Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 11/01 **, 2011, and ending** 10/31, 20 12

Name of foundation: THE FRED HARRIS DANIELS FOUNDATION

A Employer identification number: 04-6014333

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 1802

Room/suite:

B Telephone number (see instructions): (888) 866-3275

City or town, state, and ZIP code: PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 18,913,351.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ____________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	437,173.	435,923.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	578,103.			
	b Gross sales price for all assets on line 6a 9,128,045.				
	7 Capital gain net income (from Part IV, line 2)		578,103.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	12,770.			STMT 3
	12 **Total.** Add lines 1 through 11	1,028,046.	1,014,026.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	69,275.	41,565.		27,710.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) STMT 4	260.	NONE	NONE	260.
	b Accounting fees (attach schedule) STMT 5	1,378.	NONE	NONE	1,378.
	c Other professional fees (attach schedule) STMT 6	110,925.	84,121.		26,804.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 7	36,051.	9,951.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	9,282.			9,282.
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 8	5,121.			5,121.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	232,292.	135,637.	NONE	70,555.
	25 Contributions, gifts, grants paid	1,172,500.			1,172,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,404,792.	135,637.	NONE	1,243,055.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-376,746.			
	b **Net investment income** (if negative, enter -0-)		878,389.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	NONE		
	2	Savings and temporary cash investments	277,414.	869,109.	869,109.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)	4,000,000.	3,200,000.	3,200,000.
	b	Investments - corporate stock (attach schedule)	11,442,405.	11,275,788.	14,844,242.
	c	Investments - corporate bonds (attach schedule)	NONE		
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶	NONE		
	12	Investments - mortgage loans	NONE		
	13	Investments - other (attach schedule)	NONE		
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	NONE		
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	15,719,819.	15,344,897.	18,913,351.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	1,375,000.	1,425,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	1,375,000.	1,425,000.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	15,719,819.	15,344,897.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	NONE		
	30	**Total net assets or fund balances** (see instructions)	15,719,819.	15,344,897.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	17,094,819.	16,769,897.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,719,819.
2	Enter amount from Part I, line 27a	2	-376,746.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	1,824.
4	Add lines 1, 2, and 3	4	15,344,897.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,344,897.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	9,114,845.		8,549,942.	564,903.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				564,903.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 578,103.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	1,190,997.	19,607,721.	0.060741
2009	888,659.	18,252,972.	0.048686
2008	680,617.	15,869,754.	0.042888
2007	1,148,407.	20,490,142.	0.056047
2006	960,869.	20,082,125.	0.047847

2	**Total** of line 1, column (d)	2	0.256209
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051242
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	18,707,843.
5	Multiply line 4 by line 3	5	958,627.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	8,784.
7	Add lines 5 and 6	7	967,411.
8	Enter qualifying distributions from Part XII, line 4	8	1,243,055.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	8,784.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	8,784.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	8,784.
6	Credits/Payments:			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a 31,216.		
b	Exempt foreign organizations - tax withheld at source	6b NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	31,216.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	22,432.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 22,432. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶$ ________ (2) On foundation managers ▶$ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no. ▶ (888)866-3275
Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d).*		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		69,275.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 12		60,596.
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,776,301.
b	Average of monthly cash balances	1b	1,216,433.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	18,992,734.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	18,992,734.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	284,891.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,707,843.
6	**Minimum investment return.** Enter 5% of line 5	6	935,392.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	935,392.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	8,784.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	8,784.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	926,608.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	926,608.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	926,608.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,243,055.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,243,055.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	8,784.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,234,271.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				926,608.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2011:				
a From 2006 ... NONE				
b From 2007 ... NONE				
c From 2008 ... NONE				
d From 2009 ... NONE				
e From 2010 ... 191,199.				
f Total of lines 3a through e	191,199.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 1,243,055.				
a Applied to 2010, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2011 distributable amount				926,608.
e Remaining amount distributed out of corpus	316,447.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	507,646.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	507,646.			
10 Analysis of line 9:				
a Excess from 2007 ... NONE				
b Excess from 2008 ... NONE				
c Excess from 2009 ... NONE				
d Excess from 2010 ... 191,199.				
e Excess from 2011 ... 316,447.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 14				
Total . . . . ▶ 3a				1,172,500.
b *Approved for future payment*				
SEE STATEMENT 16				
Total . . . . ▶ 3b				1,470,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	437,173.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	578,103.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b FEDERAL TAX REFUND			1	12,770.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,028,046.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 1,028,046.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: MR. FRED HARRIS DANIELS — Date: 6-5-13 — Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ROBERT W HUBER		6/3/13		P01223341
Firm's name ▶ BANK OF AMERICA, N.A.			Firm's EIN ▶ 94-1687665	
Firm's address ▶ P O BOX 1802 PROVIDENCE, RI 02901-1802			Phone no	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ANADARKO PETROLEUM CORP	2,025.	2,025.
BARRICK GOLD CORPORATION	7,300.	7,300.
CVS CAREMARK CORP COM	8,300.	8,300.
CISCO SYSTEMS INCORPORATED	3,500.	3,500.
CONOCOPHILLIPS COM	17,490.	17,490.
CORNING INC	7,500.	7,500.
DEVON ENERGY CORPORATION	5,175.	5,175.
DIEBOLD INC	7,176.	7,176.
DOW CHEMICAL COMPANY	13,680.	13,680.
DUPONT E I DE NEMOURS & CO COM	15,120.	15,120.
FEDERAL HOME LN BKS CONS BD STEP UP 08/1	1,250.	1,250.
FEDERAL HOME LN BKS CONS BD STEP UP 8/14	2,031.	2,031.
FEDERAL HOME LN BKS CONS BD STEP UP 9/12	1,875.	1,875.
FEDERAL HOME LN BKS CONS BD STEP UP 3/12	625.	625.
FEDERAL HOME LN BKS CONS BD STEP UP 9/13	3,750.	3,750.
FEDERAL HOME LN BKS CONS BD STEP UP 9/13	3,750.	3,750.
FEDERAL HOME LN BKS CONS BD STEP UP 10/1	1,875.	1,875.
FEDERAL HOME LN BKS CONS BD STEP UP 11/1	4,547.	4,547.
FEDERAL HOME LN BKS CONS BD STEP UP 12/1	2,500.	2,500.
FEDERAL HOME LN BKS CONS BD STEP UP 2/15	1,964.	1,964.
FEDERAL HOME LN BKS CONS BD STEP UP 3/15	2,500.	2,500.
FEDERAL HOME LN BKS CONS BD STEP UP 4/13	1,250.	
FEDERAL HOME LN BKS CONS BD STEP UP 7/16	1,125.	1,125.
FEDERAL HOME LN BKS CONS BD STEP UP 12/1	5,000.	5,000.
GENERAL ELEC CO	18,360.	18,360.
GLAXO PLC	19,531.	19,531.
HALLIBURTON CO	3,600.	3,600.
HEWLETT PACKARD CO COM	3,906.	3,906.
HONEYWELL INTERNATIONAL INC	12,665.	12,665.
INTEL CORPORATION	16,230.	16,230.
INTERNATIONAL PAPER COMPANY	15,750.	15,750.
JOHNSON & JOHNSON	14,160.	14,160.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
KONINKLIJKE PHILIPS ELECTRS N V	16,022.	16,022.
LILLY ELI & COMPANY	17,640.	17,640.
MARATHON OIL CORP COM	9,540.	9,540.
MERCK & CO INC NEW COM	20,160.	20,160.
MICROSOFT CORPORATION	11,200.	11,200.
FEDERATED TREASURY OBLIG FUND INSTL SHS	127.	127.
NESTLE S A SPONSORED ADR	16,898.	16,898.
NEWMONT MINING CORP	11,375.	11,375.
NOVARTIS AG ADR	18,616.	18,616.
PACCAR INC COM	5,220.	5,220.
PFIZER INC	19,608.	19,608.
PROCTER & GAMBLE CO COM	16,268.	16,268.
SCHLUMBERGER LIMITED	5,698.	5,698.
TALISMAN ENERGY INC	6,075.	6,075.
UNILEVER PLC W/I (UK/USD) US9047677045	18,616.	18,616.
UNITED TECHNOLOGIES CORP	11,850.	11,850.
WALGREEN CO	6,750.	6,750.
TOTAL	437,173.	435,923.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL TAX REFUND		12,770.
	TOTALS	12,770.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES- CHARITABLE PURPOSE	260.			260.
TOTALS	260.	NONE	NONE	260.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	1,378.			1,378.
TOTALS	1,378.	NONE	NONE	1,378.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
SALEM CAPITAL MANAGEMENT	60,596.	60,596.	
BANK OF AMERICA CUTODIAL FEES	23,525.	23,525.	
TPI INC	26,804.		26,804.
TOTALS	110,925.	84,121.	26,804.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	9,951.	9,951.
FEDERAL ESTIMATES - PRINCIPAL	26,100.	
TOTALS	36,051.	9,951.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
MA PC FILING FEE	125.	125.
OTHER EXPENSES	4,996.	4,996.
TOTALS	5,121.	5,121.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
SECURITIES ADJ		1,823.
ROUNDING		1.
	TOTAL	1,824.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
SEE ATTACHED STATEMENT
ADDRESS:

TITLE:
SEE ATTACHED
COMPENSATION .... 69,275.

TOTAL COMPENSATION: 69,275.

THE FRED DANIELS FOUNDATION 04-6014333

FORM 990-PF, PART VIII--LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME & ADDRESS	TITLE AND TIME DEVOTED TO POSTION	COMPENSATION
David A Nicholson c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Secretary Director Sub-Committees Investment Capital 1 Hours	$ 6,700 00
Meridith D Wesby c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Vice President Program & Administrator Manager-9 hours Officer & Director- 1 hour Sub-Committees Investment Strategic Grants Capital Nominating 1 Hours	 $ 11,875 00 $ 8,200 00
Fred H Daniels II c/o U S. Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	President Chairman & Director Sub-Committees Investment Strategic Grants Capital 1 Hours	$ 7,300 00
Sarah D Morse c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Director Sub-Committees Nominating Investment 1 Hours	$ 4,200 00
Jonathan D Blake c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Treasurer Director Sub-Committees Investment Strategic Grants Nominating Capital 1 Hours	$ 7,900 00
Dwight C Blake c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Director Sub-Committees Strategic Grants Investment 1 Hours	$ 5,400 00
Christina N Eaton c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Director Sub-Committees Investment 1 Hours	$ 3,900.00
James T Morse c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Director Sub-Committees Investment 1 Hours	$ 4,200 00

THE FRED DANIELS FOUNDATION 04-6014333

FORM 990-PF, PART VIII--LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME & ADDRESS	TITLE AND TIME DEVOTED TO POSTION	COMPENSATION
Sarah Daignault c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Director Sub-Committees Investment 1 Hours	$ 4,800 00
William O Pettit III c/o U S Trust, 9th floor 100 Front Street MA6-231-09-02 Worcester MA 01608	Director Sub-Committees Investment Strategic Grants 1 Hours	4,800 00
	TOTAL COMPENSATION	$ 69,275 00

990-PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
NONE

STATEMENT 11

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

NAME:
SALEM CAPITAL MANAGEMENT
ADDRESS:
400 UNICORN PARK DR
WOBURN, MA 01801
TYPE OF SERVICE:
ADVISOR
COMPENSATION .... 60,596.
COMPENSATION EXPLANATION:
INVESTMENT ADVISOR AND MANAGEMENT FEES

TOTAL COMPENSATION: 60,596.

RECIPIENT NAME:
FRED HARRIS DANIELS FDN C/O U.S. TRUST
ADDRESS:
100 FRONT STREET MA6-231-09-02, 9TH FLOOR
WORCESTER, MA 01608
RECIPIENT'S PHONE NUMBER: 508-770-7113
FORM, INFORMATION AND MATERIALS:
LETTER STATING AMOUNT & PURPOSE FOR WHICH GRANT IS REQUESTED.
REQUEST UNDER 5,000 SEE AGM FORM FOR ABOVE 5,000 SEE AGM STANDARD FORM
ADDITIONAL INFO CAN BE FOUND ON WEBSITE AT DANIELSFOUNDATION.ORG
SUBMISSION DEADLINES:
REQUESTS SHOULD BE RECIEVED BY THE 1ST OF FEB, MAY, AUG & NOV PRIOR TO
QUARTERLY MEETINGS HELD IN MAR, JUNE, SEPT, DEC
RESTRICTIONS OR LIMITATIONS ON AWARDS:
QUALIFIED CHARITABLE ORGANIZATIONS PRIMARILY IN WORCESTER MA

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SEE ATTACHED STATEMENT
RELATIONSHIP:
SEE ATTACHED STATEMENT
PURPOSE OF GRANT:
SEE ATTACHED STATEMENT
FOUNDATION STATUS OF RECIPIENT:
SEE ATTACHED STATEMENT
AMOUNT OF GRANT PAID ....1,172,500.

TOTAL GRANTS PAID: 1,172,500.

RECIPIENT NAME:
UNITED WAY OF CENTRAL MASSACHUSETTS FOR
INVESTING IN GIRLS-STRATEGIC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 112,500.

RECIPIENT NAME:
WORCESTER POLYTECHNIC INSTITUTE
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 100,000.

RECIPIENT NAME:
AMERICAN ANTIQUARIAN SOCIETY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 200,000.

RECIPIENT NAME:
WORCESTER ART MUSEUM
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 300,000.

RECIPIENT NAME:
WORCESTER ACADEMY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 450,000.

RECIPIENT NAME:
BOYS & GIRLS CLUB FOR THE GIRLS SMART
PROGRAM-STRATEGIC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 25,000.

RECIPIENT NAME:
WORCESTER YOUTH CENTER FOR CIRCLE ONE-STRATEGIC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 87,500.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
YWCA FOR GIRLS CHOICE-STRATEGIC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 150,000.

RECIPIENT NAME:
FALMOUTH ACADEMY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UNRESTRICTED GENERAL SUPPORT
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 45,000.

TOTAL GRANTS APPROVED FOR FUTURE PAYMENT: 1,470,000.

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
12/23/2011	$ 3,000 00	PARENTS HELPING PARENTS	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 7,500 00	MSPCC	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 5,000 00	STRAIGHT AHEAD MINISTRIES	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 10,000 00	FRIENDLY HOUSE	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 30,000 00	YOU, INC	NONE	CAPITAL-UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 50,000 00	WORCESTER POLYTECHNIC INSTITUTE	NONE	CAPITAL - ADVANCE OF MARCH 2012 PAYMENT	PUBLIC CHARITY
12/23/2011	$ 14,000 00	WORCESTER CTY MECHANICS ASSOC	NONE	FOR MECHANICS HALL	PUBLIC CHARITY
12/23/2011	$ 3,000 00	WORCESTER CHAMBER MUSIC SOCIETY	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 1,000 00	SALISBURY SINGERS	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 5,000 00	NEADS	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 3,000 00	UNITED NEGRO COLLEGE FUND	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
12/23/2011	$ 18,750 00	UNITED WAY OF CENTRAL MASS INC	NONE	STRATEGIC - INVESTING IN GIRLS	PUBLIC CHARITY
12/23/2011	$ 12,500 00	FAMILY HLTH CTR OF WORCESTER INC	NONE	STRATEGIC - UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
12/23/2011	$ 18,750 00	BOYS & GIRLS CLUB OF WORCESTER	NONE	STRATEGIC - GIRLS SMART PLUS PROGRAM	PUBLIC CHARITY
12/23/2011	$ 25,000 00	WORCESTER YOUTH CENTER INC	NONE	STRATEGIC - CIRCLE ONE	PUBLIC CHARITY
3/12/2012	$ 2,000 00	PAKACHOAG MUSIC SCHOOL OF	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 5,000 00	MUSIC WORCESTER, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 10,000 00	MASSACHUSETTS AUDUBON SOCIETY	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 10,000 00	Y O U , INC	NONE	FOR YOUTHNET	PUBLIC CHARITY
3/12/2012	$ 5,000 00	LITERACY VOLUNTEERS	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 15,000 00	FALMOUTH ACADEMY	NONE	FOR PERFORMING ARTS CENTER	PUBLIC CHARITY
3/12/2012	$ 7,500 00	ABBY'S HOUSE	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 50,000 00	HIGGINS ARMORY MUSEUM	NONE	CAPITAL - UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 10,000 00	THE BARTON CENTER	NONE	FOR CAMP JOSLIN	PUBLIC CHARITY
3/12/2012	$ 5,000 00	EASTER SEALS MASSACHUSETTS, INC	NONE	GRANT	PUBLIC CHARITY
3/12/2012	$ 5,000 00	HENRY LEE WILLIS COMMUNITY CTR	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
3/12/2012	$ 50,000 00	WORCESTER POLYTECHNIC INSTITUTE	NONE	CAPITAL - UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 50,000 00	MASS COLLEGE OF PHARMACY	NONE	CAPITAL - UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 25,000 00	BANCROFT SCHOOL	NONE	CAPITAL - UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 18,750 00	UNITED WAY CENTRAL MASSACHUSETTS	NONE	STRATEGIC - INVESTING IN GIRLS	PUBLIC CHARITY
3/12/2012	$ 12,500 00	COMMUNITY HEALTHLINK	NONE	CAPITAL UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
3/12/2012	$ 18,750 00	BOYS AND GIRLS CLUB OF WORCESTER	NONE	STRATEGIC - GIRLS SMART PLUS PROGRAM	PUBLIC CHARITY
3/12/2012	$ 25,000 00	WORCESTER YOUTH CENTER, INC	NONE	STRATEGIC - CIRCLE ONE	PUBLIC CHARITY
3/12/2012	$ 12,500 00	FAMILY HEALTH OF CENTRAL	NONE	STRATEGIC -UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$ 10,000 00	BECKER COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$ 35,000 00	GIRLS INC	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$ 7,500 00	REGIONAL ENVIRONMENTAL COUNCIL	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$ 5,000 00	VNA CARE HOSPICE, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$ 5,000 00	APPLE TREE ARTS, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY

Posting Date		Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
6/28/2012	$	5,000 00	BIG BROTHERS BIG SISTERS	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	35,000 00	WORCESTER HISTORICAL MUSEUM	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	25,000 00	QUINSIGAMOND COMM COLLEGE FDN	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	18,750 00	UNITED WAY OF CENTRAL MASS	NONE	STRATEGIC - INVESTING IN GIRLS	PUBLIC CHARITY
6/28/2012	$	50,000 00	YWCA	NONE	STRATEGIC - GIRLS CHOICE	PUBLIC CHARITY
6/28/2012	$	12,500 00	BOYS & GIRLS CLUB OF WORCESTER	NONE	STRATEGIC - GIRLS SMART PLUS PROGRAM	PUBLIC CHARITY
6/28/2012	$	18,750 00	WORCESTER YOUTH CENTER, INC	NONE	STRATEGIC - CIRCLE ONE	PUBLIC CHARITY
6/28/2012	$	50,000 00	HIGGINS ARMORY MUSEUM	NONE	CAPITAL - UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	5,000 00	WORCESTER INTERFAITH	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	12,500 00	WORCESTER REGIONAL RSRCH BUREAU	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	35,000 00	AMERICAN ANTIQUARIAN SOCIETY	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
6/28/2012	$	3,500 00	FIRST NIGHT WORCESTER	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$	10,000 00	NATIVITY SCHOOL OF WORCESTER	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
10/1/2012	$ 7,500 00	WHY ME	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 8,000 00	RACHEL'S TABLE	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 15,000 00	COMMUNITY HARVEST PROJECT	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 10,000 00	DISMAS HOUSE	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 5,000 00	HORIZONS FOR HOMELESS CHILDREN	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 5,000 00	PATHWAYS FOR CHANGE	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 60,000 00	UNITED WAY OF CENTRAL MASS	NONE	UNRESTRICTED GENERAL SUPPORT	PUBLIC CHARITY
10/1/2012	$ 100,000 00	AMERICAN ANTIQUARIAN SOCIETY (CULTURAL COALITION)	NONE	CAPITAL - 1ST PAYMENT ON 3-YEAR, $300,000	PUBLIC CHARITY
10/1/2012	$ 18,750 00	UNITED WAY OF CENTRAL MASS	NONE	STRATEGIC - INVESTING IN GIRLS	PUBLIC CHARITY
10/1/2012	$ 12,500 00	BOYS AND GIRLS CLUB OF WORCESTER	NONE	STRATEGIC - GIRLS SMART PLUS PROGRAM	PUBLIC CHARITY
10/1/2012	$ 18,750 00	WORCESTER YOUTH CENTER	NONE	STRATEGIC - CIRCLE ONE	PUBLIC CHARITY
10/1/2012	$ 25,000 00	YWCA	NONE	STRATEGIC - FBO GIRLS' CHOICE	PUBLIC CHARITY
	$ 1,172,500 00	TOTAL DISTRIBUTIONS			