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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 08-01-2011, and ending 07-31-2012

G Check all that apply

 Initial return Initial return of a former public charity Final return Amended return Address change Name changeName of foundation  
HARTZ FOUNDATION

## A Employer identification number

41-6041638

Number and street (or P O box number if mail is not delivered to street address)  
201 E 3RD STREET

## B Telephone number (see page 10 of the instructions)

(218) 681-4020

City or town, state, and ZIP code  
THIEF RIVER FALLS, MN 56701C If exemption application is pending, check here  D 1. Foreign organizations, check here  2. Foreign organizations meeting the 85% test, check here and attach computation  E If private foundation status was terminated under section 507(b)(1)(A), check here  F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here  H Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 3,078,654** J Accounting method  Cash  Accrual  
 Other (specify) *(Part I, column (d) must be on cash basis.)*

## Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
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1 Contributions, gifts, grants, etc., received (attach schedule)			
2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B			
3 Interest on savings and temporary cash investments	131	131	
4 Dividends and interest from securities. . . . .	68,460	68,460	
5a Gross rents . . . . .			
b Net rental income or (loss) . . . . .			
6a Net gain or (loss) from sale of assets not on line 10	71,972		
b Gross sales price for all assets on line 6a <b>1,433,219</b>			
7 Capital gain net income (from Part IV, line 2) . . .		71,972	
8 Net short-term capital gain . . . . .			
9 Income modifications . . . . .			
10a Gross sales less returns and allowances			
b Less Cost of goods sold . . . . .			
c Gross profit or (loss) (attach schedule) . . . . .			
11 Other income (attach schedule) . . . . .			
12 <b>Total.</b> Add lines 1 through 11 . . . . .	140,563	140,563	

13 Compensation of officers, directors, trustees, etc	51,583	18,054	33,529
14 Other employee salaries and wages . . . . .			
15 Pension plans, employee benefits . . . . .	4,480	1,568	2,912
16a Legal fees (attach schedule) . . . . .			
b Accounting fees (attach schedule) . . . . .	5,900	2,065	3,835
c Other professional fees (attach schedule) . . . . .	26,788	26,788	0
17 Interest . . . . .			
18 Taxes (attach schedule) (see page 14 of the instructions)	6,042	1,697	2,565
19 Depreciation (attach schedule) and depletion . . .			
20 Occupancy . . . . .			
21 Travel, conferences, and meetings . . . . .	2,224	778	1,446
22 Printing and publications . . . . .			
23 Other expenses (attach schedule) . . . . .	1,796	628	1,168
24 <b>Total operating and administrative expenses.</b>			
Add lines 13 through 23 . . . . .	98,813	51,578	45,455
25 Contributions, gifts, grants paid. . . . .	148,600		148,600
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	247,413	51,578	194,055
27 Subtract line 26 from line 12			
a <b>Excess of revenue over expenses and disbursements</b>	-106,850		
b <b>Net investment income</b> (if negative, enter -0-) . . .		88,985	
c <b>Adjusted net income</b> (if negative, enter -0-) . . .			

<b>Part II</b> <b>Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>					
1	Cash—non-interest-bearing . . . . .				
2	Savings and temporary cash investments . . . . .	145,120	96,023	96,023	
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable . . . . .				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use . . . . .				
9	Prepaid expenses and deferred charges . . . . .				
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule) . . . . .	3,510,577	3,453,844	2,982,631	
c	Investments—corporate bonds (attach schedule) . . . . .				
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►) . . . . .	660	0	0	
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,656,357	3,549,867	3,078,654	
<b>Liabilities</b>					
17	Accounts payable and accrued expenses . . . . .	1,200	1,500		
18	Grants payable . . . . .				
19	Deferred revenue . . . . .				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ►) . . . . .	0	60		
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	1,200	1,560		
<b>Net Assets or Fund Balances</b>					
	<b>Foundations that follow SFAS 117, check here ►</b> <input type="checkbox"/>				
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>				
24	Unrestricted . . . . .				
25	Temporarily restricted . . . . .				
26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here ►</b> <input checked="" type="checkbox"/>				
	<b>and complete lines 27 through 31.</b>				
27	Capital stock, trust principal, or current funds . . . . .	3,272,846	3,272,846		
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0		
29	Retained earnings, accumulated income, endowment, or other funds	382,311	275,461		
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	3,655,157	3,548,307		
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	3,656,357	3,549,867		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	3,655,157
2	Enter amount from Part I, line 27a . . . . .	2	-106,850
3	Other increases not included in line 2 (itemize) ►	3	0
4	Add lines 1, 2, and 3 . . . . .	4	3,548,307
5	Decreases not included in line 2 (itemize) ►	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,548,307

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b>	SHORT TERM STOCK SALES-NON COVERED		2011-08-01	2012-07-31
<b>b</b>	LONG TERM STOCK SALES-NON COVERED		2010-08-01	2012-07-31
<b>c</b>		P		
<b>d</b>				
<b>e</b>				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>	626,751		638,795	-12,044
<b>b</b>	806,468		722,452	84,016
<b>c</b>				0
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>				-12,044
<b>b</b>				84,016
<b>c</b>				0
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	71,972
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		<b>3</b>	
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8				

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	235,706	3,386,968	0 069592
2009	233,950	3,324,605	0 070369
2008	265,548	3,824,873	0 069427
2007	285,845	4,454,016	0 064177
2006	278,985	4,611,727	0 060495
<b>2</b>			<b>2</b> 0 334060
<b>3</b>			<b>3</b> 0 066812
<b>4</b>			<b>4</b> 3,252,445
<b>5</b>			<b>5</b> 217,302
<b>6</b>			<b>6</b> 890
<b>7</b>			<b>7</b> 218,192
<b>8</b>			<b>8</b> 194,055

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1				
<b>b</b>	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>				
<b>1</b>		1,780			
<b>c</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .				
<b>2</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
<b>2</b>		0			
<b>3</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				
<b>3</b>	Add lines 1 and 2. . . . .				
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				
<b>4</b>					
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .				
<b>5</b>					
<b>6</b>	<b>Credits/Payments</b>				
<b>a</b>	2011 estimated tax payments and 2010 overpayment credited to 2011			<b>6a</b>	1,720
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .			<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)			<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .			<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d. . . . .	<b>7</b>	1,720		
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>			
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ►	<b>9</b>	60		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ►	<b>10</b>			
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2012 estimated tax</b> ►	<b>Refunded</b> ►	<b>11</b>		

## **Part VII-A Statements Regarding Activities**

	<b>Yes</b>	<b>No</b>
<b>1a</b>	<b>Yes</b>	<b>No</b>
<b>1b</b>	<b>Yes</b>	<b>No</b>
<b>1c</b>	<b>Yes</b>	<b>No</b>
<b>2</b>	<b>Yes</b>	<b>No</b>
<b>3</b>	<b>Yes</b>	<b>No</b>
<b>4a</b>	<b>Yes</b>	<b>No</b>
<b>4b</b>	<b>Yes</b>	<b>No</b>
<b>5</b>	<b>Yes</b>	<b>No</b>
<b>6</b>	<b>Yes</b>	<b>No</b>
<b>7</b>	<b>Yes</b>	<b>No</b>
<b>8a</b>	<b>Yes</b>	<b>No</b>
<b>8b</b>	<b>Yes</b>	<b>No</b>
<b>9</b>	<b>Yes</b>	<b>No</b>
<b>10</b>	<b>Yes</b>	<b>No</b>

**1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .

**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .

*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*

**c** Did the foundation file **Form 1120-POL** for this year? . . . . .

**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ► \$ \_\_\_\_\_ 0 (2) On foundation managers ► \$ \_\_\_\_\_ 0

**e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ \_\_\_\_\_ 0

**2** Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .

*If "Yes," attach a detailed description of the activities.*

**3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . . .

**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .

**b** If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . . .

**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .

*If "Yes," attach the statement required by General Instruction T.*

**6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .

**7** Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*

**8a** Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► MN

**b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* . . . . .

**10** Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). . . . .	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	Yes	
Website address ► N/A				
14	The books are in care of ► ONEALEE HARTZ Located at ► 13773 WM DAVIS PKWY JACKSONVILLE FL	Telephone no ► (904) 992-7113 ZIP +4 ► 322246603		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <input type="checkbox"/> 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? . . . . .	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011). . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? . . . . .	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .		6b	No
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		7b	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation <b>(If not paid, enter -0-)</b>	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ONEALEE HARTZ 13773 WM DAVIS PKWY W JACKSONVILLE, FL 32224	SEC/TREAS 10 00	51,583	4,480	2,224
RICHARD SJOBERG 16 HOLLY LANE THIEF RIVER FALLS, MN 56701	PRESIDENT 1 00	0	0	0
DWIGHT TANGQUIST 106 IDLEWOOD CT THIEF RIVER FALLS, MN 56701	VICE-PRES 1 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).  
If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>			<input checked="" type="checkbox"/>	0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE"**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and

1	
2	
All other program-related investments See page 24 of the instructions	
3	
<b>Total.</b> Add lines 1 through 3.	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,181,403
b	Average of monthly cash balances. . . . .	1b	120,572
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	<b>3,301,975</b>
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	3,301,975
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	49,530
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,252,445
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	162,622

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	162,622
2a	Tax on investment income for 2011 from Part VI, line 5. . . . .	2a	1,780
b	Income tax for 2011 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,780
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	160,842
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	160,842
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	160,842

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	194,055
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required). . . . .	3b	
b	Cash distribution test (attach the required schedule). . . . .	4	194,055
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	6	0
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .		194,055

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				160,842
<b>2</b> Undistributed income, if any, as of the end of 2011			0	
<b>a</b> Enter amount for 2010 only. . . . .		0		
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006. . . . .	50,199			
<b>b</b> From 2007. . . . .	73,442			
<b>c</b> From 2008. . . . .	77,096			
<b>d</b> From 2009. . . . .	70,494			
<b>e</b> From 2010. . . . .	69,792			
<b>f Total</b> of lines 3a through e. . . . .	341,023			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ► \$ _____ 194,055			0	
<b>a</b> Applied to 2010, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . .	0			
<b>d</b> Applied to 2011 distributable amount. . . . .			160,842	
<b>e</b> Remaining amount distributed out of corpus	33,213			0
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			
<b>6</b> Enter the net total of each column as indicated below:	374,236			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5			0	
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . . .		0		
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .			0	
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). . . . .	50,199			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a . . . . .	324,037			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007. . . . .	73,442			
<b>b</b> Excess from 2008. . . . .	77,096			
<b>c</b> Excess from 2009. . . . .	70,494			
<b>d</b> Excess from 2010. . . . .	69,792			
<b>e</b> Excess from 2011. . . . .	33,213			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. <input type="text"/>			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ONEALEE HARTZ  
4600 MIDDLETON PK CIRCLE E APT B713  
JACKSONVILLE, FL 32224  
(904) 992-7113

b The form in which applications should be submitted and information and materials they should include

PURPOSE ON USE OF FUNDS APPLIED FOR/ COPY OF EXEMPTION/ PROJECT BUDGET

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PRIMARY CONSIDERATION GIVEN TO MINNESOTA AND NORTH DAKOTA

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b>			► 3a	148,600
<b>b Approved for future payment</b>				
<b>Total</b>			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1 Program service revenue</b>					
a					
b					
c					
d					
e					
f					
<b>g Fees and contracts from government agencies</b>					
<b>2 Membership dues and assessments.</b>					
<b>3 Interest on savings and temporary cash investments</b>			14	131	
<b>4 Dividends and interest from securities.</b>			14	68,460	
<b>5 Net rental income or (loss) from real estate</b>					
a Debt-financed property.					
b Not debt-financed property.					
<b>6 Net rental income or (loss) from personal property</b>					
<b>7 Other investment income.</b>					
<b>8 Gain or (loss) from sales of assets other than inventory</b>			18	71,972	
<b>9 Net income or (loss) from special events</b>					
<b>10 Gross profit or (loss) from sales of inventory.</b>					
<b>11 Other revenue a</b>					
b					
c					
d					
e					
<b>12 Subtotal Add columns (b), (d), and (e).</b>		0		140,563	
<b>13 Total Add line 12, columns (b), (d), and (e).</b>			13		140,563
(See worksheet in line 13 instructions on page 28 to verify calculations )					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a Transfers from the reporting foundation to a noncharitable exempt organization or**

(1) Cash.

**(2) Other assets.**

### Other transactions

**(1) Sales of assets to a noncharitable exempt organization. . .**

**(2) Purchases of assets from a noncharitable exempt**

**(3) Rental of facilities, equipment,**

#### (4) Reimbursement arrangements

**(8) Performance of services or membership or fundraising solicitations.**

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship		
<p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.</p>				
<p>***** Signature of officer or trustee</p>		<p>2012-11-12 Date</p>		
		<p>***** Title</p>		
<p>Sign Here  Paid Preparer's Use Only</p>	<p>Preparer's Signature DAVID ONKKA CPA</p>	<p>Date</p>	<p>Check if self-employed ►</p>	<p>PTIN P00019569</p>
	<p>Firm's name ►</p>	<p>BRADY MARTZ &amp; ASSOCIATES PC 100 THIRD ST E</p>		
		<p>Firm's EIN ► 45-0310328</p>		
	<p>Firm's address ►</p>	<p>Phone no (218) 681-6265</p>		

May the IRS discuss this return with the preparer shown above? See Instructions  Yes  No

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 41-6041638

**Name:** HARTZ FOUNDATION

### Form 990PF - Special Condition Description:

**Special Condition Description**

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
AUGUSTANA COLLEGE 29TH STREET SOUTH AVE SIOUX FALLS, SD 57197			SCHOLARSHIPS	7,000
BEMIDJI STATE UNIVERSITY PO BOX 198 BEMIDJI, MN 56601			SCHOLARSHIPS	1,000
BOY SCOUTS OF AMERICA 301 S SEVENTH ST FARGO, ND 58103			PROGRAMMING	5,000
CITY OF THIEF RIVER FALLS PO BOX 528 THIEF RIVER FALLS, MN 56701			CARNEGIE LIBRARY REMODELING	25,000
FALLS DELIVERED MEALS PO BOX 295 THIEF RIVER FALLS, MN 56701			PROVIDE MEALS TO HOME BOUND	5,000
GIRL SCOUTS DAKOTA HORIZONS 1101 SOUTH MARIAN ROAD SIOUX FALLS, SD 57106			PROGRAMMING	3,000
GALLAUDET UNIVERSITY 800 FLORIDA AVE NE WASHINGTON, DC 20002			SCHOLARSHIPS	3,500
HERITAGE COMMUNITY CENTER 301 4TH ST E THIEF RIVER FALLS, MN 56701			FURNACE	6,500
ISD # 564230 LABREE AVENUE S THIEF RIVER FALLS, MN 56701			20 SMART BOARDS FOR CLASSROOMS	10,000
LAKELAND HOSPICE FOUNDATION 1505 PEBBLE LAKE RD STE 400 FERGUS FALLS, MN 56538			LAPTOP COMPUTERS	10,000
MAYO FOUNDATION 4500 SAN PABLO RD JACKSONVILLE, FL 32224			SCHOLARSHIPS	22,000
MINNESOTA PUBLIC RADIO 901 S 8TH ST MOORHEAD, MN 56562			PROGRAMMING	2,000
MOORHEAD STATE UNIVERSITY FOUNDATION PO BOX 395 MOORHEAD, MN 56563			SCHOLARSHIPS	4,500
NORTHLAND COMMUNITY & TECHNICAL COLLEGE FOUNDATION HWY 1 EAST THIEF RIVER FALLS, MN 56701			SCHOLARSHIPS	30,000
PENNINGTON COUNTY 4-H 101 MAIN AVE N PO BOX 616 THIEF RIVER FALLS, MN 56701			CONSTRUCTION SHOW ARENA	200
PENNINGTON COUNTY HISTORICAL SOCIETY PO BOX 127 THIEF RIVER FALLS, MN 56701			ASPHALT CHURCH ROOF & STEEPLE REPAIR	3,100
PRairie PUBLIC TELEVISION PO BOX 3240 FARGO, ND 58108			PROGRAMMING	1,500
PROJECT LINUS 23310 SCENIC HIGHWAY NE BLACKDUCK, MN 56630			PURCHASE MATERIAL AND YARN FOR BLANKETEERS	3,000
ROTARY FOUNDATION PO BOX 152 THIEF RIVER FALLS, MN 56701			PAUL HARRIS FELLOWSHIP	800
THIEF RIVER FALLS CONCERT ASSOCIATION PO BOX 312 THIEF RIVER FALLS, MN 56701			PROGRAMMING	500
VALLEY HOME ASSISTED LIVING 523 SOUTH ARNOLD AVE THIEF RIVER FALLS, MN 56701			FLOORING	5,000
<b>Total . . . . .</b>				148,600
<b>Total . . . . .</b>			<b>► 3a</b>	

## TY 2011 Accounting Fees Schedule

**Name:** HARTZ FOUNDATION

**EIN:** 41-6041638

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BRADY, MARTZ & ASSOC	5,900	2,065		3,835

## TY 2011 Investments Corporate Stock Schedule

**Name:** HARTZ FOUNDATION

**EIN:** 41-6041638

Name of Stock	End of Year Book Value	End of Year Fair Market Value
	3,453,844	2,982,631

## TY 2011 Other Assets Schedule

**Name:** HARTZ FOUNDATION**EIN:** 41-6041638

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
EXCISE TAX RECEIVABLE	660		

## TY 2011 Other Expenses Schedule

**Name:** HARTZ FOUNDATION

**EIN:** 41-6041638

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUPPLIES AND MISCELLANEOUS	1,427	499		928
COMMUNICATIONS	369	129		240

## TY 2011 Other Liabilities Schedule

**Name:** HARTZ FOUNDATION**EIN:** 41-6041638

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAXES PAYABLE	0	60

## TY 2011 Other Professional Fees Schedule

**Name:** HARTZ FOUNDATION**EIN:** 41-6041638

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	26,788	26,788		0

## TY 2011 Taxes Schedule

**Name:** HARTZ FOUNDATION**EIN:** 41-6041638

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	3,946	1,381		2,565
EXCISE TAXES	1,780	0		0
FOREIGN TAXES	316	316		0