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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

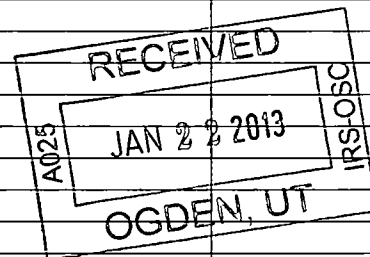
2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning **OCT 1, 2011**, and ending **SEP 30, 2012**

Name of foundation NORMAN MILLER FAMILY FOUNDATION		A Employer identification number 39-6098847
Number and street (or P O box number if mail is not delivered to street address) PO BOX 3458	Room/suite	B Telephone number 920-494-2327
City or town, state, and ZIP code GREEN BAY, WI 54303		C If exemption application is pending check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 976,088.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		18,292.	18,292.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		104,578.			
b Gross sales price for all assets on line 6a 543,791.					
7 Capital gain net income (from Part IV, line 2)			104,578.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		122,870.	122,870.		
13 Compensation of officers, directors, trustees, etc		32,981.	32,981.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,590.	1,590.		0.
c Other professional fees STMT 3		14,400.	14,400.		0.
17 Interest					
18 Taxes STMT 4		3,091.	4.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		58.	58.		0.
24 Total operating and administrative expenses Add lines 13 through 23		52,120.	49,033.		0.
25 Contributions, gifts, grants paid		43,500.			43,500.
26 Total expenses and disbursements. Add lines 24 and 25		95,620.	49,033.		43,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		27,250.			
b Net investment income (if negative, enter -0-)			73,837.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	49,760.	28,601.	28,601.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	792,120.	840,529.	947,487.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	841,880.	869,130.	976,088.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	841,880.	869,130.	
30 Total net assets or fund balances	841,880.	869,130.		
31 Total liabilities and net assets/fund balances	841,880.	869,130.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	841,880.
2 Enter amount from Part I, line 27a	2	27,250.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	869,130.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	869,130.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 543,791.		439,213.	104,578.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			104,578.

2 Capital gain net income or (net capital loss) 2 104,578.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	48,900.	925,506.	.052836
2009	83,633.	1,000,942.	.083554
2008	57,028.	1,109,369.	.051406
2007	36,868.	916,512.	.040226
2006	30,519.	985,080.	.030981

2 Total of line 1, column (d)	2	.259003
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051801
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	915,441.
5 Multiply line 4 by line 3	5	47,421.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	738.
7 Add lines 5 and 6	7	48,159.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	43,500.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,477.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	1,477.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,477.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,120.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,120.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	643.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X	
14	The books are in care of ► SHIRLYN MILLER, TREASURER Telephone no. ► 920-494-2327 Located at ► 421 S. MILITARY AVENUE, GREEN BAY, WI ZIP+4 ► 54303			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes No	X X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		32,981.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	890,703.
b	Average of monthly cash balances	1b	38,679.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	929,382.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	929,382.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,941.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	915,441.
6	Minimum investment return. Enter 5% of line 5	6	45,772.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,772.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,477.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,477.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	44,295.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	44,295.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	44,295.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	43,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	43,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	43,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				44,295.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				3,763.
f Total of lines 3a through e	3,763.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$				43,500.
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				43,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	795.			795.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,968.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	2,968.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010	2,968.			
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED	NONE		SEE ATTACHED	43,500.
Total			3a	43,500.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations	55 0000047
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- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|----------|--|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

and belief, is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  Sheryl M. Miller 11-16-13  Treasurer

Signature of officer or trustee Date Title

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	TIMOTHY R. WELTZIENTIMOTHY R. WELTZI	TIMOTHY R. WELTZI	01/10/13		P00102420
	Firm's name ▶ PINNACLE CONSULTING GROUP, LLC			Firm's EIN ▶ 56-2308153	
	Firm's address ▶ 1809 DECKNER AVENUE GREEN BAY, WI 54302			Phone no. 920-406-9628	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT-TERM CAP GAIN - SEE ATTACHED		P	VARIOUS	VARIOUS
b LONG-TERM CAP GAIN - SEE ATTACHED		P	VARIOUS	VARIOUS
c AMOUNT PAID TO CLOSE OPTION CONTRACTS		P	VARIOUS	VARIOUS
d AMOUNT RECEIVED FROM SALE & EXPIRATION OF OPTION		P	VARIOUS	VARIOUS
e COST BASIS ADJUSTMENTS		P	VARIOUS	VARIOUS
f CAPITAL GAINS DIVIDENDS				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 136,530.		128,872.	7,658.
b 381,812.		281,775.	100,037.
c		28,100.	-28,100.
d 24,950.			24,950.
e		466.	-466.
f 499.			499.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			7,658.
b			100,037.
c			-28,100.
d			24,950.
e			-466.
f			499.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	104,578.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS SECURITIES	18,791.	499.	18,292.
TOTAL TO FM 990-PF, PART I, LN 4	18,791.	499.	18,292.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,590.	1,590.		0.
TO FORM 990-PF, PG 1, LN 16B	1,590.	1,590.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	14,400.	14,400.		0.
TO FORM 990-PF, PG 1, LN 16C	14,400.	14,400.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	4.	4.		0.
EXCISE TAX ON INVESTMENT INCOME - 2010	967.	0.		0.
EXCISE TAX ON INVESTMENT INCOME - 2011	2,120.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,091.	4.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CHARGES	40.	40.		0.	
POSTAGE	8.	8.		0.	
DUES & SUBSCRIPTIONS	10.	10.		0.	
TO FORM 990-PF, PG 1, LN 23	58.	58.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
CORPORATE STOCK	840,529.		947,487.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	840,529.		947,487.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 7
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
IDA LYN CULLEN 534 LINDEN AVENUE PITTSBURGH, PA 15208	PRESIDENT / EXECUTIVE DIRECTOR 15.00	32,981.	0.	0.
WILLIAM MILLER 1421 BROOKLYN AVENUE ANN ARBOR, MI 48104	VICE PRESIDENT 0.00	0.	0.	0.
SUSAN NUETZEL 61 VILLA COUBLEY ST. LOUIS, MO 63131	SECRETARY 0.00	0.	0.	0.
SHIRLYN MILLER P.O. BOX 3458 GREEN BAY, WI 54303	TREASURER 0.00	0.	0.	0.
ARLIE NUETZEL 3338 N. HAMILTON, APT. 1 CHICAGO, IL 60618	BD DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		32,981.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SHIRLYN MILLER
421 S. MILITARY AVENUE
GREEN BAY, WI 54303

TELEPHONE NUMBER

920-494-2327

FORM AND CONTENT OF APPLICATIONS

LETTER REQUESTING FUNDS. LETTER SHOULD INCLUDE ORGANIZATION'S TAX EXEMPT
STATUS AND REASON FOR REQUEST.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

NORMAN MILLER FAMILY FOUNDATION INC.
 FYE 9/30/2012
 FORM 990-PF, PART XV
 SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
ADL - Anti-Defamation League 823 United Nations Plaza New York, NY 10017	None	Education	\$ 500
AJC - American Jewish Committee PO Box 170800 Milwaukee, WI 53217-9959	None	General	\$ 1,000
ASPIRO 1673 Dousman Street Green Bay, WI 54303	None	General	\$ 2,000
Bellin College 3201 Eaton Road Green Bay, WI 54311	None	Education	\$ 1,500
Big Brothers Big Sisters 1345 W. Mason St Green Bay, WI 54303	None	General	\$ 1,000
Boys & Girls Club of Green Bay 311 S. Oneida St Green Bay, WI 54303	None	General	\$ 1,500
CASA of Brown County, Inc. 414 E. Walnut St., Suite 281 Green Bay, WI 54301	None	General	\$ 1,000
Cerebral Palsy Inc. PO Box 1326 Green Bay, WI 54305-1326	None	General	\$ 500
Clarity Care 424 Washington Ave. Oshkosh, WI 54901-5044	None	Medical	\$ 1,000
Green Bay Botanical Garden 2600 Larsen Road Green Bay, WI 54303	None	General	\$ 1,000
Green Bay Symphony Orchestra PO Box 222 Green Bay, WI 54305-0222	None	General	\$ 1,000

NORMAN MILLER FAMILY FOUNDATION INC.
 FYE 9/30/2012
 FORM 990-PF, PART XV
 SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
Heritage Hill Foundation 2640 S. Webster Ave. Green Bay, WI 54301	None	General	\$ 500
Hillel Arthur and Rochelle Belfer Building 800 Eighth Street, N. W. Washington, DC 20001	None	Education	\$ 500
Howe Neighborhood Family Resource 526 S. Monroe St Green Bay, WI 54301	None	General	\$ 1,000
Jewish Foundation for the Righteous 305 Seventh Avenue, 19th Floor New York, NY 10001	None	General	\$ 500
Jewish National Fund PO Box 5609 Hicksville, NY 11802-5609	None	General	\$ 2,000
JOSHUA 130 St. Matthew St Green Bay, WI 54301	None	General	\$ 1,000
Literacy Green Bay 424 S. Monroe Avenue Green Bay, WI 54301-4054	None	General	\$ 1,000
The Meyer Theatre Corporation PO Box 1742 Green Bay, WI 54305	None	General	\$ 500
Muscular Dystrophy Association 2670 S. Ashland Avenue #101 Green Bay, WI 54304	None	General	\$ 500
New Community Shelter, Inc. 301 Mather Street Green Bay, WI 54303	None	General	\$ 1,500
New Israel Fund 2100 M Street NW, Suite 619 Washington, DC 20037-1269	None	General	\$ 2,000

NORMAN MILLER FAMILY FOUNDATION INC.

FYE 9/30/2012

FORM 990-PF, PART XV

SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
Planned Parenthood of Wi, Inc. PO Box 510795 Milwaukee, WI 53203-9839	None	Education	\$ 3,000
Scholarships Inc. 2050 Riverside Drive Green Bay, WI 54301	None	Education	\$ 500
Salvation Army 626 Union Court Green Bay, WI 54303	None	General	\$ 2,500
St. Norbert College 100 Grant Street DePere, WI 54115-2099	None	General	\$ 10,000
Southern Poverty Law Center PO Box 548 Montgomery, AL 36177-9621	None	Education	\$ 1,000
United States Holocaust Memorial Museum PO Box 7023 Albert Lea, MN 56007-8023	None	General	\$ 500
United Way PO Box 1593 Green Bay, WI 54305-1593	None	General	\$ 2,000
Wisconsin Public Television 821 University Avenue Madison, WI 53706-1497	None	General	\$ 1,000
			<u>\$ 43,500</u>

Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2011 - September 30, 2012

Account Number 73156600

**WELLS
FARGO**

REALIZED GAIN/LOSS DETAIL

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
10/24/11	17.000	ACCENTURE PLC 17.0000 UNITS ACQUIRED ON 10/22/10	- \$777.71 777.71	\$975.10	\$197.39	\$0.00
09/04/12	68.000	ACCENTURE PLC 23.0000 UNITS ACQUIRED ON 10/22/10 45.0000 UNITS ACQUIRED ON 04/08/09	- 2,297.34 1,052.19 1,245.15	4,152.68	0.00	1,855.34
10/24/11	12.000	ALLERGAN INC 12.0000 UNITS ACQUIRED ON 05/12/11	- 972.36 972.36	1,019.38	47.02	0.00
10/24/11	4.000	AMAZON COM INC COM 4.0000 UNITS ACQUIRED ON 08/26/10	- 504.44 504.44	924.94	0.00	420.50
03/02/12	57.000	AMERICAN TOWER CORP 32.0000 UNITS ACQUIRED ON 05/12/11 25.0000 UNITS ACQUIRED ON 12/30/10	- 2,990.06 1,703.36 1,286.70	3,525.96	276.13	259.77
10/24/11	18.000	AMERICAN TOWER SYSTEMS CORP CLASS A 18.0000 UNITS ACQUIRED ON 05/12/11	- 958.14 958.14	986.38	28.24	0.00
10/24/11	4.000	APPLE INC 4.0000 UNITS ACQUIRED ON 04/08/09	- 461.20 461.20	1,598.97	0.00	1,137.77
03/02/12	4.000	APPLE INC 4.0000 UNITS ACQUIRED ON 04/08/09	- 461.20 461.20	2,132.52	0.00	1,671.32
03/02/12	70.000	CARNIVAL CORP 40.0000 UNITS ACQUIRED ON 01/09/09 30.0000 UNITS ACQUIRED ON 08/20/09	- 1,873.80 986.40 887.40	2,094.37	0.00	220.57
03/26/12	965.000	CEF ISHARES S&P 500 GROWTH INDEX 965.0000 UNITS ACQUIRED ON 06/29/11	- 66,391.81 66,391.81	72,624.69	6,232.88	0.00
10/24/11	15.000	CELGENE CORP COM 15.0000 UNITS ACQUIRED ON 04/08/09	- 614.40 614.40	980.98	0.00	366.58
10/24/11	3.000	CHIPOTLE MEXICAN GRILL INC 3.0000 UNITS ACQUIRED ON 08/26/10	- 448.86 448.86	933.94	0.00	485.08
10/24/11	15.000	CITRIX SYS INC COM 15.0000 UNITS ACQUIRED ON 01/09/09	- 348.75 348.75	969.28	0.00	620.53
06/18/12	10.000	CITRIX SYS INC COM 10.0000 UNITS ACQUIRED ON 03/29/12	- 787.90 787.90	759.08	- 28.82	0.00
10/24/11	14.000	COGNIZANT TECH SOLUTIONS CRP COM 14.0000 UNITS ACQUIRED ON 12/30/10	- 1,034.59 1,034.59	984.04	- 50.55	0.00

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THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2011 - September 30, 2012

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
10/31/11	25.000	COMPANHIA DE BEBIDAS-PRF ADR 25 0000 UNITS ACQUIRED ON 05/10/11	-811.74 811.74	840.24	28.50	0.00
06/21/12	0.268	COMPANHIA DE BEBIDAS-PRF ADR 0.0120 UNITS ACQUIRED ON 05/10/11 0.2310 UNITS ACQUIRED ON 06/03/11 0.0240 UNITS ACQUIRED ON 05/10/11	0.00 0.00 0.00	1.71	0.00	1.72
10/24/11	100.000	COMPLETE PRODTN SVCS INC 25.0000 UNITS ACQUIRED ON 11/18/10 75 0000 UNITS ACQUIRED ON 01/25/11	-2,698.69 705.95 1,992.74	3,062.94	364.25	0.00
10/24/11	12.000	CONCHO RESOURCES INC 12 0000 UNITS ACQUIRED ON 11/18/10	-933.96 933.96	1,034.50	100.54	0.00
10/24/11	19.000	COOPER INDUSTRIES PLC NEW IRELAND 15 0000 UNITS ACQUIRED ON 07/30/10 4.0000 UNITS ACQUIRED ON 04/08/09	-782.46 678.90 103.56	961.77	0.00	179.31
09/10/12	61.000	COOPER INDUSTRIES PLC NEW IRELAND 20 0000 UNITS ACQUIRED ON 03/29/12 41.0000 UNITS ACQUIRED ON 04/08/09	-2,317.29 1,255.80 1,061.49	4,424.23	194.77	1,912.17
06/18/12	10.000	COSTCO WHOLESALE CORP 10 0000 UNITS ACQUIRED ON 03/29/12	-900.00 900.00	876.28	-23.72	0.00
08/30/12	668.062	CREDIT SUISSE COMM RT ST-CO #2156 668.0620 UNITS ACQUIRED ON 10/28/11	-5,858.90 5,858.90	5,585.00	-273.90	0.00
10/24/11	21.000	DANAHER CORP 21 0000 UNITS ACQUIRED ON 04/08/09	-554.82 554.82	927.60	0.00	372.78
03/02/12	30.000	DEERE & CO 30 0000 UNITS ACQUIRED ON 03/11/11	-2,617.72 2,617.72	2,496.56	-121.16	0.00
10/24/11	12.000	DIAGEO PLC - ADR 12 0000 UNITS ACQUIRED ON 07/30/10	-839.16 839.16	1,000.54	0.00	161.38
03/30/12	46.000	DIAMOND HILL LONG-SHORT FD CL I #11 46 0000 UNITS ACQUIRED ON 07/07/11	-786.14 786.14	830.30	44.16	0.00
10/24/11	14.000	EXXON MOBIL CORPORATION 14.0000 UNITS ACQUIRED ON 06/03/11	-1,138.76 1,138.76	1,096.04	-42.72	0.00
05/23/12	45.000	EXXON MOBIL CORPORATION 16 0000 UNITS ACQUIRED ON 06/03/11 29 0000 UNITS ACQUIRED ON 08/26/10	-3,006.31 1,301.44 1,704.87	3,668.73	3.00	659.42

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THE PRIVATE BANK

Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2011 - September 30, 2012

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
09/10/12	31.000	EXXON MOBIL CORPORATION 31 0000 UNITS ACQUIRED ON 08/26/10	- 1,822.44 1,822.44	2,707.54	0.00	885.10
03/02/12	47.000	FASTENAL CO 47 0000 UNITS ACQUIRED ON 07/30/10	- 1,152.41 1,152.41	2,456.64	0.00	1,304.23
03/02/12	38.000	FMC TECHNOLOGIES INC 10 0000 UNITS ACQUIRED ON 11/18/10 28 0000 UNITS ACQUIRED ON 11/18/10	- 1,520.76 400.20 1,120.56	1,947.85	0.00	427.09
07/26/12	62.000	FMC TECHNOLOGIES INC 60 0000 UNITS ACQUIRED ON 08/26/10 2 0000 UNITS ACQUIRED ON 11/18/10	- 1,945.72 1,865.68 80.04	2,525.72	0.00	580.00
10/24/11	2.000	GOOGLE INC 2 0000 UNITS ACQUIRED ON 02/23/11	- 1,211.78 1,211.78	1,164.04	- 47.74	0.00
10/24/11	37.000	HMS HLDGS CORP 37 0000 UNITS ACQUIRED ON 09/27/10	- 715.58 715.58	977.89	0.00	262.31
10/20/11	2,868.000	HUSSMAN STRATEGIC GROWTH FUND #601 1,057 8630 UNITS ACQUIRED ON 08/20/09 1,810 1370 UNITS ACQUIRED ON 01/09/09	- 36,209.90 13,709.90 22,500.00	36,423.60	0.00	213.70
08/30/12	1,017.615	HUSSMAN STRATEGIC GROWTH FUND #601 1,017 6150 UNITS ACQUIRED ON 08/20/09	- 13,188.29 13,188.29	11,265.00	0.00	- 1,923.29
10/24/11	8.000	INTERCONTINENTAL EXCHANGE INC 8 0000 UNITS ACQUIRED ON 04/08/09	- 690.00 690.00	985.90	0.00	295.90
06/18/12	5.000	INTERCONTINENTAL EXCHANGE INC 5 0000 UNITS ACQUIRED ON 03/29/12	- 676.40 676.40	633.78	- 42.62	0.00
10/24/11	880.000	IPATH DOW JONES-UBS COMMODITY INDEX 80 0000 UNITS ACQUIRED ON 08/20/09 40 0000 UNITS ACQUIRED ON 04/08/09 560 0000 UNITS ACQUIRED ON 01/09/09 20 0000 UNITS ACQUIRED ON 04/08/09 180 0000 UNITS ACQUIRED ON 08/20/09	- 31,943.40 3,080.80 1,356.00 19,896.80 678.00 6,931.80	38,044.83	0.00	6,101.43
09/04/12	245.000	ISHARES MSCI EAFE 245 0000 UNITS ACQUIRED ON 01/09/09	- 10,931.90 10,931.90	12,727.48	0.00	1,795.58

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THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2011 - September 30, 2012

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
10/25/11	325.000	ISHARES RUSSELL 2000 25.0000 UNITS ACQUIRED ON 08/20/09 25.0000 UNITS ACQUIRED ON 04/08/09 120.0000 UNITS ACQUIRED ON 04/08/09 155.0000 UNITS ACQUIRED ON 08/20/09	-16,456.28 1,402.75 1,095.94 5,260.54 8,697.05	22,431.07	0.00	5,974.79
10/28/11	400.000	ISHARES RUSSELL 2000 400.0000 UNITS ACQUIRED ON 04/08/09	-17,535.12 17,535.12	30,659.61	0.00	13,124.49
09/04/12	30.000	ISHARES S&P MIDCAP 400 GROWTH 30.0000 UNITS ACQUIRED ON 10/19/11	-2,896.32 2,896.32	3,320.63	424.31	0.00
04/03/12	81.000	ISHARES S&P MIDCAP 400 VALUE 81.0000 UNITS ACQUIRED ON 10/19/11	-5,802.61 5,802.61	6,884.84	1,082.23	0.00
09/04/12	40.000	ISHARES S&P MIDCAP 400 VALUE 40.0000 UNITS ACQUIRED ON 10/19/11	-2,865.48 2,865.48	3,349.52	484.04	0.00
10/25/11	75.000	ISHARES TR MSCI EMERGING MARKETS 75.0000 UNITS ACQUIRED ON 08/20/09	-2,644.50 2,644.50	2,831.19	0.00	186.69
12/07/11	1,500.000	ISHARES TR MSCI EMERGING MARKETS 100.0000 UNITS ACQUIRED ON 04/08/09 10.0000 UNITS ACQUIRED ON 04/08/09 85.0000 UNITS ACQUIRED ON 08/20/09 100.0000 UNITS ACQUIRED ON 04/08/09 15.0000 UNITS ACQUIRED ON 04/08/09 75.0000 UNITS ACQUIRED ON 04/08/09 700.0000 UNITS ACQUIRED ON 04/08/09 290.0000 UNITS ACQUIRED ON 04/08/09 125.0000 UNITS ACQUIRED ON 08/20/09	-41,923.58 2,675.89 267.59 2,997.10 2,675.89 401.38 2,006.92 18,731.23 7,760.08 4,407.50	60,538.83	0.00	18,615.25
10/25/11	60.000	ISHARES TR S & P MIDCAP 400 ID FD 60.0000 UNITS ACQUIRED ON 08/20/09	-3,831.23 3,831.23	5,008.70	0.00	1,177.47
10/28/11	700.000	ISHARES TR S & P MIDCAP 400 ID FD 95.0000 UNITS ACQUIRED ON 08/20/09 360.0000 UNITS ACQUIRED ON 01/09/09 243.0000 UNITS ACQUIRED ON 01/09/09 2.0000 UNITS ACQUIRED ON 08/20/09	-39,039.24 6,066.12 19,609.20 13,236.21 127.71	64,062.90	0.00	25,023.66
04/03/12	96.000	ISHARES TR SMALLCAP 600 INDEX FD 96.0000 UNITS ACQUIRED ON 10/19/11	-6,083.39 6,083.39	7,313.11	1,229.72	0.00
09/04/12	80.000	ISHARES TR SMALLCAP 600 INDEX FD 80.0000 UNITS ACQUIRED ON 06/29/11	-5,801.60 5,801.60	6,074.26	0.00	272.66

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THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2011 - September 30, 2012

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
09/10/12	200.000	MARVELL TECHNOLOGY GROUP 200 0000 UNITS ACQUIRED ON 03/29/12	- 3,145.98 3,145.98	2,047.63	- 1,098.35	0.00
10/24/11	20.000	MEDCO HEALTH SOLUTIONS INC 10 0000 UNITS ACQUIRED ON 04/08/09 10 0000 UNITS ACQUIRED ON 08/20/09	- 961.90 418.30 543.60	957.66	0.00	- 4.24
03/02/12	40.000	MEDCO HEALTH SOLUTIONS INC 40 0000 UNITS ACQUIRED ON 04/08/09	- 1,673.20 1,673.20	2,694.81	0.00	1,021.61
10/20/11	155.000	MERGER FD SH BEN INT #260 155 0000 UNITS ACQUIRED ON 07/07/11	- 2,518.75 2,518.75	2,445.90	- 72.85	0.00
08/30/12	155.917	MERGER FD SH BEN INT #260 155 9170 UNITS ACQUIRED ON 07/07/11	- 2,533.65 2,533.65	2,490.00	0.00	- 43.65
09/04/12	34.000	NATIONAL OILWELL INC COM 34 0000 UNITS ACQUIRED ON 02/28/12	- 2,866.54 2,866.54	2,625.42	- 241.12	0.00
12/05/11	50.000	NESTLE S.A. REGISTERED SHARES - ADR 50 0000 UNITS ACQUIRED ON 04/08/09	- 1,732.00 1,732.00	2,795.44	0.00	1,063.44
10/24/11	25.000	NETAPP INC 25 0000 UNITS ACQUIRED ON 12/14/10	- 1,333.00 1,333.00	962.98	- 370.02	0.00
10/24/11	30.000	ORACLE CORPORATION 20 0000 UNITS ACQUIRED ON 12/14/10 10 0000 UNITS ACQUIRED ON 12/14/10	- 915.89 610.59 305.30	943.47	27.58	0.00
10/24/11	20.000	POTASH CORP SASK 20 0000 UNITS ACQUIRED ON 11/18/10	- 933.80 933.80	954.98	21.18	0.00
10/24/11	12.000	PRECISION CASTPARTS CORP 12 0000 UNITS ACQUIRED ON 07/30/10	- 1,468.08 1,468.08	1,975.17	0.00	507.09
10/24/11	18.000	QUALCOMM INC 18 0000 UNITS ACQUIRED ON 04/29/11	- 1,083.03 1,083.03	948.23	- 134.80	0.00
10/24/11	14.000	SCHLUMBERGER LTD 14 0000 UNITS ACQUIRED ON 07/30/10	- 836.78 836.78	952.54	0.00	115.76
05/23/12	25.000	SCHLUMBERGER LTD 10 0000 UNITS ACQUIRED ON 11/30/11 15 0000 UNITS ACQUIRED ON 03/29/12	- 1,779.95 742.70 1,037.25	1,608.19	- 171.76	0.00
10/24/11	102.000	SPDR DJ WILSHIRE INTERNATIONAL REAL 102 0000 UNITS ACQUIRED ON 01/09/09	- 2,889.66 2,889.66	3,468.95	0.00	579.29

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2011 - September 30, 2012

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
09/04/12	170.000	SPDR DJ WILSHIRE INTERNATIONAL REAL 170 0000 UNITS ACQUIRED ON 01/09/09	-4,816.10 4,816.10	6,568.67	0.00	1,752.57
10/24/11	12.000	STERICYCLE INC COM 12 0000 UNITS ACQUIRED ON 07/30/10	-754.91 754.91	996.34	0.00	241.43
10/24/11	19.000	THERMO FISHER SCIENTIFIC INC 19 0000 UNITS ACQUIRED ON 07/30/10	-856.52 856.52	974.89	0.00	118.37
03/02/12	41.000	THERMO FISHER SCIENTIFIC INC 36 0000 UNITS ACQUIRED ON 07/30/10 5 0000 UNITS ACQUIRED ON 08/20/09	-1,847.98 1,622.88 225.10	2,341.47	0.00	493.49
10/24/11	14.000	TRACTOR SUPPLY CO COM 14 0000 UNITS ACQUIRED ON 01/09/09	-230.65 230.65	928.32	0.00	697.67
10/24/11	11.000	UNION PACIFIC CORP 11 0000 UNITS ACQUIRED ON 11/18/10	-1,011.42 1,011.42	1,000.54	-10.88	0.00
09/04/12	115.000	VANGUARD MSCI EMERGING MARKETS ETF 115 0000 UNITS ACQUIRED ON 06/29/11	-5,527.02 5,527.02	4,627.51	0.00	-899.51
10/25/11	294.000	VANGUARD REIT VIPER 294 0000 UNITS ACQUIRED ON 01/09/09	-10,166.74 10,166.74	15,499.38	0.00	5,332.64
04/03/12	42.000	VANGUARD REIT VIPER 27 0000 UNITS ACQUIRED ON 01/09/09 15 0000 UNITS ACQUIRED ON 01/09/09	-1,452.39 933.68 518.71	2,632.08	0.00	1,179.69
09/04/12	100.000	VANGUARD REIT VIPER 64 0000 UNITS ACQUIRED ON 01/09/09 36 0000 UNITS ACQUIRED ON 08/20/09	-3,435.32 2,167.17 1,268.15	6,675.86	0.00	3,240.54
05/23/12	30.000	WATERS CORP 20 0000 UNITS ACQUIRED ON 06/29/11 10 0000 UNITS ACQUIRED ON 03/29/12	-2,819.20 1,900.00 919.20	2,422.16	-397.04	0.00
Total This Period			-\$410,646.90	\$518,342.27	\$7,657.89	\$100,037.49

Gain/Loss information is un-audited and should not be used for tax preparation, estate and/or retirement planning purposes



Account Statement For:
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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
PAID TO/FOR - NORMAN MILLER FAMILY FOUNDATION INC <i>(continued)</i>					
08/30/12		PAID TO NORMAN MILLER FAMILY FOUNDATION INC	- 43,000.00		
		DIST TO/FOR BENE/CLIENT ACH			
		TRANSFER FROM NORMAN MILLER FAMILY FDN IMA			
09/28/12		PAID TO MANAGEMENT ENTERPRISES INC	- 2,748.42		
		DIST TO/FOR BENE/CLIENT ACH			
		TRANSFER FROM MILLER, NORMAN FAMILY FDN			
Total Paid To/For - NORMAN MILLER FAMILY FOUNDATION INC			- \$80,981.04	\$0.00	\$0.00
Total Paid To/For			- \$80,981.04	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ABV @ 40.00 4/21/12					
03/01/12		PAID TO UBS	- \$109.22		
		CALL WRITE OPTION			
		BUY TO CLOSE WITH UBS @ 1 084			
Total Asset Related - CALL WRITE ABV @ 40.00 4/21/12			- \$109.22	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ABV @ 41.00 4/21/12					
03/22/12		PAID TO UBS	- \$126.66		
		CALL WRITE OPTION			
		BUY TO CLOSE WITH UBS @ 1 2584			
Total Asset Related - CALL WRITE ABV @ 41.00 4/21/12			- \$126.66	\$0.00	\$0.00



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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE ABV @ 43.00	5/19/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 9423	- \$195.05		
Total Asset Related - CALL WRITE ABV @ 43.00	5/19/12		- \$195.05	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ABV @ 45.00	5/19/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$5.82		
Total Asset Related - CALL WRITE ABV @ 45.00	5/19/12		- \$5.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EEM @ 40.00	11/19/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 4287	- \$1,005.84		
	11/07/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.7228	- 173.10		
	11/08/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 1235	- 1,492.21		
Total Asset Related - CALL WRITE EEM @ 40.00	11/19/11		- \$2,671.15	\$0.00	\$0.00



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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE EEM @ 41.00	10/22/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.07	-\$117.34		
10/04/11					
Total Asset Related - CALL WRITE EEM @ 41.00	10/22/11		-\$117.34	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EEM @ 44.00					
11/17/11	12/17/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.3	-\$246.58		
Total Asset Related - CALL WRITE EEM @ 44.00	12/17/11		-\$246.58	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 50.00					
08/08/12	8/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.04	-\$2,048.24		
Total Asset Related - CALL WRITE EFA @ 50.00	8/18/12		-\$2,048.24	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 51.00					
06/04/12	6/16/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0564	-\$25.85		
Total Asset Related - CALL WRITE EFA @ 51.00	6/16/12		-\$25.85	\$0.00	\$0.00



Account Statement For:
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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE EFA @ 51.00	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 05	- \$29 12		
07/17/12					
Total Asset Related - CALL WRITE EFA @ 51.00	7/21/12		- \$29.12	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 52.00	8/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 0662	- \$74 44		
07/25/12					
Total Asset Related - CALL WRITE EFA @ 52.00	8/18/12		- \$74.44	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 52.00	9/22/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 87	- \$1,439 15		
09/20/12					
Total Asset Related - CALL WRITE EFA @ 52.00	9/22/12		- \$1,439.15	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 53.00	2/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 0021	- \$202 06		
02/16/12					
Total Asset Related - CALL WRITE EFA @ 53.00	2/18/12		- \$202.06	\$0.00	\$0.00

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE EFA @ 53.00	6/16/12				
05/21/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1095	-\$82.42		
Total Asset Related - CALL WRITE EFA @ 53.00			-\$82.42	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 54.00	3/17/12				
03/02/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.6777	-\$2,528.92		
Total Asset Related - CALL WRITE EFA @ 54.00			-\$2,528.92	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 54.00	10/20/12				
09/25/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.04	-\$1,048.29		
Total Asset Related - CALL WRITE EFA @ 54.00			-\$1,048.29	\$0.00	\$0.00
ASSET RELATED - CALL WRITE EFA @ 55.00	3/17/12				
03/07/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1165	-\$24.94		
Total Asset Related - CALL WRITE EFA @ 55.00			-\$24.94	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE EFA @ 55.00	5/19/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0398	- \$67.26		
			- \$67.26	\$0.00	\$0.00
Total Asset Related - CALL WRITE EFA @ 55.00 5/19/12					
ASSET RELATED - CALL WRITE EFA @ 57.00	4/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1801	- \$113.00		
			- 53.37		
			- 40.41		
Total Asset Related - CALL WRITE EFA @ 57.00 4/21/12					
			- \$206.78	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 107.00	3/17/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 5.8013	- \$1,742.85		
			- \$1,742.85	\$0.00	\$0.00
Total Asset Related - CALL WRITE IJK @ 107.00 3/17/12					

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJR @ 112.00	9/22/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.50	- \$501.66		
Total Asset Related - CALL WRITE IJR @ 112.00	9/22/12		- \$501.66	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 72.00	1/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1689	- \$35.42		
Total Asset Related - CALL WRITE IJR @ 72.00	1/21/12		- \$35.42	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 74.00	2/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.7409	- \$699.66		
Total Asset Related - CALL WRITE IJR @ 74.00	2/18/12		- \$699.66	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 77.00	9/22/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.45	- \$1,229.12		
Total Asset Related - CALL WRITE IJR @ 77.00	9/22/12		- \$1,229.12	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJR @ 78.00	3/17/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 00749	- \$33.26		
Total Asset Related - CALL WRITE IJR @ 78.00	3/17/12		- \$33.26	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IWM @ 74.00	10/22/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 011	- \$35.46		
Total Asset Related - CALL WRITE IWM @ 74.00	10/22/11		- \$35.46	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 27.00	2/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1392	- \$420.06		
Total Asset Related - CALL WRITE MSFT @ 27.00	2/18/12		- \$420.06	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 28.00	11/19/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 007	- \$23.46		
Total Asset Related - CALL WRITE MSFT @ 28.00	11/19/11		- \$23.46	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE MSFT @ 29.00 12/02/11	1/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.07	- \$23.46		
Total Asset Related - CALL WRITE MSFT @ 29.00	1/21/12		- \$23.46	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 29.00 01/24/12	2/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.0012	- \$302.82		
Total Asset Related - CALL WRITE MSFT @ 29.00	2/18/12		- \$302.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 31.00 03/09/12	4/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.5306	- \$461.64		
Total Asset Related - CALL WRITE MSFT @ 31.00	4/21/12		- \$461.64	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 31.00 07/12/12	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.09	- \$29.47		
Total Asset Related - CALL WRITE MSFT @ 31.00	7/21/12		- \$29.47	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE MSFT @ 31.00 09/24/12	9/22/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.44	-\$134.47		
Total Asset Related - CALL WRITE MSFT @ 31.00	9/22/12		-\$134.47	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 32.00 05/24/12	6/16/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0527	-\$18.28		
Total Asset Related - CALL WRITE MSFT @ 32.00	6/16/12		-\$18.28	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 33.00 04/05/12	4/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1067	-\$34.49		
Total Asset Related - CALL WRITE MSFT @ 33.00	4/21/12		-\$34.49	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 34.00 05/02/12	6/16/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.17	-\$53.47		
Total Asset Related - CALL WRITE MSFT @ 34.00	6/16/12		-\$53.47	\$0.00	\$0.00

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TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE ORCL @ 27.00	1/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 29	- \$129.82		
Total Asset Related - CALL WRITE ORCL @ 27.00			- \$129.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 29.00	6/16/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 0766	- \$8.48		
Total Asset Related - CALL WRITE ORCL @ 29.00			- \$8.48	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 29.00	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 5155	- \$152.38		
Total Asset Related - CALL WRITE ORCL @ 29.00			- \$152.38	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 30 3/17/12	03/07/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 4649	- \$47.32		
Total Asset Related - CALL WRITE ORCL @ 30 3/17/12			- \$47.32	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE ORCL @ 31.00					
03/22/12		4/21/12 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 18	- \$18.83		
Total Asset Related - CALL WRITE ORCL @ 31.00			4/21/12	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 31.00					
04/24/12		5/19/12 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 04	- \$4.83		
Total Asset Related - CALL WRITE ORCL @ 31.00			5/19/12	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 31.00					
05/09/12		6/16/12 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 08	- \$8.83		
Total Asset Related - CALL WRITE ORCL @ 31.00			6/16/12	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 32.00					
09/20/12		9/22/12 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 14	- \$114.82		
Total Asset Related - CALL WRITE ORCL @ 32.00			9/22/12	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE POT @ 42.00	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3 30	- \$330.82		
Total Asset Related - CALL WRITE POT @ 42.00	7/21/12		- \$330.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE POT @ 50.00	4/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.22	- \$22.82		
Total Asset Related - CALL WRITE POT @ 50.00	4/21/12		- \$22.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE QCOM @ 60.00	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 11	- \$11.83		
Total Asset Related - CALL WRITE QCOM @ 60.00	7/21/12		- \$11.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE QCOM @ 60.00	9/22/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 703	- \$271.12		
Total Asset Related - CALL WRITE QCOM @ 60.00	9/22/12		- \$271.12	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE QCOM @ 62.50	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 09	- \$9.83		
Total Asset Related - CALL WRITE QCOM @ 62.50	7/21/12		- \$9.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE QCOM @ 65.00	6/16/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 15	- \$15.83		
Total Asset Related - CALL WRITE QCOM @ 65.00	6/16/12		- \$15.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE QCOM @ 65.00	10/20/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 83	- \$83.83		
Total Asset Related - CALL WRITE QCOM @ 65.00	10/20/12		- \$83.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE QCOM @ 67.50	4/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 43	- \$243.82		
Total Asset Related - CALL WRITE QCOM @ 67.50	4/21/12		- \$243.82	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE QCOM @ 72.50	5/19/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.07	- \$7.82		
Total Asset Related - CALL WRITE QCOM @ 72.50	5/19/12		- \$7.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 65.00	5/19/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.01	- \$306.29		
Total Asset Related - CALL WRITE VNQ @ 65.00	5/19/12		- \$306.29	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 65.00	7/21/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.9686	- \$988.43		
Total Asset Related - CALL WRITE VNQ @ 65.00	7/21/12		- \$988.43	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 67.00	6/16/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$17.48		
Total Asset Related - CALL WRITE VNQ @ 67.00	6/16/12		- \$17.48	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VNQ @ 68.00	8/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.04	- \$24.13		
08/09/12					
Total Asset Related - CALL WRITE VNQ @ 68.00	8/18/12		- \$24.13	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 40.00					
11/02/11	11/19/11	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.8893	- \$569.25		
11/04/11		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.8	- 280.82		
11/14/11		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.9416	- 2,144.84		
Total Asset Related - CALL WRITE VWO @ 40.00	11/19/11		- \$2,994.91	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 42.00					
02/03/12	2/18/12	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.95	- \$3,916.44		
Total Asset Related - CALL WRITE VWO @ 42.00	2/18/12		- \$3,916.44	\$0.00	\$0.00

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VWO @ 42.00	9/22/12				
09/20/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.90	- \$908.24		
Total Asset Related - CALL WRITE VWO @ 42.00	9/22/12		-\$908.24	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 44.00	5/19/12				
05/14/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$116.50		
Total Asset Related - CALL WRITE VWO @ 44.00	5/19/12		-\$116.50	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 45.00	3/17/12				
03/07/12		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	- \$316.45		
Total Asset Related - CALL WRITE VWO @ 45.00	3/17/12		-\$316.45	\$0.00	\$0.00



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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - COMPANHIA DE BEBIDAS-PRF ADR					
11/29/11		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: ABV CUSIP: 20441W203 15 0% W/H ON 135 SHRS		-\$1.25	
04/18/12		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: ABV CUSIP: 20441W203 15 0% W/H ON 110 SHRS		- 1.79	
06/22/12		PAID TO ADR FEE @0.002 MISCELLANEOUS FEES SYMBOL: ABV CUSIP: 20441W203 ADR FEE	- 0.22		
08/06/12		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: ABV CUSIP: 20441W203 15 0% W/H ON 110 SHRS		- 1.07	
Total Asset Related - COMPANHIA DE BEBIDAS-PRF ADR			-\$0.22	-\$4.11	\$0.00
Total Asset Related			-\$28,100.46	-\$4.11	\$0.00
Total Cash Disbursements			-\$109,081.50	-\$4.11	\$0.00



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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Received <i>(continued)</i>					
OTHER ASSETS RECEIVED <i>(continued)</i>					
05/22/12	60.000	MANDATORY EXCHANGE RECEIVED 60 SHARES OF ENSCO PLC-CL A EFFECTIVE DATE 05/22/12 1 SHARES FOR EACH SHARE HELD OF ENSCO INTERNATIONAL PLC ADR SYMBOL: ESV CUSIP: G31575106 VALUE: \$2,911.20			3,331.52
Total Other Assets Received			Value: - \$13,401.89	\$0.00	-\$12,301.10
Total Assets Received			Value: - \$13,401.89	\$0.00	-\$12,301.10
Assets Disbursed					
OTHER ASSETS DELIVERED					
01/03/12	57.000	REORGANIZATION DELIVERED 57 SHARES OF AMERICAN TOWER SYSTEMS CORP CLASS A EFFECTIVE DATE 01/03/12 CUSIP: 029912201 VALUE: \$3,420.57			-\$2,990.06 *
10/28/11	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ABV @ 34.99 10/22/11 VALUE: \$-5.00 OPTION EXPIRED			34.18
03/01/12	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ABV @ 40.00 4/21/12 VALUE: \$-106.00 BUY TO CLOSE WITH UBS @ 1.084			39.18
03/22/12	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ABV @ 41.00 4/21/12 VALUE: \$-124.00 BUY TO CLOSE WITH UBS @ 1.2584			62.58

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
03/27/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ABV @ 43 00 5/19/12 VALUE \$-196 00 BUY TO CLOSE WITH UBS @ 1 9423			68 34
05/07/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ABV @ 45 00 5/19/12 VALUE \$-5 00 BUY TO CLOSE WITH UBS @ 0 05			80 48
11/02/11	- 700 000	DISTRIBUTED -700 UNITS OF CALL WRITE EEM @ 40 00 11/19/11 VALUE \$-1 001 00 BUY TO CLOSE WITH UBS @ 1 4287			453 09
11/07/11	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE EEM @ 40 00 11/19/11 VALUE \$-196 00 BUY TO CLOSE WITH UBS @ 1 7228			64 73
11/08/11	- 700 000	DISTRIBUTED -700 UNITS OF CALL WRITE EEM @ 40 00 11/19/11 VALUE \$-1 470 00 BUY TO CLOSE WITH UBS @ 2 1235			453 09
10/04/11	- 1,500 000	DISTRIBUTED -1,500 UNITS OF CALL WRITE EEM @ 41 00 10/22/11 VALUE \$-45 00 BUY TO CLOSE WITH UBS @ 0 07			724.16
11/17/11	- 800 000	DISTRIBUTED -800 UNITS OF CALL WRITE EEM @ 44 00 12/17/11 VALUE \$-192 00 BUY TO CLOSE WITH UBS @ 0 3			677 34
08/08/12	- 1,000 000	DISTRIBUTED -1,000 UNITS OF CALL WRITE EFA @ 50 00 8/18/12 VALUE \$-2,040 00 BUY TO CLOSE WITH UBS @ 2 04			278 45

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
06/04/12	- 400,000	DISTRIBUTED -400 UNITS OF CALL WRITE EFA @ 51.00 6/16/12 VALUE \$-16.00 BUY TO CLOSE WITH UBS @ 0.0564			184.71
07/17/12	- 500,000	DISTRIBUTED -500 UNITS OF CALL WRITE EFA @ 51.00 7/21/12 VALUE \$-15.00 BUY TO CLOSE WITH UBS @ 0.05			225.87
07/25/12	- 1,000,000	DISTRIBUTED -1,000 UNITS OF CALL WRITE EFA @ 52.00 8/18/12 VALUE \$-40.00 BUY TO CLOSE WITH UBS @ 0.0662			566.85
09/20/12	- 500,000	DISTRIBUTED -500 UNITS OF CALL WRITE EFA @ 52.00 9/22/12 VALUE \$-1,475.00 BUY TO CLOSE WITH UBS @ 2.87			335.88
10/28/11	- 2,900,000	DISTRIBUTED -2,900 UNITS OF CALL WRITE EFA @ 53.00 10/22/11 VALUE \$-29.00 OPTION EXPIRED			1,389.26
12/22/11	- 700,000	DISTRIBUTED -700 UNITS OF CALL WRITE EFA @ 53.00 12/17/11 VALUE \$-21.00 OPTION EXPIRED			304.48
02/16/12	- 200,000	DISTRIBUTED -200 UNITS OF CALL WRITE EFA @ 53.00 2/18/12 VALUE \$-162.00 BUY TO CLOSE WITH UBS @ 1.0021			90.36
05/21/12	- 700,000	DISTRIBUTED -700 UNITS OF CALL WRITE EFA @ 53.00 6/16/12 VALUE \$-2,142.00 BUY TO CLOSE WITH UBS @ 0.1095			257.00

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TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
03/02/12	- 1,500 000	DISTRIBUTED -1,500 UNITS OF CALL WRITE EFA @ 54.00 3/17/12 VALUE \$-2,490.00 BUY TO CLOSE WITH UBS @ 1.6777			1,033.46
09/25/12	- 1,000 000	DISTRIBUTED -1,000 UNITS OF CALL WRITE EFA @ 54.00 10/20/12 VALUE \$-1,080.00 BUY TO CLOSE WITH UBS @ 1.04			851.75
03/07/12	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE EFA @ 55.00 3/17/12 VALUE \$-24.00 BUY TO CLOSE WITH UBS @ 0.1165			160.32
05/10/12	- 1,400 000	DISTRIBUTED -1,400 UNITS OF CALL WRITE EFA @ 55.00 5/19/12 VALUE \$-56.00 BUY TO CLOSE WITH UBS @ 0.0398			490.25
03/23/12	- 600 000	DISTRIBUTED -600 UNITS OF CALL WRITE EFA @ 57.00 4/21/12 VALUE \$-120.00 BUY TO CLOSE WITH UBS @ 0.1801			463.58
03/26/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE EFA @ 57.00 4/21/12 VALUE \$-57.00 BUY TO CLOSE WITH UBS @ 0.1697			231.79
04/05/12	- 600 000	DISTRIBUTED -600 UNITS OF CALL WRITE EFA @ 57.00 4/21/12 VALUE \$-30.00 BUY TO CLOSE WITH UBS @ 0.0591			463.59
10/28/11	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE FTI @ 47.50 10/22/11 VALUE \$-5.00 OPTION EXPIRED			84.18

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
02/23/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE FTI @ 57 50 2/18/12 VALUE \$-5 00 OPTION EXPIRED			53 79
10/28/11	- 700 000	DISTRIBUTED -700 UNITS OF CALL WRITE IJH @ 87 00 10/22/11 VALUE \$-35 00 OPTION EXPIRED			489 90
02/17/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 107 00 3/17/12 VALUE \$-1,800 00 BUY TO CLOSE WITH UBS @ 5 8013			442 15
06/21/12	- 400 000	DISTRIBUTED -400 UNITS OF CALL WRITE IJK @ 110 00 6/16/12 VALUE \$-180 00 OPTION EXPIRED			176 70
09/20/12	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 112 00 9/22/12 VALUE \$-500 00 BUY TO CLOSE WITH UBS @ 2 50			148 36
10/28/11	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE IJR @ 66 00 10/22/11 VALUE \$-6 00 OPTION EXPIRED			49 82
12/21/11	- 1,200 000	DISTRIBUTED -1,200 UNITS OF CALL WRITE IJR @ 72 00 12/17/11 VALUE \$-60 00 OPTION EXPIRED			1,027 63
01/23/12	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE IJR @ 72 00 1/21/12 VALUE \$-36 00 BUY TO CLOSE WITH UBS @ 0 1689			108 36

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DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
02/15/12	- 400 000	DISTRIBUTED -400 UNITS OF CALL WRITE IJR @ 74 00 2/18/12 VALUE \$-540.00 BUY TO CLOSE WITH UBS @ 1 7409			251 12
06/21/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 74 00 6/16/12 VALUE \$-15 00 OPTION EXPIRED			127 52
07/26/12	- 600 000	DISTRIBUTED -600 UNITS OF CALL WRITE IJR @ 74 00 7/21/12 VALUE \$-270 00 OPTION EXPIRED			325 04
05/24/12	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE IJR @ 77 00 5/19/12 VALUE \$-50 00 OPTION EXPIRED			250 87
09/20/12	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE IJR @ 77 00 9/22/12 VALUE \$-1,200 00 BUY TO CLOSE WITH UBS @ 2 45			320 86
03/07/12	- 400 000	DISTRIBUTED -400 UNITS OF CALL WRITE IJR @ 78 00 3/17/12 VALUE \$-40 00 BUY TO CLOSE WITH UBS @ 00749			296 78
04/26/12	- 600 000	DISTRIBUTED -600 UNITS OF CALL WRITE IJR @ 79 00 4/21/12 VALUE \$-60.00 OPTION EXPIRED			351.94
10/28/11	- 400 000	DISTRIBUTED -400 UNITS OF CALL WRITE IWM @ 72 00 10/22/11 VALUE \$-4 00 OPTION EXPIRED			238 04

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
10/04/11	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IWM @ 74 00 10/22/11 VALUE \$-12 00 BUY TO CLOSE WITH UBS @ 0 11			196.43
01/10/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 27 00 2/18/12 VALUE \$-372 00 BUY TO CLOSE WITH UBS @ 1 392			138.54
11/02/11	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 28 00 11/19/11 VALUE \$-15 00 BUY TO CLOSE WITH UBS @ 0 07			137.55
12/02/11	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 29 00 1/21/12 VALUE \$-21 00 BUY TO CLOSE WITH UBS @ 0 07			91.02
01/24/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 29 00 2/18/12 VALUE \$-297 00 BUY TO CLOSE WITH UBS @ 1 0012			108.19
03/09/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 31 00 4/21/12 VALUE \$-471 00 BUY TO CLOSE WITH UBS @ 1 5306			161.31
07/12/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 31 00 7/21/12 VALUE \$-21 00 BUY TO CLOSE WITH UBS @ 0 09			122.47
09/24/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 31 00 9/22/12 VALUE \$-51 00 BUY TO CLOSE WITH UBS @ 0 44			77.54

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
05/24/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 32.00 6/16/12 VALUE \$-12.00 BUY TO CLOSE WITH UBS @ 0.0527			84.52
04/05/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 33.00 4/21/12 VALUE \$-30.00 BUY TO CLOSE WITH UBS @ 0.1067			142.86
05/02/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE MSFT @ 34.00 6/16/12 VALUE \$-45.00 BUY TO CLOSE WITH UBS @ 0.17			93.52
01/19/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 27.00 1/21/12 VALUE \$-136.00 BUY TO CLOSE WITH UBS @ 1.29			20.18
05/25/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 29.00 6/16/12 VALUE \$-5.00 BUY TO CLOSE WITH UBS @ 0.0766			39.17
07/19/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 29.00 7/21/12 VALUE \$-147.00 BUY TO CLOSE WITH UBS @ 1.5155			32.83
03/07/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 30.3/17/12 VALUE \$-45.00 BUY TO CLOSE WITH UBS @ 0.4649			42.23
03/22/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 31.00 4/21/12 VALUE \$-17.00 BUY TO CLOSE WITH UBS @ 0.18			68.14

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TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
04/24/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 31.00 5/19/12 VALUE \$-4.00 BUY TO CLOSE WITH UBS @ 0.04			23.67
05/09/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 31.00 6/16/12 VALUE \$-8.00 BUY TO CLOSE WITH UBS @ 0.08			30.17
09/20/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 32.00 9/22/12 VALUE \$-113.00 BUY TO CLOSE WITH UBS @ 1.14			60.24
07/11/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE POT @ 42.00 7/21/12 VALUE \$-222.00 BUY TO CLOSE WITH UBS @ 3.30			52.18
03/12/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE POT @ 50.00 4/21/12 VALUE \$-20.00 BUY TO CLOSE WITH UBS @ 0.22			68.18
07/12/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 60.00 7/21/12 VALUE \$-13.00 BUY TO CLOSE WITH UBS @ 0.11			31.17
08/09/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 60.00 9/22/12 VALUE \$-267.00 BUY TO CLOSE WITH UBS @ 2.703			111.09
06/26/12	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 62.50 7/21/12 VALUE \$-9.00 BUY TO CLOSE WITH UBS @ 0.09			54.17



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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
05/18/12	- 100,000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 65.00 6/16/12 VALUE \$-15.00 BUY TO CLOSE WITH UBS @ 0.15			78.17
09/25/12	- 100,000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 65.00 10/20/12 VALUE \$-86.00 BUY TO CLOSE WITH UBS @ 0.83			105.71
03/27/12	- 100,000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 67.50 4/21/12 VALUE \$-242.00 BUY TO CLOSE WITH UBS @ 2.43			54.18
04/20/12	- 100,000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 72.50 5/19/12 VALUE \$-4.00 BUY TO CLOSE WITH UBS @ 0.07			90.18
10/28/11	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE VNQ @ 57.00 10/22/11 VALUE \$-75.00 OPTION EXPIRED			72.58
12/22/11	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE VNQ @ 60.00 12/17/11 VALUE \$-15.00 OPTION EXPIRED			192.54
05/07/12	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE VNQ @ 65.00 5/19/12 VALUE \$-300.00 BUY TO CLOSE WITH UBS @ 1.01			192.75
07/17/12	- 500,000	DISTRIBUTED -500 UNITS OF CALL WRITE VNQ @ 65.00 7/21/12 VALUE \$-985.00 BUY TO CLOSE WITH UBS @ 1.9686			320.86

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
06/08/12	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE VNQ @ 67.00 6/16/12 VALUE \$-15.00 BUY TO CLOSE WITH UBS @ 0.05			196.50
08/09/12	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE VNQ @ 68.00 8/18/12 VALUE \$-20.00 BUY TO CLOSE WITH UBS @ 0.04			333.56
09/27/12	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE VNQ @ 68.00 9/22/12 VALUE \$-50.00 OPTION EXPIRED			240.86
11/02/11	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE VWO @ 40.00 11/19/11 VALUE \$-546.00 BUY TO CLOSE WITH UBS @ 1.8893			220.43
11/04/11	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE VWO @ 40.00 11/19/11 VALUE \$-280.00 BUY TO CLOSE WITH UBS @ 2.8			73.48
11/14/11	- 1,100 000	DISTRIBUTED -1,100 UNITS OF CALL WRITE VWO @ 40.00 11/19/11 VALUE \$-2,035.00 BUY TO CLOSE WITH UBS @ 1.9416			808.24
06/21/12	- 900 000	DISTRIBUTED -900 UNITS OF CALL WRITE VWO @ 40.00 6/16/12 VALUE \$-45.00 OPTION EXPIRED			316.57
07/26/12	- 1,000 000	DISTRIBUTED -1,000 UNITS OF CALL WRITE VWO @ 41.00 7/21/12 VALUE \$-50.00 OPTION EXPIRED			346.46



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2011 - September 30, 2012

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
10/28/11	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE VWO @ 42.00 10/22/11 VALUE \$-6.00 OPTION EXPIRED			117.54
02/03/12	- 2,000 000	DISTRIBUTED -2,000 UNITS OF CALL WRITE VWO @ 42.00 2/18/12 VALUE \$-3,600.00 BUY TO CLOSE WITH UBS @ 1.95			768.50
09/20/12	- 1,000,000	DISTRIBUTED -1,000 UNITS OF CALL WRITE VWO @ 42.00 9/22/12 VALUE \$-950.00 BUY TO CLOSE WITH UBS @ 0.90			591.74
12/22/11	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE VWO @ 44.00 12/17/11 VALUE \$-30.00 OPTION EXPIRED			212.82
05/14/12	- 2,000 000	DISTRIBUTED -2,000 UNITS OF CALL WRITE VWO @ 44.00 5/19/12 VALUE \$-100.00 BUY TO CLOSE WITH UBS @ 0.05			483.49
03/07/12	- 2,000 000	DISTRIBUTED -2,000 UNITS OF CALL WRITE VWO @ 45.00 3/17/12 VALUE \$-200.00 BUY TO CLOSE WITH UBS @ 0.15			1,483.30
04/26/12	- 2,000 000	DISTRIBUTED -2,000 UNITS OF CALL WRITE VWO @ 46.00 4/21/12 VALUE \$-100.00 OPTION EXPIRED			933.53
05/22/12	60 000	MANDATORY EXCHANGE DELIVERED 60 SHARES OF ENSCO INTERNATIONAL PLC ADR EFFECTIVE DATE 05/22/12 CUSIP 29358Q109 VALUE \$2,911.20			- 3,331.52 *

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2011 - September 30, 2012

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
07/13/12	42 000	NAME CHANGE 42 SHARES OF SXC HEALTH SOLUTIONS CORP NAME CHANGED TO CATAMARAN CORP EFFECTIVE DATE 07/11/12 CUSIP: 78505P100 VALUE: \$3,988.74	15625 3003 3332 2990 <u>24950</u>		- 3,003.00 *
Total Other Assets Delivered			Value: - \$19,724.49	\$0.00	\$15,624.61 *
Total Assets Disbursed			Value: - \$19,724.49	\$0.00	\$15,624.61
Cash Sweep Activity					
09/30/12	278,735 180	SUMMARY OF PURCHASE TRANSACTIONS	- \$265,904.59	- \$12,830.59	\$278,735.18
09/30/12	298,958 980	SUMMARY OF SALES TRANSACTIONS	268,252.69	30,706.29	- 298,958.98
Total Cash Sweep Activity			\$2,348.10	\$17,875.70	-\$20,223.80
Miscellaneous					
COST BASIS ADJUSTMENTS					
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 6.47- USD OLD 311.23 USD/NEW: 304.76 USD CUSIP: 922908553 2011 RETURN OF CAPITAL			- \$6.47
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 137.28- USD OLD 6,604.93 USD/NEW: 6,467.65 USD CUSIP: 922908553 2011 RETURN OF CAPITAL			- 137.28



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2011 - September 30, 2012

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous (continued)					
COST BASIS ADJUSTMENTS (continued)					
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 71 87- USD OLD 3,458 08 USD/NEW 3,386 21 USD CUSIP 922908553 2011 RETURN OF CAPITAL			- 71 87
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 7 19- USD OLD 345 81 USD/NEW 338 62 USD CUSIP 922908553 2011 RETURN OF CAPITAL			- 7 19
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 46.00- USD OLD 2,213 17 USD/NEW 2,167 17 USD CUSIP 922908553 2011 RETURN OF CAPITAL			- 46 00
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 136 56- USD OLD 6,829 56 USD/NEW 6,693 00 USD CUSIP 922908553 2011 RETURN OF CAPITAL			- 136 56
08/01/12		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 61 09- USD OLD 3,055 33 USD/NEW 2,994 24 USD CUSIP 922908553 2011 RETURN OF CAPITAL			- 61 09
Total Cost Basis Adjustments			\$0.00	\$0.00	- \$466.46
Total Miscellaneous			\$0.00	\$0.00	- \$466.46

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