



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2011Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning **Aug 1**, 2011, and ending **Jul 31**, 2012

Name of foundation ROBERT HOAG RAWLINGS FOUNDATION		A Employer identification number 84-1090907
Number and street (or P O box number if mail is not delivered to street address) 825 W. 6TH ST, P. O. BOX 36		B Telephone number (see the instructions) (719) 544-3520
City or town PUEBLO	State ZIP code CO 81002-0036	C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 2,751,305.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 ☒ If the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	7.	7.		
	4 Dividends and interest from securities	95,356.	95,356.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-20,852.			
	b Gross sales price for all assets on line 6a	415,101.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
CLASS ACTION SETTLEMENT	61.	61.			
12 Total. Add lines 1 through 11	74,572.	95,424.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	1,575.	1,575.		
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) (see instrs) EXCISE	1,923.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,152.	2,115.		
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	819.	819.		
	24 Total operating and administrative expenses. Add lines 13 through 23	6,469.	4,509.		
	25 Contributions, gifts, grants paid	127,813.			127,813.
26 Total expenses and disbursements. Add lines 24 and 25	134,282.	4,509.		127,813.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-59,710.				
b Net investment income (if negative, enter -0-)		90,915.			
c Adjusted net income (if negative, enter -0-)					

5

SCANNED DEC 05 2012

RECEIVED
DEC 04 2012
OGDEN, UT

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing		601.	262.	262.
	2	Savings and temporary cash investments		9,817.	159,130.	159,130.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) L-10b Stmt		2,782,496.	2,529,008.	2,544,303.
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule) L-13 Stmt			44,804.	47,610.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)		2,792,914.	2,733,204.	2,751,305.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)				
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		2,792,914.	2,733,204.	
	30	Total net assets or fund balances (see instructions)		2,792,914.	2,733,204.	
	31	Total liabilities and net assets/fund balances (see instructions)		2,792,914.	2,733,204.	

Part III: Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,792,914.
2	Enter amount from Part I, line 27a	2	-59,710.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,733,204.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,733,204.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a 8321.864 HARTFORD MUTUAL FDS-ADVISORS FD	P	Various	06/13/12
b 3957.772 HARTFORD MUTUAL FDS-CAP APPRE	P	Various	06/13/12
c 4000 BANK OF AMERICA CORP 5.875 PFD	P	12/22/03	06/15/12
d 3000 KEYCORP CAPITAL X 8%	P	02/04/08	07/12/12
e 175.302AMERICAN CAPITAL INCOME BUILDER	P	Various	03/30/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 126,576.		134,452.	-7,876.
b 104,525.		116,943.	-12,418.
c 100,000.		100,000.	0.
d 75,000.		75,000.	0.
e 9,000.		9,558.	-558.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			-7,876.
b			-12,418.
c			0.
d			0.
e			-558.

2 Capital gain net income or (net capital loss).

☐ If gain, also enter in Part I, line 7
☐ If (loss), enter -0- in Part I, line 7

2

-20,852.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

☐ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
 in Part I, line 8

3

0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	121,256.	2,632,086.	0.046068
2009	59,993.	2,352,083.	0.025506
2008	143,226.	2,023,285.	0.070789
2007	155,079.	3,009,865.	0.051524
2006	159,178.	3,161,230.	0.050353

2 Total of line 1, column (d)

2

0.244240

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

0.048848

4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5

4

2,626,892.

5 Multiply line 4 by line 3.

5

128,318.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

909.

7 Add lines 5 and 6

7

129,227.

8 Enter qualifying distributions from Part XII, line 4

8

127,813.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,818.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,818.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,818.
6 Credits/Payments:			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	1,840.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,840.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	22.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	22.	Refunded	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>SCHMIDT VALENTINE WHITTEMORE & CO PC</u> Telephone no. <u>(719) 543-2066</u> Located at <u>119 COLORADO AVENUE, PUEBLO, CO</u> ZIP + 4 <u>81004-4213</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

BAA

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H RAWLINGS 1401 RANCHO DEL SOL PUEBLO CO 81008	PRESIDENT 5.00	0.	0.	0.
MARY G RAWLINGS 20 COUNTRY CLUB VILLAGE PUEBLO CO 81008	VICE PRES 0.50	0.	0.	0.
DAVID B SHAW 3234 SHALIMAR TERRACE PUEBLO CO 81008	SECRETARY 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	1,196.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

BAA

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,609,818.
b Average of monthly cash balances	1b	57,077.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	2,666,895.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	2,666,895.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	40,003.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,626,892.
6 Minimum investment return. Enter 5% of line 5	6	131,345.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	131,345.
2a Tax on investment income for 2011 from Part VI, line 5	2a	1,818.	
b Income tax for 2011 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	1,818.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	129,527.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	129,527.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	129,527.

Part XIII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	127,813.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	127,813.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	127,813.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				129,527.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			15,871.	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011.				
a From 2006	0.			
b From 2007	0.			
c From 2008	0.			
d From 2009	0.			
e From 2010	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: \$ 127,813.				
a Applied to 2010, but not more than line 2a			15,871.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2011 distributable amount				111,942.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				17,585.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007	0.			
b Excess from 2008	0.			
c Excess from 2009	0.			
d Excess from 2010	0.			
e Excess from 2011	0.			

BAA

Form 990-PF (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)					
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)

- 1 Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- ROBERT H. RAWLINGS**
HELENE C MONBERG TRUST
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

TRACEY MATTOON-AMOS**P O BOX 36****PUEBLO****CO 81002-0036 (719) 544-3520**

- b The form in which applications should be submitted and information and materials they should include

BY LETTER EXPLAINING PURPOSE, AMOUNT OF REQUEST AND SUPPORTING INFORMATION

- c Any submission deadlines:

BY EARLY NOVEMBER OR EARLY MAY

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GENERALLY LIMITED TO THE SOUTHERN COLORADO AREA

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
ADAMS STATE COLLEGE FOUNDATION 208 EDMONT BLVD ALAMOSA CO 81102		PUBLIC	SCHOLARSHIPS	4,000.
ALAMOSA HIGH SCHOOL 805 CRAFT AVENUE ALAMOSA CO 81101		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	1,415.
BOYS & GIRLS CLUB OF CHAFFEE COUNTY 340 E 5TH ST SALIDA CO 81201		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
BOYS & GIRLS CLUB OF THE SAN LUIS VALLEY P O BOX 1032 ALAMOSA CO 81101		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
BOYS & GIRLS CLUB OF FREMONT COUNTY P O BOX 1537 CANON CITY CO 80906		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
CAMPO HIGH SCHOOL P O BOX 70 CAMPO CO 81029		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	1,185.
DOLORES HUERTA PREP HIGH SCHOOL 2727 W 18TH ST PUEBLO CO 81003		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	1,020.
CENTRAL HIGH SCHOOL 216 E ORMAN AVE PUEBLO CO 81004		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	1,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD, PUEBLO, CO 81001		PUBLIC	SCHOLARSHIPS	42,000.
See Line 3a statement				68,193.
Total			3a	127,813.
<i>b Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	7.	
4	Dividends and interest from securities			14	95,356.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-20,852.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	CLASS ACTION SETTLEMENT			14	61.	
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				74,572.	
13	Total. Add line 12, columns (b), (d), and (e)				13	74,572.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
OFFICE & MISCELLANEOUS	100.	100.		
INVESTMENT MANAGEMENT FEES	719.	719.		

Total 819. 819.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☐ Business ☐ BOB D WERTZ 45 LEHIGH AVE PUEBLO CO 81005 Person ☒ Business ☐ DAVID CARDINAL 140 E SADDLEWOOD PUEBLO WEST CO 81007 Person ☒ Business ☐ ROBERT RAWLINGS, JR 158 SANTA CLARA BRISBANE CA 94005 Person ☒ Business ☐ JANE RAWLINGS 624 DITTMER AVE PUEBLO CO 81005 Person ☒ Business ☐ JOHN G RAWLINGS 569 E AVENUE #C CORONADO CA 92118 Person ☒ Business ☐ CAROLYN TEMPLE 2721 MESA AVE DURANGO CO 81301	DIRECTOR 1.00 DIRECTOR 1.00 DIRECTOR 0.50 TREASURER 5.00 DIRECTOR 0.50 DIRECTOR 0.50	0. 0. 0. 0. 0. 0. 0. 0.	0. 0. 0. 0. 0. 0. 0.	0. 0. 0. 0. 0. 1,196. 0.

Total

0. 0. 1,196.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
LA JUNTA HIGH SCHOOL 1817 SMITHLAND AVE LA JUNTA CO 81050		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,260.
FLORENCE HIGH SCHOOL 2006 HWY 67 FLORENCE CO 81226		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 830.
LAMAR COMMUNITY COLLEGE FND 2401 S. MAIN LAMAR CO 81052		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 4,000.
LAMAR HIGH SCHOOL 1900 S 11TH LAMAR CO 81052		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,100.
OTERO COMMUNITY COLLEGE FOUNDATION 1802 COLORADO LA JUNTA CO 81050		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 4,000.
PIONEER HISTORICAL SOCIETY OF BENT CNTY P O BOX 68 LAS ANIMAS CO 81504		PUBLIC	OPERATING GRANT	Person or ☐ Business ☐ 10,000.
PUEBLO COMMUNITY COLLEGE FOUNDATION 900 W ORMAN PUEBLO CO 81004		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 8,000.
PUEBLO COUNTY EZ ADMINISTRATOR 215 W 10TH ST. STE 217 PUEBLO CO 81003		PUBLIC	MEMORIAL HALL RENOVATION	Person or ☐ Business ☒ 28,000.
RYE HIGH SCHOOL P O BOX 10 RYE CO 81069		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,155.
SALIDA HIGH SCHOOL 905 D ST SALIDA CO 81201		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 800.
SARGENT HIGH SCHOOL 7090 NORTH ROAD 2 EAST MONTE VISTA CO 81144		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,383.
SOUTH HIGH SCHOOL 1801 HOLLYWOOD DR PUEBLO CO 81004		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,200.
TRINIDAD STATE JR COLLEGE EDUCATIONAL FND 600 PROSPECT ST TRINIDAD CO 81082		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 4,000.
VILAS HIGH SCHOOL 202 COLLINGWOOD VILAS CO 81087		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,200.
WILEY HIGH SCHOOL BOX 247 WILEY CO 81092		PUBLIC	JOURNALISM PROGRAM EQUIPMENT	Person or ☐ Business ☒ 1,265.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				

Total

68,193.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SCHMIDT VALENTINE WH	BOOKKEEPING & FORM 990	1,575.			

Total

1,575.

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
ALTRIA GROUP INC 328 SHS	11,152.	11,798.
AMERICAN CAPITAL INCOME BUILDER 10170.228 SHS	595,090.	532,513.
AMERICAN INTL GRP INC 4000 SHS	100,000.	99,640.
ANNALY CAPITAL MANAGEMENT INC 657 SHS	11,168.	11,452.
AT&T INC 314 SHS	11,144.	11,907.
BANK OF AMERICA CORP 2000 SHS	56,125.	14,680.
BANK OF AMERICA CORP DEP 1000 SHS	25,000.	24,930.
BANK OF MONTREAL 203 SHS	11,059.	11,616.
BCE INC NEW 273 SHS	11,105.	11,613.
BRISTOL MYERS SQUIBB 2319 SHS	65,283.	82,556.
CBL & ASSOCIATES PROPERTIES 603 SHS	11,113.	11,897.
CENTURYLINK INC 285 SHS	11,143.	11,839.
CHEVRON CORP 1800 SHS	61,987.	197,244.
CITIGROUP CAP TRUST VII 2000 SHS	50,000.	50,920.
CITIGROUP INC 200 SHS	100,134.	5,426.
COCA COLA CO 800 SHS	43,850.	64,640.
COLGATE-PALMOLIVE CO 1000 SHS	55,341.	107,360.
DIAMOND OFFSHORE DRILLING 184 SHS	11,170.	12,037.
DOMINION RESOURCES INC VA 205 SHS	11,145.	11,134.
DOW CHEMICAL COMPANY 1000 SHS	41,258.	28,780.
ELI LILLY & CO 263 SHS	11,059.	11,580.
EXXON MOBIL CORP 1800 SHS	55,753.	156,330.

Form 990-PF, Page 2, Part II, Line 10b

Continued

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
FIRSTENERGY CORP 226 SHS	11,074.	11,350.
GENERAL ELECTRIC COMPANY 2000 SHS	32,875.	41,500.
H J HEINZ CO 202 SHS	10,948.	11,152.
HARRIS PFD CAPITAL CORPORATION 4000 SHS	100,000.	102,880.
HOME DEPOT INC 1000 SHS	52,782.	52,180.
INTEL CORP 2000 SHS	73,914.	51,400.
LORILLARD INC 87 SHS	11,134.	11,192.
MEDTRONIC INC 2000 SHS	102,664.	78,840.
MERCK & CO INC 284 SHS	11,130.	12,544.
MICROSHIP TECHNOLOGY INC 337 SHS	11,156.	11,249.
NATIONAL RETAIL PROPERTIES INC 408 SHS	11,138.	12,036.
PACCAR INC 1500 SHS	79,468.	60,015.
PROCTOR & GAMBLE CO 1000 SHS	54,678.	64,540.
PRUDENTIAL FINANCIAL 6% 4000 SHS	53,250.	53,383.
RBS CAPITAL FUNDING TRUST V11 5000 SHS	121,872.	75,950.
ROYAL DUTCH SHELL PLC 159 SHS	11,188.	11,219.
TEMPLETON GROWTH FUND 17235.363 SHS	359,970.	299,551.
WELLS FARGO & CO 3000 SHS	58,688.	101,430.
Total	<u>2,529,008.</u>	<u>2,544,303.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
ENTERPRISE PRODUCTS PARTNERS LP 228 UNITS	11,247.	12,084.
KINDER MORGAN ENERGY PARTNERS LP 145 UNITS	11,102.	11,604.
PLAINS ALL AMERICAN PIPELINE LP 141 UNITS	11,235.	12,408.
WILLIAMS PARTNERS LP 212 UNITS	11,220.	11,514.
Total	<u>44,804.</u>	<u>47,610.</u>