



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

**2011**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning 10/01, 2011, and ending 09/30, 2012

Name of foundation  
**THE EDWARD E. FORD FOUNDATION P63042009**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**10 S DEARBORN IL1-0117**

City or town, state, and ZIP code  
**CHICAGO, IL 60603**

**A Employer identification number**  
**13-6047243**

**B Telephone number (see instructions)**  
**(866) 888-5157**

**C** If exemption application is pending, check here

**D** 1 Foreign organizations, check here   
 2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  
 Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 60,396,118.**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>   |  |                                    |                           |                         |   |
| 1  | Contributions, gifts, grants, etc., received (attach schedule)                                   |                                    |                           |                         |   |
| 2  | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Schedule B |                                    |                           |                         |   |
| 3  | Interest on savings and temporary cash investments   |                                    |                           |                         |   |
| 4  | Dividends and interest from securities   | 1,216,524.                         | 1,215,655.                |                         | STMT 1  |
| 5a   | Gross rents  |                                    |                           |                         |   |
| b  | Net rental income or (loss)  |                                    |                           |                         |   |
| 6a   | Net gain or (loss) from sale of assets not on line 10  | 1,451,216.                         |                           |                         |   |
| b  | Gross sales price for all assets on line 6a  | 42,351,765.                        |                           |                         |   |
| 7  | Capital gain net income (from Part IV, line 2)   |                                    | 1,451,216.                |                         |   |
| 8  | Net short-term capital gain  |                                    |                           |                         |   |
| 9  | Income modifications   |                                    |                           |                         |   |
| 10a  | Gross sales less returns and allowances  |                                    |                           |                         |   |
| b  | Less Cost of goods sold  |                                    |                           |                         |   |
| c  | Gross profit or (loss) (attach schedule)   |                                    |                           |                         |   |
| 11   | Other income (attach schedule)   | -61,169.                           | 19,200.                   |                         | STMT 2  |
| 12   | <b>Total.</b> Add lines 1 through 11   | 2,606,571.                         | 2,686,071.                |                         |   |
| <b>Operating and Administrative Expenses</b>   |  |                                    |                           |                         |   |
| 13   | Compensation of officers, directors, trustees, etc.  | 412,283.                           | 187,901.                  |                         | 224,382.  |
| 14   | Other employee salaries and wages  | 41,000.                            |                           |                         | 41,000.   |
| 15   | Pension plans, employee benefits   | 99,923.                            |                           |                         | 99,923.   |
| 16a  | Legal fees (attach schedule)   |                                    |                           |                         |   |
| b  | Accounting fees (attach schedule)  |                                    |                           |                         |   |
| c  | Other professional fees (attach schedule)  | 6,286.                             | 6,286.                    |                         |   |
| 17   | Interest   | 775.                               | 775.                      |                         |   |
| 18   | Taxes (attach schedule) (see instructions)   | 58,404.                            | 14,404.                   |                         |   |
| 19   | Depreciation (attach schedule) and depletion   |                                    |                           |                         |   |
| 20   | Occupancy  | 22,918.                            |                           |                         | 22,918.   |
| 21   | Travel, conferences, and meetings  | 31,167.                            |                           |                         | 31,167.   |
| 22   | Printing and publications  |                                    |                           |                         |   |
| 23   | Other expenses (attach schedule)   | 142,761.                           |                           |                         | 142,761.  |
| 24   | <b>Total operating and administrative expenses.</b> Add lines 13 through 23                      | 815,517.                           | 209,366.                  |                         | 562,151.  |
| 25   | Contributions, gifts, grants paid  | 2,569,830.                         |                           |                         | 2,569,830.  |
| 26   | <b>Total expenses and disbursements.</b> Add lines 24 and 25                                     | 3,385,347.                         | 209,366.                  |                         | 3,131,981.  |
| 27   | Subtract line 26 from line 12  |                                    |                           |                         |   |
| a  | Excess of revenue over expenses and disbursements  | -778,776.                          |                           |                         |   |
| b  | Net investment income (if negative, enter -0-)   |                                    | 2,476,705.                |                         |   |
| c  | Adjusted net income (if negative, enter -0-)   |                                    |                           |                         |   |

SCANNED AUG 16 2013

| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)      |   |             |             |
|-----------------------------|---|---|---|-------------|-------------|
|                             |   | Beginning of year<br>(a) Book Value   | End of year<br>(b) Book Value (c) Fair Market Value |             |             |
| Assets                      | 1   | Cash - non-interest-bearing   |   |             |             |
|                             | 2   | Savings and temporary cash investments  | 2,255,482.  | 3,562,596.  | 3,562,596.  |
|                             | 3   | Accounts receivable   |   |             |             |
|                             |   | Less allowance for doubtful accounts  |   |             |             |
|                             | 4   | Pledges receivable  |   |             |             |
|                             |   | Less allowance for doubtful accounts  |   |             |             |
|                             | 5   | Grants receivable   |   |             |             |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) |   |             |             |
|                             | 7   | Other notes and loans receivable (attach schedule)  |   |             |             |
|                             |   | Less allowance for doubtful accounts  |   |             |             |
|                             | 8   | Inventories for sale or use   |   |             |             |
|                             | 9   | Prepaid expenses and deferred charges   |   |             |             |
|                             | 10 a  | Investments - U S and state government obligations (attach schedule)  |   |             |             |
|                             | b   | Investments - corporate stock (attach schedule) STMT 7  | 29,318,804.   | 25,929,480. | 29,174,772. |
|                             | c   | Investments - corporate bonds (attach schedule) STMT 8  | 18,690,018.   | 20,466,306. | 20,821,258. |
|                             | 11  | Investments - land, buildings, and equipment basis  |   |             |             |
|                             | Less accumulated depreciation (attach schedule)   |   |   |             |             |
| 12                          | Investments - mortgage loans  |   |   |             |             |
| 13                          | Investments - other (attach schedule) STMT 9  | 6,717,619.  | 6,219,330.  | 6,837,492.  |             |
| 14                          | Land, buildings, and equipment basis  |   |   |             |             |
|                             | Less accumulated depreciation (attach schedule)   |   |   |             |             |
| 15                          | Other assets (describe)   |   |   |             |             |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I)                         | 56,981,923.   | 56,177,712.   | 60,396,118. |             |
| Liabilities                 | 17  | Accounts payable and accrued expenses   |   |             |             |
|                             | 18  | Grants payable  |   |             |             |
|                             | 19  | Deferred revenue  |   |             |             |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons  |   |             |             |
|                             | 21  | Mortgages and other notes payable (attach schedule)   |   |             |             |
|                             | 22  | Other liabilities (describe)  |   |             |             |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22)  |   |   |             |             |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |   |   |             |             |
|                             | 24  | Unrestricted  |   |             |             |
|                             | 25  | Temporarily restricted  |   |             |             |
|                             | 26  | Permanently restricted  |   |             |             |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>   |   |   |             |             |
|                             | 27  | Capital stock, trust principal, or current funds  | 56,981,923.   | 56,177,712. |             |
|                             | 28  | Paid-in or capital surplus, or land, bldg, and equipment fund   |   |             |             |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds  |   |             |             |
| 30                          | <b>Total net assets or fund balances</b> (see instructions)   | 56,981,923.   | 56,177,712.   |             |             |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions)  | 56,981,923.   | 56,177,712.   |             |             |

| Part III Analysis of Changes in Net Assets or Fund Balances |  |               |
|---|--|---------------|
| 1   | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 56,981,923. |
| 2   | Enter amount from Part I, line 27a   | 2 -778,776.   |
| 3   | Other increases not included in line 2 (itemize)   | 3             |
| 4   | Add lines 1, 2, and 3  | 4 56,203,147. |
| 5   | Decreases not included in line 2 (itemize) SEE STATEMENT 10  | 5 25,435.     |
| 6   | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30   | 6 56,177,712. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)   |  |   | (b) How acquired<br>P-Purchase<br>D-Donation   | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|---|--|--------------------------------------|----------------------------------|
| <b>1a PUBLICLY TRADED SECURITIES</b>   |  |   |  |                                      |                                  |
| b  |  |   |  |                                      |                                  |
| c  |  |   |  |                                      |                                  |
| d  |  |   |  |                                      |                                  |
| e  |  |   |  |                                      |                                  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)   |                                      |                                  |
| a 42,017,443.  |  | 40,900,549.                                     | 1,116,894.   |                                      |                                  |
| b  |  |   |  |                                      |                                  |
| c  |  |   |  |                                      |                                  |
| d  |  |   |  |                                      |                                  |
| e  |  |   |  |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  |  |   | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |                                      |                                  |
| (i) FMV as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col (j), if any   |  |                                      |                                  |
| a  |  |   | 1,116,894.   |                                      |                                  |
| b  |  |   |  |                                      |                                  |
| c  |  |   |  |                                      |                                  |
| d  |  |   |  |                                      |                                  |
| e  |  |   |  |                                      |                                  |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }  |  |   | 2  | 1,451,216.                           |                                  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in<br>Part I, line 8 |  |   | 3  |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)   | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|--|--|--|---|
| 2010   | 3,062,563.                               | 61,630,169.                                  | 0.049693  |
| 2009   | 2,585,930.                               | 59,100,914.                                  | 0.043754  |
| 2008   | 2,888,859.                               | 52,869,240.                                  | 0.054642  |
| 2007   | 3,566,528.                               | 68,916,591.                                  | 0.051751  |
| 2006   | 3,220,166.                               | 74,469,932.                                  | 0.043241  |
| 2 Total of line 1, column (d)  |  |  | 0.243081  |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                       |  |  | 0.048616  |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5   |  |  | 58,953,256.   |
| 5 Multiply line 4 by line 3  |  |  | 2,866,071.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)   |  |  | 24,767.   |
| 7 Add lines 5 and 6  |  |  | 2,890,838.  |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. |  |  | 3,131,981.  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|    |   |    |         |
|----|---|----|---------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .<br>Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) |    |         |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .  | 1  | 24,767. |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).  |    |         |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .  | 2  |         |
| 3  | Add lines 1 and 2 . . . . .   | 3  | 24,767. |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .  | 4  | NONE    |
| 5  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .  | 5  | 24,767. |
| 6  | Credits/Payments:   |    |         |
| a  | 2011 estimated tax payments and 2010 overpayment credited to 2011 . . . . .   | 6a | 27,000. |
| b  | Exempt foreign organizations - tax withheld at source . . . . .   | 6b | NONE    |
| c  | Tax paid with application for extension of time to file (Form 8868) . . . . .   | 6c | 12,000. |
| d  | Backup withholding erroneously withheld . . . . .   | 6d |         |
| 7  | Total credits and payments. Add lines 6a through 6d . . . . .   | 7  | 39,000. |
| 8  | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .  | 8  | 18.     |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .  | 9  |         |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .  | 10 | 14,215. |
| 11 | Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> 14,215. Refunded <input type="checkbox"/> <b>11</b>   | 11 |         |

**Part VII-A Statements Regarding Activities**

|    | Yes | No |
|----|-----|----|
| 1a |     | X  |
| 1b |     | X  |
| 1c |     | X  |
| 2  |     | X  |
| 3  |     | X  |
| 4a |     | X  |
| 4b |     |    |
| 5  |     | X  |
| 6  | X   |    |
| 7  | X   |    |
| 8b | X   |    |
| 9  |     | X  |
| 10 |     | X  |

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . . 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13 X
Website address WWW.EEFORD.ORG
14 The books are in care of JP MORGAN SERVICES INC. Telephone no (866) 888-5157
Located at 10 S DEARBORN ST IL1-0117, CHICAGO, IL ZIP + 4 60603
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b X
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? . . . . . Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes No X
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) . . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? . . . . . 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 11     |   | 412,283.                                  | 30,600.   | -0-                                   |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   | NONE             | NONE  | NONE                                  |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000  NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                               | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     | NONE             |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
| <b>Total number of others receiving over \$50,000 for professional services . . . . .</b> |                     | <b>NONE</b>      |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 NONE  |          |
| 2   |          |
| 3   |          |
| 4   |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE   |        |
| 2  |        |
| All other program-related investments See instructions   |        |
| 3 NONE   |        |
| <b>Total. Add lines 1 through 3 . . . . .</b>  |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |   |           |             |
|----------|---|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |             |
| <b>a</b> | Average monthly fair market value of securities   | <b>1a</b> | 55,786,757. |
| <b>b</b> | Average of monthly cash balances  | <b>1b</b> | 4,064,264.  |
| <b>c</b> | Fair market value of all other assets (see instructions)  | <b>1c</b> | NONE        |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)   | <b>1d</b> | 59,851,021. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |             |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets  | <b>2</b>  | NONE        |
| <b>3</b> | Subtract line 2 from line 1d  | <b>3</b>  | 59,851,021. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 897,765.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 58,953,256. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5  | <b>6</b>  | 2,947,663.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|           |  |           |            |
|-----------|--|-----------|------------|
| <b>1</b>  | Minimum investment return from Part X, line 6  | <b>1</b>  | 2,947,663. |
| <b>2a</b> | Tax on investment income for 2011 from Part VI, line 5   | <b>2a</b> | 24,767.    |
| <b>b</b>  | Income tax for 2011. (This does not include the tax from Part VI.)                                       | <b>2b</b> |            |
| <b>c</b>  | Add lines 2a and 2b  | <b>2c</b> | 24,767.    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                    | <b>3</b>  | 2,922,896. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions  | <b>4</b>  | NONE       |
| <b>5</b>  | Add lines 3 and 4  | <b>5</b>  | 2,922,896. |
| <b>6</b>  | Deduction from distributable amount (see instructions)   | <b>6</b>  | NONE       |
| <b>7</b>  | <b>Distributable amount</b> as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 2,922,896. |

**Part XII Qualifying Distributions** (see instructions)

|          |   |           |            |
|----------|---|-----------|------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |           |            |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | <b>1a</b> | 3,131,981. |
| <b>b</b> | Program-related investments - total from Part IX-B  | <b>1b</b> |            |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes   | <b>2</b>  | NONE       |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:  |           |            |
| <b>a</b> | Suitability test (prior IRS approval required)  | <b>3a</b> | NONE       |
| <b>b</b> | Cash distribution test (attach the required schedule)   | <b>3b</b> | NONE       |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | 3,131,981. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | 24,767.    |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | <b>6</b>  | 3,107,214. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|---|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2011 from Part XI, line 7 . . . . .   |               |                            |             | 2,922,896.  |
| <b>2</b> Undistributed income, if any, as of the end of 2011:   |               |                            |             |             |
| <b>a</b> Enter amount for 2010 only . . . . .   |               |                            | NONE        |             |
| <b>b</b> Total for prior years 20 <u>09</u> , 20 <u>  </u> , 20 <u>  </u>   |               | NONE                       |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2011:   |               |                            |             |             |
| <b>a</b> From 2006 . . . . .  | 921,633.      |                            |             |             |
| <b>b</b> From 2007 . . . . .  | NONE          |                            |             |             |
| <b>c</b> From 2008 . . . . .  | 271,981.      |                            |             |             |
| <b>d</b> From 2009 . . . . .  | NONE          |                            |             |             |
| <b>e</b> From 2010 . . . . .  | 45,925.       |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .  | 1,239,539.    |                            |             |             |
| <b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ <u>3,131,981.</u>   |               |                            |             |             |
| <b>a</b> Applied to 2010, but not more than line 2a . . . . .   |               |                            | NONE        |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .  |               | NONE                       |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .  | NONE          |                            |             |             |
| <b>d</b> Applied to 2011 distributable amount . . . . .   |               |                            |             | 2,922,896.  |
| <b>e</b> Remaining amount distributed out of corpus . . . . .   | 209,085.      |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2011 . . . . .<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                     | NONE          |                            |             | NONE        |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e Subtract line 5   | 1,448,624.    |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .  |               | NONE                       |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .  |               | NONE                       |             |             |
| <b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount - see instructions . . . . .   |               |                            | NONE        |             |
| <b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012 . . . . .  |               |                            |             | NONE        |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .                                  | NONE          |                            |             |             |
| <b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . . . .  | 921,633.      |                            |             |             |
| <b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a . . . . .  | 526,991.      |                            |             |             |
| <b>10</b> Analysis of line 9:   |               |                            |             |             |
| <b>a</b> Excess from 2007 . . . . .   | NONE          |                            |             |             |
| <b>b</b> Excess from 2008 . . . . .   | 271,981.      |                            |             |             |
| <b>c</b> Excess from 2009 . . . . .   | NONE          |                            |             |             |
| <b>d</b> Excess from 2010 . . . . .   | 45,925.       |                            |             |             |
| <b>e</b> Excess from 2011 . . . . .   | 209,085.      |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

|  | Tax year |          |          |          | (e) Total |
|--|----------|----------|----------|----------|-----------|
|  | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 |           |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |          |          |          |           |
| b 85% of line 2a . . . . .   |          |          |          |          |           |
| c Qualifying distributions from Part XII, line 4 for each year listed . . . . .  |          |          |          |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .  |          |          |          |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .                                   |          |          |          |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon  |          |          |          |          |           |
| a "Assets" alternative test - enter  |          |          |          |          |           |
| (1) Value of all assets . . . . .  |          |          |          |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .   |          |          |          |          |           |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                             |          |          |          |          |           |
| c "Support" alternative test - enter   |          |          |          |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |          |          |          |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |          |          |          |          |           |
| (3) Largest amount of support from an exempt organization . . . . .  |          |          |          |          |           |
| (4) Gross investment income . . . . .  |          |          |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:  
SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include:  
SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.  
SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|---|--|--------------------------------------|-------------------------------------|------------|
| a <i>Paid during the year</i><br><br>SEE STATEMENT 17 |  |                                      |                                     |            |
| <b>Total</b> ..... ▶ 3a                               |  |                                      |                                     | 2,569,830. |
| b <i>Approved for future payment</i>                  |  |                                      |                                     |            |
| <b>Total</b> ..... ▶ 3b                               |  |                                      |                                     |            |



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here [Signature] 08/08/2013 [Title] May the IRS discuss this return with the preparer shown below (see instructions)? [ ] Yes [X] No

Paid Preparer Use Only Print/Type preparer's name Preparer's signature Date Check [ ] if self-employed PTIN Firm's name Firm's address Firm's EIN Phone no

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                            | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--|---|-----------------------------|
| DIVIDENDS AND INTEREST FROM SECURITIES | 1,216,524.                              | 1,215,655.                  |
| TOTAL                                  | 1,216,524.                              | 1,215,655.                  |

FORM 990PF, PART I - OTHER INCOME  
=====

| DESCRIPTION<br>-----      | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|---------------------------|--|--------------------------------------|
| FEDERAL EXCISE TAX REFUND | 8,900.   |                                      |
| OTHER INVESTMENT INCOME   | 19,200.  | 19,200.                              |
| DEFERRED REVENUE          | -89,269.   |                                      |
|                           | -----  | -----                                |
| TOTALS                    | -61,169.   | 19,200.                              |
|                           | =====  | =====                                |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES  
=====

| DESCRIPTION<br>-----           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|--------------------------------|--|--------------------------------------|
| INVESTMENT MGMT FEES-SUBJECT T | 6,286.   | 6,286.                               |
| TOTALS                         | 6,286.   | 6,286.                               |
|                                | =====  | =====                                |

FORM 990PF, PART I - INTEREST EXPENSE

| DESCRIPTION         | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|---------------------|---|-----------------------------|
| INVESTMENT EXPENSES | 775.                                    | 775.                        |
| TOTALS              | 775.                                    | 775.                        |

FORM 990PF, PART I - TAXES  
=====

| DESCRIPTION<br>-----           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|--------------------------------|--|--------------------------------------|
| FEDERAL TAX PAYMENT - PRIOR YE | 27,000.  |                                      |
| FEDERAL ESTIMATES - INCOME     | 17,000.  |                                      |
| FOREIGN TAXES ON QUALIFIED FOR | 11,625.  | 11,625.                              |
| FOREIGN TAXES ON NONQUALIFIED  | 2,779.   | 2,779.                               |
| TOTALS                         | 58,404.  | 14,404.                              |
|                                | =====  | =====                                |

FORM 990PF, PART I - OTHER EXPENSES  
=====

REVENUE  
AND  
EXPENSES  
PER BOOKS  
-----

CHARITABLE  
PURPOSES  
-----

DESCRIPTION  
-----

ATTORNEY GENERAL FILING FEE  
OTHER CHARITABLE EXPENSES

4,500.  
138,261.

4,500.  
138,261.

-----  
TOTALS 142,761.  
=====

-----  
142,761.  
=====

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION  | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|--------------|----------------------|---------------|
| SEE ATTACHED | 25,929,480.          | 29,174,772.   |
| TOTALS       | 25,929,480.          | 29,174,772.   |

THE EDWARD E. FORD FOUNDATION P63042009  
FORM 990PF, PART II - CORPORATE BONDS  
=====

13-6047243

| DESCRIPTION<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|----------------------|-------------------------------|----------------------|
| SEE ATTACHED         | 20,466,306.                   | 20,821,258.          |
| TOTALS               | 20,466,306.                   | 20,821,258.          |
|                      | =====                         | =====                |

THE EDWARD E. FORD FOUNDATION P63042009  
 FORM 990PF, PART II - OTHER INVESTMENTS  
 =====

13-6047243

| DESCRIPTION                  | COST/<br>FMV<br>C OR F | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|------------------------------|------------------------|----------------------|---------------|
| STRUCTURED INVEST P630420009 | C                      | 6,219,330.           | 6,837,492.    |
| HEDGE FUNDS P63042009        | C                      | 6,219,330.           | 6,837,492.    |
| SEE ATTACHED                 | C                      |                      |               |
| TOTALS                       |                        | =====                | =====         |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION             | AMOUNT                    |
|-------------------------|---------------------------|
| -----                   | -----                     |
| PRIOR PERIOD ADJUSTMENT | 25,435.                   |
| TOTAL                   | -----<br>25,435.<br>===== |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

ROBERT W. HALLETT

ADDRESS:

66 PEARL ST., SUITE 322  
PORTLAND, ME 04101

TITLE:

EXECUTIVE DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 203,504.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS..... 30,600.

OFFICER NAME:

JPMORGAN CHASE BANK, NA

ADDRESS:

270 PARK AVE.  
NEW YORK, NY 10017

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 15

COMPENSATION ..... 208,779.

OFFICER NAME:

MISS GILLIAN ATTFIELD

ADDRESS:

79 EAST 79TH STREET  
NEW YORK, NY 10021

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

MS. GILLIAN R. CHRISTENSEN

ADDRESS:

1260 PITMAN AVENUE  
PALO ALTO, CA 94301

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:  
MR. GEORGE J. GILLESPIE, III  
ADDRESS:  
WORLDWIDE PLAZA, 825 EIGHTH AVENUE  
NEW YORK, NY 10019  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. PHILIP V. HAVENS  
ADDRESS:  
37D TAYLORS LANE  
LITTLE COMPTON, RI 02837  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. EDWARD FORD MENARD  
ADDRESS:  
145 COQUINA AVENUE  
ORMOND BEACH, FL 32174-3303  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
NANCY R. CAVANAUGH  
ADDRESS:  
74 LAUREL ROAD  
NEW CANAAN, CT 06840  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

MR. & MRS. LYMAN W. MENARD

ADDRESS:

463 NORTH BEACH STREET  
ORMOND BEACH, FL 32174

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

MR. H. WARD REIGHLEY

ADDRESS:

4 WAHACKME LANE  
NEW CANAAN, CT 06840

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

MR. PHILIP SMITH

ADDRESS:

32 JOPPA ROAD; PO BOX 90  
WEST SOUTHPORT, ME 04576

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

WILLIAM L. MENARD, ESQ.

ADDRESS:

3223 CHESAPEAKE STREET, NW  
WASHINGTON, DC 20008

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:

JOHN K. PRENTISS, DVM

ADDRESS:

366 SALEM STREET  
ANDOVER, MA 01810

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

WALTER BURGIN

ADDRESS:

2153 CALIFORNIA STREET, NW, #402  
WASHINGTON, DC 20008

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

MR. CHRISTOPHER BROOKS

ADDRESS:

11 HERSHEY STREET  
HINGHAM, MA 02043

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

MR. DAVID HUBBARD

ADDRESS:

315 MADISON AVENUE, ROOM 900  
NEW YORK, NY 10017-5405

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

MR. TYLER TINGLEY

ADDRESS:

11 EAST 26TH STREET, 17TH FLOOR  
NEW YORK, NY 10010-1420

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

TOTAL COMPENSATION:

412,283.

=====

TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS:

30,600.

=====

RECIPIENT NAME:

JOHN C. GULLA, EXEC DIR

ADDRESS:

26 COURT STREET, SUITE 2200  
BROOKLYN, NY 11242-1122

RECIPIENT'S PHONE NUMBER: 718-596-1950

FORM, INFORMATION AND MATERIALS:

SEE WWW.EEFORD.ORG FOR APPLICATION  
REQUIREMENTS.

SUBMISSION DEADLINES:

SEE WW.EEFORD.ORG FOR SUBMISSION  
DEADLINES.

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE WWW.EEFORD.ORG FOR RESTRICTIONS &  
LIMITATIONS ON AWARDS.

=====

RECIPIENT NAME:

SEE ATTACHED

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 2,569,830.

TOTAL GRANTS PAID:

2,569,830.

=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2011**

Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

Note: Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example: 100 shares 7% preferred of "Z" Co)   | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|---|--------------------------------------|----------------------------------|-----------------|---|--|
| 1a  |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .   |                                      |                                  |                 |   | <b>1b</b> -492,596.  |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .   |                                      |                                  |                 |   | <b>2</b>   |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .  |                                      |                                  |                 |   | <b>3</b>   |
| 4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet . . . . .               |                                      |                                  |                 |   | <b>4</b> ( )   |
| 5 <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶ |                                      |                                  |                 |   | <b>5</b> -492,596.   |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example: 100 shares 7% preferred of "Z" Co)   | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|---|--------------------------------------|----------------------------------|-----------------|---|--|
| 6a<br>LONG-TERM CAPITAL GAIN DIVIDENDS  |                                      |                                  |                 |   | 334,322.   |
|   |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
|   |                                      |                                  |                 |   |  |
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .  |                                      |                                  |                 |   | <b>6b</b> 1,609,490.   |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .  |                                      |                                  |                 |   | <b>7</b>   |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .   |                                      |                                  |                 |   | <b>8</b>   |
| 9 Capital gain distributions . . . . .  |                                      |                                  |                 |   | <b>9</b>   |
| 10 Gain from Form 4797, Part I . . . . .  |                                      |                                  |                 |   | <b>10</b>  |
| 11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet . . . . .                |                                      |                                  |                 |   | <b>11</b> ( )  |
| 12 <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶ |                                      |                                  |                 |   | <b>12</b> 1,943,812.   |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

| <b>Part III Summary of Parts I and II</b>                          |   | (1) Beneficiaries' (see instr ) | (2) Estate's or trust's | (3) Total  |
|--|---|---------------------------------|-------------------------|------------|
| <b>Caution: Read the instructions before completing this part.</b> |   |                                 |                         |            |
| <b>13</b>  | Net short-term gain or (loss)                               | <b>13</b>                       |                         | -492,596.  |
| <b>14</b>  | Net long-term gain or (loss):                               |                                 |                         |            |
| a  | Total for year  | <b>14a</b>                      |                         | 1,943,812. |
| b  | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | <b>14b</b>                      |                         |            |
| c  | 28% rate gain   | <b>14c</b>                      |                         | 241.       |
| <b>15</b>  | Total net gain or (loss). Combine lines 13 and 14           | <b>15</b>                       |                         | 1,451,216. |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary

**Part IV Capital Loss Limitation**

|           |   |           |  |
|-----------|---|-----------|--|
| <b>16</b> | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: | <b>16</b> |  |
| a         | The loss on line 15, column (3) or b \$3,000  |           |  |

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

|           |  |           |  |           |
|-----------|--|-----------|--|-----------|
| <b>17</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)  | <b>17</b> |  |           |
| <b>18</b> | Enter the smaller of line 14a or 15 in column (2) but not less than zero   | <b>18</b> |  |           |
| <b>19</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)   | <b>19</b> |  |           |
| <b>20</b> | Add lines 18 and 19  | <b>20</b> |  |           |
| <b>21</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-  | <b>21</b> |  |           |
| <b>22</b> | Subtract line 21 from line 20. If zero or less, enter -0-  | <b>22</b> |  |           |
| <b>23</b> | Subtract line 22 from line 17. If zero or less, enter -0-  | <b>23</b> |  |           |
| <b>24</b> | Enter the smaller of the amount on line 17 or \$2,300  | <b>24</b> |  |           |
| <b>25</b> | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box.<br><input type="checkbox"/> No. Enter the amount from line 23 | <b>25</b> |  |           |
| <b>26</b> | Subtract line 25 from line 24  | <b>26</b> |  |           |
| <b>27</b> | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22  | <b>27</b> |  |           |
| <b>28</b> | Enter the amount from line 26 (If line 26 is blank, enter -0-)   | <b>28</b> |  |           |
| <b>29</b> | Subtract line 28 from line 27  | <b>29</b> |  |           |
| <b>30</b> | Multiply line 29 by 15% (.15)  |           |  | <b>30</b> |
| <b>31</b> | Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)   |           |  | <b>31</b> |
| <b>32</b> | Add lines 30 and 31  |           |  | <b>32</b> |
| <b>33</b> | Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)   |           |  | <b>33</b> |
| <b>34</b> | Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)   |           |  | <b>34</b> |

**SCHEDULE D-1  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D  
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009** Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr) | (c) Date sold<br>(mo., day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|--|---------------------------------|-----------------|---|---|
| 1a 5000. MORGAN STANLEY  | 07/21/2011                             | 10/04/2011                      | 4,267.00        | 4,999.00                                      | -732.00                                     |
| 5000. MORGAN STANLEY   | 07/21/2011                             | 10/04/2011                      | 4,161.00        | 4,999.00                                      | -838.00                                     |
| 10000. ANHEUSER BUSCH INBE   | 01/24/2011                             | 10/07/2011                      | 11,489.00       | 10,784.00                                     | 705.00                                      |
| 5300. BP P L C SPONSORED A   | VAR                                    | 10/07/2011                      | 196,174.00      | 233,991.00                                    | -37,817.00                                  |
| 3700. ITT INDS INC IND   | VAR                                    | 10/07/2011                      | 162,612.00      | 204,314.00                                    | -41,702.00                                  |
| 4300. MOTOROLA SOLUTIONS I   | 08/05/2011                             | 10/07/2011                      | 187,270.00      | 181,441.00                                    | 5,829.00                                    |
| 3100. NEWMONT MNG CORP   | 08/05/2011                             | 10/07/2011                      | 193,705.00      | 172,787.00                                    | 20,918.00                                   |
| 4800. PLAINS EXPL & PRODTN   | 04/28/2011                             | 10/07/2011                      | 114,934.00      | 178,906.00                                    | -63,972.00                                  |
| 365000. USA TREAS NOTES  | 09/13/2011                             | 10/14/2011                      | 362,533.00      | 363,900.00                                    | -1,367.00                                   |
| 90000. USA TREAS NOTES   | 09/02/2011                             | 10/18/2011                      | 89,859.00       | 90,587.00                                     | -728.00                                     |
| 35000. USA TREAS NOTES   | VAR                                    | 10/19/2011                      | 38,075.00       | 35,329.00                                     | 2,746.00                                    |
| 200000. USA TREAS NOTES  | 07/29/2011                             | 10/19/2011                      | 208,844.00      | 201,219.00                                    | 7,625.00                                    |
| 130000. USA TREAS NOTES  | VAR                                    | 10/19/2011                      | 129,533.00      | 130,007.00                                    | -474.00                                     |
| 30000. INTEL CORP  | 09/14/2011                             | 10/20/2011                      | 30,637.00       | 29,954.00                                     | 683.00                                      |
| 13000. VANGUARD EMERGING M   | 01/27/2011                             | 10/20/2011                      | 495,651.00      | 621,175.00                                    | -125,524.00                                 |
| 8000. VERIZON COMMUNICATIO   | 08/19/2011                             | 10/20/2011                      | 8,431.00        | 8,303.00                                      | 128.00                                      |
| 22000. VERIZON COMMUNICATI   | 08/19/2011                             | 10/20/2011                      | 23,187.00       | 22,834.00                                     | 353.00                                      |
| 3800. SPDR GOLD TRUST  | 08/04/2011                             | 10/27/2011                      | 636,735.00      | 621,618.00                                    | 15,117.00                                   |
| 40000. BNP PARIBAS   | 04/04/2011                             | 10/28/2011                      | 39,746.00       | 40,259.00                                     | -513.00                                     |
| 225000. USA TREAS NOTES  | VAR                                    | 11/02/2011                      | 226,644.00      | 225,894.00                                    | 750.00                                      |
| 430000. U S A NOTES  | VAR                                    | 11/02/2011                      | 429,160.00      | 428,838.00                                    | 322.00                                      |
| 10000. USA TREAS NOTES   | 11/02/2011                             | 11/03/2011                      | 10,042.00       | 10,050.00                                     | -8.00                                       |
| 15000. USA TREAS NOTES   | 11/02/2011                             | 11/08/2011                      | 15,090.00       | 15,107.00                                     | -17.00                                      |
| 20000. USA TREAS NOTES   | 11/02/2011                             | 11/08/2011                      | 20,108.00       | 20,142.00                                     | -34.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D  
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2011**

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009** Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---|---|----------------------------------|-----------------|---|---|
| 1a 15000. USA TREAS NOTES   | 11/02/2011                              | 11/09/2011                       | 15,190.00       | 15,107.00                                     | 83.00                                       |
| 600000. BARC CALLABLE REN   | 01/28/2011                              | 11/17/2011                       | 651,000.00      | 594,000.00                                    | 57,000.00                                   |
| 300000. FHLMC   | 02/23/2011                              | 11/17/2011                       | 300,113.00      | 299,890.00                                    | 223.00                                      |
| 25000. ENERGY TRANSFER PAR  | 05/09/2011                              | 11/23/2011                       | 24,165.00       | 24,920.00                                     | -755.00                                     |
| 100000. FHLB  | 09/28/2011                              | 11/25/2011                       | 100,178.00      | 100,025.00                                    | 153.00                                      |
| 410000. USA TREAS NOTES   | 11/02/2011                              | 11/28/2011                       | 409,920.00      | 410,114.00                                    | -224.00                                     |
| 44000. ISHARES MISCI EAFE   | 02/02/2011                              | 12/07/2011                       | 2,265,974.00    | 2,674,188.00                                  | -408,214.00                                 |
| 24422.735 MATTHEWS PACIFIC<br>FUND                                      | 08/23/2011                              | 12/07/2011                       | 524,845.00      | 550,000.00                                    | -25,155.00                                  |
| 5000. USA TREAS NOTES   | 11/23/2011                              | 12/07/2011                       | 5,055.00        | 5,082.00                                      | -27.00                                      |
| 15000. TIME WARNER CABLE I  | 09/08/2011                              | 12/16/2011                       | 15,121.00       | 14,953.00                                     | 168.00                                      |
| 10000. USA TREAS NOTES  | 11/02/2011                              | 01/03/2012                       | 10,075.00       | 10,050.00                                     | 25.00                                       |
| 40000. USA TREAS NOTES  | 10/14/2011                              | 01/04/2012                       | 40,128.00       | 40,002.00                                     | 126.00                                      |
| 20000. CATERPILLAR FINANCI  | 08/19/2011                              | 01/09/2012                       | 20,552.00       | 20,177.00                                     | 375.00                                      |
| 25000. USA TREAS NOTES  | VAR                                     | 01/10/2012                       | 25,387.00       | 25,317.00                                     | 70.00                                       |
| 155000. USA TREAS NOTES   | 10/14/2011                              | 01/11/2012                       | 155,714.00      | 155,006.00                                    | 708.00                                      |
| 20000. USA TREAS NOTES  | 11/02/2011                              | 01/11/2012                       | 20,210.00       | 20,100.00                                     | 110.00                                      |
| 80000. USA TREAS NOTES  | 11/02/2011                              | 01/12/2012                       | 81,728.00       | 80,569.00                                     | 1,159.00                                    |
| 25000. USA TREAS NOTES  | 01/11/2012                              | 01/13/2012                       | 25,108.00       | 25,051.00                                     | 57.00                                       |
| 20000. HEWLETT PACKARD CO   | 09/13/2011                              | 01/24/2012                       | 20,386.00       | 19,957.00                                     | 429.00                                      |
| 45000. USA TREAS NOTES  | 01/11/2012                              | 01/25/2012                       | 45,012.00       | 45,091.00                                     | -79.00                                      |
| 15000. ALTRIA GROUP INC   | 05/02/2011                              | 01/30/2012                       | 16,559.00       | 15,034.00                                     | 1,525.00                                    |
| 70000. USA TREAS NOTES  | 11/02/2011                              | 01/30/2012                       | 71,096.00       | 70,350.00                                     | 746.00                                      |
| 315000. FNMA  | 11/17/2011                              | 02/01/2012                       | 317,780.00      | 315,942.00                                    | 1,838.00                                    |
| 10000. USA TREAS NOTES  | 01/30/2012                              | 02/01/2012                       | 10,158.00       | 10,149.00                                     | 9.00  |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D  
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009** Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr.) | (c) Date sold<br>(mo, day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|--|---------------------------------|-----------------|---|---|
| 1a 115000. USA TREAS NOTES   | VAR                                    | 02/01/2012                      | 115,076.00      | 114,984.00                                    | 92.00                                       |
| 145000. USA TREAS NOTES  | VAR                                    | 02/01/2012                      | 146,206.00      | 145,202.00                                    | 1,004.00                                    |
| 15000. AMERSOURCEBERGEN CO   | 11/09/2011                             | 02/06/2012                      | 15,683.00       | 15,099.00                                     | 584.00                                      |
| 25000. WELLS FARGO & COMPA   | 01/13/2012                             | 02/07/2012                      | 26,745.00       | 26,234.00                                     | 511.00                                      |
| 25000. AMERICAN INTL GROUP   | 09/08/2011                             | 02/08/2012                      | 26,096.00       | 24,736.00                                     | 1,360.00                                    |
| 30000. USA TREAS NOTES   | VAR                                    | 02/08/2012                      | 30,393.00       | 30,063.00                                     | 330.00                                      |
| 35000. USA TREAS NOTES   | 11/02/2011                             | 02/08/2012                      | 35,407.00       | 35,175.00                                     | 232.00                                      |
| 90000. USA TREAS NOTES   | 11/28/2011                             | 02/08/2012                      | 90,004.00       | 89,979.00                                     | 25.00                                       |
| 120000. USA TREAS NOTES  | 11/28/2011                             | 02/08/2012                      | 120,009.00      | 119,972.00                                    | 37.00                                       |
| 35000. USA NOTES   | 01/31/2012                             | 02/08/2012                      | 34,899.00       | 34,951.00                                     | -52.00                                      |
| 80000. WI TREASURY N/B   | 01/30/2012                             | 02/15/2012                      | 79,963.00       | 80,056.00                                     | -93.00                                      |
| 25000. USA TREAS NOTES   | VAR                                    | 02/15/2012                      | 25,101.00       | 25,197.00                                     | -96.00                                      |
| 60000. USA TREAS NOTES   | VAR                                    | 02/17/2012                      | 60,059.00       | 60,820.00                                     | -761.00                                     |
| 155000. U S A TREASURY NOT   | 10/19/2011                             | 02/22/2012                      | 163,168.00      | 163,610.00                                    | -442.00                                     |
| 110000. U S A NOTES  | 12/30/2011                             | 02/22/2012                      | 109,540.00      | 109,652.00                                    | -112.00                                     |
| 125000. USA NOTES  | 02/02/2012                             | 02/22/2012                      | 124,458.00      | 124,810.00                                    | -352.00                                     |
| 95000. USA TREAS NOTES   | VAR                                    | 02/23/2012                      | 95,390.00       | 95,904.00                                     | -514.00                                     |
| 30000. USA TREAS NOTES   | VAR                                    | 02/24/2012                      | 30,581.00       | 29,980.00                                     | 601.00                                      |
| 35000. USA TREAS NOTES   | 05/31/2011                             | 02/28/2012                      | 39,004.00       | 35,160.00                                     | 3,844.00                                    |
| 15000. USA TREAS NOTES   | 10/31/2011                             | 02/28/2012                      | 15,357.00       | 14,932.00                                     | 425.00                                      |
| 35000. USA TREAS NOTES   | 02/13/2012                             | 02/28/2012                      | 35,593.00       | 35,543.00                                     | 50.00                                       |
| 70000. USA TREAS NOTES   | 10/14/2011                             | 02/28/2012                      | 70,221.00       | 70,003.00                                     | 218.00                                      |
| 60000. USA TREAS NOTES   | 11/23/2011                             | 03/02/2012                      | 60,309.00       | 60,408.00                                     | -99.00                                      |
| 125000. USA TREAS NOTES  | 11/28/2011                             | 03/02/2012                      | 124,985.00      | 124,971.00                                    | 14.00                                       |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

**2011**

Department of the Treasury  
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

|   |   |
|---|---|
| Name of estate or trust<br><b>THE EDWARD E. FORD FOUNDATION P63042009</b> | Employer identification number<br><b>13-6047243</b> |
|---|---|

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co ) | (b) Date<br>acquired<br>(mo , day, yr ) | (c) Date sold<br>(mo , day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---|---|----------------------------------|-----------------|---|---|
| <b>1a</b> 65000. USA TREAS NOTES  | 02/01/2012                              | 03/06/2012                       | 65,132.00       | 65,477.00                                     | -345.00                                     |
| 30000. USA TREAS NOTES  | 02/01/2012                              | 03/07/2012                       | 30,055.00       | 30,220.00                                     | -165.00                                     |
| 35000. USA TREAS NOTES  | VAR                                     | 03/08/2012                       | 35,111.00       | 35,225.00                                     | -114.00                                     |
| 125000. USA TREAS NOTES   | VAR                                     | 03/08/2012                       | 125,039.00      | 125,570.00                                    | -531.00                                     |
| 10000. MATTEL INC   | 11/03/2011                              | 03/09/2012                       | 10,228.00       | 9,970.00                                      | 258.00                                      |
| 85000. WI TREASURY N/B  | VAR                                     | 03/09/2012                       | 84,904.00       | 85,059.00                                     | -155.00                                     |
| 95000. USA TREAS NOTES  | 02/28/2012                              | 03/09/2012                       | 94,904.00       | 95,252.00                                     | -348.00                                     |
| 15000. USA TREAS NOTES  | 01/25/2012                              | 03/13/2012                       | 16,241.00       | 16,252.00                                     | -11.00                                      |
| 10000. MCKESSON CORP  | 05/06/2011                              | 03/14/2012                       | 10,686.00       | 10,279.00                                     | 407.00                                      |
| 70000. USA TREAS NOTES  | VAR                                     | 03/14/2012                       | 76,431.00       | 69,855.00                                     | 6,576.00                                    |
| 125000. USA TREAS NOTES   | VAR                                     | 03/14/2012                       | 123,491.00      | 124,955.00                                    | -1,464.00                                   |
| 4270.34 FHLMC   | 02/01/2012                              | 03/15/2012                       | 4,270.00        | 4,649.00                                      | -379.00                                     |
| 50000. U S A TREAS NOTES T  | 02/17/2012                              | 03/16/2012                       | 50,884.00       | 51,785.00                                     | -901.00                                     |
| 10000. USA TREAS NOTES  | 02/28/2012                              | 03/19/2012                       | 9,856.00        | 10,027.00                                     | -171.00                                     |
| 2138.88 GNMA  | 02/15/2012                              | 03/20/2012                       | 2,139.00        | 2,234.00                                      | -95.00                                      |
| 55000. USA TREAS NOTES  | 03/16/2012                              | 03/22/2012                       | 53,625.00       | 53,353.00                                     | 272.00                                      |
| 50000. BARRICK GOLD CORP  | 01/25/2012                              | 03/23/2012                       | 52,314.00       | 51,715.00                                     | 599.00                                      |
| 35000. GENERAL ELEC CAP CO  | 01/04/2012                              | 03/23/2012                       | 35,745.00       | 35,034.00                                     | 711.00                                      |
| 2696.28 FEDERAL NATL MTG A<br>PASS                                      | 02/08/2012                              | 03/26/2012                       | 2,696.00        | 2,927.00                                      | -231.00                                     |
| 4246.84 FNMA POOL NUM 8897  | 02/08/2012                              | 03/26/2012                       | 4,247.00        | 4,632.00                                      | -385.00                                     |
| 30000. ABBOTT LABORATORIES  | 05/06/2011                              | 03/27/2012                       | 36,309.00       | 34,657.00                                     | 1,652.00                                    |
| 205000. USA TREAS NOTES   | VAR                                     | 03/27/2012                       | 204,079.00      | 204,167.00                                    | -88.00                                      |
| 120000. USA TREAS NOTES   | VAR                                     | 03/27/2012                       | 120,422.00      | 119,994.00                                    | 428.00                                      |
| 90000. USA TREAS NOTES  | 10/14/2011                              | 03/27/2012                       | 90,116.00       | 90,004.00                                     | 112.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2011

JSA  
1F1221 2 000

**SCHEDULE D-1  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D  
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009**  
Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|---------------------------------------|--------------------------------|-----------------|---|---|
| 1a 110000. USA TREAS NOTES   | VAR                                   | 03/27/2012                     | 110,284.00      | 110,145.00                                    | 139.00                                      |
| 95000. USA TREAS NOTES   | VAR                                   | 03/27/2012                     | 94,414.00       | 95,125.00                                     | -711.00                                     |
| 60000. FHLMC   | 01/12/2012                            | 03/29/2012                     | 59,147.00       | 59,651.00                                     | -504.00                                     |
| 53542.01 JPMORGAN INTREPID<br>SELECT CL                                | 04/14/2011                            | 03/29/2012                     | 1,339,086.00    | 1,300,000.00                                  | 39,086.00                                   |
| 65000. USA TREAS NOTES   | 03/23/2012                            | 04/02/2012                     | 65,190.00       | 65,193.00                                     | -3.00                                       |
| 35000. U S A NOTES   | 03/27/2012                            | 04/03/2012                     | 37,461.00       | 37,538.00                                     | -77.00                                      |
| 15000. USA TREAS NOTES   | 03/29/2012                            | 04/03/2012                     | 14,766.00       | 14,775.00                                     | -9.00                                       |
| 25000. USA TREAS NOTES   | 03/29/2012                            | 04/03/2012                     | 24,346.00       | 24,625.00                                     | -279.00                                     |
| 115000. USA NOTES  | VAR                                   | 04/04/2012                     | 112,484.00      | 113,666.00                                    | -1,182.00                                   |
| 13000. SPDR TR UNIT SER 1  | 03/19/2012                            | 04/05/2012                     | 1,817,773.00    | 1,825,845.00                                  | -8,072.00                                   |
| 45000. USA TREAS NOTES   | VAR                                   | 04/05/2012                     | 44,307.00       | 44,303.00                                     | 4.00  |
| 95000. USA TREAS NOTES   | VAR                                   | 04/13/2012                     | 95,831.00       | 94,911.00                                     | 920.00                                      |
| 3251.05 FHLMC  | 02/01/2012                            | 04/16/2012                     | 3,251.00        | 3,540.00                                      | -289.00                                     |
| 2726.61 GNMA   | 03/02/2012                            | 04/16/2012                     | 2,727.00        | 2,991.00                                      | -264.00                                     |
| 75000. USA TREAS NOTES   | 03/23/2012                            | 04/16/2012                     | 75,231.00       | 75,223.00                                     | 8.00  |
| 20000. USA NOTES   | VAR                                   | 04/17/2012                     | 20,026.00       | 19,973.00                                     | 53.00                                       |
| 30000. BANK OF AMERICA COR   | 02/08/2012                            | 04/20/2012                     | 32,766.00       | 32,702.00                                     | 64.00                                       |
| 2124.99 GNMA   | 02/15/2012                            | 04/20/2012                     | 2,125.00        | 2,219.00                                      | -94.00                                      |
| 2465.85 FEDERAL NATL MTG A<br>PASS                                     | 02/08/2012                            | 04/25/2012                     | 2,466.00        | 2,677.00                                      | -211.00                                     |
| 5751.82 FNMA   | VAR                                   | 04/25/2012                     | 5,752.00        | 6,140.00                                      | -388.00                                     |
| 5954.1 FNMA  | 03/09/2012                            | 04/25/2012                     | 5,954.00        | 6,272.00                                      | -318.00                                     |
| 4529.04 FNMA POOL NUM 8897   | 02/08/2012                            | 04/25/2012                     | 4,529.00        | 4,939.00                                      | -410.00                                     |
| 7000. ENERGY TRANSFER PART   | 01/10/2012                            | 04/30/2012                     | 7,265.00        | 7,115.00                                      | 150.00                                      |
| 17000. ENERGY TRANSFER PAR   | 01/10/2012                            | 04/30/2012                     | 17,882.00       | 17,279.00                                     | 603.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

JSA  
1F1221 2 000

**SCHEDULE D-1  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D  
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009** Employer identification number: **13-6047243**

**Part 1 Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo., day, yr) | (c) Date sold (mo., day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|----------------------------------|------------------------------|-----------------|--|--|
| 1a 6000. ENERGY TRANSFER PART                                       | 01/10/2012                       | 04/30/2012                   | 6,241.00        | 6,099.00                                   | 142.00                                   |
| 35000. USA TREAS NOTES  | 03/23/2012                       | 05/02/2012                   | 35,113.00       | 35,104.00                                  | 9.00                                     |
| 60000. WI TREASURY N/B  | 02/01/2012                       | 05/02/2012                   | 59,993.00       | 60,035.00                                  | -42.00                                   |
| 25000. USA TREAS NOTES  | 04/30/2012                       | 05/02/2012                   | 25,159.00       | 25,179.00                                  | -20.00                                   |
| 105000. WI TREASURY N/B   | 02/01/2012                       | 05/10/2012                   | 104,996.00      | 105,062.00                                 | -66.00                                   |
| 120000. USA TREAS NOTES   | VAR                              | 05/10/2012                   | 121,411.00      | 120,206.00                                 | 1,205.00                                 |
| 25000. USA TREAS NOTES  | VAR                              | 05/10/2012                   | 25,325.00       | 24,698.00                                  | 627.00                                   |
| 30000. U S A NOTES  | 03/27/2012                       | 05/11/2012                   | 32,414.00       | 32,175.00                                  | 239.00                                   |
| 125000. WI TREASURY N/B   | 02/01/2012                       | 05/14/2012                   | 124,980.00      | 125,073.00                                 | -93.00                                   |
| 4098.62 FHLMC   | 02/01/2012                       | 05/15/2012                   | 4,099.00        | 4,462.00                                   | -363.00                                  |
| 35000. USA TREAS NOTES  | 05/10/2012                       | 05/15/2012                   | 34,907.00       | 34,526.00                                  | 381.00                                   |
| 2712.47 GNMA  | 03/02/2012                       | 05/16/2012                   | 2,712.00        | 2,976.00                                   | -264.00                                  |
| 40000. USA TREAS NOTES  | 03/14/2012                       | 05/16/2012                   | 42,180.00       | 41,875.00                                  | 305.00                                   |
| 2111.18 GNMA  | 02/15/2012                       | 05/21/2012                   | 2,111.00        | 2,205.00                                   | -94.00                                   |
| 35000. USA TREAS NOTES  | 05/10/2012                       | 05/21/2012                   | 35,025.00       | 34,526.00                                  | 499.00                                   |
| 2967.73 FEDERAL NATL MTG A<br>PASS                                  | 02/08/2012                       | 05/25/2012                   | 2,968.00        | 3,221.00                                   | -253.00                                  |
| 5341.19 FNMA  | VAR                              | 05/25/2012                   | 5,341.00        | 5,702.00                                   | -361.00                                  |
| 5651.05 FNMA  | 03/09/2012                       | 05/25/2012                   | 5,651.00        | 5,953.00                                   | -302.00                                  |
| 5206.08 FNMA POOL NUM 8897  | 02/08/2012                       | 05/25/2012                   | 5,206.00        | 5,678.00                                   | -472.00                                  |
| 385000. USA TREAS NOTES   | 02/22/2012                       | 05/25/2012                   | 383,451.00      | 383,135.00                                 | 316.00                                   |
| 205000. USA NOTES   | VAR                              | 05/25/2012                   | 206,497.00      | 203,493.00                                 | 3,004.00                                 |
| 115000. USA TREAS NOTES   | VAR                              | 05/31/2012                   | 116,271.00      | 115,446.00                                 | 825.00                                   |
| 30000. USA TREAS NOTES  | 05/10/2012                       | 05/31/2012                   | 30,516.00       | 29,593.00                                  | 923.00                                   |
| 105000. USA TREAS NOTES   | 03/14/2012                       | 06/01/2012                   | 105,008.00      | 104,783.00                                 | 225.00                                   |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

**2011**

Department of the Treasury  
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust  
**THE EDWARD E. FORD FOUNDATION P63042009**

Employer identification number  
**13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr) | (c) Date sold<br>(mo., day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|--|---------------------------------|-----------------|---|---|
| 1a 4434.64 FHLMC   | 02/01/2012                             | 06/15/2012                      | 4,435.00        | 4,828.00                                      | -393.00                                     |
| 2698.4 GNMA  | 03/02/2012                             | 06/18/2012                      | 2,698.00        | 2,960.00                                      | -262.00                                     |
| 30000. BANK OF AMERICA COR   | VAR                                    | 06/19/2012                      | 30,382.00       | 29,808.00                                     | 574.00                                      |
| 15000. GENERAL ELEC CAP CO   | 03/23/2012                             | 06/19/2012                      | 16,195.00       | 15,890.00                                     | 305.00                                      |
| 10000. MORGAN STANLEY  | 03/19/2012                             | 06/19/2012                      | 9,933.00        | 9,982.00                                      | -49.00                                      |
| 35000. WELLS FARGO & COMPA   | 02/08/2012                             | 06/19/2012                      | 34,983.00       | 34,913.00                                     | 70.00                                       |
| 15000. BARRICK NA FINANCE  | 05/10/2012                             | 06/20/2012                      | 16,216.00       | 16,279.00                                     | -63.00                                      |
| 2097.46 GNMA   | 02/15/2012                             | 06/20/2012                      | 2,097.00        | 2,191.00                                      | -94.00                                      |
| 35000. USA TREAS NOTES   | 05/31/2012                             | 06/21/2012                      | 34,843.00       | 34,929.00                                     | -86.00                                      |
| 2251.85 FEDERAL NATL MTG A<br>PASS                                     | 02/08/2012                             | 06/25/2012                      | 2,252.00        | 2,444.00                                      | -192.00                                     |
| 5162.63 FNMA   | VAR                                    | 06/25/2012                      | 5,163.00        | 5,511.00                                      | -348.00                                     |
| 6380.41 FNMA   | 03/09/2012                             | 06/25/2012                      | 6,380.00        | 6,721.00                                      | -341.00                                     |
| 3709.72 FNMA POOL NUM 8897   | 02/08/2012                             | 06/25/2012                      | 3,710.00        | 4,046.00                                      | -336.00                                     |
| 80000. USA TREAS NOTES   | VAR                                    | 06/26/2012                      | 80,878.00       | 80,553.00                                     | 325.00                                      |
| 50000. SHELL INTERNATIONAL   | VAR                                    | 06/27/2012                      | 53,300.00       | 53,083.00                                     | 217.00                                      |
| 150000. U S A NOTES  | VAR                                    | 07/12/2012                      | 153,094.00      | 149,961.00                                    | 3,133.00                                    |
| 50000. USA TREAS NOTES   | VAR                                    | 07/12/2012                      | 50,021.00       | 49,867.00                                     | 154.00                                      |
| 3881.31 FHLMC  | 02/01/2012                             | 07/16/2012                      | 3,881.00        | 4,226.00                                      | -345.00                                     |
| 2684.41 GNMA   | 03/02/2012                             | 07/16/2012                      | 2,684.00        | 2,945.00                                      | -261.00                                     |
| 2083.83 GNMA   | 02/15/2012                             | 07/20/2012                      | 2,084.00        | 2,176.00                                      | -92.00                                      |
| 1690.97 GNMA   | 05/31/2012                             | 07/20/2012                      | 1,691.00        | 1,761.00                                      | -70.00                                      |
| 305000. USA TREAS NOTES  | VAR                                    | 07/20/2012                      | 304,714.00      | 303,830.00                                    | 884.00                                      |
| 130000. USA TREAS NOTES  | VAR                                    | 07/20/2012                      | 130,320.00      | 129,926.00                                    | 394.00                                      |
| 45000. USA TREAS NOTES   | VAR                                    | 07/24/2012                      | 45,153.00       | 44,745.00                                     | 408.00                                      |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

**2011**

Department of the Treasury  
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009**  
Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|---|----------------------------------|-----------------|---|---|
| 1a 175000. FNMA  | 01/11/2012                              | 07/25/2012                       | 179,465.00      | 175,431.00                                    | 4,034.00                                    |
| 2272.67 FEDERAL NATL MTG A<br>PASS                                     | 02/08/2012                              | 07/25/2012                       | 2,273.00        | 2,467.00                                      | -194.00                                     |
| 5549.48 FNMA   | VAR                                     | 07/25/2012                       | 5,549.00        | 5,924.00                                      | -375.00                                     |
| 5985.76 FNMA   | 03/09/2012                              | 07/25/2012                       | 5,986.00        | 6,306.00                                      | -320.00                                     |
| 3870.97 FNMA POOL NUM 8897   | 02/08/2012                              | 07/25/2012                       | 3,871.00        | 4,222.00                                      | -351.00                                     |
| 545000. USA TREAS NOTES  | 03/14/2012                              | 07/27/2012                       | 545,106.00      | 543,872.00                                    | 1,234.00                                    |
| 70000. USA TREAS NOTES   | 04/04/2012                              | 07/27/2012                       | 72,447.00       | 69,401.00                                     | 3,046.00                                    |
| 225000. USA TREAS NOTES  | 04/27/2012                              | 07/27/2012                       | 227,769.00      | 225,492.00                                    | 2,277.00                                    |
| 27248.442 JPMORGAN US EQUI   | 04/05/2012                              | 08/01/2012                       | 294,283.00      | 306,000.00                                    | -11,717.00                                  |
| 20000. USA TREAS NOTES   | VAR                                     | 08/03/2012                       | 20,373.00       | 20,295.00                                     | 78.00                                       |
| 10000. APACHE CORP   | 04/03/2012                              | 08/07/2012                       | 10,738.00       | 10,021.00                                     | 717.00                                      |
| 35000. FHLMC   | 04/12/2012                              | 08/08/2012                       | 35,967.00       | 34,993.00                                     | 974.00                                      |
| 15000. USA TREAS NOTES   | 07/31/2012                              | 08/08/2012                       | 14,846.00       | 14,927.00                                     | -81.00                                      |
| 45000. USA TREAS NOTES   | 07/31/2012                              | 08/08/2012                       | 44,566.00       | 44,782.00                                     | -216.00                                     |
| 145000. FHLMC  | 01/11/2012                              | 08/09/2012                       | 145,872.00      | 145,172.00                                    | 700.00                                      |
| 85000. USA TREAS NOTES   | VAR                                     | 08/09/2012                       | 83,967.00       | 84,472.00                                     | -505.00                                     |
| 10000. WAL MART STORES INC   | 08/17/2011                              | 08/09/2012                       | 11,731.00       | 11,022.00                                     | 709.00                                      |
| 35000. PROGRESS ENERGY CAR   | 05/15/2012                              | 08/13/2012                       | 36,017.00       | 34,921.00                                     | 1,096.00                                    |
| 25000. USA TREAS NOTES   | 08/13/2012                              | 08/13/2012                       | 25,330.00       | 25,335.00                                     | -5.00                                       |
| 85000. USA TREAS NOTES   | VAR                                     | 08/13/2012                       | 86,003.00       | 85,892.00                                     | 111.00                                      |
| 20000. USA TREAS NOTES   | 08/13/2012                              | 08/14/2012                       | 19,811.00       | 19,945.00                                     | -134.00                                     |
| 2752.94 FHLMC  | 02/01/2012                              | 08/15/2012                       | 2,753.00        | 2,997.00                                      | -244.00                                     |
| 2670.48 GNMA   | 03/02/2012                              | 08/16/2012                       | 2,670.00        | 2,930.00                                      | -260.00                                     |
| 684.3 GNMA   | 07/12/2012                              | 08/16/2012                       | 684.00          | 746.00  | -62.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

JSA  
1F1221 2 000

**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

**2011**

Department of the Treasury  
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009**  
Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr) | (c) Date sold<br>(mo., day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|--|---------------------------------|-----------------|---|---|
| 1a 15000. USA TREAS NOTES  | 07/27/2012                             | 08/16/2012                      | 14,773.00       | 14,896.00                                     | -123.00                                     |
| 10000. USA TREAS NOTES   | 08/13/2012                             | 08/16/2012                      | 9,798.00        | 9,972.00                                      | -174.00                                     |
| 2070.28 GNMA   | 02/15/2012                             | 08/20/2012                      | 2,070.00        | 2,162.00                                      | -92.00                                      |
| 1744.26 GNMA   | 05/31/2012                             | 08/20/2012                      | 1,744.00        | 1,817.00                                      | -73.00                                      |
| 2712.03 FEDERAL NATL MTG A<br>PASS                                     | 02/08/2012                             | 08/27/2012                      | 2,712.00        | 2,944.00                                      | -232.00                                     |
| 6072.41 FNMA   | VAR                                    | 08/27/2012                      | 6,072.00        | 6,482.00                                      | -410.00                                     |
| 5740.43 FNMA   | 03/09/2012                             | 08/27/2012                      | 5,740.00        | 6,047.00                                      | -307.00                                     |
| 4503.22 FNMA POOL NUM 8897   | 02/08/2012                             | 08/27/2012                      | 4,503.00        | 4,911.00                                      | -408.00                                     |
| 115000. USA TREAS NOTES  | 04/11/2012                             | 09/04/2012                      | 115,054.00      | 114,879.00                                    | 175.00                                      |
| 165000. USA TREAS NOTES  | VAR                                    | 09/04/2012                      | 165,071.00      | 164,921.00                                    | 150.00                                      |
| 260000. USA TREAS NOTES  | 05/25/2012                             | 09/04/2012                      | 259,838.00      | 258,791.00                                    | 1,047.00                                    |
| 165000. USA TREAS NOTES  | 05/25/2012                             | 09/04/2012                      | 165,393.00      | 163,891.00                                    | 1,502.00                                    |
| 10000. USA TREAS NOTES   | 08/31/2012                             | 09/04/2012                      | 10,046.00       | 10,057.00                                     | -11.00                                      |
| 15000. USA TREAS NOTES   | 08/13/2012                             | 09/06/2012                      | 14,935.00       | 14,958.00                                     | -23.00                                      |
| 70000. USA TREAS NOTES   | VAR                                    | 09/07/2012                      | 73,005.00       | 68,898.00                                     | 4,107.00                                    |
| 10000. USA TREAS NOTES   | 05/10/2012                             | 09/07/2012                      | 10,172.00       | 9,864.00                                      | 308.00                                      |
| 40000. USA TREAS NOTES   | 08/13/2012                             | 09/07/2012                      | 40,098.00       | 39,889.00                                     | 209.00                                      |
| 611000. DB QARN SPX 03/13/   | 02/24/2012                             | 09/13/2012                      | 644,605.00      | 604,890.00                                    | 39,715.00                                   |
| 55400. U S A TREAS NOTES T   | 09/07/2012                             | 09/14/2012                      | 68,678.00       | 68,218.00                                     | 460.00                                      |
| 54600. U S A TREAS NOTES T   | 09/07/2012                             | 09/14/2012                      | 67,597.00       | 67,233.00                                     | 364.00                                      |
| 3931.98 FHLMC  | 02/01/2012                             | 09/17/2012                      | 3,932.00        | 4,281.00                                      | -349.00                                     |
| 2656.63 GNMA   | 03/02/2012                             | 09/17/2012                      | 2,657.00        | 2,914.00                                      | -257.00                                     |
| 705.13 GNMA  | 07/12/2012                             | 09/17/2012                      | 705.00          | 769.00  | -64.00                                      |
| 10000. USA TREAS NOTES   | 09/14/2012                             | 09/18/2012                      | 9,837.00        | 9,809.00                                      | 28.00                                       |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

JSA  
1F1221 2 000



|   |   |
|---|---|
| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side<br><b>THE EDWARD E. FORD FOUNDATION P63042009</b> | Employer identification number<br><b>13-6047243</b> |
|---|---|

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|---|----------------------------------|-----------------|---|---|
| 6a 4800. ANGLOGOLD ASHANTI LT  | 05/20/2010                              | 10/07/2011                       | 193,464.00      | 191,009.00                                    | 2,455.00                                    |
| 12100. BANK OF AMERICA CORP  | 05/20/2010                              | 10/07/2011                       | 71,740.00       | 189,638.00                                    | -117,898.00                                 |
| 7600. CAREFUSION CORPORATI   | 05/20/2010                              | 10/07/2011                       | 183,732.00      | 191,186.00                                    | -7,454.00                                   |
| 5180. CITIGROUP INC NEW  | 05/20/2010                              | 10/07/2011                       | 128,954.00      | 190,883.00                                    | -61,929.00                                  |
| 3100. DEVON ENERGY CORP NE   | 05/20/2010                              | 10/07/2011                       | 176,016.00      | 192,526.00                                    | -16,510.00                                  |
| 11500. GENERAL ELEC CO   | 05/20/2010                              | 10/07/2011                       | 178,158.00      | 189,804.00                                    | -11,646.00                                  |
| 3400. MADISON SQUARE GARDE   | 05/20/2010                              | 10/07/2011                       | 77,438.00       | 71,490.00                                     | 5,948.00                                    |
| 9700. MYLAN LABS INC   | 05/20/2010                              | 10/07/2011                       | 168,980.00      | 191,055.00                                    | -22,075.00                                  |
| 3600. NEWMONT MNG CORP   | 05/20/2010                              | 10/07/2011                       | 224,948.00      | 191,555.00                                    | 33,393.00                                   |
| 4700. QEP RESOURCES INC  | 08/19/2010                              | 10/07/2011                       | 129,263.00      | 146,295.00                                    | -17,032.00                                  |
| 9700. TEXTRON INC  | 05/20/2010                              | 10/07/2011                       | 179,322.00      | 189,739.00                                    | -10,417.00                                  |
| 27632.951 JPMORGAN US LARG<br>PLUS FUND SEL                            | VAR                                     | 10/11/2011                       | 530,000.00      | 587,969.00                                    | -57,969.00                                  |
| 200000. GS BREN EAFE 10/13   | 09/24/2010                              | 10/13/2011                       | 185,343.00      | 198,000.00                                    | -12,657.00                                  |
| 3800. SPDR GOLD TRUST  |   | 10/13/2011                       | 241.00          |   | 241.00                                      |
| 400000. GS GOLD CPN NOTE   | 10/01/2010                              | 10/14/2011                       | 466,000.00      | 396,000.00                                    | 70,000.00                                   |
| 3111.13 FREDDIE MAC  | 08/12/2009                              | 10/17/2011                       | 3,111.00        | 3,295.00                                      | -184.00                                     |
| 1410.34 FHLMC MULTI 3378-M<br>1/15/23                                  | 10/17/2007                              | 10/17/2011                       | 1,410.00        | 1,415.00                                      | -5.00                                       |
| 4400. SPDR TR UNIT SER 1   | 11/13/2009                              | 10/20/2011                       | 530,231.00      | 480,729.00                                    | 49,502.00                                   |
| 500. VANGUARD EMERGING MAR   | 09/20/2010                              | 10/20/2011                       | 19,063.00       | 22,041.00                                     | -2,978.00                                   |
| 1478.19 FNMA REMIC 06-63-A<br>10/25/33                                 | 05/24/2006                              | 10/25/2011                       | 1,478.00        | 1,495.00                                      | -17.00                                      |
| 2804.72 WELLS FARGO MORTGA<br>TR                                       | 03/30/2006                              | 10/25/2011                       | 2,805.00        | 2,785.00                                      | 20.00                                       |
| 2969.62 FREDDIE MAC  | 08/12/2009                              | 11/15/2011                       | 2,970.00        | 3,145.00                                      | -175.00                                     |
| 1334.28 FHLMC MULTI 3378-M<br>1/15/23                                  | 10/17/2007                              | 11/15/2011                       | 1,334.00        | 1,338.00                                      | -4.00                                       |
| 751.67 FNMA REMIC 06-63-AB<br>10/25/33                                 | 05/24/2006                              | 11/25/2011                       | 752.00          | 760.00  | -8.00                                       |
| 12149.87 WELLS FARGO MORTG<br>TR                                       | 03/30/2006                              | 11/25/2011                       | 12,150.00       | 12,065.00                                     | 85.00                                       |

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side  
**THE EDWARD E. FORD FOUNDATION P63042009**

Employer identification number  
**13-6047243**

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|---|----------------------------------|-----------------|---|---|
| 6a 400000. GS CONT BUFF EQ MI  | 11/04/2010                              | 11/28/2011                       | 414,000.00      | 396,000.00                                    | 18,000.00                                   |
| 100000. CITIGROUP INC FDIC<br>4/30/12                                  | VAR                                     | 12/01/2011                       | 100,809.00      | 99,571.00                                     | 1,238.00                                    |
| 1635.897 MATTHEWS PACIFIC<br>FUND                                      | 11/23/2005                              | 12/07/2011                       | 35,155.00       | 30,338.00                                     | 4,817.00                                    |
| 100000. CATERPILLAR FIN SE   | 08/18/2009                              | 12/12/2011                       | 104,217.00      | 103,018.00                                    | 1,199.00                                    |
| . TYCO INTL LTD NEW  |   | 12/14/2011                       | 79.00           |   | 79.00                                       |
| 2995.84 FREDDIE MAC  | 08/12/2009                              | 12/15/2011                       | 2,996.00        | 3,173.00                                      | -177.00                                     |
| 1498.63 FHLMC MULTI 3378-M<br>1/15/23                                  | 10/17/2007                              | 12/15/2011                       | 1,499.00        | 1,503.00                                      | -4.00                                       |
| 9926.4 WELLS FARGO MORTGAG<br>TR                                       | 03/30/2006                              | 12/27/2011                       | 9,926.00        | 9,857.00                                      | 69.00                                       |
| 2596.33 FREDDIE MAC  | 08/12/2009                              | 12/31/2011                       | 2,596.00        | 2,750.00                                      | -154.00                                     |
| 300000. FFCB   | 01/23/2007                              | 01/11/2012                       | 354,417.00      | 295,276.00                                    | 59,141.00                                   |
| 30000. CANADIAN PACIFIC RR   | 07/29/2010                              | 01/13/2012                       | 35,263.00       | 36,207.00                                     | -944.00                                     |
| 25000. WATSON PHARMCEUTICA   | 11/15/2010                              | 01/13/2012                       | 29,530.00       | 28,574.00                                     | 956.00                                      |
| 1689.46 FHLMC MULTI 3378-M<br>1/15/23                                  | 10/17/2007                              | 01/17/2012                       | 1,689.00        | 1,694.00                                      | -5.00                                       |
| 20000. NORFOLK SOUTHERN CO   | 05/27/2009                              | 01/17/2012                       | 24,275.00       | 19,950.00                                     | 4,325.00                                    |
| 20000. TRAVELLERS COS INC  | 05/28/2009                              | 01/17/2012                       | 23,902.00       | 19,912.00                                     | 3,990.00                                    |
| 50000. KFW   | 08/08/2008                              | 01/25/2012                       | 57,395.00       | 49,550.00                                     | 7,845.00                                    |
| 8945.87 WELLS FARGO MORTGA<br>TR                                       | 03/30/2006                              | 01/25/2012                       | 8,946.00        | 8,883.00                                      | 63.00                                       |
| 44437.735 DODGE & INTERNAT<br>FUND                                     | VAR                                     | 02/01/2012                       | 1,407,787.00    | 1,159,284.00                                  | 248,503.00                                  |
| 145000. FEDERAL HOME LOAN  | VAR                                     | 02/02/2012                       | 161,939.00      | 151,755.00                                    | 10,184.00                                   |
| 125000. STATE STR CORP FDI<br>4/30/12                                  | 03/03/2009                              | 02/02/2012                       | 125,620.00      | 124,820.00                                    | 800.00                                      |
| 35000. BOTTLING GROUP LLC  | 07/10/2009                              | 02/13/2012                       | 41,370.00       | 36,764.00                                     | 4,606.00                                    |
| 2941.84 FREDDIE MAC  | 08/12/2009                              | 02/15/2012                       | 2,942.00        | 3,116.00                                      | -174.00                                     |
| 1094.09 FHLMC MULTI 3378-M<br>1/15/23                                  | 10/17/2007                              | 02/15/2012                       | 1,094.00        | 1,097.00                                      | -3.00                                       |
| 110000. CITIBANK N A FDIC<br>5/07/12                                   | 04/30/2009                              | 02/23/2012                       | 110,378.00      | 109,713.00                                    | 665.00                                      |
| 30000. CITIGROUP INC FDIC<br>4/30/12                                   | 02/04/2009                              | 02/23/2012                       | 30,107.00       | 29,801.00                                     | 306.00                                      |

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

|   |   |
|---|---|
| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side<br><b>THE EDWARD E. FORD FOUNDATION P63042009</b> | <b>Employer identification number</b><br>13-6047243 |
|---|---|

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo., day, yr) | (c) Date sold (mo., day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|--|----------------------------------|------------------------------|-----------------|--|--|
| 6a 10007.56 WELLS FARGO MORTG TR                                     | 03/30/2006                       | 02/27/2012                   | 10,008.00       | 9,938.00                                   | 70.00                                    |
| 50000. TARGET CORP<br>3/01/12  | 09/15/2004                       | 03/01/2012                   | 50,000.00       | 54,348.00                                  | -4,348.00                                |
| 50000. PSEG POWER  | 07/27/2005                       | 03/09/2012                   | 56,257.00       | 51,389.00                                  | 4,868.00                                 |
| 150000. BANK OF AMERICA CO   | 02/06/2009                       | 03/15/2012                   | 151,073.00      | 153,968.00                                 | -2,895.00                                |
| 165000. CITIBANK N A FDIC<br>12/28/12                                | 10/20/2009                       | 03/15/2012                   | 166,940.00      | 164,487.00                                 | 2,453.00                                 |
| 3069.81 FREDDIE MAC  | 08/12/2009                       | 03/15/2012                   | 3,070.00        | 3,251.00                                   | -181.00                                  |
| 1159.62 FHLMC MULTI 3378-M<br>1/15/23                                | 10/17/2007                       | 03/15/2012                   | 1,160.00        | 1,163.00                                   | -3.00                                    |
| 160000. GOLDMAN SACHS GROU   | 11/25/2008                       | 03/15/2012                   | 161,184.00      | 159,379.00                                 | 1,805.00                                 |
| 100000. MORGAN STANLEY   | 01/14/2009                       | 03/15/2012                   | 100,455.00      | 99,958.00                                  | 497.00                                   |
| 56893.747 NUVEEN NWQ VALUE<br>ES FUND                                | 08/08/2008                       | 03/20/2012                   | 1,847,909.00    | 1,637,402.00                               | 210,507.00                               |
| . CASH RECEIPT SECURITIES  |                                  | 03/20/2012                   | 36.00           |  | 36.00                                    |
| 20000. TELEFONICA EMISIONE   | 05/13/2010                       | 03/21/2012                   | 20,105.00       | 19,980.00                                  | 125.00                                   |
| 15000. CREDIT SUISSE NEW Y   | 02/11/2010                       | 03/22/2012                   | 15,568.00       | 15,637.00                                  | -69.00                                   |
| 65000. USA TREAS NOTES   | 02/10/2011                       | 03/22/2012                   | 73,153.00       | 64,696.00                                  | 8,457.00                                 |
| 15000. USA TREAS NOTES   | 02/10/2011                       | 03/23/2012                   | 16,937.00       | 14,930.00                                  | 2,007.00                                 |
| 25000. USA TREAS NOTES   | 02/10/2011                       | 03/23/2012                   | 28,234.00       | 24,883.00                                  | 3,351.00                                 |
| 9942.26 WELLS FARGO MORTGA<br>TR                                     | 03/30/2006                       | 03/26/2012                   | 9,942.00        | 9,873.00                                   | 69.00                                    |
| 40000. FHLMC   | 02/23/2011                       | 04/02/2012                   | 40,005.00       | 39,985.00                                  | 20.00                                    |
| 2320. SPDR TR UNIT SER 1   | VAR                              | 04/05/2012                   | 324,403.00      | 253,363.00                                 | 71,040.00                                |
| 40000. USA TREAS NOTES   | 09/13/2010                       | 04/05/2012                   | 42,327.00       | 39,391.00                                  | 2,936.00                                 |
| 45000. USA TREAS NOTES   | 02/10/2011                       | 04/05/2012                   | 51,112.00       | 44,789.00                                  | 6,323.00                                 |
| 100000. BARCLAYS BANK PLC  | 07/07/2009                       | 04/11/2012                   | 105,597.00      | 99,883.00                                  | 5,714.00                                 |
| 2503.42 FREDDIE MAC  | 08/12/2009                       | 04/16/2012                   | 2,503.00        | 2,651.00                                   | -148.00                                  |
| 1192.7 FHLMC MULTI 3378-ME<br>1/15/23                                | 10/17/2007                       | 04/16/2012                   | 1,193.00        | 1,196.00                                   | -3.00                                    |
| . TIME WARNER INC  |                                  | 04/16/2012                   | 112.00          |  | 112.00                                   |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2011

|   |   |
|---|---|
| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side<br><b>THE EDWARD E. FORD FOUNDATION P63042009</b> | <b>Employer identification number</b><br>13-6047243 |
|---|---|

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co ) | (b) Date<br>acquired<br>(mo , day, yr ) | (c) Date sold<br>(mo , day, yr ) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---|---|----------------------------------|-----------------|---|---|
| <b>6a</b> 6550.06 WELLS FARGO MORTGA<br>TR                              | 03/30/2006                              | 04/25/2012                       | 6,550.00        | 6,504.00                                      | 46.00                                       |
| 235000. USA TREAS NOTES   | VAR                                     | 04/27/2012                       | 254,112.00      | 241,560.00                                    | 12,552.00                                   |
| 8840. SPDR TR UNIT SER 1  | VAR                                     | 05/09/2012                       | 1,201,201.00    | 961,050.00                                    | 240,151.00                                  |
| 30000. USA TREAS NOTES  | 07/15/2010                              | 05/10/2012                       | 34,859.00       | 31,512.00                                     | 3,347.00                                    |
| 2394.96 FREDDIE MAC   | 08/12/2009                              | 05/15/2012                       | 2,395.00        | 2,536.00                                      | -141.00                                     |
| 992.07 FHLMC MULTI 3378-ME<br>1/15/23                                   | 10/17/2007                              | 05/15/2012                       | 992.00          | 995.00  | -3.00                                       |
| 70000. METLIFE INC  | 08/26/2009                              | 05/16/2012                       | 74,303.00       | 73,105.00                                     | 1,198.00                                    |
| 54251.484 IVY GLOBAL NATUR<br>RESOURCE-I                                | VAR                                     | 05/18/2012                       | 845,238.00      | 603,200.00                                    | 242,038.00                                  |
| 55000. DIRECTV HLDG FIN IN  | 04/28/2011                              | 05/21/2012                       | 57,931.00       | 55,901.00                                     | 2,030.00                                    |
| 75000. TELEFONICA EMISIONE  | 05/13/2010                              | 05/23/2012                       | 70,933.00       | 74,925.00                                     | -3,992.00                                   |
| 21000. WESTPAC BKG CORP<br>2/27/15                                      | 08/25/2009                              | 06/06/2012                       | 22,325.00       | 21,007.00                                     | 1,318.00                                    |
| 54000. WESTPAC BKG CORP<br>2/27/15                                      | 08/25/2009                              | 06/06/2012                       | 57,469.00       | 54,018.00                                     | 3,451.00                                    |
| 21229.868 DREYFUS/LAUREL F  | 05/04/2011                              | 06/07/2012                       | 290,000.00      | 325,029.00                                    | -35,029.00                                  |
| 51948.052 JPMORGAN INTERNA<br>CURRENCY                                  | 05/26/2011                              | 06/07/2012                       | 560,000.00      | 600,000.00                                    | -40,000.00                                  |
| 500000. BARC BREN ASIA BAS<br>06/13/12                                  | 05/27/2011                              | 06/13/2012                       | 431,015.00      | 495,000.00                                    | -63,985.00                                  |
| 3155.32 FREDDIE MAC   | 08/12/2009                              | 06/15/2012                       | 3,155.00        | 3,342.00                                      | -187.00                                     |
| 845.38 FHLMC MULTI 3378-ME<br>1/15/23                                   | 10/17/2007                              | 06/15/2012                       | 845.00          | 848.00  | -3.00                                       |
| 45000. RABOBANK NEDERLAND   | 10/05/2010                              | 06/19/2012                       | 45,266.00       | 44,890.00                                     | 376.00                                      |
| 15000. GENERAL ELECTRIC CA  | 01/05/2010                              | 06/19/2012                       | 17,192.00       | 14,949.00                                     | 2,243.00                                    |
| 10000. HOME DEPOT INC   | 05/06/2011                              | 06/20/2012                       | 11,454.00       | 10,182.00                                     | 1,272.00                                    |
| 30000. KIMBERLY CLARK   | 07/10/2009                              | 06/20/2012                       | 39,881.00       | 36,598.00                                     | 3,283.00                                    |
| 50000. AGL CAPITAL CORP   | 08/05/2009                              | 06/27/2012                       | 57,996.00       | 49,892.00                                     | 8,104.00                                    |
| 15000. TECO FINANCE INC   | 05/06/2011                              | 06/27/2012                       | 17,198.00       | 16,011.00                                     | 1,187.00                                    |
| 90000. EUROPEAN BK RECON &  | 09/11/2009                              | 07/06/2012                       | 92,761.00       | 94,896.00                                     | -2,135.00                                   |
| 15000. LLOYDS TSB BANK PLC  | 01/13/2011                              | 07/11/2012                       | 17,133.00       | 14,955.00                                     | 2,178.00                                    |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2011



## The Edward E Ford Foundation P63042009

FEIN: 13-6047243

FYE 9/30/2012

## Schedule of Grants Paid

| Post Date  | Grantee   | City            | State | Grant Amount  |
|------------|---|-----------------|-------|---------------|
| 11/14/2011 | ASHEVILLE SCHOOL                                      | ASHEVILLE       | NC    | -50,000.00    |
| 08/17/2012 | ASSOCIATION OF DELAWARE VALLEY<br>INDEPENDENT SCHOOLS | BRYN MAWR       | PA    | -65,250.00    |
| 08/07/2012 | BUCKINGHAM BROWN & NICHOLS SCHOOL                     | CAMBRIDGE       | MA    | -50,000.00    |
| 05/09/2012 | CAPE FEAR ACADEMY                                     | WILMINGTON      | NC    | -50,000.00    |
| 08/08/2012 | CASTILLEJA SCHOOL                                     | PALO ALTO       | CA    | -50,000.00    |
| 05/15/2012 | CATE SCHOOL   | CARPINTERIA     | CA    | -50,000.00    |
| 06/04/2012 | CUSHING ACADEMY                                       | ASHBURNHAM      | MA    | -50,000.00    |
| 09/11/2012 | DETROIT COUNTRY DAY SCHOOL                            | BEVERLY HILLS   | MI    | -50,000.00    |
| 04/13/2012 | FRENCH AMERICAN INTERNATIONAL SCHOOL                  | SAN FRANCISCO   | CA    | -50,000.00    |
| 08/21/2012 | FRIENDS SCHOOL OF BALTIMORE                           | BALTIMORE       | MD    | -50,000.00    |
| 02/15/2012 | GEORGETOWN VISITATION PREPARATORY<br>SCHOOL           | WASHINGTON      | DC    | 50,000.00     |
| 03/15/2012 | GREENWICH ACADEMY                                     | GREENWICH       | CT    | -33,517.50    |
| 01/31/2012 | HARPETH HALL SCHOOL                                   | NASHVILLE       | TN    | -180,000.00   |
| 02/02/2012 | HATHAWAY BROWN SCHOOL                                 | SHAKER HEIGHTS  | OH    | -75,000.00    |
| 01/17/2012 | LAUREL SCHOOL   | SHAKER HEIGHTS  | OH    | -50,000.00    |
| 06/25/2012 | MIDLAND SCHOOL  | LOS OLIVOS      | CA    | -50,000.00    |
| 07/12/2012 | MID-PENINSULA HIGH SCHOOL                             | MENLO PARK      | CA    | -50,000.00    |
| 07/31/2012 | MISS HALL'S SCHOOL                                    | PITTSFIELD      | MA    | -50,000.00    |
| 11/28/2011 | MORAVIAN ACADEMY                                      | BETHLEHEM       | PA    | -50,000.00    |
| 01/12/2012 | NATIONAL ASSOCIATION OF INDEPENDENT<br>SCHOOLS        | WASHINGTON      | DC    | -50,000.00    |
| 12/21/2011 | NEW HAMPTON SCHOOL                                    | NEW HAMPTON     | NH    | -50,000.00    |
| 03/06/2012 | PILGRIM SCHOOL  | LOS ANGELES     | CA    | -19,000.00    |
| 01/10/2012 | POUGHKEEPSIE DAY SCHOOL                               | POUGHKEEPSIE    | NY    | -50,000.00    |
| 08/28/2012 | RIVERDALE COUNTRY SCHOOL                              | BRONX           | NY    | -124,730.09   |
| 08/16/2012 | ROLLING HILLS PREPARATORY SCHOOL                      | SAN PEDRO       | CA    | -50,000.00    |
| 06/06/2012 | SEVEN HILLS SCHOOL                                    | CINCINNATI      | OH    | -50,000.00    |
| 07/27/2012 | ST. ANNE'S-BELFIELD SCHOOL                            | CHARLOTTESVILLE | VA    | -50,000.00    |
| 11/14/2011 | ST. MARK'S SCHOOL                                     | SOUTHBOROUGH    | MA    | -50,000.00    |
| 08/06/2012 | ST. SEBASTIAN'S SCHOOL                                | NEEDHAM         | MA    | -50,000.00    |
| 12/20/2011 | ST. TIMOTHY'S SCHOOL                                  | STEVENSON       | MD    | -50,000.00    |
| 11/29/2011 | THE BALTIMORE COMMUNITY FOUNDATION                    | BALTIMORE       | MD    | -5,000.00     |
| 07/16/2012 | THE DERRYFIELD SCHOOL                                 | MANCHESTER      | NH    | -50,000.00    |
| 07/27/2012 | THE HARLEY SCHOOL                                     | ROCHESTER       | NY    | -211,596.44   |
| 10/03/2011 | THE NIGHTINGALE-BAMFORD SCHOOL                        | NEW YORK        | NY    | -50,000.00    |
| 05/22/2012 | THE RIVERS SCHOOL                                     | WESTON          | MA    | -50,000.00    |
| 11/09/2011 | THE TATNALL SCHOOL                                    | WILMINGTON      | DE    | -28,115.60    |
| 09/19/2012 | THE THACHER SCHOOL                                    | OJAI            | CA    | -300,000.00   |
| 01/25/2012 | THE WHITE MOUNTAIN SCHOOL                             | BETHLEHEM       | NH    | -50,000.00    |
| 06/19/2012 | TRINITY PREPARATORY SCHOOL                            | WINTER PARK     | FL    | -27,620.00    |
| 08/28/2012 | UNIVERSITY SCHOOL                                     | HUNTING VALLEY  | OH    | -50,000.00    |
| 01/17/2012 | UNIVERSITY SCHOOL OF NASHVILLE                        | NASHVILLE       | TN    | -50,000.00    |
|            |   |                 |       | -2,569,829.63 |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

## Account Summary

### PRINCIPAL

| Asset Allocation    | Beginning Market Value | Ending Market Value    | Change In Value   | Estimated Annual Income | Current Allocation |
|---------------------|------------------------|------------------------|-------------------|-------------------------|--------------------|
| Cash & Fixed Income | 10,690,093.16          | 10,696,844.05          | 6,750.89          | 294,608.07              | 100%               |
| <b>Market Value</b> | <b>\$10,690,093.16</b> | <b>\$10,696,844.05</b> | <b>\$6,750.89</b> | <b>\$294,608.07</b>     | <b>100%</b>        |

### INCOME

| Cash Position       | Beginning Market Value | Ending Market Value | Change In Value   |
|---------------------|------------------------|---------------------|-------------------|
| Cash Balance        | 2,709.38               | 12.80               | (2,696.58)        |
| Accruals            | 48,731.93              | 55,672.85           | 6,940.92          |
| <b>Market Value</b> | <b>\$51,441.31</b>     | <b>\$55,685.65</b>  | <b>\$4,244.34</b> |

### PRINCIPAL

| Portfolio Activity                | Current Period Value   | Year-to-Date Value     |
|-----------------------------------|------------------------|------------------------|
| Beginning Market Value            | 10,690,093.16          | 10,476,141.75          |
| Additions                         |                        |                        |
| Withdrawals & Fees                |                        |                        |
| Net Additions/Withdrawals         | \$0.00                 | \$0.00                 |
| Income                            |                        | 495.72                 |
| Change In Investment Value        | 6,750.89               | 220,206.58             |
| <b>Ending Market Value</b>        | <b>\$10,696,844.05</b> | <b>\$10,696,844.05</b> |
| Accruals                          | --                     | --                     |
| <b>Market Value with Accruals</b> | <b>--</b>              | <b>--</b>              |

### INCOME

| Portfolio Activity                | Current Period Value | Year-to-Date Value |
|-----------------------------------|----------------------|--------------------|
| Beginning Market Value            | 2,709.38             | (13,551.35)        |
| Additions                         | (3,964.35)           | (240,026.68)       |
| Withdrawals & Fees                | (15,674.11)          | (62,487.03)        |
| Net Additions/Withdrawals         | (\$19,638.46)        | (\$302,513.71)     |
| Income                            | 16,941.88            | 316,077.86         |
| Change In Investment Value        | \$12.80              | \$12.80            |
| Accruals                          | 55,672.85            | 55,672.85          |
| <b>Market Value with Accruals</b> | <b>\$55,685.65</b>   | <b>\$55,685.65</b> |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. F63042405  
 For the Period 9/1/12 to 9/30/12

**Account Summary** CONTINUED

| Tax Summary                        | Current<br>Period Value | Year-to-Date<br>Value |
|------------------------------------|-------------------------|-----------------------|
| Interest Income                    | 18,377.65               | 338,152.45            |
| Ordinary Income                    |                         | 45.72                 |
| Accrued Interest Current Year      | (310.31)                | (19,222.35)           |
| Accrued Interest Subsequent Year   | (1,125.46)              | (2,852.24)            |
| <b>Taxable Income</b>              | <b>\$16,941.88</b>      | <b>\$316,123.58</b>   |
| Cash Receipts                      |                         | 450.00                |
| <b>Other Income &amp; Receipts</b> |                         | <b>\$450.00</b>       |

| Cost Summary        | Cost                   |
|---------------------|------------------------|
| Cash & Fixed Income | 10,286,665.39          |
| <b>Total</b>        | <b>\$10,286,665.39</b> |

|                           | Current<br>Period Value | Year-to-Date<br>Value |
|---------------------------|-------------------------|-----------------------|
| ST Realized Gain/Loss     | 6,637.47                | 51,921.90             |
| LT Realized Gain/Loss     | 1,117.59                | 163,814.34            |
| <b>Realized Gain/Loss</b> | <b>\$7,755.06</b>       | <b>\$215,736.24</b>   |
|                           |                         | To-Date Value         |
|                           |                         | <b>\$410,178.66</b>   |

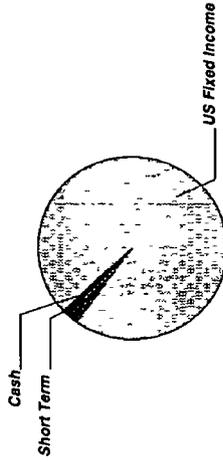


TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
 For the Period 9/1/12 to 9/30/12

## Cash & Fixed Income Summary

| Asset Categories   | Beginning Market Value | Ending Market Value    | Change In Value   | Current Allocation |
|--------------------|------------------------|------------------------|-------------------|--------------------|
| Cash               | 116,855.93             | 88,067.61              | (28,788.32)       | 1%                 |
| Short Term         | 247,167.50             | 246,307.80             | (859.70)          | 2%                 |
| US Fixed Income    | 10,326,069.73          | 10,362,468.64          | 36,398.91         | 97%                |
| <b>Total Value</b> | <b>\$10,690,093.16</b> | <b>\$10,696,844.05</b> | <b>\$6,750.89</b> | <b>100%</b>        |

### Asset Categories



| Market Value/Cost       | Current Period Value |
|-------------------------|----------------------|
| Market Value            | 10,696,844.05        |
| Tax Cost                | 10,286,665.39        |
| Unrealized Gain/Loss    | 410,178.66           |
| Estimated Annual Income | 294,608.07           |
| Accrued Interest        | 55,672.85            |
| Yield                   | 1.22%                |

Cash & Fixed Income as a percentage of your portfolio - 100%



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

**SUMMARY BY MATURITY**

| Cash & Fixed Income      | Market Value           | % of Bond Portfolio |
|--------------------------|------------------------|---------------------|
| 0-6 months <sup>1</sup>  | 178,789.41             | 1%                  |
| 6-12 months <sup>1</sup> | 155,586.00             | 1%                  |
| 1-5 years <sup>1</sup>   | 4,705,762.30           | 48%                 |
| 5-10 years <sup>1</sup>  | 3,620,471.82           | 32%                 |
| 10+ years <sup>1</sup>   | 2,036,234.52           | 18%                 |
| <b>Total Value</b>       | <b>\$10,696,844.05</b> | <b>100%</b>         |

<sup>1</sup> The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note: P indicates position adjusted for Pending Trade Activity.

<sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

**Cash & Fixed Income Detail**

| Cash                               | Price | Quantity     | Value        | Adjusted Tax Cost |                  | Unrealized Gain/Loss | Est Annual Income |       | Yield |
|------------------------------------|-------|--------------|--------------|-------------------|------------------|----------------------|-------------------|-------|-------|
|                                    |       |              |              | Original Cost     | Accrued Interest |                      | Accrued Interest  | Yield |       |
| US DOLLAR PRINCIPAL                | 1.00  | 185,037.52   | 185,037.52   | 185,037.52        |                  |                      | 55.51             | 0.03% | 3.67  |
| <b>COST OF PENDING PURCHASES</b>   | 1.00  | (366,852.51) | (366,852.51) | (366,852.51)      |                  |                      |                   |       |       |
| <b>PROCEEDS FROM PENDING SALES</b> | 1.00  | 269,881.33   | 269,881.33   | 269,881.33        |                  |                      |                   |       |       |

J.P. Morgan



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                    | Price  | Quantity   | Value               | Adjusted Tax Cost   |                    | Unrealized Gain/Loss | Est Annual Income  |                   | Yield        |  |
|------------------------------------|--------|------------|---------------------|---------------------|--------------------|----------------------|--------------------|-------------------|--------------|--|
|                                    |        |            |                     | Original Cost       |                    |                      | Accrued Interest   |                   |              |  |
| <b>Cash</b>                        |        |            |                     |                     |                    |                      |                    |                   |              |  |
| JPM PRIME MM FD - PREMIER FUND 350 | 1.00   | 1.27       | 1.27                |                     | 1.27               |                      |                    |                   |              |  |
| 7-Day Annualized Yield             |        |            |                     |                     | 01%                |                      |                    |                   |              |  |
| <b>Total Cash</b>                  |        |            | <b>\$88,067.61</b>  |                     | <b>\$88,067.61</b> | <b>\$0.00</b>        | <b>\$55.51</b>     | <b>\$3.67</b>     | <b>0.06%</b> |  |
| <b>Short Term</b>                  |        |            |                     |                     |                    |                      |                    |                   |              |  |
| VIRGINIA ELECTRIC POWER            | 100.80 | 90,000.00  | 90,721.80           | 90,320.40           |                    | 401.40               | 4,590.00           | 1,542.69          | 0.20%        |  |
| 5.1% NOV 30 2012                   |        |            |                     |                     |                    |                      |                    |                   |              |  |
| DTD 12/04/2007                     |        |            |                     |                     |                    |                      |                    |                   |              |  |
| 927804-FD-1 A- /A3                 |        |            |                     |                     |                    |                      |                    |                   |              |  |
| CREDIT SUISSE NEW YORK             | 102.58 | 100,000.00 | 102,584.00          | 102,654.00          |                    | (70.00)              | 5,000.00           | 1,888.80          | 0.84%        |  |
| MEDIUM TERM NOTE 5%                |        |            |                     |                     |                    |                      |                    |                   |              |  |
| MAY 15 2013 DTD 05/06/2008         |        |            |                     |                     |                    |                      |                    |                   |              |  |
| 2254C0-TC-1 A+ /A1                 |        |            |                     |                     |                    |                      |                    |                   |              |  |
| AMER EXPRESS CREDIT CO             | 106.00 | 50,000.00  | 53,002.00           | 55,078.50           |                    | (2,076.50)           | 3,650.00           | 415.65            | 0.50%        |  |
| NOTES 7 3% AUG 20 2013             |        |            |                     |                     |                    |                      |                    |                   |              |  |
| DTD 08/20/2008                     |        |            |                     |                     |                    |                      |                    |                   |              |  |
| 0258M0-CY-3 A- /A2                 |        |            |                     |                     |                    |                      |                    |                   |              |  |
| <b>Total Short Term</b>            |        |            | <b>\$246,307.80</b> | <b>\$248,052.90</b> |                    | <b>(\$1,745.10)</b>  | <b>\$13,240.00</b> | <b>\$3,847.14</b> | <b>0.53%</b> |  |



TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 9/1/12 to 9/30/12

|   | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>                    |        |            |            |                                    |                         |  |       |
| <b>INTER AMERICAN DEVEL BK</b>            |        |            |            |                                    |                         |  |       |
| 3% APR 22 2014                            | 103.72 | 60,000.00  | 62,232.60  | 59,923.80                          | 2,308.80                | 1,800.00                               | 0.60% |
| DTD 04/22/2009                            |        |            |            |                                    |                         | 795.00                                 |       |
| 4581X0-BD-9 NR /AAA                       |        |            |            |                                    |                         |  |       |
| <b>CAPITAL ONE FINANCIAL CO</b>           |        |            |            |                                    |                         |  |       |
| SR NOTES 7 3/8% MAY 23 2014               | 110.37 | 45,000.00  | 49,666.50  | 47,767.95                          | 1,898.55                | 3,318.75                               | 1.00% |
| DTD 05/22/2009                            |        |            |            |                                    |                         | 1,179.99                               |       |
| 14040H-AS-4 BBB /BAA                      |        |            |            |                                    |                         |  |       |
| <b>US TREAS 0.125% 07/31/14</b>           |        |            |            |                                    |                         |  |       |
| 912828-TF-7 NR /NR                        | 99.80  | 535,000.00 | 533,935.35 | 533,595.31                         | 340.04                  | 668.75                                 | 0.23% |
|   |        |            |            |                                    |                         | 112.35                                 |       |
| <b>US TREAS 0.25% 08/31/14</b>            |        |            |            |                                    |                         |  |       |
| 912828-TL-4 NR /NR                        | 100.03 | 175,000.00 | 175,047.25 | 175,075.19                         | (27.94)                 | 437.50                                 | 0.24% |
|   |        |            |            |                                    |                         | 93.10                                  |       |
| <b>US TREAS 0.25% 09/30/14</b>            |        |            |            |                                    |                         |  |       |
| 912828-TQ-3 NR /NR                        | 100.03 | 160,000.00 | 160,049.60 | 160,050.00                         | (0.40)                  | 400.00                                 | 0.23% |
|   |        |            |            |                                    |                         | (3.30)                                 |       |
| <b>GREATER ORLANDO AVIATION AUTHORITY</b> |        |            |            |                                    |                         |  |       |
| FLORIDA REV SPL PURP FACS 4 16%           | 105.08 | 100,000.00 | 105,080.00 | 100,000.00                         | 5,080.00                | 4,160.00                               | 1.57% |
| OCT 01 2014 DTD 10/07/2009                |        |            |            |                                    |                         | 2,080.00                               |       |
| FED TAXABLE                               |        |            |            |                                    |                         |  |       |
| HELD BY DTC BOOK ENTRY ONLY               |        |            |            |                                    |                         |  |       |
| 392273-AJ-7 NR /A2                        |        |            |            |                                    |                         |  |       |
| <b>PRUDENTIAL FINANCIAL INC</b>           |        |            |            |                                    |                         |  |       |
| SR NOTES 6 2% JAN 15 2015                 | 111.63 | 60,000.00  | 66,979.80  | 59,962.20                          | 7,017.60                | 3,720.00                               | 1.04% |
| DTD 06/08/2009                            |        |            |            |                                    |                         | 785.28                                 |       |
| 74432Q-BF-1 A /BAA                        |        |            |            |                                    |                         |  |       |
| <b>BANK OF NOVA SCOTIA</b>                |        |            |            |                                    |                         |  |       |
| 3 4% JAN 22 2015                          | 105.69 | 40,000.00  | 42,277.60  | 39,948.80                          | 2,328.80                | 1,360.00                               | 0.90% |
| DTD 01/22/2010                            |        |            |            |                                    |                         | 260.64                                 |       |
| 064149-A6-4 AA- /AA1                      |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>          |        |            |            |                                    |                         |                                       |       |
| <b>COUNCIL OF EUROPE</b>        |        |            |            |                                    |                         |                                       |       |
| 2 3/4% FEB 10 2015              | 104.90 | 40,000.00  | 41,959.60  | 39,959.20                          | 2,000.40                | 1,100.00<br>155.80                    | 0.66% |
| DTD 02/10/2010                  |        |            |            |                                    |                         |                                       |       |
| 222213-AA-8 AAA /AAA            |        |            |            |                                    |                         |                                       |       |
| <b>DUKE REALTY LP</b>           |        |            |            |                                    |                         |                                       |       |
| 7 3/8% FEB 15 2015              | 112.28 | 35,000.00  | 39,298.70  | 34,805.05                          | 4,493.65                | 2,581.25<br>329.80                    | 2.04% |
| DTD 08/11/2009                  |        |            |            |                                    |                         |                                       |       |
| 26441Y-AS-6 BBB /BAA            |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 0.375% 03/15/15</b> |        |            |            |                                    |                         |                                       |       |
| 912828-SK-7 NR /AAA             | 100.25 | 305,000.00 | 305,762.50 | 303,758.99                         | 2,003.51                | 1,143.75<br>50.32                     | 0.27% |
| <b>US TREAS 2.125% 05/31/15</b> |        |            |            |                                    |                         |                                       |       |
| 912828-NF-3 NR /AAA             | 104.84 | 160,000.00 | 167,737.60 | 165,656.25                         | 2,081.35                | 3,400.00<br>1,142.56                  | 0.30% |
| <b>ENTERPRISE PRODUCTS OPER</b> |        |            |            |                                    |                         |                                       |       |
| 3 7% JUN 01 2015                | 107.36 | 40,000.00  | 42,942.40  | 41,898.00                          | 1,044.40                | 1,480.00<br>493.32                    | 0.90% |
| DTD 05/20/2010                  |        |            |            |                                    |                         |                                       |       |
| 29379V-AR-4 BBB /BAA            |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 0.25% 07/15/15</b>  |        |            |            |                                    |                         |                                       |       |
| 912828-TD-2 NR /NR              | 99.85  | 415,000.00 | 414,385.80 | 414,465.04                         | (79.24)                 | 1,037.50<br>219.53                    | 0.30% |
| <b>LANDWIRTSCH RENTENBANK</b>   |        |            |            |                                    |                         |                                       |       |
| 3 1/8% JUL 15 2015              | 106.71 | 75,000.00  | 80,028.75  | 74,635.50                          | 5,393.25                | 2,343.75<br>494.77                    | 0.70% |
| DTD 01/13/2010                  |        |            |            |                                    |                         |                                       |       |
| 515110-BB-9 AAA /AAA            |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 0.25% 08/15/15</b>  |        |            |            |                                    |                         |                                       |       |
| 912828-TK-6 NR /AAA             | 99.84  | 240,000.00 | 239,625.60 | 239,671.87                         | (46.27)                 | 600.00<br>76.56                       | 0.30% |
| <b>DCP MIDSTREAM OPERATING</b>  |        |            |            |                                    |                         |                                       |       |
| 3 1/4% OCT 01 2015              | 102.57 | 45,000.00  | 46,156.50  | 44,964.90                          | 1,191.60                | 1,462.50<br>731.25                    | 2.36% |
| DTD 09/30/2010                  |        |            |            |                                    |                         |                                       |       |
| 23311V-AA-5 BBB /BAA            |        |            |            |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>   |        |            |            |                                    |                         |                                       |       |
| US TREAS 2% 01/31/16<br>912828-PS-3 NR /AAA  | 105.45 | 70,000 00  | 73,811.50  | 73,281.25                          | 530.25                  | 1,400.00<br>235.83                    | 0.36% |
| XEROX CORP 6.4% MAR 15 2016<br>DTD 03/20/06<br>984121-BP-7 BBB /BAA                    | 114.55 | 30,000 00  | 34,363.50  | 33,763.14                          | 600.36                  | 1,920.00<br>85.32                     | 2.02% |
| NBCUNIVERSAL MEDIA LLC<br>2 7/8% APR 01 2016<br>DTD 04/01/2011<br>63946B-AC-4 BBB /BAA | 106.27 | 30,000 00  | 31,881.00  | 31,484.40                          | 396.60                  | 862.50<br>431.25                      | 1.05% |
| US TREAS 2.625% 04/30/16<br>912828-KR-0 NR /AAA  | 107.94 | 285,000.00 | 307,623.30 | 305,662.50                         | 1,960.80                | 7,481.25<br>3,147.82                  | 0.39% |
| EXPRESS SCRIPTS INC<br>3 1/8% MAY 15 2016<br>DTD 05/02/2011<br>302182-AF-7 BBB /BAA    | 106.60 | 55,000 00  | 58,628.35  | 56,424.22                          | 2,204.13                | 1,718.75<br>649.27                    | 1.26% |
| METLIFE INC<br>6 3/4% JUN 01 2016<br>DTD 05/29/2009<br>59156R-AU-2 A- /A3              | 119.37 | 35,000 00  | 41,780.90  | 41,390.65                          | 390.25                  | 2,362.50<br>787.50                    | 1.32% |
| CITIGROUP INC<br>3.953% JUN 15 2016<br>DTD 06/15/2011<br>172967-FS-5 A- /BAA           | 107.14 | 20,000 00  | 21,428.00  | 20,407.68                          | 1,020.32                | 790.60<br>232.78                      | 1.95% |
| SBC COMMUNICATIONS<br>NOTES 5 5/8% JUN 15 2016<br>DTD 8/18/2004<br>78387G-AL-7 A- /A2  | 117.30 | 65,000 00  | 76,245.00  | 64,515.10                          | 11,729.90               | 3,656.25<br>1,076.53                  | 0.87% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>   |        |            |            |                                    |                         |  |       |
| <b>WELLS FARGO &amp; COMPANY</b><br>3.676% JUN 15 2016<br>DTD 09/15/2010<br>STEP CPN<br>949746-QU-8 A+ /A2 | 108.85 | 70,000.00  | 76,196.40  | 70,685.01                          | 5,511.39                | 2,573.20<br>114.31                     | 1.22% |
| <b>US TREAS 1.5% 06/30/16</b><br>912828-QR-4 NR /AAA   | 104.00 | 105,000.00 | 109,200.00 | 108,757.03                         | 442.97                  | 1,575.00                               | 0.42% |
| <b>BANK OF AMERICA CORP</b><br>3.3/4% JUL 12 2016<br>DTD 07/12/2011<br>06051G-EK-1 A- /BAA                 | 106.43 | 25,000.00  | 26,607.00  | 24,951.50                          | 1,655.50                | 937.50<br>205.72                       | 1.98% |
| <b>AT&amp;T INC</b><br>2.4% AUG 15 2016<br>DTD 08/18/2011<br>00206R-AY-8 A- /A2                            | 105.79 | 25,000.00  | 26,446.50  | 25,126.50                          | 1,320.00                | 600.00<br>76.65                        | 0.88% |
| <b>US TREAS 1% 08/31/16</b><br>912828-RF-9 NR /AAA   | 102.13 | 60,000.00  | 61,279.80  | 60,110.16                          | 1,169.64                | 600.00<br>54.06                        | 0.45% |
| <b>RIO TINTO FIN USA LTD</b><br>2.1/4% SEP 20 2016<br>DTD 09/19/2011<br>767201-AP-1 A- /A3                 | 103.91 | 20,000.00  | 20,781.60  | 19,880.00                          | 901.60                  | 450.00<br>13.74                        | 1.24% |
| <b>MORGAN STANLEY</b><br>NOTES 5.45% JAN 9 2017<br>DTD 1/9/2007<br>617446-C2-3 A- /BAA                     | 109.22 | 100,000.00 | 109,222.00 | 99,680.00                          | 9,542.00                | 5,450.00<br>1,241.30                   | 3.13% |
| <b>CITIGROUP INC</b><br>SR NOTES 4.45% JAN 10 2017<br>DTD 01/10/2012<br>172967-FW-6 A- /BAA                | 109.88 | 10,000.00  | 10,987.70  | 9,966.30                           | 1,001.40                | 445.00<br>100.12                       | 2.03% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity  | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|-----------|-----------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>          |        |           |           |                                    |                         |  |       |
| <b>RABOBANK NEDERLAND UTREC</b> |        |           |           |                                    |                         |  |       |
| 3 3/8% JAN 19 2017              | 105.94 | 20,000.00 | 21,188.80 | 19,982.60                          | 1,206.20                | 675.00                                 | 1.93% |
| DTD 01/19/2012                  |        |           |           |                                    |                         | 135.00                                 |       |
| 21686C-AD-2 AA /AA2             |        |           |           |                                    |                         |  |       |
| <b>HCP INC</b>                  |        |           |           |                                    |                         |  |       |
| 6% JAN 30 2017                  | 116.86 | 30,000.00 | 35,057.70 | 28,420.50                          | 6,637.20                | 1,800.00                               | 1.92% |
| DTD 01/22/2007                  |        |           |           |                                    |                         | 304.98                                 |       |
| 421915-EJ-4 BBB /BAA            |        |           |           |                                    |                         |  |       |
| <b>MORGAN STANLEY</b>           |        |           |           |                                    |                         |  |       |
| 4 3/4% MAR 22 2017              | 107.29 | 15,000.00 | 16,093.50 | 15,337.95                          | 755.55                  | 712.50                                 | 3.00% |
| DTD 03/22/2012                  |        |           |           |                                    |                         | 17.80                                  |       |
| 61747Y-DT-9 A- /BAA             |        |           |           |                                    |                         |  |       |
| <b>LOWE'S COMPANIES INC</b>     |        |           |           |                                    |                         |  |       |
| 1 625% APR 15 2017              | 102.11 | 20,000.00 | 20,421.00 | 20,030.60                          | 390.40                  |  |       |
| DTD 04/23/2012                  |        |           |           |                                    |                         |  |       |
| 548661-CY-1 A- /A3              |        |           |           |                                    |                         |  |       |
| <b>CVS CAREMARK CORP</b>        |        |           |           |                                    |                         |  |       |
| 5 3/4% JUN 1 2017               | 120.57 | 40,000.00 | 48,229.20 | 47,748.60                          | 480.60                  | 2,300.00                               | 1.20% |
| DTD 5/25/2007                   |        |           |           |                                    |                         | 766.64                                 |       |
| 126650-BH-2 BBB /BAA            |        |           |           |                                    |                         |  |       |
| <b>TOTAL CAPITAL INTL SA</b>    |        |           |           |                                    |                         |  |       |
| 1.55% JUN 28 2017               | 102.08 | 35,000.00 | 35,726.95 | 34,934.55                          | 792.40                  | 542.50                                 | 1.10% |
| DTD 06/28/2012                  |        |           |           |                                    |                         | 140.14                                 |       |
| 89153V-AC-3 AA- /AA1            |        |           |           |                                    |                         |  |       |
| <b>MIDAMERICAN ENERGY CO</b>    |        |           |           |                                    |                         |  |       |
| 5.95% JUL 15 2017               | 121.40 | 50,000.00 | 60,700.00 | 49,893.50                          | 10,806.50               | 2,975.00                               | 1.32% |
| DTD 06/29/2007                  |        |           |           |                                    |                         | 628.05                                 |       |
| 595620-AG-0 A- /A2              |        |           |           |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>          |        |            |            |                                    |                         |                                       |       |
| <b>FHLMC</b>                    |        |            |            |                                    |                         |                                       |       |
| 1% JUL 28 2017                  | 101.23 | 160,000.00 | 161,968.00 | 161,578.40                         | 389.60                  | 1,600.00                              | 0.74% |
| DTD 06/25/2012                  |        |            |            |                                    |                         | 426.56                                |       |
| 3137EA-DJ-5 AAA /AAA            |        |            |            |                                    |                         |                                       |       |
| <b>P US TREAS 0.5% 07/31/17</b> |        |            |            |                                    |                         |                                       |       |
| 912828-TG-5 NR /NR              | 99.53  | 130,000.00 | 129,390.30 | 129,096.10                         | 294.20                  | 650.00                                | 0.60% |
|                                 |        |            |            |                                    |                         | 122.30                                |       |
| <b>CITIGROUP INC</b>            |        |            |            |                                    |                         |                                       |       |
| NOTES 6% AUG 15 2017            | 116.74 | 65,000.00  | 75,877.75  | 64,768.60                          | 11,109.15               | 3,900.00                              | 2.34% |
| DTD 08/15/2007                  |        |            |            |                                    |                         | 498.29                                |       |
| 172967-EH-0 A-/BAA              |        |            |            |                                    |                         |                                       |       |
| <b>RIO TINTO FIN USA PLC</b>    |        |            |            |                                    |                         |                                       |       |
| 1.625% AUG 21 2017              | 100.02 | 15,000.00  | 15,003.30  | 14,917.80                          | 85.50                   | 243.75                                | 1.62% |
| DTD 08/21/2012                  |        |            |            |                                    |                         | 27.07                                 |       |
| 76720A-AE-6 A-/A3               |        |            |            |                                    |                         |                                       |       |
| <b>FNMA 0.875% 08/28/17</b>     |        |            |            |                                    |                         |                                       |       |
| 3135G0-MZ-3 AA+ /AAA            | 100.55 | 130,000.00 | 130,718.90 | 129,374.33                         | 1,344.57                | 1,137.50                              | 0.76% |
|                                 |        |            |            |                                    |                         | 104.26                                |       |
| <b>US TREAS 1.875% 08/31/17</b> |        |            |            |                                    |                         |                                       |       |
| 912828-NW-6 NR /AAA             | 106.16 | 85,000.00  | 90,239.40  | 84,708.15                          | 5,531.25                | 1,593.75                              | 0.60% |
|                                 |        |            |            |                                    |                         | 147.22                                |       |
| <b>US TREAS 0.625% 08/31/17</b> |        |            |            |                                    |                         |                                       |       |
| 912828-TM-2 AAA /               | 100.09 | 145,000.00 | 145,124.70 | 145,050.97                         | 73.73                   | 906.25                                | 0.61% |
| <b>GOLDMAN SACHS GROUP INC</b>  |        |            |            |                                    |                         |                                       |       |
| 6 1/4% SEP 01 2017              | 117.53 | 30,000.00  | 35,258.10  | 34,572.60                          | 685.50                  | 1,875.00                              | 2.44% |
| DTD 08/30/2007                  |        |            |            |                                    |                         | 156.24                                |       |
| 38144L-AB-6 A-/A3               |        |            |            |                                    |                         |                                       |       |
| <b>HEWLETT-PACKARD CO</b>       |        |            |            |                                    |                         |                                       |       |
| 2.6% SEP 15 2017                | 100.46 | 25,000.00  | 25,116.00  | 24,996.25                          | 119.75                  | 650.00                                | 2.50% |
| DTD 03/12/2012                  |        |            |            |                                    |                         | 28.87                                 |       |
| 428236-BW-2 BBB /A3             |        |            |            |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                      | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|--------------------------------------|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>               |        |            |            |                                    |                         |  |       |
| <b>COMCAST CORPORATION</b>           |        |            |            |                                    |                         |  |       |
| 6.3% NOV 15 2017                     | 123.73 | 30,000.00  | 37,118.10  | 29,081.10                          | 8,037.00                | 1,890.00                               | 1.47% |
| DTD 08/23/2007                       |        |            |            |                                    |                         | 714.00                                 |       |
| 20030N-AU-5 BBB /BAA                 |        |            |            |                                    |                         |  |       |
| <b>US TREAS 2.25% 11/30/17</b>       |        |            |            |                                    |                         |  |       |
| 912828-PK-0 NR /AAA                  | 108.09 | 175,000.00 | 189,164.50 | 172,765.35                         | 16,399.15               | 3,937.50                               | 0.65% |
|                                      |        |            |            |                                    |                         | 1,323.17                               |       |
| <b>US TREAS 2.625% 01/31/18</b>      |        |            |            |                                    |                         |  |       |
| 912828-PT-1 NR /AAA                  | 110.13 | 100,000.00 | 110,125.00 | 99,611.30                          | 10,513.70               | 2,625.00                               | 0.69% |
|                                      |        |            |            |                                    |                         | 442.20                                 |       |
| <b>KFW</b>                           |        |            |            |                                    |                         |  |       |
| 4.3/8% MAR 15 2018                   | 115.20 | 80,000.00  | 92,158.40  | 79,280.00                          | 12,878.40               | 3,500.00                               | 1.47% |
| DTD 03/04/2008                       |        |            |            |                                    |                         | 155.52                                 |       |
| 500769-CR-3 AAA /AAA                 |        |            |            |                                    |                         |  |       |
| <b>BANK OF AMERICA CORP</b>          |        |            |            |                                    |                         |  |       |
| NOTES 5.65% MAY 01 2018              | 115.16 | 90,000.00  | 103,641.30 | 93,300.00                          | 10,341.30               | 5,085.00                               | 2.71% |
| DTD 05/02/2008                       |        |            |            |                                    |                         | 2,118.69                               |       |
| 06051G-DX-4 A- /BAA                  |        |            |            |                                    |                         |  |       |
| <b>GENERAL ELEC CAP CORP</b>         |        |            |            |                                    |                         |  |       |
| MEDIUM TERM NOTES 5.5/8% MAY 01 2018 | 118.56 | 30,000.00  | 35,568.60  | 29,919.90                          | 5,648.70                | 1,687.50                               | 2.09% |
| DTD 04/21/2008                       |        |            |            |                                    |                         | 703.11                                 |       |
| 36962G-3U-6 AA+ /A1                  |        |            |            |                                    |                         |  |       |
| <b>PLAINS ALL AMER PIPELINE</b>      |        |            |            |                                    |                         |  |       |
| 6.1/2% MAY 01 2018                   | 123.81 | 40,000.00  | 49,524.80  | 45,743.35                          | 3,781.45                | 2,600.00                               | 1.97% |
| DTD 11/01/2008                       |        |            |            |                                    |                         | 1,083.32                               |       |
| 72650R-AT-9 BBB /BAA                 |        |            |            |                                    |                         |  |       |
| <b>PHILIP MORRIS INTL INC</b>        |        |            |            |                                    |                         |  |       |
| NOTES 5.65% MAY 16 2018              | 122.76 | 35,000.00  | 42,966.00  | 36,894.20                          | 6,071.80                | 1,977.50                               | 1.42% |
| DTD 05/16/2008                       |        |            |            |                                    |                         | 741.54                                 |       |
| 718172-AA-7 A /A2                    |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|   | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>  |        |            |            |                                    |                         |  |       |
| US TREAS 2.375% 06/30/18<br>912828-QT-0 NR /AAA   | 108 98 | 150,000.00 | 163,465.50 | 148,957.04                         | 14,508.46               | 3,562.50                               | 0.78% |
| US TREAS 2.25% 07/31/18<br>912828-QY-9 NR /AAA  | 108 28 | 165,000.00 | 178,663.65 | 166,005.47                         | 12,658.18               | 3,712.50<br>625.35                     | 0.79% |
| US TREAS 1.5% 08/31/18<br>912828-RE-2 NR /AAA   | 103 95 | 215,000.00 | 223,481.75 | 215,276.37                         | 8,205.38                | 3,225.00<br>290.68                     | 0.82% |
| TIME WARNER CABLE INC<br>8 3/4% FEB 14 2019<br>DTD 11/18/2008<br>88732J-AP-3 BBB /BAA           | 136 77 | 25,000.00  | 34,193.00  | 26,605.75                          | 7,587.25                | 2,187.50<br>285.57                     | 2.47% |
| GOLDMAN SACHS GROUP INC<br>SR NOTES 7 1/2% FEB 15 2019<br>DTD 02/05/2009<br>38141E-A2-5 A - /A3 | 124.24 | 35,000.00  | 43,484.70  | 37,440.55                          | 6,044.15                | 2,625.00<br>335.40                     | 3.26% |
| NEVADA POWER CO<br>7 1/8% MAR 15 2019<br>DTD 03/02/2009<br>641423-BY-3 BBB /BAA                 | 130 72 | 20,000.00  | 26,143.40  | 24,876.60                          | 1,266.80                | 1,425.00<br>63.32                      | 2.02% |
| US TREAS 1.125% 05/31/19<br>912828-SX-9 NR /AAA   | 101 00 | 145,000.00 | 146,450.00 | 145,677.15                         | 772.85                  | 1,631.25<br>548.10                     | 0.97% |
| US TREAS 1% 06/30/19<br>912828-TC-4 NR /AAA   | 100.04 | 150,000.00 | 150,058.50 | 150,322.27                         | (263.77)                | 1,500.00                               | 0.99% |
| MERCK & CO INC<br>5% JUN 30 2019<br>DTD 06/25/2009<br>589331-AN-7 AA /AA3                       | 121 05 | 25,000.00  | 30,261.25  | 25,957.00                          | 4,304.25                | 1,250.00<br>315.95                     | 1.69% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>                 |        |            |            |                                    |                         |                                       |       |
| <b>ORACLE CORP</b>                     |        |            |            |                                    |                         |                                       |       |
| 5% JUL 08 2019                         | 121.47 | 40,000.00  | 48,587.20  | 46,574.40                          | 2,012.80                | 2,000.00                              | 1.64% |
| DTD 07/08/2009                         |        |            |            |                                    |                         | 461.08                                |       |
| 68389X-AG-0 A+ /A1                     |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 0.875% 07/31/19</b>        |        |            |            |                                    |                         |                                       |       |
| 912828-TH-3 NR /NR                     | 99.10  | 135,000.00 | 133,787.70 | 133,557.82                         | 229.88                  | 1,181.25                              | 1.01% |
|  |        |            |            |                                    |                         | 198.99                                |       |
| <b>GENERAL ELEC CAP CORP</b>           |        |            |            |                                    |                         |                                       |       |
| 6% AUG 07 2019                         | 121.72 | 20,000.00  | 24,343.80  | 21,052.00                          | 3,291.80                | 1,200.00                              | 2.53% |
| DTD 08/07/2009                         |        |            |            |                                    |                         | 180.00                                |       |
| 36962G-4D-3 AA+ /A1                    |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 1% 08/31/19</b>            |        |            |            |                                    |                         |                                       |       |
| 912828-TN-0 NR /NR                     | 99.81  | 100,000.00 | 99,813.00  | 99,419.91                          | 393.09                  | 1,000.00                              | 1.03% |
|  |        |            |            |                                    |                         | 84.20                                 |       |
| <b>VALE OVERSEAS LIMITED</b>           |        |            |            |                                    |                         |                                       |       |
| 5.5/8% SEP 15 2019                     | 113.16 | 30,000.00  | 33,948.90  | 32,124.00                          | 1,824.90                | 1,687.50                              | 3.48% |
| DTD 09/15/2009                         |        |            |            |                                    |                         | 75.00                                 |       |
| 91911T-AJ-2 A- /BAA                    |        |            |            |                                    |                         |                                       |       |
| <b>ANHEUSER BUSCH INBEV WOR</b>        |        |            |            |                                    |                         |                                       |       |
| 5.3/8% JAN 15 2020                     | 123.88 | 25,000.00  | 30,969.75  | 26,960.50                          | 4,009.25                | 1,343.75                              | 1.86% |
| DTD 10/16/2009                         |        |            |            |                                    |                         | 283.67                                |       |
| 03523T-AN-8 A /A3                      |        |            |            |                                    |                         |                                       |       |
| <b>CISCO SYSTEMS INC</b>               |        |            |            |                                    |                         |                                       |       |
| NOTES 4.45% JAN 15 2020                | 117.67 | 35,000.00  | 41,184.15  | 38,969.70                          | 2,214.45                | 1,557.50                              | 1.85% |
| DTD 11/17/2009                         |        |            |            |                                    |                         | 328.79                                |       |
| 17275R-AH-5 A+ /A1                     |        |            |            |                                    |                         |                                       |       |
| <b>FHLMC GOLD G11728 5.5% 02/01/20</b> |        |            |            |                                    |                         |                                       |       |
| 31283K-4M-7                            | 108.52 | 116,931.45 | 126,896.35 | 123,837.71                         | 3,058.64                | 6,431.22                              | 1.33% |
|  |        |            |            |                                    |                         | 535.89                                |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>          |        |            |            |                                    |                         |  |       |
| <b>KRAFT FOODS INC</b>          |        |            |            |                                    |                         |  |       |
| 5 3/8% FEB 10 2020              | 121.25 | 25,000.00  | 30,313.25  | 28,622.75                          | 1,690.50                | 1,343.75                               | 2.23% |
| DTD 02/08/2010                  |        |            |            |                                    |                         | 190.35                                 |       |
| 50075N-BA-1 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 3.625% 02/15/20</b> |        |            |            |                                    |                         |  |       |
| 912828-MP-2 NR /AAA             | 117.89 | 10,000.00  | 11,789.10  | 10,503.90                          | 1,285.20                | 362.50                                 | 1.09% |
|                                 |        |            |            |                                    |                         | 46.29                                  |       |
| <b>WILLIAMS PARTNERS LP</b>     |        |            |            |                                    |                         |  |       |
| 5 1/4% MAR 15 2020              | 116.18 | 25,000.00  | 29,044.75  | 26,997.75                          | 2,047.00                | 1,312.50                               | 2.83% |
| DTD 02/09/2010                  |        |            |            |                                    |                         | 58.32                                  |       |
| 96950F-AD-6 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 3.5% 05/15/20</b>   |        |            |            |                                    |                         |  |       |
| 912828-ND-8 NR /AAA             | 117.13 | 160,000.00 | 187,412.80 | 167,958.45                         | 19,454.35               | 5,600.00                               | 1.15% |
|                                 |        |            |            |                                    |                         | 2,115.20                               |       |
| <b>XCEL ENERGY INC</b>          |        |            |            |                                    |                         |  |       |
| 4 7% MAY 15 2020                | 116.20 | 25,000.00  | 29,049.50  | 24,919.00                          | 4,130.50                | 1,175.00                               | 2.36% |
| DTD 05/13/2010                  |        |            |            |                                    |                         | 443.87                                 |       |
| 98389B-AM-2 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>GOLDMAN SACHS GROUP INC</b>  |        |            |            |                                    |                         |  |       |
| 6% JUN 15 2020                  | 115.89 | 15,000.00  | 17,383.20  | 15,872.40                          | 1,510.80                | 900.00                                 | 3.62% |
| DTD 06/03/2010                  |        |            |            |                                    |                         | 264.99                                 |       |
| 38141E-A6-6 A- /A3              |        |            |            |                                    |                         |  |       |
| <b>COVIDIEN INTL FINANCE SA</b> |        |            |            |                                    |                         |  |       |
| 4 2% JUN 15 2020                | 113.58 | 25,000.00  | 28,396.00  | 24,970.00                          | 3,426.00                | 1,050.00                               | 2.27% |
| DTD 06/28/2010                  |        |            |            |                                    |                         | 309.15                                 |       |
| 22303Q-AL-4 A /BAA              |        |            |            |                                    |                         |  |       |
| <b>PNC FUNDING CORP</b>         |        |            |            |                                    |                         |  |       |
| 4 3/8% AUG 11 2020              | 114.32 | 30,000.00  | 34,294.50  | 30,746.40                          | 3,548.10                | 1,312.50                               | 2.37% |
| DTD 08/11/2010                  |        |            |            |                                    |                         | 182.28                                 |       |
| 693476-BL-6 A- /A3              |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity  | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|-----------|-----------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>          |        |           |           |                                    |                         |  |       |
| <b>US TREAS 2.625% 08/15/20</b> |        |           |           |                                    |                         |  |       |
| 912828-NT-3 NR /NR              | 110.59 | 60,000.00 | 66,356.40 | 59,086.18                          | 7,270.22                | 1,575.00<br>201.12                     | 1.21% |
| <b>UNITEDHEALTH GROUP INC</b>   |        |           |           |                                    |                         |  |       |
| NOTES 3 7/8% OCT 15 2020        |        |           |           |                                    |                         |  |       |
| DTD 10/25/2010                  |        |           |           |                                    |                         |  |       |
| 91324P-BM-3 A /A3               | 109.83 | 15,000.00 | 16,474.80 | 14,788.65                          | 1,686.15                | 581.25<br>268.02                       | 2.52% |
| <b>AMERICAN INTL GROUP</b>      |        |           |           |                                    |                         |  |       |
| 6 4% DEC 15 2020                |        |           |           |                                    |                         |  |       |
| DTD 12/03/2010                  |        |           |           |                                    |                         |  |       |
| 026874-BW-6 A- /BAA             | 122.30 | 25,000.00 | 30,575.00 | 29,419.50                          | 1,155.50                | 1,600.00<br>471.10                     | 3.28% |
| <b>CR BARD INC</b>              |        |           |           |                                    |                         |  |       |
| 4 4% JAN 15 2021                |        |           |           |                                    |                         |  |       |
| DTD 12/20/2010                  |        |           |           |                                    |                         |  |       |
| 067383-AC-3 A /A3               | 115.90 | 15,000.00 | 17,385.45 | 15,564.90                          | 1,820.55                | 660.00<br>139.32                       | 2.28% |
| <b>ENERGY ARKANSAS INC</b>      |        |           |           |                                    |                         |  |       |
| 3 3/4% FEB 15 2021              |        |           |           |                                    |                         |  |       |
| DTD 11/12/2010                  |        |           |           |                                    |                         |  |       |
| 29364D-AQ-3 A- /A3              | 109.56 | 25,000.00 | 27,388.75 | 24,992.75                          | 2,396.00                | 937.50<br>119.77                       | 2.48% |
| <b>GILEAD SCIENCES INC</b>      |        |           |           |                                    |                         |  |       |
| 4 1/2% APR 01 2021              |        |           |           |                                    |                         |  |       |
| DTD 03/30/2011                  |        |           |           |                                    |                         |  |       |
| 375558-AQ-6 A- /BAA             | 114.12 | 20,000.00 | 22,823.00 | 20,270.20                          | 2,552.80                | 900.00<br>450.00                       | 2.64% |
| <b>VERIZON COMMUNICATION</b>    |        |           |           |                                    |                         |  |       |
| 4.6% APR 01 2021                |        |           |           |                                    |                         |  |       |
| DTD 03/28/2011                  |        |           |           |                                    |                         |  |       |
| 92343V-AX-2 A- /A3              | 118.70 | 30,000.00 | 35,610.30 | 31,057.50                          | 4,552.80                | 1,380.00<br>690.00                     | 2.18% |
| <b>HSBC HOLDINGS PLC</b>        |        |           |           |                                    |                         |  |       |
| 5.1% APR 05 2021                |        |           |           |                                    |                         |  |       |
| DTD 04/05/2011                  |        |           |           |                                    |                         |  |       |
| 404280-AK-5 A+ /AA3             | 115.93 | 40,000.00 | 46,371.20 | 39,913.20                          | 6,458.00                | 2,040.00<br>997.32                     | 2.97% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity  | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|--|--------|-----------|-----------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>   |        |           |           |                                    |                         |  |       |
| WAL MART STORES INC<br>4 1/4% APR 15 2021<br>DTD 04/18/2011<br>931142-DD-2 AA /AA2       | 118.11 | 25,000.00 | 29,528.00 | 27,554.00                          | 1,974.00                | 1,062.50<br>489.92                     | 1.94% |
| ALTRIA GROUP INC<br>4 3/4% MAY 05 2021<br>DTD 05/05/2011<br>02209S-AL-7 BBB /BAA         | 114.90 | 20,000.00 | 22,979.20 | 20,045.80                          | 2,933.40                | 950.00<br>385.26                       | 2.79% |
| BARRICK NA FINANCE LLC<br>4.4% MAY 30 2021<br>DTD 06/01/2011<br>06849R-AF-9 BBB /BAA     | 109.58 | 35,000.00 | 38,351.95 | 37,610.15                          | 741.80                  | 1,540.00<br>517.58                     | 3.13% |
| HEWLETT PACKARD CO<br>4 3% JUN 01 2021<br>DTD 05/31/2011<br>428236-BM-4 BBB /A3          | 101.74 | 20,000.00 | 20,347.00 | 20,088.80                          | 258.20                  | 860.00<br>286.66                       | 4.06% |
| VENTAS REALTY LP/CAP CRP<br>4 3/4% JUN 01 2021<br>DTD 05/17/2011<br>92276M-AW-5 BBB /BAA | 109.20 | 30,000.00 | 32,760.90 | 30,259.70                          | 2,501.20                | 1,425.00<br>474.99                     | 3.51% |
| CAMDEN PROPERTY TRUST<br>4 5/8% JUN 15 2021<br>DTD 06/03/2011<br>133131-AR-3 BBB /BAA    | 111.83 | 15,000.00 | 16,774.20 | 14,907.00                          | 1,867.20                | 683.75<br>204.27                       | 3.07% |
| CAPITAL ONE FINANCIAL CO<br>4 3/4% JUL 15 2021<br>DTD 07/19/2011<br>14040H-AY-1 BBB /BAA | 114.02 | 10,000.00 | 11,402.00 | 9,953.70                           | 1,448.30                | 475.00<br>100.27                       | 2.93% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>   |        |            |            |                                    |                         |  |       |
| <b>VF CORP</b><br>3 1/2% SEP 01 2021<br>DTD 08/24/2011<br>918204-AV-0 A- /A3               | 107.43 | 15,000.00  | 16,114.50  | 15,033.90                          | 1,080.60                | 525.00<br>43.74                        | 2.56% |
| <b>TEVA PHARM FIN IV BV</b><br>3.65% NOV 10 2021<br>DTD 11/10/2011<br>88166J-AA-1 A- /A3   | 108.73 | 15,000.00  | 16,308.90  | 14,867.25                          | 1,441.65                | 547.50<br>214.42                       | 2.57% |
| <b>ERP OPERATING LP</b><br>4 5/8% DEC 15 2021<br>DTD 12/12/2011<br>26884A-AZ-6 BBB /BAA    | 115.41 | 10,000.00  | 11,540.70  | 9,961.90                           | 1,578.80                | 462.50<br>136.18                       | 2.72% |
| <b>FHLMC</b><br>MTN 2.375% 01/13/2022 DTD 01/13/2012<br>3137EA-DB-2 AAA /AAA               | 104.89 | 20,000.00  | 20,978.80  | 19,883.60                          | 1,095.20                | 475.00<br>102.90                       | 1.80% |
| <b>FHLMC GOLD G12514 5.5% 02/01/22</b><br>3128MB-AP-2                                      | 108.90 | 111,997.40 | 121,965.17 | 121,937.19                         | 27.98                   | 6,159.85<br>513.28                     | 1.81% |
| <b>US TREAS 2% 02/15/22</b><br>912828-SF-8 NR /AAA   | 104.05 | 35,000.00  | 36,416.45  | 34,362.50                          | 2,053.95                | 700.00<br>89.39                        | 1.53% |
| <b>INTL PAPER CO</b><br>4 3/4% FEB 15 2022<br>DTD 11/16/2011<br>460146-CG-6 BBB /BAA       | 113.54 | 20,000.00  | 22,708.20  | 19,983.80                          | 2,724.40                | 950.00<br>121.38                       | 3.08% |
| <b>DIRECTV HOLDINGS/FING</b><br>3.8% MAR 15 2022<br>DTD 05/09/2012<br>25459H-BF-1 BBB /BAA | 103.38 | 40,000.00  | 41,350.80  | 39,690.40                          | 1,660.40                | 1,520.00<br>67.52                      | 3.38% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                   | Price  | Quantity   | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|-----------------------------------|--------|------------|-----------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>            |        |            |           |                                    |                         |  |       |
| <b>APACHE CORP</b>                |        |            |           |                                    |                         |  |       |
| 3.25% APR 15 2022                 | 107.65 | 15,000.00  | 16,147.20 | 15,031.50                          | 1,115.70                | 487.50                                 | 2.35% |
| DTD 04/09/2012                    |        |            |           |                                    |                         | 232.90                                 |       |
| 037411-AZ-8 A+ /A3                |        |            |           |                                    |                         |  |       |
| <b>HARTFORD FINL SVCS GRP</b>     |        |            |           |                                    |                         |  |       |
| 5.125% APR 15 2022                | 112.40 | 15,000.00  | 16,859.85 | 15,088.35                          | 1,771.50                | 768.75                                 | 3.58% |
| DTD 04/05/2012                    |        |            |           |                                    |                         | 375.82                                 |       |
| 416518-AB-4 BBB /BAA              |        |            |           |                                    |                         |  |       |
| <b>GLAXOSMITHKLINE CAPITAL</b>    |        |            |           |                                    |                         |  |       |
| 2.85% MAY 08 2022                 | 103.84 | 25,000.00  | 25,960.00 | 24,961.25                          | 998.75                  | 712.50                                 | 2.40% |
| DTD 05/09/2012                    |        |            |           |                                    |                         | 281.02                                 |       |
| 377973-AD-7 A+ /A1                |        |            |           |                                    |                         |  |       |
| <b>DISCOVERY COMMUNICATIONS</b>   |        |            |           |                                    |                         |  |       |
| 3.3% MAY 15 2022                  | 104.37 | 30,000.00  | 31,309.50 | 29,868.60                          | 1,440.90                | 990.00                                 | 2.78% |
| DTD 05/17/2012                    |        |            |           |                                    |                         | 368.49                                 |       |
| 25470D-AF-6 BBB /BAA              |        |            |           |                                    |                         |  |       |
| <b>P US TREAS 1.625% 08/15/22</b> |        |            |           |                                    |                         |  |       |
| 912828-TJ-9 NR /AAA               | 99.92  | 100,000.00 | 99,922.00 | 98,770.31                          | 1,151.69                | 1,625.00                               | 1.63% |
|                                   |        |            |           |                                    |                         | 110.13                                 |       |
| <b>DUKE ENERGY CORP</b>           |        |            |           |                                    |                         |  |       |
| 3.05% AUG 15 2022                 | 101.42 | 25,000.00  | 25,354.75 | 24,904.00                          | 450.75                  | 762.50                                 | 2.88% |
| DTD 08/16/2012                    |        |            |           |                                    |                         | 95.30                                  |       |
| 26441C-AJ-4 BBB /BAA              |        |            |           |                                    |                         |  |       |
| <b>SHELL INTERNATIONAL FIN</b>    |        |            |           |                                    |                         |  |       |
| 2.375% AUG 21 2022                | 100.84 | 20,000.00  | 20,167.20 | 19,913.40                          | 253.80                  | 475.00                                 | 2.28% |
| DTD 08/21/2012                    |        |            |           |                                    |                         | 52.76                                  |       |
| 822582-AS-1 AA /AA1               |        |            |           |                                    |                         |  |       |
| <b>BURLINGTN NORTH SANTA FE</b>   |        |            |           |                                    |                         |  |       |
| 3.05% SEP 01 2022                 | 103.57 | 15,000.00  | 15,534.75 | 14,937.00                          | 597.75                  | 457.50                                 | 2.64% |
| DTD 08/23/2012                    |        |            |           |                                    |                         | 48.28                                  |       |
| 12189L-AL-5 BBB /A3               |        |            |           |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|----------------------------------|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>           |        |            |            |                                    |                         |  |       |
| <b>KINDER MORGAN ENER PART</b>   |        |            |            |                                    |                         |  |       |
| 3.95% SEP 01 2022                | 107.66 | 35,000.00  | 37,681.70  | 35,063.00                          | 2,618.70                | 1,382.50                               | 3.05% |
| DTD 03/14/2012                   |        |            |            |                                    |                         | 115.18                                 |       |
| 494550-BL-9 BBB /BAA             |        |            |            |                                    |                         |  |       |
| <b>WPP FINANCE 2010</b>          |        |            |            |                                    |                         |  |       |
| 3.625% SEP 07 2022               | 100.86 | 10,000.00  | 10,086.40  | 9,916.30                           | 170.10                  | 362.50                                 | 3.52% |
| DTD 09/07/2012                   |        |            |            |                                    |                         | 24.16                                  |       |
| 92936M-AC-1 BBB /BAA             |        |            |            |                                    |                         |  |       |
| <b>NOVARTIS CAPITAL CORP</b>     |        |            |            |                                    |                         |  |       |
| 2.4% SEP 21 2022                 | 100.98 | 25,000.00  | 25,244.00  | 24,933.75                          | 310.25                  | 600.00                                 | 2.29% |
| DTD 09/21/2012                   |        |            |            |                                    |                         | 16.65                                  |       |
| 66989H-AE-8 AA- /AA2             |        |            |            |                                    |                         |  |       |
| <b>VODAFONE GROUP PLC</b>        |        |            |            |                                    |                         |  |       |
| 2.5% SEP 26 2022                 | 100.10 | 10,000.00  | 10,010.40  | 9,869.90                           | 140.50                  | 250.00                                 | 2.49% |
| DTD 09/26/2012                   |        |            |            |                                    |                         | 3.47                                   |       |
| 92857W-AZ-3 /A3E                 |        |            |            |                                    |                         |  |       |
| <b>FNMA 889716 5.5% 10/01/22</b> |        |            |            |                                    |                         |  |       |
| 31410K-N9-1                      | 109.09 | 113,480.17 | 123,796.65 | 123,764.33                         | 32.32                   | 6,241.40                               | 2.43% |
|                                  |        |            |            |                                    |                         | 520.07                                 |       |
| <b>SEMPRA ENERGY</b>             |        |            |            |                                    |                         |  |       |
| 2.875% OCT 01 2022               | 101.66 | 15,000.00  | 15,249.30  | 14,994.75                          | 254.55                  | 431.25                                 | 2.68% |
| DTD 09/24/2012                   |        |            |            |                                    |                         | 8.38                                   |       |
| 816851-AT-6 BBB /BAA             |        |            |            |                                    |                         |  |       |
| <b>FREDDIE MAC</b>               |        |            |            |                                    |                         |  |       |
| SER 3378 CL ME 5.5% JAN 15 2023  | 103.46 | 6,545.97   | 6,772.26   | 6,565.40                           | 206.86                  | 360.02                                 | 1.34% |
| DTD 10/01/2007                   |        |            |            |                                    |                         | 30.00                                  |       |
| 31397K-R9-4                      |        |            |            |                                    |                         |  |       |
| <b>BOSTON PROPERTIES LP</b>      |        |            |            |                                    |                         |  |       |
| 3.85% FEB 01 2023                | 105.30 | 30,000.00  | 31,590.30  | 30,255.60                          | 1,334.70                | 1,155.00                               | 3.24% |
| DTD 06/11/2012                   |        |            |            |                                    |                         | 192.48                                 |       |
| 10112R-AU-8 A- /BAA              |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost |  | Unrealized<br>Gain/Loss | Est. Annual Income  |  | Yield |
|--|--------|------------|------------|-------------------|--|-------------------------|---------------------|--|-------|
|  |        |            |            | Original Cost     |  |                         | Accrued Interest    |  |       |
| <b>US Fixed Income</b>   |        |            |            |                   |  |                         |                     |  |       |
| <b>KOHL'S CORPORATION</b><br>3.25% FEB 01 2023<br>DTD 09/25/2012<br>500255-AS-3 BBB /BAA | 101.72 | 15,000.00  | 15,258.60  | 15,068.85         |  | 189.75                  | 487.50              |  | 3.05% |
| <b>FNMA 255894 5% 10/01/25</b><br>31371M-GB-7 NR /NR                                     | 110.31 | 66,298.75  | 73,130.84  | 71,965.22         |  | 1,165.62                | 3,314.93<br>276.20  |  | 1.94% |
| <b>FNMA REMIC 2010-45 CL GD</b><br>5.000% 04/25/2033 DTD 04/30/2010<br>31398P-MV-8       | 107.45 | 97,139.73  | 104,378.58 | 107,885.81        |  | (3,507.23)              | 4,856.98<br>404.68  |  | 1.60% |
| <b>P FHLMC 3790 CL AP</b><br>4.5% 01/15/2037 DTD 01/28/2011<br>3137A5-B7-8               | 110.51 | 145,964.07 | 161,307.81 | 161,837.67        |  | (529.86)                | 6,568.38<br>(18.25) |  | 1.25% |
| <b>GNMA</b><br>SER 2009-109 CL ND 3.25% JUL 20 2037<br>DTD 11/01/2009<br>38376E-W8-4     | 103.68 | 138,331.56 | 143,418.01 | 144,470.02        |  | (1,052.01)              | 4,495.77<br>374.60  |  | 1.76% |
| <b>GNMA 5% 11/16/37</b><br>38375X-CM-4   | 112.20 | 170,260.89 | 191,027.61 | 186,781.51        |  | 4,246.10                | 8,513.04<br>709.30  |  | 2.54% |
| <b>GNMA</b><br>SER 2010-151 CL HN 3% AUG 20 2039<br>DTD 11/01/2010<br>38377N-JS-4        | 105.53 | 144,374.57 | 152,362.81 | 150,375.14        |  | 1,987.67                | 4,331.23<br>360.93  |  | 1.44% |
| <b>GNMA</b><br>SER 2010-144 CL DA 4% SEP 16 2039<br>DTD 11/01/2010<br>38377M-RE-8        | 110.34 | 74,768.46  | 82,501.01  | 81,497.61         |  | 1,003.40                | 2,990.73<br>249.20  |  | 1.70% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value                  | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield        |
|--|--------|------------|------------------------|------------------------------------|-------------------------|--|--------------|
| <b>US Fixed Income</b>   |        |            |                        |                                    |                         |  |              |
| <b>BANC OF AMERICA COMMERCIAL MORTGAGE<br/>INC</b>                             |        |            |                        |                                    |                         |  |              |
| SER 2003-2 CL A4 5.061% MAR 11 2041  | 102.94 | 160,000.00 | 164,696.00             | 160,801.64                         | 3,894.36                | 8,097.60                               | 0.83%        |
| DTD 11/1/2003  |        |            |                        |                                    |                         | 674.72                                 |              |
| 05947U-LY-2 AAA /NA  |        |            |                        |                                    |                         |  |              |
| <b>FNMA 4.5% 06/25/41</b>  |        |            |                        |                                    |                         |  |              |
| 31397U-MX-4  | 106.54 | 160,349.55 | 170,834.81             | 171,173.16                         | (338.35)                | 7,215.72                               | 2.93%        |
| <b>FNMA 4% 06/25/41</b>  |        |            |                        |                                    |                         |  |              |
| 31397U-NL-9  | 107.23 | 159,997.88 | 171,559.33             | 168,547.78                         | 3,011.55                | 6,399.91                               | 2.30%        |
| <b>BEAR STEARNS COMMERCIAL MORTGAGE<br/>SECURITIES INC SER 2004-PWR6 CL A6</b> |        |            |                        |                                    |                         |  |              |
| 4.825% NOV 11 2041   | 107.97 | 190,000.00 | 205,150.60             | 191,036.64                         | 14,113.96               | 9,167.50                               | 0.75%        |
| DTD 12/1/2004  |        |            |                        |                                    |                         | 763.80                                 |              |
| 07383F-X5-2 AAA /AAA   |        |            |                        |                                    |                         |  |              |
| <b>COMM 2005- C6 A5A</b>   |        |            |                        |                                    |                         |  |              |
| SER 2005- C6 A5A 5.116% JUN 10 2044  | 111.12 | 100,000.00 | 111,116.00             | 100,493.14                         | 10,622.86               | 5,116.00                               | 0.90%        |
| DTD 8/1/2005   |        |            |                        |                                    |                         | 426.30                                 |              |
| 126171-AF-4 AAA /AAA   |        |            |                        |                                    |                         |  |              |
| <b>CITIGROUP DEUTSCHE BANK COMM MGTE</b>                                       |        |            |                        |                                    |                         |  |              |
| SER 2005-C1 CL A4 5.225602%  | 112.08 | 100,000.00 | 112,084.00             | 100,085.60                         | 11,998.40               | 5,399.73                               | 0.91%        |
| JUL 15 2044 DTD 11/1/2005  |        |            |                        |                                    |                         | 449.90                                 |              |
| 12513E-AG-9 AAA /AAA   |        |            |                        |                                    |                         |  |              |
| <b>Total US Fixed Income</b>   |        |            | <b>\$10,362,468.64</b> | <b>\$9,950,544.88</b>              | <b>\$411,923.76</b>     | <b>\$281,312.56</b>                    | <b>1.25%</b> |
|  |        |            |                        |                                    |                         | <b>\$51,822.04</b>                     |              |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

## Account Summary

### PRINCIPAL

| Asset Allocation    | Beginning Market Value | Ending Market Value    | Change In Value   | Estimated Annual Income | Current Allocation |
|---------------------|------------------------|------------------------|-------------------|-------------------------|--------------------|
| Cash & Fixed Income | 10,690,093.16          | 10,696,844.05          | 6,750.89          | 294,608.07              | 100%               |
| <b>Market Value</b> | <b>\$10,690,093.16</b> | <b>\$10,696,844.05</b> | <b>\$6,750.89</b> | <b>\$294,608.07</b>     | <b>100%</b>        |

### INCOME

| Cash Position       | Beginning Market Value | Ending Market Value | Change In Value   |
|---------------------|------------------------|---------------------|-------------------|
| Cash Balance        | 2,709.38               | 12.80               | (2,696.58)        |
| Accruals            | 48,731.93              | 55,672.85           | 6,940.92          |
| <b>Market Value</b> | <b>\$51,441.31</b>     | <b>\$55,685.65</b>  | <b>\$4,244.34</b> |

### PRINCIPAL

| Portfolio Activity                | Current Period Value   | Year-to-Date Value     | Current Period Value | Year-to-Date Value    |
|-----------------------------------|------------------------|------------------------|----------------------|-----------------------|
| <b>Beginning Market Value</b>     | <b>10,690,093.16</b>   | <b>10,476,141.75</b>   | <b>2,709.38</b>      | <b>(13,551.35)</b>    |
| Additions                         |                        |                        | (3,964.35)           | (240,026.68)          |
| Withdrawals & Fees                |                        |                        | (15,674.11)          | (62,487.03)           |
| <b>Net Additions/Withdrawals</b>  | <b>\$0.00</b>          | <b>\$0.00</b>          | <b>(\$19,638.46)</b> | <b>(\$302,513.71)</b> |
| Income                            |                        | 495.72                 | 16,941.88            | 316,077.86            |
| Change In Investment Value        | 6,750.89               | 220,206.58             | \$12.80              | \$12.80               |
| <b>Ending Market Value</b>        | <b>\$10,696,844.05</b> | <b>\$10,696,844.05</b> | <b>55,672.85</b>     | <b>55,672.85</b>      |
| Accruals                          | --                     | --                     | \$55,685.65          | \$55,685.65           |
| <b>Market Value with Accruals</b> | <b>--</b>              | <b>--</b>              | <b>\$55,685.65</b>   | <b>\$55,685.65</b>    |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

### Account Summary CONTINUED

| Tax Summary                        | Current<br>Period Value | Year-to-Date<br>Value |
|------------------------------------|-------------------------|-----------------------|
| Interest Income                    | 18,377.65               | 338,152.45            |
| Ordinary Income                    |                         | 45.72                 |
| Accrued Interest Current Year      | (310.31)                | (19,222.55)           |
| Accrued Interest Subsequent Year   | (1,125.46)              | (2,852.24)            |
| <b>Taxable Income</b>              | <b>\$16,941.88</b>      | <b>\$316,123.58</b>   |
| Cash Receipts                      |                         | 450.00                |
| <b>Other Income &amp; Receipts</b> |                         | <b>\$450.00</b>       |

| Cost Summary        | Cost                   |
|---------------------|------------------------|
| Cash & Fixed Income | 10,286,665.39          |
| <b>Total</b>        | <b>\$10,286,665.39</b> |

|                           | Current<br>Period Value | Year-to-Date<br>Value                |
|---------------------------|-------------------------|--------------------------------------|
| ST Realized Gain/Loss     | 6,637.47                | 51,921.90                            |
| LT Realized Gain/Loss     | 1,117.59                | 163,814.34                           |
| <b>Realized Gain/Loss</b> | <b>\$7,755.06</b>       | <b>\$215,736.24</b>                  |
| Unrealized Gain/Loss      |                         | To-Date Value<br><b>\$410,178.66</b> |

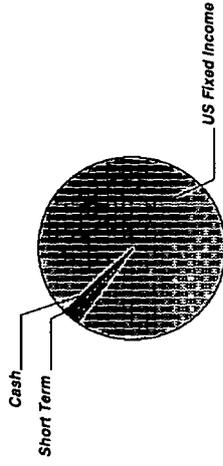


TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

## Cash & Fixed Income Summary

| Asset Categories   | Beginning Market Value | Ending Market Value    | Change In Value   | Current Allocation |
|--------------------|------------------------|------------------------|-------------------|--------------------|
| Cash               | 116,855.93             | 88,067.61              | (28,788.32)       | 1%                 |
| Short Term         | 247,167.50             | 246,307.80             | (859.70)          | 2%                 |
| US Fixed Income    | 10,326,069.73          | 10,362,468.64          | 36,398.91         | 97%                |
| <b>Total Value</b> | <b>\$10,690,093.16</b> | <b>\$10,696,844.05</b> | <b>\$6,750.89</b> | <b>100%</b>        |

### Asset Categories



| Market Value/Cost       | Current Period Value |
|-------------------------|----------------------|
| Market Value            | 10,696,844.05        |
| Tax Cost                | 10,286,665.39        |
| Unrealized Gain/Loss    | 410,178.66           |
| Estimated Annual Income | 294,608.07           |
| Accrued Interest        | 55,672.85            |
| Yield                   | 1.22%                |

Cash & Fixed Income as a percentage of your portfolio - 100%



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

**SUMMARY BY MATURITY**

| Cash & Fixed Income      | Market Value           | % of Bond Portfolio |
|--------------------------|------------------------|---------------------|
| 0-6 months <sup>1</sup>  | 178,789.41             | 1%                  |
| 6-12 months <sup>1</sup> | 155,586.00             | 1%                  |
| 1-5 years <sup>1</sup>   | 4,705,762.30           | 48%                 |
| 5-10 years <sup>1</sup>  | 3,620,471.82           | 32%                 |
| 10+ years <sup>1</sup>   | 2,036,234.52           | 18%                 |
| <b>Total Value</b>       | <b>\$10,696,844.05</b> | <b>100%</b>         |

<sup>1</sup> The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note: P indicates position adjusted for Pending Trade Activity.

<sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

**SUMMARY BY TYPE**

| Cash & Fixed Income             | Market Value           | % of Bond Portfolio |
|---------------------------------|------------------------|---------------------|
| Cash                            | 88,067.61              | 1%                  |
| Corporate Bonds                 | 2,715,389.75           | 24%                 |
| Govt and Agency Bonds           | 5,023,784.75           | 50%                 |
| International Bonds             | 646,604.10             | 5%                  |
| Mortgage and Asset Backed Bonds | 2,222,997.84           | 20%                 |
| <b>Total Value</b>              | <b>\$10,696,844.05</b> | <b>100%</b>         |

**Cash & Fixed Income Detail**

| Cash                        | Price | Quantity     | Value        | Adjusted Tax Cost |                  | Unrealized Gain/Loss | Est Annual Income | Yield              |
|-----------------------------|-------|--------------|--------------|-------------------|------------------|----------------------|-------------------|--------------------|
|                             |       |              |              | Original Cost     | Accrued Interest |                      |                   |                    |
| US DOLLAR PRINCIPAL         | 1.00  | 185,037.52   | 185,037.52   | 185,037.52        |                  |                      | 55.51             | 0.03% <sup>1</sup> |
| COST OF PENDING PURCHASES   | 1.00  | (366,852.51) | (366,852.51) | (366,852.51)      |                  |                      | 3.67              |                    |
| PROCEEDS FROM PENDING SALES | 1.00  | 269,881.33   | 269,881.33   | 269,881.33        |                  |                      |                   |                    |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|   | Price  | Quantity   | Value               | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest  | Yield        |
|---|--------|------------|---------------------|------------------------------------|-------------------------|---|--------------|
| <b>Cash</b>   |        |            |                     |                                    |                         |   |              |
| JPM PRIME MM FD - PREMIER<br>FUND 350   | 1 00   | 1.27       | 1 27                | 1 27                               |                         |   |              |
| 7-Day Annualized Yield: 01%   |        |            |                     |                                    |                         |   |              |
| <b>Total Cash</b>   |        |            | <b>\$88,067.61</b>  | <b>\$88,067.61</b>                 | <b>\$0.00</b>           | <b>\$55.51</b><br><b>\$3.67</b>         | <b>0.06%</b> |
| <b>Short Term</b>   |        |            |                     |                                    |                         |   |              |
| VIRGINIA ELECTRIC POWER<br>5.1% NOV 30 2012<br>DTD 12/04/2007<br>927804-FD-1 A- /A3               | 100 80 | 90,000 00  | 90,721 80           | 90,320 40                          | 401 40                  | 4,590 00<br>1,542 69                    | 0 20%        |
| CREDIT SUISSE NEW YORK<br>MEDIUM TERM NOTE 5%<br>MAY 15 2013 DTD 05/06/2008<br>2254C0-TC-1 A+ /A1 | 102 58 | 100,000 00 | 102,584.00          | 102,654 00                         | (70 00)                 | 5,000.00<br>1,888 80                    | 0 84%        |
| AMER EXPRESS CREDIT CO<br>NOTES 7.3% AUG 20 2013<br>DTD 08/20/2008<br>0258M0-CY-3 A- /A2          | 106 00 | 50,000 00  | 53,002 00           | 55,078.50                          | (2,076.50)              | 3,650 00<br>415.65                      | 0.50%        |
| <b>Total Short Term</b>   |        |            | <b>\$246,307.80</b> | <b>\$248,052.90</b>                | <b>(\$1,745.10)</b>     | <b>\$13,240.00</b><br><b>\$3,847.14</b> | <b>0.53%</b> |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>   |        |            |            |                                    |                         |  |       |
| <b>INTER AMERICAN DEVEL BK</b><br>3% APR 22 2014<br>DTD 04/22/2009<br>4581X0-BD-9 NR /AAA  | 103.72 | 60,000.00  | 62,232.60  | 59,923.80                          | 2,308.80                | 1,800.00<br>795.00                     | 0.60% |
| <b>CAPITAL ONE FINANCIAL CO</b><br>SR NOTES 7 3/8% MAY 23 2014<br>DTD 05/22/2009<br>14040H-AS-4 BBB /BAA   | 110.37 | 45,000.00  | 49,666.50  | 47,767.95                          | 1,898.55                | 3,318.75<br>1,179.99                   | 1.00% |
| <b>US TREAS 0.125% 07/31/14</b><br>912828-TF-7 NR /NR  | 99.80  | 535,000.00 | 533,935.35 | 533,595.31                         | 340.04                  | 668.75<br>112.35                       | 0.23% |
| <b>US TREAS 0.25% 08/31/14</b><br>912828-TL-4 NR /NR   | 100.03 | 175,000.00 | 175,047.25 | 175,075.19                         | (27.94)                 | 437.50<br>93.10                        | 0.24% |
| <b>US TREAS 0.25% 09/30/14</b><br>912828-TQ-3 NR /NR   | 100.03 | 160,000.00 | 160,049.60 | 160,050.00                         | (0.40)                  | 400.00<br>(3.30)                       | 0.23% |
| <b>GREATER ORLANDO AVIATION AUTHORITY</b><br>FLORIDA REV SPL PURP FACS 4 16%<br>OCT 01 2014 DTD 10/07/2009<br>FED TAXABLE<br>HELD BY DTC BOOK ENTRY ONLY<br>392273-AJ-7 NR /A2 | 105.08 | 100,000.00 | 105,080.00 | 100,000.00                         | 5,080.00                | 4,160.00<br>2,080.00                   | 1.57% |
| <b>PRUDENTIAL FINANCIAL INC</b><br>SR NOTES 6 2% JAN 15 2015<br>DTD 06/08/2009<br>74432Q-BF-1 A /BAA   | 111.63 | 60,000.00  | 66,979.80  | 59,962.20                          | 7,017.60                | 3,720.00<br>785.28                     | 1.04% |
| <b>BANK OF NOVA SCOTIA</b><br>3.4% JAN 22 2015<br>DTD 01/22/2010<br>064149-A6-4 AA- /AA1   | 105.69 | 40,000.00  | 42,277.60  | 39,948.80                          | 2,328.80                | 1,360.00<br>260.64                     | 0.90% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>          |        |            |            |                                    |                         |  |       |
| <b>COUNCIL OF EUROPE</b>        |        |            |            |                                    |                         |  |       |
| 2 3/4% FEB 10 2015              | 104.90 | 40,000.00  | 41,959.60  | 39,959.20                          | 2,000.40                | 1,100.00                               | 0.66% |
| DTD 02/10/2010                  |        |            |            |                                    |                         | 155.80                                 |       |
| 222213-AA-8 AAA /AAA            |        |            |            |                                    |                         |  |       |
| <b>DUKE REALTY LP</b>           |        |            |            |                                    |                         |  |       |
| 7 3/8% FEB 15 2015              | 112.28 | 35,000.00  | 39,298.70  | 34,805.05                          | 4,493.65                | 2,581.25                               | 2.04% |
| DTD 08/11/2009                  |        |            |            |                                    |                         | 329.80                                 |       |
| 26441Y-AS-6 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 0.375% 03/15/15</b> |        |            |            |                                    |                         |  |       |
| 912828-SK-7 NR /AAA             | 100.25 | 305,000.00 | 305,762.50 | 303,758.99                         | 2,003.51                | 1,143.75                               | 0.27% |
|                                 |        |            |            |                                    |                         | 50.32                                  |       |
| <b>US TREAS 2.125% 05/31/15</b> |        |            |            |                                    |                         |  |       |
| 912828-NF-3 NR /AAA             | 104.84 | 160,000.00 | 167,737.60 | 165,656.25                         | 2,081.35                | 3,400.00                               | 0.30% |
|                                 |        |            |            |                                    |                         | 1,142.56                               |       |
| <b>ENTERPRISE PRODUCTS OPER</b> |        |            |            |                                    |                         |  |       |
| 3 7% JUN 01 2015                | 107.36 | 40,000.00  | 42,942.40  | 41,898.00                          | 1,044.40                | 1,480.00                               | 0.90% |
| DTD 05/20/2010                  |        |            |            |                                    |                         | 493.32                                 |       |
| 29379V-AR-4 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 0.25% 07/15/15</b>  |        |            |            |                                    |                         |  |       |
| 912828-TD-2 NR /NR              | 99.85  | 415,000.00 | 414,385.80 | 414,465.04                         | (79.24)                 | 1,037.50                               | 0.30% |
|                                 |        |            |            |                                    |                         | 219.53                                 |       |
| <b>LANDWIRTSCH RENTENBANK</b>   |        |            |            |                                    |                         |  |       |
| 3 1/8% JUL 15 2015              | 106.71 | 75,000.00  | 80,028.75  | 74,635.50                          | 5,393.25                | 2,343.75                               | 0.70% |
| DTD 01/13/2010                  |        |            |            |                                    |                         | 494.77                                 |       |
| 515110-BB-9 AAA /AAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 0.25% 08/15/15</b>  |        |            |            |                                    |                         |  |       |
| 912828-TK-6 NR /AAA             | 99.84  | 240,000.00 | 239,625.60 | 239,671.87                         | (46.27)                 | 600.00                                 | 0.30% |
|                                 |        |            |            |                                    |                         | 76.56                                  |       |
| <b>DCP MIDSTREAM OPERATING</b>  |        |            |            |                                    |                         |  |       |
| 3 1/4% OCT 01 2015              | 102.57 | 45,000.00  | 46,156.50  | 44,964.90                          | 1,191.60                | 1,462.50                               | 2.36% |
| DTD 09/30/2010                  |        |            |            |                                    |                         | 731.25                                 |       |
| 23311V-AA-5 BBB /BAA            |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>   |        |            |            |                                    |                         |                                       |       |
| US TREAS 2% 01/31/16<br>912828-PS-3 NR /AAA  | 105 45 | 70,000.00  | 73,811 50  | 73,281 25                          | 530 25                  | 1,400 00<br>235 83                    | 0.36% |
| XEROX CORP 6.4% MAR 15 2016<br>DTD 03/20/06<br>984121-BP-7 BBB /BAA                    | 114.55 | 30,000 00  | 34,363.50  | 33,763 14                          | 600.36                  | 1,920 00<br>85.32                     | 2.02% |
| NBCUNIVERSAL MEDIA LLC<br>2 7/8% APR 01 2016<br>DTD 04/01/2011<br>63946B-AC-4 BBB /BAA | 106 27 | 30,000 00  | 31,881.00  | 31,484 40                          | 396 60                  | 862.50<br>431 25                      | 1 05% |
| US TREAS 2.625% 04/30/16<br>912828-KR-0 NR /AAA  | 107 94 | 285,000.00 | 307,623 30 | 305,662 50                         | 1,960 80                | 7,481 25<br>3,147.82                  | 0.39% |
| EXPRESS SCRIPTS INC<br>3 1/8% MAY 15 2016<br>DTD 05/02/2011<br>302182-AF-7 BBB /BAA    | 106 60 | 55,000 00  | 58,628 35  | 56,424 22                          | 2,204 13                | 1,718 75<br>649.27                    | 1 26% |
| METLIFE INC<br>6 3/4% JUN 01 2016<br>DTD 05/29/2009<br>59156R-AU-2 A- /A3              | 119 37 | 35,000 00  | 41,780.90  | 41,390 65                          | 390 25                  | 2,362 50<br>787 50                    | 1.32% |
| CITIGROUP INC<br>3.953% JUN 15 2016<br>DTD 06/15/2011<br>172967-FS-5 A- /BAA           | 107 14 | 20,000 00  | 21,428 00  | 20,407 68                          | 1,020 32                | 790 60<br>232.78                      | 1 95% |
| SBC COMMUNICATIONS<br>NOTES 5 5/8% JUN 15 2016<br>DTD 8/18/2004<br>78387G-AL-7 A- /A2  | 117 30 | 65,000 00  | 76,245 00  | 64,515 10                          | 11,729 90               | 3,656 25<br>1,076 53                  | 0 87% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|----------------------------------|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>           |        |            |            |                                    |                         |  |       |
| <b>WELLS FARGO &amp; COMPANY</b> |        |            |            |                                    |                         |  |       |
| 3.676% JUN 15 2016               | 108.85 | 70,000.00  | 76,196.40  | 70,685.01                          | 5,511.39                | 2,573.20                               | 1.22% |
| DTD 09/15/2010                   |        |            |            |                                    |                         | 114.31                                 |       |
| STEP CPN                         |        |            |            |                                    |                         |  |       |
| 949746-QU-8 A+ /A2               |        |            |            |                                    |                         |  |       |
| <b>US TREAS 1.5% 06/30/16</b>    |        |            |            |                                    |                         |  |       |
| 912828-QR-4 NR /AAA              | 104.00 | 105,000.00 | 109,200.00 | 108,757.03                         | 442.97                  | 1,575.00                               | 0.42% |
| <b>BANK OF AMERICA CORP</b>      |        |            |            |                                    |                         |  |       |
| 3.3/4% JUL 12 2016               | 106.43 | 25,000.00  | 26,607.00  | 24,951.50                          | 1,655.50                | 937.50                                 | 1.98% |
| DTD 07/12/2011                   |        |            |            |                                    |                         | 205.72                                 |       |
| 06051G-EK-1 A- /BAA              |        |            |            |                                    |                         |  |       |
| <b>AT&amp;T INC</b>              |        |            |            |                                    |                         |  |       |
| 2.4% AUG 15 2016                 | 105.79 | 25,000.00  | 26,446.50  | 25,126.50                          | 1,320.00                | 600.00                                 | 0.88% |
| DTD 08/18/2011                   |        |            |            |                                    |                         | 76.65                                  |       |
| 00206R-AY-8 A- /A2               |        |            |            |                                    |                         |  |       |
| <b>US TREAS 1% 08/31/16</b>      |        |            |            |                                    |                         |  |       |
| 912828-RF-9 NR /AAA              | 102.13 | 60,000.00  | 61,279.80  | 60,110.16                          | 1,169.64                | 600.00                                 | 0.45% |
|                                  |        |            |            |                                    |                         | 54.06                                  |       |
| <b>RIO TINTO FIN USA LTD</b>     |        |            |            |                                    |                         |  |       |
| 2.1/4% SEP 20 2016               | 103.91 | 20,000.00  | 20,781.60  | 19,880.00                          | 901.60                  | 450.00                                 | 1.24% |
| DTD 09/19/2011                   |        |            |            |                                    |                         | 13.74                                  |       |
| 767201-AP-1 A- /A3               |        |            |            |                                    |                         |  |       |
| <b>MORGAN STANLEY</b>            |        |            |            |                                    |                         |  |       |
| NOTES 5.45% JAN 9 2017           | 109.22 | 100,000.00 | 109,222.00 | 99,680.00                          | 9,542.00                | 5,450.00                               | 3.13% |
| DTD 1/9/2007                     |        |            |            |                                    |                         | 1,241.30                               |       |
| 617446-C2-3 A- /BAA              |        |            |            |                                    |                         |  |       |
| <b>CITIGROUP INC</b>             |        |            |            |                                    |                         |  |       |
| SR NOTES 4.45% JAN 10 2017       | 109.88 | 10,000.00  | 10,987.70  | 9,986.30                           | 1,001.40                | 445.00                                 | 2.03% |
| DTD 01/10/2012                   |        |            |            |                                    |                         | 100.12                                 |       |
| 172967-FW-6 A- /BAA              |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity  | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|-----------|-----------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>          |        |           |           |                                    |                         |                                       |       |
| <b>RABOBANK NEDERLAND UTREC</b> |        |           |           |                                    |                         |                                       |       |
| 3 3/8% JAN 19 2017              | 105.94 | 20,000.00 | 21,188.80 | 19,982.60                          | 1,206.20                | 675.00                                | 1.93% |
| DTD 01/19/2012                  |        |           |           |                                    |                         | 135.00                                |       |
| 21686C-AD-2 AA /AA2             |        |           |           |                                    |                         |                                       |       |
| <b>HCP INC</b>                  |        |           |           |                                    |                         |                                       |       |
| 6% JAN 30 2017                  | 116.86 | 30,000.00 | 35,057.70 | 28,420.50                          | 6,637.20                | 1,800.00                              | 1.92% |
| DTD 01/22/2007                  |        |           |           |                                    |                         | 304.98                                |       |
| 421915-EJ-4 BBB /BAA            |        |           |           |                                    |                         |                                       |       |
| <b>MORGAN STANLEY</b>           |        |           |           |                                    |                         |                                       |       |
| 4 3/4% MAR 22 2017              | 107.29 | 15,000.00 | 16,093.50 | 15,337.95                          | 755.55                  | 712.50                                | 3.00% |
| DTD 03/22/2012                  |        |           |           |                                    |                         | 17.80                                 |       |
| 61747Y-DT-9 A- /BAA             |        |           |           |                                    |                         |                                       |       |
| <b>LOWE'S COMPANIES INC</b>     |        |           |           |                                    |                         |                                       |       |
| 1.625% APR 15 2017              | 102.11 | 20,000.00 | 20,421.00 | 20,030.60                          | 390.40                  |                                       |       |
| DTD 04/23/2012                  |        |           |           |                                    |                         |                                       |       |
| 548661-CY-1 A- /A3              |        |           |           |                                    |                         |                                       |       |
| <b>CVS CAREMARK CORP</b>        |        |           |           |                                    |                         |                                       |       |
| 5 3/4% JUN 1 2017               | 120.57 | 40,000.00 | 48,229.20 | 47,748.60                          | 480.60                  | 2,300.00                              | 1.20% |
| DTD 5/25/2007                   |        |           |           |                                    |                         | 766.64                                |       |
| 126650-BH-2 BBB /BAA            |        |           |           |                                    |                         |                                       |       |
| <b>TOTAL CAPITAL INTL SA</b>    |        |           |           |                                    |                         |                                       |       |
| 1.55% JUN 28 2017               | 102.08 | 35,000.00 | 35,726.95 | 34,934.55                          | 792.40                  | 542.50                                | 1.10% |
| DTD 06/28/2012                  |        |           |           |                                    |                         | 140.14                                |       |
| 89153V-AC-3 AA- /AA1            |        |           |           |                                    |                         |                                       |       |
| <b>MIDAMERICAN ENERGY CO</b>    |        |           |           |                                    |                         |                                       |       |
| 5.95% JUL 15 2017               | 121.40 | 50,000.00 | 60,700.00 | 49,893.50                          | 10,806.50               | 2,975.00                              | 1.32% |
| DTD 06/29/2007                  |        |           |           |                                    |                         | 628.05                                |       |
| 595620-AG-0 A- /A2              |        |           |           |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|   | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>  |        |            |            |                                    |                         |  |       |
| FHLMC<br>1% JUL 28 2017<br>DTD 06/25/2012<br>3137EA-DJ-5 AAA /AAA                     | 101.23 | 160,000.00 | 161,968.00 | 161,578.40                         | 389.60                  | 1,600.00<br>426.56                     | 0.74% |
| P US TREAS 0.5% 07/31/17<br>912828-TG-5 NR /NR  | 99.53  | 130,000.00 | 129,390.30 | 129,096.10                         | 294.20                  | 650.00<br>122.30                       | 0.60% |
| CITIGROUP INC<br>NOTES 6% AUG 15 2017<br>DTD 08/15/2007<br>172967-EH-0 A- /BAA        | 116.74 | 65,000.00  | 75,877.75  | 64,768.60                          | 11,109.15               | 3,900.00<br>498.29                     | 2.34% |
| RIO TINTO FIN USA PLC<br>1.625% AUG 21 2017<br>DTD 08/21/2012<br>76720A-AE-6 A- /A3   | 100.02 | 15,000.00  | 15,003.30  | 14,917.80                          | 85.50                   | 243.75<br>27.07                        | 1.62% |
| FNMA 0.875% 08/28/17<br>3135G0-MZ-3 AA+ /AAA  | 100.55 | 130,000.00 | 130,718.90 | 129,374.33                         | 1,344.57                | 1,137.50<br>104.26                     | 0.76% |
| US TREAS 1.875% 09/31/17<br>912828-NW-6 NR /AAA                                       | 106.16 | 85,000.00  | 90,239.40  | 84,708.15                          | 5,531.25                | 1,593.75<br>147.22                     | 0.60% |
| US TREAS 0.625% 08/31/17<br>912828-TM-2 AAA /   | 100.09 | 145,000.00 | 145,124.70 | 145,050.97                         | 73.73                   | 906.25                                 | 0.61% |
| GOLDMAN SACHS GROUP INC<br>6 1/4% SEP 01 2017<br>DTD 08/30/2007<br>38144L-AB-6 A- /A3 | 117.53 | 30,000.00  | 35,258.10  | 34,572.60                          | 685.50                  | 1,875.00<br>156.24                     | 2.44% |
| HEWLETT-PACKARD CO<br>2.6% SEP 15 2017<br>DTD 03/12/2012<br>428236-BW-2 BBB /A3       | 100.46 | 25,000.00  | 25,116.00  | 24,996.25                          | 119.75                  | 650.00<br>28.87                        | 2.50% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                      | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|--------------------------------------|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>               |        |            |            |                                    |                         |                                       |       |
| <b>COMCAST CORPORATION</b>           |        |            |            |                                    |                         |                                       |       |
| 6.3% NOV 15 2017                     | 123.73 | 30,000.00  | 37,118.10  | 29,081.10                          | 8,037.00                | 1,890.00                              | 1.47% |
| DTD 08/23/2007                       |        |            |            |                                    |                         | 714.00                                |       |
| 20030N-AU-5 BBB /BAA                 |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 2.25% 11/30/17</b>       |        |            |            |                                    |                         |                                       |       |
| 912828-PK-0 NR /AAA                  | 108.09 | 175,000.00 | 189,164.50 | 172,765.35                         | 16,399.15               | 3,937.50                              | 0.65% |
|                                      |        |            |            |                                    |                         | 1,323.17                              |       |
| <b>US TREAS 2.625% 01/31/18</b>      |        |            |            |                                    |                         |                                       |       |
| 912828-PT-1 NR /AAA                  | 110.13 | 100,000.00 | 110,125.00 | 99,611.30                          | 10,513.70               | 2,625.00                              | 0.69% |
|                                      |        |            |            |                                    |                         | 442.20                                |       |
| <b>KFW</b>                           |        |            |            |                                    |                         |                                       |       |
| 4.3/8% MAR 15 2018                   | 115.20 | 80,000.00  | 92,158.40  | 79,280.00                          | 12,878.40               | 3,500.00                              | 1.47% |
| DTD 03/04/2008                       |        |            |            |                                    |                         | 155.52                                |       |
| 500769-CR-3 AAA /AAA                 |        |            |            |                                    |                         |                                       |       |
| <b>BANK OF AMERICA CORP</b>          |        |            |            |                                    |                         |                                       |       |
| NOTES 5.65% MAY 01 2018              | 115.16 | 90,000.00  | 103,641.30 | 93,300.00                          | 10,341.30               | 5,085.00                              | 2.71% |
| DTD 05/02/2008                       |        |            |            |                                    |                         | 2,118.69                              |       |
| 06051G-DX-4 A- /BAA                  |        |            |            |                                    |                         |                                       |       |
| <b>GENERAL ELEC CAP CORP</b>         |        |            |            |                                    |                         |                                       |       |
| MEDIUM TERM NOTES 5.5/8% MAY 01 2018 | 118.56 | 30,000.00  | 35,568.60  | 29,919.90                          | 5,648.70                | 1,687.50                              | 2.09% |
| DTD 04/21/2008                       |        |            |            |                                    |                         | 703.11                                |       |
| 36962G-3U-6 AA+ /A1                  |        |            |            |                                    |                         |                                       |       |
| <b>PLAINS ALL AMER PIPELINE</b>      |        |            |            |                                    |                         |                                       |       |
| 6.1/2% MAY 01 2018                   | 123.81 | 40,000.00  | 49,524.80  | 45,743.35                          | 3,781.45                | 2,600.00                              | 1.97% |
| DTD 11/01/2008                       |        |            |            |                                    |                         | 1,083.32                              |       |
| 72650R-AT-9 BBB /BAA                 |        |            |            |                                    |                         |                                       |       |
| <b>PHILIP MORRIS INTL INC</b>        |        |            |            |                                    |                         |                                       |       |
| NOTES 5.65% MAY 16 2018              | 122.76 | 35,000.00  | 42,966.00  | 36,894.20                          | 6,071.80                | 1,977.50                              | 1.42% |
| DTD 05/16/2008                       |        |            |            |                                    |                         | 741.54                                |       |
| 718172-AA-7 A /A2                    |        |            |            |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>   |        |            |            |                                    |                         |  |       |
| US TREAS 2.375% 06/30/18<br>912828-QT-0 NR /AAA  | 108.98 | 150,000.00 | 163,465.50 | 148,957.04                         | 14,508.46               | 3,562.50                               | 0.78% |
| US TREAS 2.25% 07/31/18<br>912828-QY-9 NR /AAA   | 108.28 | 165,000.00 | 178,663.65 | 166,005.47                         | 12,658.18               | 3,712.50<br>625.35                     | 0.79% |
| US TREAS 1.5% 08/31/18<br>912828-RE-2 NR /AAA  | 103.95 | 215,000.00 | 223,481.75 | 215,276.37                         | 8,205.38                | 3,225.00<br>290.68                     | 0.82% |
| TIME WARNER CABLE INC<br>8 3/4% FEB 14 2019<br>DTD 11/18/2008<br>88732J-AP-3 BBB /BAA          | 136.77 | 25,000.00  | 34,193.00  | 26,605.75                          | 7,587.25                | 2,187.50<br>285.57                     | 2.47% |
| GOLDMAN SACHS GROUP INC<br>SR NOTES 7 1/2% FEB 15 2019<br>DTD 02/05/2009<br>38141E-A2-5 A- /A3 | 124.24 | 35,000.00  | 43,484.70  | 37,440.55                          | 6,044.15                | 2,625.00<br>335.40                     | 3.26% |
| NEVADA POWER CO<br>7 1/8% MAR 15 2019<br>DTD 03/02/2009<br>641423-BY-3 BBB /BAA                | 130.72 | 20,000.00  | 26,143.40  | 24,876.60                          | 1,266.80                | 1,425.00<br>63.32                      | 2.02% |
| US TREAS 1.125% 05/31/19<br>912828-SX-9 NR /AAA  | 101.00 | 145,000.00 | 146,450.00 | 145,677.15                         | 772.85                  | 1,631.25<br>548.10                     | 0.97% |
| US TREAS 1% 06/30/19<br>912828-TC-4 NR /AAA  | 100.04 | 150,000.00 | 150,058.50 | 150,322.27                         | (263.77)                | 1,500.00                               | 0.99% |
| MERCK & CO INC<br>5% JUN 30 2019<br>DTD 06/25/2009<br>589331-AN-7 AA /AA3                      | 121.05 | 25,000.00  | 30,261.25  | 25,957.00                          | 4,304.25                | 1,250.00<br>315.95                     | 1.69% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>                 |        |            |            |                                    |                         |                                       |       |
| <b>ORACLE CORP</b>                     |        |            |            |                                    |                         |                                       |       |
| 5% JUL 08 2019                         | 121.47 | 40,000.00  | 48,587.20  | 46,574.40                          | 2,012.80                | 2,000.00                              | 1.64% |
| DTD 07/08/2009                         |        |            |            |                                    |                         | 461.08                                |       |
| 68389X-AG-0 A+ /A1                     |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 0.875% 07/31/19</b>        |        |            |            |                                    |                         |                                       |       |
| 912828-TH-3 NR /NR                     | 99.10  | 135,000.00 | 133,787.70 | 133,557.82                         | 229.88                  | 1,181.25                              | 1.01% |
|  |        |            |            |                                    |                         | 198.99                                |       |
| <b>GENERAL ELECC CAP CORP</b>          |        |            |            |                                    |                         |                                       |       |
| 6% AUG 07 2019                         | 121.72 | 20,000.00  | 24,343.80  | 21,052.00                          | 3,291.80                | 1,200.00                              | 2.53% |
| DTD 08/07/2009                         |        |            |            |                                    |                         | 180.00                                |       |
| 36962G-4D-3 AA+ /A1                    |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 1% 08/31/19</b>            |        |            |            |                                    |                         |                                       |       |
| 912828-TN-0 NR /NR                     | 99.81  | 100,000.00 | 99,813.00  | 99,419.91                          | 393.09                  | 1,000.00                              | 1.03% |
|  |        |            |            |                                    |                         | 84.20                                 |       |
| <b>VALE OVERSEAS LIMITED</b>           |        |            |            |                                    |                         |                                       |       |
| 5.5/8% SEP 15 2019                     | 113.16 | 30,000.00  | 33,948.90  | 32,124.00                          | 1,824.90                | 1,687.50                              | 3.48% |
| DTD 09/15/2009                         |        |            |            |                                    |                         | 75.00                                 |       |
| 91911T-AJ-2 A- /BAA                    |        |            |            |                                    |                         |                                       |       |
| <b>ANHEUSER BUSCH INBEV WOR</b>        |        |            |            |                                    |                         |                                       |       |
| 5.3/8% JAN 15 2020                     | 123.88 | 25,000.00  | 30,969.75  | 26,960.50                          | 4,009.25                | 1,343.75                              | 1.86% |
| DTD 10/16/2009                         |        |            |            |                                    |                         | 283.67                                |       |
| 03523T-AN-8 A /A3                      |        |            |            |                                    |                         |                                       |       |
| <b>CISCO SYSTEMS INC</b>               |        |            |            |                                    |                         |                                       |       |
| NOTES 4.45% JAN 15 2020                | 117.67 | 35,000.00  | 41,184.15  | 38,969.70                          | 2,214.45                | 1,557.50                              | 1.85% |
| DTD 11/17/2009                         |        |            |            |                                    |                         | 328.79                                |       |
| 17275R-AH-5 A+ /A1                     |        |            |            |                                    |                         |                                       |       |
| <b>FHLMC GOLD G11728 5.5% 02/01/20</b> |        |            |            |                                    |                         |                                       |       |
| 31283K-4M-7                            | 108.52 | 116,931.45 | 126,896.35 | 123,837.71                         | 3,058.64                | 6,431.22                              | 1.33% |
|  |        |            |            |                                    |                         | 535.89                                |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield |
|---------------------------------|--------|------------|------------|------------------------------------|-------------------------|--|-------|
| <b>US Fixed Income</b>          |        |            |            |                                    |                         |  |       |
| <b>KRAFT FOODS INC</b>          |        |            |            |                                    |                         |  |       |
| 5 3/8% FEB 10 2020              | 121.25 | 25,000.00  | 30,313.25  | 28,622.75                          | 1,690.50                | 1,343.75                               | 2.23% |
| DTD 02/08/2010                  |        |            |            |                                    |                         | 190.35                                 |       |
| 50075N-BA-1 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 3.625% 02/15/20</b> |        |            |            |                                    |                         |  |       |
| 912828-MP-2 NR /AAA             | 117.89 | 10,000.00  | 11,789.10  | 10,503.90                          | 1,285.20                | 362.50                                 | 1.09% |
|                                 |        |            |            |                                    |                         | 46.29                                  |       |
| <b>WILLIAMS PARTNERS LP</b>     |        |            |            |                                    |                         |  |       |
| 5 1/4% MAR 15 2020              | 116.18 | 25,000.00  | 29,044.75  | 26,997.75                          | 2,047.00                | 1,312.50                               | 2.83% |
| DTD 02/09/2010                  |        |            |            |                                    |                         | 58.32                                  |       |
| 96950F-AD-6 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>US TREAS 3.5% 05/15/20</b>   |        |            |            |                                    |                         |  |       |
| 912828-ND-8 NR /AAA             | 117.13 | 160,000.00 | 187,412.80 | 167,958.45                         | 19,454.35               | 5,600.00                               | 1.15% |
|                                 |        |            |            |                                    |                         | 2,115.20                               |       |
| <b>XCEL ENERGY INC</b>          |        |            |            |                                    |                         |  |       |
| 4 7% MAY 15 2020                | 116.20 | 25,000.00  | 29,049.50  | 24,919.00                          | 4,130.50                | 1,175.00                               | 2.36% |
| DTD 05/13/2010                  |        |            |            |                                    |                         | 443.87                                 |       |
| 98389B-AM-2 BBB /BAA            |        |            |            |                                    |                         |  |       |
| <b>GOLDMAN SACHS GROUP INC</b>  |        |            |            |                                    |                         |  |       |
| 6% JUN 15 2020                  | 115.89 | 15,000.00  | 17,383.20  | 15,872.40                          | 1,510.80                | 900.00                                 | 3.62% |
| DTD 06/03/2010                  |        |            |            |                                    |                         | 264.99                                 |       |
| 38141E-A6-6 A- /A3              |        |            |            |                                    |                         |  |       |
| <b>COVIDIEN INTL FINANCE SA</b> |        |            |            |                                    |                         |  |       |
| 4.2% JUN 15 2020                | 113.58 | 25,000.00  | 28,396.00  | 24,970.00                          | 3,426.00                | 1,050.00                               | 2.27% |
| DTD 06/28/2010                  |        |            |            |                                    |                         | 309.15                                 |       |
| 22303Q-AL-4 A /BAA              |        |            |            |                                    |                         |  |       |
| <b>PNC FUNDING CORP</b>         |        |            |            |                                    |                         |  |       |
| 4 3/8% AUG 11 2020              | 114.32 | 30,000.00  | 34,294.50  | 30,746.40                          | 3,548.10                | 1,312.50                               | 2.37% |
| DTD 08/11/2010                  |        |            |            |                                    |                         | 182.28                                 |       |
| 693476-BL-6 A- /A3              |        |            |            |                                    |                         |  |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity  | Value     | Adjusted Tax Cost |  | Unrealized<br>Gain/Loss | Est. Annual Income |  | Yield |  |
|---------------------------------|--------|-----------|-----------|-------------------|--|-------------------------|--------------------|--|-------|--|
|                                 |        |           |           | Original Cost     |  |                         | Accrued Interest   |  |       |  |
| <b>US Fixed Income</b>          |        |           |           |                   |  |                         |                    |  |       |  |
| <b>US TREAS 2.625% 08/15/20</b> | 110.59 | 60,000.00 | 66,356.40 | 59,086.18         |  | 7,270.22                | 1,575.00           |  | 1.21% |  |
| 912828-NT-3 NR /NR              |        |           |           |                   |  |                         | 201.12             |  |       |  |
| <b>UNITEDHEALTH GROUP INC</b>   | 109.83 | 15,000.00 | 16,474.80 | 14,788.65         |  | 1,686.15                | 581.25             |  | 2.52% |  |
| NOTES 3 7/8% OCT 15 2020        |        |           |           |                   |  |                         | 268.02             |  |       |  |
| DTD 10/25/2010                  |        |           |           |                   |  |                         |                    |  |       |  |
| 91324P-BM-3 A /A3               |        |           |           |                   |  |                         |                    |  |       |  |
| <b>AMERICAN INTL GROUP</b>      | 122.30 | 25,000.00 | 30,575.00 | 29,419.50         |  | 1,155.50                | 1,600.00           |  | 3.28% |  |
| 6 4% DEC 15 2020                |        |           |           |                   |  |                         | 471.10             |  |       |  |
| DTD 12/03/2010                  |        |           |           |                   |  |                         |                    |  |       |  |
| 026874-BW-6 A-/BAA              |        |           |           |                   |  |                         |                    |  |       |  |
| <b>CR BARD INC</b>              | 115.90 | 15,000.00 | 17,385.45 | 15,564.90         |  | 1,820.55                | 660.00             |  | 2.28% |  |
| 4 4% JAN 15 2021                |        |           |           |                   |  |                         | 139.32             |  |       |  |
| DTD 12/20/2010                  |        |           |           |                   |  |                         |                    |  |       |  |
| 067383-AC-3 A /A3               |        |           |           |                   |  |                         |                    |  |       |  |
| <b>ENERGY ARKANSAS INC</b>      | 109.56 | 25,000.00 | 27,388.75 | 24,992.75         |  | 2,396.00                | 937.50             |  | 2.48% |  |
| 3 3/4% FEB 15 2021              |        |           |           |                   |  |                         | 119.77             |  |       |  |
| DTD 11/12/2010                  |        |           |           |                   |  |                         |                    |  |       |  |
| 29364D-AQ-3 A-/A3               |        |           |           |                   |  |                         |                    |  |       |  |
| <b>GILEAD SCIENCES INC</b>      | 114.12 | 20,000.00 | 22,823.00 | 20,270.20         |  | 2,552.80                | 900.00             |  | 2.64% |  |
| 4 1/2% APR 01 2021              |        |           |           |                   |  |                         | 450.00             |  |       |  |
| DTD 03/30/2011                  |        |           |           |                   |  |                         |                    |  |       |  |
| 375558-AQ-6 A-/BAA              |        |           |           |                   |  |                         |                    |  |       |  |
| <b>VERIZON COMMUNICATION</b>    | 118.70 | 30,000.00 | 35,610.30 | 31,057.50         |  | 4,552.80                | 1,380.00           |  | 2.18% |  |
| 4 6% APR 01 2021                |        |           |           |                   |  |                         | 690.00             |  |       |  |
| DTD 03/28/2011                  |        |           |           |                   |  |                         |                    |  |       |  |
| 92343V-AX-2 A-/A3               |        |           |           |                   |  |                         |                    |  |       |  |
| <b>HSBC HOLDINGS PLC</b>        | 115.93 | 40,000.00 | 46,371.20 | 39,913.20         |  | 6,458.00                | 2,040.00           |  | 2.97% |  |
| 5.1% APR 05 2021                |        |           |           |                   |  |                         | 997.32             |  |       |  |
| DTD 04/05/2011                  |        |           |           |                   |  |                         |                    |  |       |  |
| 404280-AK-5 A+ /AA3             |        |           |           |                   |  |                         |                    |  |       |  |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                 | Price  | Quantity  | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest | Yield  |
|---------------------------------|--------|-----------|-----------|------------------------------------|-------------------------|--|--------|
| <b>US Fixed Income</b>          |        |           |           |                                    |                         |  |        |
| <b>WAL MART STORES INC</b>      |        |           |           |                                    |                         |  |        |
| 4 1/4% APR 15 2021              | 118 11 | 25,000 00 | 29,528.00 | 27,554 00                          | 1,974.00                | 1,062 50<br>489 92                     | 1 94 % |
| DTD 04/18/2011                  |        |           |           |                                    |                         |  |        |
| 931142-DD-2 AA /AA2             |        |           |           |                                    |                         |  |        |
| <b>ALTRIA GROUP INC</b>         |        |           |           |                                    |                         |  |        |
| 4 3/4% MAY 05 2021              | 114.90 | 20,000 00 | 22,979 20 | 20,045 80                          | 2,933 40                | 950.00<br>385 26                       | 2 79 % |
| DTD 05/05/2011                  |        |           |           |                                    |                         |  |        |
| 02209S-AL-7 BBB /BAA            |        |           |           |                                    |                         |  |        |
| <b>BARRICK NA FINANCE LLC</b>   |        |           |           |                                    |                         |  |        |
| 4.4% MAY 30 2021                | 109 58 | 35,000 00 | 38,351 95 | 37,610 15                          | 741.80                  | 1,540 00<br>517.58                     | 3 13 % |
| DTD 06/01/2011                  |        |           |           |                                    |                         |  |        |
| 06849R-AF-9 BBB /BAA            |        |           |           |                                    |                         |  |        |
| <b>HEWLETT PACKARD CO</b>       |        |           |           |                                    |                         |  |        |
| 4 3% JUN 01 2021                | 101.74 | 20,000.00 | 20,347.00 | 20,088 80                          | 258 20                  | 860.00<br>286 66                       | 4.06 % |
| DTD 05/31/2011                  |        |           |           |                                    |                         |  |        |
| 428236-BM-4 BBB /A3             |        |           |           |                                    |                         |  |        |
| <b>VENTAS REALTY LP/CAP CRP</b> |        |           |           |                                    |                         |  |        |
| 4 3/4% JUN 01 2021              | 109 20 | 30,000 00 | 32,760 90 | 30,259 70                          | 2,501 20                | 1,425 00<br>474 99                     | 3 51 % |
| DTD 05/17/2011                  |        |           |           |                                    |                         |  |        |
| 92276M-AW-5 BBB /BAA            |        |           |           |                                    |                         |  |        |
| <b>CAMDEN PROPERTY TRUST</b>    |        |           |           |                                    |                         |  |        |
| 4 5/8% JUN 15 2021              | 111 83 | 15,000 00 | 16,774.20 | 14,907 00                          | 1,867 20                | 693.75<br>204 27                       | 3 07 % |
| DTD 06/03/2011                  |        |           |           |                                    |                         |  |        |
| 133131-AR-3 BBB /BAA            |        |           |           |                                    |                         |  |        |
| <b>CAPITAL ONE FINANCIAL CO</b> |        |           |           |                                    |                         |  |        |
| 4 3/4% JUL 15 2021              | 114 02 | 10,000 00 | 11,402 00 | 9,953 70                           | 1,448.30                | 475.00<br>100 27                       | 2.93 % |
| DTD 07/19/2011                  |        |           |           |                                    |                         |  |        |
| 14040H-AY-1 BBB /BAA            |        |           |           |                                    |                         |  |        |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|--|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>                                       |        |            |            |                                    |                         |                                       |       |
| <b>VF CORP</b>   | 107.43 | 15,000.00  | 16,114.50  | 15,033.90                          | 1,080.60                | 525.00<br>43.74                       | 2.56% |
| 3 1/2% SEP 01 2021<br>DTD 08/24/2011<br>918204-AV-0 A- /A3   |        |            |            |                                    |                         |                                       |       |
| <b>TEVA PHARM FIN IV BV</b>                                  | 108.73 | 15,000.00  | 16,308.90  | 14,867.25                          | 1,441.65                | 547.50<br>214.42                      | 2.57% |
| 3.65% NOV 10 2021<br>DTD 11/10/2011<br>88166J-AA-1 A- /A3    |        |            |            |                                    |                         |                                       |       |
| <b>ERP OPERATING LP</b>                                      | 115.41 | 10,000.00  | 11,540.70  | 9,961.90                           | 1,578.80                | 462.50<br>136.18                      | 2.72% |
| 4.5/8% DEC 15 2021<br>DTD 12/12/2011<br>26884A-AZ-6 BBB /BAA |        |            |            |                                    |                         |                                       |       |
| <b>FHLMC</b>   | 104.89 | 20,000.00  | 20,978.80  | 19,883.60                          | 1,095.20                | 475.00<br>102.90                      | 1.80% |
| MTN 2 375% 01/13/2022 DTD 01/13/2012<br>3137EA-DB-2 AAA /AAA |        |            |            |                                    |                         |                                       |       |
| <b>FHLMC GOLD G12514 5.5% 02/01/22</b>                       | 108.90 | 111,997.40 | 121,965.17 | 121,937.19                         | 27.98                   | 6,159.85<br>513.28                    | 1.81% |
| 3128MB-AP-2  |        |            |            |                                    |                         |                                       |       |
| <b>US TREAS 2% 02/15/22</b>                                  | 104.05 | 35,000.00  | 36,416.45  | 34,362.50                          | 2,053.95                | 700.00<br>89.39                       | 1.53% |
| 912828-SF-8 NR /AAA  |        |            |            |                                    |                         |                                       |       |
| <b>INTL PAPER CO</b>   | 113.54 | 20,000.00  | 22,708.20  | 19,983.80                          | 2,724.40                | 950.00<br>121.38                      | 3.08% |
| 4.3/4% FEB 15 2022<br>DTD 11/16/2011<br>460146-CG-6 BBB /BAA |        |            |            |                                    |                         |                                       |       |
| <b>DIRECTV HOLDINGS/FING</b>                                 | 103.38 | 40,000.00  | 41,350.80  | 39,690.40                          | 1,660.40                | 1,520.00<br>67.52                     | 3.38% |
| 3.8% MAR 15 2022<br>DTD 05/09/2012<br>25459H-BF-1 BBB /BAA   |        |            |            |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                   | Price  | Quantity   | Value     | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|-----------------------------------|--------|------------|-----------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>            |        |            |           |                                    |                         |                                       |       |
| <b>APACHE CORP</b>                |        |            |           |                                    |                         |                                       |       |
| 3.25% APR 15 2022                 | 107.65 | 15,000.00  | 16,147.20 | 15,031.50                          | 1,115.70                | 487.50                                | 2.35% |
| DTD 04/09/2012                    |        |            |           |                                    |                         | 232.90                                |       |
| 037411-AZ-8 A- /A3                |        |            |           |                                    |                         |                                       |       |
| <b>HARTFORD FINL SVCS GRP</b>     |        |            |           |                                    |                         |                                       |       |
| 5.125% APR 15 2022                | 112.40 | 15,000.00  | 16,859.85 | 15,088.35                          | 1,771.50                | 768.75                                | 3.58% |
| DTD 04/05/2012                    |        |            |           |                                    |                         | 375.82                                |       |
| 416518-AB-4 BBB /BAA              |        |            |           |                                    |                         |                                       |       |
| <b>GLAXOSMITHKLINE CAPITAL</b>    |        |            |           |                                    |                         |                                       |       |
| 2.85% MAY 08 2022                 | 103.84 | 25,000.00  | 25,960.00 | 24,961.25                          | 998.75                  | 712.50                                | 2.40% |
| DTD 05/09/2012                    |        |            |           |                                    |                         | 281.02                                |       |
| 377373-AD-7 A+ /A1                |        |            |           |                                    |                         |                                       |       |
| <b>DISCOVERY COMMUNICATIONS</b>   |        |            |           |                                    |                         |                                       |       |
| 3.3% MAY 15 2022                  | 104.37 | 30,000.00  | 31,309.50 | 29,868.60                          | 1,440.90                | 990.00                                | 2.78% |
| DTD 05/17/2012                    |        |            |           |                                    |                         | 368.49                                |       |
| 25470D-AF-6 BBB /BAA              |        |            |           |                                    |                         |                                       |       |
| <b>P US TREAS 1.625% 08/15/22</b> |        |            |           |                                    |                         |                                       |       |
| 912828-TJ-9 NR /AAA               | 99.92  | 100,000.00 | 99,922.00 | 98,770.31                          | 1,151.69                | 1,625.00                              | 1.63% |
|                                   |        |            |           |                                    |                         | 110.13                                |       |
| <b>DUKE ENERGY CORP</b>           |        |            |           |                                    |                         |                                       |       |
| 3.05% AUG 15 2022                 | 101.42 | 25,000.00  | 25,354.75 | 24,904.00                          | 450.75                  | 762.50                                | 2.88% |
| DTD 08/16/2012                    |        |            |           |                                    |                         | 95.30                                 |       |
| 26441C-AJ-4 BBB /BAA              |        |            |           |                                    |                         |                                       |       |
| <b>SHELL INTERNATIONAL FIN</b>    |        |            |           |                                    |                         |                                       |       |
| 2.375% AUG 21 2022                | 100.84 | 20,000.00  | 20,167.20 | 19,913.40                          | 253.80                  | 475.00                                | 2.28% |
| DTD 08/21/2012                    |        |            |           |                                    |                         | 52.76                                 |       |
| 822582-AS-1 AA /AA1               |        |            |           |                                    |                         |                                       |       |
| <b>BURLINGTN NORTH SANTA FE</b>   |        |            |           |                                    |                         |                                       |       |
| 3.05% SEP 01 2022                 | 103.57 | 15,000.00  | 15,534.75 | 14,937.00                          | 597.75                  | 457.50                                | 2.64% |
| DTD 08/23/2012                    |        |            |           |                                    |                         | 48.28                                 |       |
| 12189L-AL-5 BBB /A3               |        |            |           |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|                                  | Price  | Quantity   | Value      | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|----------------------------------|--------|------------|------------|------------------------------------|-------------------------|---------------------------------------|-------|
| <b>US Fixed Income</b>           |        |            |            |                                    |                         |                                       |       |
| <b>KINDER MORGAN ENER PART</b>   |        |            |            |                                    |                         |                                       |       |
| 3.95% SEP 01 2022                | 107.66 | 35,000.00  | 37,681.70  | 35,063.00                          | 2,618.70                | 1,382.50                              | 3.05% |
| DTD 03/14/2012                   |        |            |            |                                    |                         | 115.18                                |       |
| 494550-BL-9 BBB /BAA             |        |            |            |                                    |                         |                                       |       |
| <b>WPP FINANCE 2010</b>          |        |            |            |                                    |                         |                                       |       |
| 3.625% SEP 07 2022               | 100.86 | 10,000.00  | 10,086.40  | 9,916.30                           | 170.10                  | 362.50                                | 3.52% |
| DTD 09/07/2012                   |        |            |            |                                    |                         | 24.16                                 |       |
| 92936M-AC-1 BBB /BAA             |        |            |            |                                    |                         |                                       |       |
| <b>NOVARTIS CAPITAL CORP</b>     |        |            |            |                                    |                         |                                       |       |
| 2.4% SEP 21 2022                 | 100.98 | 25,000.00  | 25,244.00  | 24,933.75                          | 310.25                  | 600.00                                | 2.29% |
| DTD 09/21/2012                   |        |            |            |                                    |                         | 16.65                                 |       |
| 66989H-AE-8 AA- /AA2             |        |            |            |                                    |                         |                                       |       |
| <b>VODAFONE GROUP PLC</b>        |        |            |            |                                    |                         |                                       |       |
| 2.5% SEP 26 2022                 | 100.10 | 10,000.00  | 10,010.40  | 9,869.90                           | 140.50                  | 250.00                                | 2.49% |
| DTD 09/26/2012                   |        |            |            |                                    |                         | 3.47                                  |       |
| 92857W-AZ-3 /A3E                 |        |            |            |                                    |                         |                                       |       |
| <b>FNMA 889716 5.5% 10/01/22</b> |        |            |            |                                    |                         |                                       |       |
| 31410K-N9-1                      | 109.09 | 113,480.17 | 123,796.65 | 123,764.33                         | 32.32                   | 6,241.40                              | 2.43% |
|                                  |        |            |            |                                    |                         | 520.07                                |       |
| <b>SEMPRA ENERGY</b>             |        |            |            |                                    |                         |                                       |       |
| 2.875% OCT 01 2022               | 101.66 | 15,000.00  | 15,249.30  | 14,994.75                          | 254.55                  | 431.25                                | 2.68% |
| DTD 09/24/2012                   |        |            |            |                                    |                         | 8.38                                  |       |
| 816851-AT-6 BBB /BAA             |        |            |            |                                    |                         |                                       |       |
| <b>FREDDIE MAC</b>               |        |            |            |                                    |                         |                                       |       |
| SER 3378 CL ME 5 5% JAN 15 2023  | 103.46 | 6,545.97   | 6,772.26   | 6,565.40                           | 206.86                  | 360.02                                | 1.34% |
| DTD 10/01/2007                   |        |            |            |                                    |                         | 30.00                                 |       |
| 31397K-R9-4                      |        |            |            |                                    |                         |                                       |       |
| <b>BOSTON PROPERTIES LP</b>      |        |            |            |                                    |                         |                                       |       |
| 3.85% FEB 01 2023                | 105.30 | 30,000.00  | 31,590.30  | 30,255.60                          | 1,334.70                | 1,155.00                              | 3.24% |
| DTD 06/11/2012                   |        |            |            |                                    |                         | 192.48                                |       |
| 10112R-AU-8 A- /BAA              |        |            |            |                                    |                         |                                       |       |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|   | Price  | Quantity   | Value      | Adjusted Tax Cost |  | Unrealized<br>Gain/Loss | Est. Annual Income  |  | Yield |
|---|--------|------------|------------|-------------------|--|-------------------------|---------------------|--|-------|
|   |        |            |            | Original Cost     |  |                         | Accrued Interest    |  |       |
| <b>US Fixed Income</b>  |        |            |            |                   |  |                         |                     |  |       |
| KOHL'S CORPORATION<br>3.25% FEB 01 2023<br>DTD 09/25/2012<br>500255-AS-3 BBB /BAA | 101.72 | 15,000.00  | 15,258.60  | 15,068.85         |  | 189.75                  | 487.50              |  | 3.05% |
| FNMA 255894 5% 10/01/25<br>31371M-GB-7 NR /NR                                     | 110.31 | 66,298.75  | 73,130.84  | 71,965.22         |  | 1,165.62                | 3,314.93<br>276.20  |  | 1.94% |
| FNMA REMIC 2010-45 CL GD<br>5.000% 04/25/2033 DTD 04/30/2010<br>31398P-MV-8       | 107.45 | 97,139.73  | 104,378.58 | 107,885.81        |  | (3,507.23)              | 4,856.98<br>404.68  |  | 1.60% |
| P FHLMC 3790 CL AP<br>4.5% 01/15/2037 DTD 01/28/2011<br>3137A5-B7-8               | 110.51 | 145,964.07 | 161,307.81 | 161,837.67        |  | (529.86)                | 6,568.38<br>(18.25) |  | 1.25% |
| GNMA<br>SER 2009-109 CL ND 3.25% JUL 20 2037<br>DTD 11/01/2009<br>38376E-W8-4     | 103.68 | 138,331.56 | 143,418.01 | 144,470.02        |  | (1,052.01)              | 4,495.77<br>374.60  |  | 1.76% |
| GNMA 5% 11/16/37<br>38375X-CM-4   | 112.20 | 170,260.89 | 191,027.61 | 186,781.51        |  | 4,246.10                | 8,513.04<br>709.30  |  | 2.54% |
| GNMA<br>SER 2010-151 CL HN 3% AUG 20 2039<br>DTD 11/01/2010<br>38377N-JS-4        | 105.53 | 144,374.57 | 152,362.81 | 150,375.14        |  | 1,987.67                | 4,331.23<br>360.93  |  | 1.44% |
| GNMA<br>SER 2010-144 CL DA 4% SEP 16 2039<br>DTD 11/01/2010<br>38377M-RE-8        | 110.34 | 74,768.46  | 82,501.01  | 81,497.61         |  | 1,003.40                | 2,990.73<br>249.20  |  | 1.70% |



TR U/A FOR EDWARD E FORD FDN-FI ACCT. P63042405  
For the Period 9/1/12 to 9/30/12

|  | Price  | Quantity   | Value                  | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Income<br>Accrued Interest    | Yield        |
|--|--------|------------|------------------------|------------------------------------|-------------------------|---|--------------|
| <b>US Fixed Income</b>   |        |            |                        |                                    |                         |   |              |
| <b>BANC OF AMERICA COMMERCIAL MORTGAGE<br/>INC</b>   |        |            |                        |                                    |                         |   |              |
| SER 2003-2 CL A4 5.061% MAR 11 2041<br>DTD 11/1/2003   | 102.94 | 160,000.00 | 164,696.00             | 160,801.64                         | 3,894.36                | 8,097.60<br>674.72                        | 0.83%        |
| 05947U-LY-2 AAA /NA  |        |            |                        |                                    |                         |   |              |
| <b>FNMA 4.5% 06/25/41<br/>31397U-MX-4</b>  | 106.54 | 160,349.55 | 170,834.81             | 171,173.16                         | (338.35)                | 7,215.72<br>601.31                        | 2.93%        |
| <b>FNMA 4% 06/25/41<br/>31397U-NL-9</b>  | 107.23 | 159,997.88 | 171,559.33             | 168,547.78                         | 3,011.55                | 6,399.91<br>533.27                        | 2.30%        |
| <b>BEAR STEARNS COMMERCIAL MORTGAGE<br/>SECURITIES INC SER 2004-PWR6 CL A6<br/>4.825% NOV 11 2041<br/>DTD 12/1/2004<br/>07383F-X5-2 AAA /AAA</b> | 107.97 | 190,000.00 | 205,150.60             | 191,036.64                         | 14,113.96               | 9,167.50<br>763.80                        | 0.75%        |
| <b>COMM 2005- C6 A5A<br/>SER 2005- C6 A5A 5.116% JUN 10 2044<br/>DTD 8/1/2005<br/>126171-AF-4 AAA /AAA</b>                                       | 111.12 | 100,000.00 | 111,116.00             | 100,493.14                         | 10,622.86               | 5,116.00<br>426.30                        | 0.90%        |
| <b>CITIGROUP DEUTSCHE BANK COMM MGTE<br/>SER 2005-C1 CL A4 5.225602%<br/>JUL 15 2044 DTD 11/1/2005<br/>12513E-AG-9 AAA /AAA</b>                  | 112.08 | 100,000.00 | 112,084.00             | 100,085.60                         | 11,998.40               | 5,399.73<br>449.90                        | 0.91%        |
| <b>Total US Fixed Income</b>   |        |            | <b>\$10,362,468.64</b> | <b>\$9,950,544.88</b>              | <b>\$411,923.76</b>     | <b>\$281,312.56</b><br><b>\$51,822.04</b> | <b>1.25%</b> |