Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning OCT 1, 2011, and ending SEP 30, 2012

Name of foundation MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	A Employer identification number 59-2061386
Number and street (or P O box number if mail is not delivered to street address) 5700 70TH AVENUE NORTH — Room/suite	B Telephone number 727-545-9555
City or town, state, and ZIP code PINELLAS PARK, FL 33781	C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 6,156,712. — J Accounting method ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	200,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	7,394.	7,394.		Statement 1
	4 Dividends and interest from securities	193,635.	191,751.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	31,618.			
	b Gross sales price for all assets on line 6a 2,794,166.				
	7 Capital gain net income (from Part IV, line 2)		31,618.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	6,217.	6,884.		Statement 3
	12 **Total.** Add lines 1 through 11	438,864.	237,647.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest	4.	4.		0.
	18 Taxes Stmt 4	4,511.	44.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 5	32,706.	32,356.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	37,221.	32,404.		0.
	25 Contributions, gifts, grants paid	290,513.			290,513.
	26 **Total expenses and disbursements.** Add lines 24 and 25	327,734.	32,404.		290,513.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	111,130.			
	b **Net investment income** (if negative, enter -0-)		205,243.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	415,037.	354,003.	357,614.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations Stmt 6	100,000.	0.	0.
b	Investments - corporate stock Stmt 7	917,472.	845,725.	959,803.
c	Investments - corporate bonds Stmt 8	1,719,041.	2,169,334.	2,295,383.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other Stmt 9	2,398,288.	2,291,098.	2,530,458.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
15	Other assets (describe ▶ Statement 10)	12,646.	13,454.	13,454.
16	**Total assets** (to be completed by all filers)	5,562,484.	5,673,614.	6,156,712.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶ ADVANCES)	28,264.	28,264.	
23	**Total liabilities** (add lines 17 through 22)	28,264.	28,264.	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	5,534,220.	5,645,350.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30	**Total net assets or fund balances**	5,534,220.	5,645,350.	
31	**Total liabilities and net assets/fund balances**	5,562,484.	5,673,614.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,534,220.
2	Enter amount from Part I, line 27a	2	111,130.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	5,645,350.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,645,350.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	See Attached Statements			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,794,166.		2,762,548.	31,618.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				31,618.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	31,618.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	288,047.	5,808,118.	.049594
2009	275,933.	5,322,657.	.051841
2008	202,124.	4,899,543.	.041254
2007	324,425.	6,293,189.	.051552
2006	245,431.	6,612,940.	.037114

2 Total of line 1, column (d)	2	.231355
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046271
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	5,819,678.
5 Multiply line 4 by line 3	5	269,282.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,052.
7 Add lines 5 and 6	7	271,334.
8 Enter qualifying distributions from Part XII, line 4	8	290,513.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	2,052.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	2,052.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,052.
6	Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a 4,408.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	4,408.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,356.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 2,052. **Refunded** ▶		11	304.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LESLIE M. ROGAS Telephone no ▶ 727-545-9555

Located at ▶ 5700 70TH AVENUE NORTH, PINELLAS PARK, FL ZIP+4 ▶ 33781

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

		Yes	No
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
If "Yes," list the years ▶ ______, ______, ______, ______			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGIE R. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	CHAIRMAN/TRUSTEE 4.00	0.	0.	0.
CAROL R. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	SECRETARY/TRUSTEE 1.00	0.	0.	0.
KEVIN A. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	VICE PRESIDENT/TRUSTEE 1.00	0.	0.	0.
JASON E. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions	
3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	5,726,703.
b	Average of monthly cash balances	1b	179,763.
c	Fair market value of all other assets	1c	1,837.
d	**Total** (add lines 1a, b, and c)	1d	5,908,303.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,908,303.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	88,625.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,819,678.
6	**Minimum investment return.** Enter 5% of line 5	6	290,984.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	290,984.
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a: 2,052.		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	2,052.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	288,932.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	288,932.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	288,932.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	290,513.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	290,513.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	2,052.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	288,461.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				288,932.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010 3,574.				
f Total of lines 3a through e	3,574.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 290,513.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	5,155.			
d Applied to 2011 distributable amount				285,358.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	3,574.			3,574.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,155.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	5,155.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011 5,155.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PHOENIX HOUSES OF FLORIDA, INC. 5501 W. WATERS AVE., SUITE 406 TAMPA, FL 33634	N/A	PUBLIC	PROVIDE SUBSTANCE ABUSE TREATMENT AND EDUCATION	500.
BROWARD HOUSE 1726 SE 3RD AVENUE FT LAUDERDALE, FL 33316	N/A	PUBLIC	SUPPORT SERVICES FOR HIV/AIDS COMMUNITY	200.
ALL CHILDREN'S HOSPITAL FOUNDATION P.O. BOX 3142 ST PETERSBURG, FL 33731	N/A	PUBLIC	MEDICAL RESEARCH AND SUPPORT	1,100.
ART FESTIVAL BETH-EL 400 PASADENA AVENUE SOUTH ST PETERSBURG, FL 33707	N/A	PUBLIC	ADVANCEMENT OF ART	500.
BETH DILLINGER FOUNDATION P.O. BOX 48533 ST PETERSBURG, FL 33743	N/A	PUBLIC	PROVIDE SCHOLARSHIPS TO YOUTH IN NEED	7,250.
Total See continuation sheet(s)			▶ 3a	290,513.
b Approved for future payment				
None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	7,394.	
4 Dividends and interest from securities			14	193,635.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	6,217.	
8 Gain or (loss) from sales of assets other than inventory			18	31,618.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		238,864.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 238,864.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Margie R Green — 12/5/13 — Chairman

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LESLIE M. ROGAS	Leslie M Rogas	1/24/13		P00149291

Firm's name ▶ LESLIE M. ROGAS — Firm's EIN ▶

Firm's address ▶ 2926 BAYSHORE VISTA DRIVE TAMPA, FL 33611 — Phone no 813-786-7942

123622 12-02-11

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2011

Name of the organization	**Employer identification number**
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	[X] 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year. ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROTHMAN CHARITABLE LEAD TRUST 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization

MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.

Employer identification number

59-2061386

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
		$	
		$	
		$	
		$	
		$	

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of $1,000 or less for the year (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BROOKWOOD FLORIDA-CENTRAL, INC. 901 SEVENTH AVENUE SOUTH ST PETERSBURG, FL 33705	N/A	PUBLIC	RESIDENTIAL PROGRAM TO HELP ABUSED & NEGLECTED TEEN GIRLS	500.
CHABAD JEWISH CENTER OF GREATER ST. PETERSBURG 4010 PARK ST NORTH ST PETERSBURG, FL 33709	N/A	PUBLIC	ADVANCEMENT OF RELIGION	1,800.
CLOTHES TO KIDS, INC. 1059 NORTH HERCULES AVENUE CLEARWATER, FL 33765	N/A	PUBLIC	PROVIDE CLOTHING TO LOW-INCOME SCHOOL CHILDREN	15,000.
COMMUNITY TAMPA BAY 1499 BEACH DRIVE SOUTHEAST UNIT C ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTE DIALOGUE & RESPECT AMONG ALL CULTURES/RELIGIONS	7,500.
CONGREGATION B'NAI ISRAEL 300 58TH STREET NORTH ST PETERSBURG, FL 33710	N/A	PUBLIC	ADVANCEMENT OF RELIGION	500.
JDRF 9600 KOGER BLVD., SUITE 103 ST PETERSBURG, FL 33702	N/A	PUBLIC	FUNDING FOR DIABETES RESEARCH	250.
DAVID A. STRAZ, JR. CENTER FOR THE PERFORMING ARTS 1010 NORTH W.C. MACINNES PLACE TAMPA, FL 33602	N/A	PUBLIC	ARTISTIC AND EDUCATIONAL PROGRAMMING	5,500.
THE DALI MUSEUM ONE DALI BOULEVARD ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS	200.
DRUG FREE AMERICA FOUNDATION, INC. 5999 CENTRAL AVENUE, SUITE 301 ST PETERSBURG, FL 33710	N/A	PUBLIC	REDUCE ILLEGAL DRUG USE AND DRUG ADDICTION	283.
FLORIDA BLOOD SERVICES 10100 DR. MARTIN LUTHER KING JR STREET N ST PETERSBURG, FL 33716	N/A	PUBLIC	SUPPORT COLLECTION OF BLOOD AND PLATELETS	1,000.
Total from continuation sheets				280,963.

MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC. 59-2061386

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FLORIDA WHEELCHAIR AWARENESS 1764 EZELLE AVENUE LARGO, FL 33770	N/A	PUBLIC	SPONSOR WHEELCHAIR SPORT ACTIVITIES	100.
FREEFALL THEATRE COMPANY 6099 CENTRAL AVENUE ST PETERSBURG, FL 33710	N/A	PUBLIC	SUPPORT OF THE PERFORMING ARTS	25,000.
GIRL SCOUTS OF WEST CENTRAL FLORIDA P.O. BOX 18066 TAMPA, FL 33679	N/A	PUBLIC	WOMEN OF DISTINCTION PROGRAM	300.
FESTIVAL OF STATES P.O. BOX 1731 ST PETERSBURG, FL 33731	N/A	PUBLIC	ARTS AND MUSIC PROGRAMS	830.
GULF COAST JEWISH FAMILY & COMMUNITY SERVICES 14041 ICOT BOULEVARD CLEARWATER, FL 33760	N/A	PUBLIC	PROVIDE ESSENTIAL SERVICES TO FAMILIES IN NEED	87,500.
HADASSAH THE WOMEN'S ZIONEST ORGANIZATION OF AMERICA, INC. 50 WEST 58 STREET NEW YORK, NY 10019	N/A	PUBLIC	EDUCATION AND MEDICAL PROGRAMS, HELP DISADVANTAGED CHILDREN	1,000.
FLORIDA HOLOCAUST MUSEUM 55 FIFTH STREET SOUTH ST PETERSBURG, FL 33701	N/A	PUBLIC	EDUCATION ABOUT TOLERANCE AND DIVERSITY	1,000.
JEWISH FEDERATION OF PINELLAS & PASCO COUNTIES, FL 13191 STARKEY ROAD, SUITE 8 LARGO, FL 33773	N/A	PUBLIC	PROVIDE CONNECTION, ADVOCACY, EDUCATION AND CARE TO JEWISH COMMUNITY	25,000.
LEEPA-RATTNER MUSEUM OF ART 600 KLOSTERMAN ROAD TARPON SPRINGS, FL 34689	N/A	PUBLIC	PROMOTION OF THE ARTS	500.
MENORAH MANOR FOUNDATION 255 59TH STREET NORTH ST PETERSBURG, FL 33710	N/A	PUBLIC	SENIOR LIVING FACILITY	1,200.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LIFEPATH HOSPICE 3010 W. AZEELE STREET TAMPA, FL 33609	N/A	PUBLIC	PROVIDE END-OF-LIFE MEDICAL CARE	100.
MUSEUM OF FINE ARTS 255 BEACH DRIVE NE ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS	250.
ORT AMERICA 75 MAIDEN LANE, 10TH FLOOR NEW YORK, NY 10038	N/A	PUBLIC	SUPPORT EDUCATION PROGRAMS	1,000.
PERSONAL ENRICHMENT THROUGH MENTAL HEALTH SERVICES, INC. 11254 58TH STREET NORTH PINELLAS PARK, FL 33782	N/A	PUBLIC	PACE AWARDS/CHILDREN SERVICES PROGRAM	1,000.
PINELLAS EDUCATION FOUNDATION 12090 STARKEY ROAD LARGO, FL 33773	N/A	PUBLIC	EDUCATIONAL PROGRAMS AND EVENTS	20,000.
HILLELS OF THE FLORIDA SUNCOAST P.O. BOX 290756 TAMPA, FL 33687	N/A	PUBLIC	JUDAIC ART SCHOLARSHIP	7,700.
ST. PETERSBURG FREE CLINIC 863 3RD AVENUE NORTH ST PETERSBURG, FL 33701	N/A	PUBLIC	PROVIDE BASIC NEEDS - FOOD,SHELTER AND MEDICAL CARE	1,850.
SUNCOAST HOSPICE FOUNDATION 5771 ROOSEVELT BOULEVARD CLEARWATER, FL 33760	N/A	PUBLIC	PROVIDE HOSPICE SERVICES	1,100.
TAMPA BAY RESEARCH INSTITUTE 10900 ROOSEVELT BLVD. N. ST PETERSBURG, FL 33716	N/A	PUBLIC	STUDY, CURE & PREVENTION OF CHRONIC DISEASES	15,000.
TEMPLE BETH-EL 400 PASADENA AVENUE SOUTH ST PETERSBURG, FL 33707	N/A	PUBLIC	ADVANCEMENT OF RELIGION	10,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OPERATION PAR, INC. 6655 66TH STREET NORTH PINELLAS PARK, FL 33781	N/A	PUBLIC	TAKE STOCK IN A DRUG FREE COMMUNITY CAMPAIGN	1,000.
THE FLORIDA ORCHESTRA, INC. 244 SECOND AVE NORTH, SUITE 420 ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS	20,000.
MENORAH MANOR FOUNDATION 255 59TH STREET NORTH ST PETERSBURG, FL 33710	N/A	PUBLIC	INN ON THE POND ASSISTED LIVING RESIDENCE	25,000.
THE PALLADIUM AT ST. PETERSBURG COLLEGE 253 FIFTH AVENUE NORTH ST PETERSBURG, FL 33701	N/A	PUBLIC	CULTURALLY DIVERSE MUSICAL ENTERTAINMENT	1,500.
Total from continuation sheets				

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	5000 SH RICI LIRN ISSUER BAC	P	07/30/09	10/10/11
b	10000 SH OIL SVCS ARN ISSUER SEK	P	05/26/11	11/14/11
c	5000 SH COPPER CLIRN ISSUER BAC	P	04/28/11	12/06/11
d	5000 SH RICI CLIRN ISSUER BAC	P	12/22/09	01/13/12
e	98000 CD GOLDMAN SACHS BK	P	01/26/09	02/06/12
f	5000 SH COPPER ARN ISSUER EKS	P	12/21/10	02/08/12
g	100000 SH FEDERAL HOME LN MTG CORP	P	04/04/11	04/16/12
h	824 SH NUVEEN PREM MUNI INC	P	01/07/11	04/17/12
i	599 SH NUVEEN PREM MUNI INC	P	01/07/11	04/17/12
j	96 SH NUVEEN PREM MUNI INC	P	01/07/11	04/17/12
k	1105 SH NUVEEN PREM MUNI INC	P	01/07/11	04/17/12
l	376 SH NUVEEN PREM MUNI INC	P	01/07/11	04/17/12
m	250 SH ISHARES IBOXX $	P	04/08/09	04/17/12
n	3900 SH CLOUGH GLBL OPPTYS	P	01/14/09	04/17/12
o	1800 SH CLOUGH GLBL OPPTYS	P	01/14/09	04/17/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	61,249.		50,000.	11,249.
b	83,578.		100,000.	<16,422.>
c	40,199.		50,000.	<9,801.>
d	66,934.		50,000.	16,934.
e	98,000.		98,000.	0.
f	44,400.		50,000.	<5,600.>
g	100,000.		100,000.	0.
h	12,063.		10,605.	1,458.
i	8,775.		7,709.	1,066.
j	1,406.		1,232.	174.
k	16,188.		14,199.	1,989.
l	5,512.		4,832.	680.
m	28,993.		23,398.	5,595.
n	44,108.		35,100.	9,008.
o	20,376.		16,200.	4,176.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				11,249.
b				<16,422.>
c				<9,801.>
d				16,934.
e				0.
f				<5,600.>
g				0.
h				1,458.
i				1,066.
j				174.
k				1,989.
l				680.
m				5,595.
n				9,008.
o				4,176.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 SH CLOUGH GLBL OPPTYS	P	01/14/09	04/17/12
b	5000 SH GOLD ARN ISSUER SEK	P	01/27/11	04/03/12
c	25000 BNP PARIBAS CLD	P	11/19/10	05/24/12
d	5000 SH JPM STARS ISSUER BAC	P	04/29/11	05/07/12
e	50000 BARCLAYS BANK PLC CLD	P	12/09/10	06/22/12
f	5000 SH GLOBAL CLIRN ISSUER BAC	P	07/06/10	06/06/12
g	5000 SH SILVER CLIRN ISSUER BAC	P	10/28/10	06/06/12
h	5000 SH ENERGY ARN ISSUER EKS	P	04/28/11	06/29/12
i	50000 GOLDMAN SACHS GROUP CLD	P	01/27/11	07/31/12
j	2000 BB&T CAPITAL TRUST V CLD	P	07/21/09	07/18/12
k	50000 BARCLAYS BANK PLC CLD	P	08/24/10	08/28/12
l	5000 SH CITI STEPS ISSUER BAC	P	08/04/11	08/21/12
m	5000 SH OIL ARN ISSUER BAC	P	05/25/11	09/24/12
n	600 SH PROCTER & GAMBLE CO	P	08/24/11	10/11/11
o	1000 SH ASSURANT INC	P	10/11/11	11/02/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,396.		2,697.	699.
b	57,755.		50,000.	7,755.
c	25,000.		25,000.	0.
d	49,551.		50,000.	<449.>
e	50,000.		48,751.	1,249.
f	58,749.		50,000.	8,749.
g	62,749.		50,000.	12,749.
h	39,951.		50,000.	<10,049.>
i	50,000.		50,000.	0.
j	50,000.		50,000.	0.
k	50,000.		49,375.	625.
l	40,342.		50,000.	<9,658.>
m	46,950.		50,000.	<3,050.>
n	38,672.		37,732.	940.
o	37,682.		36,670.	1,012.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				699.
b				7,755.
c				0.
d				<449.>
e				1,249.
f				8,749.
g				12,749.
h				<10,049.>
i				0.
j				0.
k				625.
l				<9,658.>
m				<3,050.>
n				940.
o				1,012.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	900 SH MARATHON OIL CORP	P	04/01/11	11/01/11
b	600 SH SPX CORP COM	P	11/08/10	11/01/11
c	600 SH SPX CORP COM	P	08/30/11	11/01/11
d	1000 SH TRW AUTOMOTIVE HLDGS CRP	P	04/28/10	10/27/11
e	1400 SH ALCOA INC	P	01/04/11	12/02/11
f	400 SH ALCOA INC	P	01/11/11	12/02/11
g	1800 SH ALCOA INC	P	08/30/11	12/02/11
h	600 SH BAKER HUGHES INC	P	05/04/11	12/02/11
i	600 SH BAKER HUGHES INC	P	08/24/11	12/02/11
j	1200 SH KINROSS GOLD CORP	P	09/09/11	12/02/11
k	800 SH POTASH CORP SASKATCHEWAN	P	05/16/11	01/26/12
l	250 SH TRANSDIGM GROUP INC	P	07/05/11	01/11/12
m	1700 SH EMC CORPORATION MASS	P	07/06/11	02/03/12
n	1300 SH GOODYEAR TIRE RUBBER	P	07/05/11	02/15/12
o	1300 SH GOODYEAR TIRE RUBBER	P	08/24/11	02/15/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	22,695.		28,814.	<6,119.>
b	31,421.		40,451.	<9,030.>
c	31,421.		33,461.	<2,040.>
d	43,253.		31,965.	11,288.
e	13,901.		22,971.	<9,070.>
f	3,972.		6,518.	<2,546.>
g	17,872.		22,018.	<4,146.>
h	32,988.		43,460.	<10,472.>
i	32,988.		33,042.	<54.>
j	16,518.		21,746.	<5,228.>
k	36,375.		42,031.	<5,656.>
l	24,462.		23,470.	992.
m	44,723.		47,174.	<2,451.>
n	17,345.		22,595.	<5,250.>
o	17,345.		15,488.	1,857.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<6,119.>
b				<9,030.>
c				<2,040.>
d				11,288.
e				<9,070.>
f				<2,546.>
g				<4,146.>
h				<10,472.>
i				<54.>
j				<5,228.>
k				<5,656.>
l				992.
m				<2,451.>
n				<5,250.>
o				1,857.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	450 SH MARATHON PETROLEUM CORP	P	04/01/11	02/01/12
b	600 SH MARATHON PETROLEUM CORP	P	11/01/11	02/01/12
c	750 SH PFIZER INC	P	01/11/12	02/09/12
d	500 SH PEABODY ENERGY CORP COM	P	01/27/09	03/13/12
e	950 SH SUSSER HOLDING CORPORATION	P	09/09/11	03/05/12
f	275 SH THE MOSAIC COMPANY	P	02/22/10	03/13/12
g	250 SH APACHE CORP	P	11/05/10	04/03/12
h	650 SH COACH INC	P	10/28/11	04/03/12
i	1050 SH TIMKEN COMPANY	P	08/30/11	04/03/12
j	825 SH CAPITAL ONE FINL	P	11/03/11	05/08/12
k	475 SH NATIONAL-OILWELL VARCO	P	12/07/11	05/08/12
l	950 SH TENNECO INC DEL	P	02/16/12	05/08/12
m	675 SH QUEST DIAGNOSTICS INC	P	02/09/12	06/19/12
n	2300 SH FORD MOTOR CO	P	04/14/10	07/10/12
o	300 SH TERADATA CORP DEL	P	09/06/11	07/10/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	19,226.		19,491.	<265.>
b	25,635.		21,691.	3,944.
c	15,791.		16,373.	<582.>
d	15,242.		13,435.	1,807.
e	22,383.		20,433.	1,950.
f	15,260.		16,681.	<1,421.>
g	24,894.		26,916.	<2,022.>
h	50,073.		41,732.	8,341.
i	54,358.		39,765.	14,593.
j	44,135.		37,748.	6,387.
k	32,272.		34,573.	<2,301.>
l	26,600.		37,469.	<10,869.>
m	39,005.		38,988.	17.
n	21,640.		29,735.	<8,095.>
o	18,782.		14,592.	4,190.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<265.>
b				3,944.
c				<582.>
d				1,807.
e				1,950.
f				<1,421.>
g				<2,022.>
h				8,341.
i				14,593.
j				6,387.
k				<2,301.>
l				<10,869.>
m				17.
n				<8,095.>
o				4,190.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	425 SH UNITED PARCEL SVC CL B	P	04/03/12	07/26/12
b	200 SH UNION PACIFIC CORP	P	03/18/11	07/20/12
c	875 SH WSTN DIGITAL CORP DEL	P	04/03/12	07/26/12
d	750 SH RAYTHEON CO DELAWARE NEW	P	03/05/12	08/06/12
e	200 SH UNION PACIFIC CORP	P	03/18/11	08/07/12
f	300 SH WAL-MART STORES INC	P	02/02/12	08/07/12
g	150 SH DEERE CO	P	06/11/10	09/13/12
h	1550 SH INTEL CORP	P	11/01/11	09/13/12
i	375 SH LAS VEGAS SANDS CORP	P	03/13/12	09/13/12
j	900 SH MERCK AND CO INC SHS	P	07/03/12	09/13/12
k	375 SH QUESTCOR PHARMACEUTICALS	P	09/13/12	09/24/12
l	300 SH TERADATA CORP DEL	P	09/06/11	09/13/12
m	6 SH ISHARES IBOXX $	P	02/05/09	09/28/11
n	85 SH ISHARES IBOXX $	P	02/10/09	09/28/11
o	9 SH ISHARES BARCLAYS AGGRGT	P	02/05/09	09/28/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	32,005.		34,164.	<2,159.>
b	24,223.		19,075.	5,148.
c	35,040.		36,286.	<1,246.>
d	41,560.		38,873.	2,687.
e	24,792.		19,075.	5,717.
f	22,306.		18,585.	3,721.
g	11,810.		8,819.	2,991.
h	35,832.		37,493.	<1,661.>
i	16,741.		20,942.	<4,201.>
j	39,704.		37,605.	2,099.
k	7,146.		19,039.	<11,893.>
l	22,358.		14,592.	7,766.
m	673.		588.	85.
n	9,527.		8,381.	1,146.
o	988.		906.	82.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<2,159.>
b				5,148.
c				<1,246.>
d				2,687.
e				5,717.
f				3,721.
g				2,991.
h				<1,661.>
i				<4,201.>
j				2,099.
k				<11,893.>
l				7,766.
m				85.
n				1,146.
o				82.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	97 SH ISHARES BARCLAYS AGGRGT	P	02/10/09	09/28/11
b	47 SH ISHARES BARCLAYS TIPS BO	P	02/10/09	09/28/11
c	4 SH ISHARES BARCLAYS TIPS BO	P	03/17/09	09/28/11
d	24 SH ISHARES S&P 500	P	07/14/09	09/28/11
e	25 SH ISHARES DOW JONES US	P	02/10/09	09/28/11
f	2398 SH RS TECHNOLOGY FUND CL Y	P	02/08/11	12/06/11
g	23 SH RS TECHNOLOGY FUND CL Y	P	03/31/11	12/06/11
h	1 SH RS TECHNOLOGY FUND CL Y	P	07/27/11	12/06/11
i	122 SH RS TECHNOLOGY FUND CL Y	P	07/27/11	12/06/11
j	.97 SH RS TECHNOLOGY FUND CL Y	P	09/28/11	12/06/11
k	157 SH RS TECHNOLOGY FUND CL Y	P	09/28/11	12/06/11
l	197 SH ISHARES RUSSELL 1000	P	03/17/09	01/24/12
m	35 SH ISHARES RUSSELL 1000	P	07/14/09	01/24/12
n	60 SH ISHARES DJ US FINL	P	02/08/11	01/24/12
o	234 SH ISHARES MSCI EAFE INDEX	P	02/05/09	01/24/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,644.		9,813.	831.
b	5,387.		4,735.	652.
c	458.		395.	63.
d	1,541.		1,128.	413.
e	1,674.		1,349.	325.
f	45,010.		51,797.	<6,787.>
g	431.		500.	<69.>
h	19.		21.	<2.>
i	2,290.		2,431.	<141.>
j	18.		18.	0.
k	2,947.		2,674.	273.
l	13,041.		7,694.	5,347.
m	2,317.		1,615.	702.
n	3,150.		3,643.	<493.>
o	12,095.		9,319.	2,776.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a				831.
b				652.
c				63.
d				413.
e				325.
f				<6,787.>
g				<69.>
h				<2.>
i				<141.>
j				0.
k				273.
l				5,347.
m				702.
n				<493.>
o				2,776.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	281 SH ISHARES MSCI EAFE INDEX	P	02/10/09	01/24/12
b	311 SH ISHARES MSCI EAFE INDEX	P	03/17/09	01/24/12
c	357 SH ISHARES MSCI EAFE INDEX	P	07/14/09	01/24/12
d	16 SH ISHARES IBOXX $	P	02/10/09	01/24/12
e	66 SH ISHARES IBOXX $	P	03/17/09	01/24/12
f	21 SH ISHARES MSCI EMERGING	P	09/28/11	01/24/12
g	2 SH ISHARES BARCLAYS AGGRGT	P	02/10/09	01/24/12
h	69 SH ISHARES BARCLAYS AGGRGT	P	03/17/09	01/24/12
i	39 SH ISHARES BARCLAYS TIPS BO	P	03/17/09	01/24/12
j	381 SH POWERSHARES FTSE RAFI US	P	02/08/11	01/24/12
k	13 SH POWERSHARES FTSE RAFI US	P	07/27/11	01/24/12
l	34 SH POWERSHARES FTSE RAFI US	P	09/28/11	01/24/12
m	813 SH REVENUESHARES MID CAP ET	P	02/08/11	01/24/12
n	36 SH REVENUESHARES MID CAP ET	P	07/27/11	01/24/12
o	50 SH REVENUESHARES MID CAP ET	P	09/28/11	01/24/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,525.		11,045.	3,480.
b	16,076.		11,046.	5,030.
c	18,453.		16,079.	2,374.
d	1,829.		1,578.	251.
e	7,546.		6,120.	1,426.
f	875.		786.	89.
g	220.		202.	18.
h	7,582.		6,935.	647.
i	4,546.		3,856.	690.
j	24,275.		25,487.	<1,212.>
k	828.		860.	<32.>
l	2,166.		1,872.	294.
m	24,398.		25,475.	<1,077.>
n	1,080.		1,102.	<22.>
o	1,500.		1,317.	183.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				3,480.
b				5,030.
c				2,374.
d				251.
e				1,426.
f				89.
g				18.
h				647.
i				690.
j				<1,212.>
k				<32.>
l				294.
m				<1,077.>
n				<22.>
o				183.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	35 SH ISHARES TR DOW JONES US	P	12/06/11	01/24/12
b	14 SH ISHARES S&P 500	P	07/14/09	01/24/12
c	23 SH ISHARES DOW JONES US	P	02/10/09	01/24/12
d	62 SH ISHARES DOW JONES US	P	03/17/09	01/24/12
e	16 SH ISHARES DOW JONES US	P	02/10/09	01/24/12
f	4563 SH EV RCH BRS EQ STRTG FD I	P	02/08/11	01/24/12
g	1 SH EV RCH BRS EQ STRTG FD I	P	03/31/11	01/24/12
h	46 SH EV RCH BRS EQ STRTG FD I	P	03/31/11	01/24/12
i	153 SH EV RCH BRS EQ STRTG FD I	P	07/27/11	01/24/12
j	1 SH EV RCH BRS EQ STRTG FD I	P	09/28/11	01/24/12
k	158 SH EV RCH BRS EQ STRTG FD I	P	09/28/11	01/24/12
l	1 SH EV RCH BRS EQ STRTG FD I	P	12/29/11	01/24/12
m	.322 SH EV RCH BRS EQ STRTG FD I	P	12/29/11	01/24/12
n	41 SH EV RCH BRS EQ STRTG FD I	P	12/29/11	01/24/12
o	2605 SH ELEMENTS - ROGERS TR	P	02/08/11	07/27/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,399.		2,311.	88.
b	978.		658.	320.
c	946.		664.	282.
d	2,549.		1,555.	994.
e	1,183.		863.	320.
f	46,543.		51,790.	<5,247.>
g	10.		11.	<1.>
h	469.		522.	<53.>
i	1,561.		1,651.	<90.>
j	10.		11.	<1.>
k	1,612.		1,493.	119.
l	10.		10.	0.
m	3.		3.	0.
n	418.		403.	15.
o	22,220.		24,745.	<2,525.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				88.
b				320.
c				282.
d				994.
e				320.
f				<5,247.>
g				<1.>
h				<53.>
i				<90.>
j				<1.>
k				119.
l				0.
m				0.
n				15.
o				<2,525.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	103 SH ELEMENTS - ROGERS TR	P	07/27/11	07/27/12
b	67 SH ELEMENTS - ROGERS TR	P	09/28/11	07/27/12
c	123 SH ELEMENTS - ROGERS TR	P	01/24/12	07/27/12
d	137 SH ISHARES TRUST S&P MIDCAP	P	07/14/09	07/27/12
e	118 SH ISHARES TRUST S&P MIDCAP	P	09/30/09	07/27/12
f	11 SH ISHARES TRUST S&P MIDCAP	P	07/22/10	07/27/12
g	26 SH ISHARES DOW JONES US	P	02/10/09	07/27/12
h	11 SH ISHARES DOW JONES US	P	03/17/09	07/27/12
i	24 SH ISHARES DOW JONES US	P	01/24/12	07/27/12
j	305 SH ISHARES S&P SMALLCAP 600	P	01/20/10	07/27/12
k	17 SH ISHARES S&P SMALLCAP 600	P	07/22/10	07/27/12
l	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC-SEC 1256	P	Various	Various
m	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC-SEC 1256	P	Various	Various
n	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC-FROM K-1	P	Various	Various
o	Capital Gains Dividends			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	879.		991.	<112.>
b	571.		568.	3.
c	1,049.		1,080.	<31.>
d	12,900.		7,705.	5,195.
e	11,111.		8,125.	2,986.
f	1,036.		824.	212.
g	2,097.		1,402.	695.
h	887.		533.	354.
i	1,567.		1,425.	142.
j	22,497.		18,126.	4,371.
k	1,254.		1,008.	246.
l			972.	<972.>
m			1,458.	<1,458.>
n	187.			187.
o	441.			441.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<112.>
b				3.
c				<31.>
d				5,195.
e				2,986.
f				212.
g				695.
h				354.
i				142.
j				4,371.
k				246.
l				<972.>
m				<1,458.>
n				187.
o				441.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	31,618.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

SUPPLEMENTARY STATEMENT

MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.
EIN 59-2061386
F.Y.E. 9/30/12

I, Margie R. Green, as Chairman of the Maurice A. Rothman & Thelma P. Rothman Family Foundation, Inc., hereby make an election under Treasury Regulation 53.4942(a)-3(d)(2) to treat a portion of the Foundation's qualified distributions for the year ending 9/30/12 as made out of corpus. The amount of these distributions is $5,155.

Margie R. Green

Margie R. Green, Chairman

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
MERRILL LYNCH	7,321.
NORTHERN TRUST BANK	73.
Total to Form 990-PF, Part I, line 3, Column A	7,394.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
MERRILL LYNCH	191,058.	441.	190,617.
STATE OF ISRAEL	3,018.	0.	3,018.
Total to Fm 990-PF, Part I, ln 4	194,076.	441.	193,635.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
CITI STEPS ISSUER BAC	4,613.	4,613.	
DE STEPS ISSUER BARC	3,487.	3,487.	
ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC	<1,883.>	<1,216.>	
Total to Form 990-PF, Part I, line 11	6,217.	6,884.	

Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAX ON INVESTMENT INCOME	4,406.	0.		0.
FOREIGN TAXES	44.	44.		0.
STATE OF FLORIDA ANNUAL FILING FEE	61.	0.		0.
To Form 990-PF, Pg 1, ln 18	4,511.	44.		0.

Form 990-PF Other Expenses Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISOR FEES	32,356.	32,356.		0.
MISCELLANEOUS DEDUCTIONS	100.	0.		0.
MEALS AND EVENTS	250.	0.		0.
To Form 990-PF, Pg 1, ln 23	32,706.	32,356.		0.

Form 990-PF U.S. and State/City Government Obligations Statement 6

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	X		0.	0.
Total U.S. Government Obligations				
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			0.	0.

Form 990-PF Corporate Stock Statement 7

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	845,725.	959,803.
Total to Form 990-PF, Part II, line 10b	845,725.	959,803.

Form 990-PF	Corporate Bonds	Statement 8

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	2,169,334.	2,295,383.
Total to Form 990-PF, Part II, line 10c	2,169,334.	2,295,383.

Form 990-PF	Other Investments	Statement 9

Description	Valuation Method	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	COST	2,291,098.	2,530,458.
Total to Form 990-PF, Part II, line 13		2,291,098.	2,530,458.

Form 990-PF	Other Assets	Statement 10

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
ESTIMATED TAX DEPOSITS	3,476.	4,408.	4,408.
INTEREST INCOME RECEIVABLE	9,170.	9,046.	9,046.
To Form 990-PF, Part II, line 15	12,646.	13,454.	13,454.

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2012
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Line 10a, Investments - U.S. and State Government Obligations			
Federal Home Ln Mtg Corp - Callable Sr Note	100,000	-	-
Total	100,000	-	-
Line 10b, Investments - Corporate Stock			
Alcoa Inc	51,506	-	-
American Eagle Outfitters	-	15,958	14,229
Anadarko Pete Corp	15,433	15,433	13,984
Apache Corp	26,916	-	-
Apple Inc	32,422	32,422	83,388
Baker Hughes Inc	76,502	-	-
Boeing Company	12,899	12,899	13,919
Caterpillar Inc Del	-	33,574	34,416
Centurylink Inc	-	19,894	19,190
CBS Corp New	-	32,212	32,697
CF Inds Hldgs Inc	-	35,853	44,448
Deere Co	20,579	11,759	16,494
Du Pont E I DE Nemours	-	34,633	32,676
Eaton Corp	-	33,945	36,634
EMC Corporation Mass	47,174	-	-
Ensco PLC Shs Cl A	-	34,992	38,192
Fifth Third Bancorp	-	38,220	37,987
Fluor Corp New Del	35,368	35,368	33,768
Ford Motor Co New	29,735	-	-
Freeprt-Mcmran Cpr & Gld	-	20,465	19,790
Gilead Sciences Inc Com	-	33,613	43,115
Goldcorp Inc	-	20,671	18,340
Goodyear Tire Rubber	38,083	-	-
Home Depot Inc	-	35,814	49,805
Intuit Inc Com	-	19,490	19,136
Kimberly Clark	-	37,568	40,746
Kinross Gold Corp	21,746	-	-
Las Vegas Sands Corp	-	20,942	17,389
Marathon Oil Corp	28,814	-	-
Marathon Petroleum Corp	19,491	-	-
Mosaic Co	33,361	16,680	15,843
Occidental Pete Corp Cal	-	-	-
Peabody Energy Corp Com	26,875	13,440	11,145
Potash Corp Saskatchewan	42,031	-	-
Proctor & Gamble Co	37,732	-	-

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2012
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Rayonier Inc REIT	-	33,083	35,532
Schlumberger Ltd	-	34,454	36,165
SPX Corp Com	73,912	-	-
Susser Holdings Corporat	20,433	-	-
Teradata Corp Del	29,184	-	-
Timken Company	39,765	-	-
Transdigm Group Inc	23,470	-	-
TRW Automotive Hldgs Crp	31,965	18,733	17,484
Union Pacific Corp	38,149	-	-
UnitedHealth Group Inc	47,036	47,036	60,951
Urban Outfitters Inc	-	37,627	35,682
Wal-mart Stores Inc	-	18,585	22,140
Wells Fargo & Co New Del	-	33,472	37,983
Yum Brands Inc	16,890	16,890	26,536
Total	917,472	845,725	959,803

Line 10c, Investments - Corporate Bonds

Affiliated Managers Group, Inc.	-	50,000	51,600
AT&T Inc	50,573	50,388	54,366
American Financial Group	50,000	50,000	54,942
Ameriprise Financial Inc	50,000	50,000	55,180
Ares Capital Corp	-	25,000	25,000
BB&T Capital Trust VI	50,000	-	-
Bank of America Corp	25,000	25,000	25,000
Bank of America Corp	94,748	95,135	104,750
Bank of America Corp	100,000	100,000	101,625
Bank of America Corp	50,000	50,000	56,232
Bank of America Corp	50,000	50,000	47,563
Bank of America Corp	50,000	50,000	45,063
Bank of America Corp	24,683	24,362	23,406
Bank of America Corp	50,000	50,000	50,438
Bank of America Corp	-	50,000	51,938
Barclays Bank PLC	49,375	-	-
Barclays Bank PLC	48,751	-	-
Barclays Bank PLC	-	50,000	48,702
BNP Paribas	25,000	-	-
Cubesmart	-	25,000	26,990
Digital Realty Trust Inc	25,000	25,000	26,750
Dow Chemical CO	50,000	50,000	50,116
Dow Chemical CO	50,000	50,000	50,125
First Republic Bank	-	25,000	27,130
First Republic Bank	-	25,000	26,132
General Elec Cap Corp	50,000	50,000	54,171

Maurice A. and Thelma P Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2012
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
General Elec Cap Corp	50,000	50,000	53,942
General Elec Cap Corp	50,000	50,000	58,295
General Elec Cap Corp	-	50,000	50,131
General Elec Cap Corp	-	99,050	100,737
Goldman Sachs Group Inc	50,000	-	-
HSBC Finance Corp	50,000	50,000	50,987
Kayne Andereson MLP	25,000	25,000	25,350
Kraft Foods Inc	51,392	51,222	61,773
Lloyds TSB Bank PLC	-	50,000	51,938
Montepelier RE Holdings	25,000	25,000	26,700
Natl Retail Ppty Inc	-	50,000	52,460
Protective Life Corp	-	25,000	25,770
Public Storage Pfd Shr	50,000	50,000	55,500
Public Storage (PSA)	50,000	50,000	54,740
Regency Centers Corp	-	50,000	53,500
SBC Communications	51,135	50,917	58,554
SBC Communications	50,659	50,534	58,554
Senior Housing Ppty	-	50,000	49,340
Stanley Black & Decker	-	50,000	52,740
Qwest Corp (Ctl)	25,000	25,000	26,880
Telephone & Data System	50,000	50,000	54,880
Telephone & Data System	50,000	50,000	54,400
US Cellular Corp	50,000	50,000	54,740
Vornado Realty Tr Ser J	50,000	50,000	55,140
Wells Fargo Company	47,726	47,726	51,119
Total	1,719,041	2,169,334	2,295,383

Line 13, Investments - Other

Blackrock Core Bond TR	81,198	81,198	116,400
Blackrock Res & Commodity Strategy TR	100,000	100,000	72,750
BRC CLIRN Issuer BAC	50,000	50,000	48,350
CBRE Clarion Global Real Estate Income Fd	-	34,170	37,698
C JPM WFC ARN Issuer BAC	-	50,000	58,100
Calamos Conv Opp Inc FD	-	30,924	31,900
Citi Steps Issuer BAC	50,000	-	-
Clough Glbl Opptys	53,997	-	-
Cohen & Steers Quality Income	-	35,162	37,590
Comdty ARN Issuer BAC	50,000	50,000	47,130
Copper ARN Issuer EKS	50,000	-	-
Copper CLIRN Issuer BAC	50,000	40,000	44,120
DE Steps Issuer BARC	50,000	50,000	49,400
Direion Commodity Trends Strategy Fd	-	23,729	23,943
DJUBSAG ARN Issuer SEK	-	50,000	54,750
Eaton Vance Rchrd Brstn Equity Stratgy FD	55,483	-	-

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2012
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Elements - Rogers TR	25,736	-	-
Energy ARN Issuer EKS	50,000	-	-
FCX Stars Issuer Barc	-	25,000	27,200
F&C/Claymore Total Rtn	77,494	77,494	103,900
Global CLIRN Issuer BAC	50,000	-	-
Gold ARN Issuer SEK	50,000	50,000	52,250
Ishares Barclays Aggrgt	94,664	76,808	82,987
Ishares Barclays TIPS Bo	44,649	35,663	42,372
Ishares DJ US Finl Sector Index Fund	57,057	57,043	56,269
Ishares DJ US Consumer Goods Sector Index Fund	-	50,640	54,527
Ishares Dow Jones US Energy Sector Index Fund	34,442	40,235	55,003
Ishares Dow Jones US Healthcare Sector Index	40,681	36,534	54,984
Ishares Dow Jones US Real Estate Index Fund	-	23,864	25,881
Ishares TR Dow Jones US Technology Sector Indx	-	48,797	56,068
Ishares Gold Trust	-	53,771	57,146
Ishares Iboxx $	113,930	73,866	86,457
Ishares JP Morgan EM Bon	-	40,106	44,381
Ishares MSCI EAFE Index	111,708	77,106	77,221
Ishares MSCI Emerging	-	30,014	33,019
Ishares Russell 1000	85,671	78,826	110,508
Ishares S&P 500	76,014	74,227	113,038
Ishares S&P Smallcap 600	21,516	25,941	28,124
Ishares Trust S&P Midcap	17,301	25,796	27,532
JPM Stars Issuer BAC	50,000	-	-
ML Systematic Momentum Futures Access LLC	99,999	-	-
Nuveen Prem Muni Inc	38,578	-	-
Oil ARN Issuer BAC	50,000	-	-
Oil Svcs ARN Issuer BARC	-	80,000	87,200
Oil Svcs ARN Issuer SEK	100,000	-	-
Powershares DB Agriculture Fund	-	47,485	46,556
Powershares FTSE RAFI US 150	26,347	-	-
Revenueshares Mid Cap ET	26,577	-	-
RICI ARN Issuer BAC	-	50,000	50,950
RICI CLIRN Issuer BAC	50,000	35,000	37,555
RICI LIRN Issuer BAC	50,000	-	-
RS Technology Fund	57,441	-	-
Silver CLIRN Issuer BAC	50,000	-	-
SP500 STARS Issuer RBC	-	30,000	29,910
SP500 STARS Issuer BAC	-	50,000	51,300
SPDR Gold Trust	32,805	32,805	51,567
State of Israel Bonds	75,000	75,000	75,000
Stone HBR Emerging Mkts Income Fund	50,000	98,026	96,680
Systematic Momentum Futures Access LLC	-	95,869	91,344
Tortoise MLP Fd Inc	100,000	100,000	101,400
Total	2,398,288	2,291,098	2,530,458