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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

For calendar year 2011, or tax year beginning 09-01-2011 , and ending 08-31-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation  
ANDREW JERGENS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)  
PNC BANK 620 LIBERTY AVE NO 10THFL

Room/suite

City or town, state, and ZIP code  
PITTSBURGH, PA 15222705

**A Employer identification number**  
31-6038702

**B Telephone number** (see page 10 of the instructions)  
(412) 762-0861

**C** If exemption application is pending, check here ☐  
**D 1.** Foreign organizations, check here ☐  
**2.** Foreign organizations meeting the 85% test, check here and attach computation ☐  
**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
\$ 11,329,522

**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) ) |                                                                                                      | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                            | 1 Contributions, gifts, grants, etc , received (attach schedule)                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                                 | 184                                | 184                       |                         |                                                             |
|                                                                                                                                                                                    | 4 Dividends and interest from securities. . . . .                                                    | 328,257                            | 328,294                   |                         |                                                             |
|                                                                                                                                                                                    | 5a Gross rents . . . . .                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | b Net rental income or (loss) _____                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                             | 403,686                            |                           |                         |                                                             |
|                                                                                                                                                                                    | b Gross sales price for all assets on line 6a _____ 5,422,468                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2) . . . .                                             |                                    | 353,858                   |                         |                                                             |
|                                                                                                                                                                                    | 8 Net short-term capital gain . . . . .                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 9 Income modifications . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 10a Gross sales less returns and allowances                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | b Less Cost of goods sold . . . . .                                                                  |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                              | c Gross profit or (loss) (attach schedule) . . . . .                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 11 Other income (attach schedule) . . . . .                                                          | 2,228                              | 12                        |                         |                                                             |
|                                                                                                                                                                                    | 12 <b>Total.</b> Add lines 1 through 11 . . . . .                                                    | 734,355                            | 682,348                   |                         |                                                             |
|                                                                                                                                                                                    | 13 Compensation of officers, directors, trustees, etc                                                | 36,862                             | 0                         |                         | 36,862                                                      |
|                                                                                                                                                                                    | 14 Other employee salaries and wages . . . . .                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 15 Pension plans, employee benefits . . . . .                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 16a Legal fees (attach schedule). . . . .                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | b Accounting fees (attach schedule). . . . .                                                         | 1,575                              | 0                         |                         | 1,575                                                       |
|                                                                                                                                                                                    | c Other professional fees (attach schedule) . . . . .                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 17 Interest . . . . .                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 18 Taxes (attach schedule) (see page 14 of the instructions)                                         | 10,422                             | 4,526                     |                         | 0                                                           |
|                                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion . . . .                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 20 Occupancy . . . . .                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 21 Travel, conferences, and meetings . . . . .                                                       | 649                                | 0                         |                         | 649                                                         |
|                                                                                                                                                                                    | 22 Printing and publications . . . . .                                                               | 1,220                              | 0                         |                         | 1,220                                                       |
|                                                                                                                                                                                    | 23 Other expenses (attach schedule). . . . .                                                         | 42,739                             | 34,652                    |                         | 8,087                                                       |
|                                                                                                                                                                                    | 24 <b>Total operating and administrative expenses.</b>                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | Add lines 13 through 23 . . . . .                                                                    | 93,467                             | 39,178                    |                         | 48,393                                                      |
|                                                                                                                                                                                    | 25 Contributions, gifts, grants paid . . . . .                                                       | 550,800                            |                           |                         | 550,800                                                     |
|                                                                                                                                                                                    | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25                                      | 644,267                            | 39,178                    |                         | 599,193                                                     |
|                                                                                                                                                                                    | 27 Subtract line 26 from line 12                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | a <b>Excess of revenue over expenses and disbursements</b>                                           | 90,088                             |                           |                         |                                                             |
|                                                                                                                                                                                    | b <b>Net investment income</b> (if negative, enter -0-)                                              |                                    | 643,170                   |                         |                                                             |
|                                                                                                                                                                                    | c <b>Adjusted net income</b> (if negative, enter -0-)                                                |                                    |                           |                         |                                                             |

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

|                             |     | Beginning of year                                                                                                                                | End of year    |                       |
|-----------------------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                             |     | (a) Book Value                                                                                                                                   | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash—non-interest-bearing . . . . .                                                                                                              |                |                       |
|                             | 2   | Savings and temporary cash investments . . . . .                                                                                                 | 142,360        | 490,387               |
|                             | 3   | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                                      |                |                       |
|                             | 4   | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                                       |                |                       |
|                             | 5   | Grants receivable . . . . .                                                                                                                      |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . . |                |                       |
|                             | 7   | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                       |                |                       |
|                             | 8   | Inventories for sale or use . . . . .                                                                                                            |                |                       |
|                             | 9   | Prepaid expenses and deferred charges . . . . .                                                                                                  |                |                       |
|                             | 10a | Investments—U S and state government obligations (attach schedule)                                                                               | 46,461         | 50,351                |
|                             | b   | Investments—corporate stock (attach schedule) . . . . .                                                                                          | 5,599,369      | 6,517,108             |
|                             | c   | Investments—corporate bonds (attach schedule). . . . .                                                                                           | 1,119,508      | 1,120,535             |
|                             | 11  | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                              |                |                       |
|                             | 12  | Investments—mortgage loans . . . . .                                                                                                             |                |                       |
|                             | 13  | Investments—other (attach schedule) . . . . .                                                                                                    | 2,695,670      | 3,151,141             |
|                             | 14  | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                                          |                |                       |
| Liabilities                 | 15  | Other assets (describe ▶ _____)                                                                                                                  |                |                       |
|                             | 16  | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)                                                       | 9,603,368      | 11,329,522            |
|                             | 17  | Accounts payable and accrued expenses . . . . .                                                                                                  |                |                       |
|                             | 18  | Grants payable . . . . .                                                                                                                         |                |                       |
|                             | 19  | Deferred revenue . . . . .                                                                                                                       |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                                                                         |                |                       |
|                             | 21  | Mortgages and other notes payable (attach schedule) . . . . .                                                                                    |                |                       |
|                             | 22  | Other liabilities (describe ▶ _____)                                                                                                             |                |                       |
|                             | 23  | Total liabilities (add lines 17 through 22) . . . . .                                                                                            | 0              | 0                     |
| Net Assets or Fund Balances |     | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.                    |                |                       |
|                             | 24  | Unrestricted . . . . .                                                                                                                           |                |                       |
|                             | 25  | Temporarily restricted . . . . .                                                                                                                 |                |                       |
|                             | 26  | Permanently restricted . . . . .                                                                                                                 |                |                       |
|                             |     | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.                      |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds . . . . .                                                                                       | 9,571,483      | 9,687,160             |
|                             | 28  | Paid-in or capital surplus, or land, bldg , and equipment fund                                                                                   | 0              | 0                     |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds                                                                                 | 31,885         | 6,296                 |
|                             | 30  | Total net assets or fund balances (see page 17 of the instructions) . . . . .                                                                    | 9,603,368      | 9,693,456             |
|                             | 31  | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .                                                       | 9,603,368      | 9,693,456             |

Part III

Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                    |   |           |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 | 9,603,368 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | 90,088    |
| 3 | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 | 0         |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 9,693,456 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 | 0         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .                                                      | 6 | 9,693,456 |

Part IV

Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                   |                           |                                              |                                      |                                  |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |                           | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
| 1a                                                                                                                                | See Additional Data Table |                                              |                                      |                                  |
| b                                                                                                                                 |                           |                                              |                                      |                                  |
| c                                                                                                                                 |                           |                                              |                                      |                                  |
| d                                                                                                                                 |                           |                                              |                                      |                                  |
| e                                                                                                                                 |                           |                                              |                                      |                                  |

|                       |                                            |                                                 |                                              |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
| a                     | See Additional Data Table                  |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

|                          |                                      |                                               |                                                                                              |
|--------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
| a                        | See Additional Data Table            |                                               |                                                                                              |
| b                        |                                      |                                               |                                                                                              |
| c                        |                                      |                                               |                                                                                              |
| d                        |                                      |                                               |                                                                                              |
| e                        |                                      |                                               |                                                                                              |

|   |                                                                                                                                                                                                                                             |                                                                                                        |   |         |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|---|---------|
| 2 | Capital gain net income or (net capital loss)                                                                                                                                                                                               | <div><div>If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7</div></div> | 2 | 353,858 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br><div>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br/>If (loss), enter -0- in Part I, line 8 . . . . .</div> |                                                                                                        | 3 |         |

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

|                                                                      |                                          |                                              |                                                           |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| (a)<br>Base period years Calendar<br>year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2010                                                                 | 609,589                                  | 11,253,414                                   | 0 054169                                                  |
| 2009                                                                 | 510,466                                  | 10,230,527                                   | 0 049896                                                  |
| 2008                                                                 | 494,268                                  | 9,164,640                                    | 0 053932                                                  |
| 2007                                                                 | 649,649                                  | 12,637,684                                   | 0 051406                                                  |
| 2006                                                                 | 604,166                                  | 12,625,392                                   | 0 047853                                                  |

|   |                                                                                                                                                                                                                                      |   |            |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 | Total of line 1, column (d). . . . .                                                                                                                                                                                                 | 2 | 0 257256   |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .                                                   | 3 | 0 051451   |
| 4 | Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. . . . .                                                                                                                                                | 4 | 10,963,516 |
| 5 | Multiply line 4 by line 3. . . . .                                                                                                                                                                                                   | 5 | 564,084    |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . .                                                                                                                                                                  | 6 | 6,432      |
| 7 | Add lines 5 and 6. . . . .                                                                                                                                                                                                           | 7 | 570,516    |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . .<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18 | 8 | 599,193    |

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

|    |  |                                                                                                                                                                      |    |                                   |       |   |
|----|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----------------------------------|-------|---|
| 1a |  | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1                                          |    |                                   |       |   |
|    |  | Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)                                                                   |    |                                   |       |   |
| b  |  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |    | 1                                 | 6,432 |   |
| c  |  | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                |    |                                   |       |   |
| 2  |  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                             |    | 2                                 | 0     |   |
| 3  |  | Add lines 1 and 2. . . . .                                                                                                                                           |    | 3                                 | 6,432 |   |
| 4  |  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                           |    | 4                                 | 0     |   |
| 5  |  | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .                                                                     |    | 5                                 | 6,432 |   |
| 6  |  | Credits/Payments                                                                                                                                                     |    |                                   |       |   |
| a  |  | 2011 estimated tax payments and 2010 overpayment credited to 2011                                                                                                    | 6a | 6,440                             |       |   |
| b  |  | Exempt foreign organizations—tax withheld at source . . . . .                                                                                                        | 6b |                                   |       |   |
| c  |  | Tax paid with application for extension of time to file (Form 8868)                                                                                                  | 6c |                                   |       |   |
| d  |  | Backup withholding erroneously withheld . . . . .                                                                                                                    | 6d |                                   |       |   |
| 7  |  | Total credits and payments Add lines 6a through 6d. . . . .                                                                                                          |    | 7                                 | 6,440 |   |
| 8  |  | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                                     |    | 8                                 |       |   |
| 9  |  | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                              |    | 9                                 |       |   |
| 10 |  | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .                                                                   |    | 10                                | 8     |   |
| 11 |  | Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/>                                                                            | 8  | Refunded <input type="checkbox"/> | 11    | 0 |

Part VII-AStatements Regarding Activities

|    |                                                                                                                                                                                                                                                                                                                                             |    |     |    |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                              |    | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                             | 1a |     | No |
| b  | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .                                                                                                                                                                             |    |     | No |
|    | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.                                                                                                                                               |    |     |    |
| c  | Did the foundation file Form 1120-POL for this year? . . . . .                                                                                                                                                                                                                                                                              | 1c |     | No |
| d  | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0                                                                                                                           |    |     |    |
| e  | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0                                                                                                                                                                          |    |     |    |
| 2  | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                     | 2  |     | No |
| 3  | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                        | 3  |     | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                       | 4a |     | No |
| b  | If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .                                                                                                                                                                                                                                                                  | 4b |     |    |
| 5  | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                               | 5  |     | No |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . . | 6  | Yes |    |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                            | 7  | Yes |    |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> OH                                                                                                                                                                                               |    |     |    |
| b  | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .                                                                                                                               | 8b |     | No |
| 9  | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .                                                                   | 9  |     | No |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                          | 10 |     | No |

Part VII-A

Statements Regarding Activities (continued)

|    |                                                                                                                                                                                                         |    |     |    |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). | 11 |     | No |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                   | 12 |     | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address N/A                                                              | 13 | Yes |    |
| 14 | The books are in care of PNC BANK Telephone no (412) 762-0861<br>Located at 620 LIBERTY AVE 10TH FLOOR PITTSBURGH PA ZIP +4 152222705                                                                   |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.                     |    |     |    |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?               | 15 |     |    |
|    | See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country                                                                       | 16 | Yes | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |    |     |    |
|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |    | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly)<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person?<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?<br>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). |    |     |    |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1b |     | No |
| c                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1c |     | No |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |    |     |    |
| a                                                                                       | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |    |     |    |
| b                                                                                       | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions ).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2b |     |    |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |    |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |    |     |    |
| b                                                                                       | If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).                                                                                                                                                                                                                                                                                                                                                                          | 3b |     |    |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 4a |     | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 4b |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|                                                                                                                                                                                                                                                                                                                                     |  |                              |                                        |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------|----------------------------------------|--|
| 5a During the year did the foundation pay or incur any amount to                                                                                                                                                                                                                                                                    |  |                              |                                        |  |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                                                                                                                                           |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                                                                                                                                 |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                                                                                                                                  |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).                                                                                                                                          |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                                                                                                                               |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| b If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. |  | 5b                           |                                        |  |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?<br><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>                                                                                 |  | <input type="checkbox"/> Yes | <input type="checkbox"/> No            |  |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                                                                                                                                  |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?<br><i>If "Yes" to 6b, file Form 8870.</i>                                                                                                                                                                              |  | 6b No                        |                                        |  |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                                                                                                                             |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |  |
| b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                                                                                                                                           |  | 7b                           |                                        |  |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|---------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| 1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).                     |                                                           |                                           |                                                                       |                                       |
| (a) Name and address                                                                                                                        | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| See Additional Data Table                                                                                                                   |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE." |                                                           |                                           |                                                                       |                                       |
| (a) Name and address of each employee paid more than \$50,000                                                                               | (b) Title, and average hours per week devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE                                                                                                                                        |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                             |                                                           |                                           |                                                                       |                                       |
| Total number of other employees paid over \$50,000.                                                                                         |                                                           |                                           |                                                                       | 0                                     |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

|                                                                                                                                 |                     |                  |
|---------------------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| 3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE". |                     |                  |
| (a) Name and address of each person paid more than \$50,000                                                                     | (b) Type of service | (c) Compensation |
| NONE                                                                                                                            |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . .                                               |                     | 0                |

Part IX-A

Summary of Direct Charitable Activities

|                                                                                                                                                                                                                                                        |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
| 1 N/A                                                                                                                                                                                                                                                  | 0        |
| 2                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 3                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 4                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

|                                                                                                                  |        |
|------------------------------------------------------------------------------------------------------------------|--------|
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
| 1 N/A                                                                                                            | 0      |
| 2                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| All other program-related investments. See page 24 of the instructions.                                          |        |
| 3                                                                                                                | 0      |
| Total. Add lines 1 through 3. . . . .                                                                            | 0      |

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|   |                                                                                                                                  |    |            |
|---|----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes                       |    |            |
| a | Average monthly fair market value of securities. . . . .                                                                         | 1a | 11,130,473 |
| b | Average of monthly cash balances. . . . .                                                                                        | 1b | 0          |
| c | Fair market value of all other assets (see page 24 of the instructions). . . . .                                                 | 1c | 0          |
| d | Total (add lines 1a, b, and c). . . . .                                                                                          | 1d | 11,130,473 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .               | 1e | 0          |
| 2 | Acquisition indebtedness applicable to line 1 assets. . . . .                                                                    | 2  | 0          |
| 3 | Subtract line 2 from line 1d. . . . .                                                                                            | 3  | 11,130,473 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . . | 4  | 166,957    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4                              | 5  | 10,963,516 |
| 6 | Minimum investment return. Enter 5% of line 5. . . . .                                                                           | 6  | 548,176    |

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

|    |                                                                                                           |    |         |
|----|-----------------------------------------------------------------------------------------------------------|----|---------|
| 1  | Minimum investment return from Part X, line 6. . . . .                                                    | 1  | 548,176 |
| 2a | Tax on investment income for 2011 from Part VI, line 5. . . . .                                           | 2a | 6,432   |
| b  | Income tax for 2011 (This does not include the tax from Part VI ). . . . .                                | 2b |         |
| c  | Add lines 2a and 2b. . . . .                                                                              | 2c | 6,432   |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                             | 3  | 541,744 |
| 4  | Recoveries of amounts treated as qualifying distributions. . . . .                                        | 4  | 0       |
| 5  | Add lines 3 and 4. . . . .                                                                                | 5  | 541,744 |
| 6  | Deduction from distributable amount (see page 25 of the instructions). . . . .                            | 6  | 0       |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | 7  | 541,744 |

Part XII

Qualifying Distributions (see page 25 of the instructions)

|                                                                                                                                                                                              |                                                                                                                                                                             |    |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1                                                                                                                                                                                            | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes                                                                                   |    |         |
| a                                                                                                                                                                                            | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .                                                                                        | 1a | 599,193 |
| b                                                                                                                                                                                            | Program-related investments—total from Part IX-B. . . . .                                                                                                                   | 1b | 0       |
| 2                                                                                                                                                                                            | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .                                                          | 2  |         |
| 3                                                                                                                                                                                            | Amounts set aside for specific charitable projects that satisfy the                                                                                                         |    |         |
| a                                                                                                                                                                                            | Suitability test (prior IRS approval required). . . . .                                                                                                                     | 3a |         |
| b                                                                                                                                                                                            | Cash distribution test (attach the required schedule). . . . .                                                                                                              | 3b |         |
| 4                                                                                                                                                                                            | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                                                   | 4  | 599,193 |
| 5                                                                                                                                                                                            | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . . | 5  | 6,432   |
| 6                                                                                                                                                                                            | Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .                                                                                                     | 6  | 592,761 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |                                                                                                                                                                             |    |         |

Part XIII

Undistributed Income (see page 26 of the instructions)

|    |               |                            |             |             |
|----|---------------|----------------------------|-------------|-------------|
|    | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
| 1  |               |                            |             | 541,744     |
| 2  |               |                            |             |             |
| a  |               |                            | 0           |             |
| b  |               | 0                          |             |             |
| 3  |               |                            |             |             |
| a  |               |                            |             |             |
| b  |               |                            |             |             |
| c  |               |                            |             |             |
| d  |               |                            |             |             |
| e  |               |                            |             | 34,837      |
| f  | 34,837        |                            |             |             |
| 4  |               |                            |             |             |
| a  |               |                            | 0           |             |
| b  |               | 0                          |             |             |
| c  | 0             |                            |             |             |
| d  |               |                            |             | 541,744     |
| e  | 57,449        |                            |             |             |
| 5  | 0             |                            |             | 0           |
| 6  |               |                            |             |             |
| a  | 92,286        |                            |             |             |
| b  |               | 0                          |             |             |
| c  |               |                            |             |             |
| d  |               | 0                          |             |             |
| e  |               |                            | 0           |             |
| f  |               |                            |             | 0           |
| 7  | 0             |                            |             |             |
| 8  | 0             |                            |             |             |
| 9  | 92,286        |                            |             |             |
| 10 |               |                            |             |             |
| a  |               |                            |             |             |
| b  |               |                            |             |             |
| c  |               |                            |             |             |
| d  |               |                            |             | 34,837      |
| e  |               |                            |             | 57,449      |

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

| Tax year                                                                                                                                                    | Prior 3 years |          |          |  | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|--|-----------|
| (a) 2011                                                                                                                                                    | (b) 2010      | (c) 2009 | (d) 2008 |  |           |
|                                                                                                                                                             |               |          |          |  |           |
| b 85% of line 2a . . . . .                                                                                                                                  |               |          |          |  |           |
| c Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |               |          |          |  |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |               |          |          |  |           |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |               |          |          |  |           |
| 3 Complete 3a, b, or c for the alternative test relied upon                                                                                                 |               |          |          |  |           |
| a "Assets" alternative test—enter                                                                                                                           |               |          |          |  |           |
| (1) Value of all assets . . . . .                                                                                                                           |               |          |          |  |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                               |               |          |          |  |           |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .                                |               |          |          |  |           |
| c "Support" alternative test—enter                                                                                                                          |               |          |          |  |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |               |          |          |  |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                       |               |          |          |  |           |
| (3) Largest amount of support from an exempt organization                                                                                                   |               |          |          |  |           |
| (4) Gross investment income                                                                                                                                 |               |          |          |  |           |

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

THE ANDREW JERGENS FOUNDATION CO GR  
200 WEST FOURTH STREET  
CINCINNATI, OH 45202  
(513) 241-2880

b

The form in which applications should be submitted and information and materials they should include

SUBMIT LETTER OF INQUIRY THE FORM CAN BE FOUND AT WWW.GCFDN.ORG UNDER GRANTS, PRIVATE FOUNDATIONS, THE ANDREW JERGENS FOUNDATION

c

Any submission deadlines

SEE INSTRUCTIONS FOR GRANT APPLICATION

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE INSTRUCTIONS FOR GRANT APPLICATION

Form 990-PF (2011)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                           | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                 |                                                                                                           |                                |                                  |         |
| a Paid during the year<br>See Additional Data Table |                                                                                                           |                                |                                  |         |
| Total . . . . .                                     |                                                                                                           |                                | 3a                               | 550,800 |
| b Approved for future payment                       |                                                                                                           |                                |                                  |         |
| Total . . . . .                                     |                                                                                                           |                                | 3b                               | 0       |

| Enter gross amounts unless otherwise indicated |                                                               | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See page 28 of<br>the instructions ) |
|------------------------------------------------|---------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------------------------|
|                                                |                                                               | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                                      |
| <b>1</b>                                       | Program service revenue                                       |                           |               |                                      |               |                                                                                      |
| <b>a</b>                                       | SETON FAM CTR CK RETURNED                                     |                           |               |                                      |               | 1,314                                                                                |
| <b>b</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>c</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>d</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>e</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>f</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>g</b>                                       | Fees and contracts from government agencies                   |                           |               |                                      |               |                                                                                      |
| <b>2</b>                                       | Membership dues and assessments. . . . .                      |                           |               |                                      |               |                                                                                      |
| <b>3</b>                                       | Interest on savings and temporary cash investments            |                           |               | 14                                   | 184           |                                                                                      |
| <b>4</b>                                       | Dividends and interest from securities. . . . .               |                           |               | 14                                   | 328,257       |                                                                                      |
| <b>5</b>                                       | Net rental income or (loss) from real estate                  |                           |               |                                      |               |                                                                                      |
| <b>a</b>                                       | Debt-financed property. . . . .                               |                           |               |                                      |               |                                                                                      |
| <b>b</b>                                       | Not debt-financed property. . . . .                           |                           |               |                                      |               |                                                                                      |
| <b>6</b>                                       | Net rental income or (loss) from personal property            |                           |               |                                      |               |                                                                                      |
| <b>7</b>                                       | Other investment income. . . . .                              |                           |               | 14                                   | 310           |                                                                                      |
| <b>8</b>                                       | Gain or (loss) from sales of assets other than inventory      |                           |               | 18                                   | 403,686       |                                                                                      |
| <b>9</b>                                       | Net income or (loss) from special events                      |                           |               |                                      |               |                                                                                      |
| <b>10</b>                                      | Gross profit or (loss) from sales of inventory. . . . .       |                           |               |                                      |               |                                                                                      |
| <b>11</b>                                      | Other revenue <b>a</b> CLASS ACTION PROCEEDS                  |                           |               | 14                                   | 142           |                                                                                      |
| <b>b</b>                                       | FOREIGN TAX REFUND                                            |                           |               | 14                                   | 12            |                                                                                      |
| <b>c</b>                                       | PROCEEDS FROM CASH & STOCK MERGER                             |                           |               | 14                                   | 450           |                                                                                      |
| <b>d</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>e</b>                                       |                                                               |                           |               |                                      |               |                                                                                      |
| <b>12</b>                                      | Subtotal Add columns (b), (d), and (e). . . . .               |                           | 0             |                                      | 733,041       | 1,314                                                                                |
| <b>13</b>                                      | <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . |                           |               |                                      |               | 734,355                                                                              |

[illegible]

## Part XVII

|          |                                                                                                                                                                                                                                                     |              |            |           |
|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------|-----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? |              | <b>Yes</b> | <b>No</b> |
|          |                                                                                                                                                                                                                                                     |              |            |           |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                   |              |            |           |
|          | (1) Cash. . . . .                                                                                                                                                                                                                                   | <b>1a(1)</b> |            | <b>No</b> |
|          | (2) Other assets. . . . .                                                                                                                                                                                                                           | <b>1a(2)</b> |            | <b>No</b> |
| <b>b</b> | Other transactions                                                                                                                                                                                                                                  |              |            |           |
|          | (1) Sales of assets to a noncharitable exempt organization. . . . .                                                                                                                                                                                 | <b>1b(1)</b> |            | <b>No</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization. . . . .                                                                                                                                                                           | <b>1b(2)</b> |            | <b>No</b> |
|          | (3) Rental of facilities, equipment, or other assets. . . . .                                                                                                                                                                                       | <b>1b(3)</b> |            | <b>No</b> |
|          | (4) Reimbursement arrangements. . . . .                                                                                                                                                                                                             | <b>1b(4)</b> |            | <b>No</b> |
|          | (5) Loans or loan guarantees. . . . .                                                                                                                                                                                                               | <b>1b(5)</b> |            | <b>No</b> |
|          | (6) Performance of services or membership or fundraising solicitations. . . . .                                                                                                                                                                     | <b>1b(6)</b> |            | <b>No</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .                                                                                                                                                           | <b>1c</b>    |            | <b>No</b> |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

| b If "Yes," complete the following schedule |                          |                                 |
|---------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                    | (b) Type of organization | (c) Description of relationship |
|                                             |                          |                                 |

|                |                                                                                                                                                                                                                                                                                                                                   |                          |                |                        |                                     |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------|------------------------|-------------------------------------|
| Sign Here      | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge |                          |                |                        |                                     |
|                | *****                                                                                                                                                                                                                                                                                                                             |                          | 2012-11-19     | *****                  |                                     |
|                | Signature of officer or trustee                                                                                                                                                                                                                                                                                                   |                          | Date           | Title                  |                                     |
|                | Paid<br>Preparer's<br>Use Only                                                                                                                                                                                                                                                                                                    | Preparer's Signature     | TERRY GIARDINA | Date                   | 2012-11-19                          |
|                |                                                                                                                                                                                                                                                                                                                                   | Firm's name              | PNC BANK NA    | Check if self-employed | <input checked="" type="checkbox"/> |
| Firm's address |                                                                                                                                                                                                                                                                                                                                   | PITTSBURGH, PA 152222705 | Firm's EIN     | 22-1146430             |                                     |
|                |                                                                                                                                                                                                                                                                                                                                   |                          | PTIN           | P00780919              |                                     |
|                |                                                                                                                                                                                                                                                                                                                                   |                          | Phone no       | (412) 768-5206         |                                     |

May the IRS discuss this return with the preparer shown above? See instructions . . . . . ☐ Yes ☐ No

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| <b>(a)</b> List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) | <b>(b)</b> How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b> Date acquired<br>(mo , day, yr ) | <b>(d)</b> Date sold<br>(mo , day, yr ) |
|---------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|---------------------------------------------|-----------------------------------------|
| SALES OF PUBLICLY TRADED SECURITIES                                                                                                         |                                                     |                                             |                                         |
| CLASS ACTION SETTLEMENTS                                                                                                                    | P                                                   |                                             |                                         |
| PROCEEDS FROM CASH & STOCK MERGER                                                                                                           | P                                                   |                                             |                                         |
| ENTERPRISE BASIS ADJ FOR SALE POSTED IN 2011 FYE RETURN                                                                                     | P                                                   |                                             |                                         |
| ENTERPRISE PRODUCTS PARTNERS LP                                                                                                             | P                                                   |                                             |                                         |
| CAPITAL GAINS DIVIDENDS                                                                                                                     | P                                                   |                                             |                                         |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 5,418,522             |                                            | 5,066,452                                       | 352,070                                      |
| 184                   |                                            | 42                                              | 142                                          |
| 434                   |                                            |                                                 | 434                                          |
|                       |                                            | 2,739                                           | -2,739                                       |
| 5                     |                                            |                                                 | 5                                            |
| 3,946                 |                                            |                                                 | 3,946                                        |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 352,070                                                                                |
|                                                                                             |                                   |                                            | 142                                                                                    |
|                                                                                             |                                   |                                            | 434                                                                                    |
|                                                                                             |                                   |                                            | -2,739                                                                                 |
|                                                                                             |                                   |                                            | 5                                                                                      |
|                                                                                             |                                   |                                            | 3,946                                                                                  |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address                                                      | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ANDREW MACAOIDH JERGENS                                                   | TRUSTEE<br>0 25                                           | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| MICHAEL B HAYS                                                            | CHAIRMAN<br>0 25                                          | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| PETER H DINE-JERGENS                                                      | PRESIDENT<br>0 25                                         | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| THOMAS C HAYS                                                             | TREASURER<br>0 25                                         | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| MARY ANN HAYS                                                             | TRUSTEE<br>0 25                                           | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| CONSUELO W HARRIS                                                         | SECRETARY<br>0 25                                         | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| LINDA BUSKEN JERGENS                                                      | TRUSTEE<br>0 25                                           | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| ERIC H KEARNEY                                                            | VICE PRESIDENT<br>0 25                                    | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| JOYCE JENKINS KEESHIN                                                     | TRUSTEE<br>0 25                                           | 0                                         | 0                                                                     | 0                                     |
| C/O THE GREATER CINCINNATI FOUNDATION 200 WEST 4TH ST CINCINNATI,OH 45202 |                                                           |                                           |                                                                       |                                       |
| GREATER CINCINNATI FOUNDATION                                             | FOUNDATION ADMINISTRATOR<br>7 00                          | 36,862                                    | 0                                                                     | 0                                     |
| 200 WEST 4TH STREET CINCINNATI,OH 45202                                   |                                                           |                                           |                                                                       |                                       |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                   | Amount |
|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------------------------|--------|
| Name and address (home or business)                                                                        |                                                                                                           |                                |                                                                                                    |        |
| <b>a</b> Paid during the year                                                                              |                                                                                                           |                                |                                                                                                    |        |
| ART LINKSP O BOX 5381<br>CINCINNATI, OH 45201                                                              | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE ART BUS PROGRAM                                                                     | 20,000 |
| BEHRINGER-CRAWFORD MUSEUM<br>1600 MONTAGUE ROAD<br>COVINGTON, KY 41011                                     | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT EDUCATION OUTREACH PROGRAMS                                                             | 5,000  |
| BI-OKOTO DRUM AND DANCE THEATER<br>7030 READING ROAD<br>SUITE 662<br>CINCINNATI, OH 45237                  | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE E SIN MI D'AFRIKA RESIDENCY                                                         | 10,000 |
| BIG BROTHERS AND BIG SISTERS<br>2400 READING ROAD SUITE 407<br>CINCINNATI, OH 45202                        | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE SITE-BASED MENTORING PAROCHIAL HIGH SCHOOL BIGS PROJECT                             | 7,000  |
| BREAKTHROUGH CINCINNATI INC<br>6905 GIVEN ROAD<br>CINCINNATI, OH 45243                                     | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE 2012 SUMMER PROGRAM                                                                 | 10,000 |
| BUTLER COUNTY COMMUNITY HEALTH CONSORTIUM<br>210 SOUTH SECOND STRET 2ND FLOOR<br>HAMILTON, OH 45011        | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE DENTAL CARE PROGRAM FOR UNDERSERVED CHILDREN IN MIDDLETOWN                          | 12,000 |
| CATHOLIC INNER CITY SCHOOLS EDUCATION FUND<br>100 EAST EIGHTH STREET<br>CINCINNATI, OH 45202               | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE AID TO CISE SCHOOLS PROGRAM                                                         | 15,000 |
| CET<br>1223 CENTRAL PARKWAY<br>CINCINNATI, OH 45214                                                        | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE PREPARING CHILDREN TO SUCCEED IN SCHOOL AND IN LIFE RAISING READERS READING CORNERS | 10,000 |
| CHURCHES ACTIVE IN NORTHSIDE<br>4230 HAMILTON AVENUE<br>CINCINNATI, OH 45223                               | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE CHOICE FOOD PANTRY "KIDS CORNER" CULTURAL ENRICHMENT PROGRAM                        | 5,000  |
| CINCINNATI EARLY LEARNING CENTERS INC<br>1301 E MCMILLAN STREET<br>CINCINNATI, OH 45206                    | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE BUILDING ON SUCCESS PROJECT                                                         | 20,000 |
| CINCINNATI MUSEUM CENTER<br>1301 WESTERN AVENUE<br>CINCINNATI, OH 45203                                    | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE YOUTH PROGRAM                                                                       | 15,000 |
| CINCINNATI OPERA ASSOCIATION<br>1243 ELM STREET<br>CINCINNATI, OH 45202                                    | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE PORGY AND BESS PROGRAMS FOR YOUTH                                                   | 5,000  |
| CINCINNATI SYMPHONY ORCHESTRA<br>1241 ELM STREET<br>CINCINNATI, OH 45202                                   | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE YOUNG PEOPLE'S CONCERTS                                                             | 10,000 |
| CINCINNATI YOUTH COLLABORATIVE<br>301 OAK STREET<br>CINCINNATI, OH 45219                                   | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE COLLEGE ACCESS AND SUCCESS PROGRAM                                                  | 10,000 |
| CINCYSMILES FOUNDATION<br>635 W 7TH STREET SUITE 309<br>CINCINNATI, OH 45203                               | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE DENTAL ROAD CREW PROGRAM                                                            | 10,000 |
| CIVIC GARDEN CENTER OF GREATER CINCINNATI<br>2715 READING ROAD<br>CINCINNATI, OH 45206                     | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE YOUTH EDUCATION PROGRAM                                                             | 5,000  |
| COMPREHENSIVE COMMUNITY CHILD CARE<br>1924 DANA AVENUE<br>CINCINNATI, OH 45207                             | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE DEVELOPING QUALITY E-LEARNING FOR EARLY CHILDHOOD PROFESSIONALS                     | 15,000 |
| CRAYONS TO COMPUTERS<br>1350 TENNESSEE AVENUE<br>CINCINNATI, OH 45229                                      | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE 15TH ANNIVERSARY IN FEBRUARY 2012                                                   | 1,000  |
| DEPAUL CRISTO REY HIGH SCHOOL<br>1133 CLIFTON HILLS AVENUE<br>CINCINNATI, OH 45220                         | NONE                                                                                                      | PUBLIC CHARITY                 | FOR SCHOLARSHIP SUPPORT FOR DEPAUL CRISTO REY STUDENTS                                             | 20,000 |
| DOWN SYNDROME ASSOCIATION OF GREATER CINCINNATI<br>644 LINN STREET SUITE 1128<br>CINCINNATI, OH 45203      | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT EXPANDING THE SATELLITE/NEIGHBORHOOD GROUPS                                             | 5,000  |
| ELEMENTZ<br>P O BOX 141078<br>CINCINNATI, OH 45250                                                         | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE ELEMENTZ DANCE PROGRAM                                                              | 10,000 |
| EMANUEL COMMUNITY CENTER<br>1308 RACE STREET<br>CINCINNATI, OH 45202                                       | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE EARLY LEARNING CENTER                                                               | 15,000 |
| ENSEMBLE THEATRE OF CINCINNATI<br>1127 VINE ST<br>CINCINNATI, OH 45202                                     | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE EDUCATIONAL AND OUTREACH PROGRAMS                                                   | 10,000 |
| HEARING SPEECH & DEAF CENTER OF GREATER CINCINNATI<br>2825 BURNET AVENUE SUITE 401<br>CINCINNATI, OH 45219 | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE BUILDING BLOCKS TO LANGUAGE AND LITERACY PROGRAM                                    | 10,000 |
| IMAGO<br>700 ENRIGHT AVENUE<br>CINCINNATI, OH 45202                                                        | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE URBAN YOUTH IN NATURE PROGRAM                                                       | 10,000 |
| INSTITUTE OF YOUTH DEVELOPMENT AND EXCELLENCE<br>2232 STRATFORD AVENUE SUITE 220<br>CINCINNATI, OH 45219   | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE MENTOR TRAINING PROGRAM                                                             | 5,000  |
| INTERFAITH HOSPITALITY NETWORK OF NORTHERN KENTUCKY<br>P O BOX 72136<br>NEWPORT, KY 41072                  | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE TRANSITIONAL HOUSING PROGRAM                                                        | 4,000  |
| JOBS FOR CINCINNATI GRADUATES<br>7162 READING ROAD SUITE 1100<br>CINCINNATI, OH 45237                      | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE CAREER ASSOCIATION PROGRAM                                                          | 10,000 |
| JOY OUTDOOR EDUCATION CENTER FOUNDATION<br>NP O BOX 417<br>CLARKSVILLE, OH 45113                           | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE CAMP JOY MAKEOVER PROJECT                                                           | 15,000 |
| KENNEDY HEIGHTS ART CENTER<br>6546 MONTGOMERY ROAD<br>CINCINNATI, OH 45213                                 | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE ARTS IN ACTION PROGRAM                                                              | 10,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                                                       | Amount  |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| Name and address (home or business)                                                                                    |                                                                                                           |                                |                                                                                                                                                        |         |
| <b>a</b> Paid during the year                                                                                          |                                                                                                           |                                |                                                                                                                                                        |         |
| KENNEDY HEIGHTS COMMUNITY URBAN RE-DEVELOPMENT CORPORATIONP O BOX 36337 CINCINNATI,OH 45236                            | NONE                                                                                                      | PUBLIC CHARITY                 | TO PURCHASE OUTDOOR PLAY AREA EQUIPMENT FOR KENNEDY HEIGHTS MONTESSORI CENTER                                                                          | 19,000  |
| LIGHTHOUSE YOUTH SERVICES INC401 EAST MCMILLAN STREET CINCINNATI,OH 45206                                              | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE YOUTH CRISIS CENTER AFTER SCHOOL ACTIVITIES PROGRAM                                                                                     | 15,000  |
| MINDPEACE5641 HAMILTON AVENUE CINCINNATI,OH 45224                                                                      | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE MENTAL HEALTH NETWORK DEVELOPMENT FOR PEDIATRICIANS & SCHOOL BASED MENTAL HEALTH SERVICES FOR STUDENTS WITH MULTIPLE THIRD PARTY PAYERS | 20,000  |
| NEW PERCEPTIONS INC1 SPERTI DRIVE EDGEWOOD,KY 41014                                                                    | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE RISING STARS STUDIOS PROGRAM                                                                                                            | 15,000  |
| NOTRE DAME URBAN EDUCATION CENTER14 EAST 8TH STREET COVINGTON,KY 41011                                                 | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE VOLUNTEER COORDINATOR/COMMUNITY OUTREACH COORDINATOR SALARY                                                                             | 10,000  |
| OHIO GRANTMAKERS FORUM37 W BROAD ST SUITE 800 COLUMBUS,OH 43215                                                        | NONE                                                                                                      | PUBLIC CHARITY                 | FOR PROGRAM SUPPORT                                                                                                                                    | 500     |
| OHIO VALLEY VOICES6642 BRANCH HILL GUINEA PIKE LOVELAND,OH 45140                                                       | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE FAMILY CENTER PROGRAM                                                                                                                   | 10,000  |
| PEASLEE NEIGHBORHOOD CENTER INC215 E 14TH STREET CINCINNATI,OH 45202                                                   | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE CHILD DEVELOPMENT CENTER                                                                                                                | 15,000  |
| PRICE HILL WILL3724 ST LAWRENCE AVENUE CINCINNATI,OH 45205                                                             | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE MYCINCINNATI PROGRAM                                                                                                                    | 8,000   |
| REDWOOD SCHOOL & REHABILITATION CENTER71 ORPHANAGE ROAD FORT MITCHELL,KY 41017                                         | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE ELECTRONIC MEDICAL RECORDS PROJECT                                                                                                      | 15,000  |
| SEVEN HILLS NEIGHBORHOOD HOUSES INC901 FINDLAY STREET CINCINNATI,OH 45214                                              | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE SUCCESS THROUGH EMPOWERMENT PROGRAMS AND SERVICES                                                                                       | 10,000  |
| SMART MONEY COMMUNITY SERVICES19 WELDER STREET CINCINNATI,OH 45202                                                     | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE ECONOMIC EDUCATION YOUTH PROGRAM                                                                                                        | 6,000   |
| SPIRIT OF CINCINNATIWORLD CHOIR GAMES 2012525 VINE STREET SUITE 1500 CINCINNATI,OH 45202                               | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE 2012 WORLD CHOIR GAMES                                                                                                                  | 40,000  |
| ST ALOYSIUS ORPHANAGE4721 READING ROAD CINCINNATI,OH 45237                                                             | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE IN-SCHOOL THERAPIST PROGRAM                                                                                                             | 15,000  |
| STEPPING STONES CENTER FOR HANDICAPPED5650 GIVEN ROAD CINCINNATI,OH 45243                                              | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE SATURDAY KIDS CLUB                                                                                                                      | 10,000  |
| THE CARNEGIE VISUAL AND PERFORMING ARTS CENTER1028 SCOTT BOULEVARD COVINGTON,KY 41011                                  | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE EVA G FARRIS EDUCATION CENTER PROGRAMMING                                                                                               | 5,000   |
| THE FOUNDATION LIBRARY CENTER1422 EUCLID AVENUE SUITE 1356 CLEVELAND,OH 44115                                          | NONE                                                                                                      | PUBLIC CHARITY                 | SUPPORT PROGRAMS IN THE CLEVELAND OFFICE                                                                                                               | 300     |
| THE GREATER CINCINNATI FOUNDATION200 WEST 4TH ST CINCINNATI,OH 45202                                                   | NONE                                                                                                      | PUBLIC CHARITY                 | TO PURCHASE ARTWORK FOR THE MASHBURN CENTER                                                                                                            | 1,000   |
| UNITED NEGRO COLLEGE FUNDP O BOX 10444 FAIRFAX,VA 22031                                                                | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE 2012 CAMPAIGN,TO BE CREDITED TO THE CINCINNATI AREA                                                                                     | 2,000   |
| UNITED WAY OF GREATER CINCINNATI2400 READING ROAD CINCINNATI,OH 45202                                                  | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE SUCCESS BY 6 PROGRAM                                                                                                                    | 10,000  |
| WASHINGTON UNITED CHURCH OF CHRIST2950 SIDNEY AVENUE CINCINNATI,OH 45225                                               | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE HOMEWORK CLUB TUTORING PROGRAM                                                                                                          | 3,000   |
| WINTON PLACE YOUTH CENTER 4609 N EDGEWOOD AVENUE CINCINNATI,OH 45232                                                   | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE YOUTH DEVELOPMENT AND EARLY INVESTORS CLUB PROGRAMS                                                                                     | 10,000  |
| YWCA OF GREATER CINCINNATI 898 WALNUT STREET CINCINNATI,OH 45202                                                       | NONE                                                                                                      | PUBLIC CHARITY                 | TO SUPPORT THE 2011-2012 FUND DRIVE                                                                                                                    | 2,000   |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                                                                                                                                        | 550,800 |

**TY 2011 Accounting Fees Schedule****Name:** ANDREW JERGENS FOUNDATION**EIN:** 31-6038702

| Category          | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-------------------|--------|--------------------------|------------------------|---------------------------------------------|
| 2010 TAX PREP FEE | 875    | 0                        |                        | 875                                         |
| 2011 TAX PREP FEE | 700    | 0                        |                        | 700                                         |

**TY 2011 All Other Program  
Related Investments Schedule**

**Name:** ANDREW JERGENS FOUNDATION  
**EIN:** 31-6038702

| Category | Amount |
|----------|--------|
| N/A      | 0      |

**TY 2011 Explanation of Non-Filing  
with Attorney General Statement**

**Name:** ANDREW JERGENS FOUNDATION

**EIN:** 31-6038702

**Statement:** THIS TRUST DOES NOT PROVIDE A COPY OF FORM 990-PF TO THE OHIO ATTORNEY GENERAL BECAUSE, IN LIEU THEREOF, IT IS REQUIRED TO FILE AN ON-LINE ANNUAL REPORT WITH THE OHIO ATTORNEY GENERAL'S OFFICE AT [WWW.OHIOATTORNEYGENERAL.GOV](http://WWW.OHIOATTORNEYGENERAL.GOV).

**TY 2011 Investments Corporate  
Bonds Schedule**

**Name:** ANDREW JERGENS FOUNDATION  
**EIN:** 31-6038702

| Name of Bond    | End of Year Book Value | End of Year Fair Market Value |
|-----------------|------------------------|-------------------------------|
| CORPORATE BONDS | 995,615                | 1,120,535                     |

**TY 2011 Investments Corporate  
Stock Schedule**

**Name:** ANDREW JERGENS FOUNDATION  
**EIN:** 31-6038702

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------|------------------------|-------------------------------|
| COMMON STOCKS | 5,320,686              | 6,517,108                     |

# **TY 2011 Investments Government Obligations Schedule**

**Name:** ANDREW JERGENS FOUNDATION

**EIN:** 31-6038702

**US Government Securities - End of  
Year Book Value:**

44,144

**US Government Securities - End of  
Year Fair Market Value:**

50,351

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2011 Investments - Other Schedule****Name:** ANDREW JERGENS FOUNDATION**EIN:** 31-6038702

| Category / Item           | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---------------------------|-----------------------|------------|-------------------------------|
| MUTUAL FUNDS-EQUITY       | AT COST               | 784,934    | 906,490                       |
| MUTUAL FUNDS-FIXED INCOME | AT COST               | 2,036,321  | 2,221,689                     |
| LIMITED PARTNERSHIPS      | AT COST               | 21,369     | 22,962                        |

**TY 2011 Other Expenses Schedule****Name:** ANDREW JERGENS FOUNDATION**EIN:** 31-6038702

| Description                                  | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|----------------------------------------------|-----------------------------------|--------------------------|---------------------|------------------------------------------|
| OHIO ATTORNEY GENERAL FILING<br>FEE          | 200                               | 0                        |                     | 200                                      |
| OHIO GRANTMAKERS FORUM<br>MEMBERSHIP         | 525                               | 0                        |                     | 525                                      |
| PROCESSING FEES ON FOREIGN<br>INCOME         | 988                               | 988                      |                     | 0                                        |
| MANAGED ACCOUNTS INVESTMENT<br>FEE           | 10,393                            | 10,393                   |                     | 0                                        |
| AGENT FOR TRUSTEE FEES                       | 25,849                            | 23,264                   |                     | 2,585                                    |
| REVIEW OF FINANCIAL STATEMENTS               | 4,000                             | 0                        |                     | 4,000                                    |
| REVERSAL OF 7/12/11 FOREIGN DIV              | 7                                 | 7                        |                     | 0                                        |
| SAFE DEPOSIT BOX LEASE                       | 277                               | 0                        |                     | 277                                      |
| CINCINNATI HISTORICAL SOC<br>MEMBERSHIP DUES | 500                               | 0                        |                     | 500                                      |

**TY 2011 Other Income Schedule****Name:** ANDREW JERGENS FOUNDATION**EIN:** 31-6038702

| Description                           | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net Income |
|---------------------------------------|-----------------------------------|--------------------------|---------------------|
| PARTNERSHIP DISTRIBUTIONS             | 310                               | 0                        | 310                 |
| ENTERPRISE PRODUCTS ORDINARY BUS LOSS |                                   | 0                        |                     |
| SETON FAM CTR CK RETURNED             | 1,314                             |                          | 1,314               |
| CLASS ACTION PROCEEDS                 | 142                               |                          | 142                 |
| FOREIGN TAX REFUND                    | 12                                | 12                       | 12                  |
| PROCEEDS FROM CASH & STOCK MERGER     | 450                               |                          | 450                 |

**TY 2011 Taxes Schedule****Name:** ANDREW JERGENS FOUNDATION**EIN:** 31-6038702

| Category                       | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|--------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN TAX PAID               | 4,526  | 4,526                    |                        | 0                                           |
| 2012 ESTIMATED EXCISE TAX PAID | 5,896  | 0                        |                        | 0                                           |

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 31-6038702  
**Name:** ANDREW JERGENS FOUNDATION

**Form 990PF - Special Condition Description:**

|                                      |
|--------------------------------------|
| <b>Special Condition Description</b> |
|--------------------------------------|