Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning November 1 **, 2012, and ending** October 31 **, 2012**

Name of foundation: RBC Foundation - USA

A Employer identification number: 41-6030639

Number and street (or P O box number if mail is not delivered to street address): 60 South 6th St., MS P08

Room/suite:

B Telephone number (see instructions): 612-371-2218

City or town, state, and ZIP code: Minneapolis MN 55402

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 312,203

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	2,088,192			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	42	42		
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	2,088,234	42	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	0	0	0	0
	25	Contributions, gifts, grants paid	2,398,698			2,398,698
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,398,698	0	0	2,398,698
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	(310,464)			
	b	**Net investment income** (if negative, enter -0-)		42		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	614,295	303,831	303,831
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less. allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less· allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment· basis ▶ Less. accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ Partnership Invstmt)	8,372	8,372	8,372
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	622,667	312,203	312,203
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	622,667	312,203	
30	**Total net assets or fund balances** (see instructions)	622,667	312,203	
31	**Total liabilities and net assets/fund balances** (see instructions)	622,667	312,203	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	622,667
2	Enter amount from Part I, line 27a	2	(310,464)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	312,203
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	312,203

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	2,220,921	1,466,872	1.5141
2010	2,244,666	1,546,770	1.4512
2009	2,088,889	1,348,309	1.5493
2008	1,996,764	566,225	3.5264
2007	3,149,484	287,786	10.9438

2	**Total** of line 1, column (d)	2	18.9848
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	3.79696
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	902,932
5	Multiply line 4 by line 3	5	3,428,397
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7	Add lines 5 and 6	7	3,428,397
8	Enter qualifying distributions from Part XII, line 4	8	2,398,698

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary—see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	1
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,951		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,951
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,950
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 1,950 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	
	Website address ▶ http://www.rbcwm-usa.com/community/cid-275952.html				
14	The books are in care of ▶ Julie Allen Telephone no. ▶ 612-371-2218				
	Located at ▶ 60 South 6th Street MS P20, Minneapolis, MN ZIP+4 ▶ 55402				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 1				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3 N/A	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	908,310
b	Average of monthly cash balances	1b	8,372
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	916,682
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	916,682
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	13,750
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	902,932
6	**Minimum investment return.** Enter 5% of line 5	6	45,147

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,147
2a	Tax on investment income for 2012 from Part VI, line 5 . . . 2a		
b	Income tax for 2012 (This does not include the tax from Part VI) . . . 2b		
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1	3	45,147
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	45,147
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	45,147

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	2,398,698
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,398,698
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,398,698

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				45,147
2	Undistributed income, if any, as of the end of 2012:				
a	Enter amount for 2011 only				
b	Total for prior years 20___,20____,20____				
3	Excess distributions carryover, if any, to 2012:				
a	From 2007 3,135,189				
b	From 2008 1,968,501				
c	From 2009 2,035,022				
d	From 2010 2,167,337				
e	From 2011 2,147,579				
f	**Total** of lines 3a through e	11,453,628			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 2,398,698				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				45,147
e	Remaining amount distributed out of corpus	2,353,551			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,807,179			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions		0		
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	3,135,189			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	10,671,990			
10	Analysis of line 9				
a	Excess from 2008 1,968,501				
b	Excess from 2009 2,035,022				
c	Excess from 2010 2,167,337				
d	Excess from 2011 2,147,579				
e	Excess from 2012 2,353,551				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter. (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Julie Allen, 60 South 6th Street, Minneapolis, MN 55402 612-371-2218

b The form in which applications should be submitted and information and materials they should include

See Statement 2

c Any submission deadlines.

January 30 and June 30

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Statement 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Statement 3		Public	General	2,398,698
Total ▶ 3a				2,398,698
b *Approved for future payment*				
Statement 3		Public	General	50,000
Total ▶ 3b				50,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	42	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		42	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 42

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	N/A

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Eric Carlson | 04/14/14 | Director, Planning & Analysis

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization	Employer identification number
RBC Foundation - USA	41-6030639

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
RBC Foundation - USA	41-6030639

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RBC Capital Markets, LLC 200 Vesey Street New York, NY 10281	$ 2,088,192	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

RBC Foundation – USA
2013 Board of Directors

Martha Baumbach
John Taft
Mary Zimmer

RBC Foundation – USA
2013 Twin Cities Advisory Board

Martha Baumbach, President
Julie Allen, Manager
Janet Boie
Jason Bowles
Wally Chapman
Shana Deuel
Sandy Duerre
Mark Gorius
Warren Kelly
Kristen Kimmell
Sue Richey
Eric Thompson

RBC Foundation - USA
2013 Summary of Distributions

Program	Total Award
Twin Cities Program	$600,000
Corporate/Capital Grants	$255,560
Divisional Program	$790,000
Employee/Executive Gift-Matching Program	$316,479
Employee Team Volunteer Grants Program	$5,000
United Way Branch Matching	$59,864
Discretionary Funds	$192,795
Leave a Legacy Program	$14,500
RBC Blue Water Project/Joint Fund	$164,500
TOTAL	$2,398,698

Contributions Approved for Future Payment
Capital Campaign Commitments

Organization Name	City	State	Amount
Friends of the St Paul Public Library	St Paul	MN	$25,000
Greater Minneapolis Crisis Nursery	Minneapolis	MN	$25,000
Total			**$50,000**

RBC Foundation – USA
Guidelines

RBC Wealth Management

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        - Emerging Artists
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    - Sponsorships
    - RBC Blue Water Project
  - Careers
- Institutional Services

Personal Wealth Management > Community Involvement > Foundation Grants > Guidelines

Giving Guidelines

Foundation Focus Areas

For maximum impact, RBC Foundation – USA prioritizes its giving to the following areas.

- Education (Priority Focus)
- Health (Priority Focus)
- Human Services
- Arts and Culture
- Civic Programs

Education

Education grants are primarily directed to the RBC After School Grants Project for programs that prepare K-12 students for future success The Foundation focuses on

- Structured, supervised academic mentoring or college-preparatory activities
- Youth financial literacy programs

Health

Health grants are exclusively directed to the RBC Children's Mental Health Project, a program aimed at helping children lead normal, productive lives and reducing the social and economic burden of mental illness by supporting the following initiatives:

- Early Intervention Programs
- Public Education Programs

Human Services

To foster economic independence and promote self-sufficiency, the Foundation focuses on

- Organizations providing emergency food, shelter and basic needs
- Adult literacy and employment training programs
- Programs that serve at-risk youth through advocacy or job skill and readiness training

Arts and Culture

RBC believes that the arts reflect our culture and enrich the quality of life in the communities where our employees and clients live The Foundation's emphasis is on

- RBC Emerging Artists Project (Priority Program)
- Programs providing arts access for diverse populations

Civic Programs

RBC is committed to promoting economic growth and community diversity The Foundation focuses on

- Citizenship and support for newcomers
- Community economic development

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Blue Water Project

Help protect fresh water, the world's most valuable natural resource.

Learn More >

Grant applications and deadlines

Apply for a grant from RBC Foundation USA

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Statement 2

Grant Restrictions

Generally, we do not support

- Capital and endowment campaigns
- Multi-year commitments
- Start-up organizations

The Foundation Grant Program Does Not Fund:

- Charitable Sponsorships (including golf tournaments)
- Fundraising events (e.g. dinners, benefits or sporting events)
- Athletic teams, events or scholarships
- Direct grants to individuals, including scholarships, travel and related expenses
- Religious, political, fraternal or veterans organizations, service clubs, or third-party organizations that raise funds for charity
- Individual pursuits
- Private (fee based) elementary or secondary schools
- Religious organizations, unless they are engaged in a significant project benefiting the entire community
- Endowment or memorial campaigns
- Advertising or promotional campaigns
- Travel-related events, including student trips or tours
- The creation or repair of statues, monuments, art works or beautification projects
- The publication of books or movie productions
- Private foundations
- Capital campaigns
- Academic, medical or scientific research
- Non-501(c)(3) organizations

Contact

Foundation Grants Administrator, 612-371-2936

- Applications and deadlines

Statement 2

Foundation Focus Areas

RBC After School Grants Project

The RBC After-School Grants Project offers funding to programs that provide a range of structured, supervised activities for K-12 students in the critical hours after the school day ends

Grant Guidelines

The RBC After-School Grants Project focuses on programs that

- Improve academic achievement of students,
- Increase students' self-esteem through skill development activities rather than free play time,
- Reinforce basic social skills such as cooperation, team-building and conflict resolution to help youth begin to develop workplace competencies,
- Focus on activities such as mentoring, tutoring, literacy education, music and art lessons, computer instruction and homework help,
- Encourage and develop partnerships between home, schools and the community,
- Are financially accessible with no or very low participation fees,
- Provide a safe environment,
- Assist at-risk or underserved communities

Funding Criteria

Preference will be given to community-based organizations with multiple program partners and volunteers and that have broad participant appeal within a community

- After school programs must operate five days per week, Monday through Friday inclusive.
- The majority of participants must attend the program Monday through Friday throughout the school year.
- Programming must be provided in the after school hours from the close of the regular classroom schedule until at least 5:00 pm.
- RBC After-School Grants may not be used to fund morning, lunch, evening or weekend programs
- Organizations may deliver programs in multiple locations, but funding will be directed to only one program location
- After school programs must begin no later than October
- Organizations must demonstrate a commitment to diversity and inclusion and must actively respect cultural and other differences in program participants

Applications and Deadlines

Learn more about our deadlines and how to apply

- Applications and deadlines

Important disclosure information about Royal Bank of Canada (RBC) and RBC Capital Markets, LLC

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Grant applications and deadlines

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Statement 2

▾ Personal Wealth Management
- Choose RBC Wealth Management
- Planning Your Future
- Living Well in Retirement
- Managing Your Legacy
- Real Stories
- RBC Private Wealth
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- News and Markets
- Community Involvement
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      - Children's Mental Health
        - Early Intervention
        - Public Education
      - Emerging Artists
    - Applications and Deadlines
  - Sponsorships
  - RBC Blue Water Project
- Careers

▸ Institutional Services

Foundation Focus Areas

RBC Children's Mental Health Project

The goal of the RBC Children's Mental Health Project is to help children lead normal, productive lives and reduce the social and economic burden of mental illness. This initiative will provide funding to improve the health and well-being of children, educate and support family and caregivers, and increase public awareness of children's mental health issues.

Grant Focus Areas

To concentrate our efforts and impact in the broad and complex mental health field, RBC Children's Mental Health Project will focus grants in two areas.

- Early Intervention Programs
- Public Education Programs

Grant Guidelines

For both early intervention and public education, RBC will give preference to organizations that:

- Provide services and support in communities where RBC Wealth Management has a business presence;
- Prevent and intercept mental illness symptoms through behavioral reconditioning;
- Change mental and behavioral outcomes for children through effective programming;
- Ensure access to mental health services and continuum of care through trusted resources;
- Engage immigrant populations or at-risk youth;
- Focus on outpatient evidence-based therapy, counseling and advocacy support for youth and families;
- Have existing, successfully implemented programs with measurable results;
- Offer public outreach and education.

Applications and Deadlines

Learn more about our deadlines and how to apply

▸ Applications and deadlines

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Foundation Focus Areas

RBC Children's Mental Health Project - Early Intervention

Many adult mental disorders begin in childhood or adolescence When the signs of mental illness are recognized early and if appropriate interventions are taken, many children can go on to lead normal and productive lives The RBC Children's Mental Health Project will fund early intervention programs that are

- Focused on the most prevalent childhood and adolescent mental illnesses, including anxiety, conduct disorders and mood disorders,
- Evidence-based programs validated by documented scientific data and supported by scientifically sound studies that have demonstrated consistently positive outcomes,
- Facilitated through a community-based organization or family resource center that collaborates with all levels of service providers to provide an integrated model of service delivery,
- Focused on children and youth between the ages 0 to 18.

Early Intervention Priorities

The RBC Children's Mental Health Project focuses on three of the most prevalent childhood mental illnesses so that our donations can have the greatest impact

- **Anxiety Disorders:** Young children often have fears and insecurities As they grow older and enter school, children may feel nervous about giving classroom presentations or exams When a child's physical and/or emotional symptoms become difficult to manage, or their ability to function in school, extra curricular activities, friendships, etc breaks down, then a child may be suffering from an anxiety disorder
- **Conduct Disorders:** Children with conduct disorders have both emotional and behavioral problems. These children will have difficulty following rules and behaving in a socially acceptable manner and often act aggressively, and take dangerous risks that may result in injury. Conduct disorders diagnosed on the Autism Spectrum are not eligible for the RBC Children's Mental Health Project
- **Mood Disorders:** Mood disorders affect a person's thoughts, how they feel about themselves and the way they think about things The two key mood disorders are Depression and Bipolar Disorder.
  - ***Depression:*** Even very young children can experience depression Young children will indicate depression through their behavior and may say they have aches and pains such as a stomach ache or a headache School-age children and adolescents who are depressed may seem as if they are not paying attention in class, or ignoring what their parents say. If they are also feeling sad all the time or crying easily, this can be a symptom of depression
  - ***Bipolar Disorder:*** Bipolar disorder is characterized by switching between depression and overexcitement In children, the two emotional states (depression and mania) may not alternate Instead, there may be long periods of depression, with the manic phase not appearing until years later In children and teens, the symptoms of bipolar disorder are often irritability and feeling very important and able to do anything (grandiosity)

Applications and Deadlines

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- Applications and deadlines

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Grant applications and deadlines

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Statement 2

Foundation Focus Areas

RBC Children's Mental Health Project - Public Education

There is significant stigma attached to mental illness, and this stigma tends to discourage individuals and families from seeking help. Increased understanding, awareness and education can go a long way toward reducing social stigma about children's mental health issues.

Parents, caregivers, teachers and professionals who work with children can all benefit from increased education so they can recognize the early signs of mental illness and help or encourage the family to seek treatment from a health professional.

Children's mental health services may be obtained from a range of service providers, so parents need information and guidance on how to access the most appropriate services for their child.

Public Education Priorities

Through the RBC Children's Mental Health Project, we will support education programs that target:

- **Parents and caregivers:** to educate parents on how to access appropriate services and effectively advocate for their children;
- **Teachers and health care professionals:** to educate teachers and health care professionals on how to identify the signs of mental health problems and learn how to take early action;
- **The public:** to educate the public, including adults, youth and children on the nature and prevalence of children's mental health issues and how to access help;
- **Awareness:** to increase understanding and awareness and reduce the stigma attached to mental illness.

Applications and Deadlines

Learn more about our deadlines and how to apply

Applications and deadlines

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Grant applications and deadlines

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Statement 2

Foundation Focus Areas

RBC Emerging Artists Project

RBC believes in the power of arts to enrich our lives and enhance our communities. In supporting the RBC Emerging Artists Project, we aim to partner with organizations whose programs bridge the gap from academic excellence to professional careers in all aspects of the arts. Grants are intended to support programs and initiatives that:

- Support artists at an early stage in their careers who have completed their basic educational training and have created a modest independent body of work,
- Are ongoing and sustainable (not one-time events),
- Educate and raise awareness about the importance of the arts in our communities,
- Provide emerging artists the opportunity to demonstrate their talent publicly through performance or exhibition and/or to provide connections to professional contacts including agents, publishers, etc.

Grant Criteria

Preference will be given to proposals that:

- Provide a clear understanding of the emerging artist selection process (audition or jury) and the relevant geographic scope,
- Demonstrate a clear evaluation process for reporting to RBC,
- Involve collaboration with people and organizations that are supporting a similar form or group of artists.

Program Grants

The RBC Emerging Artists Project offers support to apprentice programs that:

- Provide a structured curriculum of mentorship and training, typically for a period of three to six months, although shorter, more intensive programming may be considered,
- Provide an opportunity for interaction between the professional staff, visiting artists and the Emerging Artists,
- Serve as a bridge between senior academic programs and the professional world,
- Engage the broader community in the art form through free performances or programming to underserviced communities.

Award Grants

The RBC Emerging Artists Project offers support to organizations that provide Emerging Artists awards that:

- Are part of an overall program dedicated to supporting apprentices and pre-professional artists,
- Have impact other than cash prize (e.g. requirement that funds are used to travel for research purposes, to work as an apprentice or with a mentor),
- Recognize artists at an early stage in their artistic career who are dedicated to the professional practice of their art, as evidenced by a significant investment of time and resources,
- Open new and expanded avenues for arts and artists.

Applications and Deadlines

Learn more about our deadlines and how to apply.

Applications and deadlines

Important disclosure information about Royal Bank of Canada (RBC) and RBC Capital Markets, LLC

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Grant applications and deadlines

Apply for a grant from RBC Foundation - USA

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Statement 2

Form **8868** (Rev January 2012) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . ▶ ☑
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	RBC Foundation - USA	☑ 41-6030639
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions	Social security number (SSN)
	60 South 6th St., MS P20	☐
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	Minneapolis, MN 55402	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ Julie Allen

Telephone No. ▶ 612-371-2218 FAX No. ▶ 612-371-7933

- If the organization does not have an office or place of business in the United States, check this box . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . ▶ ☐ . If it is for part of the group, check this box . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until June 16 , 20 14 , to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ ☐ calendar year 20 ____ or

▶ ☑ tax year beginning November 1 , 20 12 , and ending October 31 , 20 13

2 If the tax year entered in line 1 is for less than 12 months, check reason· ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 250
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 1951
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

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PS Form 3800, August 2006 See Reverse for Instructions