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Form 990-PF

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2011**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

OCT 1, 2011

, and ending

SEP 30, 2012

Name of foundation

SAM &amp; SARAH GROSSINGER FOUNDATION

A Employer identification number

36-6108185

Number and street (or P.O. box number if mail is not delivered to street address)

6900 NORTH MCCORMICK BLVD

Room/suite

B Telephone number

847-675-8300

City or town, state, and ZIP code

LINCOLNWOOD, IL 60712

C If exemption application is pending, check here ☐

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

► \$

3,818,903.

(Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received	406,624.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	21,376.	21,376.		STATEMENT 1
	4 Dividends and interest from securities	161,568.	161,568.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-45,331.			
	b Gross sales price for all assets on line 6a	434,975.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit (loss)				
	11 Other income	19.	19.		STATEMENT 3
	12 Total. Add lines 1 through 11	544,256.	182,963.		
	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 4	7,225.	2,890.	4,335.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 5	6,834.	1.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 6	24,389.	24,363.	25.
	24 Total operating and administrative expenses. Add lines 13 through 23		38,448.	27,254.	4,360.
	25 Contributions, gifts, grants paid		142,964.		142,964.
	26 Total expenses and disbursements. Add lines 24 and 25		181,412.	27,254.	147,324.
	27 Subtract line 26 from line 12		362,844.		
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative enter -0-)		155,709.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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12-02-11

LHA For Paperwork Reduction Act Notice, see instructions

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	3,924.	-30,713.	-30,713.
	2 Savings and temporary cash investments	56,045.	5,015.	5,015.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	568,179.	990,249.	1,064,330.
	b Investments - corporate stock STMT 8	2,306,793.	2,395,675.	2,617,848.
	c Investments - corporate bonds STMT 9	154,063.	102,563.	103,416.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	44,519.	33,578.	59,007.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	3,133,523.	3,496,367.	3,818,903.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,133,523.	3,496,367.	
30 Total net assets or fund balances	3,133,523.	3,496,367.		
31 Total liabilities and net assets/fund balances	3,133,523.	3,496,367.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,133,523.
2 Enter amount from Part I, line 27a	2	362,844.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,496,367.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,496,367.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a THROUGH FIRST BANK &amp; TRUST (SEE ATTACHED)</b>	P	VARIOUS	VARIOUS
<b>b BA 1998 PARTNERS K-1</b>	P	10/01/11	09/30/12
<b>c BA 1998 PARTNERS K-1</b>	P	10/01/10	09/30/12
<b>d BA 1998 PARTNERS K-1</b>	P	10/01/10	09/30/12
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 432,630.</b>		<b>480,058.</b>	<b>-47,428.</b>
<b>b 3.</b>			<b>3.</b>
<b>c 2,342.</b>			<b>2,342.</b>
<b>d</b>		<b>248.</b>	<b>-248.</b>
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>-47,428.</b>
<b>b</b>			<b>3.</b>
<b>c</b>			<b>2,342.</b>
<b>d</b>			<b>-248.</b>
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="font-size: small;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	<b>-45,331.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	139,218.	3,401,972.	.040923
2009	155,878.	3,138,154.	.049672
2008	211,569.	2,913,941.	.072606
2007	189,310.	3,857,651.	.049074
2006	160,047.	3,771,171.	.042440

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.254715</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.050943</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	<b>3,629,199.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>184,882.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>1,557.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>186,439.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>147,324.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		1	3,114.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,114.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	3,114.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 4,400.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	4,400.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	1,286.
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 1,286. Refunded <input type="checkbox"/> 0.		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	10	X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CAROLINE GROSSINGER-SCHILLER Telephone no. ► 847-675-8300 Located at ► 6900 N. MCCORMICK BLVD, LINCOLNWOOD, IL ZIP+4 ► 60712			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☐ 0

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
3 All other program-related investments. See instructions	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,673,077.
b	Average of monthly cash balances	1b	11,389.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,684,466.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,684,466.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	55,267.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,629,199.
6	Minimum investment return. Enter 5% of line 5	6	181,460.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	181,460.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	3,114.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,114.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	178,346.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	178,346.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	178,346.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	147,324.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	147,324.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	147,324.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				178,346.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			133,970.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 147,324.				
a Applied to 2010, but not more than line 2a			133,970.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				13,354.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d) the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				164,992.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2011

(b) 2010

(c) 2009

(d) 2008

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SHARON GROSSINGER, 847-675-8300

6900 NORTH MCCORMICK BOULEVARD, LINCOLNWOOD, IL 60712

b The form in which applications should be submitted and information and materials they should include.

SCHOLARSHIP APPLICATION

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEE ATTACHED STATEMENT		SEE ATTACHED		142,964.
<b>Total</b>			<b>▶ 3a</b>	142,964.
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			<b>▶ 3b</b>	0.





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

**SAM & SARAH GROSSINGER FOUNDATION**

Employer identification number

**36-6108185**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization	Employer identification number
<b>SAM &amp; SARAH GROSSINGER FOUNDATION</b>	<b>36-6108185</b>

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GROSSINGER CHARITABLE REMAINDER TRUST I 6900 MCCORMICK BLVD. LINCOLNWOOD, IL 60645	\$ 406,624.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization

Employer identification number

**SAM & SARAH GROSSINGER FOUNDATION****36-6108185****Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
<b>SAM &amp; SARAH GROSSINGER FOUNDATION</b>	<b>36-6108185</b>

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

## (e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

## (e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

## (e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

## (e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BA 1998 PARTNERS FUND II, LP	95.
INTEREST INCOME - FIRST BANK & TRUST	21,281.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	21,376.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BA 1998 PARTNERS FUND II, LP	900.	0.	900.
DIVIDEND INCOME - FIRST BANK & TRUST	160,668.	0.	160,668.
TOTAL TO FM 990-PF, PART I, LN 4	161,568.	0.	161,568.

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY INCOME	-4.	-4.	
RENTAL INCOME	13.	13.	
OTHER INCOME	10.	10.	
TOTAL TO FORM 990-PF, PART I, LINE 11	19.	19.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,225.	2,890.		4,335.
TO FORM 990-PF, PG 1, LN 16B	7,225.	2,890.		4,335.

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FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	1.	1.		0.
FEDERAL INCOME TAX	6,833.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,834.	1.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	23,521.	23,521.		0.
PORTFOLIO DEDUCTIONS	842.	842.		0.
ILLINOIS CHARITY BUREAU FUND	15.	0.		15.
SECRETARY OF STATE FEE	10.	0.		10.
OTHER EXPENSES	1.	0.		0.
TO FORM 990-PF, PG 1, LN 23	24,389.	24,363.		25.

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FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FIRST BANK & TRUST-MUNICIPALS		X	990,249.	1,064,330.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			990,249.	1,064,330.
TOTAL TO FORM 990-PF, PART II, LINE 10A			990,249.	1,064,330.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIRST BANK & TRUST-EQUITIES (SEE ATTACHED)	2,395,675.	2,617,848.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,395,675.	2,617,848.

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FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIRST BANK & TRUST-CORP BONDS (SEE ATTACHED)	102,563.	103,416.
TOTAL TO FORM 990-PF, PART II, LINE 10C	102,563.	103,416.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BA 1998 PARTNER FUND II L.P.	COST	33,578.	59,007.
TOTAL TO FORM 990-PF, PART II, LINE 13		33,578.	59,007.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
CAROLINE GROSSINGER 6900 N. MCCORMICK BLVD LINCOLNWOOD, IL 60712	PRESIDENT 0.50	0.	0. 0.
GARY GROSSINGER 6900 N. MCCORMICK BLVD LINCOLNWOOD, IL 60712	VICE PRESIDENT 0.50	0.	0. 0.
SHARON GROSSINGER 6900 N. MCCORMICK BLVD LINCOLNWOOD, IL 60712	VICE PRESIDENT 0.50	0.	0. 0.
SUZANNE GROSSINGER-GOULD 6900 N. MCCORMICK BLVD LINCOLNWOOD, IL 60712	VICE PRESIDENT 0.50	0.	0. 0.
CELILIA KUOLD 6900 N. MCCORMICK BLVD LINCOLNWOOD, IL 60712	ASSISTANT SECRETARY 0.50	0.	0. 0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0. 0.

**Sam & Sarah Grossinger Foundation #36-6108185**  
**Grants & Contributions Paid During the Year**  
**9/30/2012**

<u>Recipient Name</u>	<u>Relationship to Substantial Contributor and Foundation</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
Alzheimer's Association	None 170 (C) (3)	Charitable	250 00
Am Shalom	None 170 (C) (3)	Charitable	3,750 00
Arie Crown Hebrew Day School	None 170 (C) (3)	Charitable	2,500 00
Arizona State University (for Jessica Goldberg)	None 170 (C) (3)	Charitable	2,500 00
Autism Speaks	None 170 (C) (3)	Charitable	250 00
Brick Family Services	None 170 (C) (3)	Charitable	500 00
Bulls for School Supply Challenge	None 170 (C) (3)	Charitable	5,000 00
Children's Memorial Foundation	None 170 (C) (3)	Charitable	250 00
DePaul University (for Aaron Scharaga)	None 170 (C) (3)	Charitable	2,500 00
Dominican University (for Justin Roy)	None 170 (C) (3)	Charitable	2,500 00
Friends of the Israel Defense Force	None 170 (C) (3)	Charitable	4,000 00
Glencoe PTO	None 170 (C) (3)	Charitable	100 00
Highland Park Giants	None 170 (C) (3)	Charitable	575 00
Illinois State University (for Brendan Poznick)	None 170 (C) (3)	Charitable	7,500 00
Inspiration Cafe	None 170 (C) (3)	Charitable	1,500 00
Jewish United Fund	None 170 (C) (3)	Charitable	20,000 00
Kehilas Bais Yitzchok	None 170 (C) (3)	Charitable	2,500 00
Kia Art Supply Drive benefiting Noah's Arc Foundation	None 170 (C) (3)	Charitable	78 40
Marquette University (for Sasha Molin)	None 170 (C) (3)	Charitable	5,000 00
Mayfair Presbyterian Church	None 170 (C) (3)	Charitable	100 00
Misericordia Home	None 170 (C) (3)	Charitable	2,600 00
Niles West High School	None 170 (C) (3)	Charitable	75 00
North Suburban Synagogue Beth El	None 170 (C) (3)	Charitable	16,410 00
Northeastern Illinois University (for Kimberly Kotsovo)	None 170 (C) (3)	Charitable	1,815 50
Northeastern Illinois University (for Veronica Arroyo)	None 170 (C) (3)	Charitable	3,670 00
Northern Illinois University (for Nicole Blase)	None 170 (C) (3)	Charitable	2,500 00
Ohio State University (for Kayla Goldberg)	None 170 (C) (3)	Charitable	2,500 00
Ohio State University (for Kori Goldberg)	None 170 (C) (3)	Charitable	2,500 00
Project Ladybug Inc	None 170 (C) (3)	Charitable	2,500 00
Purdue University (for Heather M)	None 170 (C) (3)	Charitable	2,500 00
Prostate Cancer Foundation	None 170 (C) (3)	Charitable	9,000 00
Ravinia	None 170 (C) (3)	Charitable	3,500 00
Southern Illinois Univ (for Melissa Shadrck)	None 170 (C) (3)	Charitable	7,500 00
Temple Jeremiah	None 170 (C) (3)	Charitable	2,734 00
The Chicago Lighthouse	None 170 (C) (3)	Charitable	2,500 00
The Volunteer Center	None 170 (C) (3)	Charitable	500 00
University of Illinois (for Laura Villegas)	None 170 (C) (3)	Charitable	2,500 00
University of Illinois at Chicago (for O Ahmed)	None 170 (C) (3)	Charitable	2,500 00
University of Illinois at Chicago - Supplies (for O Ahmed)	None 170 (C) (3)	Charitable	1,306 59
University of Missouri-Columbia (for J Kodroff)	None 170 (C) (3)	Charitable	5,000 00
U S Holocaust Memorial Museum	None 170 (C) (3)	Charitable	5,000 00
Wellesley College	None 170 (C) (3)	Charitable	2,500 00
<b>Total</b>			<b>142,964.49</b>

Sam & Sarah Grossinger Foundation  
First Bank & Trust A/C #1047  
Securities Transactions  
9/30/2012

	Purchase Date	Shares Sold	Cost Basis	Sale Date	Proceeds	Gain/Loss on Sale
<b>Securities</b>						
<b>Mutual Funds - Equity</b>						
Delaware Emerging Markets - I	4/15/2005	6,037.415	89,051.87	5/31/2012	71,000.00	(18,051.87)
Cohen & Steers Int'l Realty Fund - Inst Shrs	9/16/2010	6,029.052	65,892.90	9/11/2012	63,787.37	(2,105.53)
PIMCO Commodity Real Return Strategy- Inst	9/3/2009	20,000.00	163,567.11	5/31/2012	124,000.00	(39,567.11)
<b>Fixed Income</b>						
Bellsouth Corp	1/28/2008	50,000.00	51,500.00	7/2/2012	50,842.50	(657.50)
<b>Mutual Funds - Fixed Income</b>						
Vanguard Inflation Prof Sec Adm	9/30/2009	4,275.287	110,045.89	5/31/2012	123,000.00	12,954.11
			<u>480,057.77</u>		<u>432,629.87</u>	<u>(47,427.90)</u>



September 01, 2012 To September 30, 2012

Account No : 1047

Unit Name The Sam & Sarah Grossinger Foundation

## Account Holdings

As Of Date : 09/30/2012

Units	Description / Issue Id	Tax Cost	Price Market Value	% Acct	Unrealized Gain(Loss)	Annual Curr Income Yld
<b>Equities</b>						
<u>Common Stock</u>						
965	Shares S&P 500	\$111,698.71	144.40	3.68	\$27,647.29	\$2,740.76 1.97
	IW 464287200					
<b>Total Common Stock</b>						
		\$111,698.71	\$139,346.00	3.68	\$27,647.29	\$2,740.76 1.97
<u>Mutual Funds - Equity</u>						
5,432.22	American Funds Euro-Pacific Growth F-1	\$198,500.00	39.50	5.66	\$16,072.73	\$3,169.70 1.48
	AECFX 298706409					
2,504.78	Delaware Emerging Markets - I	\$182,948.13	13.51	4.46	(\$14,008.46)	\$2,088.30 1.24
	DEMIX 245914817					
7,966.69	Dodge & Cox Intl Stock Fund	\$239,649.80	32.45	6.82	\$18,934.39	\$6,048.24 2.34
	DODFX 256206103					
3,099.85	Fidelity Large-Cap Value Fund Class I	\$153,797.11	19.61	4.19	\$5,041.12	\$3,071.47 1.93
	EILVX 277905642					
3,825.18	Harbor Capital Appreciation Fund - Inst	\$150,162.99	43.22	4.36	\$15,161.59	\$163.18 0.10
	HACAX 411511504					
3,632.51	ING Global Real Estate Fd-I	\$63,787.00	17.47	1.67	(\$326.93)	\$1,482.07 2.34
	IGLIX 4330Q302					
9,861.93	Manning & Napier Equity Series Fund	\$200,000.00	19.65	5.11	(\$6,213.02)	\$401.38 0.21
	EXEYX 563821602					
8,225.67	Nuveen Real Estate Securities Class I	\$146,060.24	21.45	4.65	\$30,380.45	\$4,248.56 2.41
	FARCX 318530268					
2,389.64	PIMCO High Yield Instl Fund	\$288,438.48	9.52	8.26	\$24,670.92	\$20,072.29 6.41
	PHIYX 693390841					
7,420.42	SteelPath MLP Select 40 Fund - Inst Shrs	\$181,208.33	10.94	5.03	\$9,371.10	\$12,276.17 6.44
	MLPTX 858268204					

September 01, 2012 To September 30, 2012

Account Name: The Sam & Sarah Grossinger Foundation

Account No: 1047

## Account Holdings

As Of Date: 09/30/2012

Unit	Description / Issue Id	Tax Cost	Price	Market Value	% Acct	Unrealized Gain(Loss)	Annual Curr Income Yld
425 332	Vanguard Small-Cap Gr Idx Adm #5861 VSGAX 921937710	\$61,921.95	31.03	\$137,255.99	3.62	\$75,334.04	\$513.11 0.37
295 427	vanguard Small-Cap Val Idx Adm #5860 VSIAX 921937686	\$65,283.02	30.89	\$101,795.74	2.69	\$36,512.72	\$1,878.39 1.85
Total Mutual Funds - Equity		\$1,931,757.05		\$2,142,687.70	56.52	\$210,930.65	\$55,412.86 2.59
Total Equities		\$2,043,455.76		\$2,282,033.70	60.20	\$238,577.94	\$58,153.62 2.55
Fixed Income							
Corporate Bonds							
50 000	Wells Fargo Stores Inc 531142BT9	\$51,500.00	102.46	\$51,231.60	1.35	(\$268.40)	\$2,275.00 4.44
50,000	Goldman Sachs Group Inc 38141GDO4	\$51,062.50	104.37	\$52,184.20	1.38	\$1,121.70	\$2,625.00 5.03
Total Corporate Bonds		\$102,562.50		\$103,415.80	2.73	\$853.30	\$4,900.00 4.74
Mutual Funds - Fixed Income							
451 361	PIMCO Emerging Local Bond Fund PELBX 72201F516	\$185,181.56	10.86	\$200,381.78	5.29	\$15,200.22	\$8,757.99 4.37
17 72273	PIMCO Foreign Bond Fund PFORX 693390882	\$151,267.12	11.31	\$158,427.34	4.18	\$7,160.22	\$4,183.28 2.64
136 751	Pimco Total Return Fund Instl PTTXX 693390700	\$227,378.48	11.58	\$233,198.63	6.15	\$5,820.15	\$7,428.04 3.19
57 2 623	Vanguard Inflation Prot Sec Adm #5119 AIPX 922031737	\$274,954.11	29.16	\$318,795.29	8.41	\$43,841.18	\$7,543.51 2.37
10 73361	Wells Fargo Advantage Intl Bond Fund Inst WJICX 94985DS82	\$151,467.43	11.80	\$153,526.66	4.05	\$2,059.23	\$5,265.57 3.43
Total Mutual Funds - Fixed Income		\$990,248.70		\$1,064,329.70	28.08	\$74,081.00	\$33,178.39 3.12

September 01, 2012 To September 30, 2012

Account No : 1047

Unit Name : The Sam & Sarah Grossinger Foundation

## Account Holdings

As Of Date : 09/30/2012

Units	Description / Issue Id	Tax Cost	Price	Market Value	% Acct	Unrealized Gain(Loss)	Annual Curr Income Yld
Total Fixed Income		\$1,092,811.20		\$1,167,745.50	30.81	\$74,934.30	\$38,078.39 3.26
<u>Cash Equivalents</u>							
<u>Money Market - Taxable</u>							
5,015.51	Non-Interest Government Select Port Share Class BGSXX 665278701	\$5,015.51	100.00	\$5,015.51	0.13	\$0.00	\$0.50 0.01
Total Money Market - Taxable		\$5,015.51		\$5,015.51	0.13	\$0.00	\$0.50 0.01
Total Cash Equivalents		\$5,015.51		\$5,015.51	0.13	\$0.00	\$0.50 0.01
<u>Miscellaneous Assets</u>							
<u>Miscellaneous</u>							
7,032.746	PIMCO Commodity Real Return Strategy - Inst PCRIX 722005667	\$352,219.80	7.14	\$335,813.81	8.86	(\$16,405.99)	\$46,819.97 13.94
Total Miscellaneous		\$352,219.80		\$335,813.81	8.86	(\$16,405.99)	\$46,819.97 13.94
Total Miscellaneous Assets		\$352,219.80		\$335,813.81	8.86	(\$16,405.99)	\$46,819.97 13.94
Total Assets		\$3,493,502.27		\$3,790,608.52	100.00	\$297,106.25	\$143,052.48 3.77
Principal Cash				0.00			
Income Cash				0.00			
Grant Total Assets				3,790,608.52			

# Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868*

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3 month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	<b>SAM &amp; SARAH GROSSINGER FOUNDATION</b>	<input checked="" type="checkbox"/> <b>36-6108185</b>
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	<b>6900 NORTH MCCORMICK BLVD</b>	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	<b>LINCOLNWOOD, IL 60712</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**CAROLINE GROSSINGER-SCHILLER**

- The books are in the care of ► **6900 N. MCCORMICK BLVD - LINCOLNWOOD, IL 60712**  
Telephone No ► **847-675-8300** FAX No ► ☐

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **MAY 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
► ☐ calendar year ☐ or  
► ☒ tax year beginning **OCT 1, 2011**, and ending **SEP 30, 2012**

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	4,400.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)