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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 11-01-2011 , and ending 10-31-2012

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE FRED C GLOECKNER FOUNDATION INC

A Employer identification number
13-6124190

Number and street (or P O box number if mail is not delivered to street address)
600 MAMARONECK AVENUE

Room/suite

B Telephone number (see page 10 of the instructions)
(914) 698-2300

City or town, state, and ZIP code
HARRISON, NY 10528

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,585,488

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,850			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	131	131	131	
	4	Dividends and interest from securities	73,384	73,384	73,384	
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	11,258			
	b	Gross sales price for all assets on line 6a 577,256				
	7	Capital gain net income (from Part IV, line 2)		11,258		
	8	Net short-term capital gain			1,976	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	86,623	84,773	75,491	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,592		4,592	
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	2,389		260	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	27,433	23,758	3,675	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	34,414	23,758	8,527	0
	25	Contributions, gifts, grants paid	113,400			113,400
	26	**Total expenses and disbursements.** Add lines 24 and 25	147,814	23,758	8,527	113,400
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-61,191			
	b	**Net investment income** (if negative, enter -0-)		61,015		
	c	**Adjusted net income** (if negative, enter -0-)			66,964	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing	-4,961	-7,501	-7,501
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,923,884	1,950,183	2,112,622
	c Investments—corporate bonds (attach schedule)	499,139	354,399	359,080
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	72,225	119,087	119,087
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15 Other assets (describe ▶ _____)	2,118	2,200	2,200
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,492,405	2,418,368	2,585,488
Liabilities	17 Accounts payable and accrued expenses	12,846		
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 **Total liabilities** (add lines 17 through 22)	12,846	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,479,559	2,418,368	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	2,479,559	2,418,368	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,492,405	2,418,368	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,479,559
2	Enter amount from Part I, line 27a	2	-61,191
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,418,368
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,418,368

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	577,256	0	565,998	11,258
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	0	0	0	11,258
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	11,258
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	133,489	2,608,335	0 051178
2009	121,200	2,426,337	0 049952
2008	113,870	2,186,415	0 052081
2007	149,482	2,737,524	0 054605
2006	167,220	3,157,358	0 052962

2	**Total** of line 1, column (d)	2	0 260778
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052156
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2,496,413
5	Multiply line 4 by line 3	5	130,203
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	610
7	Add lines 5 and 6	7	130,813
8	Enter qualifying distributions from Part XII, line 4	8	113,400

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,220
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,220
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,220
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 2,200		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	2,200
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	2
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	978
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 978 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation .*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		No

Website address ▶ N/A

14 The books are in care of ▶ MARTIN D KORTJOHN Telephone no ▶ (845) 578-1600

Located at ▶ 80 RED SCHOOLHOUSE ROAD CHESTNUT RIDGE NY ZIP+4 ▶ 10977

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15

16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
		16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PAUL L DAUM 6559 NW 99TH AVENUE PARKLAND, FL 33076	PRES 2 00	0	0	0
RICHARD CRAIG UNIVERSITY PARK, PA 16802	V P 2 00	0	0	0
JOSEPH A SIMONE 600 MAMARONECK HARRISO, NY 10528	SECT'Y 2 00	0	0	0
MARTIN D KORTJOHN CHESTNUT RIDGE, NY 10977	TREAS 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE ONLY ACTIVITY IS THE DISTRIBUTION OF GRANTS TO EDUCATIONAL INSTITUTIONS A SCHEDULE IS ATTACHED	113,400
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,442,804
b	Average of monthly cash balances	1b	89,425
c	Fair market value of all other assets (see page 24 of the instructions)	1c	2,200
d	**Total** (add lines 1a, b, and c)	1d	2,534,429
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,534,429
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	38,016
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,496,413
6	**Minimum investment return.** Enter 5% of line 5	6	124,821

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	124,821
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,220		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,220
3	Distributable amount before adjustments Subtract line 2c from line 1			3	123,601
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	123,601
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	123,601

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	113,400
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	113,400
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	113,400

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				123,601
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2011				
a From 2006. 12,646				
b From 2007. 14,109				
c From 2008. 5,522				
d From 2009. 789				
e From 2010. 5,199				
f **Total** of lines 3a through e.	38,265			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 113,400				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d Applied to 2011 distributable amount.				113,400
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011				
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	38,265			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				10,201
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	12,646			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	25,619			
10 Analysis of line 9				
a Excess from 2007. . . . 14,109				
b Excess from 2008. . . . 5,522				
c Excess from 2009. . . . 789				
d Excess from 2010. . . . 5,199				
e Excess from 2011. . . .				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

JOSEPH A SIMONE
600 MAMARONECK AVENUE
HARRISON, NY 10528
(914) 698-2300

b The form in which applications should be submitted and information and materials they should include

A COPY OF THE APPLICATION AND GUIDELINES IS ATTACHED

c Any submission deadlines

APRIL 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				113,400
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					131
4 Dividends and interest from securities					73,384
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					11,258
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					84,773
13 **Total.** Add line 12, columns (b), (d), and (e)				13	84,773

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-12-26	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed ☑	PTIN
MARTIN D KORTJOHN CPA	2013-01-11		

Firm's name ▶	MARTIN D KORTJOHN CPA	Firm's EIN ▶
Firm's address ▶	80 RED SCHOOLHOUSE ROAD - STE 107 CHESTNUT RIDGE, NY 10977	Phone no (845) 578-1600

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☑ Yes ☐ No

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Gain/Loss from Sale of Other Assets Schedule

Name: THE FRED C GLOECKNER FOUNDATION INC

EIN: 13-6124190

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
INVESTMENTS		Purchased			577,256	565,998			11,258	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491011002013

TY 2011 Investments - Other Schedule

Name: THE FRED C GLOECKNER FOUNDATION INC

EIN: 13-6124190

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIQUID ASSETS		119,087	119,087

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491011002013

TY 2011 Other Expenses Schedule

Name: THE FRED C GLOECKNER FOUNDATION INC

EIN: 13-6124190

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRINTING & MAILING	3,675		3,675	
FOREIGN DIVIDEND TAX	1,091	1,091		
INVESTMENT ADVISORY FEES	14,888	14,888		
AMORTIZATION OF BOND PREMIUMS	7,779	7,779		
OFFICE SUPPLIES				
INTERNET EXPENSE				
TRAVEL				

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491011002013

TY 2011 Taxes Schedule

Name: THE FRED C GLOECKNER FOUNDATION INC

EIN: 13-6124190

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL	2,129			
NEW YORK	260		260	

THE FRED C GLOECKNER FOUNDATION, INC

SUMMARY STATEMENT OF STOCKS OWNED

AS AT OCTOBER 31, 2012

			Cost	Current Value
351 00	sh	Aeon Company, Ltd	$ 4,438 82	$ 3,797 82
26 00	sh	Agrium, Inc	1,159 32	2,744 04
154 00	sh	AstraZenca	6,504 04	7,145 60
197 00	sh	Australin New Zealand Banking Group	3,191 26	5,187 01
125 00	sh	Axis Capital Holdings	3,045 35	4,527 50
245 00	sh	BAE Systems	5,575 78	4,949 00
272 00	sh	Banco Bradesco	5,019 27	4,259 52
159 00	sh	Barclays	2,246 00	2,354 79
118 00	sh	Cap Gemini	2,218 81	2,478 00
272 00	sh	Carlsberg	4,672 85	4,792 64
48 00	sh	China Petroleum Chemical	4,777 95	5,050 08
93 00	sh	Companhia De Saneamento Basico do Estado Sao Paulo	3,103 24	7,812 93
296 00	sh	Copel Parana	4,675 99	4,368 96
867 00	sh	Deutsche Boerse	4,939 60	4,725 15
44 00	sh	Diageo	2,440 32	5,026 56
351 00	sh	France Telecom	7,096 26	3,938 22
280 00	sh	Fuji Heavy Industries	3,953 59	5,457 20
157 00	sh	Hang Seng Bank	2,176 16	2,387 97
73 00	sh	Hitachi, Ltd	4,155 43	3,829 58
96 00	sh	HSBC Holdings	4,529 65	4,738 56
57 00	sh	Imperial Tobacco	4,084 82	4,303 50
207 00	sh	Israel Chemicals	2,193 06	2,668 23
271 00	sh	KDDI Corp	4,308 17	5,219 46
129 00	sh	KOC Holdings	2,566 49	2,967 00
87 00	sh	Komatsu New	2,233 76	1,837 44
52 00	sh	Magna International	2,019 26	2,309 32
402 00	sh	Manulife Financial	6,084 75	4,976 76
202 00	sh	Marks and Spencer Group	2,486 27	2,567 42
15 00	sh	Mitsui Co	4,560 22	4,220 55
1412 00	sh	Mizuho Financial	4,750 40	4,391 32
210 00	sh	Nitto Denko Corp	4,145 52	4,693 50
86 00	sh	Petroleo Brasileiro Sa Petro	2,196 30	1,824 06
49 00	sh	Posco	3,218 70	3,840 62
201 00	sh	Reed Elsevier	5,433 15	5,392 83
27 00	sh	Renaissancere Holdings	1,206 76	2,196 72
83 00	sh	Rio Tinto	5,314 55	4,148 34
101 00	sh	Royal Dutch Shell	5,180 21	6,916 48
128 00	sh	Sage Group	1,990 93	2,534 40
		Forward	$ 143,893 01	$ 156,579 08

THE FRED C GLOECKNER FOUNDATION, INC

SUMMARY STATEMENT OF STOCKS OWNED

AS AT OCTOBER 31, 2012

			Cost	Current Value
		Forward	$ 143,893 01	$ 156,579 08
123 00	sh	Sanofi	4,383 83	5,393 55
143 00	sh	Sasol, Ltd	5,942 44	6,064 63
61 00	sh	Seadrill, Ltd	2,079 95	2,460 74
437 00	sh	Sega Sammy Holdings	2,332 82	2,032 05
53 00	sh	Siemens	4,397 56	5,348 23
325 00	sh	SK Telecom	6,828 09	5,079 75
185 00	sh	Statoilhydro	3,356 85	4,541 75
153 00	sh	Svenska C Akti	1,695 95	3,015 63
152 00	sh	Taiwan Manufacturing	1,704 08	2,416 80
96 00	sh	Tata Motors	2,481 36	2,318 40
113 00	sh	Telstra Corp	1,754 95	2,412 55
114 00	sh	Teva Pharmaceutical	4,846 29	4,607 88
54 00	sh	Toronto Dominion Bank	1,795 08	4,392 36
33 00	sh	Unilever	839 61	1,230 57
156 00	sh	United Overseas Bank	4,198 16	4,680 00
227 00	sh	Vale S A	6,312 22	4,158 64
71 00	sh	Woori Financial	2,678 85	2,004 33
256 00	sh	Yamana Gold	3,222 60	5,173 76
85 00	sh	Yanzhou Coal Mining	1,840 85	1,260 55
307 00	sh	Zurich Financial	5,834 27	7,601 32
4,949	sh	iShares Russell 1000 Index Fund	341,202 56	355,338 20
1,260	sh	iShares Trust Dow Jones	67,460 40	72,865 80
403	sh	iShares S & P Small Cap 600 Index Fund	23,420 35	32,945 25
1,275	sh	iShares High Dividend Equity Fund	67,439 50	77,010 00
7,220 216	sh	Loomis Sayles Strategic	111,096 72	110,397 10
4,334 19	sh	Pimco All Asset	50,655 32	54,957 53
1,687	sh	PowerShares Fundamental Pure Small Valu	26,654 60	27,970 46
22,498	sh	PowerShares TR Dynamic Large Cap Value	334,320 28	417,112 92
		Forward	$ 1,234,668 55	$ 1,381,369 83

THE FRED C GLOECKNER FOUNDATION, INC

SUMMARY STATEMENT OF STOCKS OWNED

AS AT OCTOBER 31, 2012

			Cost	Current Value
		Forward	$ 1,234,668 55	$ 1,381,369 83
133 00	sh	Abbott Labs	7,999 95	8,711 50
125 00	sh	Altria Group	3,984 39	3,975 00
175 00	sh	AT&T	5,985 00	6,053 25
150 00	sh	BCE Inc	5,976 59	6,549 00
83 00	sh	Chevron Corp	7,996 22	9,149 98
216 00	sh	Coca Cola	7,926 12	8,030 88
113 00	sh	Digital Realty Trust	7,960 51	6,941 59
85 00	sh	Du Pont de Nemours	4,210 05	3,784 20
183 00	sh	Eaton GroupFeneral Electric	8,671 28	8,641 26
383 00	sh	General Electric	8,720 70	8,065 98
137 00	sh	GlaxoSmithKline	5,986 90	6,151 30
375 00	sh	Intel Corp	9,322 34	8,111 25
177 00	sh	JPMorgan Chase	7,532 24	7,377 36
101 00	sh	Kimberly Clark	7,998 71	8,428 45
151 00	sh	Kraft Foods	6,560 95	6,864 46
92 00	sh	McDonalds	7,988 36	7,985 60
106 00	sh	Merck and Co	3,967 07	4,836 78
280 00	sh	Microsoft Corp	8,006 60	7,991 20
91 00	sh	Nextera Energy	5,945 94	6,375 46
213 00	sh	Paccar Inc	7,974 45	9,237 81
48 00	sh	Philip Morris	3,936 48	4,250 88
130 00	sh	Royal Dutch Shell	7,976 80	8,902 40
92 00	sh	Sempra Energy	5,925 72	6,417 00
129 00	sh	Southern Co	5,964 96	6,042 36
286 00	sh	Spectra Energy	7,982 26	8,256 82
88 00	sh	Time Warner	2,991 12	3,825 36
79 00	sh	Toronto Dominion Bank	5,930 53	6,425 86
140 00	sh	Ventas, Inc	8,003 11	8,857 80
193 00	sh	Verizon Communications	7,980 55	8,615 52
211 00	sh	Xcel Energy	5,982 95	5,960 75
6,351 022	sh	JP Morgan Strategic Income Fund	74,999 99	74,433 98
28,459 277	sh	Lord Abbett Short	133,234 75	133,189 42
3,445 980	sh	Pimco Emerging Local	37,500 00	37,423 34
7,293 104	sh	Pimco Unconstrained Bond Fund	81,230 24	85,183 45
7,356 520	sh	Pioneer Floating Rate	50,000 00	51,127 81
10,568 137	sh	Virtus Floating Rate	135,160 47	139,076 68
			$ 1,950,182 85	$ 2,112,621 58

THE FRED C GLOECKNER FOUNDATION, INC

STATEMENT OF BONDS AND SIMILAR INVESTMENTS OWNED

AS AT OCTOBER 31, 2012

BONDS

	Rate	Due Date	Face Amount	Cost or Basis	Market Value October 31, 2012
Bank of America	7 375%	05/15/14	$ 45,000 00	$ 47,755 76	$ 49,168 80
Barclay's Bank	2 500%	01/23/13	24,000 00	24,001 40	24,108 72
Citigroup	6 500%	08/19/13	17,000 00	17,595 68	17,777 24
Discover Bank CD	1 050%	04/20/15	50,000 00	50,000 00	49,457 75
Goldman Sachs	5 250%	10/15/13	15,000 00	14,898 81	15,637 35
Met Life	5 500%	06/15/14	23,000 00	24,620 23	24,817 69
JP Morgan Chase	5 125%	05/15/14	18,000 00	18,953 82	19,232 28
Morgan Stanley	4 750%	04/01/14	50,000 00	51,105 62	51,870 50
NYSE Euronet	4 800%	06/28/13	25,000 00	25,127 99	25,684 50
Sempra Energy Group	6 000%	02/01/13	13,000 00	13,125 83	13,168 87
Simon Properties	5 300%	05/30/13	23,000 00	23,396 77	23,593 86
Wells Fargo	4 375%	01/31/13	25,000 00	24,905 68	25,242 25
Wachovia	5 250%	08/01/14	18,000 00	18,911 02	19,320 12
			$ 346,000 00	$ 354,398 61	$ 359,079 93

The Fred C. Gloeckner Foundation Inc.

600 Mamaroneck Avenue • Harrison, NY 10528-1631
Phone (914) 698-2300

Please review the Guidelines the reverse side of this form before completing the application.

APPLICATION FOR RESEARCH GRANT
FROM:

1. TITLE OF PROJECT
2. PRINCIPAL INVESTIGATOR
3. NAME OF INSTITUTION
4. MAILING ADDRESS

   CITY_____STATE_____ZIP CODE

   TELEPHONE ( )_____FAX ( )
5. LOCATION OF STUDY
6. ANTICIPATED DURATION OF THE PROJECT YEAR(S)_____
7. PLEASE INDICATE IF THIS PROPOSAL IS A RENEWAL REQUEST FOR RESEARCH CURRENTLY FUNDED BY THE FOUNDATION_____(YES/NO). IF YES, REQUESTING FUNDS FOR YEAR _____ OF _____.
8. TOTAL AMOUNT REQUESTED FOR A 12 MONTH PERIOD (usually September 1 to August 31)

   $_____ **(Include a general itemized budget).**
9. OTHER SOURCES (Foundations, Etc.) AND AMOUNTS FOR WHICH A SIMILAR PROPOSAL HAS BEEN SUBMITTED_____

   _____
10. PURPOSE FOR WHICH FUNDS ARE REQUESTED (Use separate pages if additional space is required).

    _____

    _____
11. MAKE A BROAD STATEMENT OF THE FOLLOWING ITEMS
    A. Research objectives and justification
    B. Review of key literature
    C. General outline of materials and methods
    D. Anticipated benefit to the floriculture industry
12. PROJECT LEADER QUALIFICATIONS In a paragraph or two, state the training/expertise that qualifies you for a grant to work in this research area. Extensive vitae information and long autobiographies are not necessary.

13. LENGTH AND TIME OF APPLICATION Quality of request, not length, is a determining factor. Be concise and brief, **not more than 15 pages.** For easy reviewing, please use **space and a half,** no less than a **12 pitch font,** and be sure to **number the pages.** SEND **10 COPIES** POSTMARKED **ON OR BEFORE APRIL 1st,** FOR CONSIDERATION AT THE ANNUAL BOARD OF DIRECTORS MEETING. If these specifications are not followed, the proposal will be returned to the researcher(s).

14. FEDERAL TAX EXEMPTION NO. _____ . Specify exactly how the check to the tax exempt organization should be made payable, and to whom it should be sent. Include any specific instructions. _____
_____
_____

* * * * * * * * * *

GUIDELINES FOR RESEARCH GRANT APPLICATIONS

1. The Foundation awards grants for research and educational projects in floriculture and related fields at universities, colleges, and Federal research institutions in the United States.

2. Grant applications must be submitted before April 1st for consideration at the spring meeting, where applications are reviewed and decisions made. The grant applicant is informed of the decision shortly thereafter, and checks for approved grants are mailed the following August.

3. For efficient distribution of applications to the Review Committee, submit ten (10) copies to the Foundation office. Please number the pages, use space and a half, and no less than a 12 pitch font If these specifications are not followed, the proposal will be returned to the researcher(s).

4. As one prepares the financial information, think of a September to August research year, rather than a calendar year.

5. Include anticipated duration of the project. Grants are awarded for one year only, and may be evaluated and considered for renewal upon receipt of a progress report, plans for the coming year, and a written request for continuation of funding. It cannot be assumed that renewal will be approved automatically.

6. Include information about other sources (Foundations, Endowments, etc.) and amounts for which a similar proposal has been submitted, or grants received.

7. The Foundation does not pay any indirect costs (overhead) on its research and education grants. Nor does it pay faculty or principal investigator's salary.

8. At the completion of a project, send a final report (reprints or thesis are acceptable) to the Foundation office. **In publications, a footnote acknowledging financial support of the FRED C. GLOECKNER FOUNDATION, INC. will be appreciated.**

The Fred C. Gloeckner Foundation, Inc. is a non-profit, educational corporation organized in December, 1960 to provide a source of financial aid for research and educational projects in Floriculture and in the supporting and allied fields, such as agricultural economics, agricultural engineering, entomology, plant breeding, plant pathology, and plant physiology related to Floriculture. The Foundation is exempt from Federal Income Tax as an organization described in section (501 (c) (3) of the code of 1954

Additional Data

Software ID:
Software Version:
EIN: 13-6124190
Name: THE FRED C GLOECKNER FOUNDATION INC

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CORNELL UNIVERSITYPLANT SERVICE BUILDING ITHACA,NY 14853		UNIV	STUDY PROJECTSHORTICULTURAL	31,700
KANSAS STATE UNIVERSITY FAIRCHILD HALL MANHATTAN,KS 66506		UNIV	STUDY PROJECTSHORTICULTURAL	27,200
N CAROLINA STATE UNIVERSITY 2701 SULLIVAN DRIVE RALEIGH,NC 27695		UNIV	STUDY PROJECTSHORTICULTURAL	11,700
PERDUE UNIVERSITY625 AGRICULTURAL MALL DRIVE WEST LAFAYETTE,IN 47907		UNIV	STUDY PROJECTSHORTICULTURAL	22,700
US DEPT OF AGRICULTURE10300 BALTIMORE AVENUE BELTSVILLE,MD 20705		GOVT	STUDY PROJECTSHORTICULTURAL	6,000
UNIVERSITY OF FLORIDA2725 BINION ROAD APOPKA,FL 32703		UNIV	STUDY PROJECTSHORTICULTURAL	10,000
UNIVERSITY OF NEW HAMPSHIRE 38 ACADEMIC WAY DURHAM,NH 03824		UNIV	STUDY PROJECTSHORTICULTURAL	4,100
Total . . . . . ▶ **3a**				113,400