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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

Department of the Treasury Internal Revenue Service For calendar year 2011 or tax year beginning OCT 1, 2011 2012 , and ending SEP 30. A Employer identification number Name of foundation ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST 91-2155835 Number and street (or P O box number if mail is not delivered to street address) B Telephone number (650)323-0596 P.O. BOX 520 City or town, state, and ZIP code C If exemption application is pending, check here PALO ALTO, CA 94302-0520 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation H Check type of organization: E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J | Accounting method: ___ Cash X Accrual If the foundation is in a 60-month termination Other (specify) under section 507(b)(1)(B), check here (from Part II, col (c), line 16) <₩ 77,525,527. (Part I, column (d) must be on cash basis) ▶\$ Part | Analysis of Revenue and Expenses (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) for chantable purposes (cash basis only) expenses per books ıncome ıncome N/A Contributions, gifts, grants, etc., received Check X if the foundation is not required to attach Sch B RANKED Interest on savings and temporary cash investments 1,584,462 1,308,621 STATEMENT Dividends and interest from securities 5a Gross rents 2,056,902 1,795,483 \circ 7 က် Netshort-term capital dain Income modifications 9 c Gross profit or (loss) 11 Other income 3,641,364. 3,104,104 12 Total. Add lines 1 through 11 Compensation of officers, directors, trustees, etc. 86,622. 86,622. 173,244. 14 Other employee salaries and wages 21,329. 42,659. 21,329. 15 Pension plans, employee benefits 16a Legal fees 18,994. 18,993. 37,987. STMT 2 **b** Accounting fees c Other professional fees 425,054. 321,689. 0. 17 Interest STMT 3 133,578. 0. 18 Taxes 19 Depreciation and depletion 20 Occupancy <u>350.</u> 175. 175. 21 Travel, conferences, and meetings 22 Printing and publications 74,329 49,213. 8,247. STMT 4 23 Other expenses 24 Total operating and administrative 887,201 135,366. expenses. Add lines 13 through 23 498,022 1,162,377. 1,298,066. 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. 2,049,578 498,022 1,433,432. Add lines 24 and 25 27 Subtract line 26 from line 12: 1,591,786. a Excess of revenue over expenses and disbursements 2,606,082 b Net investment income (if negative, enter -0-) N/A C Adjusted net income (if negative, enter -0-) LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

Form 990-PF (2011)

A CHARITABLE TRUST

٦	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End o	
느	ai k	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	5,065.	6,495.	6,495.
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts			
	4	Pledges receivable ▶			-
		Less: allowance for doubtful accounts		_	
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			i
ts	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
⋖	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 5	59,234,175.	73,086,710.	73,086,710.
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment, basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis			
		Less accumulated depreciation			
	15	Other assets (describe ► STATEMENT 6)	4,301,844.	4,432,322.	4,432,322.
			40 544 004		
		Total assets (to be completed by all filers)	63,541,084.	77,525,527.	77,525,527 .
		Accounts payable and accrued expenses		16,472.	
	1	Grants payable	773,010.	637,322.	
es	19	Deferred revenue			
Ħ	20	Loans from officers, directors, trustees, and other disqualified persons		•	* ,
Liabilities	21	Mortgages and other notes payable	16 511 202	15 406 453	-
	22	Other liabilities (describe ► STATEMENT 7)	16,511,393.	15,406,453.	
		T-A-11'-14'4' / 47 Ab 00')	17 204 402	16 060 247	
_	23	Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here	17,284,403.	16,060,247.	
		· · · · · · · · · · · · · · · · · · ·			•
Š		and complete lines 24 through 26 and lines 30 and 31.	42,265,530.	57 207 222	
ğ		Unrestricted Temperature to describe the second sec	3,991,151.		
la la		Temporarily restricted	3,331,131.	4,111,341.	,
Net Assets or Fund Balances	26	·			
돌		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
ō	27	Capital stock, trust principal, or current funds			•
ets	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
155	29	Retained earnings, accumulated income, endowment, or other funds			-
et/	30	Total net assets or fund balances	46,256,681.	61,465,280.	
z	30	Total liet assets of fully balances	40,230,001.	01,405,200.	
	31	Total liabilities and net assets/fund balances	63,541,084.	77,525,527.	
Ξ				,020,02.0	
LP	art	Analysis of Changes in Net Assets or Fund B	aiances		
1	Tota	net assets or fund balances at beginning of year - Part II, column (a), line	30		
	(mus	st agree with end-of-year figure reported on prior year's return)		1	46,256,681.
		r amount from Part I, line 27a		2	1,591,786.
		r increases not included in line 2 (itemize) UNREALIZED	GAIN	3	13,616,813.
		lines 1, 2, and 3		4	61,465,280.
		eases not included in line 2 (itemize)	-	5	0.
6	Tota	net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	61,465,280.
					Form 990-PF (2011)

2-story brick v	varehouse;	nd(s) of property sold (e.g. or common stock, 200 shs			(6) H P - D -	ow acquired Purchase Donation		Date acquired mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMEN	ΓА						VZ	RIOUS	VARIOUS
b						_			
C									
<u>d</u>					<u> </u>		↓		
е					<u> </u>				
(e) Gross sales price	(f)	Depreciation allowed (or allowable)		st or other basis expense of sale			(((h) Gain or (loss e) plus (f) minus	(g)
a b									1,795,483.
C	1				ĺ	 			
đ									_
е			•			·			
Complete only for assets show	ing gain in	column (h) and owned by t					(I) Ga	iins (Col. (h) gair	n minus
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69		cess of col. (i) col. (j), if any			coi. (k) Li	, but not less that osses (from col.	(h))
a				•		_			1,795,483.
b									
C									
d					\perp				
е									
2 Capital gain net income or (net o	capital loss	If gain, also enter If (loss), enter -0-			}[2			1,795,483
3 Net short-term capital gain or (l			d (6):		٦				
If gain, also enter in Part I, line & If (loss), enter -0- in Part I, line &		c).				3		N/A	
		ection 4940(e) for	Reduced	Tax on Net	Inve	estment I	ncor		
(For optional use by domestic priva	te foundati	ons subject to the section 4	940(a) tax on	net investment in	come)			
If section 4940(d)(2) applies, leave Was the foundation liable for the se If "Yes," the foundation does not que	ction 4942 alify under	tax on the distributable am section 4940(e). Do not coi	mplete this pa	rt.					Yes X No
1 Enter the appropriate amount in (a)	1 each colu	• • •	ictions before	making any entri					(4)
Base periód years Calendar year (or tax year begini	nıng ın)	(b) Adjusted qualifying dist		Net value of no				Distri (col. (b) di	(d) bution ratio vided by col. (c))
2010			1,512.			027,65			.039442
2009			9,298.			517,46			.038871
2008			2,843.			473,00			.08710
2007			7,376.			653,18			.048099
2006		4,10	7,178.		05,	533,29	74.	<u> </u>	.062673
2 Total of line 1, column (d)								2	.276192
3 Average distribution ratio for the the foundation has been in exist			n line 2 by 5,	or by the number	of yea	rs		3	.055238
4 Enter the net value of noncharita	able-use as	sets for 2011 from Part X, I	ine 5					4 5	52,308,952
5 Multiply line 4 by line 3								5	2,889,442
6 Enter 1% of net investment inco	ome (1% of	Part I, line 27b)						6	26,061
7 Add lines 5 and 6	•	·						7	2,915,503
							ı		
8 Enter qualifying distributions fro	m Part XII	. line 4					J	a l	1.433.432
8 Enter qualifying distributions from If line 8 is equal to or greater the See the Part VI instructions.			1b, and comp	elete that part usin	ıg a 1%	6 tax rate.	Ĺ	8	1,433,432

ERIK E AND EDITH H BERGSTROM FOUNDATION,

Part VI Excise Tax Based on Investment Income Section 4940(p), 4940(p), or 4944- see instructions	Form 990-PF (2011) A CHARLTABLE TRUST			2155835		Page 4
Dates of ruling or determination lettler:	Part VI Excise Tax Based on Investment Income (Section 49	40(a), 4940(b), 4940(e), or 4	1948	- see instr	uctio	ns)
b Domestic Goundations that meet the section 4940(e) requirements in Part V, check here	1a Exempt operating foundations described in section 4940(d)(2), check here 🕨 📖 and	enter "N/A" on line 1.				
of Part I, line 27b c. All ches domestic boundations enter 2% of line 27b. Exampt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 3 S52, 122. 3 S52, 122. 4 Subdited is (nome) bax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Credits-Payments: a 2011 estimated tax payments and 2010 overpayment credited to 2011 5 Exampt foreign organizations: Lax withheld at source 6 Tax paid with application for extension of time to life (Form 8868) 6 G	Date of ruling or determination letter: (attach copy of letter if n	ecessary-see instructions)		ļ		
a All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 doubted A (morme) ax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3, If zero rises, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3, If zero rises, enter -0- 6 CodistPayments; 2 2011 estimated tax payments and 2010 overpayment credited to 2011 5 Exampl foreign organizations - 1ax withheld at source 6 Tax paid with application for extension of time to the (Form 8888) 6 Section and payments, Add lines 5 althrough 6d 7 Total credits and payments. Add lines 5 althrough 6d 8 Bries any penalty for underspayment of estimated tax. Check here [X] if Form 2220 is attached 8 Bries any penalty for underspayment of estimated tax. Check here [X] if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount overed 9 Tax due. If the total of lines 5 and 8 is more than hie 7, enter amount overed 9 Tax due. If the total of lines 5 and 8 is more than hie 7, enter amount overed 10 Total credits and payments. Add lines 5 attrough 6d 11 Enter the amount of line 10 to be: Oredited to 2012 estimated tax. ► 12 Eart VII-A Statements Regarding Activities 13 Loung the tax year, of the foundation attempt to influence any national, state, or local legislation or did it panicipate or intervene in any political campaign? 13 Line answer is *Yes** to Tay or Tby, attach a detailed description of the activities and copies of any materials published or distributed by the foundation or connection with the activities. 14 Line answer is *Yes** to Tay or Tby, attach a detailed description of the activities and copies of any materials published or distributed by the foundation, or southername to the total connection of the activities and the	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here	▶ ☐ and enter 1%	1		52,1	.22.
2 To under section \$11 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 4 Subbtile A (moome) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 To the based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 To the substitution of the activities and copies of any materials published or distributed by the foundation of connection with the activities. 1 In Yes, a state h a detailed description of the activities and copies of any materials published or distributed by the foundation file Front 112-Pol C in the year? 1 If Yes, a state h a detailed description of the activities and copies of any materials published or distributed by the foundation file Front 112-Pol C in the year? 1 If Yes, a state h a detailed description of the activities. 2 If Yes, a state h a detailed description of the activities and copies of any materials published or distributed by the foundation file Front 112-Pol C in the year? 1 If Yes, a state h a detailed description of the activities and copies of any materials published or distributed by the foundation file Front 112-Pol	of Part I, line 27b					
3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Credist/Payments: 5 2011 estimated tax payments and 2010 overpayment credited to 2011 6 Exempl foreign organizations - tax withheld at source 7 Tax paid with application for extension of time to file (Form 8888) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6 a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here 【X】 if Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here 【X】 if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount over 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount over 10 Toverpayment, life no 7 s more than his foot of lines 5 and 6, elert the mount-overpaid 11 Enter the amount of line 10 to be; Credited to 2012 estimated tax ► Refunded ▶ 11 Part VIII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did in participate or intervene in any protical campaign? 10 bid it speem from the 100 bid is expend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 11 the answer is 'Yes' to 1 ₃ or 1 ₁₀ , attach a defailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1a During the tax is form 1120-POL for this year? 1b If the answer is 'Yes' to 1 ₃ or 1 ₁₀ , attach a defailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 2 D. (2) On foundation managers. ▶ \$ 0. 2 Has the foundation file form 1120-POL for this year? 3 Has the foundation have unrelated business gross income of \$1,000 or mor	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4	1% of Part I, line 12, col. (b).				
3 Add lines 1 and 2 4 Subtitle A (incomp) tax (domestic section 4947(s)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3.11 zero or less, enter -0- 6 CredistiPayments; 2 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempl foreign organizations - tax withheld at source 1 Tax path and applicants for extension of time to the (Form 8868) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6s through 6d 8 Enter any penalty for underpayment of estimated tax. Check here IX if Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here IX if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount overed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount overed 10 Terpayment. If line 7 is more than the total of lines 5 and 6, enter the mount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax. ► Refunded ■ 11 Part VII-A IX Statements Regarding Activities 15 During the tax year, did the foundation alternpt to influence any national, state, or local legislation or did it participate or intorvene in any political campagn? 16 Did it spond more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 17 If the answer is 'Yes' to 1 ₁₀ or 1 ₁₀ , attach a detailed description of the activities and copies of any materials published or distributed by the foundation on connection with the activities. 18 Did it spond more than \$100 during the year (either directly or indirectly) for political expenditure tax imposed on foundation managers. S 0. 2 His the foundation file Form 1120-P0L for this year? 3 His form the reminute strutument or political expenditure is knipposed during the year? 3 His form the reminute is strutument or political expenditure tax imposed of foundation managers. S 0. 3 Einter the reminutes instruments of the co			2			0.
4 Subtité à (income) lax (domestic section 4947(a)(1) musts and taxable foundations only. Others enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. if zero or less, enter -0- 5 (7.2, 122. 5) (7.2, 122. 5) (8.2 (122. 5) (122		,	-	5	2,1	22.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 CreditsPayments: a 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations - fax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 5a through 6d 8 Enter any penalty for undersyment of estimated tax. Check here [X] if Form 2220 is attached 8 Rofes. 9 Tax due. If the total of lines 5 and 8 is more than her 7, enter amount owed 9 \$ 42,990. 10 Overpayment. If time 7 is more than the total of lines 5 and 8, enter the amount owed 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ Retunded ▶ 10 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ Retunded ▶ 11 Part VIII-A] Statements Regarding Activities Part VIII-A] St	4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only.	Others enter -0-)		 		
6 CreditsPayments: a 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penality for underpayment of estimated tax. Check here [X] if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to 6e Credited to 2012 estimated tax. Part VII-A.] Statements Regarding Activities 1a During the tax vear, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in say possibility of the spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "ves" to p.a. or p.b. attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1 During the tax vear is "a to p.a. or p.b. attach a detailed description of the activities and copies of any materials published or distributed by the foundation in Connection with the activities. 1 During the tax vear is "a to p.a. or p.b. attach a detailed description of the activities and copies of any materials published or distributed by the foundation here form 1124-0PU, for in system? 1 During the tax vear is "a to p.a. or p.b. attach a detailed description of the activities. 2 During the tax vear is "a to p.a. attach a conformation of the activities. 3 Has the foundation here form 1124-0PU, for in system 125-0PU, for inspect of the 185 in special proposed during the year? 1 The "yes," attach a detailed description of the activities. 3 Has the foundation engaged in any activities that have not previously been reported to the 185? 4 Was th		,	<u> </u>	5	2.1	
a 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to life (Form 8868) d Backup withholding eroneously withheld 7 Total credits and payments. Add lines 6a through 6d 6d 7 Total credits and payments. Add lines 6a through 6d 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here X If Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than he total of lines 5 and 8, enter the amount ower 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owerpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ► Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 15 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 16 If the answer is "Yes" to T ₁₀ or T ₁₀ , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 16 Did it spend foundation. For Tito 120-POL for this year? 26 Enter the rembursement (if any) political expenditures (section 4955) imposed during the year. 27 (1) On the foundation. Political expenditure tax imposed on foundation managers. ► \$ 0. (2) On foundation managers. ► \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 16 Yes, "attach a detailed description of the activities. 28 Has the foundation make any changes, not previously reported to the IRS? 16 Yes," statich as detailed description of the activities. 29 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 30 Yes, "attach a detailed description of the activities. 31 Ye			<u> </u>	<u> </u>	,	
b Exempt foreign organizations - tax withhelid at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 5a through 6d 8 Enter any preselty for underpayment of estimated tax. Check here 【X] if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 \$ 42,990. 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owerpaid 10 The Enter the amount of line 10 to be: Credited to 2012 estimated tax. Part VIII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1 ₂ or 1 _D , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation in Eorim 1120-POL for this year? 10 on the foundation in Eorim 1120-POL for this year? 2 Has the foundation in Eorim 1120-POL for this year? 3 Has the foundation made any changes, not previously reported to the IRS; in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a detailed description of the activities 3 Has the foundation have uncleaded business gross income of \$1,000 or more during the year? 4 Li Yes, attach the stateded business gross income of \$1,000 or more during the year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 16 Yes, attach the statement required by General Instruction 1. 9 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument. Or "Yes,	•	6a 10.000.	.]			
c Tax part with application for extension of time to file (Form 8868) d Backup withholding erroreously withheld T Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here [X] if form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be. Credited to 2012 estimated tax Part VII-A Statements Regarding Activities 1a During the tax year, and the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to ** for *			1			
d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ∑ if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than her 7, enter amount owed 9 \$42,990. 10 Overpayment. If the 7 is more than the 101 of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ Refunded ↑ 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1 bild it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definitions)? 1 if the answer is "Yes" to 7 1 10 7 10 10 10 10 10 10 10 10 10 10 10 10 10			┪			
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 By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV. Enter the states to which the foundation reports or with which it is registered (see instructions) CA If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses X 	If "Yes," attach the statement required by General Instruction T.					
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remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	 By language in the governing instrument, or 					
7 X If "Yes," complete Part II, col (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	 By state legislation that effectively amends the governing instrument so that no mandat 	ory directions that conflict with the state	e law			
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8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	7 Did the foundation have at least \$5,000 in assets at any time during the year?			7	Х	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses 10 X	If "Yes," complete Part II, col (c), and Part XV.				Ì	
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year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	·	section 4942(i)(3) or 4942(i)(5) for calc	endar		 	
10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses 10 X						x
				├ ——	\vdash	
	Tos, and a solic	and addresses)-PF	

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ERIK E AND EDITH H BERGSTROM FOUNDATION,

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Pa	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A			
14	The books are in care of ▶ JULIA RITA BOLOUS Telephone no. ▶ 650 —	323-0	596	
		94302		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			T
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign	''		 -
	country			!
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			,
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
4.		-	162	NO
18	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No.			
		'		į
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No.		i	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? X Yes No.			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No.	'		
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?	,		
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	If the foundation agreed to make a grant to or to employ the official for a period after			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	termination of government service, if terminating within 90 days.)	,		Ì
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here]		
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2011? Yes \overline{X} No.	,		
	If "Yes," list the years \blacktriangleright			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			Ì
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			1
				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			1
	during the year? X Yes N	,		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X
		Form 990	-PF	

Form 990-PF (2011)

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λ.	CUA	DT	דם גת	ᄧ	m t	DITC	m			

Part VII-B Statements Regarding Activities for Which F	Form 4720 May Be F	Required (contin	ued)			
5a During the year did the foundation pay or incur any amount to:					(
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?						
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly,						
any voter registration drive?			es X No			
(3) Provide a grant to an individual for travel, study, or other similar purposes	7	Ye	s X No		1	
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section				1	
509(a)(1), (2), or (3), or section 4940(d)(2)?		X Ye	s D No			
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or fo	or			1 (
the prevention of cruelty to children or animals?		Ye	s X No	·		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	fer the exceptions described in	n Regulations				
section 53.4945 or in a current notice regarding disaster assistance (see instru	ctions)?			5b	X	
Organizations relying on a current notice regarding disaster assistance check h	ere					
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr	om the tax because it maintain	ned				
expenditure responsibility for the grant?		X Y	es 🔲 No			
If "Yes," attach the statement required by Regulations section 53 4945	5-5(d)			-	-	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on					
a personal benefit contract?		Ye	s X No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		ľ	6b	x̃	
If "Yes" to 6b, file Form 8870.						
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	Ye	s X No			
b If "Yes," did the foundation receive any proceeds or have any net income attribu	table to the transaction?		N/A	7b		
Part VIII Information About Officers, Directors, Trusto	ees, Foundation Ma	nagers, Highly	7			
1 List all officers, directors, trustees, foundation managers and their			73.			
(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans and deferred	(e) E)	opense ot, other	
	to position	`enter`-0-)	compensation	allow	rances	
	CO-EXEC. DIRE	CTOR				
P.O. BOX 520	25 22				•	
PALO ALTO, CA 94302	35.00	0.	0.		0.	
	CO-EXEC. DIRE	CTOR				
P.O. BOX 520	10.00				•	
PALO ALTO, CA 94302	10.00	0.	0.		<u> </u>	
2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none	enter "NONF "				
2 Compensation of the highest-paid employees (outer than those inc		Citter NOILE.	(d) Contributions to	(e) E	cpense	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	accour	rpense nt, other rances	
JULIA RITA BOLOUS	FIN. DIR./PRG	RM OFFICE	compensation R	anovi	ances	
P.O. BOX 520, PALO ALTO, CA 94302	40.00	112,025.	26,447.		0.	
	PROGRAM OFFIC		20,117.		- 0 •	
P.O. BOX 520, PALO ALTO, CA 94302	24.00	54,225.	0.	1	0.	
1.0. Don 320, Indo Adio, Ca 94302	24.00	J=, ZZJ•		 		
				<u> </u>		
	7	-	<u> </u>	<u> </u>		
Total number of other employees paid over \$50,000		<u> </u>	•	<u> </u>	0	
		· · · · · · · · · · · · · · · · · · ·	Form	990-PF		
					. ,	

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Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	on Managers, Highly		
3 Five highest-paid independent contractors for professional services. If none, enter "N	IONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service		(c) Compensation
NONE	• • • • • • • • • • • • • • • • • • • •		
			
		+	
Total number of others receiving over \$50,000 for professional services		<u> </u>	0
Part IX-A Summary of Direct Charitable Activities			
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical number of organizations and other beneficiaries served, conferences convened, research papers produce	Information such as the ed, etc.	ŧ	Expenses
1 NONE			· •
			0.
2			
2			
3			
to			
4			
Part IV B Common of Disagram Deleted Investments			<u> </u>
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on line	ss 1 and 2		Amount
	5 1 4110 2.		Antount
1 NONE			
			^
			0.
2			
All other program-related investments. See instructions.	ļ		
3 NONE			
			0.
Total. Add lines 1 through 3			0.
		Form	990-PF (2011)

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P	Part X Minimum Investment Return (All domestic foundations must complete this part. For	eign founda	ations,	see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		T	
а	Average monthly fair market value of securities	1	a	68,369,758.
b	Average of monthly cash balances	1	ь	68,369,758. 7,537.
C		1	c	
d	Total (add lines 1a, b, and c)	1	d	68,377,295.
е	Reduction claimed for blockage or other factors reported on lines 1a and			
	1c (attach detailed explanation)	0.		
2	Acquisition indebtedness applicable to line 1 assets	- 2	2	15,271,760.
3	Subtract line 2 from line 1d	[3	3	53,105,535.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	7	4	796,583.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4		5	52,308,952.
6	Minimum investment return. Enter 5% of line 5	- 6	6	2,615,448.
P	Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating found foreign organizations check here and do not complete this part.)	dations and co	ertain	
1	Minimum investment return from Part X, line 6	1	1	2,615,448.
2a	Tax on investment income for 2011 from Part VI, line 5 2,	122.	- (2)	<u> </u>
b	Income tax for 2011. (This does not include the tax from Part VI.) 2b 21,	122.		
C	Add lines 2a and 2b	2		73,142.
3	Distributable amount before adjustments. Subtract line 2c from line 1	- 3	3	73,142. 2,542,306.
4	Recoveries of amounts treated as qualifying distributions	4	4	0.
5	Add lines 3 and 4		5	2,542,306.
6	Deduction from distributable amount (see instructions)	- 6	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7	2,542,306.
P	Part XII Qualifying Distributions (see instructions)		.	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			1 122 120
a	the series of th	<u></u>	a	1,433,432.
	Program-related investments - total from Part IX-B	<u> </u>	b	<u></u>
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:		132	
a		3	_	
b	,,,,,	3		1 422 420
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<u> </u>	4	1,433,432.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	_	_	0
_	income. Enter 1% of Part I, line 27b	5	_	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4		6	1,433,432.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the for 4940(e) reduction of tax in those years.	undation qual	iities foi	r the section

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Part XIII Undistributed Income	see instructions)			
	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7		<u> </u>		2,542,306.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007	1			
c From 2008 1,701,428.	1			
dFrom 2009 1,769,298.				
eFrom 2010 2,091,512.]			
f Total of lines 3a through e	5,562,238.			
4 Qualifying distributions for 2011 from				
Part XII, line 4: \triangleright \$ 1,433,432.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2011 distributable amount				1,433,432.
e Remaining amount distributed out of corpus	0.			
Excess distributions carryover applied to 2011 (ff an amount appears in column (d), the same amount must be shown in column (a))	1,108,874.			1,108,874.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,453,364.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable		0		
amount - see instructions		0.		
e Undistributed income for 2010. Subtract line			0.	
4a from line 2a. Taxable amount - see instr.		· ·	0.	
f Undistributed income for 2011. Subtract				
lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of				0.
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012.				
Subtract lines 7 and 8 from line 6a	4,453,364.			
10 Analysis of line 9:	1,133,301			
a Excess from 2007				
b Excess from 2008 592,554.	<u> </u>			
c Excess from 2009 1,769,298				
d Excess from 2010 2,091,512.				
e Excess from 2011	1			1
			· · · · · · · · · · · · · · · · · · ·	Form 000 PE (2011)

ERIK E AND EDITH H BERGSTROM FOUNDATION,

A CHARITABLE TRUST 91-2155835 Form 990-PF (2011) Page 10 Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling 4942(1)(5) 4942(j)(3) or b Check box to indicate whether the foundation is a private operating foundation described in section Prior 3 years Tax year 2 a Enter the lesser of the adjusted net (a) 2011 (b) 2010 (c) 2009 (d) 2008 (e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets Part XV at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) SEE STATEMENT 8 b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here 🕨 🗓 if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number of the person to whom applications should be addressed: N/A b The form in which applications should be submitted and information and materials they should include: c Any submission deadlines: N/A d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors; N/A

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Part XV Supplementary Information	(continued)			
3 Grants and Contributions Paid During the Ye	ear or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	oona loation	
a Paid during the year				
SEE ATTACHMENT B				
				1,298,066.
	· · · · · · · · · · · · · · · · · · ·		· ·	
				1 000 000
total b Approved for future payment	Γ	ī	<u>▶</u> 3a	1,298,066.
• Approved for fature payment				
SEE ATTACHMENT B				637,322.
				037,322.
				
Total	L	<u> </u>	> 3b	637,322.
				orm 990-PF (2011)

Part XVI-A Analysis of Income-Producing Activities

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			,		
Enter gross amounts unless otherwise indicated.	(a) Business	usiness income (b) Amount	(C) Exclu- sion	(d) Amount	(e) Related or exempt function income
1 Program service revenue:	code		code	711100111	TOTOGOT MOOTHE
a	-		+ +		
b	-		 -		
c		-	1 1		
	-		+ +		
e	- 		┼┼	+	
g Fees and contracts from government agencies			+ +		
2 Membership dues and assessments			+ +		
3 Interest on savings and temporary cash			+		-
investments					
4 Dividends and interest from securities	900000	275,841.	14	1,308,621.	
5 Net rental income or (loss) from real estate:		, , , , ,	 	3	
a Debt-financed property	,		1 1		
b Not debt-financed property			† †		
6 Net rental income or (loss) from personal			 		
property					
7 Other investment income			1 1		
8 Gain or (loss) from sales of assets other			1 		
than inventory	اوەوەووا	261,419.	18	1,795,483.	
9 Net income or (loss) from special events			 - 		
10 Gross profit or (loss) from sales of inventory			 		*
11 Other revenue:			+ +		······································
a					
	_		1		
^	_ _		 -		
d	-		1 1		
е			+ +		
12 Subtotal. Add columns (b), (d), and (e)	4 - J. J. 1942 D.	537,260.		3,104,104.	0.
13 Total. Add line 12, columns (b), (d), and (e)		33772000		13	3,641,364.
(See worksheet in line 13 instructions to verify calculations.	1			··	3,012,301.
Part XVI-B Relationship of Activities		plishment of E	xempt	Purposes	
Line No. Explain below how each activity for which in the foundation's exempt purposes (other the			Contribu	ited importantly to the accom	plishment of
NOT APPLICABLE	an by providing funds	ioi sucii pui poses).		•	
NOT AFFIICABLE					
					
					
				· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·
					
					
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		-			
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	.				
123621 12-02-11					Form 990-PF (2011)
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	ERIK E AND EDITH A BERGSTROM FOUNDATION,		
Form 990-PF (201		91-2155835	Page 13
Part XVII	Information Regarding Transfers To and Transactions and Relationship	s With Noncharitable	
•	Exempt Organizations		

1	Did the or	ganization directly or indi	rectly engage in any of the followin	ng with any other organization	described in section 501(c) of		Yes	No		
	the Code	(other than section 501(c))(3) organizations) or in section 52	27, relating to political organiza	ations?					
а	Transfers	from the reporting founda	ation to a noncharitable exempt or	ganization of:				-		
	(1) Cash					1a(1)		X		
	(2) Other	assets				1a(2)		X		
	Other tran									
		of assets to a noncharital	ble exempt prognization			1b(1)		X		
	• •		ncharitable exempt organization			1b(2)		X		
		l of facilities, equipment,				1b(3)		X		
		bursement arrangements				1b(4)		X		
		s or loan guarantees				1b(5)		X		
			mbership or fundraising solicitation	ine		1b(6)		X		
			•			1c		X		
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other a										
					in any transaction or sharing arrangement, shi		eis,			
			other assets, or services received.		in any mansaction of stiaring arrangement, sin	JW III				
(a)Lır	·	(b) Amount involved	(c) Name of noncharitable		(d) D					
(4)[ie iio	(b) Amount involved	1	s exempt organization	(d) Description of transfers, transactions, and sh	aring arr	angeme	nts		
	_		N/A							
	-	· · ·								
		···-								
				· · · · · · · · · · · · · · · · · · ·						
					,,					
2a	Is the four	ndation directly or indirect	tly affiliated with, or related to, one	or more tax-exempt organiza	tions described					
	in section	501(c) of the Code (other	r than section 501(c)(3)) or in sect	tion 527 ?		Yes	X	No		
b	If "Yes," co	omplete the following sch	edule.							
		(a) Name of org	anization	(b) Type of organization	(c) Description of relationshi	<u>р</u>				
		N/A			<u> </u>					
			- 							
					atements, and to the best of my knowledge	the IRS o		bio.		
Sig	in and be	elief, it is true, correct, and con	nplete Declaration of preparer (other tha	n taxpayer) is based on all informati	return	with the	e prepar	er		
He		Frile E	- Beaglass	1 2 8 13	CO-EXECUTIVE X	n below Yes]"No		
	- I F-	nature of officer or trustee	(200) 2000	Date	Title DIRECTOR	J 163		. NO		
		Print/Type preparer's na	me Preparer's s		Date Check if PTIN					
			111	· - , ,	self- employed					
Pai	id	SHARON L.Z	ORBACH Man	r. Soubach	01/17/13 P00	125	475			
	eparer	Firm's name ▶ DEL	17070	m /	Firm's EIN ▶ 86-10					
	e Only	Time Shame Publi	VIIII IIII IIII	\mathcal{U}	THIIIS CIN POOP IO	551	, 4			
-50	~ ~ · · · · y	Firm's address ▶ 22	5 WEST SANTA CL	ARA STREET						
			N JOSE, CA 9511		Phone no. 408-7	Λ4 =	400	n		
		ISA	M OODE, CA 3311			n 990				
					FOIT	11 フサリ	-rr(2011)		

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Capital Gain / (Loss)

							Debt/Basis		
	Shares	Sold	Puchase	Proceeds	Basis	Gain/Loss	% 	990T	990PF
LONG TERM NON D	ERI HINANCED S	IOCKS							
ADX	370	12/21/11	4/28/1986	3,474	4,867	(1,393)	N/A		(1,393)
ADX	1,880	12/21/11	4/28/1986	17,649	24,728	(7,079)	N/A		(7,079)
ADX	1,500	12/21/11	6/11/1986	14,082	20,143	(6,061)	N/A		(6,061)
ADX	2,250	12/21/11	6/12/1986	21,123	30,161	(9,038)	N/A		(9,038)
ADX	300	12/21/11	6/16/1986	2,816	3,996	(1,180)	N/A		(1,180)
ADX	1,100	12/21/11	6/17/1986	10,329	14,532	(4,203)	N/A		(4,203)
ADX	2,350	12/21/11	6/17/1986	21,853	31,046	(9,193)	N/A		(9,193)
ADX	650	12/21/11	10/17/1986	6,044	8,770	(2,726)	N/A		(2,726)
ADX ADX	1,900 50	12/20/11	4/15/1987	17,773 465	27,713 729	(9,940) (264)	N/A		(9,940) (264)
ADX	1,000	12/20/11 12/20/11	4/15/1987 4/16/1987	9,327	14,613	(5,286)	N/A N/A		(5,286)
ADX	50	12/20/11	4/16/1987	465	731	(265)	N/A		(265)
ADX	1,800	12/20/11	5/28/1987	16,771	24,981	(8,211)	N/A		(8,211)
ADX	1,800	12/20/11	5/28/1987	16,753	24,981	(8,229)	N/A		(8,229)
ADX	300	12/20/11	9/8/1987	2,792	4,292	(1,500)	N/A		(1,500)
ADX	200	12/20/11	9/8/1987	1,867	2,862	(995)	N/A		(995)
ADX	1,000	12/20/11	9/8/1987	9,307	14,308	(5,001)	N/A		(5,001)
ADX	450	12/20/11	9/15/1987	2,327	6,432	(4,105)	N/A		(4,105)
ADX	1,800	12/20/11	9/15/1987	16,808	25,876	(9,068)	N/A		(9,068)
ADX	550	12/20/11	10/16/1987	6,980	8,110	(1,130)	N/A		(1,130)
ADX	200	12/20/11	10/16/1987	1,866	2,949	(1,084)	N/A		(1,084)
ADX	1,800	12/20/11	10/19/1987	16,789	26,548	(9,760)	N/A		(9,760)
ADX	750	12/20/11	10/19/1987	7,003	10,999	(3,997)	N/A		(3,997)
ADX	1,820	12/20/11	10/19/1987	16,993	26,540	(9,547)	N/A		(9,547)
ADX	2,000	12/21/11	10/19/1987	18,752	29,165	(10,413)	N/A		(10,413)
ADX	2,500	12/21/11	10/19/1987	23,472	36,456	(12,984)	N/A		(12,984)
ADX	1,180	12/21/11	10/19/1987	11,078	17,207	(6,130)	N/A		(6,130)
ADX	2,820	12/21/11	10/21/1987	26,475	40,484	(14,009)	N/A		(14,009)
ADX ADX	630 CGD	12/21/11 12/28/11	10/21/1987	5,914	9,044	(3,130) 458,955	N/A N/A		(3,130) 458,955
GAM	CGD	12/23/11				21,233	N/A		21,233
ADX	CGD	12/23/11				619,500	N/A		619,500
ADX	CGD	03/01/12				10,999	N/A		10,999
ADX	CGD	03/01/12				14,400	N/A		14,400
ADA	CGD	03/01/12	-	327,346	493,264	959,168	W^_	-	959,168
			_	·					
LONG TERM DERT	IN ANGED STOCK	_							
LONG TERM DEBT F	INANCED STOCK	3							
SOR	100	05/10/12	11/15/2007	5,179	6,355	(1,176)	25 96%	(305)	(871)
SOR	289	05/10/12	11/15/2007	14,958	18,366	(3,408)	25 96%	(884)	(2,523)
SOR	100	05/10/12	11/15/2007	5,175	6,415	(1,240)	25 96%	(322)	(918)
SOR	11	05/10/12		569	699	(130)	25 96%	(34)	(96)
SOR	500	05/10/12	11/15/2007	25,870	31,812	(5,942)	25 96%	(1,542)	(4,400)
SOR	300	05/15/12	11/15/2007	15,495	19,026	(3,531)	25 96%	(916)	(2,614)
SOR	564	05/15/12	11/15/2007	29,130	36,004	(6,875)	25 96%	(1,784)	(5,090)
SOR	36	05/16/12	11/15/2007	1,856	2,294	(438)	25 96%	(114)	(324)
SOR	100,	05/16/12	11/15/2007	5,155	6,382	(1,227)	25 96%	(318)	(909)
SOR	100	05/16/12	11/16/2007	5,155	6,382	(1,227)	25 96%	(318)	(909) (1.913)
SOR SOR	200	05/16/12	11/16/2007 11/16/2007	10,310	12,758	(2,448)	25 96% 25 96%	(635) (2.230)	(1,813) (6,361)
SOR	700 500	05/16/12 01/20/12		36,084 24,475	44,674 31,890	(8,590) (7,415)	25 96% 26 17%	(2,230) (1,940)	(5,351) (5,475)
JUN	500	01/20/12	11/10/2007	24,413	31,090	(7,415)	20 1770	(1,540)	(3,473)

Debt/Basis

Capital Gain / (Loss)

							Debt/Basis		
	Shares	Sold	Puchase	Proceeds	Basis	Gain/Loss	%	990T	990PF
SOR	500	01/20/12	11/19/2007	24,475	31,926	(7,451)	26 17%	(1,950)	(5,501)
SOR	100	05/18/12	11/19/2007	5,038	6,381	(1,343)	25 96%	(349)	(994)
SOR	400	05/18/12	11/19/2007	20,140	25,524	(5,384)	25 96%	(1,397)	(3,986)
SOR	100	05/18/12	11/20/2007	5,034	6,351	(1,317)	25 96%	(342)	(975)
SOR	300	05/18/12	11/20/2007	15,135	19,107	(3,972)	25 96%	(1,031)	(2,941)
SOR	300	05/18/12	11/20/2007	15,135	19,098	(3,963)	25 96%	(1,029)	(2,934)
SOR	178	05/18/12	11/23/2007	8,980	11,202	(2,222)	25 96%	(577)	(1,645)
SOR	22	05/18/12	11/23/2007	1,110	1,382	(272)	25 96%	(71)	(201)
SOR	200	05/18/12	11/23/2007	10,090	12,584	(2,494)	25 96%	(647)	(1,847)
SOR	100	05/18/12	11/23/2007	5,071	6,292	(1,221)	25 96%	(317)	(904)
SOR	200	05/18/12	11/23/2007	10,148	12,652	(2,504)	25 96%	(650)	(1,854)
SOR	100	05/18/12	11/23/07	5,055	6,296	(1,241)	25 96%	(322)	(919)
GAM	500	2/8/2012	1/15/2002	13,925	17,550	(3,625)	100 00%	(3,625)	-
GAM	1,000	1/25/2012	2/7/2002	26,202	33,800	(7,598)	100 00%	(7,598)	-
GAM	1,000	1/25/2012	2/7/2002	26,227	33,800	(7,573)	100 00%	(7,573)	-
GAM	200	1/25/2012	2/7/2002	5,253	6,808	(1,555)	100 00%	(1,555)	-
GAM	1,000	1/27/2012	2/7/2002	26,329	33,760	(7,431)	100 00%	(7,431)	-
GAM	800	1/27/2012	4/9/2002	21,216	25,692	(4,476)	100 00%	(4,476)	-
GAM	200	1/27/2012	4/9/2002	5,257	6,392	(1,135)	100 00%	(1,135)	-
GAM	1,000	2/8/2012	4/10/2002	27,854	31,924	(4,070)	100 00%	(4,070)	-
GAM	1,000	2/8/2012	4/25/2002	27,855	31,848	(3,993)	100 00%	(3,993)	-
GAM	200	2/8/2012	4/25/2002	5,572	6,340	(768)	100 00%	(768)	-
GAM	800	2/8/2012	5/1/2002	22,288	25,300	(3,012)	100 00%	(3,012)	-
GAM	500	2/8/2012	5/1/2002	13,923	15,774	(1,851)	100 00%	(1,851)	-
GAM	500	2/8/2012	5/1/2002	13,928	15,650	(1,722)	100 00%	(1,722)	-
GAM	500	2/8/2012	5/22/2002	13,928	15,345	(1,417)	100 00%	(1,417)	-
GAM	300	1/25/2012	5/24/2002	7,880	9,250	(1,370)	100 00%	(1,370)	-
GAM	500	1/25/2012	5/24/2002	13,144	15,250	(2,106)	100 00%	(2,106)	
CET	CGD	12/28/11				91,200	26 19%	23,884	67,316
ADX	CGD	12/28/11				1,021,545	26 19%	267,532	754,013
GAM	CGD	12/23/11				24,925	100 00%	24,925	-
LAQ	CGD	01/13/12				39,842	26 17%	10,425	29,417
ADX	CGD	03/01/12				24,481	26 03%	6,373	18,108
CET	CGD	06/21/12	_			28,500	25 93%	7,390	21,110
			_	575,603	706,335	1,099,762	==	266,804	832,958
	TOTAL LONG	TERM STOC	KS -	902,950	1,199,599	2,058,930	-	266,804	1,792,126
			-		.,,		=		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
SHORT TERM DEBT	FINANCED STOCI	cs							
CDD		Am /am /-	2/5/2045					420	242
GRR	1,000	07/27/12	3/5/2012	14,092	13,630	462	25 96%	120	342
GRR	500	07/27/12	3/8/2012	7,046	6,769	278	25 96%	72	205
GRR	550	07/27/12	3/9/2012	7,751	7,293	458	25 96%	119	339
GRR	500	07/27/12	3/9/2012	7,046	6,625	422	25 96%	109	312
GRR	450	07/27/12	3/9/2012	6,342	5,940	402	25 96%	104	297
GRR	465	07/27/12	3/12/2012	6,553	6,171	382	25 96%	99	283
GRR	535	07/27/12	3/12/2012	7,539	7,099	441	25 96%	114	326 104
GRR	500	07/27/12	3/15/2012	7,046	6,784	262	25 96%	68	194
GRR	1,000	07/27/12	3/15/2012	14,092	13,559	533	25 96%	138	395 663
GRR	2,220	07/27/12	3/16/2012	31,285	30,392	894	25 96%	232	662
SOR SOR	1,000 500	7/30/2012 7/30/2012	4/10/2012	49,553	53,162 26,455	(3,610)	100 00% 100 00%	(3,610) (1,674)	-
SOR	176	7/30/2012	4/10/2012 4/10/2012	24,780 8,723	26,455 9,311	(1,674) (588)	100 00%	(588)	-
SOR	324	7/30/2012	4/10/2012	16,057	16,990	(933)	100 00%	(933)	•
		.,,	,,		,	(232)		()	

Capital Gain / (Loss)

						D	ebt/Basis		
	Shares	Sold	Puchase	Proceeds	Basis	Gain/Loss	%	990T	990PF
GRR	1,500	7/27/2012	2/28/2012	21,139	20,250	889	100 00%	889	•
GRR	1,000	7/27/2012	2/29/2012	14,092	13,520	572	100 00%	572	-
GRR	128	7/27/2012	3/7/2012	1,804	1,741	63	100 00%	63	-
TDF	1,000	4/10/2012	2/28/2012	27,486	28,767	(1,281)	100 00%	(1,281)	<u> </u>
			=	272,427	274,456	(2,029)	_	(5,385)	3,356
	TOTAL SHOR	T TERM STO	cks =	272,427	274,456	(2,029)	_	(5,385)	3,356
	TOTAL STOC	KS	-	1,175,377	1,474,056	2,056,902		261,419	1,795,483

Grants & Contributions Paid During the Year or Approved for Future Payment:

1.) Asociación Pro-Bienestar de la Familia de Guatemala

9a Calle0-57, Zona 1

Guatemala City, Guatemala

Amount of grant approved					 \$	121,744 00
Amount paid during the year			 	 	 \$	111 729 31
Amount to be paid as of 9/30/12					 \$	10,014 69

Foundation Status of Recipient

A grant of \$121,744 00 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation. APROFAM is **not** a U S section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code"). This is an expenditure responsibility grant

Purpose of Grant or Contribution:

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Petén, Alta Verapaz, Baja Verapaz, Izabal, El Progreso, Chiquimula, Jalapa, Jutiapa and Zacapa

2) Grupo de Información en Reproducción Elegida, A C (GIRE)

Viena 160, Colonia del Carmen Coyoacán

CP 04100

México D F, México

Amount of grants approved	. \$	25,000 00
Amount paid during the year	\$	22,000 00
Amount to be paid as of 9/30/12	\$	3,000 00

Foundation Status of Recipient

A contribution of \$25,000 00 was made to Grupo de Información en Reproducción Elegida, A C (GIRE), a Mexican charitable organization, which is considered to be equivalent to a United States public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec 509 (a)(1) and defined in Sec 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) GIRE is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts; and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution

This grant will be used for the project on state level judicial strategies in Mexico

3) Fundación Mexicana para la Planeación Familiar, A C.

Foundation Status of Recipient:

A contribution of \$313,992.19 was made to Fundación Mexicana para la Planeación Familiar, A C ("Mexfam"), a Mexican charitable organization, which is the Member Association in Mexico of the International Planned Parenthood Federation Mexfam is considered to be equivalent to a United States public charity exempt under Sec. 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec. 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) Mexfam is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts; and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution:

These grants were made to help support activities in Mexico to expand and improve services for reproductive health and for incentives for promoters in the rural areas.

4.) International Planned Parenthood Federation

 120 Wall Street, New York, NY 10005
 \$ 57,556 00

 Amount of grants approved
 \$ 57,556.00

 Amount paid during the year
 \$ 57,556.00

 Amount to be paid as of 9/30/12
 \$ 0 00

Foundation Status of Recipient

A contribution of \$57,556 00 was made to the International Planned Parenthood Federation which is listed in the Internal revenue Service Publiation 78. International Planned Parenthood Federation is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution:

These funds provide partial support to increase access to contraception in Bolivia and for unwanted pregnancies and unsafe abortions in Latin America and the Caribbean

5.) Ipas

 300 Market Street, Suite 200, Chapel Hill, NC 27516

 Amount of grant approved.
 \$ 180,000.00

 Amount paid during the year
 \$ 120,000 00

 Amount to be paid as of 9/30/12
 \$ 60,000.00

Foundation Status of Recipient

A contribution of \$180,000.00 was made to Ipas which is listed in the Internal Revenue Service Publication 78 Ipas is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution:

These funds are being used to fund part of the expenditures by Ipas for México, El Salvador, Honduras and Guatemala field operations to improve reproductive health services

6) Philanthropic Ventures Foundation

1222 Preservation Park Way Oakland, CA 94612-1201

Amount of grant approved		 	 \$119,0	00.00
Amount paid during the year .	 	 	 \$119,0	00.00
Amount to be paid as of 9/30/12	 	 	 \$	0.00

Foundation Status of Recipient:

A grant of \$119,000 00 was approved to Philanthropic Ventures Foundation which is listed in the Internal Revenue Service Publication 78. Philanthropic Ventures Foundation is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution:

These funds provide support for the improvement of reproductive health in Latin America.

7.) Planned Parenthood Federation of America, Inc.

810 Seventh Ave, New York, NY 10019

Amount of grant approved	 	 	\$ 174	1,000 00
Amount paid during the year	 	 	\$ 174	,000 00
Amount to be paid as of 9/30/12	 	 	\$	0 00

Foundation Status of Recipient

A grant of \$174,000 00 was approved to Planned Parenthood Federation of America, Inc. which is listed in the Internal Revenue Service Publication 78. Planned Parenthood Federation of America, Inc. is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds provide partial support for the provision of family planning and reproductive health services in Ecuador and Peru.

8) Population Connection

2120 L Street NW, Suite 500

Washington, DC 20037

Amount of grant approved

Amount paid during the year

Amount to be paid as of 9/30/12

..... \$ 30,000 00 \$ 15,000.00 \$ 15,000.00

Foundation Status of Recipient:

A grant of \$30,000 00 was approved to Population Connection which is listed in the Internal Revenue Service Publication 78 Population Connection is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds will fund the World of 7 Billion Website and the World of 7 Billion Student Contest.

9) Women's International Network for Guatemalan Solutions, Inc.

793 Ashbury Street

San Francisco, CA 94117

 Amount of grant approved
 \$ 114,425 00

 Amount paid during the year
 \$ 81,712 50

 Amount to be paid as of 9/30/12
 \$ 32,712 50

Foundation Status of Recipient

A grant of \$114,425 00 was approved to Women's International Network for Guatemalan Solutions ("Wings") which is listed in the Internal Revenue Service Publication 78 Population Services International is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution:

These funds are being used to support the provision of family planning in Guatemala.

Grants paid during the year approved prior to October 1, 2011

1) Asociación Pro-Bienestar de la Familia de Guatemala

9a Calle0-57, Zona 1

Guatemala City, Guatemala

Amount of grant approved	\$ 690,514 33
Amount paid during the year	\$ 51,368 32
Amount to be paid as of 9/30/12	\$ 0.00

Foundation Status of Recipient:

A grant of \$690,514 33 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation APROFAM is **not** a U S. section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code") This is an expenditure responsibility grant

Purpose of Grant or Contribution

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Petén, Alta Verapaz, Baja Verapaz, Sololá, Quetzaltenango, San Marcos, Huehuetenango, Totonicapán and Quiche.

Fundación Mexicana para la Planeación Familiar, A.C.

Juárez 208, Tlalpan 14000, México D.F.

Amount of grant approved	 			 	\$ 365,091.52
Amount paid during the year .	 		 		\$ 104,802 25
Amount to be paid as of 9/30/12	 	 	 	 	\$ 0.00

Foundation Status of Recipient

A contribution of \$365,091 52 was made to Fundación Mexicana para la Planeación Familiar, A C ("Mexfam"), a Mexican charitable organization, which is the Member Association in Mexico of the International Planned Parenthood Federation Mexfam is considered to be equivalent to a United States public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec. 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) Mexfam is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution:

These grants were made to help support activities in Mexico to expand and improve services for reproductive health and for incentives for promoters in the rural areas.

3) Grupo de Información en Reproducción Elegida, A C (GIRE)

Viena 160, Colonia del Carmen Coyoacán

CP 04100

México D F, México

Amount of grants approved	\$	25,000.00
Amount paid during the year	\$	3,000.00
Amount to be paid as of 9/30/12	. \$	0.00

Foundation Status of Recipient:

A contribution of \$25,000 00 was made to Grupo de Información en Reproducción Elegida, A C. (GIRE), a Mexican charitable organization, which is considered to be equivalent to a United States public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec. 509 (a)(1) and defined in Sec 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) GIRE is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code.

Purpose of Grant or Contribution:

This grant will be used for the project on state level judicial strategies in Mexico.

4.) International Planned Parenthood Federation

120 Wall Street, New York, NY 10005

Amount of grants approved	\$ 920,000.00
Amount paid during the year	\$ 138,000 00
Amount to be paid as of 9/30/12	\$ 442,500.00

Foundation Status of Recipient

A grant of \$920,000 00 was approved to International Planned Parenthood Federation which is listed in the Internal Revenue Service Publication 78. International Planned Parenthood Federation is a publicly supported charity under Sec. 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide partial support to increase access to post abortion care and contraception in Barbados, Bolivia, Colombia, Mexico, Peru, Puerto Rico, Trinidad, Uruguay and Venezuela

5) Ipas

Amount of grant approved	\$ 100,000.00
Amount paid during the year	\$ 60,000 00
Amount to be paid as of 9/30/12	\$ 0 00

Foundation Status of Recipient:

A contribution of \$100,000.00 was made to Ipas which is listed in the Internal Revenue Service Publication 78 Ipas is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds are being used to fund part of the expenditures by Ipas for México, El Salvador, Honduras and Guatemala field operations to improve reproductive health services.

Total grants approved	. \$1	,298,066 13
Total grants approved (prior years) to be paid	\$ 4	442.500.00

FORM 990-PF, PART VII-B, LINE 1a(3) - SELF-DEALING ACTIVITIES

OFFICE SPACE WAS FURNISHED, WITHOUT CHARGE, BY THIS FOUNDATION'S MANAGER.

REFERENCE: INTERNAL REVENUE SERVICE REGULATION SECTION 53.4941 (d)-2

Dates and Results of Any Verification of the Grantee's Reports	Copies of Aprofam/s audited financial reports APROFAM is the Member Association in Guatemala of the International Planned Parenthood Federation. Verification trip made on April, 2011 by Sarah J Holcombe, Program Officer of the Foundation, fluent in Spanish				
Date of Reports Received from Grantee	04/30/10 07/30/10 10/31/10 01/31/11 04/30/11 07/31/11 01/31/12 04/30/12				
To the Grantor's Knowledge, Grantee Has Diverted a Diverted a Portion of Funds from the Purpose pended by of the Grant Grantee (Yes/No)	No				
Q Amount Expended by Grantee	5,783,089 00				
Grant Purpose / Project Description	Help support activities in Guatemala to expand and improve services for reproductive health in the departments of the Peten, Alta Verapaz, Baja Verapaz, San Marcos, Quetzaltenango, Huehuetenango, Solola, Totonicapan, Quiche, Chiquimula, Zacapa, Jutiapa, Jalapa and Izabal				
Grant Amount in Q	6,713,534 00				
Grant Award Date	12/14/2009 02/17/2012				
Grantee Name & Ac Award Date	Asociacion Pro- Bienestar de la Familia de Guatemala - (APROFAM) 9a Calle 57 Zona 1, Guatemala City, Guatemala				

Grant Amount

A grant for 24 months was approved on December, 2009 in the amount of Q5,725,400 and a new 12 month grant was approved on 2/17/2012 in the amount of Q988, 134 to be paid in quarterly installments contingent upon certain requirements

During fiscal year 2011 Q 436,378 were paid for the grant approved in 2009 and Q494,067 were paid for the grant approved on 2012

Amount Expended by grantee

In fiscal years 2010 Q2,076,772 23 were spent from the grant approved on November, 2009

In fiscal year 2011 Q3,648,627.77 were spent from the grant approved on November 2009

In Fiscal year 2011 Q494,067.00 were spent from grant approved on February, 2012

SOURCE GROSS AMOUNT CAPITAL GAINS DIVIDEDS COLUMN (A) AMOUNT DIVIDEND INCOME 1,584,462. 0. 1,584,462. 1,584,462. TOTAL TO FM 990-PF, PART I, LN 4 1,584,462. 0. 1,584,462. FORM 990-PF ACCOUNTING FEES STATEMENT 2 LEXPENSES PER BOOKS NET INVEST-NET INCOME NET INCOME NET INCOME PURPOSES CHARITABLE PURPOSES ACCOUNTING FEES 37,987. 18,994. 18,993. TO FORM 990-PF, PG 1, LN 16B 37,987. 18,994. 18,993. FORM 990-PF TAXES STATEMENT 3 LEXPENSES NET INVEST-NET NOTED NET INCOME N	FORM 990-PF DIVIDENT	OS AND	INTER	EST	FROM	SECUE	RITIES	STATEMENT
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TO FORM 990-PF, PG 1, LN 16B 37,987. 18,994. 18,993. FORM 990-PF TAXES STATEMENT 3 \[\begin{array}{c ccccccccccccccccccccccccccccccccccc	DESCRIPTION	EXPE	NSES	NET INVEST- ADJUSTED		CHARITABLE		
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CA CB CC CD	TO FORM 990-PF, PG 1, LN 16B	3	7,987.	87. 18,994		994.		18,993.
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TO FORM 990-PF, PG 1, LN 23 74,329. 49,213. 8,247.	OFFICE SUPPLIES MEMBERSHIPS POSTAGE COMPUTER NETWORK EXPENSE OTHER MISCELLANEOUS EXPENSES INVESTMENT MANAGEMENT FEES	6	2,920. 4,025. 2,056. 1,702. 298. 1,863.		1,	460. 0. 028. 851. 149. 993.		1,460. 4,025. 1,028. 851. 149.
	TO FORM 990-PF, PG 1, LN 23	7	4,329.		49,	213.		8,247.

14 STATEMENT(S) 1, 2, 3, 4 11320128 099815 5HV55R 2011.05040 ERIK E AND EDITH H BERGSTRO 5HV55R1

FORM 990-PF CO	ORPORATE STOCK		STATEMENT 5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
ADAMS EXPRESS COMPANY CENTRAL SECURITIES CORP. GENERAL AMERICAN INVESTORS LATIN AMERICA EQUITY FUND SOURCE CAPITAL INC. ASIA TIGERS FUND INC.	57,028,860. 3,832,300. 3,777,800. 1,120,600. 6,510,000. 817,150.	57,028,860. 3,832,300. 3,777,800. 1,120,600. 6,510,000. 817,150.	
TOTAL TO FORM 990-PF, PART II, LII	73,086,710.		
FORM 990-PF	OTHER ASSETS		STATEMENT 6
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
UNRELATED BUSINESS INCOME TAXES RECEIVABLE FEDERAL EXCISE TAXES RECEIVABLE DEFERRED FEDERAL EXCISE TAX ASSET LONG TERM CONTRIBUTIONS RECEIVABLE	50,000. 46,000. 214,693. 3,991,151.	2,053. 0. 252,322. 4,177,947.	2,053. 0. 252,322. 4,177,947.
TO FORM 990-PF, PART II, LINE 15	4,301,844.	4,432,322.	4,432,322.
FORM 990-PF OTI	HER LIABILITIES		STATEMENT 7
DESCRIPTION		BOY AMOUNT	EOY AMOUNT
MARGIN DEBT LIABILITY STATE FILING FEE	16,511,393.	15,406,443. 10.	
TOTAL TO FORM 990-PF, PART II, LII	16,511,393.	15,406,453.	

FORM 990-PF

PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 8

NAME OF MANAGER

ERIK E. BERGSTROM EDITH H. BERGSTROM