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## Form **990-PF**

16221022 701510 2150224

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

21 EU 22 41

Reve	of the Treasury Note. The foundation may be note Service	e able to use a copy of this r	eturn to satisfy state repor	rting requirements.	ZUII
				JUN 30, 2012	
	<del>- 1 - 1 - 1 1 -</del>			A Employer identification	number
\LIN	I M POSS FOIRDATION			99_6007327	
		driess)	Room/mitte		
	•	uu 653)	Roomesana		1203
		<del></del>			
				if exemption application is pe	inding, check nere
		Initial return of a for	rmer public charity	D 1 Foreign organizations	check here
ioon c		<del></del>	The poole of arty	i i i orcigii organizations,	, check hore
	<u> </u>			Foreign organizations mee	eting the 85% test,
neck 1			············	1	
			tion		
r mar			Accrual	1	•
m P					
\$	647,342. (Part I, colur	nn (d) must be on cash b	pasis.)		
rt I	Analysis of Revenue and Expenses (The total of amounts in columns (b) (c) and (d) may not	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements for charitable purposes
	necessarily equal the amounts in column (a).)	expenses per books	income	income	(cash basis only)
				N/A	
					STATEMENT 1
	Dividends and interest from securities	18,608.	18,608.		STATEMENT 2
			· · · · · · · · · · · · · · · · · · ·		
		4 004			
		4,894.			
D	assets on line 6a 443,002.		4 004	·	
			4,894		
	, <u> </u>				
40-	Gross sales less returns			<del>                                     </del>	
_					
	Gross profit or April ( FV LU _ 1				
				<u> </u>	
12		23,510.	23,510		
13	Compensation of officers, directors, trustees, etc				4,811.
17	Other employee salaries and wages 1 1 1				
15	Other employee salaries and wages Pension plans, employee benefits				
168	Other employee salaries and wages Pension plans, employee heriens Legal fees				
16a b	Accounting fees STMT 3	1,475.	443		1,032
16a b c	Accounting fees STMT 3 Other professional fees	1,475.	443		1,032.
16 <b>a</b> b c	Accounting fees STMT 3 Other professional fees Interest				
16a b c 17 18	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4	1,475. 2,069.	443		
16a c 17 18 19	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion				1,032
16a c 17 18 19	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy				
16a b c 17 18 19 20	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings				
16a b c 17 18 19 20 21 22	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications	2,069.	0		0.
16a b c 17 18 19 20 21 22	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5				
16a b c 17 18 19 20 21 22	Accounting fees STMT 3  Other professional fees Interest Taxes STMT 4  Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5  Total operating and administrative	2,069.	0		2,700
16a b c 17 18 19 20 21 22 23 24	Accounting fees STMT 3  Other professional fees Interest Taxes STMT 4  Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5  Total operating and administrative expenses. Add lines 13 through 23	2,069. 2,700. 15,865.	0		2,700 8,543
16a b c 17 18 19 20 21 22 23 24	Accounting fees STMT 3  Other professional fees Interest Taxes STMT 4  Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5  Total operating and administrative expenses. Add lines 13 through 23 Contributions, gifts, grants paid	2,069.	0		2,700
16a b c 17 18 19 20 21 22 23 24	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5 Total operating and administrative expenses. Add lines 13 through 23 Contributions, gifts, grants paid Total expenses and disbursements.	2,069. 2,700. 15,865. 24,990.	0 5,253		2,700 8,543 24,990
16a b c 17 18 19 20 21 22 23 24	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5 Total operating and administrative expenses. Add lines 13 through 23 Contributions, gifts, grants paid Total expenses and disbursements. Add lines 24 and 25	2,069. 2,700. 15,865.	0		2,700 8,543
16a b c 17 18 19 20 21 22 23 24	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5 Total operating and administrative expenses. Add lines 13 through 23 Contributions, gifts, grants paid Total expenses and disbursements. Add lines 24 and 25 Subtract line 26 from line 12:	2,069. 2,700. 15,865. 24,990. 40,855.	0 5,253		2,700 8,543 24,990
16a b c 17 18 19 20 21 22 23 24 25 26	Accounting fees STMT 3 Other professional fees Interest Taxes STMT 4 Depreciation and depletion Occupancy Travel, conferences, and meetings Printing and publications Other expenses STMT 5 Total operating and administrative expenses. Add lines 13 through 23 Contributions, gifts, grants paid Total expenses and disbursements. Add lines 24 and 25	2,069. 2,700. 15,865. 24,990.	0 5,253		2,700 8,543 24,990
	e of f  DHN  For and the second secon	PHN M. ROSS FOUNDATION  For and street (or P O box number if mail is not delivered to street at a construction of the property	Contributions, gifts, grants, etc., received Check X in the fundation is not required to attach Sch B interest on savings and temporary Contributions, gifts, grants, etc., received Contributions, gifts, grants, etc., received Contributions, gifts, grants, etc., received Contributions of normalian and temporary Contributions of normalian and temporary Contributions of normalian and temporary Contributions of the received cash of the fundation is not required to attach Sch B Contributions of the received cash of the fundation is not required to attach Sch B Contributions of the received cash of the fundation is not required to attach Sch B Contributions of the received cash of the fundation is not required to attach Sch B Contributions of the fundation is not required to attach	Be of foundation  CHN M. ROSS FOUNDATION  or and street (or P O box number if mail is not delivered to street address)  O. BOX 3170, DEPT. 715  or town, state, and ZIP code  CHOOLULU, HI 96802-3170  Initial return Amended return Am	A Employer identification  OHN M. ROSS FOUNDATION  or and street (or P O box number if mail is not delivered to street address)  O. BOX 3170, DEPT. 715  or town, state, and ZIP code  NOLULU, HI 96802-3170  leck all that apply: Initial return Initial return of a former public charity  Final return Address change Name change  leck type of organization: X Section 501(c)(3) exempt private foundation  rarket value of all assets at end of year I Accounting method: X Cash Accrual (The total of amounts in cultum (a))  Analysis of Revenue and Expense (The total of amounts in cultum (a))  To Contributions, gifts, grants, etc., received  Check XI file foundation is not required to attach Sch 8 interest on savings and temporary assh investments  A Dividends and interest from securities  A B Net short-term capital gain  Income modifications  Corosa selection score for all 443, 682.  C Crosk profit or (filess) (Files)

1

2011 חווח דחשון או סחפפ שחוואותאחדראו

Offil 990-PF (2011) JOHN M. ROSS FOUNDATION			Paginaing of year		00/34/ Page 2
P	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of (b) Book Value	(c) Fair Market Value
Т	_	Cook and interest house	456.	702.	
		Cash - non-interest-bearing			702.
]		Savings and temporary cash investments	12,809.	12,402.	12,402.
		Accounts receivable -	İ	1	
		Less: allowance for doubtful accounts		·	
		Pledges receivable ►			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
ı		disqualified persons			
1	7	Other notes and loans receivable			
		Less; allowance for doubtful accounts ▶			
ş	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
Ä	10a	Investments - U.S. and state government obligations			ii
	b	Investments - corporate stock			
		Investments - corporate bonds			
	11	Investments - land, buildings and equipment basis			······································
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other STMT 6	617,479.	600,295.	634,238.
		Land, buildings, and equipment basis			<u> </u>
	` `	Less accumulated depreciation			
	15	Other assets (describe ▶	-		
	16	Total assets (to be completed by all filers)	630,744.	613,399.	647,342.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Š	19	Deferred revenue			•
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
<u>a</u>	21	Mortgages and other notes payable			
J	22	Other liabilities (describe >			
	1				
_	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
ces	24	Unrestricted			
a	25	Temporarily restricted		· -	
Ва	26	Permanently restricted			
Net Assets or Fund Balances		Foundations that do not follow SFAS 117, check here			
Ę		and complete lines 27 through 31.			
Ö	27	Capital stock, trust principal, or current funds	630,744.	613,399.	
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
As	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
Š	30	Total net assets or fund balances	630,744.	613,399.	
_	31	Total liabilities and net assets/fund balances	630,744.	613,399.	
F	art	Analysis of Changes in Net Assets or Fund B	alances		
=				T I	
1		al net assets or fund balances at beginning of year - Part II, column (a), line	30		630 544
_		ist agree with end-of-year figure reported on prior year's return)		1	630,744.
		er amount from Part I, line 27a		2	-17,345.
3		er increases not included in line 2 (itemize)  I lines 1, 2, and 3		3	613 300
4 5		reases not included in line 2 (itemize)		4	613,399.
5 6		al net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	nlumn (h) line 30	5	613,399.
9_	100	ar net assets of fund balances at end of year thine 4 millios line 3) - Part II, Co	namm (u), mie 30		Form <b>990-PF</b> (2011)
					101111 <b>550</b> -1 1 (2011)

123511 12-02-11

<del></del>	and Losses for Tax Off in		III COME	1/53.11	ou oc	<del></del>		
	ribe the kind(s) of property sold (e. rehouse; or common stock, 200 sh			(a) H P - D -	ow acquired Purchase Donation		e acquired day, yr.)	(d) Date sold (mo., day, yr.)
18 PUBLICLY TRADE	D SECURITIES				P	VAR	IOUS	VARIOUS
b PUBLICLY TRADE		<del></del>		1	P	<del></del>	IOUS	VARIOUS
c CAPITAL GAINS				1	<del>.</del>	1		VIII.
d			·					
e							<del></del>	
(e) Gross sales price	(f) Depreciation allowed (or allowable)		t or other basis				Gain or (loss us (f) minus	
a 297,368.		<del> </del>	307,56	7	·····			-10,199.
b 141,400.		-+	131,22		<del> </del>		<u> </u>	10,179.
c 4,914.		<del></del>	131,22	• •				4,914.
d 4,314.		<del></del>		+				4,514.
					<del></del>			
Complete colu for accete chaver	l ng gain in column (h) and owned by	the foundation	00 10/01/00		<del></del>			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Ex	cess of col. (i) col. (j), if any	$\dashv$	C	cól. (k), bu	(Col. (h) gaii it not less tha es (from col.	an -0-) <b>or</b>
	43 01 120 1/00	0,0,1		$\rightarrow$				10 100
<u>a</u>		<del>-                                    </del>				-		-10,199.
b								10,179.
<u>c</u>		<del>-  </del>						4,914.
d								
Capital gain net income or (net ca		ter in Part I, line i -0- in Part I, line		}	2			4,894.
	( '(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		•	ノト		<del></del>		- 4,054.
3 Net short-term capital gain or (lo if gain, also enter in Part I, line 8, if (loss), enter -0- in Part I, line 8	, column (c).	and (6):		}	3		N/2	A.
Part V Qualification U	Inder Section 4940(e) fo	r Reduced	Tax on Net	t Inve	estment l	ncome		· <del>=</del>
(For optional use by domestic privat	a foundations subject to the section	1040(a) tay on	net investment i	ncoma	`			
If section 4940(d)(2) applies, leave to Was the foundation liable for the sectif "Yes," the foundation does not quantum the appropriate amount in	ction 4942 tax on the distributable a	complete this pa	rt.					Yes X No
(a) Base period years	(b)		Net value of n	(c)	itable-use assi	ote	Distr	(d)
Calendar year (or tax year beginn	1119 1117		Net value of the	Unichai			(col. (b) d	ivided by col. (c))
2010		34,944.			687,24			.050846
2009		30,761.			638,01	10.		.048214
2008		41,109.			587,33			.069993
2007		49,251.			810,63			.060756
2006		48,063.			824,00	04.		.058329
2 Total of line 1, column (d)						_2		.288138
3 Average distribution ratio for the		al on line 2 by 5,	or by the numbe	er of yea	ars			
the foundation has been in existe	ence if less than 5 years					3	-	.057628
4 Enter the net value of noncharita	ble-use assets for 2011 from Part	X, line 5				4		650,293.
5 Multiply line 4 by line 3					5		37,475.	
6 Enter 1% of net investment inco	6 Enter 1% of net investment income (1% of Part I, line 27b)					6		183.
7 Add lines 5 and 6						7		37,658.
8 Enter qualifying distributions fro	m Part XII, line 4					8		33,533.
	in line 7, check the box in Part VI, li	ne 1b, and comp	olete that part usi	ing a 19	% tax rate.			
123521 12-02-11		- ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '						Form <b>990-PF</b> (2011
								- OHH OOO - I (2011,

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Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	nstruc	tion	s)
1a 8	Exempt operating foundations described in section 4940(d)(2), check here 🕨 🔲 and enter "N/A" on line 1.			
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)			
bi	Domestic foundations that meet the section 4940(e) requirements in Part V, check here   and enter 1%   1		36	<u> 55.</u>
(	of Part I, line 27b			
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			<u>0.</u>
3	Add lines 1 and 2		3(	<u> 55.</u>
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			<u>0.</u>
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		3	65.
6	Credits/Payments:			
8	2011 estimated tax payments and 2010 overpayment credited to 2011 6a 1,240.			
þ	Exempt foreign organizations - tax withheld at source			٠,
C	Tax paid with application for extension of time to file (Form 8868)  6c			t
d	Backup withholding erroneously withheld 6d 6d			
7	Total credits and payments. Add lines 6a through 6d		<u>1,2</u>	<u>40.</u>
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached 8			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			<u>75.</u>
	Enter the amount of line 10 to be: Credited to 2012 estimated tax   400 . Refunded   11		4	<u>75.</u>
Pa	rt VII-A Statements Regarding Activities			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in		Yes	
	any political campaign?	1a		<u>X</u>
þ	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or	}		
	distributed by the foundation in connection with the activities.			
C	Did the foundation file Form 1120-POL for this year?	1c		X
đ	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
	(1) On the foundation. ► \$ O . (2) On foundation managers. ► \$ O .			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			
	managers. ► \$O.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or			
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
	of f'Yes," has it filed a tax return on Form 990-T for this year?	4b		<del> </del>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
_	If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law	١.		
_	remain in the governing instrument?	6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year?	7	X	
	If "Yes," complete Part II, col. (c), and Part XV.			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			Ī
	HI			
b	b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)			
	of each state as required by General Instruction G? If "No," attach explanation	8b	X	₩
9			ļ	
	year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	ļ	X
10		10		X
	F	orm <b>990</b>	)-PF	(2011)

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	rt VII-A Statements Regarding Activities (continued)		,	<del></del>	
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			l	
	section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		<u>X</u>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory	rivileges?			•-
	If "Yes," attach statement (see instructions)		12	7.7	<u>X</u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	
	Website address ► N/A The books are in care of ► BANK OF HAWAII  Telephone no.	<b>►</b> (808)	501	420	0.2
14	Located at > 130 MERCHANT STREET, HONOLULU, HI	ZIP+4 ▶96		43	73
16	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	21P+4 -30	013		
13	and enter the amount of tax-exempt interest received or accrued during the year	15	N	/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank,	<u> </u>		Yes	No
	securities, or other financial account in a foreign country?		16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign		1-19		
	country			ļ	
Pŧ	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required				
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
18	During the year did the foundation (either directly or indirectly):				
		es X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	es X No			
		es 🛣 No			
		'es No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available				
	<u> </u>	'es X No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"				1
	If the foundation agreed to make a grant to or to employ the official for a period after		İ		
	termination of government service, if terminating within 90 days.)	es 🗓 No			
1	o If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		,		
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	. —	1b		<u>X</u>
	Organizations relying on a current notice regarding disaster assistance check here		1		
1	c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		١.		.,,
2	before the first day of the tax year beginning in 2011?  Taxes on failure to distribute income (continue 4042) (does not apply for years the foundation was a grante operation foundation).		10		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	i	1		
	a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginn	ng			
	before 2011?	res 🔀 No			
	If "Yes," list the years $\blacktriangleright$ ,,,,,,,				
	b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorre				
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attaches to the year's undistributed income?		1		
	statement - see instructions.)	N/A	<u>2b</u>	_	<u> </u>
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				ļ
3	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time		1		
•		res X No			
	b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons a				
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to				
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule	•			
	Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b	<u></u>	
4	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpo	se that			_
_	had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b	<u> </u>	X
	· ·	Fo	rm <b>99</b> (	)-PF	(2011)

Form 990-PF (2011) JOHN M. ROSS FOUNDATION  Part VIL-R   Statements Regarding Activities for Which	Form 4720 May Be D		99-60073	27 Page <b>6</b>
<ul> <li>Part VII-B   Statements Regarding Activities for Which</li> <li>5a During the year did the foundation pay or incur any amount to: <ol> <li>Carry on propaganda, or otherwise attempt to influence legislation (section).</li> <li>Influence the outcome of any specific public election (see section 4955); any voter registration drive?</li> <li>Provide a grant to an individual for travel, study, or other similar purpose.</li> <li>Provide a grant to an organization other than a charitable, etc., organization 509(a)(1), (2), or (3), or section 4940(d)(2)?</li> <li>Provide for any purpose other than religious, charitable, scientific, literary the prevention of cruelty to children or animals?</li> <li>If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify us section 53.4945 or in a current notice regarding disaster assistance (see instrogranizations relying on a current notice regarding disaster assistance check of the answer is "Yes" to question 5a(4), does the foundation claim exemption expenditure responsibility for the grant?</li> <li>"Yes," attach the statement required by Regulations section 53.4945.</li> </ol> </li> </ul>	on 4945(e))? or to carry on, directly or indirectly  or educational purposes, or funder the exceptions described indirectly or i	rectly,  Yes Yes Yes Yes Yes Yes Or Yes A Regulations	X No X No X No X No X No	5b X
<ul> <li>6a Did the foundation, during the year, receive any funds, directly or indirectly, to a personal benefit contract?</li> <li>b Did the foundation, during the year, pay premiums, directly or indirectly, on a If "Yes" to 6b, file Form 8870.</li> <li>7a At any time during the tax year, was the foundation a party to a prohibited tax</li> <li>b If "Yes," did the foundation receive any proceeds or have any net income attril</li> </ul>	personal benefit contract?		s X No	6b X
Information About Officers, Directors, Trus		nagers, Highly		70
Paid Employees, and Contractors  1 List all officers, directors, trustees, foundation managers and their	r compensation		<del></del>	
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF HAWAII	TRUSTEE			
P.O. BOX 3170 HONOLULU, HI 968023170	1.00	9,621.	0.	0.
2 Compensation of five highest-paid employees (other than those in	ncluded on line 1). If none,	, enter "NONE."		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deterred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000			<b></b>	0

orm 990-PF (2011) JOHN M. ROSS FOUNDATION	99-6	007327 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundary Paid Employees, and Contractors (continued)	ation Managers, Highly	
Five highest-paid independent contractors for professional services. If none, enter	r "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	_	
Fotal number of others receiving over \$50,000 for professional services  Part IX-A   Summary of Direct Charitable Activities		<b>▶</b> 0
List the foundation's four largest direct charitable activities during the tax year. Include relevant stati	stical information such as the	
number of organizations and other beneficiaries served, conferences convened, research papers pro	oduced, etc.	Expenses
1 N/A		
<u> </u>		<del></del>
3		
4		<del></del>
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year of	on lines 1 and 2.	Amount
1 N/A		
2		
		<del></del>
All other program-related investments. See instructions.  3		
Total, Add lines 1 through 3	<b>•</b>	0.

P	Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations	dation	s, see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	$\overline{}$	
a	Average monthly fair market value of securities	1a	645,943.
	Average of monthly cash balances	1b	14,253.
	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	660,196.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	660,196.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,903.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	650,293.
6	Minimum investment return. Enter 5% of line 5	6	32,515.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here  and do not complete this part.)	d certaii	
1	Minimum investment return from Part X, line 6	1	32,515.
28	Tax on investment income for 2011 from Part VI, line 5		
b	Income tax for 2011. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	365.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	32,150.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	32,150.
6	Deduction from distributable amount (see instructions)	6	0.
<u>7_</u>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	32,150.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	33,533.
b	Program-related investments - total from Part IX-B	1b	<u>0.</u>
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b		3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	33,533.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,533.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation 4940(e) reduction of tax in those years.	qualifies	for the section

## Part XIII Undistributed Income (see instructions)

Ine 7   32,150.2		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
2 Undestrobusined receiver A day, is, as of the end of 2011  a Finder amount to 2010 only b Total for prior years:  0 .  3 Excess distributions carryover, if any, to 2011; a From 2006  7 , 864 b From 2007  9 , 843 e From 2009 321 Total of ines 3a through e  4 Qualifying distributions for 2011 from Part XII, line 4 ≥ S 33, 533 Applied to 2010, but not more than line 2a b Applied to undistributed incorne of prior Part XII, line 4 ≥ S 33, 533 Applied to undistributed more of prior Query (Section required - see instructions) e Treated as distributions und of corpus (Election required - see instructions) d Applied to 2011 distributialls amount Permanian particular distributed und corpus Elections required - see instructions) b Excess distributions acregivery appears to 2011 from ansocial resident accession (see in the sea Applied to 101 of 2011) Elections required - see instructions Company Add India Section 11, 383 .  5 Excess distributions acregivery appears to 2011 from ansocial resident accession (see in Applied to 101 of 2011) Company Add India Section 11, 383 .  5 Excess distributions acregivery appears to 2011 from ansocial resident accession (see in Applied to 101 of 2011) Company Add India Section 11, 383 .  5 Excess distributions acregivery and which the section 942(e)) as its as been previously assessed  5 Subtract line 6 for from line 10. I has amount must be distributed incorns for 2010. Subtract line 4 for from ine 2. This amount must be distributed incorns for 2010. Subtract line 4 for from ine 1. This amount must be distributed incorns for 2010. Subtract line 4 for from ine 1. This amount must be distributed incorns for 2011. Subtract line 5 for from ine 1. This amount must be distributed incorns for 2010. Subtract line 5 for from ine 1. This amount must be distributed incorns for 2010. Subtract line 6 for from ine 1. This amount must be distributed incorns for 2010. Subtract line 6 for from ine 1. This amount must be distributed incorns for 2010. Subtract line 6 for from ine 1. This amount must be	1 Distributable amount for 2011 from Part XI,				32 150
Screen scheduled and prior years			<del></del>		34,130.
3 Excess distributions carryover, fram, to 2011: a From 2006				0	
S Excess distributions carryover, if any, to 2011: a From 2006	· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·	0.	
3 Excess distributions carryover, I dany, to 2011:  From 2006	y rounted prior yours.		0		
a From 2008	3 Excess distributions carryover if any to 2011:	· · · · · · · · · · · · · · · · · ·	<u> </u>		
## From 2007	- 1				1
From 2008	h From 2007 9 8 4 3	1			
From 2009				į	
From 2010   321   1 Total of lines 3 at through e   30 , 018					
1 Total of lines 3a through e 4 Qualifying distributions for 2011 from Part XII. Inc 4 № 5 33, 533. 4 Applied to 2010, but not more than line 2a b Applied to antistributed income of pror years (Election required - see instructions) 6 Treated as distributions out of corpus (Election required - see instructions) 6 Applied to 2011 distributable amount e Remaning amount distributed out of corpus 5 Excess distributions carrower spriked to 2011 (first minimal register as column (a)) 6 Enter the national of each column as indicated below: 1 Corpus Adaption amount of prori years' undistributed income for which a notice of delicency has been resued, or on which this section 494(2) as that been previously assessed 4 Subtract tine 6 form line 6b. Taxable amount - see instructions 6 Undistributed income for 2010. Subtract line 4 form line 2. Taxable amount - see instructions 6 Undistributed income for 2011. Subtract line 4 do and 5 from line 1. This amount must be distributed in 2012 7 Amounts treate as distributions out of corpus to saskly requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess from 2011 8 Excess from 2001 9 Excess from 2001		İ		ĺ	
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Part XI, line 4 ► S 33,533.  a Applied to 2010, but not more than line 2a b Applied to undistributed income of prior years (Election required - see instructions)  d Applied to 2011 distributable amount e Remaining amount distributed out of corpus (Election required - see instructions)  d Applied to 2011 distributable amount e Remaining amount distributed out of corpus (Election required - see instructions)  5	· · · · · · · · · · · · · · · · · · ·	30,010.			
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years (Election required - see instructions) of Treated as distributions out of corpus (Election required - see instructions) d Applied to 2011 distributable amount Remaining amount distributable amount of the amount appears in column (d), the same amount must be also in column (d).  E cases distributions carroyve subject to 2011 of an amount appears in column (d), the same amount must be also in column (a).  E the the net total of each column as indicated below:  1 Corpus Adal lines of 1 ca, and 4s. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b  E finite the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2) tax has been previously assessed 4 Subtract line 6b. Traxible amount - see instructions undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  A mounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b) (1)(F) or 4942(b)(3)  E excess distributions carryover from 2006 not applied on line 5 or line 7  Excess distributions carryover for 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  Excess from 2007  4 Excess from 2008  Excess from 2009  Excess from 2001					
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4 Applied to 2011 distributable amount  8 Remaining amount distributed out of corpus  5 Excess distributions carryover brom 2006  1, 383.  1, 383.  1, 383.  1, 383.  1, 383.  1, 383.  1, 383.  2, 150.  3, 2, 150.  3, 2, 150.  3, 2, 150.  3, 2, 150.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  3, 383.  4, 383.  5, 383.  5, 383.  5, 383.  6, 383.  6, 383.  7, 383.  8, 383.  8, 383.  8, 383.  8, 383.  9, 383.  9, 383.  9, 383.  1, 38	· •	0.		1	
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c Enter the amount of prior years' undistributed income for which a notice of deficiency has been sued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 0.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 0.  8 Excess distributions carryover from 2006 not applied on line 5 or line 7 7, 864.  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a 23, 537.  10 Analysis of line 9: a Excess from 2007 9, 843. b Excess from 2008 11, 990. c Excess from 2010 321. e Excess from 2011 1, 383.	b Prior years' undistributed income. Subtract				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) Excess distributions carryover from 2006 not applied on line 5 or line 7  Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007	line 4b from line 2b		0.		
deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions  e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions  f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2007  d Excess from 2008  d Excess from 2010  d Excess from 2011  e Excess from 2011  1 1, 383.	c Enter the amount of prior years'				
the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions					
assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a 23,537.  10 Analysis of line 9: a Excess from 2007 g					
amount - see instructions e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2009 d Excess from 2010 d Excess from 2010 e Excess from 2011 1,383.			0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.  f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2009 d Excess from 2010 e Excess from 2011 1 , 383.	d Subtract line 6c from line 6b. Taxable				, -
4a from line 2a. Taxable amount - see instr.  f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2007  b Excess from 2008  c Excess from 2009  d Excess from 2010  e Excess from 2011  1 , 383.	amount - see instructions		0.	,	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2007  b Excess from 2008  c Excess from 2009  d Excess from 2010  e Excess from 2011  1 , 383.	e Undistributed income for 2010. Subtract line				
Innes 4d and 5 from line 1. This amount must be distributed in 2012  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2009 d Excess from 2010 a Excess from 2011 1,383.	4a from line 2a. Taxable amount - see instr.			0.	
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7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2008 d Excess from 2010 d Excess from 2010 e Excess from 2011 1 , 383.	lines 4d and 5 from line 1. This amount must				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8	be distributed in 2012				0.
section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2009 d Excess from 2010 e Excess from 2011  1 , 383.	7 Amounts treated as distributions out of				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2009 d Excess from 2010 e Excess from 2011 1 , 383.	corpus to satisfy requirements imposed by				
not applied on line 5 or line 7 7, 864.  9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a 23,537.  10 Analysis of line 9: a Excess from 2007 9, 843. b Excess from 2008 11,990. c Excess from 2010 321. e Excess from 2011 1,383.	section 170(b)(1)(F) or 4942(g)(3)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  23,537.  10 Analysis of line 9: a Excess from 2007 b Excess from 2008 c Excess from 2010 d Excess from 2010 e Excess from 2011 1,383.	8 Excess distributions carryover from 2006				
Subtract lines 7 and 8 from line 6a 23,537.  10 Analysis of line 9:  a Excess from 2007 9,843. b Excess from 2008 11,990. c Excess from 2010 321. e Excess from 2011 1,383.	not applied on line 5 or line 7	7,864.			· · · · · · · · · · · · · · · · · · ·
10 Analysis of line 9:  a Excess from 2007	· · · · · · · · · · · · · · · · · · ·				
a Excess from 2007 9,843. b Excess from 2008 11,990. c Excess from 2010 321. e Excess from 2011 1,383.	· · · · · · · · · · · · · · · · · · ·	23,537.			
b Excess from 2008 11,990. c Excess from 2010 321. e Excess from 2011 1,383.					
c Excess from 2009 d Excess from 2010 e Excess from 2011 1,383.					-
d Excess from 2010 321. e Excess from 2011 1,383.					
e Excess from 2011 1,383.					
	<u>e Excess from 2011   1,383.</u>		<u> </u>	<u> </u>	5. 000 DE

orm 990-PF (2011) <b>JOHN M.</b>	ROSS FOUN	DATION			07327 Page 1
Part XIV Private Operating Fou	ındations (see 11	nstructions and Part V	II-A, question 9)	N/A	
1 a If the foundation has received a ruling or d	etermination letter th	at it is a private operating	ı		
foundation, and the ruling is effective for 2	011, enter the date of	f the ruling	<b>&gt;</b>		
b Check box to indicate whether the foundati	on is a private operat	ting foundation described	l in section	4942(j)(3) or 49	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a	· · · · · · · · · · · · · · · · · · ·				<del> </del>
c Qualifying distributions from Part XII,		·			<del> </del>
line 4 for each year listed					
d Amounts included in line 2c not	<del></del>				
used directly for active conduct of		İ			
exempt activities					
e Qualifying distributions made directly					<del> </del>
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the		<del> </del>	<del></del>		
alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets		<del></del>			<del> </del>
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i)  b "Endowment" alternative test - enter	·	<del> </del>		<del>-  </del>	<del> </del>
2/3 of minimum investment return					
shown in Part X, line 6 for each year				i i	
listed					-
c "Support" alternative test - enter:				İ	
(1) Total support other than gross investment income (interest,					
dividends, rents, payments on					
securities loans (section		ļ			
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(iii)	·. · <u></u>				
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Inform			y if the foundation	on had \$5,000 or m	ore in assets
at any time during th	e year-see ins	structions.)			
1 Information Regarding Foundation	Managers:				
a List any managers of the foundation who			intributions received by t	he foundation before the cl	ose of any tax
year (but only if they have contributed mo	re than \$5,000). (See	e section 507(d)(2).)			
NONE					
<b>b</b> List any managers of the foundation who	own 10% or more of	the stock of a corporation	n (or an equally large po	rtion of the ownership of a	partnership or
other entity) of which the foundation has	a 10% or greater inte	rest			
NONE					
2 Information Regarding Contributio					
Check here 🕨 🔛 if the foundation on	ly makes contribution	is to preselected charitab	le organizations and does	s not accept unsolicited req	juests for funds. If
the foundation makes gifts, grants, etc. (s	ee instructions) to in	dividuals or organization	s under other conditions,	complete items 2a, b, c, ar	ıd d.
a The name, address, and telephone number	er of the person to wh	nom applications should	be addressed:		
SEE ATTACHMENT: ROSS	- PROGRAM				
	·				
<b>b</b> The form in which applications should be	submitted and inform	nation and materials they	should include:	-	
SEE ATTACHMENT: ROSS	- PROGRAM	<u> </u>			
c Any submission deadlines:			,		
SEE ATTACHMENT: ROSS	- PROGRAM	<u> </u>			
d Any restrictions or limitations on awards,			ds, kinds of institutions, o	or other factors:	
SEE ATTACHMENT: ROSS					
123601 12-02-11					Form 990-PF /2011

123611 12-02-11

Form **990-PF** (2011)

Total

Part XVI-A	Analysis of Income-Producing Activities
------------	---

Enter gross amounts unless otherwise indicated.	Unrelated	business income		d by section 512, 513, or 514	(e)
	(a) Business	(b)	(C)	(d)	Related or exempt
1 Program service revenue:	code	Amount	sion	Amount	function income
a	_				
b					
c	_	<del></del>			<del></del>
d	_				
e	_				
· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·			<del></del>
g Fees and contracts from government agencies			<del></del>		
2 Membership dues and assessments	<del></del>		<del></del>		<del>_</del>
3 Interest on savings and temporary cash investments			14	8.	
4 Dividends and interest from securities			14	18,608.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	4,894.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b	_ \		$\rightarrow$		· · · · · · · · · · · · · · · · · · ·
c	_				
d	_				
e		<del> </del>			
12 Subtotal. Add columns (b), (d), and (e)	LL		0.	23,510.	0
13 Total. Add line 12, columns (b), (d), and (e)				13	23,510
(See worksheet in line 13 instructions to verify calculations	.)				

Part XVI-B	Relationship o	of Activities t	the Accomplishment of	Exempt Purposes
------------	----------------	-----------------	-----------------------	-----------------

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	· · · · · · · · · · · · · · · · · · ·

123621 12-02-11

Part >			M. RUSS F				nd Daladia	JJ-6U			ge 13
Part 7	(AII	Exempt Organi		sters to a	ina ira	insactions a	ng Relatio	nships With Nonch	aritable	•	
4 Did	the ora	<del></del>		of the following		, other essentiation				Yes	No
	-	inization directly or indirectly or indirectly	•	-	-	_		Section 50 I(C) of		103	140
		ther than section 501(c) om the reporting founda					zations				
	Cash	on the reporting lounda	ition to a nonchanta	Die exempt dig	jainzation	OI.			10(1)		v
	Other a	ecate							18(1)		X
	er trans								1a(2)	<del></del>	
		of assets to a noncharitat	nie evemnt organizat	tion					1b(1)		х
٠,		ses of assets from a nor							1b(2)	<b></b> -	X
		of facilities, equipment, (		or yamzation					1b(3)		X
		ursement arrangements	or other assets						1b(4)		X
		or loan guarantees							1b(5)		X
		mance of services or me	mhershin or fundrai	sına salıcıtatını	ne				1b(6)		X
		facilities, equipment, mai	•	-					10(0)		X
	-		- '			ımn (h) should alv	vave show the	fair market value of the good		ente	48
								ction or sharing arrangemen		3013,	
		the value of the goods,					o iii aiiy ii aiioa	otton or onaring energenies	4 0		
(a) Line n		b) Amount involved		f noncharitable	exempt	organization	(d) Descr	ription of transfers, transactions, a	nd sharing ar	тапаете	ents
	<u> </u>			N/A			`-'.			<del></del> -	
						•					
									<del> </del>		
		<u></u>		-							
						_					
		•									
						_					
		·· -···									
	_										
			<u> </u>								
		dation directly or indirec					zations describ	oed			_
		501(c) of the Code (othe		c)(3)) or in sect	tion 527?				Yes	لال	No
b_lf "	Yes, co	mplete the following sch			T		<del></del>				
		(a) Name of org	ganization		( <b>b)</b> Typ	e of organization		(c) Description of relati	onship		
		N/A		<del></del>	ļ						
		· · · · · · · · · · · · · · · · · · ·	<del></del>								
		<del> </del>			ļ ———						
				· <del></del> · · · ·	<del>                                     </del>	<del></del>					
	Under	penalties of periury. I declare	that I have examined th	us return, includin	og accomp	anyong schedulee and	statements and	to the best of my knowledge			
Sign	and be	lief, it is true correct and cor	mplete Declaration of p	reparer (other than	in taxpayer	is based on all inform	nation of which pr	reparer has any knowledge	May the IRS return with the	discuss	this rer
Here		D Ke	nich tit		ın	OCT 3 0 2012			shown below	v (see in:	str )?
	Sign	ature of officer or trustve	ce President	<del></del>		ate	Title	<del></del>	Ye:	S L2	∐ No
	L Gigit	Print/Type preparer's na		Preparer's s		aid	Date	Check If PT	IN		
		Typo proparor 3 III	u	i Toparoi 3 S	ngnatui 6		Jaie	self- employed	114		
Paid								Son omployed			
Prep	arer	Firm's name		<u> </u>	<del></del>		L	Firm's EIN ▶			
Use		THE STIGHT						FIIII S EIN			
	,	Firm's address >									

Phone no.

FORM 990-PF INTEREST ON SAVI	NGS AND TE	MPORARY CASH	INVESTMENTS	STATEMENT	1
SOURCE				AMOUNT	
DREYFUS CASH MANANGEMENT			-		8.
TOTAL TO FORM 990-PF, PART I,	LINE 3, C	OLUMN A	=		8.
FORM 990-PF DIVIDEND	S AND INTE	REST FROM SEC	URITIES	STATEMENT	2
SOURCE	GROS		PITAL GAINS DIVIDENDS	COLUMN (A	)
BOH #215023409		23,522.	4,914.	18,608.	
TOTAL TO FM 990-PF, PART I, I	LN 4	23,522.	4,914.	18,6	08.
FORM 990-PF	ACCOUNT	ING FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME			
TAX SERVICE FEE	1,475	. 443	•	1,0	32.
TO FORM 990-PF, PG 1, LN 16B	1,475	443	•	1,0	32.
FORM 990-PF	TA	XES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME			
FYE 6/30/11 TAXES DUE	829	0. 0	•		0.
FYE 6/30/12 ESTIMATED TAXES	1,240	0.	•		0.
TO FORM 990-PF, PG 1, LN 18	2,069	0.	•		0.
=					

FORM 990-PF	OTHER E	XPENSES		STATEMENT 5		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
GRANTS ADMINISTRATION FEES	2,700.	0.		2,700.		
TO FORM 990-PF, PG 1, LN 23	2,700.	0.		2,700.		
FORM 990-PF	OTHER INV	ESTMENTS		STATEMENT 6		
DESCRIPTION		LUATION ETHOD BO	OOK VALUE	FAIR MARKET VALUE		
SEE STATEMENT INV-1	_	COST	600,295.	634,238.		
TOTAL TO FORM 990-PF, PART I	I. LINE 13		600,295.	634,238.		

## John M. Ross Foundation

## Grants List FYE June 30, 2012

Payee Organization Project Title		Amount Paid Date
Big Brothers Big Sisters of Honolulu		\$2,690 00
418 Kuwili Street, Suite 106 Honolulu, HI 96817-5364		6/18/2012
Documentation/Presentation Tools for Hawaii Island Operations		
Hoopa Hawaii VBC		\$5,000 00
74-306 Nuhi Place Kailua-Kona, HI 96740		6/18/2012
Court Equipment ONLY (standards, nets, antennae, etc.)		
The Salvation Army		\$3,800 00
Hawaiian & Pacific Islands Division 2950 Manoa Road Honolulu, HI 96822		6/18/2012
Hawau Island Family Intervention Services - Hawauan Cultural Water Awareness Safety Project (May - August 2012)		
University of Hawaii Foundation		\$13,500 00
2444 Dole Street Bachman Hall 105 Honolulu, HI 96822		6/18/2012
Ross Foundation Scholarship for Big Island students attending UH Campuses in SY 2012-2013 (fee of 3 5% to be taken from grant)		
	Grand Total	\$24,990,00

\$24,990 00

Description	Units	<b>Book Value</b>	<b>Market Value</b>
ABERDEEN INTERNATIONAL EQUITY FUND INST	5207.752	59,424.19	68,846.00
ABERDEEN CORE FIXED INCOME FUND CL I	6196.347	67,641.52	69,461.00
ABERDEEN ASIA-PACIFIC EX-JAPAN EQUITY FUND INSTITUTIONAL CLASS	2179.893	16,952.72	23,717.00
COLUMBIA ACORN INTERNATIONAL FUND CL Z	464.299	17,256.04	17,225.00
FEDERATED STRATEGIC VALUE DIVIDEND FUND CL INST	25925.765	119,105.29	129,888.00
GOLDMAN SACHS LARGE CAP VALUE FD - INST	3065.135	31,693.49	36,138.00
JANUS TRITON FUND CL I	1409.805	21,798.27	24,897.00
NATIXIS LOOMIS SAYLES LTD GOV AND AGCY FD CL Y	784.941	9,364.35	9,388.00
MAINSTAY LARGE CAP GROWTH FUND CL I	4677.819	27,599.14	34,943.00
MAINSTAY HIGH YIELD CORP BOND FUND CL I	773.794	4,526.70	4,573.00
T ROWE PRICE INSTITUTIONAL EMERGING MARKETS EQUITY FUND	824.636	26,033.33	22,430.00
RS GLOBAL NATURAL RESOURCES FUND CL Y	925.941	38,123.92	32,806.00
T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND CL I	690.254	6,660.95	6,930.00
SPDR S&P 500 ETF TRUST	285.000	38,059.01	38,790.00
SPDR GOLD TRUST	105.000	17,536.54	16,295.00
GUGGENHEIM MID CAP VALUE FUND CL I	2311.858	25,737.36	24,367.00
TEMPLETON GLOBAL BOND FUND CL AD	780.828	10,537.50	10,002.00
THIRD AVENUE REAL ESTATE VALUE FUND CL IS	743.656	17,089.21	17,944.00
VANGUARD TOTAL BOND MARKET ETF	440.000	36,887.67	37,127.00
VANGUARD LONG-TERM TREASURY FUND CL ADM	623.544	8,268.19	8,471.00
TOTAL PORTFOLIO		600,295.39	634,238.00

#### John M. Ross Foundation

Bank of Hawaii, Trustee

#### Grant Application Information for Program

#### **Grantmaking Policy**

John M. Ross Foundation regularly accepts unsolicited grant requests from qualified tax-exempt 501 (c)(3) charitable organizations on the Big Island of Hawaii that have programs or projects focusing on teens from 11-18 years of age.

PRIORITY WILL BE GIVEN TO PROGRAMS/PROJECTS THAT SUPPORT TEENS WHO HAVE HIGHER NEEDS AND LIMITED ACCESS. The following are examples of what Ross Foundation considers to be "higher needs and limited access": financial need, little or no family support, limited access to transportation, resources, and/or activities.

The Governing Board wishes to continue its support of <u>teen</u> programs. Organizations from various sectors on the Big Island are invited to submit a grant request to John M. Ross Foundation for *possible* funding consideration ranging from \$1,000 - \$5,000. The funds cannot be used toward large capital projects.

DEADLINE: Applications must be postmarked by February 1.

#### **APPLICATION PROCEDURES - A thru D**

#### Submit Four (4) completed sets of the proposal containing:

- (A) Funding Request cover sheet To be placed on top of each set (see page 4).
- (B) Proposal Narrative Provide who, what, when, where, why, and how in three pages or less.

#### **Narrative Format Information:**

Pages: Maximum 3 pages

Margins: 1-inch margins on each side

Font: 12 point font

Spacing: Double space in between paragraphs Paragraph Height: Maximum of 1 ½ inches

Bullet points: Acceptable

#### Narrative Headings: Use these 7 (seven) headings in the order listed:

- Organization: Briefly describe your organization's mission and programs. (maximum of 3 sentences)
- 2) <u>Population to be Served</u>: Provide an estimated UNDUPLICATED number and age range of the eligible individuals you propose to serve.
  - If the projections are an increase over the current volume, provide information on current volume (percentages can only be used if accompanied with figures, i.e. 20% over 500).
- 3) Program/Project: Describe using specific information in layman terms.
  - o (Acronyms must be explained in the narrative and budgets.)
- 4) Goals & Objectives: Separately list each goal with corresponding objective, and specifically describe how the goal will be accomplished through the objective.
- 5) Outreach: For new, expansion, or existing program/project:
  - Describe how your organization will market the program/project to the community you propose to serve.
  - Provide an estimated UNDUPLICATED number of group/individuals who will be new participants
  - Provide UNDUPLICATED numbers for existing participants/groups/individuals.
- 6) Evaluation: Provide information on how and/or what the following measures are that will be used to evaluate the program/project (note: pre/post-tests are not acceptable):
  - o Process Measures extent to how the program/project will be implemented
  - Outcome Measures effectiveness in meeting goals and objectives
- 7) Cost: Total cost of the program/project, amount requested, and plans for future support.

#### (C) These 7 items must be attached to each of the 4 (four) sets of the proposal narrative

- Staff: Describe the qualifications of the staff or volunteers who will oversee and coordinate the program/project
- Board of Directors List of Board members with their professional or business affiliation.
  - Percent who actively participate in activities and attend meetings
- Endorsement Letters Two letters endorsing the activity

#### (The following items must have a Start & End date that includes: month, day and year)

- Project/Program Budget
  - List all revenue (funding sources) and expenses for the project or program.
  - Requests for small capital and/or to purchase equipment require additional information to validate the expenses in the project budget (i.e. estimates from vendors).
- Organization's Operating Budget Current Fiscal Year
  - If funding is for support during the following fiscal year, also provide a DRAFT of Operating Budget for such year.
- Income Statement Recently Completed Fiscal Year
- Current Balance Sheet (not older than three months)

#### (D) IRS, Charters, By-Laws, Articles of Incorporation

#### Provide One copy only:

- Internal Revenue Service letter advising that the organization is tax-exempt under Section 501(c) (3) of the Code, and its status under 509(a).
- Organization's charter and bylaws
- Articles of Incorporation if applicable

#### Proposals are to be sent to the following address:

Postal Service John M. Ross Foundation c/o Bank of Hawaii. Trustee Charitable Foundation Services #758 P. O. Box 3170 Honolulu, Hawaii 96802-3170

Over night deliveries John M. Ross Foundation c/o Bank of Hawaii. Trustee Charitable Foundation Services #758 130 Merchant Street, Room 530 Honolulu, Hawaii 96813

#### Due to security measures in the building, hand-delivered proposals are strongly discouraged.

Applicants will be notified in writing of the action taken on their requests. The recipient of a grant will be required to submit a narrative report specific to the Foundation's Reporting Guidelines on what has been accomplished as a result of the grant, and a fiscal accounting of the grant expenditures.

This invitation to submit a grant proposal does not guarantee your organization will be selected to receive any funding. The Foundation may receive more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

Contact Information for John M. Ross Foundation:

Paula Boyce, AVP & Grants Administration Officer Phone: (808) 694-4945 Fax: (808) 694-4006

Email address: paula boyce@boh.com

Elaine Moniz, Administrative Assistant Phone: (808) 694-4944 Fax: (808) 694-4006 Email address: elaine.moniz@boh.com

Toll-free from Neighbor Islands: 1-800-272-7262

### John M. Ross Foundation

(Bank of Hawaii, Trustee)

## Funding Request Cover Sheet (Program)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date	<del></del>
(Must be a 501 (d	zation Information c) (3) tax-exempt organization) mit names of collaborating agencies on a separate sheet.)
Name:	
Complete Address:	
Phone:	Fax:
BOARD M	EMBER INFORMATION
No. of Board Members <sup>-</sup> Pe	ercent (%) of Financial Participation:
Total Contribution During Fiscal Year: (Check One: Past or Current FY)	Median Gift: Average Gift:
Cont	tact Information
Name:	Title:
Complete Address:	
Phone:	Email:
Proj	ject Information
Program Title:	
Amount requested: \$	
Two sign	natures are required
Executive Director (Chief Compensated Staff)	Board Chair or President (Chief Volunteer)
Print or Type Name and Title	Print or Type Name and Title