



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning OCT 1, 2011, and ending SEP 30, 2012

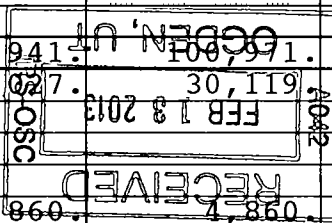
Name of foundation M.A. RIKARD CHARITABLE TRUST C/O SYNOVUS TRUST COMPANY		A Employer identification number 63-6231842
Number and street (or P.O. box number if mail is not delivered to street address) 800 SHADES CREEK PARKWAY, SUITE 225	Room/suite	B Telephone number 205-868-6156
City or town, state, and ZIP code BIRMINGHAM, AL 35209		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,913,763.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		100,971.	100,971.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-19,030.			
b Gross sales price for all assets on line 6a 1,162,056.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		81,941.	100,971.		
13 Compensation of officers, directors, trustees, etc		53,027.	30,119.		22,908.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		4,860.	4,860.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 3		621.	621.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses Add lines 13 through 23		58,508.	35,600.		22,908.
25 Contributions, gifts, grants paid		195,500.			195,500.
26 Total expenses and disbursements. Add lines 24 and 25		254,008.	35,600.		218,408.
27 Subtract line 26 from line 12		-172,067.			
a Excess of revenue over expenses and disbursements			65,371.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED FEB 20 2013

Revenue

Operating and Administrative Expenses

P
10

M.A. RIKARD CHARITABLE TRUST
C/O SYNOVUS TRUST COMPANY

Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	54,160.	32,415.	32,415.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 4	2,182,464.	2,186,882.	2,758,933.
	c Investments - corporate bonds STMT 5	1,212,846.	1,058,106.	1,122,415.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,449,470.	3,277,403.	3,913,763.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,449,470.	3,277,403.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	3,449,470.	3,277,403.	
	31 Total liabilities and net assets/fund balances	3,449,470.	3,277,403.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,449,470.
2 Enter amount from Part I, line 27a	2	-172,067.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,277,403.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,277,403.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT - STCG	P	VARIOUS	VARIOUS
b SEE ATTACHMENT - LTCG	P	VARIOUS	VARIOUS
c SEE ATTACHMENT	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,325.			5,325.
b 13,903.			13,903.
c 1,142,828.		1,181,086.	-38,258.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			5,325.
b			13,903.
c			-38,258.
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> <div style="margin-left: 10px;"> 2 -19,030. </div> </div>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 8 If (loss), enter -0- in Part I, line 8 </div> <div style="margin-left: 10px;"> 3 N/A </div> </div>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	237,578.	3,862,100.	.061515
2009	155,004.	3,623,391.	.042779
2008	213,084.	3,270,134.	.065161
2007	200,051.	4,329,567.	.046206
2006	189,580.	4,378,579.	.043297

2 Total of line 1, column (d)	2 .258958
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .051792
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4 3,843,513.
5 Multiply line 4 by line 3	5 199,063.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 654.
7 Add lines 5 and 6	7 199,717.
8 Enter qualifying distributions from Part XII, line 4	8 218,408.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	654.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	654.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	654.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		12.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		666.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> AL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address ▶ N/A				
14	The books are in care of ▶ SYNOVUS TRUST COMPANY Telephone no ▶ 205-868-6156			
Located at ▶ 800 SHADES CREEK PARKWAY, SUITE 225, B'HAM, AL ZIP+4 ▶ 35209				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	▶ 15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here ▶		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		53,027.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Form 990-PF (2011)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,902,044.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,902,044.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,902,044.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	58,531.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,843,513.
6	Minimum investment return. Enter 5% of line 5	6	192,176.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	192,176.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	654.
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	654.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	191,522.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	191,522.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	191,522.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	218,408.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	218,408.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	654.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	217,754.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				191,522.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			142,044.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 218,408.				
a Applied to 2010, but not more than line 2a			142,044.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				76,364.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				115,158.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities**

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

[illegible]

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed

FRANK A RIKARD

9340 CLUBHOUSE ROAD, FOLEY, AL 36535

- b The form in which applications should be submitted and information and materials they should include

IN LETTER FORM WITH COPY OF REQUESTING ORG. LATEST DETERMINATION LETTER

- c Any submission deadlines**

NONE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN RED CROSS BIRMINGHAM, AL			CHARITABLE	
ALABAMA CHILDREN'S HOSPITAL BIRMINGHAM, AL			CHARITABLE	5,000.
ALZHEIMER'S ASSOCIATION HUNTSVILLE, AL			CHARITABLE	200.
AMERICAN CANCER SOCIETY OKLAHOMA CITY, OK			CHARITABLE	200.
AMERICAN HEART ASSOCIATION DALLAS, TX			CHARITABLE	200.
Total	SEE CONTINUATION SHEET(S)			195,500.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	Signature of officer or trustee <i>Paul C. Smith</i>		Date <i>2-5-13</i>	Title <i>VP + Trust Officer</i>		
Paid Preparer Use Only	Print type preparer's name		Preparer's signature <i>Myra S. Polt</i>	Date <i>2-4-13</i>	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name <i>► BARFIELD, MURPHY, SHANK, & SMITH, LLC</i>				Firm's EIN <i>► 46-1498870</i>	
	Firm's address <i>► 1121 RIVERCHASE OFFICE RD BIRMINGHAM, AL 35244</i>				Phone no <i>205-982-5500</i>	

M.A. RIKARD CHARITABLE TRUST
C/O SYNOVUS TRUST COMPANY

63-6231842

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN INSTITUTE FOR CANCER RESEARCH WASHINGTON, DC			CHARITABLE	200.
AMERICAN LUNG ASSOCIATION NEW YORK, NY			CHARITABLE	200.
BALDWIN COUNTY HERITAGE MUSEUM, AL			CHARITABLE	1,000.
BIG OAK RANCH SPRINGVILLE, AL			CHARITABLE	5,000.
BUENA VISTA MEMORIAL ASSOCIATION BUENA VISTA, AL			CHARITABLE	1,500.
CHRISTIAN SERVICE CENTER ORLANDO, FL			CHARITABLE	1,000.
COMMUNITY HOSPICE OF BALDWIN COUNTY, AL			CHARITABLE	1,000.
CROSSHILL CHRISTIAN SCHOOL TURNER, OR			CHARITABLE	2,000.
DISABLED AMERICAN VETERANS COLD SPRING, KY			CHARITABLE	300.
ECUMENICAL MINISTRIES, INC. FAIRHOPE, AL			CHARITABLE	1,000.
Total from continuation sheets				189,900.

M.A. RIKARD CHARITABLE TRUST
C/O SYNOVUS TRUST COMPANY

63-6231842

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIRST UNITED METHODIST CHURCH BIRMINGHAM, AL			CHARITABLE	1,500.
FOLEY ALABAMA ROTARY CLUB FOLEY, AL			CHARITABLE	1,000.
FOLEY ALABAMA WOMAN'S COUNCIL FOLEY, AL			CHARITABLE	1,000.
FRIENDS OF FOLEY AL LIBRARY FOLEY, AL			CHARITABLE	1,000.
GIRL SCOUTS OF NORTH-CENTRAL ALABAMA BIRMINGHAM, AL			CHARITABLE	500.
GLOBAL COMMUNITY INSTITUTE			CHARITABLE	5,000.
HABITAT FOR HUMANITY AMERICUS, GA			CHARITABLE	1,000.
HANAPEPE PUBLIC LIBRARY HANAPEPE, HI			CHARITABLE	500.
HELENA ELEMENTARY SCHOOL HELENA, AL			CHARITABLE	2,500.
HELENA INTERMEDIATE SCHOOL HELENA, AL			CHARITABLE	5,000.
Total from continuation sheets				

M.A. RIKARD CHARITABLE TRUST
C/O SYNOVUS TRUST COMPANY

63-6231842

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HELENA MIDDLE SCHOOL HELENA, AL			CHARITABLE	5,000.
HUNSTVILLE FIRST UNITED METHODIST CHURCH			CHARITABLE	500.
KAUAI COUNTY PARKS & RECREATIONS DEPARTMENT HI			CHARITABLE	1,500.
KE KULA NI'HAU O KEKAHA SCHOOL KEKAHA, HI			CHARITABLE	40,000.
MARCH OF DIMES BIRMINGHAM, AL			CHARITABLE	200.
MONROE COUNTY HERITAGE MUSEUM MONROEVILLE, AL			CHARITABLE	1,500.
MT. BAKER, WASHINGTON SCHOOL WA			CHARITABLE	2,000.
NIUMALU OUTRIGGER CANOE CLUB, INC KAUAI, HI			CHARITABLE	8,500.
NORTH GEORGIA COLLEGE & STATE UNIV. DAHLONEGA, GA			CHARITABLE	1,500.
OGLETHORPE UNIVERSITY ATLANTA, GA			CHARITABLE	65,000.
Total from continuation sheets				

M.A. RIKARD CHARITABLE TRUST
C/O SYNOVUS TRUST COMPANY

63-6231842

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PELHAM HIGH SCHOOL PELHAM, AL			CHARITABLE	5,000.
RIVERSIDE MILITARY ACADEMY GAINESVILLE, GA			CHARITABLE	2,500.
SALVATION ARMY BIRMINGHAM, AL			CHARITABLE	7,000.
SOUTH BALDWIN LITERACY COUNCIL FOLEY, AL			CHARITABLE	1,500.
SOUTH BALDWIN NEWCOMERS CLUB FOLEY, AL			CHARITABLE	1,000.
ST. ANDREWS BY THE SEA CHURCH GULF SHORES, AL			CHARITABLE	4,000.
STURDIVANT HALL SELMA, AL			CHARITABLE	2,500.
THE DAVID & SUE VOSLOH CHARITABLE FOUNDATION FOLEY, AL			CHARITABLE	1,000.
THE UNIVERSITY OF MOBILE FOUNDATION MOBILE, AL			CHARITABLE	2,500.
UNITED WAY OF CENTRAL ALABAMA, INC. BIRMINGHAM, AL			CHARITABLE	2,500.
Total from continuation sheets				

63-6231842

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
ICM Small Company Portfolio #1229 Sold 917 498 Shs 10/19/11 @ 26 61	10/20/11	24,414 62	3,180 26
Long Term Capital Gains Dist of \$ 2 622 Per Sh on 1,930 Sh	12/19/11	5,060 98	5,060 98
Corning Inc Com Sold 1050 Shs 09/10/12 To BNY Convergenx @ 12 63	09/13/12	13,242 83	-7,631 65
American Europacific Growth Fund Class F2 #616 Sold 1091.273 Shs 10/19/11 @ 36.08	10/20/11	39,373.13	-8,173 63
Express Scripts Hldg Co Com Sold .250 Shs 04/27/12 To Misc Broker @ 58 31282	04/27/12	14 58	0 46
Federated Institutional High Yield Bond Fund #900 Long Term Capital Gains Dist of \$.086 Per Sh on 19,741 Sh	12/07/11	1,698 32	1,698 32
Short Term Capital Gains Dist of \$.016 Per Sh on 19,741 Sh	12/07/11	333 43	333 43
Federated Total Return Bond Fund - In #328 Sold 7147 674 Shs 10/19/11 @ 11 28	10/20/11	80,625 76	537 07
Long Term Capital Gains Dist of \$.052 Per Sh on 31,396 Sh	01/03/12	1,661 20	1,661 20
Short Term Capital Gains Dist of \$.038 Per Sh on 31,396 Sh	01/03/12	1,204 69	1,204 69
Harbor International Fund #2011 Sold 744 860 Shs 10/19/11 @ 53 66	10/20/11	39,969 19	-8,171 11
Medco Health Solutions Inc Com To Remove 325 Shs For Express Scripts Hldg Co Com and Cash Stock Rate 810 Per Sh Held Cash Rate 28.80 Per Sh Held Partial Taxable Exchange	04/04/12	24,230 99	9,178 09
Federated Government Obligations #5 Sales (34) 10/01/11 To 09/30/12	09/30/12	219,080 54	
Pimco Total Return Fd Inst #35 Sold 6566 608 Shs 10/19/11 @ 10 75	10/20/11	70,591 04	-3,414 63

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Pimco All Asset All Authority Fund - Is #1860 Sold 703 565 Shs 11/17/11 @ 10.53	11/18/11	7,408 53	-379 94
Pimco Unconstrained Bond Fund Ins #1863 Sold 20981 478 Shs 10/19/11 @ 10 9	10/20/11	228,698 11	-4,967 14
Schwab Charles Corp New Com Sold 960 Shs 08/16/12 To BNY Convergenx @ 13 2001	08/21/12	12,655 01	-5,600 23
Sentinel Small Company Fd CII #475 Sold 3815 923 Shs 10/19/11 @ 7 65	10/20/11	29,191 81	978 86
Long Term Capital Gains Dist of \$ 691 Per Sh on 6,732 Sh	12/29/11	4,657 06	4,657 06
Long Term Capital Gains Dist of \$ 000 Per Sh on 6,732 Sh	08/30/12	5 39	5 39
Sold 6732 096 Shs 09/27/12 @ 8 15	09/28/12	54,866 58	12,080 23
Tfs Market Neutral Fund Sold 515 464 Shs 11/17/11 @ 14 31	11/18/11	7,376 28	-680 42
Long Term Capital Gains Dist of \$ 044 Per Sh on 8,254 Sh	12/13/11	367.31	367 31
Short Term Capital Gains Dist of \$ 196 Per Sh on 8,254 Sh	12/13/11	1,622 78	1,622 78
Marketfield Fund Sold 542 299 Shs 11/17/11 @ 13 65	11/18/11	7,402 38	10 84
Vanguard GNMA Fund #36 Long Term Capital Gains Dist of \$ 020 Per Sh on 16,777 Sh	12/30/11	335 56	335 56
Short Term Capital Gains Dist of \$ 108 Per Sh on 16,777 Sh	12/30/11	1,812 01	1,812 01
Long Term Capital Gains Dist of \$ 007 Per Sh on 16,777 Sh	04/02/12	117 45	117 45
Short Term Capital Gains Dist of \$ 021 Per Sh on 16,777 Sh	04/02/12	352 34	352 34
Sold 16777 916 Shs 08/16/12 @ 11 02	08/17/12	184,892 63	-1,174 46

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Vanguard Emerging Markets Stock Index Fund - Inv Cl #533 Sold 1345.650 Shs 10/19/11 @ 24.83	10/20/11	33,328.96	-9,664.22
Sold 2498.668 Shs 08/16/12 @ 26.2	08/17/12	65,465.10	-14,366.72
Total Sales		\$ 1,162,056.59	\$ -19,029.82

SYNOVUS TRUST CO. PROVIDES ADMINISTRATIVE SERVICES TO VARIOUS MONEY MKT FUNDS AND MAY RECEIVE COMPENSATION FOR SUCH SERVICES FROM THE FUNDS, IN AN AMOUNT NOT TO EXCEED 25% ANNUALLY ON THE AMOUNT INVESTED. YOU MAY OBTAIN FROM YOUR RELATIONSHIP MANAGER THE TIME PLACED AND THE DATE OF EXECUTION ON ALL PURCHASE AND SALE TRANSACTIONS UPON REQUEST. PLEASE NOTE THAT IF YOUR ACCOUNT UTILIZES THE SERVICES OF OUR AFFILIATE, GLOBALT, INC., A COPY OF THEIR ADV PART II IS AVAILABLE UPON REQUEST. A THIRD PARTY "CONTRACTOR" PROVIDES ADMINISTRATIVE SERVICES TO VARIOUS MUTUAL FUNDS IN WHICH YOUR ACCOUNT MAY INVEST, AND THEREFORE MAY BE RECEIVING COMPENSATION FROM THOSE FUNDS. IN SUCH CASES, THE CONTRACTOR NOMINALLY REDUCES ITS PROCESSING FEES TO SYNOVUS TRUST COMPANY. THE FTC ESTIMATES THAT AS MANY AS 9 MILLION AMERICANS HAVE THEIR IDENTITIES STOLEN EACH YEAR. IDENTITY THEFT OCCURS WHEN SOMEONE USES YOUR PERSONALLY IDENTIFYING INFORMATION LIKE YOUR NAME, SOCIAL SECURITY NUMBER, OR CREDIT CARD NUMBER WITHOUT YOUR PERMISSION, TO COMMIT FRAUD OR OTHER CRIMES. WHAT CAN YOU DO TO HELP PREVENT YOUR IDENTITY FROM BEING STOLEN? THE FEDERAL TRADE COMMISSION HAS PREPARED A COLLECTION OF EASY-TO-USE MATERIALS TO INFORM YOU AND OTHERS ABOUT THIS SERIOUS CRIME. FOR MORE INFORMATION, PLEASE CALL 800-942-9764.

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
ICM Small Company Portfolio #1229 Sold 917 498 Shs 10/19/11 @ 26 61	10/20/11	24,414 62	3,180 26
Long Term Capital Gains Dist of \$ 2.622 Per Sh on 1,930 Sh	12/19/11	5,060.98	5,060 98
Corning Inc Com Sold 1050 Shs 09/10/12 To BNY Convergenx @ 12 63	09/13/12	13,242 83	-7,631 65
American Europacific Growth Fund Class F2 #616 Sold 1091 273 Shs 10/19/11 @ 36 08	10/20/11	39,373 13	-8,173 63
Express Scripts Hldg Co Com Sold 250 Shs 04/27/12 To Misc Broker @ 58 31282	04/27/12	14.58	0 46
Federated Institutional High Yield Bond Fund #900 Long Term Capital Gains Dist of \$.086 Per Sh on 19,741 Sh	12/07/11	1,698.32	1,698 32
Short Term Capital Gains Dist of \$.016 Per Sh on 19,741 Sh	12/07/11	333.43	333 43
Federated Total Return Bond Fund - In #328 Sold 7147 674 Shs 10/19/11 @ 11 28	10/20/11	80,625 76	537 07
Long Term Capital Gains Dist of \$.052 Per Sh on 31,396 Sh	01/03/12	1,661.20	1,661 20
Short Term Capital Gains Dist of \$.038 Per Sh on 31,396 Sh	01/03/12	1,204 69	1,204 69
Harbor International Fund #2011 Sold 744 860 Shs 10/19/11 @ 53 66	10/20/11	39,969 19	-8,171 11
Medco Health Solutions Inc Com To Remove 325 Shs For Express Scripts Hldg Co Com and Cash Stock Rate 810 Per Sh Held Cash Rate 28 80 Per Sh Held Partial Taxable Exchange	04/04/12	24,230 99	9,178.09
Federated Government Obligations #5 Sales (34) 10/01/11 To 09/30/12	09/30/12	219,080 54	
Pimco Total Return Fd Inst #35 Sold 6566 608 Shs 10/19/11 @ 10 75	10/20/11	70,591.04	-3,414 63

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Pimco All Asset All Authority Fund - Is #1860 Sold 703 565 Shs 11/17/11 @ 10.53	11/18/11	7,408 53	-379 94
Pimco Unconstrained Bond Fund Ins #1863 Sold 20981 478 Shs 10/19/11 @ 10 9	10/20/11	228,698 11	-4,967 14
Schwab Charles Corp New Com Sold 960 Shs 08/16/12 To BNY Convergenx @ 13.2001	08/21/12	12,655 01	-5,600 23
Sentinel Small Company Fd Cl I #475 Sold 3815 923 Shs 10/19/11 @ 7 65	10/20/11	29,191 81	978 86
Long Term Capital Gains Dist of \$ 691 Per Sh on 6,732 Sh	12/29/11	4,657.06	4,657 06
Long Term Capital Gains Dist of \$ 000 Per Sh on 6,732 Sh	08/30/12	5 39	5 39
Sold 6732 096 Shs 09/27/12 @ 8 15	09/28/12	54,866 58	12,080 23
Tfs Market Neutral Fund Sold 515.464 Shs 11/17/11 @ 14 31	11/18/11	7,376 28	-680 42
Long Term Capital Gains Dist of \$ 044 Per Sh on 8,254 Sh	12/13/11	367 31	367 31
Short Term Capital Gains Dist of \$.196 Per Sh on 8,254 Sh	12/13/11	1,622 78	1,622 78
Marketfield Fund Sold 542 299 Shs 11/17/11 @ 13 65	11/18/11	7,402.38	10 84
Vanguard GNMA Fund #36 Long Term Capital Gains Dist of \$ 020 Per Sh on 16,777 Sh	12/30/11	335 56	335 56
Short Term Capital Gains Dist of \$.108 Per Sh on 16,777 Sh	12/30/11	1,812.01	1,812 01
Long Term Capital Gains Dist of \$ 007 Per Sh on 16,777 Sh	04/02/12	117 45	117 45
Short Term Capital Gains Dist of \$ 021 Per Sh on 16,777 Sh	04/02/12	352 34	352 34
Sold 16777 916 Shs 08/16/12 @ 11 02	08/17/12	184,892 63	-1,174 46

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Vanguard Emerging Markets Stock Index Fund - Inv CI #533			
Sold 1345 650 Shs 10/19/11 @ 24 83	10/20/11	33,328 96	-9,664 22
Sold 2498.668 Shs 08/16/12 @ 26 2	08/17/12	65,465.10	-14,366 72
Total Sales		\$ 1,162,056.59	\$ -19,029.82

SYNOVUS TRUST CO. PROVIDES ADMINISTRATIVE SERVICES TO VARIOUS MONEY MKT FUNDS AND MAY RECEIVE COMPENSATION FOR SUCH SERVICES FROM THE FUNDS, IN AN AMOUNT NOT TO EXCEED 25% ANNUALLY ON THE AMOUNT INVESTED. YOU MAY OBTAIN FROM YOUR RELATIONSHIP MANAGER THE TIME PLACED AND THE DATE OF EXECUTION ON ALL PURCHASE AND SALE TRANSACTIONS UPON REQUEST. PLEASE NOTE THAT IF YOUR ACCOUNT UTILIZES THE SERVICES OF OUR AFFILIATE, GLOBALT, INC., A COPY OF THEIR ADV PART II IS AVAILABLE UPON REQUEST. A THIRD PARTY "CONTRACTOR" PROVIDES ADMINISTRATIVE SERVICES TO VARIOUS MUTUAL FUNDS IN WHICH YOUR ACCOUNT MAY INVEST, AND THEREFORE MAY BE RECEIVING COMPENSATION FROM THOSE FUNDS. IN SUCH CASES, THE CONTRACTOR NOMINALLY REDUCES ITS PROCESSING FEES TO SYNOVUS TRUST COMPANY. THE FTC ESTIMATES THAT AS MANY AS 9 MILLION AMERICANS HAVE THEIR IDENTITIES STOLEN EACH YEAR. IDENTITY THEFT OCCURS WHEN SOMEONE USES YOUR PERSONALLY IDENTIFYING INFORMATION LIKE YOUR NAME, SOCIAL SECURITY NUMBER, OR CREDIT CARD NUMBER WITHOUT YOUR PERMISSION, TO COMMIT FRAUD OR OTHER CRIMES. WHAT CAN YOU DO TO HELP PREVENT YOUR IDENTITY FROM BEING STOLEN? THE FEDERAL TRADE COMMISSION HAS PREPARED A COLLECTION OF EASY-TO-USE MATERIALS TO INFORM YOU AND OTHERS ABOUT THIS SERIOUS CRIME. FOR MORE INFORMATION, PLEASE CALL 800-942-9764.

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
ICM Small Company Portfolio #1229 Sold 917 498 Shs 10/19/11 @ 26 61	10/20/11	24,414 62	3,180 26
Long Term Capital Gains Dist of \$ 2 622 Per Sh on 1,930 Sh	12/19/11	5,060 98	5,060 98
Corning Inc Com Sold 1050 Shs 09/10/12 To BNY Convergenx @ 12 63	09/13/12	13,242 83	-7,631 65
American Europacific Growth Fund Class F2 #616 Sold 1091 273 Shs 10/19/11 @ 36 08	10/20/11	39,373 13	-8,173 63
Express Scripts Hldg Co Com Sold .250 Shs 04/27/12 To Misc Broker @ 58 31282	04/27/12	14 58	0 46
Federated Institutional High Yield Bond Fund #900 Long Term Capital Gains Dist of \$.086 Per Sh on 19,741 Sh	12/07/11	1,698 32	1,698 32
Short Term Capital Gains Dist of \$.016 Per Sh on 19,741 Sh	12/07/11	333.43	333 43
Federated Total Return Bond Fund - In #328 Sold 7147 674 Shs 10/19/11 @ 11.28	10/20/11	80,625 76	537 07
Long Term Capital Gains Dist of \$.052 Per Sh on 31,396 Sh	01/03/12	1,661 20	1,661 20
Short Term Capital Gains Dist of \$.038 Per Sh on 31,396 Sh	01/03/12	1,204 69	1,204 69
Harbor International Fund #2011 Sold 744 860 Shs 10/19/11 @ 53 66	10/20/11	39,969 19	-8,171 11
Medco Health Solutions Inc Com To Remove 325 Shs For Express Scripts Hldg Co Com and Cash Stock Rate .810 Per Sh Held Cash Rate .28 80 Per Sh Held Partial Taxable Exchange	04/04/12	24,230 99	9,178 09
Federated Government Obligations #5 Sales (34) 10/01/11 To 09/30/12	09/30/12	219,080 54	
Pimco Total Return Fd Inst #35 Sold 6566 608 Shs 10/19/11 @ 10 75	10/20/11	70,591 04	-3,414 63

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Pimco All Asset All Authority Fund - Is #1860 Sold 703 565 Shs 11/17/11 @ 10 53	11/18/11	7,408 53	-379 94
Pimco Unconstrained Bond Fund Ins #1863 Sold 20981 478 Shs 10/19/11 @ 10 9	10/20/11	228,698.11	-4,967 14
Schwab Charles Corp New Com Sold 960 Shs 08/16/12 To BNY Convergenx @ 13 2001	08/21/12	12,655 01	-5,600 23
Sentinel Small Company Fd CII #475 Sold 3815 923 Shs 10/19/11 @ 7 65	10/20/11	29,191 81	978 86
Long Term Capital Gains Dist of \$ 691 Per Sh on 6,732 Sh	12/29/11	4,657 06	4,657 06
Long Term Capital Gains Dist of \$ 000 Per Sh on 6,732 Sh	08/30/12	5 39	5 39
Sold 6732 096 Shs 09/27/12 @ 8 15	09/28/12	54,866 58	12,080 23
Tfs Market Neutral Fund Sold 515 464 Shs 11/17/11 @ 14 31	11/18/11	7,376 28	-680 42
Long Term Capital Gains Dist of \$ 044 Per Sh on 8,254 Sh	12/13/11	367.31	367 31
Short Term Capital Gains Dist of \$ 196 Per Sh on 8,254 Sh	12/13/11	1,622 78	1,622 78
Marketfield Fund Sold 542 299 Shs 11/17/11 @ 13 65	11/18/11	7,402 38	10 84
Vanguard GNMA Fund #36 Long Term Capital Gains Dist of \$ 020 Per Sh on 16,777 Sh	12/30/11	335.56	335 56
Short Term Capital Gains Dist of \$ 108 Per Sh on 16,777 Sh	12/30/11	1,812 01	1,812 01
Long Term Capital Gains Dist of \$ 007 Per Sh on 16,777 Sh	04/02/12	117 45	117 45
Short Term Capital Gains Dist of \$ 021 Per Sh on 16,777 Sh	04/02/12	352 34	352 34
Sold 16777 916 Shs 08/16/12 @ 11.02	08/17/12	184,892 63	-1,174 46

M.A. RIKARD CHARITABLE TRUST**Account Number:**
Statement Period:**68-M073-01-8**
10/01/11 - 09/30/12**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Vanguard Emerging Markets Stock Index Fund - Inv CI #533 Sold 1345 650 Shs 10/19/11 @ 24.83	10/20/11	33,328 96	-9,664 22
Sold 2498 668 Shs 08/16/12 @ 26 2	08/17/12	65,465 10	-14,366 72
Total Sales		\$ 1,162,056.59	\$ -19,029.82

SYNOVUS TRUST CO. PROVIDES ADMINISTRATIVE SERVICES TO VARIOUS MONEY MKT FUNDS AND MAY RECEIVE COMPENSATION FOR SUCH SERVICES FROM THE FUNDS, IN AN AMOUNT NOT TO EXCEED 25% ANNUALLY ON THE AMOUNT INVESTED. YOU MAY OBTAIN FROM YOUR RELATIONSHIP MANAGER THE TIME PLACED AND THE DATE OF EXECUTION ON ALL PURCHASE AND SALE TRANSACTIONS UPON REQUEST. PLEASE NOTE THAT IF YOUR ACCOUNT UTILIZES THE SERVICES OF OUR AFFILIATE, GLOBALT, INC., A COPY OF THEIR ADV PART II IS AVAILABLE UPON REQUEST. A THIRD PARTY "CONTRACTOR" PROVIDES ADMINISTRATIVE SERVICES TO VARIOUS MUTUAL FUNDS IN WHICH YOUR ACCOUNT MAY INVEST, AND THEREFORE MAY BE RECEIVING COMPENSATION FROM THOSE FUNDS. IN SUCH CASES, THE CONTRACTOR NOMINALLY REDUCES ITS PROCESSING FEES TO SYNOVUS TRUST COMPANY. THE FTC ESTIMATES THAT AS MANY AS 9 MILLION AMERICANS HAVE THEIR IDENTITIES STOLEN EACH YEAR. IDENTITY THEFT OCCURS WHEN SOMEONE USES YOUR PERSONALLY IDENTIFYING INFORMATION LIKE YOUR NAME, SOCIAL SECURITY NUMBER, OR CREDIT CARD NUMBER WITHOUT YOUR PERMISSION, TO COMMIT FRAUD OR OTHER CRIMES. WHAT CAN YOU DO TO HELP PREVENT YOUR IDENTITY FROM BEING STOLEN? THE FEDERAL TRADE COMMISSION HAS PREPARED A COLLECTION OF EASY-TO-USE MATERIALS TO INFORM YOU AND OTHERS ABOUT THIS SERIOUS CRIME. FOR MORE INFORMATION, PLEASE CALL 800-942-9764.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
SYNOVUS TRUST CO.	100,971.	0.	100,971.
TOTAL TO FM 990-PF, PART I, LN 4	100,971.	0.	100,971.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,860.	4,860.		0.
TO FORM 990-PF, PG 1, LN 16B	4,860.	4,860.		0.

FORM 990-PF	TAXES	STATEMENT	3
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES - FEDERAL	621.	621.		0.
TO FORM 990-PF, PG 1, LN 18	621.	621.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	2,186,882.	2,758,933.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,186,882.	2,758,933.

FORM 990-PF	CORPORATE BONDS	STATEMENT	5
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	1,058,106.	1,122,415.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,058,106.	1,122,415.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	6
-------------	---	-----------	---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SYNOVUS TRUST COMPANY 800 SHADES CREEK PARKWAY, SUITE 225 BIRMINGHAM, AL 35209	CO-TRUSTEE 10.00	43,027.	0.	0.
FRANK A. RIKARD 9340 CLUBHOUSE DRIVE FOLEY, AL 36535	CO-TRUSTEE 2.00	2,500.	0.	0.
GLENN A. RIKARD 243 QUAIL RIDGE ROAD HELENA, AL 35080	CO-TRUSTEE 2.00	2,500.	0.	0.
ELIZABETH R. VON KRUSENSTIERN 2230 HENRY STREET BELLINGHAM, WA 98225	CO-TRUSTEE 2.00	2,500.	0.	0.
JOHN VON KRUSENSTIERN 2230 HENRY STREET BELLINGHAM, WA 98225	CO-TRUSTEE 2.00	2,500.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		53,027.	0.	0.