Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust
## Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

For calendar year 2011 or tax year beginning 08/01/11 , and ending 07/31/12

Name of foundation
IA GABRIELSEN TTEES DUO CHAR FDN
DTD 10/15/97 931-041201124385

A Employer identification number
58-6365349

Number and street (or P O box number if mail is not delivered to street address)
100 SAWGRASS CORNERS DRIVE

Room/suite

B Telephone number (see instructions)
904-273-2210

City or town, state, and ZIP code
PONTE VEDRA BEACH FL 32082

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 550,165

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 | Check ▶ ☒ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 12,707 | 12,707 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | -14,000 | | | |
| | b | Gross sales price for all assets on line 6a 240,401 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 0 | | |
| | 8 | Net short-term capital gain | | | 0 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns & allowances | | | | |
| | b | Less. Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | -1,293 | 12,707 | 0 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | 0 | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) STMT 1 | 1,500 | | | 1,500 |
| | c | Other professional fees (attach schedule) STMT 2 | 6,496 | 6,496 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) STMT 3 | 148 | 148 | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (att. sch.) | | | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 8,144 | 6,644 | 0 | 1,500 |
| | 25 | Contributions, gifts, grants paid | 25,000 | | | 25,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 33,144 | 6,644 | 0 | 26,500 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -34,437 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 6,063 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

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| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| 1 | Cash—non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 13,189 | 5,560 | 5,560 |
| 3 | Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (att. schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ 0 | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U.S and state government obligations (attach schedule) | | | |
| b | Investments—corporate stock (attach schedule) SEE STMT 4 | 299,246 | 266,604 | 345,960 |
| c | Investments—corporate bonds (attach schedule) SEE STMT 5 | 201,624 | 207,458 | 198,645 |
| 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach sch ) ▶ | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | | | |
| 14 | Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach sch ) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 514,059 | 479,622 | 550,165 |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | 514,059 | 479,622 | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | 514,059 | 479,622 | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 514,059 | 479,622 | |

(Side labels: Assets — lines 1–16; Liabilities — lines 17–23; Net Assets or Fund Balances — lines 24–31)

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 514,059 |
| 2 | Enter amount from Part I, line 27a | 2 | -34,437 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 479,622 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 479,622 |



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## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | (SEE ATTACHED SCHEDULE) | | | |
| c | CASH IN LIEU FRACTIONAL SHS | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 240,401 | | 254,476 | -14,075 |
| b | | | | |
| c | 75 | | | 75 |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | -14,075 |
| b | | | | |
| c | | | | 75 |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — -14,000

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — 3

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2010 | 26,500 | 536,903 | 0.049357 |
| 2009 | 30,785 | 494,389 | 0.062269 |
| 2008 | 27,295 | 446,384 | 0.061147 |
| 2007 | 37,782 | 621,616 | 0.060780 |
| 2006 | 34,778 | 569,912 | 0.061023 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | 0.294576 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.058915 |
| 4 | Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | 4 | 530,760 |
| 5 | Multiply line 4 by line 3 | 5 | 31,270 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 61 |
| 7 | Add lines 5 and 6 | 7 | 31,331 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 26,500 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 121 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0 |
| 3 | Add lines 1 and 2 | | 3 | 121 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 121 |
| 6 | Credits/Payments: | | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 | 6a | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | 121 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ Refunded ▶ | | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . | 10 | | X |

## Part VII-A Statements Regarding Activities (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ SUNTRUST BANK Telephone no. ▶ 904-273-2210
100 SAWGRASS CORNERS DRIVE
Located at ▶ PONTE VEDRA BEACH FL ZIP+4 ▶ 32082

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | N/A | 1c | | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ 20 , 20 , 20 , 20 | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20 | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | | 4b | | X |



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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

| | | | | |
|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to: | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | |
| | (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) | ☐ Yes ☒ No | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | N/A ☐ Yes ☐ No | | |
| | If "Yes," attach the statement required by Regulations section 53.4945-5(d) | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | X |
| | If "Yes" to 6b, file Form 8870. | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | |
| b | If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 6 | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0



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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | |



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**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 527,311 |
| b | Average of monthly cash balances | 1b | 11,532 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 538,843 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 538,843 |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 8,083 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 530,760 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 26,538 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 26,538 |
| 2a | Tax on investment income for 2011 from Part VI, line 5 2a 121 | | |
| b | Income tax for 2011 (This does not include the tax from Part VI.) 2b | | |
| c | Add lines 2a and 2b | 2c | 121 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 26,417 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 26,417 |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 26,417 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 26,500 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 26,500 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 26,500 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|---|---|---|---|---|---|
| **1** Distributable amount for 2011 from Part XI, line 7 | | | | | 26,417 |
| **2** Undistributed income, if any, as of the end of 2011 | | | | | |
| **a** Enter amount for 2010 only | | | | | |
| **b** Total for prior years: 20____ , 20____ , 20____ | | | | | |
| **3** Excess distributions carryover, if any, to 2011: | | | | | |
| **a** From 2006 | 6,652 | | | | |
| **b** From 2007 | 8,939 | | | | |
| **c** From 2008 | 5,308 | | | | |
| **d** From 2009 | 6,426 | | | | |
| **e** From 2010 | 41 | | | | |
| **f** **Total** of lines 3a through e | | 27,366 | | | |
| **4** Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 26,500 | | | | | |
| **a** Applied to 2010, but not more than line 2a | | | | | |
| **b** Applied to undistributed income of prior years (Election required—see instructions) | | | | | |
| **c** Treated as distributions out of corpus (Election required—see instructions) | | | | | |
| **d** Applied to 2011 distributable amount | | | | | 26,417 |
| **e** Remaining amount distributed out of corpus | | 83 | | | |
| **5** Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | | |
| **6** **Enter the net total of each column as indicated below:** | | | | | |
| **a** Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 27,449 | | | |
| **b** Prior years' undistributed income. Subtract line 4b from line 2b | | | | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | | |
| **d** Subtract line 6c from line 6b. Taxable amount—see instructions | | | | | |
| **e** Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions | | | | | |
| **f** Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012 | | | | | 0 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | | |
| **8** Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) | | 6,652 | | | |
| **9** **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a | | 20,797 | | | |
| **10** Analysis of line 9 | | | | | |
| **a** Excess from 2007 | 8,939 | | | | |
| **b** Excess from 2008 | 5,308 | | | | |
| **c** Excess from 2009 | 6,426 | | | | |
| **d** Excess from 2010 | 41 | | | | |
| **e** Excess from 2011 | 83 | | | | |



DAA

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test—enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

BARBARA S. GABRIELSEN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** Paid during the year | | | | |
| THE FIRST TEE OF ATLANTA<br>1053 CASCADE CIRCLE SW<br>ATLANTA GA 30311 | NONE | PUBL CHARITY | PROMOTE CHILD LIFE SKILLS | 16,500 |
| CHILD FUND INTERNATIONAL<br>PO BOX 26507<br>RICHMOND VA 23261 | NONE | PUBL CHARITY | ASSIST NEEDY CHILDREN | 1,000 |
| CHILD FUND INTERNATIONAL<br>PO BOX 26507<br>RICHMOND VA 23261 | NONE | PUBL CHARITY | ASSIST NEEDY CHILDREN | 1,000 |
| COMMUNITY HOSPICE FDTN<br>4266 SUNBEAM ROAD<br>JACKSONVILLE FL 32257 | NONE | PUBL CHARITY | ASSIST PATIENTS END OF LIFE CARE | 2,500 |
| CAMP SUNSHINE<br>1850 CLAIRMONT ROAD<br>DECATUR GA 30033 | NONE | PUBL CHARITY | ASSIST YOUTH CANCER PATIENTS | 500 |
| CHRIST EPISCOPAL PRESCHL<br>400 SAN JUAN DRIVE<br>PONTE VEDRA BCH FL 32082 | NONE | PUBL CHARITY | GENL EDUCATION PURPOSE | 2,000 |
| WARRIOR FOUNDATION<br>P.O. BOX 13483<br>TAMPA FL 33681 | NONE | PUBL CHARITY | PROVIDE EDUCATION TO MILITARY FAMILY | 500 |
| PACE ACADEMY<br>966 WEST PACES FERRY RD.<br>ATLANTA GA 30327 | NONE | PUBL CHARITY | GENL EDUCATION PURPOSE | 500 |
| THE PRESCHOOL<br>3180 PEACHTREE RD. NW<br>ATLANTA GA 30305 | NONE | PUBL CHARITY | GENL EDUCATION PURPOSE | 500 |
| Total | | | ▶ 3a | 25,000 |
| **b** Approved for future payment<br>N/A | | | | |
| Total | | | ▶ 3b | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 12,707 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -14,000 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0 | | -1,293 | 0 |
| 13 **Total.** Add line 12, columns (b), (d), and (e) | | | | 13 | -1,293 |

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| N/A | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| N/A | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee: James R Gabrielsen | Date: 12/10/12 | Title: Trustee

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed |
|---|---|---|---|
| CHARLES L. CROMER | Charles L Cromer CPA | 11-1-12 | |

| | | | |
|---|---|---|---|
| Firm's name ▶ | CHARLES L. CROMER CPA PA | PTIN | P00499956 |
| Firm's address ▶ | 1301 RIVERPLACE BLVD STE 2400 | Firm's EIN ▶ | 20-0277595 |
| | JACKSONVILLE, FL 32207 | Phone no | 904-398-7288 |

# Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| TAX PREPARATION | $ 1,500 | $ | $ | $ 1,500 |
| TOTAL | $ 1,500 | $ 0 | $ 0 | $ 1,500 |

## Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| INVESTMENT ADVISOR FEES | $ 6,496 | $ 6,496 | $ | $ |
| TOTAL | $ 6,496 | $ 6,496 | $ 0 | $ 0 |

## Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| FOREIGN TAXES | $ 148 | $ 148 | $ | $ |
| TOTAL | $ 148 | $ 148 | $ 0 | $ 0 |

# Federal Statements

## Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|---|---|---|---|---|
| SUNTRUST WAP-012181 EQUITIES | $ 295,266 | $ 264,452 | COST | $ 343,341 |
| SUNTRUST WAP-012181 OTHER SECURITIES | 3,980 | 2,152 | COST | 2,619 |
| TOTAL | $ 299,246 | $ 266,604 | | $ 345,960 |

## Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|---|---|---|---|---|
| AMERICAN BOND FUND OF AMERICA | $ 201,624 | $ 207,458 | COST | $ 198,645 |
| TOTAL | $ 201,624 | $ 207,458 | | $ 198,645 |

# Federal Statements

## Statement 6 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

| Name and Address | Title | Average Hours | Compensation | Benefits | Expenses |
|---|---|---|---|---|---|
| BARBARA S. GABRIELSEN<br>321 PONTE VEDRA BLVD.<br>PONTE VEDRA BEACH FL 32802 | DIRECTOR | 1.00 | 0 | 0 | 0 |
| JAMES R. GABRIELSEN<br>321 PONTE VEDRA BLVD.<br>PONTE VEDRA BEACH FL 32802 | DIRECTOR | 1.00 | 0 | 0 | 0 |
| PARKER G. CUNNINGHAM<br>691 WOODWARD WAY<br>ATLANTA GA 30327 | DIRECTOR | 1.00 | 0 | 0 | 0 |
| VIRGINIA G. FEAGIN<br>212 CHARLEMAGNE CIRCLE<br>PONTE VEDRA BEACH FL 32082 | DIRECTOR | 1.00 | 0 | 0 | 0 |
| JAMES R. GABRIELSEN, JR.<br>272 CLEARWATER DRIVE<br>PONTE VEDRA BEACH FL 32082 | DIRECTOR | 1.00 | 0 | 0 | 0 |
| SUNTRUST BANK<br>100 SAWGRASS CORNERS DRIVE<br>PONTE VEDRA BEACH FL 32082 | INVST ADVISR | 1.00 | 0 | 0 | 0 |

## Taxable Dividends from Securities

| Description | | Amount | Unrelated Business Code | Exclusion Code | Postal Code | US Obs ($ or %) |
|---|---|---|---|---|---|---|
| SUNTRUST WAP-012181 | $ | 6,872 | | 14 | | |
| SUNTRUST F6F-009490 | | 5,835 | | 14 | | |
| TOTAL | $ | 12,707 | | | | |

| | | | | |
|---|---|---|---|---|
| **Gabrielsen/DUO Foundation** | | | | |
| **Capital Gain (Loss) Summary** | | | | |
| **7/31/2012** | | | | |
| | | | | |
| | | | | |
| | | **Sales Price** | **Cost Basis** | **Gain (Loss)** |
| **Suntrust Account #12181** | | | | |
| | | | | |
| August-11 | | 13,554 | 12,099 | 1,455 |
| September-11 | | 10,230 | 12,988 | (2,758) |
| October-11 | | 65,320 | 82,800 | (17,480) |
| November-11 | | 10,755 | 10,480 | 275 |
| December-11 | | 2,226 | 607 | 1,619 |
| January-12 | | 90,212 | 88,188 | 2,024 |
| February-12 | | 1,732 | 3,145 | (1,413) |
| March-12 | | 10,465 | 10,371 | 94 |
| April-12 | | 20,719 | 17,546 | 3,173 |
| May-12 | | 5,541 | 4,592 | 949 |
| June-12 | | 3,791 | 3,935 | (144) |
| July-12 | | 5,856 | 7,725 | (1,869) |
| | | | | |
| **Total Capital Gain (Loss)** | | **240,401** | **254,476** | **(14,075)** |

SunTrust Investment Services, Inc.
*A SunTrust Company*

Statement Date: 08/01/2011 to 08/31/2011

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

## Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 08/04/11 | CASH | YOU SOLD | NETEASE COM INC ADR EACH REPR 25 COM STK USD0 0001 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 49 492443<br>LT Gain $460 53 | (35) | $1,732 20 | $1,271 67 | $460 53 |
| 08/09/11 | CASH | YOU SOLD | LAFARGE SPNS ADR-REPR 1/4 ORD SHS EUR4 0 @ 11 11<br>LT Loss $523 38<br>ST Loss $24 31 | (70) | $777.68 | $1,325.37 | ($547.69) |
| 08/22/11 | CASH | YOU SOLD | CHECK POINT SOFTWARE TECHNOLOGIES ORD ILS0 01 AVERAGE PRICE TRADE DETAILS ON REQUEST @ 56 451596<br>ST Gain $486 70 | (30) | $1,693 51 | $1,206.81 | $486.70 |
| 08/22/11 | CASH | YOU SOLD | BANCO LATINOAMERICANO DE COMERCIO CLASS E COM STK NPV CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST<br>LT Gain $49 63 | (16) | $269 62 | $219.99 | $49 63 |
| 08/22/11 | CASH | YOU SOLD | ALEXION PHARM INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 51 495739<br>LT Gain $960 60 | (34) | $1,750 82 | $790 22 | $960 60 |
| 08/22/11 | CASH | YOU SOLD | DOLLAR TREE INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 67.150466<br>LT Gain $497 02 | (17) | $1,141.53 | $644 51 | $497 02 |
| 08/22/11 | CASH | YOU SOLD | GENON ENERGY INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 3 1201145<br>ST Loss $180.43 | (296) | $923 53 | $1,103.96 | ($180 43) |

**Account Number:** WAP-012181
**Account Name:** DUO CHARIT

**Statement Date:** 08/01/2011 to 08/31/2011

**Separate Account Manager:** ADVISORPORT
**Investment Discipline:** MSA-GLBL-ALL CAP-TAX MGD

## Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 08/22/11 | CASH | YOU SOLD | NUANCE COMMUNICATIONS INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 17 80174<br>LT Gain $1 62<br>LT Loss $132 17<br>ST Loss $15 61 | (82) | $1,459.71 | $1,605 87 | ($146 16) |
| 08/22/11 | CASH | YOU SOLD | THORATEC CORP NEW FORMERLY THORATEC LABS CORP TO 02/13/2001 CROSS TRADE @ 31 57<br>LT Loss $17 65 | (6) | $189 41 | $207 06 | ($17.65) |
| 08/22/11 | CASH | YOU SOLD | TRUE RELIGION APPAREL INC @ 30.0413<br>LT Gain $108 58 | (5) | $150 20 | $41.62 | $108.58 |
| 08/24/11 | CASH | YOU SOLD | URBAN OUTFITTERS INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 25 886586<br>LT Loss $470 95 | (101) | $2,614 49 | $3,085 44 | ($470.95) |
| 08/31/11 | CASH | YOU SOLD | FOMENTO ECONOMICO MEXICANO S A B DE CV SPONSORED ADR REPSTG UNIT 1 SER B SH & 2 SER D-B SHS AND 2 SER D-L SHS AVERAGE PRICE TRADE DETAILS ON REQUEST @ 65 487272<br>LT Gain $255 45 | (13) | $851 31 | $595 86 | $255.45 |
| **Net Securities Sold** | | | | | $13,554.01 | | |
| **NET TRADING** | | | | | $2,842.80 | | |

## CORE FUND ACTIVITY

### Core Funds Purchased

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 08/01/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 173.48 | ($173 48) |

Account Number: WAP-012181
Account Name: DUO CHARIT

Statement Date: 09/01/2011 to 09/30/2011

Separate Account Manager: LOCKWOOD
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

## Securities Purchased

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 09/28/11 | CASH | YOU BOUGHT | BANCO BILBAO VIZCAYA ARGENTARIA SA ADS EACH REPR 1 ORD EURO 49 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST<br>Wash Sale of 09/19/11 $374 48 | 71 | ($541 12) | $541.12 | |
| **Net Securities Purchased** | | | | | ($12,529.76) | | |

## Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 09/13/11 | CASH | YOU SOLD | CIA PARANAENSE DE ENERGIA COPEL ADR EACH REPR 1 PREF B SHS LEVEL 2 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 21.442371<br>LT Gain $339.29 | (37) | $793 35 | $454.06 | $339 29 |
| 09/22/11 | CASH | YOU SOLD | AXA ADS-EACH REP 1 ORD EUR2 29 @ 12 70<br>LT Loss $645 39<br>ST Loss $168 01 | (74) | $939.78 | $1,753.18 | ($813.40) |
| 09/22/11 | CASH | YOU SOLD | BANCO BILBAO VIZCAYA ARGENTARIA SA ADS EACH REPR 1 ORD EURO.49 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST<br>LT Loss $511 61<br>LT Disallowed Loss $374 48<br>ST Loss $142 07 | (132) | $1,037 93 | $1,691.61 | ($653 68) |
| 09/22/11 | CASH | YOU SOLD | BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD EURO 50 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 7 8914761<br>LT Loss $982 49 | (140) | $1,104 78 | $2,087.27 | ($982 49) |
| 09/22/11 | CASH | YOU SOLD | FUJI HEAVY INDUSTRIES ADR-EACH CNV INTO 10 ORD NPV @ 58 85<br>ST Loss $225.17 | (15) | $882 73 | $1,107 90 | ($225 17) |

SunTrust Investment Services, Inc.
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## Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 09/22/11 | CASH | YOU SOLD | SOCIETE GENERALE SPON ADR-EACH 5 CNV INTO 1 ORD EUR1 25 @ 4 80<br>ST Loss $782 29 | (106) | $508 79 | $1,291.08 | ($782 29) |
| 09/26/11 | CASH | YOU SOLD | CASEYS GEN STORES INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 45 693288<br>ST Gain $265 46 | (27) | $1,233 69 | $968.23 | $265.46 |
| 09/26/11 | CASH | YOU SOLD | CHIMERA INVT CORP COM EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 3<br>ST Loss $394 88 | (403) | $1,208 97 | $1,603 85 | ($394 88) |
| 09/26/11 | CASH | YOU SOLD | KANSAS CITY SOUTHERN COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 51 133296<br>LT Gain $146 69 | (4) | $204 52 | $57.83 | $146.69 |
| 09/26/11 | CASH | YOU SOLD | NII HLDGS INC NEW @ 34 18<br>ST Loss $6 96 | (1) | $34 17 | $41 13 | ($6 96) |
| 09/26/11 | CASH | YOU SOLD | TRUE RELIGION APPAREL INC @ 30 165<br>LT Gain $599.47 | (40) | $1,206 57 | $607.10 | $599 47 |
| 09/26/11 | CASH | YOU SOLD | WMS INDS INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 18 4936<br>LT Loss $383 29<br>ST Loss $411 86 | (45) | $832 19 | $1,627.34 | ($795.15) |
| 09/26/11 | CASH | YOU SOLD | WORLD ACCEP CORP S C NEW @ 60 5806<br>LT Gain $170 47 | (4) | $242 31 | $71.84 | $170 47 |
| **Net Securities Sold** | | | | | $10,229.78 | | |
| **NET TRADING** | | | | | ($2,299 98) | | |

SunTrust Investment Services, Inc.
*A SunTrust Company*

DUO CHARITABLE FOUNDATION - Corporation
Account Number: WAP-012181

Separate Acc't Manager LOCKWOOD
Investment Discipline. MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/21/11 | CASH | YOU BOUGHT | SKYWORKS SOLUTIONS INC COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 21 998651<br>Wash Sale of 10/04/11 $529 07 | 76 | ($1,671 90) | $1,671 90 | |
| 10/21/11 | CASH | YOU BOUGHT | SMITHFIELD FOODS INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 22 328577 | 46 | ($1,027.11) | $1,027.11 | |
| 10/21/11 | CASH | YOU BOUGHT | UNITED THERAPEUTICS CORP DEL CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 40 11016 | 23 | ($922 53) | $922.53 | |
| **Total Securities Purchased** | | | | | **($41,928.83)** | | |
| **Securities Sold** | | | | | | | |
| 10/07/11 | CASH | YOU SOLD | ALLIANCE ONE INTL INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 2.2674557<br>ST Loss $749.76 | (391) | $886 56 | $1,636 32 | ($749 76) |
| 10/07/11 | CASH | YOU SOLD | BNP PARIBAS ADR EACH REPR 1/2 ORD LVL I(SPON) AVERAGE PRICE TRADE DETAILS ON REQUEST @ 17 900729<br>LT Loss $906 49<br>ST Loss $275 70 | (38) | $680 21 | $1,862 40 | ($1,182.19) |
| 10/07/11 | CASH | YOU SOLD | BANK OF CHINA LTD (BEIJING) UNSP ADR EACH REP 25 ORD @ 7 08<br>ST Loss $769 87 | (107) | $757.54 | $1,527 41 | ($769 87) |
| 10/07/11 | CASH | YOU SOLD | BRIGHAM EXPL CO AVERAGE PRICE TRADE DETAILS ON REQUEST @ 22.61139<br>ST Loss $505 55 | (54) | $1,220 99 | $1,726 54 | ($505.55) |
| 10/07/11 | CASH | YOU SOLD | DG FASTCHANNEL INC COM @ 15 8093<br>ST Loss $436.66 | (38) | $600 73 | $1,037 39 | ($436 66) |

SunTrust Investment Services, Inc.
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DUO CHARITABLE FOUNDATION - Corporation
Account Number: WAP-012181

Separate Acc't Manager LOCKWOOD
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/07/11 | CASH | YOU SOLD | DESARROLLADORA HOMEX SAB DE CV ADR EACH REPR 6 SHS AVERAGE PRICE TRADE DETAILS ON REQUEST @ 12 417835<br>LT Loss $371 32 | (24) | $298.02 | $669 34 | ($371.32) |
| 10/07/11 | CASH | YOU SOLD | FUJITSU ADR-EACH CNV INTO 5 ORD NPV AVERAGE PRICE TRADE DETAILS ON REQUEST @ 22.664105<br>LT Loss $228 05<br>ST Loss $234 74 | (53) | $1,201 17 | $1,663.96 | ($462 79) |
| 10/07/11 | CASH | YOU SOLD | GRAND CANYON ED INC COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 15 006616<br>ST Loss $213 60 | (56) | $840 35 | $1,053 95 | ($213 60) |
| 10/07/11 | CASH | YOU SOLD | HARRIS CORP DEL AVERAGE PRICE TRADE DETAILS ON REQUEST @ 33 209484<br>LT Loss $462 26 | (19) | $630 96 | $1,093 22 | ($462 26) |
| 10/07/11 | CASH | YOU SOLD | KONICA MINOLTA HOLDINGS INC ADR EACH REPR 2 ORD @ 12 88<br>ST Loss $433 70 | (78) | $1,004 62 | $1,438 32 | ($433 70) |
| 10/07/11 | CASH | YOU SOLD | LAM RESEARCH CORP @ 37.6147<br>ST Loss $460 07 | (37) | $1,391.71 | $1,851 78 | ($460 07) |
| ?/07/11 | CASH | YOU SOLD | MADDEN STEVEN LTD AVERAGE PRICE TRADE DETAILS ON REQUEST @ 29 753155<br>ST Loss $174 25<br>ST Disallowed Loss $174 25 | (33) | $981 83 | $1,156 08 | ($174.25) |
| 10/07/11 | CASH | YOU SOLD | MICROMET INC COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 4 5238<br>ST Loss $425 43 | (283) | $1,280 21 | $1,705.64 | ($425 43) |
| 10/07/11 | CASH | YOU SOLD | NII HLDGS INC NEW CROSS TRADE @ 24 445<br>ST Loss $450.37<br>ST Disallowed Loss $450.37 | (27) | $660 00 | $1,110.37 | ($450.37) |

DUO CHARITABLE FOUNDATION - Corporation
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SunTrust Investment Services, Inc.
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TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/07/11 | CASH | YOU SOLD | NEXEN INC COM NPV ISIN #CA65334H1029 SEDOL #2172219 EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 14.417593 ST Loss $686 83 | (73) | $1,052.45 | $1,739 28 | ($686.83) |
| 10/07/11 | CASH | YOU SOLD | OPLINK COMMUNICATIONS INC COM NEW AVERAGE PRICE TRADE DETAILS ON REQUEST @ 14 283108 ST Loss $865 06 | (83) | $1,185 47 | $2,050.53 | ($865 06) |
| 10/07/11 | CASH | YOU SOLD | PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 COM SH CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 20.951703 ST Loss $790.31 | (46) | $963 76 | $1,754 07 | ($790 31) |
| 10/07/11 | CASH | YOU SOLD | PRUDENTIAL ADR EACH REPR 2 ORD GBP0.05 AVERAGE PRICE TRADE DETAILS ON REQUEST @ 15 638112 LT Loss $843 29 | (65) | $1,016.46 | $1,859 75 | ($843.29) |
| 10/07/11 | CASH | YOU SOLD | RAYTHEON CO COM NEW CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 39 134724 LT Loss $402 82 | (48) | $1,878.43 | $2,281 25 | ($402.82) |
| 10/07/11 | CASH | YOU SOLD | REGAL BELOIT CORP COM STK USD0 01 @ 43 0954 ST Loss $589.19 | (26) | $1,120 45 | $1,709 64 | ($589.19) |
| 10/07/11 | CASH | YOU SOLD | RIVERBED TECHNOLOGY INC COM @ 18 6031 ST Loss $1,087.45 | (61) | $1,134 76 | $2,222 21 | ($1,087 45) |
| 10/07/11 | CASH | YOU SOLD | SBM OFFSHORE NV ADR EACH REPR 1 ORD AVERAGE PRICE TRADE DETAILS ON REQUEST @ 15.927653 ST Loss $544 87 | (63) | $1,003 42 | $1,548.29 | ($544 87) |
| 10/07/11 | CASH | YOU SOLD | SCHLUMBERGER LIMITED COM STK USD0.01 @ 56 2322 LT Loss $628 60 | (16) | $899 70 | $1,528.30 | ($628 60) |

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DUO CHARITABLE FOUNDATION - Corporation
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## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/07/11 | CASH | YOU SOLD | SIEMENS AG ADR-EACH CNV INTO 1 ORD NPV AVERAGE PRICE TRADE DETAILS ON REQUEST @ 86 739352<br>ST Loss $469 30 | (10) | $867 37 | $1,336 67 | ($469 30) |
| 10/07/11 | CASH | YOU SOLD | SKYWORKS SOLUTIONS INC COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 17 551865<br>ST Loss $529 07<br>ST Disallowed Loss $529.07 | (65) | $1,140 84 | $1,669.91 | ($529 07) |
| 10/07/11 | CASH | YOU SOLD | TORCHMARK CORP EX-DIV DATE 10/05/11 RECORD DATE 10/07/11 PAYABLE DTE 11/01/11 @ 33 1222<br>ST Loss $364.13 | (36) | $1,192.37 | $1,556.50 | ($364 13) |
| 10/07/11 | CASH | YOU SOLD | USINAS SID MINAS GERAIS USIMINAS ADR EACH REP 1 PRF NPV(REG S)(BNY) @ 5 26<br>LT Loss $120 09 | (16) | $84.15 | $204 24 | ($120 09) |
| 10/07/11 | CASH | YOU SOLD | WADDELL & REED FINL CL A AVERAGE PRICE TRADE DETAILS ON REQUEST @ 24 043709<br>ST Loss $327.18 | (39) | $937.68 | $1,264 86 | ($327.18) |
| 10/07/11 | CASH | YOU SOLD | XEROX CORP CROSS TRADE @ 6 7215<br>LT Loss $1,878 26 | (300) | $2,016 41 | $3,894 67 | ($1,878 26) |
| 1/07/11 | CASH | YOU SOLD | ZIONS BANCORP CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 13 763592<br>ST Loss $535 77 | (77) | $1,059 77 | $1,595 54 | ($535 77) |
| 10/17/11 | CASH | YOU SOLD | ASAHI KASEI CORP ADR EACH REPR 2 ORD NPV @ 12.58<br>LT Loss $15 39 | (21) | $264 17 | $279.56 | ($15.39) |
| 10/21/11 | CASH | YOU SOLD | DORMAN PRODS INC COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 34 671602<br>ST Loss $22 65 | (31) | $1,074 79 | $1,097 44 | ($22.65) |
| 10/21/11 | CASH | YOU SOLD | MEDIFAST INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 16 454922<br>ST Loss $32 65 | (60) | $987 28 | $1,019.93 | ($32 65) |

SunTrust Investment Services, Inc.
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DUO CHARITABLE FOUNDATION - Corporation
Account Number: WAP-012181

Separate Acc't Manager: LOCKWOOD
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/21/11 | CASH | YOU SOLD | ONYX PHARMACEUTICALS INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 33 853899<br>LT Gain $261 87 | (33) | $1,117 15 | $855.28 | $261 87 |
| 10/28/11 | CASH | YOU SOLD | SEADRILL LTD USD2 ISIN #BMG7945E1057 SEDOL #B0HWHV8 @ 33 42<br>ST Loss $83 68 | (23) | $768.64 | $852.32 | ($83.68) |
| 10/28/11 | CASH | YOU SOLD | ALLIANZ SE ADR EACH REP 1/10 ORD SH @ 11.02<br>LT Loss $74 27 | (55) | $606 08 | $680 35 | ($74 27) |
| 10/28/11 | CASH | YOU SOLD | AMERICAN CAP AGY CORP COM @ 28 64<br>ST Loss $73 45 | (49) | $1,403 33 | $1,476.78 | ($73 45) |
| 10/28/11 | CASH | YOU SOLD | ASAHI KASEI CORP ADR EACH REPR 2 ORD NPV @ 12 31<br>LT Loss $94 26 | (94) | $1,157.11 | $1,251.37 | ($94.26) |
| 10/28/11 | CASH | YOU SOLD | BHP BILLITON LIMITED ADR EACH REP 2 ORD NPV(MGT) @ 76 9645<br>LT Gain $186.13 | (6) | $461 78 | $275 65 | $186.13 |
| 10/28/11 | CASH | YOU SOLD | CLIFFS NAT RES INC COM @ 60.75<br>LT Gain $94 59 | (10) | $607 48 | $512 89 | $94.59 |
| '28/11 | CASH | YOU SOLD | CREDIT SUISSE AG SPON ADR-REP 1 ORD CHF0 40(REGD) @ 27.5202<br>LT Loss $335.06<br>ST Gain $3 59 | (30) | $825.59 | $1,157 06 | ($331 47) |
| 10/28/11 | CASH | YOU SOLD | DEUTSCHE LUFTHANSA AG ADR EACH REPR 1 ORD NPV LVL1 (BNY) @ 14 03<br>LT Loss $398 41<br>ST Loss $53 44 | (88) | $1,234 61 | $1,686.46 | ($451 85) |
| 10/28/11 | CASH | YOU SOLD | GILEAD SCIENCES INC @ 41 2711<br>LT Loss $61 74 | (18) | $742.86 | $804 60 | ($61 74) |
| 10/28/11 | CASH | YOU SOLD | HESS CORP COM @ 59 62<br>LT Gain $167 26 | (52) | $3,100 18 | $2,932.92 | $167 26 |
| 10/28/11 | CASH | YOU SOLD | HIGHWOODS PPTYS INC @ 30 1231<br>LT Gain $11 73<br>LT Loss $81 42 | (17) | $512 08 | $581 77 | ($69.69) |

DUO CHARITABLE FOUNDATION - Corporation
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Separate Acc't Manager. LOCKWOOD
Investment Discipline· MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/28/11 | CASH | YOU SOLD | KLA-TENCOR CORP FRMLY KLA INSTRUMENT CORP @ 45 0131 LT Gain $4.67 | (24) | $1,080.28 | $1,075.61 | $4 67 |
| J/28/11 | CASH | YOU SOLD | KOMATSU ADR EACH REP 1 ORD NPV SHS @ 23 93 ST Gain $29 03 | (35) | $837 53 | $808 50 | $29 03 |
| 10/28/11 | CASH | YOU SOLD | KURARAY CO UNSP ADR EACH REPR 3 ORD @ 42 64 ST Loss $50 25 | (27) | $1,151 25 | $1,201.50 | ($50 25) |
| 10/28/11 | CASH | YOU SOLD | L-3 COMMUNICATIONS HLDGS INC @ 69 50 LT Loss $223 22 | (17) | $1,181 47 | $1,404.69 | ($223 22) |
| 10/28/11 | CASH | YOU SOLD | MITSUBISHI CORP SPONS ADR-EACH CNV INTO 2 ORD NPV @ 39 85 LT Gain $11 32 | (8) | $318 79 | $307 47 | $11.32 |
| 10/28/11 | CASH | YOU SOLD | MOTOROLA SOLUTIONS INC COM NEW @ 45.45 LT Loss $110 85 | (4) | $181 79 | $292 64 | ($110 85) |
| 10/28/11 | CASH | YOU SOLD | MTN GROUP LTD ADR EACH REPR 1 ORD ZAR0 0001(BNY) @ 16 85 LT Gain $34 48 | (50) | $842 48 | $808 00 | $34 48 |
| 10/28/11 | CASH | YOU SOLD | PFIZER INC @ 18.9524 LT Loss $385 52 | (100) | $1,895 20 | $2,280.72 | ($385 52) |
| )/28/11 | CASH | YOU SOLD | PLAINS EXPL & PRODTN CO @ 29 7332 LT Gain $319 43 | (56) | $1,665 02 | $1,345 59 | $319.43 |
| 10/28/11 | CASH | YOU SOLD | PROCTER & GAMBLE CO @ 64 7804 LT Loss $103 55 | (17) | $1,101 24 | $1,204 79 | ($103.55) |
| 10/28/11 | CASH | YOU SOLD | RAYTHEON CO COM NEW @ 44 1821 LT Loss $63 55 | (19) | $839 44 | $902 99 | ($63.55) |
| 10/28/11 | CASH | YOU SOLD | RAYTHEON CO COM NEW @ 44 1821 LT Loss $3 35 ST Loss $118 83 | (29) | $1,281.25 | $1,403.43 | ($122 18) |
| 10/28/11 | CASH | YOU SOLD | RIO TINTO ADR EACH REP 1 ORD @ 52 24 ST Loss $11 15 | (12) | $626 86 | $638 01 | ($11 15) |

**Statement for the Period October 1, 2011 to October 31, 2011**

SunTrust Investment Services, Inc.
*A SunTrust Company*

DUO CHARITABLE FOUNDATION - Corporation
Account Number: WAP-012181

Separate Acc't Manager LOCKWOOD
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 10/28/11 | CASH | YOU SOLD | SCHLUMBERGER LIMITED COM STK USD0 01 @ 68 454<br>LT Loss $240 62 | (10) | $684.52 | $925.14 | ($240 62) |
| 10/28/11 | CASH | YOU SOLD | SOTHEBYS HLDGS INC DELAWARE @ 32.31<br>ST Loss $89 88 | (22) | $710 80 | $800 68 | ($89 88) |
| 10/28/11 | CASH | YOU SOLD | SUMITOMO MITSUI TRUST HOLDINGS SPON ADR EA REPR 1 ORD SH @ 3.23<br>ST Loss $239 18 | (296) | $956.06 | $1,195 24 | ($239.18) |
| 10/28/11 | CASH | YOU SOLD | TEXAS INSTRUMENTS INC EX-DIV DATE 10/27/11 RECORD DATE 10/31/11 PAYABLE DTE 11/21/11 @ 30 9325<br>LT Gain $6.48 | (23) | $711 43 | $704 95 | $6 48 |
| 10/28/11 | CASH | YOU SOLD | THORATEC CORP NEW FORMERLY THORATEC LABS CORP TO 02/13/2001 @ 36 74<br>LT Gain $13 37 | (6) | $220 43 | $207 06 | $13.37 |
| 10/28/11 | CASH | YOU SOLD | TOWER GROUP INC COM @ 23 3701<br>LT Loss $36.58 | (34) | $794.56 | $831.14 | ($36 58) |
| 10/28/11 | CASH | YOU SOLD | TRAVELZOO INC @ 29 86<br>ST Gain $1 34<br>ST Loss $1,022 30 | (20) | $597.18 | $1,618 14 | ($1,020.96) |
| 10/28/11 | CASH | YOU SOLD | VARIAN MEDICAL SYS INC @ 57.9149<br>LT Gain $95 08 | (4) | $231 65 | $136 57 | $95.08 |
| 10/28/11 | CASH | YOU SOLD | VOLKSWAGEN AG ADR EACH REP 1/5 ORD NPV(MGT) @ 29.12<br>ST Loss $75 33 | (43) | $1,252.13 | $1,327.46 | ($75 33) |
| 10/28/11 | CASH | YOU SOLD | ZURICH FINANCIAL SERVICES AG SPON ADR 10 REP 1 ORD CHF10 @ 22 93<br>LT Loss $260 52 | (57) | $1,306.98 | $1,567.50 | ($260 52) |
| **Total Securities Sold** | | | | | **$65,319.86** | | |

DUO CHARITABLE FOUNDATION - Corporation
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Separate Acc't Manager: LOCKWOOD
Investment Discipline· MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 11/28/11 | CASH | YOU BOUGHT | VERINT SYS INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 26 911711 | 42 | ($1,130.29) | $1,130 29 | |
| **Total Securities Purchased** | | | | | ($12,229.29) | | |

### Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 11/01/11 | CASH | YOU SOLD | BG GROUP ADR REP 5 ORD GBP0 10 AVERAGE PRICE TRADE DETAILS ON REQUEST @ 113 94799<br>LT Gain $360 94 | (12) | $1,367 35 | $1,006 41 | $360.94 |
| 11/02/11 | CASH | YOU SOLD | APPLE INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 404 80356<br>LT Gain $3,040 20 | (10) | $4,047.96 | $1,007 76 | $3,040 20 |
| 11/28/11 | CASH | YOU SOLD | AMTRUST FINL SVCS INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 25 188699<br>LT Gain $1,236 78 | (73) | $1,838 74 | $601 96 | $1,236 78 |
| 11/28/11 | CASH | YOU SOLD | NII HLDGS INC NEW AVERAGE PRICE TRADE DETAILS ON REQUEST @ 22 11121<br>ST Loss $672.34 | (32) | $707 54 | $1,379 88 | ($672 34) |
| 11/28/11 | CASH | YOU SOLD | ONEOK INC @ 78 4643<br>ST Gain $9 21 | (2) | $156 92 | $147.71 | $9 21 |
| 11/28/11 | CASH | YOU SOLD | ROVI CORP COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 27 141808<br>ST Loss $552 80 | (29) | $787 09 | $1,339 89 | ($552 80) |
| 11/28/11 | CASH | YOU SOLD | SUPERIOR ENERGY SERVICES INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 26 806705<br>LT Gain $275 55 | (57) | $1,527 95 | $1,252 40 | $275 55 |

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## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 11/28/11 | CASH | YOU SOLD | TRAVELZOO INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 26 764256 ST Loss $21 06 | (12) | $321 16 | $342.22 | ($21.06) |
| **Total Securities Sold** | | | | | $10,754.71 | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 11/01/11 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (23,856.93) | $23,856 93 |
| 11/02/11 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (434 43) | $434 43 |
| 11/03/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 58.05 | ($58 05) |
| 11/08/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 16.6 | ($16 60) |
| 11/09/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 1 95 | ($1 95) |
| 11/14/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 17 16 | ($17 16) |
| 11/15/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 92 44 | ($92.44) |
| 11/21/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 13 6 | ($13 60) |
| 11/28/11 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (3,480 45) | $3,480 45 |
| 11/29/11 | CASH | REINVESTMENT | FEDERATED PRIME OBLIGATIONS TRUST SH NET DIV REINVEST | 0 1 | ($0 10) |
| 11/30/11 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 26 24 | ($26.24) |
| **TOTAL CORE FUND ACTIVITY** | | | | | $27,545.67 |

SunTrust Investment Services, Inc.
*A SunTrust Company*

DUO CHARITABLE FOUNDATION - Corporation
Account Number: WAP-012181

Separate Acc't Manager: LOCKWOOD
Investment Discipline. MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 12/13/11 | CASH | YOU BOUGHT | TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH CNV INTO 1 ORD ILS0 10 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST | 23 | ($919 06) | $919 06 | |
| 12/23/11 | CASH | YOU BOUGHT | DARLING INTL INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 12 849231 | 30 | ($385 48) | $385 48 | |
| 12/23/11 | CASH | YOU BOUGHT | EZCORP INC CL A NON VTG AVERAGE PRICE TRADE DETAILS ON REQUEST @ 26.241 | 20 | ($524 82) | $524 82 | |
| 12/23/11 | CASH | YOU BOUGHT | NII HLDGS INC NEW AVERAGE PRICE TRADE DETAILS ON REQUEST @ 20 617551 Wash Sale of 11/22/11 $467 99 | 20 | ($412 35) | $412 35 | |
| 12/23/11 | CASH | YOU BOUGHT | REGAL BELOIT CORP COM STK USD0 01 AVERAGE PRICE TRADE DETAILS ON REQUEST @ 49 437202 | 15 | ($741 56) | $741 56 | |
| **Total Securities Purchased** | | | | | **($6,708.86)** | | |

### Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 2/23/11 | CASH | YOU SOLD | ALLIANCE DATA SYS CORP @ 104.91 ST Gain $29 18 | (2) | $209.81 | $180.63 | $29.18 |
| 12/23/11 | CASH | YOU SOLD | AMERICAS CAR MART INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 39 896298 ST Gain $190.48 | (12) | $478 75 | $288 27 | $190.48 |
| 12/23/11 | CASH | YOU SOLD | ONEOK INC @ 84 462 ST Gain $21 20 | (2) | $168 91 | $147 71 | $21 20 |
| 12/23/11 | CASH | YOU SOLD | WORLD ACCEP CORP S C NEW AVERAGE PRICE TRADE DETAILS ON REQUEST @ 72 014694 LT Gain $1,061 04 | (19) | $1,368 25 | $307 21 | $1,061 04 |
| **Total Securities Sold** | | | | | **$2,225.72** | | |

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/03/12 | CASH | YOU SOLD | FOMENTO ECONOMICO MEXICANO S A B DE CV SPONSORED ADR REPSTG UNIT 1 SER B SH & 2 SER D-B SHS AND 2 SER D-L SHS CROSS TRADE EXEC ON MULT EXCHG AVERAGE PRICE TRADE<br>LT Gain $114 82 | (5) | $343 99 | $229 17 | $114.82 |
| 01/03/12 | CASH | YOU SOLD | ROLLS ROYCE HOLDINGS SPON ADR REPR 5 ORD SHS GBP0 20 @ 56.13<br>LT Gain $81 11 | (4) | $224.51 | $143.40 | $81 11 |
| 01/03/12 | CASH | YOU SOLD | SANOFI SPONSORED ADR CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 35 666239<br>ST Gain $121 62 | (38) | $1,355.29 | $1,233 67 | $121.62 |
| 01/18/12 | CASH | YOU SOLD | ABBOTT LABORATORIES AVERAGE PRICE TRADE DETAILS ON REQUEST @ 55 541152<br>LT Gain $84 30 | (53) | $2,943 62 | $2,859.32 | $84 30 |
| 01/18/12 | CASH | YOU SOLD | ALLIANZ SE ADR EACH REP 1/10 ORD SH @ 10 04<br>LT Loss $141.69 | (77) | $773 06 | $914 75 | ($141.69) |
| 01/18/12 | CASH | YOU SOLD | ALLSTATE CORP CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 29 033194<br>LT Loss $2,075 62<br>ST Gain $6 48 | (75) | $2,177 44 | $4,246 58 | ($2,069.14) |
| 01/18/12 | CASH | YOU SOLD | ALPHA NAT RES INC @ 22 122<br>LT Loss $1,361 20<br>ST Gain $139 68 | (100) | $2,212.15 | $3,433.67 | ($1,221.52) |
| 01/18/12 | CASH | YOU SOLD | AMERICA MOVIL SAB DE CV ADR EACH REP 20 SHS NPV SER L CROSS TRADE @ 22.8613<br>ST Loss $19 36 | (26) | $594.37 | $613.73 | ($19 36) |
| 01/18/12 | CASH | YOU SOLD | ARCHER DANIELS MIDLAND CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 28 882912<br>LT Gain $535 53 | (72) | $2,079.53 | $1,544 00 | $535 53 |

SunTrust Investment Services, Inc.
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DUO CHARITABLE FOUNDATION - Corporation
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Separate Acc't Manager. LOCKWOOD
Investment Discipline MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/18/12 | CASH | YOU SOLD | ARQULE INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 5 9086207<br>LT Gain $140 37 | (84) | $496 31 | $355 94 | $140 37 |
| 01/18/12 | CASH | YOU SOLD | BAYER AG SPON ADR-EACH REPR 1 ORD NPV @ 69 02<br>ST Gain $100 34 | (6) | $414 11 | $313 77 | $100 34 |
| 01/18/12 | CASH | YOU SOLD | CLIFFS NAT RES INC COM @ 69 9973<br>LT Gain $130 95 | (7) | $489.97 | $359.02 | $130 95 |
| 01/18/12 | CASH | YOU SOLD | COACH INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 60 152172<br>LT Gain $838 16 | (31) | $1,864 68 | $1,026 52 | $838 16 |
| 01/18/12 | CASH | YOU SOLD | COINSTAR INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 44 070909<br>ST Gain $1 53 | (12) | $528 83 | $527 30 | $1 53 |
| 01/18/12 | CASH | YOU SOLD | COMCAST CORP NEW CL A AVERAGE PRICE TRADE DETAILS ON REQUEST @ 25 46147<br>LT Gain $657 48 | (73) | $1,858.65 | $1,201.17 | $657 48 |
| 01/18/12 | CASH | YOU SOLD | CUMMINS INC FORMERLY CUMMINS ENGINE INC TO 04/05/2001 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 97 731676<br>LT Gain $1,959 80 | (37) | $3,616 00 | $1,656 20 | $1,959.80 |
| 01/18/12 | CASH | YOU SOLD | DAIMLER AG SPON ADR EACH REPR 1 ORD SHS @ 48 96<br>ST Gain $5 25 | (17) | $832 30 | $827 05 | $5 25 |
| 01/18/12 | CASH | YOU SOLD | DIGITAL GENERATION INC COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 12 086<br>ST Loss $66 78 | (66) | $797 66 | $864 44 | ($66.78) |
| 01/18/12 | CASH | YOU SOLD | EZCORP INC CL A NON VTG AVERAGE PRICE TRADE DETAILS ON REQUEST @ 26 407041<br>ST Gain $3 30 | (20) | $528 12 | $524 82 | $3 30 |

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## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/18/12 | CASH | YOU SOLD | FOSSIL INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 80 369222<br>ST Loss $208 94 | (13) | $1,044 77 | $1,253 71 | ($208.94) |
| 01/18/12 | CASH | YOU SOLD | GDF SUEZ SPON ADR EACH REPR 1 ORD @ 27.17<br>ST Loss $28.63 | (44) | $1,195 45 | $1,224 08 | ($28 63) |
| 01/18/12 | CASH | YOU SOLD | GILEAD SCIENCES INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 44.270791<br>LT Loss $13 76 | (32) | $1,416.64 | $1,430 40 | ($13 76) |
| 01/18/12 | CASH | YOU SOLD | GLAXOSMITHKLINE ADR EACH CNV INTO 2 ORD GBP0 25 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 44 301007<br>LT Loss $80 64 | (32) | $1,417.60 | $1,498.24 | ($80.64) |
| 01/18/12 | CASH | YOU SOLD | HANNOVER RUECKVERSICHERUNG AG ADR (EACH REP 0.5 COM SHS) (SPONS) @ 24 94<br>LT Gain $57.48 | (25) | $623 48 | $566 00 | $57.48 |
| 01/18/12 | CASH | YOU SOLD | INFORMATICA CORP CROSS TRADE @ 35.763<br>ST Loss $248 41 | (29) | $1,037 11 | $1,285 52 | ($248.41) |
| 01/18/12 | CASH | YOU SOLD | JOHNSON & JOHNSON CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 65 121862<br>LT Loss $106 00 | (37) | $2,409.46 | $2,515 46 | ($106 00) |
| 01/18/12 | CASH | YOU SOLD | KBR INC COM @ 29 993<br>LT Gain $173 18 | (15) | $449 89 | $276 71 | $173.18 |
| 01/18/12 | CASH | YOU SOLD | KLA-TENCOR CORP COM @ 48.8548<br>LT Gain $60 55 | (15) | $732 80 | $672.25 | $60 55 |
| 01/18/12 | CASH | YOU SOLD | KIMBERLY CLARK CORP CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 72 442743<br>LT Gain $293.68 | (43) | $3,114 98 | $2,821.30 | $293 68 |

DUO CHARITABLE FOUNDATION - Corporation
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SunTrust Investment Services, Inc.
*A SunTrust Company*

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/18/12 | CASH | YOU SOLD | KONINKLIJKE AHOLD NV NEW ADR REP 1 EURO 25 @ 12 69<br>LT Gain $2 49 | (84) | $1,065 93 | $1,063 44 | $2 49 |
| 01/18/12 | CASH | YOU SOLD | KRAFT FOODS INC CL A CROSS TRADE @ 38 0809<br>LT Gain $433 04 | (74) | $2,817 93 | $2,384.89 | $433 04 |
| 01/18/12 | CASH | YOU SOLD | L-3 COMMUNICATIONS HLDGS INC @ 69 9148<br>LT Loss $2 80 | (5) | $349 56 | $352 36 | ($2 80) |
| 01/18/12 | CASH | YOU SOLD | MARATHON OIL CORP ISIN #US5658491064 SEDOL #2910970 CROSS TRADE AVERAGE PRICE TRADE DETAILS ON REQUEST @ 30 5715<br>LT Gain $862 82<br>LT Loss $3 60<br>ST Loss $23 35 | (95) | $2,904 23 | $2,068 36 | $835 87 |
| 01/18/12 | CASH | YOU SOLD | MASTERCARD INC CL A @ 340.0832<br>LT Gain $782 17 | (5) | $1,700 38 | $918 21 | $782 17 |
| 01/18/12 | CASH | YOU SOLD | MERCK & CO INC NEW COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 38 563069<br>LT Gain $85 50 | (42) | $1,619.61 | $1,534 11 | $85.50 |
| 18/12 | CASH | YOU SOLD | METROPCS COMMUNICATIONS INC @ 8.4123<br>ST Gain $20 51<br>ST Loss $1,337 81 | (320) | $2,691.88 | $4,009 18 | ($1,317.30) |
| 01/18/12 | CASH | YOU SOLD | MOTOROLA SOLUTIONS INC COM NEW @ 46 1907<br>LT Gain $81 31<br>LT Loss $12 55 | (10) | $461 90 | $393 14 | $68 76 |
| 01/18/12 | CASH | YOU SOLD | NATIONAL AUSTRALIA BANK SPONS ADR EACH CNV INTO 1 NPV @ 24 34<br>LT Gain $148.67<br>LT Loss $28 17 | (28) | $681 50 | $561 00 | $120 50 |
| 01/18/12 | CASH | YOU SOLD | NEWFIELD EXPLORATION CO CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 38 332869<br>ST Loss $121 86 | (41) | $1,571 61 | $1,693 47 | ($121 86) |

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/18/12 | CASH | YOU SOLD | NORTHERN TR CORP @ 42 042<br>LT Loss $335 31 | (58) | $2,438 39 | $2,773 70 | ($335.31) |
| 01/18/12 | CASH | YOU SOLD | NORTHROP GRUMMAN CORP HOLDING CO AVERAGE PRICE TRADE DETAILS ON REQUEST @ 59 050988<br>LT Gain $228 28 | (16) | $944 80 | $716 52 | $228 28 |
| 01/18/12 | CASH | YOU SOLD | NOVARTIS AG ADR-EACH REPR 1 CHF0.5(REGD) @ 56.5201<br>LT Gain $180 30 | (11) | $621 70 | $441.40 | $180.30 |
| 01/18/12 | CASH | YOU SOLD | OYO GEOSPACE CORP @ 81 995<br>ST Gain $7 05 | (15) | $1,229 90 | $1,222 85 | $7 05 |
| 01/18/12 | CASH | YOU SOLD | PRECISION CASTPARTS CORP CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 172.94744<br>LT Gain $1,216.91 | (16) | $2,767.10 | $1,550.19 | $1,216.91 |
| 01/18/12 | CASH | YOU SOLD | PROCTER & GAMBLE CO AVERAGE PRICE TRADE DETAILS ON REQUEST EX-DIV DATE 01/18/12 RECORD DATE 01/20/12 PAYABLE DTE 02/15/12 @ 65 602614<br>LT Loss $221 29 | (42) | $2,755 25 | $2,976 54 | ($221 29) |
| 01/18/12 | CASH | YOU SOLD | PROGRESS ENERGY INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 54 071227<br>LT Gain $329 06 | (33) | $1,784 31 | $1,455.25 | $329 06 |
| 01/18/12 | CASH | YOU SOLD | RAYTHEON CO COM NEW @ 49 3125<br>ST Gain $25 71 | (29) | $1,430.03 | $1,404.32 | $25.71 |
| 01/18/12 | CASH | YOU SOLD | SAFEWAY INC COM NEW FMLY SAFEWAY STORES INC TO 2/23/90 CROSS TRADE @ 20 5915<br>LT Loss $391 87 | (132) | $2,718 02 | $3,109 89 | ($391.87) |
| 01/18/12 | CASH | YOU SOLD | SINGAPORE TELECOMMUNICATIONS NEW ADR-EACH REPR 10 ORD CDI NPV AVERAGE PRICE TRADE DETAILS ON REQUEST @ 24 156452<br>ST Gain $84 72<br>ST Loss $0.49 | (70) | $1,690 91 | $1,606 68 | $84 23 |

**Statement for the Period January 1, 2012 to January 31, 2012**

SunTrust Investment Services, Inc.
*A SunTrust Company*

DUO CHARITABLE FOUNDATION - Corporation
Account Number: WAP-012181

Separate Acc't Manager LOCKWOOD
Investment Discipline MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/18/12 | CASH | YOU SOLD | SKYWORKS SOLUTIONS INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 17 67197<br>ST Loss $857 93 | (76) | $1,343 04 | $2,200 97 | ($857 93) |
| 01/18/12 | CASH | YOU SOLD | SMITH & NEPHEW ADR EACH REPR 5 USD0 20 @ 45.9151<br>LT Gain $7 69 | (22) | $1,010.11 | $1,002 42 | $7.69 |
| 01/18/12 | CASH | YOU SOLD | SOUTHWESTERN ENERGY CO DELAWARE AVERAGE PRICE TRADE DETAILS ON REQUEST @ 28 991859<br>LT Loss $176 13 | (63) | $1,826 45 | $2,002 58 | ($176 13) |
| 01/18/12 | CASH | YOU SOLD | TARGACEPT INC @ 5 622<br>ST Loss $830 89 | (86) | $483 48 | $1,314 37 | ($830 89) |
| 01/18/12 | CASH | YOU SOLD | THERMO FISHER SCIENTIFIC INC @ 49 7325<br>LT Gain $332 47 | (31) | $1,541 68 | $1,209 21 | $332 47 |
| 01/18/12 | CASH | YOU SOLD | THORATEC CORP NEW FORMERLY THORATEC LABS CORP TO 02/13/2001 AVERAGE PRICE TRADE DETAILS ON REQUEST @ 29 790479<br>LT Gain $10 10<br>LT Loss $114.04 | (27) | $804 32 | $908 26 | ($103 94) |
| 18/12 | CASH | YOU SOLD | TOWER GROUP INC COM @ 21 12<br>LT Loss $79 13<br>ST Loss $5 39 | (34) | $718 06 | $802 58 | ($84.52) |
| 01/18/12 | CASH | YOU SOLD | TOWERS WATSON & CO COM USD0 01 CLASS A @ 58 5181<br>ST Loss $44 81 | (21) | $1,228.85 | $1,273 66 | ($44.81) |
| 01/18/12 | CASH | YOU SOLD | VERIFONE SYSTEMS INC COM @ 37 0348<br>ST Loss $482 45 | (71) | $2,629 41 | $3,111 86 | ($482 45) |
| 01/18/12 | CASH | YOU SOLD | WASTE MANAGEMENT INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 33 54131<br>LT Gain $518 75 | (92) | $3,085 74 | $2,566 99 | $518 75 |

DUO CHARITABLE FOUNDATION - Corporation
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Separate Acc't Manager. LOCKWOOD
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 01/18/12 | CASH | YOU SOLD | WILLIAMS COS INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 28 052426 LT Gain $749 06 | (84) | $2,356.35 | $1,607.29 | $749 06 |
| 01/18/12 | CASH | YOU SOLD | XILINX INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 33 32215 LT Gain $272 51 | (26) | $866.36 | $593.85 | $272.51 |
| 01/18/12 | CASH | YOU SOLD | XSTRATA PLC ADR EACH REPR 0 20 ORD USD0 50 @ 3.21 LT Loss $134 17 | (156) | $500 75 | $634 92 | ($134.17) |
| **Total Securities Sold** | | | | | $90,212.31 | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 01/03/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (117.72) | $117.72 |
| 01/04/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 53 28 | ($53 28) |
| 01/05/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 17 38 | ($17.38) |
| 01/09/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 70.23 | ($70 23) |
| 01/12/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 27 41 | ($27.41) |
| 01/13/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 32 57 | ($32 57) |
| 01/17/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 74.03 | ($74.03) |
| 01/18/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 6,707.12 | ($6,707.12) |
| 01/20/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 117.45 | ($117 45) |

DUO CHARITABLE FOUNDATION - Corporation
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Investment Discipline MSA-GLBL-ALL CAP-TAX MGD

SunTrust Investment Services, Inc.
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## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 02/14/12 | CASH | YOU BOUGHT | MANTECH INTL CORP CL A CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 36 234198 | 20 | ($724.68) | $724 68 | |
| **Total Securities Purchased** | | | | | ($4,059.29) | | |
| **Securities Sold** | | | | | | | |
| 02/06/12 | CASH | YOU SOLD | POLYPORE INTL INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 41 822995 ST Loss $247 14 | (30) | $1,254 66 | $1,501 80 | ($247 14) |
| 02/10/12 | CASH | YOU SOLD | BOTTOMLINE TECHNOLOGIES INC EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 27 934344 ST Gain $35 95 | (12) | $335 20 | $299 25 | $35 95 |
| 02/24/12 | CASH | YOU SOLD | COGNIZANT TECH SOLUTIONS CORP AVERAGE PRICE TRADE DETAILS ON REQUEST @ 71.233561 ST Gain $7.09 | (2) | $142 46 | $135 37 | $7 09 |
| **ˉotal Securities Sold** | | | | | $1,732.32 | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 02/01/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (976.02) | $976 02 |
| 02/02/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 12 35 | ($12 35) |
| 02/03/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (1,156 46) | $1,156.46 |
| 02/06/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 1,254 66 | ($1,254 66) |
| 02/08/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 24 22 | ($24 22) |

DUO CHARITABLE FOUNDATION - Corporation
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SunTrust Investment Services, Inc.
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## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 03/12/12 | CASH | YOU BOUGHT | CLOUD PEAK ENERGY INC COM USD0.01 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 16 786479 | 84 | ($1,410 06) | $1,410 06 | |
| 03/26/12 | CASH | YOU BOUGHT | VERTEX PHARMACEUTCLS INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 41 606314 | 39 | ($1,622 65) | $1,622 65 | |
| **Total Securities Purchased** | | | | | **($6,771.97)** | | |

### Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 03/05/12 | CASH | YOU SOLD | ALPHA NAT RES INC @ 18 79<br>ST Gain $59 17 | (29) | $544.90 | $485 73 | $59.17 |
| 03/05/12 | CASH | YOU SOLD | BALCHEM CORP FRMLY CL B TO 11/04/1987 @ 27 49<br>ST Loss $235 03 | (43) | $1,182 04 | $1,417 07 | ($235 03) |
| 03/05/12 | CASH | YOU SOLD | GENTEX CORP @ 24 15<br>ST Gain $424 83<br>ST Loss $424 83<br>ST Disallowed Loss $424 83<br>Wash Sale of 02/29/12 $424 83 | (69) | $1,666 32 | $2,091 15 | |
| 03/05/12 | CASH | YOU SOLD | HESS CORP COM @ 65 3848<br>LT Gain $35 92 | (4) | $261 53 | $225 61 | $35 92 |
| 03/05/12 | CASH | YOU SOLD | HIGHER ONE HOLDING INC COM USD0 001 @ 14 75<br>ST Loss $211 27 | (79) | $1,165 22 | $1,376 49 | ($211.27) |
| 03/05/12 | CASH | YOU SOLD | NII HLDGS INC NEW @ 18.53<br>LT Loss $509 75 | (20) | $370 59 | $880 34 | ($509 75) |
| 03/05/12 | CASH | YOU SOLD | QUIDEL CORP COM @ 14 49<br>ST Loss $16 49 | (16) | $231 83 | $248.32 | ($16.49) |
| 03/05/12 | CASH | YOU SOLD | SANDRIDGE ENERGY INC COM @ 8 7438<br>ST Gain $9 29 | (60) | $524 62 | $515 33 | $9.29 |
| 03/05/12 | CASH | YOU SOLD | SOUTHWESTERN ENERGY CO DELAWARE @ 32 9422<br>LT Gain $3.46 | (3) | $98 82 | $95 36 | $3 46 |

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DUO CHARITABLE FOUNDATION - Corporation
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Separate Acc't Manager: LOCKWOOD
Investment Discipline. MSA-GLBL-ALL CAP-TAX MGD

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 03/05/12 | CASH | YOU SOLD | SUPERIOR ENERGY SERVICES INC @ 29.5127 ST Gain $38.05 | (66) | $1,947.80 | $1,909 75 | $38.05 |
| 03/05/12 | CASH | YOU SOLD | TITANIUM METALS CORP COM NEW EX-DIV DATE 03/07/12 RECORD DATE 03/09/12 PAYABLE DTE 03/21/12 @ 14.7738 ST Loss $65.04 | (60) | $886.41 | $951 45 | ($65 04) |
| 03/05/12 | CASH | YOU SOLD | XSTRATA PLC ADR EACH REPR 0 20 ORD USD0.50 @ 3 794 LT Gain $107 99 LT Loss $0 83 | (230) | $872 60 | $765.44 | $107 16 |
| 03/13/12 | CASH | YOU SOLD | MARINEMAX INC EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 7.2279 ST Gain $0.13 | (14) | $101.18 | $101.05 | $0 13 |
| 03/13/12 | CASH | YOU SOLD | MCDERMOTT INTERNATIONAL INC COM STK USD1 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 13 89377 ST Gain $94.34 | (44) | $611.31 | $516 97 | $94 34 |
| **Total Securities Sold** | | | | | **$10,465.17** | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 03/01/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (314 07) | $314 07 |
| 03/05/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 9,752 68 | ($9,752 68) |
| 03/06/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 17.82 | ($17 82) |
| 03/07/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (3,292.64) | $3,292 64 |

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 04/18/12 | CASH | YOU BOUGHT | DARDEN RESTAURANTS EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 50 477613 | 59 | ($2,978.18) | $2,978.18 | |
| 04/26/12 | CASH | YOU BOUGHT | STARBUCKS CORP CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 58.5905 | 26 | ($1,523.35) | $1,523 35 | |
| 04/30/12 | CASH | YOU BOUGHT | COVIDIEN PLC USD0 20(POST CONSOLIDATION) CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 54.706375 | 35 | ($1,914.72) | $1,914 72 | |
| **Total Securities Purchased** | | | | | **($23,072.63)** | | |
| **Securities Sold** | | | | | | | |
| 04/05/12 | CASH | YOU SOLD | ALPHA NAT RES INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 15 852441<br>ST Loss $28.72 | (32) | $507.26 | $535 98 | ($28 72) |
| /05/12 | CASH | YOU SOLD | AMERICAN EXPRESS CO AVERAGE PRICE TRADE DETAILS ON REQUEST EX-DIV DATE 04/03/12 RECORD DATE 04/05/12 PAYABLE DTE 05/10/12 @ 58 150997<br>ST Gain $5.75 | (19) | $1,104 84 | $1,099 09 | $5 75 |
| 04/05/12 | CASH | YOU SOLD | BAKER HUGHES INC @ 42 6125<br>ST Loss $184.33 | (29) | $1,235 73 | $1,420 06 | ($184 33) |
| 04/05/12 | CASH | YOU SOLD | COACH INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 78 374171<br>LT Gain $657.56 | (14) | $1,097.21 | $439.65 | $657.56 |
| 04/05/12 | CASH | YOU SOLD | CUMMINS INC FORMERLY CUMMINS ENGINE INC TO 04/05/2001 @ 120 75<br>LT Gain $683 86 | (9) | $1,086.72 | $402 86 | $683.86 |

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DUO CHARITABLE FOUNDATION - Corporation
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Separate Acc't Manager. LOCKWOOD
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## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 04/05/12 | CASH | YOU SOLD | FLIR SYS INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 25 131868<br>LT Gain $222 80 | (64) | $1,608 40 | $1,385 60 | $222 80 |
| ./05/12 | CASH | YOU SOLD | MANTECH INTL CORP CL A AVERAGE PRICE TRADE DETAILS ON REQUEST @ 34 560114<br>ST Loss $33 50 | (20) | $691 18 | $724 68 | ($33 50) |
| 04/05/12 | CASH | YOU SOLD | MOTOROLA SOLUTIONS INC COM NEW @ 50 8826<br>LT Gain $277 58 | (21) | $1,068 50 | $790 92 | $277 58 |
| 04/05/12 | CASH | YOU SOLD | NIPPON TEL & TEL CORP SPONS ADR-REPR 2 ORD SHS NPV AVERAGE PRICE TRADE DETAILS ON REQUEST @ 22.551841<br>LT Loss $32 98 | (45) | $1,014 80 | $1,047 78 | ($32 98) |
| 04/05/12 | CASH | YOU SOLD | NORTHROP GRUMMAN CORP HOLDING CO CROSS TRADE @ 61 963<br>LT Gain $471.79 | (27) | $1,672 96 | $1,201.17 | $471.79 |
| 04/05/12 | CASH | YOU SOLD | ORACLE CORPORATION CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST EX-DIV DATE 04/09/12 PAYABLE DTE 05/02/12 @ 29 561326<br>LT Gain $437 80 | (56) | $1,655 39 | $1,217 59 | $437 80 |
| 04/05/12 | CASH | YOU SOLD | PROGRESS ENERGY INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 53.393572<br>LT Gain $183 61 | (29) | $1,548 37 | $1,364 76 | $183.61 |
| 04/05/12 | CASH | YOU SOLD | QUEST DIAGNOSTICS INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 61 812609<br>LT Gain $232 43 | (27) | $1,668 90 | $1,436 47 | $232 43 |
| 04/05/12 | CASH | YOU SOLD | SMITHFIELD FOODS INC @ 22.34<br>ST Gain $0 50 | (46) | $1,027 61 | $1,027 11 | $0.50 |

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 04/05/12 | CASH | YOU SOLD | TEXAS INSTRUMENTS INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 33.242468<br>LT Gain $139 47 | (48) | $1,595.60 | $1,456.13 | $139 47 |
| 04/05/12 | CASH | YOU SOLD | TITANIUM METALS CORP COM NEW CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 13 680631<br>ST Loss $67.49 | (31) | $424.09 | $491.58 | ($67 49) |
| 04/05/12 | CASH | YOU SOLD | VERIZON COMMUNICATIONS CROSS TRADE EX-DIV DATE 04/05/12 RECORD DATE 04/10/12 PAYABLE DTE 05/01/12 @ 38.54<br>LT Gain $208 75 | (42) | $1,618 64 | $1,409 89 | $208.75 |
| 04/23/12 | CASH | YOU SOLD | VALE S A SPONS ADR REPR 1 COM NPV CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 23.195912<br>ST Loss $2 66 | (4) | $92 77 | $95 43 | ($2.66) |
| **Total Securities Sold** | | | | | **$20,718.97** | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 04/02/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 101 59 | ($101.59) |
| 04/03/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (3,064 39) | $3,064.39 |
| 04/04/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (344) | $344 00 |
| 04/05/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 8,979 06 | ($8,979 06) |
| 04/09/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 25 13 | ($25 13) |

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Separate Acc't Manager: LOCKWOOD
Investment Discipline: MSA-GLBL-ALL CAP-TAX MGD

SunTrust Investment Services, Inc.
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# Activity

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Information at end of this statement for more information

## TRADING

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Securities Purchased** | | | | | | | |
| 05/08/12 | CASH | YOU BOUGHT | STARBUCKS CORP @ 57 1175 | 11 | ($628 29) | $628 29 | |
| 05/09/12 | CASH | YOU BOUGHT | MANTECH INTL CORP CL A CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 25 288365 | 88 | ($2,225 38) | $2,225 38 | |
| 05/09/12 | CASH | YOU BOUGHT | MCDERMOTT INTERNATIONAL INC COM STK USD1 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 9 9968323 | 102 | ($1,019 68) | $1,019.68 | |
| 05/24/12 | CASH | YOU BOUGHT | ACME PACKET INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 23 42679 | 25 | ($585 67) | $585 67 | |
| 05/24/12 | CASH | YOU BOUGHT | BOTTOMLINE TECHNOLOGIES INC AVERAGE PRICE TRADE DETAILS ON REQUEST @ 18.433524 | 30 | ($553 01) | $553.01 | |
| **Total Securities Purchased** | | | | | **($5,012.03)** | | |
| **Securities Sold** | | | | | | | |
| 05/07/12 | CASH | YOU SOLD | WOLVERINE WORLD WIDE INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 43 516012 ST Gain $421 53 | (49) | $2,132 23 | $1,710.70 | $421 53 |

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 05/09/12 | CASH | YOU SOLD | 3D SYSTEMS CORP DEL COM NEW EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 28 545448 ST Gain $700 98 | (61) | $1,741 23 | $1,040 25 | $700.98 |
| 05/16/12 | CASH | YOU SOLD | ARM HOLDINGS PLC ADS EACH REP 3 ORD GBP0 0005 CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 24 161308 ST Loss $188 86 | (69) | $1,667 09 | $1,855 95 | ($188 86) |
| **Total Securities Sold** | | | | | **$5,540.55** | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 05/01/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 106 38 | ($106 38) |
| 05/02/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 7 08 | ($7 08) |
| '03/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 19 73 | ($19 73) |
| 05/04/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 49 17 | ($49 17) |
| 05/07/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 2,154 07 | ($2,154 07) |
| 05/08/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (628 29) | $628 29 |
| 05/09/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (1,474 09) | $1,474 09 |
| 05/10/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 55 62 | ($55.62) |
| 05/14/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 36.37 | ($36.37) |

# Activity

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Information at end of this statement for more information

## TRADING

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Securities Purchased** | | | | | | | |
| 06/05/12 | CASH | YOU BOUGHT | TERADATA CORP DEL COM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 66 894968 | 10 | ($668.95) | $668 95 | |
| 06/05/12 | CASH | YOU BOUGHT | TRACTOR SUPPLY CO CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 91 098687 | 5 | ($455.49) | $455.49 | |
| 06/26/12 | CASH | YOU BOUGHT | EBAY INC EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 42 06964 | 49 | ($2,061.41) | $2,061.41 | |
| **Total Securities Purchased** | | | | | **($3,185.85)** | | |
| **Securities Sold** | | | | | | | |
| 06/26/12 | CASH | YOU SOLD | GOOGLE INC CL A @ 570 01 ST Loss $150 73 | (5) | $2,849.98 | $3,000 71 | ($150.73) |
| 06/26/12 | CASH | YOU SOLD | STARWOOD HOTELS & RESORTS WORLDWIDE INC COM CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 52 254519 ST Gain $7 04 | (18) | $940.55 | $933 51 | $7 04 |
| **Total Securities Sold** | | | | | **$3,790.53** | | |

## TRADING *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 07/27/12 | CASH | YOU BOUGHT | TEXAS INSTRUMENTS INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 26 395483 | 60 | ($1,583 73) | $1,583 73 | |
| **Total Securities Purchased** | | | | | **($7,446.44)** | | |

### Securities Sold

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount | Total Cost Basis | Realized Gain (Loss) |
|---|---|---|---|---|---|---|---|
| 07/13/12 | CASH | YOU SOLD | 3D SYSTEMS CORP DEL COM NEW EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 33.291554<br>ST Gain $568 30 | (35) | $1,165 17 | $596 87 | $568 30 |
| 07/17/12 | CASH | YOU SOLD | COGNIZANT TECH SOLUTIONS CORP AVERAGE PRICE TRADE DETAILS ON REQUEST @ 54 992103<br>ST Loss $177 74 | (14) | $769.87 | $947 61 | ($177 74) |
| 07/27/12 | CASH | YOU SOLD | INTEL CORP CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 24 81549<br>LT Gain $778 88 | (158) | $3,920 76 | $3,141 88 | $778.88 |
| **Total Securities Sold** | | | | | **$5,855.80** | | |

## ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|---|---|---|---|---|---|
| 07/02/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (1,489 39) | $1,489 39 |
| 07/03/12 | CASH | YOU BOUGHT | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | 3.58 | ($3 58) |
| 07/06/12 | CASH | YOU SOLD | FEDERATED PRIME OBLIGATIONS TRUST SH @ 1 | (674 58) | $674 58 |