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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 08-01-2011 , and ending 07-31-2012

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

A Employer identification number
13-3596015

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
C/O KOLBRENNER ALEX - 15 VALLEY DRIVE

B Telephone number (see page 10 of the instructions)
(203) 869-3199

City or town, state, and ZIP code
GREENWICH, CT 06831

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,904,130

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	108	108		
	4	Dividends and interest from securities.	60,378	60,378		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	22,295			
	b	Gross sales price for all assets on line 6a 952,438				
	7	Capital gain net income (from Part IV, line 2) . . .		22,295		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	82,781	82,781		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule).				
	b	Accounting fees (attach schedule).	9,500	9,500		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	11,163	11,163		0
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	14,619	14,619		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	35,282	35,282		0
	25	Contributions, gifts, grants paid	200,000			200,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	235,282	35,282		200,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-152,501			
	b	**Net investment income** (if negative, enter -0-)		47,499		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	75,181	105,893	105,893
3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2,788,582	2,652,713	2,798,237
c	Investments—corporate bonds (attach schedule)	4	0	0
11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
15	Other assets (describe ▶ ______)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,863,767	2,758,606	2,904,130
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ ______)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	2,863,767	2,758,606	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	0	0	
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,863,767	2,758,606	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,863,767	2,758,606	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,863,767
2	Enter amount from Part I, line 27a	2	-152,501
3	Other increases not included in line 2 (itemize) ▶ ______	3	47,340
4	Add lines 1, 2, and 3	4	2,758,606
5	Decreases not included in line 2 (itemize) ▶ ______	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,758,606

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	22,295
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	256,425	5,466,732	0 046906
2009	897,540	6,249,264	0 143623
2008	572,720	6,240,621	0 091773
2007	1,058,760	8,441,324	0 125426
2006	206,983	8,935,792	0 023163

2	**Total** of line 1, column (d).	2	0 430891
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 086178
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	2,843,457
5	Multiply line 4 by line 3.	5	245,043
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	475
7	Add lines 5 and 6.	7	245,518
8	Enter qualifying distributions from Part XII, line 4.	8	200,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	950
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	950
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	950
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	21
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	971
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ — **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶KOLBRENNER ALEXANDER LLC Telephone no ▶(203) 869-3199

Located at ▶15 VALLEY DRIVE GREENWICH CT ZIP +4 ▶06831

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JOSEPH R ZIMMEL 159 PARSONAGE ROAD GREENWICH, CT 06830	TRUSTEE 0 00	0	0	0
JOSEPH R ZIMMEL 159 PARSONAGE ROAD GREENWICH, CT 06830	CFO/PRESIDENT 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES ITS PRIMARY PURPOSE IS TO SUPPORT BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL	0
2 REVENUE CODE SECTION 501(C) (3)	0
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,780,568
b	Average of monthly cash balances	1b	106,190
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,886,758
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,886,758
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	43,301
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,843,457
6	**Minimum investment return.** Enter 5% of line 5	6	142,173

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	142,173
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a: 950		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	950
3	Distributable amount before adjustments Subtract line 2c from line 1	3	141,223
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	141,223
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	141,223

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	200,000
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	200,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	200,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					141,223
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2011					
a From 2006.					
b From 2007.	644,566				
c From 2008.	262,891				
d From 2009.	589,115				
e From 2010.					
f **Total** of lines 3a through e.		1,496,572			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 200,000					
a Applied to 2010, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .		0			
d Applied to 2011 distributable amount.					141,223
e Remaining amount distributed out of corpus		58,777			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,555,349			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)		0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)		0			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		1,555,349			
10 Analysis of line 9					
a Excess from 2007. . . .	644,566				
b Excess from 2008. . . .	262,891				
c Excess from 2009. . . .	589,115				
d Excess from 2010. . . .					
e Excess from 2011. . . .	58,777				

Part XIV **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROGERS MEMORIAL HOSPITAL FOUNDATION 34700 VALLEY ROAD OCONOMOWOC, WI 53066	NONE	PUBLIC CHARITY	SEE ATTACHED FOOTNOTE	50,000
FIVER CHILDRENS FOUNDATION 519 EIGHTH AVENUE NEW YORK, NY 10018	NONE	PUBLIC CHARITY	SEE ATTACHED FOOTNOTE	25,000
GREENWICH COUNTRY DAY SCHOOL 401 OLD CHURCH ROAD GREENWICH, CT 06836	NONE	PUBLIC CHARITY	SEE ATTACHED FOOTNOTE	125,000
Total . . . . ▶ **3a**				200,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	108	
4 Dividends and interest from securities. . . .			14	60,378	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					22,295
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		60,486	22,295

13 Total. Add line 12, columns (b), (d), and (e). **13** 82,781

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
8	GAINS FROM THE SALE OF SECURITIES

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		No
(2) Other assets	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
(4) Reimbursement arrangements	**1b(4)**		No
(5) Loans or loan guarantees	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2012-11-10 | ******

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's Signature MAX KOLBRENNER	Date	Check if self-employed ☐	PTIN P01206344
Firm's name KOLBRENNER & ALEXANDER LLC		Firm's EIN 06-0991495	
Firm's address 15 VALLEY DRIVE GREENWICH, CT 068315205		Phone no (203)869-3199	

May the IRS discuss this return with the preparer shown above? See instructions ☐ **Yes** ☐ **No**

Additional Data

Software ID:
Software Version:
EIN: 13-3596015
Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MASTERCARD INCORPORATED CMN CLASS A	P	2010-02-09	2011-08-03
MASTERCARD INCORPORATED CMN CLASS A	P	2010-02-05	2011-08-03
STAPLES, INC CMN	P	2010-01-07	2011-08-08
STAPLES, INC CMN	P	2010-01-07	2011-08-10
STAPLES, INC CMN	P	2010-03-02	2011-08-10
STAPLES, INC CMN	P	2010-03-02	2011-08-18
SOUTHWESTERN ENERGY CO CMN	P	2010-07-27	2011-08-19
SOUTHWESTERN ENERGY CO CMN	P	2010-08-20	2011-08-19
STAPLES, INC CMN	P	2010-08-02	2011-09-02
STAPLES, INC CMN	P	2010-03-02	2011-09-02
STAPLES, INC CMN	P	2010-04-08	2011-09-02
JOHNSON & JOHNSON CMN	P	2009-09-11	2011-09-14
JOHNSON & JOHNSON CMN	P	2009-09-11	2011-09-15
OCCIDENTAL PETROLEUM CORP CMN	P	2010-01-15	2011-09-20
STAPLES, INC CMN	P	2010-10-07	2011-09-23
STAPLES, INC CMN	P	2010-08-02	2011-09-23
STAPLES, INC CMN	P	2011-03-03	2011-10-12
STAPLES, INC CMN	P	2011-04-05	2011-10-12
STAPLES, INC CMN	P	2010-10-07	2011-10-12
THERMO FISHER SCIENTIFIC INC CMN	P	2009-09-11	2011-10-26
AVON PRODUCTS INC CMN	P	2010-05-07	2011-10-27
AVON PRODUCTS INC CMN	P	2010-05-07	2011-10-28
XILINX INCORPORATED CMN	P	2010-10-13	2011-11-04
GILEAD SCIENCES CMN	P	2008-03-05	2011-11-21
GILEAD SCIENCES CMN	P	2008-09-11	2011-11-21
GILEAD SCIENCES CMN	P	2009-10-28	2011-11-21
MARRIOTT VACATIONS WORLDWIDE CORPORATION CMN	P	2011-08-05	2011-11-22
MARRIOTT VACATIONS WORLDWIDE CORPORATION CMN	P	2011-08-08	2011-11-29
MARRIOTT VACATIONS WORLDWIDE CORPORATION CMN	P	2011-10-28	2011-11-29
MARRIOTT VACATIONS WORLDWIDE CORPORATION CMN	P	2011-08-05	2011-11-29

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MARRIOTT VACATIONS WORLDWIDE CORPORATION CMN	P	2011-09-23	2011-11-29
MARRIOTT VACATIONS WORLDWIDE CORPORATION CMN	P	2011-08-18	2011-11-29
ST JUDE MEDICAL INC CMN	P	2009-09-11	2011-12-06
LOWES COMPANIES INC CMN	P	2009-09-11	2011-12-14
EMERSON ELECTRIC CO CMN	P	2011-05-03	2012-01-12
EMERSON ELECTRIC CO CMN	P	2011-05-02	2012-01-12
MARRIOTT INTERNATIONAL, INC CMN CLASS A	P	2011-08-05	2012-01-18
EMERSON ELECTRIC CO CMN	P	2011-05-23	2012-02-03
EMERSON ELECTRIC CO CMN	P	2011-05-03	2012-02-03
EMERSON ELECTRIC CO CMN	P	2011-06-15	2012-02-03
APPLE, INC CMN	P	2007-04-17	2012-02-15
JOHNSON & JOHNSON CMN	P	2009-09-11	2012-02-24
NIKE CLASS-B CMN CLASS B	P	2009-10-21	2012-03-22
THERMO FISHER SCIENTIFIC INC CMN	P	2009-09-11	2012-04-03
THERMO FISHER SCIENTIFIC INC CMN	P	2010-08-03	2012-04-03
THERMO FISHER SCIENTIFIC INC CMN	P	2010-08-11	2012-04-03
THERMO FISHER SCIENTIFIC INC CMN	P	2010-08-05	2012-04-03
THERMO FISHER SCIENTIFIC INC CMN	P	2011-01-31	2012-04-03
AVON PRODUCTS INC CMN	P	2010-07-28	2012-04-05
AVON PRODUCTS INC CMN	P	2010-05-07	2012-04-05
AVON PRODUCTS INC CMN	P	2010-11-02	2012-04-05
THERMO FISHER SCIENTIFIC INC CMN	P	2011-01-31	2012-04-05
ST JUDE MEDICAL INC CMN	P	2009-10-22	2012-04-11
ST JUDE MEDICAL INC CMN	P	2009-09-11	2012-04-11
APPLE, INC CMN	P	2007-04-17	2012-04-17
EQUINIX INC CMN	P	2009-09-11	2012-04-25
LOWES COMPANIES INC CMN	P	2009-09-11	2012-05-11
MASTERCARD INCORPORATED CMN CLASS A	P	2010-02-09	2012-05-21
MASTERCARD INCORPORATED CMN CLASS A	P	2010-05-13	2012-05-21
ORACLE CORPORATION CMN	P	2007-10-25	2012-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ORACLE CORPORATION CMN	P	2007-08-20	2012-05-24
DEVON ENERGY CORPORATION (NEW) CMN	P	2011-03-21	2012-05-29
CAMERON INTERNATIONAL CORP CMN	P	2011-12-13	2012-06-19
CAMERON INTERNATIONAL CORP CMN	P	2011-06-17	2012-06-19
ST JUDE MEDICAL INC CMN	P	2009-10-22	2012-07-18
ENTERGY CORPORATION CMN	P	2009-04-06	2011-08-02
CBS CORPORATION CMN CLASS B	P	2010-12-08	2011-08-03
CBS CORPORATION CMN CLASS B	P	2010-03-08	2011-08-03
DOW CHEMICAL CO CMN	P	2009-11-11	2011-08-04
DOW CHEMICAL CO CMN	P	2010-10-12	2011-08-04
CBS CORPORATION CMN CLASS B	P	2010-12-08	2011-08-05
CBS CORPORATION CMN CLASS B	P	2010-12-13	2011-08-05
NEWFIELD EXPLORATION CO CMN	P	2009-10-06	2011-08-05
BANK OF AMERICA CORP CMN	P	2009-05-01	2011-08-08
BANK OF AMERICA CORP CMN	P	2009-04-13	2011-08-08
PEPSICO INC CMN	P	2010-07-01	2011-08-09
SUNTRUST BANKS INC $1 00 PAR CMN	P	2010-12-20	2011-08-10
FIFTH THIRD BANCORP CMN	P	2011-07-20	2011-08-10
SUNTRUST BANKS INC $1 00 PAR CMN	P	2010-02-03	2011-08-10
SCHLUMBERGER LTD CMN	P	2010-11-01	2011-08-11
SCHLUMBERGER LTD CMN	P	2010-10-27	2011-08-11
CONOCOPHILLIPS CMN	P	2011-03-23	2011-08-12
CONOCOPHILLIPS CMN	P	2011-03-28	2011-08-15
DEVON ENERGY CORPORATION (NEW) CMN	P	2011-01-20	2011-08-15
CONOCOPHILLIPS CMN	P	2011-03-23	2011-08-15
STATE STREET CORPORATION (NEW) CMN	P	2009-12-15	2011-08-16
STATE STREET CORPORATION (NEW) CMN	P	2009-05-19	2011-08-16
BAKER HUGHES INC CMN	P	2011-05-31	2011-08-19
OCCIDENTAL PETROLEUM CORP CMN	P	2009-08-13	2011-08-19
NEWFIELD EXPLORATION CO CMN	P	2009-10-06	2011-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
NEWFIELD EXPLORATION CO CMN	P	2010-07-06	2011-08-22
GOOGLE, INC CMN CLASS A	P	2010-03-31	2011-09-14
ALLSTATE CORPORATION COMMON STOCK	P	2010-06-23	2011-09-20
SCHLUMBERGER LTD CMN	P	2011-07-08	2011-09-23
SCHLUMBERGER LTD CMN	P	2010-11-01	2011-09-23
STATE STREET CORPORATION (NEW) CMN	P	2009-12-15	2011-09-26
STATE STREET CORPORATION (NEW) CMN	P	2010-05-18	2011-09-26
GENERAL MOTORS COMPANY CMN	P	2010-11-18	2011-09-28
RANGE RESOURCES CORPORATION CMN	P	2011-07-28	2011-09-28
CLIFFS NATURAL RESOURCES INC CMN	P	2011-03-01	2011-09-29
RANGE RESOURCES CORPORATION CMN	P	2011-07-28	2011-09-29
CLIFFS NATURAL RESOURCES INC CMN	P	2011-01-14	2011-09-29
CLIFFS NATURAL RESOURCES INC CMN	P	2011-01-14	2011-09-29
GENERAL MOTORS COMPANY CMN	P	2010-11-18	2011-09-29
OCCIDENTAL PETROLEUM CORP CMN	P	2010-05-03	2011-09-30
OCCIDENTAL PETROLEUM CORP CMN	P	2009-08-13	2011-09-30
CELGENE CORPORATION CMN	P	2011-02-16	2011-10-06
DISH NETWORK CORPORATION CMN CLASS A	P	2009-10-28	2011-10-06
CVS CAREMARK CORPORATION CMN	P	2010-05-07	2011-10-06
DISH NETWORK CORPORATION CMN CLASS A	P	2009-04-23	2011-10-06
DISH NETWORK CORPORATION CMN CLASS A	P	2009-05-19	2011-10-06
DISH NETWORK CORPORATION CMN CLASS A	P	2009-05-20	2011-10-06
CVS CAREMARK CORPORATION CMN	P	2010-03-04	2011-10-06
MICROSOFT CORPORATION CMN	P	2011-02-18	2011-10-10
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-05-17	2011-10-10
WELLPOINT, INC CMN	P	2009-04-06	2011-10-12
MERCK & CO , INC CMN	P	2009-12-17	2011-10-12
MERCK & CO , INC CMN	P	2009-12-16	2011-10-12
WELLPOINT, INC CMN	P	2009-04-06	2011-10-14
CELGENE CORPORATION CMN	P	2011-02-16	2011-10-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
P G & E CORPORATION CMN	P	2010-08-19	2011-10-19
MERCK & CO , INC CMN	P	2010-02-23	2011-10-24
UNILEVER N V NY SHS (NEW) ADR CMN	P	2009-04-06	2011-10-24
MERCK & CO , INC CMN	P	2009-12-17	2011-10-24
CONOCOPHILLIPS CMN	P	2011-03-31	2011-10-25
CONOCOPHILLIPS CMN	P	2011-03-28	2011-10-25
CONOCOPHILLIPS CMN	P	2011-05-03	2011-10-25
CONAGRA INC CMN	P	2011-08-01	2011-10-27
KLA-TENCOR CORPORATION CMN	P	2011-07-26	2011-10-27
WELLPOINT, INC CMN	P	2009-04-06	2011-10-28
U S BANCORP CMN	P	2010-05-24	2011-10-28
KLA-TENCOR CORPORATION CMN	P	2011-07-26	2011-10-28
CONAGRA INC CMN	P	2011-08-01	2011-10-31
TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-12	2011-11-02
TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-11	2011-11-02
COCA-COLA ENTERPRISES, INC CMN	P	2011-06-22	2011-11-03
RANGE RESOURCES CORPORATION CMN	P	2011-07-28	2011-11-03
RANGE RESOURCES CORPORATION CMN	P	2011-08-09	2011-11-03
COCA-COLA ENTERPRISES, INC CMN	P	2011-06-22	2011-11-04
GENERAL MILLS INC CMN	P	2009-04-06	2011-11-08
FRANKLIN RESOURCES INC CMN	P	2009-04-06	2011-11-08
CBS CORPORATION CMN CLASS B	P	2011-08-08	2011-11-08
MICROSOFT CORPORATION CMN	P	2011-02-18	2011-11-08
MERCK & CO , INC CMN	P	2010-02-23	2011-11-08
MARSH & MCLENNAN CO INC CMN	P	2009-09-10	2011-11-08
EMC CORPORATION MASS CMN	P	2010-04-30	2011-11-08
GENERAL ELECTRIC CO CMN	P	2009-11-24	2011-11-08
SPRINT NEXTEL CORPORATION CMN	P	2009-04-06	2011-11-08
HONEYWELL INTL INC CMN	P	2009-07-15	2011-11-08
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2011-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
UNILEVER N V NY SHS (NEW) ADR CMN	P	2009-04-06	2011-11-08
BOEING COMPANY CMN	P	2009-04-06	2011-11-08
DEVON ENERGY CORPORATION (NEW) CMN	P	2011-01-20	2011-11-08
CISCO SYSTEMS, INC CMN	P	2011-10-04	2011-11-08
JPMORGAN CHASE & CO CMN	P	2006-04-25	2011-11-08
PPL CORPORATION CMN	P	2011-04-12	2011-11-08
DISH NETWORK CORPORATION CMN CLASS A	P	2009-10-28	2011-11-11
BANK OF AMERICA CORP CMN	P	2009-05-01	2011-11-22
LEAR CORPORATION CMN	P	2011-10-03	2011-11-22
BANK OF AMERICA CORP CMN	P	2009-06-02	2011-11-23
BANK OF AMERICA CORP CMN	P	2009-05-01	2011-11-23
BANK OF AMERICA CORP CMN	P	2009-05-08	2011-11-23
BANK OF AMERICA CORP CMN	P	2009-12-07	2011-11-25
BANK OF AMERICA CORP CMN	P	2011-05-17	2011-11-25
BANK OF AMERICA CORP CMN	P	2009-06-02	2011-11-25
BANK OF AMERICA CORP CMN	P	2011-05-17	2011-11-28
BANK OF AMERICA CORP CMN	P	2011-05-17	2011-11-30
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2011-12-01
EQT CORPORATION CMN	P	2011-08-18	2011-12-05
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	P	2011-10-26	2011-12-05
GOOGLE, INC CMN CLASS A	P	2011-01-14	2011-12-09
GOOGLE, INC CMN CLASS A	P	2010-03-31	2011-12-09
GOOGLE, INC CMN CLASS A	P	2011-01-14	2011-12-12
EQT CORPORATION CMN	P	2011-08-18	2011-12-12
EQT CORPORATION CMN	P	2011-09-01	2011-12-12
EQT CORPORATION CMN	P	2011-10-18	2011-12-12
ALLSTATE CORPORATION COMMON STOCK	P	2011-03-01	2011-12-13
BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-03-01	2011-12-13
ALLSTATE CORPORATION COMMON STOCK	P	2010-06-23	2011-12-13
AMERICAN ELECTRIC POWER INC CMN	P	2010-06-10	2011-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CAMERON INTERNATIONAL CORP CMN	P	2011-03-07	2011-12-14
TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-15	2011-12-14
AMERICAN ELECTRIC POWER INC CMN	P	2009-04-07	2011-12-14
TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-12	2011-12-14
JOHNSON CONTROLS INC CMN	P	2009-04-17	2011-12-15
FORD MOTOR COMPANY CMN	P	2009-10-28	2011-12-15
WELLPOINT, INC CMN	P	2009-04-06	2011-12-16
GOOGLE, INC CMN CLASS A	P	2011-01-14	2011-12-16
BOEING COMPANY CMN	P	2009-04-06	2011-12-16
WILLIAMS COMPANIES INC (THE) CMN	P	2011-11-02	2011-12-16
WILLIAMS COMPANIES INC (THE) CMN	P	2011-11-04	2011-12-16
CAMERON INTERNATIONAL CORP CMN	P	2011-03-07	2011-12-16
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	P	2011-08-01	2011-12-21
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	P	2011-08-02	2011-12-21
BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-03-01	2011-12-22
BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-05-17	2011-12-22
MARSH & MCLENNAN CO INC CMN	P	2009-09-10	2012-01-06
GOOGLE, INC CMN CLASS A	P	2011-06-22	2012-01-06
GOOGLE, INC CMN CLASS A	P	2011-01-14	2012-01-06
UNILEVER N V NY SHS (NEW) ADR CMN	P	2009-04-06	2012-01-09
UNILEVER N V NY SHS (NEW) ADR CMN	P	2011-01-06	2012-01-09
CHEVRON CORPORATION CMN	P	2011-09-23	2012-01-11
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-05-17	2012-01-18
UNILEVER N V NY SHS (NEW) ADR CMN	P	2011-03-01	2012-01-18
UNILEVER N V NY SHS (NEW) ADR CMN	P	2011-01-06	2012-01-18
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-05-17	2012-01-18
THE MOSAIC COMPANY CMN	P	2011-07-08	2012-01-19
FRANKLIN RESOURCES INC CMN	P	2009-04-06	2012-01-23
JOHNSON CONTROLS INC CMN	P	2010-05-18	2012-01-23
JOHNSON CONTROLS INC CMN	P	2009-04-17	2012-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
FRANKLIN RESOURCES INC CMN	P	2009-04-06	2012-01-24
OCCIDENTAL PETROLEUM CORP CMN	P	2010-05-27	2012-01-24
OCCIDENTAL PETROLEUM CORP CMN	P	2010-05-03	2012-01-24
FRANKLIN RESOURCES INC CMN	P	2010-06-18	2012-01-24
BAKER HUGHES INC CMN	P	2012-01-10	2012-01-24
TEXAS INSTRUMENTS INC CMN	P	2011-12-19	2012-01-25
LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A	P	2011-06-07	2012-01-25
MICROSOFT CORPORATION CMN	P	2011-02-18	2012-01-27
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-05-17	2012-02-01
EMC CORPORATION MASS CMN	P	2010-04-30	2012-02-01
FREEPORT-MCMORAN COPPER & GOLD CMN	P	2011-11-02	2012-02-02
CBS CORPORATION CMN CLASS B	P	2011-08-08	2012-02-02
TEVA PHARMACEUTICAL IND LTD ADS	P	2011-03-01	2012-02-13
TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-15	2012-02-13
FREEPORT-MCMORAN COPPER & GOLD CMN	P	2012-01-18	2012-02-14
FREEPORT-MCMORAN COPPER & GOLD CMN	P	2011-11-02	2012-02-14
TEVA PHARMACEUTICAL IND LTD ADS	P	2011-03-01	2012-02-16
PEPSICO INC CMN	P	2010-07-01	2012-02-17
PEPSICO INC CMN	P	2011-10-06	2012-02-17
PEPSICO INC CMN	P	2011-03-01	2012-02-17
AFLAC INCORPORATED CMN	P	2009-08-27	2012-02-24
ILLINOIS TOOL WORKS CMN	P	2010-08-19	2012-02-24
AFLAC INCORPORATED CMN	P	2009-08-26	2012-02-24
THE HOME DEPOT, INC CMN	P	2011-11-22	2012-02-24
AFLAC INCORPORATED CMN	P	2009-08-27	2012-02-27
AFLAC INCORPORATED CMN	P	2010-06-16	2012-02-27
PRUDENTIAL FINANCIAL INC CMN	P	2009-06-03	2012-02-28
FIFTH THIRD BANCORP CMN	P	2011-07-20	2012-02-28
GENERAL MILLS INC CMN	P	2010-01-22	2012-02-28
GENERAL MILLS INC CMN	P	2009-04-06	2012-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
THERMO FISHER SCIENTIFIC INC CMN	P	2011-10-13	2012-02-28
SLM CORPORATION CMN	P	2010-01-19	2012-02-29
BOEING COMPANY CMN	P	2009-04-06	2012-02-29
BOEING COMPANY CMN	P	2009-11-18	2012-02-29
SLM CORPORATION CMN	P	2009-04-06	2012-02-29
DEVON ENERGY CORPORATION (NEW) CMN	P	2011-01-20	2012-02-29
BOSTON PROPERTIES INC COMMON STOCK	P	2011-08-10	2012-02-29
NVIDIA CORP CMN	P	2011-06-22	2012-03-01
SPRINT NEXTEL CORPORATION CMN	P	2009-04-06	2012-03-05
ENERGIZER HOLDINGS, INC CMN	P	2012-01-26	2012-03-05
NETAPP, INC CMN	P	2011-11-18	2012-03-05
ENERGIZER HOLDINGS, INC CMN	P	2012-01-27	2012-03-05
MACY'S INC CMN	P	2011-12-09	2012-03-05
TRANSOCEAN LTD CMN	P	2011-12-01	2012-03-06
MERCK & CO , INC CMN	P	2010-02-23	2012-03-06
HONEYWELL INTL INC CMN	P	2009-07-15	2012-03-07
MERCK & CO , INC CMN	P	2010-03-26	2012-03-08
MERCK & CO , INC CMN	P	2010-02-23	2012-03-08
JPMORGAN CHASE & CO CMN	P	2006-04-25	2012-03-14
EMC CORPORATION MASS CMN	P	2010-07-23	2012-03-14
EMC CORPORATION MASS CMN	P	2010-04-30	2012-03-14
JPMORGAN CHASE & CO CMN	P	2006-05-18	2012-03-14
TRANSOCEAN LTD CMN	P	2011-12-01	2012-03-14
ADOBE SYSTEMS INC CMN	P	2010-10-29	2012-03-15
ADOBE SYSTEMS INC CMN	P	2010-10-28	2012-03-15
HONEYWELL INTL INC CMN	P	2009-07-15	2012-03-16
HONEYWELL INTL INC CMN	P	2009-10-14	2012-03-16
HONEYWELL INTL INC CMN	P	2009-10-15	2012-03-16
STARWOOD HOTELS & RESORTS CMN	P	2011-10-27	2012-03-19
NVIDIA CORP CMN	P	2011-06-22	2012-03-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WALGREEN CO CMN	P	2012-01-12	2012-04-02
MORGAN STANLEY CMN	P	2011-11-01	2012-04-03
CELGENE CORPORATION CMN	P	2011-02-16	2012-04-04
CELGENE CORPORATION CMN	P	2011-05-17	2012-04-04
MERCK & CO , INC CMN	P	2010-06-28	2012-04-05
WALGREEN CO CMN	P	2012-03-16	2012-04-05
WALGREEN CO CMN	P	2012-01-12	2012-04-05
MERCK & CO , INC CMN	P	2010-03-26	2012-04-05
INVESCO LTD CMN	P	2009-04-06	2012-04-11
INVESCO LTD CMN	P	2009-03-24	2012-04-11
AMERICAN ELECTRIC POWER INC CMN	P	2010-06-15	2012-04-11
AMERICAN ELECTRIC POWER INC CMN	P	2010-06-10	2012-04-11
INVESCO LTD CMN	P	2009-01-15	2012-04-11
AMERICAN ELECTRIC POWER INC CMN	P	2010-06-16	2012-04-11
HONEYWELL INTL INC CMN	P	2009-10-15	2012-04-23
PRUDENTIAL FINANCIAL INC CMN	P	2009-06-03	2012-04-23
GENERAL MOTORS COMPANY CMN	P	2012-02-08	2012-04-24
THERMO FISHER SCIENTIFIC INC CMN	P	2011-10-13	2012-04-25
GENERAL MOTORS COMPANY CMN	P	2012-02-08	2012-04-25
AT&T INC CMN	P	2011-12-12	2012-04-25
GENERAL MOTORS COMPANY CMN	P	2012-02-08	2012-04-25
PROCTER & GAMBLE COMPANY (THE) CMN	P	2012-01-17	2012-04-30
GENERAL MOTORS COMPANY CMN	P	2012-02-16	2012-05-04
GENERAL MOTORS COMPANY CMN	P	2012-02-08	2012-05-04
VERTEX PHARMACEUTICALS INC CMN	P	2012-01-11	2012-05-07
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2012-05-10
MAXIM INTEGRATED PRODUCTS INC CMN	P	2011-07-22	2012-05-14
MAXIM INTEGRATED PRODUCTS INC CMN	P	2011-07-25	2012-05-14
VERTEX PHARMACEUTICALS INC CMN	P	2012-01-11	2012-05-14
CBS CORPORATION CMN CLASS B	P	2011-10-10	2012-05-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CBS CORPORATION CMN CLASS B	P	2011-08-08	2012-05-17
LIBERTY GLOBAL INC CMN CLASS A	P	2011-10-11	2012-05-18
LIBERTY GLOBAL INC CMN CLASS A	P	2011-10-10	2012-05-18
LIBERTY GLOBAL INC CMN CLASS A	P	2011-10-12	2012-05-18
THE HOME DEPOT, INC CMN	P	2011-11-22	2012-05-22
AT&T INC CMN	P	2011-12-12	2012-05-22
AT&T INC CMN	P	2011-12-22	2012-05-22
MAXIM INTEGRATED PRODUCTS INC CMN	P	2011-07-25	2012-05-23
CISCO SYSTEMS, INC CMN	P	2011-10-04	2012-05-30
THERMO FISHER SCIENTIFIC INC CMN	P	2011-10-13	2012-05-31
CISCO SYSTEMS, INC CMN	P	2011-10-04	2012-06-01
CISCO SYSTEMS, INC CMN	P	2011-10-06	2012-06-01
CISCO SYSTEMS, INC CMN	P	2012-01-24	2012-06-01
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2012-06-04
PFIZER INC CMN	P	2011-10-07	2012-06-05
BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-05-17	2012-06-06
AT&T INC CMN	P	2012-02-03	2012-06-06
AT&T INC CMN	P	2011-12-22	2012-06-06
BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-10-19	2012-06-06
THE HOME DEPOT, INC CMN	P	2011-11-22	2012-06-07
GENERAL MILLS INC CMN	P	2010-04-28	2012-06-07
HONEYWELL INTL INC CMN	P	2010-03-09	2012-06-07
HONEYWELL INTL INC CMN	P	2009-10-15	2012-06-07
THE HOME DEPOT, INC CMN	P	2011-12-20	2012-06-07
GENERAL MILLS INC CMN	P	2010-01-22	2012-06-07
GENERAL MILLS INC CMN	P	2010-04-29	2012-06-07
UNITEDHEALTH GROUP INCORPORATE CMN	P	2011-12-19	2012-06-11
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-08-09	2012-06-12
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-05-17	2012-06-12
LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-10-19	2012-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MICROSOFT CORPORATION CMN	P	2011-04-27	2012-06-15
CITIGROUP INC CMN	P	2012-02-29	2012-06-15
MICROSOFT CORPORATION CMN	P	2011-02-18	2012-06-15
AT&T INC CMN	P	2012-03-05	2012-06-15
QUALCOMM INC CMN	P	2012-05-23	2012-06-15
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2012-06-15
AT&T INC CMN	P	2012-02-03	2012-06-15
MGM RESORTS INTERNATIONAL CMN	P	2012-02-28	2012-06-19
MGM RESORTS INTERNATIONAL CMN	P	2012-02-28	2012-06-20
PROCTER & GAMBLE COMPANY (THE) CMN	P	2012-01-17	2012-06-22
WARNER CHILCOTT PLC CMN	P	2012-06-05	2012-06-25
WARNER CHILCOTT PLC CMN	P	2012-04-30	2012-06-25
QUALCOMM INC CMN	P	2012-05-23	2012-06-25
HONEYWELL INTL INC CMN	P	2010-03-09	2012-06-26
NEWFIELD EXPLORATION CO CMN	P	2012-01-25	2012-06-27
NEWFIELD EXPLORATION CO CMN	P	2012-02-21	2012-06-27
DUKE ENERGY CORPORATION CMN	P	2012-06-04	2012-07-03
GENERAL ELECTRIC CO CMN	P	2009-12-03	2012-07-10
GENERAL ELECTRIC CO CMN	P	2009-11-24	2012-07-10
WALT DISNEY COMPANY (THE) CMN	P	2011-08-16	2012-07-11
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2012-07-11
WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2012-07-11
MACY'S INC CMN	P	2012-05-04	2012-07-12
TEXAS INSTRUMENTS INC CMN	P	2012-06-07	2012-07-13
SUNTRUST BANKS INC $1 00 PAR CMN	P	2010-12-20	2012-07-19
AT&T INC CMN	P	2012-03-05	2012-07-19
PHILIP MORRIS INTL INC CMN	P	2012-02-28	2012-07-19
CVS CAREMARK CORPORATION CMN	P	2012-02-17	2012-07-23
CVS CAREMARK CORPORATION CMN	P	2012-04-16	2012-07-23
CVS CAREMARK CORPORATION CMN	P	2012-04-02	2012-07-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MACY'S INC CMN	P	2012-05-04	2012-07-24
MACY'S INC CMN	P	2012-05-10	2012-07-24
CELGENE CORPORATION CMN	P	2011-05-17	2012-07-24
ALTERA CORP CMN	P	2011-10-25	2012-07-25
SPRINT NEXTEL CORPORATION CMN	P	2009-04-06	2012-07-26
SPRINT NEXTEL CORPORATION CMN	P	2009-07-28	2012-07-26
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	P	2006-12-14	2011-12-21
ISHARES CORE TOTAL U S BOND MARKET FD ETF	P	2008-09-02	2012-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,599		2,480	1,119
654		440	214
1,559		2,905	-1,346
794		1,639	-845
818		1,584	-766
2,722		4,683	-1,961
1,377		1,402	-25
4,495		4,187	308
760		1,148	-388
138		233	-95
2,005		3,527	-1,522
2,721		2,594	127
2,701		2,533	168
5,002		4,816	186
39		62	-23
1,407		2,233	-826
1,542		2,224	-682
1,673		2,346	-673
2,109		3,018	-909
3,535		3,448	87
603		917	-314
1,432		2,177	-745
4,361		3,586	775
2,490		3,448	-958
2,028		2,743	-715
783		951	-168
13			13
145		161	-16
65		85	-20
306		365	-59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
97		103	-6
161		170	-9
3,381		3,603	-222
3,907		3,447	460
3,928		4,721	-793
485		607	-122
2,738		2,266	472
3,848		3,916	-68
3,426		3,789	-363
2,319		2,311	8
5,527		1,000	4,527
6,249		5,851	398
4,952		2,952	2,000
4,324		3,637	687
2,190		1,731	459
4,324		3,466	858
1,067		859	208
2,696		2,746	-50
1,767		2,276	-509
2,441		3,008	-567
581		725	-144
278		286	-8
158		138	20
3,669		3,643	26
4,857		727	4,130
5,633		3,291	2,342
4,967		3,554	1,413
6,087		3,382	2,705
406		238	168
1,750		1,414	336

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,880		1,392	488
3,986		6,028	-2,042
1,980		2,207	-227
5,761		5,907	-146
3,122		2,859	263
5,246		5,443	-197
3,051		2,067	984
106		59	47
1,613		1,414	199
3,348		3,257	91
1,480		1,114	366
2,316		1,751	565
2,503		2,078	425
1,156		1,388	-232
1,785		2,667	-882
2,818		2,813	5
768		1,138	-370
1,889		2,392	-503
1,810		2,328	-518
447		419	28
1,786		1,657	129
2,776		3,293	-517
2,015		2,406	-391
2,083		2,465	-382
2,284		2,666	-382
1,906		2,192	-286
589		740	-151
3,568		4,868	-1,300
2,387		2,042	345
139		139	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,131		2,214	-83
3,177		3,406	-229
2,917		3,622	-705
2,086		3,044	-958
1,963		2,237	-274
406		518	-112
1,937		2,516	-579
2,063		3,589	-1,526
2,193		2,361	-168
493		868	-375
1,042		1,180	-138
1,259		2,220	-961
602		956	-354
1,123		1,919	-796
1,662		2,076	-414
434		423	11
2,903		2,474	429
426		294	132
3,045		3,165	-120
2,179		1,151	1,028
2,705		1,864	841
451		317	134
5,119		5,333	-214
2,463		2,487	-24
2,551		3,678	-1,127
3,439		1,964	1,475
1,461		1,696	-235
487		567	-80
1,669		963	706
2,295		1,882	413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,623		2,742	-119
1,333		1,460	-127
2,631		1,516	1,115
1,766		1,998	-232
2,772		3,146	-374
569		641	-72
2,132		2,255	-123
837		841	-4
809		750	59
2,343		1,309	1,034
3,871		3,583	288
2,450		2,295	155
3,489		3,493	-4
1,170		1,513	-343
2,105		2,739	-634
1,749		1,960	-211
1,061		984	77
3,326		2,550	776
2,223		2,515	-292
393		253	140
328		177	151
506		434	72
433		433	0
447		475	-28
808		605	203
423		329	94
558		546	12
331		494	-163
434		259	175
769		849	-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
344		197	147
330		184	146
336		411	-75
327		272	55
522		637	-115
357		313	44
3,774		2,561	1,213
1,771		2,881	-1,110
3,388		3,547	-159
904		1,996	-1,092
576		975	-399
197		549	-352
1,150		3,474	-2,324
274		616	-342
438		952	-514
513		1,101	-588
997		2,226	-1,229
2,771		2,973	-202
2,656		2,314	342
4,221		4,001	220
620		623	-3
2,480		2,270	210
621		623	-2
1,425		1,399	26
2,302		2,557	-255
1,919		2,276	-357
2,599		3,184	-585
2,704		3,842	-1,138
156		183	-27
503		421	82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,048		2,730	-682
821		1,016	-195
813		550	263
2,177		2,673	-496
1,368		815	553
5,147		3,596	1,551
5,709		3,389	2,320
1,247		1,246	1
1,348		700	648
3,276		3,218	58
2,021		2,070	-49
2,606		3,412	-806
917		951	-34
3,225		3,303	-78
599		867	-268
824		1,066	-242
4,707		3,539	1,168
3,908		2,945	963
651		623	28
2,223		1,299	924
303		274	29
4,625		3,892	733
2,669		2,257	412
3,986		3,693	293
4,051		3,778	273
1,901		1,641	260
3,604		4,721	-1,117
2,361		1,358	1,003
2,840		2,683	157
1,280		711	569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
715		413	302
2,610		2,121	489
502		451	51
3,574		3,254	320
3,689		4,166	-477
4,379		3,836	543
4,773		5,031	-258
2,521		2,325	196
1,740		1,397	343
2,497		1,877	620
3,014		2,600	414
2,948		2,193	755
88		101	-13
2,641		3,047	-406
2,483		2,572	-89
1,498		1,379	119
2,866		3,270	-404
1,315		1,284	31
3,130		3,001	129
3,193		3,243	-50
141		123	18
5,003		3,751	1,252
1,265		1,111	154
2,769		2,195	574
1,026		905	121
2,939		2,787	152
2,857		1,921	936
6,313		5,750	563
1,898		1,785	113
1,177		785	392

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,628		2,482	146
32		23	9
1,877		921	956
450		314	136
1,943		686	1,257
1,549		1,726	-177
5,372		5,078	294
1,329		1,388	-59
2,203		3,834	-1,631
3,087		3,259	-172
4,717		3,905	812
1,807		1,901	-94
4,656		3,943	713
2,832		2,378	454
2,241		2,190	51
1,850		1,036	814
792		794	-2
1,961		1,898	63
1,176		1,146	30
3,028		2,104	924
320		213	107
958		950	8
1,498		1,210	288
540		451	89
1,383		1,151	232
725		388	337
2,053		1,268	785
242		149	93
4,355		4,056	299
2,797		3,079	-282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
4,384		4,295	89
3,218		2,709	509
557		376	181
2,545		1,930	615
968		891	77
3,355		3,487	-132
1,118		1,132	-14
2,130		2,079	51
2,969		1,859	1,110
816		469	347
932		832	100
2,945		2,560	385
1,163		567	596
2,087		1,884	203
1,528		970	558
2,995		2,084	911
3,895		4,374	-479
2,635		2,590	45
761		849	-88
3,530		3,217	313
1,476		1,801	-325
3,048		3,184	-136
1,839		2,234	-395
975		1,238	-263
4,314		2,972	1,342
2,850		2,433	417
2,019		1,802	217
638		564	74
2,016		1,152	864
421		319	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,275		2,366	909
1,633		1,334	299
653		523	130
2,333		1,924	409
3,687		2,828	859
2,423		2,087	336
67		59	8
2,707		2,513	194
5,813		5,354	459
4,090		4,370	-280
257		242	15
2,330		2,375	-45
3,663		4,482	-819
2,529		2,201	328
5,297		4,569	728
1,964		2,363	-399
1,807		1,589	218
2,967		2,584	383
2,625		2,686	-61
51		37	14
2,206		2,037	169
2,186		1,648	538
504		336	168
4,417		3,578	839
1,826		1,714	112
3,347		3,127	220
3,468		2,952	516
3,100		2,112	988
302		279	23
302		184	118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,438		1,261	177
7,196		8,784	-1,588
1,139		1,027	112
3,680		3,187	493
2,599		2,650	-51
2,968		2,433	535
2,108		1,769	339
1,916		2,397	-481
931		1,157	-226
2,520		2,786	-266
1,044		1,055	-11
1,911		2,378	-467
2,678		2,881	-203
2,719		2,156	563
1,849		2,811	-962
1,771		2,871	-1,100
44			44
1,685		1,399	286
921		755	166
47		34	13
1,755		1,429	326
1,044		828	216
3,441		4,296	-855
3,965		4,194	-229
1,597		1,788	-191
2,585		2,259	326
2,509		2,343	166
5,848		5,816	32
2,366		2,303	63
1,696		1,701	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
35		41	-6
2,956		3,237	-281
2,161		1,990	171
1,072		1,150	-78
2,683		2,965	-282
1,025		1,218	-193
29,731		45,416	-15,685
150,397		137,794	12,603

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,119
			214
			-1,346
			-845
			-766
			-1,961
			-25
			308
			-388
			-95
			-1,522
			127
			168
			186
			-23
			-826
			-682
			-673
			-909
			87
			-314
			-745
			775
			-958
			-715
			-168
			13
			-16
			-20
			-59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-6
			-9
			-222
			460
			-793
			-122
			472
			-68
			-363
			8
			4,527
			398
			2,000
			687
			459
			858
			208
			-50
			-509
			-567
			-144
			-8
			20
			26
			4,130
			2,342
			1,413
			2,705
			168
			336

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			488
			-2,042
			-227
			-146
			263
			-197
			984
			47
			199
			91
			366
			565
			425
			-232
			-882
			5
			-370
			-503
			-518
			28
			129
			-517
			-391
			-382
			-382
			-286
			-151
			-1,300
			345
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-83
			-229
			-705
			-958
			-274
			-112
			-579
			-1,526
			-168
			-375
			-138
			-961
			-354
			-796
			-414
			11
			429
			132
			-120
			1,028
			841
			134
			-214
			-24
			-1,127
			1,475
			-235
			-80
			706
			413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-119
			-127
			1,115
			-232
			-374
			-72
			-123
			-4
			59
			1,034
			288
			155
			-4
			-343
			-634
			-211
			77
			776
			-292
			140
			151
			72
			0
			-28
			203
			94
			12
			-163
			175
			-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			147
			146
			-75
			55
			-115
			44
			1,213
			-1,110
			-159
			-1,092
			-399
			-352
			-2,324
			-342
			-514
			-588
			-1,229
			-202
			342
			220
			-3
			210
			-2
			26
			-255
			-357
			-585
			-1,138
			-27
			82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-682
			-195
			263
			-496
			553
			1,551
			2,320
			1
			648
			58
			-49
			-806
			-34
			-78
			-268
			-242
			1,168
			963
			28
			924
			29
			733
			412
			293
			273
			260
			-1,117
			1,003
			157
			569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			302
			489
			51
			320
			-477
			543
			-258
			196
			343
			620
			414
			755
			-13
			-406
			-89
			119
			-404
			31
			129
			-50
			18
			1,252
			154
			574
			121
			152
			936
			563
			113
			392

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			146
			9
			956
			136
			1,257
			-177
			294
			-59
			-1,631
			-172
			812
			-94
			713
			454
			51
			814
			-2
			63
			30
			924
			107
			8
			288
			89
			232
			337
			785
			93
			299
			-282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			89
			509
			181
			615
			77
			-132
			-14
			51
			1,110
			347
			100
			385
			596
			203
			558
			911
			-479
			45
			-88
			313
			-325
			-136
			-395
			-263
			1,342
			417
			217
			74
			864
			102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			909
			299
			130
			409
			859
			336
			8
			194
			459
			-280
			15
			-45
			-819
			328
			728
			-399
			218
			383
			-61
			14
			169
			538
			168
			839
			112
			220
			516
			988
			23
			118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			177
			-1,588
			112
			493
			-51
			535
			339
			-481
			-226
			-266
			-11
			-467
			-203
			563
			-962
			-1,100
			44
			286
			166
			13
			326
			216
			-855
			-229
			-191
			326
			166
			32
			63
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-6
			-281
			171
			-78
			-282
			-193
			-15,685
			12,603

TY 2011 Accounting Fees Schedule

Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

EIN: 13-3596015

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	9,500	9,500		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319010422

TY 2011 Investments Corporate Bonds Schedule

Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

EIN: 13-3596015

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GS 038-08279-8	0	0

TY 2011 Investments Corporate Stock Schedule

Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

EIN: 13-3596015

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GS 038-08687-2	0	0
GS 009-41235-4	0	0
GS 038-00157-4	1,580,785	1,565,139
GS 009-54646-6	560,790	582,939
GS 009-52139-4	0	0
GS 009-55628-3	511,138	650,159

TY 2011 Other Expenses Schedule

Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

EIN: 13-3596015

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	14,589	14,589		0
FILING FEE	30	30		0

TY 2011 Other Increases Schedule

Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

EIN: 13-3596015

Description	Amount
MARKET VALUATION ADJUSTMENT	47,340

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319010422

TY 2011 Taxes Schedule

Name: THE SARAH L BOLES AND JOSEPH R ZIMMEL FOUNDATION

EIN: 13-3596015

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX ON INVESTMENT INCOME	11,163	11,163		0