Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 10/01 **, 2011, and ending** 09/30, 20 12

Name of foundation: JOHN CHANY RESIDUARY CL UW

A Employer identification number: 04-6097390

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802

Room/suite:

B Telephone number (see instructions): (888) 860-3275

City or town, state, and ZIP code: PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,305,575.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ____________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

Revenue

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	44,345.	44,327.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	108,713.			
b	Gross sales price for all assets on line 6a 1,880,116.				
7	Capital gain net income (from Part IV, line 2)		108,713.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	153,058.	153,040.		

Operating and Administrative Expenses

		(a)	(b)	(c)	(d)
13	Compensation of officers, directors, trustees, etc	16,484.	9,890.		6,594.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 2	5,062.	833.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) STMT 3	435.			435.
24	**Total operating and administrative expenses.** Add lines 13 through 23	21,981.	10,723.		7,029.
25	Contributions, gifts, grants paid	55,000.			55,000.
26	Total expenses and disbursements Add lines 24 and 25	76,981.	10,723.		62,029.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	76,077.			
b	**Net investment income** (if negative, enter -0-)		142,317.		
c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	NONE		
	2	Savings and temporary cash investments	28,573.	91,046.	91,046.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)	NONE		
	b	Investments - corporate stock (attach schedule)	1,189,299.	1,107,556.	1,125,334.
	c	Investments - corporate bonds (attach schedule)	NONE		
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶	NONE		
	12	Investments - mortgage loans	NONE		
	13	Investments - other (attach schedule)	NONE	89,008.	89,195.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	NONE		
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,217,872.	1,287,610.	1,305,575.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	1,217,872.	1,287,610.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	NONE		
	30	**Total net assets or fund balances** (see instructions)	1,217,872.	1,287,610.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,217,872.	1,287,610.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,217,872.
2	Enter amount from Part I, line 27a	2	76,077.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,293,949.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 4	5	6,339.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,287,610.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,845,092.		1,746,350.	98,742.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				98,742.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 108,713.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	64,539.	1,314,633.	0.049093
2009	63,163.	1,223,198.	0.051638
2008	58,348.	1,089,992.	0.053531
2007	74,708.	1,386,777.	0.053872
2006	49,726.	1,073,380.	0.046327

2	**Total** of line 1, column (d)	2	0.254461
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050892
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	1,272,696.
5	Multiply line 4 by line 3	5	64,770.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,423.
7	Add lines 5 and 6	7	66,193.
8	Enter qualifying distributions from Part XII, line 4	8	62,029.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,846.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	2,846.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	NONE
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,846.
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,536.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,536.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . . . ▶			9	310.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . . . ▶			10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no ▶ (888)860-3275

Located at ▶ PO BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☒ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ **Yes** ☐ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☒ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ **Yes** ☒ **No**			
	If "Yes," list the years ▶ ___________ , ___________ , ___________ , ___________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ___________ , ___________ , ___________ , ___________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	**6b**		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 5		16,484.	-0-	-0-
			,	

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1NONE	
2	
All other program-related investments See instructions 3NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,162,496.
b	Average of monthly cash balances	1b	129,581.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	1,292,077.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,292,077.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,381.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,272,696.
6	**Minimum investment return.** Enter 5% of line 5	6	63,635.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	63,635.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,846.		
b	Income tax for 2011. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,846.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	60,789.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	60,789.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	60,789.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	62,029.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	62,029.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	62,029.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7					60,789.
2	Undistributed income, if any, as of the end of 2011					
a	Enter amount for 2010 only				NONE	
b	Total for prior years 20____, 20____, 20____			NONE		
3	Excess distributions carryover, if any, to 2011					
a	From 2006	NONE				
b	From 2007	6,285.				
c	From 2008	6,928.				
d	From 2009	2,843.				
e	From 2010	1,340.				
f	**Total** of lines 3a through e		17,396.			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 62,029.					
a	Applied to 2010, but not more than line 2a				NONE	
b	Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c	Treated as distributions out of corpus (Election required - see instructions)		NONE			
d	Applied to 2011 distributable amount					60,789.
e	Remaining amount distributed out of corpus		1,240.			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		18,636.			
b	Prior years' undistributed income Subtract line 4b from line 2b			NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions			NONE		
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				NONE	
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012					NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		NONE			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		18,636.			
10	Analysis of line 9					
a	Excess from 2007	6,285.				
b	Excess from 2008	6,928.				
c	Excess from 2009	2,843.				
d	Excess from 2010	1,340.				
e	Excess from 2011	1,240.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed·

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

04-6097390

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 6				
Total . . . . ▶ **3a**				55,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	44,345.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	108,713.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				153,058.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 153,058.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date: 02/05/2013

Title: SVP Tax

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

BANK OF AMERICA, N.A.

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESCO INTL GROWTH FUND CL Y	595.	595.
ARTIO GLOBAL HIGH INCOME FUND CL I	1,317.	1,299.
COLUMBIA ACORN INTERNATIONAL FUND CL Z	25.	25.
COLUMBIA SMALL CAP VALUE I FUND CLASS Z	961.	961.
EATON VANCE LARGE-CAP VALUE FUND CL I	2,796.	2,796.
HARBOR INTERNATIONAL FUND INSTL CL	977.	977.
ISHARES RUSSELL 1000 GROWTH INDEX FUND	450.	450.
ISHARES TR RUSSELL 2000 INDEX FUND	104.	104.
JOHN HANCOCK FDS III DISCIPLINED VALUE M	229.	229.
LAZARD FUNDS INC EMERGING MKTS PORTFOLIO	4,822.	4,822.
METRO WEST T/R BD CL I	2,372.	2,372.
NATIXIS FDS TR IV AEW REAL ESTATE FD CL	66.	66.
PIMCO TOTAL RETURN FUND INSTL	6,138.	6,138.
PIMCO HIGH YIELD FUND	3,019.	3,019.
PIMCO COMMODITY REALRETURN STRATEGY FUND	17,809.	17,809.
PIMCO ALL ASSET ALL AUTH FUND INSTL CL	1,063.	1,063.
PIMCO EMERGING MKT CURRENCY FUND INSTL	62.	62.
ROWE T PRICE INTL FUNDS INC INTL BD FUND	84.	84.
VANGUARD MSCI EAFE ETF FUND	426.	426.
VANGUARD MSCI EMERGING MKTS ETF	820.	820.
VANGUARD REIT ETF	114.	114.
BANK OF AMERICA MONEY MARKET SAVINGS ACC	96.	96.
TOTAL	44,345.	44,327.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAX PAYMENT - PRIOR YE	1,693.	
FEDERAL ESTIMATES - PRINCIPAL	2,536.	
FOREIGN TAXES ON QUALIFIED FOR	682.	682.
FOREIGN TAXES ON NONQUALIFIED	151.	151.
TOTALS	5,062.	833.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OTHER ALLOCABLE EXPENSE-PRINCI	400.	400.
OTHER ALLOCABLE EXPENSE-INCOME	35.	35.
TOTALS	435.	435.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
CTF ADJUSTMENT		6,339.
	TOTAL	6,339.

STATEMENT 4

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA
ADDRESS:
PO BOX 1802
PROVIDENCE, RI 02901
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 13,484.
COMPENSATION EXPLANATION:
SEE ATTACHED FOOTNOTE

OFFICER NAME:
JAMES JOSEPH OCONNELL MD
ADDRESS:
780 ALBANY ST
BOSTON, MA 02118
TITLE:
DIRECTOR
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 3,000.

TOTAL COMPENSATION: 16,484.

JOHN CHANY RESIDUARY CL UW 04-6097390

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

==

RECIPIENT NAME:
  PLEASE SEE ATTACHED LIST
RELATIONSHIP:
  NONE
PURPOSE OF GRANT:
  SUPPORT NAMED CHARITY
FOUNDATION STATUS OF RECIPIENT:
  PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 55,000.

TOTAL GRANTS PAID: 55,000.

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STATEMENT 6

FEDERAL FOOTNOTES

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust: JOHN CHANY RESIDUARY CL UW

Employer identification number: 04-6097390

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	26,236.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	26,236.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					10,066.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	72,411.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	82,477.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Part III **Summary of Parts I and II** **Caution:** *Read the instructions **before** completing this part.*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 **Net short-term gain or (loss)**	13			26,236.
14 **Net long-term gain or (loss):**				
a Total for year	14a			82,477.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c 28% rate gain	14c			
15 **Total net gain or (loss).** Combine lines 13 and 14a ▶	15			108,713.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of·
a The loss on line 15, column (3) **or** b $3,000 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if·*

- *Either line 14b, col (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) 17
18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero 18
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) 19
20 Add lines 18 and 19 20
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ 21
22 Subtract line 21 from line 20 If zero or less, enter -0- 22
23 Subtract line 22 from line 17. If zero or less, enter -0- 23
24 Enter the **smaller** of the amount on line 17 or $2,300 24
25 Is the amount on line 23 equal to or more than the amount on line 24?
☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box
☐ **No.** Enter the amount from line 23 25
26 Subtract line 25 from line 24 26
27 Are the amounts on lines 22 and 26 the same?
☐ **Yes** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 27
28 Enter the amount from line 26 (If line 26 is blank, enter -0-) 28
29 Subtract line 28 from line 27 29
30 Multiply line 29 by 15% (.15) 30
31 Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 31
32 Add lines 30 and 31 32
33 Figure the tax on the amount on line 17 Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 33
34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) 34

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ **See instructions for Schedule D (Form 1041).**
▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

OMB No 1545-0092

2011

Name of estate or trust	**Employer identification number**
JOHN CHANY RESIDUARY CL UW	04-6097390

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	220.179 INVESCO INTL GROWT	09/30/2011	11/30/2011	5,773.00	5,311.00	462.00
	1325.333 INVESCO INTL GROW	09/30/2011	08/24/2012	36,632.00	31,967.00	4,665.00
	3597.229 ARTIO GLOBAL HIGH CL I	01/31/2012	04/18/2012	34,713.00	34,343.00	370.00
	341.714 COLUMBIA ACORN FUN SHARES	09/30/2011	01/31/2012	10,248.00	8,772.00	1,476.00
	773.544 COLUMBIA ACORN FUN SHARES	11/30/2011	01/31/2012	23,199.00	22,263.00	936.00
	120.982 COLUMBIA ACORN INT FUND CL Z	09/30/2011	03/30/2012	4,776.00	4,069.00	707.00
	553.449 COLUMBIA SMALL CAP FUND CLASS Z SHARES	11/30/2011	08/24/2012	23,798.00	24,352.00	-554.00
	388.525 EAGLE SM CAP GROWT	08/11/2011	01/31/2012	15,898.00	14,208.00	1,690.00
	415.211 EAGLE SM CAP GROWT	11/30/2011	08/24/2012	17,352.00	15,961.00	1,391.00
	11.344 EAGLE SM CAP GROWTH	09/30/2011	08/24/2012	474.00	389.00	85.00
	620.573 EATON VANCE LARGE-FUND CL I	09/30/2011	08/24/2012	11,927.00	9,644.00	2,283.00
	286.212 DIVIDEND INCOME CO FUND	08/24/2012	08/31/2012	10,759.00	10,781.00	-22.00
	213. ISHARES RUSSELL 1000 FUND	08/24/2012	08/31/2012	13,971.00	14,023.00	-52.00
	1246.859 JOHN HANCOCK FDS DISCIPLINED VALUE MID CAP F	03/16/2011	01/31/2012	14,888.00	14,513.00	375.00
	3410.401 JOHN HANCOCK FDS DISCIPLINED VALUE MID CAP F	11/30/2011	04/18/2012	42,971.00	38,742.00	4,229.00
	953.157 JOHN HANCOCK FDS I DISCIPLINED VALUE MID CAP F	09/30/2011	04/18/2012	12,010.00	9,493.00	2,517.00
	4273.068 LAZARD FUNDS INC MKTS PORTFOLIO INSTL	11/30/2011	08/24/2012	80,205.00	79,522.00	683.00
	425.449 LAZARD FUNDS INC E PORTFOLIO INSTL	09/30/2011	08/24/2012	7,986.00	7,305.00	681.00
	1879.56 METRO WEST T/R BD	03/30/2012	08/24/2012	20,431.00	19,811.00	620.00
	7991.382 METRO WEST T/R BD	01/31/2012	08/24/2012	86,866.00	83,910.00	2,956.00
	1488.48 NATIXIS FDS TR IV ESTATE FD CL Y COM	08/11/2011	11/30/2011	24,352.00	23,563.00	789.00
	72.635 NATIXIS FDS TR IV A ESTATE FD CL Y COM	08/11/2011	01/31/2012	1,309.00	1,150.00	159.00
	18.165 NATIXIS FDS TR IV A ESTATE FD CL Y COM	08/11/2011	04/18/2012	338.00	288.00	50.00
	737.347 NATIXIS FDS TR IV ESTATE FD CL Y COM	09/30/2011	04/18/2012	13,722.00	10,913.00	2,809.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

JSA
1F1221 2 000

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: JOHN CHANY RESIDUARY CL UW

Employer identification number: 04-6097390

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	1784.212 PIMCO TOTAL RETUR	03/30/2012	08/24/2012	20,411.00	19,787.00	624.00
	1539.627 PIMCO TOTAL RETUR	10/31/2011	08/24/2012	17,613.00	16,797.00	816.00
	593.344 PIMCO TOTAL RETURN	11/30/2011	08/24/2012	6,788.00	6,396.00	392.00
	4241.782 PIMCO HIGH YIELD	04/20/2012	08/24/2012	40,000.00	39,236.00	764.00
	9016.21 PIMCO COMMODITY RE STRATEGY FUND	11/30/2011	08/24/2012	62,663.00	70,507.00	-7,844.00
	3533.099 PIMCO COMMODITY R STRATEGY FUND	09/30/2011	08/24/2012	24,555.00	26,110.00	-1,555.00
	554.878 PIMCO EMERGING MKT FUND INSTL	08/11/2011	11/30/2011	5,593.00	5,987.00	-394.00
	601.204 PIMCO EMERGING MKT FUND INSTL	08/11/2011	01/31/2012	6,228.00	6,487.00	-259.00
	2044.994 PRUDENTIAL JENNIS GROWTH FUND INC CL Z	01/31/2012	08/24/2012	67,239.00	62,618.00	4,621.00
	1155.38 ROWE T PRICE INTL INTL BD FUND	08/11/2011	11/30/2011	11,496.00	12,108.00	-612.00
	220. VANGUARD REIT ETF	04/20/2012	08/24/2012	14,561.00	14,110.00	451.00
	1412.781 HIGH QUALITY CORE TRUST FUND	08/24/2012	08/31/2012	14,199.00	14,272.00	-73.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 26,236.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
JOHN CHANY RESIDUARY CL UW	04-6097390

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 718.155 ARTIO GLOBAL HIGH CL I	05/10/2007	11/30/2011	6,743.00	7,748.00	-1,005.00
1781.845 ARTIO GLOBAL HIGH CL I	05/10/2007	04/18/2012	17,195.00	19,216.00	-2,021.00
75.582 COLUMBIA ACORN FUND SHARES	09/03/2010	10/31/2011	2,211.00	1,933.00	278.00
1488.14 COLUMBIA ACORN FUN SHARES	09/03/2010	01/31/2012	44,629.00	38,067.00	6,562.00
155.388 COLUMBIA ACORN INT FUND CL Z	09/03/2010	11/30/2011	5,484.00	5,519.00	-35.00
266.909 COLUMBIA ACORN INT FUND CL Z	09/03/2010	03/30/2012	10,538.00	9,481.00	1,057.00
255.306 COLUMBIA SMALL CAP FUND CLASS Z SHARES	02/05/2010	01/31/2012	11,152.00	9,194.00	1,958.00
245.333 COLUMBIA SMALL CAP FUND CLASS Z SHARES	02/05/2010	08/24/2012	10,549.00	8,834.00	1,715.00
1160.695 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	08/03/2010	10/31/2011	14,752.00	11,897.00	2,855.00
2253.939 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	08/03/2010	11/30/2011	28,242.00	23,103.00	5,139.00
4955.401 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	08/19/2010	11/30/2011	62,091.00	50,000.00	12,091.00
2390.057 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	09/03/2010	11/30/2011	29,947.00	25,000.00	4,947.00
2422.646 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	09/15/2010	11/30/2011	30,356.00	26,310.00	4,046.00
622.548 COLUMBIA SELECT LA GROWTH FUND CLASS Z SHARES	09/15/2010	01/31/2012	8,025.00	6,761.00	1,264.00
1558.858 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	09/15/2010	03/30/2012	22,494.00	16,929.00	5,565.00
324.528 COLUMBIA SELECT LA GROWTH FUND CLASS Z SHARES	10/08/2010	03/30/2012	4,683.00	3,661.00	1,022.00
7645.26 COLUMBIA SELECT LA GROWTH FUND CLASS Z SHARES	03/10/2011	08/24/2012	102,446.00	100,000.00	2,446.00
4108.096 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	10/08/2010	08/24/2012	55,048.00	46,339.00	8,709.00
431.82 EAGLE SM CAP GROWTH	08/11/2011	08/24/2012	18,046.00	15,792.00	2,254.00
164.186 EATON VANCE LARGE-FUND CL I	08/03/2010	10/31/2011	2,824.00	2,732.00	92.00
1638.699 EATON VANCE LARGE FUND CL I	08/03/2010	11/30/2011	27,891.00	27,268.00	623.00
1184.953 EATON VANCE LARGE FUND CL I	08/20/2010	11/30/2011	20,168.00	18,770.00	1,398.00
331.814 EATON VANCE LARGE-FUND CL I	08/20/2010	01/31/2012	5,910.00	5,256.00	654.00
357.907 EATON VANCE LARGE-FUND CL I	08/20/2010	03/30/2012	6,772.00	5,669.00	1,103.00
1628.664 EATON VANCE LARGE FUND CL I	03/10/2011	08/24/2012	31,303.00	30,000.00	1,303.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
JOHN CHANY RESIDUARY CL UW

Employer identification number
04-6097390

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	**(b)** Date acquired (mo , day, yr)	**(c)** Date sold (mo , day, yr)	**(d)** Sales price	**(e)** Cost or other basis (see instructions)	**(f) Gain or (loss)** Subtract (e) from (d)
6a 2939.447 EATON VANCE LARGE FUND CL I	10/11/2010	08/24/2012	56,496.00	50,000.00	6,496.00
3022.975 EATON VANCE LARGE FUND CL I	09/15/2010	08/24/2012	58,102.00	50,000.00	8,102.00
1281.892 EATON VANCE LARGE FUND CL I	08/20/2010	08/24/2012	24,638.00	20,305.00	4,333.00
56.929 HARBOR INTERNATIONA CL	09/03/2010	01/31/2012	3,218.00	3,041.00	177.00
598.379 HARBOR INTERNATION INSTL CL	09/03/2010	08/24/2012	34,562.00	31,959.00	2,603.00
900.907 JOHN HANCOCK FDS I DISCIPLINED VALUE MID CAP F	03/16/2011	04/18/2012	11,351.00	10,487.00	864.00
1133.144 LAZARD FUNDS INC MKTS PORTFOLIO INSTL	02/01/2010	01/31/2012	21,235.00	20,000.00	1,235.00
656.323 LAZARD FUNDS INC E PORTFOLIO INSTL	09/01/2010	01/31/2012	12,300.00	12,700.00	-400.00
954.198 LAZARD FUNDS INC E PORTFOLIO INSTL	03/09/2011	08/24/2012	17,910.00	20,000.00	-2,090.00
1152.463 LAZARD FUNDS INC MKTS PORTFOLIO INSTL	09/01/2010	08/24/2012	21,632.00	22,300.00	-668.00
4629.119 PIMCO TOTAL RETUR	09/03/2010	01/31/2012	51,476.00	53,096.00	-1,620.00
7201.877 PIMCO TOTAL RETUR	10/27/2010	01/31/2012	80,085.00	83,758.00	-3,673.00
5466.042 PIMCO TOTAL RETUR	10/27/2010	08/24/2012	62,532.00	63,570.00	-1,038.00
2972.652 PIMCO COMMODITY R STRATEGY FUND	12/24/2009	01/31/2012	20,392.00	25,000.00	-4,608.00
1080.129 PIMCO COMMODITY R STRATEGY FUND	02/01/2010	01/31/2012	7,410.00	8,555.00	-1,145.00
182.497 PIMCO COMMODITY RE STRATEGY FUND	02/01/2010	08/24/2012	1,268.00	1,445.00	-177.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b 72,411.00

Financial 360

U.S. TRUST
Bank of America Private Wealth Management

Investment Management, Trust & Custody Accounts Holdings by Account

As of Close of Business 02/01/2013

Report Date: September-2012

Account/Security	CUSIP	Quantity	Unit Cost	Fed Tax Cost	Price	Market Value	Accrued Income	Unrealized Gain/(Loss)	Est Annual Income	Yield	% of Account
TOTAL PORTFOLIO				**1,287,610 56**		**1,305,575.06**	**798 79**	**17,964.50**	**33,608.17**		
CHANY JOHN RESIDUARY CLAUSE UW				**1,287,610.56**		**1,305,575.06**	**798.79**	**17,964.50**	**33,608.17**		**100.00**
CASH/CURRENCY				91,046 28		91,046 28	5 82	0 00	81 03	0 09	6 97
CASH EQUIVALENTS				91,046 28		91,046 28	5 82	0 00	81 03	0 09	6 98
BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT (INCOME INVESTMENT)	994458719	6,367 140	1 00	6,367 14	1 00	6,367 14	0 26	0 00	5 67	0 09	0 49
BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	994458719	84,679 140	1 00	84,679 14	1 00	84,679 14	5 56	0 00	75 36	0 09	6 49
EQUITIES				651,233 60		663,266 08	295 13	12,032 48	13,810 63	2 08	50 80
U S LARGE CAP				282,960 92		286,903 79	98 54	3,942 87	6,609 66	2 30	21 98
DIVIDEND INCOME COMMON TRUST FUND	45399C107	3,111 915	37 66	117,183 49	38 18	118,809 49	71 16	1,626 00	3,690 73	3 11	9 10
ISHARES RUSSELL 1000 GROWTH INDEX FUND (IWF)	464287614	1,747	65 83	115,012 87	66 70	116,524 90	0 00	1,512 03	1,593 26	1 37	8 93
HIGH QUALITY CORE COMMON TRUST FUND	99Z466163	5,021 461	10 11	50,764 56	10 27	51,569 40	27 38	804 84	1,325 67	2 57	3 95
U S MID CAP				114,278 50		116,101 93	166 68	1,823 43	1,740 00	1 50	8 89
MID CAP VALUE CTF (MIDVAL)	302993993	2,002 086	18 83	37,708 25	19 26	38,556 57	39 44	848 32	892 93	2 32	2 95
MID CAP GROWTH CTF (CTFGE)	323991307	1,980 352	19 30	38,220 33	19 47	38,566 76	2 64	346 43	362 40	0 94	2 95

Investment Management, Trust & Custody Accounts Holdings by Account

As of Close of Business 02/01/2013

Report Date: September-2012

Account/Security	CUSIP	Quantity	Unit Cost	Fed Tax Cost	Price	Market Value	Accrued Income	Unrealized Gain/(Loss)	Est Annual Income	Yield	% of Account
ISHARES CORE S&P MID CAP ETF (IJH)	464287507	395	97 09	38,349 92	98 68	38,978 60	124 60	628 68	484 67	1 24	2 99
U S SMALL CAP				63,547 91		65,516 54	11 85	1,968 63	870 94	1 33	5 02
SMALL CAP VALUE CTF (SMVAL)	303995997	1,105 885	17 23	19,055 17	17 68	19,556 80	9 76	501 63	337 29	1 72	1 50
ISHARES RUSSELL 2000 INDEX FUND (IWM)	464287655	315	80 83	25,462 90	83 44	26,283 60	0 00	820 70	415 80	1 58	2 01
SMALL CAP GROWTH LEADERS COMMON TRUST FUND	99Z466189	1,841 370	10 33	19,029 84	10 69	19,676 14	2 09	646 30	117 85	0 60	1 51
INTERNATIONAL DEVELOPED				76,151 93		76,903 23	17 46	751 30	1,748 52	2 27	5 89
VANGUARD MSCI EAFE ETF FUND (VEA)	921943858	580	33 02	19,151 19	32 88	19,070 40	0 00	(80 79)	1,341 54	7 03	1 46
INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND	99Z466197	5,898 300	9 66	57,000 74	9 81	57,832 83	17 46	832 09	406 98	0 70	4 43
EMERGING MARKETS				114,294 34		117,840 59	0 60	3,546 25	2,841 51	2 41	9 02
EMERGING MARKETS STOCK COMMON TRUST FUND	29099J109	1,303 432	43 66	56,908 34	45 60	59,432 59	0 60	2,524 25	838 11	1,41	4 55
VANGUARD FTSE EMERGING MKTS ETF (VWO)	922042858	1,400	40 99	57,386 00	41 72	58,408 00	0 00	1,022 00	2,003 40	3 43	4 47
FIXED INCOME				191,322 48		193,712 52	344 64	2,390 04	7,352 67	3 80	14 84
INVESTMENT GRADE TAXABLE				127,295 94		127,645 13	62 13	349 19	3,244 28	2 54	9 78

Investment Management, Trust & Custody Accounts Holdings by Account

As of Close of Business 02/01/2013

Report Date: September-2012

Account/Security	CUSIP	Quantity	Unit Cost	Fed Tax Cost	Price	Market Value	Accrued Income	Unrealized Gain/(Loss)	Est Annual Income	Yield	% of Account
AGGREGATE BOND CTF (LNGBPT)	202671913	7,423 991	17 15	127,295 94	17 19	127,645 13	62 13	349 19	3,244 28	2 54	9 78
GLOBAL HIGH YIELD TAXABLE				64,026 54		66,067 39	282 51	2,040 85	4,108 39	6 22	5 06
PIMCO HIGH YIELD FD INSTL CL (PHIY X)	693390841	6,939 852	9 23	64,026 54	9 52	66,067 39	282 51	2,040 85	4,108 39	6 22	5 06
HEDGE FUNDS				200,000 00		204,131 09	0 00	4,131 09	9,557 69	4 68	15 64
HEDGE FUNDS SPECIFIC STRATEGY				200,000 00		204,131 09	0 00	4,131 09	9,557 69	4 68	15 63
IVY ASSET STRATEGY FUND CL I (IVAE X)	466001864	1,199 041	25 02	30,000 00	25 62	30,719 43	0 00	719 43	398 08	1 30	2 35
PERMANENT PORTFOLIO (PRPF X)	714199106	1,036 484	48 24	50,000 00	49 53	51,337 05	0 00	1,337 05	435 32	0 85	3 93
PIMCO ALL ASSET ALL AUTH FUND INSTL CL (PAUI X)	72200Q182	10,919 017	10 99	120,000 00	11 18	122,074 61	0 00	2,074 61	8,724 29	7 15	9 35
REAL ESTATE				65,000 00		64,224 29	153 20	(775 71)	2,806 15	4 37	4 92
PUBLIC REITS				65,000 00		64,224 29	153 20	(775 71)	2,806 15	4 37	4 92
REIT COMMON TRUST FUND	99Z466247	6,421 402	10 12	65,000 00	10 00	64,224 29	153 20	(775 71)	2,806 15	4 37	4 92
TANGIBLE ASSETS				89,008 20		89,194 80	0 00	186 60	0 00	0 00	6 83
COMMODITIES				89,008 20		89,194 80	0 00	186 60	0 00	0 00	6 83
POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT (DBC)	73935S105	3,110	28 62	89,008 20	28 68	89,194 80	0 00	186 60	0 00	0 00	6 83

FORM 990PF, Part XV, Ln. 3:
Grants Paid attachment to return
JOHN CHANY RESID CL UW

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
7/13/2012	-14000	ALBERT SCHWEITZER FELLOWSHIP INC	NONE	SUPPORT NAMED CHARITY	PUBLIC CHARITY
7/13/2012	-1000	HEARTH, INC	NONE	SUPPORT NAMED CHARITY	PUBLIC CHARITY
7/13/2012	-10000	HOMES FOR FAMILIES	NONE	SUPPORT NAMED CHARITY	PUBLIC CHARITY
7/13/2012	-10000	MARTHA'S VINEYARD CEREBRAL PALSY	NONE	SUPPORT NAMED CHARITY	PUBLIC CHARITY
7/13/2012	-10000	RED AUERBACH YOUTH FOUNDATION	NONE	SUPPORT NAMED CHARITY	PUBLIC CHARITY
7/13/2012	-10000	THE EIGHTH POLE, INC	NONE	SUPPORT NAMED CHARITY	PUBLIC CHARITY
TOTAL	-55000				

Form **8868**
(Rev January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions JOHN CHANY RESIDUARY CL UW	Employer identification number (EIN) or ☒ 04-6097390
	Number, street, and room or suite no If a P O box, see instructions P O BOX 1802	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code For a foreign address, see instructions PROVIDENCE, RI 02901-1802	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ US TRUST FIDUCIARY TAX SERVICES

Telephone No. ▶ (888)860-3275 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 05/15 , 20 13 , to file the exempt organization return for the organization named above The extension is for the organization's return for:

▶ ☐ calendar year 20 ____ or

▶ ☒ tax year beginning 10/01 , 2011 , and ending 09/30, 20 12 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$ 2,846.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	**3b**	$ 2,536.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	**3c**	$ 310.

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 1-2012)