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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning 11/01, 2011, and ending 10/31, 2012

Name of foundation SIGMUND M. HYMAN FOUNDATION, INC.		A Employer identification number 52-6034760												
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 248		B Telephone number (see instructions) (410) 486-5454												
City or town, state, and ZIP code STEVENSON, MD 21153-0248		C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐												
G Check all that apply. <table style="display:inline-table; vertical-align:top;"> <tr><td>☐</td><td>Initial return</td></tr> <tr><td>☐</td><td>Final return</td></tr> <tr><td>☐</td><td>Address change</td></tr> </table> <table style="display:inline-table; vertical-align:top;"> <tr><td>☐</td><td>Initial return of a former public charity</td></tr> <tr><td>☐</td><td>Amended return</td></tr> <tr><td>☐</td><td>Name change</td></tr> </table>			☐	Initial return	☐	Final return	☐	Address change	☐	Initial return of a former public charity	☐	Amended return	☐	Name change
☐	Initial return													
☐	Final return													
☐	Address change													
☐	Initial return of a former public charity													
☐	Amended return													
☐	Name change													
H Check type of organization ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation														
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 400,588.		J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)												

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,763.	3,763.		ATCH 1
	4 Dividends and interest from securities	5,537.	5,537.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	16,769.			
	b Gross sales price for all assets on line 6a 97,831.				
	7 Capital gain net income (from Part IV, line 2)		16,769.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total Add lines 1 through 11	26,069.	26,069.		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	5,819.			5,819.
	c Other professional fees (attach schedule) *	2,009.	2,009.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) *	278.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	8.			
	24 Total operating and administrative expenses Add lines 13 through 23	8,114.	2,009.		5,819.
	25 Contributions, gifts, grants paid	30,000.			30,000.
	26 Total expenses and disbursements Add lines 24 and 25	38,114.	2,009.	0	35,819.
	27 Subtract line 26 from line 12	-12,045.			
	a Excess of revenue over expenses and disbursements		24,060.		
	b Net investment income (if negative, enter -0-)				
	c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions

*ATCH 4 JSA ** ATCH 5

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	648.		
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) .			
	b	Investments - corporate stock (attach schedule) ATCH 7	240,892.	226,910.	306,097.
	c	Investments - corporate bonds (attach schedule) ATCH 8	87,904.	77,911.	81,490.
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ ATCH 9)		13,001.	13,001.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	329,444.	317,822.	400,588.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 10)		423.	
	23	Total liabilities (add lines 17 through 22)	0	423.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .	329,444.	317,399.	
	30	Total net assets or fund balances (see instructions)	329,444.	317,399.	
31	Total liabilities and net assets/fund balances (see instructions)	329,444.	317,822.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	329,444.
2	Enter amount from Part I, line 27a	2	-12,045.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	317,399.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	317,399.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	16,769.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	10,000.	404,550.	0.024719
2009	25,666.	390,366.	0.065749
2008	10,000.	364,971.	0.027399
2007		483,742.	
2006	61,747.	562,790.	0.109716
2 Total of line 1, column (d)			2 0.227583
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045517
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 400,762.
5 Multiply line 4 by line 3			5 18,241.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 241.
7 Add lines 5 and 6			7 18,482.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 35,819.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	241.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	241.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	241.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	241.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MD, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of MARY B. HYMAN		Telephone no 410-486-5454	
	Located at P.O. BOX 248, STEVENSON, MD		ZIP + 4 21153-0248	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	398,214.
b	Average of monthly cash balances	1b	8,651.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	406,865.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	406,865.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,103.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	400,762.
6	Minimum investment return. Enter 5% of line 5	6	20,038.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	20,038.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	241.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	241.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	19,797.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	19,797.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	19,797.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	35,819.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	35,819.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	241.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	35,578.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				19,797.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			13,286.	
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 35,819.				
a Applied to 2010, but not more than line 2a			13,286.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				19,797.
e Remaining amount distributed out of corpus	2,736.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,736.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	2,736.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011	2,736.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ATTACHMENT 12				
Total			▶ 3a	30,000.
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	9,300.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	16,769.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue. a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)					26,069.	
13 Total. Add line 12, columns (b), (d), and (e)					26,069.	26,069.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					920.	
9,965.		193.349 HARBOR INTERNATIONAL FUND-INS 10,873.					VARIOUS -908.	11/23/2011
1,009.		35 SH ROVI CORP COM 1,505.					VARIOUS -496.	11/16/2011
987.		40 SH ROVI CORP COM 1,720.					VARIOUS -733.	12/29/2011
2,444.		50 SH COVIDIEN PLC SHS 2,141.					VARIOUS 303.	01/30/2012
2,120.		5 SH APPLE INC COM 1,057.					VARIOUS 1,063.	01/25/2012
2,586.		50 SH EXPRESS SCRIPTS INC COM 1,888.					VARIOUS 698.	01/25/2012
2,538.		25 SH MCDONALDS CORP COM 1,544.					VARIOUS 994.	01/31/2012
2,575.		5 SH APPLE INC COM 806.					VARIOUS 1,769.	02/28/2012
79.		12 SH COMVERSE TECHNOLOGY INC 1.					VARIOUS 78.	04/02/2012
967.		10 SH MCDONALDS CORP COM 617.					VARIOUS 350.	03/16/2012
811.		10 SH NATIONAL OILWELL VARCO I 445.					VARIOUS 366.	03/16/2012
616.		10 SH NESTLE SA SPONSORED ADR 445.					VARIOUS 171.	03/16/2012

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
931.		25 SH NORTHEAST UTILITIES COM 651.					VARIOUS 280.	03/16/2012
1,709.		35 SH SCRIPPS NETWORK INTERACT IN CL A 1,477.					VARIOUS 232.	04/04/2012
10,000.		10,000 SH WALMART STORES INC INC 9,993.					VARIOUS 7.	04/05/2012
423.		25 SH ABB LTD SPON ADR 482.					VARIOUS -59.	05/16/2012
4,460.		275 SH ABB LTD SPON ADR 4,489.					VARIOUS -29.	05/31/2012
3,052.		5 SH APPLE INC COM 806.					VARIOUS 2,246.	08/03/2012
289.		5 SH EXPRESS SCRIPTS HLDG CO COM 189.					VARIOUS 100.	08/03/2012
1,799.		50 SH JPMORGAN CHASE & CO COM 2,105.					VARIOUS -306.	08/03/2012
2,882.		40 SH NATIONAL OILWELL VARVO INC 1,782.					VARIOUS 1,100.	08/03/2012
221.		5 SH POTASH CORP SASK INC COM 49.					VARIOUS 172.	08/03/2012
520.		5 SH PRAXAIR INC COM 223.					VARIOUS 297.	08/03/2012
2,489.		60 SH SOSAL LTD-SPONSORED ADR 3,136.					VARIOUS -647.	08/03/2012

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
743.		5 SH VF CORP COM 336.					VARIOUS 407.	08/03/2012
26,316.		2300.376 SH ROYCE PENNSYLVANIA FUND 20,512.					VARIOUS 5,804.	08/13/2012
1,250.		20 SH EXPRESS SCRIPTS HLDG CO 755.					VARIOUS 495.	09/20/2012
7,000.		118.423 SH HARBOR INTERNATIONAL FUND-INS 6,254.					VARIOUS 746.	11/01/2012
6,001.		121.139 SH HARDING LOEVNER EMERGING MARK 4,781.					VARIOUS 1,220.	11/01/2012
129.		CONVERSE TECHNOLOGY INC - SETTLEMENT OF PROPERTY TYPE: SECURITIES				P	VARIOUS 129.	02/01/2012
TOTAL GAIN(LOSS)							<u>16,769.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
LEGG MASON TRUST	3,763.	3,763.
TOTAL	<u>3,763.</u>	<u>3,763.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
LEGG MASON TRUST	5,537.	5,537.
TOTAL	<u>5,537.</u>	<u>5,537.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	5,819.			5,819.
TOTALS	<u>5,819.</u>			<u>5,819.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEE	2,009.	2,009.
TOTALS	<u>2,009.</u>	<u>2,009.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FOREIGN TAX	117.
FEDERAL TAX	161.
TOTALS	<u>278.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FOREIGN EXCHANGE EXPENSE	8.
TOTALS	<u>8.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LEGG MASON	240,892.	226,910.	306,097.
TOTALS	<u>240,892.</u>	<u>226,910.</u>	<u>306,097.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LEGG MASON	87,904.	77,911.	81,490.
TOTALS	<u>87,904.</u>	<u>77,911.</u>	<u>81,490.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CASH DUE FOR PENDING PURCHASES		13,001.	13,001.
TOTALS		<u>13,001.</u>	<u>13,001.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
CASH OVERDRAFT		423.
TOTALS		<u>423.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MARY B. HYMAN P.O. BOX 248 STEVENSON, MD 21153	VICE PRESIDENT 1.00	0	0	0
CAROL H. WILLIAMS-PICCININI 267 HAWTHORNE AVE BALTIMORE, MD 21208	VICE PRESIDENT 1.00	0	0	0
NANCY L. HYMAN P.O. BOX 248 STEVENSON, MD 21153	VICE PRESIDENT 1.00	0	0	0
GRAND TOTALS		0	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE JOHNS HOPKINS CENTER FOR TALENTED YOUTH 5801 SMITH AVENUE #400 MCAULEY HALL BALTIMORE, MD 21209	NONE 501(C)(3)	GENERAL OPERATING FUNDS	10,000.
LOYOLA UNIVERSITY 4501 NORTH CHARLES STREET BALTIMORE, MD 21210	NONE 501(C)(3)	GENERAL OPERATING FUNDS	20,000.
TOTAL CONTRIBUTIONS PAID			30,000.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2011

Name of estate or trust

SIGMUND M. HYMAN FOUNDATION, INC.

Employer identification number

52-6034760

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS			ATCH 1		920.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	15,849.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	16,769.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
13	Net short-term gain or (loss)	13		
14	Net long-term gain or (loss):			
a	Total for year	14a		16,769.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		16,769.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16	()
a	The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

SIGMUND M. HYMAN FOUNDATION, INC.

Employer identification number

52-6034760

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 193.349 HARBOR INTERNATIONAL FUND-INS	VARIOUS	11/23/2011	9,965.	10,873.	-908.
35 SH ROVI CORP COM	VARIOUS	11/16/2011	1,009.	1,505.	-496.
40 SH ROVI CORP COM	VARIOUS	12/29/2011	987.	1,720.	-733.
50 SH COVIDIEN PLC SHS	VARIOUS	01/30/2012	2,444.	2,141.	303.
5 SH APPLE INC COM	VARIOUS	01/25/2012	2,120.	1,057.	1,063.
50 SH EXPRESS SCRIPTS INC COM	VARIOUS	01/25/2012	2,586.	1,888.	698.
25 SH MCDONALDS CORP COM	VARIOUS	01/31/2012	2,538.	1,544.	994.
5 SH APPLE INC COM	VARIOUS	02/28/2012	2,575.	806.	1,769.
12 SH COMVERSE TECHNOLOGY INC	VARIOUS	04/02/2012	79.	1.	78.
10 SH MCDONALDS CORP COM	VARIOUS	03/16/2012	967.	617.	350.
10 SH NATIONAL OILWELL VARCO I	VARIOUS	03/16/2012	811.	445.	366.
10 SH NESTLE SA SPONSORED ADR	VARIOUS	03/16/2012	616.	445.	171.
25 SH NORTHEAST UTILITIES COM	VARIOUS	03/16/2012	931.	651.	280.
35 SH SCRIPPS NETWORK INTERACT IN CL A	VARIOUS	04/04/2012	1,709.	1,477.	232.
10,000 SH WALMART STORES INC INC	VARIOUS	04/05/2012	10,000.	9,993.	7.
25 SH ABB LTD SPON ADR	VARIOUS	05/16/2012	423.	482.	-59.
275 SH ABB LTD SPON ADR	VARIOUS	05/31/2012	4,460.	4,489.	-29.
5 SH APPLE INC COM	VARIOUS	08/03/2012	3,052.	806.	2,246.
5 SH EXPRESS SCRIPTS HLDG CO COM	VARIOUS	08/03/2012	289.	189.	100.
50 SH JPMORGAN CHASE & CO COM	VARIOUS	08/03/2012	1,799.	2,105.	-306.
40 SH NATIONAL OILWELL VARVO INC	VARIOUS	08/03/2012	2,882.	1,782.	1,100.
5 SH POTASH CORP SASK INC COM	VARIOUS	08/03/2012	221.	49.	172.
5 SH PRAXAIR INC COM	VARIOUS	08/03/2012	520.	223.	297.
60 SH SOSAL LTD-SPONSORED ADR	VARIOUS	08/03/2012	2,489.	3,136.	-647.
5 SH VF CORP COM	VARIOUS	08/03/2012	743.	336.	407.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Employer identification number

52-6034760

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 15,849.

JSA

FEDERAL CAPITAL GAIN DIVIDENDSATTACHMENT 1LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ABSOLUTE STRATEGIES FUND CLASS I
ROYCE PENNSYLVANIA FUND47.
873.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

920.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

920.

HYMAN, SIGMUND M FOUNDATION, INC IMA

ACCOUNT NUMBER:
STATEMENT PERIOD:

65-A392-01-1
10/01/12 - 10/31/12

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ PAR	MARKET VALUE/ AVG UNIT VALUE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
CASH & EQUIVALENTS						
CASH						
PRINCIPAL CASH		-636.88	-636.88	0.00		
INCOME CASH		213.64	213.64	0.00		
TOTAL CASH		\$ -423.24	\$ -423.24	\$ 0.00	\$ 0.00	0.00%
					\$ 0.00	\$ 0.00
CASH EQUIVALENTS						
GOLDMAN SACHS FEDERAL MMF #520		0.00	0.00	0.00	0.09	
		1.00	0.00			
TOTAL CASH EQUIVALENTS		\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00%
					\$ 0.09	
TOTAL CASH & EQUIVALENTS		\$ -423.24	\$ -423.24	\$ 0.00	\$ 0.00	0.00%
					\$ 0.09	
FIXED INCOME						
CORPORATE BONDS						
GOLDMAN SACHS GROUP INC SR NT	25,000	26,220.75	25,250.00	970.75	1,287.00	4.91%
5 15% DTD 01/13/2004 DUE 01/15/2014		104.88	101.00		379.09	

HYMAN, SIGMUND M FOUNDATION, INC IMA

ACCOUNT NUMBER: 65-A392-01-1
STATEMENT PERIOD: 10/01/12 - 10/31/12

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ PAR	MARKET VALUE/ AVG UNIT VALUE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
MERCK & CO INC NT 4.00% DTD 06/25/2009 DUE 06/30/2015 CALLABLE	25,000	27,247.50 108.99	26,040.75 104.16	1,206.75	1,000.00 336.11	3.67%
VERIZON GLOBAL FDG CORP NT 4.90% DTD 09/13/2005 DUE 09/15/2015 CALLABLE	25,000	28,022.00 112.09	26,620.25 106.48	1,401.75	1,225.00 156.52	4.37%
TOTAL CORPORATE BONDS		\$ 81,490.25	\$ 77,911.00	\$ 3,579.25	\$ 3,512.00 \$ 871.72	4.31%
TOTAL FIXED INCOME		\$ 81,490.25	\$ 77,911.00	\$ 3,579.25	\$ 3,512.00 \$ 871.72	4.31%
EQUITIES						
COMMON STOCK						
MATERIALS						
DU PONT E I DE NEMOURS & CO COM	50	2,226.00 44.52	2,326.96 46.54	-100.96	86.00	3.86%
POTASH CORP SASK INC COM	100	4,037.00 40.37	982.02 9.82	3,054.98	84.00 21.00	2.08%
PRAXAIR INC COM	45	4,779.45 106.21	2,003.19 44.52	2,776.26	99.00	2.07%
TOTAL MATERIALS		\$ 11,042.45	\$ 5,312.17	\$ 5,730.28	\$ 269.00 \$ 21.00	2.44%

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INDUSTRIALS						
DANAHER CORP COM	85	4,397.05 51.73	4,460.30 52.47	-63.25	8.00	0.19%
EMERSON ELEC CO COM	60	2,905.80 48.43	2,171.84 36.20	733.96	96.00	3.30%
3M CO COM	35	3,066.00 87.60	3,389.88 96.85	-323.88	82.00	2.69%
UNION PAC CORP COM	50	6,151.50 123.03	5,637.13 112.74	514.37	120.00	1.95%
UNITED TECHNOLOGIES CORP COM	70	5,471.20 78.16	3,612.84 51.61	1,858.36	149.00	2.74%
VALMONT INDS INC COM	25	3,377.50 135.10	2,314.70 92.59	1,062.80	22.00	0.67%
TOTAL INDUSTRIALS		\$ 25,369.05	\$ 21,586.69	\$ 3,782.36	\$ 477.00 \$ 0.00	1.89%
TELECOMMUNICATIONS SERVICES						
BCE INC COM NEW	125	5,457.50 43.66	4,451.51 35.61	1,005.99	283.00	5.20%
CROWN CASTLE INTL CORP COM	110	7,342.50 66.75	4,258.04 38.71	3,084.46		

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TOTAL TELECOMMUNICATIONS SERVICES		\$ 12,800.00	\$ 8,709.55	\$ 4,090.45	\$ 283.00	2.22%
					\$ 0.00	
CONSUMER DISCRETIONARY						
COACH INC COM	50	2,802.50 56.05	3,242.14 64.84	-439.64	60.00	2.14%
MCDONALDS CORP COM	90	7,812.00 86.80	5,381.84 59.80	2,430.16	277.00	3.55%
STARBUCKS CORP COM	60	2,754.00 45.90	1,403.39 23.39	1,350.61	50.00	1.83%
STARWOOD HOTELS & RESORTS WORLDWIDE INC	75	3,888.75 51.85	4,252.42 56.70	-363.67	93.00	2.41%
VF CORP COM	55	8,606.40 156.48	3,370.04 61.27	5,236.36	191.00	2.22%
YUM BRANDS INC COM	100	7,011.00 70.11	3,759.25 37.59	3,251.75	134.00 33.50	1.91%
TOTAL CONSUMER DISCRETIONARY		\$ 32,874.65	\$ 21,409.08	\$ 11,465.57	\$ 805.00 \$ 33.50	2.45%
CONSUMER STAPLES						
GENERAL MILLS INC COM	100	4,008.00 40.08	2,807.05 28.07	1,200.95	132.00 33.00	3.29%

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LAUDER ESTEE COS INC CL A	75	4,621.50 61.62	4,472.99 59.64	148.51	54.00	1.17%
NESTLE S A SPONSORED ADR REPSTG REG SH	140	8,885.38 63.47	4,038.75 28.85	4,846.63	248.00	2.79%
PEPSICO INC COM	50	3,462.00 69.24	2,766.66 55.33	695.34	107.00	3.11%
PROCTER & GAMBLE CO COM	55	3,808.20 69.24	3,263.54 59.34	544.66	123.00 30.91	3.25%
TOTAL CONSUMER STAPLES		\$ 24,785.08	\$ 17,348.99	\$ 7,436.09	\$ 664.00 \$ 63.91	2.68%
ENERGY						
CHEVRON CORP NEW COM	50	5,512.04 110.24	3,886.46 77.73	1,625.58	180.00	3.27%
CONOCOPHILLIPS COM	45	2,603.25 57.85	2,454.73 54.55	148.52	118.00 29.70	4.56%
EXXON MOBIL CORP COM	80	7,293.60 91.17	5,231.05 65.39	2,062.55	182.00	2.50%
NATIONAL OILWELL VARCO INC	75	5,527.50 73.70	2,370.12 31.60	3,157.38	36.00	0.65%
TOTAL ENERGY		\$ 20,936.39	\$ 13,942.36	\$ 6,994.03	\$ 516.00 \$ 29.70	2.47%

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FINANCIALS						
INVESCO LTD	175	4,256.00 24.32	3,085.29 17.63	1,170.71	120.00	2.84%
AMERICAN EXPRESS CO COM	100	5,597.00 55.97	4,095.89 40.96	1,501.11	80.00 20.00	1.43%
JPMORGAN CHASE & CO COM	75	3,126.00 41.68	3,082.31 41.10	43.69	90.00	2.88%
RAYONIER INC COM	50	2,450.50 49.01	2,388.82 47.78	61.68	88.00	3.59%
TOTAL FINANCIALS		\$ 15,429.50	\$ 12,652.31	\$ 2,777.19	\$ 378.00 \$ 20.00	2.45%
HEALTHCARE						
COVIDIEN PLC SHS	100	5,495.00 54.95	4,282.10 42.82	1,212.90	104.00 26.00	1.89%
CELGENE CORP COM	40	2,934.00 73.35	2,640.45 66.01	293.55		
EXPRESS SCRIPTS HLDG CO COM	125	7,693.13 61.55	4,056.56 32.45	3,636.57		
MERCK & CO INC NEW COM	150	6,844.50 45.63	5,581.46 37.21	1,263.04	252.00	3.68%

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THERMO FISHER CORP COM	75	4,579.50 61.06	2,328.71 31.05	2,250.79	39.00	0.85%
UNITEDHEALTH GROUP INC COM	75	4,200.00 56.00	3,799.09 50.66	400.91	63.00	1.52%
TOTAL HEALTHCARE		\$ 31,746.13	\$ 22,688.37	\$ 9,057.76	\$ 458.00 \$ 26.00	1.45%
INFORMATION TECHNOLOGY						
APPLE INC COM	15	8,929.80 595.32	1,821.07 121.41	7,108.73	159.00	1.78%
CISCO SYS INC COM	30	514.35 17.15	594.00 19.80	-79.65	16.00	3.27%
GOOGLE INC CL A	5	3,401.49 680.30	2,019.11 403.82	1,382.38		
INTERNATIONAL BUSINESS MACHS CORP COM	35	6,808.55 194.53	4,243.41 121.24	2,565.14	119.00	1.75%
MICROSOFT CORP COM	150	4,281.00 28.54	3,513.90 23.43	767.10	138.00	3.22%
MICROCHIP TECHNOLOGY INC COM	75	2,350.55 31.34	2,526.19 33.68	-175.64	105.00	4.48%
NETAPP INC COM	120	3,229.20 26.91	1,950.65 16.26	1,278.55		

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ORACLE CORP COM	150	4,662.00 31.08	2,810.09 18.73	1,851.91	36.00 9.00	0.77%
QUALCOMM INC COM	75	4,395.75 58.61	4,762.26 63.50	-366.51	75.00	1.71%
TOTAL INFORMATION TECHNOLOGY		\$ 38,572.69	\$ 24,240.68	\$ 14,332.01	\$ 648.00 \$ 9.00	1.68%
UTILITIES						
NEXTERA ENERGY INC COM	75	5,254.50 70.06	4,090.77 54.54	1,163.73	180.00	3.43%
NORTHEAST UTILITIES COM	175	6,877.50 39.30	3,887.29 22.21	2,990.21	240.00	3.49%
TOTAL UTILITIES		\$ 12,132.00	\$ 7,978.06	\$ 4,153.94	\$ 420.00 \$ 0.00	3.46%
TOTAL COMMON STOCK		\$ 225,687.94	\$ 155,868.26	\$ 69,819.68	\$ 4,918.00 \$ 203.11	2.18%
EQUITY MUTUAL FUNDS						
SMALL CAP EQUITY MUTUAL FUNDS						
JANUS TRITON FUND CLASS T #1074	731.16	13,117.01 17.94	13,000.00 17.78	117.01		

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T ROWE PRICE SMALL-CAP VALUE FD INC CAP STK #46	346.945	13,506.57 38.93	13,000.00 37.47	506.57	90.00	0.67%
TOTAL SMALL CAP EQUITY MUTUAL FUNDS		\$ 26,623.58	\$ 26,000.00	\$ 623.58	\$ 90.00 \$ 0.00	0.34%
INTERNATIONAL EQUITY MUTUAL FUNDS						
HARBOR INTERNATIONAL FUND-INS #2011	504.589	29,826.26 59.11	25,224.38 49.99	4,601.88	664.00	2.23%
HARDING LOEVNER EMERGING MARKETS PORTFOLIO ADVISOR CLASS #103	271.919	13,470.87 49.54	9,910.54 36.45	3,560.33	93.00	0.70%
TOTAL INTERNATIONAL EQUITY MUTUAL FUNDS		\$ 43,297.13	\$ 35,134.92	\$ 8,162.21	\$ 757.00 \$ 0.00	1.75%
ALTERNATIVE/OTHER EQUITY MUTUAL FUNDS						
ABSOLUTE STRATEGIES FUND CLASS I	938.111	10,488.08 11.18	9,906.45 10.56	581.63	2.00	0.03%
TOTAL ALTERNATIVE/OTHER EQUITY MUTUAL FUNDS		\$ 10,488.08	\$ 9,906.45	\$ 581.63	\$ 2.00 \$ 0.00	0.03%
TOTAL EQUITY MUTUAL FUNDS		\$ 80,408.79	\$ 71,041.37	\$ 9,367.42	\$ 849.00 \$ 0.00	1.06%
TOTAL EQUITIES		\$ 306,096.73	\$ 226,909.63	\$ 79,187.10	\$ 5,767.00 \$ 203.11	1.89%

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PENDING TRADES						
CASH DUE FOR PENDING SALES		13,001.21 0.00	13,001.21 0.00	0.00		
TOTAL PENDING TRADES		\$ 13,001.21	\$ 13,001.21	\$ 0.00	\$ 0.00 \$ 0.00	0.00%
TOTAL MARKET VALUE		\$ 400,164.95	\$ 317,398.60	\$ 82,766.35	\$ 9,279.00 \$ 1,074.92	2.32%
TOTAL MARKET VALUE PLUS ACCRUED INCOME		\$ 401,239.87				

RECEIPTS

	DATE	INCOME CASH	PRINCIPAL CASH
INTEREST INCOME			
GOLDMAN SACHS FEDERAL MMF #520 INT TO 09/30/12	10/01/12	0.09	
TOTAL INTEREST INCOME		\$ 0.09	\$ 0.00
DIVIDEND INCOME			
BCE INC COM NEW DIV .578 PER SH ON 125 SHS	10/15/12	72.33	
CISCO SYS INC COM DIV 140 PER SH ON 30 SHS	10/24/12	4.20	