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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 11-01-2011 , and ending 10-31-2012

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
ELIZABETH C POOL CHARITABLE TR UMA

A Employer identification number
91-6577853

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P O BOX 3168

B Telephone number (see page 10 of the instructions)
(503) 275-4327

City or town, state, and ZIP code
PORTLAND, OR 97208

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,560,759

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	40,843	40,843		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	166,045			
	b	Gross sales price for all assets on line 6a 1,827,919				
	7	Capital gain net income (from Part IV, line 2)		166,045		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	206,888	206,888		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	22,139	19,925		2,214
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,500	0	0	1,500
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see page 14 of the instructions)	1,303	610		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	76			76
	24	**Total operating and administrative expenses.** Add lines 13 through 23	25,018	20,535	0	3,790
	25	Contributions, gifts, grants paid	68,259			68,259
	26	**Total expenses and disbursements.** Add lines 24 and 25	93,277	20,535	0	72,049
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	113,611			
	b	**Net investment income** (if negative, enter -0-)		186,353		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	6,158	52,719	52,719
3	Accounts receivable ▶ 2,601			
	Less allowance for doubtful accounts ▶		2,601	2,601
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,004,971	1,006,752	1,022,281
c	Investments—corporate bonds (attach schedule)	400,787	469,505	483,158
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			0
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,411,916	1,531,577	1,560,759
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	1,411,916	1,531,577	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	1,411,916	1,531,577	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,411,916	1,531,577	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,411,916
2	Enter amount from Part I, line 27a	2	113,611
3	Other increases not included in line 2 (itemize) ▶	3	6,053
4	Add lines 1, 2, and 3	4	1,531,580
5	Decreases not included in line 2 (itemize) ▶	5	3
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,531,577

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	166,045
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	76,510	1,623,705	0 047121
2009	74,776	1,551,235	0 048204
2008	83,935	1,567,286	0 053554
2007	107,638	2,038,445	0 052804
2006			

2	**Total** of line 1, column (d).	2	0 201683
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 050421
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	1,551,539
5	Multiply line 4 by line 3.	5	78,230
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,864
7	Add lines 5 and 6.	7	80,094
8	Enter qualifying distributions from Part XII, line 4.	8	72,049

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,727
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	3,727
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,727
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	628		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	628
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	3,099
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶US BANK Telephone no ▶(503) 275-4327

Located at ▶555 SW OAK PORTLAND OR ZIP+4 ▶97208

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
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2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,540,214
b	Average of monthly cash balances	1b	34,952
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,575,166
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,575,166
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	23,627
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,551,539
6	**Minimum investment return.** Enter 5% of line 5	6	77,577

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	77,577
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a: 3,727		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	3,727
3	Distributable amount before adjustments Subtract line 2c from line 1	3	73,850
4	Recoveries of amounts treated as qualifying distributions	4	6,053
5	Add lines 3 and 4	5	79,903
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	79,903

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	72,049
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	72,049
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,049

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					79,903
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only.				0	
b Total for prior years 2009 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2011					
a From 2006.	0				
b From 2007.	484				
c From 2008.	5,953				
d From 2009.	0				
e From 2010.	0				
f Total of lines 3a through e.		6,437			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 72,049					
a Applied to 2010, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .		0			
d Applied to 2011 distributable amount.					72,049
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		6,437			6,437
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					1,417
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)		0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)		0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9					
a Excess from 2007. . . .	0				
b Excess from 2008. . . .	0				
c Excess from 2009. . . .	0				
d Excess from 2010. . . .	0				
e Excess from 2011. . . .	0				

Part XIV **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

US BANK CO JEAN EDWARDS
428 W RIVERSIDE STE 700
SPOKANE, WA 99201
(509) 353-7085

b The form in which applications should be submitted and information and materials they should include

CONTACT JEAN EDWARDS

c Any submission deadlines

CONTACT JEAN EDWARDS

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS FOR HUMAN HEALTH, HUMAN HOUSING AND SHELTER FOR WOMEN AND CHILDREN SUFFERING UNDER THE THREAT OF PHYSICAL HARM, YOUTH DEVELOPMENT FOR UNDERPRIVILEGED - EASTERN WASHINGTON OR NORTHERN ID

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				68,259
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	40,843	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	166,045	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue **a**					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				206,888	

13 Total. Add line 12, columns (b), (d), and (e). **13** 206,888

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2013-01-16 | ******

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed ☐	PTIN
Firm's name		Firm's EIN	
Firm's address		Phone no	

May the IRS discuss this return with the preparer shown above? See instructions. ☐ **Yes** ☑ **No**

Additional Data

Software ID:	
Software Version:	
EIN:	91-6577853
Name:	ELIZABETH C POOL CHARITABLE TR UMA

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
9641 186 AMERICAN CENT EQUITY INCOME CL INST		2009-10-23	2011-11-17
6081 458 AMERICAN CENTY SMALL CP GROWTH INSTL		2009-10-23	2011-11-17
12134 371 EATON VANCE LARGE CAP VAL I		2009-10-23	2011-11-17
2026 231 FIDELITY INVT TR AD INTL DISCI			2011-11-17
9 64 FIDELITY INVT TR AD INTL DISCI		2010-12-03	2011-11-17
1639 978 NUVEEN REAL ESTATE SECS I		2011-06-16	2011-11-17
5469 864 PRICE T ROWE GROWTH STK FD INC #40		2009-10-23	2011-11-17
530 142 ROWE T PRICE MID-CAP GROWTH FD #64		2009-10-23	2011-11-17
1390 584 T ROWE PRICE SM CAP VAL		2009-10-23	2011-11-17
50000 TULSA CNTY OK INDPT 4 000% 12/01/11		2006-01-20	2011-12-01
3364 16 NUVEEN SANTA BARBARA DIV GROWTH FD I		2011-11-17	2012-04-02
6470 449 NUVEEN DIVIDEND VALUE FUND CLASS I		2011-11-17	2012-04-02
2673 6 PRICE T ROWE GROWTH STK FD INC #40		2009-10-23	2012-04-02
802 SPDR DJ WILSHIRE INTERNATIONAL			2012-04-02
4870 662 LAUDUS INTL MARKETMASTERS FUND SEL		2009-10-23	2012-04-02
5 PHILLIPS 66		2012-04-02	2012-05-10
575 048 AMERICAN CENTY INTL BOND FD INSTL		2011-11-17	2012-07-05
203 933 DRIEHAUS ACTIVE INCOME FUND		2012-04-02	2012-07-05
752 324 NUVEEN SHORT TERM BOND FUND CL I		2011-11-17	2012-07-05
25000 PERALTA CA 4 250% 8/01/14		2006-01-20	2012-08-01
125 AMERICAN CENTY SMALL CP GROWTH INSTL		2009-10-23	2012-08-07
76 AVIVA PLC A D R		2012-04-02	2012-08-07
222 BANCO SANTANDER BRASIL SA A D R		2012-04-02	2012-08-07
63 CISCO SYS INC		2012-04-02	2012-08-07
036 FIDELITY INVT TR AD INTL DISCI		2010-12-03	2012-08-07
62 GEMALTO NV SPONSORED ADR		2012-04-02	2012-08-07
8 HUMANA INC		2012-04-02	2012-08-07
70 LEGGETT PLATT INC		2012-04-02	2012-08-07
119 NTT DOCOMO INC A D R		2012-04-02	2012-08-07
69 NYSE EURONEXT		2012-04-02	2012-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
038 NUVEEN SANTA BARBARA DIV GROWTH FD I		2011-11-17	2012-08-07
027 PRICE T ROWE GROWTH STK FD INC #40		2009-10-23	2012-08-07
28 PROCTER & GAMBLE CO		2012-04-02	2012-08-07
28 REPUBLIC SVCS INC		2012-04-02	2012-08-07
56 SAFRAN SA UNSPON A D R		2012-04-02	2012-08-07
33 SEVEN & I HLDGS UNSPN A D R		2012-04-02	2012-08-07
70 AFLAC INC COMMON STOCK		2012-04-02	2012-08-29
3948 097 ABERDEEN FDS EMRGN		2011-11-17	2012-08-29
682 051 ABERDEEN FDS EMRGN		2012-04-02	2012-08-29
4136 704 NUVEEN CORE PLUS BOND CLASS I		2011-11-17	2012-08-29
4793 854 NUVEEN SHORT TERM BOND FUND CL I		2011-11-17	2012-08-29
3998 256 P I M C O FDS TOTAL RETURN FD			2012-08-29
136 TOKYO ELECTRON LTD ADR		2012-04-02	2012-08-29
65 SEAGATE TECHNOLOGY		2012-04-02	2012-08-29
4533 995 AMERICAN CENTY INTL BOND FD INSTL			2012-10-02
110 IPATH DOW JONES UBS COMMODITY		2011-06-16	2012-10-02
60 COACH INC		2012-08-29	2012-10-02
1536 612 CREDIT SUISSE COMM RET ST CO		2011-06-16	2012-10-02
4507 247 DRIEHAUS ACTIVE INCOME FUND		2011-11-17	2012-10-02
1631 843 DRIEHAUS ACTIVE INCOME FUND		2012-04-02	2012-10-02
3498 621 DRIEHAUS ACTIVE INCOME FUND		2012-08-29	2012-10-02
40 EXPRESS SCRIPTS HLDGS C		2012-04-02	2012-10-02
4 GOLDMAN SACHS GROUP INC		2012-04-02	2012-10-02
4 GOOGLE INC CL A		2012-04-02	2012-10-02
2852 615 PYXIS LONG SHORT EQUITY Z		2011-11-17	2012-10-02
42 INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK			2012-10-02
1 MASTERCARD INC		2012-04-02	2012-10-02
180 562 NUVEEN REAL ESTATE SECS I		2011-06-16	2012-10-02
2217 109 NUVEEN INFLATION PRO SEC CL I		2011-11-17	2012-10-02
10 PERRIGO CO		2012-04-02	2012-10-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
37 RIO TINTO PLC A D R		2012-04-02	2012-10-02
449 532 ROWE T PRICE MID-CAP GROWTH FD #64		2009-10-23	2012-10-02
1172 552 ROWE T PRICE MID-CAP VALUE FD INC		2009-10-23	2012-10-02
20 VISA INC CLASS A SHARES		2012-04-02	2012-10-02
1418 396 NUVEEN CORE PLUS BOND CLASS I		2012-10-02	2012-10-17
1180 391 P I M C O FDS TOTAL RETURN FD		2012-10-02	2012-10-17
50000 CONNECTICUT ST REF 4 000% 3/01/18		2009-03-18	2012-10-18
3333 KRAFT FOODS GROUP INC		2012-04-02	2012-10-18
10000 PORT PORTLAND OR 5 500% 7/01/18		2006-01-20	2012-10-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
67,585		61,800	5,785
47,679		36,914	10,765
201,067		199,246	1,821
56,816		61,881	-5,065
270		313	-43
29,651		31,717	-2,066
173,067		143,365	29,702
30,176		24,344	5,832
48,406		39,506	8,900
50,000		50,000	
90,530		79,596	10,934
95,504		83,210	12,294
102,238		70,075	32,163
29,625		28,960	665
92,153		77,200	14,953
16		18	-2
8,235		8,235	
2,115		2,162	-47
7,493		7,410	83
25,500		25,282	218
1		1	
744		808	-64
1,783		2,058	-275
1,075		1,339	-264
1		1	
2,433		2,086	347
512		734	-222
1,604		1,611	-7
2,019		1,979	40
1,717		2,082	-365

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1		1	
1		1	
1,850		1,896	-46
808		859	-51
1,979		2,089	-110
2,159		1,965	194
3,244		3,220	24
55,866		52,273	3,593
9,651		10,081	-430
48,937		47,076	1,861
48,034		47,219	815
45,780		43,271	2,509
1,673		1,986	-313
2,189		1,774	415
66,967		65,764	1,203
4,873		5,321	-448
3,338		3,380	-42
13,169		14,306	-1,137
47,236		45,433	1,803
17,102		17,298	-196
36,666		36,491	175
2,532		2,241	291
470		499	-29
3,018		2,565	453
33,290		31,094	2,196
8,769		8,381	388
456		429	27
3,871		3,492	379
26,716		25,674	1,042
1,172		1,042	130

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,745		2,100	-355
26,500		20,643	5,857
29,490		23,592	5,898
2,727		2,382	345
17,021		16,964	57
13,634		13,704	-70
57,508		51,136	6,372
16		13	3
10,000		10,286	-286
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			5,785
			10,765
			1,821
			-5,065
			-43
			-2,066
			29,702
			5,832
			8,900
			10,934
			12,294
			32,163
			665
			14,953
			-2
			-47
			83
			218
			-64
			-275
			-264
			347
			-222
			-7
			40
			-365

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-46
			-51
			-110
			194
			24
			3,593
			-430
			1,861
			815
			2,509
			-313
			415
			1,203
			-448
			-42
			-1,137
			1,803
			-196
			175
			291
			-29
			453
			2,196
			388
			27
			379
			1,042
			130

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-355
			5,857
			5,898
			345
			57
			-70
			6,372
			3
			-286

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SPOKANE GUILD SCHOOL ATTN KENNETH DANIEL2118 WEST GARLAND AVE SPOKANE, WA 99205	NONE	PUBLIC	GENERAL SUPPORT	9,500
ANNA OGDEN HALL ATTN DEBI PAULETTO2828 W MALLON SPOKANE, WA 99201	NONE	PUBLIC	GENERAL SUPPORT	7,000
WOMEN & CHILDREN'S SHELTER ATTN RICH SHAUS1234 E SPRAGUE SPOKANE, WA 99202	NONE	PUBLIC	GENERAL SUPPORT	7,000
WOMENS AND CHILDRENS FREE RESTAURANT1620 N MONROE STREET SPOKANE, WA 99205	NONE	PUBLIC	GENERAL SUPPORT	3,284
YWCA OF SPOKANE930 NORTH MONROE ST SPOKANE, WA 99201	NONE	PUBLIC	GENERAL SUPPORT	6,900
FOWLER UNITED METHODIST CHURCH3928 N HOWARD SPOKANE, WA 99205	NONE	PUBLIC	GENERAL SUPPORT	500
MORNING STAR FOUNDATION ATTN WILLIAM R HAWLEY4511 S GLENROSE RD SPOKANE, WA 99223	NONE	PUBLIC	CAPITAL OR PROGRAM IMPROVEMENTS	6,815
LILAC BLIND FOUNDATION1212 N HOWARD STREET SPOKANE, WA 99201	NONE	PUBLIC	CAPITAL OR PROGRAM IMPROVEMENTS	6,815
MARY QUEEN OF HEAVEN CATHOLIC CHURCHPO BOX 125 MEDICAL LAKE, WA 99022	NONE	PUBLIC	CAPITAL OR PROGRAM IMPROVEMENTS	6,815
VANESSA BEHAN CRISIS NURSERY 1004 EAST 8TH AVENUE SPOKANE, WA 992022431	NONE	PUBLIC	CAPITAL OR PROGRAM IMPROVEMENTS	6,815
SACRED HEART MEDICAL CENTER ATTN JOYCE CAMERONPO BOX 2555 SPOKANE, WA 99220	NONE	PUBLIC	CAPITAL OR PROGRAM IMPROVEMENTS	6,815
Total . . . . ▶ **3a**				68,259

TY 2011 Accounting Fees Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,500			1,500

TY 2011 Investments Corporate Bonds Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TULSA CNTY OK INDPT SCH DIST		
BROWNSBURG IN BLDG CORP	50,881	51,335
PERALTA CA CMNTY COLLEGE DIST		
SPRING FORD AREA SCH DIST PA	51,248	53,414
CLARK CNTY NV SCH DIST	54,019	52,614
PORT PORTLAND OR ARPT REV		
OREM UT 4.000	51,875	55,719
DENTON TX INDPT SCH DIST 4.500	53,189	58,371
CONNECTICUT ST REF 4.000		
DRIEHAUS ACTIVE INCOME FUND	30,539	31,007
NUVEEN CORE PLUS BOND	61,576	61,730
NUVEEN HIGH INCOME BOND FD	58,852	61,585
NUVEEN SHORT TERM BOND FD	57,326	57,383

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491057007073

TY 2011 Investments Corporate Stock Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMER CENT NEW OPPORTU II CL		
AMERICAN CENTY EQUITY INCOME		
EATON VANCE LARGE CAP VALUE		
FIDELITY AD INTL DISCOVERY		
LAUDUS INTL MRKTMASTERS INV		
T ROWE PRICE GROWTH STK CL ADV		
T ROWE PRICE MID CAP GROWTH	29,444	29,955
T ROWE PRICE MID CAP VALUE	24,652	30,434
T ROWE PRICE SM CAP VAL		
AMERICAN CENTURY SMALL CAP VAL	18,181	19,189
NUVEEN REAL ESTATE SEC	53,425	59,901
SPDR WILSHIRE INTL	39,966	41,066
CREDIT SUISSE COMM	27,645	24,454
IPATH DOWN JONES COMMODITY	37,172	32,694
NUVEEN INFLATION PRO SEC	46,335	47,061
PIMCO TOTAL RETURN FUND INST	62,047	61,940
ABBOTT LABORATORIES	8,825	9,039
ALTRIA GROUP	934	954
AMERICAN EXPRESS	4,744	4,589
AMERISOURCEBERGEN	2,201	2,209
ANADARKO PETROLEUM	1,028	894
ANNALY CAP MGMT	733	742
APPLE INC	7,317	7,144
ATT	2,546	2,802
AUTOMATIC DATA PROCESSING	1,052	1,098
BANKUNITED	301	284
BERKSHIRE HATHAWAY	7,187	7,599
BLACKROCK INC	2,049	1,897
BOEING CO	748	704
BRISTOL MYERS SQUIBB	1,015	997

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CAPITAL ONE FINANCIAL CORP	1,242	1,324
CATERPILLAR	1,595	1,272
CENTERPOINT ENERGY INC	1,149	1,257
CENTURYLINK INC	1,199	1,190
CHEVRON CORPORATION	11,008	11,024
CINEMARK HLDGS	1,260	1,407
CITIGROUP INC	1,655	1,681
COACH	1,690	1,682
COCA COLA CO	3,035	3,049
COCA COLA ENTERPRISES	2,178	2,389
COGNIZANT TECH SOLUTIONS	8,871	8,531
COMMUNITY BK SYS	5,510	5,297
CONAGRA FOODS	838	891
CONOCOPHILLIPS	769	752
CULLEN FROST BANKERS	2,049	1,935
CUMMINS INC	9,915	8,797
DOW CHEM	1,072	908
DU PONT DE NEMOURS & CO	901	757
EMC CORPORATION	8,268	7,253
EATON CORP	1,839	1,747
EMERSON ELEC CO	2,937	2,712
ENBRIDGE ENERGY PARTNERS LP	5,898	5,880
EQUITABLE CORP	2,364	2,910
EXPEDITORS INTL WASH	7,464	6,919
EXPRESS SCRIPTS	2,857	3,139
EXTERRAN PARTNERS LP	647	674
EXXON MOBIL CORP	7,924	8,023
FIDELITY NATL INFORMATION SVCS	6,981	7,133
FIFTH THIRD BANK	555	566
GENERAL DYNAMICS CORP	810	749

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GENERAL ELECTRIC CORP	1,900	2,001
GILEAD SCIENCES	3,977	5,576
GOOGLE INC	3,848	4,082
HASBRO INC	560	540
HOME DEPOT	6,193	6,445
INTEL CORP	1,690	1,298
ITC HLDGS CORP	1,613	1,672
JP MORGAN CHASE	6,286	5,710
JOHNSON AND JOHNSON	1,586	1,700
KIMBERLY CLARK CORP	1,192	1,335
KINDER MORGAN INC	7,325	7,011
KRAFT FOODS GROUP	443	500
LIBERTY PPTY TR	785	773
LORILLARD INC	7,459	6,729
MAXIM INTERGRATED PRODS INC	1,130	1,101
MCCORMICK CO	1,969	2,218
MCDONALDS CORP	1,370	1,215
MERK AND CO INC NEW	1,457	1,734
MICROCHIP TECHNOLOGY	2,616	2,225
MICROSOFT CORP	4,643	4,110
MID-AMER APT CMNTYS	805	777
MOLEX INC	962	879
MONDELEZ INTERNATIONAL	845	903
MOTOROLA SOLUTIONS	2,329	2,377
NATIONAL OILWELL VARCO	2,317	2,137
NEW YORK COMMUNITY BANK	7,238	7,471
NEXTERA ENERGY	2,716	3,083
OCCIDENTAL PETROLEUM CORP	770	632
OLD REP INTL CORP	430	395
ONEOK INC	1,880	2,176

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ORACLE CORPORATION	855	901
PPG INDS	1,062	1,288
PPL CORPORATION	1,241	1,301
PACCAR INC	1,873	1,735
PERRIGO CO	2,604	2,873
PFIZER INC	10,213	10,893
PHILLIP MORIS INTL	4,740	4,694
PHILLIPS 66	211	283
PRAXAIR INC	1,032	956
PRUDENTIAL FINANCIAL INC	1,540	1,369
QUALCOMM INC	8,799	7,561
REDWOOD TR	620	826
SCHWAB CHARLES CORP	9,299	9,112
SOUTHERN COPPER CORP DEL	7,629	8,649
STARBUCKS CORP	1,355	1,102
STATE STR CORP	1,552	1,515
STERICYCLE INC	2,690	3,032
TEXAS INSTRUMENTS INC	797	674
TIME WARNER CABLE	7,653	8,725
UNION PACIFIC CORP	1,850	2,092
UNITED HEALTH GROUP INC	1,184	1,120
UNITED PARCEL SERVICE INC	965	879
UNITED TECHNOLOGIES CORP	6,637	6,565
V F CORP	8,604	8,606
VARIAN MED SYS	8,985	9,480
VERISK ANALYTICS	4,024	4,396
VERIZON COMMUNICATIONS	2,572	2,991
VISA INC CLASS A	2,143	2,498
WESTAR ENERGY INC	812	861
WHIRLPOOL CORP	76	98

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WINDSTREAM CORP	1,288	1,047
YUM BRANDS	4,706	4,697
3M CO	800	788
ACCENTURE PLC	2,735	2,831
ADIDAS AG ADR	2,182	2,319
AGRIUM INC	2,106	2,533
AMADEUS IT HOLDING UNSP ADR	2,209	2,885
ASAHI KASEI CORP ADR	7,084	7,141
AU OPTRONICS CORP ADR	2,110	1,690
BOMBARDIER INC	1,948	1,739
CARNIVAL CORP	926	1,098
DBS GROUP HLDGS LTD	2,080	2,141
DEUTSCHE POST AG ADR	7,286	7,357
DEUTSCHE TELEKOM AG ADR	5,187	4,695
DIAGO PLC SPONSORED ADR	2,160	2,513
EUROPEAN AERO DEFENSE SPACE	7,402	6,812
GEA GROUP AG SPON ADR	1,917	1,757
GLAXO SMITHKLINE ADR	1,004	988
HEINEKEN NV ADR	2,177	2,434
INGERSOLL RAND PLC	709	800
JULIUS BAER GROUP LTD ADR	2,049	1,751
KAO CORP ADR	1,968	2,124
KOMATSU LTD ADR	2,113	1,542
MARKS & SPENCER PLC ADR	2,154	2,250
NISSAN MTR LTD	2,046	1,572
NOVO NORDISK AS ADR	2,032	2,244
PORSCHE AUTOMOBIL HLDG ADR	1,800	1,997
POTASH CORP OF SASKATCHEWAN	929	807
REPSOL S.A. ADR	2,123	1,709
ROCHE HLDG LTD ADR	3,145	3,409

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROYAL DUTCH SHELL ADR RDSB	3,205	3,178
ROYAL DUTCH SHELL ADR RDSA	4,386	4,246
SAIPEM SPA UNSPON ADR	1,977	1,712
SCHLUMBERGER LTD	6,083	6,049
SEADRILL LIMITED	7,607	7,665
SILICONWARE PRECISION IND ADR	7,056	5,918
SINGAPORE TELECOMMUNICATIONS	2,105	2,233
SONY FINANCIAL HOLD ADR	2,031	2,025
SUNCOR ENERGY	3,085	3,127
SWISS RE LTD SPN ADR	832	892
SYNGENTA AG ADR	2,108	2,339
TECHNIP-COFLEXIP ADR	2,172	2,074
TESCO PLC ADR	7,415	7,060
TEVA PHARMACEUTICAL	7,738	6,831
UNILEVER NV ADR	3,307	3,522
VODAFONE GROUP ADR	3,179	3,077
ABERDEEN FDS EMRGN MKT INSTL	51,026	50,867
NUVEEN SMALL CAP GWTH OPP	18,933	19,594
PYXIS LONG SHORT EQUITY Z	28,594	30,089
SCOUT INTERNATIONAL FUND	99,560	106,739

TY 2011 Other Decreases Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Description	Amount
ADJUSTMENT FOR ROUNDING	3

TY 2011 Other Expenses Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	25	0		25
ADR FEES	51	0		51

TY 2011 Other Increases Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Description	Amount
RECOVERIES PY	6,053

TY 2011 Taxes Schedule

Name: ELIZABETH C POOL CHARITABLE TR UMA

EIN: 91-6577853

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	146	146		0
FEDERAL TAX PAYMENT - PRIOR YE	65	0		0
FEDERAL ESTIMATES - PRINCIPAL	628	0		0
FOREIGN TAXES ON QUALIFIED FOR	389	389		0
FOREIGN TAXES ON NONQUALIFIED	75	75		0