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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning OCT 1, 2011, and ending SEP 30, 2012

Name of foundation
ROBERT LEHMAN FOUNDATION, INC

Number and street (or P.O. box number if mail is not delivered to street address)
477 MADISON AVE, 10TH FLOOR

City or town, state, and ZIP code
NEW YORK, NY 10022

Room/suite

A Employer identification number
13-6094018

B Telephone number
212-661-1967

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
Foreign organizations meeting the 85% test, 2. check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 52,729,747. (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ☒ If the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	1,489.	1,489.		STATEMENT 1
4	Dividends and interest from securities	1,443,042.	1,389,638.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,749,119.			
b	Gross sales price for all assets on line 6a	16,801,581.			
7	Capital gain net income (from Part IV, line 2)		1,749,119.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	15,281.	27,009.		STATEMENT 3
12	Total. Add lines 1 through 11	3,208,931.	3,167,255.		
13	Compensation of officers, directors, trustees, etc.	159,451.	39,863.		119,588.
14	Other employee salaries and wages				
15	Pension plans, employee benefits	95,718.	23,930.		71,788.
16a	Legal fees STMT 4	35,080.	0.		35,080.
b	Accounting fees STMT 5	130,000.	19,500.		110,500.
c	Other professional fees STMT 6	237,645.	237,645.		0.
17	Interest				
18	Taxes STMT 7	103,866.	8,736.		110,552.
19	Depreciation and depletion				
20	Occupancy	18,000.	0.		18,000.
21	Travel, conferences, and meetings	14,873.	0.		14,873.
22	Printing and publications				
23	Other expenses STMT 8	173,379.	147,053.		26,326.
24	Total operating and administrative expenses. Add lines 13 through 23	968,012.	476,727.		406,707.
25	Contributions, gifts, grants paid	1,850,218.			1,850,218.
26	Total expenses and disbursements. Add lines 24 and 25	2,818,230.	476,727.		2,256,925.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	390,701.			
b	Net investment income (if negative, enter -0-)		2,690,528.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	765,025.	483,072.	483,072.
	2 Savings and temporary cash investments	3,985,733.	3,844,911.	3,844,911.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 9	1,887,961.	1,221,387.	1,349,070.
	b Investments - corporate stock STMT 10	22,298,295.	24,130,820.	26,546,915.
	c Investments - corporate bonds STMT 11	11,652,255.	10,372,544.	11,158,915.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 12	6,144,123.	7,056,906.	9,207,027.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 13)	83,323.	139,837.	139,837.	
16 Total assets (to be completed by all filers)	46,816,715.	47,249,477.	52,729,747.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 14)	29,426.	71,487.	
23 Total liabilities (add lines 17 through 22)	29,426.	71,487.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	46,787,289.	47,177,990.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	46,787,289.	47,177,990.		
31 Total liabilities and net assets/fund balances	46,816,715.	47,249,477.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	46,787,289.
2 Enter amount from Part I, line 27a	2	390,701.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	47,177,990.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	47,177,990.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 16,801,581.		15,668,802.	1,749,119.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,749,119.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 1,749,119.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	2,256,851.	51,682,646.	.043667
2009	3,008,873.	50,814,013.	.059213
2008	2,655,372.	45,214,250.	.058729
2007	3,007,247.	57,769,612.	.052056
2006	3,540,037.	64,530,554.	.054858

2 Total of line 1, column (d) 2 .268523

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 .053705

4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 4 53,523,395.

5 Multiply line 4 by line 3 5 2,874,474.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 26,905.

7 Add lines 5 and 6 7 2,901,379.

8 Enter qualifying distributions from Part XII, line 4 8 2,256,925.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	53,811.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	53,811.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	53,811.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	68,362.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	5,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	73,362.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	19,551.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.ROBERTLEHMANFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>HERTZ, HERSON & COMPANY, LLP</u> Telephone no. ► <u>212-686-7160</u> Located at ► <u>477 MADISON AVENUE, NEW YORK, NY</u> ZIP+4 ► <u>10022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

5b

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		159,451.	95,720.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HAMILTON & COMPANY		
600 COLLEGE ROAD EAST, PRINCETON, NJ 08540	INVESTMENT ADVISORY	75,376.
NEUBERGER BERMAN LLC - 605 THIRD AVENUE, 2ND FLOOR, NEW YORK, NY 10158	INVESTMENT ADVISORY	65,973.
STW FIXED INCOME MANAGEMENT - 6185 CARPINTERIA AVENUE, CARPINTERIA, CA 93013	INVESTMENT ADVISORY	53,214.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE

0.

2

3

4

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 NOT APPLICABLE

0.

2

All other program-related investments. See instructions.

3 NONE

0.

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	41,326,750.
b	Average of monthly cash balances	1b	4,802,863.
c	Fair market value of all other assets	1c	8,208,859.
d	Total (add lines 1a, b, and c)	1d	54,338,472.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	54,338,472.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	815,077.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	53,523,395.
6	Minimum investment return. Enter 5% of line 5	6	2,676,170.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,676,170.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	53,811.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	53,811.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,622,359.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,622,359.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,622,359.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,256,925.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,256,925.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,256,925.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				2,622,359.
2 Undistributed income, if any, as of the end of 2011.				
a Enter amount for 2010 only			994,380.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 2,256,925.				
a Applied to 2010, but not more than line 2a			994,380.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				1,262,545.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				1,359,814.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
STATEMENT ATTACHED				1,849,777.
OTHER CHARITABLE CONTRIBUTIONS				441.
Total			3a	1,850,218.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here *Francisco Cali* 18/1/13 Exec. Dir.

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	BRIAN J GLOZNEK	<i>Brian J Glaznek</i>	7/25/13		P00106849
	Firm's name ▶ HERTZ HERSON LLP				Firm's EIN ▶ 13-5676811
	Firm's address ▶ 477 MADISON AVENUE NEW YORK, NY 10022			Phone no. 212-686-7160	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BARCLAYS CREDIT INTEREST	836.
CITIBANK- CUSTODIAN	653.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,489.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ARCLIGHT ENERGY PARTNERS FUND I	13.	0.	13.
ARCLIGHT ENERGY PARTNERS FUND V	26.	0.	26.
CITIBANK- CUSTODIAN	1,179,947.	149.	1,179,798.
CYPRESS MERCHANT B PARTNERS II	23.	0.	23.
LBMB IV SRAM ONSHORE HOLDINGS	1,958.	0.	1,958.
MOUNTAIN LAKE PARTNERS	4,557.	0.	4,557.
NB CO-INVESTMENT PARTNERS	6,262.	0.	6,262.
NB CO-INVESTMENT PARTNERS CAYMAN	1,190.	0.	1,190.
TAX EXEMPT INTEREST	53,404.	0.	53,404.
TCP IV ENDURING HOLDINGS	141.	0.	141.
TCP IV VANTACORE	487.	0.	487.
TLP UBTI BLOCKERS HOLDINGS	812.	0.	812.
TRILANTIC CAPITAL PTNRS III	2,636.	0.	2,636.
TRILANTIC CAPITAL PTNRS III CAYMAN	191,733.	0.	191,733.
TRILANTIC CAPITAL PTNRS IV	2.	0.	2.
TOTAL TO FM 990-PF, PART I, LN 4	1,443,191.	149.	1,443,042.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ARCLIGHT ENERGY PARTNERS FUND I	852.	852.	
ARCLIGHT ENERGY PARTNERS FUND I	4,873.	0.	
CYPRESS MECHANAT BANKING PARTNERS II L.P.	-329.	-329.	
ARCLIGHT FUND V	-21,364.	0.	
TRILANTIC CAPITAL PTNRS IV	-33,564.	-33,564.	
TCP IV OFFSHORE AIV (A)	-109.	-109.	

TCP IV ONSHORE AIV (D)	-6.	-6.
TCP IV ENDURING HOLDINGS	-20.	-20.
CITIBANK CUSTODIAN	32,524.	32,524.
MOUNTAIN LAKE PARTNERS	75.	75.
ARCLIGHT FUND V	-2,710.	-2,710.
OTHER INCOME FROM ADJUSTMENT	27,132.	27,132.
CLASS ACTION SETTLEMENT - GENERAL FUND	3,164.	3,164.
STATE INCOME TAX REFUNDS	4,763.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	15,281.	27,009.

FORM 990-PF	LEGAL FEES		STATEMENT	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ATTORNEY FEES	35,080.	0.		35,080.
TO FM 990-PF, PG 1, LN 16A	35,080.	0.		35,080.

FORM 990-PF	ACCOUNTING FEES		STATEMENT	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT FEES	130,000.	19,500.		110,500.
TO FORM 990-PF, PG 1, LN 16B	130,000.	19,500.		110,500.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	237,645.	237,645.		0.
TO FORM 990-PF, PG 1, LN 16C	237,645.	237,645.		0.

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX ON NET INVESTMENT INCOME	84,578.	0.		0.	
PAYROLL TAXES	14,069.	3,517.		10,552.	
FOREIGN TAXES WITHHELD	5,219.	5,219.		0.	
TO FORM 990-PF, PG 1, LN 18	103,866.	8,736.		10,552.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODY & SAFEKEEPING FEES	38,785.	38,785.		0.	
CYPRESS MERCHANT BANKING PTNRS	3,835.	3,835.		0.	
CYPRESS MERCHANT BANKING PTNRS- CAYMAN	6.	6.		0.	
ARCLIGHT ENERGY PARTNERS	3,818.	3,818.		0.	
TRILANTIC CAPITAL PTNRS III	13,314.	13,314.		0.	
TCP FUND AIV I	3,197.	3,197.		0.	
TCP FUND CAYMAN AIV I	200.	200.		0.	
TCP FUND ENDURING BLOCKER	1,014.	1,014.		0.	
NB CO-INVESTMENT PARTNERS	18,409.	18,409.		0.	
NB CO-INVESTMENT PTNRS CAYMAN	160.	160.		0.	
TRILANTIC CAPITAL PTNRS IV	11,800.	11,800.		0.	
TCP IV OFFSHORE AIV (A)	1,003.	1,003.		0.	
TCP IV VANTACORE	15.	15.		0.	
TCP IV AIV CAYMAN	9.	9.		0.	
MOUNTAIN LAKE PARTNERS	3,804.	3,804.		0.	
ARCLIGHT ENERGY PARTNERS FUND V	43,044.	43,044.		0.	
ADR/FOREIGN FEES	3,682.	3,682.		0.	
PAYROLL FEES	3,831.	958.		2,873.	
LIABILITY INSURANCE	4,148.	0.		4,148.	
OFFICE EXPENSES	2,326.	0.		2,326.	
TELEPHONE & INTERNET	7,389.	0.		7,389.	
COMPUTER	652.	0.		652.	
COMPUTER SERVICES	740.	0.		740.	
DUES & SUBSCRIPTIONS	286.	0.		286.	
NY STATE FILING FEE	750.	0.		750.	
OTHER CHARITABLE DISBURSEMENTS	7,162.	0.		7,162.	
	173,379.	147,053.		26,326.	

TO FORM 990-PF, PG 1, LN 23

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY & GOVT OBLIGATIONS	X		514,325.	519,479.
STATE & MUNICIPAL OBLIGATIONS		X	707,062.	829,591.
TOTAL U.S. GOVERNMENT OBLIGATIONS			514,325.	519,479.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			707,062.	829,591.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,221,387.	1,349,070.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON & PREFERRED STOCKS	8,369,823.	9,433,554.
MUTUAL FUNDS	15,760,997.	17,113,361.
TOTAL TO FORM 990-PF, PART II, LINE 10B	24,130,820.	26,546,915.

FORM 990-PF	CORPORATE BONDS	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE OBLIGATIONS	10,372,544.	11,158,915.
TOTAL TO FORM 990-PF, PART II, LINE 10C	10,372,544.	11,158,915.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	12
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LIMITED PARTNERSHIPS	COST	7,056,906.	9,207,027.
TOTAL TO FORM 990-PF, PART II, LINE 13		7,056,906.	9,207,027.

FORM 990-PF	OTHER ASSETS	STATEMENT	13
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
US EXCISE TAX PAYMENTS	80,842.	84,725.	84,725.
ACCRUED INTEREST PAID	1,261.	1,261.	1,261.
LONG-TERM CARE INS RECEIVABLE	1,220.	4,885.	4,885.
STATE TAX RECEIVABLE	0.	531.	531.
DUE TO/FROM BROKER	0.	48,435.	48,435.
TO FORM 990-PF, PART II, LINE 15	83,323.	139,837.	139,837.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	14
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
FEDERAL TAX WITHHOLDING	1,322.	1,322.	✓
NYS TAX WITHHOLDING	103.	103.	✓
US EXCISE TAX LIABILITY	28,001.	70,062.	✓
TOTAL TO FORM 990-PF, PART II, LINE 22	29,426.	71,487.	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED			
b SCHEDULE ATTACHED			
c SCHEDULE ATTACHED			
d SCHEDULE ATTACHED			
e SCHEDULE ATTACHED			
f NB CO-INVESTMENT PARTNERS CAYMAN			
g NB CO-INVESTMENT PARTNERS			
h GAIN ON FOREIGN CURRENCY EXCHANGE			
i SCHEDULE ATTACHED			
j SCHEDULE ATTACHED			
k THIRD AVE VALUE FD INC			
l SCHEDULE ATTACHED			
m ARCLIGHT ENERGY PARTNERS FUND I			
n CYPRESS MERCHANT BANKING PARTNERS II L.P.			
o CYPRESS MERCHANT BANKING CAYMAN			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 465,035.		371,687.	93,348.
b 9,265,125.		8,937,670.	327,455.
c 3,556,159.		2,979,090.	577,069.
d 1,455,981.		1,444,001.	11,980.
e 400,746.		383,966.	16,780.
f			166,421.
g			4,430.
h			143.
i 376,480.		300,377.	76,103.
j 641,552.		606,479.	35,073.
k 149,226.		163,859.	-14,633.
l 491,128.		481,673.	9,455.
m			405,218.
n			41,616.
o			-164,375.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			93,348.
b			327,455.
c			577,069.
d			11,980.
e			16,780.
f			166,421.
g			4,430.
h			143.
i			76,103.
j			35,073.
k			-14,633.
l			9,455.
m			405,218.
n			41,616.
o			-164,375.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

ROBERT LEHMAN FOUNDATION, INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TRILANTIC CAPITAL PARTNERS III CAYMAN			
b TRILANTIC IV			
c LBMB IV SRAM ONSHORE HOLDINGS			
d LBMB IV SRAM ONSHORE HOLDINGS			
e ALTERNATIVE FOCUS			
f MOUNTAIN LAKE PARTNERS			
g MOUNTAIN LAKE PARTNERS			
h ARCLIGHT ENERGY PARTNERS FUND V			
i CAPITAL GAINS DIVIDENDS			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			46,590.
b			1,045.
c			90,675.
d			12,692.
e			2,745.
f			225.
g			2,979.
h			5,936.
i 149.			149.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			46,590.
b			1,045.
c			90,675.
d			12,692.
e			2,745.
f			225.
g			2,979.
h			5,936.
i 149.			149.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,749,119.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

ACCT 544D 25D004016768
FROM 10/01/2011
TO 09/30/2012
PREP 25 ADMN:208 INV.189

CITIGROUP PRIVATE BANK
1099 SUMMARY
ROBERT LEHMAN FDN- REG I STEINBERG
TRUST YEAR ENDING 09/30/2012

PAGE 49
RUN 11/21/2012
11:04:14 AM

1099 DIV, INT, OID, B
=====

ERROR MESSAGES
=====

PAYER

13-5266470 CITIBANK, N.A.
153 EAST 53RD STREET
NEW YORK, NY 10043

RECIPIENT

13-6094018 ROBERT LEHMAN FOUNDATION, INC
QA EXCEPTION PROCESSING
488 MADISON AVENUE, 9TH FLOOR
NEW YORK, NY 10022

ADDRESS LINE 2 WILL BE OMITTED FROM FILING

1099-DIV	TAX CODE	SUBTOTAL	TOTAL
TOT ORDINARY DIVIDENDS	1	4 99	
	3	26693 42	
	5	15010 46	
	501	1323 50	
	502	0 03	
	517	4 15	
		-----	43036 55
QUALIFIED DIVIDENDS	3	26693 42	
	5	15010 46	
		-----	41703.88
FOREIGN TAX PAID	29	39 61	
		-----	39.61
1099-INT	TAX CODE	SUBTOTAL	TOTAL
INTEREST INCOME	9	14 51	
		-----	14.51

1099-OID	ASSET ID	DESCRIPTION	OID AMOUNT	OID USGI AMOUNT	FED TAX WTH
NONE					

1099-B (SALES)

ASSET ID	DESCRIPTION	SALE DATE	ACQ DATE	SALES PRICE	COST BASIS	FED TAX WTH	TERM	NC
60689B107	MMODAL INC	08/03/12	08/09/11	5975.50	5036 84	0.00	ST	
60689B107	MMODAL INC	08/06/12	08/10/11	702 75	574 46	0.00	ST	
620076307	MOTOROLA SOLUTIONS INC	08/14/12	06/28/12	4720.75	4664 80	0.00	ST	
G96666105	WILLIS GROUP HLDGS ORD USD0.00	05/11/12	05/17/11	895.18	1000.48	0.00	ST	
471109108	JARDEN CORP	07/30/12	06/02/11	4726.07	3464.73	0.00	LT15	
471109108	JARDEN CORP	07/31/12	06/02/11	223.90	165 14	0.00	LT15	
471109108	JARDEN CORP	09/06/12	06/06/11	5535 29	3578 69	0.00	LT15	
471109108	JARDEN CORP	09/06/12	06/03/11	5030.11	3261.64	0.00	LT15	
471109108	JARDEN CORP	09/07/12	06/13/11	503 62	324.11	0.00	LT15	
478366107	JOHNSON CONTROLS INC	09/14/12	06/21/11	3838.29	5057 62	0.00	LT15	
478366107	JOHNSON CONTROLS INC	09/14/12	06/21/11	2357.74	3103 54	0.00	LT15	
478366107	JOHNSON CONTROLS INC	09/14/12	06/22/11	4702 90	6293.83	0.00	LT15	
478366107	JOHNSON CONTROLS INC	09/18/12	06/22/11	3542.47	4906.27	0.00	LT15	
60689B107	MMODAL INC	08/02/12	07/28/11	6740.25	5900.17	0.00	LT15	
60689B107	MMODAL INC	08/03/12	07/28/11	351.50	313 75	0.00	LT15	
G96666105	WILLIS GROUP HLDGS ORD USD0 00	08/01/12	07/21/11	5501.97	5950.45	0.00	LT15	
55616P104	MACYS INC	01/20/12	03/31/11	5324 22	3602 31	0.00	ST	Y
55616P104	MACYS INC	02/17/12	04/01/11	4494 05	3033 43	0.00	ST	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	01/20/12	10/14/10	310.62	568 13	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	01/23/12	10/14/10	1049 17	1896 82	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	01/27/12	10/14/10	1060 08	1896 82	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	01/30/12	10/14/10	620 08	1115 78	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	01/31/12	10/14/10	1132.36	2008 40	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	01/31/12	10/14/10	1384.00	2454 70	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	02/01/12	10/22/10	2806 82	4836 76	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	02/02/12	10/27/10	3623 93	6084 39	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	06/15/12	10/27/10	2180 63	4897 26	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	06/18/12	10/29/10	1640.49	3693 16	0.00	LT15	Y
OB53TNH67	BWIN PARTY DIGITAL ENTERTAINME	06/19/12	10/29/10	1001.11	2122.40	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	01/17/12	02/03/10	7203 10	6601 29	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	01/18/12	04/28/10	2882.36	2997 49	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	01/19/12	04/28/10	721 01	749 37	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	01/20/12	04/28/10	7219 92	7493 73	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	01/23/12	04/28/10	2173 94	2248.12	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	02/15/12	04/28/10	1792 03	1873 43	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	02/16/12	04/28/10	4989 25	5245.61	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	02/17/12	04/28/10	1850 91	1948 37	0.00	LT15	Y
20451N101	COMPASS MINERALS INTL INC	02/17/12	04/28/10	3484.40	3671 93	0.00	LT15	Y

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CITIGROUP PRIVATE BANK
1099 SUMMARY
ROBERT LEHMAN FDN- REG I STEINBERG

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1099 DIV, INT, OID, B (CONTINUED)

ERROR MESSAGES

RECIPIENT

13-6094018

ROBERT LEHMAN FOUNDATION, INC. (CONTINUED)

20451N101	COMPASS MINERALS INTL INC	03/26/12	05/07/10	5575 86	6016.01	0 00	LT15 Y
20451N101	COMPASS MINERALS INTL INC	04/24/12	05/20/10	4418 71	4495.34	0.00	LT15 Y
20451N101	COMPASS MINERALS INTL INC	04/25/12	05/21/10	737 35	748 46	0.00	LT15 Y
20451N101	COMPASS MINERALS INTL INC	05/11/12	07/28/10	3051 68	2941 22	0.00	LT15 Y
20451N101	COMPASS MINERALS INTL INC	06/12/12	10/27/10	3483.63	3551 62	0.00	LT15 Y
31620M106	FIDELITY NATL INFORMATION SVCS	02/03/12	11/17/09	4370.97	3395 16	0.00	LT15 Y
31620M106	FIDELITY NATL INFORMATION SVCS	06/01/12	02/05/10	8643.45	6254 58	0.00	LT15 Y
31620M106	FIDELITY NATL INFORMATION SVCS	06/01/12	11/17/09	789 60	565 86	0.00	LT15 Y
31620M106	FIDELITY NATL INFORMATION SVCS	06/12/12	02/10/10	12814.99	9092.94	0.00	LT15 Y
31620M106	FIDELITY NATL INFORMATION SVCS	06/13/12	02/11/10	6443 87	4473.30	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	11/10/11	07/07/08	1901 20	803.17	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	11/11/11	07/07/08	996 01	401.58	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	11/15/11	07/07/08	3235 87	1204.76	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	11/16/11	07/07/08	1083 43	401.58	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	12/23/11	07/07/08	3379 69	1204.76	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	12/27/11	07/07/08	3358 79	1204.75	0.00	LT15 Y
G9456A100	GOLAR LNG LTD BERMUDA	12/28/11	07/07/08	3291 52	1204.76	0.00	LT15 Y
471109108	JARDEN CORP	06/15/12	05/21/10	3025.64	2020 21	0.00	LT15 Y
471109108	JARDEN CORP	06/18/12	05/21/10	3028 50	2020 20	0.00	LT15 Y
471109108	JARDEN CORP	07/26/12	05/24/10	16978.80	10091 71	0.00	LT15 Y
471109108	JARDEN CORP	07/27/12	03/24/11	6821.56	4567 71	0.00	LT15 Y
471109108	JARDEN CORP	07/30/12	03/25/11	3375 77	2547 35	0.00	LT15 Y
50540R409	LABORATORY CORP	02/27/12	03/06/09	4492 62	2701 88	0.00	LT15 Y
50540R409	LABORATORY CORP	02/27/12	03/06/09	2247 55	1350 93	0.00	LT15 Y
50540R409	LABORATORY CORP	02/28/12	03/19/09	5440 56	3375 30	0.00	LT15 Y
50540R409	LABORATORY CORP	02/28/12	03/19/09	4062 85	2540 55	0.00	LT15 Y
50540R409	LABORATORY CORP	03/14/12	07/22/09	5403 13	3818.48	0.00	LT15 Y
50540R409	LABORATORY CORP	03/26/12	07/23/09	5479 05	4084 45	0.00	LT15 Y
50540R409	LABORATORY CORP	04/09/12	07/23/09	5404.23	4100.33	0.00	LT15 Y
539830109	LOCKHEED MARTIN CORP	10/28/11	10/08/09	5282 34	5174.17	0.00	LT15 Y
539830109	LOCKHEED MARTIN CORP	10/31/11	10/08/09	6086 37	5917.13	0.00	LT15 Y
539830109	LOCKHEED MARTIN CORP	02/15/12	10/08/09	15780 42	13339.10	0.00	LT15 Y
539830109	LOCKHEED MARTIN CORP	06/13/12	10/20/09	4994 83	4435 63	0.00	LT15 Y
55616P104	MACYS INC	04/09/12	04/01/11	4006.50	2440 13	0.00	LT15 Y
55616P104	MACYS INC	04/09/12	04/04/11	2998.63	1821 19	0.00	LT15 Y
60689B107	MMODAL INC	07/17/12	04/21/11	2540 70	1747 37	0.00	LT15 Y
60689B107	MMODAL INC	07/17/12	04/21/11	1795 78	1248 13	0.00	LT15 Y
60689B107	MMODAL INC	07/18/12	04/21/11	3589.71	2496 25	0.00	LT15 Y
60689B107	MMODAL INC	07/19/12	04/21/11	1435.10	998 50	0.00	LT15 Y
60689B107	MMODAL INC	07/20/12	04/21/11	2533 84	1747 38	0.00	LT15 Y
60689B107	MMODAL INC	07/24/12	04/21/11	358 76	249 62	0.00	LT15 Y
60689B107	MMODAL INC	07/25/12	04/21/11	1431 83	998 50	0.00	LT15 Y
60689B107	MMODAL INC	07/26/12	04/21/11	1076 25	748 88	0.00	LT15 Y
60689B107	MMODAL INC	07/27/12	04/21/11	719 02	499.25	0.00	LT15 Y
60689B107	MMODAL INC	07/30/12	04/21/11	715 79	499 25	0.00	LT15 Y
60689B107	MMODAL INC	07/30/12	04/21/11	358 30	249 62	0.00	LT15 Y
60689B107	MMODAL INC	07/30/12	04/26/11	1432 46	1002.00	0.00	LT15 Y
60689B107	MMODAL INC	07/31/12	04/26/11	356 65	250 50	0.00	LT15 Y
60689B107	MMODAL INC	08/01/12	04/26/11	2486 50	1753.50	0.00	LT15 Y
60689B107	MMODAL INC	08/02/12	04/26/11	2128 50	1503 00	0.00	LT15 Y
61166W101	MONSANTO CO/NEW	12/23/11	09/28/10	9925 59	6900.80	0.00	LT15 Y
61166W101	MONSANTO CO/NEW	01/05/12	09/28/10	5352 87	3452.11	0.00	LT15 Y
61166W101	MONSANTO CO/NEW	01/05/12	09/28/10	4572 35	2876.32	0.00	LT15 Y
61166W101	MONSANTO CO/NEW	01/06/12	10/06/10	5415.83	3436 96	0.00	LT15 Y
61166W101	MONSANTO CO/NEW	01/06/12	09/28/10	5452 82	3374 99	0.00	LT15 Y
61166W101	MONSANTO CO/NEW	01/06/12	09/30/10	10027 16	6245 31	0.00	LT15 Y
64126X201	NEUSTAR INC	08/06/12	07/07/08	1758 91	1071.42	0.00	LT15 Y
64126X201	NEUSTAR INC	08/07/12	07/07/08	3521 35	2142.84	0.00	LT15 Y
64126X201	NEUSTAR INC	08/14/12	07/07/08	4512 94	2678 55	0.00	LT15 Y
64126X201	NEUSTAR INC	08/15/12	07/07/08	541.08	321 43	0.00	LT15 Y
64126X201	NEUSTAR INC	08/21/12	07/07/08	5618 48	3214 26	0.00	LT15 Y
65248E104	NEWS CORP	04/09/12	10/10/08	6666 37	4951.17	0.00	LT15 Y
65248E104	NEWS CORP	04/09/12	10/10/08	7124 43	3287.55	0.00	LT15 Y
65248E104	NEWS CORP	04/09/12	10/10/08	7160 23	3287 55	0.00	LT15 Y
751028101	RALCORP HLDING INC (NEW)	01/25/12	10/28/09	11359 93	7081 54	0.00	LT15 Y
751028101	RALCORP HLDING INC (NEW)	01/26/12	10/28/09	5222.70	3268 41	0.00	LT15 Y
883556102	THERMO ELECTRON CORP	01/20/12	12/17/08	2575.17	1622.52	0.00	LT15 Y
883556102	THERMO ELECTRON CORP	02/03/12	12/17/08	4472.83	2596.02	0.00	LT15 Y
G94368100	WARNER CHILCOTT PLC IRELAND	04/27/12	11/20/09	2846 74	3365 32	0.00	LT15 Y
G94368100	WARNER CHILCOTT PLC IRELAND	04/27/12	11/20/09	2900.39	3393 14	0.00	LT15 Y
G94368100	WARNER CHILCOTT PLC IRELAND	04/27/12	11/20/09	1938 05	2262.09	0.00	LT15 Y
G94368100	WARNER CHILCOTT PLC IRELAND	04/27/12	11/20/09	2856 14	3393.13	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	04/30/12	10/10/08	10907.00	8052.95	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	04/30/12	07/07/08	5454 59	4729 84	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	04/30/12	07/07/08	5454 70	4729 85	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	05/01/12	07/30/09	8182 68	5631 10	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	05/02/12	07/30/09	2699 95	1877 03	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	05/10/12	07/30/09	4504 15	3128 39	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	05/10/12	07/30/09	900 30	625 68	0.00	LT15 Y
G96666105	WILLIS GROUP HLDGS ORD USD0 00	05/11/12	07/30/09	5371 07	3754 06	0.00	LT15 Y

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CITIGROUP PRIVATE BANK
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TAX PREPARER 25
TRUST ADMINISTRATOR 208
INVESTMENT OFFICER 189

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
50000 0000 AFLAC INC		3.450% 8/15/15 - 001055AE2 - MINOR = 30					
SOLD		01/30/2012	52664.50				
ACQ 50000.0000		01/24/2011	52664.50	51623.00	1041.50	LT15	Y
250000 0000 AT&T INC		6.700% 11/15/13 - 00206RAP7 - MINOR = 30					
SOLD		01/18/2012	275922.50				
ACQ 250000 0000		12/07/2010	275922.50	287575.00	-11652.50	LT15	Y
50000.0000 AT&T INC		6.700% 11/15/13 - 00206RAP7 - MINOR = 30					
SOLD		06/29/2012	54041.93				
ACQ 50000 0000		12/07/2010	54041.93	57515.00	-3473.07	LT15	Y
25000.0000 AT&T INC		2.950% 5/15/16 - 00206RAW2 - MINOR = 30					
SOLD		01/19/2012	26322.50				
ACQ 25000 0000		09/07/2011	26322.50	25869.75	452.75	ST	Y
400000 0000 AEC ACCT SER 2007-		0.30053% 2/17/15 - 02586CAA9 - MINOR = 36					
SOLD		04/11/2012	399968.75				
ACQ 400000.0000		03/31/2008	399968.75	380687.50	19281.25	LT15	Y
440000 0000 BACCT SER 2007-A8		5.590% 11/17/14 - 05522RBD9 - MINOR = 36					
SOLD		04/11/2012	443729.69				
ACQ 440000 0000		05/12/2008	443729.69	456173.43	-12443.74	LT15	Y
163000 0000 BB&T CORPORATION		3.200% 3/15/16 - 05531FAG8 - MINOR = 30					
SOLD		03/28/2012	171405.91				
ACQ 163000.0000		02/28/2011	171405.91	162773.43	8632.48	LT15	Y
125000.0000 BANK AMER FDG CORP		7.625% 6/01/19 - 06051GDZ9 - MINOR = 30					
SOLD		03/29/2012	144342.50				
ACQ 40000 0000		10/16/2009	46189.60	44968.80	1220.80	LT15	Y
40000.0000		10/16/2009	46189.60	45031.20	1158.40	LT15	Y
45000 0000		10/20/2009	51963.30	51414.75	548.55	LT15	Y
375000 0000 BARCLAYS BANK PLC		2.375% 1/13/14 - 06739GBS7 - MINOR = 33					
SOLD		02/15/2012	375667.50				
ACQ 375000.0000		01/24/2011	375667.50	376237.50	-570.00	LT15	Y
17529.1600 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		10/15/2011	17529.16				
ACQ 15211 8300		12/21/2010	15211.83	15582.87	-371.04	ST	Y
2317 3300		12/15/2010	2317.33	2374.54	-57.21	ST	Y
16206 5600 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		11/15/2011	16206.56				
ACQ 14064.0700		12/21/2010	14064.07	14407.12	-343.05	ST	Y
2142 4900		12/15/2010	2142.49	2195.39	-52.90	ST	Y
15531.7500 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		12/15/2011	15531.75				
ACQ 13478 4700		12/21/2010	13478.47	13807.23	-328.76	ST	Y
2053.2800		12/15/2010	2053.28	2103.97	-50.69	ST	Y
14587 1800 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		01/15/2012	14587.18				
ACQ 12658 7800		12/21/2010	12658.78	12967.55	-308.77	LT15	Y
1928.4000		12/15/2010	1928.40	1976.01	-47.61	LT15	Y
12320 8400 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		02/15/2012	12320.83				
ACQ 10692 0400		12/21/2010	10692.03	10952.84	-260.81	LT15	Y
1628.8000		12/15/2010	1628.80	1669.01	-40.21	LT15	Y
192000.0000 CIT SER 2007-A10		0.28804% 6/16/14 - 161571CA0 - MINOR = 36					
SOLD		06/15/2012	192000.00				
ACQ 192000 0000		05/12/2008	192000.00	184170.00	7830.00	LT15	Y
172000 0000 CITIGROUP INC		5.875% 5/29/37 - 172967EC1 - MINOR = 30					
SOLD		09/19/2012	202923.88				
ACQ 15000.0000		07/26/2007	17696.85	14073.00	3623.85	LT15	Y
62000.0000		12/04/2007	73146.98	57831.12	15315.86	LT15	Y
55000.0000		01/03/2008	64888.45	52074.00	12814.45	LT15	Y
40000 0000		02/19/2008	47191.60	38023.86	9167.74	LT15	Y
75000.0000 CITIGROUP INC		8.125% 7/15/39 - 172967EW7 - MINOR = 30					
SOLD		09/28/2012	111498.00				
ACQ 39000 0000		08/05/2009	57978.96	38968.02	19010.94	LT15	Y
36000.0000		10/13/2009	53519.04	41183.28	12335.76	LT15	Y
172000 0000 DIAGEO CAP PLC		5.750% 10/23/17 - 25243YAM1 - MINOR = 33					
SOLD		03/29/2012	207057.04				
ACQ 172000 0000		10/23/2007	207057.04	171807.36	35249.68	LT15	Y
200000 0000 DISCOVER CRD EX TR		1.59004% 2/17/15 - 254683AK1 - MINOR = 36					
SOLD		08/15/2012	200000.00				
ACQ 200000.0000		12/16/2010	200000.00	203218.75	-3218.75	LT15	Y
4561 9600 FCATT SER 2009-A		6.06996% 5/15/14 - 34528RAF9 - MINOR = 36					
SOLD		02/15/2012	4561.96				
ACQ 3041.3100		02/01/2011	3041.31	3279.51	-238.20	LT15	Y
1520.6500		04/06/2011	1520.65	1628.52	-107.87	ST	Y
295438 0000 FCATT SER 2009-A		6.06996% 5/15/14 - 34528RAF9 - MINOR = 36					
SOLD		02/22/2012	303793.39				
ACQ 196958 6900		02/01/2011	202528.95	212384.55	-9855.60	LT15	Y
98479 3100		04/06/2011	101264.44	105465.19	-4200.75	ST	Y
150000 0000 GEORGIA ST RD & TWY		5.000% 3/01/21 - 37358MCY5 - MINOR = 39					
SOLD		05/08/2012	191587.50				
ACQ 65000.0000		04/14/2011	83021.25	73922.82	9098.43	LT15	Y
85000 0000		04/14/2011	108566.25	96668.30	11897.95	LT15	Y
23229.3000 HDMOT SER 2009		2.53996% 4/15/17 - 41283GAD8 - MINOR = 36					
SOLD		06/15/2012	23229.30				
ACQ 23229 3000		12/15/2010	23229.30	23730.18	-500.88	LT15	Y

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TAX PREPARER: 25
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER: 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
39122 8200 HDMOT SER 2009	2	539964 4/15/17 - 41283GAD8 - MINOR = 36					
SOLD		07/15/2012	39122.82				
ACQ	39122.8200	12/15/2010	39122.82	39966.41	-843.59	LT15	Y
37092.2500 HDMOT SER 2009	2	539964 4/15/17 - 41283GAD8 - MINOR = 36					
SOLD		08/15/2012	37092.25				
ACQ	37092.2500	12/15/2010	37092.25	37892.05	-799.80	LT15	Y
37690.6100 HDMOT SER 2009	2	539964 4/15/17 - 41283GAD8 - MINOR = 36					
SOLD		09/15/2012	37690.61				
ACQ	37690.6100	12/15/2010	37690.61	38503.31	-812.70	LT15	Y
7845 3000 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		10/15/2011	7845.30				
ACQ	7845.3000	12/09/2010	7845.30	8137.66	-292.36	ST	Y
7154 4000 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		11/15/2011	7154.40				
ACQ	7154.4000	12/09/2010	7154.40	7421.01	-266.61	ST	Y
6739 1100 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		12/15/2011	6739.11				
ACQ	6739.1100	12/09/2010	6739.11	6990.25	-251.14	LT15	Y
6081 9900 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		01/15/2012	6081.99				
ACQ	6081.9900	12/09/2010	6081.99	6308.64	-226.65	LT15	Y
6022 9500 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		02/15/2012	6022.95				
ACQ	6022.9500	12/09/2010	6022.95	6247.40	-224.45	LT15	Y
6374 5600 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		03/15/2012	6374.56				
ACQ	6374.5600	12/09/2010	6374.56	6612.11	-237.55	LT15	Y
6824.7600 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		04/15/2012	6824.76				
ACQ	6824.7600	12/09/2010	6824.76	7079.09	-254.33	LT15	Y
6222 3800 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		05/15/2012	6222.38				
ACQ	6222.3800	12/09/2010	6222.38	6454.26	-231.88	LT15	Y
5561 5900 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		06/15/2012	5561.59				
ACQ	5561.5900	12/09/2010	5561.59	5768.85	-207.26	LT15	Y
5099.7800 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		07/15/2012	5099.78				
ACQ	5099.7800	12/09/2010	5099.78	5289.82	-190.04	LT15	Y
5362 4300 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		08/15/2012	5362.43				
ACQ	5362.4300	12/09/2010	5362.43	5562.27	-199.84	LT15	Y
202 1400 H-DMT SER 2008-1	4	899974 12/15/13 - 41283XAD1 - MINOR = 36					
SOLD		09/18/2012	202.14				
ACQ	202.1400	12/09/2010	202.14	209.67	-7.53	LT15	Y
200000.0000 HEWLETT-PACKARD CO	4	7504 6/02/14 - 428236AV5 - MINOR = 30					
SOLD		03/09/2012	214488.00				
ACQ	200000.0000	01/31/2011	214488.00	219884.00	-5396.00	LT15	Y
27681 4000 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		03/15/2012	27681.40				
ACQ	27681.4000	12/15/2010	27681.40	29195.23	-1513.83	LT15	Y
31785 4700 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		04/15/2012	31785.47				
ACQ	31785.4700	12/15/2010	31785.47	33523.74	-1738.27	LT15	Y
28288.3000 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		05/15/2012	28288.30				
ACQ	28288.3000	12/15/2010	28288.30	29835.32	-1547.02	LT15	Y
27253.2300 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		06/15/2012	27253.23				
ACQ	27253.2300	12/15/2010	27253.23	28743.64	-1490.41	LT15	Y
26014 3800 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		07/15/2012	26014.38				
ACQ	26014.3800	12/15/2010	26014.38	27437.04	-1422.66	LT15	Y
24461 3000 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		08/15/2012	24461.30				
ACQ	24461.3000	12/15/2010	24461.30	25799.03	-1337.73	LT15	Y
24363.2600 HAROT SER 2009-2	4	429944 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		09/15/2012	24363.26				
ACQ	24363.2600	12/15/2010	24363.26	25695.62	-1332.36	LT15	Y
100000.0000 JPMORGAN CHASE & CO	4	6254 5/10/21 - 46625HHZ6 - MINOR = 30					
SOLD		09/19/2012	111240.00				
ACQ	100000.0000	05/05/2011	111240.00	99889.00	11351.00	LT15	Y
3088 0000 JDOT SER 2011-A A2	0	639954 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		01/15/2012	3088.00				
ACQ	3088.0000	04/13/2011	3088.00	3087.83	0.17	ST	Y
17453 8200 JDOT SER 2011-A A2	0	639954 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		02/15/2012	17453.82				
ACQ	17453.8200	04/13/2011	17453.82	17452.83	0.99	ST	Y
11640 0000 JDOT SER 2011-A A2	0	639954 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		03/15/2012	11640.00				
ACQ	11640.0000	04/13/2011	11640.00	11639.34	0.66	ST	Y
10981 0500 JDOT SER 2011-A A2	0	639954 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		04/15/2012	10981.05				
ACQ	10981.0500	04/13/2011	10981.05	10980.43	0.62	LT15	Y

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TAX PREPARER 25
TRUST ADMINISTRATOR 208
INVESTMENT OFFICER 189

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
12013.1600 JDOT SER 2011-A A2 0 63995%		6/16/14 - 477867AB1 - MINOR = 36					
SOLD		05/15/2012	12013.16				
ACQ	12013.1600	04/13/2011	12013.16	12012.48	0.68	LT15	Y
7187 8100 JDOT SER 2011-A A2 0 63995%		6/16/14 - 477867AB1 - MINOR = 36					
SOLD		06/15/2012	7187.81				
ACQ	7187.8100	04/13/2011	7187.81	7187.41	0.40	LT15	Y
7155 2300 JDOT SER 2011-A A2 0.63995%		6/16/14 - 477867AB1 - MINOR = 36					
SOLD		07/15/2012	7155.23				
ACQ	7155.2300	04/13/2011	7155.23	7154.83	0.40	LT15	Y
9991.0700 JDOT SER 2011-A A2 0 63995%		6/16/14 - 477867AB1 - MINOR = 36					
SOLD		08/15/2012	9991.07				
ACQ	9991.0700	04/13/2011	9991.07	9990.51	0.56	LT15	Y
7431 1500 JDOT SER 2011-A A2 0.63995%		6/16/14 - 477867AB1 - MINOR = 36					
SOLD		09/15/2012	7431.15				
ACQ	7431.1500	04/13/2011	7431.15	7430.73	0.42	LT15	Y
100000 0000 LLOYDS TSB BANK PLC 4 875%		1/21/16 - 539473AG3 - MINOR = 33					
SOLD		03/28/2012	103969.00				
ACQ	100000 0000	01/24/2011	103969.00	100245.00	3724.00	LT15	Y
363000 0000 LLOYDS TSB BANK PLC 6.375%		1/21/21 - 539473AH1 - MINOR = 33					
SOLD		07/10/2012	414607.71				
ACQ	263000 0000	01/13/2011	300390.71	262213.63	38177.08	LT15	Y
	100000 0000	01/28/2011	114217.00	100253.00	13964.00	LT15	Y
135000.0000 MASSACHUSETTS ST 5 250%		9/01/23 - 57582PAD2 - MINOR = 39					
SOLD		05/14/2012	176871.60				
ACQ	50000.0000	05/17/2011	65508.00	59702.11	5805.89	ST	Y
	85000 0000	05/23/2011	111363.60	101226.43	10137.17	ST	Y
195000 0000 MASSACHUSETTS ST WTR 5.250%		8/01/28 - 576049V98 - MINOR = 39					
SOLD		02/22/2012	254545.20				
ACQ	195000 0000	08/04/2011	254545.20	236539.03	18006.17	ST	Y
150000 0000 METLIFE INC 7.717%		2/15/19 - 59156RAT5 - MINOR = 30					
SOLD		03/28/2012	190069.50				
ACQ	116000.0000	02/11/2009	146987.08	116406.00	30581.08	LT15	Y
	34000.0000	03/10/2009	43082.42	31079.00	12003.42	LT15	Y
55000 0000 MINNESOTA PUB FACS 5.000%		3/01/19 - 604114QR2 - MINOR = 39					
SOLD		03/05/2012	67986.05				
ACQ	55000.0000	05/31/2011	67986.05	64488.61	3497.44	ST	Y
50000 0000 MINNESOTA PUB FACS 5.000%		3/01/19 - 604114QR2 - MINOR = 39					
SOLD		03/19/2012	60760.00				
ACQ	50000 0000	05/31/2011	60760.00	58583.17	2176.83	ST	Y
30000.0000 NEW JERSEY ST TRANSN 5.500%		12/15/20 - 6461355Q2 - MINOR = 39					
SOLD		05/08/2012	37446.90				
ACQ	30000 0000	08/03/2011	37446.90	34661.92	2784.98	ST	Y
15000 0000 NEW YORK N Y CITY 4.500%		11/01/19 - 649710CX9 - MINOR = 39					
SOLD		02/14/2012	18117.15				
ACQ	15000 0000	04/08/2011	18117.15	16068.26	2048.89	ST	Y
50000 0000 NEW YORK N Y CITY MU 5.375%		6/15/43 - 64972F266 - MINOR = 39					
SOLD		05/14/2012	57738.50				
ACQ	50000.0000	01/20/2011	57738.50	48761.00	8977.50	LT15	Y
13884 6400 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		10/15/2011	13884.64				
ACQ	13884 6400	12/15/2010	13884.64	14292.50	-407.86	ST	Y
13262 4900 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		11/15/2011	13262.49				
ACQ	13262.4900	12/15/2010	13262.49	13652.08	-389.59	ST	Y
12317 6100 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		12/15/2011	12317.61				
ACQ	12317 6100	12/15/2010	12317.61	12679.44	-361.83	ST	Y
11822 7600 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		01/15/2012	11822.76				
ACQ	11822.7600	12/15/2010	11822.76	12170.05	-347.29	LT15	Y
11547 8000 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		02/15/2012	11547.80				
ACQ	11547.8000	12/15/2010	11547.80	11887.02	-339.22	LT15	Y
10775.6800 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		03/15/2012	10775.68				
ACQ	10775.6800	12/15/2010	10775.68	11092.21	-316.53	LT15	Y
11003.5500 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		04/15/2012	11003.55				
ACQ	11003.5500	12/15/2010	11003.55	11326.78	-323.23	LT15	Y
9525 8600 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		05/15/2012	9525.86				
ACQ	9525.8600	12/15/2010	9525.86	9805.68	-279.82	LT15	Y
9165.2100 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		06/15/2012	9165.21				
ACQ	9165.2100	12/15/2010	9165.21	9434.44	-269.23	LT15	Y
8541 5400 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		07/15/2012	8541.54				
ACQ	8541.5400	12/15/2010	8541.54	8792.45	-250.91	LT15	Y
4598 5900 NISSAN AUTO RECV 4.99999%		9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		08/15/2012	4598.59				
ACQ	4598.5900	12/15/2010	4598.59	4733.67	-135.08	LT15	Y
1004 3000 NAROT SER 2009-A 4.73994%		8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		02/15/2012	1004.30				
ACQ	1004.3000	01/20/2011	1004.30	1060.32	-56.02	LT15	Y

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TAX PREPARER 25
TRUST ADMINISTRATOR: 208
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
10566 7200 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		03/15/2012	10566.72				
ACQ	10566.7200	01/20/2011	10566.72	11156.15	-589.43	LT15	Y
10803 9600 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		04/15/2012	10803.96				
ACQ	10803.9600	01/20/2011	10803.96	11406.62	-602.66	LT15	Y
9760 7500 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		05/15/2012	9760.75				
ACQ	9760.7500	01/20/2011	9760.75	10305.22	-544.47	LT15	Y
9632 6600 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		06/15/2012	9632.66				
ACQ	9632.6600	01/20/2011	9632.66	10169.98	-537.32	LT15	Y
9390.5000 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		07/15/2012	9390.50				
ACQ	9390.5000	01/20/2011	9390.50	9914.31	-523.81	LT15	Y
9415 2400 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		08/15/2012	9415.24				
ACQ	9415.2400	01/20/2011	9415.24	9940.43	-525.19	LT15	Y
8958.5200 NAROT SER 2009-A		4.73994% 8/17/15 - 65476AAF2 - MINOR = 36					
SOLD		09/15/2012	8958.52				
ACQ	8958.5200	01/20/2011	8958.52	9458.24	-499.72	LT15	Y
115000 0000 OHIO STATE		5.000% 9/15/21 - 677521LY0 - MINOR = 39					
SOLD		05/08/2012	144659.65				
ACQ	115000.0000	07/20/2011	144659.65	132631.22	12028.43	ST	Y
250000 0000 U S TREAS NTS		2.000% 4/30/16 - 912828QF0 - MINOR = 18					
SOLD		07/25/2012	265038.23				
ACQ	250000.0000	07/11/2012	265038.23	264258.65	779.58	ST	Y
250000.0000 U S TREASURY NOTES		1.000% 5/15/14 - 912828QM5 - MINOR = 18					
SOLD		07/25/2012	253465.96				
ACQ	250000.0000	05/07/2012	253465.96	253643.42	-177.46	ST	Y
50000.0000 U S TREASURY NOTES		1.000% 5/15/14 - 912828QM5 - MINOR = 18					
SOLD		07/25/2012	50693.19				
ACQ	50000.0000	05/07/2012	50693.19	50728.68	-35.49	ST	Y
150000 0000 U S TREASURY NOTES		1.000% 5/15/14 - 912828QM5 - MINOR = 18					
SOLD		07/30/2012	152062.00				
ACQ	150000.0000	05/07/2012	152062.00	152186.05	-124.05	ST	Y
160000 0000 U S TREASURY NOTES		1.000% 5/15/14 - 912828QM5 - MINOR = 18					
SOLD		08/28/2012	162005.71				
ACQ	160000.0000	05/07/2012	162005.71	162331.78	-326.07	ST	Y
90000.0000 U S TREASURY NOTES		1.000% 5/15/14 - 912828QM5 - MINOR = 18					
SOLD		08/29/2012	91121.18				
ACQ	90000.0000	05/07/2012	91121.18	91311.63	-190.45	ST	Y
685000 0000 U S TREAS NTS		2.000% 11/15/21 - 912828RR3 - MINOR = 18					
SOLD		05/07/2012	694924.41				
ACQ	62000.0000	03/19/2012	62898.27	60528.26	2370.01	ST	Y
	623000.0000	03/28/2012	632026.14	615288.00	16738.14	ST	Y
50000 0000 U S TREAS NTS		2.000% 11/15/21 - 912828RR3 - MINOR = 18					
SOLD		07/03/2012	52079.88				
ACQ	50000.0000	05/14/2012	52079.88	51217.00	862.88	ST	Y
130000.0000 U S TREAS NTS		1.250% 4/30/19 - 912828ST8 - MINOR = 18					
SOLD		07/25/2012	133264.71				
ACQ	130000.0000	05/08/2012	133264.71	129939.58	3325.13	ST	Y
50000 0000 REGENTS OF THE UNI		5.000% 12/01/18 - 914460GH7 - MINOR = 39					
SOLD		01/24/2012	62010.00				
ACQ	50000.0000	02/02/2011	62010.00	56087.52	5922.48	ST	Y
65000 0000 REGENTS OF THE UNI		5.000% 12/01/19 - 914460GJ3 - MINOR = 39					
SOLD		03/22/2012	79329.90				
ACQ	65000.0000	02/02/2011	79329.90	72616.00	6713.90	LT15	Y
50000 0000 VERIZON COMM INC		8.750% 11/01/18 - 92343VAQ7 - MINOR = 30					
SOLD		02/09/2012	68765.50				
ACQ	50000.0000	07/09/2009	68765.50	61336.50	7429.00	LT15	Y
20000 0000 WAL-MART STORES INC		5.800% 2/15/18 - 931142CJ0 - MINOR = 30					
SOLD		01/06/2012	24590.00				
ACQ	20000.0000	01/12/2011	24590.00	22981.80	1608.20	ST	Y
80000 0000 WAL-MART STORES INC		5.800% 2/15/18 - 931142CJ0 - MINOR = 30					
SOLD		01/12/2012	98257.60				
ACQ	80000.0000	01/12/2011	98257.60	91927.20	6330.40	ST	Y
400000 0000 WELLS FARGO & CO NEW		3.676% 6/15/16 - 949746QU8 - MINOR = 30					
SOLD		12/05/2011	420288.00				
ACQ	400000.0000	11/10/2011	420288.00	421092.00	-804.00	ST	Y
400000 0000 WELLS FARGO CO		3.625% 4/15/15 - 94974BEU0 - MINOR = 30					
SOLD		11/10/2011	422400.00				
ACQ	400000.0000	01/28/2011	422400.00	418048.00	4352.00	ST	Y
25000 0000 WELLS FARGO & COMP		2.625% 12/15/16 - 94974BEZ9 - MINOR = 30					
SOLD		12/12/2011	24883.75				
ACQ	25000.0000	12/05/2011	24883.75	24936.00	-52.25	ST	Y
100000 0000 WELLS FARGO & COMP		2.625% 12/15/16 - 94974BEZ9 - MINOR = 30					
SOLD		01/19/2012	100720.00				
ACQ	100000.0000	12/05/2011	100720.00	99744.00	976.00	ST	Y
150000.0000 WELLS FARGO & COMP		2.625% 12/15/16 - 94974BEZ9 - MINOR = 30					
SOLD		03/28/2012	153669.00				
ACQ	150000.0000	12/05/2011	153669.00	149616.00	4053.00	ST	Y
TOTALS			9265124.69	8937670.20			

327454.49

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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
1190 0000 AVX CORP NEW - 002444107 - MINOR = 43							
SOLD		10/11/2011	14942.54				
ACQ	1190 0000	12/15/2008	14942.54	9194.06	5748.48	LT15	Y
1433.0000 AVX CORP NEW - 002444107 - MINOR = 43							
SOLD		10/12/2011	17860.56				
ACQ	1350 0000	12/15/2008	16826.07	10430.23	6395.84	LT15	Y
	83.0000	12/12/2008	1034.49	639.96	394.53	LT15	Y
1142 0000 AVX CORP NEW - 002444107 - MINOR = 43							
SOLD		10/14/2011	14423.64				
ACQ	1142 0000	12/12/2008	14423.64	8805.28	5618.36	LT15	Y
709 0000 AVX CORP NEW - 002444107 - MINOR = 43							
SOLD		10/17/2011	8838.21				
ACQ	175 0000	12/12/2008	2181.50	1349.32	832.18	LT15	Y
	534.0000	01/14/2009	6656.71	4104.81	2551.90	LT15	Y
516 0000 AVX CORP NEW - 002444107 - MINOR = 43							
SOLD		10/18/2011	6432.69				
ACQ	516.0000	01/14/2009	6432.69	3966.44	2466.25	LT15	Y
6290 0000 APPLIED MATERIALS INC - 038222105 - MINOR = 43							
SOLD		01/27/2012	77837.25				
ACQ	2800 0000	11/06/2008	34649.33	30912.00	3737.33	LT15	Y
	1390.0000	11/07/2008	17200.92	15508.37	1692.55	LT15	Y
	2100.0000	11/18/2008	25987.00	19807.41	6179.59	LT15	Y
7065 0000 BANK OF NEW YORK MELLON CORP - 064058100 - MINOR = 43							
SOLD		01/27/2012	141788.28				
ACQ	800.0000	08/30/2007	16055.29	32015.92	-15960.63	LT15	Y
	410.0000	06/18/2008	8228.34	16468.67	-8240.33	LT15	Y
	1650.0000	09/29/2008	33114.04	49771.76	-16657.72	LT15	Y
	675 0000	12/15/2008	13546.65	17405.96	-3859.31	LT15	Y
	600 0000	01/08/2009	12041.47	15774.00	-3732.53	LT15	Y
	975 0000	06/23/2010	19567.38	25413.67	-5846.29	LT15	Y
	1955.0000	07/19/2011	39235.11	48394.08	-9158.97	ST	
2980 0000 BRISTOW GROUP INC - 110394103 - MINOR = 43							
SOLD		01/27/2012	144692.60				
ACQ	1255 0000	09/29/2008	60935.98	44944.94	15991.04	LT15	Y
	975 0000	11/06/2008	47340.70	22956.77	24383.93	LT15	Y
	750.0000	09/15/2009	36415.92	23081.63	13334.29	LT15	Y
2245 0000 BROADRIDGE FINL SOLUTIONS INC - 11133T103 - MINOR = 43							
SOLD		01/27/2012	53932.61				
ACQ	2245 0000	05/24/2011	53932.61	50333.12	3599.49	ST	
6400.0000 BROOKFIELD ASSET MGMT INC - 112585104 - MINOR = 44							
SOLD		01/27/2012	195635.27				
ACQ	600.0000	09/13/2007	18340.81	20346.90	-2006.09	LT15	Y
	3075 0000	03/04/2008	93996.63	87125.51	87125.51	LT15	Y
	2725 0000	11/06/2008	83297.83	47195.09	36102.74	LT15	Y
1460 0000 CENOVUS ENERGY INC - 15135U109 - MINOR = 44							
SOLD		10/12/2011	50352.24				
ACQ	1250 0000	03/02/2009	43109.79	22335.28	20774.51	LT15	Y
	210.0000	03/11/2009	7242.45	3880.16	3362.29	LT15	Y
11000 0000 CHEUNG KONG HLDGS LTD - 166744102 - MINOR = 48							
SOLD		01/27/2012	145509.87				
ACQ	11000 0000	02/05/2009	145509.87	92297.10	53212.77	LT15	Y
8465 0000 ELECTRONICS FOR IMAGING INC - 286082102 - MINOR = 43							
SOLD		01/27/2012	142966.84				
ACQ	1265.0000	02/24/2005	21364.80	20949.41	415.39	LT15	Y
	4100.0000	05/20/2010	69245.61	47646.92	21598.69	LT15	Y
	2050.0000	06/29/2010	34622.80	20097.59	14525.21	LT15	Y
	1050.0000	06/30/2010	17733.63	10319.51	7414.12	LT15	Y
2855 0000 ENCANA CORP - 292505104 - MINOR = 44							
SOLD		01/27/2012	56180.44				
ACQ	1150.0000	02/11/2009	22629.60	25533.38	-2903.78	LT15	Y
	1250.0000	03/02/2009	24597.39	23716.85	880.54	LT15	Y
	275.0000	03/11/2009	5411.43	5395.46	15.97	LT15	Y
	180.0000	07/08/2009	3542.02	4211.46	-669.44	LT15	Y
2675.0000 FOREST CITY ENTERPRISES INC CL A - 345550107 - MINOR = 43							
SOLD		01/27/2012	35743.99				
ACQ	2500 0000	10/01/2003	33405.60	21014.99	12390.61	LT15	Y
	175 0000	03/31/2004	2338.39	4642.75	-2304.36	LT15	Y
8940 0000 HUTCHISON WHAMPOA LTD ADR - 448415208 - MINOR = 44							
SOLD		01/27/2012	167845.27				
ACQ	5690 0000	03/31/2004	106827.69	82527.76	24299.93	LT15	Y
	3250 0000	05/12/2004	61017.58	42718.00	18299.58	LT15	Y
5760 0000 INVESTMENT TECHNOLOGY GROUP INC (NEW) PAR							
SOLD		01/27/2012	63809.20				
ACQ	1275 0000	01/14/2009	14124.43	25205.22	-11080.79	LT15	Y
	450 0000	03/03/2009	4985.09	8462.07	-3476.98	LT15	Y
	310 0000	04/09/2009	3434.18	6667.32	-3233.14	LT15	Y
	825.0000	12/28/2009	9139.34	16405.21	-7265.87	LT15	Y
	1250 0000	04/27/2010	13847.48	21168.75	-7321.27	LT15	Y
	950 0000	04/29/2010	10524.09	16021.18	-5497.09	LT15	Y
	700.0000	05/07/2010	7754.59	11850.93	-4096.34	LT15	Y
11885.0000 KEYCORP (NEW) F/K/A SOCIETY CORP - 493267108 - MINOR = 43							
SOLD		01/27/2012	93247.91				
ACQ	6100 0000	01/15/2010	47859.68	40985.90	6873.78	LT15	Y
	5785 0000	02/05/2010	45388.23	38930.16	6458.07	LT15	Y

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TAX PREPARER: 25
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
3260 0000 LEUCADIA NATL CORP - 527288104 - MINOR = 43							
SOLD		01/27/2012	92289.80				
ACQ	1900 0000	05/28/2009	53788.53	37181.86	16606.67	LT15	Y
	1360.0000	05/29/2009	38501.27	27852.53	10648.74	LT15	Y
1000.0000 M D C HOLDINGS INC DEL F/K/A M D C. CORP COLO. - 552676108 - MINOR = 43							
SOLD		10/18/2011	20522.00				
ACQ	50 0000	03/14/2007	1026.10	2399.16	-1373.06	LT15	Y
	950 0000	10/24/2007	19495.90	38060.71	-18564.81	LT15	Y
3450 0000 PHARMACEUTICAL PROD DEV INC - 717124101 - MINOR = 43							
SOLD		10/03/2011	111352.81				
ACQ	1150 0000	04/22/2009	37117.60	20572.23	16545.37	LT15	Y
	1750 0000	04/23/2009	56483.31	31691.77	24791.54	LT15	Y
	550.0000	05/20/2009	17751.90	10470.26	7281.64	LT15	Y
1295 0000 SYCAMORE NETWORKS INC - 871206405 - MINOR = 43							
SOLD		01/27/2012	25141.93				
ACQ	130 0000	03/02/2009	2523.90	3321.89	-797.99	LT15	Y
	440 4000	03/03/2009	8550.20	11233.76	-2683.56	LT15	Y
	504 3000	03/04/2009	9790.79	12924.08	-3133.29	LT15	Y
	220.3000	03/05/2009	4277.04	5642.23	-1365.19	LT15	Y
17500 0000 TELLABS INC - 879664100 - MINOR = 43							
SOLD		01/27/2012	72235.09				
ACQ	5050 0000	11/20/2008	20844.99	17769.12	3075.87	LT15	Y
	375.0000	11/21/2008	1547.89	1325.63	222.26	LT15	Y
	2575 0000	11/26/2008	10628.88	10663.08	-34.20	LT15	Y
	288 0000	11/28/2008	1188.78	1192.78	-4.00	LT15	Y
	187.0000	12/01/2008	771.88	763.15	8.73	LT15	Y
	6100.0000	10/26/2009	25179.09	37667.50	-12488.41	LT15	Y
	1100 0000	12/16/2009	4540.49	6033.61	-1493.12	LT15	Y
	1825 0000	12/17/2009	7533.09	10005.20	-2472.11	LT15	Y
3420.0000 TOKIO MARINE HOLDINGS INC ADR - 889094108 - MINOR = 44							
SOLD		01/27/2012	83189.90				
ACQ	3420 0000	10/01/2003	83189.90	60170.66	23019.24	LT15	Y
7400 0000 WEYERHAEUSER CO - 962166104 - MINOR = 43							
SOLD		01/27/2012	151119.89				
ACQ	7400.0000	09/01/2010	151119.89	115006.25	36113.64	LT15	Y
131682.1400 CANADIAN DOLLAR SPOT - 99CADSPOT - MINOR = 4							
SOLD		01/30/2012	129800.04				
ACQ	131682 1400	01/30/2012	129800.04	129800.04	0.00	LT15	Y
34402 2900 SWISS FRANC SPOT - 99CHFSPOT - MINOR = 4							
SOLD		01/30/2012	37067.44				
ACQ	34402.2900	01/30/2012	37067.44	37067.44	0.00	LT15	Y
2286 0000 SAMPO OYJ - 052260381 - MINOR = 48							
SOLD		01/27/2012	60667.88				
ACQ	2286 0000	06/01/2010	60667.88	47853.20	12814.68	LT15	Y
947.0000 ALLIANZ SE - 052314857 - MINOR = 48							
SOLD		01/27/2012	104595.19				
ACQ	432 0000	05/13/2010	47713.96	47039.39	674.57	LT15	Y
	515 0000	05/14/2010	56881.23	54904.91	1976.32	LT15	Y
1290.0000 DAIMLER AG - 055290278 - MINOR = 48							
SOLD		01/27/2012	71985.83				
ACQ	710 0000	10/25/2011	39620.11	37158.64	2461.47	ST	
	580 0000	12/14/2011	32365.72	23978.18	8387.54	ST	
1170.0000 SANOFI - 056717352 - MINOR = 48							
SOLD		01/27/2012	85313.27				
ACQ	1170.0000	05/14/2010	85313.27	75399.62	9913.65	LT15	Y
7800 0000 INVESTOR AB - 056795796 - MINOR = 48							
SOLD		01/30/2012	153046.88				
ACQ	600.0000	05/25/2007	11772.84	15285.34	-3512.50	LT15	Y
	4800.0000	12/06/2007	94182.70	110057.73	-15875.03	LT15	Y
	1400.0000	02/12/2008	27469.95	26025.88	1444.07	LT15	Y
	1000 0000	08/22/2008	19621.39	19730.32	-108.93	LT15	Y
12000 0000 HENDERSON LD DEV LTD - 064205382 - MINOR = 48							
SOLD		10/14/2011	59179.45				
ACQ	7626 0000	02/26/2009	37608.54	25553.21	12055.33	LT15	Y
	4374 0000	06/02/2010	21570.91	0.00	21570.91	LT15	Y
4230 0000 HENDERSON LD DEV LTD - 064205382 - MINOR = 48							
SOLD		10/17/2011	21232.96				
ACQ	4230.0000	06/02/2010	21232.96	0.00	21232.96	LT15	Y
9285 0000 HENDERSON LD DEV LTD - 064205382 - MINOR = 48							
SOLD		01/27/2012	51086.44				
ACQ	2481 0000	06/02/2010	13650.56	0.00	13650.56	LT15	Y
	6688.0000	06/02/2010	36797.65	0.00	36797.65	LT15	Y
	31.0000	06/02/2010	170.56	0.00	170.56	LT15	Y
	30 0000	06/02/2010	165.06	0.00	165.06	LT15	Y
	55 0000	02/26/2009	302.61	179.67	122.94	LT15	Y
5000.0000 MITSUBISHI ESTATE - 065967295 - MINOR = 48							
SOLD		01/27/2012	81725.07				
ACQ	4000 0000	07/17/2007	65380.06	107316.76	-41936.70	LT15	Y
	1000 0000	02/06/2009	16345.01	13456.11	2888.90	LT15	Y
12000.0000 DAIBIRU CORP - 066616727 - MINOR = 48							
SOLD		01/27/2012	80617.28				
ACQ	2100.0000	03/07/2008	14108.02	17994.22	-3886.20	LT15	Y
	2600 0000	03/10/2008	17467.08	21781.22	-4314.14	LT15	Y
	2700.0000	03/11/2008	18138.89	23067.86	-4928.97	LT15	Y
	4600.0000	06/04/2009	30903.29	37290.18	-6386.89	LT15	Y

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TAX PREPARER: 25
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER: 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
3434 0000 SUN HUNG KAI PROP - 068599277 - MINOR = 48							
SOLD		01/27/2012	48001.19				
ACQ	3434 0000	11/18/2011	48001.19	41333 35	6667 84	ST	
5400 0000 TOYOTA INDUSTRIES - 069005464 - MINOR = 48							
SOLD		01/27/2012	157070.84				
ACQ	1300 0000	02/14/2008	37813.35	51608 83	-13795.48	LT15	Y
	1400 0000	04/14/2008	40722 07	46062 01	-5339 94	LT15	Y
	2700 0000	09/10/2009	78535 42	77711 62	823 80	LT15	Y
3531 0000 LANXESS AG - 0805M8B71 - MINOR = 48							
SOLD		01/27/2012	225643 72				
ACQ	1906 0000	11/12/2008	121800 32	30210 27	91590 05	LT15	Y
	800 0000	01/20/2009	51122 90	12509 64	38613 26	LT15	Y
	825 0000	02/19/2009	52720 50	12980 24	39740 26	LT15	Y
12775 0000 VITERRA INC - 0806WVL76 - MINOR = 48							
SOLD		01/27/2012	129800 04				
ACQ	8075 0000	08/13/2009	82045 82	67366 84	14678 98	LT15	Y
	4700 0000	09/18/2009	47754 22	43921 79	3832 43	LT15	Y
528 0000 PARGESA HLDGS SA - 080CDLF81 - MINOR = 48							
SOLD		01/27/2012	37067 44				
ACQ	408 0000	03/25/2011	28643 02	38908 79	-10265 77	ST	Y
	120 0000	10/25/2011	8424 42	9271 82	-847 40	ST	
10980 0000 SEGRO PLC ORD GBP - 085ZM1N89 - MINOR = 48							
SOLD		01/27/2012	38203 26				
ACQ	10980 0000	12/28/2011	38203 26	34919 13	3284 13	ST	
38381 0000 RESOLUTION LTD ORD NPV(NEW) - 0862W2324 - MINOR = 48							
SOLD		01/27/2012	163023 71				
ACQ	30699 0000	07/26/2010	130394 33	100082 44	30311 89	LT15	Y
	7682 0000	08/24/2010	32629 38	27246 75	5382 63	LT15	Y

TOTALS 3723018 76 3145950 16
Less Sum of ⑥ (166,867 48)
Add Sum of ⑧ 7 48
Net. 3,556,158 76

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST	WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	-10265.77	0 00	572940 27	0 00	0 00	0 00*
COVERED FROM ABOVE	14394 10	0 00	0 00	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	1110 00			0 00
	4128 33	0 00	574050 27	0 00	0 00	0 00
STATE						
NONCOVERED FROM ABOVE	-10265.77	0 00	572940 27	0 00	0 00	0 00*
COVERED FROM ABOVE	14394 10	0 00	0 00	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	1110 00			0 00
	4128 33	0 00	574050 27	0 00	0 00	0 00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL 0 00 0 00
NEW YORK N/A N/A

sum (6) =
\$166,867 48.
These two transactions are accounted for twice in the tax statement
Once for the sale of the currency and a second time for the sale of the actual security.
For this reason, they have been subtracted out from the total
See page 23 for currency sale

sum (8) =
\$7 48.
These two transactions are accounted not included as sales in the bank statement.
For this reason, they have been added to the sales total
See page 20 for currency sale.

577068.60

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TAX PREPARER: 20
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER: 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
850 0000 ABBOTT LABS - 002824100 - MINOR = 43							
SOLD		10/19/2011	47002 99				
ACQ	175.0000	07/22/2008	9677 09	10118 48	-441 39	LT15	Y
	175.0000	08/11/2008	9677 09	10275 13	-598 04	LT15	Y
	235.0000	08/28/2008	12994 94	13760 57	-765 63	LT15	Y
	215.0000	01/06/2009	11888 99	10915 79	973 20	LT15	Y
	50 0000	03/27/2009	2764 88	2352 77	412 11	LT15	Y
1600.0000 ALEXANDRIA REAL ESTATE EQUITIES INC - 015271109 - MINOR = 47							
SOLD		12/30/2011	110677 39				
ACQ	75.0000	07/22/2008	5188 00	7748 91	-2560 91	LT15	Y
	75.0000	01/06/2009	5188 00	4800 77	387 23	LT15	Y
	150 0000	07/16/2009	10376 01	5196 04	5179 97	LT15	Y
	900 0000	07/23/2009	62256 03	35370 37	26885 66	LT15	Y
	400 0000	09/24/2009	27669 35	21388 20	6281 15	LT15	Y
FOUND UPDATE							
800.0000 BANK N S HALIFAX - 064149107 - MINOR = 44							
SOLD		12/30/2011	39769 23				
ACQ	770.0000	02/02/2010	38277 88	33348 92	4928 96	LT15	Y
	30 0000	02/02/2010	1491 35	1299 31	192 04	LT15	Y
400 0000 BERKSHIRE HATHAWAY INC-CL B - 084670702 - MINOR = 43							
SOLD		01/20/2012	31850 10				
ACQ	200.0000	09/24/2008	15925 05	17865 59	-1940 54	LT15	Y
	200.0000	01/06/2009	15925 05	13295 80	2629 25	LT15	Y
350 0000 BLACKROCK INC PAR 01 - 09247X101 - MINOR = 43							
SOLD		03/13/2012	69977 74				
ACQ	200 0000	12/22/2010	39987 28	38244 72	1742 56	LT15	Y
	150.0000	02/16/2011	29990 46	30916 16	-925 70	LT15	Y
1200 0000 CATERPILLAR INC - 149123101 - MINOR = 43							
SOLD		01/20/2012	126529 04				
ACQ	600.0000	02/16/2011	63264 52	62123 58	1140 94	ST	Y
	600.0000	12/30/2011	63264 52	54610 02	8654 50	ST	
1600 0000 CITIGROUP CAPITAL XII - 17315D204 - MINOR = 54							
SOLD		07/18/2012	40000 00				
ACQ	1600 0000	03/10/2010	40000 00	40096 00	-96 00	LT15	Y
1400.0000 DIAMOND OFFSHORE DRILLING INC - 25271C102 - MINOR = 43							
SOLD		12/30/2011	78179 86				
ACQ	250.0000	06/10/2009	13960 69	22924 08	-8963 39	LT15	Y
	150 0000	07/16/2009	8376 41	12619 05	-4242 64	LT15	Y
	300.0000	08/13/2009	16752 83	26961 51	-10208 68	LT15	Y
	700 0000	12/31/2010	39089 93	46703 36	-7613 44	ST	Y
1000.0000 DOCTOR REDDY'S LAB - ADR - 256135203 - MINOR = 44							
SOLD		10/18/2011	30639 41				
ACQ	1000 0000	12/22/2010	30639 41	37296 56	-6657 15	ST	Y
500.0000 ENERGY TRANSFER PARTNERS L P - 29273R109 - MINOR = 88							
SOLD		09/27/2012	21142 42				
ACQ	100 0000	08/11/2008	4228 48	4176 75	51 73	LT15	Y
	250 0000	09/24/2008	10571 21	9296 75	1274 46	LT15	Y
	150.0000	01/06/2009	6342 73	5549 99	792 74	LT15	Y
200.0000 ENTERTAINMENT PPTYS TR - 29380T105 - MINOR = 47							
SOLD		10/18/2011	7987 84				
ACQ	100 0000	07/22/2008	3993 92	5293 29	-1299 37	LT15	Y
	100 0000	08/28/2008	3993 92	5384 53	-1390 61	LT15	Y
FOUND UPDATE							
1370 0000 FREEPORT MCMORAN COPPER & GOLD INC CL B - 35671D857 - MINOR = 43							
SOLD		01/23/2012	60196 63				
ACQ	190 2120	07/22/2008	8357 75	10031 41	-1673 66	LT15	Y
	192.0120	08/11/2008	8436 84	8495 41	-58 57	LT15	Y
	274 1600	08/28/2008	12046 36	13120 33	-1073 97	LT15	Y
	713.6160	08/14/2009	31355 68	24971 70	6383 98	LT15	Y
40.0000 GOOGLE INC - 38259P508 - MINOR = 43							
SOLD		12/30/2011	25798 17				
ACQ	40 0000	02/16/2011	25798 17	24955 76	842 41	ST	
1000 0000 HSBC HOLDINGS PLC-SPONS ADR - 404280406 - MINOR = 44							
SOLD		09/27/2012	46903 84				
ACQ	1000.0000	09/10/2009	46903 84	54949 90	-8046 06	LT15	Y
1000.0000 INERGY L P UNIT LTD PARTNERSHIP INT - 456615103 - MINOR = 88							
SOLD		03/15/2012	15451 32				
ACQ	1000.0000	08/13/2009	15451 32	27212 50	-11761 18	LT15	Y
3000 0000 INTERCONTINENTAL HTLS GRP PLSPONS - 45857P301 - MINOR = 44							
SOLD		02/10/2012	65818 72				
ACQ	1500.0000	02/17/2011	32909 36	34707 23	-1797 87	ST	Y
	1500.0000	05/17/2011	32909 36	31117 65	1791 71	ST	
.0000 ISHARES GOLD TRUST - 464285105 - MINOR = 63							
SOLD		12/31/2011	78 86				
ACQ	.0000	05/26/2011	78 86	96 46	-17 60	ST	Y
ADDED							
500.0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 43							
SOLD		12/30/2011	40617 46				
ACQ	500 0000	03/08/2010	40617 46	40627 95	-10 49	LT15	Y
50 0000 LUCENT TECH CAP TR I CV TR PFD 7 75% - 549462307 - MINOR = 54							
SOLD		12/02/2011	30771 40				
ACQ	50.0000	03/15/2010	30771 40	39253 00	-8481 60	LT15	Y
1000 0000 METLIFE INC COM EQUITY UNITS - 59156R116 - MINOR = 51							
SOLD		12/30/2011	61341 42				
ACQ	1000.0000	03/03/2011	61341 42	82880 00	-21538 58	ST	

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER 20
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
2000 0000 NATIONAL WESTMINSTER BK PLC - 638539882 - MINOR = 44							
SOLD		03/07/2012	46237.76				
ACQ	2000.0000	08/10/2010	46237.76	46496.80	-259.04	LT15	Y
2200 0000 NEW YORK COMMUNITY BANCORP INC F/K/A QUEENS COUNTY BANCORP INC - 649445103 - MINOR = 43							
SOLD		01/20/2012	28467.67				
ACQ	2200.0000	12/07/2009	28467.67	28600.00	-132.33	LT15	Y
350 0000 NORFOLK SOUTHERN CORP - 655844108 - MINOR = 43							
SOLD		03/07/2012	22900.89				
ACQ	350.0000	04/21/2009	22900.89	12826.70	10074.19	LT15	Y
.4000 NORTHEAST UTILS - 664397106 - MINOR = 43							
SOLD		04/13/2012	14.36				
ACQ	.4000	07/22/2008	14.36	9.90	4.46	LT15	Y
800 0000 PENN WEST PETROLEU COM NPV - 707887105 - MINOR = 44							
SOLD		09/27/2012	11559.33				
ACQ	300.0000	07/21/2008	4334.75	9300.12	-4965.37	LT15	Y
	250.0000	07/22/2008	3612.29	7609.65	-3997.36	LT15	Y
	250.0000	08/13/2008	3612.29	7048.75	-3436.46	LT15	Y
700.0000 PRUDENTIAL FINANCIAL INC - 744320102 - MINOR = 43							
SOLD		09/27/2012	38294.67				
ACQ	700.0000	02/16/2011	38294.67	46608.45	-8313.78	LT15	Y
600.0000 RALPH LAUREN CORP - 751212101 - MINOR = 43							
SOLD		07/11/2012	82762.14				
ACQ	600.0000	07/23/2009	82762.14	36693.00	46069.14	LT15	Y
3000.0000 REGENCY ENERGY PARTNERS L P - 75885Y107 - MINOR = 88							
SOLD		09/27/2012	69765.53				
ACQ	2000.0000	07/23/2009	46510.35	34612.00	11898.35	LT15	Y
	1000.0000	08/13/2009	23255.18	16719.50	6535.68	LT15	Y
0000 SPDR GOLD TRUST GLD SHS - 78463V107 - MINOR = 63							
SOLD		12/31/2011	67.54				
ACQ	.0000	01/06/2009	67.54	41.40	26.14	ST	Y
ADDED							
3000 0000 TELEFONICA S A. F/K/A TELEFONIA DE ESPANA ADR - 879382208 - MINOR = 44							
SOLD		12/30/2011	51533.00				
ACQ	1500.0000	02/16/2011	25766.50	37666.05	-11899.55	ST	
	1500.0000	07/25/2011	25766.50	34588.65	-8822.15	ST	
1400 0000 UBS AG CONV PFD 6 75% - 90265K205 - MINOR = 51							
SOLD		10/27/2011	37539.71				
ACQ	1400.0000	09/10/2010	37539.71	35000.00	2539.71	LT15	Y
300.0000 VALE CAPITAL II PFD 6.750% - 91912F300 - MINOR = 54							
SOLD		06/05/2012	16444.22				
ACQ	300.0000	07/08/2009	16444.22	15000.00	-1444.22	LT15	Y
500 0000 ACCENTURE PLC - G1151C101 - MINOR = 44							
SOLD		10/27/2011	29969.42				
ACQ	500.0000	02/22/2011	29969.42	26530.00	3439.42	ST	
1500 0000 LYONDELLBASELL INDUSTRIES - N53745100 - MINOR = 44							
SOLD		08/07/2012	69690.68				
ACQ	1500.0000	04/04/2012	69690.68	66297.69	3392.99	ST	
TOTALS			1455980.76	1444000.96			

11979.80

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	-14918.98	0.00	51038.02	0.00	0.00	0.00*
COVERED FROM ABOVE	-24139.25	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIVIDENDS	9.31	0.00	19.35			7.30
	-39048.92	0.00	51057.37	0.00	0.00	7.30
STATE						
NONCOVERED FROM ABOVE	-14918.98	0.00	51038.02	0.00	0.00	0.00*
COVERED FROM ABOVE	-24139.25	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIVIDENDS	9.31	0.00	19.35			7.30
	-39048.92	0.00	51057.37	0.00	0.00	7.30

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
NEW YORK	N/A	N/A

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STATEMENT OF CAPITAL GAINS AND LOSSES
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TRUST YEAR ENDING 09/30/2012

TAX PREPARER: 25
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER: 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
10000 0000 AT&T INC		6.700% 11/15/13 - 00206RAP7 - MINOR = 30					
SOLD		06/29/2012	10808.39				
ACQ	10000 0000	12/07/2010	10808.39	11503 00	-694 61	LT15	Y
1728 3500 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		10/15/2011	1728.35				
ACQ	1005.1800	05/28/2008	1005.18	1030 74	-25 56	LT15	Y
	229 3300	12/15/2010	229.33	234 86	-5 53	ST	Y
	493.8400	08/04/2011	493 84	499.94	-6 10	ST	Y
1597 8300 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		11/15/2011	1597.83				
ACQ	929.2800	05/28/2008	929.28	952.91	-23.63	LT15	Y
	212.0100	12/15/2010	212.01	217.12	-5.11	ST	Y
	456.5400	08/04/2011	456.54	462 18	-5.64	ST	Y
1531 3000 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		12/15/2011	1531.30				
ACQ	890.5800	05/28/2008	890.58	913 22	-22 64	LT15	Y
	203.1800	12/15/2010	203.18	208 08	-4 90	ST	Y
	437.5400	08/04/2011	437.54	442 94	-5 40	ST	Y
1438.1700 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		01/15/2012	1438.17				
ACQ	836 4200	05/28/2008	836.42	857 68	-21 26	LT15	Y
	190 8300	12/15/2010	190.83	195 43	-4 60	LT15	Y
	410 9200	08/04/2011	410.92	415 99	-5.07	ST	Y
1215.1500 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		02/15/2012	1215.15				
ACQ	1215 1500	05/28/2008	1215 15	1241 29	-26.14	LT15	Y
1215.1500 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		02/15/2012	-1215.15				
ACQ	1215 1500	05/28/2008	-1215 15	-1241 29	26 14	LT15	Y
1215.1500 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		02/15/2012	1215.14				
ACQ	1215.1500	05/28/2008	1215 14	1241 29	-26 15	LT15	Y
1215.1500 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		02/15/2012	-1215.14				
ACQ	1215.1500	05/28/2008	-1215.14	-1241 29	26.15	LT15	Y
1215 1600 CARA TRSER 2007-3		5.20992% 3/17/14 - 13974DAD8 - MINOR = 36					
SOLD		02/15/2012	1215.16				
ACQ	706.7200	05/28/2008	706.72	724 69	-17 97	LT15	Y
	161 2300	12/15/2010	161 23	165 11	-3 88	LT15	Y
	.0100	08/04/2011	0 01	0 01	0 00	ST	Y
	.0100	08/04/2011	0 01	0 01	0 00	ST	Y
	347.1900	08/04/2011	347.19	351 48	-4 29	ST	Y
505 6800 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		10/15/2011	505.68				
ACQ	505.6800	02/03/2011	505 68	519 18	-13.50	ST	Y
490.0800 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		11/15/2011	490.08				
ACQ	490.0800	02/03/2011	490 08	503 16	-13 08	ST	Y
471 2700 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		12/15/2011	471.27				
ACQ	471 2700	02/03/2011	471.27	483 85	-12 58	ST	Y
433 9500 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		01/15/2012	433.95				
ACQ	433.9500	02/03/2011	433 95	445 53	-11.58	ST	Y
452.0100 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		02/15/2012	452 01				
ACQ	452.0100	02/03/2011	452.01	464 08	-12 07	LT15	Y
438 0100 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		03/15/2012	438.01				
ACQ	438 0100	02/03/2011	438 01	449.70	-11 69	LT15	Y
418 6400 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		04/15/2012	418.64				
ACQ	418 6400	02/03/2011	418.64	429 82	-11.18	LT15	Y
284 8200 CARAT SER 2007-4A		5.28815% 5/15/14 - 13974TAC5 - MINOR = 36					
SOLD		05/15/2012	284.49				
ACQ	284 8200	02/03/2011	284.49	292 42	-7.93	LT15	Y
25000 0000 CHAIT SER 2005-6A		0.29991% 7/15/14 - 161571AN4 - MINOR = 36					
SOLD		07/16/2012	25000.00				
ACQ	25000 0000	03/25/2011	25000.00	24972 66	27 34	LT15	Y
20000 0000 EMERSON ELECTRIC CO		5.250% 10/15/18 - 291011AX2 - MINOR = 30					
SOLD		10/11/2011	23288.40				
ACQ	20000 0000	01/10/2008	23288 40	19948 00	3340.40	LT15	Y
10000 0000 GEORGIA ST RD & TWY		5.000% 3/01/21 - 37358MCY5 - MINOR = 39					
SOLD		05/08/2012	12772.50				
ACQ	5000.0000	04/14/2011	6386 25	5686 37	699 88	LT15	Y
	5000 0000	04/14/2011	6386.25	5686 37	699 88	LT15	Y
20000 0000 HEWLETT-PACKARD CO		4.750% 6/02/14 - 428236AV5 - MINOR = 30					
SOLD		03/09/2012	21448.80				
ACQ	20000 0000	01/31/2011	21448.80	21988 40	-539 60	LT15	Y
2306 7800 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		03/15/2012	2306 78				
ACQ	2306 7800	12/15/2010	2306.78	2432 93	-126.15	LT15	Y
2648 7900 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		04/15/2012	2648 79				
ACQ	2648 7900	12/15/2010	2648 79	2793.65	-144 86	LT15	Y

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER: 25
TRUST ADMINISTRATOR 208
INVESTMENT OFFICER. 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
2357.3600 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		05/15/2012	2357.36				
ACQ	2357.3600	12/15/2010	2357.36	2486.28	-128.92	LT15	Y
2271.1000 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		06/15/2012	2271.10				
ACQ	2271.1000	12/15/2010	2271.10	2395.30	-124.20	LT15	Y
2167.8700 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		07/15/2012	2167.87				
ACQ	2167.8700	12/15/2010	2167.87	2286.43	-118.56	LT15	Y
2038.4400 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		08/15/2012	2038.44				
ACQ	2038.4400	12/15/2010	2038.44	2149.92	-111.48	LT15	Y
2030.2700 HAROT SER 2009-2		4.42994% 7/15/15 - 43812UAD3 - MINOR = 36					
SOLD		09/15/2012	2030.27				
ACQ	2030.2700	12/15/2010	2030.27	2141.30	-111.03	LT15	Y
10000.0000 HOUSEHOLD FIN CORP		7.000% 5/15/12 - 441812JY1 - MINOR = 30					
SOLD		03/29/2012	10071.10				
ACQ	5000.0000	12/28/2007	5035.55	5238.45	-202.90	LT15	Y
	5000.0000	06/05/2009	5035.55	5188.00	-152.45	LT15	Y
772.0000 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		01/15/2012	772.00				
ACQ	772.0000	04/13/2011	772.00	771.96	0.04	ST	Y
4363.4600 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		02/15/2012	4363.46				
ACQ	4363.4600	04/13/2011	4363.46	4363.21	0.25	ST	Y
2909.8800 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		03/15/2012	2909.88				
ACQ	2909.8800	04/13/2011	2909.88	2909.72	0.16	ST	Y
2745.2600 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		04/15/2012	2745.26				
ACQ	2745.2600	04/13/2011	2745.26	2745.10	0.16	LT15	Y
3003.2900 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		05/15/2012	3003.29				
ACQ	3003.2900	04/13/2011	3003.29	3003.12	0.17	LT15	Y
1796.9500 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		06/15/2012	1796.95				
ACQ	1796.9500	04/13/2011	1796.95	1796.85	0.10	LT15	Y
1788.8300 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		07/15/2012	1788.83				
ACQ	1788.8300	04/13/2011	1788.83	1788.73	0.10	LT15	Y
2497.8000 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		08/15/2012	2497.80				
ACQ	2497.8000	04/13/2011	2497.80	2497.66	0.14	LT15	Y
1857.7900 JDOT SER 2011-A A2		0.63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		09/15/2012	1857.79				
ACQ	1857.7900	04/13/2011	1857.79	1857.68	0.11	LT15	Y
10000.0000 LLOYDS TSB BANK PLC		4.875% 1/21/16 - 539473AG3 - MINOR = 33					
SOLD		07/10/2012	10539.80				
ACQ	10000.0000	01/24/2011	10539.80	10024.50	515.30	LT15	Y
14000.0000 LLOYDS TSB BANK PLC		6.375% 1/21/21 - 539473AH1 - MINOR = 33					
SOLD		07/10/2012	15990.38				
ACQ	14000.0000	01/13/2011	15990.38	13958.14	2032.24	LT15	Y
20000.0000 M & T BK CORP		5.375% 5/24/12 - 55261FAA2 - MINOR = 30					
SOLD		05/24/2012	20000.00				
ACQ	20000.0000	12/18/2007	20000.00	19975.00	25.00	LT15	Y
5000.0000 MASSACHUSETTS ST		5.250% 9/01/23 - 57582PAD2 - MINOR = 39					
SOLD		05/14/2012	6550.80				
ACQ	5000.0000	05/23/2011	6550.80	5954.50	596.30	ST	Y
10000.0000 MASSACHUSETTS ST WTR		5.250% 8/01/28 - 576049V98 - MINOR = 39					
SOLD		05/14/2012	13097.00				
ACQ	10000.0000	08/04/2011	13097.00	12109.33	987.67	ST	Y
20000.0000 MCGRAW-HILL COS INC		6.550% 11/15/37 - 580645AF6 - MINOR = 30					
SOLD		09/28/2012	24238.40				
ACQ	20000.0000	01/16/2008	24238.40	19228.40	5010.00	LT15	Y
5000.0000 MINNESOTA PUB FACS		5.000% 3/01/19 - 604114QR2 - MINOR = 39					
SOLD		03/05/2012	6180.55				
ACQ	5000.0000	05/31/2011	6180.55	5862.61	317.94	ST	Y
5000.0000 NEW YORK N Y CITY MU		5.375% 6/15/43 - 64972FZ66 - MINOR = 39					
SOLD		05/14/2012	5773.85				
ACQ	5000.0000	01/20/2011	5773.85	4876.10	897.75	LT15	Y
925.6400 NISSAN AUTO RECV		4.99999% 9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		10/15/2011	925.64				
ACQ	925.6400	12/15/2010	925.64	952.85	-27.21	ST	Y
884.1300 NISSAN AUTO RECV		4.99999% 9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		11/15/2011	884.13				
ACQ	884.1300	12/15/2010	884.13	910.12	-25.99	ST	Y
821.1700 NISSAN AUTO RECV		4.99999% 9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		12/15/2011	821.17				
ACQ	821.1700	12/15/2010	821.17	845.31	-24.14	ST	Y
788.1800 NISSAN AUTO RECV		4.99999% 9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		01/15/2012	788.18				
ACQ	788.1800	12/15/2010	788.18	811.35	-23.17	LT15	Y
769.8100 NISSAN AUTO RECV		4.99999% 9/15/14 - 65475VAC4 - MINOR = 36					
SOLD		02/15/2012	769.81				
ACQ	769.8100	12/15/2010	769.81	792.45	-22.64	LT15	Y

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CITIGROUP PRIVATE BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ROBERT LEHMAN FDN- STW

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER: 25
TRUST ADMINISTRATOR 208
INVESTMENT OFFICER 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
718.3800 NISSAN AUTO RECV	4.99999%	9/15/14 -	65475VAC4 -	MINOR = 36			
SOLD		03/15/2012	718.38				
ACQ	718.3800	12/15/2010	718.38	739.50	-21.12	LT15	Y
733.5700 NISSAN AUTO RECV	4.99999%	9/15/14 -	65475VAC4 -	MINOR = 36			
SOLD		04/15/2012	733.57				
ACQ	733.5700	12/15/2010	733.57	755.14	-21.57	LT15	Y
635.0600 NISSAN AUTO RECV	4.99999%	9/15/14 -	65475VAC4 -	MINOR = 36			
SOLD		05/15/2012	635.06				
ACQ	635.0600	12/15/2010	635.06	653.73	-18.67	LT15	Y
610.9300 NISSAN AUTO RECV	4.99999%	9/15/14 -	65475VAC4 -	MINOR = 36			
SOLD		06/15/2012	610.93				
ACQ	610.9300	12/15/2010	610.93	628.89	-17.96	LT15	Y
569.3600 NISSAN AUTO RECV	4.99999%	9/15/14 -	65475VAC4 -	MINOR = 36			
SOLD		07/15/2012	569.36				
ACQ	569.3600	12/15/2010	569.36	586.10	-16.74	LT15	Y
306.5300 NISSAN AUTO RECV	4.99999%	9/15/14 -	65475VAC4 -	MINOR = 36			
SOLD		08/15/2012	306.53				
ACQ	306.5300	12/15/2010	306.53	315.55	-9.02	LT15	Y
10000.0000 OHIO STATE	5.000%	9/15/21 -	677521LY0 -	MINOR = 39			
SOLD		05/08/2012	12579.10				
ACQ	10000.0000	07/20/2011	12579.10	11533.15	1045.95	ST	Y
45000.0000 U S TREAS NTS	2.000%	11/15/21 -	912828RR3 -	MINOR = 18			
SOLD		05/07/2012	45651.97				
ACQ	20000.0000	03/28/2012	20289.76	19752.42	537.34	ST	Y
	25000.0000	03/29/2012	25362.21	24725.69	636.52	ST	Y
19000.0000 U S TREAS NTS	2.000%	11/15/21 -	912828RR3 -	MINOR = 18			
SOLD		07/25/2012	20136.96				
ACQ	19000.0000	05/14/2012	20136.96	19462.46	674.50	ST	Y
5000.0000 REGENTS OF THE UNI	5.000%	12/01/18 -	914460GH7 -	MINOR = 39			
SOLD		01/24/2012	6201.00				
ACQ	5000.0000	02/02/2011	6201.00	5608.75	592.25	ST	Y
5000.0000 REGENTS OF THE UNI	5.000%	12/01/19 -	914460GJ3 -	MINOR = 39			
SOLD		03/22/2012	6102.30				
ACQ	5000.0000	02/02/2011	6102.30	5585.85	516.45	LT15	Y
15000.0000 WELLS FARGO & CO NEW	3.676%	6/15/16 -	949746QU8 -	MINOR = 30			
SOLD		12/05/2011	15760.80				
ACQ	15000.0000	11/10/2011	15760.80	15790.95	-30.15	ST	Y
15000.0000 WELLS FARGO CO	3.625%	4/15/15 -	94974BEU0 -	MINOR = 30			
SOLD		11/10/2011	15840.00				
ACQ	15000.0000	01/28/2011	15840.00	15676.80	163.20	ST	Y
16000.0000 WELLS FARGO & COMP	2.625%	12/15/16 -	94974BEZ9 -	MINOR = 30			
SOLD		03/29/2012	16391.20				
ACQ	16000.0000	12/05/2011	16391.20	15959.04	432.16	ST	Y
TOTALS			400746.87	383965.90			

16780.97

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	5784.01	0.00	10996.96	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIVIDENDS	0.00	0.00	0.00			0.00
	5784.01	0.00	10996.96	0.00	0.00	0.00

STATE

NONCOVERED FROM ABOVE	5784.01	0.00	10996.96	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIVIDENDS	0.00	0.00	0.00			0.00
	5784.01	0.00	10996.96	0.00	0.00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
NEW YORK	N/A	N/A

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CITIGROUP PRIVATE BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ROBERT LEHMAN FDN-FUND B-I STEINBERG

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER 25
TRUST ADMINISTRATOR. 208
INVESTMENT OFFICER 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
80 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		01/17/2012	5762.46				
ACQ	50.0000	02/03/2010	3601.54	3321.10	280.44	LT15	Y
	30 0000	02/03/2010	2160.92	1968.11	192.81	LT15	Y
30.0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		01/18/2012	2161.77				
ACQ	20.0000	02/03/2010	1441.18	1312.08	129.10	LT15	Y
	10.0000	04/28/2010	720.59	749.37	-28.78	LT15	Y
10 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		01/19/2012	721.01				
ACQ	10.0000	04/28/2010	721.01	749.37	-28.36	LT15	Y
90.0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		01/20/2012	6497.92				
ACQ	90.0000	04/28/2010	6497.92	6744.36	-246.44	LT15	Y
20 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		01/23/2012	1449.30				
ACQ	20 0000	04/28/2010	1449.30	1498.75	-49.45	LT15	Y
25 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		02/15/2012	1792.03				
ACQ	25 0000	04/28/2010	1792.03	1873.43	-81.40	LT15	Y
50.0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		02/16/2012	3563.75				
ACQ	50.0000	04/28/2010	3563.75	3746.86	-183.11	LT15	Y
36 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		02/17/2012	2559.97				
ACQ	36 0000	04/28/2010	2559.97	2697.74	-137.77	LT15	Y
19 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		02/17/2012	1352.59				
ACQ	19 0000	04/28/2010	1352.59	1423.81	-71.22	LT15	Y
60.0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		03/26/2012	4181.91				
ACQ	20.0000	04/28/2010	1393.97	1498.75	-104.78	LT15	Y
	20 0000	05/04/2010	1393.97	1500.58	-106.61	LT15	Y
	20.0000	05/07/2010	1393.97	1511.54	-117.57	LT15	Y
50.0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		04/24/2012	3682.26				
ACQ	50.0000	05/20/2010	3682.26	3746.12	-63.86	LT15	Y
10.0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		04/25/2012	737.35				
ACQ	10.0000	05/21/2010	737.35	748.46	-11.11	LT15	Y
40 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		05/11/2012	3051.68				
ACQ	20.0000	05/21/2010	1525.84	1496.91	28.93	LT15	Y
	20.0000	10/27/2010	1525.84	1536.32	-10.48	LT15	Y
40 0000 COMPASS MINERALS INTL INC - 20451N101 - MINOR = 43							
SOLD		06/12/2012	2786.91				
ACQ	30.0000	10/27/2010	2090.18	2304.48	-214.30	LT15	Y
	10.0000	10/28/2010	696.73	786.80	-90.07	LT15	Y
125 0000 FIDELITY NATL INFORMATION SVCS INC - 31620M106 - MINOR = 43							
SOLD		02/03/2012	3642.47				
ACQ	125 0000	11/17/2009	3642.47	2829.30	813.17	LT15	Y
25 0000 FIDELITY NATL INFORMATION SVCS INC - 31620M106 - MINOR = 43							
SOLD		06/01/2012	789.60				
ACQ	25.0000	11/17/2009	789.60	565.86	223.74	LT15	Y
225.0000 FIDELITY NATL INFORMATION SVCS INC - 31620M106 - MINOR = 43							
SOLD		06/01/2012	7071.91				
ACQ	100.0000	11/17/2009	3143.07	2263.44	879.63	LT15	Y
	50.0000	11/30/2009	1571.54	1131.08	440.46	LT15	Y
	75.0000	02/05/2010	2357.30	1720.65	636.65	LT15	Y
350.0000 FIDELITY NATL INFORMATION SVCS INC - 31620M106 - MINOR = 43							
SOLD		06/12/2012	11213.10				
ACQ	148.0000	02/05/2010	4741.54	3395.42	1346.12	LT15	Y
	200 0000	02/10/2010	6407.49	4494.18	1913.31	LT15	Y
	2.0000	02/11/2010	64.07	44.71	19.36	LT15	Y
150 0000 FIDELITY NATL INFORMATION SVCS INC - 31620M106 - MINOR = 43							
SOLD		06/13/2012	4832.91				
ACQ	148 0000	02/11/2010	4768.47	3308.33	1460.14	LT15	Y
	2 0000	08/11/2010	64.44	54.35	10.09	LT15	Y
50 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		06/15/2012	2017.08				
ACQ	25 0000	05/21/2010	1008.54	673.40	335.14	LT15	Y
	25.0000	05/21/2010	1008.54	671.94	336.61	LT15	Y
50 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		06/18/2012	2019.00				
ACQ	50 0000	05/21/2010	2019.00	1343.87	675.13	LT15	Y
300 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		07/26/2012	13583.04				
ACQ	125.0000	05/21/2010	5659.60	3359.69	2299.91	LT15	Y
	150 0000	05/24/2010	6791.52	4046.79	2744.73	LT15	Y
	25 0000	08/24/2010	1131.92	659.51	472.41	LT15	Y
100 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		07/27/2012	4547.70				
ACQ	75.0000	08/24/2010	3410.78	1978.53	1432.24	LT15	Y
	25.0000	03/24/2011	1136.92	848.11	288.81	LT15	Y

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TRUST ADMINISTRATOR 208
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
150 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		07/30/2012	6751 54				
ACQ	75.0000	03/24/2011	3375 76	2544 32	831.45	LT15	Y
	25.0000	03/25/2011	1125 26	851 13	274.13	LT15	Y
	25 0000	06/02/2011	1125 26	824 09	301 17	LT15	
	25 0000	06/02/2011	1125 26	825 71	299.55	LT15	
75 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		09/06/2012	3772 59				
ACQ	50 0000	06/02/2011	2515 06	1651 41	863 65	LT15	
	25 0000	06/03/2011	1257.53	813 59	443.94	LT15	
80 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		09/06/2012	4025 66				
ACQ	80.0000	06/03/2011	4025 66	2603.49	1422 17	LT15	
5 0000 JARDEN CORP - 471109108 - MINOR = 43							
SOLD		09/07/2012	251.81				
ACQ	5 0000	06/03/2011	251 81	162 72	89 09	LT15	
25 0000 JOHNSON CONTROLS INC - 478366107 - MINOR = 43							
SOLD		01/19/2012	828.75				
ACQ	25.0000	06/21/2011	828 75	957 88	-129 13	ST	
106 0000 JOHNSON CONTROLS INC - 478366107 - MINOR = 43							
SOLD		09/14/2012	3082.26				
ACQ	106 0000	06/21/2011	3082 26	4061 42	-979 16	LT15	
64 0000 JOHNSON CONTROLS INC - 478366107 - MINOR = 43							
SOLD		09/14/2012	1862 90				
ACQ	64.0000	06/21/2011	1862 90	2452 18	-589 28	LT15	
130 0000 JOHNSON CONTROLS INC - 478366107 - MINOR = 43							
SOLD		09/14/2012	3773 93				
ACQ	30.0000	06/21/2011	870 91	1149 46	-278.55	LT15	
	100 0000	06/22/2011	2903 02	3918 28	-1015 26	LT15	
100 0000 JOHNSON CONTROLS INC - 478366107 - MINOR = 43							
SOLD		09/18/2012	2833.98				
ACQ	94.0000	06/22/2011	2663 94	3690 87	-1026.93	LT15	
	6.0000	06/22/2011	170 04	235 80	-65.76	LT15	
50 0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		02/27/2012	4492.62				
ACQ	50 0000	03/06/2009	4492 62	2701 87	1790 75	LT15	Y
25 0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		02/27/2012	2247 55				
ACQ	25 0000	03/06/2009	2247 55	1350 94	896.61	LT15	Y
50.0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		02/28/2012	4533.80				
ACQ	15.0000	03/06/2009	1360 14	810.56	549.58	LT15	Y
	35.0000	03/19/2009	3173 66	1975 98	1197 68	LT15	Y
35 0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		02/28/2012	3160.00				
ACQ	35.0000	03/19/2009	3160 00	1975 98	1184.02	LT15	Y
50 0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		03/14/2012	4502 61				
ACQ	30 0000	03/19/2009	2701.57	1693 70	1007 87	LT15	Y
	20 0000	07/23/2009	1801 04	1366 78	434 26	LT15	Y
50 0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		03/26/2012	4565.87				
ACQ	50 0000	07/23/2009	4565 87	3416 94	1148.93	LT15	Y
40 0000 LABORATORY CORP - 50540R409 - MINOR = 43							
SOLD		04/09/2012	3602.81				
ACQ	30 0000	07/23/2009	2702 11	2050 17	651 94	LT15	Y
	10.0000	07/28/2009	900.70	664 99	235.71	LT15	Y
50 0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 43							
SOLD		10/28/2011	3773 10				
ACQ	50 0000	10/08/2009	3773 10	3695 84	77 26	LT15	Y
60 0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 43							
SOLD		10/31/2011	4564 78				
ACQ	35.0000	10/08/2009	2662 79	2587 08	75 71	LT15	Y
	25 0000	10/08/2009	1901 99	1852.65	49.34	LT15	Y
160 0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 43							
SOLD		02/15/2012	14027.04				
ACQ	160 0000	10/08/2009	14027.04	11856 98	2170.06	LT15	Y
50 0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 43							
SOLD		06/13/2012	4162 36				
ACQ	15 0000	10/08/2009	1248 71	1111 59	137 12	LT15	Y
	35 0000	10/20/2009	2913 65	2556 12	357 53	LT15	Y
125 0000 MACYS INC - 55616P104 - MINOR = 43							
SOLD		01/20/2012	4436 85				
ACQ	125 0000	03/31/2011	4436 85	3001 93	1434 92	ST	Y
100 0000 MACYS INC - 55616P104 - MINOR = 43							
SOLD		02/17/2012	3595.25				
ACQ	25.0000	03/31/2011	898 81	600 38	298 43	ST	Y
	50 0000	04/01/2011	1797.63	1212 97	584 65	ST	Y
	25 0000	04/01/2011	898 81	610 03	288.78	ST	Y
75 0000 MACYS INC - 55616P104 - MINOR = 43							
SOLD		04/09/2012	3004 87				
ACQ	75.0000	04/01/2011	3004 87	1830.10	1174 77	LT15	Y
75 0000 MACYS INC - 55616P104 - MINOR = 43							
SOLD		04/09/2012	2998 63				
ACQ	50.0000	04/04/2011	1999 09	1214 13	784 96	LT15	Y
	25.0000	04/05/2011	999 54	595 94	403.60	LT15	Y

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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
100 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/17/2012	1436 62				
ACQ	100.0000	04/21/2011	1436.62	998 50	438 12	LT15	Y
150.0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/17/2012	2177.74				
ACQ	150 0000	04/21/2011	2177.74	1497 75	679 99	LT15	Y
200 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/18/2012	2871 77				
ACQ	200.0000	04/21/2011	2871 77	1997 00	874 77	LT15	Y
75 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/19/2012	1076 33				
ACQ	75 0000	04/21/2011	1076 33	748.88	327 45	LT15	Y
150 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/20/2012	2171 86				
ACQ	150 0000	04/21/2011	2171 86	1497.75	674 11	LT15	Y
25 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/24/2012	358.76				
ACQ	25 0000	04/21/2011	358 76	249.63	109 13	LT15	Y
75 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/25/2012	1073.88				
ACQ	75.0000	04/21/2011	1073 88	748.87	325 01	LT15	Y
75 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/26/2012	1076.25				
ACQ	75.0000	04/21/2011	1076 25	748 88	327.37	LT15	Y
50 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/27/2012	719.02				
ACQ	50 0000	04/21/2011	719 02	499.25	219 77	LT15	Y
25.0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/30/2012	357 89				
ACQ	25.0000	04/21/2011	357 89	249 62	108 27	LT15	Y
25.0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/30/2012	358 30				
ACQ	25 0000	04/26/2011	358 30	250 50	107 80	LT15	Y
75 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/30/2012	1074.35				
ACQ	75.0000	04/26/2011	1074 35	751.50	322 85	LT15	Y
25.0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		07/31/2012	356 65				
ACQ	25.0000	04/26/2011	356 65	250.50	106.15	LT15	Y
125.0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		08/01/2012	1776 07				
ACQ	125 0000	04/26/2011	1776 07	1252 50	523 57	LT15	Y
525 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		08/02/2012	7449.75				
ACQ	125 0000	04/26/2011	1773 75	1252.50 ¹	521.25	LT15	Y
	50 0000	06/28/2011	709 50	602 40	107.10	LT15	
	25.0000	06/29/2011	354.75	302.08	52.67	LT15	
	50 0000	06/30/2011	709.50	604.90	104 60	LT15	
	25 0000	07/27/2011	354 75	312 89	41 86	LT15	
	250.0000	07/28/2011	3547 50	3137 50	410 00	LT15	
350 0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		08/03/2012	4921 00				
ACQ	25.0000	07/28/2011	351 50	313.75	37.75	LT15	
	25.0000	08/03/2011	351 50	322.95	28.55	ST	
	25.0000	08/04/2011	351 50	321.75	29 75	ST	
	125 0000	08/05/2011	1757 50	1485 16	272 34	ST	
	25 0000	08/08/2011	351 50	288 60	62 90	ST	
	125 0000	08/09/2011	1757 50	1424 84	332 66	ST	
50.0000 MMODAL INC - 60689B107 - MINOR = 43							
SOLD		08/06/2012	702 75				
ACQ	50 0000	08/10/2011	702 75	574 46	128 29	ST	
110 0000 MONSANTO CO/NEW - 61166W101 - MINOR = 43							
SOLD		12/23/2011	7798.69				
ACQ	110 0000	09/28/2010	7798 69	5449 99	2348 70	LT15	Y
60 0000 MONSANTO CO/NEW - 61166W101 - MINOR = 43							
SOLD		01/05/2012	4588 18				
ACQ	40.0000	09/28/2010	3058 79	1981 81	1076 98	LT15	Y
	20 0000	09/28/2010	1529 39	964 71	564 68	LT15	Y
50 0000 MONSANTO CO/NEW - 61166W101 - MINOR = 43							
SOLD		01/05/2012	3810 29				
ACQ	50.0000	09/28/2010	3810 29	2411 77	1398 52	LT15	Y
50 0000 MONSANTO CO/NEW - 61166W101 - MINOR = 43							
SOLD		01/06/2012	3894 87				
ACQ	5 0000	09/28/2010	389 49	241 18	148 31	LT15	Y
	45 0000	09/28/2010	3505.38	2157 24	1348 14	LT15	Y
110 0000 MONSANTO CO/NEW - 61166W101 - MINOR = 43							
SOLD		01/06/2012	8484 52				
ACQ	30 0000	09/28/2010	2313 96	1438.16	875 80	LT15	Y
	80 0000	09/30/2010	6170 56	3837 54	2333 02	LT15	Y
50 0000 MONSANTO CO/NEW - 61166W101 - MINOR = 43							
SOLD		01/06/2012	3868 45				
ACQ	20 0000	09/30/2010	1547 38	959 38	588 00	LT15	Y
	30 0000	10/06/2010	2321 07	1475 59	845 48	LT15	Y

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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
75 0000 MOTOROLA SOLUTIONS INC - 620076307 - MINOR = 43							
SOLD		08/14/2012	3540 57				
ACQ	25.0000	06/25/2012	1180.19	1169 42	10 77	ST	
	50.0000	06/28/2012	2360 38	2330.26	30 12	ST	
50 0000 NEUSTAR INC - 64126X201 - MINOR = 43							
SOLD		08/06/2012	1758 91				
ACQ	50 0000	07/07/2008	1758 91	1071.42	687 49	LT15	Y
75 0000 NEUSTAR INC - 64126X201 - MINOR = 43							
SOLD		08/07/2012	2641.01				
ACQ	75 0000	07/07/2008	2641 01	1607 13	1033.88	LT15	Y
100 0000 NEUSTAR INC - 64126X201 - MINOR = 43							
SOLD		08/14/2012	3610 35				
ACQ	100.0000	07/07/2008	3610 35	2142 84	1467 51	LT15	Y
20 0000 NEUSTAR INC - 64126X201 - MINOR = 43							
SOLD		08/15/2012	721.43				
ACQ	20 0000	07/07/2008	721 43	428 57	292 86	LT15	Y
100 0000 NEUSTAR INC - 64126X201 - MINOR = 43							
SOLD		08/21/2012	3745 66				
ACQ	100.0000	07/07/2008	3745.66	2142 84	1602 82	LT15	Y
275 0000 NEWS CORP - 65248E104 - MINOR = 43							
SOLD		04/09/2012	5237 86				
ACQ	275.0000	07/07/2008	5237 86	4004 00	1233 86	LT15	Y
300 0000 NEWS CORP - 65248E104 - MINOR = 43							
SOLD		04/09/2012	5728.19				
ACQ	300 0000	10/10/2008	5728 19	2630 04	3098 15	LT15	Y
300 0000 NEWS CORP - 65248E104 - MINOR = 43							
SOLD		04/09/2012	5699.54				
ACQ	300 0000	10/10/2008	5699 54	2630.04	3069.50	LT15	Y
20 0000 RALCORP HLDING INC (NEW) - 751028101 - MINOR = 43							
SOLD		01/05/2012	1716.89				
ACQ	20 0000	10/28/2009	1716 89	1089 47	627 42	LT15	Y
100.0000 RALCORP HLDING INC (NEW) - 751028101 - MINOR = 43							
SOLD		01/25/2012	8738.41				
ACQ	95 0000	10/28/2009	8301.49	5174 97	3126 52	LT15	Y
	5 0000	06/22/2010	436 92	278 77	158 15	LT15	Y
45.0000 RALCORP HLDING INC (NEW) - 751028101 - MINOR = 43							
SOLD		01/26/2012	3917.03				
ACQ	45.0000	06/22/2010	3917 03	2508 93	1408 10	LT15	Y
50 0000 THERMO ELECTRON CORP - 883556102 - MINOR = 43							
SOLD		01/20/2012	2575.17				
ACQ	50.0000	12/17/2008	2575.17	1622 51	952 66	LT15	Y
60 0000 THERMO ELECTRON CORP - 883556102 - MINOR = 43							
SOLD		02/03/2012	3354.62				
ACQ	60.0000	12/17/2008	3354 62	1947 02	1407 60	LT15	Y
125 0000 WARNER CHILCOTT PLC IRELAND - G94368100 - MINOR = 44							
SOLD		04/27/2012	2372 28				
ACQ	100 0000	11/19/2009	1897 82	2225 00	-327 18	LT15	Y
	25.0000	11/20/2009	474 46	565 52	-91 06	LT15	Y
100.0000 WARNER CHILCOTT PLC IRELAND - G94368100 - MINOR = 44							
SOLD		04/27/2012	1938.05				
ACQ	100.0000	11/20/2009	1938 05	2262 09	-324 04	LT15	Y
125 0000 WARNER CHILCOTT PLC IRELAND - G94368100 - MINOR = 44							
SOLD		04/27/2012	2380.12				
ACQ	125.0000	11/20/2009	2380 12	2827 62	-447 50	LT15	Y
150 0000 WARNER CHILCOTT PLC IRELAND - G94368100 - MINOR = 44							
SOLD		04/27/2012	2900.39				
ACQ	150.0000	11/20/2009	2900.39	3393 14	-492.75	LT15	Y
25.0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		11/10/2011	950.60				
ACQ	25 0000	07/07/2008	950.60	401 58	549 02	LT15	Y
25 0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		11/11/2011	996.01				
ACQ	25 0000	07/07/2008	996.01	401.59	594 42	LT15	Y
50 0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		11/15/2011	2157 25				
ACQ	50.0000	07/07/2008	2157.25	803.17	1354 08	LT15	Y
25.0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		11/16/2011	1083.43				
ACQ	25 0000	07/07/2008	1083.43	401.58	681.85	LT15	Y
50.0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		12/23/2011	2253 13				
ACQ	50.0000	07/07/2008	2253.13	803 17	1449.96	LT15	Y
75.0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		12/27/2011	3358 79				
ACQ	75 0000	07/07/2008	3358 79	1204 76	2154 03	LT15	Y
75 0000 GOLAR LNG LTD BERMUDA - G9456A100 - MINOR = 44							
SOLD		12/28/2011	3291.52				
ACQ	75 0000	07/07/2008	3291 52	1204 75	2086 77	LT15	Y
125 0000 WILLIS GROUP HLDGS ORD USD0 000115 N - G96666105 - MINOR = 44							
SOLD		04/30/2012	4545.58				
ACQ	125 0000	07/07/2008	4545 58	3941 54	604.04	LT15	Y
125 0000 WILLIS GROUP HLDGS ORD USD0 000115 N - G96666105 - MINOR = 44							
SOLD		04/30/2012	4545 49				
ACQ	125 0000	07/07/2008	4545 49	3941 54	603 95	LT15	Y

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CITIGROUP PRIVATE BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ROBERT LEHMAN FDN-FUND B-I STEINBERG

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER: 25
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER: 189

LEGEND. F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
250 0000 WILLIS GROUP HLDGS ORD		USD0.000115 N - G96666105 - MINOR = 44					
SOLD		04/30/2012	9089 17				
ACQ	50 0000	07/07/2008	1817 83	1576 61	241 22	LT15 Y	
	200 0000	10/10/2008	7271 34	4899.72	2371 62	LT15 Y	
200 0000 WILLIS GROUP HLDGS ORD		USD0.000115 N - G96666105 - MINOR = 44					
SOLD		05/01/2012	7273.49				
ACQ	200 0000	07/30/2009	7273 49	5005 42	2268 07	LT15 Y	
50 0000 WILLIS GROUP HLDGS ORD		USD0.000115 N - G96666105 - MINOR = 44					
SOLD		05/02/2012	1799.97				
ACQ	50 0000	07/30/2009	1799 97	1251.36	548 61	LT15 Y	
25 0000 WILLIS GROUP HLDGS ORD		USD0 000115 N - G96666105 - MINOR = 44					
SOLD		05/10/2012	900 30				
ACQ	25.0000	07/30/2009	900 30	625.68	274 62	LT15 Y	
100 0000 WILLIS GROUP HLDGS ORD		USD0 000115 N - G96666105 - MINOR = 44					
SOLD		05/10/2012	3603 32				
ACQ	100 0000	07/30/2009	3603.32	2502 71	1100 61	LT15 Y	
125 0000 WILLIS GROUP HLDGS ORD		USD0 000115 N - G96666105 - MINOR = 44					
SOLD		05/11/2012	4475.89				
ACQ	75 0000	07/30/2009	2685.53	1877.03	808 50	LT15 Y	
	50 0000	05/17/2011	1790 36	2000 97	-210 61	ST	
100.0000 WILLIS GROUP HLDGS ORD		USD0.000115 N - G96666105 - MINOR = 44					
SOLD		08/01/2012	3667.96				
ACQ	50.0000	05/23/2011	1833 98	1973 24	-139 25	LT15	
	50 0000	07/21/2011	1833.98	1976 24	-142 25	LT15	
100 0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		01/20/2012	248.50				
ACQ	100.0000	10/14/2010	248 50	440 40	-191 90	LT15 Y	
325 0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		01/24/2012	802.31				
ACQ	325 0000	10/14/2010	802 31	1431 31	-629 00	LT15 Y	
350 0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		01/27/2012	873 01				
ACQ	350 0000	10/14/2010	873 01	1541 40	-668 39	LT15 Y	
200 0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		01/30/2012	496.07				
ACQ	200 0000	10/14/2010	496 07	880 80	-384 73	LT15 Y	
450.0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		01/31/2012	1132.36				
ACQ	120.0000	10/22/2010	301 96	515 42	-213.46	LT15 Y	
	300 0000	10/25/2010	754.91	1321 00	-566.09	LT15 Y	
	30.0000	10/26/2010	75 49	131.97	-56 48	LT15 Y	
875 0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		02/01/2012	2232 70				
ACQ	875.0000	10/14/2010	2232 70	3853.52	-1620.82	LT15 Y	
1150.0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		02/02/2012	2976.80				
ACQ	550 0000	10/15/2010	1423 69	2435 69	-1012 00	LT15 Y	
	20 0000	10/14/2010	51 77	88 08	-36 31	LT15 Y	
	580 0000	10/22/2010	1501 34	2491 18	-989 84	LT15 Y	
925 0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		06/15/2012	1753 98				
ACQ	270 0000	10/26/2010	511 97	1187 77	-675.80	LT15 Y	
	655 0000	10/27/2010	1242 01	2789 83	-1547 82	LT15 Y	
700.0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		06/18/2012	1312.39				
ACQ	700 0000	10/27/2010	1312 39	2981.50	-1669 11	LT15 Y	
425.0000 BWIN PARTY DIGITAL ENTERTAINMENT		OB53TNH67 - MINOR = 48					
SOLD		06/19/2012	810.42				
ACQ	195 0000	10/27/2010	371 84	830.56	-458 72	LT15 Y	
	230 0000	10/29/2010	438 58	929.81	-491 23	LT15 Y	
TOTALS			376480 19	300376.88			

76103.31

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	2606 78	0 00	73003.80	0.00	0 00	0 00*
COVERED FROM ABOVE	555.64	0 00	-62 89	0 00	0 00	
COMMON TRUST FUND	0.00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	0 00			0.00
	3162 42	0 00	72940 91	0.00	0 00	0 00
STATE						
NONCOVERED FROM ABOVE	2606 78	0 00	73003 80	0 00	0 00	0 00*
COVERED FROM ABOVE	555 64	0.00	-62 89	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	0 00			0.00
	3162 42	0 00	72940 91	0 00	0 00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

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CITIGROUP PRIVATE BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ROBERT LEHMAN FDN-FUND B-III STW

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER 25
TRUST ADMINISTRATOR 208
INVESTMENT OFFICER 189

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
20000 0000 AECAM SER 2005-4	0	33253% 1/15/15 - 02582JDN7 - MINOR = 36					
SOLD		06/15/2012	20000 00				
ACQ	20000.0000	11/20/2009	20000.00	19741 41	258.59	LT15	Y
22000 0000 BHP BILLITON FIN USA	6.500%	4/01/19 - 055451AH1 - MINOR = 33					
SOLD		05/17/2012	28064.96				
ACQ	22000 0000	03/18/2009	28064.96	21929 38	6135 58	LT15	Y
2235 9100 CARST 2008-2 CL A3	1	78835% 10/15/12 - 13974YAJ9 - MINOR = 36					
SOLD		10/15/2011	2235 91				
ACQ	1016.3200	05/06/2008	1016 32	1016 32	0 00	LT15	Y
	1219 5900	02/17/2010	1219 59	1247.68	-28 09	LT15	Y
1702 9200 CARST 2008-2 CL A3	1	78835% 10/15/12 - 13974YAJ9 - MINOR = 36					
SOLD		11/15/2011	1702 88				
ACQ	774 0600	05/06/2008	774 04	774 06	-0 02	LT15	Y
	928 8600	02/17/2010	928 84	950 26	-21 42	LT15	Y
60000 0000 CHAIT SER 2005-6A	0	29991% 7/15/14 - 161571AN4 - MINOR = 36					
SOLD		07/16/2012	60000 00				
ACQ	60000.0000	03/25/2011	60000 00	59934 38	65 62	LT15	Y
2000 0000 CITIGROUP INC	8.500%	5/22/19 - 172967EV9 - MINOR = 30					
SOLD		09/18/2012	2566.26				
ACQ	2000 0000	10/16/2009	2566 26	2286 04	280 22	LT15	Y
20000 0000 GEORGIA ST RD & TWY	5.000%	3/01/21 - 37358MCY5 - MINOR = 39					
SOLD		05/08/2012	25545 00				
ACQ	10000 0000	04/14/2011	12772 50	11372 74	1399 76	LT15	Y
	10000.0000	04/14/2011	12772.50	11372 74	1399 76	LT15	Y
35000 0000 HOUSEHOLD FIN CORP	7.000%	5/15/12 - 441812JY1 - MINOR = 30					
SOLD		05/15/2012	35000.00				
ACQ	20000 0000	12/28/2007	20000 00	20953.80	-953 80	LT15	Y
	15000 0000	06/05/2009	15000 00	15564.00	-564 00	LT15	Y
1544 0000 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		01/15/2012	1544 00				
ACQ	1544.0000	04/13/2011	1544 00	1543.91	0.09	ST	Y
8726 9100 JDOT SER 2011-A A2	0.63995%	6/16/14 - 477867AB1 - MINOR = 36					
SOLD		02/15/2012	8726.91				
ACQ	8726.9100	04/13/2011	8726 91	8726.42	0 49	ST	Y
5819 7600 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		03/15/2012	5819.76				
ACQ	5819.7600	04/13/2011	5819.76	5819 43	0 33	ST	Y
5490 5200 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		04/15/2012	5490.52				
ACQ	5490.5200	04/13/2011	5490.52	5490.21	0.31	LT15	Y
6006 5800 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		05/15/2012	6006.58				
ACQ	6006 5800	04/13/2011	6006 58	6006 24	0 34	LT15	Y
3593 9100 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		06/15/2012	3593 91				
ACQ	3593.9100	04/13/2011	3593 91	3593 71	0 20	LT15	Y
3577 6600 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		07/15/2012	3577.66				
ACQ	3577 6600	04/13/2011	3577 66	3577 46	0 20	LT15	Y
4995 6000 JDOT SER 2011-A A2	0	63995% 6/16/14 - 477867AB1 - MINOR = 36					
SOLD		08/15/2012	4995.60				
ACQ	4995 6000	04/13/2011	4995 60	4995.32	0 28	LT15	Y
3715.5800 JDOT SER 2011-A A2	0.63995%	6/16/14 - 477867AB1 - MINOR = 36					
SOLD		09/15/2012	3715.58				
ACQ	3715.5800	04/13/2011	3715.58	3715.37	0 21	LT15	Y
20000 0000 LLOYDS TSB BANK PLC	4	875% 1/21/16 - 539473AG3 - MINOR = 33					
SOLD		07/10/2012	21079.60				
ACQ	20000 0000	01/24/2011	21079 60	20049 00	1030 60	LT15	Y
42000.0000 LLOYDS TSB BANK PLC	6.375%	1/21/21 - 539473AH1 - MINOR = 33					
SOLD		07/10/2012	47971 14				
ACQ	42000 0000	01/13/2011	47971 14	41874 42	6096 72	LT15	Y
13000 0000 LOWES COS INC	5	600% 9/15/12 - 548661CM7 - MINOR = 30					
SOLD		09/15/2012	13000.00				
ACQ	13000.0000	09/06/2007	13000 00	12978 03	21.97	LT15	Y
20000 0000 MASSACHUSETTS ST	5	250% 9/01/23 - 57582PAD2 - MINOR = 39					
SOLD		05/14/2012	26203.20				
ACQ	5000 0000	05/17/2011	6550 80	5970.21	580.59	ST	Y
	15000 0000	05/23/2011	19652 40	17863 48	1788 92	ST	Y
30000 0000 MASSACHUSETTS ST WTR	5	250% 8/01/28 - 576049V98 - MINOR = 39					
SOLD		05/14/2012	39291 00				
ACQ	30000.0000	08/04/2011	39291 00	36328 00	2963 00	ST	Y
10000 0000 MINNESOTA PUB FACS	5	000% 3/01/19 - 604114QR2 - MINOR = 39					
SOLD		03/05/2012	12361 10				
ACQ	10000 0000	05/31/2011	12361 10	11725 20	635.90	ST	Y
5000 0000 MINNESOTA PUB FACS	5	000% 3/01/19 - 604114QR2 - MINOR = 39					
SOLD		03/19/2012	6076 00				
ACQ	5000.0000	05/31/2011	6076 00	5858.32	217 68	ST	Y
5000 0000 NEW JERSEY ST TRANSN	5	500% 12/15/20 - 6461355Q2 - MINOR = 39					
SOLD		05/08/2012	6241 15				
ACQ	5000.0000	08/03/2011	6241 15	5776 99	464 16	ST	Y
10000 0000 NEW YORK N Y CITY MU	5	375% 6/15/43 - 64972FZ66 - MINOR = 39					
SOLD		05/14/2012	11547 70				
ACQ	10000 0000	01/20/2011	11547 70	9752 20	1795 50	LT15	Y

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CITIGROUP PRIVATE BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ROBERT LEHMAN FDN-FUND B-111 STW

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER 25
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER 189

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
502 1500 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		02/15/2012	502.15				
ACQ	502.1500	07/21/2010	502.15	539.58	-37.43	LT15	Y
5283 3600 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		03/15/2012	5283.36				
ACQ	5283.3600	07/21/2010	5283.36	5677.13	-393.77	LT15	Y
5401 9800 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		04/15/2012	5401.98				
ACQ	5401.9800	07/21/2010	5401.98	5804.60	-402.62	LT15	Y
4880 3700 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		05/15/2012	4880.37				
ACQ	4880.3700	07/21/2010	4880.37	5244.11	-363.74	LT15	Y
4816 3300 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		06/15/2012	4816.33				
ACQ	4816.3300	07/21/2010	4816.33	5175.30	-358.97	LT15	Y
4695 2500 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		07/15/2012	4695.25				
ACQ	4695.2500	07/21/2010	4695.25	5045.19	-349.94	LT15	Y
4707 6200 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		08/15/2012	4707.62				
ACQ	4707.6200	07/21/2010	4707.62	5058.48	-350.86	LT15	Y
4479 2600 NAROT SER 2009-A	4.73994	8/17/15	65476AAF2 - MINOR = 36				
SOLD		09/15/2012	4479.26				
ACQ	4479.2600	07/21/2010	4479.26	4813.10	-333.84	LT15	Y
20000 0000 OHIO STATE	5.000	9/15/21	677521LY0 - MINOR = 39				
SOLD		05/08/2012	25158.20				
ACQ	20000.0000	07/20/2011	25158.20	23066.30	2091.90	ST	Y
69000.0000 U S TREASURY NOTES	3.125	5/15/21	912828QN3 - MINOR = 18				
SOLD		04/12/2012	76101.87				
ACQ	13000.0000	07/19/2011	14338.03	13231.56	1106.47	ST	Y
	56000.0000	07/19/2011	61763.84	57120.00	4643.84	ST	Y
50000 0000 U S TREAS NTS	2.000	11/15/21	912828RR3 - MINOR = 18				
SOLD		09/26/2012	52294.72				
ACQ	6000.0000	03/19/2012	6275.37	5863.55	411.82	ST	Y
	44000.0000	03/28/2012	46019.35	43455.33	2564.02	ST	Y
10000 0000 REGENTS OF THE UNI	5.000	12/01/18	914460GH7 - MINOR = 39				
SOLD		01/24/2012	12402.00				
ACQ	10000.0000	02/02/2011	12402.00	11217.50	1184.50	ST	Y
10000 0000 REGENTS OF THE UNI	5.000	12/01/19	914460GJ3 - MINOR = 39				
SOLD		03/22/2012	12204.60				
ACQ	10000.0000	02/02/2011	12204.60	11171.68	1032.92	LT15	Y
25000 0000 WELLS FARGO & CO NEW	3.676	6/15/16	949746QU8 - MINOR = 30				
SOLD		12/05/2011	26268.00				
ACQ	15000.0000	02/10/2011	15760.80	15101.12	659.68	ST	Y
	10000.0000	04/08/2011	10507.20	10108.20	399.00	ST	Y
TOTALS			641552.13	606479.46			

35072.67

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	19712.39	0.00	15360.28	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIVIDENDS	0.00	0.00	0.00			0.00
	19712.39	0.00	15360.28	0.00	0.00	0.00
STATE						
NONCOVERED FROM ABOVE	19712.39	0.00	15360.28	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIVIDENDS	0.00	0.00	0.00			0.00
	19712.39	0.00	15360.28	0.00	0.00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
NEW YORK	N/A	N/A

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CITIGROUP PRIVATE BANK
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ROBERT LEH FDN-FUND B VI NEUBERGER

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TRUST YEAR ENDING 09/30/2012

TAX PREPARER 20
TRUST ADMINISTRATOR: 208
INVESTMENT OFFICER 189

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
350.0000 ABBOTT LABS - 002824100 - MINOR = 43							
SOLD		10/19/2011	19354.16				
ACQ	150 0000	08/28/2008	8294.64	8783.34	-488.70	LT15	Y
	150 0000	01/06/2009	8294.64	7615.67	678.97	LT15	Y
	50 0000	03/27/2009	2764.88	2352.77	412.11	LT15	Y
700.0000 ALEXANDRIA REAL ESTATE EQUITIES INC - 015271109 - MINOR = 47							
SOLD		12/30/2011	48421.35				
ACQ	60 0000	07/22/2008	4150.40	6199.12	-2048.72	LT15	Y
	60 0000	01/06/2009	4150.40	3840.61	309.79	LT15	Y
	130 0000	07/16/2009	8992.54	4503.24	4489.30	LT15	Y
	300 0000	07/23/2009	20752.01	11827.15	8924.86	LT15	Y
	150 0000	09/24/2009	10376.00	8020.56	2355.44	LT15	Y
FOUND UPDATE							
350.0000 BANK N S HALIFAX - 064149107 - MINOR = 44							
SOLD		12/30/2011	17399.04				
ACQ	335 0000	02/02/2010	16653.37	14508.94	2144.43	LT15	Y
	15 0000	02/02/2010	745.67	649.65	96.02	LT15	Y
300 0000 BERKSHIRE HATHAWAY INC-CL B - 084670702 - MINOR = 43							
SOLD		01/20/2012	23887.58				
ACQ	150 0000	09/24/2008	11943.79	13399.20	-1455.41	LT15	Y
	150 0000	01/06/2009	11943.79	9971.85	1971.94	LT15	Y
100 0000 BLACKROCK INC PAR 01 - 09247X101 - MINOR = 43							
SOLD		03/13/2012	19993.64				
ACQ	100 0000	12/22/2010	19993.64	19122.36	871.28	LT15	Y
200 0000 CATERPILLAR INC - 149123101 - MINOR = 43							
SOLD		01/20/2012	21088.16				
ACQ	100 0000	02/16/2011	10544.08	10353.93	190.15	ST	Y
	100 0000	12/30/2011	10544.08	9101.67	1442.41	ST	
600 0000 CITIGROUP CAPITAL XII - 17315D204 - MINOR = 54							
SOLD		07/18/2012	15000.00				
ACQ	600 0000	03/10/2010	15000.00	15036.00	-36.00	LT15	Y
400 0000 DIAMOND OFFSHORE DRILLING INC - 25271C102 - MINOR = 43							
SOLD		12/30/2011	22337.10				
ACQ	100 0000	07/16/2009	5584.27	8412.70	-2828.43	LT15	Y
	300 0000	12/31/2010	16752.83	20015.73	-3262.91	ST	Y
500 0000 DOCTOR REDDY'S LAB - ADR - 256135203 - MINOR = 44							
SOLD		10/18/2011	15319.70				
ACQ	500 0000	12/22/2010	15319.70	18648.28	-3328.58	ST	Y
400 0000 ENERGY TRANSFER PARTNERS L P - 29273R109 - MINOR = 88							
SOLD		09/27/2012	16913.93				
ACQ	100 0000	09/24/2008	4228.48	3718.70	509.79	LT15	Y
	200 0000	08/11/2008	8456.97	8353.50	103.47	LT15	Y
	100 0000	01/06/2009	4228.48	3699.99	528.50	LT15	Y
150 0000 ENTERTAINMENT PPTYS TR - 29380T105 - MINOR = 47							
SOLD		10/18/2011	5990.88				
ACQ	75 0000	07/22/2008	2995.44	3969.97	-974.53	LT15	Y
	75 0000	08/28/2008	2995.44	4038.39	-1042.95	LT15	Y
FOUND UPDATE							
492.0000 FREEPORT MCMORAN COPPER & GOLD INC CL B - 35671D857 - MINOR = 43							
SOLD		01/23/2012	21618.07				
ACQ	146 6500	07/22/2008	6443.68	7759.67	-1315.99	LT15	Y
	194 0120	08/28/2008	8524.72	9184.23	-659.51	LT15	Y
	150.4380	08/11/2008	6610.12	6674.97	-64.85	LT15	Y
	.9000	07/22/2008	39.55	94.82	-55.27	LT15	Y
10.0000 GOOGLE INC - 38259P508 - MINOR = 43							
SOLD		12/30/2011	6449.54				
ACQ	10 0000	02/16/2011	6449.54	6238.94	210.60	ST	
800.0000 INTERCONTINENTAL HTLS GRP PLSPONS - 45857P301 - MINOR = 44							
SOLD		02/10/2012	17551.66				
ACQ	400 0000	02/17/2011	8775.83	9255.26	-479.43	ST	Y
	400 0000	05/17/2011	8775.83	8298.04	477.79	ST	
0000 ISHARES GOLD TRUST - 464285105 - MINOR = 63							
SOLD		12/31/2011	65.49				
ACQ	0000	05/26/2011	65.49	80.13	-14.64	ST	Y
ADDED							
200 0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 43							
SOLD		12/30/2011	16246.98				
ACQ	200 0000	03/08/2010	16246.98	16251.18	-4.20	LT15	Y
20 0000 LUCENT TECH CAP TR I CV TR PFD 7 75% - 549462307 - MINOR = 54							
SOLD		12/02/2011	12308.56				
ACQ	20 0000	03/15/2010	12308.56	15701.20	-3392.64	LT15	Y
500 0000 METLIFE INC COM EQUITY UNITS - 59156R116 - MINOR = 51							
SOLD		12/30/2011	30670.71				
ACQ	500 0000	03/03/2011	30670.71	41440.00	-10769.29	ST	
1000 0000 NATIONAL WESTMINSTER BK PLC - 638539882 - MINOR = 44							
SOLD		03/07/2012	23118.88				
ACQ	1000 0000	08/10/2010	23118.88	23248.40	-129.52	LT15	Y
100 0000 NORFOLK SOUTHERN CORP - 655844108 - MINOR = 43							
SOLD		03/07/2012	6543.11				
ACQ	100 0000	04/21/2009	6543.11	3664.77	2878.34	LT15	Y
4000 NORTHEAST UTILS - 664397106 - MINOR = 43							
SOLD		04/13/2012	14.36				
ACQ	4000	07/22/2008	14.36	9.90	4.46	LT15	Y

ACCT 544D 25D064558768
FROM 10/01/2011
TO 09/30/2012

CITIGROUP PRIVATE BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ROBERT LEH FDN-FUND B VI NEUBERGER

PAGE 52
RUN 11/21/2012
11 22 42 AM

TRUST YEAR ENDING 09/30/2012

TAX PREPARER 20
TRUST ADMINISTRATOR 208
INVESTMENT OFFICER 189

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
600 0000 PENN WEST PETROLEU COM NPV - 707887105 - MINOR = 44							
SOLD		09/27/2012	8669 49				
ACQ	200 0000	07/21/2008	2889 83	6200 08	-3310 25	LT15	Y
	200.0000	07/22/2008	2889 83	6087 72	-3197 89	LT15	Y
	200.0000	08/13/2008	2889 83	5639 00	-2749 17	LT15	Y
100 0000 PRUDENTIAL FINANCIAL INC - 744320102 - MINOR = 43							
SOLD		09/27/2012	5470.66				
ACQ	100.0000	02/16/2011	5470.66	6658 35	-1187.69	LT15	Y
300 0000 RALPH LAUREN CORP - 751212101 - MINOR = 43							
SOLD		07/11/2012	41381.07				
ACQ	300 0000	07/23/2009	41381.07	18346.50	23034 57	LT15	Y
0000 SPDR GOLD TRUST GLD SHS - 78463V107 - MINOR = 63							
SOLD		12/31/2011	56.29				
ACQ	0000	01/06/2009	56 29	34 50	21 79	ST	Y
ADDED							
600.0000 TELEFONICA S A F/K/A TELEFONIA DE ESPANA ADR - 879382208 - MINOR = 44							
SOLD		12/30/2011	10306 60				
ACQ	300.0000	02/16/2011	5153 30	7533.21	-2379 91	ST	
	300.0000	07/25/2011	5153 30	6917 73	-1764.43	ST	
700 0000 UBS AG CONV PFD 6 75% - 90265K205 - MINOR = 51							
SOLD		10/27/2011	18769.85				
ACQ	700.0000	09/10/2010	18769.85	17500.00	1269 85	LT15	Y
200 0000 VALE CAPITAL II PFD 6 750% - 91912F300 - MINOR = 54							
SOLD		06/05/2012	10962 81				
ACQ	200 0000	07/08/2009	10962 81	10000.00	962.81	LT15	Y
200 0000 ACCENTURE PLC - G1151C101 - MINOR = 44							
SOLD		10/27/2011	11987 76				
ACQ	200 0000	02/22/2011	11987 76	10612 00	1375 76	ST	
500 0000 LYONDELLBASELL INDUSTRIES - N53745100 - MINOR = 44							
SOLD		08/07/2012	23230.22				
ACQ	500.0000	04/04/2012	23230 22	22099 23	1130 99	ST	
TOTALS			491127 65	481673 15			

9454-50

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	-6873 62	0 00	26604 21	0 00	0 00	0.00*
COVERED FROM ABOVE	-10276 08	0 00	0 00	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	2 43	0 00	10 16			5.57
	-17147 27	0 00	26614 37	0.00	0 00	5.57
STATE						
NONCOVERED FROM ABOVE	-6873 62	0 00	26604 21	0.00	0 00	0 00*
COVERED FROM ABOVE	-10276 08	0 00	0 00	0.00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	2.43	0.00	10 16			5 57
	-17147.27	0 00	26614 37	0.00	0 00	5.57

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0 00	0.00
NEW YORK	N/A	N/A

ROBERT LEHMAN FOUNDATION, INC.
ADJUSTED SCHEDULE OF INVESTMENTS
AS AT SEPTEMBER 30, 2012

		Total			
Security Description		Units	Cost	Fair Value	
Corporate Bonds					
Bank and Finance					
	BA CR Card TR 2006-11A 2808% 4/15/2016	85,000	-	84,874	85,024
	Bank of America Fdg Corp 7 625% 6/1/2019	220,000	-	248,298	274,721
	Bank of America Corp 3 625% 3/17/2016	65,000	-	65,323	68,550
	Barclays PLC 2 75% 2/15/2023	350,000	-	349,790	359,625
	BB&T Corp 3 2% MAT 3/15/2016	72,000	-	72,095	77,330
	BB&T Corp 5 2% 12/23/2015	210,000	-	215,355	234,994
	Capital One Master TR 2005-9 A 31075% 8/15/2018	100,000	-	99,625	99,877
	Capital One Multi-Asset Execution Tr 5 750% 07/15/2020	250,000	-	249,914	306,773
	Chase Issuance Trust Ser 2007-17A 5 12% 10/15/2014	100,000	-	107,695	100,229
	Citibank Cr Card Issuance Tr Nt Cl 2007-A8 5 65% 9/20/2019	20,000	-	19,410	24,490
	Citibank Cr Card Issuance Tr Ser 2007 A3 6 15% 6/15/2039	265,000	-	269,757	365,059
	Citibank CCMT 2004-A8 4 9% MAT 12/12/16	60,000	-	65,808	65,832
	Citigroup Inc 5 875% 5/29/2037	7,000	-	6,505	8,232
	Citigroup Inc 8 5% MAT 5/22/2019	17,000	-	19,878	22,483
	Citigroup Inc 8 125% 7/15/2039	143,000	-	161,616	212,197
	Citigroup Inc Notes - BK/Entry 6 875% 6/1/2025	140,000	-	116,200	178,431
	Diageo Capital PLC 5 75% MAT 10/23/17	10,000	-	9,989	12,208
	Discover Card Master Trust 2007-A1 5 65% 3/16/2020	145,000	-	144,740	177,479
	European Inv't Bk 2875% 4/15/2015	290,000	-	289,922	294,498
	JP Morgan Chase 4 625% MAT 5/10/2021	365,000	-	364,595	407,997
	PNC Funding Corp 2 7% 9/19/2016	313,000	-	313,349	(0) 333,658
	Royal Bank of Scotland PLC 4 375% MAT 3/16/16	15,000	-	15,366	16,156
	Wells Fargo & Co New 2 1% 5/8/2017	20,000	-	20,394	20,692
	Wells Fargo & Co New 5 625% 12/11/2017	24,000	-	23,124	28,815
	Wells Fargo & Co 2 625% 12/15/2016	174,000	-	173,555	184,109
	Wachovia Bk Natl Assn 5 850% 2/01/2037	9,000	-	8,432	11,319
	Total Bank and Finance	3,469,000	-	3,515,608	3,970,775
Other					
	Aflac Inc 3 45% 8/15/2015	250,000	-	258,288	268,310
	Aflac Inc 8 50% 5/15/2019	69,000	-	77,230	92,419
	Aflac Inc 6 45% 8/15/2040	80,000	-	79,599	98,507
	Anheuser Busch Cos Inc 5 5% 1/15/2018	220,000	-	259,006	265,377
	Archer Daniels Midland Co 5 45% 3/15/2018	113,000	-	134,337	136,194
	Astrazeneca PLC 6 45% 9/15/2037	4,000	-	3,983	5,471
	AT&T Inc 2 5% 8/15/2015	150,000	-	156,809	157,614
	AT&T Inc 2 95% 5/15/2016	199,000	-	209,216	213,837
	AT&T Inc Global 3 875% 8/15/2021	15,000	-	16,846	16,979
	Caterpillar Inc 7 90% 12/15/2018	130,000	-	170,362	176,210
	ConocoPhillips 5 200% 5/15/2018	120,000	-	139,363	144,101
	GE CAP Credit Card Ser 2012-1 1 03% 1/16/2018	400,000	-	399,967	404,076
	General Elec Cap Corp Global 6 75% 3/15/2032	187,000	-	199,777	239,551
	General Elec Cap Corp Mtn Be 1 365% 5/9/2016	225,000	-	215,269	225,137
	General Elec Cap Corp Med Term 6 875% 1/10/2039	66,000	-	76,053	88,183
	General Elec Cap Corp Med Term 6 15% 8/7/2037	25,000	-	23,930	30,633
	General Elec Cap Corp 6375% 5/11/2016	5,000	-	3,982	4,865
	Halliburton Co 6 15% 9/15/2019	220,000	-	242,072	274,144
	Harley-Davidson Tr Talf Ser 2009 A-4 2 54% 4/15/2017	62,865	-	64,221	62,953
	Hewlett Packard Co 3% 9/15/2016	5,000	-	4,989	5,167
	Hewlett Packard Co 5 5% 3/1/2018	2,000	-	1,999	2,279
	Hewlett Packard Co 6 125% 3/1/2014	50,000	-	55,928	53,304
	Honda Auto Rec Talf Ser 2009-2 A-4 4 43% 7/15/2015	119,332	-	125,858	119,552
	Honeywell International 3 875% 2/15/2014	50,000	-	49,868	52,338
	Jdot Ser 2011-A Class A2 64% 6/16/2014	22,853	-	22,852	22,858
	Lowe's Companies Inc 2 125% 4/15/2016	70,000	-	69,958	72,697
	McDonalds Corp 5% MAT 2/1/2019	50,000	-	52,998	59,160
	McDonalds Corp Med Term Nt Bmtf 5 8% 10/15/2017	2,000	-	1,996	2,463
	McGraw Hill Cos Inc 5 375% 11/15/2012	25,000	-	25,320	25,136
	McGraw Hill Cos Inc 6 55% 11/15/2037	-	-	-	0
	MetLife Inc 7 717% 02/15/2019	201,000	-	191,819	261,847
	Nissan Auto Receivables 4 74% 8/17/2015	45,701	-	48,536	46,015

ROBERT LEHMAN FOUNDATION, INC.
ADJUSTED SCHEDULE OF INVESTMENTS

AS AT SEPTEMBER 30, 2012

		Total			
Security Description	Units	Cost		Fair Value	
Nucor Corp 5 75% 12/1/2017	50,000	-	50,678	-	60,759
Philip Electronics 5 75% 3/11/2018	130,000	-	152,467	-	158,206
Philip Morns Intl Inc Note 6 875% 3/17/2014	20,000	-	23,508	-	21,850
Philip Morns Intl Inc 5 75% 3/11/2018	50,000	-	49,403	-	60,849
Philip Morns Intl Inc 4 5% 3/26/2020	250,000	-	295,868	-	293,470
Philip Morns Intl Inc 1 125% 8/21/2017	64,000	-	63,575	-	63,948
Rio Tinto Fin PLCP 8 95% 5/1/2014	245,000	-	295,517	-	275,993
Target Corp 6 0% 1/15/2018	20,000	-	20,426	-	24,679
Target Corp 5 375% 5/1/2017	200,000	-	236,880	-	238,540
Travelers Cos Inc 5 80% 5/15/2018	41,000	-	43,684	-	50,432
UnitedHealth Group Inc 1 875% 11/15/2016	300,000	-	306,261	-	309,921
United Technologies Corp 1 8% 6/1/2017	275,000	-	282,695	0	285,222
Verizon Communications 4 35% 2/15/2013	10,000	-	9,153	-	10,146
Verizon Communications 8 95% 3/1/2039	5,000	-	4,874	-	8,713
Verizon Communications 8 75% 11/1/2018	230,000	-	293,320	-	321,117
Vodafone Group PLC	300,000	-	322,212	-	322,386
Wal Mart Stores Inc Nt 5 80% 2/15/2018	80,000	-	87,821	-	99,438
		-	-	-	-
Total Other	5,453,751	-	5,920,768	0	6,233,042
Corporate Bonds (Continued)					
International Obligations					
Rabobank Nederland Utrec 3 375% 1/19/2017	260,000	-	264,828	-	275,967
Rio Tinto Fin USA Ltd 6 5% 7/15/2018	50,000	-	62,212	-	62,285
Rio Tinto Fin USA Ltd 8 95% 5/1/2014	20,000	-	24,124	-	22,530
Statoilhydro ASA	25,000	-	29,812	-	29,926
Toronto-Dominion Bank 2 5% 7/14/2016	335,000	-	344,131	-	350,946
Transcanada Pipelines LTD 2 5% 8/1/2022	211,000	-	211,062	-	213,443
		-	-	-	-
Total International Obligations	901,000	-	936,169	-	955,097
		-	-	-	-
Total Corporate Bonds	9,823,751	-	10,372,544	0	11,158,915
Municipal Bonds					
California St Build America 7 55% 4/1/2039	110,000	-	111,514	-	149,216
California St Var Purp 4- 7 5% 4/1/2034	105,000	-	105,772	-	138,396
Commonwealth of Massachusetts G/O 5 25% 9/1/2023	95,000	-	112,756	-	124,368
Florida St Brd Ed Pub Ed Cap Outlay 5% MAT 6/1/2041	120,000	-	127,314	-	144,995
Massachusetts St Dev Fin Agy 5% 10/15/2040	75,000	-	85,152	-	88,211
Massachusetts St Health & Edl Facs 5.5% 7/1/2032	60,000	-	71,849	-	86,735
Washington St Motor Vehicle Fuel Tax 5% 6/1/2041	85,000	-	92,706	-	97,669
		-	-	-	-
Total Municipal Bonds	650,000	-	707,062	0	829,591
Government Bonds					
Royal Bank of Scotland Plc 3.4% MAT 8/23/13	10,000	-	10,243	-	10,191
U.S. Treasury Notes 1 25% MAT 4/30/2019	10,000	-	9,995	-	10,188
U.S. Treasury Notes SERC - 2022 1.75% MAT 5/15/2022	30,000	-	30,643	-	30,422
U.S. Treasury Notes SER X - 2016 2% MAT 4/30/2016	25,000	-	26,426	-	26,424
U.S. Treasury Notes SER U - 2016 2 125% MAT 2/29/2016	75,000	-	79,292	-	79,471
U.S. Treasury Notes SER Z - 2014 1% MAT 5/15/2014	215,000	-	218,113	-	217,670
U.S. Treasury Notes SER F - 2021 2% MAT 11/15/2021	139,000	-	139,611	-	145,113
		-	-	-	-
Total Government Bonds	504,000	-	514,325	-	519,478
		-	-	-	-
Total Fixed Income	10,977,751	(0)	11,593,930	0	12,507,985
Common Stocks					
Domestic					

ROBERT LEHMAN FOUNDATION, INC.
ADJUSTED SCHEDULE OF INVESTMENTS
AS AT SEPTEMBER 30, 2012

		Total		Fair Value	
Security Description	Units	Cost			
Electrical Machinery					
General Electric Corp Com	5,700	-	123,959	-	129,447
Thermo Fisher Corp	915	-	35,447	-	53,829
Total Electrical Machinery	6,615	-	159,406	-	183,276
Entertainment					
Madison Square Garden Inc	950	-	27,528	-	38,257
Total Entertainment	950	-	27,528	-	38,257
Publishing					
Gannett Co Inc	7,000	-	103,297	-	124,250
News Corp	3,825	-	47,978	-	93,732
Total Publishing	10,825	-	151,275	-	217,982
Consumer					
Companhia De Bebidas Das Jarden Corp	900	-	24,634	-	34,434
Johnson Controls Inc	2,040	-	66,962	-	107,794
Kimberly Clark Corp	2,750	-	103,547	-	75,350
Macy's Inc	1,100	-	66,160	-	94,358
Mccormick & Co Inc	1,825	-	45,045	-	68,657
Monster Worldwide Inc	1,100	-	38,547	-	68,244
Philip Morris Intl Inc	12,550	-	159,616	-	91,992
Regal Entertainment Group CL A	500	-	23,208	-	44,970
Snyders-Lance Inc	4,000	-	47,349	-	56,280
Starbucks Corp	3,500	-	76,375	-	87,465
Visteon Corp	2,100	-	105,538	-	106,491
Walt Disney Company	2,810	-	181,171	-	124,933
Total Consumer	1,800	-	63,034	-	94,104
	36,975	-	1,001,186	-	1,055,070
Biotechnology					
Ecolab Inc	1,300	-	66,277	-	84,253
Total Biotechnology	1,300	-	66,277	-	84,253
Retail					
McDonalds Corp Com	1,000	-	55,884	-	91,750
Tiffany & Co	1,400	-	86,884	-	86,632
Total Retail	2,400	-	142,768	-	178,382
Health Care					
Abbott Labs Inc Com	2,300	-	115,733	-	157,688
Bristol Meyers Squibb Co	4,200	-	117,979	-	141,750
Johnson & Johnson	1,550	-	104,833	-	106,811
Laboratory Corp Amer Hldgs	845	-	56,610	-	78,137
Merck & Co Inc	1,401	-	60,630	-	63,178
Pfizer Inc	3,400	-	72,622	-	84,490
Alere Inc	7,975	-	225,686	-	155,433
Hospira Inc	2,850	-	119,519	-	93,537
Warner Chilcott PLC ORD	6,800	-	160,362	-	91,800
Thermo Fisher Scientific Inc	1,150	-	46,241	-	67,655
Total Health Care	32,471	-	1,080,214	-	1,040,478
Utilities					
Northeast Utilities	1,672	-	42,101	-	63,921
Total Utilities	1,672	-	42,101	-	63,921

ROBERT LEHMAN FOUNDATION, INC.
ADJUSTED SCHEDULE OF INVESTMENTS
AS AT SEPTEMBER 30, 2012

Security Description		Units		Cost		Fair Value
Banks						
Bank Hawaii Corp Com		1,700	-	60,858	-	77,554
JPMorgan Chase & CO		2,050	-	80,805	-	82,984
US Bancorp Del		1,600	-	47,733	-	54,880
Wells Fargo & Co		4,000	-	119,240	-	138,120
Total Bank		9,350	-	308,636	-	353,538
Basic Materials						
Compass Minerals Intl Inc		760	-	60,993	-	56,688
E I Du Pont De Nemours & Co		2,000	-	100,619	-	100,540
Harry Winston Diamond Corp		3,325	-	40,592	-	39,368
Molycorp Inc		1,100	-	29,474	-	12,650
Total Basic Materials		7,185	-	231,678	-	209,246
Business Services						
Fidelity National Information Svcs		3,320	-	90,421	-	103,650
Total Business Services		3,320	-	90,421	-	103,650
Financial						
Ares Capital Corp		4,200	-	66,392	-	71,988
Boston Properties Inc		750	-	74,976	-	82,958
Digital Realty Tr Inc		600	-	33,570	-	41,910
Heckmann Corp		20,075	-	109,723	-	84,315
Starwood Property Trust Inc		3,500	-	65,090	-	81,445
Toronto Dominion Bank		1,400	-	107,105	-	116,676
United Technologies Corp		1,200	-	93,369	-	93,948
Willis Group Holdings LTD		1,825	-	67,376	-	67,379
Total Financial		33,550	-	617,599	-	640,619
Industrial Goods						
Air Products & Chemicals Inc		700	-	59,672	-	57,890
Eaton Corp		1,600	-	90,031	-	75,632
Emerson Electric Co		2,000	-	110,685	-	96,540
Republic Svcs Inc		4,025	-	119,487	-	110,728
Total Industrial Goods		8,325	-	379,876	-	340,790
Natural Resources						
Exxon Mobil Corp		750	-	60,552	-	68,588
Occidental Pete Corp		1,700	-	120,681	-	146,302
Paladin Energy LTD		9,600	-	34,548	-	12,480
Seadrill Ltd		1,025	-	37,254	-	40,201
Total Oil & Gas		13,075	-	253,035	-	267,570
Telecommunication Services						
AT&T Inc		1,400	-	44,814	-	52,780
Neustar Inc		4,735	-	116,126	-	189,542
Viasat Inc		2,390	-	97,629	-	89,338
Total Communication Equipment		8,525	-	258,570	-	331,660
Computer Hardware						
Apple Incorporated		275	-	46,831	-	183,454
Total Computer Hardware		275	-	46,831	-	183,454
Other Transportation						
Golar Lng LTD Bermuda		9,050	-	166,095	-	349,240
Golar Lng Partners LP Com		2,375	-	68,617	-	76,095
Gulfmark Offshore Inc		1,325	-	40,849	-	43,778

ROBERT LEHMAN FOUNDATION, INC.
ADJUSTED SCHEDULE OF INVESTMENTS
AS AT SEPTEMBER 30, 2012

		Total			
Security Description		Units	Cost		Fair Value
Total Other Transportation		12,750	-	275,560	469,113
Technology					
Ebay Inc		3,425	-	130,607	165,667
Google Inc		140	-	106,413	105,630
Hewlett Packard Co		2,625	-	96,919	44,782
Intel Corp		3,000	-	59,776	67,965
Int'l Business Machines Corp		550	-	62,412	114,098
Microsoft Corp		1,500	-	46,965	44,640
Yahoo Inc		6,975	-	108,638	111,426
Total Technology		18,215	-	611,730	654,207
Basic Industry					
Coca Cola Co		1,200	-	25,849	45,516
Lockheed Martin Corp		555	-	39,643	51,826
Monsanto Co New		1,030	-	66,102	93,751
Pepsico Inc Com		2,100	-	137,254	148,617
Ventas Inc		975	-	31,609	60,694
Vornado Rlty Tr		504	-	30,751	40,849
Total Basic Industry		6,364	-	331,209	441,252
Total Domestic		214,142	-	6,075,900	6,856,718
Common Stocks (Continued)					
Foreign					
Energy					
Canadian Nat Res Ltd		2,700	-	100,109	83,133
CIA Saneamento Basico DO		2,200	-	74,855	178,662
Schlumberger Ltd		2,090	-	129,047	151,170
Total Energy		6,990	-	304,010	412,965
Financial					
Arch Capital Group LTD		5,610	-	127,130	233,600
Total Financial		5,610	-	127,130	233,600
Basic Industry					
Bwinparty Digital Entertainment		24,942	-	88,144	41,847
Keppel Corp		8,930	-	39,634	82,988
Nestle S A Sponsored ADR Repstg Reg		1,500	-	63,765	94,815
Total S A Spons ADR		1,500	-	74,372	75,150
Vodafone Group		5,000	-	126,802	142,500
Total Basic Industry		41,872	-	392,717	437,301
Total Foreign		54,472	-	823,858	1,083,866
Preferred Stock					
Aegon NV 8%		3,000	-	75,180	80,700
Apache Corporation 6%		750	-	41,971	36,592
Bank of America Corporation Conv Pfd		200	-	171,808	217,800
Barclays Banks Plc 8 125%		6,100	-	146,713	158,112
Capital One Cap II 7 5%		2,000	-	48,290	51,620
Citigroup T-Decs Due 12/15/2012		1,800	-	183,683	87,282
El Paso Ener Cap Ep 4 75%		1,200	-	44,740	65,208
General Motors Company 4 75%		2,100	-	102,947	78,288
Glimcher Realty Trust 7 34%		2,900	-	72,500	74,037
Goodyear Tire & Rubber Co		2,100	-	113,611	92,757
Hartford Finl Svcs Group Inc		2,100	-	52,626	40,110
Hersha Hospitality Trust 8% Prf		3,700	-	92,500	95,793
HSBC Holdings PLC 8% Call 12/15/2015		1,900	-	47,899	53,675

ROBERT LEHMAN FOUNDATION, INC.
ADJUSTED SCHEDULE OF INVESTMENTS
AS AT SEPTEMBER 30, 2012

				Total			
Security Description				Units	Cost	Fair Value	
	PPL Corp Pref Conv 9 5%			1,300	-	67,334	70,005
	Public Storage Preferred 5 75%			2,800	-	70,000	73,472
	United Technologies Corp 7 5			1,400	-	70,000	78,540
	Vornado Realty LP 7 875% Call 10/1/2014			1,000	-	25,060	27,573
	Wells Fargo & Co 7 50%			90	-	43,205	111,407
	Total Preferred Stock			36,440	-	1,470,066	1,492,971
	Total Common and Preferred Stocks			305,054	-	8,369,824	9,433,554
Mutual Funds							
	First Eagle Global Fund			81,147	-	3,899,226	4,041,107
	Ishares DJ US Real Estate Indx			1,350	-	54,250	86,913
	Ishares Gold Trust			5,400	-	80,244	93,258
	Payden Value Leaders FD Short Bond			173,029	-	1,746,218	1,768,357
	PIMCO Total Return Fd Instl Cl			584,681	-	6,173,633	6,770,606
	Primecap Odyssey Fds			128,887	-	1,907,425	2,216,856
	Rainier Invnt Mgmt Mut Fds			49,428	-	1,900,000	2,136,264
	Total Mutual Funds			1,023,921	-	15,760,997	17,113,361
Limited Partnership Interests							
Limited Partnerships							
	Alternative Focus Brevan Howard Ltd			24,769	-	2,476,888	2,762,035
	Arclight Energy Partners LLP			1	-	(145,689)	14,873
	Arclight Energy Partners Fund V L P			86	-	455,790	495,565
	Breitbart Energy Partners LP			4,000	-	73,418	77,720
	Cypress Merchant Banking Partners			1	-	-	-
	Cypress Merchant B Partners			1	-	451,189	364,741
	Energy Transfer Equity LP			1,500	-	40,725	67,800
	Enterprise Prod Partners LP			2,500	-	72,922	134,000
	Kinder Morgan Energy Partners			1,040	-	55,435	85,800
	Magellan Midstream Partners LP			1,000	-	37,198	87,460
	Mountain Lake Partners LP			1	-	1,003,988	1,089,568
	NB Co-Investment Partners LP			1	-	1,198,278	1,314,911
	NB Second Opportunities Funds LP			1	-	495,965	976,935
	Oneok Partners LP			1,280	-	34,150	76,160
	Plains All American Pipeline L P			1,600	-	75,185	141,120
	Regency Energy Partners LP			1,000	-	17,280	23,320
	Tnlanctic Capital Partners FD III LP			1	-	269,311	847,045
	Tnlanctic Capital Partners FD IV LP			1	-	444,875	647,974
	Total Limited Partnerships			38,783	-	7,056,906	9,207,027
	Total Investments			12,345,509	-	42,781,657	48,261,927
				-	-	-	-

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PHILIP H ISLES C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	PRES/DIR 40.00	84,479.	25,637.	0.
MICHAEL M THOMAS C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	TREAS/DIR 20.00	2,472.	30,113.	0.
FRANCESCA VALERIO C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	EXEC DIRECTOR 40.00	72,500.	36,393.	0.
ROBERT A BERNHARD C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	DIRECTOR 0.00	0.	0.	0.
JAMES M HESTER C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	DIRECTOR 0.00	0.	0.	0.
ROBERT O LEHMAN C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	DIRECTOR 0.00	0.	2,566.	0.
MARIE LEHMAN C/O ROBERT LEHMAN FOUNDATION, 488 MADISON AVENUE, 9TH FLOOR NEW YORK, NY 10022	DIRECTOR 0.00	0.	1,011.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		159,451.	95,720.	0.

Robert Lehman Foundation, Inc

EIN 13-6094018

Part XV, Line 3 Statement

September 30, 2012

Recipient	Relationship to manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
American Friends of Israel New York, NY 10110	N/A	Museum	Publication of Museum Collection Journal	500
American Scandinavian Fdn New York, NY 10016	N/A	Public	Annual Benefit	1,000
Boise Art Museum Boise, ID 83702	N/A	Museum	William Morris / Alexis Rockman Exhibition	5,000
Bric Arts Media Brooklyn Brooklyn, New York 11201	N/A	Museum	BRIC Contemporary Art Education	7,500
Boys & Girls Club of Cleveland Cleveland, OH 44127	N/A	Public	To support the Young Rembrants After School Program	5,000
Brooklyn Museum Brooklyn, NY 11238	N/A	Museum	Youth and Beauty Exhibition	25,000
Bronx Museum of the Arts Bronx, NY 10456	N/A	Public	To support the Arts Education Programs	7,500
Brooks School North Andover, MA 01845	N/A	School	Program support for Robert Lehman Art Center	30,000
Carleton College Northfield, MN 55057	N/A	School	To support the Robert Lehman Lecture series	6,000
Coalition for the Homeless New York, NY 10038	N/A	Public	Artwalk NY Benefit	1,000
Doing Art Together New York, NY 10024	N/A	Public	Art Education Program at P.S. 22 in Brooklyn, New York	7,500
The Drawing Center New York, NY 10013	N/A	Public	To support Capital Expansion Program	2,000
Frame (Grant) Los Angeles, CA 90027	N/A	Public	Caravaggio and His Followers Exhibition	30,000
Hammer Museum Los Angeles, CA 90024	N/A	Museum	Gustave Moreau Salome Exhibition	10,000
The Harley School Taos, NM 87571	N/A	School	Annual Installment to support Art Glass Teacher	15,000
Hellen Keller International New York, NY 10010	N/A	Public	Annual Gala	1,000
Institute of Fine Arts Foundation of New York University New York, NY 10021	N/A	Public	To support the Lehman Collection Scholarly Catalogue	90,000
International Center of Photography New York, NY 10036	N/A	Museum	Annual Fund Support for Exhibitions and Education	1,000
International Foundation of Art New York, NY 10110	N/A	Public	Leonardo da Vinci Lecture	5,000
Isamu Noguchi Foundation New York, NY 11106	N/A	Museum	Noguchi Chisel Hammer Brush Exhibition	5,000
Leap New York, NY 10024	N/A	Public	Mural Making Artist Residencies	7,500
Marquis Studios Brooklyn, NY 11201	N/A	Public	Abstract Art Program	5,000
Maryland Art Place Baltimore, MD 21202	N/A	Public	Speaker Series	6,000

Robert Lehman Foundation, Inc
EIN 13-6094018

Part XV, Line 3 Statement

September 30, 2012

Recipient	Relationship to manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Memorial Art Gallery Rochester, NY 14607	N/A	Public	Tiffany Windows Exhibition	5,000
The Metropolitan Museum of Art New York, NY 10028	N/A	Public	To provide maintenance for the museum's Robert Lehman Wing	998,835
Minneapolis Institute of Art Minneapolis, MN 55404	N/A	Public	Jacopo di Barbari Exhibition	5,000
Museum of Art, Rhode Island Providence, RI 02903	N/A	Museum	Photography Exhibition	5,000
Museum of Glass Tacoma, WA 98402	N/A	Museum	Australian Glass Exhibition	5,000
New Museum New York, NY 10002	N/A	Museum	Pictures from the Moon Exhibition	5,000
Museum of Biblical Art New York, NY 10023	N/A	Museum	Bartolodi Fredi Exhibition	10,000
New York Studio School New York, NY 10011	N/A	Public	Lecture Series / Gala	25,000
New York University New York, NY 10012	N/A	School	Weisl Lectureship	6,000
No Longer Empty New York, NY 10155	N/A	Public	This Side of Paradise Exhibition	10,000
Int'l Independent Curators New York, NY 10013	N/A	Public	Adaptive Exhibition Program	1,000
P S 321 Brooklyn, NY 11215	N/A	School	Art Education Programs	3,500
Parrish Art Museum Southampton, NY 11968	N/A	Public	Landscape Pleasures - \$700 Capital Grant - \$190k, Benefit - \$10k, A Jackson Retirement Fund - \$5k	205,700
Pennsylvania Academy Philadelphia, PA 19102	N/A	School	Community Outreach Program	5,000
Portland Art Museum Portland, OR 97205	N/A	Museum	Object Stories Program	10,000
Publicolor Inc New York, NY 10016	N/A	Public	Summer Design Studio Program	7,500
Ricardo O'Gorman Garden and Center New York, NY 10027	N/A	Public	To support the Visual Arts Program	5,000
Renaissance Society New York, NY 10016	N/A	Public	Artist Talk Lecture	2,500
Rutgers University Foundation New Brunswick, NJ 08901	N/A	School	Weisl Lectureship	5,550
Seattle Art Museum Seattle, WA 98122	N/A	Public	To support the Museum's capital expansion, annual dues membership in the Museum's Committee on the Collection through fall 2010 (three years)	115,000
Seton Hall University South Orange, NJ 07079	N/A	School	Weisl Lecture Series	3,325
Shelburne Museum Shelburne, VT 05482	N/A	Museum	Wyeth Vertigo Catalog	15,500
Smack Mellon Brooklyn, New York 11201	N/A	Museum	Artists Studio Program	7,500

Robert Lehman Foundation, Inc				
EIN 13-6094018				
Part XV, Line 3 Statement				
September 30, 2012				
Recipient	Relationship to manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Sunburst Foundation Boca Raton, FL 33431	N/A	Public	Visual Arts Education / Eureka Elementary	6,367
The Barnes Foundation Philadelphia, PA 19130	N/A	Public	Renior Catalog	10,000
The Hyde Collection Glens Falls, NY 12801	N/A	Public	Georgia O' Keefe Exhibition	5,000
The Jewish Museum New York NY 10128	N/A	Museum	Vuillard Exhibition	10,000
University of Maryland College Park, MD 20742	N/A	School	Visual Art & Jazz Exhibition	5,000
Vermont Studio Center Johnson, Vermont 05656	N/A	Public	Residency Fellowships	7,500
Villa I Tatti Cambridge, MA 02138	N/A	Public	Berenson Online Catalog	10,000
Village of Arts and Humanities Philadelphia, PA 19133	N/A	Public	Learning Through the Arts Initiative	10,000
Virginia Museum of Fine Arts Richmond, VA 23220	N/A	Public	Vogel Collection Exhibition	5,000
Wadsworth Atheneum Museum Hartford, CT 06103	N/A	Museum	Andrew Wyeth Exhibition	14,500
Whitney Museum of America New York NY 10021	N/A	Museum	Hopper Drawings Exhibition	20,000
Woodmere Art Museum Philadelphia, PA 19118	N/A	Museum	Salvatore Pinto Exhibition	6,000
Yale University Art Gallery New Haven, CT 06510	N/A	Public	Gallery Patrons Membership Group	5,000
				1,849,777

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer. See instructions.	Employer identification number (EIN) or
	ROBERT LEHMAN FOUNDATION	☒ 13-6094018
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	3 HERTZ HERSON & CO LLP 477 MADISON AVENUE, 10 FL	☐
	City, town, or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10022	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► _____

Telephone No ► _____ FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until MAY 15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☐ calendar year 20 ____ or
► ☒ tax year beginning OCTOBER 1, 20 11, and ending SEPTEMBER 30, 20 12

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	5,000
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	5,000
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions

Employer identification number (EIN) or

ROBERT LEHMAN FOUNDATION, INC.

13-6094018

Number, street, and room or suite no. If a P.O. box, see instructions.

Social security number (SSN)

C/O HERTZ, HERSON & CO. LLP 477 MADISON AVENUE

City, town or post office, state, and ZIP code. For a foreign address, see instructions

NEW YORK, NY 10022

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No ☐ FAX No ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until AUGUST 15, 20 13.
- 5 For calendar year 2011, or other tax year beginning OCTOBER 1, 20 11, and ending SEPTEMBER 30, 20 12.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension Information and third-party source documents necessary to file a complete and accurate return have not yet been made available to taxpayer. As a result, taxpayer is unable to complete and file its tax return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	0.00
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶

CPA

Date ▶

5/18/13

Form 8868 (Rev. 1-2013)