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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning **OCT 1, 2011** and ending **SEP 30, 2012****B** Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☒ Application pending

C Name of organization

THE STERN CARDIOVASCULAR FOUNDATION, INC

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

8060 WOLF RIVER BLVD.

Room/suite

City or town, state or country, and ZIP + 4

MEMPHIS, TN 38120

F Name and address of principal officer: STEVEN S. GUBIN, M.D.
same as C above**D** Employer identification number

27-4396698

E Telephone number

901-227-2928

G Gross receipts \$ 40,967,552.**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c)() (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ WWW.STERNCARDIO.COM**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: 2010**M** State of legal domicile: TN**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: THE STERN CARDIOVASCULAR FOUNDATION'S MISSION IS TO PROMOTE COMMUNITY (SEE SCH O, PG 35)		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	10	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	0	
	5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	218	
	6	Total number of volunteers (estimate if necessary)	3	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	0.	
7b	Net unrelated business taxable income from Form 990-T, line 34	0.		
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	0.	433,244.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0.	40,529,846.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 10e)	0.	4,462.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0.	40,967,552.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	32,541,324.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	16b	Total fundraising expenses (Part IX, column (D), line 25)	0.	0.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	0.	8,127,770.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	0.	40,669,094.
19	Revenue less expenses. Subtract line 18 from line 12	0.	298,458.	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	22,236,343.	38,944,304.
	22	Net assets or fund balances. Subtract line 21 from line 20	11,632,097.	24,432,232.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

2011 Sign Here ▶ Signature of officer **3-31-13** Date

▶ GREGORY M. DUCKETT, SECRETARY
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name Preparer's signature Date Check ☐ if self-employed PTIN

Firm's name ▶ Firm's EIN ▶

Firm's address ▶ Phone no. ▶

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes ☒ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☒ X**1** Briefly describe the organization's mission.

THE STERN CARDIOVASCULAR FOUNDATION, INC.'S PRIMARY MISSION IS TO
 PROMOTE COMMUNITY HEALTH THROUGH THE PROVISION OF HEALTH CARE SERVICES
 AND ESTABLISH, EQUIP AND MAINTAIN GEOGRAPHICALLY DISPERSED OUTPATIENT
 CLINIC LOCATIONS AT WHICH SUCH SERVICES WILL BE PROVIDED.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code _____) (Expenses \$ 38,271,789 including grants of \$ 433,244) (Revenue \$ 40,529,846)

THE STERN CARDIOVASCULAR FOUNDATION, INC. PROVIDES QUALITY MEDICAL
 HEALTHCARE REGARDLESS OF RACE, CREED, SEX, NATIONAL ORIGIN, HANDICAP,
 OR AGE. PATIENTS OF EVERY RACE, CREED AND SOCIOECONOMIC GROUP COME TO
 THE STERN CARDIOVASCULAR FOUNDATION FROM SEVERAL STATES WITH MOST OF
 ITS PATIENTS FROM WEST TENNESSEE, EASTERN ARKANSAS AND NORTHERN
 MISSISSIPPI. ALTHOUGH REIMBURSEMENT FOR SERVICES RENDERED IS CRITICAL
 TO THE OPERATION AND STABILITY OF THE STERN CARDIOVASCULAR FOUNDATION,
 IT IS RECOGNIZED THAT NOT ALL INDIVIDUALS POSSESS THE ABILITY TO
 PURCHASE ESSENTIAL MEDICAL SERVICES, AND FURTHER, THAT OUR MISSION IS
 TO SERVE THE COMMUNITY WITH RESPECT TO PROVIDING HEALTH CARE SERVICES
 AND HEALTHCARE EDUCATION.

SEE SCHEDULE O, PAGE 35 FOR CONTINUATION

4b (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)**4c** (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)**4d** Other program services (Describe in Schedule O.)

(Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4e Total program service expenses 38,271,789

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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 x	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	2 x	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	x
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4 x	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5	x
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	x
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	x
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	x
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9	x
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10	x
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	11a x	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	11b	x
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c	x
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d	x
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	11e x	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f x	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	12a	x
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.</i>	12b x	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	x
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	x
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	14b	x
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>	15	x
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16	x
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	17	x
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18	x
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	x
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	x
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

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Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		x
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		x
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	x	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		x
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		x
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		x
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		x
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		x
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		x
28b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		x
28c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		x
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		x
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		x
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		x
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		x
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		x
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	x	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		x
35b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		x
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		x
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		x
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?		
Note. All Form 990 filers are required to complete Schedule O	x	

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☒

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	☒	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	☒	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		☒
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		☒
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		☒
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		☒
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		☒
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		☒
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		☒
d	If "Yes," indicate the number of Forms 8282 filed during the year		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☒
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		☒
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		☒
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

☒**Section A. Governing Body and Management**

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	10	
1b Enter the number of voting members included in line 1a, above, who are independent	0	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	X	
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?	X	
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	X	
7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	X	
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	X	

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?		X
11b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	X	
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **None**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **MELISSA REEVES - 901-271-2210**
8060 WOLF RIVER BLVD., MEMPHIS, TN 38120

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

☒**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) STEVEN S. GUBIN, M.D. PRESIDENT/DIRECTOR	50.00	X		X				1,653,340.	0.	0.
(2) DAVID H. HOLLOWAY, M.D. DIRECTOR	50.00	X						404,632.	0.	0.
(3) ERIC JOHNSON, M.D. DIRECTOR	50.00	X						834,833.	0.	0.
(4) TODD D. EDWARDS, M.D. DIRECTOR	50.00	X						1,754,537.	0.	0.
(5) DAVID CHARLES WOLFORD, M.D. DIRECTOR	50.00	X						635,735.	0.	0.
(6) JASON INFELD, M.D. DIRECTOR	50.00	X						707,828.	0.	0.
(7) ARIE SZATKOWSKI, M.D. DIRECTOR	50.00	X						843,253.	0.	0.
(8) DEBBIE EDDLESTONE DIRECTOR/CEO	50.00	X		X				328,718.	0.	2,400.
(9) JASON M. LITTLE DIRECTOR	0.10	X						0.	737,988.	46,078.
(10) JAMES W. BOSWELL DIRECTOR	0.20	X						0.	589,594.	69,486.
(11) GREGORY M. DUCKETT SECRETARY	0.10			X				0.	642,252.	63,859.
(12) MELISSA REEVES CFO	40.00			X				116,483.	0.	2,400.
(13) MARK A. COPPESS, M.D. PHYSICIAN	50.00					X		685,246.	0.	0.
(14) JAMES E. KLEMIS, M.D. PHYSICIAN	50.00					X		1,022,760.	0.	0.
(15) FRANK A. MCGREW, M.D. PHYSICIAN	50.00					X		839,710.	0.	0.
(16) RICHARD A. GORDON, M.D. PHYSICIAN	50.00					X		683,303.	0.	0.
(17) DANIEL OTTEN, M.D. PHYSICIAN	50.00					X		553,952.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-total								11,064,330.	1,969,834.	184,223.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								11,064,330.	1,969,834.	184,223.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **24**

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		x
4	x	
5		x

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization		0

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, and similar amounts not included above	1f	433,244.				
	g Noncash contributions included in lines 1a-1f \$						
	h Total. Add lines 1a-1f		433,244.				
	Program Service Revenue	2 a PATIENT SERVICE REV.	Business Code	900099	40,529,846.	40,529,846.	
b							
c							
d							
e							
f All other program service revenue							
g Total. Add lines 2a-2f			40,529,846.				
Other Revenue		3 Investment income (including dividends, interest, and other similar amounts)			4,462.		
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross rents	(i) Real	(ii) Personal				
	b Less: rental expenses						
	c Rental income or (loss)						
	d Net rental income or (loss)						
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
	b Less: cost or other basis and sales expenses						
	c Gain or (loss)						
	d Net gain or (loss)						
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a					
	b Less: direct expenses	b					
	c Net income or (loss) from fundraising events						
	9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities						
	10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue			Business Code				
11 a							
b							
c							
d All other revenue							
e Total. Add lines 11a-11d							
12 Total revenue. See instructions.			40,967,552.	40,529,846.	0.	4,462.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	5,101,314.	4,795,235.	306,079.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	22,865,838.	21,493,888.	1,371,950.	
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)	1,149,771.	1,080,785.	68,986.	
9 Other employee benefits	2,138,379.	2,010,076.	128,303.	
10 Payroll taxes	1,286,022.	1,208,861.	77,161.	
11 Fees for services (non-employees):				
a Management				
b Legal	28,495.	26,785.	1,710.	
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	466,538.	438,547.	27,991.	
12 Advertising and promotion	53,222.	50,029.	3,193.	
13 Office expenses	2,191,524.	2,060,033.	131,491.	
14 Information technology				
15 Royalties				
16 Occupancy	2,143,069.	2,014,485.	128,584.	
17 Travel	146,106.	137,340.	8,766.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	62,315.	58,576.	3,739.	
20 Interest	2,632.	2,632.		
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	957,456.	900,009.	57,447.	
23 Insurance	384,452.	361,385.	23,067.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PROGRAM GRANT EXP.	711,333.	711,333.	0.	
b REPAIRS & MAINTENANCE	494,645.	464,966.	29,679.	
c TAX & LICENSES	428,676.	402,955.	25,721.	
d DUES & SUBSCRIPTIONS	30,653.	28,814.	1,839.	
e All other expenses	26,654.	25,055.	1,599.	
25 Total functional expenses. Add lines 1 through 24e	40,669,094.	38,271,789.	2,397,305.	0.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	2,234,218.	1	400.
	2 Savings and temporary cash investments		2	2,282,933.
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net	6,526,248.	4	17,603,880.
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	230,601.	8	304,300.
	9 Prepaid expenses and deferred charges		9	38,446.
	10a Land, buildings, and equipment. cost or other basis. Complete Part VI of Schedule D	10a 7,136,246.		
	b Less. accumulated depreciation	10b 1,305,891.	4,094,286.	10c 5,830,355.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets	9,150,990.	14	12,883,990.
	15 Other assets. See Part IV, line 11		15	
16 Total assets. Add lines 1 through 15 (must equal line 34)	22,236,343.	16	38,944,304.	
Liabilities	17 Accounts payable and accrued expenses	1,847,993.	17	2,816,833.
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties	1,313,691.	23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	8,470,413.	25	21,615,399.
	26 Total liabilities. Add lines 17 through 25	11,632,097.	26	24,432,232.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	10,604,246.	27	14,512,072.
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	10,604,246.	33	14,512,072.	
34 Total liabilities and net assets/fund balances	22,236,343.	34	38,944,304.	

Form 990 (2011)

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	40,967,552.
2	Total expenses (must equal Part IX, column (A), line 25)	2	40,669,094.
3	Revenue less expenses. Subtract line 2 from line 1	3	298,458.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	10,604,246.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	3,609,368.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	14,512,072.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

☒

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both:
☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		x
2b	x	
2c	x	
3a		x
3b		

Form **990** (2011)

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization

Employer identification number

THE STERN CARDIOVASCULAR FOUNDATION, INC

27-4396698

Part I	Reason for Public Charity Status (All organizations must complete this part.) See instructions.
---------------	--

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☒ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____

(ii) A family member of a person described in (i) above? _____

(iii) A 35% controlled entity of a person described in (i) or (ii) above? _____

h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

[illegible]

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2011

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2010 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
b 33 1/3% support test - 2010. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
17a 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
b 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐		

Schedule A (Form 990 or 990-EZ) 2011

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2011 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests - 2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No 1545-0047

2011

Open to Public
Inspection

▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.**

If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization THE STERN CARDIOVASCULAR FOUNDATION, INC	Employer identification number 27-4396698
---	---

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2011

LHA

132041
01-27-12

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)

(a) Filing organization's totals

(b) Affiliated group totals

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)**b** Total lobbying expenditures to influence a legislative body (direct lobbying)**c** Total lobbying expenditures (add lines 1a and 1b)**d** Other exempt purpose expenditures**e** Total exempt purpose expenditures (add lines 1c and 1d)**f** Lobbying nontaxable amount. Enter the amount from the following table in both columns.

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e.
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000
Over \$17,000,000	\$1,000,000.

g Grassroots nontaxable amount (enter 25% of line 1f)**h** Subtract line 1g from line 1a. If zero or less, enter -0-**i** Subtract line 1f from line 1c. If zero or less, enter -0-**j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?☐ Yes☐ No**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2011

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?		X	
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X	
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body?		X	
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Other activities?	X		1.
j Total. Add lines 1c through 1i.			1.
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912.			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912.			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A; and Part II-B, line 1. Also, complete this part for any additional information.

Part II-B, Line 1, Lobbying Activities:

BAPTIST MEMORIAL HEALTH CARE CORPORATION, THE SOLE MEMBER OF BAPTIST

MEMORIAL MEDICAL GROUP, INC., THE SOLE MEMBER OF THE STERN

CARDIOVASCULAR FOUNDATION, INC., PAYS MEMBERSHIP DUES TO THE AMERICAN

HOSPITAL ASSOCIATION, THE ARKANSAS HOSPITAL ASSOCIATION, THE

MISSISSIPPI HOSPITAL ASSOCIATION, AND THE TENNESSEE HOSPITAL

Part IV Supplemental Information (continued)

ASSOCIATION. A PORTION OF THOSE DUES ARE FOR CONSULTANTS WHO ADVISE

AND CONSULT WITH THE ORGANIZATION ON LEGISLATIVE AND REGULATORY MATTERS

THAT MAY AFFECT THE ORGANIZATION AND ITS AFFILIATES. THESE CONSULTANTS

MAY ADVOCATE POSITIONS WITH THE LEGISLATIVE AND REGULATORY BODIES OF

GOVERNMENT AT LOCAL, STATE, AND FEDERAL LEVELS.

THE STERN CARDIOVASCULAR FOUNDATION, INC. DID NOT PAY CONSULTANT FEES.

"1" HAS BEEN USED SO THE "YES" ANSWER NEXT TO LINE I WILL BE PRINTED ON

THE FORM 990.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization

THE STERN CARDIOVASCULAR FOUNDATION, INC

Employer identification number

27-4396698

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☐ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e.g., recreation or education)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$

(ii) Assets included in Form 990, Part X ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$

b Assets included in Form 990, Part X ▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations
 d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☐ _____ %
 b Permanent endowment ☐ _____ %
 c Temporarily restricted endowment ☐ _____ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
 (ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		2,125,142.	479,481.	1,645,661.
d Equipment		5,011,104.	826,410.	4,184,694.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				5,830,355.

Schedule D (Form 990) 2011

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.)		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.)		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.)	

Part X Other Liabilities. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DUE TO AFFILIATES	18,139,572.
(3) OTHER CURRENT LIABILITIES	48,909.
(4) ESTIMATED SETTLEMENTS WITH THIRD PARTIES	571,153.
(5) L/T DEBT, NET OF CURRENT PORTION	2,855,765.
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.)	

21,615,399.

FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net). Add lines 4 through 8	9	
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Part X, Line 2: THE FOLLOWING FOOTNOTE WAS TAKEN FROM THE COMBINED

AUDITED FINANCIAL STATEMENTS OF BAPTIST MEMORIAL HEALTH CARE CORPORATION,

THE SOLE MEMBER OF BAPTIST MEMORIAL MEDICAL GROUP, INC, WHICH IS THE SOLE

MEMBER OF THE STERN CARDIOVASCULAR FOUNDATION:

AS OF SEPTEMBER 30, 2012 AND 2011, BAPTIST MEMORIAL HEALTH CARE

CORPORATION HAD NOT IDENTIFIED ANY UNCERTAIN TAX POSITIONS UNDER FASB ASC

TOPIC 740, INCOME TAXES, REQUIRING ADJUSTMENTS TO ITS COMBINED FINANCIAL

Part XIV Supplemental Information (continued)

STATEMENTS, IN THE EVENT BAPTIST MEMORIAL HEALTH CARE CORPORATION WERE TO
RECOGNIZE INTEREST AND PENALTIES RELATED TO UNCERTAIN TAX POSITIONS, IT
WOULD BE RECOGNIZED IN THE COMBINED FINANCIAL STATEMENTS AS INTEREST
EXPENSE. GENERALLY TAX YEARS 2009 THROUGH 2012 ARE OPEN TO EXAMINATION BY
THE FEDERAL AND STATE TAXING AUTHORITIES. THERE ARE NO INCOME TAX
EXAMINATIONS CURRENTLY IN PROCESS.

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

► **Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.**

► **Attach to Form 990.** ► **See separate instructions.**

2011

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Name of the organization

THE STERN CARDIOVASCULAR FOUNDATION, INC

Employer identification number

27-4396698

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,
Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| ☐ First-class or charter travel | ☐ Housing allowance or residence for personal use |
| ☐ Travel for companions | ☐ Payments for business use of personal residence |
| ☐ Tax indemnification and gross-up payments | ☐ Health or social club dues or initiation fees |
| ☒ Discretionary spending account | ☐ Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or
reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors,
trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's
CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to
establish compensation of the CEO/Executive Director. Explain in Part III.

- | | |
|---|---|
| ☐ Compensation committee | ☐ Written employment contract |
| ☒ Independent compensation consultant | ☐ Compensation survey or study |
| ☐ Form 990 of other organizations | ☒ Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing
organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the revenues of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the net earnings of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments
not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the
initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in
Regulations section 53.4958-6(c)?

Yes No

1b X

2 X

4a X

4b X

4c X

5a X

5b X

6a X

6b X

7 X

8 X

9

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 STEVEN S. GUBIN, M.D.	(i) 1,653,340.	0.	0.	0.	0.	1,653,340.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
DAVID H. HOLLOWAY, 2 M.D.	(i) 404,632.	0.	0.	0.	0.	404,632.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
3 ERIC JOHNSON, M.D.	(i) 834,833.	0.	0.	0.	0.	834,833.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
4 TODD D. EDWARDS, M.D.	(i) 1,754,537.	0.	0.	0.	0.	1,754,537.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
DAVID CHARLES WOLFORD, 5 M.D.	(i) 635,735.	0.	0.	0.	0.	635,735.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
6 JASON INFELD, M.D.	(i) 707,828.	0.	0.	0.	0.	707,828.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
7 ARIE SZATKOWSKI, M.D.	(i) 843,253.	0.	0.	0.	0.	843,253.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
8 DEBBIE EDDLESTONE	(i) 328,718.	0.	0.	0.	2,400.	331,118.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
9 JASON M. LITTLE	(i) 479,737.	225,623.	32,628.	24,500.	21,578.	784,066.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
10 JAMES W. BOSWELL	(i) 521,651.	52,500.	15,443.	46,500.	22,986.	659,080.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
11 GREGORY M. DUCKETT	(i) 357,628.	243,995.	40,629.	36,750.	27,109.	706,111.	0.
	(ii) 116,483.	0.	0.	0.	2,400.	118,883.	0.
12 MELISSA REEVES	(ii) 0.	0.	0.	0.	0.	0.	0.
13 MARK A. COPPES, M.D.	(i) 685,246.	0.	0.	0.	0.	685,246.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
14 JAMES E. KLEMIS, M.D.	(i) 1,022,760.	0.	0.	0.	0.	1,022,760.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
15 FRANK A. MCGREW, M.D. RICHARD A. GORDON, 16 M.D.	(i) 839,710.	0.	0.	0.	0.	839,710.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
	(i) 683,303.	0.	0.	0.	0.	683,303.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.

Schedule J (Form 990) 2011

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 DANIEL OTTEN, M.D.	(i)	553,952.	0.	0.	0.	553,952.	0.
	(ii)	0.	0.	0.	0.	0.	0.
2	(i)						
	(ii)						
3	(i)						
	(ii)						
4	(i)						
	(ii)						
5	(i)						
	(ii)						
6	(i)						
	(ii)						
7	(i)						
	(ii)						
8	(i)						
	(ii)						
9	(i)						
	(ii)						
10	(i)						
	(ii)						
11	(i)						
	(ii)						
12	(i)						
	(ii)						
13	(i)						
	(ii)						
14	(i)						
	(ii)						
15	(i)						
	(ii)						
16	(i)						
	(ii)						

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Part I, Line 1a: THE OFFICERS RECEIVE A PERQUISITE ALLOWANCE WHICH IS

INCLUDED IN THEIR SALARIES.

Part I, Line 1b: THE PRESIDENT AND VICE PRESIDENTS RECEIVE A PERQUISITE

ALLOWANCE. THE ALLOWANCE IS INCLUDED IN THEIR SALARIES AND IS TAXABLE TO

THEM AS ADDITIONAL INCOME. THE ORGANIZATION ALSO HAS AN ACCOUNTABLE PLAN,

BUT A DISCRETIONARY SPENDING ACCOUNT IS NOT PART OF AN ACCOUNTABLE PLAN, IF

ANY OF THE OTHER ITEMS LISTED ON SCHEDULE J, PART I, LINE 1a WERE

APPLICABLE. THE RECIPIENTS WOULD BE REQUIRED TO FOLLOW THE ORGANIZATION'S

WRITTEN POLICY REGARDING PAYMENT OR REIMBURSEMENT.

Part I, Line 3: THE BOARD OF DIRECTORS OF BAPTIST MEMORIAL MEDICAL

GROUP, INC., THE SOLE MEMBER OF THE STERN CARDIOVASCULAR FOUNDATION,

APPROVES THE COMPENSATION OF THE OFFICERS.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2011
Open to Public
Inspection

Name of the organization

THE STERN CARDIOVASCULAR FOUNDATION, INC

Employer identification number

27-4396698

Form 990, Part I, Line 1, Description of Organization Mission:

HEALTH THROUGH THE PROVISION OF HEALTH CARE SERVICES AND ESTABLISH,

EQUIP AND MAINTAIN GEOGRAPHICALLY DISPERSED OUTPATIENT CLINIC LOCATIONS

AT WHICH SUCH SERVICES WILL BE PROVIDED.

FORM 990, PAGE 1, BOX B, EXPLANATION OF AMENDED RETURN

THE STERN CARDIOVASCULAR FOUNDATION, INC, IS FILING AN AMENDED RETURN

FOR THE PERIOD ENDING 9/30/12 TO FILE FORM 8594, ASSET ACQUISITION

STATEMENT, WHICH WAS MISTAKENLY OMITTED FROM THE ORIGINAL RETURN.

Form 990, Part III, Line 4a, Program Service Accomplishments:

THEREFORE, IN KEEPING WITH ITS COMMITMENT TO SERVE ALL MEMBERS OF ITS

COMMUNITY, THE STERN CARDIOVASCULAR FOUNDATION PROVIDES THE FOLLOWING:

--FREE CARE AND/OR SUBSIDIZED CARE WHERE THE NEED AND/OR AN

INDIVIDUAL'S INABILITY TO PAY COEXIST.

--CARE PROVIDED TO PERSONS COVERED BY GOVERNMENTAL PROGRAMS AT BELOW

COST, AND

--HEALTH ACTIVITIES AND PROGRAMS TO SUPPORT THE COMMUNITY

THESE ACTIVITIES INCLUDE WELLNESS PROGRAMS, COMMUNITY EDUCATION

PROGRAMS, SPECIAL PROGRAMS FOR THE ELDERLY, HANDICAPPED, MEDICALLY

UNDERSERVED, AND A VARIETY OF BROAD COMMUNITY SUPPORT ACTIVITIES.

Name of the organization	Employer identification number
THE STERN CARDIOVASCULAR FOUNDATION, INC	27-4396698

THE STERN CARDIOVASCULAR FOUNDATION HAS ALIGNED WITH BAPTIST MEMORIAL

HEALTH CARE CORPORATION AND NOW HAS 35 CARDIOLOGISTS AS A RESULT OF A

MERGER WITH MEMPHIS HEART CLINIC, KNOWN AS ONE OF THE LARGEST MEDICAL

RESEARCH AREAS IN THE COUNTRY, THE STERN CARDIOVASCULAR FOUNDATION IS

RECOGNIZED AS ONE OF THE LEADING CARDIOVASCULAR RESEARCH AND TREATMENT

CENTERS IN THE COUNTRY.

UTILIZING STATE-OF-THE-ART MEDICAL TECHNOLOGY, THE STERN CARDIOVASCULAR

FOUNDATION IS COMMITTED TO EXCELLENCE AND COMPASSION IN ITS TREATMENT

OF PATIENTS. SOME OF THE SERVICES PROVIDED:

IN-PATIENT SERVICES INCLUDE TESTS AND PROCEDURES TO DIAGNOSE AND TREAT

CARDIAC NEEDS SUCH AS CARDIAC CATHETERIZATION, PTCA-STENT,

ELECTROPHYSIOLOGY STUDY AND ABLATION.

OUT-PATIENT SERVICES INCLUDE CARDIAC WELLNESS EXAMS FOR WOMEN,

INTERVENTIONAL CARDIOLOGY, AND PACING AND ARRHYTHMIA MANAGEMENT.

THE STERN CARDIOVASCULAR FOUNDATION ALSO HAS CLINICS IN WHICH

DIAGNOSTIC TESTS ARE PERFORMED. PATIENTS MAY ALSO COME TO THE STERN

CARDIOVASCULAR FOUNDATION FOR FLU SHOTS AND IMMUNIZATIONS, WITHOUT AN

APPOINTMENT, THE STERN CARDIOVASCULAR FOUNDATION ALSO OFFERS SUCH

PROGRAMS AS THE LIPID, COUMADIN, AND PACEMAKER CLINICS TO HELP PATIENTS

BETTER UNDERSTAND AND MANAGE THEIR CARDIAC HEALTH.

PART V: STATEMENTS REGARDING OTHER IRS FILINGS & TAX COMPLIANCE:

LINE 7g:

THE ORGANIZATION DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED

132212
01-23-12

Name of the organization	Employer identification number
THE STERN CARDIOVASCULAR FOUNDATION, INC	27-4396698

INTELLECTUAL PROPERTY REQUIRING IT TO FILE A FORM 8899,

Line 7h:

THE ORGANIZATION DID NOT RECEIVE ANY CONTRIBUTIONS OF CARS, BOATS,

AIRPLANES, OR OTHER VEHICLES REQUIRING IT TO FILE A FORM 1098-C,

Form 990, Part VI, Section A, line 3: BAPTIST MEMORIAL HEALTH CARE

CORPORATION AS SOLE MEMBER OF BAPTIST MEMORIAL MEDICAL GROUP, INC., THE

SOLE MEMBER OF THE STERN CARDIOVASCULAR FOUNDATION, INC., PROVIDES CERTAIN

LEGAL, FINANCE, QUALITY, AND PERSONNEL SERVICES PURSUANT TO A SHARED

SERVICES AGREEMENT,

Form 990, Part VI, Section A, line 6: THE STERN CARDIOVASCULAR

FOUNDATION, INC, IS A NON-STOCK CORPORATION WHOSE SOLE MEMBER IS BAPTIST

MEMORIAL MEDICAL GROUP, INC, BAPTIST MEMORIAL HEALTH CARE CORPORATION IS

THE SOLE MEMBER OF BAPTIST MEMORIAL MEDICAL GROUP, INC,

Form 990, Part VI, Section A, line 7a: BAPTIST MEMORIAL MEDICAL GROUP,

INC., AS THE SOLE MEMBER OF THE STERN CARDIOVASCULAR FOUNDATION, INC,

ELECTS ITS BOARD OF DIRECTORS,

Form 990, Part VI, Section A, line 7b: BAPTIST MEMORIAL MEDICAL GROUP,

INC., AS THE SOLE MEMBER OF THE STERN CARDIOVASCULAR FOUNDATION, INC,

APPROVES THE BOARD OF DIRECTORS ACTIONS, BAPTIST MEMORIAL HEALTH CARE

CORPORATION, THE SOLE MEMBER OF BAPTIST MEMORIAL MEDICAL GROUP, INC.,

APPROVES THE ACTIONS OF BAPTIST MEMORIAL MEDICAL GROUP, INC, IN EXERCISING

ITS APPROVAL.

132212
01-23-12

Name of the organization	Employer identification number
THE STERN CARDIOVASCULAR FOUNDATION, INC	27-4396698

Form 990, Part VI, Section B, line 11: THE FORM 990 IS REVIEWED BY BAPTIST

MEMORIAL HEALTH CARE CORPORATION'S SR. V.P./CFO, THE V.P. OF CORPORATE

FINANCE, AND THE CFO OF THE STERN CARDIOVASCULAR FOUNDATION, INC. IN

ADDITION, THE FORM 990 IS REVIEWED ON A ROTATING BASIS OF EVERY THREE YEARS

BY AN OUTSIDE INDEPENDENT ACCOUNTING AND TAX FIRM.

THE FORM 990 HAS NOT BEEN REVIEWED BY THE BOARD OF DIRECTORS, HOWEVER,

BAPTIST MEMORIAL HEALTH CARE CORPORATION, AS SOLE MEMBER OF BAPTIST

MEMORIAL MEDICAL GROUP, INC., THE SOLE MEMBER OF THE STERN CARDIOVASCULAR

FOUNDATION, HAS A GOVERNANCE COMMITTEE THAT IS APPOINTED BY ITS BOARD OF

DIRECTORS. THE BAPTIST MEMORIAL HEALTH CARE CORPORATION GOVERNANCE

COMMITTEE CONSISTS OF THREE OR MORE MEMBERS ALL OF WHICH MAY OR MAY NOT BE

MEMBERS OF THE BOARD OF DIRECTORS. THE BAPTIST MEMORIAL HEALTH CARE

CORPORATION GOVERNANCE COMMITTEE WILL REVIEW THE FORM 990 AFTER SUBMITTING

IT TO THE IRS.

Form 990, Part VI, Section B, Line 12c: BAPTIST MEMORIAL MEDICAL GROUP,

INC., THE SOLE MEMBER OF THE STERN CARDIOVASCULAR FOUNDATION, INC. REQUIRES

THAT ALL EMPLOYEES, INCLUDING OFFICERS AND KEY EMPLOYEES, PERIODICALLY

COMPLETE A CERTIFICATION AND ACKNOWLEDGEMENT OF THE BAPTIST MEMORIAL HEALTH

CARE CORPORATION STANDARDS OF CONDUCT, WHICH INCORPORATES THE CONFLICT OF

INTEREST POLICY. BOARD MEMBERS DISCLOSE AND SIGN A CONFLICT OF INTEREST

STATEMENT EACH DECEMBER.

IN THE EVENT THAT AN EMPLOYEE OR BOARD MEMBER BECOMES AWARE OF A POTENTIAL

CONFLICT OF INTEREST, HE/SHE IS REQUIRED TO REPORT IT TO THEIR CHIEF

EXECUTIVE OFFICER BEFORE TAKING ANY ACTION. IF HE/SHE IS THE CHIEF

Name of the organization	Employer identification number
THE STERN CARDIOVASCULAR FOUNDATION, INC	27-4396698

EXECUTIVE OFFICER, THEN HE/SHE IS TO REPORT TO THE CHAIRMAN OF THE BOARD OF
DIRECTORS.

THE SIGNED CONFLICT OF INTEREST STATEMENTS ARE REVIEWED BY THE SENIOR V.P.
AND CORPORATE COUNSEL, AND ARE MAINTAINED IN THE BAPTIST MEMORIAL HEALTH
CARE CORPORATION LEGAL DEPARTMENT. IF A CONFLICT OF INTEREST IS FOUND TO
EXIST, IT WILL BE THE RESPONSIBILITY OF THE CEO, WITH THE INVOLVEMENT OF
THE BAPTIST MEMORIAL HEALTH CARE CORPORATION LEGAL DEPARTMENT, TO RESOLVE
THE ISSUE.

Form 990, Part VI, Section B, Line 15: BAPTIST MEMORIAL MEDICAL GROUP, THE
SOLE MEMBER, AND AN INDEPENDENT COMPENSATION CONSULTING FIRM PERFORM ANNUAL
REVIEWS EACH DECEMBER AND APPROVE COMPENSATION OF THE CEO AND OTHER TOP
MANAGEMENT PERSONNEL. THEY USE COMPARABILITY DATA AND OTHER SOURCES AS
NEEDED, THE CEO AND OTHER TOP MANAGEMENT USE THE SAME TYPE OF INFORMATION
TO APPROVE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES.

ON DECEMBER 13, 2010 THE COMPENSATION WAS REVIEWED AND APPROVED FOR THE
CALENDAR YEAR ENDING DECEMBER 31, 2011 FOR THE PRESIDENT, THE VICE
PRESIDENTS, AND THE CEO/ADMINISTRATOR.

Form 990, Part VI, Section C, Line 18: THE STERN CARDIOVASCULAR
FOUNDATION, INC. MAKES COPIES OF ITS FORMS 1023 AND 990 AVAILABLE FOR
PUBLIC INSPECTION TO ANYONE WHO REQUESTS THEM AS REQUIRED BY THE IRS.

Form 990, Part VI, Section C, Line 19: THE STERN CARDIOVASCULAR
FOUNDATION, INC. MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY
AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

Name of the organization	Employer identification number
THE STERN CARDIOVASCULAR FOUNDATION, INC	27-4396698

Form 990, Part VII Contact Addresses for Officers, Directors, Etc:

JASON M, LITTLE - 350 N, HUMPHREYS BLVD., MEMPHIS, TN 38120-2177

JAMES W, BOSWELL - 350 N, HUMPHREYS BLVD., MEMPHIS, TN 38120-2177

GREGORY M, DUCKETT - 350 N, HUMPHREYS BLVD., MEMPHIS, TN 38120-2177

PART VII, COL B:

ESTIMATE OF AVERAGE HOURS WORKED PER WEEK DEVOTED TO RELATED

ORGANIZATIONS:

GREGORY M, DUCKETT--39,8 HOURS

JASON M, LITTLE--39,8 HOURS

JIM BOSWELL--39,8 HOURS

Form 990, Part XI, line 5, Changes in Net Assets:

EQUITY CONTRIBUTION TO/FROM BAPTIST MEMORIAL MEDICAL GROUP,

INC, 3,609,368.

PART XII, LINE 2c: FINANCIAL STATEMENTS AND REPORTING:

BAPTIST MEMORIAL HEALTH CARE CORPORATION, AS SOLE MEMBER OF BAPTIST

MEMORIAL MEDICAL GROUP, INC., THE SOLE MEMBER OF THE STERN

CARDIOVASCULAR FOUNDATION, INC, HAS AN AUDIT COMMITTEE THAT CHOOSES THE

AUDIT FIRM, OVERSEES AND REVIEWS THE AUDIT REPORTS, AND THEN FOLLOWS UP

ON ANY NECESSARY CHANGES AND RECOMMENDATIONS. THE PROCESS HAS NOT

CHANGED FROM PRIOR YEARS.

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2011

Open to Public
Inspection

Name of the organization

THE STERN CARDIOVASCULAR FOUNDATION, INC.

Employer identification number

27-4396698

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
NORTHEAST ARKANSAS BAPTIST MEMORIAL HEALTH CARE, LLC - 81-0572898, 3024 STADIUM BLVD., JONESBORO, AR 72401	OPERATION OF BAPTIST MEMORIAL HOSPITAL-JONESBORO, INC., Arkansas	Arkansas			
NORTHEAST ARKANSAS BAPTIST MEMORIAL HEALTH SERVICES GROUP, LLC - 27-1471186, 3024 STADIUM BLVD., JONESBORO, AR 72401	OPERATE A PREFERRED PROVIDER ORGANIZATION Arkansas	Arkansas			

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
BAPTIST MEMORIAL HEALTH CARE SYSTEM, INC. - 58-1456556, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	CARRY OUT THE HEALTH CARE MISSIONS OF THE BAPTIST CONVENTIONS OF AR, MS, TN	Tennessee	501(c)(3)	509(a)(3)	N/A		X
BAPTIST MEMORIAL COLLEGE OF HEALTH SCIENCES, INC. - 62-1599670, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	EDUCATION OF HEALTH CARE PROFESSIONALS	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HOSPITAL, INC.		X
BAPTIST MEMORIAL HEALTH SERVICES, INC. - 62-1509127, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	PROVISION OF HEALTH CARE PROVIDERS & HOME MEDICAL EQUIPMENT	Tennessee	501(c)(3)	509(a)(2)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL, INC. - 62-0123940 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	HEALTH CARE/HOSPITAL	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2011

Part II Continuation of Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization?	
						Yes	No
MEDICAL FINANCIAL SERVICES, INC., - 62-1112364, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	COLLECTION AGENCY FOR BAPTIST FACILITIES	Tennessee	501(c)(3)	509(a)(3)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-BOONEVILLE, INC., - 64-0663760, 100 HOSPITAL ST., BOONEVILLE, MS 38829	HEALTH CARE/HOSPITAL	Mississippi	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-DESOTO, INC., - 64-0682111, 7601 SOUTHCREST PKWY, SOUTHAVEN, MS 38671	HEALTH CARE/HOSPITAL	Mississippi	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-GOLDEN TRIANGLE, INC., - 62-1519754, 2520 FIFTH ST., COLUMBUS, MS 39703	HEALTH CARE/HOSPITAL	Mississippi	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-HUNTINGDON, INC., - 62-1166050, 631 R.B. WILSON DR., HUNTINGDON, TN 38344	HEALTH CARE/HOSPITAL	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-NORTH MISSISSIPPI, INC., - 64-0772736, 2301 S. LAMAR, OXFORD, MS 38655	HEALTH CARE/HOSPITAL	Mississippi	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-TIPTON, INC., - 62-1113167, 1995 HWY 51 SOUTH, COVINGTON, TN 38019	HEALTH CARE/HOSPITAL	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-UNION CITY, INC., - 62-1138045, 1201 BISHOP ST., UNION CITY, TN 38261	HEALTH CARE/HOSPITAL	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-UNION COUNTY, INC., - - 63-0997281, 200 HWY 30 WEST, NEW ALBANY, MS 38652	HEALTH CARE/HOSPITAL	Mississippi	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL REGIONAL REHABILITATION SERVICES, INC., - 58-1645396, 2100 EXETER RD., GERMANTOWN, TN 38138	HEALTH CARE/HOSPITAL	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOME CARE, INC., - 58-1562973, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	HOME HEALTH CARE & HOSPICE SERVICES	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL MEDICAL GROUP, INC., - 62-1545731, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	PROVISION OF HEALTH CARE PROVIDERS FOR MS & TN FACILITIES	Tennessee	501(c)(3)	509(a)(2)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X

Part II Continuation of Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization?	
						Yes	No
BAPTIST MEMORIAL MEDICAL MIN. EMP. HLTH & WELFARE TRUST - 62-1407946, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	BAPTIST EMPLOYEE HEALTH PLAN	Tennessee	501(c)(9)		BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MINOR MEDICAL CENTERS, INC. - 62-1538114, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	NON-EMERGENCY MEDICAL CLINICS	Tennessee	501(c)(3)	509(a)(1)	BAPTIST MEMORIAL MEDICAL GROUP, INC.		X
BAPTIST MEMORIAL HEALTH CARE FOUNDATION, INC. - 58-1544781, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	SOLICIT, RAISE, MANAGE, APPLY & INVEST IN SUPPORT OF BAPTIST ENTITIES	Tennessee	501(c)(3)	509(a)(3)	BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
BAPTIST MEMORIAL HOSPITAL-JONESBORO, INC. - 26-1214372, 3024 STADIUM BLVD., JONESBORO, AR 72401	HEALTH CARE/HOSPITAL	Arkansas	501(c)(3)	509(a)(1)	NEA BAPTIST HEALTH SYSTEM, INC.		X
NEA BAPTIST HEALTH SYSTEM, INC. - 27-1799652 3024 STADIUM BLVD., JONESBORO, AR 72401	HEALTH CARE SERVICE PROVIDER	Arkansas	501 (c)(3)PENDIN		BAPTIST MEMORIAL HEALTH CARE CORPORATION		X
NEA CLINIC CHARITABLE FOUNDATION, INC. - 71-0850123, 3024 STADIUM BLVD., JONESBORO, AR 72401	HEALTH CARE SERVICE PROVIDER	Arkansas	501(c)(3)	509(a)(1)	NEA BAPTIST HEALTH SYSTEM, INC.		X
BAPTIST MEMORIAL HEALTH CARE CORPORATION - 58-1521475, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	MANAGEMENT, ADMINISTRATIVE & FINANCIAL SERVICES FOR ITS AFFILIATES	Tennessee	501(c)(3)	509(a)(3)	N/A		X
FAMILY CANCER CENTER FOUNDATION, INC. - 45-2842963, 6029 WALNUT GROVE RD., MEMPHIS, TN 38120	HEALTH CARE SERVICE PROVIDER	Tennessee	501 (c)(3)PENDIN		BAPTIST MEMORIAL MEDICAL GROUP, INC.		X
INTEGRITY ONCOLOGY FOUNDATION, INC. - 45-3303687, 6286 BRIARCREST AVE, STE 308, MEMPHIS, TN 38120	HEALTH CARE SERVICE PROVIDER	Tennessee	501 (c)(3)PENDIN		BAPTIST MEMORIAL MEDICAL GROUP, INC.		X
MEMPHIS LUNG PHYSICIANS FOUNDATION, INC. - 45-2832975, 6025 WALNUT GROVE RD., MEMPHIS, TN 38120	HEALTH CARE SERVICE PROVIDER	Tennessee	501 (c)(3)PENDIN		BAPTIST MEMORIAL MEDICAL GROUP, INC.		X
BOSTON BASKIN CANCER FOUNDATION, INC. - 45-3303607, 6029 WALNUT GROVE RD., MEMPHIS, TN 38120	HEALTH CARE SERVICE PROVIDER	Tennessee	501 (c)(3)PENDIN		BAPTIST MEMORIAL MEDICAL GROUP, INC.		X
BAPTIST CLINICAL RESEARCH INSTITUTE, INC. - 45-3032246, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	FACILITATE MEDICAL & SCIENTIFIC RESEARCH	Tennessee	501 (c)(3)PENDIN		BAPTIST MEMORIAL HEALTH CARE CORPORATION		X

Part II Continuation of Identification of Related Tax-Exempt Organizations

[illegible]

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?	(k) Percentage ownership
							Yes	No			
BAPTIST-DESOTO SUGERY CENTER - 20-0804946, 40 BURTON HILLS BLVD, STE 500, NASHVILLE, TN 37215	AMBULATORY SURGERY	MS							N/A		X
BAPTIST-EAST MEMPHIS SURGERY CENTER - 62-1846584, 80 HUMPHREYS CENTER #101, MEMPHIS, TN 38120	AMBULATORY SURGERY	TN					X		N/A		X
BAPTIST-GERMANTOWN SURGERY CENTER, L.P. - 62-1829424, 40 BURTON HILLS BLVD., STE 500, NASHVILLE, TN 37215	AMBULATORY SURGERY	TN					X		N/A		X
BAPTIST & PHYSICIANS O/P SURGERY CENTER OF N. MS - 62-0925692, 40 BURTON HILLS BLVD., STE 500, NASHVILLE, TN 37215	AMBULATORY SURGERY	MS					X		N/A		X

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
HEALTH TECH AFFILIATES, INC. - 62-1278576 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	BUYING & LEASING REAL & PERSONAL PROPERTY	TN	N/A	C CORP			
BAPTIST MEMORIAL HEALTH SERVICES GROUP OF THE MIDSOOTH, INC. - 62-1534210, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	HEALTH INSURANCE CONTRACTING	TN	N/A	C CORP			
SOUTHCREST PROPERTY OWNERS ASSOCIATION - 64-0768703 7601 SOUTHCREST PKWY SOUTHAVEN, MS 38671	BOOKKEEPING & DATA PROCESSING FOR SOUTHCREST BUS. PARK,	MS	N/A	C CORP			
GERMANTOWN BUSINESS PARK OWNERS ASSOCIATION - 20-1158216, 350 N. HUMPHREYS BLVD., MEMPHIS, TN 38120-2177	BOOKKEEPING & DATA PROCESSING FOR GERMANTOWN BUS. PARK	TN	N/A	C CORP			

Part III Continuation of Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?	(k) Percentage ownership
							Yes	No			
BAPTIST N. MS IMAGING SERVICES, LLC - 26-2641267, 504 AZALEA DR., OXFORD, MS 38655	DIAGNOSTIC SERVICES	MS									
EAST MEMPHIS UROLOGY CENTER, LP - 62-1810940, 40 BURTON HILLS BLVD, STE 500, NASHVILLE, TN 37215	AMBULATORY UROLOGICAL SERVICES	TN									
HAMILTON EYE INSTITUTE SURGERY CENTER, LP - 20-2873438, 930 MADISON AVE., MEMPHIS, TN 38103	AMBULATORY SURGERY	TN									
MEDICAL ALTERNATIVES - 62-1488427, 4565 SHELBY RD., MEMPHIS, TN 38083	HOME INFUSION PRODUCTS & SERVICES TO PATIENTS	TN									
MEMPHIS BIOMED VENTURES I, LP - 94-3424417, 17 W. PONTOTOC STE 200, MEMPHIS, TN 38103	MEDICAL RESEARCH	TN									
MEMPHIS SURGERY CENTER, LTD, LP - 62-1218330, 3000 RIVERCHASE GALLERIA STE 500, BIRMINGHAM, AL 35244	AMBULATORY SURGERY	TN									
MEMPHIS-SC, LLC - 62-1590322 3000 RIVERCHASE GALLERIA STE 500, BIRMINGHAM, AL 35244	AMBULATORY SURGERY	TN									
MEMPHIS-SP, LLC - 62-1590324 3000 RIVERCHASE GALLERIA STE 500, BIRMINGHAM, AL 35244	AMBULATORY SURGERY	TN									
MIDTOWN SURGERY CENTER, LP - 62-1619344, 40 BURTON HILLS BLVD, STE 500, NASHVILLE, TN 37215	AMBULATORY SURGERY	TN									

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	1a	1b	1c	1d	1e	1f	1g	1h	1i	1j	1k	1l	1m	1n	1o	1p	1q	1r
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity																		
b Gift, grant, or capital contribution to related organization(s)																		
c Gift, grant, or capital contribution from related organization(s)																		
d Loans or loan guarantees to or for related organization(s)																		
e Loans or loan guarantees by related organization(s)																		
f Sale of assets to related organization(s)																		
g Purchase of assets from related organization(s)																		
h Exchange of assets with related organization(s)																		
i Lease of facilities, equipment, or other assets to related organization(s)																		
j Lease of facilities, equipment, or other assets from related organization(s)																		
k Performance of services or membership or fundraising solicitations for related organization(s)																		
l Performance of services or membership or fundraising solicitations by related organization(s)																		
m Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)																		
n Sharing of paid employees with related organization(s)																		
o Reimbursement paid to related organization(s) for expenses																		
p Reimbursement paid by related organization(s) for expenses																		
q Other transfer of cash or property to related organization(s)																		
r Other transfer of cash or property from related organization(s)																		

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a-r)	(c) Amount involved	(d) Method of determining amount involved
(1) BAPTIST MEMORIAL MEDICAL GROUP, INC.	C	3,609,367 FMV	
(2) BAPTIST MEMORIAL HEALTH CARE CORPORATION	E	9,741,694 FMV	
(3)			
(4)			
(5)			
(6)			

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

Part III Identification of Related Organizations Taxable as Partnership:

Name, Address, and EIN of Related Organization:

BAPTIST & PHYSICIANS O/P SURGERY CENTER OF N, MS

EIN: 62-0925692

40 BURTON HILLS BLVD, STE 500

NASHVILLE, TN 37215

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	BAPTIST MEMORIAL MEDICAL GROUP, INC.	62-1545731
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	350 N. HUMPHREYS BLVD.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	MEMPHIS, TN 38120	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

KIMBERLY YOUNG, CFO

- The books are in the care of ► 350 N. HUMPHREYS BLVD., - MEMPHIS, TN 38120
Telephone No. ► 901-227-4080 FAX No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until May 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year or
► ☒ tax year beginning OCT 1, 2012, and ending SEP 30, 2013

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2013)