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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning OCT 1, 2011, and ending SEP 30, 2012

Name of foundation
**THE MULLEN FAMILY FOUNDATION, INC.
C/O HARRISON & HELD, LLP**

Number and street (or P.O. box number if mail is not delivered to street address)
333 W. WACKER DRIVE

Room/suite
1700

City or town, state, and ZIP code
CHICAGO, IL 60606

A Employer identification number
36-4270223

B Telephone number
312-332-1111

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 3,971,629.71 (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	15,485.07	15,485.07		STATEMENT 1
	4 Dividends and interest from securities	96,109.71	96,109.71		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	351,502.43			
	b Gross sales price for all assets on line 6a 3,676,483.66				
	7 Capital gain net income (from Part IV, line 2)		351,502.43		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	4.00	4.00		STATEMENT 3	
12 Total. Add lines 1 through 11	463,101.21	463,101.21			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.00	0.00		0.00
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees	6,989.55	6,989.55		0.00
	17 Interest				
	18 Taxes	142.66	142.66		0.00
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	3,007.57	2,997.57		10.00
	24 Total operating and administrative expenses. Add lines 13 through 23	10,139.78	10,129.78		10.00
	25 Contributions, gifts, grants paid	212,499.99			212,499.99
26 Total expenses and disbursements. Add lines 24 and 25	222,639.77	10,129.78		212,509.99	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	240,461.44				
b Net investment income (if negative, enter -0-)		452,971.43			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		620,470.42	929,638.85	929,638.85
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 7	1,483,447.56	940,868.47	956,421.23
	c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 8	1,631,829.88	2,071,423.72	2,085,569.63	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ BASIS ADJUSTMENT)		<534.63>	33,743.63	0.00	
16	Total assets (to be completed by all filers)		3,735,213.23	3,975,674.67	3,971,629.71	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.00	0.00	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		6,454,482.00	6,454,482.00	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.00	0.00	
	29	Retained earnings, accumulated income, endowment, or other funds		<2,719,268.77>	2,478,807.33	
30	Total net assets or fund balances		3,735,213.23	3,975,674.67		
31	Total liabilities and net assets/fund balances		3,735,213.23	3,975,674.67		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,735,213.23
2	Enter amount from Part I, line 27a	2	240,461.44
3	Other increases not included in line 2 (itemize) ▶	3	0.00
4	Add lines 1, 2, and 3	4	3,975,674.67
5	Decreases not included in line 2 (itemize) ▶	5	0.00
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,975,674.67

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,676,483.66		3,324,981.23	351,502.43

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			351,502.43

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	351,502.43
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	278,135.00	3,577,889.00	.077737
2009	167,510.00	4,538,576.00	.036908
2008	280,282.00	3,440,915.00	.081456
2007	275,000.00	4,451,225.00	.061781
2006	345,635.00	4,731,853.00	.073044

2 Total of line 1, column (d)	2	.330926
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.066185
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	4,520,846.91
5 Multiply line 4 by line 3	5	299,212.25
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,529.71
7 Add lines 5 and 6	7	303,741.96
8 Enter qualifying distributions from Part XII, line 4	8	212,509.99

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

THE MULLEN FAMILY FOUNDATION, INC.

C/O HARRISON & HELD, LLP

36-4270223

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	9,059.43
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.00
3 Add lines 1 and 2		3	9,059.43
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.00
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	9,059.43
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 3,144.00		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	3,144.00
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	5,915.43
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0.00 (2) On foundation managers. ☐ \$ 0.00		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.00		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ALICIA MULLEN</u> Telephone no. ► <u>312-943-8072</u> Located at ► <u>1955 N BURLING STREET, CHICAGO, IL</u> ZIP+4 ► <u>60614</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.00	0.00	0.00

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	1,217,715.00
b	Average of monthly cash balances	1b	1,286,407.29
c	Fair market value of all other assets	1c	2,085,570.00
d	Total (add lines 1a, b, and c)	1d	4,589,692.29
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.00
2	Acquisition indebtedness applicable to line 1 assets	2	0.00
3	Subtract line 2 from line 1d	3	4,589,692.29
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	68,845.38
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,520,846.91
6	Minimum investment return. Enter 5% of line 5	6	226,042.35

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	226,042.35
2a	Tax on investment income for 2011 from Part VI, line 5	2a	9,059.43
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,059.43
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	216,982.92
4	Recoveries of amounts treated as qualifying distributions	4	0.00
5	Add lines 3 and 4	5	216,982.92
6	Deduction from distributable amount (see instructions)	6	0.00
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	216,982.92

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	212,509.99
b	Program-related investments - total from Part IX-B	1b	0.00
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	212,509.99
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.00
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	212,509.99

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				216,982.92
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.00	
b Total for prior years:		0.00		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	109,042.00			
b From 2007	59,871.00			
c From 2008	112,172.00			
d From 2009				
e From 2010	103,990.00			
f Total of lines 3a through e	385,075.00			
4 Qualifying distributions for 2011 from Part XII, line 4: \$ 212,509.99				
a Applied to 2010, but not more than line 2a			0.00	
b Applied to undistributed income of prior years (Election required - see instructions)		0.00		
c Treated as distributions out of corpus (Election required - see instructions)	0.00			
d Applied to 2011 distributable amount				212,509.99
e Remaining amount distributed out of corpus	0.00			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	4,472.93			4,472.93
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	380,602.07			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.00		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.00	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.00			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	104,569.07			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	276,033.00			
10 Analysis of line 9:				
a Excess from 2007	59,871.00			
b Excess from 2008	112,172.00			
c Excess from 2009				
d Excess from 2010	103,990.00			
e Excess from 2011				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

2011.05060 THE MULLEN FAMILY FOUNDATIO MULLENF1

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BIG BROTHERS BIG SISTERS 560 W. LAKE STREET, 5TH FLOOR CHICAGO, IL 60661	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	4,999.99
BOSTON UNIVERSITY 595 COMMONWEALTH AVENUE BOSTON, MA 02215	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	10,000.00
EBE ENSEMBLE, INC. UNKNOWN NEW YORK, NY 99999	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	20,000.00
FRANCIS W. PARKER SCHOOL 330 W. WEBSTER AVENUE CHICAGO, IL 60614	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	60,000.00
HIGH JUMP 59 W. NORTH BLVD. CHICAGO, IL 60610	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	50,000.00
Total			SEE CONTINUATION SHEET(S) ▶ 3a	212,499.99
b Approved for future payment				
NONE				
Total			▶ 3b	0.00

THE MULLEN FAMILY FOUNDATION, INC.
C/O HARRISON & HELD, LLP

36-4270223

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LINCOLN PARK ZOO 2001 N. CLARK STREET CHICAGO, IL 60614	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	10,000.00
NANTUCKET CHILDREN'S CHARITY FOUNDATION INC. UNKNOWN NANTUCKET, MA 99999	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	12,500.00
RAY'S BASEBALL FOUNDATION ONE TROPICANA DRIVE ST. PETERSBURG, FL 33705	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	35,000.00
THE USAA EDUCATIONAL FOUNDATION 9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	NONE	PUBLIC CHARITY	AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	10,000.00
Total from continuation sheets				67,500.00

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS A/C 038-93063-2 - SEE ATTACHED	P	VARIOUS	03/28/12
b	GOLDMAN SACHS A/C 038-92408-0 - SEE ATTACHED	P	VARIOUS	03/27/12
c	GOLDMAN SACHS A/C 038-92408-0 - SEE ATTACHED	P	VARIOUS	03/27/12
d	THE ENDOWMENT FUND TEI LP	P	VARIOUS	03/19/12
e	MERRILL LYNCH A/C VARIOUS ACCOUNTS - SEE ATTACHED	P	VARIOUS	VARIOUS
f	MERRILL LYNCH A/C VARIOUS ACCOUNTS - SEE ATTACHED	P	VARIOUS	VARIOUS
g	MERRILL LYNCH A/C 628-07476 - SEE ATTACHED	P	VARIOUS	12/02/11
h	MERRILL LYNCH A/C 628-07477 - SEE ATTACHED	P	05/13/11	12/02/11
i	MERRILL LYNCH A/C 628-07477 - SEE ATTACHED	P	VARIOUS	12/02/11
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 56,113.41		58,376.94	<2,263.53>
b 680,200.47		621,166.74	59,033.73
c 1,070,283.86		860,024.91	210,258.95
d 21,610.13		21,610.13	0.00
e 75,804.00		82,727.76	<6,923.76>
f 84,793.00		70,855.00	13,938.00
g 462,424.99		441,999.38	20,425.61
h 374,015.75		400,000.00	<25,984.25>
i 851,238.05		768,220.37	83,017.68
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,263.53>
b			59,033.73
c			210,258.95
d			0.00
e			<6,923.76>
f			13,938.00
g			20,425.61
h			<25,984.25>
i			83,017.68
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	351,502.43
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A



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Mullen Fam Foundation Eagle Dynamic Eq 038-93063-2

1099 revisions: Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked revised during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Eagle: Dynamic Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

Select an Account or Group

Mullen Fam Foundation Eag 038-93063-2

2 Choose An Existing View

Realized Gains/Losses

» [Customize](#) this view or
 » [Create](#) One

Choose a Date Range

From Date 01-Oct-2011

To Date 30-Sep-2012

4 Search By Symbol/CUSIP

Include Options

Gain Type	Gains	Losses	Disallowed	Total
Short-Term	20 00	-2,283 53	0 00	-2,263 53
Long-Term	0 00	0 00	0 00	0 00
Total Realized Gains/Losses:	20.00	-2,283 53	0.00	-2,263.53

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AON	AON CORPORATION CMN	28-Mar-2012	02-Apr-2012	500	24,540 00	24,520 000	20 00	5	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	28-Mar-2012	12-Sep-2012	400	8,027 70	9,220 000	-1,192 30	168	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	28-Mar-2012	14-Jun-2012	400	10,932 07	11,056 000	-123 93	78	Short-Term
WMT	WAL MART STORES INC CMN	28-Mar-2012	25-Apr-2012	50	2,876 52	3,056 940	-180 42	28	Short-Term
WM	WASTE MANAGEMENT INC CMN	28-Mar-2012	27-Jun-2012	300	9,737 12	10,524 000	-786 88	91	Short-Term
TOTAL					56,113.41		-2,263.53		

- (i) This position is a gifted security
 (ii) This position is an inherited security
 (iii) This position is an inherited then gifted security

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- N Recent news is available regarding this company. G Goldman Sachs has recently published research regarding this company. News and Research Indicators are based on Eastern Standard Time (United States)

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Prepared for Carole J. Walther
 Mon 4 Feb 2013 4 23 PM EST

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The Mullen Family Foundation Inc. 038-92408-0

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked revised during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Base Currency USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
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- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

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The Mullen Family Foundat 038-92408-0

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2 Choose An Existing View

Realized Gains/Losses

Customize this view or

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Choose a Date Range

From Date 01-Oct-2011

To Date 30-Sep-2012

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4 Search By Symbol/CUSIP

go

Include Options ☐

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	83,459.34	-24,425.61	0.00	59,033.73
<u>Long-Term</u>	239,914.98	29,656.03	0.00	210,258.95
Total Realized Gains/Losses:	323,374.32	-54,081.64	0.00	269,292.68

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Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
BBG	BILL BARRETT CORPORATION CMN	20-Oct-2011	27-Mar-2012	8	217.67	312.640	-94.97	159	Short-Term
BBG	BILL BARRETT CORPORATION CMN	08-Feb-2010	27-Mar-2012	23	625.81	711.390	-85.58	778	Long-Term
BIIB	BIOGEN IDEC INC CMN	03-Nov-2011	27-Mar-2012	1	126.29	113.760	12.53	145	Short-Term
BIIB	BIOGEN IDEC INC CMN	23-Nov-2011	27-Mar-2012	24	3,030.90	2,658.240	372.66	125	Short-Term
BIIB	BIOGEN IDEC INC CMN	02-Nov-2011	27-Mar-2012	24	3,030.90	2,725.820	305.08	146	Short-Term
BLK	BLACKROCK, INC CMN	27-Jul-2011	27-Mar-2012	12	2,467.76	2,162.320	305.44	244	Short-Term
BLK	BLACKROCK, INC CMN	26-Jul-2011	27-Mar-2012	23	4,729.87	4,248.470	481.40	245	Short-Term
BA	BOEING COMPANY CMN	22-Jul-2010	27-Mar-2012	32	2,400.28	2,136.090	264.19	614	Long-Term
BA	BOEING COMPANY CMN	11-Jun-2010	27-Mar-2012	25	1,875.22	1,620.900	254.32	655	Long-Term
BA	BOEING COMPANY CMN	02-Dec-2011	27-Mar-2012	39	2,925.34	2,783.660	141.68	116	Short-Term
BWA	BORGWARNER INC CMN	28-Oct-2011	27-Mar-2012	18	1,545.27	1,364.420	180.85	151	Short-Term
BWA	BORGWARNER INC CMN	05-Dec-2011	27-Mar-2012	42	3,605.63	2,929.630	676.00	113	Short-Term
BWA	BORGWARNER INC CMN	11-Aug-2010	27-Mar-2012	46	3,949.03	2,102.360	1,846.67	594	Long-Term
BXP	BOSTON PROPERTIES INC COMMON STOCK	07-Dec-2010	27-Mar-2012	7	732.25	605.270	126.98	476	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	12-Feb-2010	27-Mar-2012	140	848.38	1,025.330	-176.95	774	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	13-Apr-2010	27-Mar-2012	170	1,030.18	1,171.300	-141.12	714	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	18-Mar-2010	27-Mar-2012	209	1,266.52	1,469.440	-202.92	740	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	29-Jul-2010	27-Mar-2012	216	1,308.94	1,216.680	92.26	607	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	09-Feb-2010	27-Mar-2012	609	3,690.47	4,963.290	-1,272.82	777	Long-Term
BRE	BRE PROPERTIES, INC CMN CLASS A	08-Feb-2010	27-Mar-2012	28	1,389.89	883.120	506.77	778	Long-Term
CA	CA, INC CMN	29-Jul-2011	27-Mar-2012	5	139.15	111.620	27.53	242	Short-Term
CA	CA, INC CMN	18-Aug-2011	27-Mar-2012	22	612.25	415.640	196.61	222	Short-Term
CA	CA, INC CMN	02-Aug-2011	27-Mar-2012	23	640.08	493.780	146.30	238	Short-Term
CA	CA, INC CMN	07-Feb-2011	27-Mar-2012	105	2,922.10	2,610.970	311.13	414	Long-Term
CA	CA, INC CMN	16-Aug-2011	27-Mar-2012	30	834.89	608.150	226.74	224	Short-Term
CA	CA, INC CMN	25-Apr-2011	27-Mar-2012	46	1,280.16	1,108.150	172.01	337	Short-Term
CA	CA, INC CMN	20-May-2011	27-Mar-2012	64	1,781.09	1,469.170	311.92	312	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	23-Aug-2011	27-Mar-2012	20	68.80	53.440	15.36	217	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	14-Jun-2011	27-Mar-2012	35	120.40	223.280	-102.88	287	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	20-May-2011	27-Mar-2012	183	629.51	1,196.780	-567.27	312	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	13-Jun-2011	27-Mar-2012	61	209.84	386.180	-176.34	288	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	22-Aug-2011	27-Mar-2012	106	364.63	289.740	74.89	218	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	03-Aug-2011	27-Mar-2012	78	268.31	393.740	-125.43	237	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	23-May-2011	27-Mar-2012	125	429.99	795.500	-365.51	309	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	24-Jun-2011	27-Mar-2012	123	423.11	667.450	-244.34	277	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	19-May-2011	27-Mar-2012	132	454.07	828.210	-374.14	313	Short-Term
DVR	CAL DIVE INTERNATIONAL, INC CMN	24-May-2011	27-Mar-2012	5	17.20	32.160	-14.96	308	Short-Term
CAM	CAMERON INTERNATIONAL CORP CMN	06-May-2011	27-Mar-2012	19	1,002.80	913.000	89.80	326	Short-Term
CAM	CAMERON INTERNATIONAL CORP CMN	16-Aug-2011	27-Mar-2012	3	158.34	142.110	16.23	224	Short-Term

Realized Gains/Losses

Symbol	Description ⁽ⁱ⁾	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CAM	CAMERON INTERNATIONAL CORP CMN	03-Jun-2010	27-Mar-2012	24	1,266 70	818 040	448 66	663	Long-Term
CAM	CAMERON INTERNATIONAL CORP CMN	29-Jul-2010	27-Mar-2012	34	1,794 49	1,341 850	452 64	607	Long-Term
CAM	CAMERON INTERNATIONAL CORP CMN	19-May-2011	27-Mar-2012	20	1,055 58	960 340	95 24	313	Short-Term
CTL	CENTURYLINK INC CMN	03-Mar-2011	27-Mar-2012	15	586 19	613 150	-26 96	390	Long-Term
CTL	CENTURYLINK INC CMN	08-Feb-2010	27-Mar-2012	66	2,579 23	2,284 230	295 00	778	Long-Term
CERN	CERNER CORP CMN	21-Nov-2011	27-Mar-2012	48	3,748 73	2,730 480	1,018 25	127	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	08-Feb-2010	27-Mar-2012	44	1,594 97	1,573 890	21 08	778	Long-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	19-Aug-2011	27-Mar-2012	169	2,570 44	1,971 440	599 00	221	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	13-Oct-2011	27-Mar-2012	76	1,155 94	955 520	200 42	166	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	22-Sep-2011	27-Mar-2012	73	1,110 31	805 820	304 49	187	Short-Term
CVX	CHEVRON CORPORATION CMN	08-Feb-2010	27-Mar-2012	192	20,724 10	13,573 880	7,150 22	778	Long-Term
CB	CHUBB CORP CMN	08-Feb-2010	27-Mar-2012	21	1,442 88	1,008 160	434 72	778	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	08-Feb-2010	27-Mar-2012	32	668 15	760 310	-92 16	778	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	03-Mar-2011	27-Mar-2012	14	292 31	259 980	32 33	390	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	14-Jul-2010	27-Mar-2012	22	459 35	521 710	-62 36	622	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	03-Sep-2010	27-Mar-2012	43	897 82	898 210	-0 39	571	Long-Term
CTRN	CITI TRENDS, INC CMN	13-Sep-2011	27-Mar-2012	40	496 39	429 250	67 14	196	Short-Term
CTRN	CITI TRENDS, INC CMN	19-Sep-2011	27-Mar-2012	27	335 06	311 570	23 49	190	Short-Term
CTRN	CITI TRENDS, INC CMN	20-Sep-2011	27-Mar-2012	17	210 97	195 550	15 42	189	Short-Term
CTRN	CITI TRENDS, INC CMN	15-Sep-2011	27-Mar-2012	42	521 21	482 760	38 45	194	Short-Term
CTRN	CITI TRENDS, INC CMN	16-Sep-2011	27-Mar-2012	52	645 31	617 230	28 08	193	Short-Term
CTXS	CITRIX SYSTEMS INC CMN	26-Oct-2010	27-Mar-2012	7	562 09	433 180	128 91	518	Long-Term
CTXS	CITRIX SYSTEMS INC CMN	25-Oct-2010	27-Mar-2012	23	1,846 87	1,403 870	443 00	519	Long-Term
CTXS	CITRIX SYSTEMS INC CMN	27-Jul-2010	27-Mar-2012	42	3,372 54	2,018 890	1,353 65	609	Long-Term
CTXS	CITRIX SYSTEMS INC CMN	22-Apr-2010	27-Mar-2012	69	5,540 60	3,290 970	2,249 63	705	Long-Term
COH	COACH INC CMN	08-Feb-2010	27-Mar-2012	106	8,409 88	3,664 950	4,744 93	778	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	08-Feb-2010	27-Mar-2012	52	3,726 25	2,752 330	973 92	778	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	10-Feb-2010	27-Mar-2012	31	2,221 42	1,670 590	550 83	776	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	18-May-2011	27-Mar-2012	70	5,016 11	4,760 520	255 59	314	Short-Term
KO	COCA-COLA COMPANY (THE) CMN	05-Mar-2010	27-Mar-2012	98	7,022 55	5,350 610	1,671 94	753	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	23-Jun-2011	27-Mar-2012	69	4,944 45	4,509 790	434 66	278	Short-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	10-Aug-2011	27-Mar-2012	142	4,225 84	2,838 780	1,387 06	230	Short-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	27-Jul-2011	27-Mar-2012	138	4,106 81	3,314 650	792 16	244	Short-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	14-Jul-2011	27-Mar-2012	130	3,868 73	3,111 810	756 92	257	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	09-Jun-2011	27-Mar-2012	33	998 56	801 790	196 77	292	Short-Term
CMA	COMERICA INCORPORATED CMN	07-Apr-2011	27-Mar-2012	49	1,602 76	1,879 650	-276 89	355	Short-Term
CMA	COMERICA INCORPORATED CMN	11-Apr-2011	27-Mar-2012	35	1,144 83	1,335 910	-191 08	351	Short-Term
CMA	COMERICA INCORPORATED CMN	08-Apr-2011	27-Mar-2012	50	1,635 47	1,899 610	-264 14	354	Short-Term
CMA	COMERICA INCORPORATED CMN	12-Apr-2011	27-Mar-2012	53	1,733 60	2,034 650	-301 05	350	Short-Term
CNW	CON-WAY INC CMN	08-Mar-2011	27-Mar-2012	29	967 42	1,035 220	-67 80	385	Long-Term
CNW	CON-WAY INC CMN	04-Feb-2011	27-Mar-2012	43	1,434 45	1,424 530	9 92	417	Long-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	23-Dec-2010	27-Mar-2012	7	163 16	245 720	-82 56	460	Long-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	22-Dec-2010	27-Mar-2012	8	186 47	280 390	-93 92	461	Long-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	21-Nov-2011	27-Mar-2012	9	491 84	401 090	90 75	127	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	08-Nov-2011	27-Mar-2012	17	929 03	799 140	129 89	140	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	08-Feb-2010	27-Mar-2012	70	3,825 43	3,476 680	348 75	778	Long-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	29-Apr-2010	27-Mar-2012	58	3,169 64	2,810 750	358 89	698	Long-Term
CRH	CRH PLC SPON ADR ADR CMN	23-Jun-2011	27-Mar-2012	7	147 21	142 100	5 11	278	Short-Term
CRH	CRH PLC SPON ADR ADR CMN	20-Jan-2011	27-Mar-2012	36	757 06	730 380	26 68	432	Long-Term
CRH	CRH PLC SPON ADR ADR CMN	19-Jan-2011	27-Mar-2012	116	2,439 43	2,356 640	82 79	433	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	08-Feb-2010	27-Mar-2012	21	959 68	691 120	268 56	778	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Nov-2010	27-Mar-2012	23	1,051 08	706 220	344 86	510	Long-Term
DHR	DANAHER CORPORATION CMN	24-Aug-2011	27-Mar-2012	62	3,411 18	2,673 710	737 47	216	Short-Term
DHR	DANAHER CORPORATION CMN	16-May-2011	27-Mar-2012	2	110 04	108 240	1 80	316	Short-Term
DHR	DANAHER CORPORATION CMN	27-Jul-2011	27-Mar-2012	67	3,686 27	3,314 560	371 71	244	Short-Term
DHR	DANAHER CORPORATION CMN	17-May-2011	27-Mar-2012	76	4,181 44	4,064 420	117 02	315	Short-Term
DHR	DANAHER CORPORATION CMN	16-May-2011	27-Mar-2012	38	2,090 72	2,055 530	35 19	316	Short-Term
DHR	DANAHER CORPORATION CMN	02-Mar-2011	27-Mar-2012	79	4,346 50	3,960 650	385 85	391	Long-Term
DE	DEERE & COMPANY CMN	08-Feb-2010	27-Mar-2012	23	1,908 27	1,140 120	768 15	778	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	03-Jun-2010	27-Mar-2012	3	217 29	198 850	18 44	663	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	19-Jul-2011	27-Mar-2012	9	651 86	734 530	-82 67	252	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	08-Feb-2010	27-Mar-2012	14	1,014 00	935 620	78 38	778	Long-Term

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(i) This position is a gifted security

(ii) This position is an inherited security

(iii) This position is an inherited then gifted security

Short Term

<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
329	639	(309)
560	794	(234)
1,350	1,961	(611)
321	477	(156)
598	761	(164)
299	392	(93)
1,614	1,927	(313)
362	428	(67)
273	310	(37)
2,103	2,480	(378)
526	598	(72)
2,092	2,393	(301)
1,086	2,758	(1,672)
190	345	(155)
417	735	(318)
306	535	(228)
190	199	(9)
1,023	1,026	(4)
1,974	1,937	37
3,114	3,261	(147)
318	325	(8)
64	66	(3)
3,066	3,195	(128)
2,395	2,340	55
1,468	1,389	79
2,458	2,227	231
222	243	(21)
888	972	(83)
333	364	(31)
1,970	1,966	4
5,260	5,829	(569)
1,004	1,087	(83)
182	198	(16)
1,590	1,734	(144)
1,408	1,540	(131)
607	695	(88)
867	986	(118)
2,386	2,442	(56)
2,922	2,977	(55)
264	375	(110)
793	1,116	(323)
8,506	7,745	761
292	318	(26)
1,241	1,346	(106)
1,101	1,188	(87)
3,095	2,711	384

Short Term

	1,753	2,415	(661)
	1,394	1,604	(210)
	773	883	(110)
	328	360	(32)
	932	1,028	(96)
	110	96	14
	110	128	(18)
	388	415	(27)
	152	126	26
	252	212	39
	101	85	16
	252	250	2
	50	50	0.35
	277	274	3
	384	403	(19)
	486	468	18
	362	351	12
	426	438	(12)
	28	29	(0.39)
	385	374	11
	54	53	0.63
	268	268	(0.43)
	261	268	(7)
	574	582	(8)
	219	235	(16)
	219	230	(11)
	229	174	55
	227	174	53
	179	180	(0.86)
	143	143	(0.06)
	358	350	8
	251	272	(21)
	160	156	4
	160	152	8
	132	173	(41)
Total	75,804	82,729	(6,923.76)

Long Term

<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
611	1,036	(425)
573	439	134
2,291	1,676	615
202	140	62
8,279	4,860	3,420
2,892	3,126	(234)
226	143	83
226	127	98
833	467	366
3,172	3,006	166
4,133	3,926	207
4,258	3,066	1,191
1,502	951	550
3,329	3,172	157
959	928	31
639	623	16
4,302	2,487	1,814
717	432	285
2,333	1,512	821
2,405	2,765	(360)
197	209	(12)
2,487	2,232	255
3,136	2,386	750
1,145	982	163
1,402	1,212	190
881	756	125
1,113	1,023	90
296	277	19
1,049	860	189
1,109	818	290
898	730	168
45	0	0
2,349	1,389	959
1,697	2,171	(474)
766	777	(11)
1,563	1,418	144
1,162	1,350	(188)
2,535	4,007	(1,472)
746	620	126
527	412	115
1,229	970	259
318	241	77
2,149	1,636	513
516	602	(87)
470	378	93
114	89	26

Long Term

639	472	167
617	460	158
353	250	103
454	395	59
180	166	14
464	387	77
395	331	63
763	514	249
492	539	(46)
153	128	25
228	193	35
201	173	29
519	463	56
469	361	108
204	155	50
34	31	3
264	121	143
303	152	151
367	182	185
340	360	(20)
121	126	(5)
563	444	119
912	732	180
278	321	(43)
507	429	78
523	454	70
319	307	12
440	479	(38)
521	339	182

Totals

84,793

70,855

13,893.00

Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security

Acquisition Date/Liquidation Date

Quantity

Liquidation Price(\$)

Acquisition Cost(\$)

Liquidation Amount(\$)

Realized Gain/(Loss) Short Term(\$)

Long Term(\$)

XXX-XX471 "Neuberger LCG"

ADOBE SYS DEL PV\$ 0.001

11/12/2010 - 04/26/2011	65	33 058	1,918	2,149	231	0
11/11/2010 - 04/26/2011	29	33 058	865	959	94	0
02/08/2010 - 04/26/2011	37	33 058	1,198	1,223	0	26
02/08/2010 - 04/25/2011	73	33 260	2,363	2,428	0	65
02/08/2010 - 03/15/2011	53	33 120	1,715	1,755	0	40

ALLEGHENY TECH INC

03/14/2011 - 10/05/2011	10	32 946	639	329	(309)	0
10/19/2010 - 10/05/2011	17	32 946	794	560	(234)	0
10/19/2010 - 10/04/2011	42	32 146	1,961	1,350	(611)	0
10/18/2010 - 10/04/2011	10	32 146	477	321	(155)	0

05/10/2010 - 10/04/2011	19	32 146	(1,035)	(611)	0	(425)
05/05/2010 - 09/22/2011	27	37 980	1,364	1,025	0	(338)
05/05/2010 - 06/23/2011	25	59 946	1,263	1,499	0	236
05/05/2010 - 05/26/2011	4	65 069	202	260	0	58
05/05/2010 - 05/19/2011	50	65 735	2,525	3,287	0	762
05/05/2010 - 05/18/2011	56	66 704	2,628	3,735	0	907

ALLERGAN INC

02/08/2010 - 05/26/2011	2	81 724	117	163	0	46
02/08/2010 - 05/24/2011	19	82 130	1,113	1,560	0	448
02/08/2010 - 05/19/2011	21	83 861	1,230	1,761	0	531
02/08/2010 - 05/18/2011	6	83 976	351	504	0	152

AMAZON COM INC COM

09/14/2010 - 11/22/2011	3	190 892	439	573	0	(134)
09/09/2010 - 11/22/2011	12	190 892	1,676	2,291	0	(615)
09/09/2010 - 10/26/2011	1	201 938	140	202	0	(62)
02/08/2010 - 10/26/2011	41	201 938	4,860	8,279	0	(3,420)
02/08/2010 - 07/27/2011	15	223 898	1,778	3,358	0	1,580
02/08/2010 - 07/13/2011	7	214 901	830	1,504	0	675
02/08/2010 - 05/26/2011	1	193 443	119	193	0	75
02/08/2010 - 05/17/2011	10	192 759	1,185	1,928	0	742
02/08/2010 - 03/01/2011	11	169 436	1,304	1,864	0	560

Accounts included in this report: Please refer to the Account List for accounts included in this report

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471-472-473-474
BASIS Sales Price

Realized Gain/(Loss) Detail by Account

For the Year 2011



Bank of America Corporation

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX471 "Neuberger LCG"							
AMERICAN TOWER CORP CL A							
	06/04/2010 - 04/11/2011	50	50.227	2,074	2,511	438	0
	06/04/2010 - 04/08/2011	8	50.439	332	404	72	0
	04/09/2010 - 04/08/2011	47	50.439	2,000	2,371	370	0
	04/09/2010 - 04/07/2011	29	50.744	1,234	1,472	237	0
	04/08/2010 - 04/07/2011	4	50.744	168	203	35	0
	04/08/2010 - 02/18/2011	54	54.030	2,264	2,918	653	0
	04/08/2010 - 01/19/2011	13	48.973	545	637	92	0
	04/08/2010 - 01/18/2011	54	49.528	2,264	2,674	410	0
AMGEN INC COM PV \$0.0001							
	02/09/2010 - 04/18/2011	17	54.993	979	935	0	(44)
	02/09/2010 - 04/15/2011	17	55.646	979	946	0	(33)
	02/08/2010 - 04/15/2011	33	55.646	1,909	1,836	0	(72)
	02/08/2010 - 04/01/2011	38	53.413	2,198	2,030	0	(168)
	02/08/2010 - 03/31/2011	22	53.620	1,272	1,180	0	(93)
AMN ELEC POWER CO							
	05/23/2011 - 05/26/2011	2	38.040	77	76	(0.86)	0
APPLE INC							
	02/08/2010 - 05/26/2011	6	334.756	1,177	2,009	0	831
BAKER HUGHES INC							
	01/28/2011 - 09/29/2011	79	48.112	5,368	3,801	(1,568)	0
	01/28/2011 - 08/09/2011	21	60.260	1,427	1,265	(162)	0
	01/28/2011 - 05/26/2011	3	73.630	204	221	17	0
BG GROUP PLC SPON ADR							
	04/19/2011 - 05/26/2011	2	114.010	243	228	(15)	0
BOEING COMPANY							
	06/11/2010 - 09/27/2011	50	63.411	3,242	3,170	0	(71)
	06/11/2010 - 09/22/2011	7	57.942	454	406	0	(48)
	05/27/2010 - 09/22/2011	15	57.942	972	869	0	(103)
	05/27/2010 - 06/23/2011	41	71.268	2,656	2,922	0	266
	05/27/2010 - 05/26/2011	4	76.150	259	305	45	0
	05/27/2010 - 03/16/2011	20	67.821	1,296	1,356	61	0

Accounts included in this report: Please refer to the Account List for accounts included in this report

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Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX471 "Neuberger LCG"							
BORG WARNER INC COM							
	08/11/2010 - 05/26/2011	2	69,690	91	139	48	0
	08/11/2010 - 05/25/2011	4	66,739	183	267	84	0
	08/11/2010 - 05/24/2011	8	66,474	366	532	166	0
	07/14/2010 - 05/24/2011	26	66,474	1,105	1,728	623	0
	07/14/2010 - 05/17/2011	21	71,913	893	1,510	617	0
	07/14/2010 - 05/16/2011	13	73,473	553	955	403	0
CAMERON INTL CORP							
	08/16/2010 - 06/01/2011	58	47,102	2,223	2,732	508	0
	08/16/2010 - 05/26/2011	2	48,010	77	96	19	0
	08/16/2010 - 05/24/2011	39	46,906	1,495	1,829	334	0
	08/16/2010 - 05/18/2011	49	48,074	1,878	2,356	477	0
CANADIAN NATURAL RES LTD							
	11/16/2010 - 10/07/2011	20	29,887	761	598	(116,317)	0
	11/15/2010 - 10/07/2011	10	29,887	392	299	(10,951)	0
	10/21/2010 - 10/07/2011	54	29,887	1,927	1,614	(31,613)	0
	10/21/2010 - 10/06/2011	12	30,128	428	362	(2,766)	0
	02/08/2010 - 10/06/2011	96	30,128	3,126	2,892	0	234
	02/08/2010 - 08/11/2011	48	35,826	1,563	1,720	0	157
	02/08/2010 - 05/26/2011	9	42,085	293	379	0	86
	02/08/2010 - 05/12/2011	51	41,692	1,661	2,126	0	466
	02/08/2010 - 04/14/2011	24	44,316	782	1,064	0	282
CATERPILLAR INC DEL							
	02/18/2011 - 08/15/2011	22	91,051	2,315	2,003	(312)	0
	07/28/2010 - 08/15/2011	34	91,051	2,361	3,096	0	735
	07/28/2010 - 06/13/2011	14	95,592	972	1,338	366	0
	07/28/2010 - 05/26/2011	2	103,084	139	206	67	0
	07/28/2010 - 05/16/2011	27	106,207	1,875	2,868	993	0
	07/27/2010 - 05/16/2011	2	106,207	138	212	74	0
	07/27/2010 - 05/12/2011	15	108,244	1,037	1,624	586	0
	07/27/2010 - 05/12/2011	19	107,874	1,314	2,050	736	0
CELGENE CORP COM							
	11/03/2010 - 02/10/2011	12	50,936	751	611	(139)	0

Accounts included in this report. Please refer to the Account List for accounts included in this report

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Realized Gain/(Loss) Detail by Account



For the Year 2011

Bank of America Corporation

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX471 "Neuberger LCG"							
CELGENE CORP COM							
	11/02/2010 - 02/10/2011	23	50,936	1,447	1,172	(275)	0
	11/01/2010 - 02/10/2011	2	50,936	124	102	(22)	0
	11/01/2010 - 02/09/2011	8	50,606	495	405	(90)	0
	05/06/2010 - 02/09/2011	38	50,606	2,274	1,923	(351)	0
	05/05/2010 - 02/09/2011	76	50,606	4,564	3,846	(718)	0
CHECK POINT SOFTWARE TECH							
	09/30/2010 - 08/09/2011	16	48,524	592	776	184	0
	09/30/2010 - 08/08/2011	53	49,751	1,962	2,637	675	0
	07/13/2010 - 08/08/2011	25	49,751	808	1,244	0	436
	07/13/2010 - 08/05/2011	23	52,529	743	1,208	0	465
	07/13/2010 - 06/23/2011	28	53,607	905	1,501	596	0
	07/13/2010 - 06/22/2011	10	53,641	323	536	213	0
	07/13/2010 - 05/26/2011	6	53,470	194	321	127	0
	07/13/2010 - 05/25/2011	29	53,163	937	1,542	605	0
	07/12/2010 - 05/25/2011	4	53,163	124	213	89	0
	07/12/2010 - 05/19/2011	10	54,736	310	547	238	0
	07/12/2010 - 05/18/2011	30	54,442	929	1,633	704	0
CISCO SYSTEMS INC COM							
	02/08/2010 - 02/11/2011	22	18,761	524	413	0	(111)
	08/10/2010 - 02/11/2011	189	18,761	4,612	3,546	(1,066)	0
	03/19/2010 - 02/11/2011	118	18,761	3,089	2,214	(875)	0
CITRIX SYSTEMS INC COM							
	04/22/2010 - 10/27/2011	3	75,233	143	226	0	233
	02/08/2010 - 10/27/2011	3	75,233	127	226	0	98
	02/08/2010 - 10/27/2011	11	75,714	467	833	0	366
	02/08/2010 - 08/12/2011	9	61,477	382	553	0	171
	02/08/2010 - 08/11/2011	31	62,138	1,316	1,926	0	610
	02/08/2010 - 06/17/2011	28	74,378	1,189	2,083	0	894
	02/08/2010 - 05/26/2011	9	84,411	382	760	0	378
	02/08/2010 - 05/20/2011	26	84,300	1,104	2,192	0	1,088
	02/08/2010 - 05/18/2011	14	81,587	594	1,142	0	548

Accounts included in this report Please refer to the Account List for accounts included in this report

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Realized Gain/(Loss) Detail by Account

For the Year: 2011



Bank of America Corporation

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Long Term(\$)
XXX-XX471 "Neuberger LCG"							
CME GROUP INC							
12/02/2010 - 10/27/2011		1	273 340	310	273	(370)	0
12/02/2010 - 10/26/2011		8	262 843	2 480	2 103	(523)	0
12/01/2010 - 10/26/2011		2	262 843	598	526	(172)	0
12/01/2010 - 10/25/2011		8	261 478	2 393	2 092	(306)	0
12/01/2010 - 05/26/2011		1	283 380	299	283	(16)	0
COACH INC							
02/08/2010 - 05/26/2011		7	62 310	242	436	0	194
COCA COLA COM							
02/08/2010 - 05/26/2011		5	66 516	265	333	0	68
02/08/2010 - 04/28/2011		54	67 242	2 858	3 631	0	773
02/08/2010 - 01/06/2011		33	63 073	1 747	2 081	335	0
COGNIZANT TECH SOLUTNS A							
04/26/2011 - 08/09/2011		25	63 735	2 047	1 593	(453)	0
04/25/2011 - 08/09/2011		8	63 735	654	510	(144)	0
04/25/2011 - 08/02/2011		6	71 726	490	430	(60)	0
03/24/2011 - 08/02/2011		10	71 726	776	717	(58)	0
03/23/2011 - 08/02/2011		28	71 726	2 138	2 008	(130)	0
03/23/2011 - 06/15/2011		10	69 512	764	695	(68)	0
03/23/2011 - 06/14/2011		16	70 648	1 222	1 130	(91)	0
03/22/2011 - 06/14/2011		9	70 648	692	636	(57)	0
03/22/2011 - 05/26/2011		8	74 917	615	599	(16)	0
COLGATE PALMOLIVE							
02/08/2010 - 03/18/2011		10	76 830	795	768	0	(27)
02/08/2010 - 03/17/2011		29	76 722	2 306	2 225	0	(81)
02/08/2010 - 01/07/2011		38	78 276	3 022	2 974	(47)	0
CORNING INC							
06/11/2010 - 05/19/2011		166	20 078	3 036	3 333	297	0
06/11/2010 - 05/18/2011		32	20 326	585	650	65	0
05/28/2010 - 05/18/2011		229	20 326	3 994	4 655	661	0
COVIDEN PLC SHS NEW							
02/08/2010 - 05/26/2011		12	54 650	596	656	0	60

Accounts included in this report Please refer to the Account List for accounts included in this report

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Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX471 "Neuberger LCG"							
CTRP.COM INTL LTD ADR							
11/24/2010 - 02/16/2011		41	39,594	1,963	1,623	(339)	0
11/24/2010 - 02/14/2011		9	40,522	431	365	(66)	0
11/23/2010 - 02/14/2011		28	40,522	1,298	1,135	(164)	0
11/23/2010 - 02/11/2011		8	41,428	371	331	(40)	0
11/23/2010 - 02/10/2011		39	41,339	1,808	1,612	(196)	0
CUMMINS INC COM							
04/29/2010 - 08/10/2011		15	87,708	1,140	1,316	0	176
04/29/2010 - 08/09/2011		14	90,446	1,064	1,266	0	203
04/29/2010 - 05/26/2011		1	104,900	76	105	0	29
04/29/2010 - 05/23/2011		12	103,616	912	1,243	0	332
02/08/2010 - 05/23/2011		15	103,616	767	1,554	0	787
02/08/2010 - 05/17/2011		4	108,986	204	436	0	231
02/08/2010 - 05/16/2011		22	110,574	1,125	2,433	0	1,308
02/08/2010 - 05/13/2011		15	112,111	767	1,682	0	915
02/08/2010 - 05/12/2011		20	113,418	1,022	2,268	0	1,246
DANAHER CORP DEL COM							
05/16/2011 - 05/26/2011		2	53,458	108	107	(1)	0
02/08/2010 - 01/31/2011		46	46,016	1,621	2,117	496	0
02/08/2010 - 01/28/2011		50	46,128	1,762	2,306	544	0
02/08/2010 - 01/27/2011		52	46,577	1,833	2,422	589	0
DENBURY RES INC							
03/10/2010 - 04/28/2011		174	21,691	2,787	3,774	0	987
DEVON ENERGY CORP NEW							
03/10/2011 - 06/24/2011		19	77,468	1,647	1,472	(175)	0
03/08/2011 - 06/24/2011		11	77,468	982	852	(130)	0
03/08/2011 - 06/23/2011		4	76,866	357	307	(50)	0
03/07/2011 - 06/23/2011		35	76,866	3,160	2,690	(470)	0
03/07/2011 - 05/26/2011		2	81,768	181	164	(17)	0
EM C CORPORATION MASS							
02/08/2010 - 06/10/2011		85	26,468	1,442	2,250	0	807
02/08/2010 - 05/26/2011		22	27,823	373	612	0	239

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XXX-XX471 "Neuberger LCC"							
EMERSON ELEC CO							
	02/08/2010 - 05/12/2011	126	54 309	5,660	6,843	0	1,183
EOG RESOURCES INC							
	10/15/2010 - 09/22/2011	15	77 726	1,500	1,166	(334)	0
	10/15/2010 - 05/26/2011	3	105 539	300	317	17	0
	10/15/2010 - 05/24/2011	8	106 340	800	851	51	0
	10/14/2010 - 05/24/2011	6	106 340	593	638	45	0
EXPRESS SCRIPTS INC COM							
	06/14/2010 - 08/04/2011	30	50 977	1,602	1,529	0	(73)
	06/14/2010 - 05/26/2011	4	59 492	214	238	24	0
FIRST SOLAR INC							
	04/01/2011 - 06/24/2011	1	120 408	162	120	(41)	0
	04/01/2011 - 06/23/2011	1	121 705	162	122	(40)	0
	03/31/2011 - 06/23/2011	19	121 705	3,053	2,312	(741)	0
	03/15/2011 - 06/23/2011	16	121 705	2,524	1,947	(577)	0
	03/15/2011 - 05/27/2011	24	118 954	3,786	2,855	(931)	0
FLOWERVE CORP							
	03/04/2011 - 06/13/2011	11	105 262	1,408	1,158	(250)	0
	03/03/2011 - 06/13/2011	5	105 262	649	526	(122)	0
	03/03/2011 - 06/10/2011	10	106 457	1,297	1,065	(233)	0
	02/04/2011 - 06/10/2011	1	106 457	129	106	(23)	0
	02/04/2011 - 06/08/2011	7	110 822	904	776	(128)	0
	02/04/2011 - 06/07/2011	13	112 452	1,679	1,462	(217)	0
	02/04/2011 - 06/06/2011	6	112 563	775	675	(100)	0
	02/04/2011 - 05/26/2011	1	115 740	129	116	(13)	0
	02/04/2011 - 05/25/2011	10	117 210	1,292	1,172	(120)	0
	02/03/2011 - 05/25/2011	11	117 210	1,401	1,289	(112)	0
FREERT-MCMRAN CPR & GLD							
	11/15/2010 - 09/29/2011	30	31 418	1,560	943	(618)	0
	11/09/2010 - 09/29/2011	12	31 418	644	377	(266)	0
	11/01/2010 - 09/29/2011	140	31 418	6,707	4,398	(2,308)	0
	11/01/2010 - 09/22/2011	12	32 655	575	392	(183)	0
	10/26/2010 - 09/22/2011	17	32 655	818	555	(263)	0

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XXX-XX471 "Neuberger LCG"							
FREERT-MCMRAN CPR & GLD							
	10/26/2010 - 06/23/2011	33	47,722	1,588	1,575	(13)	0
	10/26/2010 - 05/26/2011	8	50,084	385	401	16	0
	10/01/2010 - 05/26/2011	3	50,084	133	150	17	0
	10/01/2010 - 05/23/2011	25	47,239	1,107	1,181	74	0
	10/01/2010 - 05/12/2011	33	48,732	1,461	1,608	147	0
	10/01/2010 - 05/04/2011	57	51,312	2,524	2,925	401	0
	10/01/2010 - 04/14/2011	28	52,123	1,240	1,459	220	0
F5 NETWORKS INC COM							
	08/17/2010 - 03/22/2011	31	93,617	2,719	2,902	183	0
	08/18/2010 - 03/22/2011	6	93,617	531	562	30	0
GILEAD SCIENCES INC COM							
	07/12/2011 - 09/27/2011	74	40,110	3,182	2,968	(214)	0
GOOGLE INC CL A							
	02/11/2011 - 05/26/2011	1	515,400	624	515	(108)	0
HEWLETT PACKARD CO DEL							
	02/09/2011 - 06/23/2011	193	34,817	9,361	6,719	(2,641)	0
	02/09/2011 - 05/26/2011	5	36,410	243	182	(60)	0
ILLUMINA INC COM							
	01/13/2011 - 12/12/2011	40	27,160	2,758	1,086	(16,074)	0
	01/13/2011 - 10/04/2011	5	37,955	345	190	(37,610)	0
	01/12/2011 - 10/04/2011	11	37,955	735	417	(37,540)	0
	01/12/2011 - 10/03/2011	8	38,306	535	306	(37,771)	0
	01/12/2011 - 05/26/2011	4	71,943	267	288	20	0
INTEL CORP							
	05/18/2011 - 11/04/2011 WS	8	23,782	199	190	(8)	0
	05/18/2011 - 11/04/2011	43	23,782	1,026	1,023	(3)	0
	05/19/2011 - 11/04/2011	83	23,782	1,937	1,974	37	0
	05/18/2011 - 05/26/2011 WS	8	22,470	191	180	(11)	0
JOHNSON AND JOHNSON COM							
	05/12/2011 - 12/02/2011	49	63,554	3,261	3,114	(141)	0
	06/23/2011 - 12/02/2011	5	63,554	325	318	(7)	0
	06/09/2011 - 12/02/2011 WS	1	63,554	66	64	(2)	0

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XXX-XX471 "Neuberger LCG"							
JOHNSON AND JOHNSON COM							
05/12/2011 - 11/04/2011		48	63.883	3,195	3,066	(129)	0
05/12/2011 - 05/26/2011	WS	1	65.463	67	65	0	0
JPMORGAN CHASE & CO							
04/15/2010 - 06/23/2011		58	39.835	2,781	2,310	0	(471)
02/08/2010 - 06/23/2011		86	39.835	3,246	3,426	0	179
02/08/2010 - 05/26/2011		8	42.183	302	337	0	35
JUNIPER NETWORKS INC							
11/11/2010 - 05/26/2011		8	36.774	275	294	19	0
KIMBERLY CLARK							
09/22/2011 - 12/05/2011		34	70.435	2,340	2,395	55	0
KOHL'S CORP WISC PV 1CT							
08/11/2011 - 12/12/2011		29	50.820	1,389	1,468	79	0
LABORATORY CP AMER HLDGS							
05/20/2010 - 01/24/2011		13	89.869	992	1,168	176	0
05/19/2010 - 01/24/2011		5	89.869	388	449	62	0
05/19/2010 - 01/21/2011		50	89.746	3,878	4,487	609	0
LAS VEGAS SANDS CORP							
06/07/2011 - 11/29/2011		55	44.688	2,227	2,458	231	0
06/07/2011 - 10/03/2011		6	37.021	243	222	(21)	0
06/07/2011 - 10/03/2011		24	37.021	972	888	(83)	0
06/07/2011 - 10/03/2011		9	37.021	364	333	(31)	0
MCDONALDS CORP COM							
06/08/2010 - 01/13/2011		29	73.028	1,962	2,118	156	0
06/08/2010 - 01/12/2011		47	73.618	3,179	3,460	281	0
06/08/2010 - 01/12/2011		3	73.618	203	221	18	0
06/08/2010 - 01/11/2011		21	73.975	1,420	1,553	133	0
06/08/2010 - 01/06/2011		46	74.582	3,111	3,431	319	0
MEAD JOHNSON NUTRITION CO							
02/08/2010 - 09/30/2011		17	69.132	784	1,175	0	391
02/08/2010 - 05/26/2011		8	66.830	369	535	0	166
MICROSOFT CORP							
08/09/2011 - 12/02/2011		78	25.254	1,966	1,970	(4)	0

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XXX-XX471 "Neuberger LCG"							
NATIONAL-OILWELL VARCO INC							
	03/17/2011 - 05/26/2011	1	71.730	78	72	(6)	0
NETAPP INC							
	09/15/2010 - 04/05/2011	146	46 072	7,182	6,726	(456)	0
	09/15/2010 - 04/04/2011	18	45 607	886	821	(65)	0
NETFLIX COM INC							
	07/26/2011 - 09/29/2011	7	111.439	1,789	780	(1,009)	0
	04/26/2011 - 09/29/2011	9	111.439	2,096	1,003	(1,093)	0
	12/09/2010 - 09/29/2011	14	111.439	2,657	1,560	(1,097)	0
	09/01/2010 - 09/29/2011	7	111.439	928	780	0	(148)
	09/01/2010 - 09/15/2011	7	170 779	928	1,195	0	268
	09/01/2010 - 07/13/2011	6	297.462	795	1,785	989	0
	09/01/2010 - 07/05/2011	2	287 407	265	575	310	0
	08/06/2010 - 07/05/2011	3	287 407	353	862	509	0
	08/06/2010 - 06/16/2011	6	252 134	706	1,513	807	0
	08/06/2010 - 06/07/2011	11	265.532	1,295	2,921	1,626	0
	08/06/2010 - 05/26/2011	4	263 340	471	1,053	583	0
	08/06/2010 - 03/09/2011	9	192.900	1,059	1,736	677	0
	08/06/2010 - 03/01/2011	11	204.426	1,295	2,249	954	0
	08/06/2010 - 02/14/2011	9	245.931	1,059	2,213	1,154	0
NORDSTROM INC							
	09/15/2010 - 06/27/2011	7	44 896	248	314	66	0
	09/15/2010 - 06/24/2011	48	45.106	1,701	2,165	464	0
	09/14/2010 - 06/24/2011	8	45 106	283	361	78	0
	09/14/2010 - 06/23/2011	50	45.533	1,766	2,277	511	0
	09/14/2010 - 05/26/2011	4	45.540	141	182	41	0
	09/14/2010 - 05/18/2011	36	46 140	1,271	1,661	390	0
NVIDIA							
	01/07/2011 - 03/10/2011	164	18 288	3,188	2,999	(188)	0
	01/07/2011 - 03/10/2011	131	18.288	2,546	2,396	(151)	0
	01/07/2011 - 02/09/2011	97	24 028	1,885	2,331	445	0
	01/07/2011 - 02/08/2011	92	23.866	1,788	2,196	407	0

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XXX-XX471 "Neuberger LCG"							
ORACLE CORP \$0.01 DEL							
02/08/2010 - 05/26/2011		19	33.151	440	630	0	189
02/08/2010 - 05/23/2011		90	33.312	2,086	2,998	0	912
02/08/2010 - 05/18/2011		45	33.726	1,043	1,518	0	475
PEPSICO INC							
05/18/2011 - 12/01/2011		82	64.146	5,829	5,260	(569)	0
05/18/2011 - 05/26/2011		1	69.976	71	70	(1)	0
POTASH CORP SASKATCHEWAN							
01/06/2011 - 08/22/2011		36	51.693	1,992	1,861	(131)	0
12/16/2010 - 08/22/2011		24	51.693	1,118	1,241	123	0
12/16/2010 - 08/19/2011		39	51.067	1,816	1,992	176	0
11/04/2010 - 08/19/2011		56	51.067	2,822	2,860	238	0
11/04/2010 - 05/26/2011		3	54.680	140	164	24	0
11/04/2010 - 05/12/2011		39	51.179	1,826	1,996	170	0
11/04/2010 - 03/14/2011		28	53.904	1,311	1,509	199	0
PRECISION CASTPARTS							
07/27/2010 - 05/26/2011		5	154.930	603	775	171	0
PRICELINE COM INC							
07/13/2010 - 02/24/2011		15	457.839	3,193	6,867	3,674	0
07/13/2010 - 02/04/2011		6	435.729	1,277	2,614	1,337	0
07/13/2010 - 01/11/2011		4	432.714	852	1,731	879	0
PROCTER & GAMBLE CO							
02/08/2010 - 12/05/2011		49	64.745	3,006	3,172	0	166
02/08/2010 - 10/28/2011		64	64.581	3,926	4,133	0	207
02/08/2010 - 09/27/2011		14	63.017	859	882	0	23
02/08/2010 - 09/26/2011		13	62.560	798	813	0	16
02/08/2010 - 05/26/2011		5	65.860	307	329	0	23
RANGE RESOURCES CORP DEL							
02/08/2010 - 05/26/2011		2	54.290	94	109	0	15
02/08/2010 - 05/18/2011		37	52.829	1,731	1,955	0	223
RAYTHEON CO DELAWARE NEW							
05/23/2011 - 12/05/2011		22	45.643	1,087	1,004	(83)	0
05/23/2011 - 12/02/2011		4	45.432	198	182	(16)	0

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XXX-XX471 "Neuberger LCG"							
RAYTHEON CO DELAWARE NEW							
	05/20/2011 - 12/02/2011	35	45,432	1,734	1,590	(142)	0
	05/19/2011 - 12/02/2011	31	45,432	1,540	1,408	(132)	0
	05/19/2011 - 10/28/2011	14	43,374	695	607	(88)	0
	05/18/2011 - 10/28/2011	20	43,374	986	867	(118)	0
	05/18/2011 - 05/26/2011	4	49,856	197	199	2	0
REPUBLIC SERVICES INC							
	08/19/2011 - 12/08/2011	89	26,812	2,442	2,386	(56)	0
	08/18/2011 - 12/08/2011	109	26,812	2,977	2,922	(55)	0
ROCKWELL AUTOMATION INC							
	02/16/2011 - 08/23/2011	10	55,119	903	551	(352)	0
	02/15/2011 - 08/23/2011	27	55,119	2,391	1,488	(902)	0
	02/14/2011 - 08/23/2011	24	55,119	2,123	1,323	(800)	0
	02/14/2011 - 06/16/2011	6	78,400	531	470	(60)	0
	02/11/2011 - 06/16/2011	1	78,400	88	78	(10)	0
	02/11/2011 - 06/15/2011	13	78,290	1,144	1,018	(126)	0
ROCKWELL COLLINS INC							
	04/29/2010 - 08/11/2011	15	44,311	992	665	0	(327)
	04/29/2010 - 08/10/2011	48	46,285	3,175	2,222	0	(953)
	03/09/2010 - 08/10/2011	10	46,285	606	463	0	(143)
	03/09/2010 - 08/09/2011	21	48,020	1,272	1,008	0	(264)
	03/09/2010 - 05/26/2011	4	60,030	242	240	0	(2)
	03/09/2010 - 03/24/2011	34	63,462	2,060	2,158	0	97
	03/09/2010 - 03/23/2011	12	63,440	727	761	0	34
	03/09/2010 - 03/18/2011	25	62,520	1,515	1,563	0	48
SALESFORCE COM INC							
	11/09/2010 - 07/29/2011	15	144,193	1,714	2,163	449	0
	11/09/2010 - 07/28/2011	2	145,180	229	290	62	0
	05/21/2010 - 07/27/2011	13	145,927	1,065	1,897	0	832
	05/21/2010 - 07/21/2011	18	147,731	1,475	2,659	0	1,184
	05/21/2010 - 05/26/2011	2	151,270	164	303	0	139
	05/21/2010 - 05/23/2011	15	145,523	1,229	2,183	0	953
	05/21/2010 - 05/18/2011	16	131,451	1,311	2,103	792	0

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XXX-XX471 "Neuberger LCG"							
SANDISK CORP INC							
	02/15/2011 - 06/24/2011	30	41.169	1,542	1,235	(307)	0
	12/07/2010 - 06/24/2011	34	41.169	1,645	1,400	(245)	0
	12/07/2010 - 06/23/2011	40	42.725	1,935	1,709	(226)	0
	12/06/2010 - 06/23/2011	45	42.725	2,146	1,923	(224)	0
	12/06/2010 - 05/26/2011	9	45.500	429	409	(20)	0
SCHLUMBERGER LTD							
	02/08/2010 - 05/26/2011	3	84.039	189	252	0	63
	02/08/2010 - 05/12/2011	25	82.425	1,574	2,061	0	487
SINA CORPORATION							
	01/18/2011 - 09/30/2011	4	69.845	349	279	(70)	0
	01/14/2011 - 09/30/2011	16	69.845	1,389	1,117	(271)	0
	01/14/2011 - 09/29/2011	25	72.981	2,170	1,824	(345)	0
	01/14/2011 - 08/04/2011	12	95.592	1,041	1,147	106	0
	01/13/2011 - 08/03/2011	6	99.719	517	598	81	0
	01/13/2011 - 07/19/2011	10	119.598	861	1,196	335	0
	01/13/2011 - 07/12/2011	16	106.813	1,378	1,709	331	0
	01/13/2011 - 06/09/2011	4	92.186	345	369	24	0
	01/13/2011 - 06/09/2011	17	91.581	1,464	1,557	92	0
SOUTHWESTERN ENERGY CO							
	02/08/2010 - 02/10/2011	17	37.005	716	629	0	(87)
	02/08/2010 - 02/09/2011	99	37.384	4,170	3,701	0	(469)
STARBUCKS CORP							
	05/10/2010 - 05/26/2011	5	36.183	134	181	0	47
	05/10/2010 - 05/18/2011	89	35.861	2,378	3,192	0	814
	05/10/2010 - 01/06/2011	42	31.896	1,122	1,340	218	0
STARWOOD HOTELS AND RESORTS WORLDWIDE NE							
	01/24/2011 - 11/23/2011	6	44.067	375	264	(111)	0
	01/21/2011 - 11/23/2011	18	44.067	1,116	793	(323)	0
	01/21/2011 - 05/26/2011	5	59.230	310	296	(14)	0
	01/21/2011 - 05/18/2011	21	57.226	1,302	1,202	(101)	0
STRYKER CORP							
	05/20/2011 - 08/19/2011	29	44.603	1,847	1,293	(554)	0

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XXX-XX471 "Neuberger LCG"							
STRYKER CORP							
05/19/2011 - 08/19/2011		17	44,603	1,088	758	(330)	0
05/16/2011 - 08/19/2011		2	44,603	128	89	(39)	0
05/16/2011 - 08/18/2011		24	44,871	1,535	1,077	(458)	0
05/13/2011 - 08/18/2011		35	44,871	2,214	1,570	(644)	0
05/13/2011 - 08/11/2011		22	46,292	1,392	1,018	(373)	0
05/12/2011 - 08/11/2011	WS	3	46,292	193	139	(54)	0
05/12/2011 - 08/11/2011		5	46,292	314	231	(83)	0
05/12/2011 - 08/04/2011		35	51,005	2,200	1,785	(414)	0
05/12/2011 - 05/26/2011	WS	3	61,666	189	185	0	0
TARGET CORP COM							
07/26/2010 - 03/08/2011		31	51,418	1,627	1,594	(33)	0
07/26/2010 - 03/07/2011		15	51,369	787	771	(17)	0
02/08/2010 - 03/07/2011		105	51,369	5,183	5,394	0	211
TEXAS INSTRUMENTS							
10/04/2010 - 02/10/2011		126	34,996	3,451	4,409	959	0
10/04/2010 - 02/09/2011		78	35,123	2,136	2,740	603	0
TEXTRON INC							
04/11/2011 - 06/01/2011		3	22,516	82	68	(14)	0
04/08/2011 - 06/01/2011	WS	8	22,516	249	180	(69)	0
04/08/2011 - 06/01/2011	WS	18	22,516	552	405	(146)	0
04/08/2011 - 06/01/2011		42	22,516	1,153	946	(208)	0
04/08/2011 - 05/31/2011		36	22,864	989	823	(166)	0
04/08/2011 - 05/26/2011		4	22,160	110	89	(21)	0
04/08/2011 - 05/23/2011		35	22,362	961	783	(179)	0
04/08/2011 - 05/23/2011	WS	8	22,362	220	179	0	0
04/08/2011 - 05/23/2011	WS	18	22,362	494	403	0	0
04/07/2011 - 05/23/2011	WS	33	22,362	1,060	738	(322)	0
04/07/2011 - 05/23/2011	WS	10	22,362	297	224	(73)	0
04/07/2011 - 05/23/2011	WS	40	22,362	1,191	894	(296)	0
04/07/2011 - 05/19/2011	WS ^X	33	23,712	982	782	0	0
04/07/2011 - 05/19/2011	WS	10	23,712	278	237	0	0
04/07/2011 - 05/18/2011	WS	73	23,607	2,032	1,723	0	0

Accounts included in this report: Please refer to the Account List for accounts included in this report

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For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

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Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Long Term(\$)
XXX-XX471 "Neuberger LCG"							
TIME WARNER INC SHS							
	05/19/2011 - 05/26/2011	2	35.490	74	71	(3)	0
UNITED TECHS CORP COM							
	02/08/2010 - 05/26/2011	3	85.614	198	257	0	59
UNITEDHEALTH GROUP INC							
	02/08/2010 - 11/07/2011	94	45.294	3,066	4,258	0	1,191
	02/08/2010 - 05/26/2011	6	47.180	196	283	0	87
	02/08/2010 - 05/19/2011	42	50.484	1,370	2,120	0	750
	02/08/2010 - 05/18/2011	10	50.600	326	506	0	180
V F CORPORATION							
	04/23/2010 - 11/04/2011	11	136.514	951	1,502	0	550
	04/23/2010 - 09/16/2011	1	124.175	86	124	0	38
	04/22/2010 - 09/16/2011	13	124.175	1,128	1,614	0	486
	04/22/2010 - 05/26/2011	3	97.010	260	291	0	31
VISA INC CL A SHRS							
	02/08/2010 - 01/13/2011	85	71.660	7,068	6,091	(977)	0
	05/19/2010 - 01/13/2011	13	71.660	951	932	(20)	0
WAL-MART STORES INC							
	06/23/2011 - 12/05/2011	146	58.261	7,745	8,506	761	0
WATERS CORP							
	02/11/2011 - 12/13/2011	4	72.974	318	292	(26)	0
	02/10/2011 - 12/13/2011	17	72.974	1,346	1,241	(105)	0
	02/10/2011 - 12/12/2011	15	73.377	1,188	1,101	(87)	0
	02/10/2011 - 05/26/2011	3	96.810	238	290	53	0
YUM BRANDS INC							
	05/20/2011 - 09/30/2011	5	49.522	282	248	(35)	0
	05/20/2011 - 09/30/2011	38	49.522	2,140	1,882	(258)	0
	05/20/2011 - 05/26/2011	5	55.766	282	279	0	0
	05/20/2011 - 05/26/2011	2	55.766	113	112	(1)	0
3M COMPANY							
	06/10/2010 - 12/05/2011	41	81.189	3,172	3,329	157	0
	06/10/2010 - 12/02/2011	12	79.936	928	959	31	0
	02/08/2010 - 12/02/2011	8	79.936	623	639	16	0

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XXX-XX471 "Neuberger LCG"							
3M COMPANY							
	02/08/2010 - 05/26/2011	3	92,254	234	277	0	43
	02/08/2010 - 01/31/2011	70	87,819	5,451	6,147	696	0
Total for XXX-XX471						718	35,660
XXX-XX472 "MFS LCV"							
ABBOTT LABS							
	02/08/2010 - 05/26/2011	4	51,803	215	207	0	(8)
ACCENTURE PLC SHS							
	02/08/2010 - 07/14/2011	54	60,977	2,179	3,293	0	1,114
	02/08/2010 - 07/06/2011	52	61,799	2,098	3,213	0	1,115
	02/08/2010 - 06/29/2011	50	59,902	2,017	2,995	0	978
	02/08/2010 - 05/26/2011	9	56,792	363	511	0	148
ACE LIMITED							
	09/08/2010 - 05/26/2011	3	67,374	165	202	37	0
AIR PRODUCTS&CHEM							
	04/14/2010 - 05/26/2011	2	91,738	151	183	0	33
AON CORP							
	07/29/2010 - 05/20/2011	36	52,481	1,319	1,889	570	0
	07/29/2010 - 05/19/2011	1	52,512	37	53	16	0
	07/28/2010 - 05/19/2011	85	52,512	3,114	4,463	1,349	0
	07/28/2010 - 05/18/2011	15	52,418	550	786	237	0
	07/28/2010 - 05/17/2011	10	52,309	366	523	157	0
APACHE CORP							
	02/08/2010 - 08/31/2011	27	103,958	2,651	2,807	0	156
	02/08/2010 - 05/26/2011	1	122,550	98	123	0	24
AT&T INC							
	02/08/2010 - 09/07/2011	66	27,888	1,654	1,841	0	187
	02/08/2010 - 05/26/2011	10	31,015	251	310	0	60
	02/08/2010 - 04/06/2011	122	30,415	3,057	3,711	0	653
BANK NEW YORK MELLON CORP							
	02/08/2010 - 06/08/2011	111	26,282	3,006	2,917	0	(88)
	02/08/2010 - 05/26/2011	11	27,265	298	300	0	2

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XXX-XX472 "MFS LCV"							
BECTON DICKINSON CO							
	02/08/2010 - 05/26/2011	2	86,316	150	173	0	23
CHEVRON CORP							
	02/08/2010 - 05/26/2011	4	102,369	283	409	0	127
	02/08/2010 - 04/13/2011	19	103,736	1,343	1,971	0	628
	02/08/2010 - 02/09/2011	27	96,189	1,909	2,597	0	688
CHUBB CORP							
	02/08/2010 - 02/03/2011	51	58,099	2,448	2,963	515	0
	02/08/2010 - 02/02/2011	51	58,110	2,448	2,964	515	0
	02/08/2010 - 01/26/2011	55	58,980	2,640	3,244	603	0
DANAHER CORP DEL COM							
	03/02/2011 - 05/26/2011	1	53,458	50	53	3	0
DIAGEO PLC SPSP ADR NEW							
	02/08/2010 - 05/26/2011	3	82,015	189	246	0	57
	02/08/2010 - 01/12/2011	29	75,660	1,831	2,194	363	0
DISNEY (WALT) CO COM STK							
	02/08/2010 - 07/27/2011	158	39,734	4,699	6,278	0	1,579
	02/08/2010 - 07/14/2011	54	39,636	1,606	2,140	0	534
	02/08/2010 - 05/26/2011	1	40,615	30	41	0	11
EXXON MOBIL CORP COM							
	02/08/2010 - 05/26/2011	5	81,688	324	408	0	84
GENERAL MILLS							
	02/08/2010 - 05/26/2011	6	39,037	207	234	0	27
GOLDMAN SACHS GROUP INC							
	02/08/2010 - 08/31/2011	23	115,331	3,503	2,653	0	(851)
	02/08/2010 - 05/26/2011	5	135,807	762	679	0	(83)
HASBRO INC COM							
	02/08/2010 - 01/12/2011	63	45,232	2,187	2,850	662	0
HESS CORP							
	02/08/2010 - 06/15/2011	48	71,087	2,755	3,412	0	657
	02/08/2010 - 06/08/2011	49	73,801	2,813	3,616	0	803
	02/08/2010 - 05/26/2011	3	77,787	172	233	0	61
	02/08/2010 - 03/23/2011	23	80,521	1,320	1,852	0	532

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XXX-XX472 "MFS LCV"							
HESS CORP							
02/08/2010 - 02/25/2011		37	83.114	2,124	3,075	0	951
HONEYWELL INTL INC DEL							
05/26/2010 - 05/26/2011		2	57.927	85	116	31	0
HUNTINGTON INGALLS INDS INC							
02/08/2010 - 04/06/2011		24	39.862	812	957	0	145
INTEL CORP							
02/08/2010 - 09/07/2011		112	19.987	2,191	2,238	0	48
02/08/2010 - 05/26/2011		7	22.470	137	157	0	20
02/08/2010 - 04/13/2011		151	19.755	2,954	2,983	0	29
INTL BUSINESS MACHINES CORP IBM							
02/08/2010 - 09/14/2011		18	162.736	2,206	2,929	0	724
02/08/2010 - 08/10/2011		17	165.330	2,083	2,811	0	727
02/08/2010 - 05/26/2011		2	166.094	245	332	0	87
JOHNSON AND JOHNSON COM							
02/08/2010 - 05/26/2011		1	65.463	63	65	0	2
JOHNSON CONTROLS INC							
05/26/2010 - 05/26/2011		5	37.385	141	187	46	0
JPMORGAN CHASE & CO							
02/08/2010 - 05/26/2011		12	42.183	456	506	0	50
LOCKHEED MARTIN CORP							
02/08/2010 - 05/26/2011		2	77.749	150	155	0	6
MASTERCARD INC							
05/19/2010 - 11/02/2011		12	358.468	2,487	4,302	0	0
05/14/2010 - 11/02/2011		2	358.468	432	717	0	0
05/14/2010 - 10/12/2011		7	333.317	1,512	2,333	0	0
05/14/2010 - 09/19/2011		6	349.462	1,296	2,097	0	0
MCDONALDS CORP COM							
04/27/2011 - 10/12/2011		35	88.435	2,711	3,095	0	0
04/27/2011 - 09/28/2011		11	89.364	852	983	131	0
04/06/2011 - 09/28/2011		20	89.364	1,535	1,787	253	0
04/06/2011 - 09/14/2011		23	85.669	1,765	1,970	206	0

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XXX-XX472 "MFS LCV"							
MCDONALDS CORP COM							
	11/17/2010 - 09/14/2011	8	85.669	626	685	59	0
	11/17/2010 - 08/24/2011	29	89.960	2,270	2,609	339	0
	10/26/2010 - 08/24/2011	2	89.960	158	180	22	0
	10/26/2010 - 08/11/2011	30	85.819	2,384	2,575	211	0
	10/26/2010 - 05/26/2011	3	82.450	236	247	11	0
MEDTRONIC INC COM							
	02/08/2010 - 05/26/2011	7	39.970	295	280	0	(15)
METLIFE INC COM							
	02/08/2010 - 05/26/2011	10	43.154	340	432	0	92
NESTLE S A REP RG SH ADR							
	02/08/2010 - 05/26/2011	6	62.830	276	377	0	101
NOBLE CORP NAMEN-AKT							
	08/04/2010 - 03/10/2011	81	42.846	2,867	3,470	603	0
	06/15/2010 - 03/10/2011	23	42.846	706	985	279	0
	06/15/2010 - 02/25/2011	72	43.521	2,210	3,133	923	0
NORTHROP GRUMMAN CORP							
	02/08/2010 - 05/26/2011	2	63.900	106	128	0	22
	02/08/2010 - 03/23/2011	64	67.502	3,739	4,320	0	581
ORACLE CORP \$0.01 DEL							
	02/08/2010 - 05/26/2011	15	33.151	349	497	0	148
	02/08/2010 - 01/19/2011	88	31.361	2,047	2,760	713	0
PEPSICO INC							
	02/08/2010 - 05/26/2011	1	69.976	59	70	0	11
PFIZER INC							
	02/08/2010 - 05/26/2011	11	20.633	198	227	0	29
PG&E CORP							
	09/15/2010 - 11/16/2011	61	39.433	2,765	2,405	0	(360)
	02/08/2010 - 11/16/2011	5	39.433	209	197	0	(12)
	02/08/2010 - 09/28/2011	58	42.962	2,423	2,492	0	68
	02/08/2010 - 05/26/2011	2	42.777	84	86	0	2
	02/08/2010 - 01/12/2011	66	46.642	2,757	3,078	321	0
	02/08/2010 - 01/05/2011	62	46.993	2,590	2,914	323	0

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XXX-XX472 "MFS LCV"							
PHILIP MORRIS INTL INC							
	02/08/2010 - 08/10/2011	30	65.854	1,375	1,976	0	601
	02/08/2010 - 05/26/2011	10	69.944	458	699	0	241
	02/08/2010 - 04/27/2011	44	67.351	2,017	2,963	0	947
PNC FINCL SERVICES GROUP							
	05/11/2011 - 05/26/2011	4	61.474	254	246	(9)	0
PPG INDUSTRIES INC SHS							
	02/08/2010 - 05/26/2011	3	86.827	174	260	0	86
PRUDENTIAL FINANCIAL INC							
	02/08/2010 - 11/16/2011	47	52.914	2,232	2,487	0	255
	02/08/2010 - 05/26/2011	8	62.557	380	500	0	121
PUB SVC ENTERPRISE GRP							
	02/08/2010 - 03/16/2011	129	30.434	3,825	3,926	0	101
QUEST DIAGNOSTICS INC							
	04/20/2011 - 08/17/2011	57	48.620	3,319	2,771	(548)	0
	03/11/2011 - 08/17/2011	9	48.620	514	438	(76)	0
	03/11/2011 - 08/10/2011	31	46.904	1,770	1,454	(316)	0
	03/10/2011 - 08/10/2011	40	46.904	2,273	1,876	(397)	0
REYNOLDS AMERICAN INC							
	01/27/2011 - 08/03/2011	55	34.688	1,789	1,908	119	0
	01/26/2011 - 08/03/2011	41	34.688	1,328	1,422	95	0
	01/26/2011 - 05/26/2011	1	39.164	32	39	7	0
ST JUDE MEDICAL INC							
	12/15/2010 - 06/03/2011	47	48.327	1,963	2,271	309	0
	12/15/2010 - 06/02/2011	16	49.101	668	786	117	0
	12/15/2010 - 06/01/2011	15	49.997	626	750	124	0
	07/07/2010 - 06/01/2011	36	49.997	1,295	1,800	505	0
	07/07/2010 - 05/26/2011	2	49.444	72	99	27	0
	07/07/2010 - 05/04/2011	33	52.771	1,187	1,741	554	0
	04/21/2010 - 05/04/2011	2	52.771	84	106	0	22
	04/21/2010 - 04/20/2011	61	52.497	2,547	3,202	655	0
	04/21/2010 - 03/10/2011	9	48.527	376	437	61	0
	03/24/2010 - 03/10/2011	38	48.527	1,538	1,844	306	0

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XX-XX472 "MFS LCV"							
ST JUDE MEDICAL INC							
	03/24/2010 - 02/16/2011	66	47,079	2,670	3,107	437	0
STANLEY BLACK & DECKER INC							
	06/30/2010 - 11/16/2011	47	66,730	2,386	3,136	0	4,750
	06/30/2010 - 05/26/2011	3	72,334	152	217	65	0
TARGET CORP COM							
	01/12/2011 - 05/26/2011	5	49,136	278	246	(32)	0
TRAVELERS COS INC							
	02/08/2010 - 06/22/2011	54	57,746	2,666	3,118	0	452
	02/08/2010 - 05/26/2011	6	61,054	296	366	0	70
UNITED TECHS CORP COM							
	02/08/2010 - 05/26/2011	4	85,614	264	342	0	79
VIACOM INC NEW CL B							
	03/16/2011 - 05/26/2011	2	49,610	87	99	12	0
VODAFONE GROU PLC SP ADR							
	02/08/2010 - 05/26/2011	4	27,432	87	110	0	22
WALGREEN CO							
	10/20/2010 - 03/02/2011	84	41,533	2,875	3,489	614	0
	10/13/2010 - 03/02/2011	83	41,533	2,904	3,447	543	0
WELLS FARGO & CO NEW DEL							
	07/14/2010 - 05/11/2011	54	28,330	1,493	1,530	37	0
	03/03/2010 - 05/11/2011	46	28,330	1,306	1,303	0	(3)
	02/08/2010 - 05/11/2011	59	28,330	1,580	1,671	0	91
	02/08/2010 - 05/04/2011	110	29,176	2,946	3,209	0	264
	02/08/2010 - 03/02/2011	106	31,576	2,839	3,347	0	508
	02/08/2010 - 02/02/2011	87	32,941	2,330	2,866	536	0
3M COMPANY							
	02/08/2010 - 05/26/2011	2	92,254	156	185	0	29
Total for XXX-XX472						13,627	21,065
XXX-XX473 "AIM MCC"							
AETNA INC NEW							
	02/12/2010 - 06/22/2011	27	44,907	770	1,212	0	443

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XXX-XX473 "AIM MCC"							
AETNA INC NEW	02/12/2010 - 03/11/2011	21	36.485	599	766	0	168
AIR PRODUCTS&CHEM	02/09/2010 - 03/02/2011	36	90.252	2,465	3,249	0	784
BAKER HUGHES INC	02/09/2010 - 03/02/2011	37	69.102	1,874	2,557	0	882
	02/09/2010 - 01/31/2011	13	68.674	659	893	234	0
	02/09/2010 - 01/10/2011	15	56.036	760	841	81	0
BEST BUY CO INC	01/10/2011 - 11/01/2011	68	25.786	2,415	1,753		0
BIOMED IDEC INC	06/03/2010 - 08/12/2011	12	90.600	586	1,087	0	501
	05/07/2010 - 08/12/2011	10	90.600	503	906	0	403
	05/07/2010 - 07/29/2011	9	102.100	453	919	0	466
	05/07/2010 - 04/21/2011	5	103.343	252	517	265	0
	04/14/2010 - 04/21/2011	12	103.343	657	1,240	0	583
	04/14/2010 - 03/24/2011	17	71.263	931	1,211	280	0
	04/14/2010 - 02/07/2011	25	65.484	1,369	1,637	268	0
CAMERON INTL CORP	06/03/2010 - 03/11/2011	11	59.236	375	652	277	0
	06/03/2010 - 01/10/2011	30	48.526	1,023	1,456	433	0
CARTER HOLDINGS INC	09/16/2010 - 08/26/2011	8	28.196	200	226	26	0
	09/16/2010 - 08/25/2011	64	28.437	1,596	1,820	224	0
	09/16/2010 - 08/01/2011	41	32.463	1,023	1,331	308	0
CON-WAY INC	02/04/2011 - 06/22/2011	35	37.738	1,160	1,321	161	0
DRESSER RAND GROUP INC	11/16/2010 - 03/14/2011	14	50.022	515	700	186	0
DUN & BRADSTREET COR-NEW	03/04/2010 - 03/03/2011	2	79.756	140	160	20	0
	02/12/2010 - 03/03/2011	13	79.756	922	1,037	0	115
	02/09/2010 - 03/03/2011	15	79.756	1,070	1,196	0	127

Accounts included in this report. Please refer to the Account List for accounts included in this report

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Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Long Term(\$)
XXX-XX473 "AIM MCC"							
DUN & BRADSTREET COR-NEW							
	02/09/2010 - 02/01/2011	9	86,081	642	775	133	0
EDISON INTL CALIF							
	06/03/2010 - 10/14/2011	30	38,170	982	1,145	0	183
	06/03/2010 - 10/13/2011	37	37,879	1,212	1,402	0	190
GENUINE PARTS CO							
	02/09/2010 - 06/24/2011	56	52,296	2,097	2,929	0	831
GENZYME CORPORATION							
	06/03/2010 - 04/05/2011	20	0,000	992	1,527	535	0
	04/14/2010 - 04/05/2011	31	0,000	1,627	2,367	740	0
	02/09/2010 - 04/05/2011	2	0,000	110	153	0	43
HOLOGIC INC							
	05/04/2010 - 05/23/2011	34	20,721	560	705	0	145
	02/12/2010 - 05/23/2011	33	20,721	528	684	0	156
	02/12/2010 - 04/25/2011	16	21,476	256	344	0	88
	02/09/2010 - 04/25/2011	16	21,476	259	344	0	85
	02/09/2010 - 03/14/2011	63	21,000	1,019	1,323	0	304
	02/09/2010 - 02/09/2011	108	19,587	1,747	2,115	368	0
INTL FLAVORS&FRAGRNC							
	02/09/2010 - 09/26/2011	50	56,493	2,042	2,825	0	783
	02/09/2010 - 01/10/2011	15	55,884	612	838	226	0
ITT CORP							
	02/09/2010 - 04/13/2011	25	57,850	1,208	1,446	0	238
KROGER CO							
	06/03/2010 - 11/08/2011	38	23,179	756	881	0	125
	02/09/2010 - 11/08/2011	48	23,179	1,023	1,113	0	190
	02/09/2010 - 10/13/2011	13	22,733	277	296	0	119
	02/09/2010 - 07/29/2011	46	24,990	980	1,150	0	169
	02/09/2010 - 03/15/2011	75	23,910	1,598	1,793	0	195
LABORATORY CP AMER HLDGS							
	02/09/2010 - 09/23/2011	31	78,785	2,182	2,442	0	261
	02/09/2010 - 05/06/2011	10	97,642	704	976	0	273

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XXX-XX473 "AIM MCC"							
LEGG MASON INC							
	02/09/2010 - 07/29/2011	50	29,505	1,237	1,475	0	238
	02/09/2010 - 04/12/2011	57	36,650	1,411	2,089	0	678
MARSH & MCLENNAN COS INC							
	03/18/2010 - 11/01/2011	35	29,967	860	1,049	0	189
	02/09/2010 - 11/01/2011	37	29,967	818	1,109	0	290
	02/09/2010 - 10/13/2011	33	27,209	730	898	0	168
	02/09/2010 - 01/10/2011	25	26,789	553	670	117	0
MOTOROLA MOBILITY HOLDINGS INC SHS							
	08/02/2011 - 08/19/2011	29	37,876	620	1,098	478	0
	07/29/2011 - 08/19/2011	22	37,876	494	833	339	0
	07/29/2011 - 08/15/2011	8	38,205	180	306	126	0
	03/15/2011 - 08/15/2011	69	38,205	1,628	2,636	1,008	0
	02/09/2010 - 08/15/2011	116	38,205	2,592	4,432	0	1,840
MOTOROLA SOLUTIONS INC							
	01/12/2011 - 10/21/2011	1	45,171	0	45	0	0
	02/09/2010 - 10/21/2011	52	45,171	1,389	2,349	0	959
	02/09/2010 - 06/22/2011	22	46,290	588	1,018	0	431
	02/09/2010 - 05/13/2011	35	46,637	935	1,632	0	697
	02/09/2010 - 03/15/2011	23	40,349	615	928	0	313
PARKER HANNIFIN CORP							
	02/09/2010 - 05/20/2011	36	86,539	2,008	3,115	0	1,107
	02/09/2010 - 03/02/2011	9	86,606	502	779	0	277
PEOPLES UNITED FNL INC							
	02/09/2010 - 10/13/2011	141	12,038	2,171	1,697	0	474
PHARM PROD DEV INC							
	02/09/2010 - 02/22/2011	147	27,674	3,169	4,068	0	899
PITNEY BOWES INC							
	02/09/2010 - 05/09/2011	106	24,728	2,350	2,621	0	271
	02/09/2010 - 04/27/2011	33	26,056	732	860	0	128
PRECISION CASTPARTS							
	02/09/2010 - 03/21/2011	20	142,840	2,139	2,857	0	718

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XXX-XX473 "AIM MCC"							
QUEST DIAGNOSTICS INC							
	02/09/2010 - 11/01/2011	14	54,718	777	766	0	
	02/09/2010 - 06/22/2011	21	61,020	1,165	1,281	0	
	02/09/2010 - 04/13/2011	14	57,600	777	806	0	
REPUBLIC SERVICES INC							
	02/09/2010 - 10/13/2011	55	28,410	1,418	1,563	0	
ROCKWELL COLLINS INC							
	05/20/2011 - 11/01/2011	26	53,625	1,604	1,394		0
RTS SANOFI-AVENTIS SA VALUE RT							
	04/05/2011 - 04/12/2011	53	2,342	125	124	(0.66)	0
SAFEMAY INC NEW							
	02/09/2010 - 11/01/2011	61	19,048	1,350	1,162	0	
SEALED AIR CORP (NEW)							
	04/14/2010 - 05/23/2011	97	25,382	2,131	2,462	0	331
	04/14/2010 - 02/02/2011	45	27,631	988	1,243	255	0
SIGMA ALDRICH CORP							
	02/09/2010 - 06/22/2011	13	69,374	621	902	0	281
STAPLES INC							
	05/19/2011 - 11/01/2011	53	14,587	883	773		0
SYMANTEC CORP COM							
	04/13/2010 - 07/29/2011	28	19,097	473	535	0	62
	02/09/2010 - 07/29/2011	20	19,097	343	382	0	39
	02/09/2010 - 06/14/2011	33	18,682	566	617	0	50
	02/09/2010 - 05/13/2011	126	20,071	2,162	2,529	0	367
	02/09/2010 - 04/11/2011	84	18,424	1,441	1,548	0	106
	02/09/2010 - 03/02/2011	74	17,556	1,270	1,299	0	29
TECHNE CORP							
	02/12/2010 - 05/31/2011	14	81,643	854	1,143	0	289
	02/12/2010 - 05/27/2011	4	81,287	244	325	0	81
	02/09/2010 - 05/27/2011	7	81,287	429	569	0	140
	02/09/2010 - 05/26/2011	13	80,996	796	1,053	0	257
	02/09/2010 - 05/25/2011	11	80,592	674	886	0	213
	02/09/2010 - 03/22/2011	14	70,991	858	994	0	136

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XXX-XX473 "AIM MCC"							
TEVA PHARMACTCL INDS ADR							
04/14/2010 - 10/13/2011		65	39,005	4,007	2,535	0	(6,172)
THE SCOTTS MIRACLE GRO CO							
06/24/2011 - 11/02/2011		7	46,863	360	328	(32)	0
06/24/2011 - 11/01/2011		20	46,580	1,028	932	(38)	0
TYCO INTL LTD NAMEN-AKT							
03/04/2010 - 10/13/2011		17	43,900	620	746	0	(126)
02/12/2010 - 10/13/2011		12	43,900	412	527	0	(415)
02/09/2010 - 10/13/2011		28	43,900	970	1,229	0	(259)
02/09/2010 - 02/01/2011		17	45,156	589	768	178	0
UGI CORP NEW							
02/09/2010 - 01/10/2011		25	32,072	609	802	193	0
VALERO ENERGY CORP NEW							
09/16/2010 - 03/02/2011		27	26,905	466	726	261	0
09/16/2010 - 01/31/2011		42	25,424	725	1,068	343	0
VCA ANTECH INC COM							
12/08/2010 - 05/20/2011		43	24,713	1,000	1,063	63	0
12/08/2010 - 05/19/2011		66	25,139	1,535	1,659	124	0
12/08/2010 - 05/06/2011		13	24,380	302	317	15	0
12/08/2010 - 05/05/2011		19	24,306	442	462	20	0
WATERS CORP							
02/09/2010 - 05/23/2011		28	97,424	1,637	2,728	0	1,090
02/09/2010 - 05/09/2011		10	96,788	585	968	0	383
02/09/2010 - 03/02/2011		11	82,215	643	904	0	261
WILLIAMS COMPANIES DEL							
02/09/2010 - 05/20/2011		95	30,811	2,002	2,927	0	925
02/09/2010 - 05/09/2011		19	31,180	400	592	0	192
02/09/2010 - 04/08/2011		17	31,144	358	529	0	171
02/09/2010 - 03/02/2011		35	30,368	738	1,063	0	325
WISCONSIN ENERGY CORP							
02/09/2010 - 10/14/2011		10	31,764	241	318	0	(177)
02/09/2010 - 10/13/2011		68	31,610	1,636	2,149	0	(513)
02/09/2010 - 05/09/2011		20	31,032	481	621	0	139

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XXX-XX473 "AIM MCC"							
WISCONSIN ENERGY CORP							
	02/09/2010 - 01/10/2011	13	57 971	626	754	128	0
ZIMMER HOLDINGS INC COM							
	11/03/2010 - 05/20/2011	9	67 747	444	610	166	0
	07/29/2010 - 05/20/2011	21	67 747	1,097	1,423	325	0
	02/09/2010 - 05/20/2011	15	67 747	838	1,016	0	178
	02/09/2010 - 04/07/2011	19	60 733	1,061	1,154	0	93
	02/09/2010 - 03/03/2011	19	63 324	1,061	1,203	0	142
Total for XXX-XX473						7,795	23,148
XXX-XX474 "Perkins MCV"							
AARON'S INC SHS A							
	02/08/2010 - 05/12/2011	10	28 007	193	280	0	87
	02/08/2010 - 05/11/2011	10	27 531	193	275	0	82
	02/08/2010 - 05/06/2011	13	28 095	251	365	0	114
	02/08/2010 - 05/05/2011	15	27 683	290	415	0	126
	02/08/2010 - 02/16/2011	9	23 278	174	209	0	36
ACCENTURE PLC SHS							
	02/08/2010 - 08/17/2011	16	54 697	646	875	0	230
	02/08/2010 - 01/04/2011	11	48 160	444	530	86	0
AEROPOSTALE INC							
	05/03/2011 - 08/30/2011	22	11 385	549	250	(299)	0
	03/28/2011 - 08/30/2011	7	11 385	167	80	(88)	0
	03/25/2011 - 08/30/2011	3	11 385	73	34	(38)	0
	03/25/2011 - 08/04/2011	4	12 885	97	52	(45)	0
	03/24/2011 - 08/04/2011	7	12 885	169	90	(79)	0
	03/23/2011 - 08/04/2011	14	12 885	336	180	(156)	0
AKAMAI TECHNOLOGIES INC							
	07/28/2011 - 11/28/2011	4	27 507	96	110		0
	05/17/2011 - 11/28/2011	4	27 507	128	110		0
	05/17/2011 - 11/11/2011	13	29 852	415	388		0
AMER EAGLE OUTFITTERS							
	02/08/2010 - 11/18/2011	38	13 566	602	516	0	

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Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Long Term(\$)
XXX-XX474 "Perkins MCV"							
ANADARKO PETE CORP							
	02/08/2010 - 11/10/2011	6	78 414	378	470	0	0
ANNALY CAP MGMT INC							
	09/23/2010 - 08/25/2011	8	17 360	147	139	(8)	0
AT&T INC							
	08/02/2010 - 02/11/2011	27	28 488	718	769	51	0
BALL CORP COM							
	02/08/2010 - 06/15/2011	14	37 479	355	525	0	170
	02/08/2010 - 06/13/2011	24	38 030	608	913	0	305
	02/08/2010 - 01/28/2011	16	70 944	811	1,135	324	0
BALLY TECHNOLOGIES INC							
	03/07/2011 - 08/30/2011	19	31 573	692	600	(92)	0
BARRETT BILL CORP							
	02/08/2010 - 07/06/2011	14	46 804	433	655	0	222
	02/08/2010 - 05/31/2011	13	44 019	402	572	0	170
BB&T CORPORATION							
	02/08/2010 - 03/03/2011	21	27 162	576	570	0	(6)
BECKMAN COULTER INC COM							
	07/23/2010 - 06/27/2011	10	0 000	463	835	372	0
	07/23/2010 - 02/07/2011	1	82 490	46	82	36	0
	06/08/2010 - 02/07/2011	10	82 490	560	825	265	0
	03/01/2010 - 02/07/2011	1	82 490	67	82	15	0
	03/01/2010 - 01/11/2011	6	72 944	404	438	34	0
	03/01/2010 - 01/06/2011	1	75 992	67	76	9	0
	02/23/2010 - 01/06/2011	10	75 992	656	760	104	0
BERKSHIRE HATHAWAY INC							
	02/08/2010 - 03/03/2011	4	85 931	297	344	0	46
BEST BUY CO INC							
	12/14/2010 - 08/30/2011	5	25 208	180	126	(54)	0
	09/08/2010 - 08/30/2011	13	25 208	466	328	(139)	0
	09/08/2010 - 03/24/2011	7	30 122	235	211	(24)	0
	12/14/2010 - 03/24/2011	2	30 122	72	60	(12)	0
	09/08/2010 - 03/24/2011	13	30 122	436	392	0	0

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XXX-XX474 "Perkins MCV"							
BIG LOTS INC COM							
	05/26/2011 - 11/21/2011	4	38 028	126	152	26	0
	02/08/2010 - 11/21/2011	3	38 028	89	114	0	254
	02/08/2010 - 11/16/2011	16	39 943	472	639	0	254
	02/08/2010 - 04/11/2011	18	43 628	531	785	0	254
BLUE COAT SYS INC							
	07/18/2011 - 12/13/2011	10	25 169	212	252	99	0
	07/14/2011 - 12/13/2011	4	25 169	85	101	16	0
	05/20/2011 - 12/13/2011	10	25 169	250	252	2	0
	05/20/2011 - 12/09/2011	2	25 160	50	50	0	0
	05/20/2011 - 12/09/2011	11	25 160	274	277	0	0
CABOT OIL & GAS CORP							
	06/30/2010 - 07/14/2011	13	64 217	409	835	0	426
	06/30/2010 - 06/08/2011	6	60 008	189	360	171	0
	02/10/2010 - 06/08/2011	7	60 008	264	420	0	156
	02/10/2010 - 05/19/2011	6	54 345	227	326	0	99
	02/08/2010 - 05/19/2011	17	54 345	650	924	0	274
CARLISLE COS INC							
	10/18/2010 - 12/01/2011	14	44 105	460	617	0	156
	10/07/2010 - 12/01/2011	8	44 105	250	353	0	103
CHURCH&DWIGHT CO INC							
	10/01/2010 - 05/09/2011	10	79 434	651	794	143	0
	10/01/2010 - 04/13/2011	5	79 829	326	399	74	0
	09/24/2010 - 04/13/2011	3	79 829	193	239	46	0
	09/24/2010 - 03/25/2011	3	79 052	193	237	44	0
	09/24/2010 - 03/24/2011	3	78 969	193	237	43	0
	09/24/2010 - 03/23/2011	2	78 874	129	158	29	0
CIMAREX ENERGY CO							
	08/10/2011 - 10/24/2011	6	63 934	403	384	0	0
CISCO SYSTEMS INC COM							
	02/08/2010 - 08/08/2011	23	14 170	546	326	0	(221)
COMCAST CORP NEW CL A							
	02/08/2010 - 01/25/2011	24	23 094	368	554	186	0

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XXX-XX474 "Perkins MCV"							
COMCAST CORP NEW CL A							
02/08/2010 - 01/04/2011		33	22 168	506	732		0
COMSTOCK RES INC NEW COM							
03/17/2010 - 08/17/2011		10	24 350	333	244	0	(89)
03/17/2010 - 08/16/2011		1	24 634	33	25	0	(9)
03/16/2010 - 08/16/2011		7	24 634	231	172	0	(59)
03/16/2010 - 08/10/2011		4	24 236	132	97	0	(35)
03/12/2010 - 08/10/2011		11	24 236	370	267	0	(103)
CONAGRA FOODS INC							
10/21/2010 - 05/17/2011		20	25 372	458	507	50	0
10/20/2010 - 05/17/2011		3	25 372	68	76	8	0
10/20/2010 - 05/10/2011		29	25 292	656	733	78	0
09/21/2010 - 05/10/2011		6	25 292	127	152	25	0
09/21/2010 - 05/02/2011		27	24 670	572	666	94	0
CONSTELLATION ENERGY GP							
04/15/2011 - 06/13/2011		15	36 770	503	552	49	0
04/11/2011 - 06/13/2011		3	36 770	99	110	11	0
04/11/2011 - 06/10/2011		13	36 776	429	478	49	0
03/15/2011 - 06/10/2011		7	36 776	217	257	41	0
03/15/2011 - 05/20/2011		12	37 368	371	448	77	0
12/28/2010 - 05/20/2011		4	37 368	125	149	24	0
12/28/2010 - 05/16/2011		8	37 343	251	299	48	0
12/23/2010 - 05/16/2011		13	37 343	408	485	77	0
COVANCE INC							
12/10/2010 - 07/19/2011		9	59 778	438	538	100	0
11/05/2010 - 07/18/2011		9	59 932	400	539	140	0
11/04/2010 - 07/18/2011		5	59 932	228	300	72	0
11/04/2010 - 01/27/2011		2	57 640	91	115	24	0
07/30/2010 - 01/27/2011		12	57 640	462	692	230	0
COVIDIEN PLC SHS							
02/08/2010 - 03/02/2011		19	51 388	941	976	0	35
COVIDIEN PLC SHS NEW							
02/08/2010 - 05/23/2011		21	55 370	1 040	1 163	0	122

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XXX-XX474 "Perkins MCV"							
CVS CAREMARK CORP							
02/08/2010 - 11/21/2011		12	37 843	395	454	0	0
DIEBOLD INC							
02/08/2010 - 11/21/2011		6	29 980	166	180	0	0
02/08/2010 - 10/28/2011		14	33 117	387	464	0	0
02/08/2010 - 10/27/2011		12	32 883	331	395	0	0
DISCOVER FINL SVCS							
03/29/2010 - 09/21/2011		13	25 688	199	334	0	135
03/29/2010 - 09/12/2011		16	24 319	245	389	0	144
03/29/2010 - 08/25/2011		4	24 434	61	98	0	37
03/12/2010 - 08/25/2011		17	24 434	256	415	0	160
03/12/2010 - 08/05/2011		12	23 177	181	278	0	98
03/11/2010 - 08/05/2011		12	23 177	174	278	0	104
03/11/2010 - 03/03/2011		13	21 330	188	277	89	0
03/10/2010 - 03/03/2011		25	21 330	360	533	173	0
E M C CORPORATION MASS							
02/08/2010 - 03/03/2011		34	27 099	577	921	0	344
ELECTRONIC ARTS INC DEL							
02/08/2010 - 03/17/2011		29	18 539	511	538	0	27
02/08/2010 - 03/16/2011		21	18 209	370	382	0	12
EQT CORP							
02/08/2010 - 10/17/2011		12	63 564	514	763	0	249
02/08/2010 - 08/03/2011		20	59 555	857	1,191	0	334
FLOWERS FOODS INC COM							
08/19/2010 - 08/11/2011		11	20 573	182	226	44	0
08/19/2010 - 08/10/2011		11	20 608	182	227	45	0
08/19/2010 - 08/09/2011		2	20 401	33	41	8	0
08/02/2010 - 08/09/2011		11	20 401	179	224	0	45
08/02/2010 - 08/03/2011		13	21 523	212	280	0	68
08/02/2010 - 08/02/2011		9	21 890	147	197	0	50
02/08/2010 - 08/02/2011		4	21 890	66	88	0	22
02/08/2010 - 05/31/2011		8	33 185	198	265	0	68
02/08/2010 - 05/27/2011		11	32 824	272	361	0	89

Accounts included in this report: Please refer to the Account List for accounts included in this report

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account



Bank of America Corporation

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX474 "Perkins MCV"							
FLOWERS FOODS INC COM							
	02/08/2010 - 04/11/2011	12	28.197	296	338	0	42
FOREST OIL CORP NEW							
	02/08/2010 - 12/01/2011	31	15.884	539	492	0	(46)
FREERT-MCMRAN CPR & GLD							
	02/08/2010 - 02/03/2011	8	56.061	281	448	167	0
	02/08/2010 - 01/06/2011	10	116.069	703	1,161	458	0
GAP INC DELAWARE							
	02/08/2010 - 08/15/2011	29	16.336	574	474	0	(101)
	02/08/2010 - 08/08/2011	27	15.559	535	420	0	(115)
	02/08/2010 - 08/08/2011	18	16.001	357	288	0	(69)
	02/08/2010 - 05/19/2011	15	22.990	297	345	0	48
GARMIN LTD							
	02/24/2010 - 12/13/2011	4	38.310	128	153	0	(25)
	02/24/2010 - 12/12/2011	6	37.956	193	228	0	(35)
	02/24/2010 - 09/13/2011	3	32.822	96	98	0	2
	02/08/2010 - 09/13/2011	14	32.822	449	460	0	10
GENERAL MILLS							
	05/10/2011 - 12/09/2011	12	40.467	468	486	0	0
	05/10/2011 - 12/08/2011	9	40.269	351	362	0	0
	02/08/2010 - 12/08/2011	5	40.269	173	201	0	(29)
	02/08/2010 - 09/13/2011	7	36.815	242	258	0	16
GENZYME CORPORATION							
	07/02/2010 - 02/17/2011	4	75.287	210	301	91	0
	02/18/2010 - 02/17/2011	5	75.287	279	376	98	0
GLOBAL PMTS INC GEORGIA							
	02/08/2010 - 09/22/2011	10	40.113	452	401	0	(51)
	02/08/2010 - 08/30/2011	9	45.388	407	408	0	1
GOLDCORP INC							
	02/08/2010 - 09/21/2011	8	51.826	278	415	0	137
	02/08/2010 - 09/12/2011	4	52.552	139	210	0	71
	02/08/2010 - 08/30/2011	16	51.800	555	829	0	274
	02/08/2010 - 03/14/2011	19	47.548	659	903	0	244

Accounts included in this report: Please refer to the Account List for accounts included in this report

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX474 "Perkins MCV"							
HCC INS HOLDING INC							
	02/08/2010 - 06/27/2011	8	31 156	213	249	0	37
	02/08/2010 - 06/21/2011	11	31 445	292	346	0	54
	02/08/2010 - 06/15/2011	7	31 603	186	221	0	35
	02/08/2010 - 06/08/2011	6	31 686	159	190	0	31
	02/08/2010 - 06/06/2011	9	31 991	239	288	0	49
HESS CORP							
	02/08/2010 - 02/23/2011	4	84 180	230	337	0	107
	02/08/2010 - 01/11/2011	20	80 808	1 148	1 616	468	0
HEWLETT PACKARD CO DEL							
	02/08/2010 - 08/18/2011	32	29 370	1 535	940	0	(595)
	02/08/2010 - 03/03/2011	5	43 695	240	218	0	(21)
IMMUCOR INC							
	10/07/2010 - 08/05/2011	44	25 796	730	1 135	405	0
INTEL CORP							
	10/07/2010 - 09/19/2011	23	21 834	447	502	56	0
	10/07/2010 - 08/08/2011	39	20 686	757	807	50	0
INTERSIL CORP CL A							
	04/22/2010 - 09/08/2011	17	10 666	270	181	0	(89)
	02/08/2010 - 09/08/2011	33	10 666	465	352	0	(113)
	02/08/2010 - 08/25/2011	13	10 626	183	138	0	(45)
	02/08/2010 - 08/24/2011	23	10 630	324	244	0	(80)
	02/08/2010 - 04/05/2011	28	13 201	395	370	0	(25)
INVESCO LTD							
	02/08/2010 - 12/05/2011	25	20 764	463	519	0	(56)
	02/08/2010 - 03/03/2011	50	26 674	927	1 334	0	(407)
J C PENNEY CO COM							
	06/09/2010 - 10/27/2011	14	33 496	361	469	0	(108)
	06/09/2010 - 10/24/2011	6	34 068	155	204	0	(50)
	04/28/2010 - 10/24/2011	1	34 068	31	34	0	(3)
	04/28/2010 - 03/11/2011	23	37 972	707	873	166	0
JACK HENRY & ASSOC INC							
	02/08/2010 - 08/30/2011	8	29 028	172	232	0	60

Accounts included in this report. Please refer to the Account List for accounts included in this report

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX474 "Perkins MCV"							
JACK HENRY & ASSOC INC							
02/08/2010 - 08/29/2011		12	29 033	258	348	0	90
JOHNSON CONTROLS INC							
08/26/2010 - 03/18/2011		16	39 499	436	632	196	0
07/26/2010 - 03/18/2011		4	39 499	115	158	43	0
JONES (THE) GROUP INC							
11/29/2010 - 02/09/2011		10	13 305	135	133	(2)	0
11/29/2010 - 02/09/2011		28	13 305	376	373	(4)	0
11/18/2010 - 02/09/2011		25	13 305	346	333	(13)	0
11/18/2010 - 01/31/2011		2	12 790	28	26	(2)	0
11/17/2010 - 01/31/2011		27	12 790	365	345	(20)	0
KANSAS CITY SOUTHERN							
02/08/2010 - 11/14/2011		4	66 053	121	264	0	294
02/08/2010 - 10/26/2011		5	60 605	152	303	0	151
02/08/2010 - 10/24/2011		6	61 169	182	367	0	185
02/08/2010 - 08/02/2011		11	57 100	334	628	0	294
KB HOME							
02/08/2010 - 04/12/2011		22	11 245	346	247	0	(98)
02/08/2010 - 04/11/2011		18	11 502	283	207	0	(76)
02/08/2010 - 04/08/2011		16	11 787	252	189	0	(63)
KELLOGG CO							
02/08/2010 - 05/11/2011		12	56 788	626	681	0	55
KIMBERLY CLARK							
02/08/2010 - 06/13/2011		7	65 084	415	456	0	40
KINDER MORGAN INC. DEL							
05/25/2011 - 10/20/2011		15	28 375	438	426	0	0
05/24/2011 - 10/20/2011		1	28 375	29	28	0	0
05/24/2011 - 10/17/2011		13	29 588	374	385	0	0
KIRBY CORP COM							
02/08/2010 - 09/13/2011		9	55 829	284	502	0	218
02/08/2010 - 05/23/2011		17	54 105	537	920	0	383
KOHL'S CORP WISC PV 1CT							
03/31/2011 - 11/21/2011		1	53 580	53	54	0	0

Accounts included in this report Please refer to the Account List for accounts included in this report

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Long Term(\$)
XXX-XX474 "Perkins MCV"							
KOHL'S CORP WISC PV 1CT							
	02/24/2011 - 11/21/2011	5	53 580	268	268	(10-43)	0
	02/24/2011 - 10/20/2011	5	52 176	268	261	(6)	0
	02/09/2011 - 10/20/2011	11	52 176	582	574	(8)	0
	08/13/2010 - 07/14/2011	15	56 048	684	841	(157)	0
KROGER CO							
	02/08/2010 - 08/08/2011	21	22 811	446	479	0	33
	02/08/2010 - 08/04/2011	24	23 978	510	575	0	66
	02/08/2010 - 07/21/2011	19	25 083	403	477	0	73
	02/08/2010 - 04/06/2011	24	23 985	510	576	0	66
	02/08/2010 - 02/24/2011	17	22 973	361	391	0	30
LABORATORY CP AMER HLDGS							
	02/08/2010 - 05/19/2011	9	99 914	634	899	0	265
LENNAR CORP CL A							
	03/29/2010 - 11/22/2011	20	17 016	360	340	0	(120)
	03/29/2010 - 11/21/2011	7	17 253	126	121	0	(5)
LUBRIZOL CORP							
	02/08/2010 - 07/19/2011	5	134 659	359	673	0	315
	02/08/2010 - 05/23/2011	8	134 200	574	1,074	0	500
M&T BANK CORPORATION							
	03/03/2011 - 08/05/2011	6	79 002	519	474	(45)	0
	11/30/2010 - 08/05/2011	6	79 002	462	474	12	0
	11/30/2010 - 08/03/2011	2	83 733	154	167	14	0
	11/17/2010 - 08/03/2011	1	83 733	80	84	4	0
	11/17/2010 - 07/18/2011	2	84 585	159	169	10	0
	11/05/2010 - 07/18/2011	5	84 585	412	423	11	0
	11/16/2010 - 07/18/2011	3	84 585	240	254	14	0
	11/08/2010 - 07/18/2011	4	84 585	328	338	10	0
MCKESSON CORPORATION COM							
	05/12/2010 - 06/08/2011	7	84,001	482	588	0	106
	02/08/2010 - 06/08/2011	4	84,001	234	336	0	102
	02/08/2010 - 05/19/2011	7	86 231	410	604	0	193

Accounts included in this report. Please refer to the Account List for accounts included in this report

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011



Bank of America Corporation

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX474 "Perkins MCV"							
MOHAWK INDUSTRIES INC							
	01/20/2011 - 11/11/2011	4	54,755	235	219	0	0
	01/18/2011 - 11/11/2011	4	54,755	230	219	0	0
	02/08/2010 - 10/27/2011	10	56,326	444	563	0	0
NATIONAL-OILWELL VARCO INC							
	05/20/2010 - 04/12/2011	7	74,163	250	519	269	0
	05/20/2010 - 03/02/2011	9	77,455	321	697	376	0
NATIONWIDE HLTH PTYS REIT							
	05/26/2010 - 03/01/2011	12	41,894	413	503	90	0
NOBLE ENERGY INC							
	02/08/2010 - 11/10/2011	10	91,218	732	912	0	0
OLD REPUB INTL CORP							
	02/08/2010 - 10/28/2011	31	8,968	321	278	0	0
OMNICARE INC							
	02/08/2010 - 11/10/2011	17	29,823	429	507	0	0
	02/08/2010 - 11/01/2011	18	29,080	454	523	0	0
PALL CORP PV \$0.10							
	09/15/2011 - 12/09/2011	4	57,322	174	229	0	0
	09/15/2011 - 12/09/2011	4	56,760	174	227	0	0
PARTNERRE LTD							
	05/12/2010 - 08/26/2011	3	54,428	226	163	0	(63)
	04/05/2010 - 08/26/2011	10	54,428	803	544	0	(259)
PEOPLES UNITED FNL INC							
	02/08/2010 - 08/04/2011	51	12,125	785	618	0	(166)
	02/08/2010 - 03/03/2011	25	12,742	385	319	0	(66)
PETROHAWK ENERGY CORP							
	03/04/2011 - 07/29/2011	39	38,159	837	1,488	651	0
PLAINS EXPL AND PRODN							
	03/22/2011 - 11/11/2011	5	35,790	180	179	0	0
	03/21/2011 - 11/11/2011	4	35,790	143	143	0	0
	03/08/2011 - 11/11/2011	10	35,790	350	358	0	0
	03/03/2011 - 11/11/2011	7	35,790	272	251	0	0

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Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011



Bank of America Corporation

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX474 "Perkins MCV"							
PLAINS EXPL AND PRODCN							
03/03/2011 - 07/18/2011		15	39.077	582	586	4	0
PNC FINCL SERVICES GROUP							
02/08/2010 - 11/17/2011		6	53.197	307	319	0	0
PROGRESSIVE CRP OHIO							
04/15/2010 - 06/09/2011		12	20.376	250	245	0	(5)
08/19/2010 - 06/09/2011		20	20.376	393	408	15	0
04/15/2010 - 06/08/2011		7	20.407	146	143	0	(3)
04/14/2010 - 06/08/2011		18	20.407	373	367	0	(5)
QEP RESOURCES INC SHS							
02/08/2010 - 08/12/2011		11	35.954	293	395	0	103
02/08/2010 - 08/08/2011		19	35.436	506	673	0	168
RAYMOND JAMES FINL INC							
02/08/2010 - 02/09/2011		29	37.446	736	1,086	0	350
ROCKWELL COLLINS INC							
02/08/2010 - 09/19/2011		7	53.314	369	373	0	4
RYLAND GROUP INC							
05/27/2010 - 03/03/2011		13	16.518	241	215	(26)	0
05/28/2010 - 03/03/2011		25	16.518	461	413	(48)	0
SKECHERS U S A INC CL A							
11/02/2010 - 08/17/2011		10	15.448	195	154	(41)	0
11/02/2010 - 08/17/2011		22	15.448	429	340	(89)	0
SNAP ON INC COM							
02/08/2010 - 07/22/2011		7	60.928	283	426	0	144
02/08/2010 - 07/21/2011		10	61.999	404	620	0	216
SOUTHWESTERN ENERGY CO							
02/02/2011 - 10/20/2011		4	40.082	156	160	0	0
01/03/2011 - 10/20/2011		4	40.082	152	160	0	0
01/03/2011 - 08/19/2011		8	36.110	304	289	(15)	0
12/20/2010 - 08/18/2011		8	36.307	280	290	10	0
12/17/2010 - 08/18/2011		3	36.307	108	109	1	0
12/17/2010 - 07/26/2011		6	48.985	215	294	79	0
03/12/2010 - 07/26/2011	WS	16	48.985	627	784	0	157

Accounts included in this report. Please refer to the Account List for accounts included in this report

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011



Bank of America Corporation

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Long Term(\$)
XXX-XX474 "Perkins MCV"							
SOUTHWESTERN ENERGY CO							
03/12/2010 - 03/22/2011	WS	16	42.017	715	672	0	0
12/17/2010 - 03/22/2011		4	42.017	143	168	25	0
SRA INTERNATIONAL INC A							
02/08/2010 - 07/21/2011		53	0.000	890	1,656	0	766
ST JUDE MEDICAL INC							
02/08/2010 - 03/21/2011		15	48.406	563	726	0	163
STATE STREET CORP							
04/28/2010 - 11/10/2011		11	40.017	479	440	0	(38)
TD AMERITRADE HLDG CORP							
07/16/2010 - 03/02/2011		19	21.654	301	411	110	0
07/16/2010 - 03/02/2011		14	21.390	222	299	78	0
TEMPLE INLAND INC COM							
10/20/2010 - 09/27/2011		16	31.397	337	502	165	0
09/01/2010 - 09/27/2011		13	31.397	219	408	0	189
02/08/2010 - 09/27/2011		15	31.397	252	471	0	219
02/08/2010 - 09/26/2011		12	31.370	202	376	0	174
THOMAS&BETTS CP TENN NPV							
02/08/2010 - 11/30/2011		10	52.140	339	521	0	(182)
02/08/2010 - 01/31/2011		18	50.808	610	915	305	0
TYCO INTL LTD NAMEN-AKT							
02/08/2010 - 09/22/2011		15	42.587	514	639	0	125
02/08/2010 - 09/19/2011		10	44.902	343	449	0	106
ULTRA PETROLEUM CORP							
02/08/2010 - 08/10/2011		9	36.779	416	331	0	(85)
03/11/2010 - 08/10/2011		16	37.091	775	593	0	(182)
02/08/2010 - 08/10/2011		1	37.091	46	37	0	(9)
V F CORPORATION							
02/08/2010 - 04/28/2011		12	107.101	864	1,285	0	421
VENTAS INC REIT							
05/26/2010 - 07/14/2011		3	53.422	131	160	0	29
07/06/2010 - 07/14/2011		7	53.422	307	374	0	67

Accounts included in this report: Please refer to the Account List for accounts included in this report

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report created February 5, 2013 for Mullen Family Foundation

Realized Gain/(Loss) Detail by Account

For the Year 2011

Account/Security	Acquisition Date/Liquidation Date	Quantity	Liquidation Price(\$)	Acquisition Cost(\$)	Liquidation Amount(\$)	Realized Gain/(Loss) Short Term(\$)	Realized Gain/(Loss) Long Term(\$)
XXX-XX474 "Perkins MCV"							
WAL-MART STORES INC							
	02/08/2010 - 08/08/2011	10	48,960	532	490	0	(42)
	02/08/2010 - 03/03/2011	7	51,822	372	363	0	(9)
WALGREEN CO							
	02/08/2010 - 03/03/2011	39	41,798	1,312	1,630	0	319
WHIRLPOOL CORP							
	11/18/2010 - 08/18/2011	10	56,905	765	569	(196)	0
WHITING PETROLEUM CORP							
	07/28/2011 - 10/20/2011	3	43,861	173	132	(430)	0
XILINX INC							
	12/22/2010 - 08/30/2011	10	31,265	282	313	31	0
	12/22/2010 - 08/30/2011	5	31,265	141	156	15	0
	12/22/2010 - 08/26/2011	4	30,022	113	120	7	0
	11/01/2010 - 08/26/2011	9	30,022	242	270	29	0
	10/29/2010 - 08/26/2011	9	30,022	242	270	29	0
ZIMMER HOLDINGS INC COM							
	02/08/2010 - 04/25/2011	11	61,475	614	676	0	62
Total for XXX-XX474						7,082	12,167
Grand Total						29,221	92,040

Note: Acquisition Costs are not adjusted for stock splits, etc.

WS: These transactions have been identified as "Wash Sale" based on IRS regulations and the gain/(loss) will reflect that designation.

WSX: Due to multiple transactions which were identified as "Wash Sales", cost basis on these transactions equals original purchase plus wash sale deferred loss. The gain/(loss) record reflects this adjustment per IRS regulations.



FAO MULLEN FAMILY FOUNDATION

EMA Fiscal Statement

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/07/11	Sale	-11,022	FIRST EAGLE OVERSEAS FD CL A	21.87		241,052.10CR
12/07/11	Sale	-8,958	THORN BURG INTERNATIONAL VALUE FD A	24.71		221,372.89CR
			FRAC SHR QUANTITY 044			
			FRAC SHR QUANTITY 838			
			Net Total			462,424.99
Dividends and Interest						
10/31/11	Bank Interest		BANK DEPOSIT INTEREST		0.18	
11/30/11	Bank Interest		BANK DEPOSIT INTEREST		0.18	
			Sub Total		.36	
12/16/11	Bank Interest		BANK DEPOSIT INT 12/16		10.23	
			Net Total			10.59
Other Activity						
12/13/11	Journal Entry		ACCT TRANSFER FEE \$95		95.00	
12/19/11	Journal Entry		CREDIT CASH BALANCE		464,482.48	
12/29/11	Journal Entry		CREDIT CASH BALANCE		10.23	
			Net Total			464,587.71

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
11,022.0000	FIRST EAGLE ORSEAS A	02/01/10	12/02/11	241,051.14	219,999.12	21,052.02 LT
0.0440	FIRST EAGLE ORSEAS A	06/18/10	12/02/11	0.96	0.88	0.08 LT
8,958.0000	THORN BURG INTNL VL FD A	02/01/10	12/02/11	221,352.18	221,979.24	(627.06) LT

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Statement Period 09/30/12
Year Ending 628-07476

00058029





FAO MULLEN FAMILY FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
0.8380	THORNBURG INTNL VL FD A	06/18/10	12/02/11	20.71	20.14	0.57 LT

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Statement Period Year Ending 09/30/12
Account No. 628-07476

00-17-6060B-IPSS000 05-2011

X 00086030

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4,910.0000	EATON VANCE FL RATE A	07/14/09	12/02/11	44,631.89	39,918.46	4,713.43 LT
11,480.0000	EATON VANCE FL RATE A	11/20/09	12/02/11	104,353.20	99,990.79	4,362.41 LT
3,002.0000	EATON VANCE FL RATE A	02/01/10	12/02/11	27,288.19	27,498.32	(210.13) LT
0.4050	EATON VANCE FL RATE A	06/18/10	12/02/11	3.68	3.62	0.06 LT
0.0790	BLACKROCK STRATEGIC	05/13/11	12/02/11	0.75	0.80	(0.05) ST**
39,370.0000	BLACKROCK STRATEGIC	05/13/11	12/02/11	374,015.00	399,999.20	(25,984.20) ST**
13,195.0000	L SAYLES STRAT INCM CL A	07/14/09	12/02/11	190,535.79	159,395.73	31,140.06 LT
21,069.0000	L SAYLES STRAT INCM CL A	07/30/09	12/02/11	304,236.37	264,415.92	39,820.45 LT
0.1530	L SAYLES STRAT INCM CL A	06/18/10	12/02/11	2.21	2.15	0.06 LT
24,548.0000	BLKRCK H/Y BD PT CL A	03/20/08	12/02/11	180,182.32	176,991.07	3,191.25 LT
0.5990	BLKRCK H/Y BD PT CL A	06/18/10	12/02/11	4.40	4.31	0.09 LT

851,238.05

768,220.37

LT

PLEASE SEE REVERSE SIDE
Page 7 of 9
Statement Period Year Ending 09/30/12
Account No. 628-07477

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
GOLDMAN SACHS A/C 063-2	45.75
GOLDMAN SACHS A/C 408-0	2,199.90
MERRILL LYNCH A/C 628-04062	101.07
MERRILL LYNCH A/C 628-07460	25.45
MERRILL LYNCH A/C 628-07471	5.29
MERRILL LYNCH A/C 628-07472	5.68
MERRILL LYNCH A/C 628-07473	3.74
MERRILL LYNCH A/C 628-07474	7.75
MERRILL LYNCH A/C 628-07476	10.59
MERRILL LYNCH A/C 628-07477	13,079.85
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	15,485.07

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ENDOWMENT TEI FUND, L.P.	29,223.00	0.00	29,223.00
GOLDMAN SACHS A/C 062-4	10,961.12	0.00	10,961.12
GOLDMAN SACHS A/C 063-2	3,692.26	0.00	3,692.26
GOLDMAN SACHS A/C 408-0	42,823.31	0.00	42,823.31
MERRILL LYNCH A/C 628-07471	2,349.35	0.00	2,349.35
MERRILL LYNCH A/C 628-07472	4,841.32	0.00	4,841.32
MERRILL LYNCH A/C 628-07473	904.72	0.00	904.72
MERRILL LYNCH A/C 628-07474	1,281.67	0.00	1,281.67
MERRILL LYNCH A/C 628-07477	32.96	0.00	32.96
TOTAL TO FM 990-PF, PART I, LN 4	96,109.71	0.00	96,109.71

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ENDOWMENT TEI FUND OTHER INCOME	4.00	4.00	
TOTAL TO FORM 990-PF, PART I, LINE 11	4.00	4.00	

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES A/C 062-4	225.62	225.62		0.00
INVESTMENT ADVISORY FEES A/C 063-2	1,816.11	1,816.11		0.00
MERRILL LYNCH FEES	4,947.82	4,947.82		0.00
TO FORM 990-PF, PG 1, LN 16C	6,989.55	6,989.55		0.00

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	142.66	142.66		0.00
TO FORM 990-PF, PG 1, LN 18	142.66	142.66		0.00

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL SECRETARY OF STATE - CORPORATION ANNUAL FEE	10.00	0.00		10.00
ENDOWMENT TEI FUND	2,265.00	2,265.00		0.00
ACCOUNT TRANSFER FEES	665.00	665.00		0.00
EMA ANNUAL FEE A/C 628-04062	50.00	50.00		0.00
ADR FEES	17.57	17.57		0.00
TO FORM 990-PF, PG 1, LN 23	3,007.57	2,997.57		10.00

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ML ACCT. 628-07471	0.00	0.00
ML ACCT. 628-07472	0.00	0.00
ML ACCT. 628-07473	0.00	0.00
ML ACCT. 628-07474	0.00	0.00
GOLDMAN SACHS - 063-2	470,611.80	495,084.13
GOLDMAN SACHS - 408-0	470,256.67	461,337.10
TOTAL TO FORM 990-PF, PART II, LINE 10B	940,868.47	956,421.23

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ML ACCT. 628-04062 - TEI FUND - CASH HOLDBACK	COST	0.00	0.00
ML ACCT. 628-07476 - MUTUAL FUNDS	COST	0.00	0.00
ML ACCT. 628-07477 - MUTUAL FUNDS	COST	0.00	0.00
GOLDMAN SACHS - 062-4 - MUTUAL FUNDS	COST	480,961.12	492,174.48
GOLDMAN SACHS - 408-0 - MUTUAL FUNDS	COST	1,090,462.60	1,113,772.02
GOLDMAN SACHS - 408-0 - ALTERNATIVE INVESTMENTS	COST	500,000.00	479,623.13
TOTAL TO FORM 990-PF, PART II, LINE 13		2,071,423.72	2,085,569.63

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
TIMOTHY R. MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIR./PRESIDENT/TREASURER 3.00	0.00	0.00	0.00
ALICIA C. MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIR./VICE PRES./SECRETARY 1.00	0.00	0.00	0.00
MARY L. MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIRECTOR 1.00	0.00	0.00	0.00
JOSEPH MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIRECTOR 1.00	0.00	0.00	0.00
LOUIS S. HARRISON 333 W. WACKER DR., SUITE 1700 CHICAGO, IL 60606	ASST. SECRETARY 1.00	0.00	0.00	0.00
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.00	0.00	0.00

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE MULLEN FAMILY FOUNDATION, INC. C/O HARRISON & HELD, LLP	Employer identification number (EIN) or ☒ 36-4270223
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O HARRISON & HELD, LLP - 333 W. WACKER DRIVE, S	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CHICAGO, IL 60606	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ALICIA MULLEN

- The books are in the care of ► **1955 N BURLING STREET - CHICAGO, IL 60614**
Telephone No. ► **312-943-8072** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **MAY 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year _____ or
► ☒ tax year beginning **OCT 1, 2011**, and ending **SEP 30, 2012**

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions THE MULLEN FAMILY FOUNDATION, INC. C/O HARRISON & HELD, LLP	Employer identification number (EIN) or ☒ 36-4270223
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O HARRISON & HELD, LLP - 333 W. WACKER DRIVE, SU	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CHICAGO, IL 60606	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ALICIA MULLEN

- The books are in the care of **▶ 1955 N BURLING STREET - CHICAGO, IL 60614**

Telephone No. **▶ 312-943-8072**

FAX No. **▶**

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) **▶** ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **AUGUST 15, 2013**

5 For calendar year **2011**; or other tax year beginning **OCT 1, 2011**, and ending **SEP 30, 2012**

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

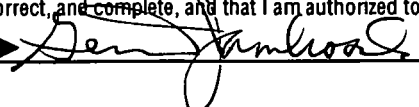
☐ Change in accounting period

7 State in detail why you need the extension **SEE STATEMENT 10**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.00
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **▶**  Title **▶** **ATTORNEY**

Date **▶** **5/15/13**

Form **8868** (Rev. 1-2012)