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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note.

The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 10-01-2011, and ending 09-30-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
JOSEPH & ROSE HERRMANN CHARITABLE TRUST

A Employer identification number
59-2982443

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 108

Room/suite

B Telephone number (see page 10 of the instructions)
(863) 421-6565

City or town, state, and ZIP code
SAN ANTONIO, FL 33576

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,342,791

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	14,712	14,712	14,712	
	4 Dividends and interest from securities.	95,284	95,284	95,284	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	17,377			
	b Gross sales price for all assets on line 6a <u>17,377</u>				
	7 Capital gain net income (from Part IV, line 2)		17,377		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	127,373	127,373	109,996	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	0	0	0	
	25 Contributions, gifts, grants paid	0			0
	26 Total expenses and disbursements. Add lines 24 and 25	0	0		0
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	127,373			
	b Net investment income (if negative, enter -0-)		127,373		
	c Adjusted net income (if negative, enter -0-)			109,996	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		
	2	Savings and temporary cash investments	713,349	841,061
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____		
	8	Inventories for sale or use		
	9	Prepaid expenses and deferred charges		
	10a	Investments—U S and state government obligations (attach schedule)		
	b	Investments—corporate stock (attach schedule)	2,020,269 <input checked="" type="checkbox"/>	2,025,668
	c	Investments—corporate bonds (attach schedule).		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	12	Investments—mortgage loans	105,133	99,395
	13	Investments—other (attach schedule)		
Liabilities	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	15	Other assets (describe ▶ _____)		
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,838,751	2,966,124
	17	Accounts payable and accrued expenses		
	18	Grants payable		
	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule)		
	22	Other liabilities (describe ▶ _____)		
	23	Total liabilities (add lines 17 through 22)		0
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.		
	24	Unrestricted	2,838,751	2,966,124
	25	Temporarily restricted		
	26	Permanently restricted		
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.		
	27	Capital stock, trust principal, or current funds		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund		
	29	Retained earnings, accumulated income, endowment, or other funds		
	30	Total net assets or fund balances (see page 17 of the instructions)	2,838,751	2,966,124
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,838,751	2,966,124

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,838,751
2	Enter amount from Part I, line 27a	2	127,373
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,966,124
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,966,124

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)	
1a					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a					
b					
c					
d					
e					
2	Capital gain net income or (net capital loss) <div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div>				217,377
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8</div>				3

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If “Yes,” the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2010	163,000			
2009	182,674	3,560,798	0 051301	
2008	154,400	3,320,898	0 046493	
2007	209,590	4,134,613	0 050692	
2006	225,146	4,413,843	0 051009	
2 Total of line 1, column (d).			2	0 199495
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3	0 049874
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.			4	3,909,548
5 Multiply line 4 by line 3.			5	194,985
6 Enter 1% of net investment income (1% of Part I, line 27b).			6	1,274
7 Add lines 5 and 6.			7	196,259
8 Enter qualifying distributions from Part XII, line 4.			8	0
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18				

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,547
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	2,547
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,547
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	323	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	323
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	48
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,272
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> 0	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of JOSEPH W HERRMANN Telephone no (863) 421-6565 Located at 6011 HWY 92 PLANT CITY FL ZIP+4 33566			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	15		
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).

Yes

No

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.

6b

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,150,832
b	Average of monthly cash balances.	1b	818,252
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,969,084
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	3,969,084
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	59,536
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,909,548
6	Minimum investment return. Enter 5% of line 5.	6	195,477

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	195,477
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	2,547
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,547
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	192,930
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	192,930
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	192,930

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	0
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				192,930
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2011				
a	From 2006.				8,632
b	From 2007.				6,817
c	From 2008.				
d	From 2009.				6,156
e	From 2010.				
f	Total of lines 3a through e.	21,605			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ _____				
a	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d	Applied to 2011 distributable amount.				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	21,605			21,605
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				171,325
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).				
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.				
10	Analysis of line 9				
a	Excess from 2007.				
b	Excess from 2008.				
c	Excess from 2009.				
d	Excess from 2010.				
e	Excess from 2011.				

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c



Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHMENT SEE ATTACHMENT SEE ATTACHMENT, FL 33576			GENERAL OPERATING GIFT	205,000
Total  3a				205,000
b <i>Approved for future payment</i>				
Total  3b				

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			1	8,662	6,050
4	Dividends and interest from securities.					95,284
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					17,377
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory.					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e).				8,662	118,711
13	Total. Add line 12, columns (b), (d), and (e).					127,373

[illegible]






1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☒ No

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TIMOTHY C BALDWIN 	TRUSTEE 1 00	0	0	0
PO BOX 656 SAN ANTONIO,FL 33576				
FRANCIS C GUDE 	TRUSTEE 1 00	0	0	0
31610 GUDE ROAD DADE CITY,FL 33525				
EDWARD J HERRMANN 	TRUSTEE 1 00	0	0	0
35730 BLANTON ROAD DADE CITY,FL 33523				
JOSEPH W HERRMANN 	TRUSTEE 1 00	0	0	0
6011 HWY 92 W PLANT CITY,FL 33566				
TIMOTHY J NEWLON 	TRUSTEE 1 00	0	0	0
PO BOX 913 SAN ANTONIO,FL 33576				

TY 2011 Compensation Explanation

Name: JOSEPH & ROSE HERRMANN CHARITABLE
TRUST

EIN: 59-2982443

Person Name	Explanation
TIMOTHY C BALDWIN	
FRANCIS C GUDE	
EDWARD J HERRMANN	
JOSEPH W HERRMANN	
TIMOTHY J NEWLON	

TY 2011 Investments Corporate
Stock Schedule

Name: JOSEPH & ROSE HERRMANN CHARITABLE
TRUST
EIN: 59-2982443

Name of Stock	End of Year Book Value	End of Year Fair Market Value
224.000 ACCO BRANDS		1,454
100.000 AGL RESOURCES	2,350	4,091
3673.057 ALTRIA GROUP	42,790	122,643
2000.000 AT&T	56,712	75,400
250.00 BERKSHIRE HATHAWAY	11,202	22,050
2000.000 CHESAPEAKE UTILITIES	42,972	94,720
1429.254 CHEVRON CORP	37,769	166,594
327.000 CITADEL BROADCASTING	1,118	
2400.000 CONOCO PHILLIPS	59,928	137,232
4921.495 WALT DISNEY CO	73,516	257,296
2937.523 WELLS FARGO GLOBAL CL A	31,050	43,306
80.000 CENTURYLINK	1,162	3,232
1018.198 FIDELITY GROWTH & INCOME	28,418	21,698
1822.247 FIDELITY SELECT AUTO	35,570	61,027
889.426 FIDELITY CONSUMER STAPLES	30,160	72,755
8038.800 FIDELITY LP STOCK	217,432	315,041
1349.135 FIRST ENERGY CP	46,461	59,497
754.391 GENERAL ELECTRIC	17,845	17,132
229.705 GLAXO SMITH KLINE	7,694	10,622
617.935 HSBC HOLDINGS	32,429	28,709
572.085 HARTFORD FINANCIAL SVCS	19,010	11,121
812.644 HEINZ HJ CO	24,213	45,467
970.000 HOST HOTELS & RESORTS	12,919	15,938
1550.000 INTEGRYS ENERGY	43,483	80,910
4376.977 JP MORGAN CHASE	203,922	177,180
2541.000 KRAFT FOODS	44,340	105,070
547.722 LACLEDE GROUP	12,283	23,552
1531.656 MARATHON OIL	10,855	45,291
765.000 MARATHON PETROLEUM	7,478	41,761
681.205 MEADWESTVACO CP	14,983	20,844

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1500.000 MERCK	52,826	67,643
3009.713 NISOURCE INC	66,654	76,687
3000.000 PFIZER	50,861	74,550
3673.000 PHILLIP MORRIS INTL	97,504	330,350
1200.000 PHILLIPS 66	5,399	55,644
1554.801 PNM RESOURCES	29,229	32,697
2758.487 RAYONIER INC	49,117	202,790
528.689 SEMPRA ENERGY	12,004	34,095
1190.000 SPRINT NEXTEL (FON)	11,420	6,569
1585.150 STARWOOD HOTELS & RESORTS	35,056	91,875
2827.587 SUNTRUST BANKS	173,342	79,936
3500.000 TECO ENERGY	47,659	62,090
1500.000 UGI CORP	8,404	47,625
312.329 VECTREN CORP	56,448	66,133
1500.000 VERIZON	49,268	68,355
685.292 WELLS FARGO	110,413	23,663

TY 2011 Other Expenses Schedule

Name: JOSEPH & ROSE HERRMANN CHARITABLE
TRUST

EIN: 59-2982443

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE EXPENSE				
POSTAGE				
MORTGAGE WRITE-DOWN				
MORTGAGE EXPENSES				

The Joe and Rose Herrmann Charitable Foundation
Custom Transaction Detail Report
December 2012

Date	Num	Name	Memo	Account	Clr	Split	Debit	Credit
Dec 12								
12/03/2012	6284	Abba Farms		SACFCU Checking		Public Contributions		750 00
12/03/2012	6285	All Children's Hospital		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6286	American Family Association		SACFCU Checking		Public Contributions		500 00
12/03/2012	6287	American Printing House for the Blind In		SACFCU Checking		Public Contributions		500 00
12/03/2012	6288	American Red Cross		SACFCU Checking		Public Contributions		5 000 00
12/03/2012	6289	Americares		SACFCU Checking		Public Contributions		500 00
12/03/2012	6290	Benedictine Sisters of Mount St Scholasti		SACFCU Checking		Public Contributions		500 00
12/03/2012	6291	Berea College		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6292	Boys & Girls Club of Pasco County		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6293	Catholic Answers		SACFCU Checking		Public Contributions		500 00
12/03/2012	6294	Catholic Bishop of Northern Alaska		SACFCU Checking		Public Contributions		500 00
12/03/2012	6295	Catholic Charities Pasco County- Life Ser		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6296	Catholic Charities Diocese of St Pete		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6297	Catholic Church Extension Society		SACFCU Checking		Public Contributions		750 00
12/03/2012	6298	Catholic Home Study Service		SACFCU Checking		Public Contributions		500 00
12/03/2012	6299	Catholic Near East Welfare Association		SACFCU Checking		Public Contributions		500 00
12/03/2012	6300	Catholic Relief Services USCC		SACFCU Checking		Public Contributions		4 500 00
12/03/2012	6301	Center for Law and Justice		SACFCU Checking		Public Contributions		500 00
12/03/2012	6302	Christian Appalachian Project		SACFCU Checking		Public Contributions		1 500 00
12/03/2012	6303	Christian Foundation for Children & Aging		SACFCU Checking		Public Contributions		500 00
12/03/2012	6304	Common Welfare Society c/o KT John		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6305	Covenant House Florida		SACFCU Checking		Public Contributions		500 00
12/03/2012	6306	Dade City Kiwanis		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6307	Dade City Rotary		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6308	Daystar Hope Center		SACFCU Checking		Public Contributions		2 500 00
12/03/2012	6309	Eternal Word TV Network		SACFCU Checking		Public Contributions		500 00
12/03/2012	6310	Feed the Children		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6311	Feeding America		SACFCU Checking		Public Contributions		1 500 00
12/03/2012	6312	Florida Hospital Zephyrhills Foundation		SACFCU Checking		Public Contributions		3 000 00
12/03/2012	6313	Florida Right to Life Educational Fund		SACFCU Checking		Public Contributions		500 00
12/03/2012	6314	Focus on the Family		SACFCU Checking		Public Contributions		500 00
12/03/2012	6315	Food for the Poor		SACFCU Checking		Public Contributions		500 00
12/03/2012	6316	Franciscan University of Steubenville		SACFCU Checking		Public Contributions		500 00
12/03/2012	6317	Glenmary Home Missioners		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6318	Habitat for Humanity East Pasco		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6319	Hardy Benevolent Trust Fund		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6320	Highland Park Baptist Church		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6321	Hillsdale College		SACFCU Checking		Public Contributions		500 00
12/03/2012	6322	Holy Name Monastery		SACFCU Checking		Public Contributions		7 500 00
12/03/2012	6323	HPH Hospice		SACFCU Checking		Public Contributions		3 000 00
12/03/2012	6324	Immaculate Heart of Mary Church		SACFCU Checking		Public Contributions		20 000 00
12/03/2012	6325	K of C Council 1768 Seminary Fund		SACFCU Checking		Public Contributions		3 750 00
12/03/2012	6326	Knights of Columbus Florida Charities		SACFCU Checking		Public Contributions		500 00
12/03/2012	6327	Lighthouse		SACFCU Checking		Public Contributions		1 500 00
12/03/2012	6328	Love Press		SACFCU Checking		Public Contributions		500 00
12/03/2012	6329	Maryknoll Fathers & Brothers		SACFCU Checking		Public Contributions		500 00
12/03/2012	6330	Maryknoll Sisters		SACFCU Checking		Public Contributions		500 00
12/03/2012	6331	Meals on Wheels East Pasco		SACFCU Checking		Public Contributions		2 500 00
12/03/2012	6332	Metropolitan Ministries		SACFCU Checking		Public Contributions		2 500 00
12/03/2012	6333	Missionary Oblates of Mary Immaculate		SACFCU Checking		Public Contributions		500 00
12/03/2012	6334	Morningstar School		SACFCU Checking		Public Contributions		500 00
12/03/2012	6335	Moss Memorial Library		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6336	National Right to Life - Educational Trus		SACFCU Checking		Public Contributions		500 00
12/03/2012	6337	Nativity Outreach Food Bank		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6338	Our Lady of Angels Monastery		SACFCU Checking		Public Contributions		500 00
12/03/2012	6339	Our Lady of Perpetual Help Home		SACFCU Checking		Public Contributions		500 00
12/03/2012	6340	Oxfam America		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6341	Pioneer Florida Museum Association		SACFCU Checking		Public Contributions		2 500 00
12/03/2012	6342	Pregnancy Care Center of Plant City		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6343	Priests for Life		SACFCU Checking		Public Contributions		500 00
12/03/2012	6344	Rotary Club of San Antonio		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6345	Sacred Heart Early Childhood Center-Endow		SACFCU Checking		Public Contributions		800 00
12/03/2012	6346	Sacred Heart Early Childhood Center-Gener		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6347	Saint Benedict Education Foundation		SACFCU Checking		Public Contributions		2 500 00
12/03/2012	6348	Saint Leo Abbey		SACFCU Checking		Public Contributions		7 500 00
12/03/2012	6349	Saint Leo Abbey Church Restoration		SACFCU Checking		Public Contributions		6 000 00
12/03/2012	6350	Saint Leo Abbey Retreat Center		SACFCU Checking		Public Contributions		1 500 00

The Joe and Rose Herrmann Charitable Foundation
Custom Transaction Detail Report
December 2012

Date	Num	Name	Memo	Account	Clr	Split	Debit	Credit
12/03/2012	6351	Saint Leo University-Annual Fund		SACFCU Checking		Public Contributions		750 00
12/03/2012	6352	SLU-Stephen Herrmann Scholarship Fund		SACFCU Checking		Public Contributions		3 000 00
12/03/2012	6353	SLU-Fr Damien DuQuesnay Scholarship Fund		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6354	SLU-Walt Plazewski Scholarship Fund		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6355	SLU-Fr James Hoge Scholarship Fund		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6356	SLU-Fr Robert Velten Scholarship Fund		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6357	SLU-Fr Gregory Traeger Scholarship Fund		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6358	SLU-Student Center		SACFCU Checking		Public Contributions		15 000 00
12/03/2012	6359	SLU-Tapia Building		SACFCU Checking		Public Contributions		5 000 00
12/03/2012	6360	SLU-Fitness Center		SACFCU Checking		Public Contributions		1 500 00
12/03/2012	6361	Salesian Sisters of St John Bosco		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6362	Salvation Army		SACFCU Checking		Public Contributions		1 800 00
12/03/2012	6363	Salvation Army East Pasco Service Center		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6364	San Antonio Sports Assn		SACFCU Checking		Public Contributions		1 500 00
12/03/2012	6365	Shriners Hospitals for Children		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6366	Society for the Propagation of the Faith		SACFCU Checking		Public Contributions		500 00
12/03/2012	6367	Society for St Vincent DePaul		SACFCU Checking		Public Contributions		6 200 00
12/03/2012	6368	Spirit FM		SACFCU Checking		Public Contributions		1 200 00
12/03/2012	6369	St Anthony of Padua Catholic Church		SACFCU Checking		Public Contributions		2 000 00
12/03/2012	6370	St Anthony Catholic Church-Ongoing Repair		SACFCU Checking		Public Contributions		7 500 00
12/03/2012	6371	St Anthony School-Endowment Fund		SACFCU Checking		Public Contributions		5 000 00
12/03/2012	6372	St Jude Children's Research Hospital		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6373	Sunnse of Pasco County		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6374	The Christophers		SACFCU Checking		Public Contributions		500 00
12/03/2012	6375	Thomas More Law Center		SACFCU Checking		Public Contributions		500 00
12/03/2012	6376	United Way of Pasco County		SACFCU Checking		Public Contributions		500 00
12/03/2012	6377	St Anthony-Puen Cantores		SACFCU Checking		Public Contributions		1 000 00
12/03/2012	6378	Cornerstone Center for Women		SACFCU Checking		Public Contributions		1 500 00
12/03/2012	6379	SHECC-Building Fund		SACFCU Checking		Public Contributions		10 000 00
12/03/2012	6380	USF Foundation		SACFCU Checking		Public Contributions		1 000 00
12/12/2012	6381	K of C Council 1786 - Roof Repair		SACFCU Checking		Public Contributions		5 000 00
								202 500 00
								SALESIAN YOUTH CENTER
								1 800 00
								HUGH EMBRY LIBRARY
								700 00
								TOTAL GIFTS
								205,000 00