOND JAMES FINANCIAL	03/01/2012	04/12/2012	26.00	25.00	1.00

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Department of the Treasury
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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 66. RAYTHEON COMPANY	05/20/2011	12/01/2011	2,999.00	3,275.00	-276.00
17. REALTY INCOME CORP	05/20/2011	01/31/2012	429.00	438.00	-9.00
30. REALTY INCOME CORP	05/20/2011	03/27/2012	756.00	773.00	-17.00
23. REALTY INCOME CORP	05/20/2011	05/04/2012	578.00	592.00	-14.00
529. RECKITT BENCKISER GRO	05/20/2011	12/05/2011	5,327.00	5,951.00	-624.00
33. REGAL BELOIT CORP	07/15/2011	12/05/2011	1,684.00	2,202.00	-518.00
31. REGENCY CTRS CORPPFD 7	05/20/2011	03/12/2012	774.00	785.00	-11.00
1. REGENCY CTRS CORP PFD S	05/20/2011	04/12/2012	25.00	25.00	
25. REGENERON PHARMACEUTIC	01/09/2012	05/07/2012	3,271.00	1,633.00	1,638.00
15. REGENERON PHARMACEUTIC	01/09/2012	07/13/2012	1,759.00	980.00	779.00
20. REGENERON PHARMACEUTIC	01/09/2012	07/31/2012	2,703.00	1,307.00	1,396.00
15. REGENERON PHARMACEUTIC	01/09/2012	09/26/2012	2,142.00	980.00	1,162.00
147. REPUBLIC SVCS INC	08/15/2011	12/06/2011	3,999.00	4,109.00	-110.00
72. RIVERBED TECHNOLOG	10/17/2011	12/05/2011	1,976.00	1,634.00	342.00
14. ROCKWELL INTL CORP NEW	01/12/2012	04/25/2012	1,064.00	1,098.00	-34.00
25. ROCKWELL INTL CORP NEW	01/12/2012	06/26/2012	1,587.00	1,940.00	-353.00
69. ROCKWELL INTL CORP NEW	12/06/2011	07/13/2012	4,312.00	5,293.00	-981.00
53. ROWAN COS INC COM 00	12/02/2011	12/06/2011	1,780.00	1,851.00	-71.00
2. ROYAL BK SCOTLAND GROUP	03/26/2012	04/12/2012	38.00	38.00	
63. SBA COMMUNICATIONS COR	08/12/2011	12/05/2011	2,591.00	2,219.00	372.00
34. SL GREEN RLTY CORP	09/22/2011	12/05/2011	2,278.00	2,240.00	38.00
57. SABMILLER PLC SPD ADR	05/20/2011	12/05/2011	1,986.00	2,131.00	-145.00
5. SALESFORCE COM INC	12/06/2011	04/20/2012	791.00	624.00	167.00
45. SALESFORCE COM INC	05/20/2011	05/07/2012	6,849.00	6,633.00	216.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

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1a 28. SALESFORCE COM INC	12/06/2011	07/30/2012	3,608.00	3,492.00	116.00
30. SANDISK CORP	03/02/2012	04/30/2012	1,110.00	1,538.00	-428.00
32. SANDISK CORP	03/02/2012	05/18/2012	1,019.00	1,624.00	-605.00
42. SANDISK CORP	12/06/2011	06/26/2012	1,522.00	2,118.00	-596.00
21. SANDISK CORP	12/06/2011	11/28/2012	849.00	1,059.00	-210.00
101. SAP AG-SPONSORED ADR	05/20/2011	12/05/2011	5,985.00	6,266.00	-281.00
18. SAP AG-SPONSORED ADR	05/20/2011	03/05/2012	1,223.00	1,117.00	106.00
19. SAP AG-SPONSORED ADR	05/20/2011	04/13/2012	1,245.00	1,179.00	66.00
298. SBERBANK RUSSIA	09/12/2011	12/05/2011	3,498.00	3,325.00	173.00
52.99977 SCHLUMBERGER LTD	05/20/2011	12/05/2011	4,093.00	4,446.00	-353.00
25.00023 SCHLUMBERGER LTD	05/20/2011	12/05/2011	1,931.00	2,097.00	-166.00 *
16. SCHLUMBERGER LTD	05/20/2011	03/02/2012	1,244.00	1,346.00	-102.00
45. SCHLUMBERGER LTD	05/20/2011	05/07/2012	3,195.00	3,783.00	-588.00
725. SCHWAB CHARLES CORP N	05/20/2011	03/22/2012	10,763.00	12,630.00	-1,867.00
121. SCOTTS CO CL A	07/21/2011	01/27/2012	5,780.00	6,501.00	-721.00
115. SEALED AIR CORP	10/28/2011	12/05/2011	2,071.00	2,066.00	5.00
6. SHERWIN WILLIAMS CO	08/08/2012	11/28/2012	939.00	846.00	93.00
45. SIEMENS AG-ADR	05/20/2011	12/05/2011	4,591.00	5,883.00	-1,292.00
61. SIGMA ALDRICH CORP	06/30/2011	04/23/2012	4,287.00	4,335.00	-48.00
110. SOLUTIA INC	12/01/2011	12/05/2011	1,779.00	1,683.00	96.00
.7276 SOUTHERN COPPER CORP	12/09/2011	03/05/2012	23.00	23.00	
33. SOUTHERN COPPER CORP D	09/07/2012	11/27/2012	1,191.00	1,098.00	93.00
50. SOUTHWESTERN ENERGY CO	05/20/2011	05/07/2012	1,451.00	2,130.00	-679.00
137. SPIRIT AEROSYSTEMS	06/03/2011	12/05/2011	2,740.00	3,061.00	-321.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

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Department of the Treasury Internal Revenue Service

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2011

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1a 1027. SPRINT CORP	05/20/2011	12/05/2011	2,692.00	5,594.00	-2,902.00	
1039. SPRINT CORP	11/08/2011	01/31/2012	2,203.00	3,774.00	-1,571.00	
21. STARBUCKS CORP	05/20/2011	02/21/2012	1,020.00	773.00	247.00	
75. STARBUCKS CORP	05/20/2011	05/07/2012	4,157.00	2,759.00	1,398.00	
40. STARBUCKS CORP	05/20/2011	05/17/2012	2,116.00	1,472.00	644.00	
24. STARWOOD HOTELS & RESO WORLDWIDE	05/20/2011	04/25/2012	1,384.00	1,426.00	-42.00	
36. STARWOOD HOTELS & RESO WORLDWIDE	05/20/2011	05/18/2012	1,818.00	2,139.00	-321.00	
14. STARWOOD HOTELS & RESO WORLDWIDE	03/02/2012	06/26/2012	704.00	765.00	-61.00	
42. STARWOOD HOTELS & RESO WORLDWIDE	03/02/2012	10/23/2012	2,290.00	2,285.00	5.00	
111. STATE STREET CORP	05/20/2011	12/05/2011	4,423.00	5,219.00	-796.00	
25. STERICYCLE INC	08/15/2011	03/21/2012	2,135.00	2,019.00	116.00	
22. SUNTRUST CAP IX	05/20/2011	01/25/2012	570.00	568.00	2.00	
14. SUNTRUST CAP IX	05/20/2011	01/31/2012	363.00	361.00	2.00	
15. SUNTRUST CAP IX	05/20/2011	02/01/2012	389.00	387.00	2.00	
290. SUPERIOR ENERGY SERVI	02/29/2012	05/29/2012	6,455.00	8,498.00	-2,043.00	
58.99608 SWATCH GROUP AG	11/04/2011	12/05/2011	1,160.00	1,215.00	-55.00	*
26.00392 SWATCH GROUP AG	11/04/2011	12/05/2011	511.00	520.00	-9.00	
57. SWATCH GROUP AG	09/04/2012	11/27/2012	1,343.00	1,186.00	157.00	
165. SYMANTEC CORP	05/20/2011	12/05/2011	2,708.00	3,209.00	-501.00	
759. SYMANTEC CORP	04/24/2012	05/29/2012	11,430.00	12,589.00	-1,159.00	
62. SYMANTEC CORP	03/23/2012	07/25/2012	935.00	1,064.00	-129.00	
21. SYNGENTA AG-ADR	03/26/2012	11/27/2012	1,642.00	1,430.00	212.00	
76. TAIWAN SEMICONDUCTOR-S	09/19/2012	10/19/2012	1,159.00	1,146.00	13.00	
74. TAIWAN SEMICONDUCTOR-S	09/19/2012	10/22/2012	1,133.00	1,071.00	62.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
1a 100. TAIWAN SEMICONDUCTOR-	07/27/2012	11/27/2012	1,683.00	1,365.00	318.00	
22. TARGET CORP	05/20/2011	04/03/2012	1,272.00	1,099.00	173.00	
20. TARGET CORP	01/18/2012	05/29/2012	1,156.00	993.00	163.00	
16.99994 TECK RESOURCES LT	07/21/2011	12/05/2011	662.00	910.00	-248.00	*
43.00006 TECK RESOURCES LT	07/21/2011	12/05/2011	1,674.00	2,302.00	-628.00	
22. TECK RESOURCES LTD-CLS	07/25/2011	03/22/2012	769.00	1,176.00	-407.00	
109. TECK RESOURCES LTD-CL	12/01/2011	03/23/2012	3,835.00	5,292.00	-1,457.00	
146. TELEFONICA DE ESPANA	05/20/2011	12/05/2011	2,764.00	3,470.00	-706.00	
325. TELEFONICA DE ESPANA	05/20/2011	01/25/2012	5,498.00	7,725.00	-2,227.00	
19455.253 TEMPLETON INCOME BD FD CL	05/18/2011	12/02/2011	250,000.00	269,844.00	-19,844.00	
71.00007 TENCENT HLDGS LTD	05/20/2011	12/05/2011	1,397.00	2,009.00	-612.00	
97.99993 TENCENT HLDGS LTD	05/20/2011	12/05/2011	1,928.00	2,773.00	-845.00	*
44. TENCENT HLDGS LTD	11/22/2011	03/20/2012	1,227.00	1,256.00	-29.00	
458. TENET HEALTHCARE CORP	05/20/2011	12/05/2011	2,130.00	2,876.00	-746.00	
25. TENET HEALTHCARE CORP	05/20/2011	01/06/2012	121.00	157.00	-36.00	
25. TENET HEALTHCARE CORP	05/20/2011	01/10/2012	126.00	157.00	-31.00	
91. TENET HEALTHCARE CORP	05/20/2011	04/27/2012	474.00	571.00	-97.00	
109. TENET HEALTHCARE CORP	05/20/2011	05/04/2012	548.00	684.00	-136.00	
114. TENET HEALTHCARE CORP	05/20/2011	05/10/2012	594.00	716.00	-122.00	
12. TERADATA CORP DEL	07/03/2012	11/28/2012	711.00	860.00	-149.00	
12.00002 TEREX CORP NEW	05/20/2011	12/05/2011	201.00	354.00	-153.00	*
163.99998 TEREX CORP NEW	05/20/2011	12/05/2011	2,743.00	4,833.00	-2,090.00	
6. TEREX CORP NEW	05/20/2011	02/01/2012	130.00	177.00	-47.00	
12. TEREX CORP NEW	05/20/2011	02/09/2012	279.00	354.00	-75.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
1a	241. TESCO PLC	05/20/2011	12/05/2011	4,627.00	4,960.00	-333.00	
	110. TEVA PHARMACEUTICAL I	05/20/2011	12/05/2011	4,418.00	5,500.00	-1,082.00	
	20. TEVA PHARMACEUTICAL IN	05/20/2011	12/05/2011	803.00	1,000.00	-197.00	*
	20. TEVA PHARMACEUTICAL IN	11/17/2011	05/29/2012	770.00	985.00	-215.00	
	19.99938 TEXTRON INC	07/29/2011	12/05/2011	383.00	463.00	-80.00	*
	216.00062 TEXTRON INC	05/20/2011	12/05/2011	4,137.00	4,968.00	-831.00	
	12.99909 THERMO ELECTRON C	10/27/2011	12/05/2011	606.00	653.00	-47.00	
	11.00091 THERMO ELECTRON C	10/27/2011	12/05/2011	512.00	556.00	-44.00	*
	44. 3M COMPANY 00	05/20/2011	12/06/2011	3,629.00	4,136.00	-507.00	
	75. TIME WARNER INC	05/20/2011	12/05/2011	2,582.00	2,770.00	-188.00	
	125. TIME WARNER INC	05/20/2011	03/26/2012	4,647.00	4,642.00	5.00	
	18. TIME WARNER INC	05/20/2011	04/03/2012	672.00	667.00	5.00	
	227. TIME WARNER INC	05/20/2011	04/04/2012	8,388.00	8,405.00	-17.00	
	37. TITANIUM METALS CORP	06/16/2011	12/05/2011	593.00	607.00	-14.00	
	70. TOYOTA MTR CORP 00	05/20/2011	12/05/2011	4,768.00	5,602.00	-834.00	
	15. TOYOTA MTR CORP 00	05/20/2011	02/16/2012	1,252.00	1,200.00	52.00	
	10. TRANSDIGM GROUP INC	02/07/2012	11/27/2012	1,335.00	1,119.00	216.00	
	96. THE TRAVELERS COMPANIE	05/20/2011	12/05/2011	5,226.00	5,984.00	-758.00	
	59. TRINITY INDUSTRIES INC	08/05/2011	12/05/2011	1,752.00	1,496.00	256.00	
	308. TRIQUINT SEMICONDUCTO	09/30/2011	12/05/2011	1,473.00	1,657.00	-184.00	
	731. TURKIYE GARANTI BANKA	05/20/2011	12/05/2011	2,544.00	3,209.00	-665.00	
	347. TURKIYE GARANTI BANKA	05/20/2011	02/03/2012	1,344.00	1,523.00	-179.00	
	292. TURKIYE GARANTI BANKA	05/20/2011	02/10/2012	1,072.00	1,282.00	-210.00	
	36. TYSON FOODS INC CL A	05/09/2012	07/17/2012	552.00	698.00	-146.00	

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 19. TYSON FOODS INC CL A	05/09/2012	08/28/2012	295.00	368.00	-73.00
1. US BANCORP DEL	05/20/2011	04/12/2012	23.00	23.00	
22. USB CAPITAL XI PFD	05/20/2011	12/12/2011	552.00	570.00	-18.00
54. USB CAPITAL XI PFD	05/20/2011	04/12/2012	1,364.00	1,399.00	-35.00
28. USB CAPITAL XI PFD	05/20/2011	05/18/2012	700.00	725.00	-25.00
33. USB CAPITAL XII 6.3%	05/20/2011	03/27/2012	836.00	839.00	-3.00
65. USB CAP VIII 6.35% TR	05/20/2011	02/22/2012	1,625.00	1,646.00	-21.00
25. UNDER ARMOUR INC	06/06/2012	08/24/2012	1,400.00	1,268.00	132.00
81. UNDER ARMOUR INC	06/06/2012	10/23/2012	4,623.00	4,012.00	611.00
17. UNILEVER N V NY SHS NE	01/18/2012	05/29/2012	540.00	556.00	-16.00
28. UNILEVER N V NY SHS NE	10/17/2012	11/28/2012	1,056.00	1,047.00	9.00
23. UNION PAC CORP	10/07/2011	03/19/2012	2,601.00	2,036.00	565.00
16. UNION PAC CORP	07/30/2012	11/28/2012	1,962.00	1,974.00	-12.00
10000. U.S. T BDS INFL IN 4/15/28	05/24/2011	02/16/2012	21,092.00	18,097.00	2,995.00
4000. U.S. TSY INFL IDX NT 1/15/29	07/27/2011	01/27/2012	5,701.00	5,043.00	658.00
12000. U.S. TSY INFL IDX N 1/15/29	07/27/2011	01/27/2012	17,053.00	15,128.00	1,925.00
6000. U.S. TSY INFL IDX NT 1/15/29	07/12/2011	02/02/2012	8,696.00	7,372.00	1,324.00
6000. U.S. TSY INFL IDX NT 1/15/29	05/24/2011	02/28/2012	8,614.00	7,358.00	1,256.00
5000. U.S. TSY INFL IDX NT 1/15/29	07/12/2011	02/29/2012	7,125.00	6,131.00	994.00
3000. U.S. TSY INFL IDX NT 1/15/29	07/27/2011	03/01/2012	4,247.00	3,678.00	569.00
2000. U.S. T NTS INFL IN 3 7/15/12	04/03/2012	07/15/2012	2,000.00	2,616.00	-616.00
48000. U S TREAS NTS 2/29/12	05/24/2011	02/29/2012	48,000.00	48,257.00	-257.00
10000. U S TREAS NTS 3/15/13	05/24/2011	03/01/2012	10,121.00	10,159.00	-38.00
20000. U S TREAS NTS 7/31/16	08/08/2011	03/01/2012	20,623.00	20,414.00	209.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2011

Name of estate or trust: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 6000. U S TREAS NTS 11/15/21	10/16/2012	11/28/2012	6,287.00	6,070.00	217.00
24000. U S TREAS NTS 2/15/22	03/20/2012	09/14/2012	24,484.00	23,995.00	489.00
6000. U S TREAS NTS 2/28/19	03/01/2012	11/28/2012	6,176.00	5,972.00	204.00
25. UNITED TECHNOLOGIES CP	05/20/2011	02/03/2012	2,025.00	2,191.00	-166.00
27. UNITED TECHNOLOGIES CP	05/20/2011	04/20/2012	2,200.00	2,366.00	-166.00
48. UNITEDHEALTH GROUP INC	05/20/2011	02/03/2012	2,458.00	2,421.00	37.00
66. UNIVERSAL HEALTH SVCS	05/20/2011	03/01/2012	2,922.00	3,527.00	-605.00
8. V F CORP	05/20/2011	02/21/2012	1,157.00	782.00	375.00
122. VALERO ENERGY CORP CO	05/20/2011	12/05/2011	2,755.00	3,197.00	-442.00
95. VALUECLICK INC	05/20/2011	12/05/2011	1,506.00	1,674.00	-168.00
6. VARIAN MEDICAL SYSTEMS	05/20/2011	12/02/2011	382.00	414.00	-32.00
27. VARIAN MEDICAL SYSTEMS	08/19/2011	12/05/2011	1,721.00	1,659.00	62.00
22. VERIFONE SYSTEMS INC	11/02/2011	04/23/2012	1,142.00	906.00	236.00
58. VERIFONE SYSTEMS INC	11/02/2011	04/30/2012	2,763.00	2,225.00	538.00
87. VERIZON COMMUNICATIONS	07/27/2012	11/28/2012	3,783.00	3,798.00	-15.00
40. VERISK ANALYTICS I CL	05/20/2011	04/18/2012	1,918.00	1,362.00	556.00
41. VERTEX PHARMACEUTICALS	06/01/2012	11/28/2012	1,621.00	2,457.00	-836.00 *
284. VIACOM INC 6.85%	05/20/2011	01/09/2012	7,100.00	7,350.00	-250.00
70. VISA INC-CLASS A SHARE	05/20/2011	05/07/2012	8,307.00	5,516.00	2,791.00
4. VISTEON CORP	05/20/2011	12/02/2011	225.00	249.00	-24.00
33. VISTEON CORP	08/10/2011	12/05/2011	1,868.00	1,870.00	-2.00
51. VODAFONE GROUP SPD ADR SH	01/25/2012	11/27/2012	1,281.00	1,401.00	-120.00
89. VOLKSWAGEN AG	05/20/2011	12/05/2011	3,079.00	3,172.00	-93.00
64. VOLKSWAGEN AG	05/20/2011	03/12/2012	2,352.00	2,281.00	71.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 130. VOLKSWAGEN AG	05/20/2011	03/13/2012	4,707.00	4,633.00	74.00
5. VORNADO REALTY LP PFD 7	04/12/2012	07/26/2012	140.00	140.00	
1. WACHOVIA PFD 7.250%	05/20/2011	04/12/2012	26.00	26.00	
32. WACHOVIA CAP TR IX 6.3	04/12/2012	05/03/2012	812.00	814.00	-2.00
105. WAL MART STORES INC	06/22/2011	12/06/2011	6,147.00	5,568.00	579.00
50. WAL MART STORES INC	10/04/2011	01/05/2012	2,967.00	2,612.00	355.00
115. WAL-MART DE MEXICO SA	05/20/2011	12/05/2011	3,164.00	3,447.00	-283.00
37. WAL-MART DE MEXICO SA-	05/20/2011	03/20/2012	1,221.00	1,109.00	112.00
42. WARNACO GROUP INC/THE	10/18/2011	12/05/2011	2,234.00	1,973.00	261.00
26. WATERS CORP	05/20/2011	12/13/2011	1,914.00	2,576.00	-662.00
66. WATERS CORP	07/26/2011	01/10/2012	4,905.00	6,416.00	-1,511.00
2. WEINGARTEN RLTY INVS PF 6.50%	04/12/2012	06/04/2012	51.00	50.00	1.00
67. WELLS FARGO CAP VII PF	05/20/2011	04/12/2012	1,680.00	1,680.00	
29. WELLS FARGO CAP IX	05/20/2011	03/26/2012	730.00	726.00	4.00
1. WELLS FARGO CAPITAL XI	04/12/2012	06/15/2012	25.00	26.00	-1.00
70. WESTERN UN CO	05/20/2011	12/16/2011	1,244.00	1,450.00	-206.00
242. WESTERN UN CO	02/09/2012	05/29/2012	4,075.00	4,064.00	11.00
19. WHITING PETE CORP NEW	11/29/2011	12/05/2011	931.00	850.00	81.00
14. XCEL ENERGY INC JR SUB	05/20/2011	12/08/2011	382.00	386.00	-4.00
17. XCEL ENERGY INC JR SUB	05/20/2011	02/24/2012	459.00	469.00	-10.00
192. YAHOO INC	05/20/2011	12/05/2011	3,049.00	3,137.00	-88.00
26. YAHOO INC	05/20/2011	12/14/2011	391.00	425.00	-34.00
48. YAHOO INC	05/20/2011	12/19/2011	715.00	784.00	-69.00
12. YAHOO INC	05/20/2011	12/22/2011	193.00	196.00	-3.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
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2011

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 72. YAHOO INC	07/09/2012	08/13/2012	1,082.00	1,136.00	-54.00
17. YUM BRANDS INC	05/20/2011	02/02/2012	1,084.00	959.00	125.00
35. YUM BRANDS INC	03/20/2012	11/27/2012	2,590.00	2,446.00	144.00
129. ZIONS BANCORP	11/16/2011	12/05/2011	2,147.00	2,498.00	-351.00
35. DEUTSCHE BANK AG NAMEN	10/26/2012	11/27/2012	1,524.00	1,526.00	-2.00 *
26. SANTANDER FIN PFD PRF CUM	05/20/2011	03/21/2012	703.00	761.00	-58.00
62. SANTANDER FIN PFD PRF CUM	05/20/2011	04/12/2012	1,643.00	1,814.00	-171.00
28. SANTANDER FIN PFD PRF CUM	05/20/2011	05/10/2012	732.00	819.00	-87.00
81. ARCH CAP GROUP LTD PFD	05/20/2011	05/02/2012	2,025.00	2,070.00	-45.00
38. ARCH CAPITAL GRP 7.875	05/20/2011	05/02/2012	950.00	966.00	-16.00
162. ARCH CAP GROUP LTD PF	03/27/2012	06/01/2012	4,181.00	4,053.00	128.00
23. ACCENTURE PLC	07/24/2012	11/27/2012	1,549.00	1,314.00	235.00
12. COOPER INDS PLC COM	05/20/2011	04/03/2012	765.00	748.00	17.00
36. COVIDIEN PLC	07/30/2012	11/08/2012	1,942.00	2,008.00	-66.00
41. INGERSOLL-RAND COMPANY	12/14/2011	02/17/2012	1,672.00	1,244.00	428.00
207. INGERSOLL-RAND COMPAN	12/14/2011	05/21/2012	8,572.00	6,246.00	2,326.00
139. NABORS INDUSTRIES LTD	05/20/2011	12/05/2011	2,513.00	3,739.00	-1,226.00
116. NABORS INDUSTRIES LTD	06/15/2011	12/05/2011	2,095.00	3,007.00	-912.00
98. PARTNERRE LTD	01/24/2012	05/29/2012	7,036.00	6,195.00	841.00
3. PARTNERRE LTD	04/12/2012	09/12/2012	76.00	75.00	1.00
24. PRUDENTIAL PLC 6.5% PF	05/20/2011	04/12/2012	609.00	612.00	-3.00
128. SEAGATE TECHNOLOGY PL	06/15/2011	12/05/2011	2,117.00	2,081.00	36.00
40. WILLIS GROUP HLDGS ORD N	02/17/2012	05/29/2012	1,419.00	1,363.00	56.00
10. ACE LTD	05/20/2011	04/25/2012	754.00	688.00	66.00

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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2011

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 129. WEATHERFORD INT LTD C	09/01/2011	12/05/2011	2,003.00	2,236.00	-233.00
38. WEATHERFORD INT LTD CO	01/19/2012	06/22/2012	458.00	649.00	-191.00
17. WEATHERFORD INT LTD CO	01/19/2012	07/02/2012	213.00	276.00	-63.00
39. WEATHERFORD INT LTD CO	09/02/2011	07/05/2012	496.00	630.00	-134.00
24. WEATHERFORD INT LTD CO	09/06/2011	07/18/2012	306.00	376.00	-70.00
25. WEATHERFORD INT LTD CO	09/28/2011	07/25/2012	293.00	360.00	-67.00
.9138 PENTAIR LTD REGISTER	03/23/2012	10/10/2012	40.00	38.00	2.00
152. TE CONNECTIVITY LTD	05/20/2011	12/05/2011	4,843.00	5,648.00	-805.00
6. TE CONNECTIVITY LTD	05/20/2011	12/27/2011	187.00	223.00	-36.00
101. TYCO INTERNATIONAL CO	05/20/2011	12/05/2011	4,737.00	4,980.00	-243.00
99. TYCO INTERNATIONAL COM	06/30/2011	02/13/2012	4,914.00	4,770.00	144.00
25. CHECK POINT SOFTWARE T 00	11/10/2011	12/05/2011	1,394.00	1,436.00	-42.00 *
21. CHECK POINT SOFTWARE T 00	04/24/2012	11/27/2012	956.00	1,250.00	-294.00
60. ASML HOLDING NV-UY REG	05/20/2011	05/07/2012	2,951.00	2,380.00	571.00
14.95 ASML HOLDING NV-UY R	10/19/2012	11/29/2012	771.00	654.00	117.00
14.95 ASML HOLDING NV-UY R	11/01/2012	11/29/2012	771.00	693.00	78.00
29.67 ASML HOLDING NV-UY R	06/13/2012	11/29/2012	1,530.00	1,115.00	415.00
15. ASML HOLDING N V	10/12/2012	11/27/2012	1,173.00	1,041.00	132.00
50.05 ASML HOLDING N V	11/01/2012	11/28/2012	3,862.00	3,648.00	214.00
29. ASML HOLDING N V	06/13/2012	11/28/2012	2,249.00	1,914.00	335.00
77. YANDEX N.V. COM USD0.0	06/02/2011	12/05/2011	1,655.00	2,620.00	-965.00
149. FLEXTRONICS INTL LTD	05/20/2011	04/25/2012	994.00	1,019.00	-25.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . -20,815.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

JSA 1F1221 2 000

* INDICATES WASH SALE

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number
06-1565971

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21. ACCO BRANDS CORP	05/20/2011	05/29/2012	201.00	234.00	-33.00
2.5 THE ADT CORPORATION	05/20/2011	10/04/2012	97.00	79.00	18.00
7. THE ADT CORPORATION	05/20/2011	10/23/2012	266.00	223.00	43.00
400000. AIG-FP PRIV FUND L 2/24/12	02/23/2007	02/24/2012	493,060.00	400,000.00	93,060.00
19. AARONS INC	05/20/2011	11/27/2012	546.00	523.00	23.00
150. ABBOTT LABS	05/20/2011	05/29/2012	9,292.00	8,052.00	1,240.00
19. ACUITY BRANDS INC	05/20/2011	11/27/2012	1,227.00	1,137.00	90.00
58. ADIDAS AG ADR	05/20/2011	11/27/2012	2,486.00	2,145.00	341.00
73. AETNA US HEALTHCARE -	05/20/2011	05/29/2012	3,040.00	3,307.00	-267.00
17. AFFILIATED MANAGERS GR	05/20/2011	09/19/2012	2,145.00	1,790.00	355.00
15. AFFILIATED MANAGERS GR	05/20/2011	11/27/2012	1,920.00	1,580.00	340.00
37. ALCOA INC	05/20/2011	05/22/2012	315.00	603.00	-288.00
26. ALCOA INC	05/20/2011	05/29/2012	228.00	424.00	-196.00
69. ALCOA INC	05/20/2011	06/01/2012	580.00	1,125.00	-545.00
51. ALCOA INC	06/03/2011	06/08/2012	432.00	820.00	-388.00
40. ALEXION PHARMACEUTICAL	05/20/2011	07/13/2012	3,874.00	1,917.00	1,957.00
70. ALEXION PHARMACEUTICAL	05/20/2011	11/28/2012	6,685.00	3,355.00	3,330.00
67. ALLERGAN INC	05/20/2011	07/31/2012	5,634.00	5,604.00	30.00
20. ALLERGAN INC	05/20/2011	11/28/2012	1,831.00	1,672.00	159.00
977.849 ALLIANZ GL INV FXD	05/20/2011	08/30/2012	13,367.00	13,015.00	352.00
1532. ALLIANZ GL INV FXD I	05/20/2011	11/28/2012	21,050.00	20,391.00	659.00
2664.631 ALLIANZ GL INV FX	05/20/2011	11/28/2012	30,004.00	28,325.00	1,679.00
65. AMAZON COM INC	05/20/2011	11/28/2012	15,972.00	12,933.00	3,039.00
30. AMETEK INC NEW	05/20/2011	06/19/2012	1,577.00	1,285.00	292.00
29. AMETEK INC NEW	05/20/2011	11/27/2012	1,067.00	828.00	239.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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31-C7B007575005 THE RICHARD AND SUSAN	06-1565971

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 25. ANSYS INC COM	05/20/2011	09/19/2012	1,833.00	1,429.00	404.00
9. APPLE COMPUTER INC	05/20/2011	07/25/2012	5,204.00	3,039.00	2,165.00
3. APPLE COMPUTER INC	05/20/2011	10/08/2012	1,919.00	1,013.00	906.00
10. APPLE COMPUTER INC	05/20/2011	10/11/2012	6,292.00	3,377.00	2,915.00
31. APPLE COMPUTER INC	05/20/2011	11/28/2012	18,038.00	10,469.00	7,569.00
13. APPLE COMPUTER INC	05/20/2011	11/28/2012	7,579.00	4,390.00	3,189.00
365. ARCHER DANIELS MIDLAN	05/20/2011	05/29/2012	11,844.00	11,457.00	387.00
50. ARM HOLDINGS PLC-SPONS	05/20/2011	11/27/2012	1,822.00	1,406.00	416.00
85. ASSA ABLOY AB ADR	05/20/2011	09/07/2012	1,310.00	1,197.00	113.00
199. ASSA ABLOY AB ADR	05/20/2011	11/27/2012	3,552.00	2,802.00	750.00
23. ASSA ABLOY AB ADR	05/20/2011	11/28/2012	403.00	324.00	79.00
16. ASSA ABLOY AB ADR	05/20/2011	11/29/2012	283.00	225.00	58.00
34. BAC CAP TR VGTD CAP SE 11/3/34	05/20/2011	10/12/2012	862.00	805.00	57.00
31. BAC CAPITAL TRUST X 6.	05/20/2011	07/25/2012	775.00	747.00	28.00
70. BG GROUP PLC	05/20/2011	11/01/2012	1,241.00	1,584.00	-343.00
109. BG GROUP PLC	05/20/2011	11/27/2012	1,848.00	2,466.00	-618.00
39. BAC CAPITAL TR XII 6.8	05/20/2011	10/09/2012	992.00	975.00	17.00
150. BAXTER INTL INC	05/20/2011	05/29/2012	7,737.00	9,064.00	-1,327.00
1. BERKLEY W R CAP TR II O 6.75%	05/20/2011	10/09/2012	25.00	25.00	
32. BERKLEY W R CAP TR II 6.75%	05/20/2011	10/31/2012	805.00	808.00	-3.00
5. BIO RAD LABS INC	05/20/2011	11/27/2012	515.00	622.00	-107.00
1. BIOGEN IDEC INC	11/02/2011	11/28/2012	149.00	115.00	34.00
6. BIOGEN IDEC INC	11/02/2011	11/30/2012	902.00	687.00	215.00
17. BOEING CO	05/20/2011	11/28/2012	1,259.00	1,319.00	-60.00
14. BORG WARNER INC. 00	05/20/2011	11/28/2012	912.00	994.00	-82.00

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3. CBS CORP CL B NEW	05/20/2011	07/02/2012	98.00	81.00	17.00
7. CBS CORP CL B NEW	05/20/2011	09/06/2012	256.00	189.00	67.00
10. CBS CORP CL B NEW	05/20/2011	09/18/2012	369.00	270.00	99.00
13. CBS CORP CL B NEW	05/20/2011	09/24/2012	485.00	351.00	134.00
8. CBS CORP CL B NEW	05/20/2011	11/01/2012	265.00	216.00	49.00
5. CBS CORP CL B NEW	05/20/2011	11/07/2012	172.00	135.00	37.00
19. CBS CORP CL B NEW	05/20/2011	11/14/2012	645.00	514.00	131.00
7. CBS CORP CL B NEW	05/20/2011	11/19/2012	240.00	189.00	51.00
10. CBS CORP CL B NEW	05/20/2011	11/27/2012	350.00	270.00	80.00
6. CBS CORP CL B NEW	05/20/2011	11/28/2012	210.00	162.00	48.00
10. CBS CORP CL B NEW	05/20/2011	11/29/2012	355.00	270.00	85.00
10. CBS CORP CL B NEW	05/20/2011	11/30/2012	358.00	270.00	88.00
3.00017 CNOOC LTD SPND ADR	05/20/2011	11/27/2012	630.00	699.00	-69.00 *
11.99983 CNOOC LTD SPND AD	05/20/2011	11/27/2012	2,520.00	2,797.00	-277.00
152. CVS CORPORATION (DEL)	05/20/2011	05/29/2012	6,895.00	5,859.00	1,036.00
14. CANADIAN NATL RAILWAY	05/20/2011	06/06/2012	1,132.00	1,080.00	52.00
12. CANADIAN NATL RAILWAY	05/20/2011	09/07/2012	1,102.00	926.00	176.00
34. CANADIAN NATL RAILWAY	05/20/2011	11/27/2012	3,009.00	2,623.00	386.00
29. CANADIAN NAT RES LTD C	05/20/2011	07/24/2012	782.00	1,222.00	-440.00
70. CANADIAN NAT RES LTD C	05/20/2011	07/26/2012	1,913.00	2,951.00	-1,038.00
46. CANADIAN NAT RES LTD C	05/20/2011	07/27/2012	1,272.00	1,939.00	-667.00
54. CANON INC ADR REPSTG	05/20/2011	07/25/2012	1,832.00	2,440.00	-608.00
184. CANON INC ADR REPSTG	05/20/2011	07/27/2012	5,875.00	8,313.00	-2,438.00
41. CARLISLE COMPANIES INC	05/20/2011	11/27/2012	2,305.00	1,973.00	332.00
37. CARMAX INC	05/20/2011	11/27/2012	1,308.00	1,099.00	209.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 17.00305 CARNIVAL CORP PAI COM CARNI	05/20/2011	11/27/2012	651.00	677.00	-26.00 *
81.99695 CARNIVAL CORP PAI COM CARNI	05/20/2011	11/27/2012	3,141.00	3,263.00	-122.00
85. CHEVRONTEXACO CORP	05/20/2011	05/29/2012	8,481.00	8,793.00	-312.00
10. CHURCH & DWIGHT INC	05/20/2011	11/27/2012	539.00	414.00	125.00
70. CITRIX SYS INC	05/20/2011	11/12/2012	4,162.00	5,917.00	-1,755.00
63. COACH INC	05/20/2011	06/06/2012	4,026.00	3,750.00	276.00
80. COACH INC	10/10/2011	11/28/2012	4,777.00	4,764.00	13.00
7. COLUMBIA SPORTSWEAR CO	05/20/2011	11/27/2012	397.00	461.00	-64.00
181. COMCAST CORP PFD 6.62	05/20/2011	07/23/2012	4,524.00	4,689.00	-165.00
134. COMERICA INC	05/20/2011	09/04/2012	4,106.00	4,883.00	-777.00
131. HRPT PROPERTIES	05/20/2011	08/24/2012	3,275.00	3,292.00	-17.00
16. HRPT PROPERTIES	05/20/2011	10/12/2012	349.00	349.00	
41. COPART INC	05/20/2011	11/27/2012	1,227.00	951.00	276.00
567. CORNING INC	05/20/2011	05/29/2012	7,399.00	11,363.00	-3,964.00
84. CREDIT SUISSE GROUP SP	05/20/2011	11/27/2012	1,934.00	3,485.00	-1,551.00
32. CREDIT SUISSE 7.90%	05/20/2011	09/13/2012	830.00	878.00	-48.00
26. DANAHER CORP	05/20/2011	11/28/2012	1,372.00	1,427.00	-55.00
18. DASSAULT SYS S A SPD A	05/20/2011	11/27/2012	2,016.00	1,489.00	527.00
7. DELL INC	05/20/2011	07/30/2012	84.00	113.00	-29.00
51. DELL INC	05/20/2011	09/10/2012	542.00	820.00	-278.00
22. DELL INC	05/20/2011	10/04/2012	209.00	354.00	-145.00
22. DELL INC	05/20/2011	10/17/2012	215.00	354.00	-139.00
23. DENTSPLY INTL INC NEW	05/20/2011	11/27/2012	896.00	908.00	-12.00
73. E M C CORP MASS	05/20/2011	11/28/2012	1,813.00	2,052.00	-239.00
22. EOG RESOURCES INC	05/20/2011	06/18/2012	2,096.00	2,354.00	-258.00

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6a 3. EOG RESOURCES INC	05/20/2011	06/21/2012	271.00	321.00	-50.00
120. EMBRAER-EMPRESA BRASI	05/20/2011	11/27/2012	3,252.00	3,918.00	-666.00
31. EQUIFAX INC COM	05/20/2011	11/27/2012	1,580.00	1,152.00	428.00
21. EVEREST RE CAP TR II 6	05/20/2011	11/06/2012	529.00	524.00	5.00
36. EXPRESS SCRIPTS INC	05/20/2011	11/28/2012	1,891.00	2,167.00	-276.00 *
111. FLIR SYS INC	05/20/2011	08/08/2012	2,331.00	4,073.00	-1,742.00
100. FMC TECHNOLOGIES INC	05/20/2011	11/28/2012	4,099.00	4,243.00	-144.00
6. FAIR ISAAC & CO INC	05/20/2011	11/27/2012	254.00	173.00	81.00
105. FANUC CORPORATION	05/20/2011	11/27/2012	2,971.00	2,742.00	229.00
25000. FEDL NATL MTG ASSN 12/18/13	05/24/2011	08/03/2012	25,166.00	24,912.00	254.00
801.37 FNMA POOL #934231 1/01/39	10/11/2011	10/25/2012	801.00	861.00	-60.00
825.15 FNMA POOL #934231 1/01/39	10/11/2011	11/25/2012	825.00	886.00	-61.00
3626.44 FNMA POOL #972295 2/01/38	06/09/2011	06/25/2012	3,626.00	3,943.00	-317.00
3295.06 FNMA POOL #972295 2/01/38	06/09/2011	07/25/2012	3,295.00	3,583.00	-288.00
3832.84 FNMA POOL #972295 2/01/38	06/09/2011	08/25/2012	3,833.00	4,168.00	-335.00
2719.22 FNMA POOL #972295 2/01/38	06/09/2011	09/25/2012	2,719.00	2,957.00	-238.00
2808.51 FNMA POOL #972295 2/01/38	06/09/2011	10/25/2012	2,809.00	3,054.00	-245.00
2347.52 FNMA POOL #972295 2/01/38	06/09/2011	11/25/2012	2,348.00	2,553.00	-205.00
33106.08 FNMA POOL #972295 2/01/38	06/09/2011	11/28/2012	35,899.00	35,998.00	-99.00
2100.05 FNMA POOL #AA5224 3/01/39	06/09/2011	06/25/2012	2,100.00	2,190.00	-90.00
3632.07 FNMA POOL #AA5224 3/01/39	06/09/2011	07/25/2012	3,632.00	3,788.00	-156.00
3716.59 FNMA POOL #AA5224 3/01/39	06/09/2011	08/25/2012	3,717.00	3,876.00	-159.00
1927.57 FNMA POOL #AA5224 3/01/39	06/09/2011	09/25/2012	1,928.00	2,010.00	-82.00
1383.87 FNMA POOL #AA5224 3/01/39	06/09/2011	10/25/2012	1,384.00	1,443.00	-59.00
1646.18 FNMA POOL #AA5224 3/01/39	06/09/2011	11/25/2012	1,646.00	1,717.00	-71.00

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Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
6a 392.72 FNMA POOL #AE1557 8/01/40	06/09/2011	06/25/2012	393.00	420.00	-27.00	
28.41 FNMA POOL #AE1557 8/01/40	06/09/2011	07/25/2012	28.00	30.00	-2.00	
1090.1 FNMA POOL #AE1557 8/01/40	06/09/2011	08/25/2012	1,090.00	1,166.00	-76.00	
29.72 FNMA POOL #AE1557 8/01/40	06/09/2011	09/25/2012	30.00	32.00	-2.00	
26.92 FNMA POOL #AE1557 8/01/40	06/09/2011	10/25/2012	27.00	29.00	-2.00	
27.4 FNMA POOL #AE1557 8/01/40	06/09/2011	11/25/2012	27.00	29.00	-2.00	
24.99971 F5 NETWORKS INC 0	05/20/2011	11/28/2012	2,303.00	2,696.00	-393.00	*
30.00029 F5 NETWORKS INC 0	05/20/2011	11/28/2012	2,763.00	3,236.00	-473.00	
67. FIFTH THIRD CAP TR VI	05/20/2011	08/08/2012	1,675.00	1,694.00	-19.00	
270. FLSMIDTH & CO A S SPO	05/20/2011	11/27/2012	1,496.00	2,211.00	-715.00	
43. FOREST CITY ENTERPRISE	05/20/2011	11/27/2012	657.00	811.00	-154.00	
30.99983 FRESENIUS MED CAR SPD ADR	05/20/2011	11/27/2012	2,105.00	2,216.00	-111.00	
18.00017 FRESENIUS MED CAR SPD ADR	05/20/2011	11/27/2012	1,222.00	1,287.00	-65.00	*
8. GAP INC. DEL	05/20/2011	08/02/2012	264.00	154.00	110.00	
6. GAP INC. DEL	05/20/2011	09/18/2012	216.00	115.00	101.00	
8. GAP INC. DEL	05/20/2011	10/17/2012	294.00	154.00	140.00	
18. GENERAL ELEC CAP CORP	05/20/2011	07/12/2012	466.00	464.00	2.00	
16. GENERAL ELEC CAP CORP	05/20/2011	07/25/2012	411.00	412.00	-1.00	
23. GENERAL ELEC CAP CORP	05/20/2011	10/25/2012	583.00	593.00	-10.00	
16. GENERAL ELEC CAP CORP	05/20/2011	06/26/2012	409.00	412.00	-3.00	
16. GENERAL ELEC CAP CORP	05/20/2011	07/17/2012	414.00	412.00	2.00	
16. GENERAL ELEC CAP CORP	05/20/2011	08/20/2012	411.00	412.00	-1.00	
16. GENERAL ELEC CAP CORP	05/20/2011	10/05/2012	407.00	412.00	-5.00	
52. GENTEX CORP COM	05/20/2011	11/27/2012	899.00	1,560.00	-661.00	
17. GOOGLE INC CL A	10/10/2011	11/28/2012	11,576.00	9,005.00	2,571.00	

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6a 4. GOOGLE INC CL A	05/20/2011	11/28/2012	2,733.00	2,114.00	619.00
60. GRAINGER W W INC	05/20/2011	11/13/2012	11,514.00	9,061.00	2,453.00
36. HCC INS HLDGS INC	05/20/2011	10/17/2012	1,282.00	1,176.00	106.00
48. HCC INS HLDGS INC	05/20/2011	11/27/2012	1,753.00	1,567.00	186.00
30. HSBC HLDGS PLC PFD 6.2	05/20/2011	10/31/2012	762.00	739.00	23.00
34. HSBC HOLDINGS PLC 8.12	05/20/2011	11/16/2012	872.00	931.00	-59.00
15. HSBC HLDGS PERP SUB CA PR	05/20/2011	06/01/2012	402.00	420.00	-18.00
290. HALLIBURTON CO	05/20/2011	05/29/2012	9,199.00	13,783.00	-4,584.00
101. HANG LUNG PPTYS LTD	05/20/2011	11/27/2012	1,812.00	2,092.00	-280.00
519. HENNES & MAURITZ AB A	05/20/2011	11/27/2012	3,306.00	3,867.00	-561.00
42. HENRY JACK & ASSOC INC	05/20/2011	11/27/2012	1,627.00	1,297.00	330.00
6. HOME DEPOT INC	05/20/2011	11/05/2012	374.00	222.00	152.00
7. HOME DEPOT INC	05/20/2011	11/13/2012	445.00	259.00	186.00
181. HONEYWELL INTERNATION	05/20/2011	05/29/2012	10,304.00	10,717.00	-413.00
3. HONEYWELL INTERNATIONAL	05/20/2011	06/22/2012	167.00	177.00	-10.00
122. HONG KONG EXCHANGES &	05/20/2011	11/27/2012	1,967.00	2,710.00	-743.00
151. HOSPIRA INC	05/20/2011	05/29/2012	4,901.00	8,341.00	-3,440.00
30. HUNT J B TRANS SVCS IN	05/20/2011	06/19/2012	1,814.00	1,386.00	428.00
7. HUNT J B TRANS SVCS INC	05/20/2011	11/27/2012	421.00	323.00	98.00
25. IDEX CORP	05/20/2011	11/27/2012	1,101.00	1,116.00	-15.00
32. ILLUMINA INC	05/20/2011	08/23/2012	1,339.00	2,332.00	-993.00
32. ILLUMINA INC	07/28/2011	11/28/2012	1,634.00	2,245.00	-611.00
205. INDUSTRIAL & COML BK	05/20/2011	11/27/2012	2,747.00	3,368.00	-621.00
44. INTEL CORP	05/20/2011	07/12/2012	1,089.00	1,026.00	63.00
150. INTEL CORP	05/20/2011	07/12/2012	3,706.00	3,499.00	207.00

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31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number

06-1565971

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
6a	3. INTEL CORP	05/20/2011	11/19/2012	61.00	70.00	-9.00	
	40. INTERCONTINENTALEXCHAN	05/20/2011	11/28/2012	5,233.00	4,867.00	366.00	
	9. INTUITIVE SURGICAL INC	05/20/2011	11/28/2012	4,768.00	3,147.00	1,621.00	
	37.00011 ITAU UNIBANCO HOL	05/20/2011	11/27/2012	548.00	803.00	-255.00	*
	162.99989 ITAU UNIBANCO HO	05/20/2011	11/27/2012	2,416.00	3,539.00	-1,123.00	
	41. JP MORGAN CHASE CAP XI	05/20/2011	07/02/2012	1,036.00	1,033.00	3.00	
	29. JP MORGAN CHASE CAP XI	05/20/2011	09/05/2012	739.00	731.00	8.00	
	26. JP MORGAN CHASE CAP XI	05/20/2011	09/12/2012	654.00	655.00	-1.00	
	37. JACOBS ENGR GROUP INC	05/20/2011	11/27/2012	1,512.00	1,727.00	-215.00	
	22. JPM CHASE CAPITAL XXIX	05/20/2011	06/22/2012	574.00	573.00	1.00	
	31. JPM CHASE CAPITAL XXIX	05/20/2011	07/02/2012	797.00	807.00	-10.00	
	28. JPM CHASE CAPITAL XXIX	05/20/2011	09/13/2012	741.00	729.00	12.00	
	33. JPM CHASE CAPITAL XXIX	05/20/2011	10/05/2012	877.00	859.00	18.00	
	228. KBR INC	05/20/2011	05/29/2012	6,069.00	8,197.00	-2,128.00	
	102. KDDI CORP ADR	05/20/2011	11/27/2012	1,896.00	1,838.00	58.00	
	6. KIMBERLY-CLARK CORP COM	05/20/2011	05/29/2012	479.00	409.00	70.00	
	7. KIMBERLY-CLARK CORP COM	05/20/2011	06/14/2012	575.00	478.00	97.00	
	5. KIMBERLY-CLARK CORP COM	05/20/2011	06/19/2012	416.00	341.00	75.00	
	5. KIMBERLY-CLARK CORP COM	05/20/2011	06/25/2012	405.00	341.00	64.00	
	4. KIMBERLY-CLARK CORP COM	05/20/2011	06/26/2012	327.00	273.00	54.00	
	1. KIMBERLY-CLARK CORP COM	05/20/2011	07/05/2012	84.00	68.00	16.00	
	3. KIMBERLY-CLARK CORP COM	05/20/2011	07/12/2012	253.00	205.00	48.00	
	6. KIMBERLY-CLARK CORP COM	05/20/2011	08/01/2012	523.00	409.00	114.00	
	7. KIMBERLY-CLARK CORP COM	05/20/2011	09/24/2012	596.00	478.00	118.00	
	3. KIMBERLY-CLARK CORP COM	05/20/2011	09/25/2012	257.00	205.00	52.00	

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	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	3. KIMBERLY-CLARK CORP COM	05/20/2011	10/09/2012	259.00	205.00	54.00
	5. KIMBERLY-CLARK CORP COM	05/20/2011	10/25/2012	413.00	341.00	72.00
	5. KIMBERLY-CLARK CORP COM	05/20/2011	10/31/2012	415.00	341.00	74.00
	144. KIMCO RLTY CORP D/S R	05/20/2011	09/27/2012	3,663.00	3,798.00	-135.00
	21. KIMCO REALTY CORP	05/20/2011	08/15/2012	525.00	525.00	
	430. KINGFISHER PLC	05/20/2011	11/27/2012	3,758.00	3,909.00	-151.00
	11. KIRBY CORP	05/20/2011	11/27/2012	623.00	592.00	31.00
	142. KOMATSU LTD SPNS ADR	05/20/2011	11/27/2012	3,152.00	4,384.00	-1,232.00
	5. KRAFT FOODS INC-A	05/20/2011	05/31/2012	192.00	176.00	16.00
	101. LKQ CORP	05/20/2011	11/26/2012	2,182.00	1,325.00	857.00
	78. LKQ CORP	05/20/2011	11/27/2012	1,693.00	1,023.00	670.00
	576. LI & FUNG LTD	09/16/2011	11/27/2012	1,797.00	2,143.00	-346.00
	49. LVMH MOET HENNESSY LOU	05/20/2011	09/04/2012	1,591.00	1,661.00	-70.00
	127. LVMH MOET HENNESSY LO	05/20/2011	11/27/2012	4,279.00	4,304.00	-25.00
	95. LAS VEGAS SANDS CORP	06/08/2011	09/25/2012	4,470.00	3,805.00	665.00
	140. LAS VEGAS SANDS CORP	05/20/2011	11/28/2012	6,559.00	5,870.00	689.00
	8. LENNAR CORP	05/20/2011	06/27/2012	228.00	144.00	84.00
	6. LENNAR CORP	05/20/2011	09/17/2012	215.00	108.00	107.00
	4. LENNAR CORP	05/20/2011	10/19/2012	154.00	72.00	82.00
	6. LENNAR CORP	05/20/2011	10/31/2012	222.00	108.00	114.00
	8. LENNAR CORP	05/20/2011	11/13/2012	292.00	144.00	148.00
	7. MARKEL CORP	05/20/2011	11/27/2012	3,427.00	2,921.00	506.00
	19. MARKEL CORP PFD SER DE	05/20/2011	06/05/2012	481.00	492.00	-11.00
	95. MARKEL CORP PFD SER DE	05/20/2011	08/01/2012	2,375.00	2,460.00	-85.00
	43. MEAD JOHNSON NUTRITION	05/20/2011	07/11/2012	3,310.00	2,899.00	411.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
6a	40. MEAD JOHNSON NUTRITION	05/20/2011	07/16/2012	2,915.00	2,697.00	218.00	
	315. MEDTRONIC INC	05/20/2011	05/29/2012	11,674.00	13,311.00	-1,637.00	
	21. MERCADOLIBRE INC	09/22/2011	11/27/2012	1,559.00	1,391.00	168.00	
	300. METLIFE INC	05/20/2011	05/29/2012	9,144.00	13,319.00	-4,175.00	
	19. METLIFE INC 6.50% PFD	05/20/2011	06/01/2012	480.00	484.00	-4.00	
	23. METLIFE INC 6.50% PFD	05/20/2011	06/27/2012	586.00	585.00	1.00	
	22. METLIFE INC 6.50% PFD	05/20/2011	07/25/2012	567.00	560.00	7.00	
	4. METTLER-TOLEDO INTL INC	05/20/2011	11/27/2012	743.00	676.00	67.00	
	454. MITSUBISHI UFJ FINL G	05/20/2011	11/27/2012	2,070.00	2,070.00		
	26. MONSANTO CO NEW	10/14/2011	11/28/2012	2,375.00	1,925.00	450.00	
	6. MORNINGSTAR INC	05/20/2011	11/27/2012	378.00	358.00	20.00	
	70. NATIONAL-OILWELL INC	05/20/2011	11/28/2012	4,873.00	4,760.00	113.00	
	50. NESTLE S A SPONSORED A REG SH	05/20/2011	11/27/2012	3,221.00	3,119.00	102.00	
	37. NETFLIX COM INC	05/20/2011	09/05/2012	2,040.00	9,128.00	-7,088.00	
	18. NEXTERA ENERGY CAPITAL	05/20/2011	07/25/2012	519.00	521.00	-2.00	
	60. NIKE INC CL B	05/20/2011	11/28/2012	5,925.00	5,118.00	807.00	
	109. NORFOLK SOUTHERN CORP	05/20/2011	05/29/2012	7,261.00	7,887.00	-626.00	
	9.99847 NOVARTIS AG-ADR	05/20/2011	11/27/2012	605.00	616.00	-11.00	*
	50.00153 NOVARTIS AG-ADR	05/20/2011	11/27/2012	3,027.00	3,081.00	-54.00	
	5. NOVO NORDISK A S ADR	05/20/2011	07/13/2012	725.00	623.00	102.00	
	7. NOVO NORDISK A S ADR	05/20/2011	07/13/2012	1,017.00	872.00	145.00	
	8. NOVO NORDISK A S ADR	05/20/2011	09/19/2012	1,247.00	997.00	250.00	
	25. NOVO NORDISK A S ADR	05/20/2011	11/27/2012	3,982.00	3,115.00	867.00	
	2. OCEANEERING INTL INC	05/20/2011	11/27/2012	106.00	82.00	24.00	
	620. ON SEMICONDUCTOR CORP	05/20/2011	05/29/2012	4,185.00	7,037.00	-2,852.00	

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6a 87. ORACLE CORP	05/20/2011	06/21/2012	2,430.00	2,996.00	-566.00
159. ORACLE CORP	09/22/2011	10/18/2012	4,949.00	5,381.00	-432.00
38. PNC CAP TR E GTD TR PF 7.750%	05/20/2011	06/05/2012	994.00	998.00	-4.00
30. PPL CAPITAL FUNDING IN	05/20/2011	08/14/2012	750.00	788.00	-38.00
22. PS BUSINESS PKS INC CA	05/20/2011	10/09/2012	550.00	553.00	-3.00
17. PS BUSINESS PKS INC CA	05/20/2011	06/01/2012	431.00	429.00	2.00
16. PS BUSINESS PKS INC CA	05/20/2011	06/15/2012	400.00	404.00	-4.00
9. PALL CORP	08/19/2011	11/27/2012	535.00	399.00	136.00
29. PEPSICO INC	06/22/2011	10/12/2012	2,040.00	2,012.00	28.00
36. PEPSICO INC	09/23/2011	10/16/2012	2,532.00	2,349.00	183.00
63. POTASH CORP SASK INC C	05/20/2011	11/27/2012	2,425.00	3,306.00	-881.00
50. PRAXAIR INC	05/20/2011	11/28/2012	5,314.00	5,237.00	77.00
7. PRECISION CASTPARTS COR	05/20/2011	11/28/2012	1,268.00	1,080.00	188.00
10. PRICELINE COM INC	08/29/2011	11/28/2012	6,550.00	5,240.00	1,310.00
18. PROCTOR & GAMBLE CO	05/20/2011	06/20/2012	1,083.00	1,216.00	-133.00
31. PROCTOR & GAMBLE CO	05/20/2011	11/28/2012	2,152.00	2,093.00	59.00
12. PROTECTIVE LIFE CORP 7	05/20/2011	06/14/2012	300.00	303.00	-3.00
5. PROTECTIVE LIFE CORP 7.	05/20/2011	07/03/2012	126.00	126.00	
16. PROTECTIVE LIFE CORP 7	05/20/2011	07/20/2012	404.00	403.00	1.00
23. PRUDENTIAL FINANCIAL I	05/20/2011	10/09/2012	610.00	652.00	-42.00
17. PUBLIC STORAGE INC D/S PF	05/20/2011	06/05/2012	433.00	430.00	3.00
17. PUBLIC STORAGE INC D/S PF	05/20/2011	07/12/2012	425.00	430.00	-5.00
195. PUBLICIS S A NEW SPND	05/20/2011	11/27/2012	2,708.00	2,717.00	-9.00
50. QUALCOMM INC	05/20/2011	10/16/2012	3,003.00	2,882.00	121.00
40. QUALCOMM INC	05/20/2011	11/01/2012	2,390.00	2,306.00	84.00

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6a 110. QUALCOMM INC	05/20/2011	11/28/2012	6,869.00	6,341.00	528.00
136. RECKITT BENCKISER GRO	05/20/2011	09/19/2012	1,602.00	1,530.00	72.00
99. RECKITT BENCKISER GROU	05/20/2011	10/24/2012	1,207.00	1,114.00	93.00
320. RECKITT BENCKISER GRO	05/20/2011	11/27/2012	4,016.00	3,600.00	416.00
50. RECKITT BENCKISER GROU	05/20/2011	11/28/2012	622.00	563.00	59.00
16. REGENCY CTRS CORP PFD	05/20/2011	09/13/2012	400.00	392.00	8.00
15. REGENERON PHARMACEUTIC	09/13/2011	11/01/2012	2,199.00	975.00	1,224.00
25. REGENERON PHARMACEUTIC	09/13/2011	11/28/2012	4,360.00	1,533.00	2,827.00
160. ROYAL DUTCH SHELL PLC	05/25/2011	05/29/2012	10,033.00	11,132.00	-1,099.00
72. SEI CORP	05/20/2011	11/27/2012	1,606.00	1,671.00	-65.00
40. SABMILLER PLC SPD ADR	05/20/2011	11/27/2012	1,818.00	1,496.00	322.00
90. SALESFORCE COM INC	05/20/2011	11/28/2012	14,109.00	13,266.00	843.00
20. SALLY BEAUTY HLDGS INC	07/25/2011	11/27/2012	495.00	360.00	135.00
23. SAP AG-SPONSORED ADR	05/20/2011	07/12/2012	1,334.00	1,427.00	-93.00
16. SAP AG-SPONSORED ADR	05/20/2011	09/19/2012	1,162.00	993.00	169.00
18. SAP AG-SPONSORED ADR	05/20/2011	10/24/2012	1,285.00	1,117.00	168.00
48. SAP AG-SPONSORED ADR	05/20/2011	11/27/2012	3,695.00	2,978.00	717.00
199. SBERBANK RUSSIA	09/12/2011	11/27/2012	2,280.00	2,115.00	165.00
20. SCHEIN HENRY INC	05/20/2011	11/27/2012	1,607.00	1,447.00	160.00
10.00026 SCHLUMBERGER LTD	05/20/2011	11/27/2012	699.00	839.00	-140.00 *
44.99974 SCHLUMBERGER LTD	05/20/2011	11/27/2012	3,146.00	3,775.00	-629.00
32. SCHLUMBERGER LTD	05/20/2011	11/28/2012	2,262.00	2,692.00	-430.00
90. SCHLUMBERGER LTD	05/20/2011	11/28/2012	6,331.00	7,566.00	-1,235.00
4. SIEMENS AG-ADR	05/20/2011	08/31/2012	378.00	523.00	-145.00
8. SIEMENS AG-ADR	05/20/2011	09/04/2012	752.00	1,046.00	-294.00

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6a 11. SIEMENS AG-ADR	05/20/2011	09/19/2012	1,133.00	1,438.00	-305.00
13. SIEMENS AG-ADR	05/20/2011	10/11/2012	1,291.00	1,700.00	-409.00
23. SIEMENS AG-ADR	05/20/2011	11/27/2012	2,336.00	3,007.00	-671.00
14. SOLERA HLDGS INC	07/28/2011	11/27/2012	693.00	804.00	-111.00
90. SOUTHWESTERN ENERGY CO	05/20/2011	11/28/2012	3,160.00	3,834.00	-674.00
30. STARBUCKS CORP	05/20/2011	06/29/2012	1,588.00	1,104.00	484.00
100. STARBUCKS CORP	05/20/2011	11/28/2012	5,118.00	3,679.00	1,439.00
34. STARBUCKS CORP	05/20/2011	11/28/2012	1,745.00	1,251.00	494.00
8. STARWOOD HOTELS & RESOR	05/20/2011	06/26/2012	402.00	475.00	-73.00
62. STARWOOD HOTELS & RESO WORLDWIDE	08/23/2011	10/23/2012	3,380.00	2,888.00	492.00
47. SWATCH GROUP AG	10/18/2011	11/27/2012	1,107.00	903.00	204.00
20. SYMANTEC CORP	05/20/2011	05/29/2012	304.00	389.00	-85.00
16. SYMANTEC CORP	05/20/2011	06/06/2012	235.00	311.00	-76.00
27. SYMANTEC CORP	05/20/2011	06/22/2012	393.00	525.00	-132.00
81. SYMANTEC CORP	05/20/2011	07/25/2012	1,221.00	1,575.00	-354.00
200. TARGET CORP	05/20/2011	05/29/2012	11,556.00	9,993.00	1,563.00
106. TENCENT HLDGS LTD	11/22/2011	11/27/2012	3,430.00	3,004.00	426.00
109. TENET HEALTHCARE CORP	05/20/2011	05/24/2012	511.00	684.00	-173.00
5. TEREX CORP NEW	05/20/2011	09/13/2012	120.00	147.00	-27.00
66. TESCO PLC	05/20/2011	06/29/2012	963.00	1,358.00	-395.00
27. TESCO PLC	05/20/2011	06/29/2012	395.00	556.00	-161.00
94. TESCO PLC	05/20/2011	10/08/2012	1,430.00	1,935.00	-505.00
93. TESCO PLC	05/20/2011	10/09/2012	1,399.00	1,914.00	-515.00
159. TESCO PLC	05/20/2011	10/10/2012	2,399.00	3,272.00	-873.00
125. TESCO PLC	05/20/2011	10/11/2012	1,878.00	2,573.00	-695.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 20. TEVA PHARMACEUTICAL IN	05/20/2011	05/24/2012	774.00	1,000.00	-226.00
180. TEVA PHARMACEUTICAL I	05/20/2011	05/29/2012	6,932.00	8,989.00	-2,057.00
68.99974 TEVA PHARMACEUTIC ADR	05/20/2011	11/27/2012	2,799.00	3,450.00	-651.00
15.00026 TEVA PHARMACEUTIC ADR	05/20/2011	11/27/2012	608.00	750.00	-142.00 *
44. TOYOTA MTR CORP 00	05/20/2011	11/27/2012	3,759.00	3,521.00	238.00
7. THE TRAVELERS COMPANIES	05/20/2011	08/23/2012	454.00	436.00	18.00
396. TURKIYE GARANTI BANKA	05/20/2011	11/27/2012	1,865.00	1,738.00	127.00
93. TURKIYE GARANTI BANKAS	05/20/2011	11/28/2012	424.00	408.00	16.00
288. TYSON FOODS INC CL A	08/10/2011	08/28/2012	4,464.00	5,211.00	-747.00
35. UMPQUA HLDGS CORP	05/20/2011	11/27/2012	414.00	405.00	9.00
225. UNILEVER N V NY SHS N	05/20/2011	05/29/2012	7,153.00	7,244.00	-91.00
81. UNION PAC CORP	05/20/2011	05/29/2012	9,056.00	8,277.00	779.00
26000. U.S. T NTS INFL IN 7/15/12	05/24/2011	07/15/2012	26,000.00	34,560.00	-8,560.00
30000. U S TREAS NTS 8/15/21	09/13/2011	09/17/2012	31,222.00	30,375.00	847.00
16. UNITEDHEALTH GROUP INC	05/20/2011	11/28/2012	845.00	807.00	38.00
8. V F CORP	05/20/2011	11/28/2012	1,297.00	782.00	515.00
13. VARIAN MEDICAL SYSTEMS	05/20/2011	11/27/2012	898.00	896.00	2.00
11. VERISK ANALYTICS I CL	05/20/2011	11/27/2012	532.00	375.00	157.00
30. VISA INC-CLASS A SHARE	05/20/2011	06/29/2012	3,693.00	2,364.00	1,329.00
20. VISA INC-CLASS A SHARE	05/20/2011	11/01/2012	2,873.00	1,576.00	1,297.00
105. VISA INC-CLASS A SHAR	05/20/2011	11/28/2012	15,425.00	8,273.00	7,152.00
413. VODAFONE GROUP SPD AD SH	05/20/2011	05/29/2012	11,147.00	11,778.00	-631.00
4. VODAFONE GROUP SPD ADR SH	11/23/2011	11/27/2012	100.00	105.00	-5.00
23. VORNADO REALTY LP PFD	05/20/2011	07/26/2012	644.00	629.00	15.00
31. WACHOVIA PFD 7.250%	05/20/2011	06/18/2012	834.00	820.00	14.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: 31-C7B007575005 THE RICHARD AND SUSAN

Employer identification number: 06-1565971

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 32. WACHOVIA PFD 7.250%	05/20/2011	06/29/2012	865.00	846.00	19.00
28. WACHOVIA PFD 7.250%	05/20/2011	07/20/2012	761.00	741.00	20.00
31. WACHOVIA PFD 7.250%	05/20/2011	08/23/2012	842.00	820.00	22.00
74. WAL-MART DE MEXICO SA-	05/20/2011	11/27/2012	2,277.00	2,218.00	59.00
42. WEINGARTEN RLTY INVS P 6.50%	05/20/2011	06/04/2012	1,063.00	1,045.00	18.00
26. WEINGARTEN RLTY INVS P 6.50%	05/20/2011	08/20/2012	662.00	647.00	15.00
36. WEINGARTEN RLTY INVS P 6.50%	05/20/2011	10/12/2012	911.00	896.00	15.00
18. WEINGARTEN RLTY INVS P 6.50%	05/20/2011	11/01/2012	457.00	448.00	9.00
16. WEINGARTEN RLTY INVS P 6.50%	05/20/2011	11/14/2012	403.00	398.00	5.00
38. WELLS FARGO CAP IX	05/20/2011	06/07/2012	964.00	952.00	12.00
37. WELLS FARGO CAPITAL XI	05/20/2011	06/01/2012	939.00	947.00	-8.00
125. WELLS FARGO CAPITAL X	05/20/2011	06/15/2012	3,125.00	3,199.00	-74.00
471. WESTERN UN CO	05/20/2011	05/29/2012	7,931.00	9,758.00	-1,827.00
20. WEX INC	08/02/2011	11/27/2012	1,409.00	969.00	440.00
100. YAHOO INC	05/20/2011	08/13/2012	1,503.00	1,634.00	-131.00
37. YUM BRANDS INC	05/20/2011	07/03/2012	2,341.00	2,086.00	255.00
35. YUM BRANDS INC	05/20/2011	07/12/2012	2,206.00	1,974.00	232.00
1. CAPITAL GAINS FRON OTHE	01/01/2010	11/30/2012		5,104.00	-5,104.00
32. SANTANDER FIN PFD PRF CUM	05/20/2011	07/10/2012	841.00	936.00	-95.00
25. SANTANDER FIN PFD PRF CUM	05/20/2011	10/05/2012	681.00	731.00	-50.00
24. SANTANDER FIN PFD PRF CUM	05/20/2011	10/31/2012	655.00	702.00	-47.00
133. COOPER INDS PLC COM	05/20/2011	05/22/2012	9,356.00	8,289.00	1,067.00
92. COVIDIEN PLC	05/20/2011	11/08/2012	4,963.00	5,240.00	-277.00
2. ENSCO PLC	05/20/2011	11/01/2012	119.00	112.00	7.00
4. PARTNERRE LTD	05/20/2011	09/12/2012	102.00	97.00	5.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
. 31-C7B007575005 THE RICHARD AND SUSAN	06-1565971

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21. PARTNERRE LTD	05/20/2011	09/13/2012	533.00	511.00	22.00
39. PRUDENTIAL PLC 6.5% PF	05/20/2011	08/16/2012	993.00	994.00	-1.00
208. WILLIS GROUP HLDGS OR USD0.000115 N	05/20/2011	05/29/2012	7,380.00	8,295.00	-915.00
112. ACE LTD	05/20/2011	05/29/2012	8,179.00	7,706.00	473.00
7. TE CONNECTIVITY LTD	05/20/2011	09/04/2012	244.00	260.00	-16.00
20. CHECK POINT SOFTWARE T 00	11/09/2011	11/27/2012	910.00	1,168.00	-258.00
54.05 ASML HOLDING NV-UY R	08/29/2011	11/29/2012	2,788.00	1,716.00	1,072.00
11.27 ASML HOLDING NV-UY R	10/28/2011	11/29/2012	581.00	400.00	181.00
56.95 ASML HOLDING N V	05/20/2011	11/28/2012	4,394.00	2,934.00	1,460.00
80. YANDEX N.V. COM USD0.0	06/15/2011	11/27/2012	1,770.00	2,483.00	-713.00
1851. FLEXTRONICS INTL LTD	05/20/2011	05/29/2012	11,939.00	12,661.00	-722.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b 86,630.00

* INDICATES WASH SALE

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ALEXANDRIA REAL ESTATE EQ IN	4.00	
DIGITAL REALTY TR PREF		
DIGITAL RLTY TR INC		
HEALTH CARE REIT 6.5%	4.00	
NATL RETAIL PROPERTIES 6.625% PFD	2.00	
PUBLIC STORAGE PFD SER R	1.00	
PUBLIC STORAGE PFD SHS SER Q 6.50%	1.00	
PUBLIC STORAGE 6.875% PFD		
PUBLIC STORAGE INC	1.00	
PUBLIC STORAGE	1.00	
PUBLIC STORAGE INC D/S REP 1/1000 PF		
PUBLIC STORAGE		
REGENCY CTRS CORPPFD 7.250%	1.00	
REGENCY CTRS CORP PFD SER 5 6.750%		
REGENCY CENTERS CORP 6.625% PFD	1.00	
TEMPLETON INCOME TR GLOBAL BD FD CL	1,311.00	
VANGUARD LONG TERM INVEST GRADE FD	3,915.00	
VORNADO REALTY TRUST	4.00	
VORNADO RLTY TR	18.00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		5,264.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		5,264.00

STATEMENT 1

311 CASH CONTRIBUTIONS

12/06/11	CASH DISBURS[illegible]T CHARITABLE D[illegible]ROM PRIN 4 2011 CHARI[illegible] DISTRIBUTION FOR. PAID TO ANIMAL MEDICAL CENTER TR TRAN#=ES000145000033 TR CD=071 REG=0 PORT=PRIN	-10000 00	[illegible]0000 00 1112000005 *[illegible]GED** 10/07/13 JLM
12/06/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR PAID TO: CHURCH OF ST IGNATIUS LOYOLA TR TRAN#=ES000145000035 TR CD=071 REG=0 PORT=PRIN	-10000.00	-10000 00 1112000007 **CHANGED** 10/07/13 JLM
12/06/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR PAID TO. CLASSROOM INC. TR TRAN#=ES000145000036 TR CD=071 REG=0 PORT=PRIN	-5000.00	-5000 00 1112000008 **CHANGED** 10/07/13 JLM
12/06/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR PAID TO CATO INSTITUTE TR TRAN#=ES000145000034 TR CD=071 REG=0 PORT=PRIN	-3000 00	-3000 00 1112000006 **CHANGED** 10/07/13 JLM
12/06/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR· PAID TO METROPOLITAN OPERA ASSOCIATION INC. TR TRAN#=ES000145000043 TR CD=071 REG=0 PORT=PRIN	-250000.00	-250000.00 1112000015 **CHANGED** 10/07/13 JLM
12/06/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR: PAID TO. PHILHARMONIC-SYMPHONY SOCIETY OF NY TR TRAN#=ES000145000044 TR CD=071 REG=0 PORT=PRIN	-5000.00	-5000 00 1112000016 **CHANGED** 10/07/13 JLM
12/06/11	CASH DISBURSEMENT	-5000 00	-5000.00 1112000017

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
311	**CASH CONTRIBUTIONS (CONTINUED)**				
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	THE SALVATION ARMY				
	TR TRAN#=ES000145000045 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000018
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	ST BENEDICT'S MONASTERY				
	SNOWMASS, CO				
	TR TRAN#=ES000145000046 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000010
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	CONTEMPLATIVE OUTREACH, LTD				
	TR TRAN#=ES000145000038 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-50000 00		-50000 00	1112000011
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	CRISTO REY NETWORK				
	TR TRAN#=ES000145000039 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000012
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	HERITAGE FOUNDATION				
	TR TRAN#=ES000145000040 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-100000 00		-100000 00	1112000013
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	LINCOLN CENTER FOR PERFORMING ARTS				
	TR TRAN#=ES000145000041 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000014
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR.				
	PAID TO				
	MANHATTAN INST FOR POLICY RESEARCH				
	TR TRAN#=ES000145000042 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000020
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	THE BOWERY MISSION				
	TR TRAN#=ES000145000048 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000021
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	HELP HOSPITALIZED VETERANS				
	TR TRAN#=ES000145000049 TR CD=071 REG=0 PORT=PRIN				
12/06/11	CASH DISBURSEMENT	-5000 00		-5000 00	1112000004
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR				
	PAID TO				
	AMERICAN MUSEUM OF NATURAL HISTORY				
	TR TRAN#=ES000145000032 TR CD=071 REG=0 PORT=PRIN				
12/07/11	CASH DISBURSEMENT	-250000 00		-250000 00	1112000024
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				
	FOR.				
	PAID TO				
	METROPOLITAN OPERA ASSOCIATION INC				
	TR TRAN#=ES000186000002 TR CD=071 REG=0 PORT=PRIN				
12/20/11	CASH DISBURSEMENT	-200000 00		-200000 00	1112000034
	CHARITABLE DIST FROM PRIN			**CHANGED** 10/07/13 JLM	
	4 2011 CHARITABLE DISTRIBUTION				

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
311	**CASH CONTRIBUTIONS (CONTINUED)** FOR PAID TO FRIENDS OF TEAM ACADEMY TR TRAN#=ES000516000001 TR CD=071 REG=0 PORT=PRIN				
12/21/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR PAID TO THE COMMON GOOD INC TR TRAN#=ES000562000007 TR CD=071 REG=0 PORT=PRIN	-10000 00		-10000 00 **CHANGED** 10/07/13 JLM	1112000036
12/21/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR PAID TO NEW YORK HISTORICAL SOCIETY TR TRAN#=ES000562000006 TR CD=071 REG=0 PORT=PRIN	-10000 00		-10000 00 **CHANGED** 10/07/13 JLM	1112000035
12/21/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR. PAID TO HOSPITAL FOR SPECIAL SURGERY FUND AJRJ - CHIT RANAWAT TR TRAN#=ES000562000008 TR CD=071 REG=0 PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1112000037
12/21/11	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 4 2011 CHARITABLE DISTRIBUTION FOR PAID TO. METROPOLITAN MUSEUM TR TRAN#=ES000566000005 TR CD=071 REG=0 PORT=PRIN	-4500 00		-4500 00 **CHANGED** 10/07/13 JLM	1112000038
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO MAYO CLINIC TR TRAN#=CS000009000018 TR CD=051 REG= PORT=PRIN	-10000 00		-10000 00 **CHANGED** 10/07/13 JLM	1206000001
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR· PAID TO: TRUSTEES OF DARTMOUTH TR TRAN#=CS000013000003 TR CD=051 REG= PORT=PRIN	-500000 00		-500000 00 **CHANGED** 10/07/13 JLM	1206000007
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO DEERFIELD ACADEMY TR TRAN#=CS000013000004 TR CD=051 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1206000008
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO LEVINDALE NURSING HOME TR TRAN#=CS000013000005 TR CD=051 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1206000009
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO ST IGNATIUS LOYOLA TR TRAN#=CS000009000019 TR CD=051 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1206000002
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO FRIENDS OF COVENT GARDEN TR TRAN#=CS000009000020 TR CD=051 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1206000003
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR. PAID TO	-11000.00		-11000 00 **CHANGED** 10/07/13 JLM	1206000004

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
311	**CASH CONTRIBUTIONS (CONTINUED)** MUSIC ASSOCIATES OF ASPEN TR TRAN#=CS000009000022 TR CD=051 REG= PORT=PRIN				
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO: LINCOLN CENTER FOR THE PERFORMING ARTS TR TRAN#=CS000013000002 TR CD=051 REG= PORT=PRIN	-100000.00		-100000 00 **CHANGED** 10/07/13 JLM	1206000006
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR. PAID TO: HEALTHCARE FOR THE HOMELESS TR TRAN#=CS000013000006 TR CD=051 REG= PORT=PRIN	-5000.00		-5000.00 **CHANGED** 10/07/13 JLM	1206000010
06/01/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR. PAID TO. PER SCHOLAS TR TRAN#=CS000013000007 TR CD=051 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1206000011
06/28/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR PAID TO: CRISTO REY NEW YORK HIGH SCHOOL TR TRAN#=ES000470000004 TR CD=051 REG= PORT=PRIN	-100000 00		-100000 00 **CHANGED** 10/07/13 JLM	1206000027
07/05/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 9 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR. PAID TO: FRIENDS OF TEAM ACADEMY TR TRAN#=ES000089000001 TR CD=051 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 10/07/13 JLM	1207000004
09/12/12	CASH DISBURSEMENT CHARITABLE DIST FROM PRIN 9 REISSUE CHECK #494019 DTD 12/6/11-2011 CHAR DIST FOR: PAID TO: COALITION TO SALUTE AMERICAN HEROES TR TRAN#=ES000147000218 TR CD=071 REG= PORT=PRIN	-5000.00		-5000 00 **CHANGED** 10/07/13 JLM	1209000008
09/24/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 4 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR: PAID TO: CONNCAN 85 WILLOW STREET NEW HAVEN, CT 06511 TR TRAN#=CS000272000003 TR CD=051 REG= PORT=PRIN	-5000.00		-5000.00 **CHANGED** 10/07/13 JLM	1209000018
09/24/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION 4 GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR: PAID TO· MAKING HEADWAY FOUNDATION 115 KING STREET CHAPPAQUA, NY 10514-3640 TR TRAN#=CS000272000004 TR CD=051 REG= PORT=PRIN	-5000.00		-5000 00 **CHANGED** 10/07/13 JLM	1209000019
10/19/12	CASH DISBURSEMENT PRINCIPAL DISTRIBUTION BY WIRE GRANT APPROVED BY THE BRADDOCK FOUNDATION FOR: PAID TO: WTMETROPOLIT JP MORGAN CHASE BANK ABA 021000021 A 021000021 NEW YORK, NY 10004 METROPOLITAN OPERA ASSOCIATION, INC. TR TRAN#=ES000323000001 TR CD=052 REG= PORT=PRIN	-10000.00		-10000 00 **CHANGED** 10/07/13 JLM	1210000017
	TOTAL CODE 311 - CASH CONTRIBUTIONS	-1733500 00	0.00	-1733500 00	

Form **8868** (Rev January 2012) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions. 31-C7B007575005 THE RICHARD AND SUSAN BRADDOCK FAMILY FOUNDATION, INC.	Employer identification number (EIN) or [X] 06-1565971
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P O box, see instructions 10 GRACIE SQUARE APT. 9F	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10028	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0|4]

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ CITIBANK, N.A.

Telephone No. ▶ (800)770-8444 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 07/15 , 20 13 , to file the exempt organization return for the organization named above. The extension is for the organization's return for.

▶ [ ] calendar year 20 ____ or

▶ [X] tax year beginning 12/01 , 20 11 , and ending 11/30, 20 12 .

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return

[ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 2,021.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 17,492.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ None

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions.	Name of exempt organization or other filer, see instructions. 31-C7B007575005 THE RICHARD AND SUSAN BRADDOCK FAMILY FOUNDATION, INC.	Employer identification number (EIN) or [X] 06-1565971
	Number, street, and room or suite no. If a P.O. box, see instructions 10 GRACIE SQUARE APT. 9F	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10028	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ CITIBANK, N.A.
  Telephone No. ▶ (800)770-8444 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 10/15 , 20 13 .

5 For calendar year ______ , or other tax year beginning 12/01 , 20 11 , and ending 11/30 , 20 12 .

6 If the tax year entered in line 5 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO PREPARE AN ACCURATE AND COMPLETE TAX RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**8a**	$ 2,021.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	**8b**	$ 17,492.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**8c**	$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶ 06/25/2013

Form **8868** (Rev. 1-2012)

KPMG LLP

100 WESTMINSTER ST, 6TH FL, SUITE A

PROVIDENCE, RI 02903-2321