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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 09-01-2011 , and ending 08-31-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
Leon J Simkins Charitable Foundation

% BERKOWITZ POLLACK BRANT

Number and street (or P O box number if mail is not delivered to street address)
C/O Berkowitz Pollack Brant
200 South Biscayne Blvd Sixth Flo

City or town, state, and ZIP code
Miami, FL 33131

A Employer identification number

59-2757208

B Telephone number (see page 10 of the instructions)

(305) 899-8184

C If exemption application is pending, check here

☐

D 1. Foreign organizations, check here

☐

2. Foreign organizations meeting the 85% test, check here and attach computation

☐

E If private foundation status was terminated under section 507(b)(1)(A), check here

☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)

\$ 11,905,558

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	526	526		
	4 Dividends and interest from securities.	107,039	107,039		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	108,001			
	b Gross sales price for all assets on line 6a 2,244,531				
	7 Capital gain net income (from Part IV , line 2)		108,001		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,676	2,676		
	12 Total. Add lines 1 through 11	218,242	218,242		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	5,500	5,500	0	0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	4,084	4,084		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	30,408	30,408		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	39,992	39,992	0	0
	25 Contributions, gifts, grants paid	630,758			630,758
	26 Total expenses and disbursements. Add lines 24 and 25	670,750	39,992	0	630,758
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-452,508			
	b Net investment income (if negative, enter -0-)		178,250		
	c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	17,234	12,241	12,241
	2	Savings and temporary cash investments	627,348	78,623	78,623
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,573,651	3,073,981	3,244,698
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	7,357,098	6,977,076	8,569,996
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,575,331	10,141,921	11,905,558	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	160,080	160,080	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	195,359	195,407	
	23	Total liabilities (add lines 17 through 22)	355,439	355,487	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	10,219,892	9,786,434	
	30	Total net assets or fund balances (see page 17 of the instructions)	10,219,892	9,786,434	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	10,575,331	10,141,921	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 10,219,892
2	Enter amount from Part I, line 27a	2 -452,508
3	Other increases not included in line 2 (itemize) ▶ _____	3 19,050
4	Add lines 1, 2, and 3	4 9,786,434
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 9,786,434

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	108,001
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	562,579	12,225,494	0 046017
2009	521,997	11,412,340	0 04574
2008	551,322	11,329,218	0 048664
2007	533,712	11,861,749	0 044994
2006	61,038	12,253,904	0 004981

2	Total of line 1, column (d).	2	0 190396
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 038079
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	12,245,943
5	Multiply line 4 by line 3.	5	466,313
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,783
7	Add lines 5 and 6.	7	468,096
8	Enter qualifying distributions from Part XII, line 4.	8	630,758

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,783
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2.		3	1,783
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,783
6 Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	29,815
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	29,815
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	28,032
11 Enter the amount of line 10 to be Credited to 2012 estimated tax 28,032 Refunded		11	

Part VII-A Statements Regarding Activities				
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒			Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1a		No
c Did the foundation file Form 1120-POL for this year?		1b		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		1c		No
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$				
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒		3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. ☒		5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) FL				
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of BERKOWITZ POLLACK BRANT Telephone no (305) 379-7000 Located at 200 S BISCAYNE BLVD 6TH FL Miami FL ZIP+4 331315310			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).

Yes

No

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.

6b

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	11,918,335
b	Average of monthly cash balances.	1b	514,094
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	12,432,429
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	12,432,429
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	186,486
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,245,943
6	Minimum investment return. Enter 5% of line 5.	6	612,297

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	612,297
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	1,783
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,783
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	610,514
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	610,514
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	610,514

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	630,758
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	630,758
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	1,783
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	628,975
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2011			
a	Enter amount for 2010 only. 603,098			
b	Total for prior years 2009 , 2008 , 2007 0			
3	Excess distributions carryover, if any, to 2011			
a	From 2006.			
b	From 2007.			
c	From 2008.			
d	From 2009. 0			
e	From 2010. 0			
f	Total of lines 3a through e. 0			
4	Qualifying distributions for 2011 from Part XII, line 4 ➤ \$ 630,758			
a	Applied to 2010, but not more than line 2a 603,098			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .			
d	Applied to 2011 distributable amount. 27,660			
e	Remaining amount distributed out of corpus 0			
5	Excess distributions carryover applied to 2011 0			
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5 0			
b	Prior years' undistributed income Subtract line 4b from line 2b. 0			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . 0			
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 582,854			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a 0			
10	Analysis of line 9			
a	Excess from 2007.			
b	Excess from 2008.			
c	Excess from 2009.			
d	Excess from 2010. 0			
e	Excess from 2011. 0			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

LEON J SIMKINS

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	630,758
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2011)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash. (2) Other assets. b Other transactions (1) Sales of assets to a noncharitable exempt organization. (2) Purchases of assets from a noncharitable exempt organization. (3) Rental of facilities, equipment, or other assets. (4) Reimbursement arrangements. (5) Loans or loan guarantees. (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		Yes	No
	1a(1)		No
	1a(2)		No
	1b(1)		No
	1b(2)		No
	1b(3)		No
	1b(4)		No
	1b(5)		No
1b(6)		No	
	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

[illegible]

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****			2013-07-15	*****
	Signature of officer or trustee			Date	Title
	Paid Preparer's Use Only	Preparer's Signature  Barry M Brant CPA		Date	Check if self-employed ☒
		Firm's name  BERKOWITZ POLLACK BRANT LLP		Firm's EIN 	
Firm's address  200 S BISCAYNE BLVD SIXTH FLOOR MIAMI, FL 33131		Phone no (305) 379-7000			

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☒ No

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ATTACHMENT A			
ATTACHMENT B			
ATTACHMENT C			
ATTACHMENT D			
ATTACHMENT E			
ATTACHMENT F			
ATTACHMENT G			
ATTACHMENT H			
ATTACHMENT I			
ATTACHMENT J			
ATTACHMENT K			
ATTACHMENT L			
ATTACHMENT M			
ATTACHMENT N			
ATTACHMENT O			
ATTACHMENT P			
ATTACHEMNT Q			
ATTACHMENT R			
ATTACHMENT S			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
156,173		122,357	33,816
88,362		92,219	-3,857
185,575		131,159	54,416
95,430		110,552	-15,122
59,579		43,418	16,161
222,081		217,400	4,681
4,790		5,563	-773
63,009		60,890	2,119
12,980		18,506	-5,526
19,371		18,416	955
26,666		29,936	-3,270
66,715		75,812	-9,097
343,121		315,281	27,840
17,660		18,088	-428
77,976		81,667	-3,691
33,385		41,754	-8,369
89,314		77,010	12,304
181,911		181,771	140
500,433		494,731	5,702

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33,816
			-3,857
			54,416
			-15,122
			16,161
			4,681
			-773
			2,119
			-5,526
			955
			-3,270
			-9,097
			27,840
			-428
			-3,691
			-8,369
			12,304
			140
			5,702

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LEON J SIMKINS	CO -TRUSTEE 1 0	0		
C/O Berkowitz Pollack Brant Miami, FL 33131				
ALBERT SIMKINS	CO -TRUSTEE 1 0	0		
C/O Berkowitz Pollack Brant Miami, FL 33131				
MICHELLE SIMKINS RUBELL	CO -TRUSTEE 1 0	0		
C/O Berkowitz Pollack Brant Miami, FL 33131				
DAVID SIMKINS	CO -TRUSTEE 1 0	0		
C/O Berkowitz Pollack Brant Miami, FL 33131				
MICHAEL SIMKINS	CO -TRUSTEE 1 0	0		
C/O Berkowitz Pollack Brant Miami, FL 33131				
RONALD SIMKINS	CO -TRUSTEE 1 0	0		
C/O Berkowitz Pollack Brant Miami, FL 33131				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Temple Israel of Greater Miami137 NE 19th Street Miami, FL 33132	NONE	501(C)(3)	CHARITABLE	6,000
Challenge Unlimited Foundation450 Lowell Street Andover, MA 01810	NONE	501(C)(3)	CHARITABLE	500
Best Buddies100 Southeast Second Street Suite 2200 Miami, FL 33131	NONE	501(C)(3)	CHARITABLE	10,000
Greater miami Hebrew Academy2400 Pinetree Dr Miami Beach, FL 33140	NONE	501(C)(3)	CHARITABLE	2,160
Mt Sinai Medical center Foundation4300 ALTON ROAD MIAMI BEACH, FL 33140	NONE	501(C)(3)	CHARITABLE	2,500
Epilpsey Foundation7300 N kendall Drive Ste 700 Miami, FL 33156	NONE	501(C)(3)	CHARITABLE	20,000
Starkey Hearing Foundation6700 WASHINGTON AVE SOUTH EDEN PRAIRIE, MN 55344	NONE	501(C)(3)	CHARITABLE	5,000
Leukemia & Lymphoma Society767 S State Road 7 Plantation, FL 33317	NONE	501(C)(3)	CHARITABLE	1,000
Diabetes Research Institute Foundation200 S PARK ROAD SUITE 100 HOLLYWOOD, FL 33021	NONE	501(C)(3)	CHARITABLE	10,875
Love & HopePO Box 670631 Northfield, OH 44067	NONE	501(C)(3)	CHARITABLE	12,000
Miami Beach JCC4221 Pine Tree Drive Miami Beach, FL 33140	NONE	501(C)(3)	CHARITABLE	250,000
Aspen Community Foundation110 East hallam Street Suite 126 ASPEN, CO 81611	NONE	501(C)(3)	CHARITABLE	310,723
Total  3a				630,758

TY 2011 Accounting Fees Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,500	5,500		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

TY 2011 Investments Corporate Stock Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ML-047	384,132	466,400
ML-048	172,526	240,794
ML-049	163,040	167,005
ML-050	408,290	362,235
ML-051	385,180	327,695
ML-052	179,387	192,985
ML-059	454,974	466,286
ML-062	265,584	293,749
JP MORGAN	660,868	727,549

TY 2011 Investments - Other Schedule**Name:** Leon J Simkins Charitable Foundation**EIN:** 59-2757208

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD ADMIRAL		634,711	795,364
S.A.C. CAPITAL		913,595	1,050,056
MUTUAL FUNDS ML-051		15,395	13,418
MUTUAL FUNDS ML-053		353,653	394,005
TORO CAPITAL I-B		200,000	234,620
DACHARAN FX FUND		650,000	629,599
ELEMENT CAPITAL FEEDER FUND		959,201	2,030,651
VS FX VOLATILITY FUND		500,000	559,722
IRONSIDES PARTNERS		500,000	429,862
BLUEGOLD GLOBAL FUND		243,021	308,878
KOPPENBERG MACRO FUND		500,000	574,308
GREENLIGHT CAPITAL		1,000,000	1,038,701
SG AMERICAS SECURITIES		500,000	504,381
JP MORGAN		7,500	6,431

TY 2011 Land, Etc. Schedule**Name:** Leon J Simkins Charitable Foundation**EIN:** 59-2757208

TY 2011 Other Expenses Schedule**Name:** Leon J Simkins Charitable Foundation**EIN:** 59-2757208

Description	Revenue and Expenses per Books	Net Invest ment Income	Adjusted Net Income	Disbursement s for Charitable Purposes
BANK CHARGES	1,291	1,291		
INVESTMENT MANAGEMENT FEES	27,397	27,397		
ANNNUAL FEE	200	200		
CERTIFICATE FEE	1,477	1,477		
TRANSACTION FEES	43	43		

TY 2011 Other Income Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
OTHER INCOME	2,676	2,676	

TY 2011 Other Increases Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

Description	Amount
GAIN AND LOSS ADJUSTMENT	19,050

TY 2011 Other Liabilities Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

Description	Beginning of Year - Book Value	End of Year - Book Value
UNREALIZED LOSS ON INVESTMENTS	195,359	195,407

TY 2011 Taxes Schedule

Name: Leon J Simkins Charitable Foundation

EIN: 59-2757208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	4,084	4,084		

ATTACHMENT A & B

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
LINCOLN NTL CORP IND NPV	60 0000	08/10/09	09/12/11		1,050 17	1,396 44	(346 27)	
LINCOLN NTL CORP IND NPV	2 0000	01/06/10	09/12/11		35 00	53 38	(18 38)	
LINCOLN NTL CORP IND NPV	57 0000	06/21/10	09/12/11		997 67	1,620 36	(622 69)	
Subtotal (Long-Term)					① 2,082.84	② 3,070.18	(987 34)	30,192 69
BASF SE SPONSORED ADR	27 0000	03/25/11	09/12/11		1,594 86	2,252 19	(657 33)	
BASF SE SPONSORED ADR	21 0000	04/07/11	09/12/11		1,240 46	1,900 07	(659 61)	
HSBC HLDG PLC SP ADR	34 0000	03/15/11	09/12/11		1,319 26	1,784 07	(464 81)	

ATTACHMENT A & B

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
HSBC HLDG PLC SP ADR	32 0000	03/16/11	09/12/11	1,241.66	1,615.47	(373.81)	
Subtotal (Short-Term)				③ 5,396.24	④ 7,551.80	(2,155.56)	(10,625.43)
TOTAL				7,479.08	10,621.98	(3,142.90)	19,567.26

* - Excludes transactions for which we have insufficient data

ATTACHMENT A & B

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date		Date				This Statement	Year to Date
APACHE CORP	9 0000	11/25/08		10/24/11		873 54	666 62	206 92	
APACHE CORP	7 0000	01/14/09		10/24/11		679 43	511 35	168 08	
APACHE CORP	4 0000	01/14/09		10/25/11		380 90	292 20	88 70	
APACHE CORP	27 0000	08/03/09		10/25/11		2,571 14	2,351 86	219 28	
CONOCOPHILLIPS	15 0000	04/21/10		10/24/11		1,079 87	853 42	226 45	
CONOCOPHILLIPS	13 0000	04/21/10		10/25/11		930 29	739 63	190 66	
HESS CORP	15 0000	03/24/09		10/24/11		913 27	980 12	(66 85)	
HESS CORP	2 0000	07/09/09		10/24/11		121 78	97 13	24 65	
HESS CORP	32 0000	07/09/09		10/25/11		1,917 58	1,554 05	363 53	
HESS CORP	3 0000	09/09/09		10/25/11		179 78	159 63	20 15	
MCDONALDS CORP COM	20 0000	05/15/09		10/24/11		1,838 55	1,071 93	766 62	

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ATTACHMENT A & B

REALIZED GAINS/(LOSSES) (continued)								
Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *		
						This Statement	Year to Date	
MCDONALDS CORP COM	14 0000	08/25/09	10/24/11	1,286 99	788 25	498 74		
OCCIDENTAL PETE CORP CAL	14 0000	11/25/08	10/24/11	1,219 16	720 30	498 86		
◆ PEABODY ENERGY CORP COM	11 0000	01/06/10	10/24/11	450 12	540 25	(90 13)		
PEABODY ENERGY CORP COM	14 0000	02/26/10	10/24/11	572 88	633 75	(60 87)		
PEABODY ENERGY CORP COM	7 0000	02/26/10	10/25/11	269 69	316 87	(47 18)		
PNC FINCL SERVICES GROUP	20 0000	04/23/09	10/24/11	1,097 39	793 24	304 15		
PNC FINCL SERVICES GROUP	32 0000	04/23/09	10/25/11	1,727 99	1,269 18	458 81		
PNC FINCL SERVICES GROUP	12 0000	04/29/09	10/25/11	648 00	489 87	158 13		
PEPSICO INC	23 0000	03/10/09	10/24/11	1,426 38	1,069 25	357 13		
US BANCORP (NEW)	18 0000	05/15/09	10/24/11	459 85	317 92	141 93		
US BANCORP (NEW)	60 0000	01/06/10	10/24/11	1,532 87	1,423 54	109 33		
US BANCORP (NEW)	12 0000	01/06/10	10/25/11	302 43	284 71	17 72		
US BANCORP (NEW)	64 0000	03/22/10	10/25/11	1,612 98	1,671 58	(58 60)		
Subtotal (Long-Term)				① 24,092.86	② 19,596.65	4,496 21		34,688 90
COMCAST CORP NEW CL A	96 0000	04/28/11	10/24/11	2,364 21	2,509 86	(145 65)		
US BANCORP (NEW)	31 0000	08/09/11	10/25/11	781 29	688 53	92 76		
Subtotal (Short-Term)				③ 3,145.50	④ 3,198.39	(52 89)		(10,678 32)
TOTAL				27,238.36	22,795.04	4,443.32		24,010.58

* - Excludes transactions for which we have insufficient data

◆ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has been adjusted by the deferred loss amount for this transaction.

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
APACHE CORP	18 0000	10/01/09	12/14/11		1,611 35	1,623 70	(12 35)	
CONOCOPHILLIPS	11 0000	04/21/10	12/13/11		768 28	625 84	142 44	
CONOCOPHILLIPS	14 0000	04/21/10	12/14/11		973 26	796 53	176 73	
FIFTH THIRD BANCORP	96 0000	08/10/09	12/14/11		1,137 40	940 59	196 81	
GOLDMAN SACHS GROUP INC	2 0000	11/25/08	12/13/11		189 74	144 86	44 88	
GOLDMAN SACHS GROUP INC	8 0000	01/14/09	12/13/11		758 99	608 08	150 91	
GOLDMAN SACHS GROUP INC	2 0000	01/14/09	12/14/11		188 05	152 02	36 03	
MCDONALDS CORP COM	7 0000	08/25/09	12/14/11		685 76	394 13	291 63	
MCDONALDS CORP COM	24 0000	01/06/10	12/14/11		2,351 20	1,471 75	879 45	
MCDONALDS CORP COM	16 0000	05/21/10	12/14/11		1,567 48	1,077 91	489 57	
NESTLE S A REP RG SH ADR	23 0000	01/14/09	12/14/11		1,243 70	811 90	431 80	
PRUDENTIAL FINANCIAL INC	34 0000	05/15/09	12/14/11		1,606 49	1,293 01	313 48	
PNC FINCL SERVICES GROUP	37 0000	04/29/09	12/14/11		1,991 46	1,510 42	481 04	
PEPSICO INC	8 0000	03/10/09	12/14/11		515 16	371 91	143 25	
PEPSICO INC	13 0000	04/23/09	12/14/11		837 16	622 09	215 07	
TARGET CORP COM	36 0000	08/03/09	12/14/11		1,887 19	1,544 92	342 27	
TARGET CORP COM	17 0000	01/06/10	12/14/11		891 18	839 80	51 38	
WAL-MART STORES INC	28 0000	06/21/10	12/14/11		1,617 17	1,441 89	175 28	
WAL-MART STORES INC	23 0000	07/28/10	12/14/11		1,328 39	1,180 60	147 79	
Subtotal (Long-Term)					① 22,149.41	② 17,451.95	4,697 46	39,386 36
PPG INDUSTRIES INC SHS	27 0000	08/09/11	12/14/11		2,175 47	2,018 01	157 46	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
TYCO INTL LTD NAMEN-AKT	62 0000	08/25/11		12/14/11		2,793.04	2,529.60	263.44	
ACE LIMITED	23 0000	04/28/11		12/13/11		1,544.83	1,547.84	(3.01)	
Subtotal (Short-Term)						③ 6,513.34	④ 6,095.45	417.89	(10,260.43)
TOTAL						28,662.75	23,547.40	5,115.35	29,125.93

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2011 tax return. These reportable transactions will appear on your January statement.

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
ACCENTURE PLC SHS	17 0000	04/21/10	01/12/12	917 24	746 43	170 81	
TYCO INTL LTD NAMEN-AKT CXL *	62 0000	10/26/09	02/25/11	2,776 69	2,129 19	(647 50)	
TYCO INTL LTD NAMEN-AKT *	62 0000	10/26/09	02/25/11	2,776 69	2,143 85	632 84	
TYCO INTL LTD NAMEN-AKT CXL *	23 0000	01/06/10	02/25/11	1,030 07	822 56	(207 51)	
TYCO INTL LTD NAMEN-AKT *	23 0000	01/06/10	02/25/11	1,030 07	827 99	202 08	
Subtotal (Long-Term)				① 4,724.00	② 3,718.27	170 81	170 81
ACCENTURE PLC SHS	6 0000	06/20/11	01/12/12	323 74	323 54	20	
ACCENTURE PLC SHS	38 0000	06/20/11	01/13/12	2,026 61	2,049 09	(22 48)	
FRANKLIN RES INC	14 0000	08/24/11	01/12/12	1,351 38	1,634 02	(282 64)	
FRANKLIN RES INC	25 0000	08/24/11	01/13/12	2,378 79	2,917 90	(539 11)	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
TIME WARNER CABLE INC	18 0000	02/16/11		01/12/12		1,166.80	1,294.74	(127.94)	
TIME WARNER CABLE INC	22 0000	02/16/11		01/13/12		1,424.97	1,582.46	(157.49)	
TIME WARNER CABLE INC	9 0000	09/19/11		01/13/12		582.95	582.84	11	
Subtotal (Short-Term)						(3) 9,255.24	(4) 10,384.59	(1,129.35)	(1,129.35)
TOTAL						13,979.24	14,102.86	(958.54)	(958.54)

* - Excludes transactions for which we have insufficient data

CXL - Indicates the cancellation of an error transaction

* - Trades settling this month but executed in 2011 must be reported in 2011. These totals exclude (DK) broad-based index option results Short Term Total 0.00 Long Term Total (20.09)

NOTE: Transactions which must be reported on your 2011 tax return have been noted below with an asterisk (*)

These reportable transactions have been excluded from the capital gain and loss summary for 2012 shown above

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
CARNIVAL CORP PAIRED SHS	43 0000	07/09/09	01/27/12		1,307 51	1,087 44	220 07	
CARNIVAL CORP PAIRED SHS	38 0000	08/25/09	01/27/12		1,155 48	1,180 12	(24 64)	
CARNIVAL CORP PAIRED SHS	4 0000	01/06/10	01/27/12		121 63	128 12	(6 49)	
CONOCOPHILLIPS	34 0000	04/21/10	02/23/12		2,532 87	1,934 43	598 44	
CONOCOPHILLIPS	3 0000	06/01/10	02/23/12		223 49	153 67	69 82	
GENL DYNAMICS CORP COM	14 0000	07/09/09	02/10/12		983 72	721 30	262 42	
GENL DYNAMICS CORP COM	3 0000	10/19/09	02/10/12		210 80	206 14	4 66	
GENL DYNAMICS CORP COM	8 0000	10/19/09	02/13/12		563 68	549 69	13 99	
METLIFE INC COM	11 0000	01/14/09	02/23/12		417 55	304 27	113 28	
METLIFE INC COM	60 0000	04/23/09	02/23/12		2,277 57	1,575 32	702 25	
METLIFE INC COM	2 0000	04/29/09	02/23/12		75 92	58 46	17 46	
PG&E CORP	35 0000	10/19/09	01/27/12		1,430 70	1,503 70	(73 00)	
PEPSICO INC	7 0000	04/23/09	02/23/12		440 58	334 97	105 61	
PEPSICO INC	26 0000	05/15/09	02/23/12		1,636 47	1,305 90	330 57	
PEPSICO INC	4 0000	01/06/10	02/23/12		251 76	245 76	6 00	
VERIZON COMMUNICATNS COM	112 0000	01/14/09	02/10/12		4,206 69	3,214 66	992 03	
VERIZON COMMUNICATNS COM	16 0000	01/06/10	02/10/12		600 96	480 10	120 86	
Subtotal (Long-Term)					① 18,437	② 14,984.05	3,453 33	3,624 14
ACCENTURE PLC SHS	49 0000	06/20/11	01/27/12		2,779 28	2,642 24	137 04	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
ACN CORP	5 0000	10/24/11	02/10/12	243 02	250 78	(7 76)	
ACN CORP	44 0000	10/25/11	02/10/12	2,138 63	2,148 59	(9 96)	
ACN CORP	21 0000	12/14/11	02/10/12	1,020 71	941 32	79 39	
ACN CORP	24 0000	12/14/11	02/13/12	1,172 64	1,075 79	96 85	
CARNIVAL CORP PAIRED SHS	6 0000	10/24/11	01/27/12	182 44	215 61	(33 17)	
CARNIVAL CORP PAIRED SHS	30 0000	10/25/11	01/27/12	912 24	1,069 86	(157 62)	
CELANESE CORP DEL SER A	24 0000	12/14/11	02/23/12	1,142 39	1,007 65	134 74	
DEERE CO	13 0000	12/14/11	02/23/12	1,090 22	969 92	120 30	
KOHL'S CORP WISC PV 1CT	25 0000	03/15/11	01/27/12	1,161 93	1,334 77	(172 84)	
KOHL'S CORP WISC PV 1CT	21 0000	03/16/11	01/27/12	976 02	1,120 98	(144 96)	
KOHL'S CORP WISC PV 1CT	19 0000	09/19/11	01/27/12	883 07	889 39	(6 32)	
PEPSICO INC	9 0000	09/19/11	02/23/12	566 48	543 78	22 70	
PUB SVC ENTERPRISE GRP	45 0000	08/25/11	01/27/12	1,369 88	1,499 60	(129 72)	
PUB SVC ENTERPRISE GRP	51 0000	08/25/11	02/23/12	1,558 23	1,699 55	(141 32)	
Subtotal (Short-Term)				③ 17,197.18	④ 17,409.83	(212 65)	(1,342 00)
TOTAL				35,634.56	32,393.88	3,240.68	2,282.14

* - Excludes transactions for which we have insufficient data

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
AMN ELEC POWER CO	53 0000	04/16/09		02/29/12		1,990 13	1,434 90	555 23	
AMN ELEC POWER CO	11 0000	06/03/09		02/29/12		413 05	287 59	125 46	
APPLE INC	4 0000	02/04/11		03/21/12		2,425 36	1,381 43	1,043 93	
HALLIBURTON COMPANY	70 0000	01/26/11		03/21/12		2,385 66	2,882 71	(497 05)	
VODAFONE GROP PLC SP ADR	61 0000	11/02/10		03/21/12		1,667 25	1,704 24	(36 99)	
WAL-MART STORES INC	30 0000	07/28/10		02/29/12		1,769 14	1,539 92	229 22	
WAL-MART STORES INC	7 0000	08/13/10		02/29/12		412 80	354 96	57 84	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
WELLS FARGO & CO NEW DEL	55 0000	01/14/09	03/21/12	1,880 84	1,283 70	597 14	
Subtotal (Long-Term)				① 12,944.23	② 10,869.45	2,074 78	5,698 92
HALLIBURTON COMPANY	15 0000	09/19/11	03/21/12	511 22	573 75	(62 53)	
PUB SVC ENTERPRISE GRP	7 0000	08/25/11	03/21/12	208 30	233 27	(24 97)	
PUB SVC ENTERPRISE GRP	7 0000	10/24/11	03/21/12	208 30	240 66	(32 36)	
PUB SVC ENTERPRISE GRP	60 0000	10/25/11	03/21/12	1,785 50	2,048 48	(262 98)	
TIME WARNER INC SHS	21 0000	10/24/11	03/21/12	752 06	732 98	19 08	
TIME WARNER INC SHS	37 0000	10/25/11	03/21/12	1,325 08	1,271 41	53 67	
Subtotal (Short-Term)				③ 4,790.46	④ 5,100.55	(310 09)	(1,652 09)
TOTAL				17,734.69	15,970.00	1,764.69	4,046.83

* - Excludes transactions for which we have insufficient data

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AMGEN INC COM PV\$0 0001	37 0000	01/14/09	04/20/12		2,529.84	2,078.66	451.18	
APPLE INC	1 0000	02/04/11	04/20/12		583.57	345.36	238.21	
BHP BILLITON LTD ADR	14 0000	12/22/08	04/20/12		1,032.90	563.61	469.29	
BHP BILLITON LTD ADR	7 0000	01/14/09	04/20/12		516.45	270.91	245.54	
SIMON PROPERTY GROUP DEL	2 0000	02/15/11	04/20/12		300.49	213.78	86.71	
SIMON PROPERTY GROUP DEL	9 0000	02/16/11	04/20/12		1,352.25	968.92	383.33	
Subtotal (Long-Term)					① 6,315.50	② 4,441.24	1,874.26	7,573.18
ANADARKO PETE CORP	7 0000	10/24/11	04/20/12		505.51	553.48	(47.97)	
ANADARKO PETE CORP	12 0000	10/25/11	04/20/12		866.61	939.69	(73.08)	
APPLE INC	1 0000	06/20/11	04/20/12		583.57	315.80	267.77	
MACYS INC	33 0000	12/14/11	04/20/12		1,308.98	1,024.60	284.38	
PPL CORPORATION	88 0000	08/09/11	04/20/12		2,391.15	2,250.86	140.29	
PPL CORPORATION	27 0000	09/12/11	04/20/12		733.66	747.58	(13.92)	
PPL CORPORATION	61 0000	09/12/11	04/23/12		1,648.25	1,688.99	(40.74)	
Subtotal (Short-Term)					③ 8,037.73	④ 7,521.00	516.73	(1,135.36)
TOTAL					14,353.23	11,962.24	2,390.99	6,437.82

* - Excludes transactions for which we have insufficient data

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AVALONBAY COMMUN INC	7 0000	01/14/09	05/14/12		1,011 64	368 25	643 39	
AVALONBAY COMMUN INC	7 0000	03/24/09	05/14/12		1,011 64	354 76	656 88	
AMGEN INC COM PV \$0 0001	20 0000	01/14/09	05/14/12		1,407 56	1,123 60	283 96	
AMGEN INC COM PV \$0 0001	8 0000	06/21/10	05/14/12		563 03	461 03	102 00	
HESS CORP	30 0000	09/09/09	05/14/12		1,401 48	1,596 29	(194 81)	
HESS CORP	2 0000	01/06/10	05/14/12		93 43	128 60	(35 17)	
HESS CORP	28 0000	06/21/10	05/14/12		1,308 06	1,594 76	(286 70)	
MICROSOFT CORP	52 0000	01/23/09	05/25/12		1,516 56	902 10	614 46	
ORACLE CORP \$0 01 DEL	51 0000	03/31/09	05/25/12		1,335 80	924 88	410 92	
REYNOLDS AMERICAN INC	44 0000	12/03/10	05/14/12		1,781 12	1,419 47	361 65	
REYNOLDS AMERICAN INC	7 0000	12/03/10	05/15/12		283 38	225 83	57 55	
REYNOLDS AMERICAN INC	44 0000	12/06/10	05/15/12		1,781 27	1,438 42	342 85	
SIMON PROPERTY GROUP DEL	10 0000	02/16/11	05/14/12		1,553 76	1,076 58	477 18	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
TJX COS INC NEW	10 0000	11/25/08		05/14/12		403 15	106 60	296 55	
TJX COS INC NEW	47 0000	01/14/09		05/14/12		1,894 84	462 25	1,432 59	
Subtotal (Long-Term)						① 17,346.72	② 12,183.42	5,163 30	12,736 48
APPLE INC	2 0000	06/20/11		05/25/12		1,119 29	631 59	487 70	
REYNOLDS AMERICAN INC	32 0000	09/19/11		05/15/12		1,295 47	1,203 84	91 63	
REYNOLDS AMERICAN INC	30 0000	12/14/11		05/15/12		1,214 51	1,216 12	(1 61)	
Subtotal (Short-Term)						③ 3,629.27	④ 3,051.55	577 72	(557 64)
TOTAL						20,975.99	15,234.97	5,741.02	12,178.84

* - Excludes transactions for which we have insufficient data

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AT&T INC	79 0000	01/14/09	06/21/12		2,778 61	2,006 40	772 21	
AT&T INC	25 0000	06/23/09	06/21/12		879 32	616 64	262 68	
JOHNSON AND JOHNSON COM	39 0000	04/13/10	06/21/12		2,584 00	2,558 82	25 18	
JOHNSON AND JOHNSON COM	12 0000	04/21/10	06/21/12		795 09	783 03	12 06	
PEABODY ENERGY CORP COM	7 0000	02/26/10	06/01/12		161 91	316 87	(154 96)	
PEABODY ENERGY CORP COM	15 0000	03/12/10	06/01/12		346 96	725 76	(378 80)	
PEABODY ENERGY CORP COM	50 0000	06/21/10	06/01/12		1,156 54	2,158 28	(1,001 74)	
TJX COS INC NEW	63 0000	01/14/09	06/01/12		2,581 65	619 60	1,962 05	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
TJX COS INC NEW	41 0000	03/10/09	06/01/12	1,680 13	473 62	1,206 51	
TJX COS INC NEW	7 0000	03/10/09	06/21/12	297 24	80 86	216 38	
TJX COS INC NEW	30 0000	01/06/10	06/21/12	1,273 92	557 70	716 22	
UNION PACIFIC CORP	13 0000	11/10/09	06/01/12	1,409 54	806 66	602 88	
UNITED TECHS CORP COM	13 0000	11/25/08	06/01/12	937 01	615 23	321 78	
UNITED TECHS CORP COM	32 0000	01/14/09	06/01/12	2,306 50	1,603 49	703 01	
Subtotal (Long-Term)				① 19,188.42	② 13,922.96	5,265 46	18,001 94
CELANESE CORP DEL SER A	46 0000	12/14/11	06/21/12	1,645 33	1,931 34	(286 01)	
CELANESE CORP DEL SER A	11 0000	04/20/12	06/21/12	393 45	530 74	(137 29)	
CELANESE CORP DEL SER A	18 0000	04/20/12	06/22/12	628 95	868 48	(239 53)	
HEWLETT PACKARD CO DEL	43 0000	01/12/12	06/21/12	884 75	1,159 41	(274 66)	
HEWLETT PACKARD CO DEL	40 0000	01/13/12	06/21/12	823 03	1,072 58	(249 55)	
HEWLETT PACKARD CO DEL	88 0000	02/23/12	06/21/12	1,810 67	2,416 07	(605 40)	
PEABODY ENERGY CORP COM	27 0000	08/09/11	06/01/12	624 54	1,183 74	(559 20)	
TJX COS INC NEW	26 0000	09/19/11	06/21/12	1,104 07	739 57	364 50	
TJX COS INC NEW	14 0000	10/24/11	06/21/12	594 50	419 33	175 17	
TJX COS INC NEW	34 0000	10/25/11	06/21/12	1,443 79	1,014 51	429 28	
Subtotal (Short-Term)				③ 9,953.08	④ 11,335.77	(1,382 69)	(1,940 33)
TOTAL				29,141.50	25,258.73	3,882.77	16,061.61

* - Excludes transactions for which we have insufficient data

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AT&T INC	21 0000	06/23/09	06/29/12		747 92	517 98	229 94	
AT&T INC	32 0000	09/17/09	06/29/12		1,139 70	843 78	295 92	
AMGEN INC COM PV \$0 0001	26 0000	06/21/10	06/29/12		1,890 92	1,498 33	392 59	
AMGEN INC COM PV \$0 0001	10 0000	08/24/10	06/29/12		727 29	513 31	213 98	
DISNEY (WALT) CO COM STK	30 0000	03/05/10	06/29/12		1,451 15	992 45	458 70	
EXXON MOBIL CORP COM	19 0000	01/14/09	07/12/12		1,600 20	1,427 80	172 40	
GENERAL ELECTRIC	63 0000	08/10/09	07/12/12		1,229 55	929 09	300 46	
SIMON PROPERTY GROUP DEL	13 0000	02/16/11	07/12/12		2,047 23	1,399 55	647 68	
Subtotal (Long-Term)					① 10,833.96	② 8,122.29	2,711 67	20,713 61
✧ CHEVRON CORP	9 0000	10/24/11	07/12/12		947 66	956 02	N/C	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
✧ CHEVRON CORP	7 0000	10/25/ 11		07/ 12/ 12		737 08	741 33	N/ C	
FORD MOTOR CO	224 0000	01/27/ 12		07/ 12/ 12		2,049 28	2,765 95	(716 67)	
FORD MOTOR CO	105 0000	02/29/ 12		07/ 12/ 12		960 61	1,300 71	(340 10)	
SIMON PROPERTY GROUP DEL	2 0000	09/ 19/ 11		07/ 12/ 12		314 96	237 68	77 28	
Subtotal (Short-Term)						③ 5,009.59	④ 6,001.69	(979 49)	(2,919 82)
TOTAL						15,843.55	14,123.98	1,732.18	17,793.79

* - Excludes transactions for which we have insufficient data

N/ C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/ or capital items for discount and zero-coupon issues

✧ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring:

(A) If the gain/ loss displays as N/ C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/ loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

ATTACHMENT A & B

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AVALONBAY COMMUN INC	1 0000	03/24/09	08/10/12		140 13	50 68	89 45	
AT&T INC	5 0000	09/17/09	08/08/12		186 35	131 84	54 51	
AT&T INC	21 0000	01/06/10	08/08/12		782 71	580 86	201 85	
AMER EXPRESS COMPANY	1 0000	09/09/09	08/10/12		55 72	33 73	21 99	
AMER EXPRESS COMPANY	1 0000	10/19/09	08/10/12		55 73	35 74	19 99	
APACHE CORP	15 0000	10/01/09	08/08/12		1,327 75	1,353 08	(25 33)	
APACHE CORP	2 0000	01/06/10	08/08/12		177 03	216 98	(39 95)	
APACHE CORP	10 0000	05/21/10	08/08/12		885 17	889 11	(3 94)	
BOSTON PPTYS INC	14 0000	01/14/09	08/10/12		1,550 19	652 54	897 65	
COMDIEN PLC SHS NEW	3 0000	01/14/09	08/10/12		171 01	107 67	63 34	
FREEPRT-MQMRAN CPR & GLD	3 0000	05/15/09	08/10/12		108 80	67 28	41 52	
GOLDMAN SACHS GROUP INC	1 0000	01/14/09	08/10/12		102 70	76 01	26 69	
GENERAL ELECTRIC	65 0000	08/10/09	08/08/12		1,364 06	958 59	405 47	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
GENERAL ELECTRIC	6 0000	08/10/09	08/10/12		126 35	88 49	37 86	
HUMANA INC	8 0000	03/15/11	08/27/12		559 42	512 46	46 96	
HUMANA INC	38 0000	03/16/11	08/27/12		2,657 28	2,418 34	238 94	
JPMORGAN CHASE & CO	8 0000	01/14/09	08/10/12		294 43	208 24	86 19	
JOHNSON AND JOHNSON COM	2 0000	04/21/10	08/10/12		137 21	130 51	6 70	
METLIFE INC COM	3 0000	04/29/09	08/10/12		104 71	87 70	17 01	
MERCK AND CO INC SHS	3 0000	01/14/09	08/10/12		133 56	83 46	50 10	
NATIONAL GRID PLC SP ADR	3 0000	09/13/10	08/10/12		162 11	131 12	30 99	
OCCIDENTAL PETE CORP CAL	2 0000	11/25/08	08/10/12		182 29	102 90	79 39	
ORACLE CORP \$0 01 DEL	19 0000	03/31/09	08/08/12		591 89	344 56	247 33	
ORACLE CORP \$0 01 DEL	4 0000	01/06/10	08/08/12		124 60	98 32	26 28	
ORACLE CORP \$0 01 DEL	28 0000	03/25/11	08/08/12		872 27	930 59	(58 32)	
ORACLE CORP \$0 01 DEL	3 0000	03/25/11	08/10/12		94 56	99 71	(5 15)	
PG&E CORP	35 0000	10/19/09	08/10/12		1,580 56	1,503 69	76 87	
PFIZER INC	18 0000	11/25/08	08/10/12		430 27	289 98	140 29	
UNILEVER NV NY REG SHS	5 0000	05/10/11	08/10/12		172 94	165 66	7 28	
UNITEDHEALTH GROUP INC	2 0000	05/15/09	08/10/12		103 94	55 28	48 66	
UNITEDHEALTH GROUP INC	39 0000	05/15/09	08/27/12		2,137 08	1,077 96	1,059 12	
US BANCORP (NEW)	4 0000	08/09/11	08/10/12		132 17	88 84	43 33	
UNION PACIFIC CORP	1 0000	11/10/09	08/10/12		121 92	62 05	59 87	
ACE LIMITED	2 0000	04/28/11	08/27/12		148 13	134 59	13 54	
ACE LIMITED	2 0000	04/29/11	08/27/12		148 13	135 00	13 13	
WELLS FARGO & CO NEW DEL	4 0000	01/14/09	08/10/12		134 93	93 36	41 57	
Subtotal (Long-Term)					① 18,058.10	② 13,996.92	4,061 18	24,774 79
AMERIPRISE FINL INC	31 0000	01/13/12	08/27/12		1,679 82	1,596 77	83 05	
AT&T INC	35 0000	09/12/11	08/08/12		1,304 52	960 70	343 82	
AT&T INC	5 0000	10/24/11	08/08/12		186 36	144 41	41 95	
BED BATH & BEYOND INC	25 0000	03/21/12	08/27/12		1,666 42	1,654 11	12 31	
BED BATH & BEYOND INC	12 0000	03/21/12	08/28/12		800 54	793 98	6 56	
CENTURYLINK INC SHS	38 0000	02/10/12	08/27/12		1,595 22	1,439 99	155 23	

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ATTACHMENT A & B

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
✧ CHEVRON CORP	1 0000	10/24/11		08/10/12		113 21	101 86	11 35	
CELGENE CORP COM	2 0000	06/29/12		08/10/12		143 59	127 71	15 88	
CITIGROUP INC COM NEW	8 0000	10/24/11		08/10/12		230 11	252 66	(22 55)	
GOOGLE INC CL A	1 0000	05/25/12		08/10/12		640 85	591 58	49 27	
JOHNSON CONTROLS INC	65 0000	01/13/12		08/08/12		1,666 45	2,270 66	(604 21)	
MACYS INC	4 0000	12/14/11		08/10/12		151 63	124 19	27 44	
PACCAR INC	21 0000	02/10/12		08/08/12		847 48	906 55	(59 07)	
PACCAR INC	12 0000	02/13/12		08/08/12		484 28	522 25	(37 97)	
SIMON PROPERTY GROUP DEL	3 0000	09/19/11		08/08/12		474 39	356 52	117 87	
SIMON PROPERTY GROUP DEL	12 0000	12/14/11		08/08/12		1,897 57	1,453 19	444 38	
TIME WARNER INC SHS	37 0000	10/25/11		08/27/12		1,552 25	1,271 41	280 84	
Subtotal (Short-Term)						③ 15,434.69	④ 14,568.54	866 15	(2,053 67)
TOTAL						33,492.79	28,565.46	4,927.33	22,721.12

* - Excludes transactions for which we have insufficient data

✧ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring:

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

Long Term	① 156,173.42	② 244,535.74	33,816.04
Short Term	③ 88,362.32	④ 92,219.16	(3,856.84)

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT C & D

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
AMPHENOL CORP CL A NEW	11 0000	12/17/09	10/25/11		509 18	468 02	41 16	
AMPHENOL CORP CL A NEW	12 0000	12/18/09	10/25/11		555 46	515 96	39 50	
AMPHENOL CORP CL A NEW	22 0000	12/23/09	10/25/11		1,018 36	992 89	25 47	
AMPHENOL CORP CL A NEW	9 0000	12/30/09	10/25/11		416 60	420 20	(3 60)	
AMPHENOL CORP CL A NEW	4 0000	01/12/10	10/25/11		185 17	176 07	9 10	
APPLE INC	60 0000	01/13/09	10/25/11		23,931 24	5,203 19	18,728 05	
CROWN CASTLE INTL CORP	97 0000	11/25/08	10/25/11		4,177 61	1,208 62	2,968 99	
CROWN CASTLE INTL CORP	15 0000	12/19/08	10/25/11		646 02	264 75	381 27	
CROWN CASTLE INTL CORP	79 0000	01/13/09	10/25/11		3,402 39	1,501 00	1,901 39	
CELGENE CORP COM	251 0000	01/13/09	10/25/11		16,609 25	12,669 62	3,939 63	

ATTACHMENT C & D

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
CELGENE CORP COM	19 0000	02/11/09	10/25/11	1,257 28	1,006 36	250 92	
CELGENE CORP COM	7 0000	04/01/09	10/25/11	463 21	268 86	194 35	
EBAY INC COM	35 0000	08/23/10	10/25/11	1,114 11	818 39	295 72	
EBAY INC COM	99 0000	08/25/10	10/25/11	3,151 34	2,271 46	879 88	
EBAY INC COM	89 0000	08/26/10	10/25/11	2,833 03	2,031 92	801 11	
EBAY INC COM	17 0000	08/31/10	10/25/11	541 14	393 51	147 63	
EBAY INC COM	16 0000	09/01/10	10/25/11	509 30	380 57	128 73	
EBAY INC COM	30 0000	09/01/10	10/25/11	954 95	710 86	244 09	
EBAY INC COM	44 0000	09/02/10	10/25/11	1,400 60	1,056 70	343 90	
EBAY INC COM	52 0000	09/03/10	10/25/11	1,655 25	1,267 45	387 80	
EBAY INC COM	53 0000	09/03/10	10/25/11	1,687 09	1,281 50	405 59	
EBAY INC COM	29 0000	09/08/10	10/25/11	923 12	707 28	215 84	
EBAY INC COM	43 0000	09/08/10	10/25/11	1,368 77	1,051 84	316 93	
EBAY INC COM	21 0000	09/08/10	10/25/11	668 47	512 40	156 07	
EBAY INC COM	13 0000	09/21/10	10/25/11	413 81	321 41	92 40	
EBAY INC COM	116 0000	09/22/10	10/25/11	3,692 51	2,778 37	914 14	
FORD MOTOR CO	105 0000	01/12/10	10/25/11	1,302 77	1,252 39	50 38	
FORD MOTOR CO	150 0000	01/12/10	10/25/11	1,861 10	1,772 19	88 91	
FORD MOTOR CO	112 0000	04/01/10	10/25/11	1,389 62	1,432 35	(42 73)	
FORD MOTOR CO	83 0000	04/01/10	10/25/11	1,029 81	1,051 46	(21 65)	
FORD MOTOR CO	75 0000	04/09/10	10/25/11	930 56	956 12	(25 56)	
FORD MOTOR CO	47 0000	04/15/10	10/25/11	583 15	649 54	(66 39)	
FORD MOTOR CO	32 0000	04/15/10	10/25/11	397 04	434 90	(37 86)	
FANUC LTD-UNSP	361 0000	09/28/10	10/25/11	9,573 53	7,807 11	1,766 42	
INTUITIVE SURGICAL INC	10 0000	02/11/09	10/25/11	4,210 16	1,067 55	3,142 61	
LIMITED BRANDS INC	14 0000	02/10/10	10/25/11	606 29	280 88	325 41	
LIMITED BRANDS INC	49 0000	02/17/10	10/25/11	2,122 03	1,024 17	1,097 86	
LIMITED BRANDS INC	37 0000	02/22/10	10/25/11	1,602 35	797 72	804 63	
LIMITED BRANDS INC	71 0000	02/24/10	10/25/11	3,074 78	1,497 83	1,576 95	
LIMITED BRANDS INC	50 0000	03/02/10	10/25/11	2,165 34	1,127 02	1,038 32	

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ATTACHMENT C & D

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
LIMITED BRANDS INC	63 0000	03/10/10	10/25/11	2,728 33	1,488 86	1,239 47	
LIMITED BRANDS INC	34 0000	04/19/10	10/25/11	1,472 44	917 36	555 08	
MORGAN STANLEY	121 0000	04/13/09	10/25/11	2,018 94	3,188 56	(1,169 62)	
NEWS CORP CL A	127 0000	11/25/08	10/25/11	2,155 96	835 66	1,320 30	
NEWS CORP CL A	423 0000	01/13/09	10/25/11	7,180 87	3,599 10	3,581 77	
NEWS CORP CL A	45 0000	09/10/09	10/25/11	763 92	519 84	244 08	
NEWS CORP CL A	6 0000	09/11/09	10/25/11	101 85	70 38	31 47	
NEWS CORP CL A	2 0000	09/14/09	10/25/11	33 95	23 42	10 53	
NEWS CORP CL A	7 0000	09/25/09	10/25/11	118 83	82 19	36 64	
NEWS CORP CL A	4 0000	09/29/09	10/25/11	67 90	47 72	20 18	
NEWS CORP CL A	20 0000	09/30/09	10/25/11	339 53	235 91	103 62	
NEWS CORP CL A	7 0000	09/30/09	10/25/11	118 83	82 50	36 33	
NEWS CORP CL A	20 0000	11/19/09	10/25/11	339 54	248 11	91 43	
ORACLE CORP \$0 01 DEL	205 0000	01/13/09	10/25/11	6,664 91	3,489 08	3,175 83	
PRECISION CASTPARTS	19 0000	05/20/09	10/25/11	3,274 96	1,561 09	1,713 87	
PRECISION CASTPARTS	19 0000	05/21/09	10/25/11	3,274 97	1,516 28	1,758 69	
PRUDENTIAL PLC ADR	37 0000	05/11/10	10/25/11	763 29	604 43	158 86	
PRUDENTIAL PLC ADR	97 0000	05/13/10	10/25/11	2,001 07	1,612 98	388 09	
PRUDENTIAL PLC ADR	31 0000	05/17/10	10/25/11	639 52	481 00	158 52	
SCHWAB CHARLES CORP NEW	68 0000	02/04/10	10/25/11	835 03	1,219 52	(384 49)	
SCHWAB CHARLES CORP NEW	210 0000	02/05/10	10/25/11	2,578 77	3,770 97	(1,192 20)	
SCHWAB CHARLES CORP NEW	97 0000	02/08/10	10/25/11	1,191 15	1,745 20	(554 05)	
TE CONNECTIVITY LTD	10 0000	05/20/10	10/25/11	341 08	273 96	67 12	
TE CONNECTIVITY LTD	25 0000	05/21/10	10/25/11	852 71	693 83	158 88	
TE CONNECTIVITY LTD	30 0000	05/27/10	10/25/11	1,023 25	864 65	158 60	
TE CONNECTIVITY LTD	51 0000	05/28/10	10/25/11	1,739 53	1,477 38	262 15	
TE CONNECTIVITY LTD	36 0000	06/01/10	10/25/11	1,227 90	1,032 01	195 89	
TE CONNECTIVITY LTD	17 0000	06/04/10	10/25/11	579 84	495 51	84 33	
TE CONNECTIVITY LTD	6 0000	06/08/10	10/25/11	204 66	160 82	43 84	
UNITED PARCEL SVC CL B	1 0000	12/30/08	10/25/11	69 35	53 77	15 58	

ATTACHMENT C & D

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
UNITED PARCEL SVC CL B	62 0000	01/13/09	10/25/11		4,300 24	3,077 06	1,223 18	
UNITED PARCEL SVC CL B	1 0000	12/02/09	10/25/11		69 35	58 12	11 23	
UNITED PARCEL SVC CL B	18 0000	08/05/10	10/25/11		1,248 45	1,215 24	33 21	
UNITED PARCEL SVC CL B	16 0000	08/18/10	10/25/11		1,109 74	1,062 63	47 11	
UNITED PARCEL SVC CL B	19 0000	08/19/10	10/25/11		1,317 82	1,237 96	79 86	
UNITED PARCEL SVC CL B	8 0000	08/19/10	10/25/11		554 88	521 91	32 97	
VERTEX PHARMCTLS INC	75 0000	02/19/09	10/25/11		3,274 76	2,502 86	771 90	
Subtotal (Long-Term)					① 164,778.32	② 108,728.56	58,013 61	127,441 74
ADOBE SYS DEL PV\$ 0 001	26 0000	11/11/10	10/10/11		667 72	763 12	(95 40)	
ADOBE SYS DEL PV\$ 0 001	2 0000	11/11/10	10/12/11		51 93	58 70	(6 77)	
ADOBE SYS DEL PV\$ 0 001	17 0000	11/12/10	10/12/11		441 43	500 09	(58 66)	
ADOBE SYS DEL PV\$ 0 001	20 0000	11/12/10	10/14/11		542 13	588 34	(46 21)	
ADOBE SYS DEL PV\$ 0 001	12 0000	11/15/10	10/14/11		325 28	356 61	(31 33)	
ADOBE SYS DEL PV\$ 0 001	6 0000	11/15/10	10/14/11		160 15	178 31	(18 16)	
ADOBE SYS DEL PV\$ 0 001	26 0000	11/16/10	10/14/11		693 99	753 71	(59 72)	
ADOBE SYS DEL PV\$ 0 001	1 0000	11/16/10	10/19/11		26 41	28 99	(2 58)	
ADOBE SYS DEL PV\$ 0 001	47 0000	11/17/10	10/19/11		1,241 70	1,367 25	(125 55)	
ADOBE SYS DEL PV\$ 0 001	12 0000	12/08/10	10/19/11		317 04	349 24	(32 20)	
ADOBE SYS DEL PV\$ 0 001	61 0000	12/08/10	10/20/11		1,588 51	1,775 30	(186 79)	
BAKER HUGHES INC	75 0000	03/21/11	10/25/11		4,186 79	5,273 51	(1,086 72)	
BAKER HUGHES INC	14 0000	03/22/11	10/25/11		781 54	993 91	(212 37)	
C H ROBINSON WORLDWIDE,	13 0000	12/10/10	10/25/11		975 76	1,015 42	(39 66)	
C H ROBINSON WORLDWIDE,	10 0000	12/13/10	10/25/11		750 58	780 55	(29 97)	
C H ROBINSON WORLDWIDE,	21 0000	12/20/10	10/25/11		1,576 23	1,654 18	(77 95)	
C H ROBINSON WORLDWIDE,	14 0000	12/22/10	10/25/11		1,050 82	1,120 29	(69 47)	
C H ROBINSON WORLDWIDE,	7 0000	12/30/10	10/25/11		525 41	563 01	(37 60)	
C H ROBINSON WORLDWIDE,	17 0000	01/06/11	10/25/11		1,276 00	1,355 76	(79 76)	
E M C CORPORATION MASS	20 0000	05/17/11	10/25/11		479 34	547 44	(68 10)	
E M C CORPORATION MASS	53 0000	05/17/11	10/25/11		1,270 25	1,449 45	(179 20)	
E M C CORPORATION MASS	31 0000	05/18/11	10/25/11		742 98	861 97	(118 99)	

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ATTACHMENT C & D

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
E M C CORPORATION MASS	30 0000	05/19/11	10/25/11		719 01	838 42	(119 41)	
E M C CORPORATION MASS	28 0000	05/20/11	10/25/11		671 08	782 41	(111 33)	
E M C CORPORATION MASS	34 0000	05/23/11	10/25/11		814 88	929 83	(114 95)	
E M C CORPORATION MASS	31 0000	05/23/11	10/25/11		742 98	850 06	(107 08)	
E M C CORPORATION MASS	31 0000	05/24/11	10/25/11		742 98	846 57	(103 59)	
E M C CORPORATION MASS	31 0000	05/24/11	10/25/11		742 98	849 52	(106 54)	
E M C CORPORATION MASS	28 0000	05/25/11	10/25/11		671 08	775 67	(104 59)	
E M C CORPORATION MASS	28 0000	05/26/11	10/25/11		671 08	779 67	(108 59)	
E M C CORPORATION MASS	54 0000	05/27/11	10/25/11		1,294 24	1,535 67	(241 43)	
E M C CORPORATION MASS	15 0000	05/31/11	10/25/11		359 52	422 85	(63 33)	
EXPRESS SCRIPTS INC COM	90 0000	07/25/11	10/25/11		3,482 35	5,135 77	(1,653 42)	
FANUC LTD-UNSP	24 0000	12/08/10	10/25/11		636 47	596 90	39 57	
HALLIBURTON COMPANY	41 0000	04/20/11	10/25/11		1,449 63	2,041 01	(591 38)	
HALLIBURTON COMPANY	22 0000	04/20/11	10/25/11		777 85	1,092 24	(314 39)	
HALLIBURTON COMPANY	62 0000	04/21/11	10/25/11		2,192 12	3,051 05	(858 93)	
HALLIBURTON COMPANY	29 0000	04/25/11	10/25/11		1,025 35	1,465 69	(440 34)	
HALLIBURTON COMPANY	3 0000	04/26/11	10/25/11		106 08	151 94	(45 86)	
IRON MOUNTAIN INC NEW	19 0000	06/30/11	10/25/11		619 09	642 91	(23 82)	
IRON MOUNTAIN INC NEW	68 0000	07/05/11	10/25/11		2,215 70	2,354 80	(139 10)	
IRON MOUNTAIN INC NEW	24 0000	07/06/11	10/25/11		782 01	832 17	(50 16)	
IRON MOUNTAIN INC NEW	22 0000	07/08/11	10/25/11		716 84	774 90	(58 06)	
IRON MOUNTAIN INC NEW	16 0000	07/11/11	10/25/11		521 35	564 77	(43 42)	
♦ IVANHOE MINES LTD	23 0000	06/10/11	10/25/11		406 14	641 43	(235 29)	
♦ IVANHOE MINES LTD	2 0000	06/10/11	10/25/11		35 31	53 31	(18 00)	
♦ IVANHOE MINES LTD	18 0000	06/14/11	10/25/11		317 85	499 55	(181 70)	
♦ IVANHOE MINES LTD	24 0000	06/14/11	10/25/11		423 80	675 51	(251 71)	
♦ IVANHOE MINES LTD	6 0000	06/14/11	10/25/11		105 95	166 97	(61 02)	
♦ IVANHOE MINES LTD	24 0000	06/15/11	10/25/11		423 81	550 29	(126 48)	
♦ IVANHOE MINES LTD	17 0000	06/15/11	10/25/11		300 19	469 56	(169 37)	
♦ IVANHOE MINES LTD	5 0000	06/15/11	10/25/11		88 29	134 74	(46 45)	

ATTACHMENT C & D

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
IVANHOE MINES LTD	74 0000	06/17/11	10/25/11		1,306 75	1,671 69	(364 94)	
IVANHOE MINES LTD	47 0000	06/20/11	10/25/11		829 97	1,065 25	(235 28)	
IVANHOE MINES LTD	42 0000	06/23/11	10/25/11		741 67	937 70	(196 03)	
IVANHOE MINES LTD	16 0000	06/27/11	10/25/11		282 55	363 88	(81 33)	
♦ MGM RESORTS INTERNATIONAL	58 0000	07/12/11	10/25/11		626 91	842 19	N/C	
MGM RESORTS INTERNATIONAL	9 0000	07/12/11	10/25/11		97 28	130 69	(33 41)	
♦ MGM RESORTS INTERNATIONAL	78 0000	07/12/11	10/25/11		843 08	1,531 93	(688 85)	
♦ MGM RESORTS INTERNATIONAL	11 0000	07/12/11	10/25/11		118 89	215 07	(96 18)	
MGM RESORTS INTERNATIONAL	75 0000	07/13/11	10/25/11		810 66	1,115 23	(304 57)	
MGM RESORTS INTERNATIONAL	79 0000	07/15/11	10/25/11		853 90	1,164 70	(310 80)	
MGM RESORTS INTERNATIONAL	25 0000	07/18/11	10/25/11		270 23	366 03	(95 80)	
MARRIOTT INTL INC NEW A	187 0000	07/14/11	10/25/11		5,852 99	6,445 72	(592 73)	
MEDCO HEALTH SOLUTIONS I	1 0000	11/24/10	10/25/11		47 35	61 21	(13 86)	
MEDCO HEALTH SOLUTIONS I	36 0000	11/29/10	10/25/11		1,704 74	2,170 74	(466 00)	
MEDCO HEALTH SOLUTIONS I	16 0000	11/30/10	10/25/11		757 66	974 72	(217 06)	
MEDCO HEALTH SOLUTIONS I	24 0000	12/01/10	10/25/11		1,136 50	1,500 58	(364 08)	
MEDCO HEALTH SOLUTIONS I	10 0000	12/03/10	10/25/11		473 54	631 68	(158 14)	
MEDCO HEALTH SOLUTIONS I	35 0000	12/08/10	10/25/11		1,657 40	2,229 14	(571 74)	
MEDCO HEALTH SOLUTIONS I	13 0000	03/24/11	10/25/11		615 60	680 89	(65 29)	
MEDCO HEALTH SOLUTIONS I	6 0000	03/25/11	10/25/11		284 12	322 61	(38 49)	
MEDCO HEALTH SOLUTIONS I	20 0000	03/29/11	10/25/11		947 08	1,103 99	(156 91)	
MEDCO HEALTH SOLUTIONS I	37 0000	03/31/11	10/25/11		1,752 12	2,059 87	(307 75)	
MEDCO HEALTH SOLUTIONS I	15 0000	04/04/11	10/25/11		710 32	853 57	(143 25)	
MICROSOFT CORP	50 0000	01/07/11	10/25/11		1,348 24	1,417 57	(69 33)	
MICROSOFT CORP	145 0000	01/10/11	10/25/11		3,909 91	4,072 89	(162 98)	
MICROSOFT CORP	85 0000	01/11/11	10/25/11		2,292 01	2,394 98	(102 97)	
MICROSOFT CORP	72 0000	01/12/11	10/25/11		1,941 48	2,044 18	(102 70)	
NIKE INC CL B	3 0000	02/03/11	10/25/11		282 14	251 08	31 06	
NIKE INC CL B	7 0000	02/03/11	10/25/11		658 33	586 41	71 92	
NIKE INC CL B	28 0000	02/17/11	10/25/11		2,633 35	2,386 43	246 92	

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ATTACHMENT C & D

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date		Date				This Statement	Year to Date
NIKE INC CL B	4 0000	02/18/11		10/25/11		376 20	352 28	23 92	
VERTEX PHARMCTLS INC	11 0000	10/28/10		10/25/11		480 30	421 70	58 60	
VERTEX PHARMCTLS INC	3 0000	11/01/10		10/25/11		131 00	114 27	16 73	
Subtotal (Short-Term)						③ 77,235.86	④ 90,091.94	(14,604 65)	(24,017 52)
TOTAL						242,014.18	198,820.50	43,408.96	103,424.22

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

◆ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has been adjusted by the deferred loss amount for this transaction.

ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

Description			Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *
								This Statement
								Year to Date
ACE LIMITED	CXL	*	10 0000	06/05/09	12/16/10	610 60	454 34	(156 26)
ACE LIMITED		*	10 0000	06/05/09	12/16/10	610 60	457 64	152 96
ACE LIMITED	CXL	*	13 0000	06/23/09	12/20/10	786 84	553 10	(233 74)
ACE LIMITED		*	13 0000	06/23/09	12/20/10	786 84	557 39	229 45
ACE LIMITED	CXL	*	5 0000	06/24/09	12/21/10	304 62	216 00	(88 62)
ACE LIMITED		*	5 0000	06/24/09	12/21/10	304 62	217 65	86 97
ACE LIMITED	CXL	*	9 0000	06/24/09	12/20/10	544 75	385 84	(158 91)
ACE LIMITED		*	9 0000	06/24/09	12/20/10	544 75	388 81	155 94
ACE LIMITED	CXL	*	9 0000	12/02/09	12/21/10	548 32	453 40	(94 92)
ACE LIMITED		*	9 0000	12/02/09	12/21/10	548 32	456 37	91 95
Subtotal (Long-Term)						① 2,795.13	② 2,077.86	127,441 74
BAKER HUGHES INC			10 0000	03/22/11	12/07/11	506 31	709 94	(203 63)
BAKER HUGHES INC			8 0000	03/23/11	12/07/11	405 05	565 54	(160 49)
BAKER HUGHES INC			12 0000	03/23/11	12/07/11	611 75	848 32	(236 57)
BAKER HUGHES INC			6 0000	03/23/11	12/08/11	300 96	424 16	(123 20)
BAKER HUGHES INC			7 0000	03/23/11	12/08/11	351 14	493 20	(142 06)
HALLIBURTON COMPANY			14 0000	04/26/11	12/07/11	469 10	709 05	(239 95)
HALLIBURTON COMPANY			13 0000	04/26/11	12/07/11	435 10	658 41	(223 31)
HALLIBURTON COMPANY			10 0000	04/26/11	12/08/11	336 70	506 47	(169 77)
HALLIBURTON COMPANY			23 0000	04/27/11	12/08/11	774 43	1,141 72	(367 29)
HALLIBURTON COMPANY			28 0000	04/27/11	12/09/11	939 63	1,389 91	(450 28)
MICROSOFT CORP			7 0000	01/12/11	12/21/11	179 33	198 74	(19 41)
MICROSOFT CORP			15 0000	01/13/11	12/21/11	384 28	422 04	(37 76)
Subtotal (Short-Term)						③ 5,693.78	④ 8,067.50	(2,373 72)
TOTAL						8,488.91	10,145.36	(2,373.72)
								101,050.50

* - Excludes transactions for which we have insufficient data

CXL - Indicates the cancellation of an error transaction

* - Trades executed in 2010 must be reported in 2010 and have been excluded from the 2011 realized capital gain and loss summary

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2011 tax return. These reportable transactions will appear on your January statement.

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ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
MICROSOFT CORP	33 0000	01/13/11	01/19/12		935 27	928 49	6 78	
SCHWAB CHARLES CORP NEW	32 0000	02/08/10	01/26/12		371 89	575 73	(203 84)	
Subtotal (Long-Term)					① 1,307.16	② 1,504.22	(197 06)	(197 06)
BAKER HUGHES INC	20 0000	03/23/11	01/24/12		940 88	1,409 13	(468 25)	
BAKER HUGHES INC	2 0000	03/23/11	01/24/12		94 72	140 91	(46 19)	
BAKER HUGHES INC	18 0000	03/24/11	01/24/12		852 54	1,286 55	(434 01)	
HALLIBURTON COMPANY	7 0000	04/27/11	01/03/12		239 60	347 48	(107 88)	
HALLIBURTON COMPANY	7 0000	04/27/11	01/03/12		239 61	347 63	(108 02)	
HALLIBURTON COMPANY	29 0000	04/27/11	01/03/12		988 98	1,440 18	(451 20)	
HALLIBURTON COMPANY	14 0000	04/27/11	01/04/12		488 42	695 26	(206 84)	
MARRIOTT VACATIONS	15 0000	07/14/11	01/12/12		274 72	294 18	(19 46)	
MARRIOTT VACATIONS	2 0000	07/14/11	01/13/12		36 02	39 22	(3 20)	
Subtotal (Short-Term)					③ 4,155.49	④ 6,000.54	(1,845 05)	(1,845 05)
TOTAL					5,462.65	7,504.76	(2,042.11)	(2,042.11)

* - Excludes transactions for which we have insufficient data

ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
SCHWAB CHARLES CORP NEW	20 0000	02/08/10	01/27/12		232 89	359 84	(126 95)	
SCHWAB CHARLES CORP NEW	1 0000	02/08/10	01/27/12		11 68	17 99	(6 31)	
SCHWAB CHARLES CORP NEW	21 0000	02/10/10	01/27/12		245 45	376 94	(131 49)	
SCHWAB CHARLES CORP NEW	19 0000	02/10/10	01/27/12		220 21	341 04	(120 83)	
SCHWAB CHARLES CORP NEW	16 0000	02/10/10	01/30/12		185 84	287 20	(101 36)	
SCHWAB CHARLES CORP NEW	8 0000	09/21/10	01/30/12		92 92	111 61	(18 69)	
SCHWAB CHARLES CORP NEW	16 0000	09/21/10	01/30/12		182 46	223 23	(40 77)	
SCHWAB CHARLES CORP NEW	4 0000	09/21/10	01/30/12		46 07	55 81	(9 74)	
SCHWAB CHARLES CORP NEW	16 0000	12/07/10	01/30/12		184 31	259 93	(75 62)	
SCHWAB CHARLES CORP NEW	20 0000	12/07/10	01/31/12		232 46	324 92	(92 46)	
SCHWAB CHARLES CORP NEW	22 0000	12/07/10	02/01/12		260 72	357 40	(96 68)	
SCHWAB CHARLES CORP NEW	10 0000	12/07/10	02/01/12		117 02	162 46	(45 44)	
Subtotal (Long-Term)					① 2,012.03	② 2,878.37	(866 34)	(1,063 40)
SCHWAB CHARLES CORP NEW	13 0000	02/04/11	02/01/12		152 13	235 41	(83 28)	
SCHWAB CHARLES CORP NEW	23 0000	02/04/11	02/01/12		273 02	416 49	(143 47)	
SCHWAB CHARLES CORP NEW	15 0000	02/07/11	02/01/12		178 06	277 32	(99 26)	
SCHWAB CHARLES CORP NEW	17 0000	02/07/11	02/02/12		203 40	314 29	(110 89)	
SCHWAB CHARLES CORP NEW	3 0000	02/08/11	02/02/12		35 90	56 88	(20 98)	
SCHWAB CHARLES CORP NEW	20 0000	02/08/11	02/02/12		241 38	379 20	(137 82)	
SCHWAB CHARLES CORP NEW	18 0000	02/08/11	02/02/12		219 41	341 28	(121 87)	
SCHWAB CHARLES CORP NEW	2 0000	02/11/11	02/02/12		24 38	37 92	(13 54)	
SCHWAB CHARLES CORP NEW	20 0000	02/11/11	02/02/12		242 28	379 23	(136 95)	
SCHWAB CHARLES CORP NEW	16 0000	02/11/11	02/03/12		204 78	303 38	(98 60)	
SCHWAB CHARLES CORP NEW	14 0000	02/11/11	02/03/12		176 54	265 46	(88 92)	
Subtotal (Short-Term)					③ 1,951.28	④ 3,006.86	(1,055 58)	(2,900 63)
TOTAL					3,963.31	5,885.23	(1,921.92)	(3,964.03)

* - Excludes transactions for which we have insufficient data

ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
Subtotal (Long-Term)								(1,063.40)
MEDCO HEALTH SOLUTIONS I	8.0000	04/04/11	04/03/12		230.40	91.02	139.38	
MEDCO HEALTH SOLUTIONS I	19.0000	04/04/11	04/03/12		547.20	219.40	327.80	
MEDCO HEALTH SOLUTIONS I	10.0000	04/26/11	04/03/12		288.00	124.99	163.01	
MEDCO HEALTH SOLUTIONS I	10.0000	04/26/11	04/03/12		288.00	123.89	164.11	
MEDCO HEALTH SOLUTIONS I	17.0000	04/27/11	04/03/12		489.60	227.17	262.43	
MEDCO HEALTH SOLUTIONS I	42.0000	04/28/11	04/03/12		1,209.60	642.28	567.32	
MEDCO HEALTH SOLUTIONS I	29.0000	05/05/11	04/03/12		835.20	481.88	353.32	
MEDCO HEALTH SOLUTIONS I	22.0000	05/05/11	04/03/12		633.60	362.65	270.95	
MEDCO HEALTH SOLUTIONS I	49.0000	05/06/11	04/03/12		1,411.20	847.62	563.58	
MEDCO HEALTH SOLUTIONS I	7.0000	01/24/12	04/03/12		201.60	115.63	85.97	
MEDCO HEALTH SOLUTIONS I	9.0000	01/24/12	04/03/12		259.20	148.36	110.84	
Subtotal (Short-Term)					(3) 6,393.60	(4) 3,384.89	3,008.71	108.08
TOTAL					6,393.60	3,384.89	3,008.71	(955.32)

* - Excludes transactions for which we have insufficient data

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
EBAY INC COM	27 0000	09/22/10		05/24/12		1,063 34	646 69	416 65	
FORD MOTOR CO	22 0000	04/15/10		04/27/12		255 85	298 99	(43 14)	
FORD MOTOR CO	24 0000	04/15/10		05/01/12		270 63	326 17	(55 54)	
FORD MOTOR CO	36 0000	04/15/10		05/03/12		397 20	489 26	(92 06)	

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ATTACHMENT C & D

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
FORD MOTOR CO	12 0000	04/15/10		05/04/12		128 55	163 08	(34 53)	
FORD MOTOR CO	20 0000	04/15/10		05/09/12		209 64	271 81	(62 17)	
MORGAN STANLEY	81 0000	04/13/09		05/21/12		1,079 92	2,134 49	(1,054 57)	
MORGAN STANLEY	23 0000	12/02/09		05/21/12		306 64	712 29	(405 65)	
MORGAN STANLEY	10 0000	09/21/10		05/21/12		① 3,133 33	② 262 94	(129 61)	
Subtotal (Long-Term)						3,845.10	5,305.72	(1,460 62)	(2,524 02)
Subtotal (Short-Term)									108 08
TOTAL						3,845.10	5,305.72	(1,460.62)	(2,415.94)

* - Excludes transactions for which we have insufficient data

ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
EBAY INC COM	13 0000	09/22/10	07/19/12	570 49	311 37	259 12	
EBAY INC COM	29 0000	12/07/10	07/19/12	1,272 64	874 36	398 28	
EBAY INC COM	7 0000	12/07/10	07/20/12	310 24	211 05	99 19	
FORD MOTOR CO	17 0000	04/15/10	07/24/12	153 00	231 04	(78 04)	
FORD MOTOR CO	8 0000	04/16/10	07/24/12	72 00	110 03	(38 03)	
FORD MOTOR CO	26 0000	04/16/10	07/25/12	234 02	357 61	(123 59)	
FORD MOTOR CO	39 0000	04/16/10	07/26/12	351 52	536 41	(184 89)	
FORD MOTOR CO	4 0000	08/18/10	07/26/12	36 06	48 96	(12 90)	
VERTEX PHARMCTLS INC	10 0000	11/01/10	06/28/12	502 34	380 88	121 46	
VERTEX PHARMCTLS INC	6 0000	11/01/10	06/29/12	316 78	228 53	88 25	
VERTEX PHARMCTLS INC	2 0000	11/01/10	06/29/12	105 60	75 58	30 02	
Subtotal (Long-Term)				① 3,924.69	② 3,365.82	558 87	(1,965 15)
Subtotal (Short-Term)							108 08
TOTAL				3,924.69	3,365.82	558.87	(1,857.07)

* - Excludes transactions for which we have insufficient data

ATTACHMENT C & D

REALIZED GAINS/ (LOSSES)

<i>Description</i>	<i>Quantity</i>	<i>Acquired Liquidation</i>		<i>Sale Amount</i>	<i>Cost Basis</i>	<i>Gains/ (Losses) *</i>	
		<i>Date</i>	<i>Date</i>			<i>This Statement</i>	<i>Year to Date</i>
EBAY INC COM	32 0000	12/07/10	08/24/12	1,509 78	964 82	544 96	
EBAY INC COM	17 0000	02/03/11	08/24/12	802 08	545 87	256 21	
EBAY INC COM	7 0000	02/03/11	08/24/12	330 96	224 77	106 19	
EBAY INC COM	24 0000	02/03/11	08/24/12	1,129 54	770 65	358 89	
FORD MOTOR CO	31 0000	08/18/10	07/27/12	278 99	379 43	(100 44)	

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ATTACHMENT C & D

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
FORD MOTOR CO	52 0000	08/19/10		07/27/12		468 00	626 36	(158 36)	
FORD MOTOR CO	3 0000	08/19/10		07/27/12		27 01	36 14	(9 13)	
FORD MOTOR CO	79 0000	12/07/10		07/27/12		711 43	1,324 48	(613 05)	
FORD MOTOR CO	11 0000	06/21/11		07/27/12		99 07	147 41	(48 34)	
FORD MOTOR CO	42 0000	06/21/11		07/30/12		379 36	562 85	(183 49)	
FORD MOTOR CO	128 0000	06/21/11		07/31/12		1,175 98	1,715 36	(539 38)	
Subtotal (Long-Term)						① 6,912.20	② 7,298.14	(385 94)	(2,351 09)
Subtotal (Short-Term)									108 08
TOTAL						6,912.20	7,298.14	(385.94)	(2,243.01)
* - Excludes transactions for which we have insufficient data									
		Long Term				① 185,574.63	② 131,158.69	54,415.94	
		Short Term				③ 95,430.01	④ 110,551.73	(15,121.72)	

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
ADVENT SOFTWARE INC	47 0000	06/23/09	09/22/11		972 28	737 74	234 54	
MATERION	8 0000	04/26/10	09/15/11		210 85	219 24	(8 39)	
MATERION	17 0000	07/26/10	09/15/11		448 08	394 02	54 06	
ENERSYS	39 0000	05/12/09	09/22/11		775 56	731 22	44 34	
MOLINA HEALTHCARE INC	34 0000	06/16/10	09/15/11		531 71	633 24	(101 53)	
MONRO MUFFLER BRAKE INC	27 0000	08/13/10	09/13/11		1,041 64	720 31	321 33	
Subtotal (Long-Term)					① 3,980.12	② 3,435.77	544 35	28,031 47
ANCESTRY COM INC	26 0000	02/23/11	09/15/11		752 63	870 96	(118 33)	
CALIPER LIFE SCIENCES	98 0000	12/29/10	09/08/11		1,020 54	639 52	381 02	
CALIPER LIFE SCIENCES	83 0000	04/11/11	09/08/11		864 35	542 81	321 54	
FORTINET INC	14 0000	02/08/11	09/20/11		258 46	277 34	(18 88)	
FORTINET INC	42 0000	02/28/11	09/20/11		775 39	881 16	(105 77)	
FORTINET INC	18 0000	03/21/11	09/20/11		332 32	390 14	(57 82)	

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
MOLINA HEALTHCARE INC	42 0000	12/20/10		09/15/11		656 83	771 17	(114 34)	
NEWPORT CORP	69 0000	03/18/11		09/15/11		826 72	1,106 31	(279 59)	
OXFORD INDUSTRIES	16 0000	05/04/11		09/23/11		604 48	556 98	47 50	
PRICESMART INC	10 0000	03/29/11		09/27/11		676 22	349 65	326 57	
ULTRATECH INC	27 0000	03/17/11		08/30/11		593 00	641 59	(48 59)	
UNDER ARMOUR INC	11 0000	05/11/11		09/06/11		750 04	747 94	2 10	
Subtotal (Short-Term)						③ 8,110.98	④ 7,775.57	335 41	21,236 10
TOTAL						12,091.10	11,211.34	879.76	49,267.57

* - Excludes transactions for which we have insufficient data

ATTACHMENT E & F

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
ION GEOPHYSICAL CORP	129 0000	11/10/09	09/28/11	690 08	667 46	22 62	
LUFKIN INDS INC	18 0000	03/03/10	10/07/11	856 40	681 89	174 51	
SONOSITE INC WASH	11 0000	04/09/10	10/18/11	358 91	342 10	16 81	
WORTHINGTON INDSTRS OHIO	12 0000	05/06/10	10/06/11	180 30	190 98	(10 68)	
WORTHINGTON INDSTRS OHIO	30 0000	07/14/10	10/06/11	450 75	412 10	38 65	
Subtotal (Long-Term)				① 2,536.44	② 2,294.53	241 91	28,273 38
ADTRAN INC COM	41 0000	10/25/10	10/25/11	1,258 33	1,393 81	(135 48)	
ADTRAN INC COM	10 0000	08/17/11	10/25/11	306 92	298 90	8 02	
ACME PACKET INC	12 0000	01/26/11	10/07/11	478 19	607 44	(129 25)	

ATTACHMENT E & F

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
ARUBA NETWORKS INC	12 0000	02/11/11	09/28/11		267 32	307 46	(40 14)	
ARUBA NETWORKS INC	15 0000	06/06/11	09/28/11		334 16	420 44	(86 28)	
BROADSOFT INC	6 0000	06/14/11	10/21/11		225 65	210 09	15 56	
CEPHEID INC CALIF COM	9 0000	10/26/10	10/07/11		365 83	192 32	173 51	
CALIX NETWORKS INC	77 0000	05/19/11	10/21/11		559 33	1,721 24	(1,161 91)	
CALIX NETWORKS INC	17 0000	07/28/11	10/21/11		123 49	308 53	(185 04)	
DRIL QUIP	10 0000	01/13/11	10/06/11		540 79	771 85	(231 06)	
ENDOLOGIX INC	51 0000	05/06/11	10/19/11		574 30	387 21	187 09	
HITTITE MICROWAVE CORP	9 0000	10/25/10	10/19/11		488 72	467 48	21 24	
HITTITE MICROWAVE CORP	3 0000	11/12/10	10/19/11		162 91	166 07	(3 16)	
ION GEOPHYSICAL CORP	56 0000	08/16/11	09/28/11		299 58	367 76	(68 18)	
MASIMO CORP	26 0000	03/21/11	10/26/11		495 92	818 26	(322 34)	
OPENTABLE INC	7 0000	02/09/11	10/14/11		349 88	607 10	(257 22)	
OPENTABLE INC	20 0000	09/15/11	10/14/11		999 69	1,114 49	(114 80)	
PRICESMART INC	7 0000	03/29/11	10/14/11		507 88	244 75	263 13	
QUESTCOR PHARMACEUTICALS	22 0000	01/11/11	10/21/11		742 86	350 35	392 51	
RUE21 INC	23 0000	07/29/11	10/24/11		624 42	755 32	(130 90)	
SOLARWINDS INC	17 0000	12/10/10	10/07/11		381 42	316 47	64 95	
Subtotal (Short-Term)					③ 10,087.59	④ 11,827.34	(1,739 75)	19,496 35
TOTAL					12,624.03	14,121.87	(1,497.84)	47,769.73

* - Excludes transactions for which we have insufficient data

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT E & F

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
DARLING INTERNATIONL INC	53 0000	12/22/09	11/10/11	698 63	403 99	294 64	
DARLING INTERNATIONL INC	36 0000	12/22/09	11/14/11	487 43	274 41	213 02	
INVESTORS BANCORP INC	104 0000	02/19/10	11/07/11	1,436 77	1,248 88	187 89	
INVESTORS BANCORP INC	11 0000	09/17/10	11/07/11	151 97	121 91	30 06	
INVACARE CORP	14 0000	03/17/10	10/27/11	317 99	407 65	(89 66)	
INVACARE CORP	34 0000	08/11/10	10/27/11	772 29	849 56	(77 27)	
SIRONA DENTAL SYSTEMS	27 0000	02/11/10	11/14/11	1,167 99	943 46	224 53	
TENNECO INC DEL	39 0000	06/16/10	11/10/11	1,192 35	966 07	226 28	
UNITED NAT FOODS INC	17 0000	05/10/10	11/07/11	595 58	520 48	75 10	
UNITED NAT FOODS INC	20 0000	05/10/10	11/08/11	698 14	612 32	85 82	
WOODWARD INC	14 0000	04/01/10	11/17/11	551 82	453 21	98 61	
Subtotal (Long-Term)				① 8,070.96	② 6,801.94	1,269 02	29,542 40
CHEMED CORP	8 0000	04/08/11	11/17/11	411 38	541 17	(129 79)	
CHEMED CORP	16 0000	05/06/11	11/17/11	822 78	1,146 93	(324 15)	
FLOTEK INDS INC	41 0000	07/21/11	11/16/11	381 49	412 24	(30 75)	
HITTITE MICROWAVE CORP	14 0000	11/12/10	11/02/11	724 12	775 01	(50 89)	
INTERACTIVE INTELLIGENCE	41 0000	08/05/11	11/15/11	1,044 49	1,502 12	(457 63)	
MASIMO CORP	26 0000	03/21/11	11/15/11	576 92	818 26	(241 34)	
MASIMO CORP	6 0000	06/15/11	11/15/11	133 14	172 39	(39 25)	
OPNET TECHNOLOGIES INC	12 0000	03/04/11	10/28/11	502 60	423 37	79 23	
OPNET TECHNOLOGIES INC	12 0000	03/04/11	11/14/11	420 59	423 36	(2 77)	
OPNET TECHNOLOGIES INC	7 0000	08/16/11	11/14/11	245 35	236 76	8 59	
PRICESMART INC	10 0000	03/29/11	11/15/11	667 43	349 65	317 78	
RUE21 INC	28 0000	07/29/11	11/04/11	700 12	919 52	(219 40)	
REALPAGE INC	13 0000	12/07/10	11/01/11	325 78	365 85	(40 07)	
SONIC CORP	155 0000	08/04/11	11/22/11	1,073 32	1,619 61	(546 29)	
Subtotal (Short-Term)				③ 8,029.51	④ 9,706.24	(1,676 73)	17,819 62
TOTAL				16,100.47	16,508.18	(407.71)	47,362.02

* - Excludes transactions for which we have insufficient data

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
ADVISORY BOARD CO	5 0000	08/19/10	12/20/11	356 58	214 14	142 44	
ONYX PHARMACEUTICALS	12 0000	06/10/09	11/29/11	535 99	311 76	224 23	
ONYX PHARMACEUTICALS	10 0000	04/14/10	11/29/11	446 67	304 60	142 07	
SONOSITE INC WASH	27 0000	04/09/10	12/15/11	1,445 29	839 69	605 60	
SONOSITE INC WASH	13 0000	07/27/10	12/15/11	695 89	387 74	308 15	
Subtotal (Long-Term)				① 3,480.42	② 2,057.93	1,422 49	30,964 89
ALASKA AIR GROUP INC COM	4 0000	02/17/11	12/20/11	305 41	258 34	47 07	
FLOTEK INDS INC	22 0000	07/21/11	12/06/11	220 23	221 20	(97)	
GLEACHER AND CO INC	179 0000	12/09/10	11/29/11	185 52	503 54	(318 02)	
KODIAK OIL & GAS CORP	56 0000	02/02/11	12/06/11	504 18	360 68	143 50	
KORN/ FERRY INTL PV \$0 10	25 0000	07/26/11	11/28/11	389 56	553 07	(163 51)	
LIQUIDITY SVCS INC	24 0000	07/14/11	12/20/11	914 33	606 38	307 95	
MAGMA DESIGN AUTOMATION	58 0000	01/26/11	12/01/11	412 42	318 58	93 84	
MAGMA DESIGN AUTOMATION	14 0000	01/26/11	12/13/11	100 65	76 90	23 75	
MAGMA DESIGN AUTOMATION	78 0000	03/11/11	12/13/11	560 82	488 95	71 87	
SUCCESSFACTORS INC	54 0000	06/16/11	12/05/11	2,143 21	1,627 60	515 61	
SUCCESSFACTORS INC	14 0000	08/08/11	12/05/11	555 65	326 34	229 31	
SUCCESSFACTORS INC	9 0000	09/14/11	12/05/11	357 21	210 86	146 35	
VERA BRADLEY INC	15 0000	04/14/11	11/30/11	564 79	666 30	(101 51)	
VERA BRADLEY INC	16 0000	04/15/11	11/30/11	602 45	704 64	(102 19)	
VERA BRADLEY INC	11 0000	06/02/11	11/30/11	414 19	449 88	(35 69)	
VERA BRADLEY INC	8 0000	08/17/11	11/30/11	301 23	243 36	57 87	
Subtotal (Short-Term)				③ 8,531.85	④ 7,616.62	915 23	18,734 85
TOTAL				12,012.27	9,674.55	2,337.72	49,699.74

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2011 tax return. These reportable transactions will appear on your January statement.

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
BANK OF THE OZARKS INC	18 0000	08/06/10	01/17/12	549 98	350 19	199 79	
BANK OF THE OZARKS INC	13 0000	08/24/10	01/17/12	397 21	233 29	163 92	
BANK OF THE OZARKS INC	4 0000	08/24/10	01/20/12	119 55	71 78	47 77	
COEUR D'ALENE MINES CORP	21 0000	11/30/10	01/26/12	604 56	500 83	103 73	
KEY ENERGY SVCS INC COM	33 0000	05/07/10	01/24/12	463 97	315 48	148 49	
MADDEN STEVEN LTD	20 0000	11/17/10	01/04/12	712 25	559 23	153 02	
PORTFOLIO RECOVERY ASSOC	7 0000	06/03/10	01/25/12	469 18	481 27	(12 09)	
PORTFOLIO RECOVERY ASSOC	4 0000	11/17/10	01/25/12	268 11	266 28	1 83	
PORTFOLIO RECOVERY ASSOC	5 0000	12/02/10	01/25/12	335 14	329 49	5 65	
QUESTCOR PHARMACEUTICALS	9 0000	01/11/11	01/12/12	316 87	143 32	173 55	
WORLD FUEL SERVICES CRP	13 0000	01/13/09	01/20/12	570 58	215 41	355 17	
WORLD FUEL SERVICES CRP	7 0000	07/08/09	01/20/12	307 24	130 87	176 37	
Subtotal (Long-Term)				① 5,114.64	② 3,597.44	1,517 20	1,517 20
ALASKA AIR GROUP INC COM	9 0000	02/17/11	01/26/12	659 27	581 26	78 01	
B & G FOODS INC NEW	21 0000	04/14/11	01/13/12	496 08	385 75	110 33	
CEVA INC	46 0000	05/19/11	01/20/12	1,294 65	1,372 42	(77 77)	
CIRRUS LOGIC INC DEL	43 0000	10/18/11	01/26/12	953 32	761 35	191 97	
CIRRUS LOGIC INC DEL	13 0000	10/26/11	01/26/12	288 22	210 27	77 95	
KODIAK OIL & GAS CORP	34 0000	02/02/11	01/09/12	339 31	218 99	120 32	
LIQUIDITY SVCS INC	10 0000	07/14/11	01/12/12	378 75	252 66	126 09	
LIQUIDITY SVCS INC	10 0000	08/12/11	01/12/12	378 76	222 89	155 87	
OASIS PETE INC NEW	24 0000	02/02/11	01/25/12	797 12	773 45	23 67	
OASIS PETE INC NEW	23 0000	07/08/11	01/25/12	763 92	699 89	64 03	
PSS WORLD MEDICAL INC	14 0000	07/11/11	01/11/12	319 33	393 31	(73 98)	
PIER 1 IMPORTS INC DEL	23 0000	07/08/11	01/06/12	336 52	276 44	60 08	
QUESTCOR PHARMACEUTICALS	18 0000	02/07/11	01/12/12	633 77	263 75	370 02	
Subtotal (Short-Term)				③ 7,639.02	④ 6,412.43	1,226 59	1,226 59
TOTAL				12,753.66	10,009.87	2,743.79	2,743.79

* - Excludes transactions for which we have insufficient data

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
ADVISORY BOARD CO	23 0000	08/19/10	02/06/12		1,707 14	985 04	722 10	
ARTHROCARE CORP	42 0000	06/14/10	02/06/12		1,356 12	1,287 29	68 83	
ACTUANT CORP CL A	64 0000	03/09/10	02/06/12		1,734 99	1,232 01	502 98	
ACTUANT CORP CL A	13 0000	07/14/10	02/06/12		352 42	243 76	108 66	
ABOVENET INC	7 0000	11/05/10	02/06/12		478 03	426 93	51 10	
ABOVENET INC	9 0000	11/16/10	02/06/12		614 61	542 64	71 97	
ABOVENET INC	9 0000	01/05/11	02/06/12		614 62	549 27	65 35	
ACI WORLDWIDE INC	52 0000	05/20/10	02/06/12		1,849 14	998 01	851 13	
ANALOGIC CORP NEW	28 0000	04/30/10	02/06/12		1,641 95	1,344 07	297 88	
BOTTOMLINE TECH DEL INC	71 0000	10/22/10	02/06/12		1,989 79	1,213 41	776 38	
BANK OF THE OZARKS INC	29 0000	08/24/10	01/31/12		806 86	520 43	286 43	
CUBIST PHARMACEUTICALS	4 0000	01/27/10	02/06/12		165 70	81 02	84 68	
CORPORATE EXEC BOARD CO	30 0000	12/29/10	02/06/12		1,238 35	1,160 39	77 96	
CEPHEID INC CALIF COM	4 0000	10/26/10	02/06/12		174 79	85 48	89 31	
CEPHEID INC CALIF COM	31 0000	11/16/10	02/06/12		1,354 65	631 20	723 45	
COEUR D'ALENE MINES CORP	27 0000	11/30/10	02/06/12		763 91	643 93	119 98	
CYBERONICS INC	32 0000	01/06/11	02/06/12		1,084 29	1,069 87	14 42	
EZCORP INC NON VTG CL A	15 0000	10/28/10	01/30/12		403 07	316 77	86 30	
EZCORP INC NON VTG CL A	24 0000	12/29/10	01/30/12		644 93	662 32	(17 39)	
EZCORP INC NON VTG CL A	17 0000	12/29/10	02/06/12		502 81	469 14	33 67	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
EVERCORE PARTNERS INC	29 0000	10/29/09	02/06/12		840 42	942 11	(101 69)	
EVERCORE PARTNERS INC	9 0000	12/31/09	02/06/12		260 82	269 51	(8 69)	
EVERCORE PARTNERS INC	13 0000	08/09/10	02/06/12		376 74	312 25	64 49	
FINISH LINE INC CL A	37 0000	04/22/09	02/06/12		821 01	284 54	536 47	
KEY ENERGY SVCS INC COM	10 0000	05/07/10	02/06/12		150 31	95 60	54 71	
KEY ENERGY SVCS INC COM	86 0000	07/08/10	02/06/12		1,292 74	799 26	493 48	
MID AMERICA APT CMNTYS	25 0000	04/29/10	02/06/12		1,606 58	1,403 61	202 97	
MONRO MUFFLER BRAKE INC	14 0000	08/13/10	02/06/12		624 29	373 50	250 79	
REALPAGE INC	26 0000	12/07/10	02/06/12		741 81	731 71	10 10	
ROBBINS MYERS INC	8 0000	08/18/10	01/30/12		372 83	193 05	179 78	
ROBBINS MYERS INC	19 0000	08/18/10	02/06/12		977 41	458 49	518 92	
STEINER LEISURE LTD	13 0000	04/16/09	02/06/12		636 20	374 89	261 31	
STEINER LEISURE LTD	20 0000	04/17/09	02/06/12		978 78	585 06	393 72	
SOLARWINDS INC	65 0000	12/10/10	02/06/12		2,266 09	1,210 05	1,056 04	
TITAN INTERNATIONAL INC	57 0000	08/20/10	02/06/12		1,427 06	605 59	821 47	
VALUECLICK INC DEL COM	50 0000	09/02/10	02/06/12		908 42	575 33	333 09	
WORLD FUEL SERVICES CRP	19 0000	07/08/09	02/06/12		886 46	355 21	531 25	
WOODWARD INC	23 0000	04/01/10	02/06/12		1,031 99	744 55	287 44	
WOODWARD INC	16 0000	07/14/10	02/06/12		717 91	453 23	264 68	
Subtotal (Long-Term)					① 36,396.04	② 25,230.52	11,165 52	12,682 72
ASPEN TECHNOLOGY INC DEL	89 0000	10/20/11	02/06/12		1,819 77	1,465 72	354 05	
AZZ INC TEXAS COM	27 0000	07/29/11	02/06/12		1,393 04	1,353 25	39 79	
AZZ INC TEXAS COM	8 0000	08/16/11	02/06/12		412 76	344 71	68 05	
ARTHROCARE CORP	5 0000	08/18/11	02/06/12		161 45	149 72	11 73	
ALASKA AIR GROUP INC COM	12 0000	02/17/11	02/06/12		924 74	775 02	149 72	
AMERIGON INC CL A NEW	53 0000	11/01/11	02/06/12		825 67	786 13	39 54	
AMERIGON INC CL A NEW	48 0000	12/06/11	02/06/12		747 78	783 06	(35 28)	
AUXILIUM PHARMACEUTICALS	43 0000	12/19/11	02/06/12		874 14	819 50	54 64	
AUXILIUM PHARMACEUTICALS	44 0000	01/12/12	02/06/12		894 47	851 56	42 91	
AMSURG CORP TENN COM	67 0000	09/28/11	02/06/12		1,819 67	1,476 17	343 50	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AMSURG CORP TENN COM	15 0000	02/02/12	02/06/12		407 39	393 78	13 61	
ANN INC SHS	62 0000	09/13/11	02/02/12		1,403 59	1,481 56	(77 97)	
ALLEGiant TRAVEL CO	15 0000	12/23/11	02/06/12		823 34	811 50	11 84	
BRIGHTPOINT INC	120 0000	10/11/11	02/06/12		1,203 59	1,267 32	(63 73)	
BRIGHTPOINT INC	38 0000	10/28/11	02/06/12		381 15	388 23	(7 08)	
BRINKS CO	21 0000	04/19/11	02/02/12		543 43	671 29	(127 86)	
BRINKS CO	8 0000	04/19/11	02/06/12		194 05	255 73	(61 68)	
♦ BRINKS CO	21 0000	04/19/11	02/06/12		509 39	675 89	(166 50)	
BRINKS CO	6 0000	05/05/11	02/06/12		145 55	182 75	(37 20)	
BEBE STORES INC	216 0000	11/28/11	02/06/12		1,920 57	1,527 38	393 19	
BJS RESTAURANTS INC	28 0000	02/06/12	02/08/12		1,486 26	1,510 56	(24 30)	
B & G FOODS INC NEW	72 0000	04/14/11	02/06/12		1,629 84	1,322 59	307 25	
BEACON ROOFING SUPPLY	41 0000	11/15/11	02/06/12		972 80	796 14	176 66	
BEACON ROOFING SUPPLY	25 0000	11/16/11	02/06/12		593 17	487 50	105 67	
BEACON ROOFING SUPPLY	16 0000	11/29/11	02/06/12		379 64	301 07	78 57	
BASIC ENERGY SVCS INC	52 0000	07/28/11	02/06/12		963 26	1,698 24	(734 98)	
BASIC ENERGY SVCS INC	40 0000	01/17/12	02/06/12		740 97	755 65	(14 68)	
♦ BROADSOFT INC	13 0000	06/14/11	02/06/12		424 94	455 19	N/C	
BROADSOFT INC	21 0000	06/14/11	02/06/12		686 45	735 32	(48 87)	
BANKRATE INC DEL COM	37 0000	01/31/12	02/06/12		901 19	863 34	37 85	
CBL & ASSOC PPTYS INC	49 0000	02/03/12	02/06/12		904 35	907 48	(3 13)	
CONCEPTUS INC	28 0000	01/27/12	02/06/12		376 09	336 15	39 94	
CONCEPTUS INC	45 0000	01/30/12	02/06/12		604 45	540 99	63 46	
CORPORATE EXEC BOARD CO	13 0000	04/06/11	02/06/12		536 63	543 92	(7 29)	
CEVA INC	12 0000	05/19/11	02/02/12		324 93	358 02	(33 09)	
CARDTRONICS INC	32 0000	09/22/11	02/06/12		810 95	695 89	115 06	
CARDTRONICS INC	18 0000	11/15/11	02/06/12		456 17	476 60	(20 43)	
CROCS INC	53 0000	03/03/11	02/06/12		1,068 65	948 05	120 60	
CROCS INC	53 0000	01/11/12	02/06/12		1,068 66	918 15	150 51	
CVR ENERGY INC	56 0000	10/17/11	02/06/12		1,493 85	1,497 21	(3 36)	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
CVR ENERGY INC	31 0000	12/06/11	02/06/12		826 96	588 68	238 28	
COEUR D'ALENE MINES CORP	16 0000	01/06/12	02/06/12		452 69	413 58	39 11	
CLOUD PEAK ENERGY INC	73 0000	02/06/12	02/21/12		1,330 12	1,443 66	(113 54)	
CHEMTURA CORP	63 0000	01/31/12	02/06/12		913 20	880 05	33 15	
CIRRUS LOGIC INC DEL	40 0000	10/26/11	02/06/12		826 52	647 00	179 52	
CLEAN HARBORS INC	32 0000	08/01/11	02/06/12		2,120 07	1,715 60	404 47	
CYBERONICS INC	24 0000	04/01/11	02/06/12		813 21	751 42	61 79	
CYBERONICS INC	10 0000	06/27/11	02/06/12		338 85	255 37	83 48	
DRIL QUIP	16 0000	06/22/11	02/06/12		1,131 19	1,043 00	88 19	
DEALERTRACK HLDGS INC	42 0000	10/12/11	02/06/12		1,289 61	773 21	516 40	
DIODES INC COM	38 0000	10/20/11	02/06/12		1,014 38	738 35	276 03	
DIODES INC COM	38 0000	10/20/11	02/06/12		1,014 38	745 34	269 04	
EZCORP INC NON VTG CL A	15 0000	10/31/11	02/06/12		443 67	408 05	35 62	
EMCOR GROUP INC	61 0000	01/03/12	02/06/12		1,867 82	1,677 99	189 83	
ENTEGRIS INC MINNESOTA	89 0000	01/18/12	02/06/12		872 51	853 69	18 82	
ENTEGRIS INC MINNESOTA	90 0000	02/02/12	02/06/12		882 32	895 87	(13 55)	
ELIZABETH ARDEN INC COM	32 0000	05/18/11	02/06/12		1,224 79	966 58	258 21	
ELIZABETH ARDEN INC COM	25 0000	05/19/11	02/06/12		956 88	754 99	201 89	
ENDOLOGIX INC	24 0000	05/06/11	01/27/12		316 88	182 21	134 67	
ENDOLOGIX INC	3 0000	05/09/11	01/27/12		39 62	23 03	16 59	
ENDOLOGIX INC	34 0000	05/09/11	02/06/12		451 77	261 04	190 73	
ENDOLOGIX INC	72 0000	07/19/11	02/06/12		956 70	689 13	267 57	
EVERCORE PARTNERS INC	14 0000	08/17/11	02/06/12		405 74	329 14	76 60	
ENERGY XXI LTD NEW COM	25 0000	03/25/11	02/06/12		905 51	840 50	65 01	
ENERGY XXI LTD NEW COM	17 0000	07/06/11	02/06/12		615 75	579 86	35 89	
ENERGY XXI LTD NEW COM	15 0000	10/05/11	02/06/12		543 31	335 31	208 00	
FINISH LINE INC CL A	26 0000	02/08/11	02/06/12		576 92	429 47	147 45	
FINISH LINE INC CL A	22 0000	01/04/12	02/06/12		488 18	425 17	63 01	
FLOTEK INDS INC	25 0000	07/21/11	02/06/12		293 03	251 37	41 66	
FLOTEK INDS INC	57 0000	08/16/11	02/06/12		668 12	417 41	250 71	

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
GRAFTECH INTL LTD	119 0000	02/06/12	02/24/12		1,541 06	2,044 73	(503 67)	
HARMONIC INC \$0 001	71 0000	01/20/12	02/06/12		451 07	401 34	49 73	
HARMONIC INC \$0 001	82 0000	01/23/12	02/06/12		520 96	465 32	55 64	
HAIN CELESTIAL GROUP INC	51 0000	05/17/11	02/06/12		2,126 68	1,682 64	444 04	
HORNBECK OFFSHORE SVCS	26 0000	09/13/11	02/02/12		891 47	720 58	170 89	
HORNBECK OFFSHORE SVCS	1 0000	09/13/11	02/06/12		34 83	27 71	7 12	
HORNBECK OFFSHORE SVCS	25 0000	09/22/11	02/06/12		871 00	673 63	197 37	
HAYNES INTL INC	16 0000	05/27/11	02/06/12		1,040 88	869 91	170 97	
HAYNES INTL INC	16 0000	05/31/11	02/06/12		1,040 88	883 56	157 32	
HELIX ENERGY SOLUTIONS	106 0000	07/07/11	02/06/12		1,913 80	1,825 20	88 60	
HFF INC	12 0000	08/04/11	01/31/12		169 28	159 60	9 68	
HFF INC	44 0000	08/04/11	02/01/12		630 69	585 21	45 48	
HFF INC	2 0000	08/04/11	02/06/12		29 30	26 60	2 70	
HFF INC	33 0000	08/23/11	02/06/12		483 59	361 40	122 19	
HFF INC	19 0000	10/06/11	02/06/12		278 43	172 85	105 58	
HFF INC	26 0000	11/17/11	02/06/12		381 02	309 64	71 38	
HFF INC	20 0000	12/20/11	02/06/12		293 10	214 42	78 68	
JACK HENRY & ASSOC INC	28 0000	06/06/11	02/06/12		986 20	837 48	148 72	
JACK HENRY & ASSOC INC	22 0000	12/06/11	02/06/12		774 88	731 49	43 39	
HEICO CORP NEW COM	20 0000	05/24/11	02/06/12		1,170 65	1,010 38	160 27	
HEICO CORP NEW COM	11 0000	07/21/11	02/06/12		643 87	627 25	16 62	
ICU MEDICAL INC	38 0000	10/19/11	02/06/12		1,783 95	1,498 97	284 98	
IMMUNOGEN INC	68 0000	12/06/11	02/06/12		974 98	828 06	146 92	
INFORMATICA CORP CA	30 0000	02/06/12	02/24/12		1,494 45	1,355 63	138 82	
IMPAX LABS INC	43 0000	02/02/12	02/06/12		949 76	900 79	48 97	
ICONIX BRAND GROUP INC	55 0000	07/27/11	02/06/12		1,102 45	1,336 77	(234 32)	
ICONIX BRAND GROUP INC	17 0000	07/27/11	02/06/12		340 76	408 09	(67 33)	
ICONIX BRAND GROUP INC	22 0000	12/06/11	02/06/12		440 99	384 39	56 60	
IROBOT CORP	27 0000	10/21/11	02/06/12		984 08	780 11	203 97	
IROBOT CORP	21 0000	11/08/11	02/06/12		765 40	716 40	49 00	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
INSULET CORP	42 0000	12/06/11	01/31/12		816 59	800 04	16 55	
INSULET CORP	45 0000	12/06/11	02/06/12		886 21	857 19	29 02	
KODIAK OIL & GAS CORP	32 0000	02/02/11	01/27/12		298 00	206 10	91 90	
KODIAK OIL & GAS CORP	40 0000	07/07/11	01/27/12		372 51	263 04	109 47	
KODIAK OIL & GAS CORP	63 0000	07/07/11	02/06/12		556 51	414 30	142 21	
KODIAK OIL & GAS CORP	32 0000	07/27/11	02/06/12		282 68	214 28	68 40	
KORN/ FERRY INTL PV \$0 10	13 0000	07/26/11	01/31/12		216 00	287 59	(71 59)	
KORN/ FERRY INTL PV \$0 10	41 0000	07/27/11	01/31/12		681 24	885 09	(203 85)	
KORN/ FERRY INTL PV \$0 10	12 0000	08/16/11	01/31/12		199 39	197 90	1 49	
KORN/ FERRY INTL PV \$0 10	22 0000	09/15/11	01/31/12		365 55	296 77	68 78	
KAPSTONE PAPER AND PKG	51 0000	01/31/12	02/06/12		909 80	868 91	40 89	
LSB INDUSTRIES INC	40 0000	09/09/11	02/06/12		1,489 92	1,468 39	21 53	
LSB INDUSTRIES INC	10 0000	10/11/11	02/06/12		372 48	329 65	42 83	
LSB INDUSTRIES INC	11 0000	12/06/11	02/06/12		409 73	327 80	81 93	
LITHIA MOTORS INC CL A	88 0000	07/08/11	02/06/12		2,211 04	1,769 94	441 10	
LIQUIDITY SVCS INC	22 0000	08/12/11	02/06/12		887 69	490 35	397 34	
LIQUIDITY SVCS INC	23 0000	02/03/12	02/06/12		928 05	919 31	8 74	
LATTICE SEMICONDUCTOR CORP	128 0000	01/13/12	02/06/12		885 66	845 12	40 54	
LATTICE SEMICONDUCTOR CORP	131 0000	01/30/12	02/06/12		906 43	883 45	22 98	
MICROSTRATEGY INC CLASS A	1 0000	02/15/11	02/06/12		123 64	117 26	6 38	
MICROSTRATEGY INC CLASS A	9 0000	03/21/11	02/06/12		1,112 82	1,061 61	51 21	
MICROSTRATEGY INC CLASS A	3 0000	09/14/11	02/06/12		370 94	342 03	28 91	
MEDICINES CO DEL COM	40 0000	10/18/11	02/06/12		879 35	742 13	137 22	
MEDICINES CO DEL COM	46 0000	11/04/11	02/06/12		1,011 27	860 10	151 17	
MARKETAXESS HOLDINGS INC	46 0000	08/05/11	02/06/12		1,539 99	1,224 53	315 46	
MENTOR GRAPHICS CORP	60 0000	04/25/11	02/06/12		874 43	851 59	22 84	
MENTOR GRAPHICS CORP	72 0000	08/26/11	02/06/12		1,049 32	801 51	247 81	
MONRO MUFFLER BRAKE INC	17 0000	04/06/11	02/06/12		758 07	579 19	178 88	
NIC INC	66 0000	07/08/11	02/06/12		792 10	901 71	(109 61)	
NIC INC	76 0000	08/03/11	02/06/12		912 13	884 02	28 11	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
O M GROUP INC	38 0000	12/16/11	02/06/12		1,123 28	807 74	315 54	
O M GROUP INC	13 0000	02/02/12	02/06/12		384 29	370 47	13 82	
OYO GEOSPACE CORP	14 0000	02/06/12	02/08/12		1,532 58	1,487 42	45 16	
OYO GEOSPACE CORP	2 0000	02/06/12	02/09/12		214 49	212 49	2 00	
ORASURE TECHS INC COM	81 0000	10/21/11	02/06/12		918 64	739 33	179 31	
ORASURE TECHS INC COM	38 0000	12/01/11	02/06/12		430 97	359 52	71 45	
OMNICELL INC	116 0000	06/14/11	02/06/12		1,917 70	1,647 30	270 40	
OPTIMER PHARMACEUTICALS	75 0000	11/09/11	02/06/12		1,014 25	811 06	203 19	
OXFORD INDUSTRIES	32 0000	05/04/11	02/06/12		1,608 33	1,113 97	494 36	
OXFORD INDUSTRIES	5 0000	06/15/11	02/06/12		251 31	153 51	97 80	
PLANTRONICS INC NEW COM	45 0000	02/06/12	02/08/12		1,683 98	1,718 79	(34 81)	
PLANTRONICS INC NEW COM	5 0000	02/06/12	02/09/12		186 83	190 98	(4 15)	
PSS WORLD MEDICAL INC	50 0000	07/11/11	02/06/12		1,283 60	1,404 69	(121 09)	
PRIVATE BANCORP INC DEL	60 0000	01/26/12	02/06/12		886 15	900 47	(14 32)	
PINNACLE FINL PARTNERS	51 0000	01/25/12	02/06/12		879 80	869 07	10 73	
POLYPORE INTL INC	17 0000	02/06/12	02/08/12		811 62	800 24	11 38	
PILGRIM'S PRIDE CORP-NEW	281 0000	12/28/11	02/06/12		1,513 52	1,603 95	(90 43)	
PIER 1 IMPORTS INC DEL	125 0000	07/08/11	02/06/12		2,117 47	1,502 37	615 10	
QUANTUM CORP-DSSG	478 0000	11/10/11	02/06/12		1,279 91	1,272 39	7 52	
QUANTUM CORP-DSSG	118 0000	11/23/11	02/06/12		315 97	291 68	24 29	
QUIDEL CORP	74 0000	11/16/11	02/06/12		1,090 76	1,272 12	(181 36)	
QUIDEL CORP	21 0000	11/17/11	02/06/12		309 54	353 27	(43 73)	
QUIDEL CORP	13 0000	01/12/12	02/06/12		191 63	202 51	(10 88)	
RUDOLPH TECHNOLOGIES INC	20 0000	02/09/11	02/02/12		206 56	230 72	(24 16)	
RUDOLPH TECHNOLOGIES INC	11 0000	02/09/11	02/06/12		117 06	126 89	(9 83)	
RUDOLPH TECHNOLOGIES INC	40 0000	02/17/11	02/06/12		425 70	466 22	(40 52)	
RUDOLPH TECHNOLOGIES INC	32 0000	05/23/11	02/06/12		340 56	365 47	(24 91)	
RUDOLPH TECHNOLOGIES INC	36 0000	08/18/11	02/06/12		383 14	255 62	127 52	
REALPAGE INC	30 0000	07/14/11	02/06/12		855 95	760 01	95 94	
SINCLAIR BRDCSTING CL A	73 0000	12/13/11	02/06/12		911 82	806 99	104 83	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
SINCLAIR BRDCSTING CL A	47 0000	12/20/11	02/06/12	587 07	508 61	78 46	
SCHOLASTIC CORP	27 0000	01/05/12	02/06/12	849 94	813 09	36 85	
SCHOLASTIC CORP	19 0000	02/03/12	02/06/12	598 11	595 08	3 03	
SVB FINL GROUP	16 0000	01/26/12	02/06/12	928 97	881 83	47 14	
SHORETEL INC	107 0000	05/17/11	02/06/12	583 82	1,174 53	(590 71)	
SHORETEL INC	36 0000	06/17/11	02/06/12	196 42	326 19	(129 77)	
SHORETEL INC	66 0000	08/10/11	02/06/12	360 12	456 90	(96 78)	
SHORETEL INC	31 0000	11/18/11	02/06/12	169 15	203 36	(34 21)	
THORATEC CORP COM NEW	24 0000	02/06/12	02/23/12	838 93	735 13	103 80	
TRIUMPH GROUP INC NEW	13 0000	06/09/11	01/30/12	776 96	600 60	176 36	
TRUEBLUE INC	115 0000	01/04/12	02/06/12	1,927 44	1,660 90	266 54	
VALUECLICK INC DEL COM	47 0000	06/02/11	02/06/12	853 92	842 76	11 16	
ZUMIEZ INC	65 0000	02/08/11	02/06/12	1,905 61	1,643 78	261 83	
ZUMIEZ INC	6 0000	06/15/11	02/06/12	175 91	137 10	38 81	
WEB COM GROUP INC	68 0000	05/04/11	02/06/12	902 53	862 46	40 07	
WEB COM GROUP INC	23 0000	06/17/11	02/06/12	305 27	245 48	59 79	
WEB COM GROUP INC	54 0000	11/17/11	02/06/12	716 73	574 95	141 78	
WOODWARD INC	9 0000	06/15/11	02/06/12	403 83	281 96	121 87	
Subtotal (Short-Term)				③ 144,877.63	④ 132,097.42	12,820 46	14,047 05
TOTAL				181,283.67	157,327.94	23,985.98	26,729.77

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

◆ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has been adjusted by the deferred loss amount for this transaction.

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
RTS PILGRIM PRIDE	281 0000	N/A	03/13/12		00	N/A	N/A	
Subtotal (Long-Term)								12,682 72
ACACIA RESH CORP	1 0000	01/23/12	03/27/12		42 65	42 01	64	
ALLSCRIPTS HEALTHCARE	1 0000	02/06/12	03/27/12		17 49	20 17	(2 68)	
BJS RESTAURANTS INC	1 0000	02/06/12	03/27/12		50 45	53 95	(3 50)	
BIOMARIN PHARMACEUTICALS	1 0000	02/06/12	03/27/12		35 08	38 10	(3 02)	
BALLY TECHNOLOGIES INC	1 0000	02/06/12	03/27/12		47 11	44 71	2 40	
BROADSOFT INC	1 0000	06/14/11	03/27/12		40 72	35 02	5 70	
COLFAX CORP	2 0000	02/08/12	03/27/12		71 75	65 99	5 76	
CATALYST HEALTH SOLUTION	1 0000	02/06/12	03/27/12		63 98	58 25	5 73	
COGNEX CORP	1 0000	02/06/12	03/27/12		43 91	43 71	20	
COMPUWARE CORP	1 0000	02/10/12	03/27/12		9 43	8 72	71	
DTS INC	3 0000	02/06/12	03/27/12		93 03	91 24	1 79	
DUFF AND PHELPS CORP NEW	2 0000	02/06/12	03/27/12		31 80	30 72	1 08	
FTI CONSULTING INC COM	23 0000	02/06/12	03/01/12		906 54	1,014 31	(107 77)	
FRESH MKT INC	2 0000	11/07/11	03/27/12		98 45	83 27	15 18	
GEO GROUP INC	40 0000	02/06/12	02/29/12		706 20	740 21	(34 01)	
GNC HOLDINGS INC SHS	1 0000	02/06/12	03/27/12		34 87	28 51	6 36	
HERBALIFE LTD	1 0000	02/06/12	03/27/12		71 45	58 91	12 54	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
HUNTSMAN CORP	9 0000	02/06/12	03/27/12	133 07	122 85	10 22	
INTREPID POTASH INC	1 0000	02/06/12	03/27/12	24 87	24 98	(11)	
KKR FINL HLDGS LLC	1 0000	02/06/12	03/27/12	9 23	9 12	N/C	
KRATON PERFRMNC POLYMRS	1 0000	02/06/12	03/27/12	27 14	30 19	(3 05)	
LANDSTAR SYS INC COM	1 0000	02/06/12	03/27/12	57 91	52 15	5 76	
LUFKIN INDS INC	1 0000	02/06/12	03/27/12	78 42	77 08	1 34	
MEDIDATA SOLUTIONS INC	2 0000	02/06/12	03/27/12	55 71	41 19	14 52	
ONYX PHARMACEUTICALS	1 0000	02/06/12	03/27/12	38 25	42 89	(4 64)	
PARAXEL INTRNL CORP	1 0000	02/03/12	03/27/12	27 42	24 82	2 60	
PINNACLE ENTMT INC COM	3 0000	02/06/12	03/27/12	32 94	31 00	1 94	
POLYPORE INTL INC	25 0000	02/06/12	03/19/12	886 16	1,176 83	(290 67)	
QLIK TECHNOLOGIES INC	3 0000	01/17/12	03/27/12	97 96	75 29	22 67	
QUAKER CHEMICAL CORP	1 0000	02/06/12	03/27/12	39 78	46 05	(6 27)	
QUALITY SYSTEMS INC	2 0000	02/06/12	03/27/12	87 97	85 49	2 48	
REDWOOD TRUST INC	1 0000	02/06/12	03/27/12	11 35	11 98	(63)	
RTI INTL METALS INC OHIO	1 0000	02/06/12	03/27/12	22 82	27 28	(4 46)	
RIVERBED TECHNOLOGY INC	1 0000	02/06/12	03/27/12	27 91	26 59	1 32	
ROM CORP	44 0000	02/06/12	03/16/12	1,492 91	1,496 65	(3 74)	
SAPIENT CORP	5 0000	02/06/12	03/27/12	63 16	67 92	(4 76)	
SEATTLE GENETICS INC COM	1 0000	02/06/12	03/27/12	20 85	19 89	96	
SHUFFLE MASTER INC	4 0000	02/06/12	03/27/12	71 31	55 93	15 38	
SOTHEY'S (DELAWARE)	1 0000	10/12/11	03/27/12	40 59	33 95	6 64	
TERADYNE INC	1 0000	02/06/12	03/27/12	17 27	16 62	65	
TITANIUM METALS CORP NEW	4 0000	02/06/12	03/27/12	55 37	64 13	(8 76)	
TRIUMPH GROUP INC NEW	1 0000	06/09/11	03/27/12	65 60	46 20	19 40	
TIBCO SOFTWARE INC	1 0000	02/06/12	03/27/12	31 72	27 99	3 73	
TENNECO INC DEL	1 0000	02/06/12	03/27/12	38 03	38 07	(04)	
UNIVERSAL ELECTRONCS INC	1 0000	02/06/12	03/27/12	19 94	20 25	(31)	
VITAMIN SHOPPE INC	3 0000	02/06/12	03/27/12	137 08	131 20	5 88	
Subtotal (Short-Term)				(3) 6,077.65	(4) 6,382.38	(304 84)	13,742 21

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired / Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date			This Statement	Year to Date
Subtotal (Long-Term)							12,682 72
COHERENT INC CAL	14 0000	02/06/ 12	04/ 10/ 12	745 90	805 78	(59 88)	
DTS INC	20 0000	02/06/ 12	04/ 10/ 12	593 07	608 27	(15 20)	
KKR FINL HLDGS LLC	86 0000	02/06/ 12	04/ 24/ 12	782 92	784 24	N/ C	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
SAPIENT CORP	20 0000	02/06/12		04/03/12		246 63	271 68	(25 05)	
SAPIENT CORP	20 0000	02/06/12		04/04/12		243 99	271 68	(27 69)	
SHUFFLE MASTER INC	53 0000	02/06/12		04/03/12		977 99	741 12	236 87	
UNIVERSAL ELECTRONCS INC	17 0000	02/06/12		04/09/12		311 06	344 20	(33 14)	
UNIVERSAL ELECTRONCS INC	7 0000	02/06/12		04/10/12		122 53	141 73	(19 20)	
UNIVERSAL ELECTRONCS INC	7 0000	02/06/12		04/11/12		122 14	141 73	(19 59)	
VIVUS INC DEL PV\$0 001	18 0000	02/27/12		04/05/12		400 48	447 61	(47 13)	
VIVUS INC DEL PV\$0 001	25 0000	03/21/12		04/05/12		556 24	534 62	21 62	
Subtotal (Short-Term)						③ 5,102.95	④ 5,092.66	11 61	13,753 82
TOTAL						5,102.95	5,092.66	11.61	26,436.54

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
Subtotal (Long-Term)									12,682.72
ACME PACKET INC	27.0000	02/10/12		05/11/12		673.09	891.06	(217.97)	
ACME PACKET INC	15.0000	02/14/12		05/11/12		373.94	514.76	(140.82)	
FRESH MKT INC	10.0000	11/07/11		05/01/12		508.35	416.35	92.00	
GNC HOLDINGS INC SHS	32.0000	02/06/12		05/22/12		1,200.96	912.24	288.72	
QUALITY SYSTEMS INC	18.0000	02/06/12		05/15/12		535.41	769.37	(233.96)	
SEATTLE GENETICS INC COM	36.0000	02/06/12		05/08/12		687.36	715.91	(28.55)	
Subtotal (Short-Term)						③ 3,979.11	④ 4,219.69	(240.58)	13,513.24
TOTAL						3,979.11	4,219.69	(240.58)	26,195.96

* - Excludes transactions for which we have insufficient data

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
<i>Subtotal (Long-Term)</i>							12,682.72
BJS RESTAURANTS INC	11 0000	02/06/12	06/01/12	459.00	593.43	(134.43)	
BJS RESTAURANTS INC	10 0000	02/06/12	06/04/12	414.43	539.48	(125.05)	
CENTENE CORP	74 0000	02/06/12	06/11/12	1,913.89	3,376.01	(1,462.12)	
GNC HOLDINGS INC SHS	20 0000	02/06/12	06/19/12	719.98	570.15	149.83	
REDWOOD TRUST INC	58 0000	02/06/12	06/11/12	710.94	694.90	16.04	
REDWOOD TRUST INC	6 0000	02/06/12	06/12/12	72.35	71.89	46	
<i>Subtotal (Short-Term)</i>				③ 4,290.59	④ 5,845.86	(1,555.27)	11,957.97
TOTAL				4,290.59	5,845.86	(1,555.27)	24,640.69

* - Excludes transactions for which we have insufficient data

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
Subtotal (Long-Term)								12,682.72
AN SYS INC COM	9.0000	02/06/12	07/11/12		503.81	573.45	(69.64)	
ARCH COAL INC	76.0000	03/20/12	07/25/12		409.32	918.35	(509.03)	
ALLSCRIPTS HEALTHCARE	76.0000	02/06/12	07/19/12		744.42	1,533.09	(788.67)	
CONCUR TECHNOLOGIES INC	8.0000	02/06/12	07/10/12		509.59	454.06	55.53	
CATALYST HEALTH SOLUTION	33.0000	02/06/12	07/03/12		924.00	00	924.00	
FTI CONSULTING INC COM	51.0000	02/06/12	07/10/12		1,374.09	2,249.12	(875.03)	
INFORMATICA CORP CA	37.0000	02/06/12	07/06/12		1,111.08	1,671.95	(560.87)	
LUFKIN INDS INC	8.0000	02/06/12	07/20/12		464.28	616.60	(152.32)	
LUFKIN INDS INC	15.0000	02/06/12	07/23/12		843.67	1,156.13	(312.46)	
POLYPORE INTL INC	26.0000	02/06/12	07/06/12		993.95	1,223.90	(229.95)	
QUALITY SYSTEMS INC	46.0000	02/06/12	07/19/12		1,107.38	1,966.18	(858.80)	
TIBCO SOFTWARE INC	34.0000	02/06/12	07/09/12		955.19	951.65	3.54	
Subtotal (Short-Term)					③ 9,940.78	④ 13,314.48	(3,373.70)	8,584.27
TOTAL					9,940.78	13,314.48	(3,373.70)	21,266.99

* - Excludes transactions for which we have insufficient data

LEON J. SIMKINS FOUNDATION
EIN # 59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT E & F

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
Subtotal (Long-Term)								12,682 72
ACACIA RESH CORP	19 0000	01/23/12	08/06/12		462 63	798 19	(335 56)	
ACACIA RESH CORP	10 0000	01/30/12	08/06/12		243 49	407 60	(164 11)	
ACACIA RESH CORP	17 0000	02/06/12	08/06/12		413 95	721 09	(307 14)	
BRUKER CORP	16 0000	02/06/12	08/14/12		189 68	241 65	(51 97)	
BRUKER CORP	49 0000	02/06/12	08/15/12		582 84	740 04	(157 20)	

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ATTACHMENT E & F

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
GNC HOLDINGS INC SHS	46 0000	02/06/12		08/15/12		1,696.41	1,311.36	385.05	
HALOZYME THERAPEUTICS	101 0000	04/16/12		08/02/12		429.83	865.30	(435.47)	
HALOZYME THERAPEUTICS	40 0000	07/03/12		08/02/12		170.23	387.29	(217.06)	
TENNECO INC DEL	43 0000	02/06/12		08/06/12		1,214.59	1,636.98	(422.39)	
Subtotal (Short-Term)						(3) 5,403.65	(4) 7,109.50	(1,705.85)	6,878.42
TOTAL						5,403.65	7,109.50	(1,705.85)	19,561.14
* - Excludes transactions for which we have insufficient data			Long Term			(1) 59,578.62	(2) 43,418.13	16,160.49	
			Short Term			(3) 222,081.31	(4) 217,400.19	4,681.12	

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENTS G & H

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
Subtotal (Long-Term)							109,567.47
◆ FRESINIUS MEDICAL CARE	15 0000	04/21/11	09/08/11	1,032.05	1,120.28	(88.23)	
◆ FRESINIUS MEDICAL CARE	9 0000	04/24/11	09/08/11	619.24	664.35	(45.11)	
IMPERIAL TOB GRP SPS ADR	15 0000	05/19/11	09/08/11	989.53	1,079.85	(90.32)	
JUPITER TELECOM CO ADR	16 0000	05/19/11	09/08/11	1,152.66	1,128.80	23.86	
NEWCREST MNG LTD SPN ADR	29 0000	05/19/11	09/08/11	1,224.36	1,195.67	28.69	
RANDGOLD RESOURCES ADR	40 0000	05/19/11	09/08/11	4,467.39	3,097.60	1,369.79	
ROLLS ROYCE GRP SPN ADR	28 0000	05/19/11	09/08/11	1,418.93	1,477.28	(58.35)	
SHIRE PLC-ADR	18 0000	05/19/11	09/08/11	1,728.89	1,677.07	51.82	
GRIFOLS SA	504 0000	05/19/11	09/09/11	10,159.48	9,903.60	255.88	
GRIFOLS SA	5 0000	05/20/11	09/09/11	100.79	96.00	4.79	
SJM HOLDINGS LTD	4001 0000	05/19/11	09/09/11	8,419.30	9,322.33	(903.03)	
SJM HOLDINGS LTD	86 0000	05/20/11	09/09/11	180.97	195.22	(14.25)	
AUTONOMY CORP GBPO 00333	151 0000	05/19/11	09/06/11	6,055.34	4,446.95	1,608.39	
UNI CHARM CO LTD 8113 FN	29 0000	05/19/11	09/08/11	1,342.67	1,189.00	153.67	
Subtotal (Short-Term)				③ 38,891.60	④ 36,594.00	2,297.60	9,536.72
TOTAL				38,891.60	36,594.00	2,297.60	119,104.19

* - Excludes transactions for which we have insufficient data

◆ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has been adjusted by the deferred loss amount for this transaction.

ATTACHMENTS G & H

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired / Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date			This Statement	Year to Date
Subtotal (Long-Term)							109,567.47
◆ BAYER AG SP ADR	16.0000	05/18/11	11/04/11	989.72	1,335.81	(346.09)	
HEINEKEN NV ADR	305.0000	05/19/11	11/04/11	7,143.90	9,394.00	(2,250.10)	
HEINEKEN NV ADR	6.0000	05/20/11	11/04/11	140.54	179.22	(38.68)	
IMPERIAL TOB GRP SPS ADR	20.0000	05/19/11	11/04/11	1,445.17	1,439.80	5.37	
AUTONOMY CORP GBPO 00333	186.0000	05/19/11	11/04/11	7,540.30	5,477.70	2,062.60	
Subtotal (Short-Term)				③ 17,259.63	④ 17,826.53	(566.90)	8,969.82

ATTACHMENTS G & H

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
◆ BAYER AG SP ADR	8 0000	05/18/11		02/10/12		573 06	667 91	(94 85)	
BAYER AG SP ADR	4 0000	05/19/11		02/10/12		286 54	332 20	(45 66)	
KEPPEL LTD SPONS ADR	66 0000	05/19/11		02/10/12		1,124 29	1,228 26	(103 97)	
WPP PLC ADR	17 0000	09/08/11		02/10/12		1,038 61	844 90	193 71	
TULLOW OIL PLC GBP10	40 0000	05/19/11		02/10/12		959 95	876 00	83 95	
BAYERISCHE MOTOREN WERK	12 0000	05/19/11		02/10/12		1,104 16	1,065 00	39 16	
UNI CHARM CO LTD 8113 FN	15 0000	05/19/11		02/10/12		794 98	615 00	179 98	
Subtotal (Short-Term)						③ 5,881.59	④ 5,629.27	252 32	252 32
TOTAL						5,881.59	5,629.27	252.32	252.32

* - Excludes transactions for which we have insufficient data

◆ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has been adjusted by the deferred loss amount for this transaction.

ATTACHMENTS G & H

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
ANGLOGOLD ASHANTI LTD	3 0000	05/19/11	08/10/12		103 64	137 09	(33 45)	
BG GROUP PLC SPON ADR	3 0000	05/19/11	08/10/12		62 15	68 29	(6 14)	
DBS GROUP HLDGS SPN ADR	2 0000	05/19/11	08/10/12		94 05	95 88	(1 83)	
DEUTSCHE BOERSE AG SHS	19 0000	05/19/11	08/10/12		95 75	149 37	(53 62)	
✧ FRESenius MEDICAL CARE	2 0000	04/24/11	08/10/12		142 93	147 64	(4 71)	
HONDA MOTOR ADR NEW	1 0000	05/19/11	08/10/12		32 01	37 85	(5 84)	
IMPERIAL TOB GRP SPS ADR	1 0000	05/19/11	08/10/12		78 95	71 99	6 96	
KT CORP ADR	5 0000	05/19/11	08/10/12		72 79	100 15	(27 36)	
KEPPEL LTD SPONS ADR	8 0000	05/19/11	08/10/12		144 23	148 88	(4 65)	
KYOCERA CORP ADR	2 0000	05/19/11	08/10/12		167 51	206 30	(38 79)	
PALADIN ENERGY LTD-UNSP	4 0000	05/19/11	08/10/12		52 31	136 40	(84 09)	
RANDGOLD RESOURCES ADR	1 0000	05/19/11	08/10/12		99 22	77 44	21 78	
ROLLS ROYCE GRP SPN ADR	2 0000	05/19/11	08/10/12		133 23	102 81	30 42	
SHIRE PLC-ADR	1 0000	05/19/11	08/10/12		92 69	93 17	(48)	

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ATTACHMENTS G & H

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
SYNGENTA AG ADR	2 0000	05/19/11	08/10/12		138 17	133 52	4 65	
SANOFI ADR	4 0000	05/19/11	08/10/12		166 30	156 91	9 39	
SUEZ ENVIRONNMENT SPN AD	11 0000	05/19/11	08/10/12		59 39	119 35	(59 96)	
TOTAL S A SP ADR	1 0000	05/19/11	08/10/12		49 24	57 65	(8 41)	
TOKIO MARINE HOLDINGS	12 0000	05/19/11	08/10/12		285 59	333 12	(47 53)	
ZURICH INSURANCE GROUP	9 0000	05/19/11	08/10/12		209 60	217 22	(7 62)	
ADMIRAL GROUP PLC	10 0000	05/19/11	08/10/12		181 49	280 00	(98 51)	
SCOR SE	8 0000	05/19/11	08/10/12		190 39	241 35	(50 96)	
RESOLUTION LTD SHS	86 0000	05/19/11	08/10/12		283 79	438 60	(154 81)	
CENTAMIN PLC	192 0000	05/19/11	08/13/12		204 76	389 76	(185 00)	
LINTEC CORP 7966FN	6 0000	05/19/11	08/10/12		112 49	162 90	(50 41)	
NIPPON TEL & TEL 9432	5 0000	05/19/11	08/13/12		235 78	235 75	03	
TULLOW OIL PLC GBP10	3 0000	05/19/11	08/10/12		63 59	65 70	(2 11)	
ISRAEL CHEMICALS LTD	4 0000	05/19/11	08/10/12		47 43	66 12	(18 69)	
PRUDENTIAL PLC	2 0000	05/19/11	08/10/12		24 95	24 18	77	
KEYENCE CORP 6861 FN	4 0000	05/19/11	08/10/12		1,023 98	924 76	99 22	
HITACHI METALS 5486	1 0000	05/19/11	08/10/12		11 01	12 75	(1 74)	
BAYERISCHE MOTOREN WERK	1 0000	05/19/11	08/10/12		73 40	88 75	(15 35)	
UNI CHARM CO LTD 8113 FN	1 0000	05/19/11	08/10/12		56 99	41 00	15 99	
Subtotal (Long-Term)					① 4,789.80	② 5,562.65	(772 85)	(772 85)
AMERICA MOML SAB DE CV	3 0000	09/08/11	08/10/12		78 26	73 87	4 39	
BAIDU INC SPON ADR	1 0000	09/06/11	08/10/12		130 97	136 94	(5 97)	
SABMILLER PLC SPON ADR	6 0000	11/04/11	08/10/12		265 55	210 37	55 18	
TEVA PHARMACTOL INDS ADR	2 0000	09/08/11	08/10/12		82 51	79 55	2 96	
WPP PLC ADR	3 0000	09/08/11	08/10/12		202 97	149 10	53 87	
CHUGAI PHARM LTD 4519	11 0000	09/09/11	08/13/12		215 63	190 78	24 85	
Subtotal (Short-Term)					③ 975.89	④ 840.61	135 28	387 60
TOTAL					5,765.69	6,403.26	(637.57)	(385.25)
					① 4,789.80	② 5,562.65	(772.85)	
					③ 63,008.71	④ 60,890.41	2,118.30	

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ATTACHMENT I & J

REALIZED GAINS/(LOSSES)

Description	Quantity	Gains/(Losses) *		Sale Amount	Cost Basis	This Statement	Year to Date
		Acquired Date	Liquidation Date				
ROYAL DUTCH SHELL PLC	159 0000	N/A	09/14/11	00	N/A	N/A	
Subtotal (Long-Term)							67,966 07
CERAGON NETWORKS LTD COM	138 0000	05/19/11	09/08/11	③ 1,361.97	④ 1,467.80	(105.83)	
Subtotal (Short-Term)				③ 1,361.97	④ 1,467.80	(105.83)	15,293.26
TOTAL				1,361.97	1,467.80	(105.83)	83,259.33

* - Excludes transactions for which we have insufficient data

N/A - Results which cannot be calculated because of insufficient data are reflected by an N/A entry in the capital gain or (loss) column and are not included in the realized capital gain and loss summary

ATTACHMENT I & J

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date		Date				This Statement	Year to Date
Subtotal (Long-Term)									67,966.07
ARM HLDGS PLC SPD ADR	98.0000	05/19/11		11/08/11		2,949.19	2,771.36	177.83	
BNP PARIBAS	93.0000	05/20/11		10/27/11		4,536.71	6,971.18	(2,434.47)	
Subtotal (Short-Term)						(3) 7,485.90	(4) 9,742.54	(2,256.64)	13,036.62
TOTAL						7,485.90	9,742.54	(2,256.64)	81,002.69

* - Excludes transactions for which we have insufficient data

ATTACHMENT I & J

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
GRUPO ELEKTRA SA DE CV	20 0000	05/25/ 11	01/19/ 12		1,863.36	870.00	993.36	
GRUPO ELEKTRA SA DE CV	52 0000	05/25/ 11	01/19/ 12		4,818.89	2,262.00	2,556.89	
Subtotal (Short-Term)					③ 6,682.25	④ 3,132.00	3,550.25	3,550.25
TOTAL					6,682.25	3,132.00	3,550.25	3,550.25

* - Excludes transactions for which we have insufficient data

ATTACHMENT I & J

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
SCHOELLER BLECKMAN	13 0000	05/20/11	04/20/12		1,126 10	1,183 41	(57 31)	
SCHOELLER BLECKMAN	16 0000	05/20/11	04/23/12		1,342 10	1,456 51	(114 41)	
SCHOELLER BLECKMAN	10 0000	05/20/11	04/24/12		841 57	910 32	(68 75)	
Subtotal (Short-Term)					③ 3,309.77	④ 3,550.24	(240 47)	3,309 78
TOTAL					3,309.77	3,550.24	(240.47)	3,309.78

* - Excludes transactions for which we have insufficient data

ATTACHMENT I & J

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired / Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date			This Statement	Year to Date
ROYAL DUTCH SHELL	159 0000	N/ A	06/26/ 12	00	N/ A	N/ A	
MAPFRE SA	1931 0000	05/ 19/ 11	06/ 07/ 12	3,881.89	7,588.83	(3,706.94)	
Subtotal (Long-Term)				① 3,881.89	② 7,588.83	(3,706.94)	(3,706.94)
Subtotal (Short-Term)							3,309.78
TOTAL				3,881.89	7,588.83	(3,706.94)	(397.16)

* - Excludes transactions for which we have insufficient data

N/ A - Results which cannot be calculated because of insufficient data are reflected by an N/ A entry in the capital gain or (loss) column and are not included in the realized capital gain and loss summary

ATTACHMENT I & J

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
ARM HLDGS PLC SPD ADR	27 0000	05/ 19/ 11	08/ 10/ 12		729 52	763 54	(34 02)	
ADVANCED SEMICONDR SPNADR	65 0000	05/ 19/ 11	08/ 10/ 12		267 79	343 39	(75 60)	
ROYAL DUTCH SHELL	159 0000	N/ A	08/ 28/ 12		00	N/ A	N/ A	
BANCOLOMBIA S A SPDS ADR	4 0000	05/ 19/ 11	08/ 10/ 12		231 59	258 96	(27 37)	
BANCO BRADESCO S A ADR	12 0000	05/ 19/ 11	08/ 10/ 12		201 95	228 81	(26 86)	
✧ BHP BILLITON LTD ADR	4 0000	03/ 03/ 11	08/ 10/ 12		278 95	377 96	(99 01)	

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ATTACHMENT I & J

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
CREDIT SUISSE GP SP ADR	16 0000	05/19/11	08/10/12		280 47	660 64	(380 17)	
CARNIVAL CORP PAIRED SHS	8 0000	05/19/11	08/10/12		271 70	320 69	(48 99)	
CERAGON NETWORKS LTD COM	1 0000	05/19/11	08/10/12		7 49	10 64	(3 15)	
EVEREST RE GROUP LTD	2 0000	05/19/11	08/10/12		206 85	180 52	26 33	
ISHARES MSCI UNITED	8 0000	05/19/11	08/10/12		137 27	146 30	(9 03)	
ISHARES MSCI EAFE INDEX	3 0000	05/19/11	08/10/12		155 24	183 93	(28 69)	
HDFC BANK LTD ADR	13 0000	05/19/11	08/10/12		461 36	409 16	52 20	
ICON PLC SPONSORED ADR	6 0000	05/19/11	08/10/12		141 41	146 76	(5 35)	
ICICI BANK LTD SPD ADR	2 0000	05/19/11	08/10/12		69 73	92 37	(22 64)	
ITAU UNIBANCO BANCO HOLD	12 0000	05/19/11	08/10/12		203 27	263 85	(60 58)	
MINDRAY MED INTL SPN ADR	6 0000	05/19/11	08/10/12		215 69	180 46	35 23	
NOVARTIS ADR	2 0000	05/19/11	08/10/12		117 18	123 45	(6 27)	
PETROLEO BRAS VTG SPD ADR	5 0000	05/19/11	08/10/12		109 69	169 05	(59 36)	
POSCO SPN ADR	2 0000	05/19/11	08/10/12		169 79	210 36	(40 57)	
RIO TINTO PLC SPNSRD ADR	8 0000	05/19/11	08/10/12		404 39	539 16	(134 77)	
ROGERS COMMUNICATIONS B	2 0000	05/19/11	08/10/12		81 19	76 51	4 68	
STATOIL ASA SHS	6 0000	05/19/11	08/10/12		151 19	156 94	(5 75)	
TEVA PHARMACTOL INDS ADR	3 0000	05/19/11	08/10/12		123 77	150 10	(26 33)	
INDUSTRIAL AND COML BK H	161 0000	05/19/11	08/10/12		91 76	134 43	(42 67)	
WEICHAI PWR CO LTD	91 0000	05/19/11	08/10/12		254 79	481 54	(226 75)	
ROY DUT SHELL PLC A AMST	2 0000	05/19/11	08/13/12		70 99	70 50	49	
CHINA MERCHTS BK CO H SH	244 0000	05/19/11	08/10/12		441 63	614 88	(173 25)	
ORIENT OVERSEAS (INTERNA	31 0000	05/19/11	08/10/12		184 44	243 35	(58 91)	
SHIRE PLC	17 0000	05/20/11	08/13/12		525 81	537 12	(11 31)	
ANHUI CONCH CEMENT CO'H	39 0000	05/19/11	08/10/12		103 73	161 85	(58 12)	
DNB NOR ASA	2 0000	05/19/11	08/10/12		21 89	29 70	(7 81)	
SCHOELLER BLECKMAN	2 0000	05/20/11	08/13/12		170 78	182 06	(11 28)	
KONINKLIJKE KPN NV FN	2 0000	05/20/11	08/13/12		16 47	29 61	(13 14)	
GETINGE AB	15 0000	05/19/11	08/10/12		431 24	416 25	14 99	
CHINA OILFIELD SRVS HSHS	126 0000	05/19/11	08/10/12		194 03	245 70	(51 67)	

ATTACHMENT I & J

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
SOCIETE GENERALE A SH	1 0000	05/19/11		08/10/12		24 49	60 70	(36 21)	
ERSTE GROUP BANK AG	4 0000	05/19/11		08/10/12		73 19	194 40	(121 21)	
DIAGEO	9 0000	05/20/11		08/13/12		240 74	189 87	50 87	
HITACHI CORP 6501	25 0000	05/19/11		08/10/12		142 74	142 50	24	
KUBOTA LTD 6326	19 0000	05/19/11		08/10/12		180 49	171 00	9 49	
HSBC HOLDINGS PLC	11 0000	05/20/11		08/13/12		97 48	115 37	(17 89)	
DEUTSCHE BK REGD NPV	1 0000	05/20/11		08/13/12		31 19	60 44	(29 25)	
ROCHE HOLDINGS GENUSH FN	1 0000	05/20/11		08/13/12		178 59	171 69	6 90	
NIPPON STEEL CORP	12 0000	05/19/11		08/10/12		24 95	37 80	(12 85)	
DENSO CORP 6902	6 0000	05/19/11		08/10/12		198 11	207 90	(9 79)	
SECOM CO LTD 9735	4 0000	05/19/11		08/10/12		189 99	192 00	(2 01)	
UNITED INDUSTRIAL CORP	93 0000	05/19/11		08/13/12		190 89	232 50	(41 61)	
Subtotal (Long-Term)						① 9,097.89	② 10,916.71	(1,818.82)	(5,525.76)
BANCO DO BRASIL SA-SPON	27 0000	05/10/12		08/10/12		327 50	319 84	7 66	
AMADEUS IT HLDG SA SHS	10 0000	08/08/12		08/13/12		203 39	203 47	(08)	
Subtotal (Short-Term)						③ 530.89	④ 523.31	7.58	3,317.36
TOTAL						9,628.78	11,440.02	(1,811.24)	(2,208.40)

* - Excludes transactions for which we have insufficient data

N/A - Results which cannot be calculated because of insufficient data are reflected by an N/A entry in the capital gain or (loss) column and are not included in the realized capital gain and loss summary

✦ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring:

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

Long Term	① 12,979.78	② 18,505.54	(5,525.76)
Short Term	③ 19,370.78	④ 18,415.89	954.89

ATTACHMENT K & L

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
ALEXION PHARMS INC	48 0000	05/19/11	09/08/11	2,828.80	2,281.67	547.13	
AUTONATION INC	62 0000	05/19/11	09/27/11	2,167.12	2,095.39	71.73	
HUMAN GENOME SCIENCES INC	78 0000	05/19/11	09/20/11	989.37	2,178.38	(1,189.01)	
INTRPUBLIC GRP OF CO	278 0000	05/19/11	09/20/11	2,171.75	3,290.55	(1,118.80)	
NALCO HLDG CO	61 0000	05/19/11	09/09/11	2,188.73	1,779.65	409.08	
QUEST SOFTWARE INC COM	140 0000	05/19/11	09/16/11	2,390.03	3,209.70	(819.67)	
STIFEL FINANCIAL CORP	23 0000	05/19/11	09/15/11	671.82	928.24	(256.42)	
STIFEL FINANCIAL CORP	20 0000	05/19/11	09/16/11	580.80	807.17	(226.37)	
UNITED THERAPEUTICS CORP	29 0000	05/19/11	09/20/11	1,247.70	1,887.61	(639.91)	
Subtotal (Short-Term)				③ 15,236.12	④ 18,458.36	(3,222.24)	(24,447.78)
TOTAL				15,236.12	18,458.36	(3,222.24)	(24,447.78)

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date		Date				This Statement	Year to Date
IMPAX LABS INC	37 0000	05/19/11		11/15/11		628 52	1,006 77	(378 25)	
IMPAX LABS INC	32 0000	05/19/11		11/16/11		538 44	870 72	(332 28)	
JETBLUE AIRWAYS CORP DEL	245 0000	05/19/11		11/15/11		974 42	1,541 56	(567 14)	
Subtotal (Short-Term)						③ 2,141.38	④ 3,419.05	(1,277 67)	(25,723 65)
TOTAL						2,141.38	3,419.05	(1,277.67)	(25,723.65)

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
EXELIXIS INC COM	109 0000	05/ 19/ 11		12/ 06/ 11		455 62	1,245 87	(790 25)	
FLOWSERVE CORP	20 0000	05/ 19/ 11		12/ 16/ 11		1,961 25	2,429 20	(467 95)	
FINISAR CORPORATION	75 0000	05/ 19/ 11		11/ 29/ 11		1,289 93	1,811 73	(521 80)	
INTREPID POTASH INC	51 0000	09/ 19/ 11		12/ 14/ 11		1,093 57	1,727 41	(633 84)	
SEQUENOM INC	147 0000	05/ 19/ 11		12/ 06/ 11		591 81	1,169 59	(577 78)	
WATSON PHARMACEUTICALS	59 0000	05/ 19/ 11		12/ 08/ 11		3,617 98	3,669 47	(51 49)	
Subtotal (Short-Term)						③ 9,010.16	④ 12,053.27	(3,043 11)	(28,766 76)
TOTAL						9,010.16	12,053.27	(3,043.11)	(28,766.76)

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2011 tax return. These reportable transactions will appear on your January statement.

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
DECKERS OUTDOORS CORP	29 0000	05/19/11		01/12/12		2,531.16	2,625.63	(94.47)	
HOME INNS & HOTELS MGMT	22 0000	05/19/11		01/09/12		569.65	866.37	(296.72)	
HOME INNS & HOTELS MGMT	29 0000	05/19/11		01/10/12		776.70	1,142.04	(365.34)	
TRACTOR SUPPLY CO	45 0000	05/19/11		01/19/12		3,626.86	2,712.74	914.12	
ULTA SALON COSMETICS &	19 0000	05/19/11		01/12/12		1,348.13	1,016.55	331.58	
UNDER ARMOUR INC	35 0000	05/19/11		01/17/12		2,669.54	2,343.36	326.18	
Subtotal (Short-Term)						(3) 11,522.04	(4) 10,706.69	815.35	815.35
TOTAL						11,522.04	10,706.69	815.35	815.35

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
METTLER-TOLEDO INTL INC	17 0000	05/19/11	02/03/12	3,110.89	2,903.77	207.12	
Subtotal (Short-Term)				③ 3,110.89	④ 2,903.77	207.12	1,022.47
TOTAL				3,110.89	2,903.77	207.12	1,022.47

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
CAREFUSION CORP SHS	87 0000	05/ 19/ 11		03/ 21/ 12		2,197 83	2,526 13	(328 30)	
F5 NETWORKS INC COM	41 0000	05/ 19/ 11		03/ 08/ 12		5,147 11	4,438 43	708 68	
RIVERBED TECHNOLOGY INC	70 0000	05/ 19/ 11		03/ 21/ 12		1,903 83	2,677 25	(773 42)	
RIVERBED TECHNOLOGY INC	33 0000	09/ 15/ 11		03/ 21/ 12		897 52	818 63	78 89	
WALTER ENERGY INC	25 0000	06/ 24/ 11		03/ 07/ 12		③ 1,445 62	④ 2,830 61	(1,384 99)	
Subtotal (Short-Term)						③ 11,591.91	④ 13,291.05	(1,699 14)	(676 67)
TOTAL						11,591.91	13,291.05	(1,699.14)	(676.67)

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
GEN-PROBE INC DELAWARE	46 0000	05/19/11		04/30/12		3,755.37	3,873.20	(117.83)	
HERBALIFE LTD	42 0000	06/08/11		05/04/12		1,981.39	2,213.40	(232.01)	
NU SKIN ENTERPRS A \$ 001	46 0000	05/19/11		05/03/12		2,085.53	1,736.50	349.03	
Subtotal (Short-Term)						(3) 7,822.29	(4) 7,823.10	(.81)	(677.48)
TOTAL						7,822.29	7,823.10	(.81)	(677.48)

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
DEVRY INC DEL	54 0000	05/19/11	06/13/12		1,441.46	2,819.34	(1,377.88)	
HARMAN INTL INDS INC NEW	57 0000	05/19/11	06/15/12		2,106.20	2,758.80	(652.60)	
INFORMATICA CORP CA	43 0000	05/19/11	06/15/12		1,820.91	2,365.43	(544.52)	
RYDER SYSTEM INC	56 0000	05/19/11	06/13/12		2,304.17	3,097.29	(793.12)	
SILGAN HLDGS INC COM	25 0000	05/19/11	06/22/12		1,091.02	1,131.23	(40.21)	
SILGAN HLDGS INC COM	5 0000	05/19/11	06/25/12		213.26	226.25	(12.99)	
Subtotal (Long-Term)					① 8,977.02	② 12,398.34	(3,421.32)	(3,421.32)
CYPRESS SEMICONDTR PV1 CTS	156 0000	10/03/11	06/13/12		1,994.86	2,236.35	(241.49)	
HARMAN INTL INDS INC NEW	34 0000	12/06/11	06/15/12		1,256.33	1,386.99	(130.66)	
Subtotal (Short-Term)					③ 3,251.19	④ 3,623.34	(372.15)	(1,049.63)
TOTAL					12,228.21	16,021.68	(3,793.47)	(4,470.95)

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
AFFILIATED MANAGERS GRP	14 0000	05/19/11		07/17/12		1,533.37	1,462.93	70.44	
FACTSET RESH SYS INC	17 0000	05/19/11		07/17/12		1,559.65	1,837.36	(277.71)	
HERBALIFE LTD	15 0000	06/08/11		07/17/12		742.92	790.50	(47.58)	
NU SKIN ENTERPRS A \$ 001	45 0000	05/19/11		07/17/12		2,103.93	1,698.75	405.18	
SIRONA DENTAL SYSTEMS	52 0000	05/19/11		07/17/12		2,319.14	2,821.14	(502.00)	
SENSATA TECH HLDNG BV,	60 0000	05/19/11		07/17/12		1,595.62	2,142.16	(546.54)	
TRIPADVISOR INC SHS	64 0000	05/19/11		07/17/12		2,918.25	1,854.42	1,063.83	
UNITED RENTALS INC COM	75 0000	05/19/11		07/17/12		2,568.95	1,924.50	644.45	
VERIFONE SYSTEMS INC	66 0000	05/19/11		07/17/12		2,347.16	3,005.64	(658.48)	
Subtotal (Long-Term)						① 17,688.99	② 17,537.40	151.59	(3,269.73)
HERBALIFE LTD	28 0000	07/18/11		07/17/12		1,386.80	1,596.75	(209.95)	
VERIFONE SYSTEMS INC	27 0000	09/15/11		07/17/12		960.21	986.89	(26.68)	
Subtotal (Short-Term)						③ 2,347.01	④ 2,583.64	(236.63)	(1,286.26)
TOTAL						20,036.00	20,121.04	(85.04)	(4,555.99)

* - Excludes transactions for which we have insufficient data

ATTACHMENT K & L

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
Subtotal (Long-Term)								(3,269.73)
MOMENTA PHARMA INC	49.0000	01/19/12	08/06/12		682.29	949.53	(267.24)	
Subtotal (Short-Term)					(3) 682.29	(4) 949.53	(267.24)	(1,553.50)
TOTAL					682.29	949.53	(267.24)	(4,823.23)
* - Excludes transactions for which we have insufficient data		Long Term			(1) 26,666.01	(2) 29,935.74	(3,269.73)	
		Short Term			(3) 66,715.28	(4) 75,811.80	(9,096.52)	

ATTACHMENT M & N

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
OPPEN INTL BD FD A	12687 0000	01/12/09	10/25/11		81,831 14	74,092 08	7,739 06	
OPPEN INTL BD FD A	41 0000	01/30/09	10/25/11		264 44	233 70	30 74	
OPPEN INTL BD FD A	1 0000	02/02/09	10/25/11		6 44	5 72	72	
OPPEN INTL BD FD A	79 0000	02/27/09	10/25/11		509 55	431 34	78 21	
OPPEN INTL BD FD A	1 0000	03/02/09	10/25/11		6 45	5 45	1 00	
OPPEN INTL BD FD A	120 0000	04/01/09	10/25/11		773 99	673 20	100 79	
OPPEN INTL BD FD A	123 0000	04/30/09	10/25/11		793 34	704 79	88 55	
OPPEN INTL BD FD A	1 0000	05/01/09	10/25/11		6 45	5 74	71	
OPPEN INTL BD FD A	20764 0000	05/22/09	10/25/11		133,927 83	124,999 27	8,928 56	
OPPEN INTL BD FD A	123 0000	05/29/09	10/25/11		793 35	745 38	47 97	
OPPEN INTL BD FD A	1 0000	06/01/09	10/25/11		6 44	6 06	38	
OPPEN INTL BD FD A	190 0000	06/30/09	10/25/11		1,225 50	1,151 40	74 10	
OPPEN INTL BD FD A	196 0000	07/31/09	10/25/11		1,264 20	1,217 16	47 04	
OPPEN INTL BD FD A	176 0000	08/31/09	10/25/11		1,135 20	1,110 56	24 64	
OPPEN INTL BD FD A	1 0000	09/01/09	10/25/11		6 44	6 26	18	
OPPEN INTL BD FD A	173 0000	09/30/09	10/25/11		1,115 85	1,131 42	(15 57)	

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ATTACHMENT M & N

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
OPPEN INTL BD FD A	189 0000	10/30/09	10/25/11	1,219 05	1,236 06	(17 01)	
OPPEN INTL BD FD A	1 0000	11/02/09	10/25/11	6 44	6 54	(10)	
OPPEN INTL BD FD A	164 0000	11/30/09	10/25/11	1,057 80	1,098 80	(41 00)	
OPPEN INTL BD FD A	411 0000	12/30/09	10/25/11	2,650 95	2,626 29	24 66	
OPPEN INTL BD FD A	189 0000	12/30/09	10/25/11	1,219 05	1,207 71	11 34	
OPPEN INTL BD FD A	1 0000	12/31/09	10/25/11	6 44	6 40	04	
OPPEN INTL BD FD A	189 0000	01/29/10	10/25/11	1,219 05	1,198 26	20 79	
OPPEN INTL BD FD A	177 0000	02/26/10	10/25/11	1,141 65	1,127 49	14 16	
OPPEN INTL BD FD A	207 0000	03/31/10	10/25/11	1,335 15	1,331 01	4 14	
OPPEN INTL BD FD A	1 0000	04/01/10	10/25/11	6 44	6 45	(01)	
OPPEN INTL BD FD A	219 0000	04/30/10	10/25/11	1,412 55	1,410 36	2 19	
OPPEN INTL BD FD A	205 0000	05/28/10	10/25/11	1,322 25	1,258 70	63 55	
OPPEN INTL BD FD A	1 0000	06/30/10	10/25/11	6 45	6 19	26	
OPPEN INTL BD FD A	203 0000	06/30/10	10/25/11	1,309 35	1,260 62	48 73	
OPPEN INTL BD FD A	1 0000	07/30/10	10/25/11	6 45	6 23	22	
OPPEN INTL BD FD A	190 0000	07/30/10	10/25/11	1,225 50	1,233 10	(7 60)	
OPPEN INTL BD FD A	1 0000	08/31/10	10/25/11	6 44	6 51	(07)	
OPPEN INTL BD FD A	204 0000	08/31/10	10/25/11	1,315 80	1,334 15	(18 35)	
OPPEN INTL BD FD A	188 0000	09/30/10	10/25/11	1,212 60	1,278 40	(65 80)	
Subtotal (Long-Term)				① 241,346.02	② 224,158.80	17,187 22	245,100 02
OPPEN INTL BD FD A	205 0000	10/29/10	10/25/11	1,322 25	1,416 55	(94 30)	
OPPEN INTL BD FD A	1 0000	11/30/10	10/25/11	6 45	6 65	(20)	
OPPEN INTL BD FD A	201 0000	11/30/10	10/25/11	1,296 45	1,300 47	(4 02)	
OPPEN INTL BD FD A	234 0000	12/30/10	10/25/11	1,509 30	1,528 01	(18 71)	
OPPEN INTL BD FD A	568 0000	12/30/10	10/25/11	3,663 61	3,709 04	(45 43)	
OPPEN INTL BD FD A	1 0000	01/31/11	10/25/11	6 45	6 50	(05)	
OPPEN INTL BD FD A	204 0000	01/31/11	10/25/11	1,315 80	1,317 84	(2 04)	
OPPEN INTL BD FD A	181 0000	02/28/11	10/25/11	1,167 45	1,174 69	(7 24)	
OPPEN INTL BD FD A	194 0000	03/31/11	10/25/11	1,251 31	1,270 69	(19 38)	
OPPEN INTL BD FD A	188 0000	04/29/11	10/25/11	1,212 60	1,278 40	(65 80)	

ATTACHMENT M & N

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
OPPEN INTL BD FD A	155 0000	05/31/11	10/25/11	999 76	1,041 60	(41 84)	
OPPEN INTL BD FD A	157 0000	06/30/11	10/25/11	1,012 66	1,058 17	(45 51)	
OPPEN INTL BD FD A	1 0000	07/29/11	10/25/11	6 45	6 67	(22)	
OPPEN INTL BD FD A	160 0000	07/29/11	10/25/11	1,032 01	1,089 60	(57 59)	
OPPEN INTL BD FD A	1 0000	08/31/11	10/25/11	6 45	6 78	(33)	
OPPEN INTL BD FD A	146 0000	08/31/11	10/25/11	941 71	988 41	(46 70)	
OPPEN INTL BD FD A	1 0000	09/30/11	10/25/11	6 45	6 37	08	
OPPEN INTL BD FD A	0240	09/30/11	10/25/11	16	15	01	
OPPEN INTL BD FD A	137 0000	09/30/11	10/25/11	883 66	861 73	21 93	
Subtotal (Short-Term)				③ 17,640.98	④ 18,068.32	(427 34)	23,922 38
TOTAL				258,987.00	242,227.12	16,759.88	269,022.40

* - Excludes transactions for which we have insufficient data

ATTACHMENT M & N

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
OPPEN INTL BD FD A CXL	173 0000	09/30/09	10/25/11	1,115.85	1,131.42	15.57	
♦ OPPEN INTL BD FD A	106 0000	09/30/09	10/25/11	683.70	693.24	N/C	
OPPEN INTL BD FD A	67 0000	09/30/09	10/25/11	① 432.15	② 438.18	(6.03)	
Subtotal (Long-Term)				① 1,115.85	② 1,131.42	9.54	245,109.56
Subtotal (Short-Term)							23,922.38
TOTAL				1,115.85	1,131.42	9.54	269,031.94

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

ATTACHMENT M & N

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
◆ OPPEN INTL BD FD A	106 0000	10/06/09	01/12/12		660.37	693.24	(32.87)	
Subtotal (Long-Term)					① 660.37	② 693.24	(32.87)	(32.87)
OPPEN INTL BD FD A	1 0000	11/30/11	01/12/12		6.23	6.39	(.16)	
OPPEN INTL BD FD A	9240	12/29/11	01/12/12		5.76	5.72	.04	
OPPEN INTL BD FD A	1 0000	12/29/11	01/12/12		6.24	6.19	.05	
Subtotal (Short-Term)					③ 18.23	④ 18.30	(.07)	(.07)
TOTAL					678.60	711.54	(32.94)	(32.94)

* - Excludes transactions for which we have insufficient data
◆ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has been adjusted by the deferred loss amount for this transaction.

ATTACHMENT M & N

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
PRINCIPAL GLB DIV INC A	7380 0000	07/01/10	03/13/12		99,999 00	89,298 00	10,701 00	
Subtotal (Long-Term)							10,701 00	10,668 13
PRINCIPAL GLB DIV INC A	0740	02/29/12	03/13/12		1 00	1 00	00	
Subtotal (Short-Term)								(07)
TOTAL					100,000.00	89,299.00	10,701.00	10,668.06

* - Excludes transactions for which we have insufficient data

Long Term	①	343,121.24	②	315,281.46	27,839.78
Short Term	③	17,660.21	④	18,087.62	(427.41)

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LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT O & P

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
<i>Subtotal (Long-Term)</i>							24,037.56
NIPPON YUSEN KABUSHIKI	91.0000	12/01/10	10/13/11	470.86	812.99	(342.13)	
NIPPON YUSEN KABUSHIKI	337.0000	01/19/11	10/13/11	1,743.77	3,134.47	(1,390.70)	
NIPPON YUSEN KABUSHIKI	335.0000	01/24/11	10/13/11	1,733.43	3,023.38	(1,289.95)	
<i>Subtotal (Short-Term)</i>				③ 3,948.06	④ 6,970.84	(3,022.78)	(5,101.74)
TOTAL				3,948.06	6,970.84	(3,022.78)	18,935.82

* - Excludes transactions for which we have insufficient data

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
PEUGEOT CITROENS SPN ADR	62 0000	07/26/11		01/12/12		1,064 60	2,689 98	(1,625 38)	
PEUGEOT CITROENS SPN ADR	72 0000	07/27/11		01/12/12		1,236 32	2,827 03	(1,590 71)	
PEUGEOT CITROENS SPN ADR	17 0000	10/24/11		01/12/12		291 91	416 16	(124 25)	
PEUGEOT CITROENS SPN ADR	31 0000	10/24/11		01/13/12		533 74	758 88	(225 14)	
TATA MOTORS LTD ADR	26 0000	03/08/11		01/12/12		514 36	672 20	(157 84)	
TATA MOTORS LTD ADR	70 0000	03/08/11		01/13/12		1,387 53	1,809 78	(422 25)	
TATA MOTORS LTD ADR	20 0000	03/09/11		01/13/12		396 44	529 38	(132 94)	
TATA MOTORS LTD ADR	41 0000	03/09/11		01/17/12		848 77	1,085 23	(236 46)	
TATA MOTORS LTD ADR	4 0000	03/10/11		01/17/12		82 81	103 62	(20 81)	
TATA MOTORS LTD ADR	2 0000	03/10/11		01/17/12		41 90	51 81	(9 91)	
TATA MOTORS LTD ADR	53 0000	03/10/11		01/18/12		1,118 37	1,372 98	(254 61)	
TATA MOTORS LTD ADR	30 0000	03/22/11		01/18/12		633 05	761 78	(128 73)	
Subtotal (Short-Term)						③ 8,149.80	④ 13,078.83	(4,929 03)	(4,929 03)
TOTAL						8,149.80	13,078.83	(4,929.03)	(4,929.03)

* - Excludes transactions for which we have insufficient data

LEON J. SIMKINS FOUNDATION
 EIN # 59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
CREDIT SUISSE GP SP ADR	62 0000	01/04/11	02/02/12		1,686 64	2,566 19	(879 55)	
CREDIT SUISSE GP SP ADR	10 0000	01/05/11	02/03/12		279 75	413 50	(133 75)	
CREDIT SUISSE GP SP ADR	57 0000	01/07/11	02/03/12		1,594 59	2,388 00	(793 41)	
COMPANHIA D SNMNT0 BSCO	54 0000	10/15/09	02/03/12		3,688 76	2,340 90	1,347 86	
SVENSKA C AKTI SPONS ADR	92 0000	05/20/10	02/16/12		1,535 18	1,004 17	531 01	
SVENSKA C AKTI SPONS ADR	5 0000	05/20/10	02/16/12		83 43	54 96	28 47	
SVENSKA C AKTI SPONS ADR	24 0000	05/21/10	02/16/12		400 49	265 39	135 10	
SVENSKA C AKTI SPONS ADR	129 0000	05/21/10	02/17/12		2,158 07	1,426 47	731 60	
SVENSKA C AKTI SPONS ADR	37 0000	05/21/10	02/23/12		631 54	409 14	222 40	
SVENSKA C AKTI SPONS ADR	5 0000	05/21/10	02/23/12		85 34	56 06	29 28	
SVENSKA C AKTI SPONS ADR	65 0000	05/24/10	02/23/12		1,109 47	729 28	380 19	
SVENSKA C AKTI SPONS ADR	36 0000	05/24/10	02/24/12		623 45	403 91	219 54	
Subtotal (Long-Term)					① 13,876.71	② 12,057.97	1,818 74	1,818 74

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ATTACHMENT O & P

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
CREDIT SUISSE GP SP ADR	28 0000	03/28/ 11	02/03/ 12	③ 783.31	④ 1,197.97	(414.66)	
Subtotal (Short-Term)				③ 783.31	④ 1,197.97	(414.66)	(5,343.69)
TOTAL				14,660.02	13,255.94	1,404.08	(3,524.95)

* - Excludes transactions for which we have insufficient data

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
BARCLAYS PLC ADR	24 0000	11/09/10		03/27/12		385 92	451 82	(65 90)	
BARCLAYS PLC ADR	126 0000	11/10/10		03/27/12		2,026 08	2,367 07	(340 99)	
BARCLAYS PLC ADR	3 0000	11/12/10		03/27/12		48 25	54 33	(6 08)	
COPEL PARANA ADR PREF B	86 0000	10/15/09		03/07/12		2,123 51	1,669 09	454 42	
COPEL PARANA ADR PREF B	46 0000	10/15/09		03/08/12		1,139 82	892 77	247 05	
Subtotal (Long-Term)						① 5,723.58	② 5,435.08	288 50	2,107 24
CREDIT SUISSE GP SP ADR	42 0000	03/28/11		03/27/12		1,226 36	1,796 96	(570 60)	
CREDIT SUISSE GP SP ADR	22 0000	03/29/11		03/27/12		642 38	944 00	(301 62)	
CREDIT SUISSE GP SP ADR	26 0000	03/30/11		03/27/12		759 18	1,111 49	(352 31)	
Subtotal (Short-Term)						③ 2,627.92	④ 3,852.45	(1,224 53)	(6,568 22)
TOTAL						8,351.50	9,287.53	(936.03)	(4,460.98)

* - Excludes transactions for which we have insufficient data

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
BARCLAYS PLC ADR	142 0000	11/12/10	03/30/12		2,144 39	2,571 62	(427 23)	
QI SA	112 0000	01/10/11	04/17/12		1,644 55	2,367 62	(723 07)	
QI SA	30 0000	01/12/11	04/17/12		440 51	645 03	(204 52)	
QI SA	32 0000	01/12/11	04/18/12		452 13	688 04	(235 91)	
QI SA	49 0000	01/13/11	04/18/12		692 32	1,073 74	(381 42)	
QI SA	37 0000	03/09/11	04/18/12		522 78	812 16	(289 38)	
QI SA	2 0000	03/10/11	04/18/12		28 26	43 81	(15 55)	
QI SA	58 0000	03/10/11	04/23/12		913 62	1,270 48	(356 86)	
QI SA	26 0000	03/11/11	04/23/12		409 55	570 47	(160 92)	
QI SA	82 0000	03/16/11	04/23/12		1,291 69	1,768 34	(476 65)	

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ATTACHMENT O & P

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
CI SA	22 0000	03/16/11	04/24/12		368 67	474 43	(105 76)	
CI SA	32 0000	01/10/11	04/18/12		177 83	287 94	(110 11)	
CI SA	19 0000	01/12/11	04/18/12		105 59	173 75	(68 16)	
CI SA	14 0000	01/13/11	04/18/12		77 80	130 63	(52 83)	
CI SA	8 0000	03/09/11	04/18/12		44 47	74 73	(30 26)	
CI SA	3 0000	03/09/11	04/19/12		17 43	28 03	(10 60)	
CI SA	17 0000	03/10/11	04/19/12		98 82	158 50	(59 68)	
CI SA	8 0000	03/11/11	04/19/12		46 50	74 71	(28 21)	
CI SA	30 0000	03/16/11	04/19/12		174 40	275 38	(100 98)	
CATHAY PAC AIR NEW SPADR	125 0000	02/01/11	03/30/12		1,127 00	1,591 42	(464 42)	
CATHAY PAC AIR NEW SPADR	48 0000	02/02/11	03/30/12		432 77	631 03	(198 26)	
CATHAY PAC AIR NEW SPADR	88 0000	02/02/11	04/02/12		788 40	1,156 89	(368 49)	
CATHAY PAC AIR NEW SPADR	39 0000	02/07/11	04/02/12		349 41	524 45	(175 04)	
CATHAY PAC AIR NEW SPADR	86 0000	02/07/11	04/03/12		756 67	1,156 48	(399 81)	
CATHAY PAC AIR NEW SPADR	128 0000	03/17/11	04/03/12		1,126 23	1,460 92	(334 69)	
CATHAY PAC AIR NEW SPADR	93 0000	03/17/11	04/18/12		790 39	1,061 45	(271 06)	
CATHAY PAC AIR NEW SPADR	97 0000	03/17/11	04/19/12		820 77	1,107 10	(286 33)	
CATHAY PAC AIR NEW SPADR	72 0000	03/17/11	04/20/12		609 64	821 76	(212 12)	
CATHAY PAC AIR NEW SPADR	26 0000	03/18/11	04/20/12		220 16	295 96	(75 80)	
CATHAY PAC AIR NEW SPADR	85 0000	03/18/11	04/23/12		710 55	967 55	(257 00)	
CATHAY PAC AIR NEW SPADR	15 0000	03/18/11	04/24/12		124 76	170 74	(45 98)	
CREDIT SUISSE GP SP ADR	26 0000	03/30/11	04/04/12		700 57	1,111 49	(410 92)	
COMPANHIA D SNMNT0 BSCO	34 0000	10/15/09	04/11/12		① 2,546 06	② 1,473 90	1,072 16	
Subtotal (Long-Term)					20,754.69	27,020.55	(6,265 86)	(4,158 62)
CI SA	96 0000	10/24/11	04/24/12		1,608 75	1,413 65	195 10	
CI SA	29 0000	10/24/11	04/20/12		172 44	184 48	(12 04)	
CATHAY PAC AIR NEW SPADR	72 0000	10/24/11	04/24/12		598 90	640 05	(41 15)	
CATHAY PAC AIR NEW SPADR	52 0000	10/24/11	04/25/12		430 01	462 26	(32 25)	

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
CREDIT SUISSE GP SP ADR	52 0000	10/24/11	04/04/12	1,401.17	1,452.15	(50.98)	
Subtotal (Short-Term)				③ 4,211.27	④ 4,152.59	58.68	(6,509.54)
TOTAL				24,965.96	31,173.14	(6,207.18)	(10,668.16)

* - Excludes transactions for which we have insufficient data

ATTACHMENT C & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
DIAGEO PLC SPSD ADR NEW	30 0000	10/15/09	05/08/12		3,005.36	1,875.82	1,129.54	
Subtotal (Long-Term)					① 3,005.36	② 1,875.82	1,129.54	(3,029.08)
Subtotal (Short-Term)								(6,509.54)
TOTAL					3,005.36	1,875.82	1,129.54	(9,538.62)

* - Excludes transactions for which we have insufficient data

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AGRIUM INC	25 0000	10/ 15/ 09	06/ 06/ 12		1,957 77	1,335 68	622 09	
AGRIUM INC	28 0000	10/ 15/ 09	06/ 07/ 12		2,226 63	1,495 97	730 66	
DELHAIZE GROUP SPONS ADR	61 0000	10/ 15/ 09	06/ 08/ 12		2,137 03	4,461 70	(2,324 67)	
DELHAIZE GROUP SPONS ADR	27 0000	10/ 15/ 09	06/ 15/ 12		901 75	1,974 85	(1,073 10)	
DELHAIZE GROUP SPONS ADR	44 0000	09/ 10/ 10	06/ 15/ 12		1,469 53	3,002 27	(1,532 74)	
FRANCE TELECOM ADR	36 0000	10/ 15/ 09	06/ 06/ 12		427 91	957 42	(529 51)	
FRANCE TELECOM ADR	44 0000	10/ 15/ 09	06/ 07/ 12		522 72	1,170 18	(647 46)	
FRANCE TELECOM ADR	113 0000	10/ 15/ 09	06/ 08/ 12		1,374 32	3,005 24	(1,630 92)	
FUJI HEAVY INDS LTD ADR	155 0000	08/ 16/ 10	06/ 14/ 12		2,376 50	1,687 84	688 66	
Subtotal (Long-Term)					① 13,394.16	② 19,091.15	(5,696 99)	(8,726 07)
DELHAIZE GROUP SPONS ADR	27 0000	03/ 07/ 12	06/ 15/ 12		901 76	1,466 82	(565 06)	
DELHAIZE GROUP SPONS ADR	20 0000	03/ 07/ 12	06/ 18/ 12		666 93	1,086 54	(419 61)	
Subtotal (Short-Term)					③ 1,568.69	④ 2,553.36	(984 67)	(7,494 21)
TOTAL					14,962.85	21,644.51	(6,681.66)	(16,220.28)

* - Excludes transactions for which we have insufficient data

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
NEXEN INC CANADA COM	210 0000	10/15/09	07/24/12		5,462.91	5,293.91	169.00	
Subtotal (Long-Term)					① 5,462.91	② 5,293.91	169.00	(8,557.07)
NEXEN INC CANADA COM	113 0000	04/18/12	07/24/12		2,939.58	2,147.07	792.51	
NEXEN INC CANADA COM	54 0000	04/18/12	07/24/12		1,394.87	1,026.03	368.84	
NEXEN INC CANADA COM	55 0000	04/19/12	07/24/12		1,420.70	1,049.22	371.48	
NEXEN INC CANADA COM	71 0000	04/20/12	07/24/12		1,834.00	1,375.38	458.62	
Subtotal (Short-Term)					③ 7,589.15	④ 5,597.70	1,991.45	(5,502.76)
TOTAL					13,052.06	10,891.61	2,160.45	(14,059.83)

* - Excludes transactions for which we have insufficient data

ATTACHMENT O & P

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
ASML HLDG N V NEW YORK	43 0000	07/25/11		08/06/12		2,433 45	1,609 65	823 80	
◇ ASML HLDG N V NEW YORK	30 0000	07/25/11		08/06/12		1,697 76	1,212 61	485 15	
ASML HLDG N V NEW YORK	9 0000	07/27/11		08/06/12		509 33	333 68	175 65	
ASML HLDG N V NEW YORK	28 0000	07/27/11		08/07/12		1,619 60	1,038 13	581 47	
ASML HLDG N V NEW YORK	16 0000	07/27/11		08/08/12		904 07	593 21	310 86	
COMPANHIA D SNMNT0 BSCO	26 0000	10/15/09		08/15/12		2,294 58	1,127 10	1,167 48	
COMPANHIA D SNMNT0 BSCO	11 0000	10/15/09		08/16/12		975 90	476 85	499 05	
UNILEVER PLC NEW ADR	65 0000	10/15/09		08/06/12		2,345 03	1,941 44	403 59	
UNILEVER PLC NEW ADR	22 0000	10/15/09		08/08/12		786 14	657 10	129 04	
UNILEVER PLC NEW ADR	51 0000	10/15/09		08/09/12		1,827 53	1,523 28	304 25	
Subtotal (Long-Term)						① 15,758.19	② 10,892.72	4,880 34	(3,676 73)

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ATTACHMENT O & P

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date		Date				This Statement	Year to Date
HEINEKEN NV ADR	77 0000	08/18/11		08/06/12		2,160.68	2,072.04	88.64	
⋄ HEINEKEN NV ADR	13 0000	08/18/11		08/06/12		364.80	379.67	(14.87)	
HEINEKEN NV ADR	37 0000	08/18/11		08/09/12		997.94	978.45	19.49	
HEINEKEN NV ADR	50 0000	08/19/11		08/09/12		1,348.57	1,300.22	48.35	
Subtotal (Short-Term)						③ 4,507.19	④ 4,350.71	141.61	(5,361.15)
TOTAL						20,265.38	15,243.43	5,021.95	(9,037.88)

* - Excludes transactions for which we have insufficient data

⋄ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring:

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

Long term	① 77,975.60	② 81,667.20	(3,691.60)
Short Term	③ 33,385.39	④ 41,754.45	(8,369.06)

ATTACHMENT Q & R

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
PROLOGIS INC	8 0000	11/09/10	09/06/11		203 46	251 23	(47 77)	
PROLOGIS INC	12 0000	11/11/10	09/06/11		305 20	365 92	(60 72)	
PROLOGIS INC	15 0000	11/11/10	09/14/11		391 56	457 40	(65 84)	
PROLOGIS INC	15 0000	11/18/10	09/14/11		391 56	447 24	(55 68)	
PROLOGIS INC	16 0000	11/18/10	09/21/11		444 03	477 05	(33 02)	
◆ BROOKFIELD OFFICE CXL	4 0000	04/19/11	08/25/11		66 43	81 11	14 68	
◇ BROOKFIELD OFFICE	4 0000	04/19/11	08/25/11		66 43	81 11	N/C	
BRANDYWNE RLTY T SBI NEW	44 0000	07/01/11	09/01/11		421 23	512 71	(91 48)	
◆ BRANDYWNE RLTY T SBI NEW	8 0000	07/01/11	09/01/11		76 59	111 81	(35 22)	
◆ BRANDYWNE RLTY T SBI NEW	42 0000	07/01/11	09/06/11		375 55	587 04	(211 49)	
BRANDYWNE RLTY T SBI NEW	35 0000	07/18/11	09/06/11		312 97	409 75	(96 78)	
BIOMED REALTY TR INC CXL	59 0000	03/11/11	08/10/11		984 26	1,011 07	26 81	
◆ BIOMED REALTY TR INC	35 0000	03/11/11	08/10/11		583 88	599 79	N/C	
BIOMED REALTY TR INC	24 0000	03/11/11	08/10/11		400 38	411 28	(10 91)	
DEVELOPERS DVSTFD RLTY CP	81 0000	10/28/10	09/02/11		949 52	1,056 72	(107 20)	
EQUITY RESIDENTIAL	5 0000	10/28/10	09/20/11		281 93	242 88	39 05	
EQUITY RESIDENTIAL	24 0000	10/28/10	09/23/11		1,266 77	1,165 81	100 96	
EASTGROUP PROPERTIES INC CXL	1 0000	10/28/10	08/25/11		37 76	40 25	2 49	
◆ EASTGROUP PROPERTIES INC	1 0000	10/28/10	08/25/11		37 76	40 25	N/C	

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ATTACHMENT Q & R

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
EXTRA SPACE STORAGE INC	19 0000	12/06/10	08/31/11	403 79	318 19	85 60	
EXTRA SPACE STORAGE INC	4 0000	12/10/10	08/31/11	85 01	69 93	15 08	
◆ FELCOR LODGING TRUST TEX	107 0000	03/30/11	09/01/11	341 13	645 08	N/C	
FELCOR LODGING TRUST TEX	12 0000	03/30/11	09/02/11	36 25	72 35	(36 10)	
◆ FELCOR LODGING TRUST TEX	17 0000	03/30/11	09/02/11	51 36	135 61	(84 25)	
◆ FELCOR LODGING TRUST TEX	107 0000	03/30/11	09/02/11	323 32	795 93	(472 61)	
FELCOR LODGING TRUST TEX	43 0000	08/02/11	09/02/11	129 94	197 71	(67 77)	
KILROY REALTY CORP	16 0000	04/06/11	09/08/11	553 43	628 14	(74 71)	
MACERICH CO	17 0000	10/28/10	09/02/11	781 02	741 81	39 21	
RAYONIER INC REIT	10 0000	04/19/11	09/02/11	399 85	403 44	(3 59)	
RAYONIER INC REIT	16 0000	04/26/11	09/02/11	639 78	673 39	(33 61)	
SIMON PROPERTY GROUP DEL	4 0000	10/28/10	09/14/11	459 74	384 72	75 02	
STRATEGIC HOTEL CAP INC	2 0000	04/07/11	08/31/11	9 63	12 51	(2 88)	
STRATEGIC HOTEL CAP INC	29 0000	04/08/11	08/31/11	139 70	181 89	(42 19)	
STRATEGIC HOTEL CAP INC	92 0000	04/20/11	08/31/11	443 21	583 45	(140 24)	
SUNSTONE HOTEL INVS INC	100 0000	11/09/10	08/30/11	569 79	1,014 04	(444 25)	
SUNSTONE HOTEL INVS INC	37 0000	11/09/10	09/06/11	200 43	375 20	(174 77)	
SUNSTONE HOTEL INVS INC	52 0000	11/18/10	09/06/11	281 69	507 46	(225 77)	
UDR INC	22 0000	10/28/10	09/20/11	570 07	490 95	79 12	
Subtotal-(Short-Term)				③ 12,927.96	④ 15,449.79	(2,140 83)	7,300 12
TOTAL				12,927.96	15,449.79	(2,140.83)	7,300.12

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium the repayment of principal the sale of partnership interests derivative products purchased

ATTACHMENT Q & R

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
PROLOGIS INC CXL	16 0000	11/18/10	09/21/11	444 03	477 05	33 02	
◆ PROLOGIS INC	16 0000	11/18/10	09/21/11	444 03	477 05	N/C	
◇ BROOKFIELD OFFICE	7 0000	04/19/11	10/07/11	96 50	141 94	N/C	
◇ BROOKFIELD OFFICE	1 0000	04/19/11	10/07/11	13 78	20 32	N/C	
◆ BROOKFIELD OFFICE	26 0000	04/26/11	10/07/11	358 47	511 14	N/C	
◇ BROOKFIELD OFFICE	14 0000	04/26/11	10/13/11	199 55	307 79	N/C	
◆ BROOKFIELD OFFICE	12 0000	04/26/11	10/13/11	171 05	263 82	(92 77)	
◇ BROOKFIELD OFFICE	4 0000	05/15/11	10/07/11	55 15	79 13	N/C	
BROOKFIELD OFFICE	7 0000	06/02/11	10/13/11	99 78	134 05	(34 27)	
◆ BROOKFIELD OFFICE	5 0000	06/02/11	10/07/11	68 94	95 75	N/C	
BOSTON PPTYS INC	7 0000	10/28/10	10/04/11	582 23	602 82	(20 59)	

ATTACHMENT Q & R

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
BOSTON PPTYS INC	6 0000	10/28/10	10/05/11	513 34	516 70	(3 36)	
DCT INDUSTRIAL TRUST INC	70 0000	03/30/11	09/29/11	315 36	381 81	(66 45)	
◆ DCT INDUSTRIAL TRUST INC	40 0000	03/30/11	09/29/11	180 22	230 14	(49 92)	
DDR CORP COM	80 0000	10/28/10	10/03/11	817 80	1,043 68	(225 88)	
DDR CORP COM	46 0000	10/28/10	10/06/11	497 25	600 12	(102 87)	
DDR CORP COM	48 0000	10/28/10	10/25/11	577 52	626 21	(48 69)	
◆ EASTGROUP PROPERTIES INC	10 0000	10/28/10	10/03/11	364 59	402 50	N/C	
EASTGROUP PROPERTIES INC	2 0000	10/28/10	10/03/11	72 92	80 50	(7 58)	
EASTGROUP PROPERTIES INC	7 0000	10/28/10	10/05/11	254 37	281 75	(27 38)	
◆ EASTGROUP PROPERTIES INC	10 0000	10/28/10	10/05/11	363 40	455 48	(92 08)	
◆ EASTGROUP PROPERTIES INC	1 0000	11/23/10	10/05/11	36 34	44 25	(7 91)	
FOREST CITY ENTRPRS CL A	38 0000	10/28/10	10/07/11	413 74	552 90	(139 16)	
FOREST CITY ENTRPRS CL A	45 0000	10/28/10	10/19/11	556 86	654 75	(97 89)	
FOREST CITY ENTRPRS CL A	29 0000	11/19/10	10/21/11	361 53	445 21	(83 68)	
FOREST CITY ENTRPRS CL A	29 0000	04/15/11	10/21/11	361 53	530 02	(168 49)	
GENERAL GROWTH PROPERTIE	32 0000	11/17/10	09/29/11	402 33	471 99	(69 66)	
GENERAL GROWTH PROPERTIE	42 0000	11/17/10	10/11/11	517 16	619 49	(102 33)	
HOME PROPERTIES INC	5 0000	03/22/11	10/20/11	270 52	284 57	(14 05)	
HOME PROPERTIES INC	4 0000	03/31/11	10/20/11	216 43	235 91	(19 48)	
HOSPITALITY PPTYS TRUST	27 0000	08/31/11	10/04/11	524 16	629 58	(105 42)	
◆ HOST HOTELS & RESORTS	6 0000	11/22/10	09/29/11	67 24	96 36	N/C	
◆ HOST HOTELS & RESORTS	30 0000	11/30/10	09/29/11	336 24	496 86	N/C	
◆ HOST HOTELS & RESORTS	12 0000	12/02/10	09/29/11	134 50	202 17	N/C	
◆ HOST HOTELS & RESORTS	10 0000	12/02/10	09/29/11	112 09	168 47	N/C	
◆ HCP INC	7 0000	02/18/11	10/12/11	257 03	237 50	19 53	
HCP INC	5 0000	02/22/11	10/12/11	183 60	184 39	(79)	
HCP INC	7 0000	02/22/11	10/19/11	259 24	258 14	1 10	
◆ HCP INC	6 0000	02/22/11	10/19/11	222 21	202 51	19 70	
HCP INC	8 0000	02/25/11	10/19/11	296 28	295 08	1 20	
HCP INC	10 0000	02/25/11	10/25/11	379 71	368 86	10 85	

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ATTACHMENT O & R

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
HCP INC	10 0000	03/23/11	10/25/11	379 71	368 73	10 98	
MACERICH CO	15 0000	10/28/10	10/03/11	607 22	654 54	(47 32)	
MACERICH CO	21 0000	10/28/10	10/05/11	865 12	916 36	(51 24)	
MACERICH CO	8 0000	10/28/10	10/13/11	349 69	349 09	60	
MACERICH CO	1 0000	11/15/10	10/13/11	43 72	45 98	(2 26)	
NATIONAL RETAIL PPTYS	28 0000	07/18/11	10/21/11	736 93	722 40	14 53	
♦ NATIONAL RETAIL PPTYS	1 0000	07/18/11	10/21/11	26 32	25 18	1 14	
PS BUSINESS PARKS INC	23 0000	10/28/10	10/05/11	1,097 68	1,356 54	(258 86)	
RAYONIER INC REIT	5 0000	04/26/11	10/07/11	188 63	210 43	(21 80)	
RAYONIER INC REIT	11 0000	05/05/11	10/07/11	415 00	480 44	(65 44)	
SL GREEN REALTY CORP	7 0000	10/28/10	10/19/11	438 45	462 49	(24 04)	
UDR INC	33 0000	10/28/10	10/21/11	761 94	736 43	25 51	
VORNADO REALTY TRUST COM	11 0000	10/28/10	09/30/11	830 30	963 36	(133 06)	
VORNADO REALTY TRUST COM	12 0000	10/28/10	10/04/11	835 46	1,050 94	(215 48)	
VORNADO REALTY TRUST COM	21 0000	10/28/10	10/19/11	1,595 32	1,839 15	(243 83)	
VENTAS INC	17 0000	10/28/10	10/04/11	789 99	874 53	(84 54)	
VENTAS INC	13 0000	10/28/10	10/06/11	633 43	668 76	(35 33)	
Subtotal (Short-Term)				③ 22,549.90	④ 25,957.06	(2,625 74)	4,674 38
TOTAL				22,549.90	25,957.06	(2,625.74)	4,674.38

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

ATTACHMENT C & R

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
DDR CORP COM	26 0000	10/28/10	11/03/11		324 89	339 19	(14 30)	
GENERAL GROWTH PROPERTIE	17 0000	11/18/10	11/21/11		225 54	249 56	(24 02)	
VORNADO REALTY TRUST COM	7 0000	10/28/10	11/14/11		546 16	613 05	(66 89)	
VORNADO REALTY TRUST COM	5 0000	10/28/10	11/17/11		384 17	437 89	(53 72)	
◆ WEINGARTEN RLTY INVS SBI	23 0000	10/28/10	11/07/11		508 21	557 19	N/C	
◆ WEINGARTEN RLTY INVS SBI	1 0000	10/28/10	11/10/11		20 77	24 23	N/C	
WEINGARTEN RLTY INVS SBI	17 0000	10/28/10	11/10/11		353 03	411 84	(58 81)	
Subtotal (Long-Term)					① 2,362.77	② 2,632.95	(217 74)	(217 74)
◆ PROLOGIS INC	5 0000	11/18/10	10/27/11		148 67	149 08	N/C	
◆ PROLOGIS INC	5 0000	11/18/10	10/28/11		148 06	130 84	17 22	
◆ PROLOGIS INC	16 0000	12/17/10	10/27/11		475 74	450 41	25 33	
◆ PROLOGIS INC	4 0000	01/25/11	10/27/11		118 94	130 09	N/C	
◆ PROLOGIS INC	7 0000	01/25/11	10/27/11		208 14	227 65	N/C	
◆ PROLOGIS INC	10 0000	01/25/11	10/28/11		296 12	325 21	N/C	
◆ PROLOGIS INC	3 0000	01/25/11	10/28/11		88 84	86 62	2 22	
BRE PPTYS INC MARYLAND A	8 0000	10/28/10	10/28/11		395 49	343 96	51 53	

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ATTACHMENT Q & R

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
◆ BRE PPTYS INC MARYLAND A	10 0000	07/01/11	10/28/11	494 38	509 20	N/C	
◆ BROOKFIELD OFFICE CXL	12 0000	04/26/11	10/13/11	171 05	263 82	92 77	
◆ BROOKFIELD OFFICE	12 0000	04/26/11	10/13/11	171 05	263 82	N/C	
BROOKFIELD OFFICE CXL	7 0000	06/02/11	10/13/11	99 78	134 05	34 27	
◆ BROOKFIELD OFFICE	7 0000	06/02/11	10/13/11	99 78	134 05	N/C	
BOSTON PPTYS INC CXL	7 0000	10/28/10	10/04/11	582 23	602 82	20 59	
◆ BOSTON PPTYS INC	7 0000	10/28/10	10/04/11	582 23	602 82	N/C	
BOSTON PPTYS INC CXL	6 0000	10/28/10	10/05/11	513 34	516 70	3 36	
◆ BOSTON PPTYS INC	1 0000	10/28/10	10/05/11	85 56	86 12	N/C	
◆ BOSTON PPTYS INC	5 0000	10/28/10	10/05/11	427 78	430 58	N/C	
DIGITAL RLTY TR INC	10 0000	10/03/11	11/21/11	633 44	537 53	95 91	
DIGITAL RLTY TR INC	2 0000	10/03/11	11/22/11	126 49	107 51	18 98	
DIGITAL RLTY TR INC	7 0000	10/04/11	11/22/11	442 76	372 98	69 78	
DCT INDUSTRIAL TRUST INC	100 0000	04/05/11	11/07/11	503 42	550 45	(47 03)	
DCT INDUSTRIAL TRUST INC	28 0000	04/15/11	11/07/11	140 96	154 85	(13 89)	
DDR CORP COM CXL	80 0000	10/28/10	10/03/11	817 80	1,043 68	225 88	
◆ DDR CORP COM	47 0000	10/28/10	10/03/11	480 46	613 16	N/C	
DDR CORP COM	33 0000	10/28/10	10/03/11	337 34	430 52	(93 18)	
◆ DDR CORP COM	47 0000	11/21/10	11/03/11	587 31	739 20	(151 89)	
DDR CORP COM	15 0000	11/22/10	11/03/11	187 45	190 64	(3 19)	
DDR CORP COM	39 0000	11/22/10	11/21/11	427 89	495 68	(67 79)	
DDR CORP COM	13 0000	12/17/10	11/21/11	142 64	172 30	(29 66)	
GENERAL GROWTH PROPERTIE	64 0000	11/17/10	10/27/11	931 02	943 98	(12 96)	
◆ GENERAL GROWTH PROPERTIE	8 0000	11/17/10	10/27/11	116 38	117 59	(1 21)	
◆ GENERAL GROWTH PROPERTIE	19 0000	11/17/10	10/28/11	277 69	279 28	(1 59)	
GENERAL GROWTH PROPERTIE	20 0000	11/18/10	10/28/11	292 31	293 59	(1 28)	
GENERAL GROWTH PROPERTIE	13 0000	12/28/10	11/21/11	172 48	198 82	(26 34)	
HEALTHCARE REALTY TR	36 0000	08/16/11	10/28/11	690 08	602 42	87 66	
◆ HEALTHCARE REALTY TR	4 0000	08/16/11	10/28/11	76 67	66 95	9 72	
HEALTHCARE REALTY TR	33 0000	08/23/11	10/28/11	632 59	540 53	92 06	

ATTACHMENT Q & R

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date				This Statement	Year to Date
HOME PROPERTIES INC	7 0000	03/31/11	10/31/11		413 66	412 85	81	
HOME PROPERTIES INC	4 0000	07/13/11	10/31/11		236 39	254 08	(17 69)	
HOME PROPERTIES INC	9 0000	07/13/11	11/11/11		523 13	571 67	(48 54)	
◆ HOST HOTELS & RESORTS	39 0000	12/02/10	10/28/11		563 57	657 05	N/C	
◆ HOST HOTELS & RESORTS	4 0000	12/05/10	10/28/11		57 81	68 60	N/C	
HERSHA HOSPTLTY TR A SBI	105 0000	10/28/10	10/28/11		462 88	645 24	(182 36)	
HCP INC	19 0000	03/23/11	10/28/11		756 17	700 58	55 59	
KIMCO REALTY CORP MD COM	22 0000	10/28/10	10/28/11		386 85	378 27	8 58	
KIMCO REALTY CORP MD COM	10 0000	11/23/10	10/28/11		175 85	161 08	14 77	
KIMCO REALTY CORP MD COM	32 0000	11/23/10	11/08/11		552 46	515 46	37 00	
KIMCO REALTY CORP MD COM	3 0000	11/23/10	11/09/11		50 60	48 32	2 28	
KIMCO REALTY CORP MD COM	40 0000	02/09/11	11/09/11		674 77	750 26	(75 49)	
KIMCO REALTY CORP MD COM	2 0000	02/09/11	11/10/11		32 23	37 51	(5 28)	
KIMCO REALTY CORP MD COM	34 0000	02/18/11	11/10/11		548 05	634 66	(86 61)	
KIMCO REALTY CORP MD COM	26 0000	02/23/11	11/10/11		419 10	482 51	(63 41)	
KIMCO REALTY CORP MD COM	8 0000	02/23/11	11/22/11		122 14	148 47	(26 33)	
KIMCO REALTY CORP MD COM	44 0000	04/15/11	11/22/11		671 81	793 30	(121 49)	
MACERICH CO	18 0000	11/15/10	10/27/11		899 12	827 64	71 48	
MACERICH CO	9 0000	11/17/10	10/27/11		449 56	392 73	56 83	
MACERICH CO	5 0000	11/17/10	10/28/11		249 05	218 19	30 86	
MACERICH CO	11 0000	04/14/11	10/28/11		547 92	539 23	8 69	
MACERICH CO	6 0000	04/14/11	10/31/11		299 67	294 12	5 55	
MACERICH CO	7 0000	05/19/11	10/31/11		349 62	366 00	(16 38)	
◆ MACK CALI REALTY CORP	13 0000	09/09/11	11/11/11		346 52	387 94	N/C	
◆ MACK CALI REALTY CORP	9 0000	09/09/11	11/11/11		239 89	268 57	N/C	
◆ MACK CALI REALTY CORP	7 0000	09/09/11	11/17/11		181 75	208 89	N/C	
◆ MACK CALI REALTY CORP	13 0000	09/09/11	11/18/11		331 72	393 32	(61 60)	
◆ MACK CALI REALTY CORP	14 0000	09/13/11	11/17/11		363 51	417 56	N/C	
MACK CALI REALTY CORP	3 0000	09/13/11	11/17/11		77 90	89 48	(11 58)	
◆ NATIONAL RETAIL PPTYS	5 0000	07/18/11	11/07/11		134 37	125 93	8 44	

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ATTACHMENT Q & R

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
NATIONAL RETAIL PPTYS	16 0000	08/02/11	11/07/11	430 01	397 64	32 37	
NATIONAL RETAIL PPTYS	4 0000	08/03/11	11/07/11	107 51	98 92	8 59	
ORIENT-EXPRESS HOTELS A	59 0000	11/09/10	10/31/11	503 73	642 36	(138 63)	
ORIENT-EXPRESS HOTELS A	7 0000	12/23/10	10/31/11	59 77	91 34	(31 57)	
RAYONIER INC REIT	1 0000	05/05/11	10/28/11	42 18	43 68	(1 50)	
RAYONIER INC REIT	17 0000	06/28/11	10/28/11	717 14	721 53	(4 39)	
RAYONIER INC REIT	12 0000	06/30/11	11/17/11	492 88	522 34	(29 46)	
SL GREEN REALTY CORP	10 0000	10/28/10	10/27/11	715 97	660 70	55 27	
SL GREEN REALTY CORP CXL	7 0000	10/28/10	10/19/11	438 45	462 49	24 04	
♦ SL GREEN REALTY CORP	7 0000	10/28/10	10/19/11	438 45	462 49	N/C	
VORNADO REALTY TRUST COM	21 0000	10/28/10	10/28/11	1,727 77	1,839 15	(111 38)	
VENTAS INC	3 0000	10/28/10	10/27/11	164 56	154 33	10 23	
VENTAS INC	16 0000	12/17/10	10/27/11	877 68	791 81	85 87	
Subtotal (Short-Term)				③ 28,335.88	④ 29,494.23	(119 17)	4,555 21
TOTAL				30,698.65	32,127.18	(336.91)	4,337.47

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
AVALONBAY COMMUN INC	6 0000	10/28/10	12/21/11	773 57	631 65	141 92	
AVALONBAY COMMUN INC	15 0000	10/28/10	12/23/11	1,970 34	1,579 12	391 22	
AVALONBAY COMMUN INC	3 0000	10/28/10	12/27/11	398 06	315 83	82 23	
AVALONBAY COMMUN INC	4 0000	11/01/10	12/27/11	530 74	426 56	104 18	
AVALONBAY COMMUN INC	5 0000	11/03/10	12/27/11	663 43	549 19	114 24	
AVALONBAY COMMUN INC	3 0000	11/04/10	12/27/11	398 07	338 42	59 65	
EQUITY RESIDENTIAL	33 0000	10/28/10	12/07/11	1,787 59	1,602 98	184 61	
◆ HOST HOTELS & RESORTS	24 0000	12/02/10	12/07/11	343 97	345 43	(1 46)	
POST PROPERTIES INC	11 0000	10/28/10	12/07/11	440 47	327 25	113 22	
SIMON PROPERTY GROUP DEL	11 0000	10/28/10	12/07/11	1,355 42	1,057 98	297 44	
TAUBMAN CENTERS INC COM	19 0000	10/28/10	12/27/11	1,189 70	886 92	302 78	
UDR INC	25 0000	10/28/10	12/07/11	588 02	557 90	30 12	
UDR INC	16 0000	10/28/10	12/23/11	400 81	357 05	43 76	
UDR INC	4 0000	11/09/10	12/23/11	100 21	89 72	10 49	
VORNADO REALTY TRUST COM	17 0000	10/28/10	12/12/11	1,224 80	1,488 84	(264 04)	
◆ VORNADO REALTY TRUST COM	4 0000	10/28/10	12/12/11	288 19	360 63	(72 44)	
WEINGARTEN RLTY INVS SBI	24 0000	10/28/10	11/29/11	477 81	581 42	(103 61)	
◆ WEINGARTEN RLTY INVS SBI	7 0000	10/28/10	11/29/11	139 37	164 39	(25 02)	
Subtotal (Long-Term)				① 12,299.04	② 10,790.83	1,409 29	1,191 55
◇ PROLOGIS INC	1 0000	01/25/11	12/23/11	28 68	28 88	N/C	
◆ PROLOGIS INC	28 0000	01/28/11	12/23/11	803 18	953 50	N/C	
◆ PROLOGIS INC	10 0000	02/11/11	12/23/11	286 85	341 62	N/C	
◇ PROLOGIS INC	6 0000	02/15/11	12/23/11	172 11	181 90	N/C	
DOUGLAS EMMETT INC	36 0000	09/29/11	12/07/11	649 71	633 74	15 97	
FDL R INV TR SBI NEW MD	3 0000	04/12/11	12/07/11	268 43	244 28	24 15	
FDL R INV TR SBI NEW MD	6 0000	04/14/11	12/07/11	536 87	496 19	40 68	
FDL R INV TR SBI NEW MD	6 0000	05/06/11	12/07/11	536 88	527 86	9 02	
FDL R INV TR SBI NEW MD	1 0000	08/05/11	12/07/11	89 48	80 39	9 09	
FDL R INV TR SBI NEW MD	6 0000	08/05/11	12/21/11	548 70	482 33	66 37	
FDL R INV TR SBI NEW MD	8 0000	08/05/11	12/23/11	739 62	643 11	96 51	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
FDL R INV TR SBI NEW MD	3 0000	08/05/11	12/27/11	277 95	241 17	36 78	
FDL R INV TR SBI NEW MD	7 0000	08/11/11	12/27/11	648 58	593 23	55 35	
HIGHWOODS PPTYS INC	20 0000	09/29/11	12/23/11	598 60	567 87	30 73	
HOME PROPERTIES INC	3 0000	07/13/11	11/30/11	163 77	190 55	(26 78)	
HOME PROPERTIES INC	7 0000	07/18/11	11/30/11	382 16	450 80	(68 64)	
HOME PROPERTIES INC	3 0000	07/18/11	12/07/11	163 35	193 20	(29 85)	
HOME PROPERTIES INC	15 0000	09/06/11	12/07/11	816 80	959 05	(142 25)	
KIMCO REALTY CORP MD COM CXL	8 0000	02/23/11	11/22/11	122 14	148 47	26 33	
♦ KIMCO REALTY CORP MD COM	8 0000	02/23/11	11/22/11	122 14	148 47	N/C	
KIMCO REALTY CORP MD COM CXL	44 0000	04/15/11	11/22/11	671 81	793 30	121 49	
♦ KIMCO REALTY CORP MD COM	38 0000	04/15/11	11/22/11	580 20	685 12	N/C	
♦ KIMCO REALTY CORP MD COM	6 0000	04/15/11	11/22/11	91 61	108 18	N/C	
♦ MACK CALI REALTY CORP	9 0000	09/09/11	11/28/11	221 85	286 94	(65 09)	
♦ MACK CALI REALTY CORP	7 0000	09/09/11	11/28/11	172 55	228 01	(55 46)	
MACK CALI REALTY CORP	12 0000	09/13/11	11/28/11	295 81	357 91	(62 10)	
♦ MACK CALI REALTY CORP	1 0000	09/13/11	11/28/11	24 66	32 56	(7 90)	
♦ MACK CALI REALTY CORP	13 0000	09/13/11	11/29/11	318 16	423 23	(105 07)	
MACK CALI REALTY CORP	16 0000	10/05/11	11/29/11	391 60	411 80	(20 20)	
MACK CALI REALTY CORP	5 0000	10/05/11	12/07/11	129 90	128 69	1 21	
MACK CALI REALTY CORP	14 0000	10/06/11	12/07/11	363 74	365 64	(1 90)	
MACK CALI REALTY CORP	11 0000	10/06/11	12/12/11	282 29	287 28	(4 99)	
MACK CALI REALTY CORP	18 0000	10/11/11	12/12/11	461 93	476 71	(14 78)	
VENTAS INC	15 0000	12/17/10	11/28/11	752 83	742 33	10 50	
VENTAS INC	1 0000	12/21/10	11/28/11	50 19	50 75	(56)	
Subtotal (Short-Term)				③ 12,742.71	④ 13,413.74	(61 39)	4,541 20
TOTAL				25,041.75	24,204.57	1,347.90	5,732.75

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
AVALONBAY COMMUN INC	1 0000	11/04/10	01/11/12		126 72	112 80	13 92	
AVALONBAY COMMUN INC	6 0000	01/07/11	01/11/12		760 38	657 56	102 82	
BOSTON PPTYS INC	13 0000	10/28/10	01/11/12		1,293 71	1,119 53	174 18	
BOSTON PPTYS INC	12 0000	10/28/10	01/13/12		1,165 69	1,033 41	132 28	
♦ DDR CORP COM	57 0000	12/17/10	01/13/12		753 49	755 45	N/C	
DDR CORP COM	7 0000	12/17/10	01/13/12		92 53	92 77	(24)	
DDR CORP COM	1 0000	12/17/10	01/17/12		13 32	13 25	07	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
♦ DDR CORP COM	57 0000	12/17/10	01/17/12		759 55	708 05	51 50	
EQUITY RESIDENTIAL	29 0000	10/28/10	01/11/12		1,594 17	1,408 68	185 49	
EQUITY RESIDENTIAL	31 0000	10/28/10	01/20/12		1,720 55	1,505 83	214 72	
POST PROPERTIES INC	15 0000	10/28/10	01/23/12		640 00	446 25	193 75	
POST PROPERTIES INC	16 0000	10/28/10	01/24/12		687 40	476 00	211 40	
SIMON PROPERTY GROUP DEL	15 0000	10/28/10	01/11/12		1,916 69	1,442 71	473 98	
UDR INC	33 0000	11/09/10	01/11/12		807 55	740 20	67 35	
UDR INC	5 0000	01/06/11	01/11/12		122 36	113 94	8 42	
UDR INC	12 0000	01/06/11	01/23/12		294 99	273 45	21 54	
UDR INC	28 0000	01/07/11	01/23/12		688 31	630 49	57 82	
✧ VORNADO REALTY TRUST COM	3 0000	10/28/10	01/25/12		244 99	270 49	N/C	
✧ VORNADO REALTY TRUST COM	4 0000	10/28/10	01/25/12		326 67	343 55	N/C	
♦ VORNADO REALTY TRUST COM	4 0000	10/28/10	01/25/12		326 67	343 56	(16 89)	
♦ WEINGARTEN RLTY INVS SBI	16 0000	10/28/10	01/11/12		354 79	375 76	(20 97)	
♦ WEINGARTEN RLTY INVS SBI	1 0000	10/28/10	01/11/12		22 17	24 82	(2 65)	
Subtotal (Long-Term)					① 14,712.70	② 12,888.55	1,868 49	1,868 49
AVALONBAY CMMUN INC	7 0000	01/21/11	01/11/12		887 12	783 42	103 70	
AVALONBAY CMMUN INC	1 0000	01/21/11	01/13/12		125 91	111 92	13 99	
AVALONBAY CMMUN INC	6 0000	03/09/11	01/13/12		755 52	699 58	55 94	
AVALONBAY CMMUN INC	1 0000	08/09/11	01/13/12		125 92	120 18	5 74	
AVALONBAY CMMUN INC	4 0000	08/09/11	01/20/12		507 80	480 71	27 09	
AVALONBAY CMMUN INC	1 0000	08/11/11	01/20/12		126 96	129 39	(2 43)	
✧ PROLOGIS INC *	1 0000	01/25/11	12/29/11		28 48	28 70	N/C	
✧ PROLOGIS INC *	17 0000	01/28/11	12/29/11		484 33	575 72	N/C	
BRE PPTYS INC MARYLAND A	1 0000	07/01/11	01/20/12		49 38	50 92	(1 54)	
♦ BRE PPTYS INC MARYLAND A	10 0000	07/01/11	01/20/12		493 90	421 99	71 91	
BRE PPTYS INC MARYLAND A	3 0000	07/18/11	01/20/12		148 18	156 75	(8 57)	
♦ BIOMED REALTY TR INC	34 0000	04/03/11	01/18/12		619 88	617 52	2 36	
♦ BIOMED REALTY TR INC	1 0000	04/03/11	01/23/12		18 19	18 17	02	
BIOMED REALTY TR INC	27 0000	09/14/11	01/23/12		491 29	461 93	29 36	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
♦ DCT INDUSTRIAL TRUST INC	55 0000	03/30/ 11	01/20/ 12	303 75	316 46	(12 71)	
DCT INDUSTRIAL TRUST INC	72 0000	04/15/ 11	01/20/ 12	397 64	398 18	(54)	
DCT INDUSTRIAL TRUST INC	106 0000	05/05/ 11	01/20/ 12	585 43	607 44	(22 01)	
DDR CORP COM	10 0000	04/19/ 11	01/17/ 12	133 26	138 47	(5 21)	
FDL R INV TR SBI NEW MD	6 0000	08/26/ 11	01/13/ 12	539 00	519 05	19 95	
FDL R INV TR SBI NEW MD	6 0000	09/20/ 11	01/20/ 12	551 47	515 41	36 06	
HIGHWOODS PPTYS INC	1 0000	09/29/ 11	01/20/ 12	32 08	28 39	3 69	
HIGHWOODS PPTYS INC	15 0000	10/04/ 11	01/20/ 12	481 24	391 55	89 69	
HIGHWOODS PPTYS INC	5 0000	10/04/ 11	01/23/ 12	162 77	130 51	32 26	
HIGHWOODS PPTYS INC	10 0000	10/11/ 11	01/23/ 12	325 56	272 13	53 43	
HIGHWOODS PPTYS INC	10 0000	10/28/ 11	01/23/ 12	325 56	310 90	14 66	
HCP INC	30 0000	03/23/ 11	01/20/ 12	1,222 94	1,106 18	116 76	
HCP INC	10 0000	03/23/ 11	01/24/ 12	410 43	368 73	41 70	
HCP INC	1 0000	03/23/ 11	01/25/ 12	41 20	36 87	4 33	
HCP INC	23 0000	06/02/ 11	01/25/ 12	947 71	847 53	100 18	
HCP INC	8 0000	08/10/ 11	01/25/ 12	329 64	259 23	70 41	
HEALTH CARE REIT INC COM	15 0000	08/31/ 11	01/20/ 12	824 44	759 81	64 63	
HEALTH CARE REIT INC COM	10 0000	08/31/ 11	01/23/ 12	552 18	506 54	45 64	
HEALTH CARE REIT INC COM	14 0000	10/05/ 11	01/23/ 12	773 06	650 96	122 10	
HEALTH CARE REIT INC COM	4 0000	10/05/ 11	01/25/ 12	225 86	185 99	39 87	
HEALTH CARE REIT INC COM	10 0000	12/12/ 11	01/25/ 12	564 65	504 01	60 64	
NATIONAL RETAIL PPTYS	18 0000	08/03/ 11	01/11/ 12	480 46	445 14	35 32	
NATIONAL RETAIL PPTYS	1 0000	08/03/ 11	01/13/ 12	26 47	24 73	1 74	
NATIONAL RETAIL PPTYS	24 0000	08/05/ 11	01/13/ 12	635 45	576 84	58 61	
NATIONAL RETAIL PPTYS	24 0000	08/05/ 11	01/20/ 12	640 89	576 84	64 05	
RLJ LODGING TRUST	37 0000	05/12/ 11	01/20/ 12	624 41	663 79	(39 38)	
ROUSE PROPERTIES INC SHS	12 0000	01/19/ 12	01/20/ 12	128 26	136 33	(8 07)	
SENIOR HSG PPTYS TRSBI	42 0000	06/28/ 11	01/20/ 12	921 79	962 75	(40 96)	
TANGER FACTORY OUTLT CEN	17 0000	10/25/ 11	01/11/ 12	487 50	474 35	13 15	
WEINGARTEN RLTY INVS SBI	24 0000	04/01/ 11	01/11/ 12	532 19	596 30	(64 11)	

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)								
Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
WEINGARTEN RLTY INVS SBI	2 0000	04/21/11	01/11/12		44 36	51 53	(7 17)	
Subtotal (Short-Term)					③ 19,114.51	④ 18,019.84	1,186 28	1,186 28
TOTAL					33,827.21	30,908.39	3,054.77	3,054.77

* - Excludes transactions for which we have insufficient data
N/ C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
◇ PROLOGIS INC	11 0000	01/28/11	02/14/12		369 59	372 54	N/C	
◆ PROLOGIS INC	1 0000	02/07/11	02/14/12		33 59	29 56	4 03	
◇ PROLOGIS INC	10 0000	02/10/11	02/14/12		335 99	347 16	N/C	
◇ PROLOGIS INC	7 0000	02/10/11	02/14/12		235 20	243 01	N/C	
◇ PROLOGIS INC	5 0000	02/11/11	02/14/12		168 01	169 87	N/C	
BOSTON PPTYS INC	3 0000	10/28/10	02/13/12		313 23	258 35	54 88	
BOSTON PPTYS INC	10 0000	10/28/10	02/14/12		1,039 49	861 17	178 32	
◆ HOST HOTELS & RESORTS	15 0000	12/02/10	01/31/12		246 15	215 91	30 24	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
◇ HOST HOTELS & RESORTS	2 0000	12/05/10	01/31/12	32 82	34 31	N/C	
◆ HOST HOTELS & RESORTS	4 0000	12/05/10	01/31/12	65 64	58 77	6 87	
◇ HOST HOTELS & RESORTS	30 0000	12/13/10	01/31/12	492 32	529 58	N/C	
◇ HOST HOTELS & RESORTS	12 0000	12/15/10	01/31/12	196 93	215 24	N/C	
◇ HOST HOTELS & RESORTS	10 0000	12/20/10	01/31/12	164 10	176 35	N/C	
LIBERTY PPTY TR SBI	29 0000	12/17/10	01/31/12	966 03	888 24	77 79	
PEBBLEBROOK HOTEL TRUST	17 0000	10/28/10	02/14/12	379 96	337 11	42 85	
PEBBLEBROOK HOTEL TRUST	14 0000	10/28/10	02/15/12	309 96	277 62	32 34	
PEBBLEBROOK HOTEL TRUST	2 0000	10/28/10	02/16/12	44 08	39 66	4 42	
◆ VORNADO REALTY TRUST COM CXL	4 0000	10/28/10	01/25/12	326 67	343 56	16 89	
◇ VORNADO REALTY TRUST COM	4 0000	10/28/10	01/25/12	326 67	343 56	N/C	
VENTAS INC	14 0000	12/21/10	02/17/12	798 31	710 47	87 84	
Subtotal (Long-Term)				① 6,844.74	② 6,452.04	536 47	2,404 96
BRE PPTYS INC MARYLAND A	10 0000	07/18/11	02/08/12	508 30	522 50	(14 20)	
BRE PPTYS INC MARYLAND A	5 0000	08/26/11	02/08/12	254 15	239 39	14 76	
BRE PPTYS INC MARYLAND A	11 0000	08/26/11	02/16/12	547 15	526 66	20 49	
BIOMED REALTY TR INC	10 0000	09/14/11	02/16/12	190 95	171 09	19 86	
BIOMED REALTY TR INC	37 0000	10/11/11	02/16/12	706 52	623 68	82 84	
BIOMED REALTY TR INC	6 0000	10/28/11	02/16/12	114 58	109 06	5 52	
BROCKDALE SR LIVING INC	32 0000	01/13/12	02/24/12	603 49	502 32	101 17	
CBL & ASSOC PPTYS INC	43 0000	10/20/11	02/08/12	794 33	588 19	206 14	
CBL & ASSOC PPTYS INC	28 0000	12/15/11	02/08/12	517 24	422 98	94 26	
CBL & ASSOC PPTYS INC	11 0000	12/15/11	02/09/12	200 69	166 17	34 52	
CBL & ASSOC PPTYS INC	41 0000	12/27/11	02/09/12	748 03	652 37	95 66	
DIGITAL RLTY TR INC	13 0000	10/04/11	02/14/12	902 59	692 68	209 91	
DCT INDUSTRIAL TRUST INC	75 0000	05/05/11	02/03/12	425 90	429 80	(3 90)	
DCT INDUSTRIAL TRUST INC	37 0000	05/23/11	02/03/12	210 12	200 30	9 82	
DCT INDUSTRIAL TRUST INC	127 0000	05/23/11	02/16/12	725 57	687 52	38 05	
DDR CORP COM	53 0000	04/19/11	01/27/12	748 63	733 91	14 72	
DDR CORP COM	26 0000	07/11/11	01/27/12	367 26	378 98	(11 72)	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
ESSEX PPTY TR INC COM	7 0000	10/11/11	02/14/12		995 60	870 88	124 72	
ESSEX PPTY TR INC COM	4 0000	01/13/12	02/16/12		568 32	563 56	4 76	
ENTERTAINMENT PPTYS TR	15 0000	10/20/11	02/06/12		674 79	598 67	76 12	
ENTERTAINMENT PPTYS TR	5 0000	10/28/11	02/06/12		224 93	226 30	(1 37)	
ENTERTAINMENT PPTYS TR	7 0000	10/28/11	02/14/12		301 28	316 82	(15 54)	
ENTERTAINMENT PPTYS TR	2 0000	10/28/11	02/15/12		85 48	90 52	(5 04)	
ENTERTAINMENT PPTYS TR	3 0000	10/31/11	02/15/12		128 22	135 16	(6 94)	
ENTERTAINMENT PPTYS TR	9 0000	10/31/11	02/16/12		385 69	405 46	(19 77)	
ENTERTAINMENT PPTYS TR	4 0000	11/02/11	02/16/12		171 42	180 92	(9 50)	
ENTERTAINMENT PPTYS TR	6 0000	11/02/11	02/17/12		256 88	271 38	(14 50)	
FDL R INV TR SBI NEW MD	7 0000	09/20/11	02/14/12		677 82	601 32	76 50	
FDL R INV TR SBI NEW MD	2 0000	09/29/11	02/14/12		193 67	165 76	27 91	
HIGHWOODS PPTYS INC	14 0000	10/28/11	02/06/12		467 73	435 27	32 46	
HIGHWOODS PPTYS INC	6 0000	11/10/11	02/06/12		200 46	176 22	24 24	
HIGHWOODS PPTYS INC	21 0000	11/10/11	02/08/12		702 61	616 76	85 85	
♦ HOST HOTELS & RESORTS	10 0000	03/15/11	01/31/12		164 12	171 50	N/C	
HOST HOTELS & RESORTS	1 0000	03/15/11	01/31/12		16 41	17 15	(74)	
HCP INC	16 0000	08/10/11	01/31/12		672 67	518 47	154 20	
HCP INC	23 0000	08/10/11	02/14/12		941 56	745 30	196 26	
HCP INC	12 0000	08/15/11	02/14/12		491 26	404 83	86 43	
HEALTH CARE REIT INC COM	9 0000	12/12/11	02/03/12		510 96	453 61	57 35	
HEALTH CARE REIT INC COM	15 0000	12/12/11	02/06/12		849 98	756 01	93 97	
PEBBLEBROOK HOTEL TRUST	29 0000	04/19/11	02/16/12		639 17	607 78	31 39	
RLJ LODGING TRUST	12 0000	05/12/11	02/14/12		218 11	215 29	2 82	
RLJ LODGING TRUST	28 0000	05/12/11	02/15/12		499 83	502 33	(2 50)	
SENIOR HSG PPTYS TRSBI CXL	42 0000	06/28/11	01/20/12		921 79	962 75	40 96	
♦ SENIOR HSG PPTYS TRSBI	27 0000	06/28/11	01/20/12		592 58	618 91	N/C	
SENIOR HSG PPTYS TRSBI	15 0000	06/28/11	01/20/12		329 21	343 84	(14 63)	
SENIOR HSG PPTYS TRSBI	48 0000	06/28/11	02/16/12		1,056 95	1,100 28	(43 33)	
SENIOR HSG PPTYS TRSBI	38 0000	06/28/11	02/22/12		823 19	871 06	(47 87)	

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REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
TANGER FACTORY OUTLT CEN	18 0000	10/25/11	02/14/12	519.86	502.26	17.60	
TANGER FACTORY OUTLT CEN	1 0000	10/25/11	02/14/12	29.24	27.90	1.34	
TANGER FACTORY OUTLT CEN	19 0000	10/27/11	02/14/12	555.58	541.61	13.97	
TANGER FACTORY OUTLT CEN	6 0000	10/27/11	02/16/12	174.41	171.03	3.38	
TANGER FACTORY OUTLT CEN	21 0000	11/02/11	02/16/12	610.46	592.87	17.59	
TANGER FACTORY OUTLT CEN	2 0000	11/17/11	02/16/12	58.14	55.90	2.24	
◆ WEINGARTEN RLTY INVS SBI	11 0000	04/21/11	01/31/12	267.14	283.43	N/C	
◆ WEINGARTEN RLTY INVS SBI	16 0000	04/21/11	02/01/12	390.26	412.27	N/C	
Subtotal (Short-Term)				③ 24,684.82	④ 22,872.67	1,908.23	3,094.51
TOTAL				31,529.56	29,324.71	2,444.70	5,499.47

* - Excludes transactions for which we have insufficient data
N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

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REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
♦ KIMCO REALTY CORP MD COM	8 0000	03/19/11	03/20/12		155 79	154 64	1 15	
VENTAS INC	5 0000	12/21/10	03/20/12		285 31	253 74	31 57	
VENTAS INC	21 0000	03/03/11	03/20/12		1,198 32	1,113 64	84 68	
Subtotal (Long-Term)					① 1,639.42	② 1,522.02	117 40	2,522 36
BRE PPTYS INC MARYLAND A	13 0000	08/26/11	02/29/12		633 86	622 41	11 45	
BRE PPTYS INC MARYLAND A	6 0000	09/02/11	02/29/12		292 56	292 75	(19)	
BRE PPTYS INC MARYLAND A	4 0000	09/02/11	03/01/12		193 45	195 16	(1 71)	
BRE PPTYS INC MARYLAND A	10 0000	10/03/11	03/01/12		483 65	407 17	76 48	
BROOKDALE SR LIVING INC	9 0000	01/13/12	03/01/12		165 79	141 28	24 51	
BROOKDALE SR LIVING INC	35 0000	01/20/12	03/01/12		644 78	596 59	48 19	
DOUGLAS EMMETT INC	30 0000	09/29/11	02/29/12		629 69	528 12	101 57	
DOUGLAS EMMETT INC	29 0000	10/12/11	02/29/12		608 71	517 61	91 10	
DOUGLAS EMMETT INC	11 0000	10/12/11	03/06/12		239 38	196 33	43 05	
DOUGLAS EMMETT INC	28 0000	10/19/11	03/06/12		609 34	504 80	104 54	
DOUGLAS EMMETT INC	26 0000	10/20/11	03/06/12		565 83	459 09	106 74	
DCT INDUSTRIAL TRUST INC	9 0000	05/23/11	03/09/12		51 05	48 72	2 33	

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REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
DCT INDUSTRIAL TRUST INC	113 0000	06/20/11	03/09/12	640 97	579 37	61 60	
DCT INDUSTRIAL TRUST INC	32 0000	06/20/11	03/20/12	186 01	164 07	21 94	
DCT INDUSTRIAL TRUST INC	15 0000	08/05/11	03/20/12	87 19	68 54	18 65	
DCT INDUSTRIAL TRUST INC	74 0000	08/05/11	03/20/12	430 18	338 11	92 07	
HCP INC	25 0000	08/15/11	03/20/12	1,010 82	843 41	167 41	
HCP INC	27 0000	08/23/11	03/20/12	1,091 69	920 15	171 54	
HCP INC	20 0000	08/30/11	03/20/12	808 67	734 95	73 72	
HCP INC	2 0000	08/30/11	03/21/12	80 97	73 49	7 48	
HEALTH CARE REIT INC COM	6 0000	12/12/11	03/23/12	324 50	302 40	22 10	
HEALTH CARE REIT INC COM	11 0000	12/23/11	03/23/12	594 93	592 20	2 73	
KIMCO REALTY CORP MD COM	1 0000	04/15/11	03/20/12	19 47	18 03	1 44	
♦ KIMCO REALTY CORP MD COM	38 0000	05/09/11	03/20/12	740 04	714 42	25 62	
♦ KIMCO REALTY CORP MD COM	6 0000	05/12/11	03/20/12	116 84	113 23	3 61	
KIMCO REALTY CORP MD COM	77 0000	06/14/11	03/20/12	1,499 56	1,340 34	159 22	
KIMCO REALTY CORP MD COM	25 0000	06/21/11	03/20/12	486 88	451 00	35 88	
STARWOOD HOTELS AND	35 0000	01/11/12	02/29/12	1,918 12	1,842 27	75 85	
STARWOOD HOTELS AND	13 0000	01/11/12	03/20/12	737 07	684 28	52 79	
SENIOR HSG PPTYS TRSBI	11 0000	06/28/11	02/29/12	237 70	252 15	(14 45)	
♦ SENIOR HSG PPTYS TRSBI	27 0000	07/12/11	02/29/12	583 48	640 53	(57 05)	
Subtotal (Short-Term)				③ 16,713.18	④ 15,182.97	1,530 21	4,624 72
TOTAL				18,352.60	16,704.99	1,647.61	7,147.08

* - Excludes transactions for which we have insufficient data

♦ This transaction has been identified as a "Wash Sale" based on IRS regulations. The Gain or (Loss) column reflects the deferred loss amount. The cost basis of the related purchase(s) has

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REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
EQUITY RESIDENTIAL	17 0000	10/28/10	03/28/12		1,030 74	825 78	204 96	
EQUITY RESIDENTIAL	5 0000	11/04/10	03/28/12		303 16	252 27	50 89	
EQUITY RESIDENTIAL	2 0000	11/05/10	03/28/12		121 27	101 70	19 57	
EQUITY RESIDENTIAL	7 0000	11/05/10	04/09/12		421 79	355 94	65 85	
EQUITY RESIDENTIAL	22 0000	11/17/10	04/09/12		1,325 63	1,063 05	262 58	
EQUITY RESIDENTIAL	5 0000	11/18/10	04/09/12		301 28	246 14	55 14	
EQUITY RESIDENTIAL	17 0000	11/18/10	04/12/12		1,026 86	836 89	189 97	
EQUITY RESIDENTIAL	19 0000	02/28/11	04/23/12		1,167 55	1,044 98	122 57	
KILROY REALTY CORP	14 0000	04/06/11	04/23/12		643 83	549 63	94 20	
KILROY REALTY CORP	6 0000	04/08/11	04/23/12		275 94	235 01	40 93	
KILROY REALTY CORP	7 0000	04/08/11	04/24/12		326 83	274 17	52 66	
POST PROPERTIES INC	2 0000	10/28/10	04/09/12		91 86	59 50	32 36	
SIMON PROPERTY GROUP DEL	3 0000	10/28/10	04/03/12		439 96	288 54	151 42	
SIMON PROPERTY GROUP DEL	6 0000	10/28/10	04/04/12		871 93	577 08	294 85	
VENTAS INC	9 0000	03/03/11	04/03/12		508 84	477 28	31 56	
VENTAS INC	2 0000	03/03/11	04/04/12		112 41	106 06	6 35	
VENTAS INC	5 0000	03/04/11	04/04/12		281 05	264 91	16 14	
VENTAS INC	7 0000	03/04/11	04/12/12		391 97	370 87	21 10	

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REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
<i>Subtotal (Long-Term)</i>				① 9,642.90	② 7,929.80	1,713.10	4,235.46
ALEXANDRIA REAL EST EQTS	8.0000	11/11/11	04/24/12	585.50	545.92	39.58	
BIOMED REALTY TR INC	28.0000	10/28/11	04/02/12	541.64	508.93	32.71	
BIOMED REALTY TR INC	26.0000	11/03/11	04/02/12	502.95	476.16	26.79	
BIOMED REALTY TR INC	3.0000	11/10/11	04/02/12	58.04	54.42	3.62	
BIOMED REALTY TR INC	21.0000	11/10/11	04/03/12	399.83	380.97	18.86	
BIOMED REALTY TR INC	38.0000	11/21/11	04/03/12	723.53	657.38	66.15	
KILROY REALTY CORP	5.0000	05/05/11	04/24/12	233.46	207.37	26.09	
KIMCO REALTY CORP MD COM	32.0000	06/21/11	04/04/12	604.37	577.29	27.08	
KIMCO REALTY CORP MD COM	25.0000	06/30/11	04/04/12	472.17	466.46	5.71	
KIMCO REALTY CORP MD COM	19.0000	07/13/11	04/04/12	358.86	364.93	(6.07)	
POST PROPERTIES INC	16.0000	09/15/11	04/09/12	734.91	671.72	63.19	
POST PROPERTIES INC	1.0000	09/20/11	04/09/12	45.94	41.36	4.58	
POST PROPERTIES INC	12.0000	09/20/11	04/12/12	543.20	496.29	46.91	
POST PROPERTIES INC	6.0000	02/06/12	04/12/12	271.61	275.52	(3.91)	
POST PROPERTIES INC	12.0000	02/06/12	04/16/12	555.33	551.05	4.28	
VENTAS INC	23.0000	08/09/11	04/12/12	1,287.90	1,042.20	245.70	
VENTAS INC	13.0000	08/10/11	04/12/12	727.95	607.30	120.65	
<i>Subtotal (Short-Term)</i>				③ 8,647.19	④ 7,925.27	721.92	5,346.64
TOTAL				18,290.09	15,855.07	2,435.02	9,582.10

* - Excludes transactions for which we have insufficient data

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REALIZED GAINS/ (LOSSES)

<i>Description</i>	<i>Quantity</i>	<i>Acquired</i>		<i>Liquidation</i>	<i>Sale Amount</i>	<i>Cost Basis</i>	<i>Gains/ (Losses) *</i>	
		<i>Date</i>	<i>Date</i>				<i>This Statement</i>	<i>Year to Date</i>
CAMPUS CREST CMNTYS INC	45 0000	10/28/10	05/03/12		519 07	563 40	(44 33)	
CAMPUS CREST CMNTYS INC	9 0000	10/28/10	05/04/12		102 41	112 68	(10 27)	
CAMPUS CREST CMNTYS INC	25 0000	02/23/11	05/04/12		284 49	341 53	(57 04)	
EQUITY RESIDENTIAL	12 0000	02/28/11	05/08/12		760 47	659 99	100 48	
EQUITY RESIDENTIAL	6 0000	03/15/11	05/08/12		380 24	328 48	51 76	
EQUITY RESIDENTIAL	9 0000	03/15/11	05/09/12		568 31	492 71	75 60	

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REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
EXTRA SPACE STORAGE INC	23 0000	12/10/10	05/08/12		696 67	402 07	294 60	
KILROY REALTY CORP	9 0000	05/05/11	05/18/12		408 70	373 26	35 44	
KILROY REALTY CORP	10 0000	05/12/11	05/18/12		454 13	400 69	53 44	
KILROY REALTY CORP	11 0000	05/12/11	05/23/12		503 73	440 75	62 98	
PUBLIC STORAGE \$0 10	5 0000	10/28/10	05/04/12		701 18	498 00	203 18	
SL GREEN REALTY CORP	15 0000	10/28/10	05/04/12		1,204 46	991 05	213 41	
SL GREEN REALTY CORP	6 0000	10/28/10	05/08/12		488 21	396 42	91 79	
SL GREEN REALTY CORP	9 0000	11/10/10	05/08/12		732 33	584 37	147 96	
✧ SL GREEN REALTY CORP	7 0000	11/27/10	05/09/12		564 32	483 40	80 92	
SL GREEN REALTY CORP	8 0000	01/06/11	05/22/12		599 09	540 13	58 96	
SL GREEN REALTY CORP	1 0000	01/14/11	05/22/12		74 89	70 94	3 95	
SIMON PROPERTY GROUP DEL	14 0000	10/28/10	04/27/12		2,162 77	1,346 52	816 25	
SIMON PROPERTY GROUP DEL	3 0000	10/28/10	05/01/12		474 20	288 54	185 66	
SIMON PROPERTY GROUP DEL	13 0000	10/28/10	05/04/12		1,997 88	1,250 35	747 53	
TAUBMAN CENTERS INC COM	6 0000	10/28/10	05/04/12		465 58	280 08	185 50	
TAUBMAN CENTERS INC COM	4 0000	11/02/10	05/04/12		310 39	189 63	120 76	
TAUBMAN CENTERS INC COM	9 0000	11/02/10	05/09/12		691 06	426 66	264 40	
TAUBMAN CENTERS INC COM	2 0000	04/14/11	05/09/12		153 58	108 77	44 81	
UDR INC	2 0000	01/07/11	04/27/12		52 34	45 03	7 31	
UDR INC	30 0000	02/09/11	04/27/12		785 22	703 50	81 72	
UDR INC	7 0000	04/05/11	04/27/12		183 23	171 58	11 65	
UDR INC	9 0000	04/05/11	05/01/12		238 04	220 61	17 43	
WEINGARTEN RLTY INVS SBI	2 0000	04/21/11	05/16/12		52 23	51 53	70	
✧ WEINGARTEN RLTY INVS SBI	16 0000	05/06/11	05/16/12		417 88	419 48	(1 60)	
✧ WEINGARTEN RLTY INVS SBI	4 0000	05/07/11	05/16/12		104 47	105 29	(82)	
Subtotal (Long-Term)					① 17,131.57	② 13,287.44	3,844 13	8,079 59
ALEXANDRIA REAL EST EQTS	7 0000	11/11/11	05/22/12		485 06	477 68	7 38	
ALEXANDRIA REAL EST EQTS	7 0000	11/18/11	05/22/12		485 07	464 31	20 76	
ALEXANDRIA REAL EST EQTS	5 0000	12/07/11	05/22/12		346 48	339 78	6 70	
DIGITAL RLTY TR INC	9 0000	10/17/11	04/27/12		661 63	509 83	151 80	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
DIGITAL RLTY TR INC	4 0000	10/19/11	04/27/12	294 07	235 97	58 10	
DIGITAL RLTY TR INC	8 0000	10/19/11	05/04/12	591 58	471 94	119 64	
DIGITAL RLTY TR INC	5 0000	10/25/11	05/04/12	369 75	309 66	60 09	
DIGITAL RLTY TR INC	2 0000	10/25/11	05/10/12	146 63	123 87	22 76	
DIGITAL RLTY TR INC	13 0000	10/28/11	05/10/12	953 17	809 36	143 81	
FDL R INV TR SBI NEW MD	5 0000	09/29/11	05/08/12	509 72	414 40	95 32	
FDL R INV TR SBI NEW MD	3 0000	11/28/11	05/08/12	305 84	255 84	50 00	
FDL R INV TR SBI NEW MD	6 0000	11/28/11	05/22/12	587 69	511 68	76 01	
FDL R INV TR SBI NEW MD	8 0000	04/04/12	05/22/12	783 60	774 50	9 10	
KILROY REALTY CORP	1 0000	10/25/11	05/23/12	45 80	35 14	10 66	
KIMCO REALTY CORP MD COM	9 0000	07/13/11	05/22/12	162 66	172 86	(10 20)	
KIMCO REALTY CORP MD COM	32 0000	12/19/11	05/22/12	578 37	515 52	62 85	
KIMCO REALTY CORP MD COM	26 0000	02/08/12	05/22/12	469 94	489 88	(19 94)	
KIMCO REALTY CORP MD COM	6 0000	02/08/12	05/23/12	107 32	113 05	(5 73)	
KIMCO REALTY CORP MD COM	40 0000	02/09/12	05/23/12	715 49	751 72	(36 23)	
STARWOOD HOTELS AND	2 0000	01/11/12	04/27/12	119 43	105 27	14 16	
STARWOOD HOTELS AND	12 0000	02/02/12	04/27/12	716 61	646 30	70 31	
STARWOOD HOTELS AND	3 0000	02/03/12	04/27/12	179 16	168 69	10 47	
STARWOOD HOTELS AND	5 0000	02/03/12	05/07/12	284 54	281 15	3 39	
STARWOOD HOTELS AND	15 0000	02/14/12	05/07/12	853 65	826 94	26 71	
Subtotal (Short-Term)				③ 10,753.26	④ 9,805.34	947 92	6,294 56
TOTAL				27,884.83	23,092.78	4,792.05	14,374.15

* - Excludes transactions for which we have insufficient data

◆ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
BOSTON PPTYS INC	7 0000	10/28/10	06/11/12		719 33	602 82	116 51	
BOSTON PPTYS INC	6 0000	10/28/10	06/13/12		608 17	516 70	91 47	
COLONIAL PPTYS T SBI ALA	3 0000	10/28/10	05/29/12		63 83	55 27	8 56	
COLONIAL PPTYS T SBI ALA	33 0000	11/05/10	05/29/12		702 19	643 40	58 79	
COLONIAL PPTYS T SBI ALA	24 0000	01/10/11	05/29/12		510 70	443 86	66 84	
COLONIAL PPTYS T SBI ALA	15 0000	01/10/11	05/30/12		314 14	277 41	36 73	
EQTY LIFESTYLS PPTYS INC	22 0000	10/28/10	06/20/12		1,507 15	1,248 28	258 87	
✧ HOST HOTELS & RESORTS	2 0000	12/05/10	06/19/12		31 76	32 65	(89)	
✧ HOST HOTELS & RESORTS	30 0000	12/13/10	06/19/12		476 50	504 72	(28 22)	
✧ HOST HOTELS & RESORTS	12 0000	12/15/10	06/19/12		190 60	205 30	(14 70)	
✧ HOST HOTELS & RESORTS	10 0000	12/20/10	06/19/12		158 84	168 07	(9 23)	
HOST HOTELS & RESORTS	1 0000	03/15/11	06/19/12		15 89	17 15	(1 26)	
HOST HOTELS & RESORTS	45 0000	03/15/11	06/20/12		715 10	771 74	(56 64)	
MACERICH CO	9 0000	05/19/11	06/13/12		496 01	470 57	25 44	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
MACERICH CO	3 0000	05/23/11	06/13/12	165 34	155 23	10 11	
MACERICH CO	6 0000	05/23/11	06/14/12	333 70	310 47	23 23	
MACERICH CO	6 0000	05/26/11	06/14/12	333 70	316 75	16 95	
MACERICH CO	5 0000	05/26/11	06/15/12	280 49	263 96	16 53	
REGENCY CENTERS CORP	15 0000	02/23/11	06/26/12	687 88	656 88	31 00	
REGENCY CENTERS CORP	3 0000	02/28/11	06/26/12	137 58	135 07	2 51	
UDR INC	14 0000	04/05/11	06/26/12	351 47	343 16	8 31	
Subtotal (Long-Term)				① 8,800.37	② 8,139.46	660 91	8,740 50
COLONIAL PPTYS T SBI ALA	28 0000	09/02/11	05/30/12	586 40	570 90	15 50	
COLONIAL PPTYS T SBI ALA	4 0000	10/11/11	05/30/12	83 78	72 90	10 88	
HEALTH CARE REIT INC COM	14 0000	12/23/11	06/20/12	802 26	753 71	48 55	
HEALTH CARE REIT INC COM	1 0000	12/23/11	06/22/12	56 51	53 84	2 67	
HEALTH CARE REIT INC COM	10 0000	12/29/11	06/22/12	565 12	546 74	18 38	
HEALTH CARE REIT INC COM	2 0000	01/11/12	06/22/12	113 03	110 51	2 52	
MACERICH CO	9 0000	08/23/11	06/15/12	504 89	415 44	89 45	
MACERICH CO	6 0000	08/23/11	06/26/12	341 19	276 96	64 23	
MACERICH CO	8 0000	01/23/12	06/26/12	454 92	432 03	22 89	
PIEDMONT OFFICE REALTY	30 0000	11/18/11	06/19/12	506 43	506 44	(01)	
PIEDMONT OFFICE REALTY	7 0000	11/18/11	06/20/12	117 85	118 17	(32)	
PIEDMONT OFFICE REALTY	36 0000	11/28/11	06/20/12	606 13	589 45	16 68	
PIEDMONT OFFICE REALTY	7 0000	12/07/11	06/20/12	117 87	116 14	1 73	
TANGER FACTORY OUTLT CEN	19 0000	11/17/11	05/29/12	598 34	531 07	67 27	
TANGER FACTORY OUTLT CEN	5 0000	02/29/12	05/29/12	157 47	146 16	11 31	
✧ UDR INC	15 0000	07/11/11	06/26/12	376 58	380 05	N/C	
✧ UDR INC	12 0000	02/08/12	06/26/12	301 27	310 43	N/C	
Subtotal (Short-Term)				③ 6,290.04	④ 5,930.94	371 73	6,666 29
TOTAL				15,090.41	14,070.40	1,032.64	15,406.79

* - Excludes transactions for which we have insufficient data
N/ C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) * This Statement	Year to Date
✧ PROLOGIS INC	11 0000	01/28/11	07/24/12	342 05	366 07	(24 02)	
✧ PROLOGIS INC	5 0000	02/10/11	07/24/12	155 49	170 63	(15 14)	
DUPONT FABROS TECHNOLOGY	19 0000	01/21/11	07/16/12	534 65	398 65	136 00	
DUPONT FABROS TECHNOLOGY	4 0000	01/21/11	07/25/12	105 19	83 93	21 26	
DUPONT FABROS TECHNOLOGY	21 0000	02/15/11	07/25/12	552 26	469 95	82 31	
GENERAL GROWTH PROPERTIE	37 0000	12/28/10	07/13/12	669 09	565 89	103 20	
GENERAL GROWTH PROPERTIE	26 0000	01/06/11	07/13/12	470 18	388 34	81 84	
GENERAL GROWTH PROPERTIE	30 0000	01/06/11	07/16/12	542 56	448 09	94 47	
GENERAL GROWTH PROPERTIE	7 0000	01/25/11	07/16/12	126 60	102 67	23 93	
GENERAL GROWTH PROPERTIE	41 0000	01/25/11	07/18/12	732 72	601 36	131 36	
GENERAL GROWTH PROPERTIE	4 0000	01/27/11	07/18/12	71 48	57 89	13 59	
GENERAL GROWTH PROPERTIE	31 0000	02/01/11	07/18/12	554 02	457 87	96 15	
✧ HOST HOTELS & RESORTS CXL	2 0000	12/05/10	06/19/12	31 76	32 65	89	
◆ HOST HOTELS & RESORTS	2 0000	12/05/10	06/19/12	31 76	32 65	N/C	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
◇ HOST HOTELS & RESORTS CXL	30 0000	12/13/10	06/19/12	476 50	504 72	28 22	
◆ HOST HOTELS & RESORTS	30 0000	12/13/10	06/19/12	476 50	504 72	N/C	
◇ HOST HOTELS & RESORTS CXL	12 0000	12/15/10	06/19/12	190 60	205 30	14 70	
◆ HOST HOTELS & RESORTS	8 0000	12/15/10	06/19/12	127 07	136 87	N/C	
◇ HOST HOTELS & RESORTS	4 0000	12/15/10	06/19/12	63 53	68 43	(4 90)	
HOST HOTELS & RESORTS CXL	45 0000	03/15/11	06/20/12	715 10	771 74	56 64	
◇ HOST HOTELS & RESORTS	45 0000	03/15/11	06/20/12	715 10	771 74	N/C	
LIBERTY PPTY TR SBI	2 0000	12/17/10	07/05/12	73 45	61 26	12 19	
LIBERTY PPTY TR SBI	19 0000	12/27/10	07/05/12	697 85	607 21	90 64	
LIBERTY PPTY TR SBI	16 0000	01/10/11	07/05/12	587 67	513 42	74 25	
LIBERTY PPTY TR SBI	1 0000	01/14/11	07/05/12	36 74	32 60	4 14	
LIBERTY PPTY TR SBI	17 0000	01/14/11	07/06/12	623 81	554 19	69 62	
LIBERTY PPTY TR SBI	4 0000	05/09/11	07/06/12	146 78	139 64	7 14	
REGENCY CENTERS CORP	13 0000	02/28/11	06/29/12	616 78	585 29	31 49	
REGENCY CENTERS CORP	3 0000	03/04/11	06/29/12	142 34	130 46	11 88	
TAUBMAN CENTERS INC COM	7 0000	04/14/11	07/12/12	541 09	380 68	160 41	
TAUBMAN CENTERS INC COM	10 0000	06/20/11	07/12/12	773 00	588 18	184 82	
TAUBMAN CENTERS INC COM	9 0000	06/20/11	07/18/12	692 57	529 36	163 21	
◆ VORNADO REALTY TRUST COM	2 0000	10/28/10	07/24/12	162 24	171 78	N/C	
◆ VORNADO REALTY TRUST COM	3 0000	10/28/10	07/24/12	243 36	263 22	N/C	
Subtotal (Long-Term)				① 11,607.93	② 10,183.04	1,650 29	10,390 79
AMERICAN TOWER REIT INC	12 0000	04/27/12	07/24/12	827 43	776 86	50 57	
AMERICAN TOWER REIT INC	10 0000	04/27/12	07/25/12	694 21	647 38	46 83	
MACERICH CO	5 0000	01/23/12	07/02/12	295 55	270 02	25 53	
MACERICH CO	7 0000	01/24/12	07/02/12	413 79	379 54	34 25	
MACERICH CO	1 0000	01/24/12	07/06/12	59 46	54 22	5 24	
MACERICH CO	12 0000	03/28/12	07/06/12	713 52	682 34	31 18	
MACERICH CO	3 0000	03/28/12	07/13/12	176 03	170 59	5 44	
MACERICH CO	8 0000	04/02/12	07/13/12	469 44	463 16	6 28	
MACERICH CO	12 0000	04/02/12	07/16/12	704 90	694 74	10 16	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)								
Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	Gains/ (Losses) *
		Date		Date				This Statement Year to Date
MACERICH CO	1 0000	04/09/12		07/16/12		58 75	57 05	1 70
MACERICH CO	12 0000	04/09/12		07/19/12		687 76	684 54	3 22
PIEDMONT OFFICE REALTY	33 0000	12/07/11		07/05/12		570 07	547 53	22 54
PIEDMONT OFFICE REALTY	9 0000	01/24/12		07/05/12		155 48	163 87	(8 39)
PIEDMONT OFFICE REALTY	27 0000	01/24/12		07/10/12		458 55	491 60	(33 05)
PIEDMONT OFFICE REALTY	9 0000	02/03/12		07/10/12		152 86	169 44	(16 58)
PIEDMONT OFFICE REALTY	7 0000	02/03/12		07/11/12		118 56	131 79	(13 23)
PIEDMONT OFFICE REALTY	30 0000	02/03/12		07/19/12		512 70	564 81	(52 11)
PIEDMONT OFFICE REALTY	13 0000	02/17/12		07/19/12		222 18	232 76	(10 58)
PIEDMONT OFFICE REALTY	43 0000	02/17/12		07/20/12		728 43	769 89	(41 46)
TANGER FACTORY OUTLT CEN	22 0000	02/29/12		07/12/12		719 31	643 12	76 19
Subtotal (Short-Term)						③ 8,738.98	④ 8,595.25	143 73 6,810 02
TOTAL						20,346.91	18,778.29	1,794.02 17,200.81

* - Excludes transactions for which we have insufficient data
N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/ or capital items for discount and zero-coupon issues

ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/ (Losses) *	
		Date	Date				This Statement	Year to Date
EQTY LIFESTYLS PPTYS INC	10 0000	10/28/10	08/20/12		685 28	567 40	117 88	
EQTY LIFESTYLS PPTYS INC	5 0000	10/28/10	08/24/12		340 49	283 70	56 79	
EQTY LIFESTYLS PPTYS INC	5 0000	03/09/11	08/24/12		340 49	286 95	53 54	
EQTY LIFESTYLS PPTYS INC	7 0000	03/09/11	08/27/12		477 57	401 72	75 85	
EQTY LIFESTYLS PPTYS INC	4 0000	06/02/11	08/27/12		272 90	251 32	21 58	
PUBLIC STORAGE \$0 10	4 0000	10/28/10	08/01/12		595 73	398 40	197 33	
PUBLIC STORAGE \$0 10	4 0000	12/06/10	08/01/12		595 74	396 19	199 55	
SIMON PROPERTY GROUP DEL	5 0000	10/28/10	07/30/12		806 37	480 90	325 47	
TAUBMAN CENTERS INC COM	2 0000	06/20/11	08/17/12		157 70	117 63	40 07	
Subtotal (Long-Term)					① 4,272.27	② 3,184.21	1,088 06	11,478 85
AMERICAN TOWER REIT INC	1 0000	04/27/12	08/17/12		71 03	64 74	6 29	
AMERICAN TOWER REIT INC	10 0000	04/30/12	08/17/12		710 35	653 39	56 96	
AMERICAN TOWER REIT INC	1 0000	04/30/12	08/21/12		70 16	65 34	4 82	
AMERICAN TOWER REIT INC	10 0000	05/04/12	08/21/12		701 63	665 72	35 91	

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ATTACHMENT Q & R

REALIZED GAINS/ (LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/ (Losses) *	
						This Statement	Year to Date
AMERICAN TOWER REIT INC	10 0000	05/08/12	08/21/12	701 63	676 15	25 48	
BROOKDALE SR LIVING INC	42 0000	05/22/12	08/13/12	780 36	689 38	90 98	
BROOKDALE SR LIVING INC	1 0000	05/31/12	08/13/12	18 59	16 34	2 25	
BROOKDALE SR LIVING INC	4 0000	05/31/12	08/14/12	74 34	65 36	8 98	
DIGITAL RLTY TR INC	10 0000	11/02/11	08/17/12	767 90	624 44	143 46	
FDL R INV TR SBI NEW MD	6 0000	06/26/12	08/13/12	625 92	603 53	22 39	
HEALTH CARE REIT INC COM	25 0000	01/11/12	08/08/12	1,480 52	1,381 40	99 12	
MACERICH CO	13 0000	04/12/12	07/30/12	758 21	755 02	3 19	
MACERICH CO	13 0000	05/07/12	07/31/12	757 82	800 89	(43 07)	
TAUBMAN CENTERS INC COM	14 0000	10/03/11	08/17/12	1,103 93	675 82	428 11	
TAUBMAN CENTERS INC COM	4 0000	10/04/11	08/17/12	315 41	196 44	118 97	
TAUBMAN CENTERS INC COM	6 0000	10/04/11	08/20/12	465 50	294 67	170 83	
TAUBMAN CENTERS INC COM	3 0000	02/03/12	08/20/12	232 76	206 53	26 23	
TAUBMAN CENTERS INC COM	10 0000	02/03/12	08/21/12	776 02	688 42	87 60	
Subtotal (Short-Term)				③ 10,412.08	④ 9,123.58	1,288 50	8,098 52
TOTAL				14,684.35	12,307.79	2,376.56	19,577.37
* - Excludes transactions for which we have insufficient data				Long term ① 89,313.71	② 77,010.34	12,303.37	
				Short Term ③ 181,910.51	④ 181,770.68	139.83	

LEON J SIMKINS FOUNDATION
 EIN #59-2757208
 FORM 990 PF
 TAX YEAR 2011

ATTACHMENT S

INVESTMENT ACTIVITY

TAXPAYER NUMBER On File
 LAST STATEMENT July 31, 2012

SETTLEMENT DATE TRADE DATE	TRANSACTION	DESCRIPTION	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT	TOTAL COST	REALIZED GAIN/LOSS
08/03/12 08/02/12	SOLD	CALL CF 08/18/12 205 CF INDUSTRIES HOLDINGS INC OPEN CONTRACT DISCRETIONARY ORDER . J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BWXXG2	-1	194040		194 00	194 00	
08/03/12 08/02/12	SOLD	CALL EOG 08/18/12 105 EOG RES INC OPEN CONTRACT DISCRETIONARY ORDER .. J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZVRC7	-1	0.75470		75 43	75 43	

LEON J SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

08/16/12	SOLD	CALL DNKN 01/19/13 35	-1	0 95100	95 06	95 06
08/15/12		DUNKIN BRANDS GROUP INC				
		OPEN CONTRACT				
		DISCRETIONARY ORDER				
		..				
		SYMBOL/CUSIP 8BVTM8				

LEON J SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

08/22/12	SOLD	CF INDUSTRIES HOLDINGS INC	-100	205 00000	20,499.54	19,399.44 ⁰	1,294.10
08/17/12		J P MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY A/E 8BWXXG2 ASSIGNED 1 CALL CF 08/18/12 205 000 AS OF 08/17/12 ST GAIN \$1,294.10 SYMBOL/CUSIP CF					
08/22/12	SOLD	EOG RES INC	-100	105 00000	10,499.76	9,572.01 ⁰	1,003.18
08/17/12		A/E 8BZVRC7 ASSIGNED 1 CALL EOG 08/18/12 105,000 AS OF 08/17/12 ST GAIN \$1,003.18 SYMBOL/CUSIP EOG					

LEON J. SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

08/28/12	SOLD	CALL CF 09/22/12 220	-1	2 13230	213 19	213 19
08/27/12		CF INDUSTRIES HOLDINGS INC OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CRRST6				
08/29/12	SOLD	EOG RES INC	-10	108 05000	1,080 47	957 20
08/24/12		DISCRETIONARY ORDER ST GAIN \$123.27 SYMBOL/CUSIP EOG				123 27

LEON J. SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

SETTLEMENT DATE	TRANSACTION	DESCRIPTION	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT	TOTAL COST	REALIZED GAIN/LOSS
07/20/12	SOLD	CALL CLR 08/18/12 85	-9	0.65000		584 75	584 75	
07/19/12		CONTINENTAL RESOURCES INC OPEN CONTRACT DISCRETIONARY ORDER ... J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZMYG2						

LEON J. SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

SETTLEMENT DATE	TRANSACTION	DESCRIPTION	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT	TOTAL COST	REALIZED GAIN/LOSS
07/25/12	SOLD	CF INDUSTRIES HOLDINGS INC	-200	195.00000		38,999.12	34,520.450	4,978.58
07/20/12		J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY A/E 8CTPQZ8 ASSIGNED 2 CALL CF 07/21/12 195,000 AS OF 07/20/12 ST GAIN \$4,978.58 SYMBOL/CUSIP CF						

LEON J. SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

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06/12/12	SOLD	W & T OFFSHORE INC	-470	16.09610	7,565 00	7,379 28	185 72
06/07/12		DISCRETIONARY ORDER					
		J P MORGAN SECURITIES LLC					
		MAKES A MARKET IN THIS					
		SECURITY					
		ST GAIN \$185.72					
		SYMBOL/CUSIP WTI					

LEON J. SIMKINS FOUNDATION
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06/14/12	SOLD	CALL GLD 08/18/12 160	-7	4 66670	3,266 44	3,266 44	
06/13/12		SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER -- J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8G00447					
06/18/12	SOLD	SPDR SER TR	-400	29.80440	11,921 49	14,041 72	-2,120.23
06/13/12		S&P OIL & GAS EQUIPMENT & SERVICES ETF DISCRETIONARY ORDER ST LOSS \$2,120 .23 SYMBOL/CUSIP XES					

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06/19/12 06/18/12	SOLD	CALL DE 09/22/12 85 DEERE & CO OPEN CONTRACT DISCRETIONARY ORDER ... J P, Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZFLM5	-3	0 62000	185.92	185.92	
06/20/12 06/15/12	SOLD	E I DU PONT DE NEMOURS & CO A/E 8BZXTD6 ASSIGNED 12 CALL DD 06/16/12 50,000 AS OF 06/15/12 ST GAIN \$8,883.46 SYMBOL/CUSIP DD	-1,200	50.00000	59,998.65	51,618.87 ⁰	8,883.46
06/20/12 06/15/12	SOLD	MARKET VECTORS ETF TRUST GOLD MINERS ETF A/E 8CYDMF5 ASSIGNED 4 CALL GDX 06/16/12 44,000 AS OF 06/15/12 ST LOSS \$3,267.00 SYMBOL/CUSIP GDX	-400	44 00000	17,599.60	21,204.52 ⁰	-3,267.00

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06/20/12 06/19/12	SOLD	CALL EOG 07/21/12 105 EOG RES INC OPEN CONTRACT DISCRETIONARY ORDER .. J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CYZT4	-1	0 71470		71 39	71 39	
06/21/12 06/18/12	SOLD	MARKET VECTORS ETF TRUST GOLD MINERS ETF DISCRETIONARY ORDER ST LOSS \$381 35 SYMBOL/CUSIP GDX	-50	47 30410		2,365.15	2,746 50	-381 35

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06/26/12	SOLD	CALL BRKB 08/18/12 85	-2	0 47070	94.06	94 06
06/25/12		BERKSHIRE HATHAWAY INC - CL B OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZLQR6				
06/26/12	SOLD	CALL CAT 07/21/12 87 50	-4	0 78840	315 24	315 24
06/25/12		CATERPILLAR INC OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CSDSZ1				
06/26/12	SOLD	CALL CF 07/21/12 195	-2	2 50000	499 91	499 91
06/25/12		CF INDUSTRIES HOLDINGS INC OPEN CONTRACT DISCRETIONARY ORDER .. J P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CTPQZ8				

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06/27/12 06/26/12	SOLD	CALL BRKB 08/18/12 85 BERKSHIRE HATHAWAY INC - CL B OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZLQR6	-6	0 45000		269 84	269 84	
06/29/12 06/28/12	SOLD	CALL DD 08/18/12 52.50 E I DU PONT DE NEMOURS & CO OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZPSP2	-3	0 31460		94 30	94 30	

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05/02/12 05/01/12	SOLD	CALL GLD 05/19/12 166 SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8C95886	-7	0.50000		349.82	349.82	
05/11/12 05/08/12	SOLD	ETFS SILVER TR SILVER SHS DISCRETIONARY ORDER ST GAIN \$99.99 SYMBOL/CUSIP SIVR	-825	29.25200		24,132.35	24,032.36	99.99
05/17/12 05/16/12	SOLD	CALL GLD 08/18/12 150 SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8G00426	-7	5.40000		3,779.74	3,779.74	

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05/18/12 05/17/12	SOLD	CALL WFC 10/20/12 35 WELLS FARGO & CO OPEN CONTRACT DISCRETIONARY ORDER " J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8E29661	-27	113820		3,072.41	3,072.41	
05/21/12 05/18/12	SOLD	CALL AAPL 08/18/12 630 APPLE INC OPEN CONTRACT DISCRETIONARY ORDER " J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BYXV2	-1	777.120		777.03	777.03	
05/21/12 05/18/12	SOLD	CALL DE 09/22/12 80 DEERE & CO OPEN CONTRACT DISCRETIONARY ORDER " J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZFLC5	-3	261210		783.54	783.54	

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05/24/12 05/23/12	SOLD	CALL BRKB 09/22/12 87 50 BERKSHIRE HATHAWAY INC - CL B OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BWQJD3	-1	0 80000		79.92	79 92	
05/24/12 05/23/12	SOLD	CALL CF 06/16/12 180 CF INDUSTRIES HOLDINGS INC OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BYNQS1	-1	1 85840		185.76	185 76	
05/24/12 05/23/12	SOLD	CALL DD 06/16/12 50 E I DU PONT DE NEMOURS & CO OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZXTD6	-12	0 42000		503 68	503 68	

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05/24/12 05/23/12	SOLD	CALL CLR 06/16/12 80 CONTINENTAL RESOURCES INC OPEN CONTRACT DISCRETIONARY ORDER J P, Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CXVMF9	-9	0 88160		793 19	793 19	
05/24/12 05/23/12	SOLD	CALL GDX 06/16/12 44 MARKET VECTORS GOLD MINERS ETF OPEN CONTRACT DISCRETIONARY ORDER J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CYDMF5	-4	0 84510		337 92	337 92	
05/24/12 05/23/12	SOLD	CALL HAL 06/16/12 32 HALLIBURTON CO OPEN CONTRACT DISCRETIONARY ORDER J.P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8G02310	-25	0 46380		1,158 85	1,158 85	
05/25/12 05/24/12	SOLD	CALL BRKB 06/16/12 82 50 BERKSHIRE HATHAWAY INC - CL B OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CXCRM3	-8	0 28750		229 80	229 80	

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05/30/12	SOLD	CALL XES 06/16/12 34	-4	0 15000	59 88	59 88
05/29/12		SPDR S&P OIL & GAS EQUIP & SVC OPEN CONTRACT DISCRETIONARY ORDER .. SYMBOL/CUSIP 8CYRBZ0				
05/31/12	SOLD	CALL GLD 06/16/12 154	-1	1 10520	110 44	110 44
05/30/12		SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CSNWD9				

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SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
06/01/12	05/31/12	SOLD	CALL GLD 06/16/12 154 SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain	8CSNWD9	-6	1 3824		829.28

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04/25/12	SOLD	CALL DD 06/16/12 55	-12	0 39660	475 60	475 60
04/24/12		E I DU PONT DE NEMOURS & CO				
		OPEN CONTRACT				
		DISCRETIONARY ORDER				
		..				
		J P, Morgan Securities LLC				
		makes a market in certain				
		SYMBOL/CUSIP 8BZXTG4				

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04/30/12	SOLD	CALL CF 05/19/12 220	-1	0.99230		99.15	99.15	
04/27/12		CF INDUSTRIES HOLDINGS INC						
		OPEN CONTRACT						
		DISCRETIONARY ORDER						
		J P Morgan Securities LLC						
		makes a market in certain						
		SYMBOL/CUSIP 8CXMZW9						

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03/02/12	SOLD	CALL GLD 03/17/12 176	-7	0.25500	178 32	178 32
03/01/12		SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER .. J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8U90703				

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03/05/12 02/29/12	SOLD	E I DU PONT DE NEMOURS & CO DISCRETIONARY ORDER ST GAIN \$1,122.98 SYMBOL/CUSIP DD	-120	50.94680		6,113.50	4,990.52	1,122.98
03/05/12 02/29/12	SOLD	SPDR SER TR S&P OIL & GAS EQUIPMENT & SERVICES ETF DISCRETIONARY ORDER ST GAIN \$2,168.49 SYMBOL/CUSIP XES	-500	38.90400		19,451.64	17,283.15	2,168.49
03/09/12 03/06/12	SOLD	MOSAIC COMPANY NEW DISCRETIONARY ORDER ST GAIN \$1,598.26 SYMBOL/CUSIP MOS	-600	54.94390		32,965.74	31,367.48	1,598.26

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03/19/12	SOLD	CALL AAPL 04/21/12 650	-1	8.45000	844 91	844 91
03/16/12		APPLE INC OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8D76947				
03/20/12	SOLD	CALL DE 04/21/12 87.50	-3	0 30150	90 37	90 37
03/19/12		DEERE & CO OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BWNPL3				

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03/20/12 03/19/12	SOLD	CALL WFC 04/21/12 37 WELLS FARGO & CO OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BZZJV2	-6	0 20000		119 85	119 85	
03/20/12 03/19/12	SOLD	CALL GLD 04/21/12 172 SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CZYWG0	-7	0 38050		266 17	266 17	
03/23/12 03/22/12	SOLD	CALL CF 04/21/12 190 CF INDUSTRIES HOLDINGS INC OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BTYPT5	-1	3 62190		362 11	362 11	

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TRADE DATE	TRANSACTION	DESCRIPTION	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT	TOTAL COST	GAIN/LOSS	
03/23/12	SOLD	CALL CLR 04/21/12 95	-9	0.77420		696.53	696.53		
03/22/12		CONTINENTAL RESOURCES INC							
		OPEN CONTRACT							
		DISCRETIONARY ORDER							
		..							
		J P Morgan Securities LLC							
		makes a market in certain							
		SYMBOL/CUSIP 8BVCPG1							

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02/06/12	SOLD	CALL GLD 02/18/12 178	-6	0.28180		168.93	168.93	
02/03/12		SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER						
		J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CYDRW5						

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02/10/12	SOLD	CALL GLD 02/18/12 172	-6	0.45000	269.85	269.85
02/09/12		SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER ** J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CYDRV3				

02/10/12	SOLD	CALL GDX 02/18/12 58	-4	0.21750	86.88	86.88
02/09/12		MARKET VECTORS GOLD MINERS ETF OPEN CONTRACT DISCRETIONARY ORDER *** J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CZYRB0				

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02/16/12	SOLD	CALL AAPL 02/18/12 555	-1	0.50180		50 10	50 10	
02/15/12		APPLE INC OPEN CONTRACT DISCRETIONARY ORDER .. J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CYSXN7						
02/23/12	SOLD	CALL DD 04/21/12 55	-1	0.40950		40 87	40 87	
02/22/12		E I DU PONT DE NEMOURS & CO OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CVZZN2						

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02/24/12	SOLD	CALL AAPL 03/17/12 590	-1	0.66430		66.35	66.35	
02/23/12		APPLE INC OPEN CONTRACT DISCRETIONARY ORDER .. J.P. Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8BRRTB4						
02/24/12	SOLD	CALL DD 04/21/12 55	-14	0.40950		572.93	572.93	
02/22/12		E I DU PONT DE NEMOURS & CO OPEN CONTRACT DISCRETIONARY ORDER ... J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8CVZZN2						
02/27/12	SOLD	CALL GLD 03/17/12 180	-6	0.67950		407.55	407.55	
02/24/12		SPDR GOLD TR OPEN CONTRACT DISCRETIONARY ORDER J P Morgan Securities LLC makes a market in certain SYMBOL/CUSIP 8U90711						

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03/05/12	02/29/12	SOLD	E I DU PONT DE NEMOURS & CO DISCRETIONARY ORDER	DD	-120	50.9468	6,113 50
03/05/12	02/29/12	SOLD	SPDR SER TR S&P OIL & GAS EQUIPMENT & SERVICES ETF DISCRETIONARY ORDER	XES	-500	38.9040	19,451 64

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TRADE DATE	TRANSACTION	DESCRIPTION	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT	TOTAL COST	GAIN/LOSS
01/03/12	SOLD	MARKET VECTORS ETF TR	-215	113.69990		24,445.01	26,751.36	-2,306.35
12/28/11		OIL SVCS ETF						
		DISCRETIONARY ORDER						
		ST LOSS \$2,306.35						
		SYMBOL/CUSIP OIH						

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12/05/11	SOLD	CALL GLD 12/02/11 171	-2	0 16340		32 61	32 61	
12/02/11		SPDR GOLD TR						
		OPEN CONTRACT						
		DISCRETIONARY ORDER						
		..						
		J P, Morgan Securities LLC						
		makes a market in certain						
		SYMBOL/CUSIP 8CZSVT8						

		SYMBOL/CUSIP 8CZSVT8						
12/07/11	SOLD	CALL GLD 12/17/11 179	-4	0 20800		83.10	83 10	
12/06/11		SPDR GOLD TR						
		OPEN CONTRACT						
		DISCRETIONARY ORDER						
		...						
		J,P Morgan Securities LLC						
		makes a market in certain						
		SYMBOL/CUSIP 8CTWKJ2						

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12/21/11	SOLD	MARKET VECTORS ETF TR JR	-730	25 55440	18,654.35	22,468.43	-3,814.08
12/16/11		GOLD MINES DISCRETIONARY ORDER ST LOSS \$3,814.08 SYMBOL/CUSIP GDJ					

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		SYMBOL/CUSIP	OIHYL					
12/28/11	SOLD	CF INDUSTRIES HOLDINGS INC	-175	142.92570	25,011 51	26,508.44	-1,496.93	
12/22/11		DISCRETIONARY ORDER						
		J.P. MORGAN SECURITIES LLC						
		MAKES A MARKET IN THIS						
		SECURITY						
		ST LOSS \$1,496 .93						
		SYMBOL/CUSIP CF						
12/28/11	SOLD	ISHARES SILVER TR	-675	28.27510	19,085 32	19,845 00	-759 68	
12/22/11		ISHARES						
		DISCRETIONARY ORDER						
		ST LOSS \$759 68						
		SYMBOL/CUSIP SLV						

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01/03/12	12/28/11	SOLD	MARKET VECTORS ETF TR OIL SVCS ETF DISCRETIONARY ORDER	OIH	-215	113.6999		24,445.01

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11/09/11	11/08/11	SOLD	CALL CF 12/17/11 210 CF INDUSTRIES HOLDINGS INC OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain	8BRSLY4	-1	0.52060	51.99
11/09/11	11/08/11	SOLD	CALL AAPL 01/21/12 445 APPLE INC OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain	8BVVWN1	-1	7.40890	740.81
11/10/11	11/09/11	SOLD	CALL BRKB 11/19/11 80 BERKSHIRE HATHAWAY INC - CL B OPEN CONTRACT DISCRETIONARY ORDER ... J P Morgan Securities LLC makes a market in certain	8BSTNJ9	-4	0.15800	63.10

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11/10/11	11/09/11	SOLD	CALL SLV 11/19/11 36 ISHARES SILVER TR OPEN CONTRACT DISCRETIONARY ORDER ... J.P. Morgan Securities LLC makes a market in certain	8CVSKM6	-6	0 22230		133 24
11/25/11	11/23/11	SOLD	CALL DE 01/21/12 85 DEERE & CO OPEN CONTRACT DISCRETIONARY ORDER ... J P Morgan Securities LLC makes a market in certain	8H59235	-2	0 77310		154 55

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10/05/11	09/30/11	SOLD	APPLE INC DISCRETIONARY ORDER J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY	AAPL	-15	385.83200	5,787.36
10/05/11	09/30/11	SOLD	CF INDUSTRIES HOLDINGS INC DISCRETIONARY ORDER J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY	CF	-25	130.61560	3,265.32
10/05/11	09/30/11	SOLD	CONTINENTAL RESOURCES INC DISCRETIONARY ORDER	CLR	-110	49.44290	5,438.61
10/05/11	09/30/11	SOLD	EOG RES INC DISCRETIONARY ORDER	EOG	-95	70.95610	6,740.70
10/05/11	09/30/11	SOLD	ETFS PLATINUM TR SH BEN INT DISCRETIONARY ORDER	PPLT	-10	149.31000	1,493.07
10/05/11	09/30/11	SOLD	ETFS PLATINUM TR SH BEN INT DISCRETIONARY ORDER	PPLT	-30	150.55980	4,516.70
10/05/11	09/30/11	SOLD	HALLIBURTON CO DISCRETIONARY ORDER	HAL	-140	30.88490	4,323.80

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10/19/11	10/14/11	SOLD	APPLE INC DISCRETIONARY ORDER J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY	AAPL	-35	419 14310	-	14,669 72
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10/27/11	10/24/11	SOLD	EOG RES INC DISCRETIONARY ORDER	EOG	-530	90.45000	47,937.57
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10/28/11	10/25/11	SOLD	CONTINENTAL RESOURCES INC DISCRETIONARY ORDER	CLR	-115	60.18350	6,920.96
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10/28/11	10/25/11	SOLD	W & T OFFSHORE INC DISCRETIONARY ORDER J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY	WTI	-125	18.54800		2,318.45
							2,318.45	

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10/05/11	09/30/11	SOLD	APPLE INC DISCRETIONARY ORDER J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY	AAPL	-15	385 8320	5,787.36
10/05/11	09/30/11	SOLD	CF INDUSTRIES HOLDINGS INC DISCRETIONARY ORDER J.P. MORGAN SECURITIES LLC MAKES A MARKET IN THIS SECURITY	CF	-25	130.6156	3,265.32
10/05/11	09/30/11	SOLD	CONTINENTAL RESOURCES INC DISCRETIONARY ORDER	CLR	-110	49 4429	5,438.61

LEON J SIMKINS FOUNDATION
EIN #59-2757208
FORM 990 PF
TAX YEAR 2011

ATTACHMENT S

LAST STATEMENT							
SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	CREDIT AMOUNT
10/05/11	09/30/11	SOLD	EOG RES INC DISCRETIONARY ORDER	EOG	-95	70 9561	6,740.70
10/05/11	09/30/11	SOLD	ETFS PLATINUM TR SH BEN INT DISCRETIONARY ORDER	PPLT	-10	149.3100	1,493.07
10/05/11	09/30/11	SOLD	ETFS PLATINUM TR SH BEN INT DISCRETIONARY ORDER	PPLT	-30	150.5598	4,516.70
10/05/11	09/30/11	SOLD	HALLIBURTON CO DISCRETIONARY ORDER	HAL	-140	30 8849	4,323.80
Short Term					500,432.62	494,731.21	5,701.41