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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 10/1/2011, and ending 9/30/2012

Name of foundation TARTAGLINO RICHARDS FOUNDATION		A Employer identification number 30-0256097
Number and street (or P O box number if mail is not delivered to street address) 16850 North Dallas Parkway	Room/suite 100	B Telephone number (see instructions) (214) 526-5435
City or town, state, and ZIP code Dallas TEXAS 75248		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,765,523	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		750,000			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		47,937	47,937		
4 Dividends and interest from securities		24,278	24,278		
5 a Gross rents			0		
b Net rental income or (loss)	0				
6 a Net gain or (loss) from sale of assets not on line 10		60,150			
b Gross sales price for all assets on line 6a	1,596,770		60,150		
7 Capital gain net income (from Part IV, line 2)				0	
8 Net short-term capital gain					
9 Income modifications					
10 a Gross sales less returns and allowances	0				
b Less Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)		0			
11 Other income (attach schedule)		575,398	0	0	
12 Total. Add lines 1 through 11		1,457,763	132,365	0	
13 Compensation of officers, directors, trustees, etc		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16 a Legal fees (attach schedule)		0	0	0	0
b Accounting fees (attach schedule)		5,474	2,737	0	0
c Other professional fees (attach schedule)		28,359	28,359	0	0
17 Interest					
18 Taxes (attach schedule) (see instructions)		4,254	765	0	0
19 Depreciation (attach schedule) and depletion		0	0	0	
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)		2,768	0	0	0
24 Total operating and administrative expenses. Add lines 13 through 23		40,855	31,861	0	0
25 Contributions, gifts, grants paid		215,362			215,362
26 Total expenses and disbursements. Add lines 24 and 25		256,217	31,861	0	215,362
27 Subtract line 26 from line 12		1,201,546			
a Excess of revenue over expenses and disbursements			100,504		
b Net investment income (if negative, enter -0-)				0	
c Adjusted net income (if negative, enter -0-)					

23
918

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	2,288,071	2,316,390	2,316,390
	2 Savings and temporary cash investments			
	3 Accounts receivable	0		
	Less allowance for doubtful accounts	0	0	0
	4 Pledges receivable	0		
	Less allowance for doubtful accounts	0	0	0
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule)	0		
	Less allowance for doubtful accounts	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S. and state government obligations (attach schedule)	0	0	0
	b Investments—corporate stock (attach schedule)	0	0	0
	c Investments—corporate bonds (attach schedule)	4,273,961	5,441,620	5,441,620
Liabilities	11 Investments—land, buildings, and equipment basis	0		
	Less accumulated depreciation (attach schedule)	0	0	0
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	0	0	0
	14 Land, buildings, and equipment basis	4,319		
	Less accumulated depreciation (attach schedule)	4,319	0	0
	15 Other assets (describe: Purchased interest on bonds)	1,736	7,513	7,513
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	6,563,768	7,765,523	7,765,523
	17 Accounts payable and accrued expenses		210	
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe)	0	0	
	23 Total liabilities (add lines 17 through 22)	0	210	
	24 Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
Net Assets or Fund Balances	25 Unrestricted			
	26 Temporarily restricted			
	27 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	28 Capital stock, trust principal, or current funds	0	0	
	29 Paid-in or capital surplus, or land, bldg, and equipment fund			
	30 Retained earnings, accumulated income, endowment, or other funds	6,563,768	7,765,313	
Net Assets or Fund Balances	31 Total net assets or fund balances (see instructions)	6,563,768	7,765,313	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,563,768
2 Enter amount from Part I, line 27a	2	1,201,546
3 Other increases not included in line 2 (itemize)	3	0
4 Add lines 1, 2, and 3	4	7,765,314
5 Decreases not included in line 2 (itemize) Rounding	5	1
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,765,313

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Delaware Large Cap Growth	P	various	various
b SGAM Large Cap Value	P	various	various
c GS Corporate Fixed Income	P	various	various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 185,809	0	162,829	22,980
b 877,329	0	858,082	19,247
c 533,632	0	515,709	17,923
d 0	0	0	0
e 0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a 0	0	0	22,980
b 0	0	0	19,247
c 0	0	0	17,923
d 0	0	0	0
e 0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	60,150
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	666,821	6,625,628	0.100643
2009	136,761	5,817,394	0.023509
2008	328,147	4,502,988	0.072873
2007	194,365	5,051,558	0.038476
2006	146,716	3,918,399	0.037443

2 Total of line 1, column (d)	2	0.272944
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054589
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	7,294,617
5 Multiply line 4 by line 3	5	398,206
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,005
7 Add lines 5 and 6	7	399,211
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	215,362

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments

a 2011 estimated tax payments and 2010 overpayment credited to 2011

b Exempt foreign organizations—tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 0 Refunded ☐ 0

6a	1,800
6b	
6c	0
6d	
7	1,800
8	0
9	210
10	0
11	0

Part VII-A Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of A. James Lynn, Attorney, CPA Telephone no (214) 341-0000 Located at 6820 Walling Lane Dallas TX ZIP+4 75231			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See attached statement	None
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments See instructions	
3	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,905,406
b	Average of monthly cash balances	1b	2,500,297
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	7,405,703
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,405,703
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	111,086
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,294,617
6	Minimum investment return. Enter 5% of line 5	6	364,731

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	364,731
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,010
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	2,010
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	362,721
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	362,721
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	362,721

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	215,362
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	215,362
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	215,362

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				362,721
2 Undistributed income, if any, as of the end of 2011			0	
a Enter amount for 2010 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006	0			
b From 2007	0			
c From 2008	0			
d From 2009	0			
e From 2010	49,834			
f Total of lines 3a through e	49,834			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ <u>215,362</u>			0	
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2011 distributable amount	0			215,362
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	49,834			49,834
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				97,525
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2007	0			
b Excess from 2008	0			
c Excess from 2009	0			
d Excess from 2010	0			
e Excess from 2011	0			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Dallas Women's Foundation 4300 MacArthur Avenue, Suite 255 Dallas TX 75209	None	501(c)(3)	Women Moving Millions Commitment	200,000
All Saints Health Foundation 1400 Eight Avenue Fort Worth TX 76104	None	501(c)(3)	Heritage Awards Dinner	1,000
American Heart Association 8200 Brookriver, Suite N-100 Dallas TX 75247	None	501(c)(3)	General fund	1,000
University of Texas Elementary School 1 University Station G4600 Austin TX 78712	None	501(c)(3)	Audometer	500
Stable Strides Farm, Inc 2370 Blackjack Road Aubrey TX 76227	None	501(c)(3)	Therapeutic riding	500
The Jefferson Foundation 809 Quail Street, Building #1 Lakewood CO 80215	None	501(c)(3)	Salazar literacy initiative	500
The Leukemia & Lymphoma Society 8111 LBJ Freeway, Suite 425 Dallas TX 75251	None	501(c)(3)	Alaska marathon Fundraiser	3,300
Chiapas International PO Box 9053 Dallas TX 75209	None	501(c)(3)	General fund	1,500
Farmersville Education Foundation c/o Marcey Bench, P O Box 399 Lavon TX 75166	None	501(c)(3)	General fund	4,062
The Dunstan Foundation 1855 South Wright Street Lakewood CO 80228	None	501(c)(3)	Scholarship endowment fund	500
Training Ground 1220 Applecross Drive Nashville TX 37220	None	501(c)(3)	General fund	500
Total See Attached Statement			3a	215,362
b <i>Approved for future payment</i>				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a			0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
f			0		0	0
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory				0	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a		0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
12	Subtotal. Add columns (b), (d), and (e)		0		0	0
13	Total. Add line 12, columns (b), (d), and (e)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

Employer identification number

TARTAGLINO RICHARDS FOUNDATION

30-0256097

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

TARTAGLINO RICHARDS FOUNDATION

Employer identification number

30-0256097

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Nancy G Tartaglino 16850 North Dallas Parkway, Suite 100 Dallas TX 75248 Foreign State or Province Foreign Country	\$ 750,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

TARTAGLINO RICHARDS FOUNDATION

Employer identification number

30-0256097

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	None ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ ----- 0	-----

Name of organization

TARTAGLINO RICHARDS FOUNDATION

Employer identification number

30-0256097

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations

total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of exclusively religious, charitable, etc.,

contributions of \$1,000 or less for the year (Enter this information once See instructions) ► \$ 0

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	2/15/2012	2	1,800
3 Second quarter estimated tax payment		3	0
4 Third quarter estimated tax payment		4	0
5 Fourth quarter estimated tax payment		5	0
6 Other payments		6	
7 Total		7	1,800

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year**

Name

For The Love of Kids, Inc

Street

6260 East Mockingbird

City	State	Zip Code	Foreign Country
Dallas	TX	75219	

Relationship	Foundation Status
None	501(c)(3)

Purpose of grant/contribution	Amount
General fund	500

Name

HIS Bridgebuilders

Street

2075 West Commerce Street

City	State	Zip Code	Foreign Country
West Dallas	TX	75208	

Relationship	Foundation Status
None	501(c)(3)

Purpose of grant/contribution	Amount
General fund	500

Name

Children's Education Fund

Street

PO Box 225748

City	State	Zip Code	Foreign Country
Dallas	TX	75222	

Relationship	Foundation Status
None	501(c)(3)

Purpose of grant/contribution	Amount
General fund	1,000

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Amount		Totals				
										Long Term CG Distributions		Securities				
										Short Term CG Distributions		Other sales				
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss		
1	X	Delaware Large Cap Growth				various	P	various	185,809	162,829				22,980		
2	X	SGAM Large Cap Value				various	P	various	877,329	858,082				19,247		
3	X	GS Corporate Fixed Income				various	P	various	533,632	515,709				17,923		
4														0		
5														0		
6														0		
7														0		
8														0		
9														0		
10														0		
										Gross Sales	1,596,770	0	Cost, Other Basis and Expenses	1,536,620	60,150	
										Securities			Expense of Sale and Cost of Improvements	0	-0	
										Other sales						

Line 11 (990-PF) - Other Income

575,398					0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income		
1	Unrealized gain/loss on securities		0			
2	Goldman Sachs - 4065 02	67,824	0			
3	Goldman Sachs - 4065 06	201,535				
4	Goldman Sachs - 4065-08	156,327				
5	Goldman Sachs - 4065-10	149,712				
6			0			
7			0			
8			0			
9			0			
10			0			

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	A. James Lynn, JD, CPA	5,474	2,737	0	0
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

		28,359	28,359	0	0
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Goldman Sachs - Investment Mgt Svc	28,359	28,359		
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20				
2	Tax on investment income	3,489			
3	Foreign tax w/h on investments	765	765		
4					
5					
6					
7					
8					
9					
10					
		4,254	765		0

Line 23 (990-PF) - Other Expenses

		2,768	0	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1					
2	Rent	464			
3	Utilities	1,094			
4	Telephone, fax, DSL	791			
5	Grounds supplies, maintenance	244			
6	Office supplies	175			
7					
8					
9					

• 2 1155
1 1156

[illegible]

Part II, Line 14 (990-PF) - Land, Buildings, and Equipment

4,319									
	Item or Category	Cost or Other Basis	Accumulated Depreciation		Accumulated Depreciation End of Year	Book Value		Book Value End of Year	FMV End of Year
			Beg. of Year	4,319		Beg. of Year	End of Year		
1	Furniture & fixtures	4,319	4,319		4,319	0	0	0	0
2						0	0	0	
3						0	0	0	
4						0	0	0	
5						0	0	0	
6						0	0	0	
7						0	0	0	
8						0	0	0	
9						0	0	0	
10						0	0	0	

Part II, Line 15 (990-PF) - Other Assets

	Description	Beginning Balance	Ending Balance	Fair Market Value
1	Purchased interest on bonds	1,736	7,513	7,513
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	Rounding	1	1
2	Total	2	1

Part VII-A, Lines 1a and 1b (990-PF) - Political Participation

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐ Yes ☒ No
- 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? ☐ Yes ☒ No

If "Yes" to 1a or 1b, please describe the activities

Part VII-A, Line 8b (990-PF) - Copy of Form 990-PF to Attorney General

Did the foundation furnish a copy of Form 990-PF to the State Attorney General? ☒ Yes ☐ No

If "No", please provide an explanation

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours
1	Nancy G Tartaglino		4436 North Versailles	Dallas	TX	75205		P/D	2.00
2	Lisa Barrentine		5040 Addison Circle	Addison	TX	75001		S/D	1.00
3	Michael Richards		4436 North Versailles	Dallas	TX	75205		D	1.00
4	Jerry Tartaglino		4103 Pecan Orchard	Parker	TX	75002		D	1.00
5	Jenny Caslelaw		5040 Addison Circle	Addison	TX	75001		D	1.00
6	David Richards		4436 North Versailles	Dallas	TX	75205		D	1.00
7									
8									
9									
10									

Part

	0	0	0	0
	Compensation	Benefits	Expense Account	Explanation
1	None	None	None	N/A
2	None	None	None	N/A
3	None	None	None	N/A
4	None	None	None	N/A
5	None	None	None	N/A
6	None	None	None	N/A
7				
8				
9				
10				

TARTAGLINO RICHARDS FOUNDATION (30-0256097)

**Return of Private Foundation
Fiscal year ending September 30, 2012**

Part I, Line 1 - Contributions, Grants, Etc. Received

Nancy T. Richards	\$750,000
(Also see Schedule B)	

Part VII-A - Question 10

Nancy T. Richards
16850 North Dallas Parkway, Suite 100
Dallas, Texas 75248

Part IX-A - Summary of Direct Charitable Activities

The Board of Directors holds semi-annual meetings to review proposed grants which have been submitted to the Foundation.

The Foundation does support some fund raising projects such as Habitat for Humanity through grants and volunteer work. However the mission of the Foundation is predominately to provide for women, girls, poverty stricken individuals and housing for the needy. This mission is fulfilled by making grants to IRC 501(c)(3) organizations.

Grants during the current tax year were made to twenty-five different organizations. The largest receipant, Dallas Women's Foundation, which received \$200,000, works with the Foundation as an advisor and distributor to agencies that are 75% beneficial to women and girls.

Tartaglino Richards Foundation - September 30, 2012

TRF09302012

	Cash	Securities	Other	Total Assets	Exempt Assets	Min cash 1.50%	Excludible Assets	Assets FMV
October 2011	2,287,706	4,471,259		6,758,965	0	101,384	101,384	6,657,581
November 2011	2,087,388	4,432,806		6,520,194	0	97,803	97,803	6,422,391
December 2011	2,838,963	4,488,370		7,327,333	0	109,910	109,910	7,217,423
January 2012	2,832,192	4,611,045		7,443,237	0	111,649	111,649	7,331,588
February 2012	2,829,673	4,741,723		7,571,396	0	113,571	113,571	7,457,825
March 2012	2,824,122	4,814,223		7,638,345	0	114,575	114,575	7,523,770
April 2012	2,722,113	4,859,002		7,581,115	0	113,717	113,717	7,467,398
May 2012	2,315,936	5,142,187		7,458,123	0	111,872	111,872	7,346,251
June 2012	2,316,326	5,217,374		7,533,700	0	113,006	113,006	7,420,695
July 2012	2,316,713	5,285,268		7,601,981	0	114,030	114,030	7,487,951
August 2012	2,316,046	5,359,990		7,676,036	0	115,141	115,141	7,560,895
September 2012	2,316,390	5,441,620		7,758,009	0	116,370	116,370	7,641,639
Average for 12 months	2,500,297	4,905,406	0	7,405,703				7,294,617 (2)
Applicable percentage	5	00%						5%
Minimum investment return								<u>364,731 (1)</u>

Notes"

(1) Unless set-a-side rules applicable - distribute by 9/30/12

(2) MIR base computed on monthly average as determined by financial statements

TARTAGLINO-RICHARDS FND DEL [REDACTED] 69-3
(AD D1)(SA)
From 01-Oct-2011 To 30-Sep-2012

Realized Gains/Losses

Separate Account Strategy : Delaware: Large Cap Growth

Base Currency : USD

Custodian : Goldman Sachs & Company

Gain Type	Gains	Losses	Total
Short-Term	3,477.51	-1,807.16	1,670.35
Long-Term	45,597.89	-24,288.41	21,309.48
Total Realized Gains/Losses:	49,075.40	-26,095.57	22,979.83

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
CCI	CROWN CASTLE INTL CORP COMMON STOCK	29-Jun-2007	06-Oct-2011	32	1,309.87	1,155.370	154.51	0.00	1,560	Long-Term
CCI	CROWN CASTLE INTL CORP COMMON STOCK	26-Jun-2007	06-Oct-2011	85	3,479.35	2,899.840	579.51	0.00	1,563	Long-Term
TDC	TERADATA CORPORATION CMN	19-Oct-2007	06-Oct-2011	41	2,208.95	1,137.220	1,071.72	0.00	1,448	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	05-Feb-2008	20-Oct-2011	6	1,947.47	1,270.180	677.29	0.00	1,353	Long-Term
V	VISA INC CMN CLASS A	24-Apr-2008	20-Oct-2011	3	273.99	213.880	60.11	0.00	1,274	Long-Term
V	VISA INC CMN CLASS A	02-Apr-2008	20-Oct-2011	18	1,643.95	1,106.320	537.63	0.00	1,286	Long-Term
V	VISA INC CMN CLASS A	03-Apr-2008	20-Oct-2011	18	1,643.95	1,157.840	486.11	0.00	1,295	Long-Term
V	VISA INC CMN CLASS A	04-Apr-2008	20-Oct-2011	10	913.31	647.980	265.33	0.00	1,294	Long-Term
V	VISA INC CMN CLASS A	23-Apr-2008	20-Oct-2011	29	2,648.59	2,050.200	598.39	0.00	1,275	Long-Term
ADBE	ADOBE SYSTEMS INC CMN	30-Apr-2009	20-Oct-2011	45	1,174.67	1,228.770	-54.10	0.00	903	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jan-2008	20-Oct-2011	5	1,622.89	874.070	748.82	0.00	1,373	Long-Term
ADBE	ADOBE SYSTEMS INC CMN	01-May-2009	20-Oct-2011	33	861.43	906.410	-44.98	0.00	902	Long-Term
V	VISA INC CMN CLASS A	01-Apr-2008	20-Oct-2011	14	1,278.63	861.640	416.99	0.00	1,297	Long-Term
PCLN	PRICELINE COM INC CMN	20-Oct-2009	24-Oct-2011	4	2,062.25	703.020	1,359.23	0.00	734	Long-Term
PRGO	PERRIGO COMPANY CMN	07-Sep-2010	24-Oct-2011	22	2,223.75	1,273.550	950.20	0.00	412	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
	MEDCO HEALTH SOLUTIONS, INC CMN	27-Sep-2010	26-Oct-2011	6	307 99	307 170	0 82	0 00	394	Long-Term
	MEDCO HEALTH SOLUTIONS, INC CMN	05-Jan-2011	26-Oct-2011	102	5,235 84	6,262 800	-1,026 96	0 00	294	Short-Term
	MEDCO HEALTH SOLUTIONS, INC CMN	09-May-2011	26-Oct-2011	32	1,642 62	2,021 900	-379 29	0 00	170	Short-Term
PCLN	PRICELINE COM INC CMN	20-Oct-2009	27-Oct-2011	5	2,600 27	878 770	1,721 50	0 00	737	Long-Term
WAG	WALGREEN CO CMN	04-Nov-2004	08-Nov-2011	148	4,814 89	5,533 720	-718 83	0 00	2,560	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	05-Feb-2008	07-Feb-2012	7	2,744 40	1,481,870	1,262 53	0 00	1,463	Long-Term
V	VISA INC CMN CLASS A	24-Apr-2008	08-Feb-2012	26	2,795 27	1,853 660	941 61	0 00	1,365	Long-Term
LOW	LOWES COMPANIES INC CMN	06-Nov-2009	15-Feb-2012	56	1,535 84	1,150 450	385 19	0 00	831	Long-Term
LOW	LOWES COMPANIES INC CMN	28-Oct-2009	15-Feb-2012	252	6,910 40	4,959 460	1,950 94	0 00	840	Long-Term
LOW	LOWES COMPANIES INC CMN	29-Oct-2009	15-Feb-2012	45	1,234 00	893 070	340 93	0 00	839	Long-Term
LOW	LOWES COMPANIES INC CMN	16-Nov-2009	15-Feb-2012	124	3,400 35	2,704 770	695 58	0 00	821	Long-Term
LOW	LOWES COMPANIES INC CMN	14-Dec-2009	15-Feb-2012	48	1,316 27	1,161 190	155 08	0 00	793	Long-Term
LOW	LOWES COMPANIES INC CMN	19-Jan-2010	15-Feb-2012	61	1,672 75	1,414 110	258 64	0 00	757	Long-Term
LOW	LOWES COMPANIES INC CMN	12-Apr-2010	15-Feb-2012	62	1,700 18	1,576,660	123 52	0 00	674	Long-Term
LOW	LOWES COMPANIES INC CMN	28-Sep-2010	15-Feb-2012	170	4,661 77	3,772 080	889 69	0 00	505	Long-Term
AAPL	APPLE, INC CMN	29-Oct-2007	28-Mar-2012	5	3,076 40	926 980	2,149 42	0 00	1,612	Long-Term
AAPL	APPLE, INC CMN	05-Nov-2007	28-Mar-2012	14	8,613 91	2,607 760	6,006 13	0 00	1,605	Long-Term
VRSN	VERISIGN INC CMN	15-Sep-2008	30-Mar-2012	97	3,730 52	2,183 130	1,547 39	0 00	1,292	Long-Term
VRSN	VERISIGN INC CMN	12-Aug-2008	30-Mar-2012	35	1,346 06	1,053 910	292 15	0 00	1,326	Long-Term
VRSN	VERISIGN INC CMN	28-Oct-2008	30-Mar-2012	18	692 26	302 930	389 33	0 00	1,249	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
APOL	APOLLO GROUP CLASS A COMMON STOCK	10-Sep-2010	09-Apr-2012	83	3,002.99	3,941.980	-938.99	0.00	577	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	10-Sep-2010	25-Apr-2012	49	1,685.56	2,327.190	-641.63	0.00	593	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	16-Sep-2010	25-Apr-2012	28	963.18	1,358.580	-395.40	0.00	587	Long-Term
GOOG	GOOGLE, INC CMN CLASS A	22-Mar-2006	30-Apr-2012	4	2,416.79	1,371.940	1,044.85	0.00	2,231	Long-Term
GOOG	GOOGLE, INC CMN CLASS A	22-Mar-2006	07-May-2012	2	1,205.60	685.970	519.63	0.00	2,238	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	21-Sep-2010	10-May-2012	35	1,142.00	1,754.450	-612.45	0.00	597	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	16-Sep-2010	10-May-2012	50	1,631.42	2,426.030	-794.61	0.00	602	Long-Term
V	VISA INC CMN CLASS A	06-Oct-2008	14-May-2012	1	117.79	55.360	62.43	0.00	1,316	Long-Term
V	VISA INC CMN CLASS A	13-Jun-2008	14-May-2012	21	2,473.57	1,713.570	760.00	0.00	1,431	Long-Term
V	VISA INC CMN CLASS A	24-Apr-2008	14-May-2012	2	235.58	142.590	92.99	0.00	1,481	Long-Term
CTRP	CTRP COM INTERNATIONAL, LTD ADR CMN	27-Jan-2011	29-May-2012	107	2,047.14	4,692.850	-2,645.71	0.00	488	Long-Term
KMI	KINDER MORGAN INC CMN CLASS P	31-May-2012	31-May-2012	0.4	12.66	0.000	12.66	0.00	0	Short-Term
	EL PASO CORP CMN	18-Oct-2011	31-May-2012	337	9,867.63	8,292.660	1,574.97	0.00	226	Short-Term
	EL PASO CORP CMN	20-Oct-2011	31-May-2012	318	9,345.74	7,853.390	1,492.35	0.00	224	Short-Term
	EL PASO CORP CMN	26-Oct-2011	31-May-2012	107	3,129.78	2,732.250	397.53	0.00	218	Short-Term
CTRP	CTRP COM INTERNATIONAL, LTD ADR CMN	27-Jan-2011	04-Jun-2012	177	3,131.27	7,762.940	-4,631.67	0.00	494	Long-Term
CTRP	CTRP COM INTERNATIONAL, LTD ADR CMN	16-Feb-2011	04-Jun-2012	62	1,096.83	2,432.330	-1,335.50	0.00	474	Long-Term
CTRP	CTRP COM INTERNATIONAL, LTD ADR CMN	23-Feb-2011	04-Jun-2012	144	2,547.47	5,374.540	-2,827.07	0.00	467	Long-Term
VRSN	VERISIGN INC CMN	28-Oct-2008	27-Jun-2012	50	2,174.17	841.460	1,332.71	0.00	1,338	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
VRSN	VERISIGN INC CMN	10-Aug-2008	27-Jun-2012	16	695.74	259.850	435.89	0.00	1,052	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	05-Feb-2008	16-Jul-2012	5	2,181.83	1,058.480	1,123.35	0.00	1,623	Long-Term
V	VISA INC CMN CLASS A	06-Oct-2008	16-Jul-2012	18	2,287.20	996.530	1,290.67	0.00	1,379	Long-Term
VRSN	VERISIGN INC CMN	18-Dec-2009	25-Jul-2012	38	1,595.45	806.340	789.11	0.00	950	Long-Term
VRSN	VERISIGN INC CMN	10-Aug-2009	25-Jul-2012	47	1,973.32	763.300	1,210.02	0.00	1,060	Long-Term
CME	CME GROUP INC CMN CLASS A	12-Jun-2007	02-Aug-2012	15	744.55	1,654.870	-910.32	0.00	1,878	Long-Term
CME	CME GROUP INC CMN CLASS A	16-Oct-2006	02-Aug-2012	40	1,985.48	4,022.400	-2,036.92	0.00	2,117	Long-Term
CME	CME GROUP INC CMN CLASS A	08-Feb-2008	02-Aug-2012	25	1,240.92	2,584.960	-1,344.04	0.00	1,637	Long-Term
CME	CME GROUP INC CMN CLASS A	28-Jul-2008	02-Aug-2012	35	1,737.29	2,500.530	-763.24	0.00	1,466	Long-Term
CME	CME GROUP INC CMN CLASS A	29-Apr-2008	02-Aug-2012	15	744.55	1,433.960	-689.41	0.00	1,556	Long-Term
CME	CME GROUP INC CMN CLASS A	15-Jul-2008	02-Aug-2012	25	1,240.92	1,542.400	-301.48	0.00	1,479	Long-Term
CME	CME GROUP INC CMN CLASS A	16-Jul-2008	02-Aug-2012	25	1,240.92	1,560.420	-319.50	0.00	1,478	Long-Term
CME	CME GROUP INC CMN CLASS A	24-Nov-2008	02-Aug-2012	22	1,092.01	852.690	239.32	0.00	1,347	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	27-Sep-2010	03-Aug-2012	47	1,246.15	2,324.030	-1,077.88	0.00	676	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	23-Sep-2010	03-Aug-2012	32	848.44	1,641.290	-792.85	0.00	680	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	15-Oct-2010	03-Aug-2012	6	159.08	218.870	-59.79	0.00	658	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	21-Sep-2010	03-Aug-2012	13	344.68	651.650	-306.97	0.00	682	Long-Term
EXPD	EXPEDITORS INTL WASH INC CMN	29-Apr-2005	09-Aug-2012	42	1,530.32	1,041.480	488.84	0.00	2,659	Long-Term
EXPD	EXPEDITORS INTL WASH INC CMN	04-Nov-2004	09-Aug-2012	333	12,133.22	9,022.020	3,111.20	0.00	2,835	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
EXPD	EXPEDITORS INTL WASH INC CMN	12-Apr-2010	09-Aug-2012	70	2,550.53	2,595.600	-45.07	0.00	850	Long-Term
EXPD	EXPEDITORS INTL WASH INC CMN	04-May-2012	09-Aug-2012	130	4,736.69	5,137.600	-400.91	0.00	97	Short-Term
VRSN	VERISIGN INC CMN	19-Jan-2010	13-Aug-2012	50	2,376.58	1,077.450	1,299.13	0.00	937	Long-Term
VRSN	VERISIGN INC CMN	18-Dec-2009	13-Aug-2012	65	3,089.56	1,379.260	1,710.30	0.00	969	Long-Term
PCLN	PRICELINE.COM INC CMN	20-Oct-2009	13-Aug-2012	1	560.19	175.750	384.44	0.00	1,028	Long-Term
PCLN	PRICELINE.COM INC CMN	21-Oct-2009	13-Aug-2012	7	3,921.32	1,234.600	2,686.72	0.00	1,027	Long-Term
TOTAL					185,808.95		22,979.83			

Realized Gains/Losses
 Separate Account Strategy : GSAM: Large Cap
 Value (Concentrated)
 Base Currency : USD
 Custodian : Goldman Sachs & Company

TARTAGLINO-RICHARDS FDN LC VAL **88-3**
 (AD DJ)(SA)
 From 01-Oct-2011 To 30-Sep-2012

Gain Type	Gains	Losses	Total
Short-Term	47,166.29	-36,825.48	10,340.81
Long-Term	41,571.33	-32,665.02	8,906.31
Total Realized Gains/Losses:	88,737.62	-69,490.50	19,247.12

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
CVS	CVS CAREMARK CORPORATION CMN	06-May-2010	06-Oct-2011	58	1,940.64	2,041.190	-100.56	0.00	518	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	07-May-2010	06-Oct-2011	176	5,888.83	6,122.100	-233.27	0.00	517	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	24-May-2010	06-Oct-2011	85	2,844.04	2,902.340	-58.31	0.00	500	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	05-Jan-2011	06-Oct-2011	77	2,576.36	2,708.860	-132.50	0.00	274	Short-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	30-Jun-2009	11-Oct-2011	215	5,875.30	3,440.690	2,434.62	0.00	833	Long-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	07-Oct-2009	11-Oct-2011	27	737.83	529.260	208.57	0.00	734	Long-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	28-Oct-2009	11-Oct-2011	156	4,263.01	2,699.370	1,563.64	0.00	713	Long-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	12-Apr-2010	11-Oct-2011	102	2,787.35	2,195.130	592.22	0.00	547	Long-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	05-Jan-2011	11-Oct-2011	114	3,115.28	2,368.920	746.36	0.00	279	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
GOOG	GOOGLE, INC CMN CLASS A	04-May-2010	19-Oct-2011	7	4,131.34	3,547.250	584.09	0.00	533	Long-Term
WLP	WELLPOINT, INC CMN	28-Oct-2008	19-Oct-2011	65	4,282.98	2,526.720	1,756.26	0.00	1,086	Long-Term
MRK	MERCK & CO., INC. CMN	16-Dec-2009	19-Oct-2011	89	2,915.41	3,361.730	-446.32	0.00	672	Long-Term
COP	CONOCOPHILLIPS CMN	30-Mar-2011	25-Oct-2011	58	4,121.78	4,635.180	-513.40	0.00	209	Short-Term
USB	U S BANCORP CMN	21-Jun-2010	28-Oct-2011	121	3,143.34	2,877.330	266.01	0.00	494	Long-Term
USB	U S BANCORP CMN	21-Jun-2010	28-Oct-2011	197	5,117.66	4,698.780	418.88	0.00	494	Long-Term
USB	U S BANCORP CMN	18-Jun-2010	28-Oct-2011	160	4,156.48	3,753.580	402.90	0.00	497	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	21-Jan-2009	01-Nov-2011	34	3,444.70	1,797.150	1,647.55	0.00	1,014	Long-Term
RE	EVEREST RE GROUP LTD CMN	25-Jan-2011	10-Nov-2011	33	2,957.02	2,828.590	128.43	0.00	289	Short-Term
MRK	MERCK & CO., INC CMN	23-Feb-2010	10-Nov-2011	29	1,016.59	1,058.540	-41.95	0.00	625	Long-Term
WLP	WELLPOINT, INC CMN	28-Oct-2008	10-Nov-2011	60	4,115.43	2,332.360	1,783.07	0.00	1,108	Long-Term
MRK	MERCK & CO., INC CMN	17-Dec-2009	10-Nov-2011	87	3,049.76	3,279.070	-229.31	0.00	693	Long-Term
RE	EVEREST RE GROUP LTD CMN	26-Jan-2011	10-Nov-2011	36	3,225.84	3,081.960	143.88	0.00	288	Short-Term
RE	EVEREST RE GROUP LTD CMN	27-Jan-2011	10-Nov-2011	9	806.46	775.630	30.83	0.00	287	Short-Term
BAC	BANK OF AMERICA CORP CMN	01-May-2009	22-Nov-2011	311	1,679.67	2,732.110	-1,052.43	0.00	935	Long-Term
BAC	BANK OF AMERICA CORP CMN	13-Apr-2009	22-Nov-2011	271	1,463.64	2,962.310	-1,498.67	0.00	953	Long-Term
MXIM	MAXIM INTEGRATED PRODUCTS INC CMN	08-Aug-2011	22-Nov-2011	485	11,973.68	10,359.700	1,613.98	0.00	106	Short-Term
BAC	BANK OF AMERICA CORP CMN	07-Oct-2009	23-Nov-2011	47	244.09	807.960	-563.87	0.00	777	Long-Term
BAC	BANK OF AMERICA CORP CMN	01-May-2009	23-Nov-2011	178	924.42	1,563.710	-639.29	0.00	936	Long-Term
BAC	BANK OF AMERICA CORP CMN	08-May-2009	23-Nov-2011	95	493.37	1,372.580	-879.21	0.00	929	Long-Term
BAC	BANK OF AMERICA CORP CMN	02-Jun-2009	23-Nov-2011	253	1,313.92	2,902.770	-1,588.85	0.00	904	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
BAC	BANK OF AMERICA CORP CMN	05-Jan-2011	25-Nov-2011	177	933 59	2,555 110	-1,621 52	0 00	324	Short-Term
BAC	BANK OF AMERICA CORP CMN	07-Oct-2009	25-Nov-2011	39	205 71	670 430	-464 73	0 00	779	Long-Term
BAC	BANK OF AMERICA CORP CMN	12-Apr-2010	25-Nov-2011	246	1,297 54	4,598 420	-3,300 88	0 00	592	Long-Term
BAC	BANK OF AMERICA CORP CMN	07-Dec-2009	25-Nov-2011	164	865 02	2,613 140	-1,748 12	0 00	718	Long-Term
BAC	BANK OF AMERICA CORP CMN	17-May-2011	28-Nov-2011	59	325 25	698 630	-373 39	0 00	195	Short-Term
BAC	BANK OF AMERICA CORP CMN	05-Jan-2011	28-Nov-2011	105	578 83	1,515 750	-936 92	0 00	327	Short-Term
BAC	BANK OF AMERICA CORP CMN	17-May-2011	30-Nov-2011	333	1,766 79	3,943 140	-2,176 35	0 00	197	Short-Term
COP	CONOCOPHILLIPS CMN	30-Mar-2011	13-Dec-2011	152	10,742 43	12,147 360	-1,404 93	0 00	258	Short-Term
GE	GENERAL ELECTRIC CO CMN	23-Dec-2009	14-Dec-2011	34	566 43	523 540	42 89	0 00	721	Long-Term
GIS	GENERAL MILLS INC CMN	09-Feb-2010	14-Dec-2011	23	915 16	799 050	116 11	0 00	673	Long-Term
JCI	JOHNSON CONTROLS INC CMN	08-May-2009	14-Dec-2011	21	608 82	426 660	182 16	0 00	950	Long-Term
JCI	JOHNSON CONTROLS INC CMN	08-May-2009	15-Dec-2011	33	960 40	670 470	289 94	0 00	951	Long-Term
F	FORD MOTOR COMPANY CMN	26-Mar-2010	15-Dec-2011	478	4,900 96	6,653 640	-1,752 68	0 00	629	Long-Term
F	FORD MOTOR COMPANY CMN	12-Apr-2010	15-Dec-2011	84	861 26	1,068 480	-207 22	0 00	612	Long-Term
F	FORD MOTOR COMPANY CMN	21-Apr-2010	15-Dec-2011	222	2,276 18	3,130 180	-854 00	0 00	603	Long-Term
F	FORD MOTOR COMPANY CMN	05-Jan-2011	15-Dec-2011	136	1,394 42	2,378 750	-984 34	0 00	344	Short-Term
F	FORD MOTOR COMPANY CMN	06-Jul-2011	15-Dec-2011	256	2,624 78	3,593 520	-968 74	0 00	162	Short-Term
CAM	CAMERON INTERNATIONAL CORP CMN	07-Mar-2011	16-Dec-2011	157	7,438 65	9,740 430	-2,301 78	0 00	284	Short-Term
ADBE	ADOBE SYSTEMS INC CMN	29-Oct-2010	16-Dec-2011	57	1,614 99	1,605 050	9 94	0 00	413	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
ADBE	ADOBE SYSTEMS INC CMN	28-Oct-2010	16-Dec-2011	96	2,719.98	2,695.460	24.52	0.00	414	Long-Term
JCI	JOHNSON CONTROLS INC CMN	12-Apr-2010	20-Dec-2011	51	1,498.87	1,648.320	-149.46	0.00	617	Long-Term
JCI	JOHNSON CONTROLS INC CMN	05-Aug-2009	20-Dec-2011	86	2,527.50	2,283.610	243.89	0.00	867	Long-Term
JCI	JOHNSON CONTROLS INC CMN	08-May-2009	20-Dec-2011	140	4,114.53	2,844.400	1,270.13	0.00	956	Long-Term
JCI	JOHNSON CONTROLS INC CMN	07-Oct-2009	20-Dec-2011	22	646.57	557.920	88.65	0.00	804	Long-Term
JCI	JOHNSON CONTROLS INC CMN	05-Jan-2011	20-Dec-2011	61	1,792.76	2,438.780	-646.02	0.00	349	Short-Term
PPL	PPL CORPORATION CMN	12-Apr-2011	22-Dec-2011	147	4,334.56	3,837.890	496.67	0.00	254	Short-Term
GIS	GENERAL MILLS INC CMN	09-Feb-2010	22-Dec-2011	131	5,286.07	4,551.110	734.96	0.00	681	Long-Term
EQT	EQT CORPORATION CMN	18-Oct-2011	22-Dec-2011	49	2,726.44	3,186.850	-460.41	0.00	65	Short-Term
BEN	FRANKLIN RESOURCES INC CMN	05-Jan-2011	22-Dec-2011	21	1,996.90	2,321.760	-324.86	0.00	351	Short-Term
BEN	FRANKLIN RESOURCES INC CMN	07-Oct-2009	22-Dec-2011	11	1,045.99	1,125.740	-79.75	0.00	806	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	12-Apr-2010	22-Dec-2011	13	1,236.18	1,492.140	-255.96	0.00	619	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	21-Jan-2009	22-Dec-2011	28	2,662.53	1,480.010	1,182.53	0.00	1,065	Long-Term
WLP	WELLPOINT, INC CMN	28-Oct-2008	22-Dec-2011	70	4,673.13	2,721.080	1,952.05	0.00	1,150	Long-Term
WLP	WELLPOINT, INC CMN	07-Oct-2009	22-Dec-2011	11	734.35	521.950	212.40	0.00	806	Long-Term
WLP	WELLPOINT, INC CMN	12-Apr-2010	22-Dec-2011	34	2,269.80	2,092.700	177.11	0.00	619	Long-Term
EQT	EQT CORPORATION CMN	23-Aug-2011	22-Dec-2011	191	10,627.56	10,100.090	527.47	0.00	121	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	09-Feb-2011	22-Dec-2011	1,793	9,385.62	12,421.070	-3,035.45	0.00	316	Short-Term
BEN	FRANKLIN RESOURCES INC CMN	07-Jul-2010	22-Dec-2011	32	3,042.90	2,797.160	245.74	0.00	533	Long-Term
WLP	WELLPOINT, INC CMN	05-Jan-2011	22-Dec-2011	42	2,803.88	2,454.900	348.98	0.00	351	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	31-Mar-2011	22-Dec-2011	574	3,004.65	4,237.660	-1,233.01	0.00	266	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	19-Oct-2011	22-Dec-2011	217	3,935.55	3,770.860	164.69	0.00	64	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
CSCO	CISCO SYSTEMS, INC CMN	11-Oct-2011	22-Dec-2011	604	10,954 24	10,241 680	712 56	0 00	72	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	04-May-2010	22-Dec-2011	2	1,261 19	1,013 500	247 69	0 00	597	Long-Term
GOOG	GOOGLE, INC CMN CLASS A	14-Jan-2011	22-Dec-2011	11	6,936 52	6,851 540	84 98	0 00	342	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	06-Jul-2011	06-Jan-2012	8	5,211 33	4,287 920	923 41	0 00	184	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	27-Apr-2011	06-Jan-2012	9	5,862 74	4,830 580	1,032 16	0 00	254	Short-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	06-Jan-2011	09-Jan-2012	96	3,235 59	2,924 880	310 71	0 00	368	Long-Term
BA	BOEING COMPANY CMN	03-Sep-2008	10-Jan-2012	20	1,500 29	1,318 100	182 19	0 00	1,224	Long-Term
BA	BOEING COMPANY CMN	13-Oct-2008	10-Jan-2012	30	2,250 43	1,353 430	897 00	0 00	1,184	Long-Term
BA	BOEING COMPANY CMN	04-Sep-2008	10-Jan-2012	20	1,500 29	1,294 430	205 86	0 00	1,223	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	24-Apr-2008	18-Jan-2012	262	602 80	2,067 390	-1,464 59	0 00	1,364	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	12-Jun-2008	18-Jan-2012	190	437 14	1,554 750	-1,117 61	0 00	1,315	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	07-Oct-2009	18-Jan-2012	90	207 07	341 620	-134 55	0 00	833	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	06-May-2008	18-Jan-2012	390	897 29	3,380 170	-2,482 88	0 00	1,352	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	03-Jun-2008	18-Jan-2012	50	115 04	485 650	-370 61	0 00	1,324	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	28-Jul-2009	18-Jan-2012	473	1,088 26	2,166 200	-1,077 94	0 00	904	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	02-Feb-2011	18-Jan-2012	151	4,933 14	4,589 380	343 76	0 00	350	Short-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	06-Jan-2011	18-Jan-2012	293	9,572 24	8,926 970	645 27	0 00	377	Long-Term
PPL	PPL CORPORATION CMN	12-Apr-2011	19-Jan-2012	139	3,889 47	3,629 020	260 45	0 00	282	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	18-Jul-2011	19-Jan-2012	180	7,033 97	6,950 120	83 85	0 00	185	Short-Term
BHI	BAKER HUGHES INC CMN	22-Dec-2011	23-Jan-2012	40	1,914 10	1,981 530	-67 43	67 43	32	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
TXN	TEXAS INSTRUMENTS INC CMN	22-Dec-2011	23-Jan-2012	58	1,922.70	1,701.270	221.43	0.00	32	Short-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	28-Sep-2010	23-Jan-2012	103	1,932.67	2,414.060	-481.39	0.00	482	Long-Term
BHI	BAKER HUGHES INC CMN	09-Jan-2012	24-Jan-2012	27	1,244.89	1,392.580	-147.69	0.00	15	Short-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	29-Sep-2010	24-Jan-2012	27	500.17	630.780	-130.61	0.00	482	Long-Term
BHI	BAKER HUGHES INC CMN	22-Dec-2011	24-Jan-2012	40	1,844.27	2,130.500	-286.23	0.00	33	Short-Term
BHI	BAKER HUGHES INC CMN	22-Dec-2011	24-Jan-2012	183	8,437.56	9,065.480	-627.92	0.00	33	Short-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	28-Sep-2010	24-Jan-2012	122	2,280.05	2,859.370	-599.32	0.00	483	Long-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	01-Oct-2010	24-Jan-2012	132	2,445.30	3,073.810	-628.51	0.00	480	Long-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	22-Nov-2010	24-Jan-2012	99	1,833.97	2,303.630	-469.66	0.00	428	Long-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	30-Sep-2010	24-Jan-2012	76	1,407.90	1,788.540	-380.64	0.00	481	Long-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	19-Nov-2010	24-Jan-2012	38	703.95	888.380	-184.43	0.00	431	Long-Term
HIG	HARTFORD FINANCIAL SVCS GROUP CMN	05-Jan-2011	24-Jan-2012	109	2,019.22	3,026.930	-1,007.71	0.00	384	Long-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	15-Jun-2010	25-Jan-2012	25	1,008.29	832.030	176.26	0.00	589	Long-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-Dec-2011	25-Jan-2012	315	10,218.08	9,239.680	978.40	0.00	34	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	10-Jun-2010	25-Jan-2012	120	4,839.79	3,889.300	950.49	0.00	594	Long-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	13-Dec-2011	27-Jan-2012	81	8,112.97	7,524.550	588.42	0.00	45	Short-Term
LYB	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	09-Aug-2011	31-Jan-2012	173	7,413.35	4,455.900	2,957.45	0.00	175	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	13-Jan-2011	08-Feb-2012	77	3,430.16	4,192.580	-762.42	0.00	391	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	11-Nov-2010	08-Feb-2012	71	3,162.88	3,601.660	-438.78	0.00	454	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	15-Nov-2010	08-Feb-2012	73	3,251.97	3,707.580	-455.61	0.00	450	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-Jan-2011	08-Feb-2012	46	2,049.19	2,402.180	-352.99	0.00	399	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	12-Nov-2010	08-Feb-2012	108	4,811.14	5,446.980	-635.84	0.00	453	Long-Term
LBTYA	LIBERTY GLOBAL INC CMN CLASS A	11-Oct-2011	09-Feb-2012	131	6,408.03	4,992.170	1,415.86	0.00	121	Short-Term
LBTYA	LIBERTY GLOBAL INC CMN CLASS A	12-Oct-2011	09-Feb-2012	184	9,000.60	7,079.250	1,921.35	0.00	120	Short-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	23-Sep-2009	13-Feb-2012	109	6,556.30	5,571.510	984.79	0.00	873	Long-Term
LYB	LYONDELLBASELL INDUSTRIES NV CMN CLASS A	09-Aug-2011	14-Feb-2012	90	4,006.80	2,318.100	1,688.70	0.00	189	Short-Term
JPM	JPMORGAN CHASE & CO CMN	11-Oct-2007	15-Feb-2012	22	831.92	1,042.760	-210.84	0.00	1,588	Long-Term
NVDA	NVIDIA CORP CMN	22-Nov-2011	15-Feb-2012	227	3,666.88	3,384.290	282.59	0.00	85	Short-Term
JPM	JPMORGAN CHASE & CO CMN	04-May-2007	15-Feb-2012	35	1,323.50	1,855.120	-531.62	0.00	1,748	Long-Term
JPM	JPMORGAN CHASE & CO CMN	15-Jun-2006	15-Feb-2012	44	1,663.83	1,783.580	-119.75	0.00	2,071	Long-Term
PEP	PEPSICO INC CMN	12-Jul-2010	21-Feb-2012	48	3,031.88	3,047.700	-15.82	0.00	589	Long-Term
PEP	PEPSICO INC CMN	01-Jul-2010	21-Feb-2012	72	4,547.83	4,402.710	145.12	0.00	600	Long-Term
PEP	PEPSICO INC CMN	05-Jan-2011	21-Feb-2012	41	2,589.73	2,706.000	-116.27	0.00	412	Long-Term
PEP	PEPSICO INC CMN	06-Aug-2010	21-Feb-2012	45	2,842.39	2,961.590	-119.20	0.00	564	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	21-Jan-2011	21-Feb-2012	57	4,281.73	4,744.330	-462.60	0.00	396	Long-Term
AFL	AFLAC INCORPORATED CMN	31-Jan-2012	24-Feb-2012	62	2,903.87	3,024.980	-121.11	0.00	24	Short-Term
HD	THE HOME DEPOT, INC CMN	20-Dec-2011	24-Feb-2012	388	18,209.45	16,144.650	2,064.80	0.00	66	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
AFL	AFLAC INCORPORATED CMN	31-Jan-2012	27-Feb-2012	175	8,162.52	8,538.260	-375.74	0.00	27	Short-Term
SLM	SLM CORPORATION CMN	25-Aug-2008	29-Feb-2012	130	2,066.24	1,856.980	209.26	0.00	1,283	Long-Term
SLM	SLM CORPORATION CMN	12-Jan-2010	29-Feb-2012	290	4,609.31	3,341.310	1,268.00	0.00	778	Long-Term
SLM	SLM CORPORATION CMN	22-Aug-2008	29-Feb-2012	1	15.89	14.170	1.72	0.00	1,286	Long-Term
SLM	SLM CORPORATION CMN	09-Feb-2010	29-Feb-2012	246	3,909.97	2,632.520	1,277.45	0.00	750	Long-Term
SLM	SLM CORPORATION CMN	12-Apr-2010	29-Feb-2012	185	2,940.42	2,459.940	480.48	0.00	688	Long-Term
SLM	SLM CORPORATION CMN	05-Jan-2011	29-Feb-2012	213	3,385.46	2,711.490	673.97	0.00	420	Long-Term
NVDA	NVIDIA CORP CMN	22-Nov-2011	01-Mar-2012	568	8,677.04	8,468.170	208.87	0.00	100	Short-Term
NVDA	NVIDIA CORP CMN	19-Jan-2012	01-Mar-2012	156	2,383.13	2,234.180	148.95	0.00	42	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	21-Jan-2011	05-Mar-2012	56	4,041.51	4,661.090	-619.58	0.00	408	Long-Term
AMP	AMERIPRISE FINANCIAL, INC. CMN	07-Jul-2011	13-Mar-2012	75	4,214.81	4,441.790	-226.98	0.00	250	Short-Term
AMP	AMERIPRISE FINANCIAL, INC. CMN	06-May-2011	13-Mar-2012	208	11,689.06	12,748.350	-1,059.29	0.00	312	Short-Term
AMP	AMERIPRISE FINANCIAL, INC. CMN	16-Aug-2011	13-Mar-2012	80	4,495.79	3,590.700	905.09	0.00	210	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	15-Dec-2011	13-Mar-2012	193	10,826.69	8,780.480	2,046.21	0.00	89	Short-Term
EMC	EMC CORPORATION MASS CMN	06-May-2010	13-Mar-2012	5	145.97	92.520	53.45	0.00	677	Long-Term
HOT	STARWOOD HOTELS & RESORTS CMN	14-Dec-2011	13-Mar-2012	37	2,075.58	1,668.690	406.89	0.00	90	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Apr-2010	13-Mar-2012	207	6,043.05	4,027.770	2,015.28	0.00	685	Long-Term
STI	SUNTRUST BANKS INC \$1.00 PAR CMN	20-Dec-2010	13-Mar-2012	188	4,110.73	5,091.730	-981.00	0.00	449	Long-Term
MRK	MERCK & CO., INC. CMN	23-Feb-2010	14-Mar-2012	119	4,538.10	4,343.650	194.45	0.00	750	Long-Term
MRK	MERCK & CO., INC. CMN	08-Apr-2010	14-Mar-2012	96	3,660.98	3,530.660	130.32	0.00	706	Long-Term
MRK	MERCK & CO., INC. CMN	28-Sep-2010	14-Mar-2012	76	2,898.28	2,824.770	73.51	0.00	533	Long-Term
MRK	MERCK & CO., INC. CMN	16-Mar-2010	14-Mar-2012	81	3,088.96	3,063.540	25.42	0.00	729	Long-Term
MRK	MERCK & CO., INC. CMN	12-Apr-2010	14-Mar-2012	93	3,546.58	3,436.480	110.10	0.00	702	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
MRK	MERCK & CO , INC CMN	05-Jan-2011	14-Mar-2012	123	4,690.64	4,445.220	245.42	0.00	434	Long-Term
CELG	CELGENE CORPORATION CMN	01-Apr-2011	27-Mar-2012	48	3,743.13	2,765.770	977.36	0.00	361	Short-Term
KO	COCA-COLA COMPANY (THE) CMN	21-Feb-2012	27-Mar-2012	233	16,739.49	16,070.770	668.72	0.00	35	Short-Term
WAG	WALGREEN CO CMN	27-Mar-2012	02-Apr-2012	144	4,949.42	5,066.170	-116.75	0.00	6	Short-Term
WAG	WALGREEN CO CMN	27-Mar-2012	02-Apr-2012	168	5,708.79	5,841.530	-132.74	113.78	6	Short-Term
WAG	WALGREEN CO CMN	27-Mar-2012	02-Apr-2012	164	5,636.83	5,702.440	-65.61	0.00	6	Short-Term
ADBE	ADOBE SYSTEMS INC CMN	29-Oct-2010	03-Apr-2012	27	920.50	760.290	160.21	0.00	522	Long-Term
ADBE	ADOBE SYSTEMS INC CMN	01-Nov-2010	03-Apr-2012	112	3,818.34	3,157.730	660.61	0.00	519	Long-Term
MS	MORGAN STANLEY CMN	01-Nov-2011	03-Apr-2012	282	5,433.94	4,573.730	860.21	0.00	154	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	15-Jun-2010	11-Apr-2012	4	149.11	133.130	15.98	0.00	666	Long-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	16-Jun-2010	11-Apr-2012	113	4,212.24	3,802.230	410.01	0.00	665	Long-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	30-Jun-2010	11-Apr-2012	100	3,727.65	3,291.610	436.04	0.00	651	Long-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	05-Jan-2011	11-Apr-2012	66	2,460.25	2,389.200	71.05	0.00	462	Long-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	23-Feb-2012	11-Apr-2012	95	3,541.27	3,625.540	-84.27	0.00	48	Short-Term
C	CITIGROUP INC CMN	29-Feb-2012	20-Apr-2012	107	3,623.98	3,583.450	40.53	0.00	51	Short-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	12-Apr-2010	23-Apr-2012	21	1,233.20	1,347.400	-114.20	0.00	742	Long-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	07-Oct-2009	23-Apr-2012	10	587.24	496.700	90.54	0.00	929	Long-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	23-Sep-2009	23-Apr-2012	13	763.41	664.490	98.92	0.00	943	Long-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	09-Oct-2009	23-Apr-2012	48	2,818.74	2,446.860	371.88	0.00	927	Long-Term
XLNX	XLNX INCORPORATED CMN	16-Feb-2012	24-Apr-2012	107	3,630.69	3,967.380	-336.69	0.00	68	Short-Term
GM	GENERAL MOTORS COMPANY CMN	09-Feb-2012	24-Apr-2012	178	4,078.73	4,573.130	-494.40	0.00	75	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
XLNX	XILINX INCORPORATED CMN	20-Jan-2012	24-Apr-2012	324	10,993.86	11,502.600	-508.74	0.00	95	Short-Term
GM	GENERAL MOTORS COMPANY CMN	09-Feb-2012	25-Apr-2012	103	2,374.77	2,646.250	-271.48	0.00	76	Short-Term
USB	U S BANCORP CMN	28-Sep-2010	01-May-2012	103	3,345.19	2,233.520	1,111.67	0.00	581	Long-Term
USB	U S BANCORP CMN	21-Jun-2010	01-May-2012	134	4,351.99	3,186.460	1,165.53	0.00	580	Long-Term
GM	GENERAL MOTORS COMPANY CMN	09-Feb-2012	04-May-2012	118	2,613.89	3,031.630	-417.74	0.00	85	Short-Term
GM	GENERAL MOTORS COMPANY CMN	13-Mar-2012	04-May-2012	228	5,050.56	5,811.400	-760.84	0.00	52	Short-Term
VRTX	VERTEX PHARMACEUTICALS INC CMN	08-Feb-2012	07-May-2012	78	4,206.51	2,860.780	1,345.73	0.00	89	Short-Term
VRTX	VERTEX PHARMACEUTICALS INC CMN	08-Feb-2012	14-May-2012	42	2,731.38	1,540.420	1,190.96	0.00	96	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	18-Jul-2011	15-May-2012	99	4,487.19	3,822.560	664.63	0.00	302	Short-Term
T	AT&T INC CMN	22-Dec-2011	06-Jun-2012	334	11,390.16	9,907.800	1,482.36	0.00	167	Short-Term
C	CITIGROUP INC. CMN	01-May-2012	06-Jun-2012	118	3,093.78	3,989.400	-895.62	0.00	36	Short-Term
C	CITIGROUP INC. CMN	29-Feb-2012	06-Jun-2012	366	9,595.97	12,257.400	-2,661.43	0.00	98	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	18-Jul-2011	06-Jun-2012	155	7,009.79	5,984.820	1,024.97	0.00	324	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	28-Oct-2011	06-Jun-2012	94	4,251.10	3,381.750	869.35	0.00	222	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-Aug-2011	06-Jun-2012	94	4,251.10	3,150.100	1,101.00	0.00	295	Short-Term
GIS	GENERAL MILLS INC CMN	09-Feb-2010	07-Jun-2012	16	608.51	555.860	52.65	0.00	849	Long-Term
GIS	GENERAL MILLS INC CMN	16-Mar-2010	07-Jun-2012	84	3,194.66	3,078.830	115.83	0.00	814	Long-Term
GIS	GENERAL MILLS INC CMN	28-Apr-2010	07-Jun-2012	46	1,749.46	1,615.700	133.76	0.00	771	Long-Term
GIS	GENERAL MILLS INC CMN	12-Apr-2010	07-Jun-2012	66	2,510.09	2,330.460	179.63	0.00	787	Long-Term
GIS	GENERAL MILLS INC CMN	29-Apr-2010	07-Jun-2012	70	2,662.22	2,487.360	174.86	0.00	770	Long-Term
GIS	GENERAL MILLS INC CMN	05-Jan-2011	07-Jun-2012	77	2,928.44	2,788.170	140.27	0.00	519	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
LYB	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	09-Aug-2011	12-Jun-2012	67	2,532.63	1,725.700	806.93	0.00	308	Short-Term
LYB	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	19-Oct-2011	12-Jun-2012	110	4,158.03	2,536.340	1,621.69	0.00	237	Short-Term
HON	HONEYWELL INTL INC CMN	07-Oct-2009	14-Jun-2012	3	164.62	110.310	54.31	0.00	981	Long-Term
HON	HONEYWELL INTL INC CMN	15-Oct-2009	14-Jun-2012	36	1,975.38	1,343.600	631.78	0.00	973	Long-Term
HON	HONEYWELL INTL INC CMN	14-Oct-2009	14-Jun-2012	31	1,701.02	1,156.020	545.00	0.00	974	Long-Term
HON	HONEYWELL INTL INC CMN	27-Oct-2009	14-Jun-2012	66	3,621.52	2,491.620	1,129.90	0.00	961	Long-Term
HON	HONEYWELL INTL INC CMN	12-Apr-2010	14-Jun-2012	7	384.10	322.290	61.81	0.00	794	Long-Term
T	AT&T INC CMN	05-Mar-2012	15-Jun-2012	126	4,501.29	3,898.770	602.52	0.00	102	Short-Term
T	AT&T INC CMN	22-Dec-2011	15-Jun-2012	95	3,393.84	2,818.090	575.75	0.00	176	Short-Term
EMC	EMC CORPORATION MASS CMN	06-May-2010	15-Jun-2012	146	3,637.69	2,701.600	936.19	0.00	771	Long-Term
EMC	EMC CORPORATION MASS CMN	19-Nov-2010	15-Jun-2012	15	373.73	326.270	47.46	0.00	574	Long-Term
MGM	MGM RESORTS INTERNATIONAL CMN	06-Jun-2012	19-Jun-2012	299	3,331.44	3,423.390	-91.95	0.00	13	Short-Term
MGM	MGM RESORTS INTERNATIONAL CMN	06-Jun-2012	20-Jun-2012	145	1,626.25	1,660.170	-33.92	0.00	14	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	07-Jun-2012	25-Jun-2012	399	10,786.79	11,383.940	-597.15	0.00	18	Short-Term
HON	HONEYWELL INTL INC CMN	05-Jan-2011	26-Jun-2012	75	3,996.52	4,051.730	-55.21	0.00	538	Long-Term
HON	HONEYWELL INTL INC CMN	12-Apr-2010	26-Jun-2012	63	3,358.76	2,900.580	458.18	0.00	806	Long-Term
NFX	NEWFIELD EXPLORATION CO CMN	27-Jan-2012	27-Jun-2012	194	5,051.84	7,687.030	-2,635.19	0.00	152	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	15-Feb-2012	27-Jun-2012	104	2,708.20	4,108.390	-1,400.19	0.00	133	Short-Term
MET	METLIFE, INC CMN	27-Feb-2012	28-Jun-2012	54	1,565.42	2,042.310	-476.89	0.00	122	Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	15-Dec-2011	28-Jun-2012	39	2,414.83	2,209.920	204.91	0.00	196	Short-Term
BAC	BANK OF AMERICA CORP CMN	23-Jan-2012	28-Jun-2012	244	1,857.15	1,775.390	81.76	0.00	157	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
USB	U S BANCORP CMN	05-Jan-2011	28-Jun-2012	36	1,114.55	966.240	148.31	0.00	540	Long-Term
USB	U S BANCORP CMN	28-Sep-2010	28-Jun-2012	39	1,207.42	845.700	361.72	0.00	639	Long-Term
MET	METLIFE, INC CMN	24-Feb-2012	28-Jun-2012	27	782.71	1,019.010	-236.30	0.00	125	Short-Term
GE	GENERAL ELECTRIC CO CMN	23-Dec-2009	10-Jul-2012	170	3,330.73	2,617.690	713.04	0.00	930	Long-Term
M	MACY'S INC CMN	10-May-2012	12-Jul-2012	75	2,457.77	2,856.410	-398.64	0.00	63	Short-Term
M	MACY'S INC CMN	04-May-2012	12-Jul-2012	289	9,470.59	11,823.860	-2,353.27	0.00	69	Short-Term
MET	METLIFE, INC CMN	28-Feb-2012	16-Jul-2012	53	1,606.52	2,017.840	-411.32	0.00	139	Short-Term
MET	METLIFE, INC. CMN	27-Feb-2012	16-Jul-2012	181	5,486.42	6,845.510	-1,359.09	0.00	140	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	02-Apr-2012	19-Jul-2012	231	10,616.40	10,416.190	200.21	0.00	108	Short-Term
T	AT&T INC CMN	05-Mar-2012	31-Jul-2012	221	8,276.32	6,838.330	1,437.99	0.00	148	Short-Term
PM	PHILIP MORRIS INTL INC CMN	13-Mar-2012	01-Aug-2012	42	3,821.51	3,567.000	254.51	0.00	141	Short-Term
CELG	CELGENE CORPORATION CMN	01-Apr-2011	01-Aug-2012	51	3,511.28	2,938.630	572.65	0.00	488	Long-Term
MYL	MYLAN INC CMN	23-Dec-2011	01-Aug-2012	198	4,580.29	4,287.890	292.40	0.00	222	Short-Term
S	SPRINT NEXTEL CORPORATION CMN	12-Apr-2010	09-Aug-2012	437	2,090.56	1,813.550	277.01	0.00	850	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	21-Apr-2010	09-Aug-2012	344	1,645.66	1,420.160	225.50	0.00	841	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	07-Oct-2009	09-Aug-2012	85	406.63	322.640	83.99	0.00	1,037	Long-Term
WSM	WILLIAMS-SONOMA, INC CMN	27-Feb-2012	04-Sep-2012	49	2,019.87	1,946.760	73.11	0.00	190	Short-Term
WSM	WILLIAMS-SONOMA, INC CMN	27-Feb-2012	05-Sep-2012	62	2,592.79	2,463.250	129.54	0.00	191	Short-Term
WSM	WILLIAMS-SONOMA, INC CMN	27-Feb-2012	14-Sep-2012	196	8,800.41	7,787.050	1,013.36	0.00	200	Short-Term
WAG	WALGREEN CO CMN	19-Jul-2012	24-Sep-2012	401	14,311.57	13,713.240	598.33	0.00	67	Short-Term
WAG	WALGREEN CO CMN	01-Aug-2012	24-Sep-2012	101	3,604.66	3,663.910	-59.25	0.00	54	Short-Term
CBS	CBS CORPORATION CMN CLASS B	11-Nov-2011	25-Sep-2012	150	5,574.93	3,906.320	1,668.61	0.00	319	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
CBS	CBS CORPORATION CMN CLASS B	10-Nov-2011	25-Sep-2012	281	10,443.69	7,045.480	3,398.21	0.00	320	Short-Term
MAS	MASCO CORPORATION CMN	08-Jun-2012	27-Sep-2012	292	4,370.49	3,867.320	503.17	0.00	111	Short-Term
TOTAL					877,329.20		19,247.12			

TARTAGLINO RICHARDS FDN CORP.F **99-2**
(AD DJ)(GS)
From 01-Oct-2011 To 30-Sep-2012

Realized Gains/Losses
 Separate Account Strategy : GS: Corporate Fixed
 Income
 Base Currency : USD
 Custodian : Goldman Sachs & Company

Gain Type	Gains	Losses	Total
Short-Term	0.00		0.00
Long-Term	17,923.50		17,923.50
Total Realized Gains/Losses:	17,923.50	0.00	17,923.50

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Disallowed Loss (Base)	Days Held	Gain Type
3JH6M3	COMMONWEALTH EDISON COMPANY 4.0% 08/01/2020 M-W+15 00BP	27-Jul-2010	15-Feb-2012	100,000	109,907.00	99,976.000	9,931.00	0.00	568	Long-Term
64SVJ7	KRAFT FOODS INC 6.25% 06/01/2012 USD	09-Aug-2010	01-Jun-2012	100,000	100,000.00	100,000.000	0.00	0.00	662	Long-Term
3JR6A7	AT&T CORP 6.7% 11/15/2013 SR LIEN M-W+50 00BP	23-Jul-2010	29-Jun-2012	100,000	108,083.86	106,740.290	1,343.57	0.00	707	Long-Term
2EJ2U2	TYCO INTERNATIONAL GROUP SA 6.0% 11/15/2013 USD	03-Aug-2010	16-Aug-2012	100,000	106,892.00	105,066.940	1,825.06	0.00	744	Long-Term
3JYHR3	MORGAN STANLEY MTN 5.625% 09/23/2019 USD SER F SR LIEN M-W+35 00BP	14-Jul-2011	25-Sep-2012	100,000	108,449.00	103,625.130	4,823.87	0.00	439	Long-Term
TOTAL					533,331.86		17,923.50			