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Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2011**

Department of the Treasury  
Internal Revenue Service

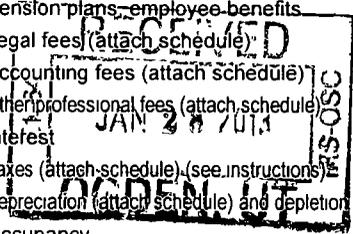
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

**For calendar year 2011 or tax year beginning 10/01/11, and ending 09/30/12**

Name of foundation <b>ROBERT J. NOLAN FOUNDATION, INC.</b>		A Employer identification number <b>22-2826285</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>C/O RICHARD O'CONNOR 5 CHELSEA PL.</b>	Room/suite	B Telephone number (see instructions) <b>518-792-6009</b>
City or town, state, and ZIP code <b>QUEENSBURY NY 12804</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change <input type="checkbox"/>		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 831,598</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2	2	2	
	4 Dividends and interest from securities	20,942	20,942	20,942	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	13,611			
	b Gross sales price for all assets on line 6a <b>347,288</b>				
	7 Capital gain net income (from Part IV, line 2)		13,611		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total.</b> Add lines 1 through 11	<b>34,555</b>	<b>34,555</b>	<b>20,944</b>		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages	3,500			3,500
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) <b>Stmt 1</b>	1,124			1,124
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) <b>Stmt 2</b>	1,235			320
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) <b>Stmt 3</b>	10,211	9,068		876
	24 <b>Total operating and administrative expenses</b> Add lines 13 through 23	<b>16,070</b>	<b>9,068</b>	0	<b>5,820</b>
	25 Contributions, gifts, grants paid	42,500			42,500
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	<b>58,570</b>	<b>9,068</b>	0	<b>48,320</b>	
27 Subtract line 26 from line 12					
a <b>Excess of revenue over expenses and disbursements</b>	<b>-24,015</b>				
b <b>Net investment income</b> (if negative, enter -0-)		<b>25,487</b>			
c <b>Adjusted net income</b> (if negative, enter -0-)			<b>20,944</b>		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		1,177	763	763
	2	Savings and temporary cash investments		8,033	10,524	10,524
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule) Stmt 4		50,481		
	b	Investments—corporate stock (attach schedule) See Stmt 5		491,631	480,294	591,973
	c	Investments—corporate bonds (attach schedule) See Stmt 6		180,261	215,644	228,338
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
15	Other assets (describe ▶ )					
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		731,583	707,225	831,598	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ See Statement 7 )		750	407	
	23	<b>Total liabilities</b> (add lines 17 through 22)		750	407	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		730,833	706,818	
30	<b>Total net assets or fund balances</b> (see instructions)		730,833	706,818		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)		731,583	707,225		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 730,833
2	Enter amount from Part I, line 27a	2 -24,015
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 706,818
5	Decreases not included in line 2 (itemize) ▶	5
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6 706,818

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a See Worksheet</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		<b>2</b>	<b>13,611</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]		<b>3</b>	<b>-10,367</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	57,702	813,396	0.070940
2009	55,440	812,343	0.068247
2008	45,602	741,057	0.061536
2007	33,009	911,235	0.036224
2006	15,915	934,906	0.017023

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>0.253970</b>
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>0.050794</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	<b>807,183</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>41,000</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>255</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>41,255</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	<b>48,320</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>255</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>255</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	<b>255</b>
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2011 estimated tax payments and 2010 overpayment credited to 2011	<b>6a</b>	<b>520</b>
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	<b>520</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	<b>265</b>
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2012 estimated tax</b> <b>265</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>NY</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>DOES NOT HAVE A WEB SITE</b>	13	X	
14	The books are in care of ► <b>RICHARD O'CONNOR</b> <b>5 CHELSEA PLACE</b> Located at ► <b>QUEENSBURY</b> NY ZIP+4 ► <b>12804</b> Telephone no ► <b>518-792-6009</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	N/A	1c
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	N/A	2b
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011 )	N/A	3b
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes?</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here</p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?</p> <p><b>b</b> If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>N/A</b> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>N/A</b> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>N/A</b></p>	<p><b>5b</b></p> <p><b>6b</b></p> <p><b>7b</b></p>	<p><b>X</b></p>
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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	

Total. Add lines 1 through 3 ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>794,389</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>25,086</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>819,475</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>819,475</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>12,292</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>807,183</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>40,359</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>40,359</b>
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	<b>255</b>
<b>b</b>	Income tax for 2011 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>255</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>40,104</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>40,104</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>40,104</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	<b>48,320</b>
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>48,320</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>255</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>48,065</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				<b>40,104</b>
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008				
<b>d</b> From 2009				
<b>e</b> From 2010				<b>10,462</b>
<b>f</b> Total of lines 3a through e	<b>10,462</b>			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ <b>48,320</b>				
<b>a</b> Applied to 2010, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2011 distributable amount				<b>40,104</b>
<b>e</b> Remaining amount distributed out of corpus	<b>8,216</b>			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>18,678</b>			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	<b>18,678</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				<b>10,462</b>
<b>e</b> Excess from 2011				<b>8,216</b>

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<p><b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling <span style="float: right;">▶</span></p>					
<p><b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)</p>					
<p><b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed</p>	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**N/A**
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
- a** The name, address, and telephone number of the person to whom applications should be addressed  
**THE ROBERT J NOLAN FOUNDATION, INC 518-792-6009  
C/O RICHARD O'CONNOR, 5 CHELSEA PLA QUEENSBURY NY 12804**
- b** The form in which applications should be submitted and information and materials they should include  
**See Statement 9**
- c** Any submission deadlines  
**See Statement 10**
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**See Statement 11**

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year <b>See Statement 12</b>				<b>42,500</b>
<b>Total</b>			▶ <b>3a</b>	<b>42,500</b>
b Approved for future payment <b>N/A</b>				
<b>Total</b>			▶ <b>3b</b>	





**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name **ROBERT J. NOLAN FOUNDATION, INC.** Employer Identification Number **22-2826285**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 40 SHS ACE LTD	P	03/16/10	10/19/11
(2) 50 SHS THERMO FISHER SCIENTIFIC INC	P	03/16/10	10/19/11
(3) 245 SHS AT&T INC	P	06/22/09	11/03/11
(4) 50 SHS ABBOTT LABS CO	P	08/24/10	11/03/11
(5) 10 SHS COLGATE PALMOLIVE CO	P	03/01/10	11/03/11
(6) 70 SHS GILEAD SCIENCES INC	P	06/02/11	11/03/11
(7) 20 SHS PROCTOR & GAMBLE CO	P	03/01/10	11/03/11
(8) 160 SHS THERMO FISHER SCIENTIFIC INC	P	06/02/11	11/03/11
(9) 60 SHS UNITEDHEALTH GROUP INC	P	09/15/10	11/03/11
(10) 110 SHS AMERICAN EXPRESS CO	P	03/01/10	12/01/11
(11) 280 SHS UNITEDHEALTH GROUP INC	P	07/26/11	12/01/11
(12) 10 SHS ACE LTD	P	03/16/10	12/05/11
(13) 10 SHS CHECK POINT SOFTWARE TECH LTD	P	07/26/11	12/05/11
(14) 10 SHS ABBOTT LABS CO	P	05/27/10	12/05/11
(15) 10 SHS AMERICAN EXPRESS CO	P	11/05/02	12/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,634		2,046	588
(2) 2,592		2,880	-288
(3) 7,188		6,986	202
(4) 2,685		2,460	225
(5) 883		838	45
(6) 2,861		2,896	-35
(7) 1,265		1,269	-4
(8) 7,996		7,850	146
(9) 2,767		2,051	716
(10) 5,251		3,791	1,460
(11) 13,650		11,483	2,167
(12) 687		511	176
(13) 550		589	-39
(14) 540		477	63
(15) 484		319	165

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than 0-) OR Losses (from col (h))
(1)			588
(2)			-288
(3)			202
(4)			225
(5)			45
(6)			-35
(7)			-4
(8)			146
(9)			716
(10)			1,460
(11)			2,167
(12)			176
(13)			-39
(14)			63
(15)			165

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**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name **ROBERT J. NOLAN FOUNDATION, INC.** Employer Identification Number **22-2826285**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 10 SHS AMERICAN TOWER CORP CL A	P	11/03/11	12/05/11
(2) 10 SHS APACHE CORPORATION	P	11/05/02	12/05/11
(3) 10 SHS CAPITAL ONE FINANCIAL CORP	P	05/09/11	12/05/11
(4) 10 SHS CELGENE CORP	P	11/03/11	12/05/11
(5) 10 SHS CHEVRON CORPORATION	P	10/09/07	12/05/11
(6) 30 SHS CISCO SYSTEMS INC	P	10/09/07	12/05/11
(7) 10 SHS COLGATE PALMOLIVE CO	P	01/27/09	12/05/11
(8) 10 SHS DANAHER CORP SHS BEN INT	P	04/26/11	12/05/11
(9) 10 SHS DIAGEO PLC ADR	P	06/27/08	12/05/11
(10) 20 SHS WALT DISNEY CO	P	03/01/10	12/05/11
(11) 30 SHS EMC CORPORATION/MASS	P	10/09/07	12/05/11
(12) 10 SHS ECOLAB INC	P	05/05/10	12/05/11
(13) 20 SHS EXELON CORP	P	01/11/10	12/05/11
(14) 10 SHS EXXON MOBIL CORP	P	01/27/09	12/05/11
(15) 10 SHS GILEAD SCIENCES INC	P	06/02/11	12/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 590		575	15
(2) 965		261	704
(3) 464		535	-71
(4) 611		644	-33
(5) 1,022		929	93
(6) 560		993	-433
(7) 901		624	277
(8) 472		540	-68
(9) 844		728	116
(10) 735		629	106
(11) 703		657	46
(12) 550		486	64
(13) 870		973	-103
(14) 799		786	13
(15) 399		414	-15

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than 0-) or Losses (from col (h))
(1)			15
(2)			704
(3)			-71
(4)			-33
(5)			93
(6)			-433
(7)			277
(8)			-68
(9)			116
(10)			106
(11)			46
(12)			64
(13)			-103
(14)			13
(15)			-15

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**ROBERT J. NOLAN FOUNDATION, INC.**

**22-2826285**

(a) List and describe the kind(s) of property sold e.g., real estate, 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 20 SHS HOME DEPOT INC	P	03/01/10	12/05/11
(2) 10 SHS ILLINOIS TOOL WKS INC	P	03/01/10	12/05/11
(3) 10 SHS INTL BUSINESS MACHINES CORP	P	04/26/11	12/05/11
(4) 10 SHS JOHNSON & JOHNSON CO	P	03/16/10	12/05/11
(5) 20 SHS MARATHON OIL CORP	P	07/06/11	12/05/11
(6) 20 SHS MERCK & CO INC NEW	P	12/01/11	12/05/11
(7) 20 SHS MICROSOFT CORPORATION	P	08/05/99	12/05/11
(8) 20 SHS ORACLE CORPORATION	P	01/24/11	12/05/11
(9) 10 SHS PROCTOR & GAMBLE CO	P	11/15/10	12/05/11
(10) 10 SHS QUALCOMM INCORPORATED	P	11/08/10	12/05/11
(11) 10 SHS STARBUCKS CORP	P	09/08/11	12/05/11
(12) 10 SHS TARGET CORP	P	12/03/10	12/05/11
(13) 10 SHS 3M CO	P	02/18/10	12/05/11
(14) 20 SHS US BANCORP DEL NEW	P	08/27/08	12/05/11
(15) 10 SHS UNION PACIFIC CORP	P	11/03/11	12/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 801		629	172
(2) 467		461	6
(3) 1,908		1,684	224
(4) 629		645	-16
(5) 565		667	-102
(6) 702		719	-17
(7) 512		859	-347
(8) 634		648	-14
(9) 645		643	2
(10) 541		477	64
(11) 440		390	50
(12) 530		589	-59
(13) 806		807	-1
(14) 515		617	-102
(15) 1,037		1,012	25

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than 0-) OR Losses (from col (h))
(1)			172
(2)			6
(3)			224
(4)			-16
(5)			-102
(6)			-17
(7)			-347
(8)			-14
(9)			2
(10)			64
(11)			50
(12)			-59
(13)			-1
(14)			-102
(15)			25

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name **ROBERT J. NOLAN FOUNDATION, INC.** Employer Identification Number **22-2826285**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 10 SHS UNITED TECHNOLOGIES	P	03/01/10	12/05/11
(2) 30 SHS VALERO ENERGY CORP	P	04/25/11	12/05/11
(3) 10 SHS VERIZON COMMUNICATIONS	P	08/24/10	12/05/11
(4) 20 SHS ACE LTD	P	03/16/10	12/16/11
(5) 30 SHS AMERICAN EXPRESS CO	P	11/05/02	12/16/11
(6) 30 SHS APACHE CORPORATION	P	11/05/02	12/16/11
(7) 30 SHS CAPITAL ONE FINANCIAL CORP	P	05/09/11	12/16/11
(8) 130 SHS VALERO ENERGY CORP	P	04/25/11	12/16/11
(9) 60 SHS WELLS FARGO & CO NEW	P	03/16/10	12/16/11
(10) 160 SHS EXELON CORP	P	01/11/10	01/09/12
(11) 280 SHS TARGET CORP	P	04/26/11	01/09/12
(12) 80 SHS UNITED TECHNOLOGIES	P	03/01/10	01/09/12
(13) 420 SHS VALERO ENERGY CORP	P	07/26/11	01/09/12
(14) 30 SHS DIAGEO ADR	P	06/27/08	01/24/12
(15) 140 SHS EXELON CORP	P	09/08/11	01/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 761		696	65
(2) 677		867	-190
(3) 380		296	84
(4) 1,342		941	401
(5) 1,416		956	460
(6) 2,691		783	1,908
(7) 1,310		1,592	-282
(8) 2,674		3,755	-1,081
(9) 1,554		1,787	-233
(10) 6,603		7,698	-1,095
(11) 13,607		15,683	-2,076
(12) 5,924		4,275	1,649
(13) 8,311		11,615	-3,304
(14) 2,631		2,184	447
(15) 5,518		5,850	-332

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			65
(2)			-190
(3)			84
(4)			401
(5)			460
(6)			1,908
(7)			-282
(8)			-1,081
(9)			-233
(10)			-1,095
(11)			-2,076
(12)			1,649
(13)			-3,304
(14)			447
(15)			-332

**Capital Gains and Losses for Tax on Investment Income**

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**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**ROBERT J. NOLAN FOUNDATION, INC.**

**22-2826285**

(a) List and describe the kind(s) of property sold. e.g., real estate 2-story brick warehouse, or common stock 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 20 SHS DIAGEO PLC ADR	P	06/27/08	02/06/12
(2) 90 SHS ECOLAB INC	P	05/05/10	02/06/12
(3) 30 SHS PROCTOR & GAMBLE	P	11/16/10	02/06/12
(4) 10 SHS COLGATE PALMOLIVE CO	P	01/27/09	02/16/12
(5) 20 SHS PROCTOR & GAMBLE CO	P	11/16/10	02/16/12
(6) 50 SHS ABBOTT LABS CO	P	05/27/10	02/16/12
(7) 30 SHS CHEVRON CORPORATION	P	01/24/11	02/16/12
(8) 40 SHS JOHNSON & JOHNSON CO	P	03/16/10	02/16/12
(9) US TREASURY NOTE PROCEEDS	P	02/27/07	02/29/12
(10) 120 SHS AMERICAN TOWER CORP	P	11/03/11	03/23/12
(11) 170 SHS HEWLETT PACKARD CO	P	10/12/10	03/23/12
(12) 90 SHS MARATHON OIL CORP	P	07/26/11	03/23/12
(13) 120 SHS ORACLE CORPORATION	P	01/24/11	03/23/12
(14) 140 SHS HEWLETT PACKARD CO	P	09/08/11	03/26/12
(15) 110 SHS ORACLE CORPORATION	P	01/24/11	03/26/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,859		1,456	403
(2) 5,521		4,376	1,145
(3) 1,898		1,911	-13
(4) 930		624	306
(5) 1,299		1,266	33
(6) 2,778		2,383	395
(7) 3,164		2,812	352
(8) 2,598		2,487	111
(9) 50,000		50,480	-480
(10) 7,408		6,905	503
(11) 3,990		6,580	-2,590
(12) 2,924		2,980	-56
(13) 3,437		3,887	-450
(14) 3,315		4,318	-1,003
(15) 3,175		3,503	-328

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			403
(2)			1,145
(3)			-13
(4)			306
(5)			33
(6)			395
(7)			352
(8)			111
(9)			-480
(10)			503
(11)			-2,590
(12)			-56
(13)			-450
(14)			-1,003
(15)			-328

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning

**10/01/11**, and ending **09/30/12**

Name

Employer Identification Number

**ROBERT J. NOLAN FOUNDATION, INC.**

**22-2826285**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 60 SHS CAPITAL ONE FINANCIAL CORP	P	03/29/11	04/12/12
(2) 20 SHS EXXON MOBIL CORP	P	06/22/09	04/12/12
(3) 10 SHS 3M CO	P	02/18/10	04/12/12
(4) 50 SHS J P MORGAN CHASE & CO	P	03/30/07	05/02/12
(5) 150 SHS METLIFE INC	P	02/16/12	05/02/12
(6) 130 SHS SYSCO CORP	P	07/26/11	05/02/12
(7) 110 SHS SYSCO CORP	P	07/26/11	05/04/12
(8) 30 SHS HOME DEPOT INC	P	04/25/11	05/10/12
(9) 110 SHS MARATHON OIL CORP	P	07/26/11	05/10/12
(10) 60 SHS STARBUCKS CORP	P	01/09/12	05/10/12
(11) 290 SHS INVESCO LTD	P	03/23/12	05/29/12
(12) 110 SHS MARATHON OIL CORP	P	07/26/11	05/29/12
(13) 90 SHS UNITED TECHNOLOGIES	P	01/27/09	05/29/12
(14) 100 SHS PNC BANK CORP	P	01/09/12	06/15/12
(15) 50 SHS STARBUCKS CORP	P	09/08/11	06/15/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 3,257		3,084	173
(2) 1,662		1,386	276
(3) 865		807	58
(4) 2,157		2,418	-261
(5) 5,351		5,759	-408
(6) 3,733		4,066	-333
(7) 3,106		3,441	-335
(8) 1,515		1,125	390
(9) 2,954		3,562	-608
(10) 3,272		2,795	477
(11) 6,330		7,612	-1,282
(12) 2,774		3,443	-669
(13) 6,713		4,473	2,240
(14) 5,831		5,938	-107
(15) 2,609		1,949	660

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			173
(2)			276
(3)			58
(4)			-261
(5)			-408
(6)			-333
(7)			-335
(8)			390
(9)			-608
(10)			477
(11)			-1,282
(12)			-669
(13)			2,240
(14)			-107
(15)			660

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name **ROBERT J. NOLAN FOUNDATION, INC.** Employer Identification Number **22-2826285**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 40 SHS YUM BRANDS INC	P	09/29/08	05/16/12
(2) 240 SHS MARATHON OIL CORP	P	01/09/12	07/09/12
(3) 160 SHS PROCTER & GAMBLE CO	P	05/06/11	07/09/12
(4) 130 SHS APACHE CORPORATION	P	02/16/12	07/13/12
(5) 180 SHS NETAPP INC	P	03/26/12	07/13/12
(6) 50 SHS STARBUCKS CORP	P	09/08/11	07/13/12
(7) 40 SHS YUM BRANDS INC	P	09/29/08	07/13/12
(8) 15 SHS ENGILITY HOLDINGS INC	P	03/02/11	08/03/12
(9) 50 SHS GILEAD SCIENCES INC	P	06/02/11	08/03/12
(10) 40 SHS DIAGEO PLC ADR	P	06/02/11	08/22/12
(11) 50 SHS HOME DEPOT INC	P	04/25/11	08/22/12
(12) 90 SHS L-3 COMMUNICATIONS HLDGS INC	P	03/02/11	08/22/12
(13) 20 SHS ABBOTT LABS CO	P	07/14/11	09/13/12
(14) 30 SHS COLGATE PALMOLIVE CO	P	04/21/11	09/13/12
(15) 40 SHS ECOLAB INC	P	06/15/11	09/13/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,582		1,278	1,304
(2) 5,851		6,912	-1,061
(3) 9,841		7,704	2,137
(4) 10,807		9,759	1,048
(5) 5,149		8,229	-3,080
(6) 2,644		1,949	695
(7) 2,566		1,278	1,288
(8) 232		278	-46
(9) 2,905		2,065	840
(10) 4,253		3,092	1,161
(11) 2,834		1,513	1,321
(12) 6,261		6,723	-462
(13) 1,359		1,064	295
(14) 3,113		2,426	687
(15) 2,583		2,198	385

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			1,304
(2)			-1,061
(3)			2,137
(4)			1,048
(5)			-3,080
(6)			695
(7)			1,288
(8)			-46
(9)			840
(10)			1,161
(11)			1,321
(12)			-462
(13)			295
(14)			687
(15)			385

## Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

**2011**

For calendar year 2011, or tax year beginning **10/01/11**, and ending **09/30/12**

Name <b>ROBERT J. NOLAN FOUNDATION, INC.</b>	Employer Identification Number <b>22-2826285</b>
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(a) List and describe the kind(s) of property sold e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) <b>30 SHS MERCK &amp; CO INC NEW</b>	<b>P</b>	<b>04/12/12</b>	<b>09/13/12</b>
(2) <b>20 SHS NEXTERA ENERGY INC</b>	<b>P</b>	<b>05/10/12</b>	<b>09/13/12</b>
(3) <b>30 SHS VERIZON COMMUNICATIONS</b>	<b>P</b>	<b>08/24/10</b>	<b>09/13/12</b>
(4) <b>CAPITAL GAIN DISTRIBUTIONS</b>			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) <b>1,321</b>		(1) <b>1,150</b>	(1) <b>171</b>
(2) <b>1,353</b>		(2) <b>1,300</b>	(2) <b>53</b>
(3) <b>1,354</b>		(3) <b>888</b>	(3) <b>466</b>
(4) <b>5,206</b>			(4) <b>5,206</b>
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
			(1) <b>171</b>
			(2) <b>53</b>
			(3) <b>466</b>
			(4) <b>5,206</b>
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

**Federal Statements**

**Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEES PAID FOR PREPARATION OF 990	\$ 1,124	\$	\$	\$ 1,124
Total	\$ 1,124	\$ 0	\$ 0	\$ 1,124

**Statement 2 - Form 990-PF, Part I, Line 18 - Taxes**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PAYROLL TAXES	\$ 320	\$	\$	\$ 320
FORM 990 - PF	915			
Total	\$ 1,235	\$ 0	\$ 0	\$ 320

**Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
BANK INVESTMENT FEES	9,068	9,068		100
FILING FEES	100			776
INSURANCE	776			
OFFICE EXPENSE	267			
Total	\$ 10,211	\$ 9,068	\$ 0	\$ 876

**Federal Statements**

**Statement 4 - Form 990-PF, Part II, Line 10a - US and State Government Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
	\$ 50,481	\$	Cost	\$
Total	\$ 50,481	\$ 0		\$ 0

**Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
	\$ 491,631	\$ 480,294	Cost	\$ 591,973
Total	\$ 491,631	\$ 480,294		\$ 591,973

**Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
	\$ 180,261	\$ 215,644	Cost	\$ 228,338
Total	\$ 180,261	\$ 215,644		\$ 228,338

22-2826285

**Federal Statements**

FYE 9/30/2012

**Statement 7 - Form 990-PF, Part II, Line 22 - Other Liabilities**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>
PAYROLL TAXES PAYABLE	\$ 750	\$ 407
Total	<u>\$ 750</u>	<u>\$ 407</u>

## Federal Statements

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
RICHARD O'CONNOR 5 CHELSEA PLACE QUEENSBURY NY 12804	PRESIDENT	2.00	0	0	0
LISA BUSHMAN 82 GLENWOOD AVENUE QUEENSBURY NY 12804	SECRETARY	2.00	0	0	0
MICHAEL BORGOS 82 GLENWOOD AVE QUEENSBURY NY 12804	V. PRESIDENT	2.00	0	0	0
JUDITH BULOVA 21 PINION PINE LANE QUEENSBURY NY 12804	DIRECTOR	2.00	0	0	0
JUDY DEE 40 BAYBRIDGE DRIVE QUEENSBURY NY 12804	V. PRES/TREA	2.00	0	0	0
DAVE MORNHINWEG 422 ROBERT DRIVE BALLSTON SPA NY 12020	DIRECTOR	2.00	0	0	0
SUZANNE NOLAN 4 VIA VITTORIO PL HENDERSON NV 89011	DIRECTOR	2.00	0	0	0
JOHN C. MANNIX JR. 198 CHURCH STREET SARATOGA SPRINGS NY 12866	DIRECTOR	2.00	0	0	0

**Federal Statements****Statement 9 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents****Description**

THE DIRECTORS OF THE FOUNDATION USE THE FOLLOWING CRITERIA IN SELECTING RECIPIENTS: 1. EDUCATIONAL ACHIEVEMENT; 2. PARTICIPATION IN HIGH SCHOOL VARSITY ATHLETIC TEAMS; 3. EXTRACURRICULAR SCHOOL-RELATED ACTIVITIES; 4. CIVIC ACTIVITIES; 5. RELIGIOUS ORGANIZATION PARTICIPATION AND 6. FINANCIAL NEED. EACH STUDENT WILL SUBMIT AN APPLICATION FOR CONSIDERATION (SEE ATTACHED).

**Statement 10 - Form 990-PF, Part XV, Line 2c - Submission Deadlines****Description**

ALL APPLICATIONS ARE DUE BY MAY. THE FOUNDATION WILL PUBLICIZE THE EXISTENCE OF THE SCHOLARSHIP PROGRAM BY SENDING ANNOUNCEMENTS TO THE GUIDANCE DEPARTMENT OF AREA SCHOOLS TO BE READ AT CLUB MEETINGS AND VARSITY SPORTS PRACTICES.

**Statement 11 - Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations****Description**

THE ROBERT J NOLAN FOUNDATION, INC. WILL AWARD SCHOLARSHIPS TO INDIVIDUALS ATTENDING SECONDARY SCHOOLS WHO ARE FROM THE GREATER GLENS FALLS AREA. SCHOLARSHIPS ARE AWARDED TO THE WELL-BALANCED STUDENT WITH A SPECIAL EMPHASIS ON PARTICIPATION IN VARSITY ATHLETIC TEAMS AND THE EXPRESSED INTENTION OF PARTICIPATION IN ORGANIZED ATHLETIC TEAMS AND ENDEAVORS AT THE UNDERGRADUATE AND/OR GRADUATE LEVEL.

## Federal Statements

22-2826285

FYE: 9/30/2012

Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year

Name	Address	Relationship	Status	Purpose	Amount
SAMANTHA DISTLER	GLENS FALLS NY 12801	20 ARLINGTON ST	NONE	SCHOLARSHIP	2,500
SUZETTE CARPENTER	FORT EDWARD NY 12828	2 FREDERICK DRIVE	NONE	SCHOLARSHIP	2,500
MIKAYLA CONSALVO	FORT EDWARD NY 12828	7 WILLIAM STREET	NONE	SCHOLARSHIP	2,500
PETER GABROIS	QUEENSBURY NY 12804	91 SUNSET LANE	NONE	SCHOLARSHIP	5,000
STEVEN WOOD	SO. GLENS FALLS NY 12803	62 HARRISON AVE	NONE	SCHOLARSHIP	2,500
KAYCIE LANE	QUEENSBURY NY 12804	8 SUNSET DRIVE	NONE	SCHOLARSHIP	5,000
DANIELE MARINO	GLENS FALLS NY 12801	31 SAGAMORE STREET	NONE	SCHOLARSHIP	5,000
KELLY FLAHERTY	QUEENSBURY NY 12804	51 SARA JEN DR.	NONE	SCHOLARSHIP	5,000
PAULINE SEERLES	GLENS FALLS NY 12801	73 HUNTER ST.	NONE	SCHOLARSHIP	5,000
CARLY WYN	QUEENSBURY NY 12804	4 ISLAND DR.	NONE	SCHOLARSHIP	2,500
PETER GRYG	QUEENSBURY NY 12804	21D HILAND SPRINGS WAY	NONE	SCHOLARSHIP	2,500
AILLEN DALEY	QUEENSBURY NY 12804	1 CRESTWOOD DRIVE	NONE	SCHOLARSHIP	2,500
Total					<u>42,500</u>

22-2826285

**Federal Statements**

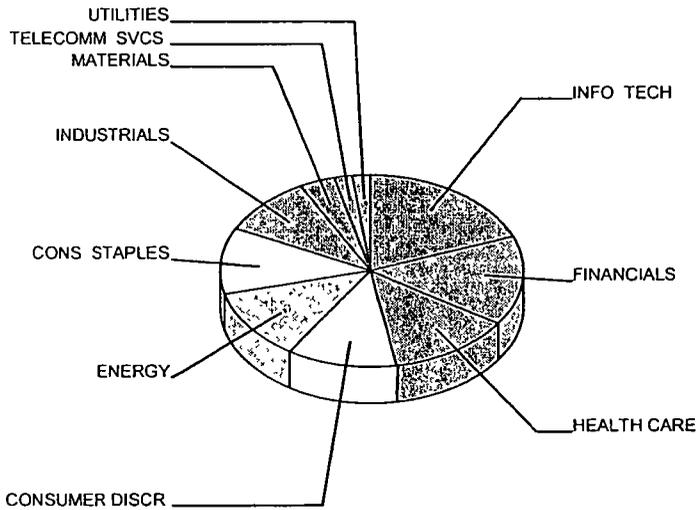
FYE: 9/30/2012

**Taxable Dividends from Securities**

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>	<u>US Obs (\$ or %)</u>
DIVIDEND INCOME	\$ 19,786				
INTEREST INCOME	1,156				
Total	<u>\$ 20,942</u>				

**Common Stock Diversification Summary**

Investment Objective: Growth/Income



Industry Sector	Market Value	Percent
Consumer Discr.	70,599.60	11.9%
Cons. Staples	63,056.60	10.7%
Energy	70,154.75	11.9%
Financials	88,018.50	14.9%
Health Care	78,624.55	13.3%
Industrials	59,295.50	10.0%
Info. Tech.	113,451.00	19.2%
Materials	21,739.00	3.7%
Telecomm. Svcs.	13,671.00	2.3%
Utilities	13,362.70	2.3%
<b>Total</b>	<b>591,973.20</b>	<b>100.0%</b>

**Equity Investments**

Description	Shares	Total Market Total Cost	Market Price Cost Price	Est Ann Inc	Current Yield
<b>Consumer Discretionary</b>					
Bed Bath & Beyond Inc	170.000	10,710.00 10,887.87	63.00 64.05		
Comcast Corp New Cl A	280.000	10,008.60 9,121.50	35.75 32.58	182	1.82
Home Depot Inc	300.000	18,111.00 7,465.39	60.37 24.89	348	1.92
Starbucks Corp	140.000	7,099.40 5,457.96	50.71 38.99	95	1.34
Walt Disney Co	345.000	18,036.60 10,899.51	52.28 31.59	207	1.15
Yum Brands Inc	100.000	6,634.00 4,136.89	66.34 41.37	134	2.02
<b>Total Consumer Discretionary</b>		<b>70,599.60 47,969.12</b>		<b>966</b>	

**Equity Investments**

Description	Shares	Total Market Total Cost	Market Price Cost Price	Est Ann Inc	Current Yield
<b>Consumer Staples</b>					
Archer Daniels Midland Co	330 000	8,969 40 11,692 08	27 18 35 43	231	2 58
Coca Cola Co	400 000	15,172 00 15,398 31	37 93 38 50	408	2 69
Colgate Palmolive Co	140.000	15,010 80 10,691 20	107.22 76.37	347	2 31
Diageo PLC ADR	80.000	9,018 40 4,573 12	112.73 57.16	220	2 45
Kraft Foods Inc	360.000	14,886 00 14,230 03	41 35 39 53	417	2 81
<b>Total Consumer Staples</b>		<b>63,056.60</b> <b>56,584.74</b>		<b>1,623</b>	
<b>Energy</b>					
Chevron Corporation	155.000	18,066 80 12,221 18	116.56 78 85	558	3 09
Conocophillips	160 000	9,148.80 8,800.26	57 18 55 00	422	4 62
Exxon Mobil Corp	205 000	18,747 25 10,955 50	91 45 53 44	467	2 49
Spectra Energy Corp	350 000	10,276 00 10,474 77	29 36 29.93	392	3 81
Transocean LTD Zug Namen Akt	310 000	13,915 90 14,471 12	44 89 46 68		
<b>Total Energy</b>		<b>70,154.75</b> <b>56,922.83</b>		<b>1,839</b>	
<b>Financials</b>					
Ace LTD	150 000	11,340 00 8,085 03	75 60 53.90	294	2 59
American Express Co	200 000	11,372 00 5,810.90	56 86 29 06	160	1 41
Berkshire Hathaway Inc Del Cl B New	110 000	9,702 00 8,634 06	88 20 78.49		

**Equity Investments**

Description	Shares	Total Market Total Cost	Market Price Cost Price	Est Ann Inc	Current Yield
Capital One Financial Corp	170 000	9,691 70 8,293.07	57 01 48 78	34	0 35
J P Morgan Chase & Co	280 000	11,334 40 11,254.16	40 48 40 19	336	2 96
PNC Bank Corp	90 000	5,679 00 4,985 16	63 10 55 39	144	2 54
US Bancorp Del New	460 000	15,778 00 9,844 88	34.30 21 40	358	2 27
Wells Fargo & Co New	380 000	13,121 40 7,283 43	34 53 19 17	334	2 55
<b>Total Financials</b>		<b>88,018.50</b> <b>64,190.69</b>		<b>1,660</b>	
<b>Health Care</b>					
Abbott Labs Co	250 000	17,140 00 12,651.62	68 56 50 61	510	2 98
Celgene Corp	140 000	10,696 00 9,307 58	76 40 66 48		
Gilead Sciences Inc	250.000	16,582 50 10,306.92	66 33 41.23		
Johnson & Johnson Co	200.000	13,782.00 10,182.25	68.91 50 91	488	3 54
Merck & Co Inc New Com	350.000	15,783 25 12,681 49	45.10 36.23	588	3 73
Wellpoint Inc Com	80 000	4,640.80 5,653 42	58.01 70 67	92	1 98
<b>Total Health Care</b>		<b>78,624.55</b> <b>60,783.28</b>		<b>1,678</b>	
<b>Industrials</b>					
Danaher Corp Shs Ben Int	270 000	14,890 50 14,290 31	55.15 52.93	27	0 18
Illinois Tool Wks Inc	250 000	14,867 50 8,645 26	59 47 34 58	380	2 56
Union Pacific Corp	70 000	8,309 00 7,374 83	118 70 105 36	168	2 02

**Equity Investments**

Description	Shares	Total Market Total Cost	Market Price Cost Price	Est Ann Inc	Current Yield
United Parcel Service Cl B	90 000	6,441.30 6,591.89	71.57 73.24	205	3.19
3M Co	160 000	14,787.20 11,692.94	92.42 73.08	377	2.55
<b>Total Industrials</b>		<b>59,295.50</b> <b>48,595.23</b>		<b>1,157</b>	
<b>Information Technology</b>					
Broadcom Corp	250 000	8,642.50 9,521.82	34.57 38.09	100	1.16
Check Point Software Tech LTD	270 000	13,003.20 15,166.37	48.16 56.17		
Cisco Systems Inc	660.000	12,602.70 14,265.55	19.10 21.61	369	2.93
EMC Corporation/Mass	530 000	14,453.10 7,222.00	27.27 13.63		
Intl Business Machines Corp	90 000	18,670.50 14,972.17	207.45 166.36	306	1.64
Microsoft Corporation	590 000	17,558.40 16,236.40	29.76 27.52	542	3.09
Oracle Corporation	430 000	13,527.80 13,105.29	31.46 30.48	103	0.76
Qualcomm Incorporated	240 000	14,992.80 12,483.19	62.47 52.01	240	1.60
<b>Total Information Technology</b>		<b>113,451.00</b> <b>102,972.79</b>		<b>1,660</b>	
<b>Materials</b>					
Ecolab Inc	140 000	9,073.40 7,087.24	64.81 50.62	112	1.23
Freeport-McMoran Copper & Gocl B	320 000	12,665.60 14,117.81	39.58 44.12	400	3.16
<b>Total Materials</b>		<b>21,739.00</b> <b>21,205.05</b>		<b>512</b>	

**Equity Investments**

Description	Shares	Total Market Total Cost	Market Price Cost Price	Est Ann Inc	Current Yield
<b>Telecommunication Services</b>					
Verizon Communications	300 000	13,671 00 9,466 14	45.57 31 55	618	4 52
<b>Total Telecommunication Services</b>		<b>13,671.00 9,466.14</b>		<b>618</b>	
<b>Utilities</b>					
Nextera Energy Inc Com	190 000	13,362 70 11,603 72	70 33 61 07	456	3 41
<b>Total Utilities</b>		<b>13,362.70 11,603.72</b>		<b>456</b>	
<b>Total Equity Investments</b>		<b>591,973.20 480,293.59</b>		<b>12,169</b>	<b>2.06</b>

**Fixed Income Investments**

Description and Rating	Par Value	Total Market Total Cost	Market Price Cost Price	Est Ann Inc	Yld To Mat Curr Yld
<b>Other Fixed Income</b>					
Pimco Investment Grade Corp Bond Fund Class I	11,563,729	130,323.23 121,236.44	11.27 10.48	5,194	3.99
Vanguard Intermediate Term Treasury Fund #35	8,285,243	98,014.42 94,407.19	11.83 11.40	1,200	1.22
<b>Total Other Fixed Income</b>		<b>228,337.65 215,643.63</b>		<b>6,394</b>	
<b>Total Fixed Income Investments</b>		<b>228,337.65 215,643.63</b>		<b>6,394</b>	<b>2.80</b>

**ROBERT J. NOLAN FOUNDATION, INC.  
SCHOLARSHIP**

**APPLICATION REQUIREMENTS CHECKLIST**

**INCOMPLETE APPLICATIONS WILL NOT BE CONSIDERED**

<input type="checkbox"/> <b>NO STAPLES OR FOLDERS</b>	<input type="checkbox"/> <b>ESSAY INCLUDED</b>
<input type="checkbox"/> <b>EACH CATEGORY COMPLETED</b>	<input type="checkbox"/> <b>SIGNATURE</b>
<input type="checkbox"/> <b>TRANSCRIPTS OR GRADES W/SAT/ACT SCORES</b>	<input type="checkbox"/> <b>REFERENCE LETTERS</b>
<input type="checkbox"/> <b>SAR/FAF FORM INCLUDED</b>	<input type="checkbox"/> <b>AWARD LETTER</b>

**PERSONAL INFORMATION**

**SOC.SEC.#** \_\_\_\_\_

LAST NAME \_\_\_\_\_ 1<sup>ST</sup> NAME \_\_\_\_\_ MID.INIT. \_\_\_\_\_

ADDRESS: STREET \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

TELEPHONE \_\_\_\_\_ DATE OF BIRTH \_\_\_\_\_

E-MAIL \_\_\_\_\_

PARENTS ARE  MARRIED  SEPARATED  DIVORCED

STUDENT RESIDES WITH  BOTH PARENTS  FATHER  MOTHER  OTHER (SPECIFY) \_\_\_\_\_

FATHER'S NAME \_\_\_\_\_ LIVING/DECEASED

EMPLOYER \_\_\_\_\_ OCCUPATION \_\_\_\_\_

MOTHER'S NAME \_\_\_\_\_ LIVING/DECEASED

EMPLOYER \_\_\_\_\_ OCCUPATION \_\_\_\_\_

**LIST ALL BROTHERS AND SISTERS**

NAME \_\_\_\_\_ AGE \_\_\_\_\_ SCHOOL GRADE OR LEVEL \_\_\_\_\_

NAME \_\_\_\_\_ AGE \_\_\_\_\_ SCHOOL GRADE OR LEVEL \_\_\_\_\_

NAME \_\_\_\_\_ AGE \_\_\_\_\_ SCHOOL GRADE OR LEVEL \_\_\_\_\_

NAME \_\_\_\_\_ AGE \_\_\_\_\_ SCHOOL GRADE OR LEVEL \_\_\_\_\_

**LIST ALL OTHER DEPENDENTS OF PARENTS**

NAME \_\_\_\_\_ AGE \_\_\_\_\_ RELATIONSHIP \_\_\_\_\_

NAME \_\_\_\_\_ AGE \_\_\_\_\_ RELATIONSHIP \_\_\_\_\_

NAME \_\_\_\_\_ AGE \_\_\_\_\_ RELATIONSHIP \_\_\_\_\_

PRINT YOUR NAME \_\_\_\_\_

**EDUCATIONAL ACHIEVEMENT**

NAME OF HIGH SCHOOL \_\_\_\_\_ YOUR GPA \_\_\_\_\_ CLASS RANK \_\_\_\_\_ OF \_\_\_\_\_

HONORS COURSES OFFERED?  YES  NO DO YOU PARTICIPATE?  YES  NO

ADVANCED PLACEMENT CLASSES OFFERED?  YES  NO

IF SO, LIST THE AP CLASSES YOU HAVE TAKEN \_\_\_\_\_

\_\_\_\_\_

INCLUDE SCHOOL CERTIFIED COPY OF YOUR MOST RECENT TRANSCRIPTS, AND GRADE REPORT WITH SAT/ACT RESULTS

NAME AND ADDRESS OF COLLEGE/UNIVERSITY YOU HAVE NOTIFIED YOU WILL BE ATTENDING

COLLEGE/UNIVERSITY \_\_\_\_\_

ADDRESS \_\_\_\_\_

MAJOR COURSE OF STUDY \_\_\_\_\_

INTENDED CAREER CHOICE \_\_\_\_\_

INCLUDE COPY OF LETTER OF ACCEPTANCE FROM COLLEGE/UNIVERSITY

**ATHLETIC HISTORY & ACHIEVEMENTS**

**LIST ALL SPORTS IN WHICH YOU PARTICIPATED, POSITIONS HELD, AND ANY HONORS OR AWARDS RECEIVED.**

**12<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**11<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**10<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**9<sup>TH</sup> GRADE OR EARLIER** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

PRINT YOUR NAME \_\_\_\_\_

**EXTRA CURRICULAR SCHOOL-RELATED ACTIVITIES**

LIST YOUR PARTICIPATION IN ALL EXTRA-CURRICULAR ACTIVITIES, POSITIONS HELD, AND ANY HONORS OR AWARDS YOU HAVE RECEIVED.

**12<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**11<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**10<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**9<sup>TH</sup> GRADE** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**RELIGIOUS/CIVIC/COMMUNITY SERVICE**

DESCRIBE YOUR PARTICIPATION IN ANY RELIGIOUS INSTITUTIONS, INCLUDING MEMBERSHIPS, CHURCH GROUPS, CHOIR, ALTAR SERVICES, FUND RAISING, HOLIDAY ACTIVITIES, ETC. ALSO, LIST YOUR PARTICIPATION IN CIVIC OR COMMUNITY ORGANIZATIONS OR SERVICES. INCLUDE POSITIONS HELD AND ANY HONORS OR AWARDS YOU HAVE RECEIVED. INCLUDE DATES OF SERVICE.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SCHOOL YEAR EMPLOYMENT**

LIST EMPLOYMENT OR JOBS HELD DURING SCHOOL YEAR, INCLUDING WORKING IN FAMILY BUSINESS.

<b>TYPE OF WORK</b>	<b>EMPLOYER</b>	<b>DATES WORKED</b>	<b>AVERAGE HOURS/WEEK</b>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

PRINT YOUR NAME \_\_\_\_\_

**FINANCIAL INFORMATION**

**(A) ESTIMATED COST OF SCHOOL YEAR**

TUITION & FEES	\$ _____
BOOKS	\$ _____
ROOM & BOARD	\$ _____
PERSONAL/TRAVEL	
OTHER (SPECIFY: _____)	\$ _____
(A) TOTAL	\$ _____

**(B) RESOURCES AVAILABLE TO MEET ABOVE EXPENSES**

STUDENT SAVINGS	\$ _____
SCHOLARSHIPS & GRANTS (NOT LOANS)	\$ _____

\_\_\_\_ INCLUDE COPY OF "AWARD" LETTER FROM COLLEGE  
\_\_\_\_ INCLUDE COPY OF SAR FORM, OR PARENTS' MOST RECENT  
FEDERAL TAX RETURN (FORM 1040 PAGE 1 & 2, AND SCHED A, B & C)

**EXPECTED PARENT CONTRIBUTION  
AS REFLECTED ON SAR FORM** \$ \_\_\_\_\_

**(IF ACTUAL EXPECTED PARENT CONTRIBUTION  
IS DIFFERENT, EXPLAIN BELOW)**

**ESTIMATED VACATION EARNINGS** \$ \_\_\_\_\_

**WORK DURING SCHOOL YEAR** \$ \_\_\_\_\_

**(B)TOTAL** \$ \_\_\_\_\_

**DIFFERENCE OR DEFICIT** \$ \_\_\_\_\_

**CIRCUMSTANCES AFFECTING NEED FOR FINANCIAL AID.**

EXPLAIN ANY UNUSUAL CIRCUMSTANCES IN YOUR FAMILY, SUCH AS ILLNESS, UNEMPLOYMENT,  
OUTSTANDING LOANS, OTHERS IN COLLEGE, ETC., WHICH AFFECT YOU.

\_\_\_\_\_  
\_\_\_\_\_

**ESSAY**

Include an essay on separate sheet detailing your academic and career goals and your plan for achieving such goals. List your strong points and weak points, and how you plan to strengthen yourself in those areas. Please comment in your essay on the role education, athletics, extra curricular, religious and civic/community activities have had in your life. Additionally, please also explain your need for this scholarship.

ESSAY INCLUDED

**CERTIFICATION**

I certify that the information given herein, which you are authorized to verify, is true and correct, and that the funds for which application is made will be used by me solely for the purpose of continuing my education. I understand that the purpose of this scholarship is to give financial aid to a well-balanced student; that if I am awarded a scholarship, I am expected to maintain a GPA of 3.0 or better, and I am expected to endeavor to become a member of a varsity athletic team at the college level. I agree to send my school transcript and proof of participation in sports and other activities to the Foundation after each semester, for its review. If I fail to meet the standards established by the Foundation, I realize that my scholarship may not be renewed.

Date \_\_\_\_\_ Applicant's Signature \_\_\_\_\_

**WHILE NOT REQUIRED, YOU MAY INCLUDE UP TO 3 LETTERS OF RECOMMENDATION FROM PERSONS WHO ARE FAMILIAR WITH YOUR QUALIFICATIONS.**

REFERENCE LETTERS INCLUDED

**APPLICATIONS MUST BE COMPLETED AND RETURNED TO YOUR HIGH SCHOOL GUIDANCE COUNSELOR NO LATER THAN MAY \_\_\_\_\_**