



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2011**Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 11/01, 2011, and ending 10/31, 2012

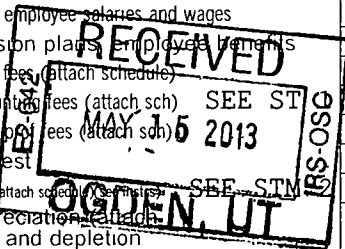
JOSEPH ALEXANDER FOUNDATION, INC.
PO BOX 433
FT WASHINGTON, PA 19034-0433**A** Employer identification number
51-0175951**B** Telephone number (see the instructions)
813-902-2691**C** If exemption application is pending, check here ☐**D** 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**G** Check all that apply ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, column (c), line 16) **J** Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
\$ 13,875,192. (Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	16,362.	16,362.		
4 Dividends and interest from securities	226,867.	226,867.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	-95,086.			
b Gross sales price for all assets on line 6a	1,932,850.			
7 Capital gain net income (from Part IV line 2)		0.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	148,143.	243,229.	0.	69,402.
13 Compensation of officers, directors, trustees, etc.	81,650.	12,248.		
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST	17,930.	2,690.		15,240.
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule) SEE STM	15,409.	8,393.		5,454.
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 3	110,259.	64,209.		46,050.
24 Total operating and administrative expenses. Add lines 13 through 23	225,248.	87,540.		136,146.
25 Contributions, gifts, grants paid PART XV	677,500.			677,500.
26 Total expenses and disbursements. Add lines 24 and 25	902,748.	87,540.	0.	813,646.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-754,605.			
b Net investment income (if negative, enter 0)		155,689.		
c Adjusted net income (if negative, enter 0)			0.	

SCANNED MAY 17 2013

ADMINISTRATIVE AND OPERATING EXPENSES



17

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing	46,688.	26,927.	26,927.
	2 Savings and temporary cash investments	291,120.	97,129.	97,129.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	8,349.	957.	957.
	10a Investments – U S and state government obligations (attach schedule)	3,349,864.	3,349,300.	3,349,300.
	b Investments – corporate stock (attach schedule)	7,862,573.	8,432,376.	8,432,376.
	c Investments – corporate bonds (attach schedule)	2,451,212.	1,951,182.	1,951,182.
	11 Investments – land, buildings, and equipment basis			
L I A B I L I T I E S	Less accumulated depreciation (attach schedule)			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe SEE STATEMENT 4)	12,948.	17,321.	17,321.
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	14,022,754.	13,875,192.	13,875,192.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
N E T A S S E T S O R F U N D B A L A N C E S	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 5)	42,814.	42,552.	
	23 Total liabilities (add lines 17 through 22)	42,814.	42,552.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	13,979,940.	13,832,640.	
	30 Total net assets or fund balances (see instructions)	13,979,940.	13,832,640.	
	31 Total liabilities and net assets/fund balances (see instructions)	14,022,754.	13,875,192.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,979,940.
2 Enter amount from Part I, line 27a	2	-754,605.
3 Other increases not included in line 2 (itemize) SEE STATEMENT 6	3	607,305.
4 Add lines 1, 2, and 3	4	13,832,640.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	13,832,640.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE SCHEDULE ATTACHED -ML		P	VARIOUS	7/20/12
b SEE SCHEDULE ATTACHED-ML		P	VARIOUS	VARIOUS
c SEE SCHEDULE ATTACHED-JP MORGAN		P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 64,286.		88,244.	-23,958.
b 384,200.		284,863.	99,337.
c 1,484,364.		1,654,829.	-170,465.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-23,958.
b			99,337.
c			-170,465.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-95,086.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-23,958.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	794,240.	14,094,529.	0.056351
2009	805,572.	14,405,506.	0.055921
2008	798,786.	14,542,640.	0.054927
2007	844,002.	16,756,231.	0.050369
2006	818,698.	18,225,129.	0.044921

2 Total of line 1, column (d)	2	0.262489
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052498
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	13,739,739.
5 Multiply line 4 by line 3	5	721,309.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,557.
7 Add lines 5 and 6	7	722,866.
8 Enter qualifying distributions from Part XII, line 4	8	813,646.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,557.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,557.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,557.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	7,412.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	7,412.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,855.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JOSEPH ALEXANDER FOUNDATION</u> Telephone no <u>813-902-2691</u> Located at <u>PO BOX 433 FT WASHINGTON PA</u> ZIP + 4 <u>19034-0433</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

BAA

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		67,400.	14,250.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 UNIVERSITY OF PENNSYLVANIA LOCATED IN PHILDELPHIA, PENNSYLVANIA. THE GRANT IS MADE TO THE PA UNIVERSITY SCHOOL OF ENGINEERING.	100,000.
2 WESTERN WALL HERITAGE FOUNDATION, NEW YORK, NY; EXCAVATION ACTIVITIES AND MUSEUM EXHIBITING HISTORICAL AND RELIGIOUS ARTIFACTS	50,000.
3 YESHIVA UNIVERSITY, FUNDING FOR THE SPECIFIC NEEDS OF THE SCIENCE EDUCATION DEPARTMENT, NEW YORK, NY	50,000.
4 GENESIS FOUNDATION, MARLBORO, NEW JERSEY. ADVANCEMENT OF THE CHRISTIAN FAITH THOROUGH SCIENTIFIC STUDY OF THE BIBLE	50,000.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	13,698,254.
b	Average of monthly cash balances	1b	230,933.
c	Fair market value of all other assets (see instructions)	1c	19,787.
d	Total (add lines 1a, b, and c)	1d	13,948,974.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,948,974.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	209,235.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,739,739.
6	Minimum investment return. Enter 5% of line 5	6	686,987.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	686,987.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,557.
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,557.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	685,430.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	685,430.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	685,430.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	813,646.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	813,646.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,557.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	812,089.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				685,430.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008	12,962.			
d From 2009	89,203.			
e From 2010	92,262.			
f Total of lines 3a through e	194,427.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 813,646.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				685,430.
e Remaining amount distributed out of corpus	128,216.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	322,643.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	322,643.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008	12,962.			
c Excess from 2009	89,203.			
d Excess from 2010	92,262.			
e Excess from 2011	128,216.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	RELIGIOUS	2,500.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	MEDICAL	130,000.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	EDUCATIONAL	212,000.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	CHARITABLE	333,000.
Total			3a	677,500.
<i>b Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	16,362.	
4	Dividends and interest from securities			14	226,867.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-95,086.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				148,143.	
13	Total. Add line 12, columns (b), (d), and (e)					148,143.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Henry Maebler | 5/10/13 ▶ TRUSTEE

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer s name

DAVID BLITZ

Preparer's signature

DAVID BLITZ

Date	
------	--

5/7/13

Check ☐ self-employed

PTIN

P00353949

Firm's name ▶ JOEL POPKIN & COMPANY, P.C.

Firm's EIN ▶ 13-2910608

Firm's address ▶ 1430 BROADWAY

NEW YORK, NY 10018

Phone no (212) 575-7800

BAA

Form 990-PF (2011)

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer. See instructions	Employer identification number (EIN) or
	JOSEPH ALEXANDER FOUNDATION, INC.	☒ 51-0175951
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	PO BOX 433	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	FT WASHINGTON, PA 19034-0433	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JOSEPH ALEXANDER FOUNDATION

Telephone No ► 813-902-2691 FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 6/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☐ calendar year 20____ or
- ☒ tax year beginning 11/01, 20 11, and ending 10/31, 20 12

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	1,557.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	7,412.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Paperwork Reduction Act Notice, see Instructions.Form **8868** (Rev 1-2012)

2011

FEDERAL STATEMENTS

PAGE 1

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

5/06/13

03 33PM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOEL POPKIN & CO.	\$ 17,930.	\$ 2,690.		\$ 15,240.
TOTAL	<u>\$ 17,930.</u>	<u>\$ 2,690.</u>	<u>\$ 0.</u>	<u>\$ 15,240.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES-CURRENT PROVISION	\$ 1,562.			
FOREIGN TAXES PAID	7,430.	\$ 7,430.		
PAYROLL TAXES	6,417.	963.		\$ 5,454.
TOTAL	<u>\$ 15,409.</u>	<u>\$ 8,393.</u>	<u>\$ 0.</u>	<u>\$ 5,454.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 2,043.	\$ 306.		\$ 1,737.
INVESTMENT BROKER FEES	56,084.	56,084.		
NY STATE FILING FEES	750.	112.		638.
OFFICE EXPENSE	328.	49.		279.
RENTAL EXPENSES	50,369.	7,555.		42,814.
SUNDRY AND MISCELLANEOUS	685.	103.		582.
TOTAL	<u>\$ 110,259.</u>	<u>\$ 64,209.</u>	<u>\$ 0.</u>	<u>\$ 46,050.</u>

STATEMENT 4
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE		\$ 3,552.
ACCRUED INTEREST RECEIVABLE	\$ 3,552.	
FEDERAL EXCISE TAX RECEIVABLE	5,831.	5,831.
SECURITY DEPOSITS		7,938.
SECURITY DEPOSITS	7,938.	
TOTAL	<u>\$ 17,321.</u>	<u>\$ 17,321.</u>

2011

FEDERAL STATEMENTS

PAGE 2

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

5/06/13

03 33PM

STATEMENT 5
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DEFERRED TAXES PAYABLE	\$	40,538.
ACCRUED EXPENSES AND TAXES PAYABLE		2,014.
TOTAL	\$	<u>42,552.</u>

STATEMENT 6
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

UNREALIZED APPRECIATION - SECURITIES (NET)	\$	607,305.
TOTAL	\$	<u>607,305.</u>

STATEMENT 7
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
A. SNYDER-MACKLER PO BOX 433 FT WASHINGTON, PA 19034-0433	DIRECTOR 0	\$ 1,050.	\$ 0.	\$ 0.
HELEN MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	SECY/DIR 0	21,650.	4,750.	0.
ROBERT WEINTRAUB 110 EAST 59TH STREET NEW YORK, NY 10022	PRESIDENT/DIR 0	21,650.	4,750.	0.
HARVEY MACKLER PO BOX 433 FT WASHINGTON, PA 19034-0433	DIR 0	21,650.	4,750.	0.
RANDI WINDHEIM PO BOX 433 FT WASHINGTON, PA 19034-0433	DIRECTOR 0	1,400.	0.	0.
TOTAL		\$ <u>67,400.</u>	\$ <u>14,250.</u>	\$ <u>0.</u>

STATEMENT 8
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	HARVEY MACKLER
CARE OF:	JOSEPH ALEXANDER FOUNDATION, INC.
STREET ADDRESS:	PO BOX 433

2011

FEDERAL STATEMENTS

PAGE 3

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

5/06/13

03 33PM

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

CITY, STATE, ZIP CODE: FT WASHINGTON, PA 19034-0433

TELEPHONE:

FORM AND CONTENT:

THE APPLICATION SHOULD BE SUBMITTED THROUGH A WRITTEN APPLICATION. THOSE DETAILS THAT RELATE TO THE SPECIFIC REQUIRED CONTENT OF THE APPLICATION CAN BE OBTAINED BY CONTACTING THE FOUNDATION.

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

THERE ARE NO RESTRICTIONS ON LIMITATIONS OR AWARDS, SUCH AS BY TYPE OR LOCATION, ONE'S CHARITABLE FIELD OR ENDEAVOR, THE TYPE OF THE APPLYING INSTITUTION OR ANY OTHER TYPE OF FACTOR. ACCORDINGLY, AS THERE ARE NO LIMITATIONS OR RESTRICTIONS INHERENT IN THE PROCESS, THE FOUNDATION CAN AFFIRM TO THE FACT THAT THERE IS NO DISCRIMINATION OF ANY KIND RELATING TO THE DECISIONS MADE BY THE FOUNDATION REGARDING THE APPROVAL PROCESS FOR THE GRANTING OF AWARDS, GRANTS OR CONTRIBUTIONS.

T. P. Marlow

YEAR ENDED.....10-31-12

SECURITY SOLD	NO. OF SHARES OR P V	DATE		SALES PRICE	COST OR OTHER BASIS	SHORT TERM GAIN OR (LOSS)	LONG TERM GAIN OR (LOSS)	STATE TRANSFER TAXES
		ACQ.	SOLD					
MEDTRONICS INC	1000		7/6/11	3585111	48369		(1251789)	
PLUM CREEK TIMBER	1770		7/6/11	64130	49560		(1457082)	
STATE STREET CORP	1000		7/6/11	40202	35338		486402	
DEVCON ENERGY CORP	6000		7/7/11	382916	364887		(18197076)	
ENBRIDGE ENERGY	3832		7/6/11	12294712	47693		7525412	
HARVESTAR FINANCIAL SERVICES	1500		8/6/11	32279	6339		2594041	
LOVES CAM PANTS	6000		8/6/11	181448	13173450		799813	
PEPSICO INC	1000		7/2/11	64390	4414335		1505144	
BP PLC	7500		6/1/11	286233	61329272	50	(4403889)	
BP PLC	7500		8/1/11	274965	19350586	30	(7563071)	
ADD TRANSFER TAXES								
TOTALS				1484364	4916482980		(17046531)	
NET GAIN OR (LOSS)		NET SHORT-TERM GAIN OR (LOSS)						
		NET LONG-TERM GAIN OR (LOSS)						

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2012

	Cost or Other Basis	Market Value
Recapitulation:		
Common stock J.P. Morgan	\$ 3,807,718	\$ 4,281,959
Common stock Merrill Lynch	1,768,647	4,076,046
U.S. Treasury bills	3,347,790	3,349,300
Corporate Bonds	100,000	96,500
Bank certificates of deposit	1,860,000	1,854,682
Mutual funds	77,751	74,371
Total	<u>\$ 10,961,906</u>	<u>\$ 13,732,858</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2012

<u>Name of Security</u>	<u>Number of Shares Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Common stock Merrill Lynch:			
Allergan	700	\$ 40,642	\$ 62,944
American Movil	20,000	24,567	505,800
Apache	840	25,163	69,484
Astra Zeneca	1,000	48,805	46,400
AT&T	3,600	16,787	124,524
Baxter Intl	2,000	26,388	125,260
BHP Billiton Ltd.	1,015	22,683	71,770
BT Group	3,000	114,529	103,140
Centurylink	1,500	61,247	57,570
Coca Cola	2,000	49,636	74,360
Comp de Bebidas	2,000	55,465	81,580
Conoco Phillips	1,000	23,932	57,850
CSX Corp.	2,500	56,484	51,175
Danaher	2,000	33,600	103,460
Digital Realty	1,100	66,031	67,573
Duke Energy	435	19,998	28,575
Exelon	1,500	59,018	53,670
Exxon Mobil	3,000	64,146	273,510
Fairpoint Communication	80	737	-
General Electric	6,000	19,569	126,360
Grupo Mexico	48,446	42,741	155,303
Johnson & Johnson	1,000	45,597	70,820
Marathon Oil	2,400	74,431	72,144
Marathon Petroleum	500	14,574	27,465
McDonalds	400	36,069	34,720
Mead Johnson	1,000	44,388	61,660
Microsoft	2,000	58,719	57,080
Nextera	800	26,373	56,048
Oracle Corp.	3,000	10,215	93,240
Pfizer	4,000	55,199	99,480
Phillips 66	500	6,857	23,580
Proctor Gamble	3900	17,376	270,036
Public Service Ent.	1400	25,757	44,856
Target	1000	37,375	63,750
Telefonica Espana	7531	23,914	98,957
United Healthcare	4500	91,334	252,000
United Technologies	2000	62,251	156,320
Vale	2500	68,646	45,800
Veolia Environment	4000	69,511	39,760
Verizon Communications	3242	56,710	144,723
Wal-Mart Mexico	20000	43,352	59,089
Zimmer Holdings	1000	27,831	64,210
Total Common Stock Merrill Lynch		\$ 1,768,647	\$ 4,076,046

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2012

<u>Name of Security</u>	<u>Number of Shares Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Common stock J.P. Morgan:			
American Express	4,500	\$ 198,289	\$ 251,865
Amgen Inc.	800	46,710	69,192
Apple Inc.	945	572,347	562,577
Automatic Data Processing	1,000	53,529	57,790
Ford Motor Co.	48,000	663,219	535,680
General Electric	40,000	918,894	842,400
IBM	3,000	367,021	583,590
Johnson & Johnson	3,000	193,732	212,460
Kinder Morgan Management LLC	2,016	37,686	150,555
Liberty Property Trust	1,000	29,290	35,120
Proctor & Gamble	2,000	92,226	138,480
Wells Fargo	25,000	634,775	842,250
Total Common Stock J.P. Morgan		<u>\$ 3,807,718</u>	<u>\$ 4,281,959</u>

JOSEPH ALEXANDER FOUNDATION, INC.
 CERTIFICATES OF DEPOSIT
YEAR ENDED OCTOBER 31, 2012

<u>Name of Security</u>	<u>DOE Date</u>	<u>Cost</u>	<u>Market Value</u>
Apple Bank, New York	11/16/12	\$ 245,000	\$ 244,882
Bank of Baroda, New York	05/28/13	100,000	99,661
Bank of China, New York	06/13/13	100,000	99,695
Bank Hapoalim, New York	05/24/13	190,000	189,472
Beal Bank SSB, Texas	03/27/13	100,000	99,651
Central National Bank, Texas	06/24/13	100,000	99,620
Discover Bank, Delaware	10/31/13	100,000	99,360
First Bank, Puerto Rico	02/25/13	95,000	94,761
First Bank, Puerto Rico	12/03/12	100,000	99,906
Goldman Sachs Bank, New York	11/09/12	100,000	99,980
Safra National Bank, New York	03/07/13	100,000	99,699
Safra National Bank, New York	04/04/13	95,000	94,682
Sovereign Bank, Delaware	03/14/13	90,000	89,746
State Bank of India, NY	10/11/13	100,000	99,396
Synovus Bank, Georgia	09/11/13	150,000	149,171
Held at Merrill Lynch		1,765,000	1,759,682
Everbank, Florida		95,000	95,000
Total Certificates of Deposit		<u><u>\$ 1,860,000</u></u>	<u><u>\$ 1,854,682</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2012

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
U.S. Treasury Bills:			
Due 11/15/12	\$ 700,000	\$ 699,537	\$ 699,986
Due 12/27/12	750,000	749,481	749,880
Due 1/3/13	900,000	899,368	899,874
Due 2/28/13	750,000	749,519	749,692
Due 3/21/13	250,000	249,885	249,868
Total U.S. Treasury Bills	<u><u>\$ 3,350,000</u></u>	<u><u>\$ 3,347,790</u></u>	<u><u>\$ 3,349,300</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2012

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Corporate Bonds:			
SLM Corp.			
FLT% due 6/23/14	100,000	\$ 100,000	\$ 96,500
Total corporate bonds		<u>\$ 100,000</u>	<u>\$ 96,500</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2012

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Mutual Fund:			
Nuveen Energy MLP	4,118	\$ 77,751	\$ 74,371
Total Mutual Fund		<u>\$ 77,751</u>	<u>\$ 74,371</u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2012

<u>Recipient</u> <u>Charitable Grants</u>	<u>Purpose</u>	<u>Amount</u>
92nd Street Y New York, NY	Unrestricted	\$ 1,500
ALS Association Philadelphia, PA	Unrestricted	30,000
ALS Association of Florida Tampa, FL	Unrestricted	2,500
ALS Association of New York New York, NY	Unrestricted	5,000
American-Israel Friendship League New York, NY	Youth Exchange Program	10,000
American Friends Hebrew University New York, NY	Unrestricted	15,000
American Friends Beit Issie Shapiro New York, NY	Unrestricted	5,000
American Museum of Natural History New York, NY	Unrestricted	2,500
American Society for Yad Vashem New York, NY	Unrestricted	2,500
Autism Speaks New York, NY	Unrestricted	10,000

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2012

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
Big Brothers Big Sisters New York, NY	Unrestricted	\$ 2,500
Brooklyn Museum of Art Brooklyn, NY	Unrestricted	5,000
Chamah New York, NY	Unrestricted	25,000
Center for Jewish History New York, NY	Unrestricted	10,000
City Harvest New York, NY	Unrestricted	2,500
Citymeals New York, NY	Unrestricted	1,500
CLAL New York, NY	Unrestricted	5,000
Cystic Fibrosis Broomall, PA	Unrestricted	5,000
Down Syndrome of Central Florida Winter Park, FL	Unrestricted	2,500
ELEM/Youth in Distress New York, NY	Unrestricted	3,000
Federation of Jewish Men's Clubs New York, NY	Unrestricted	5,000
Guiding Eyes for the Blind Yorktown Heights, NY	Unrestricted	1,500
Hillel-University of Delaware Newark, DE	Unrestricted	10,000
International Center for Photography New York, NY	Unrestricted	5,000

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2012

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
JEVS Human Services Philadelphia, PA	Unrestricted	\$ 7,500
Jewish Braille Institute New York, NY	Unrestricted	15,000
Jewish Federation of Tulsa Tulsa, OK	Unrestricted	5,000
Jewish Foundation for the Righteous New York, NY	Unrestricted	5,000
Jewish Museum New York, NY	Unrestricted	15,000
Keren Or New York, NY	Unrestricted	5,000
Lighthouse International New York, NY	Unrestricted	5,000
Museum of the City of New York New York, NY	Unrestricted	2,500
National Foundation for Facial Reconstruction New York, NY	Unrestricted	5,000
National Down Syndrome Society New York, NY	Unrestricted	5,000
Ohel Children's Home Brooklyn, NY	Unrestricted	10,000
Pediatric Cancer Foundation Mamaroneck, NY	Unrestricted	2,500
P. Kappa Alpha FDN Philadelphia, PA	Unrestricted	3,000
Promise Project New York, NY	Unrestricted	5,000

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2012

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
See USA Israel Arts & Science Academy	Unrestricted	5,000
United Hebrew Geriatrics Ctr New Rochelle, NY	Unrestricted	5,000
USC Shoah Fun Los Angeles, CA	Unrestricted	5,000
Western Wall Heritage Foundation New York, NY	Excavations and Museum	50,000
WNET - Thirteen New York, NY	Unrestricted	5,000
Yad Sarah New York, NY	Unrestricted	5000
Total Charitable Grants		<u>\$ 333,000</u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2012

<u>Recipient</u> <u>Educational</u>	<u>Purpose</u>	<u>Amount</u>
Bar Elan University New York, NY	Ruth Rackman Center	\$ 50,000
Delaware Valley Reading Association Glenside, PA	Unrestricted	5,000
Genesis Foundation Marlboro, NJ	Scholarship	50,000
OHR Torah Stone New York, NY	Unrestricted	15,000
Pace University New York, NY	Unrestricted	10,000
PPEF Irving, TX	Unrestricted	3,000
Reading Reform FDN New York, NY	Unrestricted	10,000
Thomas Fitzwater School Willow Grove, PA	Unrestricted	1,500
Upper Dublin Education FDN Maple Glen, PA	Unrestricted	10,000
Westchester Golf Assn West Nyack, NY	Scholarship	7,500
Yeshiva University New York, NY	Science Education	<u>50,000</u>
Total Educational Grants		<u><u>\$ 212,000</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2012

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Religious</u>		
Friends of Jewish Chapel Annapolis, MD	Unrestricted	\$ 2,500
Total Religious Grants		<u>\$ 2,500</u>
<u>Medical</u>		
Albert Einstein College of Medicine New York, NY	Optic Nerve Research	\$ 25,000
American Comm Shaare Zedek New York, NY	Unrestricted	5,000
University of Pennsylvania Philadelphia, PA	Unrestricted	<u>100,000</u>
Total Medical Grants		<u>\$ 130,000</u>
Grants Recapitulation:		
Charitable		\$ 333,000
Educational		212,000
Religious		2,500
Medical		<u>130,000</u>
Total Grants Paid		<u>\$ 677,500</u>