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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning 10/01/11, and ending 09/30/12

Name of foundation THE JAHARIS FAMILY FOUNDATION, INC.		A Employer identification number 59-2751110
Number and street (or P.O. box number if mail is not delivered to street address) 499 PARK AVENUE	Room/suite FL 23	B Telephone number (see instructions) 212-207-3923
City or town, state, and ZIP code NEW YORK NY 10022		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 246,627,663	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

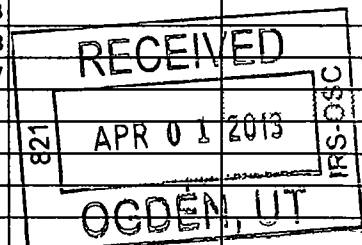
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	4	4		
	4 Dividends and interest from securities	2,171,589	2,171,589		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,163,073			
	b Gross sales price for all assets on line 6a	2,163,073			
	7 Capital gain net income (from Part IV, line 2)		2,163,073		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) Stmt 1	-220,521	-220,521			
12 Total. Add lines 1 through 11	4,114,145	4,114,145	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	175,375	12,500		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	43,253	3,877		
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) Stmt 2	2,564,596	2,563,133		
	17 Interest	998	998		
	18 Taxes (attach schedule) (see instructions) Stmt 3	6,380	6,117		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,112			
	22 Printing and publications				
	23 Other expenses (attach sch) Stmt 4	4,533			
	24 Total operating and administrative expenses. Add lines 13 through 23	2,796,247	2,586,625	0	0
	25 Contributions, gifts, grants paid	10,780,914			10,780,914
26 Total expenses and disbursements. Add lines 24 and 25	13,577,161	2,586,625	0	10,780,914	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-9,463,016				
b Net investment income (if negative, enter -0-)		1,527,520			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	328,588	59,960	59,960
	2	Savings and temporary cash investments	4,729	4,734	4,734
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶	0		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) See Statement 5	248,427,061	239,232,668	246,562,969
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	248,760,378	239,297,362	246,627,663
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24	Unrestricted			
Net Assets or Fund Balances	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	248,760,378	239,297,362	
	30	Total net assets or fund balances (see instructions)	248,760,378	239,297,362	
Net Assets or Fund Balances	31	Total liabilities and net assets/fund balances (see instructions)	248,760,378	239,297,362	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	248,760,378
2	Enter amount from Part I, line 27a	2	-9,463,016
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	239,297,362
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	239,297,362

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT TERM GAINS THROUGH K-1		P		
b LONG TERM GAINS THROUGH K-1		P		
c FERRO GLOBAL HOLDINGS TRUST		P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 384,411			384,411
b 1,772,848			1,772,848
c 5,814			5,814
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			384,411
b			1,772,848
c			5,814
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	2,163,073
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	384,411

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	12,853,800	252,051,431	0.050997
2009	7,822,500	261,097,067	0.029960
2008	14,935,100	284,367,210	0.052520
2007	5,721,272	263,082,894	0.021747
2006	7,692,138	215,984,075	0.035614

2 Total of line 1, column (d)	2	0.190838
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.038168
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	243,507,151
5 Multiply line 4 by line 3	5	9,294,181
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	15,275
7 Add lines 5 and 6	7	9,309,456
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	10,780,914

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	15,275
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	15,275
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	15,275
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	50,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	50,000
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	34,725
11	Enter the amount of line 10 to be Credited to 2012 estimated tax 34,725 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) FL, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ KATHRYN JAHARIS Telephone no ▶ 212-207-3923 499 PARK AVE., 23rd FL Located at ▶ NEW YORK NY ZIP+4 ▶ 10022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	▶ 15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ▶ 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes?</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p> <p>Organizations relying on a current notice regarding disaster assistance check here</p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?</p> <p>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p> <p>If "Yes" to 6b, file Form 8870</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?</p> <p>b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>N/A</p> <p>N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>N/A</p>	<p>5b</p> <p>6b</p> <p>7b</p>	<p>X</p>
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT ATTACHED	64.00	175,375	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
VATERA INVESTMENT PARTNERS, LLC 499 PARK AVENUE, 23RD FLOOR NEW YORK NY 10022	INVEST. MGMT.	2,245,675
NAXOS ADVISORS, LLC 499 PARK AVENUE, 23RD FLOOR NEW YORK NY 10022	INVEST. ADVISOR	317,169
(SEE STATEMENT ATTACHED)		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1 SEE STATEMENT ATTACHED**2****3****4**

Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1 N/A**2**

All other program-related investments See instructions

3

Amount

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	0
b	Average of monthly cash balances	1b	652,413
c	Fair market value of all other assets (see instructions)	1c	246,562,969
d	Total (add lines 1a, b, and c)	1d	247,215,382
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	247,215,382
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	3,708,231
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	243,507,151
6	Minimum investment return. Enter 5% of line 5	6	12,175,358

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,175,358
2a	Tax on investment income for 2011 from Part VI, line 5	2a	15,275
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15,275
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	12,160,083
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	12,160,083
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,160,083

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	10,780,914
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1b	
b	Program-related investments—total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the	3b	
a	Suitability test (prior IRS approval required)	4	10,780,914
b	Cash distribution test (attach the required schedule)	5	15,275
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	6	10,765,639
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)		
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				12,160,083
2 Undistributed income, if any, as of the end of 2011.				
a Enter amount for 2010 only			3,939,468	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 10,780,914				
a Applied to 2010, but not more than line 2a			3,939,468	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				6,841,446
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				5,318,637
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
MARY JAHARIS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
KATHRYN JAHARIS 212-207-3923
499 PARK AVENUE, 23rd FL, NEW YORK, NY 10022

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT ATTACHED				10,780,914
Total			▶ 3a	10,780,914
b Approved for future payment SEE STATEMENT ATTACHED				41,732,825
Total			▶ 3b	41,732,825

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	4	
4 Dividends and interest from securities				14	2,171,589	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				14	2,163,073	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b MISC. INCOME THROUGH K-1				14	-220,521	
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)			0		4,114,145	0
13 Total. Add line 12, columns (b), (d), and (e)					13	4,114,145

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

EIN # 59-2751110

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.

FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012

PART VIII

Pg. 1 of 2

KEVIN FERRO, STEVEN JAHARIS AND KATHRYN JAHARIS ARE MEMBERS OF THE BOARD OF DIRECTORS OF THE JAHARIS FAMILY FOUNDATION, INC. MR. FERRO OWNS NAXOS ADVISORS LLC WHICH PROVIDED PAID INVESTMENT ADVICE TO THE FOUNDATION FROM 2004 THROUGH THE FIRST QUARTER OF CALENDAR YEAR 2012 AT WHICH TIME THE ADVISORY AGREEMENT WAS TERMINATED. MR. FERRO IS THE CEO AND MANAGING MEMBER OF VATERA HOLDINGS LLC, WHICH MANAGES VATERA INVESTMENT PARTNERS LLC ("VIP"). STEVEN JAHARIS AND KATHRYN JAHARIS EACH OWN SMALL MEMBERSHIP INTERESTS IN VATERA HOLDINGS LLC. VIP IS A DIVERSIFIED POOLED INVESTMENT VEHICLE IN WHICH THE FOUNDATION'S ASSETS ARE INVESTED. THE BOARD OF DIRECTORS, EXCLUDING KEVIN FERRO, STEVEN JAHARIS AND KATHRYN JAHARIS, HAS DETERMINED THAT THE FEES PAID BY THE FOUNDATION TO NAXOS ADVISORS LLC AND TO VATERA INVESTMENT PARTNERS LLC ARE NOT EXCESSIVE AND DO NOT CONSTITUTE AN ACT OF SELF DEALING IN ACCORDANCE WITH EXAMPLE 2 OF THE US TREASURY REGULATIONS SECTION 53.4941 (d)-3. AS PER THE INSTRUCTIONS FOR FORM 990-PF, THE COMPENSATION PAID TO NAXOS ADVISORS LLC FOR INVESTMENT ADVISORY SERVICES AND TO VIP FOR INVESTMENT MANAGEMENT SERVICES HAS BEEN REPORTED AS AN INVESTMENT RELATED PROFESSIONAL EXPENSE ON LINE 16c OF PAGE 1.

EIN # 59-2751110

STATEMENT ATTACHED TO AND MADE A PART OF

THE JAHARIS FAMILY FOUNDATION, INC.

FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012

PART VIII

Pg. 2 of 2

THIS REPORTING LINE ITEM CORRESPONDS WITH THE DISCLOSURE
STATEMENT ON PAGE 7, PART VIII, LINE 3 (FIVE HIGHEST-PAID
INDEPENDENT CONTRACRORS FOR PROFESSIONAL SERVICES).

EIN 59-2751110

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART VIII

<u>NAME AND ADDRESS</u>	<u>TITLE</u>	<u>AVERAGE HOURS</u>	<u>COMPENSATION</u>	<u>EXPENSE ACCOUNT</u>
KATHRYN JAHARIS 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	DIRECTOR/ PRESIDENT/ TREASURER	20	\$ 125,000	\$ -
MICHAEL JAHARIS 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	DIRECTOR	5	\$ -	\$ -
STEVEN JAHARIS 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	DIRECTOR	2	\$ -	\$ -
MARY JAHARIS 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	DIRECTOR	2	\$ -	\$ -
STEVEN K. ARONOFF 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	DIRECTOR	5	\$ -	\$ -
KEVIN FERRO 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	DIRECTOR	15	\$ -	\$ -
PELAGIA SOTIRHOS NICHOLSON 499 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10022	SECRETARY	15	\$ 50,375	\$ -
TOTAL		<u>64</u>	<u>\$ 175,375</u>	<u>\$ -</u>

EIN # 59-2751110

STATEMENT ATTACHED TO AND MADE A PART OF

THE JAHARIS FAMILY FOUNDATION, INC.

FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012

PART IX - A AND B

AND

PART XV, Line 3, a

THE FOUNDATION ONLY MADE GIFTS TO PUBLIC CHARITIES EXEMPT UNDER
INTERNAL REVENUE CODE 501(c)(3) FOR GENERAL CHARITABLE PURPOSES
EXCEPT AS NOTED IN THE STATEMENT DETAIL.

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, a
CHARITABLE DISBURSEMENTS

AAFP Foundation 11400 Tomahawk Creek Parkway, Suite 440 Leewood, KS 66211-2672	\$ 2,000.00
Alvin Ailey Dance Foundation 405 West 55th Street New York, NY 10019	30,000.00
Amherst College P.O. Box 5000 Amherst, MA 01002-5000	50,000.00
Animal Zone International P.O. Box 1039, Cooper Station New York, NY 10003	25,000.00
Archive of Contemporary Music 54 White Street New York, NY 10013	200,000.00
Art Institute of Chicago 111 S. Michigan Avenue Chicago, IL 60603	1,000,000.00
CARE 151 Ellis Street, NE Atlanta, GA 30303-2440	25,000.00
Carroll University 100 N. East Avenue Waukesha, WI 53186	\$ 500,000.00
The Cathedral School 319 East 74th Street New York, NY 10021	40,000.00
Center for Arts Education 14 Penn Plaza, 225 West 34th St. New York, NY 10122	25,000.00

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, a
CHARITABLE DISBURSEMENTS

Children's Museum of Manhattan The Tisch Building 212 West 83rd Street New York, NY 10024	60,000.00
The Coalition for the Homeless 129 Fulton Street Floor 1 New York, NY 10038	40,000.00
Trustees of Columbia University 630 West 168 St. New York, NY 10032	1,000,000.00
Cook County- Loyola- Provident FMR Department of Family Medicine 500 East 51st Street Chicago, IL 60615	5,000.00
DePaul University One East Jackson Blvd. Chicago, IL 60604-2287	1,250,000.00
Doctors of the World 137 Varrick Street, 8th Floor New York, NY 10013 Designated for programs in Greece	100,000.00
Fordham University Administration Building Bronx, NY 10458	400,000.00
Greek American Rehabilitation & Care Center 702 H Street NW, Suite 300 Washington, DC 20001	10,000.00
Greenpeace Fund Inc. 702 H Street NW, Suite 300 Washington, DC 20001	45,000.00

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, a
CHARITABLE DISBURSEMENTS

The Harvey School 260 Jay Street Katonah, NY 10536	25,000.00
Haverford College 370 Lancaster Avenue Haverford, PA 19041	\$ 2,100,000.00
Hellenic College 50 Goddard Avenue Brookline, MA 02445	171,804.00
The Hotchkiss School P.O. Box 800 11 Interlaken Road Lakeville, CT 06039 Designated for Current Use Financial Aid	25,000.00
Knox College Office of Advancement Campus Box K-230 Galesburg, IL 61401	10,000.00
Lawrence University 711 E Boldt Way SPC 18 Appleton, WI 54911	10,000.00
Little Orchestra Society Orpheon Inc. 330 West 42nd Street, 12th Floor New York, NY 10036	510,000.00
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0198	200,000.00
Metropolitan Opera 30 Lincoln Center New York, NY 10023	428,571.00

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, a
CHARITABLE DISBURSEMENTS

National Breast Cancer Foundation, Inc. 2600 Network Blvd., Suite 300 Frisco, TX 75034	5,000.00
North Park University 3225 West Foster Avenue Chicago, IL 60625	\$ 1,000,000.00
North Shore Country Day School 310 Green Bay Road Winnetka, IL 60093-4094	270,000.00
North Shore University Health System Foundation 1033 University Place, Suite 450 Evanston, IL 60201-3172	5,000.00
Northwestern University 2020 Ridge Avenue Evanston, IL 60208	80,000.00
Orthodox Christian Mission Center P.O. Box 4319 St. Augustine, FL 32085	200,000.00
PBS - Channel 13 WNET 450 West 33rd Street New York, NY 10001-2605	45,000.00
Partners in Health 888 Commonwealth Avenue Boston, MA 02215	25,000.00
Planned Parenthood of New York City, Inc. Margaret Sanger Square 26 Bleecker Street New York, NY 10012-2413	40,000.00
Riverside Park Fund 475 Riverside Drive Suite 455 New York, NY 10115	25,000.00

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, a
CHARITABLE DISBURSEMENTS

Safe Horizon 2 Lafayette Street, 3rd Floor New York, NY 10007	40,000.00
Seton Hall University University Advancement 457 Centre Street South Orange, NJ 07079	\$ 225,000.00
SOS Children's Villages USA 1001 Connecticut Avenue, NW, Suite 1250 Washington, DC 20036 Designated for programs in Greece	\$ 110,000.00
St. Anna Greek Orthodox Church P.O. Box 2505 Flemington, NJ 08822	158,539.00
Trinity School 139 West 91st Street New York, NY 10024	60,000.00
The U.S. Fund for UNICEF 125 Maiden Lane New York, NY 10038	25,000.00
Tufts University School of Medicine Development Office 136 Harrison Avenue Boston, MA 02111	100,000.00
University of Chicago Business School 401 N. Michigan, Suite 900 Chicago, IL 60637	10,000.00
West Side Campaign Against Hunger 236 West 86th Street New York, NY 10024	20,000.00
WFUV Fordham University Bronx, NY 10458	50,000.00
Total	<u>\$ 10,780,914.00</u>

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, b
CHARITABLE DISBURSEMENTS APPROVED FOR FUTURE PAYMENT

Art Institute of Chicago 111 S. Michigan Avenue Chicago, IL 60603	\$ 8,000,000.00
Carroll University 100 N. East Avenue Waukesha WI 53186	3,500,000.00
Columbia University Medical College 630 West 168th Street, P&S 2-421 New York, NY 10032	4,000,000.00
DePaul University One East Jackson Blvd. Chicago, IL 60604-2287	2,000,000.00
Haverford College- Leadership Challenge 370 Lancaster Avenue Haverford, PA 19041	8,000,000.00
Hellenic College Holy Cross 50 Goddard Avenue Brookline, MA 02445-7496	4,511,396.00
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0198	400,000.00
Metropolitan Opera 30 Lincoln Center New York, NY 10023	2,571,429.00
North Park University 3225 West Foster Avenue Chicago, IL 60625	3,000,000.00

EIN 59-2751110

STATEMENT ATTACHED TO AND MADE A PART OF
THE JAHARIS FAMILY FOUNDATION, INC.
FORM 990-PF FOR THE YEAR ENDING SEPTEMBER 30, 2012
PART XV, LINE 3, b
CHARITABLE DISBURSEMENTS APPROVED FOR FUTURE PAYMENT

North Shore Country Day School- Challenge	\$ 750,000.00
310 Green Bay Road	
Winnetka, IL 60093-40491	

Weill Cornell University	5,000,000.00
Discoveries That Make a Difference	
520 East 70th Street, Suite 505	
New York, NY 10021-9800	

Total	<u>\$ 41,732,825.00</u>
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59-2751110

Federal Statements

FYE: 9/30/2012

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
MISC. INCOME THROUGH K-1	\$ -220,521	\$ -220,521	\$
Total	\$ -220,521	\$ -220,521	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PAYROLL PROCESSING FEES	\$ 1,752	\$ 289	\$	\$
INVESTMENT MANAGEMENT FEES	317,169	317,169		
INVESTMENT MANAGEMENT FEES THRU	2,245,675	2,245,675		
Total	\$ 2,564,596	\$ 2,563,133	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MTA TRANSIT TAX	\$ 333	\$ 70	\$	\$
FOREIGN TAXES WITHHELD (FROM K-1)	6,047	6,047		
Total	\$ 6,380	\$ 6,117	\$ 0	\$ 0

Federal Statements

59-2751110

FYE: 9/30/2012

3/21/2013 11:47 AM

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
DUES	695			
SUPPLIES, POSTAGE, DELIVERIES	1,353			
FILING FEES	1,955			
INSURANCE	425			
BANK FEES	105			
Total	<u>4,533</u>	<u>0</u>	<u>0</u>	<u>0</u>

Federal Statements

59-2751110

FYE: 9/30/2012

Statement 5 - Form 990-PF, Part II, Line 13 - Other Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
INVEST. IN VATERA INV. PARTNERS LLC	\$ 248,427,061	\$ 239,232,668	Market	\$ 246,562,969
Total	<u>\$ 248,427,061</u>	<u>\$ 239,232,668</u>		<u>\$ 246,562,969</u>