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G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation EDMUND F AND VIRGINIA B BALL FOUNDATION INC		A Employer identification number 35-1911169	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1408		Room/suite	B Telephone number (see page 10 of the instructions) (765) 741-5500
City or town, state, and ZIP code MUNCIE, IN 47308		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 24,654,437	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)					
	2	Check ☐ ☒ if the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments		5	5	5	
	4	Dividends and interest from securities.		605,052	605,052	605,052	
	5a	Gross rents					
	b	Net rental income or (loss) _____					
	6a	Net gain or (loss) from sale of assets not on line 10		927,043			
	b	Gross sales price for all assets on line 6a _____ 21,954,767					
	7	Capital gain net income (from Part IV , line 2)			927,043		
	8	Net short-term capital gain				632,377	
	9	Income modifications					
	10a	Gross sales less returns and allowances					
	b	Less Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)						
12	Total. Add lines 1 through 11		1,532,100	1,532,100	1,237,434		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc		19,828			19,828
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits		1,568			1,568
	16a	Legal fees (attach schedule)					
	b	Accounting fees (attach schedule)		31,302	31,302	31,302	
	c	Other professional fees (attach schedule)		60,345	60,345	60,345	
	17	Interest					
	18	Taxes (attach schedule) (see page 14 of the instructions)		28,578	681	681	
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy		4,693	4,693	4,693	
	21	Travel, conferences, and meetings		3,648	3,648	3,648	
	22	Printing and publications					
	23	Other expenses (attach schedule)		1,291	1,291	1,291	
	24	Total operating and administrative expenses. Add lines 13 through 23		151,253	101,960	101,960	21,390
	25	Contributions, gifts, grants paid		1,288,525			1,288,525
	26	Total expenses and disbursements. Add lines 24 and 25		1,439,778	101,960	101,960	1,309,921
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements		92,322			
	b	Net investment income (if negative, enter -0-)			1,430,140		
	c	Adjusted net income (if negative, enter -0-)				1,135,474	

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		
	2	Savings and temporary cash investments	477,416	292,021
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____		
	8	Inventories for sale or use		
	9	Prepaid expenses and deferred charges		
	10a	Investments—U S and state government obligations (attach schedule)	599,318	98,750
	b	Investments—corporate stock (attach schedule)	16,259,739	17,217,678
	c	Investments—corporate bonds (attach schedule).	6,224,274	6,044,603
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	12	Investments—mortgage loans		
	13	Investments—other (attach schedule)		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	15	Other assets (describe ▶ _____)		
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	23,560,747	23,653,052
Liabilities	17	Accounts payable and accrued expenses	483	467
	18	Grants payable		
	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule)		
	22	Other liabilities (describe ▶ _____)	1	
	23	Total liabilities (add lines 17 through 22)	484	467
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.		
	24	Unrestricted		
	25	Temporarily restricted		
	26	Permanently restricted		
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.		
	27	Capital stock, trust principal, or current funds		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	23,827,161	23,827,161
	29	Retained earnings, accumulated income, endowment, or other funds	-266,898	-174,576
	30	Total net assets or fund balances (see page 17 of the instructions)	23,560,263	23,652,585
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	23,560,747	23,653,052

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	23,560,263
2	Enter amount from Part I, line 27a	2	92,322
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	23,652,585
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	23,652,585

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	927,043
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }		3	632,377

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	1,218,591	24,616,977	0 04950
2009	1,242,241	23,282,244	0 05336
2008	1,413,051	20,790,811	0 06797
2007	1,445,255	28,586,228	0 05056
2006	1,377,435	29,777,204	0 04626
2 Total of line 1, column (d). 2 0 26764			
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0 05353			
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. 4 24,050,749			
5 Multiply line 4 by line 3. 5 1,287,388			
6 Enter 1% of net investment income (1% of Part I, line 27b). 6 14,301			
7 Add lines 5 and 6. 7 1,301,689			
8 Enter qualifying distributions from Part XII, line 4. 8 1,309,921			

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1				
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	14,301	
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2		
3		Add lines 1 and 2.		3	14,301	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4		
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	14,301	
6		Credits/Payments				
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	14,550		
b		Exempt foreign organizations—tax withheld at source	6b			
c		Tax paid with application for extension of time to file (Form 8868)	6c			
d		Backup withholding erroneously withheld	6d			
7		Total credits and payments Add lines 6a through 6d.		7	14,550	
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8		
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	249	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax	249	Refunded	11	

Part VII-AStatements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?			Yes	No
		1a				No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?				No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year?.		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		No
		If "Yes," attach a detailed description of the activities.				
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?.		4b		No
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
		If "Yes," attach the statement required by General Instruction T.				
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
		• By language in the governing instrument, or				
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IN _____				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of BALL ASSOCIATES Telephone no (765) 741-5500 Located at 222 S MULBERRY ST MUNCIE IN ZIP +4 47305			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20____, 20____, 20____, 20____ ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

☒

5b

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

☐

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

☒

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	24,396,087
b	Average of monthly cash balances.	1b	20,917
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	24,417,004
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	24,417,004
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	366,255
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	24,050,749
6	Minimum investment return. Enter 5% of line 5.	6	1,202,537

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,202,537
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	14,301
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	14,301
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,188,236
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,188,236
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,188,236

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,309,921
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,309,921
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	14,301
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,295,620
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2011			
a	Enter amount for 2010 only.			
b	Total for prior years 20____, 20____, 20____			
3	Excess distributions carryover, if any, to 2011			
a	From 2006.			
b	From 2007.			
c	From 2008.			
d	From 2009.			
e	From 2010.			
f	Total of lines 3a through e.			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 1,309,921			
a	Applied to 2010, but not more than line 2a			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .			
d	Applied to 2011 distributable amount.			
e	Remaining amount distributed out of corpus			
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b	Prior years' undistributed income Subtract line 4b from line 2b.			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. . .			
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.			
10	Analysis of line 9			
a	Excess from 2007.			
b	Excess from 2008.			
c	Excess from 2009.			
d	Excess from 2010.			
e	Excess from 2011.			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

KRIS GROSS
PO BOX 1408
MUNCIE, IN 47308
(765) 741-5500

b

The form in which applications should be submitted and information and materials they should include

APPLICATION SHOULD INCLUDE COMPLETE DESCRIPTION OF PROJECT, BUDGET AND INCLUDE COPY OF IRS EXEMPTION LETTER

c

Any submission deadlines

APPLICATIONS ACCEPTED THRU JUNE 30

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Form 990-PF (2011)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2011)

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	5	
4	Dividends and interest from securities.			14	605,052	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	927,043	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e).				1,532,100	
13	Total. Add line 12, columns (b), (d), and (e).			13		1,532,100

[illegible]

Part XVII

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****			2013-01-27	*****
	Signature of officer or trustee			Date	Title
	Paid Preparer's Use Only	Preparer's Signature ▶ TAMARA S PHILLIPS CPA		Date	Check if self-employed ▶ ☒
		Firm's name ▶ Ball Associates		Firm's EIN ▶	
Firm's address ▶ 222 S Mulberry Street		Phone no (765) 741-5500			
Firm's address ▶ Muncie, IN 473052802					

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

TY 2011 Accounting Fees Schedule

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BALL ASSOCIATES	31,302	31,302	31,302	0

**TY 2011 Investments Corporate
Bonds Schedule**

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PIMCO FOREIGN FUND	1,188,871	1,240,762
BLACKROCK HIGH YIELD BOND	1,214,625	1,222,322
VANGUARD SHORT-TERM FEDERAL		
FHLMC 4/20/26		
MORGAN STANLEY MED TERM NT 8/15	600,000	595,536
VANGUARD TOTAL BOND MARKET INDEX	295,081	300,993
ISHARES IBOXX INVEST GRADE CORP BOND	551,482	621,027
MORGAN STANLEY MULTI-STEP 8/20	450,000	451,577
7710 DODGE & COX INCOME BOND	1,165,372	1,201,616
300000 VANDERBILT UNIVERSITY 4/1/19		
200000 GENERAL ELEC CAP CRP 3/17	200,000	222,413
225000 GOLDMAN SACHS GROUP INC SR 1/14	229,649	236,251
250000 VERIZON NEW JERSEY 1/12		
150000 LEHMAN BROS HLDGS INC 3/09	149,523	

TY 2011 Investments Corporate
Stock Schedule

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COLUMBIA ACORN INTERNATIONAL	462,208	507,041
BLACKROCK EQUITY DIVIDEND	709,400	752,669
PIMCO ALL ASSET INSTL	376,400	407,688
ABSOLUTE STRATEGIES	324,575	330,027
VERIZON COMMUNICATIONS	113,199	131,014
UNITED TECHNOLOGIES CORP	26,537	27,401
RAYTHEON CORP	34,192	37,154
OCEANEERING INTERNATIONAL	86,212	99,450
MARATHON OIL CORP	135,016	156,721
LILLY ELI & CO	74,796	85,338
KIMBERLY CLARK CORP	55,146	62,190
ILLINOIS TOOL WORKS	22,336	23,788
GOOGLE	121,084	150,900
GENERAL ELECTRIC	17,077	20,439
COLGATE PALMOLIVE	84,587	91,137
CHEVRON CORP	62,046	69,936
BLACKROCK INC	79,908	80,235
BED BATH BEYOND INC	56,840	50,400
VANGUARD SMALL-CAP INDEX	1,223,214	1,243,320
RUSSELL EMERGING MARKETS	9,030	8,921
FORWARD FRONTIER MARKETS		
COHEN & STEERS REALTY	454,496	478,053
AMERICAN BEACON SMALL CAP	480,000	484,269
TOYOTA MOTOR	135,448	141,318
TEVA PHARMACEUTICALS INDS		
CARNIVAL CORP		
SOUTHERN COPPER	52,678	55,251
SMUCKER JM CORP	124,715	171,797
MEDTRONIC INC	51,415	56,056
KRAFT FOODS INC	74,366	102,341

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JPMORGAN CHASE & CO	145,030	161,920
INTEL CORP COM	31,358	33,983
GOLDMAN SACHS GROUP	20,036	22,736
EMC CORP	132,581	149,985
DU PONT DE NEMOURS	63,952	60,324
DIRECTV COM	115,587	141,588
DARDEN RESTAURANTS	101,711	119,862
CONSOL ENERGY INC		
CONOCOPHILLIPS INC	134,585	131,514
CELGENE CORP	77,237	99,320
CAMPBELL SOUP CO		
AT & T INC		
APPLIED MATERIALS INC	69,213	70,340
AMERICAN ELECTRIC POWER CO	54,422	61,516
SCOUT INTERNATIONAL		
PRUDENTIAL FINANCIAL COM	162,586	175,795
NEXTERA ENERGY	85,888	117,099
VANGUARD WINDSOR II FUND	1,116,656	1,104,722
T ROWE PRICE EMERGING MKT STK FD	1,233,850	1,229,653
NEUBERGER BERMAN GENESIS FUND	1,950,675	1,926,292
FIDELITY DIVERSIFIED INTERNATIONAL FUND	2,153,700	2,122,243
DODGE & COX STOCK FUND (VALUE FUND)	291,729	341,889
DODGE & COX INTERNATIONAL STOCK FUND	1,140,305	1,044,199
SCHLUMBERGER LTD COM		
COLUMBIA DIVIDEND INCOME	748,925	761,053
TRANSOCEAN INC SWITZERLAND		
CANADIAN NATIONAL RAILWAY	106,615	176,460
WELLS FARGO & CO		
US BANCORP		
TJX COS INC	91,681	153,406

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TARGET CORP COM		
T. ROWE PRICE GROUP		
SYSCO CORP	82,102	93,810
STRYKER CORP	99,503	122,452
METLIFE INC	186,936	172,300
PROCTOR & GAMBLE CO		
PRAXAIR INC COM		
PEPSICO INC	117,009	138,001
ORACLE CORP	115,941	169,884
L-3 COMMUNICATIONS HOLDING CORP		
JOHNSON & JOHNSON COM		
INTL BUSINESS MACHINES CORP	190,943	225,083
LABORATORY CORP AMER HLDGS COM	76,425	120,211
GRAINGER		
FISERV INC	139,550	214,687
FEDEX CORP	148,414	123,545
EXXON MOBIL CORP	125,996	164,610
EXPRESS SCRIPTS INC	72,120	93,945
CATERPILLAR INC	87,964	90,342
CISCO SYSTEMS		
CAMERON INTERNATIONAL		
BEST BUY COMPANY INC		
APPLE COMPUTER INC	83,875	163,441
AIR PRODUCTS & CHEMICALS INC	56,392	66,160
AFLAC INC	159,265	177,156

TY 2011 Investments Government Obligations Schedule

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

**US Government Securities - End of
Year Book Value:**

98,750

**US Government Securities - End of
Year Fair Market Value:**

103,539

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2011 Other Expenses Schedule

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE	1,291	1,291	1,291	

TY 2011 Other Professional Fees Schedule

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	60,345	60,345	60,345	0

TY 2011 Taxes Schedule

Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

EIN: 35-1911169

Software ID: 11000144

Software Version: 2011v1.5

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD ON DIVIDENDS	681	681	681	
FEDERAL EXCISE TAX	27,897			

Additional Data

Software ID: 11000144
Software Version: 2011v1.5
EIN: 35-1911169
Name: EDMUND F AND VIRGINIA B BALL
FOUNDATION INC

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TAMARA S PHILLIPS	ASST TREAS 3 50	0		
7290 W CR 200 S YORKTOWN,IN 47396				
ROBERT B BALL	Vice Pres & Dir 2 00	0		
PO BOX 426 LELAND,MI 49654				
FRANK E BALL	Pres & Director 2 00	0		
4200 W WHITE RIVER BLVD MUNCIE,IN 47304				
DOUGLAS J FOY	Sec, Treas &Dir 2 00	0		
PO Box 1515 MUNCIE,IN 47308				
MICHAEL J FISHER JR	Executive Direc 5 00	19,828	1,568	
5750 N CR 700 W MUNCIE,IN 47304				
NANCY B KEILTY	Director 2 00	0		
6192 S French Road CEDAR,MI 49621				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNIVERSITY OF WEST GEORGIA RICHARDS COLLEGE OF BUSINESS CARROLLTON,GA 30118	N/A	PUB UNIV	JOHN A BEALL SCHOLARSHIP FUND	10,000
TRAVERSE AREA RECREATION AND TRANSPORTPO BOX 252 TRAVERSE CITY,MI 49685	N/A	509(a)(1)	COMPLETING LEELANAU TRAIL	50,000
LELAND TOWNSHIP PUBLIC LIBRARY203 E CEDAR STREET LELAND,MI 49654	N/A	509(a)(1)	MUNNECKE MEETING ROOM	13,025
LEELANAU HISTORICAL SOCIETY 203 E CEDAR STREET LELAND,MI 49654	N/A	509(A)(2)	DIGITALIZATION OF ARCHIVES	10,000
GRAND TRAVERSE BAY YMCA3000 RACQUET CLUB DRIVE TRAVERSE CITY,MI 49684	N/A	509(A)(2)	THE NEW Y CAMPAIGN	20,000
LEELANAU CONSERVANCYPO BOX 1007 LELAND,MI 49654	N/A	509(A)(2)	Farmland Preservation Projects	100,000
INDIANA YOUTH INSTITUTE603 E WASHINGTON ST INDIANAPOLIS,IN 46204	N/A	509(A)(3)	VIRGINIA B BALL LIBRARY	37,500
CORNERSTONE CENTER FOR THE ARTS520 E MAIN STREET MUNCIE,IN 47305	N/A	509(a)(1)	BUILDING MAINTENANCE/GENERAL	20,000
BALL STATE UNIVERSITY FDNPO BOX 672 MUNCIE,IN 47308	N/A	509(a)(1)	EF & VB BALL HONORS HOUSE	100,000
FISHTOWN PRESERVATION SOCIETYPO BOX 721 LELAND,MI 49654	N/A	509(a)(1)	FISHTOWN	50,000
MINNETRISTA CULTURAL FDN 1200 N MINNETRISTA PKWY MUNCIE,IN 47303	N/A	509(A)(3)	ENDOWMENT	75,000
INLAND SEAS EDUCATION ASSOC PO BOX 218 SUTTONS BAY,MI 49682	N/A	509(A)(2)	GREAT LAKES SCHOOLSHIP PROGRAM	25,000
CENTRAL CARIBBEAN MARINE INSTIPO BOX 1461 PRINCETON,NJ 08540	N/A	509(a)(1)	SEA CAMP SCHOLARSHIPS	50,000
BALL STATE UNIVERSITY FDNPO BOX 672 MUNCIE,IN 47308	N/A	509(a)(1)	V B BALL CTR CREATIVE INQUIRY	228,000
INTERLOCHEN CENTER FOR THE ARTPO BOX 199 INTERLOCHEN,MI 49643	N/A	509(a)(1)	PLEDGE	100,000
YALE UNIVERSITYPO BOX 2038 NEW HAVEN,CT 06521	N/A	509(a)(1)	GLOBAL INST SUSTAINING FORESTRY	25,000
YMCA165 EMS T2 LANE NORTH WEBSTER,IN 46555	N/A	509(A)(2)	CAMP CROSLEY	25,000
WILMER EYE INSTITUTE600 N WOLFE STREET BALTIMORE,MD 21287	N/A	509(a)(1)	PLEDGE	200,000
COMMUNITY ENHANCEMENT PROJECTS650 WEST MINNETRISTA BLVD MUNCIE,IN 47303	N/A	509(a)(1)	WHITE RIVER DAM RENOVATION	50,000
IU HEALTH BALL MEMORIAL HOSP FOUNDATION120 WEST CHARLES STREET MUNCIE,IN 47305	N/A	509(A)(3)	MEDICAL EDUCATION	100,000
Total  3a				1,288,525

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
b <i>Approved for future payment</i>				
YALE UNIVERSITYPO BOX 2038 NEW HAVEN, CT 06521	N/A	509(a)(1)	GLOBAL INST SUSTAINING FORESTRY	25,000
WILMER EYE INSTITUTE600 N WOLFE STREET BALTIMORE, MD 21287	N/A	509(a)(1)	PLEDGE	350,000
MUNCIE YMCA165 EMST 2 LANE NORTH WEBSTER, IN 46555	N/A	509(A)(2)	CAMP CROSLEY	75,000
FISHTOWN PRESERVATION SOCIETYPO BOX 721 LELAND, MI 49654	N/A	509(a)(1)	ACQUIRING LELAND FISHTOWN	200,000
COMMUNITY ENHANCEMENT PROJECTS INC650 WEST MINNETRISTRA BLVD MUNCIE, IN 47303	N/A	509(a)(1)	WHITE RIVER DAM RENOVATION	150,000
CENTRAL CARIBBEAN MARINE INSTITUTEPO BOX 1461 PRINCETON, NJ 08540	N/A	509(a)(1)	SEA CAMP SCHOLARSHIPS	250,000
BALL STATE UNIVERSITY FOUNDATIONPO BOX 672 MUNCIE, IN 47308	N/A	509(a)(1)	VARIOUS	632,000
IU HEALTH BALL MEMORIAL HOSP FOUNDATION120 W CHARLES ST MUNCIE, IN 47305	N/A	509(A)(3)	MEDICAL EDUCATION	300,000
Total			3b	1,982,000