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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

For calendar year 2011 or tax year beginning OCT 1, 2011, and ending SEP 30, 2012

Name of foundation ASHINGTON-PICKETT FOUNDATION, INC.		A Employer identification number 59-2489531
Number and street (or P O box number if mail is not delivered to street address) 1307 MONTCALM STREET	Room/suite	B Telephone number 407-851-1929
City or town, state, and ZIP code ORLANDO, FL 32806		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☐ \$ 297,098. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		4,018.	4,018.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<36,595.>			
b Gross sales price for all assets on line 6a		2,515,524.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		<32,577.>	4,018.		
13 Compensation of officers, directors, trustees, etc.		3,000.	1,500.		1,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 2		2,675.	1,338.		1,337.
c Other professional fees					
17 Interest					
18 Taxes Stmt 3		560.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 4		329.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		6,564.	2,838.		2,837.
25 Contributions, gifts, grants paid		11,060.			11,060.
26 Total expenses and disbursements. Add lines 24 and 25		17,624.	2,838.		13,897.
27 Subtract line 26 from line 12		<50,201.>			
a Excess of revenue over expenses and disbursements			1,180.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,612.	5,002.	5,002.
	2 Savings and temporary cash investments	131,454.	119,096.	119,096.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	147,887.	169,323.	138,334.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 7	116,074.	64,494.	34,208.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ PAINTING)	458.	458.	458.	
16 Total assets (to be completed by all filers)	398,485.	358,373.	297,098.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	398,485.	358,373.	
30 Total net assets or fund balances	398,485.	358,373.		
31 Total liabilities and net assets/fund balances	398,485.	358,373.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	398,485.
2 Enter amount from Part I, line 27a	2	<50,201.>
3 Other increases not included in line 2 (itemize) ▶ See Statement 5	3	10,089.
4 Add lines 1, 2, and 3	4	358,373.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	358,373.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT TERM ALLOWED LOSSES - SEE ATTACHED			
b STATEMENTS		Various	Various
c LONG TERM LOSSES - SEE ATTACHED STATEMENTS		Various	Various
d SHORT TERM DISALLOWED LOSSES - SEE ATTACHED			
e STATEMENTS		Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 1,584,702.		1,619,374.	<34,672.>
c 55,839.		57,762.	<1,923.>
d			
e 874,983.		1,259,781.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			<34,672.>
c			<1,923.>
d			
e			0.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<36,595.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	N/A

If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	12,162.	300,540.	.040467
2009	10,544.	279,090.	.037780
2008	22,255.	226,650.	.098191
2007	19,786.	381,401.	.051877
2006	20,600.	466,021.	.044204

2 Total of line 1, column (d)	2	.272519
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054504
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	203,761.
5 Multiply line 4 by line 3	5	11,106.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12.
7 Add lines 5 and 6	7	11,118.
8 Enter qualifying distributions from Part XII, line 4	8	13,897.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	12.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	12.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	12.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	12.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MICHAEL D. ASHINGTON-PICKETT Telephone no. ► 407-857-0202 Located at ► 1307 MONTCALM ST, ORLANDO, FL ZIP+4 ► 32806			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL D. ASHINGTON-PICKETT 1307 MONTCALM ST. ORLANDO, FL 32806	PRESIDENT/TRUSTEE 6.00	0.	0.	0.
SANDRA ASHINGTON-PICKETT 1307 MONTCALM ST. ORLANDO, FL 32806	SECRETARY/TRUSTEE 2.00	3,000.	0.	0.
MICHAEL D. ASHINGTON-PICKETT II 1307 MONTCALM ST. ORLANDO, FL 32806	DIRECTOR/TRUSTEE 1.00	0.	0.	0.
CLAIRE A. ASHINGTON-PICKETT 1307 MONTCALM ST. ORLANDO, FL 32806	DIRECTOR/TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	159,742.
b	Average of monthly cash balances	1b	46,664.
c	Fair market value of all other assets	1c	458.
d	Total (add lines 1a, b, and c)	1d	206,864.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	206,864.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,103.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	203,761.
6	Minimum investment return. Enter 5% of line 5	6	10,188.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	10,188.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	12.
b	Income tax for 2011. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	12.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	10,176.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	10,176.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	10,176.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,897.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	13,897.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	12.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	13,885.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				10,176.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			11,060.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 13,897.				
a Applied to 2010, but not more than line 2a			11,060.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				2,837.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				7,339.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED LIST OF DONATIONS				11,060.
Total			3a	11,060.
b Approved for future payment None				
Total			3b	0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue.							
a _____							
b _____							
c _____							
d _____							
e _____							
f _____							
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash investments							
4 Dividends and interest from securities				14	4,018.		
5 Net rental income or (loss) from real estate							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal property							
7 Other investment income							
8 Gain or (loss) from sales of assets other than inventory				18	<36,595.>		
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue:							
a _____							
b _____							
c _____							
d _____							
e _____							
12 Subtotal. Add columns (b), (d), and (e)			0.		<32,577.>	0.	
13 Total. Add line 12, columns (b), (d), and (e)						13 <32,577.>	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF	Dividends and Interest from Securities	Statement	1
Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
CHARLES SCHWAB ACCOUNT	4,018.	0.	4,018.
Total to Fm 990-PF, Part I, ln 4	4,018.	0.	4,018.

Form 990-PF	Accounting Fees			Statement 2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	2,675.	1,338.		1,337.
To Form 990-PF, Pg 1, ln 16b	2,675.	1,338.		1,337.

Form 990-PF	Taxes			Statement	3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
INCOME TAX	560.	0.		0.	
To Form 990-PF, Pg 1, ln 18	560.	0.		0.	

Form 990-PF	Other Expenses			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
POSTAGE	184.	0.			0.
LICENSES AND FEES	61.	0.			0.
BANK FEES	84.	0.			0.
To Form 990-PF, Pg 1, ln 23	329.	0.			0.

Form 990-PF	Other Increases in Net Assets or Fund Balances	Statement	5
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Description	Amount
INCREASE IN INVESTMENTS DUE TO CHANGE IN BOOK VALUE FROM DISALLOWED LOSSES	10,089.
Total to Form 990-PF, Part III, line 3	10,089.

Form 990-PF	Corporate Stock	Statement	6
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Description	Book Value	Fair Market Value
STOCKS	169,323.	138,334.
Total to Form 990-PF, Part II, line 10b	169,323.	138,334.

Form 990-PF	Other Investments	Statement	7
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Description	Valuation Method	Book Value	Fair Market Value
MUTUAL FUNDS	COST	7,585.	10,747.
MISCELLANEOUS INVESTMENTS	COST	55,732.	23,431.
OPTIONS	COST	1,177.	30.
Total to Form 990-PF, Part II, line 13		64,494.	34,208.

Form 990-PF	Grant Application Submission Information	Statement	8
	Part XV, Lines 2a through 2d		

Name and Address of Person to Whom Applications Should be Submitted

Michael Ashington-Pickett
1307 Montcalm Street
Orlando, FL 32806

<u>Telephone Number</u>	<u>Name of Grant Program</u>
407-857-0202	none

Form and Content of Applications

A TYPED LETTER OR PRINTED STATEMENT SHOWING THE PURPOSE OF THE CHARITY, CONFIRMATION OF TAX EXEMPT STATUS, AND FINANCIAL STATEMENT SHOWING A COMPLETE BREAKDOWN OF EXPENDITURES. INDIVIDUAL APPLICATIONS IN WRITING, IF POSSIBLE, EXPLAINING IN FULL, DETAILS OF THE APPLICANT'S NEEDS.

Any Submission Deadlines

NONE

Restrictions and Limitations on Awards

NONE

ASHINGTON-PICKETT FOUNDATION, INC.
LIST OF DONATIONS
October 1, 2011 – September 30, 2012

DATE	CHECK NO.	PAYEE	AMOUNT
10-2-11	1861	American Institute Cancer Research	50.00
11-4-11	1862	WUCF 89.9fm	250.00
12-12-11	1864	First Presbyterian Church Orlando	200.00
12-12-11	1865	Sierra Club	50.00
12-21-11	1866	Marilyn White (needy donation)	100.00
1-2-12	1867	WUCF	250.00
2-11-12	1869	First Presbyterian Church Orlando	200.00
2-27-12	1871	First Presbyterian Church Orlando	3,000.00
2-27-12	1872	Central Fla Council Fla House	125.00
3-12-12	1874	Wm. R. Boone High School Band	25.00
3-17-12	1876	First Presbyterian Church Orlando	500.00
4-5-12	1877	Heart of the City Foundation	500.00
4-5-12	1878	WUCF TV	500.00
4-17-12	1879	First Presbyterian Church Orlando	100.00
4-17-12	1880	Central Florida Council BSA	250.00
5-7-12	1881	Colorado Public Radio	500.00
6-1-12	1882	The Christ School	500.00
7-25-12	1883	UCF Foundation	250.00
9-14-12	1884	Boy Scouts of America	250.00
9-14-12	1885	Statue of Liberty Ellis Island Foundation	50.00
9-14-12	1886	Florida Family Association	500.00
9-14-12	1887	Thornwell Home	100.00
9-14-12	1888	Vision Health International	100.00
9-14-12	1889	Cumberland College	500.00
9-14-12	1890	Navigators	25.00
9-14-12	1891	Thomas More Law Center	200.00
9-14-12	1892	Music Mission Kiev	250.00
9-14-12	1893	Children of the Night	250.00
9-14-12	1894	Presbyterian Lay Committee	100.00
9-14-12	1895	Plus	50.00
9-14-12	1896	Hi-Tech Tutoring Center, Inc.	250.00
9-14-12	1897	Alice Lloyd College	250.00
9-14-12	1898	Unity	250.00
9-14-12	1899	USO	50.00
9-14-12	1900	The Salvation Army	500.00
9-14-12	1901	Florida Sheriffs Assn.	35.00
		TOTAL	\$11,060.00

Realized Gain/Loss - Lot details for XXX-3818 as of Thu Dec 27 16:39:46 EDT 2012 from to

Symbol	Name	Closed Date	Opened Date	Quantity	Proceeds P	Cost Per Share	Proceeds	Cost Basis	Gain/Loss (\$)	Long Term Gain/Loss	Short Term Gain/Loss	Term	Wash Sale?	Disallowed Loss
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/13/2012	09/11/2012	700	\$8.04	\$7.91	\$5,626.83	\$5,537.68	\$89.15		\$89.15	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/13/2012	09/11/2012	700	\$8.04	\$7.91	\$5,626.83	\$5,538.38	\$88.45		\$88.45	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/13/2012	09/13/2012	1500	\$8.04	\$7.85	\$12,057.50	\$11,779.46	\$278.04		\$278.04	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/13/2012	09/11/2012	600	\$8.04	\$7.91	\$4,823.00	\$4,747.79	\$75.21		\$75.21	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/13/2012	09/13/2012	500	\$8.04	\$7.85	\$4,019.17	\$3,926.49	\$92.68		\$92.68	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/11/2012	09/07/2012	563	\$7.78	\$7.71	\$4,379.20	\$4,342.40	\$36.80		\$36.80	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/11/2012	09/07/2012	1400	\$7.78	\$7.68	\$10,889.67	\$10,756.17	\$133.50		\$133.50	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/11/2012	09/07/2012	61	\$7.78	\$7.71	\$474.48	\$470.49	\$3.99		\$3.99	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/11/2012	09/07/2012	100	\$7.78	\$7.71	\$777.83	\$771.30	\$6.53		\$6.53	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/11/2012	09/07/2012	1276	\$7.78	\$7.71	\$9,925.16	\$9,841.76	\$83.40		\$83.40	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/11/2012	09/07/2012	600	\$7.78	\$7.68	\$4,667.01	\$4,609.78	\$57.23		\$57.23	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/07/2012	09/06/2012	100	\$7.72	\$7.53	\$771.69	\$752.60	\$19.09		\$19.09	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/07/2012	09/06/2012	539	\$7.72	\$7.53	\$4,159.38	\$4,056.49	\$102.89		\$102.89	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/07/2012	09/06/2012	1000	\$7.72	\$7.51	\$7,716.85	\$7,505.95	\$210.90		\$210.90	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/07/2012	09/06/2012	361	\$7.72	\$7.53	\$2,785.78	\$2,716.86	\$68.92		\$68.92	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/06/2012	08/21/2012	1129	\$7.25	\$7.02	\$8,183.95	\$7,927.82	\$256.13		\$256.13	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/06/2012	08/21/2012	371	\$7.25	\$7.02	\$2,689.32	\$2,605.15	\$84.17		\$84.17	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/06/2012	08/21/2012	100	\$7.25	\$7.02	\$724.88	\$702.20	\$22.68		\$22.68	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/06/2012	09/05/2012	3000	\$7.25	\$7.16	\$21,746.54	\$21,485.95	\$260.59		\$260.59	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/06/2012	08/21/2012	1400	\$7.25	\$7.02	\$10,148.39	\$9,830.78	\$317.61		\$317.61	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/05/2012	09/04/2012	1200	\$7.21	\$7.15	\$8,649.43	\$8,582.38	\$67.05		\$67.05	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/05/2012	09/04/2012	1800	\$7.21	\$7.15	\$12,974.14	\$12,873.57	\$100.57		\$100.57	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/04/2012	08/21/2012	285	\$7.17	\$7.01	\$2,042.84	\$1,998.42	\$44.42		\$44.42	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/04/2012	08/21/2012	500	\$7.17	\$7.01	\$3,583.93	\$3,505.99	\$77.94		\$77.94	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/04/2012	08/21/2012	62	\$7.17	\$7.01	\$444.41	\$434.74	\$9.67		\$9.67	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/04/2012	08/21/2012	559	\$7.17	\$7.01	\$4,006.83	\$3,919.70	\$87.13		\$87.13	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	09/04/2012	08/21/2012	1594	\$7.17	\$7.01	\$11,425.56	\$11,177.10	\$248.46		\$248.46	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	08/31/2012	08/23/2012	2000	\$7.01	\$6.87	\$14,015.72	\$13,743.97	\$271.75		\$271.75	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	08/31/2012	08/23/2012	998	\$7.01	\$6.87	\$6,993.84	\$6,859.24	\$135.60		\$135.60	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	08/31/2012	08/23/2012	2	\$7.01	\$6.87	\$14.02	\$13.74	\$0.28		\$0.28	Short	No	
EOS	EATON VANCE ENHANCE EQTY	08/21/2012	04/30/2012	1000	\$10.92	\$10.89	\$10,923.81	\$10,885.95	\$37.86		\$37.86	Short	No	
SAN	BANCO SANTANDER SA ADR SPONSORED ADR 1 ADR REPS 1 ORD	08/21/2012	08/17/2012	3000	\$7.07	\$6.95	\$21,203.57	\$20,855.95	\$347.62		\$347.62	Short	No	

SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/17/2012	08/16/2012	3000	\$7.00	\$6.89	\$20,993.58	\$20,675.95	\$317.63		\$317.63	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/16/2012	08/07/2012	3000	\$6.78	\$6.56	\$20,335.75	\$19,685.95	\$649.80		\$649.80	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/16/2012	08/06/2012	241	\$6.78	\$6.33	\$1,633.64	\$1,526.66	\$106.98		\$106.98	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/16/2012	08/15/2012	1463	\$6.78	\$6.62	\$9,917.07	\$9,691.01	\$226.06		\$226.06	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/07/2012	08/07/2012	3000	\$6.56	\$6.53	\$19,673.61	\$19,595.95	\$77.66		\$77.66	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/06/2012	07/05/2012	2591	\$6.40	\$6.27	\$16,576.89	\$16,250.71	\$326.18		\$326.18	Short	No
SPKU 09/22/2012	'CALL PSBRS SHORT S&P500S58 EXP 09/22/12	08/06/2012	08/06/2012	1	\$2.20	\$0.80	\$219.76	\$80.23	\$139.53		\$139.53	Short	No
SPKU 09/22/2012	'CALL PSBRS SHORT S&P500S58 EXP 09/22/12	08/06/2012	08/06/2012	1	\$2.20	\$0.80	\$219.76	\$80.22	\$139.54		\$139.54	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/06/2012	08/01/2012	3000	\$6.33	\$6.09	\$18,983.62	\$18,275.95	\$707.67		\$707.67	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/06/2012	07/05/2012	409	\$6.40	\$6.27	\$2,616.73	\$2,565.24	\$51.49		\$51.49	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/06/2012	08/03/2012	3000	\$6.22	\$6.12	\$18,653.63	\$18,365.95	\$287.68		\$287.68	Short	No
J2H	CORP BACKED TR 6 00M33PRUDENTIAL DUE 07/15/33SUBJ TO XTRO REDEMPTION	08/03/2012	01/08/2007	71	\$25.00	\$24.67	\$1,775.00	\$1,751.56	\$23.44	\$23.44		Long	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/03/2012	08/02/2012	3000	\$5.85	\$5.69	\$17,543.66	\$17,075.95	\$467.71		\$467.71	Short	No
J2H	CORP BACKED TR 6 00M33PRUDENTIAL DUE 07/15/33SUBJ TO XTRO REDEMPTION	08/03/2012	01/08/2007	200	\$25.00	\$24.64	\$5,000.00	\$4,927.98	\$72.02	\$72.02		Long	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	08/01/2012	07/31/2012	3000	\$6.14	\$6.01	\$18,413.64	\$18,035.95	\$377.69		\$377.69	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	07/30/2012	07/10/2012	1000	\$6.00	\$5.81	\$5,997.88	\$5,811.98	\$185.90		\$185.90	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	07/30/2012	07/10/2012	200	\$6.00	\$5.81	\$1,199.58	\$1,162.40	\$37.18		\$37.18	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	07/30/2012	07/10/2012	1400	\$6.00	\$5.81	\$8,397.04	\$8,136.78	\$260.26		\$260.26	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	07/30/2012	07/10/2012	400	\$6.00	\$5.81	\$2,399.15	\$2,324.79	\$74.36		\$74.36	Short	No
SSO	PROSHARES ULTRA S&P 500	07/19/2012	07/05/2012	100	\$55.77	\$55.09	\$5,576.92	\$5,508.95	\$67.97		\$67.97	Short	No
SPKU 07/21/2012	'CALL PSBRS SHORT S&P500S55 EXP 07/21/12	07/05/2012	07/05/2012	1	\$2.10	\$0.35	\$210.30	\$34.70	\$175.60		\$175.60	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	07/03/2012	06/29/2012	900	\$6.64	\$6.57	\$5,973.19	\$5,915.68	\$57.51		\$57.51	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	07/03/2012	06/29/2012	1100	\$6.64	\$6.57	\$7,300.56	\$7,230.27	\$70.29		\$70.29	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	300	\$6.50	\$6.39	\$1,949.51	\$1,917.89	\$31.62		\$31.62	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	300	\$6.50	\$6.39	\$1,949.51	\$1,917.89	\$31.62		\$31.62	Short	No
SSO	PROSHARES ULTRA S&P 500	06/29/2012	06/28/2012	200	\$53.58	\$51.59	\$10,715.81	\$10,317.95	\$397.86		\$397.86	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	25	\$6.50	\$6.39	\$162.46	\$159.82	\$2.64		\$2.64	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	275	\$6.50	\$6.39	\$1,787.05	\$1,758.07	\$28.98		\$28.98	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	725	\$6.50	\$6.39	\$4,711.31	\$4,634.91	\$76.40		\$76.40	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	1400	\$6.50	\$6.39	\$9,097.72	\$8,950.17	\$147.55		\$147.55	Short	No
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	06/29/2012	05/07/2012	975	\$6.50	\$6.39	\$6,335.91	\$6,233.15	\$102.76		\$102.76	Short	No
SPKU 07/21/2012	'CALL PSBRS SHORT S&P500S58 EXP 07/21/12	06/20/2012	06/20/2012	1	\$3.00	\$0.93	\$300.29	\$92.70	\$207.59		\$207.59	Short	No
SPKU 06/16/2012	'CALL PSBRS SHORT S&P500S61 EXP 06/16/12	06/07/2012	06/07/2012	2	\$1.75	\$0.70	\$349.52	\$140.47	\$209.05		\$209.05	Short	No

BAC	BANK OF AMERICA CORP	06/07/2012	05/29/2012	3000	\$7.80	\$7.44	\$23,393.53	\$72,325.95	\$1,067.58	\$1,067.58	Short	No
SPKU	06/16/2012 'CALL PSBRS SHORT S&P500\$57 EXP 06/16/12	06/07/2012	06/07/2012	1	\$2.90	\$1.35	\$290.29	\$134.70	\$155.59	\$155.59	Short	No
SSO	PROSHARES ULTRA S&P 500	06/05/2012	06/04/2012	100	\$48.12	\$47.91	\$4,811.91	\$4,796.95	\$20.96	\$20.96	Short	No
SSO	PROSHARES ULTRA S&P 500	06/05/2012	06/04/2012	100	\$48.12	\$47.74	\$4,811.92	\$4,773.95	\$37.97	\$37.97	Short	No
SPKU	PROSHS ULTRAPRO NEW ETF SHORT S&P 500	06/01/2012	12/29/2011	100	\$57.34	\$66.16	\$5,733.92	\$6,615.95	(\$882.03)	(\$882.03)	Short	No
SPKU	06/16/2012 'CALL PSBRS SHORT S&P500\$57 EXP 06/16/12	05/30/2012	05/30/2012	1	\$2.80	\$1.45	\$280.29	\$144.70	\$135.59	\$135.59	Short	No
F	FORD MOTOR COMPANY NEW	05/29/2012	05/25/2012	100	\$10.63	\$10.59	\$1,063.43	\$1,058.60	\$4.83	\$4.83	Short	No
F	FORD MOTOR COMPANY NEW	05/29/2012	05/24/2012	78	\$10.63	\$10.65	\$829.47	\$830.51	(\$1.04)	(\$1.04)	Short	No
F	FORD MOTOR COMPANY NEW	05/29/2012	05/25/2012	900	\$10.63	\$10.59	\$9,570.86	\$9,527.35	\$43.51	\$43.51	Short	No
F	FORD MOTOR COMPANY NEW	05/29/2012	05/24/2012	10	\$10.64	\$10.65	\$106.35	\$106.48	(\$0.13)	(\$0.13)	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/24/2012	05/23/2012	2000	\$5.74	\$5.72	\$11,473.79	\$11,445.95	\$27.84	\$27.84	Short	No
F	FORD MOTOR COMPANY NEW	05/24/2012	05/24/2012	1000	\$10.54	\$10.44	\$10,543.81	\$10,435.95	\$107.86	\$107.86	Short	No
F	FORD MOTOR COMPANY NEW	05/23/2012	05/23/2012	1000	\$10.39	\$10.27	\$10,393.82	\$10,265.95	\$127.87	\$127.87	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/07/2012	05/03/2012	2000	\$6.28	\$6.02	\$12,556.74	\$12,045.95	\$510.79	\$510.79	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/07/2012	05/04/2012	2000	\$6.28	\$6.18	\$12,556.75	\$12,365.95	\$190.80	\$190.80	Short	No
GAZ	BARCLAYS BANK IPATH ETN DOW JONES UBS NATURAL GAS TOTAL RTN SUB INDEX	05/03/2012	05/02/2012	171	\$3.90	\$3.96	\$667.58	\$676.90	\$0.00	\$0.00	Short	Yes
GAZ	BARCLAYS BANK IPATH ETN DOW JONES UBS NATURAL GAS TOTAL RTN SUB INDEX	05/03/2012	05/02/2012	429	\$3.90	\$3.96	\$1,674.80	\$1,698.20	(\$10.91)	(\$10.91)	Short	Yes
GAZ	BARCLAYS BANK IPATH ETN DOW JONES UBS NATURAL GAS TOTAL RTN SUB INDEX	05/03/2012	05/02/2012	100	\$3.91	\$4.01	\$390.50	\$401.30	(\$10.80)	(\$10.80)	Short	No
GAZ	BARCLAYS BANK IPATH ETN DOW JONES UBS NATURAL GAS TOTAL RTN SUB INDEX	05/03/2012	05/02/2012	300	\$3.90	\$4.12	\$1,171.48	\$1,237.31	(\$66.83)	(\$66.83)	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/03/2012	05/02/2012	850	\$6.15	\$6.02	\$5,224.86	\$5,113.56	\$111.30	\$111.30	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/03/2012	05/02/2012	150	\$6.15	\$6.02	\$922.03	\$902.39	\$19.64	\$19.64	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/03/2012	05/02/2012	850	\$6.15	\$6.00	\$5,224.85	\$5,096.56	\$128.29	\$128.29	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	05/03/2012	05/02/2012	150	\$6.15	\$6.00	\$922.03	\$899.39	\$22.64	\$22.64	Short	No
GAZ	BARCLAYS BANK IPATH ETN DOW JONES UBS NATURAL GAS TOTAL RTN SUB INDEX	05/01/2012	04/17/2012	1000	\$4.19	\$3.96	\$4,193.96	\$3,955.95	\$238.01	\$238.01	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/26/2012	04/19/2012	2000	\$2.85	\$2.70	\$5,695.91	\$5,403.97	\$291.94	\$291.94	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/26/2012	04/19/2012	1000	\$2.85	\$2.70	\$2,847.95	\$2,701.98	\$145.97	\$145.97	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	04/25/2012	04/24/2012	1000	\$6.57	\$6.39	\$6,567.87	\$6,385.95	\$181.92	\$181.92	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	04/25/2012	04/24/2012	1000	\$6.57	\$6.21	\$6,567.87	\$6,205.95	\$361.92	\$361.92	Short	No
SAN	BANCO SANTANDER SA ADR EFPONSORED ADR 1 ADR REPS 1 ORD	04/25/2012	04/17/2012	1000	\$6.57	\$6.47	\$6,567.87	\$6,465.95	\$101.92	\$101.92	Short	No
20030N408	COMCAST CORP RTS 7 0000R** CALLED ** @ \$25.00/SHEFF 04/20/12	04/20/2012	06/07/2007	250	\$25.00	\$24.92	\$6,250.00	\$6,229.97	\$20.03	\$20.03	Long	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/11/2012	04/10/2012	650	\$3.07	\$2.87	\$1,994.17	\$1,866.79	\$127.38	\$127.38	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/11/2012	04/10/2012	1344	\$3.07	\$2.87	\$4,123.32	\$3,859.94	\$263.38	\$263.38	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/11/2012	04/10/2012	159	\$3.07	\$2.87	\$487.80	\$456.65	\$31.15	\$31.15	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/11/2012	04/10/2012	141	\$3.07	\$2.87	\$432.58	\$404.95	\$27.63	\$27.63	Short	No

FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/11/2012	04/10/2012	500	\$3.07	\$2.87	\$1,533.97	\$1,435.99	\$97.98	\$97.98	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/11/2012	04/10/2012	206	\$3.07	\$2.87	\$632.00	\$591.63	\$40.37	\$40.37	Short	No
BAC 01/19/2013 11	CALL BANK OF AMERICA CORP\$10 EXP 01/19/13	04/10/2012	04/10/2012	2	\$1.41	\$0.94	\$281.87	\$188.11	\$93.76	\$93.76	Short	No
BAC 01/19/2013 11	CALL BANK OF AMERICA CORP\$10 EXP 01/19/13	04/10/2012	04/10/2012	25	\$1.41	\$0.94	\$3,523.54	\$2,351.42	\$1,172.12	\$1,172.12	Short	No
BAC 01/19/2013 11	CALL BANK OF AMERICA CORP\$10 EXP 01/19/13	04/10/2012	04/10/2012	3	\$1.41	\$0.94	\$422.82	\$282.17	\$140.65	\$140.65	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/03/2012	04/03/2012	700	\$3.77	\$3.65	\$2,637.55	\$2,556.39	\$81.16	\$81.16	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/03/2012	04/03/2012	700	\$3.77	\$3.65	\$2,637.56	\$2,556.39	\$81.17	\$81.17	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/03/2012	04/03/2012	500	\$3.77	\$3.65	\$1,883.97	\$1,825.99	\$57.98	\$57.98	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/03/2012	04/03/2012	1000	\$3.77	\$3.65	\$3,767.93	\$3,651.98	\$115.95	\$115.95	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	04/03/2012	04/03/2012	100	\$3.77	\$3.65	\$376.79	\$365.20	\$11.59	\$11.59	Short	No
C	CTIGROUP INC NEW	04/02/2012	04/02/2012	500	\$36.85	\$56.33	\$18,423.64	\$18,165.95	\$257.69	\$257.69	Short	No
C 01/19/2013 40 0	CALL CTIGROUP INC NEW\$40 EXP 01/19/13	03/30/2012	03/30/2012	2	\$2.97	\$2.91	\$594.89	\$581.10	\$13.79	\$13.79	Short	No
C 01/19/2013 40 0	CALL CTIGROUP INC NEW\$40 EXP 01/19/13	03/30/2012	03/30/2012	1	\$2.97	\$2.91	\$297.45	\$290.54	\$6.91	\$6.91	Short	No
C 01/19/2013 40 0	CALL CTIGROUP INC NEW\$40 EXP 01/19/13	03/30/2012	03/30/2012	2	\$2.97	\$2.91	\$594.89	\$581.10	\$13.79	\$13.79	Short	No
RF	REGIONS FINANCIAL CP NEW	03/26/2012	06/10/2010	86	\$6.51	\$6.90	\$559.67	\$593.66	(\$33.99)	(\$33.99)	Long	No
RF	REGIONS FINANCIAL CP NEW	03/26/2012	05/14/2010	818	\$6.51	\$8.32	\$5,323.36	\$6,807.38	(\$1,484.02)	(\$1,484.02)	Long	No
RF	REGIONS FINANCIAL CP NEW	03/26/2012	06/10/2010	200	\$6.51	\$6.90	\$1,301.55	\$1,380.60	(\$79.05)	(\$79.05)	Long	No
RF	REGIONS FINANCIAL CP NEW	03/26/2012	06/10/2010	414	\$6.51	\$6.90	\$2,694.22	\$2,857.83	(\$163.61)	(\$163.61)	Long	No
RF	REGIONS FINANCIAL CP NEW	03/26/2012	06/10/2010	800	\$6.51	\$6.90	\$5,206.22	\$5,522.38	(\$316.16)	(\$316.16)	Long	No
RF	REGIONS FINANCIAL CP NEW	03/26/2012	06/10/2010	500	\$6.51	\$6.90	\$3,253.88	\$3,451.48	(\$197.60)	(\$197.60)	Long	No
BAC	BANK OF AMERICA CORP	03/23/2012	03/22/2012	3000	\$9.74	\$9.67	\$29,213.52	\$29,015.95	\$197.57	\$197.57	Short	No
BAC	BANK OF AMERICA CORP	03/22/2012	03/22/2012	3000	\$9.74	\$9.67	\$29,213.52	\$29,015.95	\$197.57	\$197.57	Short	No
BAC	BANK OF AMERICA CORP	03/21/2012	03/21/2012	3000	\$9.84	\$9.82	\$29,513.52	\$29,465.95	\$47.57	\$47.57	Short	No
BAC	BANK OF AMERICA CORP	03/21/2012	03/21/2012	3000	\$9.98	\$9.93	\$29,933.51	\$29,795.95	\$137.56	\$137.56	Short	No
BAC	BANK OF AMERICA CORP	03/19/2012	03/19/2012	1000	\$10.01	\$9.99	\$10,007.84	\$9,991.98	\$15.86	\$15.86	Short	No
BAC	BANK OF AMERICA CORP	03/19/2012	06/29/2011	1000	\$10.01	\$16.00	\$10,007.83	\$16,001.03	\$0.00	\$0.00	Short	Yes
BAC	BANK OF AMERICA CORP	03/19/2012	01/19/2012	1000	\$10.01	\$10.94	\$10,007.84	\$10,942.43	\$0.00	\$0.00	Short	Yes
BAC	BANK OF AMERICA CORP	03/19/2012	01/19/2012	1000	\$10.02	\$10.97	\$10,017.84	\$10,968.29	\$0.00	\$0.00	Short	Yes
BAC	BANK OF AMERICA CORP	03/19/2012	03/19/2012	1000	\$10.02	\$9.95	\$10,017.84	\$9,951.98	\$65.86	\$65.86	Short	No
BAC	BANK OF AMERICA CORP	03/19/2012	06/29/2011	1000	\$9.91	\$15.98	\$9,907.83	\$15,982.71	\$0.00	\$0.00	Short	Yes
BAC	BANK OF AMERICA CORP	03/19/2012	01/19/2012	1000	\$9.91	\$10.92	\$9,907.84	\$10,924.15	\$0.00	\$0.00	Short	Yes
BAC	BANK OF AMERICA CORP	03/19/2012	03/19/2012	1000	\$9.91	\$9.78	\$9,907.84	\$9,781.98	\$125.86	\$125.86	Short	No
BAC	BANK OF AMERICA CORP	03/19/2012	06/29/2011	50	\$10.02	\$16.01	\$500.89	\$600.32	\$0.00	\$0.00	Short	Yes
BAC	BANK OF AMERICA CORP	03/19/2012	06/29/2011	50	\$10.02	\$16.01	\$500.89	\$600.32	\$0.00	\$0.00	Short	Yes

BAC	BANK OF AMERICA CORP	03/19/2012	06/29/2011	950	\$10.02	\$16.03	\$9,516.94	\$15,226.55	\$0.00	\$0.00	Short	Yes	(\$5,709.61)
BAC	BANK OF AMERICA CORP	03/16/2012	06/26/2011	1000	\$9.76	\$15.96	\$9,757.84	\$15,958.56	\$0.00	\$0.00	Short	Yes	(\$6,200.72)
BAC	BANK OF AMERICA CORP	03/16/2012	01/16/2012	1000	\$9.76	\$10.90	\$9,757.84	\$10,900.01	\$0.00	\$0.00	Short	Yes	(\$1,142.17)
BAC	BANK OF AMERICA CORP	03/16/2012	03/16/2012	1000	\$9.76	\$9.65	\$9,757.84	\$9,651.98	\$105.86	\$105.86	Short	No	
EOS	EATON VANCE ENHANCE EQTY	03/16/2012	08/04/2011	100	\$11.19	\$10.47	\$1,119.39	\$1,047.45	\$71.94	\$71.94	Short	No	
EOS	EATON VANCE ENHANCE EQTY	03/16/2012	08/04/2011	500	\$11.19	\$10.47	\$5,596.92	\$5,237.23	\$359.69	\$359.69	Short	No	
EOS	EATON VANCE ENHANCE EQTY	03/16/2012	08/04/2011	200	\$11.19	\$10.47	\$2,238.77	\$2,094.91	\$143.86	\$143.86	Short	No	
EOS	EATON VANCE ENHANCE EQTY	03/16/2012	08/04/2011	200	\$11.19	\$10.47	\$2,238.77	\$2,094.91	\$143.86	\$143.86	Short	No	
BAC	BANK OF AMERICA CORP	03/15/2012	01/15/2012	1000	\$9.00	\$10.27	\$8,997.85	\$10,271.74	\$0.00	\$0.00	Short	Yes	(\$1,273.89)
BAC	BANK OF AMERICA CORP	03/15/2012	01/15/2012	1000	\$9.18	\$10.43	\$9,177.85	\$10,425.88	\$0.00	\$0.00	Short	Yes	(\$1,248.03)
BAC	BANK OF AMERICA CORP	03/15/2012	06/25/2011	1000	\$9.00	\$15.33	\$8,997.86	\$15,330.30	\$0.00	\$0.00	Short	Yes	(\$6,332.44)
BAC	BANK OF AMERICA CORP	03/15/2012	03/15/2012	1000	\$9.00	\$9.00	\$8,997.85	\$9,001.98	\$0.00	\$0.00	Short	Yes	(\$4.13)
BAC	BANK OF AMERICA CORP	03/15/2012	03/15/2012	1000	\$9.18	\$9.16	\$9,177.85	\$9,156.11	\$21.74	\$21.74	Short	No	
BAC	BANK OF AMERICA CORP	03/15/2012	06/25/2011	1000	\$9.18	\$15.48	\$9,177.85	\$15,484.42	\$0.00	\$0.00	Short	Yes	(\$6,306.57)
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/13/2012	03/12/2012	515	\$3.59	\$3.48	\$1,847.80	\$1,793.22	\$54.58	\$54.58	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/13/2012	03/12/2012	215	\$3.59	\$3.48	\$771.41	\$748.63	\$22.78	\$22.78	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/13/2012	03/12/2012	1955	\$3.59	\$3.48	\$7,014.44	\$6,807.28	\$207.16	\$207.16	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/13/2012	03/12/2012	315	\$3.59	\$3.48	\$1,130.21	\$1,096.82	\$33.39	\$33.39	Short	No	
C	CTIGROUP INC NEW	03/13/2012	03/09/2012	200	\$35.18	\$34.19	\$7,035.49	\$6,838.38	\$197.11	\$197.11	Short	No	
BAC	BANK OF AMERICA CORP	03/13/2012	06/23/2011	1000	\$8.31	\$14.64	\$8,308.85	\$14,637.16	\$0.00	\$0.00	Short	Yes	(\$6,328.31)
BAC	BANK OF AMERICA CORP	03/13/2012	03/09/2012	3000	\$8.31	\$8.14	\$24,926.58	\$24,425.95	\$500.63	\$500.63	Short	No	
BAC	BANK OF AMERICA CORP	03/13/2012	01/13/2012	1000	\$8.31	\$9.58	\$8,308.86	\$9,578.62	\$0.00	\$0.00	Short	Yes	(\$1,269.76)
BAC	BANK OF AMERICA CORP	03/13/2012	02/23/2012	1000	\$8.31	\$8.14	\$8,308.86	\$8,138.42	\$170.44	\$170.44	Short	No	
BAC	BANK OF AMERICA CORP	03/13/2012	03/12/2012	3000	\$8.09	\$7.97	\$24,263.61	\$23,915.95	\$347.66	\$347.66	Short	No	
C	CTIGROUP INC NEW	03/13/2012	05/30/2011	300	\$35.18	\$44.24	\$10,553.24	\$13,272.24	\$0.00	\$0.00	Short	Yes	(\$2,719.00)
BAC	BANK OF AMERICA CORP	03/13/2012	03/09/2012	450	\$8.14	\$8.06	\$3,662.04	\$3,627.89	\$34.15	\$34.15	Short	No	
BAC	BANK OF AMERICA CORP	03/13/2012	03/09/2012	1550	\$8.14	\$8.06	\$12,613.69	\$12,496.08	\$117.61	\$117.61	Short	No	
BAC	BANK OF AMERICA CORP	03/13/2012	03/09/2012	1000	\$8.14	\$8.06	\$8,137.87	\$8,061.98	\$75.89	\$75.89	Short	No	
BAC	BANK OF AMERICA CORP	03/12/2012	03/09/2012	3000	\$8.03	\$8.02	\$24,083.62	\$24,065.95	\$17.67	\$17.67	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	553	\$3.57	\$3.23	\$1,973.08	\$1,787.29	\$185.79	\$185.79	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	111	\$3.57	\$3.23	\$396.04	\$358.75	\$37.29	\$37.29	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	110	\$3.57	\$3.23	\$392.47	\$355.52	\$36.95	\$36.95	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	111	\$3.57	\$3.23	\$396.04	\$358.75	\$37.29	\$37.29	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	52	\$3.57	\$3.23	\$185.53	\$168.06	\$17.47	\$17.47	Short	No	

FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	1600	\$3 57	\$3 23	\$5,708.73	\$5,171.17	\$537.56	\$537.56	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	110	\$3 57	\$3 23	\$392.47	\$355.52	\$36.95	\$36.95	Short	No
BAC	BANK OF AMERICA CORP	03/09/2012	03/08/2012	3000	\$8 12	\$8 01	\$24,353.61	\$24,035.95	\$317.66	\$317.66	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	11	\$3 57	\$3 23	\$39.25	\$35.55	\$3.70	\$3.70	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/09/2012	08/12/2011	342	\$3 57	\$3 23	\$1,220.25	\$1,105.34	\$114.91	\$114.91	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/08/2012	03/07/2012	3000	\$3 53	\$3 41	\$10,583.86	\$10,235.95	\$347.91	\$347.91	Short	No
BAC	BANK OF AMERICA CORP	03/08/2012	03/07/2012	3000	\$8 12	\$7 88	\$24,353.61	\$23,645.95	\$707.66	\$707.66	Short	No
F	FORD MOTOR COMPANY NEW	03/08/2012	03/08/2012	1000	\$12 39	\$12 37	\$12,393.83	\$12,365.95	\$27.88	\$27.88	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	1400	\$3 38	\$3 26	\$4,729.14	\$4,566.77	\$162.37	\$162.37	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	254	\$3 38	\$3 26	\$858.00	\$828.54	\$29.46	\$29.46	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	46	\$3 38	\$3 26	\$155.39	\$150.05	\$5.34	\$5.34	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	900	\$3 38	\$3 26	\$3,040.16	\$2,935.79	\$104.37	\$104.37	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	200	\$3 38	\$3 26	\$675.59	\$652.40	\$23.19	\$23.19	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	100	\$3 38	\$3 26	\$337.79	\$326.20	\$11.59	\$11.59	Short	No
BAC	BANK OF AMERICA CORP	03/07/2012	03/07/2012	3000	\$7 88	\$7 83	\$23,633.62	\$23,495.95	\$137.67	\$137.67	Short	No
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	03/07/2012	03/06/2012	100	\$3 38	\$3 26	\$337.79	\$326.20	\$11.59	\$11.59	Short	No
BAC	BANK OF AMERICA CORP	03/02/2012	02/22/2012	1000	\$8 18	\$8 08	\$8,176.88	\$8,083.54	\$93.34	\$93.34	Short	No
BAC	BANK OF AMERICA CORP	03/02/2012	06/23/2011	1000	\$8 18	\$14 68	\$8,176.88	\$14,682.06	\$6.00	\$6.00	Short	Yes
BAC	BANK OF AMERICA CORP	02/28/2012	06/21/2011	1000	\$8 11	\$14 72	\$8,107.87	\$14,716.96	\$6.00	\$6.00	Short	Yes
BAC	BANK OF AMERICA CORP	02/28/2012	01/10/2012	1000	\$8 11	\$9 56	\$8,107.87	\$9,560.39	\$137.67	\$137.67	Short	Yes
BAC	BANK OF AMERICA CORP	02/28/2012	02/20/2012	1000	\$8 11	\$8 02	\$8,107.87	\$8,024.31	\$83.56	\$83.56	Short	No
BAC	BANK OF AMERICA CORP	02/28/2012	02/20/2012	2000	\$8 09	\$8 10	\$16,175.74	\$16,192.74	\$17.00	\$17.00	Short	Yes
BAC	BANK OF AMERICA CORP	02/28/2012	01/10/2012	1000	\$8 09	\$9 53	\$8,087.87	\$9,534.50	\$17.00	\$17.00	Short	Yes
NO NUMBER	COMCAST CORP MTS 7 0000XPARTIAL CALL @ 25 EFFECTIVE 02/27/12	02/27/2012	06/07/2007	250	\$25 00	\$24 92	\$6,250.00	\$6,229.98	\$20.02	\$20.02	Long	No
BAC	BANK OF AMERICA CORP	02/27/2012	02/19/2012	3000	\$7 91	\$7 92	\$23,723.62	\$23,764.72	\$41.10	\$41.10	Short	Yes
BAC	BANK OF AMERICA CORP	02/23/2012	01/05/2012	1000	\$8 01	\$9 56	\$8,006.88	\$9,555.29	\$548.41	\$548.41	Short	Yes
BAC	BANK OF AMERICA CORP	02/23/2012	06/16/2011	1000	\$8 01	\$14 71	\$8,006.88	\$14,711.85	\$704.97	\$704.97	Short	Yes
BAC	BANK OF AMERICA CORP	02/21/2012	02/16/2012	3000	\$8 09	\$8 10	\$24,263.61	\$24,292.38	\$28.77	\$28.77	Short	Yes
BAC	BANK OF AMERICA CORP	02/16/2012	02/16/2012	3000	\$7 73	\$7 73	\$23,183.63	\$23,195.95	\$32.00	\$32.00	Short	Yes
BAC	BANK OF AMERICA CORP	02/16/2012	12/30/2011	1000	\$7 88	\$9 44	\$7,877.87	\$9,440.18	\$1562.31	\$1562.31	Short	Yes
BAC	BANK OF AMERICA CORP	02/16/2012	06/10/2011	1000	\$7 88	\$14 60	\$7,877.88	\$14,596.76	\$1888.00	\$1888.00	Short	Yes
BAC	BANK OF AMERICA CORP	02/16/2012	02/16/2012	1000	\$7 88	\$7 89	\$7,877.87	\$7,886.09	\$22.00	\$22.00	Short	Yes
BAC	BANK OF AMERICA CORP	02/15/2012	06/10/2011	1000	\$8 08	\$14 79	\$8,077.87	\$14,792.64	\$714.77	\$714.77	Short	Yes

BAC	BANK OF AMERICA CORP	02/15/2012	12/30/2011	1000	\$8 08	\$9 64	\$8,077 87	\$9,636 07	\$0 00	\$0 00	Short	Yes	(\$1,558 20)
BAC	BANK OF AMERICA CORP	02/15/2012	06/10/2011	1000	\$8 08	\$14 87	\$8,077 87	\$14,868 52	\$0 00	\$0 00	Short	Yes	(\$6,790 65)
BAC	BANK OF AMERICA CORP	02/15/2012	02/14/2012	336	\$8 08	\$7 97	\$2,714 16	\$2,678 59	\$35 57	\$35 57	Short	No	
BAC	BANK OF AMERICA CORP	02/15/2012	02/14/2012	664	\$8 08	\$7 97	\$5,363 71	\$5,293 39	\$70 32	\$70 32	Short	No	
BAC	BANK OF AMERICA CORP	02/15/2012	02/15/2012	1000	\$8 08	\$8 00	\$8,077 87	\$8,001 98	\$75 89	\$75 89	Short	No	
BAC	BANK OF AMERICA CORP	02/15/2012	12/30/2011	1000	\$8 08	\$9 71	\$8,077 87	\$9,711 96	\$0 00	\$0 00	Short	Yes	(\$1,634 09)
BAC	BANK OF AMERICA CORP	02/14/2012	06/10/2011	1000	\$7 99	\$14 88	\$7,987 87	\$14,884 40	\$0 00	\$0 00	Short	Yes	(\$6,896 53)
BAC	BANK OF AMERICA CORP	02/14/2012	12/30/2011	1000	\$7 99	\$9 73	\$7,987 86	\$9,727 84	\$0 00	\$0 00	Short	Yes	(\$1,739 98)
BAC	BANK OF AMERICA CORP	02/14/2012	02/14/2012	1000	\$7 99	\$7 98	\$7,987 86	\$7,981 98	\$5 88	\$5 88	Short	No	
C 01/19/2013 33 0 CALL CITIGROUP INC NEWS33 EXP 01/19/13		02/10/2012	02/10/2012	2	\$5 02	\$5 88	\$1,004 89	\$1,175 10	(\$170 21)	(\$170 21)	Short	No	
C 01/19/2013 33 0 CALL CITIGROUP INC NEWS33 EXP 01/19/13		02/10/2012	02/10/2012	1	\$5 02	\$5 88	\$502 44	\$587 54	(\$85 10)	(\$85 10)	Short	No	
C 01/19/2013 33 0 CALL CITIGROUP INC NEWS33 EXP 01/19/13		02/10/2012	02/10/2012	2	\$5 02	\$5 88	\$1,004 88	\$1,175 10	(\$170 22)	(\$170 22)	Short	No	
BAC	BANK OF AMERICA CORP	02/09/2012	06/05/2011	1000	\$8 27	\$15 17	\$8,267 85	\$15,170 26	\$0 00	\$0 00	Short	Yes	(\$6,902 41)
BAC	BANK OF AMERICA CORP	02/09/2012	02/08/2012	1000	\$8 27	\$8 07	\$8,267 86	\$8,071 98	\$195 88	\$195 88	Short	No	
C	CITIGROUP INC NEW	02/09/2012	05/01/2011	100	\$34 27	\$44 32	\$3,426 74	\$4,431 70	\$0 00	\$0 00	Short	Yes	(\$1,004 96)
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	02/09/2012	02/08/2012	500	\$8 78	\$8 67	\$4,389 18	\$4,336 49	\$52 69	\$52 69	Short	No	
C	CITIGROUP INC NEW	02/09/2012	02/03/2012	200	\$34 27	\$32 85	\$6,853 49	\$6,570 38	\$283 11	\$283 11	Short	No	
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	02/09/2012	02/08/2012	1400	\$8 78	\$8 67	\$12,289 00	\$12,142 16	\$146 84	\$146 84	Short	No	
SAN	BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1 ORD	02/09/2012	02/08/2012	100	\$8 78	\$8 67	\$877 82	\$867 30	\$10 52	\$10 52	Short	No	
BAC	BANK OF AMERICA CORP	02/09/2012	12/15/2011	1000	\$8 27	\$10 01	\$8,267 86	\$10,013 72	\$0 00	\$0 00	Short	Yes	(\$1,745 86)
C	CITIGROUP INC NEW	02/09/2012	05/01/2011	200	\$34 27	\$44 32	\$6,853 49	\$8,863 20	\$0 00	\$0 00	Short	Yes	(\$2,009 71)
BAC	BANK OF AMERICA CORP	02/07/2012	06/04/2011	1000	\$7 97	\$15 07	\$7,968 65	\$15,066 92	\$0 00	\$0 00	Short	Yes	(\$7,098 27)
BAC	BANK OF AMERICA CORP	02/07/2012	02/07/2012	2000	\$7 97	\$7 93	\$15,937 31	\$15,865 95	\$71 36	\$71 36	Short	No	
BAC	BANK OF AMERICA CORP	02/07/2012	12/14/2011	1000	\$7 97	\$9 91	\$7,968 66	\$9,910 40	\$0 00	\$0 00	Short	Yes	(\$1,941 74)
BAC	BANK OF AMERICA CORP	02/07/2012	02/06/2012	1000	\$7 97	\$7 93	\$7,968 66	\$7,931 98	\$36 68	\$36 68	Short	No	
BAC	BANK OF AMERICA CORP	02/06/2012	12/14/2011	1000	\$7 92	\$9 90	\$7,916 88	\$9,895 30	\$0 00	\$0 00	Short	Yes	(\$1,978 42)
BAC	BANK OF AMERICA CORP	02/06/2012	06/04/2011	1000	\$7 92	\$15 05	\$7,916 87	\$15,051 80	\$0 00	\$0 00	Short	Yes	(\$7,134 93)
BAC	BANK OF AMERICA CORP	02/03/2012	12/14/2011	1000	\$7 62	\$9 70	\$7,616 88	\$9,703 10	\$0 00	\$0 00	Short	Yes	(\$2,086 22)
BAC	BANK OF AMERICA CORP	02/03/2012	01/16/2012	1000	\$7 62	\$7 48	\$7,616 88	\$7,477 09	\$139 79	\$139 79	Short	No	
BAC	BANK OF AMERICA CORP	02/03/2012	06/04/2011	1000	\$7 69	\$14 93	\$7,686 87	\$14,925 70	\$0 00	\$0 00	Short	Yes	(\$7,238 83)
BAC	BANK OF AMERICA CORP	02/03/2012	12/14/2011	1000	\$7 69	\$9 77	\$7,686 88	\$9,769 20	\$0 00	\$0 00	Short	Yes	(\$2,082 32)
JZH	CORP BACKED TR 6 00N33PRUDENTIAL DUE 07/15/33SUBJ TO XTRO REDEMPTION	02/03/2012	01/08/2007	229	\$25 00	\$24 67	\$5,725 00	\$5,649 41	\$75 59	\$75 59	Long	No	
BAC	BANK OF AMERICA CORP	02/02/2012	06/03/2011	1000	\$7 44	\$14 68	\$7,437 88	\$14,680 61	\$0 00	\$0 00	Short	Yes	(\$7,242 73)
BAC	BANK OF AMERICA CORP	02/02/2012	01/16/2012	1000	\$7 44	\$7 45	\$7,437 87	\$7,451 98	\$0 00	\$0 00	Short	Yes	(\$14 11)

BAC	BANK OF AMERICA CORP	02/02/2012	12/24/2011	1000	\$7.44	\$9.68	\$7,437.87	\$9,678.00	\$0.00	\$0.00	Short	Yes	(\$2,240.13)
C	CITIGROUP INC NEW	02/01/2012	11/03/2011	500	\$31.95	\$30.67	\$15,976.24	\$15,333.40	\$642.84	\$642.84	Short	No	
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	01/30/2012	10/07/2011	100	\$11.76	\$18.93	\$1,176.38	\$1,893.19	\$0.00	\$0.00	Short	Yes	(\$716.81)
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	01/30/2012	10/07/2011	400	\$11.76	\$19.34	\$4,705.53	\$7,735.99	(\$3,030.46)	(\$3,030.46)	Short	No	
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	01/30/2012	10/07/2011	400	\$11.76	\$18.93	\$4,705.53	\$7,572.76	\$0.00	\$0.00	Short	Yes	(\$2,867.23)
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	01/30/2012	10/10/2011	100	\$11.76	\$17.87	\$1,176.38	\$1,787.19	(\$610.81)	(\$610.81)	Short	No	
F	FORD MOTOR COMPANY NEW	01/27/2012	02/11/2011	600	\$12.39	\$16.50	\$7,436.29	\$9,897.57	(\$2,461.28)	(\$2,461.28)	Short	No	
F	FORD MOTOR COMPANY NEW	01/27/2012	03/11/2011	400	\$12.39	\$16.50	\$4,957.52	\$6,598.38	(\$1,640.86)	(\$1,640.86)	Short	No	
BAC	BANK OF AMERICA CORP	01/25/2012	06/02/2011	1000	\$7.35	\$14.58	\$7,346.88	\$14,575.50	\$0.00	\$0.00	Short	Yes	(\$7,228.62)
BAC	BANK OF AMERICA CORP	01/25/2012	12/23/2011	1000	\$7.35	\$9.57	\$7,346.89	\$9,572.91	\$0.00	\$0.00	Short	Yes	(\$2,226.02)
BAC	BANK OF AMERICA CORP	01/24/2012	06/02/2011	1000	\$7.21	\$14.50	\$7,207.87	\$14,500.40	\$0.00	\$0.00	Short	Yes	(\$7,292.53)
BAC	BANK OF AMERICA CORP	01/24/2012	01/24/2012	1000	\$7.21	\$7.11	\$7,207.88	\$7,111.98	\$95.90	\$95.90	Short	No	
BAC	BANK OF AMERICA CORP	01/24/2012	12/23/2011	1000	\$7.21	\$9.50	\$7,207.88	\$9,497.81	\$0.00	\$0.00	Short	Yes	(\$2,289.93)
BAC	BANK OF AMERICA CORP	01/23/2012	06/01/2011	1000	\$7.20	\$14.59	\$7,196.88	\$14,585.29	\$0.00	\$0.00	Short	Yes	(\$7,388.41)
BAC	BANK OF AMERICA CORP	01/23/2012	12/22/2011	1000	\$7.20	\$9.58	\$7,196.89	\$9,582.72	\$0.00	\$0.00	Short	Yes	(\$2,385.83)
BAC	BANK OF AMERICA CORP	01/19/2012	06/01/2011	1000	\$6.94	\$14.45	\$6,936.88	\$14,449.20	\$0.00	\$0.00	Short	Yes	(\$7,512.32)
BAC	BANK OF AMERICA CORP	01/19/2012	12/22/2011	1000	\$6.94	\$9.45	\$6,936.90	\$9,446.64	\$0.00	\$0.00	Short	Yes	(\$2,509.74)
SSO	PROSHARES ULTRA S&P 500	01/19/2012	01/18/2012	300	\$50.29	\$50.21	\$15,086.76	\$15,062.76	\$24.00	\$24.00	Short	No	
CSCO	CISCO SYSTEMS INC	01/19/2012	02/10/2011	1000	\$19.88	\$19.39	\$19,883.67	\$19,385.95	\$497.72	\$497.72	Short	No	
BAC	BANK OF AMERICA CORP	01/12/2012	01/12/2012	1000	\$6.91	\$6.90	\$6,907.89	\$6,901.98	\$5.91	\$5.91	Short	No	
BAC	BANK OF AMERICA CORP	01/12/2012	05/25/2011	1000	\$6.91	\$14.19	\$6,907.88	\$14,190.01	\$0.00	\$0.00	Short	Yes	(\$7,282.13)
BAC	BANK OF AMERICA CORP	01/12/2012	12/15/2011	1000	\$6.72	\$8.97	\$6,717.89	\$8,971.55	\$0.00	\$0.00	Short	Yes	(\$2,253.66)
BAC	BANK OF AMERICA CORP	01/12/2012	01/12/2012	1000	\$6.72	\$6.69	\$6,717.88	\$6,691.98	\$25.90	\$25.90	Short	No	
BAC	BANK OF AMERICA CORP	01/12/2012	12/15/2011	1000	\$6.91	\$9.19	\$6,907.88	\$9,187.45	\$0.00	\$0.00	Short	Yes	(\$2,279.57)
C	CITIGROUP INC NEW	01/12/2012	04/09/2011	300	\$31.19	\$42.65	\$9,356.25	\$12,795.78	\$0.00	\$0.00	Short	Yes	(\$3,439.53)
C	CITIGROUP INC NEW	01/12/2012	10/13/2011	200	\$31.19	\$27.36	\$6,237.50	\$5,471.38	\$766.12	\$766.12	Short	No	
BAC	BANK OF AMERICA CORP	01/12/2012	05/25/2011	1000	\$6.72	\$13.97	\$6,717.89	\$13,974.12	\$0.00	\$0.00	Short	Yes	(\$7,256.23)
BAC	BANK OF AMERICA CORP	01/12/2012	12/15/2011	1000	\$7.00	\$9.28	\$6,997.88	\$9,283.35	\$0.00	\$0.00	Short	Yes	(\$2,285.47)
BAC	BANK OF AMERICA CORP	01/12/2012	01/12/2012	1000	\$7.00	\$6.99	\$6,997.89	\$6,991.98	\$5.91	\$5.91	Short	No	
BAC	BANK OF AMERICA CORP	01/12/2012	05/25/2011	1000	\$7.00	\$14.29	\$6,997.88	\$14,285.90	\$0.00	\$0.00	Short	Yes	(\$7,288.02)
BAC	BANK OF AMERICA CORP	01/11/2012	12/14/2011	1000	\$6.63	\$8.92	\$6,626.90	\$8,918.27	\$0.00	\$0.00	Short	Yes	(\$2,291.37)
BAC	BANK OF AMERICA CORP	01/11/2012	05/24/2011	1000	\$6.63	\$13.92	\$6,626.90	\$13,920.81	\$0.00	\$0.00	Short	Yes	(\$7,293.91)
BAC	BANK OF AMERICA CORP	01/06/2012	12/10/2011	1000	\$6.17	\$8.47	\$6,166.91	\$8,467.20	\$0.00	\$0.00	Short	Yes	(\$2,300.29)

BAC	BANK OF AMERICA CORP	01/06/2012	05/20/2011	1000	\$6.17	\$13.47	\$6,166.90	\$13,469.74	\$0.00	\$0.00	Short	Yes	(\$7,302.84)
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	119	\$3.67	\$4.65	\$317.60	\$553.59	(\$235.99)	(\$235.99)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	100	\$2.67	\$4.65	\$266.89	\$465.20	(\$198.31)	(\$198.31)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	181	\$3.67	\$4.65	\$483.08	\$842.01	(\$358.93)	(\$358.93)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	132	\$2.67	\$6.95	\$352.30	\$917.38	(\$565.08)	(\$565.08)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	15	\$2.67	\$4.65	\$40.04	\$69.78	(\$29.74)	(\$29.74)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	700	\$2.67	\$4.65	\$1,868.27	\$3,256.38	(\$1,388.11)	(\$1,388.11)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	400	\$2.67	\$8.34	\$1,067.59	\$3,334.28	(\$2,266.69)	(\$2,266.69)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	404	\$3.67	\$4.65	\$1,078.26	\$1,879.40	(\$801.14)	(\$801.14)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	500	\$2.67	\$4.65	\$1,334.47	\$2,325.99	(\$991.52)	(\$991.52)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	181	\$2.67	\$4.65	\$483.08	\$842.01	(\$358.93)	(\$358.93)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	100	\$2.67	\$4.65	\$266.89	\$465.20	(\$198.31)	(\$198.31)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	400	\$2.67	\$8.11	\$1,067.59	\$3,245.57	(\$2,177.98)	(\$2,177.98)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	400	\$2.67	\$8.20	\$1,067.59	\$3,278.95	(\$2,211.36)	(\$2,211.36)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	100	\$2.67	\$4.65	\$266.89	\$465.20	(\$198.31)	(\$198.31)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	07/26/2011	600	\$2.67	\$4.65	\$1,601.97	\$2,791.19	(\$1,189.22)	(\$1,189.22)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	326	\$2.67	\$8.36	\$870.08	\$2,726.34	(\$1,856.26)	(\$1,856.26)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	400	\$2.67	\$8.29	\$1,067.60	\$3,315.25	(\$2,247.65)	(\$2,247.65)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	400	\$2.67	\$8.86	\$1,067.59	\$3,542.78	(\$2,475.19)	(\$2,475.19)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	342	\$2.67	\$8.35	\$912.79	\$2,856.42	(\$1,943.63)	(\$1,943.63)	Short	No	
FWS	FORD MOTOR CO 13 WTSWARRANTS EXP 01/01/13	01/03/2012	05/04/2011	200	\$2.67	\$8.40	\$533.75	\$1,680.45	(\$1,146.70)	(\$1,146.70)	Short	No	
SSO	PROSHARES ULTRA S&P 500	12/28/2011	12/28/2011	500	\$46.23	\$46.27	\$23,113.61	\$23,133.29	(\$19.68)	(\$19.68)	Short	Yes	(\$11.81)
SSO	PROSHARES ULTRA S&P 500	12/28/2011	12/28/2011	500	\$46.17	\$46.19	\$23,083.61	\$23,095.95	\$12.34	\$12.34	Short	Yes	
SSO	PROSHARES ULTRA S&P 500	12/28/2011	12/28/2011	500	\$46.50	\$46.49	\$23,248.60	\$23,245.95	\$2.65	\$2.65	Short	No	
SSO	PROSHARES ULTRA S&P 500	12/28/2011	12/28/2011	500	\$46.38	\$46.37	\$23,188.60	\$23,185.95	\$2.65	\$2.65	Short	No	
XLF	SECTOR SPDR FINCL SELECTSHARES OF BENEFICIAL INT	12/27/2011	03/17/2011	300	\$13.11	\$16.03	\$3,932.97	\$4,808.43	(\$875.46)	(\$875.46)	Short	No	
SSO	PROSHARES ULTRA S&P 500	12/27/2011	12/07/2011	300	\$47.19	\$46.25	\$14,156.78	\$13,876.35	\$280.43	\$280.43	Short	No	
SSO	PROSHARES ULTRA S&P 500	12/22/2011	12/12/2011	200	\$45.89	\$45.17	\$9,177.44	\$9,034.38	\$143.06	\$143.06	Short	No	
SSO	PROSHARES ULTRA S&P 500	12/22/2011	12/07/2011	300	\$45.89	\$46.97	\$13,766.17	\$14,090.95	\$324.78	\$324.78	Short	Yes	
BAC	BANK OF AMERICA CORP	12/07/2011	11/10/2011	1000	\$5.84	\$8.05	\$5,837.90	\$8,052.12	\$2,214.22	\$2,214.22	Short	Yes	
BAC	BANK OF AMERICA CORP	12/07/2011	12/06/2011	1000	\$5.84	\$5.85	\$5,837.91	\$5,851.98	(\$14.07)	(\$14.07)	Short	No	
BAC	BANK OF AMERICA CORP	12/07/2011	04/20/2011	1000	\$5.84	\$13.05	\$5,837.90	\$13,054.67	\$7,216.77	\$7,216.77	Short	Yes	
SSO	PROSHARES ULTRA S&P 500	12/05/2011	12/02/2011	300	\$46.70	\$46.68	\$14,009.76	\$14,003.95	\$5.81	\$5.81	Short	No	
SSO	PROSHARES ULTRA S&P 500	12/05/2011	12/02/2011	300	\$46.70	\$45.98	\$14,009.75	\$13,793.95	\$215.80	\$215.80	Short	No	

C	CTIGROUP INC NEW	11/29/2011	03/05/2011	300	\$25.12	\$46.17	\$7,537.07	\$13,849.96	(\$6,312.89)	(\$6,312.89) Short No
C	CTIGROUP INC NEW	11/29/2011	02/11/2011	100	\$25.12	\$54.28	\$2,512.36	\$5,428.26	(\$2,915.90)	(\$2,915.90) Short No
C	CTIGROUP INC NEW	11/29/2011	01/31/2011	200	\$25.12	\$51.14	\$5,024.72	\$10,227.31	(\$5,202.59)	(\$5,202.59) Short No
C	CTIGROUP INC NEW	11/29/2011	03/15/2011	200	\$25.12	\$45.47	\$5,024.70	\$9,093.33	(\$4,068.63)	(\$4,068.63) Short No
C	CTIGROUP INC NEW	11/29/2011	02/08/2011	200	\$25.12	\$49.24	\$5,024.72	\$9,848.10	(\$4,823.38)	(\$4,823.38) Short No
20030N309	COMCAST SR NOTE 7.0000** CALLED ** @ \$25.00/SHEFF 11/25/11	11/25/2011	06/26/2006	500	\$25.00	\$24.72	\$12,500.00	\$12,359.95	\$140.05	Long No
BAC	BANK OF AMERICA CORP	11/23/2011	04/07/2011	1000	\$5.14	\$12.34	\$5,136.93	\$12,339.61	\$0.00	\$0.00 Short Yes
BAC	BANK OF AMERICA CORP	11/23/2011	10/28/2011	1000	\$5.14	\$7.34	\$5,136.92	\$7,337.06	\$0.00	\$0.00 Short Yes
SPXU	PROSHARES ULTRA PRO ETF SHORT S&P 500	11/21/2011	10/05/2011	500	\$16.05	\$20.69	\$8,023.90	\$10,345.95	\$0.00	\$0.00 Short Yes
BAC	BANK OF AMERICA CORP	11/15/2011	04/07/2011	1000	\$6.07	\$12.35	\$6,067.90	\$12,345.52	\$0.00	\$0.00 Short Yes
BAC	BANK OF AMERICA CORP	11/15/2011	11/14/2011	1000	\$6.07	\$6.06	\$6,067.90	\$6,061.98	\$5.92	\$5.92 Short No
BAC	BANK OF AMERICA CORP	11/15/2011	10/28/2011	1000	\$6.07	\$7.34	\$6,067.90	\$7,342.98	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	11/08/2011	01/19/2011	300	\$31.11	\$49.97	\$9,332.25	\$14,992.35	(\$5,660.10)	(\$5,660.10) Short No
C	CTIGROUP INC NEW	11/08/2011	01/16/2011	100	\$31.11	\$48.40	\$3,110.75	\$4,840.41	(\$1,729.66)	(\$1,729.66) Short No
C	CTIGROUP INC NEW	11/08/2011	04/15/2011	100	\$31.11	\$51.30	\$3,110.75	\$5,120.90	(\$2,010.15)	(\$2,010.15) Short No
BAC	BANK OF AMERICA CORP	10/21/2011	10/21/2011	2000	\$6.61	\$6.59	\$13,215.78	\$13,183.96	\$31.82	\$31.82 Short No
BAC	BANK OF AMERICA CORP	10/21/2011	03/31/2011	1000	\$6.61	\$11.61	\$6,607.89	\$11,610.44	\$0.00	\$0.00 Short Yes
HCF	HIGHLAND CREDIT STRTGY	10/18/2011	10/13/2011	1980	\$6.05	\$5.99	\$11,972.88	\$11,866.09	\$106.79	\$106.79 Short No
HCF	HIGHLAND CREDIT STRTGY	10/18/2011	04/15/2011	10	\$6.05	\$7.88	\$60.47	\$78.77	\$0.00	\$0.00 Short Yes
HCF	HIGHLAND CREDIT STRTGY	10/18/2011	04/15/2011	10	\$6.05	\$7.88	\$60.47	\$78.78	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/04/2011	200	\$27.35	\$48.85	\$5,469.42	\$9,769.47	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/16/2011	100	\$27.35	\$48.40	\$2,734.71	\$4,839.92	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/12/2011	34	\$27.35	\$45.45	\$929.80	\$1,545.24	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/15/2011	200	\$27.35	\$51.64	\$5,469.42	\$10,328.84	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	04/15/2011	100	\$27.40	\$52.00	\$2,739.75	\$5,195.92	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/04/2011	200	\$27.40	\$48.85	\$5,479.52	\$9,770.45	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	04/12/2011	200	\$27.40	\$46.96	\$5,479.52	\$9,391.44	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	03/15/2011	200	\$27.35	\$45.46	\$5,469.42	\$9,092.36	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/12/2011	33	\$27.35	\$48.46	\$902.45	\$1,599.06	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/12/2011	133	\$27.35	\$46.96	\$3,637.17	\$6,246.16	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	03/05/2011	300	\$27.35	\$46.16	\$8,204.13	\$13,848.50	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	10/13/2011	700	\$27.35	\$27.35	\$19,142.96	\$19,146.39	\$0.00	\$0.00 Short Yes
C	CTIGROUP INC NEW	10/13/2011	01/19/2011	300	\$27.35	\$49.97	\$8,204.13	\$14,990.89	\$0.00	\$0.00 Short Yes

C	CTIGROUP INC NEW	10/13/2011	04/09/2011	300	\$27.35	\$42.65	\$8,204.13	\$12,794.32	\$0.00	\$0.00	Short	Yes	(\$4,590.19)	
C	CTIGROUP INC NEW	10/11/2011	01/10/2011	200	\$28.07	\$47.67	\$5,613.52	\$9,533.58	\$0.00	\$0.00	Short	Yes	(\$3,920.06)	
C	CTIGROUP INC NEW	10/11/2011	01/04/2011	200	\$28.07	\$49.85	\$5,613.52	\$9,970.61	\$0.00	\$0.00	Short	Yes	(\$4,357.09)	
C	CTIGROUP INC NEW	10/11/2011	01/14/2011	100	\$28.07	\$49.11	\$2,806.74	\$4,911.46	\$0.00	\$0.00	Short	Yes	(\$2,104.72)	
C	CTIGROUP INC NEW	10/11/2011	01/14/2011	100	\$27.11	\$49.16	\$2,710.75	\$4,916.02	\$0.00	\$0.00	Short	Yes	(\$2,205.27)	
C	CTIGROUP INC NEW	10/11/2011	01/04/2011	200	\$27.11	\$50.85	\$5,421.52	\$10,169.75	\$0.00	\$0.00	Short	Yes	(\$4,748.23)	
C	CTIGROUP INC NEW	10/11/2011	01/10/2011	200	\$27.11	\$47.71	\$5,421.52	\$9,542.72	\$0.00	\$0.00	Short	Yes	(\$4,121.20)	
BAC	BANK OF AMERICA CORP	10/10/2011	03/20/2011	1000	\$6.17	\$11.19	\$6,167.90	\$11,186.35	\$0.00	\$0.00	Short	Yes	(\$5,018.45)	
BAC	BANK OF AMERICA CORP	10/10/2011	10/07/2011	2000	\$6.17	\$6.03	\$12,335.79	\$12,063.96	\$271.83	\$271.83	Short	No		
BAC	BANK OF AMERICA CORP	10/07/2011	03/20/2011	1000	\$6.39	\$11.54	\$6,387.90	\$11,542.26	\$0.00	\$0.00	Short	Yes	(\$5,154.36)	
BAC	BANK OF AMERICA CORP	10/07/2011	10/07/2011	2000	\$6.39	\$6.38	\$12,775.78	\$12,763.96	\$11.82	\$11.82	Short	No		
BAC	BANK OF AMERICA CORP	10/05/2011	03/18/2011	1000	\$5.74	\$10.90	\$5,737.90	\$10,898.17	\$0.00	\$0.00	Short	Yes	(\$5,160.27)	
BAC	BANK OF AMERICA CORP	10/05/2011	10/05/2011	2000	\$5.74	\$5.53	\$11,475.82	\$11,063.96	\$411.86	\$411.86	Short	No		
BAC	BANK OF AMERICA CORP	10/05/2011	03/18/2011	1000	\$5.62	\$10.98	\$5,617.91	\$10,984.09	\$0.00	\$0.00	Short	Yes	(\$5,366.18)	
Y64354205	OCEAN RIG UDW INC FTTRADES W/ SEC# 3916-146	10/05/2011	09/19/2011	0	\$15.71	\$14.73	\$5.82	\$5.46	\$0.36	\$0.36	Short	No		
BAC	BANK OF AMERICA CORP	10/05/2011	10/03/2011	2000	\$5.62	\$5.44	\$11,235.82	\$10,872.10	\$363.72	\$363.72	Short	No		
BAC	BANK OF AMERICA CORP	10/04/2011	03/18/2011	1000	\$5.61	\$11.18	\$5,607.91	\$11,180.01	\$0.00	\$0.00	Short	Yes	(\$5,572.10)	
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	10/04/2011	10/03/2011	500	\$23.28	\$21.09	\$11,638.83	\$10,545.95	\$1,092.88	\$1,092.88	Short	No		
AMR	A M R CORPORATION	10/04/2011	10/04/2011	5000	\$2.29	\$2.19	\$11,443.83	\$10,955.95	\$487.88	\$487.88	Short	No		
BAC	BANK OF AMERICA CORP	10/04/2011	10/03/2011	2000	\$5.61	\$5.63	\$11,215.82	\$11,263.96	\$0.00	\$0.00	Short	Yes	(\$48.14)	
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	10/03/2011	10/03/2011	500	\$20.99	\$20.39	\$10,493.85	\$10,195.95	\$297.90	\$297.90	Short	No		
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	10/03/2011	10/03/2011	500	\$21.99	\$20.49	\$10,993.84	\$10,245.95	\$747.89	\$747.89	Short	No		
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	10/03/2011	08/22/2011	500	\$20.89	\$21.29	\$10,443.85	\$10,646.80	\$0.00	\$0.00	Short	Yes	(\$202.95)	
SPXU	PROSHARES ULTRAPRO ETF SHORT S&P 500	10/03/2011	08/22/2011	500	\$20.89	\$20.18	\$10,443.85	\$10,088.90	\$354.95	\$354.95	Short	No		
Check Totals										\$2,515,523.95	\$2,936,917.30	(\$36,595.23)	(\$1,923.28)	(\$384,798.12)

Part IV Break out		Long Term Proceeds	\$55,838.90
		Long Term Cost Basis	\$57,762.18
		Long Term Realized Loss	(\$1,923.28)
Disallowed		Short Term Proceeds	\$874,983.21
		Short Term Cost Basis	\$1,259,781.33
		Short Term Realized Loss	(\$384,798.12)
Allowed		Short Term Proceeds	\$1,584,701.84
		Short Term Cost Basis	\$1,619,373.79
		Short Term Realized Loss	(\$34,671.95)
		Net realized Loss	(\$36,595.23)