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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2011**

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

**For calendar year 2011 or tax year beginning 10/01/11, and ending 09/30/12**

Name of foundation <b>Minor Foundation, Inc.</b>		A Employer identification number <b>56-6047752</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1914 Brunswick Avenue</b>	Room/suite <b>2B</b>	B Telephone number (see instructions) <b>704-334-7271</b>
City or town, state, and ZIP code <b>Charlotte NC 28207</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return, <input type="checkbox"/> Final return, <input type="checkbox"/> Address change, <input type="checkbox"/> Initial return of a former public charity, <input type="checkbox"/> Amended return, <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation, <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust, <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 126,148</b>	J Accounting method: <input checked="" type="checkbox"/> Cash, <input type="checkbox"/> Accrual, <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<small>(Part I, column (d) must be on cash basis.)</small>		

**SCANNED SEP 13 2013**

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	32	32	32	
4	Dividends and interest from securities	4,884	4,884	4,884	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-1,054			
b	Gross sales less returns and allowances <b>65,811</b>				
7	Capital gain or (loss) (from Part IV, line 2)		0		
8	Net short-term capital gain			0	
9	Income from operations				
10a	Gross sales less returns & allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) <b>Stmt 1</b>	5		5	
12	<b>Total.</b> Add lines 1 through 11	3,867	4,916	4,921	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) <b>Stmt 2</b>	1,275	1,275	1,275	
c	Other professional fees (attach schedule) <b>Stmt 3</b>	1,476	1,476	1,476	
17	Interest				
18	Taxes (attach schedule) (see instructions) <b>Stmt 4</b>	270	238	238	
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) <b>Stmt 5</b>	149	149	149	
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	3,170	3,138	3,138	0
25	Contributions, gifts, grants paid	5,850			5,850
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	9,020	3,138	3,138	5,850
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-5,153			
b	Net investment income (if negative, enter -0-)		1,778		
c	<b>Adjusted net income</b> (if negative, enter -0-)			1,783	

For Paperwork Reduction Act Notice, see instructions.

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	-4,823	13,424	13,424
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) <b>See Stmt 6</b>	113,957	97,506	112,724
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	109,134	110,930	126,148	
Liabilities	17	Accounts payable and accrued expenses	23	6,972	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ <b>See Statement 7</b> )	10,416	10,416	
	23	<b>Total liabilities</b> (add lines 17 through 22)	10,439	17,388	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>				
	24	Unrestricted	98,695	93,542	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	98,695	93,542		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	109,134	110,930		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 98,695
2	Enter amount from Part I, line 27a	2 -5,153
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 93,542
5	Decreases not included in line 2 (itemize) ▶	5
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30</b>	6 93,542

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a See Worksheet</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0- for Losses (from col. (h)))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>-1,054</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>-2,197</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	<b>5,778</b>	<b>107,689</b>	<b>0.053655</b>
2009	<b>5,485</b>	<b>118,114</b>	<b>0.046438</b>
2008	<b>4,910</b>	<b>112,650</b>	<b>0.043586</b>
2007	<b>7,195</b>	<b>107,965</b>	<b>0.066642</b>
2006	<b>6,485</b>	<b>144,848</b>	<b>0.044771</b>

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>0.255092</b>
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>0.051018</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	<b>124,256</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>6,339</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>18</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>6,357</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	<b>5,850</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>36</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>36</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	<b>36</b>
<b>6</b>	Credits/Payments		
<b>a</b>	2011 estimated tax payments and 2010 overpayment credited to 2011	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	<b>36</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2012 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <b>NC</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<b>11</b>		<b>X</b>
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>X</b>
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <b>www.guidestar.org</b>	<b>13</b>	<b>X</b>	
<b>14</b> The books are in care of ▶ <b>Hardin M. Minor</b> Telephone no ▶ <b>704-334-7271</b> <b>1914 Brunswick Ave 2B</b> Located at ▶ <b>Charlotte</b> NC ZIP+4 ▶ <b>28207</b>			
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	▶ <b>15</b> <input type="checkbox"/>		
<b>16</b> At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶	<b>16</b>	Yes	No <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	<b>1b</b>	<b>N/A</b>	
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	<b>1c</b>	<b>N/A</b>	
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b> At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ▶ 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2b</b>	<b>N/A</b>	
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011 ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>3b</b>	<b>N/A</b>	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>X</b>
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	<b>4b</b>		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**  **5b**

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No **X**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Hardin M. Minor 1914 Brunswick Ave 2B Charlotte NC 28207	Treasurer	5.00	0	0
Kathreen R. Minor 238 Cherokee St Denver CO 80223	Secretary	1.00	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>0</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1 N/A</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
<b>1 N/A</b>		
<b>2</b>		
All other program-related investments See instructions		
<b>3</b>		
<b>Total. Add lines 1 through 3</b>		<b>0</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>112,724</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>13,424</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>126,148</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>126,148</b>
<b>4</b>	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>1,892</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	<b>124,256</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>6,213</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>6,213</b>
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	<b>36</b>
<b>b</b>	Income tax for 2011 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>36</b>
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	<b>6,177</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>6,177</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	<b>6,177</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	<b>5,850</b>
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>5,850</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>5,850</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				<b>6,177</b>
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			<b>5,271</b>	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008				
<b>d</b> From 2009				
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ <u>5,850</u>				
<b>a</b> Applied to 2010, but not more than line 2a			<b>5,271</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2011 distributable amount				<b>579</b>
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				<b>5,598</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**None**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**None**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**N/A**

**b** The form in which applications should be submitted and information and materials they should include

**No special form**

**c** Any submission deadlines

**None**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**None other than approved by the IRS Code**

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year <b>See Statement 8</b>				5,850
<b>Total</b>			▶ 3a	5,850
b Approved for future payment <b>N/A</b>				
<b>Total</b>			▶ 3b	<b>0</b>





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Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 4-American Electric Power Co	P	01/19/11	09/28/11
(2) 2-AT&T Inc	P	03/16/11	09/28/11
(3) 3-Astrazenica PLC	P	04/27/11	09/28/11
(4) 3-Bank of America Corp Pfd 1/1200Ser	P	08/17/11	09/28/11
(5) 2-Best Buy Co Inc	P	01/10/11	09/28/11
(6) -1-Call Bank of America Corp due 10/	P	10/24/11	09/14/11
(7) -1-Call Exelon Corp due 10/22/11	P	10/04/11	07/15/11
(8) 2-Cellcom Israel Ltd	P	06/09/11	09/28/11
(9) 3-Centurylink Inc	P	01/20/11	09/28/11
(10) 2-Companhia De Saneamento Basico	P	05/25/11	09/28/11
(11) 9-Duke Energy Holding Corp	P	09/15/11	09/28/11
(12) 12.361-Hartford Floating Rate Fund	P	01/26/11	09/28/11
(13) 4-Ishares Trust S&P US Pfd Stock Ind	P	12/17/10	09/28/11
(14) 2-Johnson & Johnson Co	P	01/03/11	09/28/11
(15) 4-Lilly Eli & Co	P	09/29/10	09/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 152		144	8
(2) 58		55	3
(3) 133		154	-21
(4) 40		47	-7
(5) 50		71	-21
(6) 27			27
(7) 95		10	85
(8) 42		42	
(9) 101		129	-28
(10) 96		96	
(11) 180		174	6
(12) 104		111	-7
(13) 144		155	-11
(14) 128		126	2
(15) 150		147	3

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			8
(2)			3
(3)			-21
(4)			-7
(5)			-21
(6)			27
(7)			85
(8)			
(9)			-28
(10)			
(11)			6
(12)			-7
(13)			-11
(14)			2
(15)			3

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**10/01/11**

, and ending

**09/30/12**

Name

**Minor Foundation, Inc.**

Employer Identification Number

**56-6047752**

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(1) 3-Lincoln Natl Corp	P	08/11/11	09/28/11
(2) 6-Mobile Telesystems OJSC	P	05/12/11	09/28/11
(3) 2-National Grid PLC	P	06/30/11	09/28/11
(4) 6-Petroleo Brasileiro SA	P	03/31/11	09/28/11
(5) 1-Petrochina Co Ltd	P	03/31/11	09/28/11
(6) 1-Proshares Tr Short 20+	P	01/28/11	09/28/11
(7) 3-Proshares Tr Short 20+	P	03/11/11	09/28/11
(8) 3-Singapore Telecom Ltd	P	03/30/11	09/28/11
(9) 3-Spectra Energy Corp	P	03/16/11	09/28/11
(10) 7-Telefonica S A	P	04/21/11	09/28/11
(11) 416.667-T Rowe Price ST Bond Fund	P	06/01/11	10/10/11
(12) 6-Telecom Corp New Zealand	P	01/04/11	09/28/11
(13) 4-Taiwan Semiconductor Mfg Co Ltd	P	07/29/11	09/28/11
(14) 18-Fortress Invt Group LLC	P	04/28/11	09/28/11
(15) 2-Vimpelcom Ltd	P	09/15/11	09/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 47		48	-1
(2) 78		78	
(3) 98		98	
(4) 141		141	
(5) 123		152	-29
(6) 33		45	-12
(7) 100		134	-34
(8) 72		72	
(9) 74		77	-3
(10) 134		134	
(11) 2,000		2,029	-29
(12) 59		50	9
(13) 47		50	-3
(14) 57		110	-53
(15) 20		20	

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-1
(2)			
(3)			
(4)			
(5)			-29
(6)			-12
(7)			-34
(8)			
(9)			-3
(10)			
(11)			-29
(12)			9
(13)			-3
(14)			-53
(15)			

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(1) 4-Winn Dixie Stores Inc	P	01/03/11	09/28/11
(2) -1-Call Bank of Amer Corp Due 12/17/	P	11/11/11	10/24/11
(3) 0.8-Chorus Ltd ADR	P	01/04/11	11/30/11
(4) 15-Scana Corp	P	03/14/11	11/18/11
(5) 85-Scana Corp	P	06/27/11	11/18/11
(6) -1-Call Exelon Corp Due 1/21/12	P	12/16/11	11/08/11
(7) 18-Chorus Ltd ADR	P	01/04/11	12/21/11
(8) 6-Petrochina Co. Ltd	P	03/31/11	12/19/11
(9) 10-Proshares Tr Short 20+ Yr	P	03/11/11	12/21/11
(10) 15-Proshares Tr Short 20+ Yr	P	03/11/11	12/21/11
(11) 34-Proshares Tr Short 20+ Yr	P	07/18/11	12/21/11
(12) 1-Proshares Tr Short 20+ Yr	P	11/08/11	12/21/11
(13) 51-Taiwan Semiconductor Mfg Co	P	07/19/11	12/21/11
(14) 20-Taiwan Semiconductor Mfg Co	P	07/29/11	12/21/11
(15) 16-Winn Dixie Stores Inc	P	01/03/11	12/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 25		29	-4
(2) 15		6	9
(3) 10		8	2
(4) 616		583	33
(5) 3,490		3,308	182
(6) 20		5	15
(7) 206		177	29
(8) 691		913	-222
(9) 315		447	-132
(10) 473		671	-198
(11) 1,071		1,422	-351
(12) 32		33	-1
(13) 647		625	22
(14) 254		247	7
(15) 149		114	35

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0) OR Losses (from col (h))
(1)			-4
(2)			9
(3)			2
(4)			33
(5)			182
(6)			15
(7)			29
(8)			-222
(9)			-132
(10)			-198
(11)			-351
(12)			-1
(13)			22
(14)			7
(15)			35

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(1) 45-Winn Dixie Stores Inc	P	01/04/11	12/19/11
(2) 3-Best Buy Co Inc	P	02/22/11	01/20/12
(3) -1-Call Duke Energy Holding due 1/21	P	01/20/12	09/28/11
(4) -1-Call Exelon Corp Due 4/21/12	P	01/17/12	12/19/11
(5) 5-Philippine Long Distance Tel Co	P	02/23/11	01/25/12
(6) 6-Astrazeneca PLC	P	04/07/11	02/03/12
(7) 4-Astrazeneca PLC	P	04/26/11	02/03/12
(8) 3-Astrazeneca PLC	P	04/27/11	02/03/12
(9) 7-Telefonica S A	P	03/28/11	02/07/12
(10) 7-Telefonica S A	P	03/30/11	02/07/12
(11) 30-Bank of America Corp	P	08/10/11	03/16/12
(12) 50-Bank of America Corp	P	08/10/11	03/16/12
(13) 2-Cellcom Israel LTD	P	06/02/11	02/29/12
(14) 8-Cellcom Israel LTD	P	06/02/11	03/01/12
(15) 1-Cellcom Israel LTD	P	08/17/11	03/01/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 418		310	108
(2) 76		100	-24
(3) 35		25	10
(4) 30		5	25
(5) 317		258	59
(6) 283		288	-5
(7) 189		201	-12
(8) 142		154	-12
(9) 123		176	-53
(10) 123		177	-54
(11) 215		208	7
(12) 358		347	11
(13) 27		60	-33
(14) 106		240	-134
(15) 13		22	-9

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
			108
			-24
			10
			25
			59
			-5
			-12
			-12
			-53
			-54
			7
			11
			-33
			-134
			-9

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(1) 2-Cellcom Israel LTD	P	08/17/11	03/01/12
(2) 5-Cellcom Israel LTD	P	08/17/11	03/01/12
(3) 5-Cellcom Israel LTD	P	08/17/11	03/01/12
(4) 3-Cellcom Israel LTD	P	08/19/11	03/01/12
(5) 11-American Electric Power Co	P	02/23/12	04/20/12
(6) 21-American Electric Power Co	P	02/23/12	04/20/12
(7) 38-Bank of America Corp	P	08/10/11	04/04/12
(8) 4-Bank of America Corp	P	08/24/11	04/04/12
(9) -1-Call Vimpelcom Ltd dated 4/21/12	P	04/23/12	02/24/12
(10) -1-Call AT&T Inc due 5/19/12	P	05/18/12	03/21/12
(11) -1-Call Exelon Corp due 7/21/12	P	05/08/12	02/15/12
(12) -1-Call General Electric Co due 5/19	P	05/18/12	02/01/12
(13) 11-Chevron Corp	P	01/19/12	05/08/12
(14) -1-Call Barclays PLC Due 6/16/12	P	06/18/12	03/30/12
(15) 22-Proshares Tr Short 20+ Yr	P	11/08/11	06/04/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 27		45	-18
(2) 66		112	-46
(3) 66		112	-46
(4) 40		64	-24
(5) 428		417	11
(6) 817		793	24
(7) 353		263	90
(8) 37		26	11
(9) 25			25
(10) 12		69	-57
(11) 40		5	35
(12) 38		1	37
(13) 1,122		1,183	-61
(14) 60			60
(15) 624		727	-103

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-18
(2)			-46
(3)			-46
(4)			-24
(5)			11
(6)			24
(7)			90
(8)			11
(9)			25
(10)			-57
(11)			35
(12)			37
(13)			-61
(14)			60
(15)			-103

# Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11 , and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 23-Proshares Tr Short 20+ Yr	P	11/08/11	06/04/12
(2) 8-America Movil S.A.B.	P	10/20/11	07/12/12
(3) 10-America Movil S.A.B.	P	10/20/11	07/12/12
(4) 24-America Movil S.A.B.	P	10/20/11	07/12/12
(5) 7-America Movil S.A.B.	P	10/20/11	07/12/12
(6) 20-Barclays PLC	P	03/30/12	07/17/12
(7) 79-Barclays PLC	P	03/30/12	07/17/12
(8) 1-Barclays PLC	P	03/30/12	07/17/12
(9) -1-Call AT&T Inc due 7/21/12	P	07/02/12	05/18/12
(10) -1-Call Exelon Corp due 7/21/12	P	07/23/12	05/08/12
(11) -1-Call General Electric Co due 7/21	P	07/11/12	05/18/12
(12) -1-Call Targa Resources Par due 9/22	P	07/17/12	06/27/12
(13) 3.666-Duke Energy Corp	P	09/15/11	07/20/12
(14) 7-Microsoft Corp	P	04/25/12	07/24/12
(15) 1-Microsoft Corp	P	04/25/12	07/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 652		760	-108
(2) 207		185	22
(3) 259		230	29
(4) 621		550	71
(5) 181		162	19
(6) 202		302	-100
(7) 799		1,194	-395
(8) 10		15	-5
(9) 72		181	-109
(10) 10			10
(11) 31		18	13
(12) 30		310	-280
(13) 242		213	29
(14) 204		225	-21
(15) 29		32	-3

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-108
(2)			22
(3)			29
(4)			71
(5)			19
(6)			-100
(7)			-395
(8)			-5
(9)			-109
(10)			10
(11)			13
(12)			-280
(13)			29
(14)			-21
(15)			-3

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11, and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 20-Telefonica SA	P	12/23/11	07/26/12
(2) -1-Call AT&T Inc due 8/18/12	P	08/13/12	07/02/12
(3) -1-Call AT& T Inc. due 9/22/12	P	09/21/12	08/13/12
(4) 37-Fortress Invt Group LLC	P	12/22/11	09/04/12
(5) 81-Fortress Invt Group LLC	P	01/19/12	09/04/12
(6) 100-Fortress Invt Group LLC	P	05/09/12	09/04/12
(7) 35-Telefonica SA	P	12/23/11	09/04/12
(8) 25-Telefonica SA	P	12/23/11	09/04/12
(9) 14-Total S.A. France	P	01/26/12	09/04/12
(10) 26-Aberdeen Asia Pac Income Fd Inc	P	12/07/99	09/28/11
(11) 1-Amgen Inc	P	01/13/10	09/28/11
(12) 12-Bank of America Corp	P	07/30/09	09/28/11
(13) 4-BCE Inc	P	01/22/10	09/28/11
(14) 3-Bristol Myers Squibb Co	P	05/06/08	09/28/11
(15) 1-Coca Cola Co	P	03/25/10	09/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 216		344	-128
(2) 93		246	-153
(3) 93		147	-54
(4) 146		135	11
(5) 320		301	19
(6) 395		336	59
(7) 455		603	-148
(8) 325		430	-105
(9) 745		747	-2
(10) 180		136	44
(11) 56		56	
(12) 76		168	-92
(13) 149		104	45
(14) 93		69	24
(15) 68		55	13

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-128
(2)			-153
(3)			-54
(4)			11
(5)			19
(6)			59
(7)			-148
(8)			-105
(9)			-2
(10)			44
(11)			
(12)			-92
(13)			45
(14)			24
(15)			13

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11, and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 12-Cohen & Steers Infrastructure Fun	P	12/01/06	09/28/11
(2) 3-CPFL Energia SA	P	07/12/10	09/28/11
(3) 6-France Telecom SA	P	10/07/09	09/28/11
(4) 2-Frontier Communications Corp	P	10/30/08	09/28/11
(5) 5-Frontier Communications Corp	P	09/22/10	09/28/11
(6) 6-General Electric Co	P	10/30/08	09/28/11
(7) 3-Glaxo Smithkline PLC	P	03/08/04	09/28/11
(8) 2-John Hancock Pfd Income II	P	07/27/07	09/28/11
(9) 21-John Hancock Pfd Income Fund III	P	12/12/05	10/14/11
(10) 60-John Hancock Pfd Income Fund III	P	12/21/05	10/14/11
(11) 8-John Hancock Pfd Income Fund III	P	08/01/07	09/28/11
(12) 6-John Hancock Pfd Income Fund III	P	08/01/07	10/14/11
(13) 22-John Hancock Pfd Income Fund III	P	08/15/07	10/14/11
(14) 20-John Hancock Pfd Income Fund III	P	10/22/07	10/14/11
(15) 1-John Hancock Pfd Income Fund III	P	06/30/09	10/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 187		369	-182
(2) 69		69	
(3) 97		108	-11
(4) 12		17	-5
(5) 31		41	-10
(6) 93		119	-26
(7) 126		126	
(8) 41		45	-4
(9) 348		409	-61
(10) 995		1,184	-189
(11) 134		160	-26
(12) 100		120	-20
(13) 365		412	-47
(14) 332		386	-54
(15) 17		13	4

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-182
(2)			
(3)			-11
(4)			-5
(5)			-10
(6)			-26
(7)			
(8)			-4
(9)			-61
(10)			-189
(11)			-26
(12)			-20
(13)			-47
(14)			-54
(15)			4

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 3-Huaneng Power Intl Inc	P	02/25/08	09/28/11
(2) 2-Home Depot Inc	P	12/11/09	09/28/11
(3) 1-Intel Corp	P	10/21/09	09/28/11
(4) 2-Merck & Co Inc	P	09/25/08	09/28/11
(5) 17-Nuveen Multi-Strategy Income & Gr	P	04/07/08	09/28/11
(6) 1-Philippine Long Distrance Tel Co	P	10/08/09	09/28/11
(7) 2-Smithfield Foods Inc	P	07/30/09	09/28/11
(8) 4-SPDR Gold Trust	P	06/29/09	09/28/11
(9) 1-Senior Hsg Properties Trust SBI	P	12/12/08	09/28/11
(10) 8-Telefonos De Mexico	P	11/25/09	09/28/11
(11) 25-Telefonos De Mexico	P	07/28/09	10/20/11
(12) 10-Telefonos De Mexico	P	07/30/09	10/20/11
(13) 12-Telefonos De Mexico	P	11/25/09	10/20/11
(14) 6-Telefonos De Mexico	P	12/24/09	10/20/11
(15) 7-Telefonos De Mexico	P	12/24/09	10/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 51		98	-47
(2) 68		57	11
(3) 23		20	3
(4) 64		65	-1
(5) 137		178	-41
(6) 50		56	-6
(7) 38		28	10
(8) 625		369	256
(9) 22		12	10
(10) 122		143	-21
(11) 381		396	-15
(12) 152		160	-8
(13) 183		214	-31
(14) 91		103	-12
(15) 107		120	-13

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0) OR Losses (from col (h))
(1)			-47
(2)			11
(3)			3
(4)			-1
(5)			-41
(6)			-6
(7)			10
(8)			256
(9)			10
(10)			-21
(11)			-15
(12)			-8
(13)			-31
(14)			-12
(15)			-13

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

**10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 20-Telefonos De Mexico	P	01/22/10	10/20/11
(2) 4-Telefonos De Mexico	P	02/09/10	10/20/11
(3) 38-Telefonos De Mexico	P	09/27/10	10/20/11
(4) 4-Verizon Communications Inc	P	09/15/10	09/28/11
(5) 8-Wells Fargo Advantage	P	03/16/07	09/28/11
(6) 5-Western Asset Emerging Markets Deb	P	07/27/07	09/28/11
(7) 10-Windstream Corp	P	04/20/10	09/28/11
(8) 50-Bank of America Corp	P	07/21/09	11/11/11
(9) 3-Coca Cola Co	P	01/22/10	11/08/11
(10) 15-Coca Cola Co	P	03/25/10	11/08/11
(11) 9-Best Buy Inc	P	12/22/10	01/20/12
(12) 23-Best Buy Inc	P	01/10/11	01/20/12
(13) 12-John Hancock Pfd Income II	P	07/27/07	01/25/12
(14) 6-John Hancock Pfd Income II	P	08/16/07	01/25/12
(15) 15-John Hancock Pfd Income II	P	12/18/07	01/25/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 305		332	-27
(2) 61		62	-1
(3) 579		565	14
(4) 148		124	24
(5) 116		137	-21
(6) 90		86	4
(7) 119		110	9
(8) 309		619	-310
(9) 206		163	43
(10) 1,030		822	208
(11) 229		302	-73
(12) 584		819	-235
(13) 258		273	-15
(14) 129		115	14
(15) 323		279	44

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-27
(2)			-1
(3)			14
(4)			24
(5)			-21
(6)			4
(7)			9
(8)			-310
(9)			43
(10)			208
(11)			-73
(12)			-235
(13)			-15
(14)			14
(15)			44

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11, and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 8-Merck & Co Inc	P	09/25/08	01/24/12
(2) 14-Merck & Co Inc	P	12/10/08	01/24/12
(3) 6-Merck & Co Inc	P	09/16/09	01/24/12
(4) 5-Philippine Long Distrance Tel Co	P	10/01/09	01/25/12
(5) 1-Philippine Long Distrance Tel Co	P	10/05/09	01/25/12
(6) 4-Philippine Long Distrance Tel Co	P	06/08/10	01/25/12
(7) 9-Spectra Energy Corp	P	11/17/09	01/24/12
(8) 7-Astrazeneca PLC	P	06/21/10	02/03/12
(9) 30.324-Cohen & Steers Infrastructure	P	12/01/06	02/16/12
(10) 40-Cohen & Steers Infrastructure Fun	P	03/13/07	02/16/12
(11) 65.676-Cohen & Steers Infrastructure	P	03/21/07	02/16/12
(12) 13-Cohen & Steers Infrastructure Fun	P	01/25/08	02/16/12
(13) 39-CPFL Energia SA	P	06/10/10	02/22/12
(14) 12-CPFL Energia SA	P	07/12/10	02/22/12
(15) 50-Duoyuan Global Wtr Inc.	P	09/27/10	02/02/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 312		260	52
(2) 545		375	170
(3) 234		192	42
(4) 317		258	59
(5) 63		54	9
(6) 254		207	47
(7) 284		173	111
(8) 330		318	12
(9) 529		932	-403
(10) 697		996	-299
(11) 1,145		2,007	-862
(12) 227		324	-97
(13) 1,250		829	421
(14) 385		274	111
(15) 47		601	-554

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			52
(2)			170
(3)			42
(4)			59
(5)			9
(6)			47
(7)			111
(8)			12
(9)			-403
(10)			-299
(11)			-862
(12)			-97
(13)			421
(14)			111
(15)			-554

## Capital Gains and Losses for Tax on Investment Income

 Form **990-PF**
**2011**

For calendar year 2011, or tax year beginning

**10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**Minor Foundation, Inc.**
**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 50-Duoyuan Global Wtr Inc.	P	11/23/10	02/02/12
(2) 25-Home Depot Inc	P	07/30/09	02/02/12
(3) 14-Home Depot Inc	P	12/11/09	02/02/12
(4) 6-Petrochina Co Ltd	P	10/31/08	02/07/12
(5) 1-Petrochina Co Ltd	P	11/13/09	02/07/12
(6) 4-Petrochina Co Ltd	P	11/18/09	02/07/12
(7) 2-Petrochina Co Ltd	P	04/20/10	02/07/12
(8) 21-Telefonica S A	P	03/10/10	02/07/12
(9) 15-Telefonica S A	P	03/12/10	02/07/12
(10) 12-Western Asset Emerg Mkts Debt Fd	P	07/27/07	02/01/12
(11) 4-Bank of America Corp	P	07/21/09	03/16/12
(12) 16-Bank of America Corp	P	07/30/09	03/16/12
(13) 8-American Electric Power Co	P	03/10/09	04/20/12
(14) 6-American Electric Power Co	P	09/16/09	04/20/12
(15) 10-American Electric Power Co	P	09/23/09	04/20/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 46		611	-565
(2) 1,113		650	463
(3) 623		398	225
(4) 898		444	454
(5) 150		129	21
(6) 598		526	72
(7) 299		240	59
(8) 368		516	-148
(9) 263		372	-109
(10) 237		207	30
(11) 29		49	-20
(12) 114		224	-110
(13) 311		197	114
(14) 233		188	45
(15) 389		315	74

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0) OR Losses (from col (h))
(1)			-565
(2)			463
(3)			225
(4)			454
(5)			21
(6)			72
(7)			59
(8)			-148
(9)			-109
(10)			30
(11)			-20
(12)			-110
(13)			114
(14)			45
(15)			74

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11, and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 10-American Electric Power Co	P	11/30/09	04/20/12
(2) 19-American Electric Power Co	P	01/19/11	04/20/12
(3) 15-American Electric Power Co	P	03/18/11	04/20/12
(4) 14-Intel Corp	P	10/21/09	04/10/12
(5) 28-Spectra Energy Corp	P	03/08/04	05/10/12
(6) 5-Spectra Energy Corp	P	03/09/09	05/10/12
(7) 3-Spectra Energy Corp	P	11/17/09	05/10/12
(8) 2-Spectra Energy Corp	P	03/16/11	05/10/12
(9) 1-Verizon Communications Inc	P	10/30/08	06/14/12
(10) 21-Verizon Communications Inc	P	09/15/10	06/14/12
(11) 12-Bristol Myers Squibb Co	P	05/06/08	07/24/12
(12) 6-Bristol Myers Squibb Co	P	09/16/09	07/24/12
(13) 1-Cohen & Steers Infrastructure Fund	P	01/25/08	07/12/12
(14) 24-Cohen & Steers Infrastructure Fun	P	01/29/10	07/12/12
(15) 22-Cohen & Steers Infrastructure Fun	P	02/05/10	07/12/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 389		321	68
(2) 739		682	57
(3) 584		508	76
(4) 388		282	106
(5) 845		516	329
(6) 151		58	93
(7) 91		58	33
(8) 60		51	9
(9) 44		29	15
(10) 917		652	265
(11) 417		277	140
(12) 209		136	73
(13) 17		25	-8
(14) 410		356	54
(15) 376		307	69

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			68
(2)			57
(3)			76
(4)			106
(5)			329
(6)			93
(7)			33
(8)			9
(9)			15
(10)			265
(11)			140
(12)			73
(13)			-8
(14)			54
(15)			69

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

**10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 0.666-Duke Energy Corp	P	03/08/04	07/03/12
(2) 4.333-Duke Energy Corp	P	03/08/04	07/20/12
(3) 13.333-Duke Energy Corp	P	01/13/10	07/20/12
(4) 2-Duke Energy Corp	P	08/25/10	07/20/12
(5) 3-Duke Energy Corp	P	05/12/11	07/20/12
(6) 3.333-Duke Energy Corp	P	05/12/11	07/20/12
(7) 3.333-Duke Energy Corp	P	05/12/11	07/20/12
(8) 3-Petroleo Brasileiro SA	P	07/19/07	06/28/12
(9) 25-Petroleo Brasileiro SA	P	09/02/10	06/28/12
(10) 24-Petroleo Brasileiro SA	P	03/25/11	06/28/12
(11) 2-Petroleo Brasileiro SA	P	03/31/11	06/28/12
(12) 27-Telefonica S A	P	03/22/10	07/26/12
(13) 3-Telefonica S A	P	11/29/10	07/26/12
(14) 13-Telefonica S A	P	04/21/11	07/26/12
(15) 18-Fortress Invt Group LLC	P	12/09/10	08/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 46		26	20
(2) 286		166	120
(3) 880		680	200
(4) 132		102	30
(5) 198		171	27
(6) 220		190	30
(7) 220		190	30
(8) 53		106	-53
(9) 438		884	-446
(10) 420		972	-552
(11) 35		81	-46
(12) 291		643	-352
(13) 32		66	-34
(14) 140		379	-239
(15) 72		96	-24

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			20
(2)			120
(3)			200
(4)			30
(5)			27
(6)			30
(7)			30
(8)			-53
(9)			-446
(10)			-552
(11)			-46
(12)			-352
(13)			-34
(14)			-239
(15)			-24

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11, and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 82-Fortress Invt Group LLC	P	04/28/11	08/24/12
(2) 15-Duke Energy Corp	P	03/08/04	08/28/12
(3) 100-Fortress Invt Group LLC	P	12/09/10	08/29/12
(4) 82-Fortress Invt Group LLC	P	12/09/10	09/04/12
(5) 233-Aberdeen Asia Pac Income Fd Inc	P	12/07/99	09/21/12
(6) 34.819-Aberdeen Asia Pac Income Fd I	P	04/20/01	09/21/12
(7) 34.672-Aberdeen Asia Pac Income Fd I	P	05/23/01	09/21/12
(8) 33.805-Aberdeen Asia Pac Income Fd I	P	06/18/01	09/21/12
(9) 33.978-Aberdeen Asia Pac Income Fd I	P	07/16/01	09/21/12
(10) 33.723-Aberdeen Asia Pac Income Fd I	P	08/13/01	09/21/12
(11) 5-Companhia De Sanamento Basico Do	P	03/28/11	09/11/12
(12) 2-Companhia De Sanamento Basico Do	P	05/18/11	09/11/12
(13) 1-Companhia De Sanamento Basico Do	P	05/25/11	09/11/12
(14) 2-Companhia De Sanamento Basico Do	P	08/29/11	09/11/12
(15) 25-Johnson & Johnson	P	08/31/10	09/11/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 328		502	-174
(2) 979		576	403
(3) 396		533	-137
(4) 324		512	-188
(5) 1,841		986	855
(6) 275		131	144
(7) 274		134	140
(8) 267		136	131
(9) 268		137	131
(10) 266		139	127
(11) 431		276	155
(12) 172		114	58
(13) 86		61	25
(14) 172		136	36
(15) 1,710		1,432	278

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-174
(2)			403
(3)			-137
(4)			-188
(5)			855
(6)			144
(7)			140
(8)			131
(9)			131
(10)			127
(11)			155
(12)			58
(13)			25
(14)			36
(15)			278

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

10/01/11 , and ending 09/30/12

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) <b>6-Johnson &amp; Johnson</b>	<b>P</b>	<b>12/16/10</b>	<b>09/11/12</b>
(2) <b>1-Johnson &amp; Johnson</b>	<b>P</b>	<b>01/03/11</b>	<b>09/11/12</b>
(3) <b>5-Verizon Communications Inc</b>	<b>P</b>	<b>09/21/05</b>	<b>09/11/12</b>
(4) <b>9-Verizon Communications Inc</b>	<b>P</b>	<b>10/30/08</b>	<b>09/11/12</b>
(5) <b>UBS Securities</b>			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) <b>410</b>		<b>374</b>	<b>36</b>
(2) <b>68</b>		<b>63</b>	<b>5</b>
(3) <b>220</b>		<b>144</b>	<b>76</b>
(4) <b>397</b>		<b>269</b>	<b>128</b>
(5) <b>224</b>			<b>224</b>
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			<b>36</b>
(2)			<b>5</b>
(3)			<b>76</b>
(4)			<b>128</b>
(5)			<b>224</b>
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

## Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions <b>Minor Foundation, Inc.</b>	Enter filer's identifying number, see instructions Employer identification number (EIN) or <b>56-6047752</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>1914 Brunswick Avenue, 2B</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>Charlotte, NC 28207</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ **Hardin M. Minor, Treasurer**

Telephone No. ▶ **704-334-7271** FAX No. ▶ **704-334-7272**

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **May 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

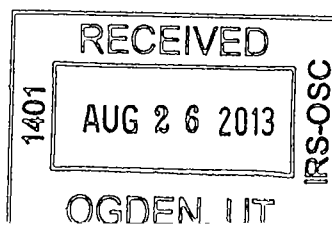
▶  calendar year 20 \_\_\_\_ or

▶  tax year beginning **October 1, 2011**, and ending **September 30, 2012**

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.00
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.00

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions



- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part III** and check this box  **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

**Part III Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print  File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions  <b>Minor Foundation, Inc.</b>	Employer identification number (EIN) or  <input checked="" type="checkbox"/> <b>56-6047752</b>
	Number, street, and room or suite no. If a P O box, see instructions  <b>1914 Brunswick Avenue 2B</b>	Social security number (SSN)  <input type="checkbox"/>
	City, town or post office, state, and ZIP code For a foreign address, see instructions  <b>Charlotte NC 28207</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP!** Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

**Hardin M. Minor**  
**1914 Brunswick Ave 2B**

NC 28207

- The books are in the care of **Charlotte** Telephone No **704-334-7271** FAX No
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until **08/15/13**
- 5 For calendar year  , or other tax year beginning **10/01/11** , and ending **09/30/12**
- 6 If the tax year entered in line 5 is for less than 12 months, check reason  Initial return  Final return  Change in accounting period
- 7 State in detail why you need the extension  
**See Statement 1**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	\$	0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	0
c <b>Balance due.</b> Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **Jenise C. Spangler** Title **CPA**

Date **5-15-13**

## Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
Fee Rebates	\$ 5	\$	\$ 5
Total	\$ 5	\$ 0	\$ 5

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Professional Fees	\$ 1,275	\$ 1,275	\$ 1,275	\$
Total	\$ 1,275	\$ 1,275	\$ 1,275	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Investment Management Fee	\$ 1,476	\$ 1,476	\$ 1,476	\$
Total	\$ 1,476	\$ 1,476	\$ 1,476	\$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Federal Excise Tax	\$ 32	\$	\$	\$
Foreign Tax	238	238	238	
Total	\$ 270	\$ 238	\$ 238	\$ 0

## Federal Statements

## Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
Bank Service Charge	123	123	123	
Foreign ADR Fees	25	25	25	
Office Expense				
America First Tax Exempt Inv	1	1	1	
Nondeductible Partnership Exp				
Total	\$ 149	\$ 149	\$ 149	\$ 0

## Federal Statements

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Stocks	\$ 112,234	\$ 91,502	Cost	\$ 105,796
Partnerships	1,723	6,004	Cost	6,928
Total	\$ 113,957	\$ 97,506		\$ 112,724

Statement 7 - Form 990-PF, Part II, Line 22 - Other Liabilities

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>
Reserve for Losses on Assets Held	\$ 10,416	\$ 10,416
Total	\$ 10,416	\$ 10,416

**Federal Statements****Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

<u>Name of Manager</u>	<u>Amount</u>
None	\$ _____
Total	\$ <u>0</u>

**Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock**

<u>Name of Manager</u>	<u>Amount</u>
None	\$ _____
Total	\$ <u>0</u>

**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**

Description  
No special form

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**

Description  
None

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**

Description  
None other than approved by the IRS Code

## Federal Statements

## Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Status	Purpose	Amount
Brooks Laboratory for Back Pain Res	Charlotte NC 28232	P.O. Box 32861	None	Science and Charitable	100
Christ Episcopal Church Outreach	Charlotte NC 28207	1412 Providence Rd	None	Religious	1,700
Denver Inner City Parish	Denver CO 80204	1212 Mariposa St. Unit 1	None	Community	850
Friendship Trays	Charlotte NC 28206	2401 Disbribution St., A	None	Community	25
Juvenile Diabeteses Researc	Charlotte NC 28217	205 Regency Ex Park Dr	None	Science and Charitable	100
Metroplitan Opera Guild	New York NY 10023	70 Lincoln Center Plaza	None	Community Cultural	150
Myers Park Presbyterian C	Charlotte NC 28207	2501 Oxford PL	None	Religious	50
Pounding the Pavement for Parkinson	Charlotte NC 28232	P.O. Box 32861	None	Science and Charitable	25
Southminster Fndt	Charlotte NC 28210	8919 Park Rd	None	Community	100
The Foote School	New Haven CT 06511	50 Loomis Place	None	Education	850
The Havens	Charlotte NC 28205	3115 Creighton Dr	None	Community Cultural	850
UNC - TV	Research Triangle Park NC	10 T W Alexander Dr	None	Public TV Support	50
Wing Haven	Charlotte NC 28209	248 Ridgewood Avenue	None	Community Cultural	150
Woodberry Forest School	Woodberry Forest VA 22989	898 Woodberry Forest Rd	None	Education	850
Total					<u>5,850</u>

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #4

DIVIDEND INCOME  
Fiscal Year Ended September 30, 2012

Page #1

<u>UBS Stock</u>	<u>Dividend Income</u>
Aberdeen Asia-Pacific Prime Inc Fd	\$ 185.37
American Electric Power Co	63.92
American Movil	6.43
Amgen	20.40
Astrazeneca PLC	147.30
AT&T Inc (formerly SBC Comm Inc.)	109.56
Bank of America Corp.	55.71
Barclays PLC-ADR	6.16
BCE Inc.	138.05
Best Buy Co Inc	11.20
Bristol Myers Squibb Co.	70.20
Campanhia De Saneamento Basico Do	64.00
Cellcom Israel Ltd	70.27
Centurylink Inc	187.78
Chevron	8.91
Coca-Cola Co.	8.93
Cohen & Steers Reit & Util Incm Fd	104.40
100000T Cohen & Steers Infrastr	0.78
ConocoPhillips	35.64
Consolidated Communications	6.97
CPFL Energia S A	45.02
Duke Energy Corp	120.98
Eli Lilly & Co	129.36
Exelon Corp	210.01
Fortress Inv Group	70.00
France Telecom	247.97
Frontier Communications Corp	123.94
General Electric Co	75.44
Glaxosmithkline PLC Sp ADR	130.59
Hartford Floating Rate Fund A	82.96
Home Depot	11.31
Huaneng Power Intl SP ADR	17.07
Intel Corp.	5.88
Ishares Trust S&P US Pfd St Index	127.90
John Hancock Pref Inc Fd II	18.48
John Hancock Pref Inc Fd III	14.59
Johnson & Johnson	75.52
Lincoln National	37.38
Merck & Co. Inc.	23.16
Microsoft	22.20
Mobile Telesystems OJSC	105.54
National Grid PLC	103.31
Nuveen Multi-Strat. Incm & Growth Fd 2	256.40

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #4

DIVIDEND INCOME  
Fiscal Year Ended September 30, 2012

Page #2

<u>Stock</u>	<u>Dividend Income</u>
Petroleo Brasileiro	70.07
Petrochina Co. Ltd. Adr	50.85
Philippine Long Distance Tel Co	28.57
Scana Corp.	48.50
Senior Housing PPTYS Tr SBI	22.04
Singapore Telecom Ltd	60.98
Spectra Energy Corp	34.44
Targa Resources Partners	64.25
Telecom Corp New Zealand Ltd	40.41
Telefonica S A	266.89
Total S.A. France	64.46
T Rowe Price ST Bond fd	201.35
Verizon Communications	113.00
Vimpelcom Ltd	45.00
Wells Fargo Advantage Multi	160.40
Western Asset Emerging Mkts	90.22
Windstream	165.50
Total Dividends	<u>4,883.92</u>
Money Funds	<u>0.26</u>
Total Dividends Earned Including Money Funds	<u><u>\$ 4,884.18</u></u>

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Exhibit "A"

STATEMENT OF ASSETS, LIABILITIES AND FUNDS ACCOUNT  
INCOME TAX BASIS  
September 30, 2012 and 2011

<u>Assets</u>	Fair Market Value 9-30-12	9-30-12	9-30-11
Cash and Money Market Funds			
UBS	\$ 13,424.17	\$ 13,424.17	\$ (4,822.62)
Investments			
Common Stocks - Schedule #2	105,795.65	91,501.46	112,233.79
Partnerships - Schedule #2	6,928.00	6,004.22	1,722.95
Total Assets	<u>\$ 126,147.82</u>	<u>\$ 110,929.85</u>	<u>\$ 109,134.12</u>
<u>Liabilities</u>			
Accounts Payable		\$ 6,971.79	\$ 23.00
Reserve for Losses on Assets Held		10,416.43	10,416.43
Funds to be Allocated to Established Charities per Corporate Charter - Exhibit "B"		<u>93,541.63</u>	<u>98,694.69</u>
Total Liabilities		<u>\$ 110,929.85</u>	<u>\$ 109,134.12</u>

Note: The corporation serves as trustee for various fully funded accounts which are separately reported on fiduciary income tax return on a calendar year basis under E.I. #23-7091518.

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Exhibit "B"

ANALYSIS OF FUND ACCOUNTS  
Fiscal Year Ended September 30, 2012

Funds Allocated to Charities

Balance at 9-30-11 \$ 98,694.69

Additions:

Dividend Income - Schedule #4 \$ 4,884.18

Inte

Fee Rebates

5.10

Total Income

4,921.28

Reductions:

Donations - Schedule #1 \$ 5,850.00

Capital (Loss) - Schedule #3 1,053.88

Bank Service Charge 122.79

Federal Tax 32.00

Foreign Tax 238.35

Foreign ADR Fees 24.93

Investment Management Services 1,476.20

Professional Fees

1,210.00

10,017.07

(9,100.00)

Balance of Funds Account at 9-30-12 - To Exhibit "A"

\$ 93,541.63

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #1

DONATIONS  
Fiscal Year Ended September 30, 2012

<u>Donee</u>	<u>Purpose</u>	<u>Amount</u>
Brooks Laboratory for Back Pain Research	Science and Charitable	100.00
Christ Episcopal Church Outreach	Religious	1,700.00
Denver Inner City Parish	Community	850.00
Friendship Trays	Community	25.00
Juvenile Diabetes Research Foundation	Science and Charitable	100.00
Metropolitan Opera Guild	Community Cultural	150.00
Myers Park Presbyterian Church	Religious	50.00
Pounding the Pavement for Parkinson's	Science and Charitable	25.00
Southminster Fndt	Community	100.00
The Foote School	Education	850.00
The Havens	Community Cultural	850.00
UNC-TV	Public TV Support	50.00
Wing Haven	Community Cultural	150.00
Woodberry Forest School	Education	850.00
		<hr/>
Total to Exhibit "B"		<u>\$ 5,850.00</u>

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

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Schedule #2  
Page #1

COMMON STOCK AND BOND PORTFOLIO  
Fiscal Year Ended September 30, 2012

		Shares Purchased	Price	Total Cost	Fair Market Value 9-30-12
<u>Common Stock</u>					
1/13/10	Amgen Inc	9	56.56	509.00	758.61
9/21/10	Amgen Inc	6	55.76	334.55	505.74
6/11/10	Astrazeneca PLC	26	44.55	1,158.39	1,244.36
12/27/11	Astrazeneca PLC	20	45.30	906.03	957.20
3/16/12	Astrazeneca PLC	8	45.30	362.37	382.88
3/16/12	Astrazeneca PLC	5	45.33	226.65	239.30
7/12/12	Astrazeneca PLC	5	45.50	227.49	239.30
3/18/10	AT&T Inc	30	25.96	778.74	1,131.00
3/16/11	AT&T Inc	1	27.62	27.62	37.70
11/2/11	AT&T Inc	18	29.53	531.55	678.60
1/24/12	AT&T Inc	9	30.35	273.15	339.30
3/16/12	AT&T Inc	42	31.50	1,323.00	1,583.40
8/17/11	Bank of America Corp Pfd 1/200Sers	37	15.75	582.75	788.10
8/23/11	Bank of America Corp Pfd 1/200Sers	15	14.39	215.84	319.50
11/26/08	BCE Inc	33	19.76	652.14	1,450.02
7/1/09	BCE Inc	7	21.15	148.02	307.58
7/21/09	BCE Inc	5	21.96	109.80	219.70
7/30/09	BCE Inc	5	23.00	115.02	219.70
9/22/09	BCE Inc	10	24.75	247.48	439.40
1/22/10	BCE Inc	3	25.97	77.90	131.82
3/15/11	BCE Inc	2	34.25	68.50	87.88
1/10/06	Bristol Myers Squibb Co.	5	22.56	112.80	168.75
9/16/09	Bristol Myers Squibb Co.	29	22.59	655.04	978.75
6/9/11	Cellcom Israel Ltd ILS DE	2	9.08	18.15	4.99
11/2/11	Cellcom Israel Ltd ILS DE	2	22.42	44.83	12.31
12/16/11	Cellcom Israel Ltd ILS DE	16	16.76	268.09	242.20
12/28/11	Cellcom Israel Ltd ILS DE	4	16.75	66.98	34.60
6/20/12	Cellcom Israel Ltd ILS DE	25	7.14	178.61	216.25
6/22/12	Cellcom Israel Ltd ILS DE	21	7.07	148.39	181.65
8/20/12	Cellcom Israel Ltd ILS DE	6	7.15	42.88	51.90
8/29/12	Cellcom Israel Ltd ILS DE	3	6.75	20.25	25.95
8/30/12	Cellcom Israel Ltd ILS DE	11	7.13	78.48	95.15
9/14/12	Cellcom Israel Ltd ILS DE	100	7.94	793.76	865.00
6/10/10	Centurylink Inc	22	34.27	754.02	888.80
1/20/11	Centurylink Inc	9	42.85	385.62	363.60
2/22/11	Centurylink Inc	4	40.57	162.27	161.60
7/26/11	Centurylink Inc	19	38.28	727.32	767.60
12/28/11	Centurylink Inc	2	36.70	73.39	80.80
12/29/11	Centurylink Inc	10	37.13	371.28	404.00
5/16/12	Centurylink Inc	1	39.69	39.69	40.40
7/19/12	Centurylink Inc	5	40.75	203.75	202.00
2/5/10	Cohen & Steers Infrastructure Fund Inc	0.715	13.97	9.99	13.01
	Cohen & Steers Infrastructure Fund Inc	0.714	15.57		
	Return of Capital - FYE 9-30-08			(57.78)	
	Return of Capital - FYE 9-30-09			(131.79)	
3/28/11	Companhia De Saneamento Basico Dò Estado	10	55.18	551.78	812.10
9/12/11	Companhia De Saneamento Basico Do Estado	6	52.61	315.64	487.26
5/14/12	ConocoPhillips DE	22	53.04	1,166.93	1,257.96
7/16/12	ConocoPhillips DE	10	54.00	540.00	571.80
7/9/12	Consolidated Cummunications Holdings Inc	18	15.90	286.12	309.42

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

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Schedule #2  
Page #2

COMMON STOCK AND BOND PORTFOLIO  
Fiscal Year Ended September 30, 2012

		Shares Purchased	Price	Total Cost	Fair Market Value 9-30-12
<u>Common Stock</u>					
4/30/12	CPFL Energia SA	7	27.93	195.51	153.37
5/1/12	CPFL Energia SA	40	27.74	1,109.67	876.40
7/2/12	CPFL Energia SA	5	24.45	122.26	109.55
7/6/12	CPFL Energia SA	8	25.73	205.87	175.28
7/16/12	CPFL Energia SA	3	23.44	70.32	65.73
7/16/12	CPFL Energia SA	3	23.25	69.76	65.73
7/16/12	CPFL Energia SA	10	23.43	234.31	219.10
7/17/12	CPFL Energia SA	14	22.30	312.18	306.74
8/31/12	Entergy Corp	8	68.66	549.28	554.40
8/31/12	Entergy Corp	9	68.66	617.94	623.70
10/6/10	Exelon Corp	21	42.61	894.82	747.18
10/22/10	Exelon Corp	19	42.05	798.92	676.02
11/11/10	Exelon Corp	60	40.62	2,437.30	2,134.80
10/7/09	France Telecom	9	32.52	292.66	109.98
1/26/10	France Telecom	5	23.82	119.09	61.10
2/9/10	France Telecom	22	22.68	498.89	268.84
3/31/10	France Telecom	8	24.13	193.07	97.76
12/21/10	France Telecom	8	21.18	169.43	97.76
4/28/11	France Telecom	9	23.49	211.42	109.98
4/28/11	France Telecom	14	23.51	329.14	171.08
5/17/11	France Telecom	12	22.17	265.99	146.64
11/1/11	France Telecom	5	19.24	96.21	61.10
2/29/12	France Telecom	11	15.86	174.45	134.42
2/29/12	France Telecom	8	15.83	126.61	97.76
2/29/12	France Telecom	16	15.85	253.54	195.52
2/10/12	France Telecom	8	15.51	124.10	97.76
8/31/12	France Telecom	16	14.24	227.80	195.52
9/21/05	Frontier Communications Corp	7	8.06	56.40	34.41
8/17/10	Frontier Communications Corp	39	7.65	298.51	191.69
9/2/10	Frontier Communications Corp	6	7.88	47.25	29.49
9/22/10	Frontier Communications Corp	57	8.10	461.80	280.16
12/28/11	Frontier Communications Corp	11	5.14	56.54	54.07
3/7/12	Frontier Communications Corp	83	4.52	375.16	407.95
3/8/12	Frontier Communications Corp	32	4.41	141.12	157.28
5/14/12	Frontier Communications Corp	20	3.27	65.39	98.30
5/14/12	Frontier Communications Corp	48	3.28	157.44	235.92
5/14/12	Frontier Communications Corp	57	3.34	190.38	280.16
5/16/12	Frontier Communications Corp	40	3.51	140.40	196.60
10/30/08	General Electric Co.	10	19.88	198.76	227.10
7/20/09	General Electric Co.	63	11.69	736.20	1,430.73
7/21/09	General Electric Co.	25	11.80	295.00	567.75
2/6/12	General Electric Co	29	18.90	548.10	658.59
3/8/04	Glaxo Smithkline PLC	18	41.90	754.20	832.32
9/16/09	Glaxo Smithkline PLC	9	38.88	349.93	416.16
1/12/10	Glaxo Smithkline PLC	16	41.59	665.49	739.84
6/8/10	Glaxo Smithkline PLC	10	33.34	333.39	462.40
1/26/11	Hartford Floating Rate Fund Class B	194.105	8.99	1,745.00	1,733.35
2/25/08	Huaneng Power Intl Inc	15	32.69	490.31	448.95
11/26/10	Huaneng Power Intl Inc	9	21.82	196.41	269.37
12/21/10	Huaneng Power Intl Inc	1	21.51	21.51	29.93

See Accompanying Accountants' Report

COMMON STOCK AND BOND PORTFOLIO  
Fiscal Year Ended September 30, 2012

		Shares Purchased	Price	Total Cost	Fair Market Value 9-30-12
<u>Common Stock</u>					
12/22/10	Huaneng Power Intl Inc	28	21.35	597.74	838.04
12/27/11	Huaneng Power Intl Inc	1	21.10	21.10	29.93
12/17/10	Ishares Trust S&P Pfd Stock Index Fd	11	38.73	426.00	438.46
12/20/10	Ishares Trust S&P Pfd Stock Index Fd	24	38.51	924.30	956.64
12/28/10	Ishares Trust S&P Pfd Stock Index Fd	21	38.50	808.50	837.06
2/15/12	Ivy Science & Technology	19.058	31.71	604.33	665.50
10/10/08	Lilly Eli & Co	17	31.17	529.90	805.97
7/20/09	Lilly Eli & Co	13	33.56	436.29	616.33
11/17/09	Lilly Eli & Co	2	35.85	71.70	94.82
7/29/10	Lilly Eli & Co	18	35.69	642.40	853.38
9/29/10	Lilly Eli & Co	16	36.63	586.09	758.56
8/10/11	Lincoln Natl Corp	27	21.24	573.52	653.13
8/11/11	Lincoln Natl Corp	23	22.06	507.39	556.37
10/10/11	Lincoln Natl Corp	21	16.62	348.92	507.99
10/10/11	Lincoln Natl Corp	17	15.80	268.63	411.23
1/10/12	Lincoln Natl Corp	30	19.79	593.56	725.70
1/11/12	Lincoln Natl Corp	11	20.22	222.40	266.09
1/25/12	Lincoln Natl Corp	30	22.42	672.60	725.70
8/21/12	Lincoln Natl Corp	9	23.73	213.56	217.71
4/11/12	Market Vectors Gold Miners ETF	51	46.65	2,379.34	2,738.19
12/27/11	Metlife Inc	37	30.35	1,122.77	1,275.02
12/27/11	Metlife Inc	10	30.22	302.20	344.60
1/4/12	Metlife Inc	33	31.00	1,023.00	1,137.18
1/11/12	Metlife Inc	7	33.22	232.53	241.22
1/25/12	Metlife Inc	19	36.29	689.49	654.74
7/16/12	Metlife Inc De	10	30.30	302.98	344.60
2/6/12	Microsoft Corp	30	30.00	900.00	892.80
4/25/12	Microsoft Corp	2	32.20	64.40	59.52
6/6/12	Microsoft Corp	9	28.80	259.23	267.84
10/8/08	Mobile Telesystems OJSC	22	14.50	318.90	385.44
11/14/08	Mobile Telesystems OJSC	25	11.99	299.81	438.00
1/5/09	Mobile Telesystems OJSC	10	12.76	127.64	175.20
1/11/10	Mobile Telesystems OJSC	3	17.16	51.49	52.56
12/13/10	Mobile Telesystems OJSC	16	20.09	321.43	280.32
5/12/11	Mobile Telesystems OJSC	13	23.88	310.40	227.76
11/1/11	Mobile Telesystems OJSC	29	14.98	434.41	508.08
6/6/12	National Australia Bank Ltd	15	21.64	324.62	396.45
12/15/10	National Grid PLC	13	43.96	571.43	719.68
12/17/10	National Grid PLC	8	43.52	348.19	442.88
1/20/11	National Grid PLC	6	43.61	261.67	332.16
6/30/11	National Grid PLC	1	50.12	50.12	55.36
2/3/12	National Grid PLC	8	49.80	398.37	442.88
7/27/12	National Grid PLC	10	49.89	498.93	553.60
4/7/08	Nuveen Multi-Strategy Incm & Grwth 2	76	10.50	797.80	738.72
4/8/08	Nuveen Multi-Strategy Incm & Grwth 2	60	10.48	629.05	583.20
4/8/10	Nuveen Multi-Strategy Incm & Grwth 2	100	8.38	837.51	972.00
5/3/10	Nuveen Multi-Strategy Incm & Grwth 2	35	8.45	295.75	340.20
7/19/07	Petroleo Brasileiro (formerly Petrobras)	1	35.30	35.30	22.94
3/31/11	Petroleo Brasileiro S A	2	51.76	103.51	74.58
9/1/11	Petroleo Brasileiro S A	39	29.18	1,137.88	819.89

COMMON STOCK AND BOND PORTFOLIO  
Fiscal Year Ended September 30, 2012

		Shares Purchased	Price	Total Cost	Fair Market Value 9-30-12
<u>Common Stock</u>					
11/8/11	Proshares T Short 20+ Yr	19	33.04	627.85	552.71
5/14/12	Proshares T Short 20+ Yr	21	31.15	654.15	610.89
9/24/12	Proshares T Short 20+ Yr	10	29.90	298.99	290.90
9/24/12	Proshares T Short 20+ Yr	15	29.86	447.90	436.35
12/12/08	Senior Hsg Properties Trust	14	12.28	171.94	304.92
7/3/12	Senior Hsg Properties Trust	2	21.69	43.37	43.56
	Return of Captial - FYE 9-30-09			(12.54)	
2/28/11	Singapore Telecom Ltd	15	23.29	349.35	389.25
2/28/11	Singapore Telecom Ltd	15	23.30	349.50	389.25
3/30/11	Singapore Telecom Ltd	19	23.84	452.96	493.05
7/30/09	Smithfield Foods	28	14.03	392.72	550.20
8/19/09	Smithfield Foods	5	11.75	58.76	98.25
2/2/09	SPDR Gold Tr Gold	5	90.16	450.78	859.45
4/23/09	SPDR Gold Tr Gold	30	88.09	2,642.75	5,156.70
6/2/09	SPDR Gold Tr Gold	15	92.32	1,384.80	2,691.10
6/29/09	SPDR Gold Tr Gold	21	85.69	1,799.47	3,496.94
8/11/09	SPDR Gold Tr Gold	2	92.70	185.40	343.78
6/1/11	T Rowe Price Short Term Bond Fund	1,891.196	4.87	9,210.12	9,191.21
1/4/11	Telecom Corp New Zealand Ltd	94	6.30	592.52	913.68
8/31/11	Telefonica S A	18	21.00	377.95	
12/23/11	Telefonica S A	9	17.21	154.90	119.52
6/21/12	Telefonica S A	106	12.05	1,277.23	1,407.68
6/21/12	Telefonica S A	44	12.04	529.76	584.32
6/22/12	Telefonica S A	12	7.12	85.43	
6/25/12	Telefonica S A	3	12.53	37.59	39.84
1/26/12	Total S.A. France	30	53.32	1,599.51	1,503.00
7/5/12	Total S.A. France	3	44.48	133.44	150.30
8/21/12	Total S.A. France	9	49.15	442.33	450.90
9/21/05	Verizon Communications Inc	22	28.40	624.77	1,002.54
6/21/10	Verizon Communications Inc	4	29.39	117.56	182.28
9/15/11	Vimpelcom Ltd	28	10.55	295.44	333.20
9/29/11	Vimpelcom Ltd	72	9.90	712.73	856.80
11/17/05	Wells Fargo Advantage Multi	118	15.78	1,861.64	1,914.25
1/3/06	Wells Fargo Advantage Multi	2	16.42	33.46	34.41
2/1/06	Wells Fargo Advantage Multi	1	16.51	23.58	24.25
3/16/07	Wells Fargo Advantage Multi	9.534	17.10	163.04	167.65
7/8/09	Wells Fargo Advantage Multi	1	12.41	12.41	12.76
9/9/10	Wells Fargo Advantage Multi	1.466	10.55	15.47	15.91
	Return of Capital 2007			(4.71)	
7/27/07	Western Asset Emerging Mkts	61	17.24	1,051.71	1,341.39
9/22/09	Windstream Corp	125	9.64	1,204.43	1,261.25
9/23/09	Windstream Corp	30	9.69	290.64	302.70
4/20/10	Windstream Corp	1	11.05	11.05	10.09
5/14/12	Windstream Corp	1	11.27	11.27	10.09
5/22/12	Windstream Corp	27	9.33	251.91	272.43
	<b>TOTALS</b>			<b>\$ 91,622.12</b>	<b>\$ 105,920.65</b>

COMMON STOCK AND BOND PORTFOLIO  
Fiscal Year Ended September 30, 2012

Fair Market  
Value  
9-30-12

<u>Partnerships and LLC's</u>		<u>Units</u>	<u>Price</u>	<u>Cost</u>	<u>Fair Market Value 9-30-12</u>
4/21/08	Fortress Invt Group LLC	25	\$ 13.60	\$ 339.88	\$ -
4/28/08	Fortress Invt Group LLC	20	14.25	285.03	
1/7/09	Fortress Invt Group LLC	40	2.33	93.14	
8/4/09	Fortress Invt Group LLC	20	3.72	74.38	
8/4/09	Fortress Invt Group LLC	45	3.72	167.37	
8/4/09	Fortress Invt Group LLC	30	3.72	111.58	
8/10/09	Fortress Invt Group LLC	5	4.63	23.15	
12/14/10	Fortress Invt Group LLC	150	5.33	800.13	
12/14/10	Fortress Invt Group LLC	50	5.33	266.71	
5/3/11	Fortress Invt Group LLC	100	6.12	612.03	
12/28/11	Fortress Invt Group LLC	37	3.65	134.91	
1/24/12	Fortress Invt Group LLC	81	3.72	301.22	
5/14/12	Fortress Invt Group LLC	100	3.36	335.95	
8/24/10	Sold	(25)		(339.88)	
8/24/10	Sold	(20)		(285.03)	
8/24/10	Sold	(40)		(93.14)	
11/19/10	Sold	(95.00)		(373.12)	
11/19/10	Sold	(5.00)		(23.15)	
09/28/11	Sold	(18.00)		(110.16)	
08/24/12	Sold	(18.00)		(96.02)	
08/24/12	Sold	(82.00)		(501.86)	
08/29/12	Sold	(100.00)		(533.42)	
09/04/12	Sold	(218.00)		(772.08)	
09/04/12	Sold	(82.00)		(512.49)	
	Distributions FYE 9/30/08			(10.13)	
	Income - 2008			4.00	
	Income - 2009			24.00	
	Income - 2010			46.00	
	Income - 2011			31.00	
<b>TOTALS</b>				<b>\$ (0.00)</b>	<b>\$ -</b>
8/2/12	Kinder Morgan Energy Partners MLP	29		2,355.26	2,392.50
8/30/12	Kinder Morgan Energy Partners MLP	3		245.72	247.50
				<b>\$ 2,600.98</b>	<b>\$ 2,640.00</b>
7/2/12	Targa Resources Partners LP Unit LP	100		\$ 3,403.24	\$ 4,288.00
<u>Calls</u>				<u>Sales Proceeds</u>	<u>Fair Market Value 9-30-12</u>
9/21/12	Call AT&T Inc Due 10/20/12	-1		(75.68)	(22.50)
9/19/12	Call General Electric Co Due 10/20/12	-1		(14.99)	(30.00)
8/24/12	Call Targa Resources Par Due 12/22/12	-1		(29.99)	(72.50)
<b>Total</b>				<b>\$ (120.66)</b>	<b>\$ (125.00)</b>

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #3

CAPITAL TRANSACTIONS

Page #1

Fiscal Year Ended September 30, 2012

Short Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
4	American Electric Power Co	01/19/11	09/28/11	\$ 152.00	\$ 143.59	\$ 8.41	
2	AT&T Inc	03/16/11	09/28/11	57.43	55.24	2.19	
3	Astrazenica PLC	04/27/11	09/28/11	133.34	153.60	(20.26)	
3	Bank of America Corp Pfd 1/1200Ser5	08/17/11	09/28/11	39.95	47.25	(7.30)	
2	Best Buy Co Inc	01/10/11	09/28/11	49.41	71.23	(21.82)	
-1	Call Bank of America Corp due 10/22/11	10/24/11	09/14/11	26.99	0.00	26.99	
-1	Call Exelon Corp due 10/22/11	10/04/11	07/15/11	94.99	10.00	84.99	
2	Cellcom Israel Ltd	06/09/11	09/28/11	41.79	41.79	0.00	
3	Centurylink Inc	01/20/11	09/28/11	101.33	128.54	(27.21)	
2	Companhia De Saneamento Basico	05/25/11	09/28/11	96.33	96.33	0.00	
9	Duke Energy Holding Corp	09/15/11	09/28/11	180.11	174.41	5.70	
12.361	Hartford Floating Rate Fund	01/26/11	09/28/11	104.20	111.13	(6.93)	
4	Ishares Trust S&P US Pfd Stock Index Fd	12/17/10	09/28/11	143.55	154.91	(11.36)	
2	Johnson & Johnson Co	01/03/11	09/28/11	127.39	126.30	1.09	
4	Lilly Eli & Co	09/29/10	09/28/11	150.04	146.52	3.52	
3	Lincoln Natl Corp	08/11/11	09/28/11	47.42	47.42	0.00	
6	Mobile Telesystems OJSC	05/12/11	09/28/11	77.57	77.57	0.00	
2	National Grid PLC	06/30/11	09/28/11	98.35	98.35	0.00	
6	Petroleo Brasileiro SA	03/31/11	09/28/11	140.81	140.81	0.00	
1	Petrochina Co Ltd	03/31/11	09/28/11	122.57	152.12	(29.55)	
1	Proshares Tr Short 20+	01/28/11	09/28/11	33.29	44.86	(11.57)	
3	Proshares Tr Short 20+	03/11/11	09/28/11	99.86	134.07	(34.21)	
3	Singapore Telecom Ltd	03/30/11	09/28/11	72.26	71.52	0.74	
3	Spectra Energy Corp	03/16/11	09/28/11	74.39	76.60	(2.21)	
7	Telefonica S A	04/21/11	09/28/11	134.18	134.18	0.00	
416.667	T Rowe Price ST Bond Fund	06/01/11	10/10/11	2,000.00	2,029.17	(29.17)	
6	Telecom Corp New Zealand	01/04/11	09/28/11	58.91	49.58	9.33	
4	Taiwan Semiconductor Mfg Co Ltd	07/29/11	09/28/11	47.47	49.35	(1.88)	
18	Fortress Invt Group LLC	04/28/11	09/28/11	57.23	110.16	(52.93)	
2	Vimpelcom Ltd	09/15/11	09/28/11	19.55	19.55	0.00	
4	Winn Dixie Stores Inc	01/03/11	09/28/11	24.75	28.60	(3.85)	
-1	Call Bank of Amer Corp Due 12/17/11	11/11/11	10/24/11	14.99	6.00	8.99	
0.800	Chorus Ltd ADR	01/04/11	11/30/11	10.16	7.84	2.32	
15	Scana Corp	03/14/11	11/18/11	615.95	583.05	32.90	
85	Scana Corp	06/27/11	11/18/11	3,490.36	3,307.75	182.61	
-1	Call Exelon Corp Due 1/21/12	12/16/11	11/08/11	19.99	5.00	14.99	
18	Chorus Ltd ADR	01/04/11	12/21/11	205.66	176.33	29.33	

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #3

CAPITAL TRANSACTIONS

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Fiscal Year Ended September 30, 2012

Short Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
6	Petrochina Co. Ltd	03/31/11	12/19/11	690.62	912.72	(222.10)	
10	Proshares Tr Short 20+ Yr	03/11/11	12/21/11	315.03	446.90	(131.87)	
15	Proshares Tr Short 20+ Yr	03/11/11	12/21/11	472.54	670.50	(197.96)	
34	Proshares Tr Short 20+ Yr	07/18/11	12/21/11	1,071.09	1,422.03	(350.94)	
1	Proshares Tr Short 20+ Yr	11/08/11	12/21/11	31.50	33.03	(1.53)	
51	Taiwan Semiconductor Mfg Co	07/19/11	12/21/11	647.18	624.74	22.44	
20	Taiwan Semiconductor Mfg Co	07/29/11	12/21/11	253.79	246.75	7.04	
16	Winn Dixie Stores Inc	01/03/11	12/19/11	148.63	114.40	34.23	
45	Winn Dixie Stores Inc	01/04/11	12/19/11	418.04	310.22	107.82	
3	Best Buy Co Inc	02/22/11	01/20/12	76.21	100.17	(23.96)	
-1	Call Duke Energy Holding due 1/21/12	01/20/12	09/28/11	34.99	25.00	9.99	
-1	Call Exelon Corp Due 4/21/12	01/17/12	12/19/11	29.99	5.00	24.99	
5	Philippine Long Distance Tel Co	02/23/11	01/25/12	317.11	258.37	58.74	
6	Astrazeneca PLC	04/07/11	02/03/12	283.04	288.48	(5.44)	
4	Astrazeneca PLC	04/26/11	02/03/12	188.69	200.80	(12.11)	
3	Astrazeneca PLC	04/27/11	02/03/12	141.52	153.60	(12.08)	
7	Telefonica S A	03/28/11	02/07/12	122.77	176.05	(53.28)	
7	Telefonica S A	03/30/11	02/07/12	122.78	177.10	(54.32)	
30	Bank of America Corp	08/10/11	03/16/12	214.49	208.01	6.48	
50	Bank of America Corp	08/10/11	03/16/12	357.49	347.47	10.02	
2	Cellcom Israel LTD	06/02/11	02/29/12	26.59	59.92	(33.33)	
8	Cellcom Israel LTD	06/02/11	03/01/12	105.71	239.67	(133.96)	
1	Cellcom Israel LTD	08/17/11	03/01/12	13.29	22.35	(9.06)	
2	Cellcom Israel LTD	08/17/11	03/01/12	26.42	44.70	(18.28)	
5	Cellcom Israel LTD	08/17/11	03/01/12	66.07	111.87	(45.80)	
5	Cellcom Israel LTD	08/17/11	03/01/12	66.07	111.93	(45.86)	
3	Cellcom Israel LTD	08/19/11	03/01/12	39.87	64.18	(24.31)	
11	American Electric Power Co	02/23/12	04/20/12	428.01	416.90	11.11	
21	American Electric Power Co	02/23/12	04/20/12	817.12	792.75	24.37	
38	Bank of America Corp	08/10/11	04/04/12	352.63	263.48	89.15	
4	Bank of America Corp	08/24/11	04/04/12	37.12	25.96	11.16	
-1	Call Vimpelcom Ltd dated 4/21/12	04/23/12	02/24/12	24.99	0.00	24.99	
-1	Call AT&T Inc due 5/19/12	05/18/12	03/21/12	11.99	69.00	(57.01)	
-1	Call Exelon Corp due 7/21/12	05/08/12	02/15/12	39.99	5.00	34.99	
-1	Call General Electric Co due 5/19/12	05/18/12	02/01/12	37.99	1.00	36.99	
11	Chevron Corp	01/19/12	05/08/12	1,122.01	1,182.50	(60.49)	
-1	Call Barclays PLC Due 6/16/12	06/18/12	03/30/12	59.99	0.00	59.99	
22	Proshares Tr Short 20+ Yr	11/08/11	06/04/12	623.68	726.68	(103.00)	

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

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CAPITAL TRANSACTIONS

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Fiscal Year Ended September 30, 2012

Short Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
23	Proshares Tr Short 20+ Yr	11/08/11	06/04/12	652.03	759.72	(107.69)	
8	America Movil S.A.B.	10/20/11	07/12/12	207.03	184.59	22.44	
10	America Movil S.A.B.	10/20/11	07/12/12	258.59	230.12	28.47	
24	America Movil S.A.B.	10/20/11	07/12/12	620.63	550.23	70.40	
7	America Movil S.A.B.	10/20/11	07/12/12	181.01	161.52	19.49	
20	Barclays PLC	03/30/12	07/17/12	202.19	302.00	(99.81)	
79	Barclays PLC	03/30/12	07/17/12	798.67	1,194.48	(395.81)	
1	Barclays PLC	03/30/12	07/17/12	10.11	15.13	(5.02)	
-1	Call AT&T Inc due 7/21/12	07/02/12	05/18/12	71.99	181.00	(109.01)	
-1	Call Exelon Corp due 7/21/12	07/23/12	05/08/12	9.99	0.00	9.99	
-1	Call General Electric Co due 7/21/12	07/11/12	05/18/12	31.44	18.00	13.44	
-1	Call Targa Resources Par due 9/22/12	07/17/12	06/27/12	29.99	310.00	(280.01)	
3,666	Duke Energy Corp	09/15/11	07/20/12	242.00	213.17	28.83	
7	Microsoft Corp	04/25/12	07/24/12	203.83	225.40	(21.57)	
1	Microsoft Corp	04/25/12	07/24/12	29.12	32.20	(3.08)	
20	Telefonica SA	12/23/11	07/26/12	215.85	344.23	(128.38)	
-1	Call AT&T Inc due 8/18/12	08/13/12	07/02/12	92.99	246.00	(153.01)	
-1	Call AT&T Inc. due 9/22/12	09/21/12	08/13/12	92.99	147.00	(54.01)	
37	Fortress Invt Group LLC	12/22/11	09/04/12	146.20	134.91	11.29	
81	Fortress Invt Group LLC	01/19/12	09/04/12	320.07	301.22	18.85	
100	Fortress Invt Group LLC	05/09/12	09/04/12	395.15	335.95	59.20	
35	Telefonica SA	12/23/11	09/04/12	455.14	602.40	(147.26)	
25	Telefonica SA	12/23/11	09/04/12	325.21	430.29	(105.08)	
14	Total S.A. France	01/26/12	09/19/12	744.93	746.44	(1.51)	
	Total Short Term Stocks			25,138.76	27,335.95	(2,197.19)	

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #3

CAPITAL TRANSACTIONS

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Fiscal Year Ended September 30, 2012

Long Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
26	Aberdeen Asia Pac Income Fd Inc	12/07/99	09/28/11	180.01	136.50		43.51
1	Amgen Inc	01/13/10	09/28/11	56.17	56.56		(0.39)
12	Bank of America Corp	07/30/09	09/28/11	75.71	168.33		(28.15)
4	BCE Inc	01/22/10	09/28/11	148.64	103.86		(19.69)
3	Bristol Myers Squibb Co	05/06/08	09/28/11	93.08	69.13		(10.78)
1	Coca Cola Co	03/25/10	09/28/11	68.47	54.81		13.66
12	Cohen & Steers Infrastructure Fund Inc	12/01/06	09/28/11	186.59	368.69		(182.10)
3	CPFL Energia SA	07/12/10	09/28/11	68.78	68.59		0.19
6	France Telecom SA	10/07/09	09/28/11	97.31	107.79		(10.48)
2	Frontier Communications Corp	10/30/08	09/28/11	12.38	16.55		(4.17)
5	Frontier Communications Corp	09/22/10	09/28/11	30.94	40.51		(9.57)
6	General Electric Co	10/30/08	09/28/11	93.47	119.25		(25.78)
3	Glaxo Smithkline PLC	03/08/04	09/28/11	125.90	125.70		0.20
2	John Hancock Pfd Income II	07/27/07	09/28/11	40.72	45.28		(4.56)
21	John Hancock Pfd Income Fund III	12/12/05	10/14/11	348.30	409.30		(61.00)
60	John Hancock Pfd Income Fund III	12/21/05	10/14/11	995.15	1,183.46		(188.31)
8	John Hancock Pfd Income Fund III	08/01/07	9/28/2011	133.75	160.32		(26.57)
6	John Hancock Pfd Income Fund III	08/01/07	10/14/11	99.51	120.24		(20.73)
22	John Hancock Pfd Income Fund III	08/15/07	10/14/11	364.88	411.85		(46.97)
20	John Hancock Pfd Income Fund III	10/22/07	10/14/11	331.72	386.00		(54.28)
1	John Hancock Pfd Income Fund III	06/30/09	10/14/11	16.59	12.45		4.14
3	Huaneng Power Intl Inc	02/25/08	09/28/11	51.42	98.06		(46.64)
2	Home Depot Inc	12/11/09	09/28/11	67.84	56.86		10.98
1	Intel Corp	10/21/09	09/28/11	22.50	20.12		2.38
2	Merck & Co Inc	09/25/08	09/28/11	64.40	65.00		(0.60)
17	Nuveen Multi-Strategy Income & Growth	04/07/08	09/28/11	136.67	178.33		(41.66)
1	Philippine Long Distrance Tel Co	10/08/09	09/28/11	49.83	56.42		(6.59)
2	Smithfield Foods Inc	07/30/09	09/28/11	37.57	28.05		9.52
4	SPDR Gold Trust	06/29/09	09/28/11	624.62	369.28		255.34
1	Senior Hsg Properties Trust SBI	12/12/08	09/28/11	21.81	12.28		9.53
8	Telefonos De Mexico	11/25/09	09/28/11	122.10	142.57		(20.47)
25	Telefonos De Mexico	07/28/09	10/20/11	381.14	396.33		(15.19)
10	Telefonos De Mexico	07/30/09	10/20/11	152.46	159.68		(7.22)
12	Telefonos De Mexico	11/25/09	10/20/11	182.88	213.86		(30.98)
6	Telefonos De Mexico	12/24/09	10/20/11	91.44	102.75		(11.31)

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #3

CAPITAL TRANSACTIONS

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Fiscal Year Ended September 30, 2012

Long Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
7	Telefonos De Mexico	12/24/09	10/20/11	106.72	119.87		(13.15)
20	Telefonos De Mexico	01/22/10	10/20/11	304.91	332.25		(27.34)
4	Telefonos De Mexico	02/09/10	10/20/11	60.98	62.35		(1.37)
38	Telefonos De Mexico	09/27/10	10/20/11	579.34	565.47		13.87
4	Verizon Communications Inc	09/15/10	09/28/11	148.27	124.23		24.04
8	Wells Fargo Advantage	03/16/07	09/28/11	116.31	136.72		(20.41)
5	Western Asset Emerging Markets Debt	07/27/07	09/28/11	90.36	86.21		4.15
10	Windstream Corp	04/20/10	09/28/11	119.49	110.47		9.02
50	Bank of America Corp	07/21/09	11/11/11	309.01	618.50		(309.49)
3	Coca Cola Co	01/22/10	11/08/11	206.02	163.13		42.89
15	Coca Cola Co	03/25/10	11/08/11	1,030.11	822.20		207.91
9	Best Buy Inc	12/22/10	01/20/12	228.63	302.48		(73.85)
23	Best Buy Inc	01/10/11	01/20/12	584.27	819.17		(234.90)
12	John Hancock Pfd Income II	07/27/07	01/25/12	258.35	272.51		(14.16)
6	John Hancock Pfd Income II	08/16/07	01/25/12	129.18	114.90		14.28
15	John Hancock Pfd Income II	12/18/07	01/25/12	322.94	279.01		43.93
8	Merck & Co Inc	09/25/08	01/24/12	311.67	259.98		51.69
14	Merck & Co Inc	12/10/08	01/24/12	545.43	375.24		170.19
6	Merck & Co Inc	09/16/09	01/24/12	233.75	192.14		41.61
5	Philippine Long Distrance Tel Co	10/01/09	01/25/12	317.11	257.87		59.24
1	Philippine Long Distrance Tel Co	10/05/09	01/25/12	63.42	54.34		9.08
4	Philippine Long Distrance Tel Co	06/08/10	01/25/12	253.68	207.24		46.44
9	Spectra Energy Corp	11/17/09	01/24/12	283.78	173.42		110.36
7	Astrazeneca PLC	06/21/10	02/03/12	330.21	318.01		12.20
30.324	Cohen & Steers Infrastructure Fund Inc	12/01/06	02/16/12	528.57	932.13		(403.56)
40	Cohen & Steers Infrastructure Fund Inc	03/13/07	02/16/12	697.22	996.47		(299.25)
65.676	Cohen & Steers Infrastructure Fund Inc	03/21/07	02/16/12	1,144.77	2,006.96		(862.19)
13	Cohen & Steers Infrastructure Fund Inc	01/25/08	02/16/12	226.60	323.70		(97.10)
39	CPFL Energia SA	06/10/10	02/22/12	1,249.67	828.66		421.01
12	CPFL Energia SA	07/12/10	02/22/12	384.51	274.38		110.13
50	Duoyuan Global Wtr Inc.	09/27/10	02/02/12	46.50	601.16		(554.66)
50	Duoyuan Global Wtr Inc.	11/23/10	02/02/12	46.49	610.85		(564.36)
25	Home Depot Inc	07/30/09	02/02/12	1,113.18	649.71		463.47
14	Home Depot Inc	12/11/09	02/02/12	623.38	398.00		225.38
6	Petrochina Co Ltd	10/31/08	02/07/12	897.73	443.64		454.09

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

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CAPITAL TRANSACTIONS

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Fiscal Year Ended September 30, 2012

Short Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
1	Petrochina Co Ltd	11/13/09	02/07/12	149.62	129.03		20.59
4	Petrochina Co Ltd	11/18/09	02/07/12	598.48	525.75		72.73
2	Petrochina Co Ltd	04/20/10	02/07/12	299.24	239.51		59.73
21	Telefonica S A	03/10/10	02/07/12	368.33	516.15		(147.82)
15	Telefonica S A	03/12/10	02/07/12	263.10	371.88		(108.78)
12	Western Asset Emerg Mkts Debt Fd Inc	07/27/07	02/01/12	237.32	206.89		30.43
4	Bank of America Corp	07/21/09	03/16/12	28.59	49.48		(20.89)
16	Bank of America Corp	07/30/09	03/16/12	114.40	224.44		(110.04)
8	American Electric Power Co	03/10/09	04/20/12	311.28	196.56		114.72
6	American Electric Power Co	09/16/09	04/20/12	233.47	188.40		45.07
10	American Electric Power Co	09/23/09	04/20/12	389.10	315.05		74.05
10	American Electric Power Co	11/30/09	04/20/12	389.10	320.54		68.56
19	American Electric Power Co	01/19/11	04/20/12	739.30	682.04		57.26
15	American Electric Power Co	03/18/11	04/20/12	583.66	508.35		75.31
14	Intel Corp	10/21/09	04/10/12	388.43	281.68		106.75
28	Spectra Energy Corp	03/08/04	05/10/12	844.75	516.32		328.43
5	Spectra Energy Corp	03/09/09	05/10/12	150.84	58.26		92.58
3	Spectra Energy Corp	11/17/09	05/10/12	90.51	57.81		32.70
2	Spectra Energy Corp	03/16/11	05/10/12	60.34	51.07		9.27
1	Verizon Communications Inc	10/30/08	06/14/12	43.67	29.47		14.20
21	Verizon Communications Inc	09/15/10	06/14/12	917.16	652.19		264.97
12	Bristol Myers Squibb Co	05/06/08	07/24/12	417.19	276.54		140.65
6	Bristol Myers Squibb Co	09/16/09	07/24/12	208.60	135.53		73.07
1	Cohen & Steers Infrastructure Fund Inc	01/25/08	07/12/12	17.10	24.98		(7.88)
24	Cohen & Steers Infrastructure Fund Inc	01/29/10	07/12/12	410.39	356.40		53.99
22	Cohen & Steers Infrastructure Fund Inc	02/05/10	07/12/12	376.19	307.44		68.75
0.666	Duke Energy Corp	03/08/04	07/03/12	45.91	25.58		20.33
4.333	Duke Energy Corp	03/08/04	07/20/12	285.99	166.27		119.72
13.333	Duke Energy Corp	01/13/10	07/20/12	879.98	680.00		199.98
2	Duke Energy Corp	08/25/10	07/20/12	132.00	102.37		29.63
3	Duke Energy Corp	05/12/11	07/20/12	198.00	171.18		26.82
3.333	Duke Energy Corp	05/12/11	07/20/12	220.00	190.20		29.80
3.333	Duke Energy Corp	05/12/11	07/20/12	219.99	190.40		29.59
3	Petroleo Brasileiro SA	07/19/07	06/28/12	52.52	105.90		(53.38)
25	Petroleo Brasileiro SA	09/02/10	06/28/12	437.65	884.03		(446.38)
24	Petroleo Brasileiro SA	03/25/11	06/28/12	420.15	972.33		(552.18)
2	Petroleo Brasileiro SA	03/31/11	06/28/12	35.01	81.44		(46.43)

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

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CAPITAL TRANSACTIONS

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Fiscal Year Ended September 30, 2012

Short Term - UBS Financial Services Inc.

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
27	Telefonica S A	03/22/10	07/26/12	291.40	642.45	(351.05)	(351.05)
3	Telefonica S A	11/29/10	07/26/12	32.38	65.56	(33.18)	(33.18)
13	Telefonica S A	04/21/11	07/26/12	140.31	379.46	(239.15)	(239.15)
18	Fortress Invt Group LLC	12/09/10	08/24/12	72.04	96.02	(23.98)	(23.98)
82	Fortress Invt Group LLC	04/28/11	08/24/12	328.16	501.86	(173.70)	(173.70)
15	Duke Energy Corp	03/08/04	08/28/12	979.22	575.55	403.67	403.67
100	Fortress Invt Group LLC	12/09/10	08/29/12	396.06	533.42	(137.36)	(137.36)
82	Fortress Invt Group LLC	12/09/10	09/04/12	324.02	512.49	(188.47)	(188.47)
233	Aberdeen Asia Pac Income Fd Inc	12/07/99	09/21/12	1,840.65	986.18	854.47	854.47
34.819	Aberdeen Asia Pac Income Fd Inc	04/20/01	09/21/12	275.07	131.28	143.79	143.79
34.672	Aberdeen Asia Pac Income Fd Inc	05/23/01	09/21/12	273.91	134.37	139.54	139.54
33.805	Aberdeen Asia Pac Income Fd Inc	06/18/01	09/21/12	267.05	135.99	131.06	131.06
33.978	Aberdeen Asia Pac Income Fd Inc	07/16/01	09/21/12	268.42	137.50	130.92	130.92
33.723	Aberdeen Asia Pac Income Fd Inc	08/13/01	09/21/12	266.42	139.02	127.40	127.40
5	Companhia De Sanamento Basico Do	03/28/11	09/11/12	431.09	275.75	155.34	155.34
2	Companhia De Sanamento Basico Do	05/18/11	09/11/12	172.43	114.44	57.99	57.99
1	Companhia De Sanamento Basico Do	05/25/11	09/11/12	86.22	61.00	25.22	25.22
2	Companhia De Sanamento Basico Do	08/29/11	09/11/12	172.44	135.79	36.65	36.65
25	Johnson & Johnson	08/31/10	09/11/12	1,709.96	1,431.72	278.24	278.24
6	Johnson & Johnson	12/16/10	09/11/12	410.39	373.94	36.45	36.45
1	Johnson & Johnson	01/03/11	09/11/12	68.40	63.15	5.25	5.25
5	Verizon Communications Inc	09/21/05	09/11/12	220.39	143.81	76.58	76.58
9	Verizon Communications Inc	10/30/08	09/11/12	396.71	268.50	128.21	128.21
	Total Long Term Stocks			40,447.81	39,528.84	918.97	918.97
	UBS Financial Securities - Gains in 2012 for Prior Periods					224.34	224.34
	Grand Totals			\$ 65,586.57	\$ 66,864.79	\$ (2,197.19)	\$ 1,143.31