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Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

Nov 1, 2011, and ending

Oct 31, 2012

Name of foundation The Barden Foundation, Inc.		A Employer identification number 06 605 4855
Number and street (or P O box number if mail is not delivered to street address) 1146 Barnum Ave	Room/suite	B Telephone number (see instructions) 203 336 7532
City or town, state, and ZIP code Bridgeport, CT 06610		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5372,647	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	165,422	165,422		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		15,032		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	165,422	180,454			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	25			25
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3338			3338
	22 Printing and publications				
	23 Other expenses (attach schedule)	21,197	17,080		4,117
	24 Total operating and administrative expenses. Add lines 13 through 23	24,560	17,080		7,420
	25 Contributions, gifts, grants paid	260,200			260,200
26 Total expenses and disbursements. Add lines 24 and 25	284,760	17,080		267,680	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(119,338)				
b Net investment income (if negative, enter -0-)		163,374			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	101,558	81,210	81,210
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,659,828	2,775,572	3,245,204
	c Investments—corporate bonds (attach schedule)	2,247,603	2,046,233	2,046,233
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,008,989	4,903,015	5,372,647	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	5,008,989	4,903,015	
	31 Total liabilities and net assets/fund balances (see instructions)	5,008,989	4,903,015	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,008,989
2 Enter amount from Part I, line 27a	2	(119,338)
3 Other increases not included in line 2 (itemize) ▶ Capital Gains	3	15,032
4 Add lines 1, 2, and 3	4	
5 Decreases not included in line 2 (itemize) ▶ Fed. tax 1448 + Penalty 220	5	1,668
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,903,015

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.),		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Schedule Attached	D	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 553,988		538,956	15,032	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV, as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	15,032
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	280,534	5,204,007	.05391
2009	250,111	4,919,097	.05084
2008	210,897	4,311,877	.06204
2007	308,428	4,971,219	.05284
2006	315,371	5,968,830	.05349
2 Total of line 1, column (d)			2 .27312
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .05462
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 5,240,007
5 Multiply line 4 by line 3			5 286,209
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,634
7 Add lines 5 and 6			7 287,843
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 284,760

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	3,267	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	3,267	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,267	
6	Credits/Payments:			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2896	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	2896	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	371	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
1b			X
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?		
1c			
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ <u>0</u> (2) On foundation managers. ▶ \$ <u>0</u>		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	7	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>Delaware Connecticut</u>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	X
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>N/A</u>				
14	The books are in care of ▶ <u>The Borden Foundation, Inc.</u> Telephone no. ▶ <u>203-336-7532</u>			
	Located at ▶ <u>1146 Barnum Ave. Bridgeport, CT</u> ZIP+4 ▶ <u>06610-0156</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No	1b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule Attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Contributions to Other Charitable	
2 Foundations	186,200
3 Scholarships	74,000
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	0
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 5169050
b	Average of monthly cash balances	1b 114206
c	Fair market value of all other assets (see instructions)	1c
d	Total (add lines 1a, b, and c)	1d 5,283,256
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e	
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 5,283,256
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4 79,249
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 5,240,007
6	Minimum investment return. Enter 5% of line 5	6 260,200

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 260,200
2a	Tax on investment income for 2011 from Part VI, line 5 2a 3267	
b	Income tax for 2011. (This does not include the tax from Part VI.) 2b	
c	Add lines 2a and 2b	2c 3,267
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 256,933
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 256,933
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 256,933

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a 284,760
b	Program-related investments—total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 284,760
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 284,760

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Total			▶	3a 260,200
b Approved for future payment				
Total			▶	3b 264,500

See Schedules Attached

Contributions
Scholarships186,200
74,000

See Schedule Attached

264,500

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006	21,864			
b From 2007	62,280			
c From 2008	1,192			
d From 2009	6,842			
e From 2010	30,423			
f Total of lines 3a through e	122,601			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ <u>284,760</u>				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				656,932
e Remaining amount distributed out of corpus	27,827			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	150,412			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	21,264			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	128,564			
10 Analysis of line 9:				
a Excess from 2007	62,280			
b Excess from 2008	1,192			
c Excess from 2009	6,842			
d Excess from 2010	30,423			
e Excess from 2011	27,827			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling **N/A**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

Scholarship: The Scholarship Committee, The Barden Foundation, Inc. 200 Park Ave, Danbury, CT 06810

Other requests: Robin Bergman, The Barden Foundation, Inc., 1146 Barnum Ave, Bridgeport, CT 06610

- b** The form in which applications should be submitted and information and materials they should include:

Scholarships: See Attached

Other requests: A letter outlining the organization goals, requirements, recent financial statements

- c** Any submission deadlines:

March 31 for Scholarships, Jan 1 for other requests

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Some scholarships are restricted to sons and daughters of The Barden Corporation,

Lacey Manufacturing Company, LLC, Winsted Precision Ball Company, Inc.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Thomas Leepman Date: 3/15/13 Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no			

STATEMENT REGARDING OFFICERS, COMPENSATION, ETC.

2011 Form 990PF - Part VIII - Item 1

	Title & Avg. Hrs. Per Wk. Devoted to <u>Position</u>	Contri. To Empl. Benefit <u>Plans</u>	Exp. Acct. Other <u>All.</u>	<u>Comp.</u>
Robin Bergman 64 Deer Run Rd Watertown, CT 06795	Treas./Secr./Trustee PT	0	0	0
Thomas F. Loughman 34 Hawley Road Ext. Danbury, CT 06811	President PT	0	0	0
Stanley Noss 3303 La Posada Drive Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert P. More 715 Pinehurst Way Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert Davis 113 St Charles Court Abita Springs, LA 70420	Trustee	0	0	0
Jeannine Frink 11 Ruth Crossroad Winsted, CT 06098	Trustee PT	0	0	0

The Barden Foundation, Inc.
2012 Budget

EIN 06 605 4855

2012
Actual

1 Health and Welfare Agencies

A. United Way:

United Way of Western Connecticut	25,000
United Way of Northwest Connecticut, Inc.	15,000
United Way of Coastal Fairfield County	15,000
Subtotal	55,000

B. National Health Agencies

American Cancer Society - Danbury & Bridgeport	10,000
American Red Cross - CT	20,000
Subtotal	30,000

C Hospitals and Nursing Home

Danbury Hospital Development Fund, Inc.	25,000
St Vincent's Medical Center Foundation	2,000
Norma Phrem Breast Cancer Center	2,000
Bridgeport Hospital Foundation, Inc.	22,000
Connecticut Children's Medical Center Foundation	
Winsted Health Center Foundation	
New Milford Hospital Foundation, Inc.	2,000
Winsted Health Center Foundation	
Pope John Paul II Foundation	
Subtotal	53,000

D. Youth Agencies

BAYM, Inc.	1,000
Bridgeport Police Athletic League, Inc.	
Cardinal Shehan Center	1,000
Connecticut Yankee Council BSA	3,000
Danbury Police Athletic League, Inc.	
Girl Scout Council of Connecticut	4,000
Junior Achievement of Western CT., Inc.	1,000
McGiveney Community Center	1,000
Winchester Youth Service Bureau	1,000

The Barden Foundation, Inc.
2012 Budget

Colebrook Recreation Department	1,000
Subtotal	13,000

E. Local Health and Welfare Agencies:

Foothill Visiting Nurse Service	2,000
The Regional Hospice of Western CT	
Americares Free Clinics Danbury & Bridgeport	4,000
Visiting Nurse Services of CT., Inc.	2,000
Visiting Nurse Services of CT , Inc	
Ability Beyond Disability	5,000
M C C A	
Subtotal	13,000
Total Health, Youth and Welfare Agencies	164,000

2. Education:

Bridgeport Public Education Fund	
Child Guidance Center of Bridgeport	
DSABC	
Mercy Learning Center	
Subtotal	
Scholarships	74,000
Total Education	74,000

3. Special:

Regional Y M C A of Western Connecticut	1,000
Scott-Fanton Museum	
Winsted Area Child Care Center, Inc.	8,000
Norhwest Connecticut YMCA	2,000
Prime Time House	1,000
Make-A-Wish Foundation of Connecticut, Inc.	
Hall Neighborhood House	
Connecticut Special Olympics, Inc.	2,000
Winsted Area Ambulance Assoc	
The Discovery Museum Foundation, Inc.	
Ct Zoological Society	1,000
Barnum Museum	

The Barden Foundation, Inc.
2012 Budget

FIN of 6054855

Town of Winchester Recreation Department	2,000
Alpha Home	
Guenster Rehabilitation Services, Inc	
The Center for Woman and Families of Eastern Fairfield County, Inc.	
Ann's Place	1,000
Interlude	2,000
Bridgeport YMCA	1,500
Subtotal	21,500
4. Miscellaneous: Travel and Fees	5,000
Subtotal	5,000
Total Contributions & Scholarships	264,500

The Barden Foundtion Inc.
For The Year Ended October 31, 2011
Scholarships

#06-6054855

	Amount
11/30/2011 University of Hartford - Sabrina Amaral	1500.00
11/30/2011 Bloomsburg University - Oscar Brabant	1500.00
11/30/2011 WCSU - T. Cambroner	1500.00
11/30/2011 CCSU- Kevin Chaffee	1500.00
11/30/2011 Uconn S. Ferreira	1500.00
11/30/2011 UCONN - Alex Giglio	1500.00
11/30/2011 College of St. Rose - Erika Hasler	1500.00
11/30/2011 Hampton University - Qurisha Young	1500.00
11/30/2011 Drexel University - Hannah Kollar	1500.00
11/30/2011 UCONN - Michelle Krol	1500.00
11/30/2011 Seton Hall University - Marcia Oliveria	1500.00
11/30/2011 Binghamton University - Gregory Ostner	1500.00
11/30/2011 Buffalo State - Kristen Roberts	1500.00
11/30/2011 Dowling College - Lauren Roberts	1500.00
11/30/2011 Siena College - Lindsay Kollar	1500.00
11/30/2011 Uconn - A. Savath	1500.00
11/30/2011 WCSU - Jessica Sousa	1500.00
11/30/2011 University of Connecticut - A. Tahirouic	1500.00
11/30/2011 University of Gettysburg - Katarina Wajda	1500.00
11/30/2011 StonyBrook University - Bryan Xayaveth	1500.00
11/30/2011 WCSU - Lauren Ziluck	1500.00
7/6/2012 University of Hartford - Sabrina Amaral	1500.00
7/6/2012 Bloomsburg University - Oscar Brabant	1500.00
7/6/2012 Central Connecticut State University - Kevin Chaffee	1500.00
7/6/2012 The College of St. Rose - Erik Hasler	1500.00
4/18/2012 Uconn Foundation	3000.00
7/6/2012 Northeastern University - Victoria Asman	3000.00
7/6/2012 Seton Hall University - Marcia Oliveria	1500.00
7/6/2012 University of Connecticut - Amanda Savath	1500.00
7/6/2012 Drexel University - Hannah Kollar	1500.00
7/6/2012 Siena College - Lindsay Rogers	1500.00
7/6/2012 Hampton University - Qurisha Young	1500.00
7/6/2012 Western Ct. State University - Tanya Cambroner	1500.00
7/6/2012 Binghamton University - Gregory Ostner	1500.00
7/6/2012 Buffalo State - Kristen Roberts	1500.00
7/6/2012 University of Connecticut - Adnan Tahirovic	1500.00
7/6/2012 University of Connecticut - Katarina Wajda	1500.00
7/6/2012 Western Ct. State University - Erica Schlemmer	1500.00
7/6/2012 Rochester Institute of Technology - Carvey Yung	1500.00
7/6/2012 Western CT. State University - Jennifer Petronella	1500.00
7/24/2012 WCSU Foundation	6000.00
9/14/2012 Northwestern CT Community College	500.00
	74000.00

#06-605485

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2012
MISC. EXPENSES

	AMOUNT
Fees For Investment Accounts	17,080
CSC Netwok Representation	182
Insurance	2,400
Supplies & Postage	785
Storage	750

TOTAL MISCELLANEOUS EXPENSES	21,197 =====

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2012
TAXES

Delaware Franchise Tax	25
	<u>25</u>
	=====

The Barden Foundation, Inc
Part IV Capital Gains and Losses for Tax on Investment Income

06-6054855

(a) SECURITY	SHARES/ Face Value	(B) How Acquired	© Date Acquired	(d) DATE SOLD	(e) Sales Price	(g) COST	(h) & (i) Gain / Loss	GAIN/LOSS SHORT-TERM	GAIN/LOSS LONG-TERM
Vanguard Short-term Invest Grade Fund Adm	388 65 Purchase	Various		1/30/2012	4,166 28	4,153 01	13 27	6 27	7 00
Vanguard Short-term Invest Grade Fund Adm	326 81 Purchase	Various		4/30/2012	3,516 48	3,492 24	24 24		24 24
Vanguard Short-term Invest Grade Fund Adm	78 25 Purchase		4/30/2012	4/30/2012	841 94	841 94	0 00		0 00
Vanguard Short-term Invest Grade Fund Adm	25,522.72 Purchase	Various		5/17/2012	274,114 04	272,731 17	1,382 87		1,382.87
Vanguard Short-term Invest Grade Fund Adm	82.49 Purchase		2/29/2012	5/17/2012	885 96	885 96	0 00		0 00
Vanguard Short-term Invest Grade Fund Adm	385 77 Purchase		4/9/2010	7/30/2012	4,170 13	4,122 23	47 90		47.90
Vanguard Short-term Invest Grade Fund Adm	327 69 Purchase	Various		10/30/2012	3,565 22	3,501 60	63 62	17 23	46 39
Vanguard Short-term Invest Grade Fund Adm	66.45 Purchase		10/31/2012	10/31/2012	722 98	722 98	0 00		0 00
Vanguard Interim Term Invest Gr Fund Adm	9,353 133 Purchase	Various		5/18/2012	95,027 83	90,994 57	4,033 26		4,033 26
Vanguard Interim Term Invest Gr Fund Adm	232 920 Purchase		1/30/2012	5/19/2012	2,371 13	2,371 56	-0 43	-0 43	0 00
Vanguard Interim Term Invest Gr Fund Adm	213 652 Purchase		2/29/2012	5/18/2012	2,170 70	2,181 39	-10 69	-10 69	0 00
Vanguard Interim Term Invest Gr Fund Adm	219 980 Purchase		4/1/30/12	5/18/2012	2,235 00	2,237 20	-2 20	-2 20	0 00
VanguardStock Market Index Fund Adm	14,445 446 Purchase	Various		5/18/2012	160,200 00	150,720 10	9,479 90		9,479 90
					553,987 69	538,955 95	15,031 74	10 18	15,021 56

THE BARDEN FOUNDATION, INC.

IDENTIFICATION #06-6054855

SUPPLEMENTARY INFORMATION

SCHOLARSHIP PROGRAM

2011 FORM 990PF - PART XV - LINE 2B

Eligibility requirements for anyone applying for a Barden Foundation Scholarship are described in the enclosed brochure, "The Barden Foundation, Inc. Scholarship Award Program."

Also enclosed are the application forms:

1. Application for Scholarship to be completed by the candidate.
2. High School Certification to be completed by the candidate's high school principal.

SCHOLARSHIP AWARD PROGRAM

The Scholarship Award Program was created to provide an opportunity for sons and daughters of employees of divisions of The Barden Corporation to obtain financial assistance for a college education.

A Scholarship Award provides up to \$3000. per year depending on college charges. Each award will be made on the basis of the eligibility requirements stated below.

An impartial Scholarship Committee will review applications and make final award decisions. A scholarship will not be granted in a year that, in the judgment of the Committee, no candidate qualifies.

Eligibility requirements

In order to be eligible for a Scholarship Award, a candidate must:

1. In the opinion of the judges, be of good moral character.
2. Be a son or daughter of a person employed by a division of The Barden Corporation at the time the Scholarship Award is given. This requirement may be waived in extenuating or unusual circumstances in case of reapplication for continuing scholarship assistance.
3. Expect to graduate from a secondary school in the school year in which the scholarship is granted and enter an accredited college or university in the next school year.
4. Take College Board Entrance Examinations in December of the school year in which the application is made.
5. At least once each academic year while receiving a Scholarship Award, the candidate must have a brief meeting

with an Officer of the Corporation or Trustee of the Foundation.

Scholarship award recipients must send to the Scholarship Committee an official transcript of their academic and attendance records at the end of each term. In addition, they may reapply annually in writing for continuing assistance, based on their academic record and financial need, if they are attending an accredited college or university as undergraduates and meet the requirements of item (2) above.

Filing applications

Candidates applying for scholarship assistance must file their completed applications with the Scholarship Committee, The Barden Corporation, Corporate Headquarters, 200 Park Avenue, Danbury, Conn., 06810 not later than April 1 of the final year of a secondary school.

Awarding of scholarship

In determining the awards, the Scholarship Committee will weigh the relative merits of the applicants based upon scholastic achievement, participation in school activities, character and financial need.

The Scholarship Committee will make its decision in the spring of the school year in which the application is made. A decision will be made no later than the first week in May. Certification by the college or university to which the applicant has been admitted will be necessary before the actual award can be made. The award will be made directly to the college or university in the name of the scholarship recipient.

A candidate receiving scholarship assistance must notify the Scholarship Committee of acceptance within ten days from the date of granting of the scholarship.

The Barden Foundation Scholarship Fund

Application for Scholarship

Typewrite or print in ink all information in items 1-22. Answer items 23 and 24 in your own handwriting

Mail completed form to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, Danbury, Connecticut 06810

I hereby apply for a scholarship under the Scholarship Program of the Barden Foundation, and submit the following information:

1. This application is for a scholarship to commence in the _____ semester of 20 _____
2. Name (give last name first): Mr./Ms. _____
3. Full home address: _____ Phone: _____
4. Birth date: _____
5. Is your father living? Yes ☐ No ☐ Is your mother living? Yes ☐ No ☐
6. Full name and address of Barden employee on whose employment status you wish to place your application: Name (give last name first): _____
Complete street address _____ Phone _____
7. List the names of persons living in your home as part of the family group:
Father _____ Mother _____
Brothers (give age of each) _____ Sisters (give age of each) _____

Other persons _____
8. Did you earn any money while in high school (including summers)? Yes ☐ No ☐
How much did you earn in total? _____ How did you earn it? _____

9. Describe in your own words your work activities during the past three years either for your family, at home or for outside employers. _____
- _____
- _____

10. All high schools and other secondary schools attended:

Name of school	City and State	Attendance dates		Graduation date	Principal
		Start date	End date		

Important: If you have earned credits in high schools other than the one you are now attending (or last attended) be sure that all grades and credits earned there are included in your high school record to be furnished by your last school principal or high school certification.

11. What subjects have you found most interesting in your high school work?

First: _____ Second: _____

12. Do you feel that your high school grades are an accurate index of your ability? Yes ☐ No ☐

Comments: _____

13. What special recognition have you received for outstanding schoolwork such as honors, prizes, or scholarships? _____
- _____

14. Specify what part you have played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc:

Activity	Position held	Hours spent per week	Year of participation			
			Fr.	Soph.	Jr.	Sr.

15. Specify what part you have played in *organized out-of-school activities* such as rank attained as Boy or Girl Scout, 4-H Club work, church organizations, etc.

Activity	Position held	Hours spent per week	Year of participation			
			Fr.	Soph.	Jr.	Sr.

16. What are your favorite sports and recreational activities? _____

17. What are your hobbies? _____

18. What special recognition have you received in connection with your recreational activities or hobbies?

19. List the titles and authors of some of the books you have read during the past year outside of school requirements. _____

20. In what college or university do you wish to enroll?

First choice _____ Second choice _____ Third choice _____

Note: Your choice of college will in no way affect your chances of winning a scholarship.

21. Where do you plan to live at college?

At home _____ With friends or relatives _____ Dormitory _____

Rented room in private home _____ Other (specify) _____

22. What general course of study do you plan to take? _____

23. State in your own handwriting what your education objectives are, what you want to prepare yourself for by attending college, and the reasons why you have decided on these things

24. Since it will not be possible to have personal interviews with all applicants, write in your own handwriting anything that you would like to say if you were talking to the Scholarship Committee.

Certification

I certify that all statements contained in the foregoing application are true and correct, that I have read the leaflet "The Barden Foundation Scholarship Fund," and that I believe myself eligible to apply for a scholarship under the provisions and conditions it contains. I have personally delivered the High School Certification form and return envelope to my high school principal or guidance counselor.

Signed: _____

Date: _____

The Barden Foundation Scholarship Fund

High School Certification

As an applicant for a Barden Foundation Scholarship to commence in the _____ semester of 20____, I hereby request that you, as my High School Principal, complete this form and mail it as indicated below.

Name of applicant (last name, first name, middle initial)

Address

Applicant Signature

This section to be completed by the high school principal or guidance counselor and mailed in the attached return envelope to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, PO Box 2449, Danbury, Connecticut 06813.

1. Name of high school _____
2. Street address of high school _____
3. Entrance date of this applicant at this high school (month and year): _____
4. This applicant is expected to graduate in (month and year): _____
5. Based on his / her three years of high school work the applicant ranked, at the end of his junior year, number _____ from the top in a class of _____ members.
6. In what connection have you known this applicant? _____
7. Has the applicant shown an outstanding scholastic aptitude of any kind? Yes ☐ No ☐
Comments: _____

8. Has the applicant shown an outstanding scholastic shortcoming of any kind? Yes ☐ No ☐
Comments: _____

9. Has the applicant attained any scholastic honors?

Yes ☐ No ☐ Comments: _____

10. Has the applicant ever been a serious disciplinary problem, either scholastically or otherwise?

Yes ☐ No ☐ Comments: _____

11. Has the applicant's attendance record been entirely satisfactory?

Yes ☐ No ☐ Comments: _____

12. In your opinion, has this student been working up to the true level of his/her ability?

Yes ☐ No ☐ Comments: _____

13. Have the applicant's studies been seriously affected by outside work, illness, or other factors?

Yes ☐ No ☐ Comments: _____

14. Specify what part the applicant has played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc.

Activity	Position of applicant	Hours per week	Year of participation				Contributions to success or failure of this activity		
			Fr.	Soph.	Jr.	Sr.	Large	Small	Indiff.

15. Applicant's scores on standard objective achievement tests, personality tests and vocational preference tests are desired if available. Include any scores from General Education Development Test.

Name of test	Form or edition	Year given	Point score	Percentile score	Average percentile this school

The Barden Foundation, Inc.
2013 Budget

EIN: 066054855

2013
Budget

1. Health and Welfare Agencies

A. United Way:

United Way of Western Connecticut	25,000
United Way of Northwest Connecticut, Inc.	15,000
United Way of Coastal Fairfield County	15,000
Subtotal	55,000

B. National Health Agencies:

American Cancer Society - Danbury & Bridgeport	10,000
American Red Cross - CT	15,000
Subtotal	25,000

C. Hospitals and Nursing Home:

Danbury Hospital Development Fund, Inc.	25,000
St Vincent's Medical Center Foundation	2,000
Norma Phrem Breast Cancer Center	2,000
Bridgeport Hospital Foundation, Inc.	22,000
New Milford Hospital Foundation, Inc.	2,000
Subtotal	53,000

D. Youth Agencies:

BAYM, Inc.	1,000
Cardinal Shehan Center	1,000
Connecticut Yankee Council BSA	3,000
Girl Scout Council of Connecticut	3,000
Junior Achievement of Western CT., Inc.	1,000
McGiveney Community Center	1,000
Winchester Youth Service Bureau	1,000
Colebrook Recreation Department	1,000
Subtotal	12,000

E. Local Health and Welfare Agencies:

The Barden Foundation, Inc.
2013 Budget

FIN: 06 6054855

Foothill Visiting Nurse Service	2,000
Americares Free Clinics Danbury & Bridgeport	4,000
Visiting Nurse Services of CT., Inc.	2,000
Ability Beyond Disability	7,000
M C C A	

Subtotal 15,000

Total Health, Youth and Welfare Agencies 160,000

2. Education:

Bridgeport Public Education Fund
Child Guidance Center of Bridgeport
DSABC
Mercy Learning Center

Subtotal

Scholarships 74,000

Total Education 74,000

3. Special:

Regional Y M C A of Western Connecticut	5,000
Winsted Area Child Care Center, Inc.	8,000
Northwest Connecticut YMCA	2,000
Prime Time House	1,000
Connecticut Special Olympics, Inc.	2,000
The Discovery Museum Foundation, Inc.	
Ct Zoological Society	1,000
Town of Winchester Recreation Department	2,000
399th Composite Squadron Civil Air Patrol	2,000
Ann's Place	1,000
Interlude	
Bridgeport YMCA	1,500

Subtotal 25,500

4. Miscellaneous: Travel and Fees 5,000

Subtotal 5,000

Total Contributions & Scholarships 264,500