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Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III ☐ Yes ☒ No

1

Briefly describe the organization's mission

TO PROVIDE A SUPERIOR, STUDENT-CENTERED LEARNING EXPERIENCE INTEGRATING LIBERAL ARTS AND PROFESSIONAL EDUCATION AND PREPARING INDIVIDUALS FOR LASTING ACHIEVEMENT AND RESPONSIBLE LEADERSHIP IN THEIR CAREERS AND COMMUNITIES

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code ) (Expenses \$ 188,066,085 including grants of \$ ) (Revenue \$ )

INSTRUCTION, DEPARTMENTAL AND ACADEMIC SUPPORT INCLUDES THE SALARIES, BENEFITS, SUPPLIES AND DEPARTMENTAL SUPPORT NECESSARY TO DELIVER HIGHER EDUCATION FOR THE UNIVERSITY'S APPROXIMATELY 6,700 UNDERGRADUATE, GRADUATE AND PROFESSIONAL STUDENTS ACROSS THREE CALIFORNIA CAMPUSES THE UNIVERSITY'S MAIN CAMPUS IN STOCKTON COMBINES MANY OF THE ADVANTAGES OF A LARGER UNIVERSITY WITH THOSE OF A SMALL LIBERAL ARTS COLLEGE, OFFERING A BROAD ARRAY OF UNDERGRADUATE, GRADUATE AND PROFESSIONAL DEGREE PROGRAMS THROUGH SEVEN SCHOOLS, INCLUDING THE COLLEGE OF THE PACIFIC, THE SCHOOL OF INTERNATIONAL STUDIES, THE SCHOOL OF ENGINEERING AND COMPUTER SCIENCE, THE CONSERVATORY OF MUSIC, THE EBERHARDT SCHOOL OF BUSINESS, THE GLADYS L BENERD SCHOOL OF EDUCATION, AND THE THOMAS J LONG SCHOOL OF PHARMACY AND HEALTH SCIENCES THE SAN FRANCISCO CAMPUS IS HOME TO THE ARTHUR A DUGONI SCHOOL OF DENTISTRY, ONE OF THE LEADING DENTAL SCHOOLS IN THE NATION THE SACRAMENTO CAMPUS IS HOME TO THE MCGEORGE SCHOOL OF LAW, ONE OF THE LARGEST LAW SCHOOLS IN CALIFORNIA

4b

(Code ) (Expenses \$ 87,046,096 including grants of \$ 60,964,721 ) (Revenue \$ )

STUDENT SERVICES, SCHOLARSHIPS AND FINANCIAL AID INCLUDES EXPENDITURES FOR ALL FORMS OF STUDENT AID AND EXPENDITURES FOR THE EDUCATION AND SUPPORT OF THE UNIVERSITY'S APPROXIMATELY 6,700 STUDENTS THE UNIVERSITY'S FINANCIAL AID PROGRAM DEMONSTRATES AN ONGOING COMMITMENT TO PUT A QUALITY EDUCATION WITHIN REACH, PROVIDING A WIDE RANGE OF SCHOLARSHIPS AND GRANTS, INCLUDING MATCHING CAL GRANTS FOR STUDENTS WHO QUALIFY THE UNIVERSITY'S FOUR-YEAR GUARANTEE PROVIDES STUDENTS ASSURANCE THEY WILL BE ABLE TO GET THE CLASSES THEY NEED TO GRADUATE ON TIME

4c

(Code ) (Expenses \$ 41,224,126 including grants of \$ ) (Revenue \$ )

RESEARCH INCLUDES EXPENDITURES FOR ACTIVITIES SPECIFICALLY DESIGNED TO PRODUCE HIGH-QUALITY RESEARCH OUTCOMES WHILE PROVIDING HANDS-ON RESEARCH TRAINING TO BOTH UNDERGRADUATE AND GRADUATE STUDENTS

(Code ) (Expenses \$ 30,064,046 including grants of \$ ) (Revenue \$ )

AUXILIARY ACTIVITIES INCLUDES SERVICES THAT SUPPORT EDUCATIONAL ACTIVITIES AND ATHLETICS ACTIVITIES, INCLUDING CAMPUS BOOKSTORES, FITNESS CENTER, DINING SERVICES, RESIDENTIAL LIFE AND HOUSING

4d

Other program services (Describe in Schedule O )















(Expenses \$ 30,064,046 including grants of \$ ) (Revenue \$ )

4e

Total program service expenses \$ 346,400,353

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> 	1	Yes
2	Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? 	2	Yes
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> . . . . .	3	No
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> 	4	Yes
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i> . . . . .	5	
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> . . . . .	6	No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> . . . .	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> 	8	Yes
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> . . . . .	9	No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> 	10	Yes
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> 	11a	Yes
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> 	11b	Yes
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>	11c	No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>	11d	No
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> 	11e	Yes
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> 	11f	Yes
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i> 	12a	Yes
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.</i>	12b	No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i> 	13	Yes
14a	Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	14a	Yes
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Part I.</i> . . . . . 	14b	Yes
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? <i>If "Yes," complete Schedule F, Part II and IV.</i> . . . .	15	No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? <i>If "Yes," complete Schedule F, Part III and IV.</i> . . . . .	16	No
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I.</i> 	17	Yes
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i> . . . . . 	18	Yes
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i> . . . . .	19	No
20a	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H.</i> . . . . .	20a	No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? <b>Note.</b> All Form 990 filers that operated one or more hospitals must attach audited financial statements . . . . .	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21		No
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .	34		No
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	35b		No
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

<b>Part V</b> <b>Statements Regarding Other IRS Filings and Tax Compliance</b>						
Check if Schedule O contains a response to any question in this Part V <input type="checkbox"/>						
		<b>Yes</b>	<b>No</b>			
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. .	<b>1a</b>	1,038			
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	<b>1b</b>	2			
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>	Yes			
<b>2a</b>	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	<b>2a</b>	5,565			
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	<b>2b</b>	Yes			
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>	Yes			
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	<b>3b</b>	Yes			
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?	<b>4a</b>	Yes			
<b>b</b>	If "Yes," enter the name of the foreign country: AU See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>				No
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>				No
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>				
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	<b>6a</b>				No
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>				
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>					
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	Yes			
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	Yes			
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>				No
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year.	<b>7d</b>				
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>				No
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>				No
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>				No
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>				No
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	<b>8</b>				
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>					
<b>a</b>	Did the organization make any taxable distributions under section 4966?	<b>9a</b>				
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>				
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter					
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12.	<b>10a</b>				
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	<b>10b</b>				
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter					
<b>a</b>	Gross income from members or shareholders.	<b>11a</b>				
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	<b>11b</b>				
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>				
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>				
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>					
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state.	<b>13a</b>				
<b>b</b>	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	<b>13b</b>				
<b>c</b>	Enter the aggregate amount of reserves on hand.	<b>13c</b>				
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>				No
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	<b>14b</b>				

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  
Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	Yes	
8b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
11b	Describe in Schedule O the process, if any, used by the organization to review the Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes	
12b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	Yes	
15b	Other officers or key employees of the organization	Yes	
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	CA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input checked="" type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request	
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization.	Kenneth M Mullen 3601 Pacific Avenue Stockton, CA 95211 (209) 946-7372

Check if Schedule O contains a response to any question in this Part VII ☒

☒ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

Form **990** (2011)



## Part VII

<b>1b</b>	<b>Sub-Total . . . . .</b>			
<b>c</b>	<b>Total from continuation sheets to Part VII, Section A . . . . .</b>			
<b>d</b>	<b>Total (add lines 1b and 1c) . . . . .</b>	7,773,426	0	1,153,457

**2** Total number of individuals (including but not limited to those listed in Item 1) who received more than \$100,000 of reportable compensation from the organization. 274

		Yes	No
3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	5	No

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
DOWNEY BRAND ATTORNEYS LLP 621 CAPITOL MALL 18TH FLOOR SACRAMENTO, CA 95814	LEGAL	1,017,862
MACQUARIE EQUIPMENT FINANCE LLC 2285 FRANKLIN ROAD BLOOMFIELD HILLS, MI 48302	FINANCIAL (LEASING)	507,538
JJD PROPS LTD DBA STOCKTON ADVENTURE LLC 1776 W MARCH LANE STOCKTON, CA 95207	PROPERTY MANAGEMENT	453,268
KPMG LLP DEPT 0922 PO BOX 120001 DALLAS, TX 753120922	ACCOUNTING	362,100
CALIFORNIA PACIFIC MEDICAL CENTER PO BOX 7999 SAN FRANCISCO, CA 941207999	MEDICAL	286,034
<b>2</b> Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization <b>70</b>		

Part VIII

Statement of Revenue

				(A)	(B)	(C)	(D)
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues . . . . .	1b				
	c	Fundraising events . . . . .	1c				
	d	Related organizations . . . .	1d				
	e	Government grants (contributions)	1e	39,435,848			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	17,057,652			
	g	Noncash contributions included in lines 1a-1f \$ 1,662,063					
	h	Total. Add lines 1a-1f . . . . .		56,493,500			
Program Service Revenue	2a	TUITION	Business Code 900099	274,782,631	274,782,631		
	b	DENTAL CLINIC	900099	14,353,418	14,353,418		
	c	AUXILIARY	541800	26,712,093	26,712,093		
	d						
	e						
	f	All other program service revenue		0	0	0	0
	g	Total. Add lines 2a-2f . . . . .		315,848,142			
	Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . .		5,908,628		
4		Income from investment of tax-exempt bond proceeds . .		0			
5		Royalties . . . . .		0			
6a		Gross rents	(i) Real 767,883	(ii) Personal			
b		Less rental expenses	57,708				
c		Rental income or (loss)	710,175	0			
d		Net rental income or (loss) . . . . .		710,175		76,953	633,222
7a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other 779,678,000			
b		Less cost or other basis and sales expenses		782,213,114			
c		Gain or (loss)	0	-2,535,114			
d		Net gain or (loss) . . . . .		-2,535,114			-2,535,114
8a		Gross income from fundraising events (not including \$ 396,687 of contributions reported on line 1c) See Part IV, line 18 . . . .	a	333,396			
b		Less direct expenses . . . .	b	659,699			
c		Net income or (loss) from fundraising events . .		-326,303			-326,303
9a		Gross income from gaming activities See Part IV, line 19 . . . .	a				
b		Less direct expenses . . . .	b				
c		Net income or (loss) from gaming activities . .		0			
10a		Gross sales of inventory, less returns and allowances . . . .	a	3,636,841			
b		Less cost of goods sold . . . .	b	2,500,322			
c		Net income or (loss) from sales of inventory . .		1,136,519	1,039,607	96,912	
		Miscellaneous Revenue	Business Code				
11a		ADVERTISING & FACILITY RENTAL	900099	636,252		636,252	
b	PARKING	812930	666,141	666,141			
c	OTHER	900099	3,878,557	3,878,557			
d	All other revenue . . . . .		0	0	0	0	
e	Total. Add lines 11a-11d . . . . .		5,180,950				
12	Total revenue. See Instructions . . . .		382,416,497	321,432,447	810,117	3,680,433	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	0			
2	Grants and other assistance to individuals in the United States See Part IV, line 22	60,964,721	60,964,721		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees . . . . .	8,926,886	6,187,742	1,563,016	1,176,128
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0			
7	Other salaries and wages	134,895,914	122,699,673	6,243,821	5,952,420
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	11,655,392	10,655,084	537,647	462,661
9	Other employee benefits . . . . .	19,564,324	17,366,753	1,217,198	980,373
10	Payroll taxes . . . . .	11,457,107	10,240,622	622,615	593,870
11	Fees for services (non-employees)				
a	Management . . . . .	0			
b	Legal . . . . .	1,633,042	1,444,285	91,234	97,523
c	Accounting . . . . .	325,807	287,997	17,667	20,143
d	Lobbying . . . . .	45,000		45,000	
e	Professional fundraising See Part IV, line 17 . . . . .	228,480			228,480
f	Investment management fees . . . . .	1,030,351	901,709	62,973	65,669
g	Other . . . . .	29,734,419	28,356,830	427,869	949,720
12	Advertising and promotion . . . . .	1,960,673	1,623,129	104,284	233,260
13	Office expenses . . . . .	29,695,365	28,063,680	264,275	1,367,410
14	Information technology . . . . .	7,079,315	6,333,348	443,751	302,216
15	Royalties . . . . .	0			
16	Occupancy . . . . .	8,494,088	7,616,288	322,984	554,816
17	Travel . . . . .	5,318,796	4,714,421	258,301	346,074
18	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0			
19	Conferences, conventions, and meetings . . . . .	0			
20	Interest . . . . .	6,846,297	6,141,821	329,176	375,300
21	Payments to affiliates . . . . .	0			
22	Depreciation, depletion, and amortization . . . . .	18,547,866	16,639,312	891,799	1,016,755
23	Insurance . . . . .	1,505,621	1,242,619	57,297	205,705
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O )				
a	HOSPITALITY STUDENT ROOM/BOARD	5,869,971	5,869,971		
b	CATERING & OTHER HOSPITALITY	3,741,421	3,034,582	112,706	594,133
c	BUILDING/GROUNDS REPAIR/MAINT	1,908,583	1,763,477	68,496	76,610
d	ATHLETIC ACTIVITIES	2,405,883	2,389,285	7,610	8,988
e					
f	All other expenses	1,927,013	1,863,004	30,079	33,930
25	Total functional expenses. Add lines 1 through 24f	375,762,335	346,400,353	13,719,798	15,642,184
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	0			

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing . . . . .			2,535,424	1	3,150,527
	2	Savings and temporary cash investments . . . . .			10,578,766	2	2,135,611
	3	Pledges and grants receivable, net . . . . .			12,685,634	3	11,922,776
	4	Accounts receivable, net . . . . .			5,324,891	4	5,288,884
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .			399,469	5	352,403
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L . . . . .				6	
	7	Notes and loans receivable, net . . . . .			30,403,423	7	33,030,768
	8	Inventories for sale or use . . . . .			4,050,040	8	4,432,397
	9	Prepaid expenses and deferred charges . . . . .			16,708,217	9	3,644,997
	10a	Land, buildings, and equipment, cost or other basis. Complete Part VI of Schedule D . . . . .	10a	526,935,665			
	b	Less: accumulated depreciation . . . . .	10b	225,817,445	255,553,893	10c	301,118,220
	11	Investments—publicly traded securities . . . . .			285,723,804	11	288,521,945
	12	Investments—other securities. See Part IV, line 11 . . . . .			42,895,453	12	44,810,020
	13	Investments—program-related. See Part IV, line 11 . . . . .			0	13	0
	14	Intangible assets . . . . .				14	
	15	Other assets. See Part IV, line 11 . . . . .			2,009,628	15	2,274,201
	16	Total assets. Add lines 1 through 15 (must equal line 34) . . . . .			668,868,642	16	700,682,749
Liabilities	17	Accounts payable and accrued expenses . . . . .			26,487,523	17	25,664,609
	18	Grants payable . . . . .			1,608,096	18	54,993
	19	Deferred revenue . . . . .			14,659,320	19	14,322,808
	20	Tax-exempt bond liabilities . . . . .			119,591,866	20	133,884,338
	21	Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .				22	
	23	Secured mortgages and notes payable to unrelated third parties . . . . .			1,964,268	23	1,334,886
	24	Unsecured notes and loans payable to unrelated third parties . . . . .				24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .			56,792,656	25	56,814,201
	26	Total liabilities. Add lines 17 through 25 . . . . .			221,103,729	26	232,075,835
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets . . . . .			232,221,180	27	245,091,276
	28	Temporarily restricted net assets . . . . .			62,020,325	28	64,709,602
	29	Permanently restricted net assets . . . . .			153,523,408	29	158,806,036
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds . . . . .				30	
	31	Paid-in or capital surplus, or land, building or equipment fund . . . . .				31	
	32	Retained earnings, endowment, accumulated income, or other funds . . . . .				32	
	33	Total net assets or fund balances . . . . .			447,764,913	33	468,606,914
	34	Total liabilities and net assets/fund balances . . . . .			668,868,642	34	700,682,749

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	382,416,497
2	Total expenses (must equal Part IX, column (A), line 25)	2	375,762,335
3	Revenue less expenses Subtract line 2 from line 1	3	6,654,162
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	447,764,913
5	Other changes in net assets or fund balances (explain in Schedule O)	5	14,187,839
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	468,606,914

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A  
(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number 94-1156266
---	--

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**

11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h  

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV ) Do not include gain or loss from the sale of capital assets						
11 Total support (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (See instructions )

12

13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))

14

15 Public Support Percentage for 2010 Schedule A, Part II, line 14

15

16a 33 1/3% support test—2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support test—2010. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

17a 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

b 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions

Part IIIPart III

Support Schedule for Organizations Described in IRC 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6 )						

Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and <b>stop here</b>						

Section C. Computation of Public Support Percentage			
15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15		
16 Public support percentage from 2010 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2011 (line 10c column (f) divided by line 13 column (f))	17		
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization			
b 33 1/3% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization			
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions			



**Part IV** **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number  94-1156266
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1

Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV

2

Political expenditures

▶ \$

3

Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1

Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$

2

Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$

3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes ☐ No

4a

Was a correction made?

☐ Yes ☐ No

b

If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$

2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities

▶ \$

3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b

▶ \$

4

Did the filing organization file Form 1120-POL for this year?

☐ Yes ☐ No

5

Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check
- ☐
- if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check
- ☐
- if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures														
e	Total exempt purpose expenditures (add lines 1c and 1d)														
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)														
h	Subtract line 1g from line 1a. If zero or less, enter -0-														
i	Subtract line 1f from line 1c. If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?		No	
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		2,355
i	Other activities? If "Yes," describe in Part IV	Yes		45,000
j	Total lines 1c through 1i			47,355
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
Description of the activities reported on Lines 1a through 1i	Schedule C, Part II-B, Line 1	ON MARCH 7, 2012, CALIFORNIA'S PRIVATE NONPROFIT COLLEGES AND UNIVERSITIES HELD A "SAVE CAL GRANTS" RALLY ON THE STEPS OF THE STATE CAPITOL IN SACRAMENTO. THE STATE HAD PROPOSED DRASTICALLY REDUCING CAL-GRANT AWARDS TO STUDENTS WHO ATTEND PRIVATE NONPROFIT SCHOOLS. PACIFIC CHARTERED A BUS TO TAKE STUDENTS, FACULTY AND STAFF TO SACRAMENTO TO PARTICIPATE IN THE RALLY. CAL GRANTS ARE NEED-BASED GRANTS FOR LOW-INCOME AND MIDDLE-INCOME STUDENTS WHO ARE CALIFORNIA RESIDENTS AND ATTEND CALIFORNIA COLLEGES AND UNIVERSITIES. THE UNIVERSITY RETAINED COUNSEL FROM CAPITOL HILL PARTNERS TO PROVIDE LOBBYING SERVICES RELATED TO FEDERAL LEGISLATION EFFECTING HIGHER EDUCATION AND UNIVERSITY OPERATIONS. CAPITOL HILL PARTNERS WAS PAID \$45,000 DURING THE YEAR FOR SERVICES RENDERED. IN ADDITION, THE UNIVERSITY IS A MEMBER OF THE ASSOCIATION OF INDEPENDENT CALIFORNIA COLLEGES AND UNIVERSITIES (AICCU), THE NATIONAL ASSOCIATION OF INDEPENDENT COLLEGES & UNIVERSITIES, THE NATIONAL ASSOCIATION OF COLLEGE AND UNIVERSITY BUSINESS OFFICERS (NACUBO) AND THE ASSOCIATION OF GOVERNING BOARDS (AGB). THESE ORGANIZATIONS LOBBY ON BEHALF OF HIGHER EDUCATION.

SCHEDULE D  
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2011

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b  
Attach to Form 990. See separate instructions.

Name of the organization  
UNIVERSITY OF THE PACIFIC

Employer identification number  
94-1156266

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	
	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit	
	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)  
☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area  
☐ Protection of natural habitat ☐ Preservation of a certified historic structure  
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

d

☐ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☒ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

1c

Beginning balance

1d

Additions during the year

1e

Distributions during the year

1f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance . . . . .	211,693,000	178,683,000	157,302,000	202,978,000
b	Contributions . . . . .	2,788,000	3,082,000	4,360,000	3,281,000
c	Investment earnings or losses . . . . .	-7,339,000	38,012,000	22,640,000	-40,141,000
d	Grants or scholarships . . . . .	7,836,000	8,084,000	5,619,000	8,816,000
e	Other expenditures for facilities and programs . . . . .	0	0		
f	Administrative expenses . . . . .	0	0		
g	End of year balance . . . . .	199,306,000	211,693,000	178,683,000	157,302,000

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 21 000 %

b

Permanent endowment ▶ 79 000 %

c

Term endowment ▶

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations . . . . .

(ii)

related organizations . . . . .

3a(i)

Yes

No

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .		6,263,174		6,263,174
b Buildings . . . . .		340,372,352	155,890,420	184,481,932
c Leasehold improvements . . . . .				0
d Equipment . . . . .		119,052,076	69,927,025	49,125,051
e Other . . . . .		61,248,063		61,248,063
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) . . . . .				301,118,220



Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A)), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A)), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements . . . . .	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments . . . . .	2a	
b	Donated services and use of facilities . . . . .	2b	
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	
3	Subtract line 2e from line 1 . . . . .	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12 ) . . . . .	5	

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements . . . . .	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities . . . . .	2a	
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	
3	Subtract line 2e from line 1 . . . . .	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18 ) . . . . .	5	

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Collections of art - description of collections	Schedule D, Part III, Line 4	THE UNIVERSITY'S HOLT-ATHERTON SPECIAL COLLECTIONS DEPARTMENT HOUSES THE UNIVERSITY LIBRARY'S NON-CIRCULATING RARE AND UNIQUE RESEARCH MATERIALS THE MISSION OF SPECIAL COLLECTIONS IS TO COLLECT, PRESERVE, AND PROVIDE ACCESS TO MANUSCRIPT COLLECTIONS, A SPECIALIZED BOOK COLLECTION, AND THE UNIVERSITY ARCHIVES FOR STUDENTS AND FACULTY OF THE UNIVERSITY OF THE PACIFIC AND THE GENERAL PUBLIC THE BRUBECK COLLECTION UNIVERSITY OF THE PACIFIC ALUMNI DAVE AND IOLA BRUBECK ESTABLISHED THE BRUBECK COLLECTION AND THE BRUBECK INSTITUTE IN CONJUNCTION WITH THEIR ALMA MATER TO PRESERVE, PROMOTE, AND PASS ON THE RICH LEGACY OF JAZZ TO FUTURE GENERATIONS THE BRUBECK COLLECTION IS A UNIQUE ACCUMULATION OF MATERIALS REPRESENTING OVER SEVENTY YEARS IN THE CREATIVE LIFE OF ONE OF JAZZ'S MOST RENOWNED PRACTITIONERS IT INCLUDES, IN ITS 350+ LINEAR FEET, A VAST ARRAY OF BUSINESS PAPERS, CORRESPONDENCE, MUSICAL SCORES, PHOTOGRAPHS, AND AUDIO AND VIDEO RECORDINGS JOHN MUIR PAPERS SINCE 1970, HOLT-ATHERTON SPECIAL COLLECTIONS HAS BEEN THE REPOSITORY FOR THE JOHN MUIR PAPERS TODAY, APPROXIMATELY 75% OF THE EXTANT PAPERS OF MUIR ARE HOUSED AT THE HOLT-ATHERTON SPECIAL COLLECTIONS THE JOHN MUIR PAPERS INCLUDE CORRESPONDENCE, JOURNALS AND SKETCHBOOKS, MANUSCRIPTS, DRAWINGS AND SKETCHES, PHOTOGRAPHS, MAPS, MEMORABILIA, SCRAPBOOKS, AND MISCELLANEOUS PAPERS WESTERN AMERICANA COLLECTIONS THE PRIMARY FOCUS OF THE MANUSCRIPT COLLECTIONS IS THE HISTORY OF CALIFORNIA, EMPHASIZING STOCKTON AND THE NORTHERN SAN JOAQUIN VALLEY MORE THAN 400 COLLECTIONS OF UNIQUE MATERIALS SUPPORT RESEARCH IN A WIDE VARIETY OF DISCIPLINES LETTERS, PHOTOGRAPHS, DIARIES, BOOKS, MAPS, BUSINESS RECORDS, AND OTHER ARCHIVAL RECORDS DOCUMENT TOPICS SUCH AS THE GOLD RUSH AND MINING INDUSTRY, PIONEER EXPERIENCES, OVERLAND JOURNEYS, AGRICULTURE, EDUCATION, BUSINESS, CRIME, RELIGION, LITERATURE, TRANSPORTATION, POLITICS, WATER DEVELOPMENT, THEATER, AND WOMEN'S AND ETHNIC HISTORY FROM THE MID-NINETEENTH THROUGH THE TWENTIETH CENTURY THE DIGITAL COLLECTION OVER 50,000 IMAGES IN THE HOLT-ATHERTON SPECIAL COLLECTIONS DIGITAL COLLECTION PICTORIALY DOCUMENTS THE HISTORY OF THE GREAT CENTRAL VALLEY AND SAN JOAQUIN COUNTY, AS WELL AS THE MOTHER LODGE REGION, THE SAN FRANCISCO BAY AREA, CALIFORNIA IN GENERAL, AND THE AMERICAN CIVIL WAR THE PHOTOGRAPHS COVER THE PERIOD FROM THE CIVIL WAR TO THE PRESENT THE JANET LEIGH COLLECTION THE JANET LEIGH COLLECTION IS HELD BY THE THEATER ARTS DEPARTMENT AND INCLUDES HISTORIC PHOTOGRAPHS AND MEMORABILIA FROM ACTRESS JANET LEIGH'S LIFE THE COLLECTION INCLUDES PHOTOGRAPHS OF MANY POLITICAL AND ENTERTAINMENT FIGURES DATING BACK TO 1950, LOBBY CARDS, POSTERS, AND OTHER MEMORABILIA FROM JANET LEIGH'S FILMS, AND NUMEROUS AWARDS INCLUDING HER 1961 GOLDEN GLOBE FOR "BEST SUPPORTING ACTRESS" IN THE FILM PSYCHO
Intended uses of endowment funds	Schedule D, Part V, Line 4	THE UNIVERSITY'S ENDOWMENT FUNDS INCLUDE QUASI-ENDOWED FUNDS ESTABLISHED BY THE BOARD OF REGENTS AND PERMANENTLY RESTRICTED ENDOWMENT FUNDS ESTABLISHED BY DONORS ENDOWED FUNDS ARE INVESTED IN PERPETUITY IN ACCORDANCE WITH THE UNIVERSITY'S INVESTMENT AND SPENDING POLICIES QUASI-ENDOWED FUNDS ARE RESTRICTED TO VARIOUS USES AS APPROVED BY THE BOARD OF REGENTS DONOR-RESTRICTED FUNDS INCLUDE FUNDS INVESTED FOR PURPOSES OF FUNDING STUDENT SCHOLARSHIPS, INVESTMENT IN PLANT, AND PROGRAM SUPPORT
FIN 48 (ASC 740) footnote	Schedule D, Part X, Line 2	THE UNIVERSITY FOLLOWS FINANCIAL ACCOUNTING STANDARDS BOARD INTERPRETATION (FASB) ASC SUBTOPIC 740-10, INCOME TAXES - OVERALL (FORMERLY KNOWN AS FASB INTERPRETATION NO 48, ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, AN INTERPRETATION OF FASB STATEMENT NO 109), AND ESTABLISHES FOR ALL ENTITIES, INCLUDING PASS-THROUGH ENTITIES, A MINIMUM THRESHOLD FOR FINANCIAL STATEMENT RECOGNITION OF THE BENEFIT OF POSITIONS TAKEN IN FILING TAX RETURNS (INCLUDING WHETHER AN ENTITY IS TAXABLE IN A PARTICULAR JURISDICTION) AND REQUIRES CERTAIN EXPANDED TAX DISCLOSURES NO SUCH UNCERTAIN TAX POSITIONS EXIST FOR THE UNIVERSITY AS OF JUNE 30, 2012



SCHEDULE E  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,  
or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization  
UNIVERSITY OF THE PACIFIC

Employer identification number  
94-1156266

Part I		YES	NO
<div>1</div> <div>Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?</div>	1	Yes	
	2	Yes	
	3	Yes	
	4a	Yes	
<div>2</div> <div>Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?</div>	4b	Yes	
	4c	Yes	
	4d	Yes	
	5a		No
<div>3</div> <div>Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II</div>	5b		No
	5c		No
	5d		No
	5e		No
<div>4</div> <div>Does the organization maintain the following?</div> <div>a Records indicating the racial composition of the student body, faculty, and administrative staff?</div> <div>b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?</div> <div>c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?</div> <div>d Copies of all material used by the organization or on its behalf to solicit contributions?</div> <div>If you answered "No" to any of the above, please explain If you need more space, use Part II</div>	5f		No
	5g		No
	5h		No
	6a	Yes	
<div>5</div> <div>Does the organization discriminate by race in any way with respect to</div> <div>a Students' rights or privileges?</div> <div>b Admissions policies?</div> <div>c Employment of faculty or administrative staff?</div> <div>d Scholarships or other financial assistance?</div> <div>e Educational policies?</div> <div>f Use of facilities?</div> <div>g Athletic programs?</div> <div>h Other extracurricular activities?</div> <div>If you answered "Yes" to any of the above, please explain If you need more space, use Part II</div>	6b		No
	7	Yes	

**Part III**   **Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
Financial aid or assistance from a governmental agency	Schedule E, Line 6a	DURING THE TAX YEAR ENDING ON 06/30/2012, THE UNIVERSITY RECEIVED SUPPORT FROM VARIOUS FEDERAL AND STATE GOVERNMENTAL AGENCIES TOTALING \$39,435,848

SCHEDULE F  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.  
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization  
UNIVERSITY OF THE PACIFIC

Employer identification number  
94-1156266

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1

For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No
- 2

For grantmakers. Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States
- 3

Activites per Region (Use Part V if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region or independent contractors	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region/investments in region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	STUDY ABROAD	67,369
EUROPE (INCLUDING ICELAND AND GREENLAND)	0	0	PROGRAM SERVICES	CONFERENCES	11,952
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	STUDY ABROAD	51,405
SUB SAHARAN AFRICA	0	0	PROGRAM SERVICES	STUDY ABROAD	15,930
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENTS		20,743,833
SOUTH ASIA	0	0	PROGRAM SERVICES	RESEARCH	1,399
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	DENTAL EQUIPMENT	1,750
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	RESEARCH	250,705
EUROPE (INCLUDING ICELAND AND GREENLAND)	0	0	PROGRAM SERVICES	MARKETING	26,910
EUROPE (INCLUDING ICELAND AND GREENLAND)	0	0	PROGRAM SERVICES	RESEARCH	16,666
EUROPE (INCLUDING ICELAND AND GREENLAND)	1	1	PROGRAM SERVICES	STUDY ABROAD	242,480
SUB SAHARAN AFRICA	0	0	PROGRAM SERVICES	CONFERENCE	2,693
SUB SAHARAN AFRICA	0	0	PROGRAM SERVICES	RECOGNITION AWARD	500
SUB SAHARAN AFRICA	0	0	PROGRAM SERVICES	HONORARIUM	1,400
3a Sub-total					
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	1	1			21,434,992

**1**

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Part V if additional space is needed.

[illegible]

**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐ Yes ☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☐ Yes ☒ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☐ Yes ☒ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☐ Yes ☒ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☐ Yes ☒ No

**Part V**   **Supplemental Information**

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Identifier	Return Reference	Explanation
Procedures for monitoring use of grant funds	Schedule F, Part I, Line 2	UNIVERSITY OF THE PACIFIC'S CAMPUSES ARE LOCATED IN THE MAJOR PORT CITY OF STOCKTON, THE CALIFORNIA CAPITAL OF SACRAMENTO, AND THE WORLD CULTURAL AND BUSINESS CENTER OF SAN FRANCISCO, GIVING THE UNIVERSITY UNIQUE OPPORTUNITIES TO IMPLEMENT PROGRAMS AROUND THE PACIFIC RIM AND ELSEWHERE. THE UNIVERSITY'S STRATEGIC INTERNATIONAL PARTNERSHIPS SERVE THREE PURPOSES: TO PROVIDE EXPERIENTIAL LEARNING OPPORTUNITIES FOR ITS STUDENTS, TO PROVIDE PUBLIC SERVICE IN DEVELOPING MARKETS AND REGIONS, AND TO PROVIDE INTENSIVE ACADEMIC EXPERIENCES WHERE APPROPRIATE IN FIELDS SUCH AS INTERNATIONAL LAW. THE UNIVERSITY ENCOURAGES STUDY ABROAD FOR ITS OWN STUDENTS, AND OFFERS INTENSIVE UNDERGRADUATE AND PROFESSIONAL PROGRAMS FOR INTERNATIONAL STUDENTS ON ALL THREE OF ITS CAMPUSES. ALL INTERNATIONAL PROGRAMS AND RELATED FINANCIAL RESOURCES ARE MONITORED CLOSELY BY PROGRAM DIRECTORS AND UNIVERSITY LEADERSHIP, AND REPORTED TO THE CONTROLLER'S OFFICE. GOVERNMENT FUNDED GRANTS ARE CLOSELY MONITORED BY THE OFFICE OF SPONSORED PROGRAMS AND GRADUATES STUDIES, AND THE CONTROLLER'S OFFICE. ALSO, FEDERALLY FUNDED AWARDS ARE INCORPORATED INTO THE UNIVERSITY'S ANNUAL AUDIT IN COMPLIANCE WITH THE PROVISIONS OF U.S. OMB CIRCULAR A-133.

Identifier	Return Reference	Explanation
GENERAL INFORMATION ON ACTIVITIES OUTSIDE THE UNITED STATES	SCHEDULE F, PART I, LINE 3 (F)	THE AMOUNTS LISTED IN COLUMN (F) FOR PACIFIC'S INVESTMENTS IN EUROPE AND CENTRAL AMERICAN/CARIBBEAN REFER TO THE FAIR MARKET VALUE OF INVESTMENTS FOR THAT PARTICULAR REGION, NOT SOLELY THE EXPENDITURES FOR THE 2011 TAX YEAR, AS REQUIRED BY THE IRS



Identifier	Return Reference	Explanation
Method used to account for expenditures on organization's financial statements	Schedule F, Part I, Line 3	EAST ASIA AND THE PACIFIC ACCRUAL EUROPE (INCLUDING ICELAND AND GREENLAND) ACCRUAL CENTRAL AMERICA AND THE CARIBBEAN ACCRUAL SUB SAHARAN AFRICA ACCRUAL SOUTH ASIA ACCRUAL EAST ASIA AND THE PACIFIC ACCRUAL EAST ASIA AND THE PACIFIC ACCRUAL EUROPE (INCLUDING ICELAND AND GREENLAND) ACCRUAL EUROPE (INCLUDING ICELAND AND GREENLAND) ACCRUAL EUROPE (INCLUDING ICELAND AND GREENLAND) ACCRUAL SUB SAHARAN AFRICA ACCRUAL SUB SAHARAN AFRICA ACCRUAL SUB SAHARAN AFRICA ACCRUAL

SCHEDULE G  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,  
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number  94-1156266
---	--

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

b

☒

Internet and e-mail solicitations

c

☒

Phone solicitations

d

☒

In-person solicitations

e

☒

Solicitation of non-government grants

f

☒

Solicitation of government grants

g

☒

Special fundraising events
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes

☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
RUFFALO CODY LLC PO BOX 3018  CEDAR RAPIDS, IA 52406	ALUMNI FUNDRAISING		No	357,061	163,825	193,236
HARRIS CONNECT LLC 1511 ROUTE 22 STE C-25  BREWSTER, NY 10509	ALUMNI FUNDRAISING		No	105,020	64,655	40,365
Total . . . . . ▶				462,081	228,480	233,601

- 3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

AK, AZ, CA, CO, HI, OR, UT, WA

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events	
			<u>VINO DE MAYO</u>	<u>ORANGE AND BLACK</u>	<u>8</u>	(Add col (a) through	
			(event type)	BALL	(total number)	col (c))	
				(event type)			
1	Gross receipts	. . .	203,257	168,574	358,252	730,083	
2	Less Charitable contributions	. . .	102,937	52,261	241,489	396,687	
3	Gross income (line 1 minus line 2)	. . .	100,320	116,313	116,763	333,396	
Direct Expenses	4	Cash prizes	. . .	0	1,000	450	1,450
	5	Non-cash prizes	. .	130,125	80,739	28,471	239,335
	6	Rent/facility costs	. .	54,378	0	56,181	110,559
	7	Food and beverages	. .	0	0	0	0
	8	Entertainment	. . .	0	0	0	0
	9	Other direct expenses	.	30,885	180,131	97,339	308,355
	10	Direct expense summary Add lines 4 through 9 in column (d). . . . . ▶					( 659,699 )
	11	Net income summary Combine lines 3 and 10 in column (d). . . . . ▶					-326,303

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col (a) through col (c))
	1 Gross revenue . . . . .				
Direct Expenses	2 Cash prizes . . . . .				
	3 Non-cash prizes . . . . .				
	4 Rent/facility costs . . . . .				
	5 Other direct expenses . . . . .				
	6 Volunteer labor . . . . .	<input type="checkbox"/> Yes ..... <input type="checkbox"/> No	<input type="checkbox"/> Yes ..... <input type="checkbox"/> No	<input type="checkbox"/> Yes ..... <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶				( )
	8 Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶				

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," Explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," Explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11

Does the organization operate gaming activities with nonmembers?

Yes

No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

Yes

No

13

Indicate the percentage of gaming activity operated in

<div>a</div> The organization's facility	<div>13a</div>	
<div>b</div> An outside facility	<div>13b</div>	

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

Yes

No

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount of gaming revenue retained by the third party ▶ \$

c

If "Yes," enter name and address

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

Director/officer

Employee

Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

Yes

No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV

Complete this part to provide additional information for responses to quuestion on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
DISTINGUISHING PAYMENTS FOR PROFESSIONAL FUNDRAISING SERVICES	PART I, LINE 2A	RUFFALO CODY LLC PROVIDES MANAGEMENT SERVICES FOR AN ON-CAMPUS PHONATHON PROGRAM AND A MAIL SOLICITATION PROGRAM RUFFALO CODY CHARGES A FLAT PROFESSIONAL FEE AND IS NOT COMPENSATED BASED ON THE AMOUNT OF FUNDS RAISED OR ON A PERCENTAGE BASIS RUFFALO CODY DOES NOT SOLICIT ON BEHALF OF THE UNIVERSITY HARRIS CONNECT LLC (FORMERLY IDC, LTD ) IS A REGISTERED COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES IN THE STATE OF CALIFORNIA AND PROVIDES ALUMNI FUNDRAISING SERVICES TO THE UNIVERSITY FINANCIAL REPORTS AND HARRIS CONNECT ACTIVITIES CONDUCTED ON BEHALF OF THE UNIVERSITY ARE FILED ANNUALLY WITH THE CALIFORNIA STATE ATTORNEY GENERAL'S OFFICE IN ACCORDANCE WITH CHARITABLE SOLICITATION REGULATIONS

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
UNIVERSITY OF THE PACIFIC

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public  
Inspection

Employer identification number  
94-1156266

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) DONOR SPONSORED FINANCIAL AID	211	3,324,176	0	N/A	N/A
(2) UNIVERSITY SPONSORED FINANCIAL AID	3656	57,640,545	0	N/A	N/A

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Procedures for monitoring use of grant funds	Schedule I, Part I, Line 2	FEDERAL AND STATE PROGRAMS ARE ADMINISTERED ACCORDING TO THE LAWS, RULES, STATUTES, AND REGULATIONS AS ISSUED BY THE STATE OF CALIFORNIA AND THE U S DEPARTMENT OF EDUCATION AS WELL AS THE U S DEPARTMENT OF HEALTH AND HUMAN SERVICES UNIVERSITY SCHOLARSHIPS AND GRANTS ARE ADMINISTERED ACCORDING TO THE POLICIES AND PROCEDURES DEVELOPED AND IMPLEMENTED BY THE FINANCIAL AID OFFICE IN SUPPORT OF THE UNIVERSITY'S STRATEGIC ENROLLMENT PLAN THE FINANCIAL AID OFFICE USES THE FREE APPLICATION FOR FEDERAL STUDENT AID (FAFSA) AS WELL AS A NUMBER OF SUPPORTING DOCUMENTS OF VERIFICATION TO DETERMINE A STUDENT'S ELIGIBILITY FOR ALL NEED BASED PROGRAMS IN ADDITION, STUDENTS ARE REVIEWED BASED ON ACADEMIC QUALIFICATIONS AND SPECIAL TALENTS FOR MERIT BASED PROGRAMS SUCH AS MUSIC, ATHLETICS, REGENTS SCHOLARSHIPS AND SIMILAR PROGRAMS POLICIES AND PROCEDURES ENSURE THAT THE DETERMINATION OF AID ELIGIBILITY AND THE SUBSEQUENT DELIVERY OF AID FROM ANY AND ALL PROGRAMS ARE DONE IN A FAIR AND EQUITABLE MANNER AND IN ACCORDANCE WITH THE RULES AND REGULATIONS THAT GOVERN THE INDIVIDUAL PROGRAMS

Schedule J  
(Form 990)

Compensation Information

OMB No 1545-0047

2011

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization  
UNIVERSITY OF THE PACIFIC

Employer identification number  
94-1156266

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div></div>		
	<div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div></div>		
	<div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div></div>		
	<div><div><input type="checkbox"/> Discretionary spending account</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Written employment contract</div></div>		
	<div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div></div>		
	<div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment?	4a	Yes
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	No
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53.4958-4(a)(3)? If "Yes," describe in Part III	8	No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9	

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								



**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
First-class or charter travel	Schedule J, Part I, Line 1a	THE UNIVERSITY DOES NOT PAY THE COST OF FIRST CLASS TRAVEL. WHILE INDIVIDUALS MAY CHOOSE TO TRAVEL FIRST CLASS, THE UNIVERSITY WILL PAY UP TO THE COST OF COACH OR ECONOMY CLASS TRAVEL. ANY EXCEPTIONS TO THIS POLICY REQUIRE THE WRITTEN APPROVAL OF THE PRESIDENT OR VICE PRESIDENT FOR BUSINESS & FINANCE, OBTAINED IN ADVANCE OF TRAVEL. EMPLOYEES ARE PERMITTED TO USE PERSONAL AIRLINE MILES, "POINTS," OR OTHER FOR UPGRADES, HOWEVER, THE UNIVERSITY WILL NOT REIMBURSE EMPLOYEES FOR THE VALUE OF THESE UPGRADES.
Travel for companions	Schedule J, Part I, Line 1a	COMPANION TRAVEL IS THE FINANCIAL RESPONSIBILITY OF THE TRAVELER EXCEPT IN CASES WHERE THE PRESENCE OF THE COMPANION IS REQUIRED FOR UNIVERSITY BUSINESS REASONS.
Tax indemnification and gross-up payments	Schedule J, Part I, Line 1a	PRESIDENT EMERITUS DEROSA RECEIVED COMPENSATION TO COVER THE TAXES ASSOCIATED WITH THE RENTAL VALUE OF THE UNIVERSITY OWNED APARTMENT LOCATED IN SAN FRANCISCO WHICH WAS PROVIDED TO PRESIDENT EMERITUS DEROSA FOR THE CONVENIENCE OF THE UNIVERSITY.
Housing allowance or residence for personal use	Schedule J, Part I, Line 1a	THE UNIVERSITY HAS THREE CAMPUSES, LOCATED IN STOCKTON, SACRAMENTO AND SAN FRANCISCO. AT THE MAIN CAMPUS IN STOCKTON AND THE SACRAMENTO CAMPUS, ON-CAMPUS HOUSING IS PROVIDED TO THE PRESIDENT AND DEAN, RESPECTIVELY. FOR STOCKTON AND SACRAMENTO, THE HOUSING QUALIFIES FOR EXCLUSION FROM EMPLOYEE TAXABLE INCOME. AT THE SAN FRANCISCO CAMPUS, HOUSING WAS PROVIDED FOR THE DEAN AT A UNIVERSITY OWNED APARTMENT A SHORT DISTANCE FROM THE CAMPUS, BUT NOT ON THE UNIVERSITY'S BUSINESS PREMISES. THE ESTIMATED MARKET VALUE OF THE APARTMENT, INCLUDING FURNISHINGS AND HOUSEKEEPING, WAS \$4,000 PER MONTH, AND THROUGH OCTOBER, THIS AMOUNT WAS PAID TO THE DEAN IN THE FORM OF A HOUSING ALLOWANCE, WHICH IS FULLY TAXABLE AND INCLUDED IN HIS "OTHER REPORTABLE COMPENSATION" COLUMN OF SCHEDULE J. IN NOVEMBER, THE DEAN STARTED RECEIVING A HOUSING ALLOWANCE PAYMENT IN THE AMOUNT OF \$6,200 PER MONTH, WHICH IS TREATED AS TAXABLE COMPENSATION. AS PART OF PRESIDENT EMERITUS DEROSA'S POST-PRESIDENTIAL DUTIES FOR THE UNIVERSITY, HE IS PROVIDED HOUSING IN SAN FRANCISCO AT A UNIVERSITY OWNED APARTMENT. THE ESTIMATED MARKET VALUE OF THE APARTMENT, INCLUDING FURNISHINGS AND GENERAL MAINTENANCE, IS \$6,200 PER MONTH, AND THIS AMOUNT WAS PAID TO DEROSA IN THE FORM OF A HOUSING ALLOWANCE, WHICH IS FULLY TAXABLE AND INCLUDED IN HIS "OTHER REPORTABLE COMPENSATION" COLUMN OF SCHEDULE J. A HOUSING ALLOWANCE IN THE AMOUNT OF \$3,000 PER MONTH IS PROVIDED TO THE VICE PRESIDENT FOR EXTERNAL RELATIONS AND IS TREATED AS TAXABLE COMPENSATION. A HOUSING ALLOWANCE OF \$12,645 WAS PROVIDED TO THE ASSOCIATE VP, CIO, FOR THREE MONTHS OF TEMPORARY HOUSING UPON HIS ARRIVAL TO THE UNIVERSITY, AND WAS TREATED AS TAXABLE COMPENSATION.
Health or social club dues or initiation fees	Schedule J, Part I, Line 1a	SOME UNIVERSITY OFFICERS AND KEY EMPLOYEES HAVE UNIVERSITY PAID MEMBERSHIPS IN SOCIAL, GOLF AND COUNTRY CLUBS TO FACILITATE BUSINESS PURPOSES, INCLUDING DONOR CULTIVATION, NETWORKING AND UNIVERSITY MEETINGS. ANY PERSONAL USE OF SUCH CLUBS IS TAXABLE TO THE EMPLOYEES AND REPORTED AS A PORTION OF THE "OTHER REPORTABLE COMPENSATION" ON SCHEDULE J PART II COLUMN B(III).
Personal services	Schedule J, Part I, Line 1a	THE "OTHER REPORTABLE COMPENSATION" FOR PRESIDENT EIBECK INCLUDES \$9,906 FOR THE VALUE OF ALL HOUSEKEEPING SERVICES PROVIDED BY THE UNIVERSITY AT HER ON-CAMPUS RESIDENCE. HOUSEKEEPING SERVICES FOR THE SACRAMENTO CAMPUS HOME IS PERSONALLY PAID BY THE DEAN.
METHODS USED TO ESTABLISH COMPENSATION FOR THE PRESIDENT	SCHEDULE J, PART I, LINE 3	PLEASE SEE SCHEDULE O FOR THE RESPONSE TO FORM 990, PART VI, SECTION B, LINE 15B.
Severance or change-of-control payment	Schedule J, Part I, Line 4a	IN ANTICIPATION OF THE RETIREMENT OF PRESIDENT EMERITUS DEROSA ON JUNE 30, 2009, THE UNIVERSITY SOUGHT TO ENSURE A SMOOTH TRANSITION TO NEW LEADERSHIP BY RETAINING OFFICERS AND KEY STAFF THROUGHOUT THE YEAR FOLLOWING THE PRESIDENT'S RETIREMENT. FINAL DEFERRED COMPENSATION BENEFIT FOR PHIL GILBERTSON, PROVOST EMERITUS, \$142,000 WAS VESTED IN 2011 AND WAS SUBJECT TO A SUBSTANTIAL RISK OF FORFEITURE. LYNN KING RECEIVED A \$100,000 PAYMENT DUE TO HIS CHANGE IN SERVICES PROVIDED TO THE UNIVERSITY.

Schedule K (Form 990)	Supplemental Information on Tax Exempt Bonds ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990). ▶ Attach to Form 990. ▶ See separate instructions.										OMB No 1545-0047	
											2011	
	Department of the Treasury Internal Revenue Service Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number 94-1156266										

Part I

Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130175R46	08-12-2004	11,703,853	SEE PART VI		X		X		X
B	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130178DT0	06-01-2006	77,180,000	SEE PART VI		X		X		X
C	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130178TA4	05-28-2009	14,934,717	SEE PART VI		X		X		X
D	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130178J80	01-26-2012	37,987,510	SEE PART VI		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	0		0		0		0	
2	Amount of bonds defeased	0		0		0		0	
3	Total proceeds of issue	11,997,251		78,477,762		14,966,752		37,999,912	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		204,276	
6	Proceeds in refunding escrow	0		53,529,861		0		19,974,981	
7	Issuance costs from proceeds	234,077		1,070,356		298,693		759,750	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	11,763,174		25,297,563		14,668,059		15,500,000	
11	Other spent proceeds	0		0		0		0	
12	Other unspent proceeds	0		0		0		1,560,905	
13	Year of substantial completion	2008		2008		2010		2012	
14	Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X	X	
15	Were the bonds issued as part of an advance refunding issue?		X	X			X		X
16	Has the final allocation of proceeds been made?	X		X		X			X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

				A		B		C		D	
				Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?				X		X		X		X

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?	X		X			X		X
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X					
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	1 45 %		0 %		1 26 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 42 %		0 %		0 %		0 %	
6	Total of lines 4 and 5	1 87 %		0 %		1 26 %		0 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X	X			X		X
2	Is the bond issue a variable rate issue?		X		X		X		X
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge	0 0				0 0		0 0	
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X		X		X
b	Name of provider								
c	Term of GIC			0 0				0 0	
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?		X		X		X		X
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?		X		X		X		X

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations . . . . . ☐ Yes ☒ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION	PART I, COLUMN (F)	PART I, COLUMN (F) - DESCRIPTION OF PURPOSE SERIES 2004 (ISSUE DATE AUGUST 12, 2004) RENOVATION OF STUDENT RESIDENTIAL FACILITIES, FIRE SAFETY UPGRADES SERIES 2006 (ISSUE DATE JUNE 1, 2006) ADVANCE REFUND OF SERIES 2000 AND 2002 BOND ISSUANCES, CONSTRUCTION OF DONALD V DEROSA AND KAREN M DEROSA UNIVERSITY CENTER, CONSTRUCTION OF BIOLOGICAL SCIENCES CENTER, CONSTRUCTION AND EQUIPPING OF OTHER FACILITY ADDITIONS AND RENOVATION, CONSOLIDATION AND EXPANSION OF LAW LIBRARY THE REFUNDED SERIES 2000 BOND WAS ISSUED ON JUNE 6, 2000 THE REFUNDED SERIES 2002 BOND WAS ISSUED ON MAY 8, 2002 SERIES 2009 (ISSUE DATE MAY 28, 2009) CONSTRUCTION OF JOHN T CHAMBERS ENGINEERING TECHNOLOGY CENTER, CONSTRUCTION OF JANSSEN-LAGORIO GYMNASIUM, RELOCATION OF DATA CENTER, UPGRADE OF INFRASTRUCTURE IMPROVEMENTS SERIES 2012A (ISSUE DATE JANUARY 26, 2012) TO REFUND ALL OF THE SERIES 1998 AND 2000 BOND ISSUANCES, FINANCE THE COST OF THE ACQUISITION, CONSTRUCTION, EXPANSION, REPLACEMENT, RENOVATION, IMPROVEMENT AND/OR EQUIPPING OF CERTAIN EDUCATIONAL FACILITIES OF PACIFIC, FUND CAPITALIZED INTEREST ON A PORTION OF THE BONDS, AND PAY CERTAIN COST IN CONNECTION WITH THE ISSUANCE OF THE BONDS
SUPPLEMENTAL INFORMATION	PART II, LINE 3	PART II, LINE 3, COLUMNS A-C - TOTAL PROCEEDS OF ISSUE PROCEEDS ARE NOT IDENTICAL TO THE ISSUE PRICE LISTED IN PART I COLUMN (E), THE DIFFERENCE IS DUE TO INVESTMENT EARNINGS BEING INCLUDED IN THE "TOTAL PROCEEDS OF ISSUE" AMOUNTS
PROCEDURES TO UNDERTAKE CORRECTIVE ACTION	PART V	AS NOTED IN SCHEDULE K, PART III, LINE 7, THE UNIVERSITY HAS ADOPTED MANAGEMENT PRACTICES AND PROCEDURES TO ENSURE POST-ISSUANCE COMPLIANCE OF ITS TAX-EXEMPT BOND LIABILITIES THE UNIVERSITY'S WRITTEN PROCEDURES ARE IN THE PROCESS OF BEING UPDATED TO ENSURE THAT ANY VIOLATIONS OF FEDERAL TAX REQUIREMENTS ARE TIMELY IDENTIFIED AND CORRECTED THROUGH THE VOLUNTARY CLOSING AGREEMENT PROGRAM IF SELF-REMEDIATION IS NOT AVAILABLE UNDER APPLICABLE REGULATIONS

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization  
UNIVERSITY OF THE PACIFIC

Employer identification number  
94-1156266

Part I Bond Issues											
(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds defeased								
3	Total proceeds of issue								
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrow								
7	Issuance costs from proceeds								
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds								
11	Other spent proceeds								
12	Other unspent proceeds								
13	Year of substantial completion								
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?								
15	Were the bonds issued as part of an advance refunding issue?								
16	Has the final allocation of proceeds been made?								
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?								

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?								
2	Are there any lease arrangements that may result in private business use of bond-financed property?								

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?								
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?								
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government								
6	Total of lines 4 and 5								
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?								

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?								
2	Is the bond issue a variable rate issue?								
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?								
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?								
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?								
6	Did the bond issue qualify for an exception to rebate?								

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations . . . . . ☐ Yes ☒ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
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Schedule L  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered  
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,  
or Form 990-EZ, Part V lines 38a or 40b.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number  94-1156266
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Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$ \_\_\_\_\_

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c)Original principal amount	(d)Balance due	(e) In default?		(f) Approved by board or committee?		(g)Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
(1) MARIA PALLAVICINI HOME LOAN		X	400,000	352,403		No	Yes		Yes	
Total . . . . . ▶ \$ 352,403										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b)Relationship between interested person and the organization	(c)Amount of grant or type of assistance
(1) TUITION ASSISTANCE	N/A	32,194

Part IVBusiness Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) JOSE HERNANDEZ	BOARD MEMBER	21,961	SEE SUPPLEMENTAL INFORMATION		No
(2) ELIZABETH RINDSKOPF-PARKER	KEY EMPLOYEE	50,763	SEE SUPPLEMENTAL INFORMATION		No
(3) CYNTHIA WEICK	KEY EMPLOYEE	114,396	SEE SUPPLEMENTAL INFORMATION		No
(4) DOUGLASS EBERHARDT	BOARD MEMBER	261,102,782	SEE SUPPLEMENTAL INFORMATION		No
(5) RANDY HAYASHI	BOARD MEMBER	14,009	SEE SUPPLEMENTAL INFORMATION		No
(6) DONALD DEROSA	FORMER OFFICER	12,000	SEE SUPPLEMENTAL INFORMATION		No

Part VSupplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
LOANS TO AND/OR FROM INTERESTED PERSONS	SCHEDULE L, PART II	THE HOME LOAN PROVIDED TO THE PROVOST IS FOR A TERM OF 30 YEARS WITH A THEN MARKET INTEREST RATE OF 4% THE AMOUNT SHOWN IN COLUMN (D) IS THE BALANCE DUE AT THE END OF THE UNIVERSITY'S TAX YEAR
GRANTS OR ASSISTANCE BENEFITING INTERESTED PERSONS	SCHEDULE L, PART III	PER THE 990 INSTRUCTIONS, THE UNIVERSITY IS NOT REQUIRED TO IDENTIFY THE INTERESTED PERSONS WHO RECEIVED SCHOLARSHIPS, FELLOWSHIPS, AND SIMILAR FINANCIAL ASSISTANCE INSTEAD, THE UNIVERSITY MUST GROUP EACH TYPE OF ASSISTANCE PROVIDED TO INTERESTED PERSONS THIS IS DONE IN ORDER TO PROTECT THE IDENTITY OF THE STUDENTS
BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS	SCHEDULE L, PART IV	DOUGLASS EBERHARDT, A BOARD MEMBER, IS AN OFFICER AT A FINANCIAL INSTITUTION WHICH PROVIDES PACIFIC'S GENERAL BANKING SERVICES IN ADDITION, THE BANK'S TRUST DEPARTMENT IS AN INVESTMENT CUSTODIAN THE AMOUNT SHOWN REPRESENTS THE CASH IN BANK AND INVESTMENT ACCOUNTS AS OF YEAR-END DONALD DEROSA, PRESIDENT EMERITUS, A FORMER OFFICER, IS RELATED TO KAREN DEROSA, HIS WIFE, WHO IS ALSO AN EMPLOYEE AT THE UNIVERSITY THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2011 FOR KAREN DEROSA CYNTHIA WEICK, DIRECTOR OF POWELL SCHOLAR PROGRAM, A KEY EMPLOYEE, IS RELATED TO BRIAN WEICK, HER HUSBAND, WHO IS ALSO AN EMPLOYEE AT THE UNIVERSITY'S MAIN CAMPUS IN STOCKTON BRIAN WEICK REPORTS DIRECTLY TO THE DEAN OF ENGINEERING THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2011 FOR BRIAN WEICK ELIZABETH RINDSKOPF-PARKER, DEAN OF THE PACIFIC MCGEORGE SCHOOL OF LAW, A KEY EMPLOYEE, IS RELATED TO ROBERT PARKER, HER HUSBAND, WHO IS ALSO AN EMPLOYEE AT MCGEORGE ROBERT PARKER REPORTS DIRECTLY TO THE PROVOST THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2011 FOR ROBERT PARKER JOSE HERNANDEZ, A BOARD MEMBER, IS RELATED TO LETICIA CORONA, HIS SISTER, WHO IS AN EMPLOYEE AT THE UNIVERSITY'S MAIN CAMPUS IN STOCKTON THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2011 FOR LETICIA CORONA RANDY HAYASHI, A BOARD MEMBER, IS RELATED TO NINA HAYASHI, HIS WIFE, WHO WAS PAID AS AN INDEPENDENT CONTRACTOR FOR INTERIOR DESIGN SERVICES PROVIDED TO THE UNIVERSITY THE AMOUNT SHOW REPRESENTS THE IRS FORM 1099 REPORTABLE AMOUNT FOR THE UNIVERSITY'S 2011 FISCAL YEAR

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

NonCash Contributions

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization  
UNIVERSITY OF THE PACIFIC

Employer identification number  
94-1156266

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining contribution amounts
1 Art—Works of art . . . . .	X	6	27,800	MARKET VALUE
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .	X		1,124	MARKET VALUE
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	19	1,098,628	MARKET VALUE
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .	X	3	63,000	MARKET VALUE
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .	X	11	5,000	MARKET VALUE
19 Food inventory . . . . .	X	3	8,639	MARKET VALUE
20 Drugs and medical supplies . . . . .	X	9	431,823	MARKET VALUE
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
GUITARS, CATERING AND SCREEN RENTAL				
25 Other ► ( COSTS ) . . . . .	X	2	26,049	MARKET VALUE
26 Other ► ( ) . . . . .				
27 Other ► ( ) . . . . .				
28 Other ► ( ) . . . . .				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .

290

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

30a

Yes

No

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? . . . . .

31

Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? . . . . .

32a

No

b If "Yes," describe in Part II

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II



Part III

**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Number of contributions or items contributed	Schedule M, part I, column (b), Line 9	
Number of contributions or items contributed	Schedule M, part I, column (b), Line 20	
Number of contributions or items contributed	Schedule M, part I, column (b), Line 15	
Number of contributions or items contributed	Schedule M, part I, column (b), Line 1	
Number of contributions or items contributed	Schedule M, part I, column (b), Line 18	

2011

Open to Public Inspection

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on

Form 990 or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

Name of the organization

UNIVERSITY OF THE PACIFIC

Employer identification number

94-1156266

Identifier	Return Reference	Explanation
TOTAL NUMBER OF VOLUNTEERS	FORM 990, PART I, LINE 6	THE UNIVERSITY IS FORTUNATE TO BENEFIT FROM THE SERVICES OF VOLUNTEERS ACROSS ITS NUMEROUS SCHOOLS, DEPARTMENTS, AND PROGRAMS, BUT THE UNIVERSITY DOES NOT FORMALLY TRACK THIS POPULATION

Identifier	Return Reference	Explanation
Description of other program services	Form 990, Part III, Line 4d	AUXILIARY ACTIVITIES INCLUDES SERVICES THAT SUPPORT EDUCATIONAL ACTIVITIES AND ATHLETICS ACTIVITIES, INCLUDING CAMPUS BOOKSTORES, FITNESS CENTER, DINING SERVICES, RESIDENTIAL LIFE AND HOUSING

Identifier	Return Reference	Explanation
Review of form 990 by governing body	Form 990, Part VI, Section B, Line 11b	THE FULL BOARD OF REGENTS OF THE UNIVERSITY ANNUALLY REVIEWS THE IRS 990 PRIOR TO FILING USING THE FOLLOWING PROCESS REVISED BY THE BOARD AUDIT COMMITTEE IN OCTOBER OF 2011 - A DRAFT OF THE RETURN IS ELECTRONICALLY SUBMITTED TO THE BOARD AUDIT COMMITTEE FOR REVIEW THE AUDIT COMMITTEE CHAIR THEN SENDS COMMENTS AND QUESTIONS TO THE CONTROLLER FOR RESOLUTION - THE CONTROLLER SUMMARIZES THE AUDIT COMMITTEE'S QUESTIONS IN WRITING AND SUBMITS THE EXPLANATIONS AND A DRAFT OF THE RETURN TO THE FULL BOARD FOR ANY FURTHER COMMENT - BOARD MEMBERS SEND COMMENTS AND QUESTIONS TO THE AUDIT COMMITTEE CHAIR THE CHAIR FORWARDS QUESTIONS TO THE CONTROLLER FOR RESOLUTION - THE CONTROLLER SUMMARIZES THE BOARD'S QUESTIONS IN WRITING AND SUBMITS THE EXPLANATIONS TO THE AUDIT COMMITTEE CHAIR FOR ANY FURTHER COMMENT - THE RETURN IS FINALIZED AND FILED WITH THE IRS

Identifier	Return Reference	Explanation
Conflict of interest policy	Form 990, Part VI, Section B, Line 12c	<p>THE UNIVERSITY REGULARLY AND CONSISTENTLY MONITORS AND ENFORCES COMPLIANCE WITH ITS WRITTEN CONFLICT OF INTEREST POLICY. EACH YEAR, ALL BOARD OF REGENTS MEMBERS AND KEY EMPLOYEES IDENTIFIED BY THE BOARD'S AUDIT COMMITTEE, INCLUSIVE OF THOSE REPORTED AS BOARD MEMBERS, OFFICERS, KEY EMPLOYEES, HIGHLY COMPENSATED EMPLOYEES AND FORMER KEY AND/OR HIGHLY COMPENSATED EMPLOYEES IN THIS IRS 990, ARE REQUIRED TO COMPLETE AND SIGN A CONFLICT OF INTEREST SURVEY. SURVEYS ARE SUBMITTED CONFIDENTIALLY TO THE DIRECTOR OF INTERNAL AUDIT FOR REVIEW. ALL INDIVIDUALS SURVEYED ARE REQUIRED TO SIGN AN ANNUAL DISCLOSURE OF ANY DIRECT OR FIDUCIARY RELATIONSHIPS THAT THEY (OR MEMBERS OF THEIR FAMILY) MAINTAIN WITH ORGANIZATIONS THAT DO BUSINESS WITH THE UNIVERSITY WHICH COULD BE REASONABLY CONSTRUED TO AFFECT THEIR INDEPENDENT, UNBIASED JUDGMENT IN LIGHT OF THEIR DECISION-MAKING AUTHORITY OR RESPONSIBILITY. THESE INDIVIDUALS ("COVERED PERSONS") AFFIRM THEY - HAVE RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, - HAVE READ AND UNDERSTAND THE POLICY, - AGREE TO COMPLY WITH THE POLICY AND - HAVE DISCLOSED ANY DIRECT OR INDIRECT FINANCIAL INTEREST RELATIONSHIP. ANY POTENTIAL CONFLICTS ARE ADDRESSED THROUGH FURTHER DISCUSSION WITH THE RESPONDENT AND RESOLVED AND DISCLOSED AS APPROPRIATE. IF THE DIRECTOR OF INTERNAL AUDIT HAS REASONABLE CAUSE TO BELIEVE A COVERED PERSON HAS FAILED TO DISCLOSE ACTUAL OR POSSIBLE CONFLICTS OF INTERESTS, HE OR SHE SHALL INFORM THE PERSON OF THE BASIS FOR SUCH BELIEF AND ALLOW THE COVERED PERSON AN OPPORTUNITY TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE. COVERED PERSONS WHO HAVE DECLARED OR BEEN FOUND TO HAVE A CONFLICT OF INTEREST MUST REFRAIN FROM PARTICIPATION IN THE CONSIDERATION OF PROPOSED TRANSACTIONS, UNLESS FOR SPECIAL REASONS THE BOARD OR ADMINISTRATION REQUESTS CLARIFYING INFORMATION OR INTERPRETATION. PERSONS WITH CONFLICTS MAY NOT VOTE OR BE PRESENT AT THE TIME OF A VOTE. IF AFTER SUCH NOTICE AND OPPORTUNITY TO DISCLOSE IS PROVIDED, THE CHAIR OF THE AUDIT COMMITTEE DETERMINES THAT A FAILURE TO MAKE THE REQUIRED DISCLOSURE CONTINUES, THE MATTER IS REFERRED TO THE AUDIT COMMITTEE OF THE BOARD OF REGENTS, WHICH TAKES THE NECESSARY ACTION TO REMOVE THE CONFLICT TO PROTECT THE INTEREST OF THE UNIVERSITY. IN ADDITION, THE UNIVERSITY SEPARATELY SURVEYS ALL BOARD MEMBERS, OFFICERS, KEY EMPLOYEES, HIGHLY COMPENSATED EMPLOYEES AND FORMER KEY AND/OR HIGHLY COMPENSATED EMPLOYEES AS PART OF THE ANNUAL IRS 990 REVIEW PROCESS TO INFORM THE ANSWERS TO CONFLICT OF INTEREST AND GOVERNANCE.</p>

Identifier	Return Reference	Explanation
Process used to establish compensation of top management official	Form 990, Part VI, Section B, Line 15a	THE UNIVERSITY'S BOARD OF REGENTS IS RESPONSIBLE FOR SETTING THE PRESIDENT'S COMPENSATION. EACH YEAR, THE BOARD'S EXECUTIVE COMMITTEE APPOINTS A COMPENSATION COMMITTEE COMPOSED OF THREE TO FOUR NON-EMPLOYEE MEMBERS OF THE BOARD TO STUDY AND MAKE PRESIDENTIAL COMPENSATION RECOMMENDATIONS TO THE EXECUTIVE COMMITTEE. IN ACCORDANCE WITH THE BYLAWS (ARTICLE XIV), THE EXECUTIVE COMMITTEE IN TURN REVIEWS THE RECOMMENDATIONS, AND APPROVES THE COMPENSATION PACKAGE FOR THE PRESIDENT. THE COMPENSATION COMMITTEE BASES ITS RECOMMENDATIONS ON THE FOLLOWING CONSULTATIONS WITH INDEPENDENT COMPENSATION CONSULTANTS, STUDIES OF THE ANNUAL SALARIES OF PRESIDENTS OF COMPARABLE INSTITUTIONS AS REPORTED IN THE COMPENSATION SURVEY BY THE ASSOCIATION OF INDEPENDENT CALIFORNIA COLLEGES AND UNIVERSITIES (AICCU), AND STUDIES OF THE ANNUAL SALARIES OF PRESIDENTS OF COMPARABLE INSTITUTIONS AS REPORTED IN THE COMPENSATION SURVEYS BY THE COLLEGE AND UNIVERSITY PERSONNEL ADMINISTRATORS (CUPA). THE EXECUTIVE COMMITTEE MINUTES DOCUMENT THE APPROVAL OF THE COMPENSATION FOR EACH YEAR.

Identifier	Return Reference	Explanation
Process used to establish compensation of other officers/key employees	Form 990, Part VI, Section B, Line 15b	THE BOARD OF REGENTS IS RESPONSIBLE FOR ESTABLISHING THE CONDITIONS OF EMPLOYMENT FOR OTHER KEY INSTITUTIONAL OFFICERS WHO SERVE UNDER THE DIRECTION OF THE PRESIDENT, INCLUDING THE PROVOST AND THE VICE PRESIDENTS. THE PRESIDENT EVALUATES AND RECOMMENDS COMPENSATION AND BENEFITS FOR EACH OFFICER. EACH YEAR, THE PRESIDENT REVIEWS THE MOST RECENT ANNUAL COMPENSATION SURVEYS PREPARED BY THE ASSOCIATION OF CALIFORNIA COLLEGES AND UNIVERSITIES (AICCU) AND THE COLLEGE AND UNIVERSITY PERSONNEL ADMINISTRATORS (CUPA) IN ORDER TO ESTABLISH COMPARABLE RATES OF PAY FOR SIMILARLY-SIZED PRIVATE DOCTORAL INSTITUTIONS. COMPENSATION FOR OTHER KEY EMPLOYEES IS ESTABLISHED BY THE PROVOST OR VICE PRESIDENT WITH OVERSIGHT RESPONSIBILITY FOR THE RELATED SCHOOL OR DIVISION, USING MARKET INFORMATION FROM AICCU, CUPA, AND OTHER SOURCES RELEVANT TO THE RESPONSIBILITIES OF THE SCHOOL OR DIVISION KEY EMPLOYEE POSITION.

Identifier	Return Reference	Explanation
Governing documents, conflict of interest policy and financial statements available to the public	Form 990, Part VI, Section C, Line 19	THE UNIVERSITY MAKES ITS BYLAWS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC THROUGH POSTING ON THE UNIVERSITY'S WEBSITE



Identifier	Return Reference	Explanation
AVERAGE HOURS PER WEEK	FORM 990, PART VII, SECTION A, LINE 1A, COLUMN (B)	THE UNIVERSITY DOES NOT TRACK HOURS WORKED BY TRUSTEES, OFFICERS, KEY EMPLOYEES, AND HIGHEST COMPENSATED EMPLOYEES. FULL-TIME EXEMPT EMPLOYEES OF THE UNIVERSITY ARE EXPECTED TO WORK NO LESS THAN 40 HOURS PER WEEK. AMOUNTS PROVIDED IN PART VII ARE BASED UPON UNIVERSITY ESTIMATES.

Identifier	Return Reference	Explanation
"POSITION"	FORM 990, PART VII, SECTION A, LINE 1A, COLUMN (C)	DONALD V DEROSA'S POSITION IS LISTED AS "FORMER" BECAUSE HE WAS PREVIOUSLY LISTED ON THE 990 AS AN "OFFICER" DURING HIS TENURE AS UNIVERSITY PRESIDENT PHILIP GILBERTSON'S POSITION IS LISTED AS "FORMER" BECAUSE HE WAS PREVIOUSLY LISTED ON THE 990 AS AN "OFFICER" DURING HIS TENURE AS PROVOST JEAN PURNELL'S POSITION IS LISTED AS "FORMER" BECAUSE SHE WAS PREVIOUSLY LISTED ON THE 990 AS A "KEY EMPLOYEE" DURING HER TENURE AS SECRETARY TO THE BOARD OF REGENTS LYNN KING'S POSITION IS LISTED AS "FORMER" BECAUSE HE WAS PREVIOUSLY LISTED ON THE 990 AS A "KEY EMPLOYEE" DURING HIS TENURE AS DIRECTOR OF ATHLETICS

Identifier	Return Reference	Explanation
Other changes in net assets or fund balances	Form 990, Part XI, Line 5	NET UNREALIZED GAINS (LOSSES) ON INVESTMENTS - -8320486, ACTUARIAL LOSS ON TRUST & ANNUITY - -833947, GAIN ON SALE OF REAL ESTATE - 23342274, ROUNDING - -2,

Additional Data

Software ID: 11000230  
Software Version: v2011.1.0  
EIN: 94-1156266  
Name: UNIVERSITY OF THE PACIFIC

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services			
(Code	) (Expenses \$	30,064,046	including grants of \$ (Revenue \$ )
AUXILIARY ACTIVITIES INCLUDES SERVICES THAT SUPPORT EDUCATIONAL ACTIVITIES AND ATHLETICS ACTIVITIES, INCLUDING CAMPUS BOOKSTORES, FITNESS CENTER, DINING SERVICES, RESIDENTIAL LIFE AND HOUSING			

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CORKERN ROBERT BOARD MEMBER, VICE CHAIR	15 00	X		X				0	0	0
EIBECK PAMELA PRESIDENT	50 00	X		X				440,240	0	95,613
ENGLAND MORRISON BOARD MEMBER - SECRETARY	15 00	X		X				0	0	0
JANSSEN KATHLEEN BOARD MEMBER - CHAIR	15 00	X		X				0	0	0
KOFF HOWARD BOARD MEMBER - TREASURER	15 00	X		X				0	0	0
ABELSON SIGMUND BOARD MEMBER	5 00	X						0	0	0
AL-SALEH FAWZI BOARD MEMBER	5 00	X						0	0	0
CALLAHAN CONNIE BOARD MEMBER, COMMITTEE CHAIR	10 00	X						0	0	0
CHAN TONY BOARD MEMBER	5 00	X						0	0	0
CORDES RON BOARD MEMBER, COMMITTEE CHAIR	10 00	X						0	0	0
EBERHARDT DOUGLASS BOARD MEMBER	5 00	X						0	0	0
FERRIS NOEL BOARD MEMBER	5 00	X						0	0	0
FLORES ARMANDO BOARD MEMBER	5 00	X						0	0	0
HAYASHI RANDY BOARD MEMBER	5 00	X						0	0	0
HERNANDEZ JOSE BOARD MEMBER	5 00	X						0	0	0
HUBER KEVIN BOARD MEMBER	5 00	X						0	0	0
LEASURE LARRY BOARD MEMBER	5 00	X						0	0	0
LEER STEVEN BOARD MEMBER	5 00	X						0	0	0
MAIR JAMES BOARD MEMBER, COMMITTEE CHAIR	10 00	X						0	0	0
MILLER DIANE BOARD MEMBER, COMMITTEE CHAIR	10 00	X						0	0	0
NELSON FREDRIC BOARD MEMBER, COMMITTEE CHAIR	10 00	X						0	0	0
PHILIBOSIAN DIANE BOARD MEMBER	5 00	X						0	0	0
POWELL JEANETTE BOARD MEMBER	5 00	X						0	0	0
REDMOND RONALD BOARD MEMBER, COMMITTEE CHAIR	10 00	X						0	0	0
ROBB WALTER BOARD MEMBER	5 00	X						0	0	0

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RUHL BARRY BOARD MEMBER	5 00	X						0	0	0
USHIJIMA NICK BOARD MEMBER	5 00	X						0	0	0
CAVANAUGH PATRICK VP, BUSINESS & FINANCE	50 00			X				283,979	0	53,499
GRIEGO ELIZABETH VP, STUDENT LIFE	50 00			X				212,350	0	42,761
JOHNSTON CHRISTOPHER VP, DEVELOPMENT	50 00			X				280,408	0	56,035
LACKEY MARY LOU VP AND SEC TO BD OF REGENTS	50 00			X				219,451	0	37,837
LELAND EDWARD VP, EXTERNAL RELATIONS AND DIRECTOR OF ATHLETICS	50 00			X				300,604	0	52,987
PALLAVICINI MARIA PROVOST	50 00			X				313,886	0	40,219
BECK LYNN DEAN, SCHOOL OF EDUCATION	50 00				X			161,012	0	21,884
BREHM LARRY ASSOC VP & CHIEF INVEST OFFICER	50 00				X			161,557	0	28,205
FAIT GLENN ASSOCIATE DEAN & SPECIAL COUNSEL, MCGEORGE	50 00				X			206,543	0	26,605
FERRILLO PATRICK DEAN, DUGONI SCHOOL OF DENTISTRY	50 00				X			406,877	0	30,121
GALE LEWIS DEAN, EBERHARDT SCHOOL OF BUSINESS	50 00				X			196,349	0	36,645
GONG JIN DEAN, RESEARCH & GRADUATE STUDIES	50 00				X			163,771	0	33,622
JAIN RAVINDER DEAN, ENGINEERING & COMPUTER SCIENCE	50 00				X			261,585	0	36,077
KRISE THOMAS DEAN, COLLEGE OF THE PACIFIC	50 00				X			161,099	0	21,730
NADERSHAHI NADER EXEC ASSOC DEAN/ASSOC DEAN FOR ACADEMIC AFFAIRS	50 00				X			272,289	0	38,986
OPPENHEIMER PHILLIP DEAN, PHARMACY & HEALTH SCIENCES	50 00				X			233,934	0	35,604
RAHMAN MALIK ASSOCIATE VP, CIO	50 00				X			196,422	0	27,602
RINDSKOPF-PARKER ELIZABETH DEAN, MCGEORGE SCHOOL OF LAW	50 00				X			333,623	0	55,049
WEICK CYNTHIA DIRECTOR OF POWELL SCHOLAR PROGRAM & INTERIM DEAN SCHOOL OF INT'L STUDIES	50 00				X			219,410	0	22,073
YARBOROUGH CRAIG ASSOC DEAN FOR INSTITUTIONAL ADVANCEMENT	50 00				X			239,086	0	41,009
BOYD ROBERT PROFESSOR, CHAIR ORTHODONTICS	50 00					X		300,505	0	40,958
INDRESANO A THOMAS PROFESSOR, CHAIR ORAL SURGERY	50 00					X		416,293	0	41,198
MYERS JOHN PROFESSOR OF LAW	50 00					X		251,757	0	34,382

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
NATTESTAD ANDERS PROFESSOR OF ORAL SURGERY	50 00					X		433,376	0	39,196
THOMASON ROBERT HEAD COACH, MEN'S BASKETBALL	50 00					X		291,749	0	36,192
DEROSA DONALD PRESIDENT EMERITUS	20 00						X	220,897	0	21,738
GILBERTSON PHILIP PROVOST EMERITUS	20 00						X	174,260	0	29,296
KING LYNN DIRECTOR, ATHLETICS	40 00						X	248,032	0	42,418
PURNELL JEAN ASSOCIATE VICE PRESIDENT	40 00						X	172,082	0	33,916

**Software ID:** 11000230  
**Software Version:** v2011.1.0  
**EIN:** 94-1156266  
**Name:** UNIVERSITY OF THE PACIFIC

## Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
EIBECK PAMELA	(i) (ii)	358,184 0	15,000 0	67,056 0	41,000 0	54,613 0	535,853 0	0 0
THOMASON ROBERT	(i) (ii)	247,831 0	39,000 0	4,918 0	24,500 0	11,692 0	327,941 0	0 0
RINDSKOPF-PARKER ELIZABETH	(i) (ii)	319,138 0	0 0	14,485 0	24,500 0	30,549 0	388,672 0	0 0
OPPENHEIMER PHILLIP	(i) (ii)	232,287 0	0 0	1,647 0	23,817 0	11,787 0	269,538 0	0 0
NATTESTAD ANDERS	(i) (ii)	432,858 0	0 0	518 0	24,500 0	14,696 0	472,572 0	0 0
LELAND EDWARD	(i) (ii)	253,033 0	0 0	47,571 0	41,000 0	11,987 0	353,591 0	0 0
KRISE THOMAS	(i) (ii)	160,631 0	0 0	468 0	16,457 0	5,273 0	182,829 0	0 0
KING LYNN	(i) (ii)	125,680 0	0 0	122,352 0	30,812 0	11,606 0	290,450 0	0 0
JAIN RAVINDER	(i) (ii)	258,296 0	0 0	3,289 0	24,500 0	11,577 0	297,662 0	0 0
INDRESANO A THOMAS	(i) (ii)	411,114 0	0 0	5,179 0	24,500 0	16,698 0	457,491 0	0 0
GRIEGO ELIZABETH	(i) (ii)	199,632 0	0 0	12,718 0	36,620 0	6,141 0	255,111 0	0 0
GONG JIN	(i) (ii)	163,284 0	0 0	487 0	17,150 0	16,472 0	197,393 0	0 0
GILBERTSON PHILIP	(i) (ii)	30,200 0	0 0	144,060 0	17,700 0	11,596 0	203,556 0	142,000 0
FERRILLO PATRICK	(i) (ii)	344,133 0	0 0	62,744 0	24,500 0	5,621 0	436,998 0	0 0
FAIT GLENN	(i) (ii)	203,970 0	0 0	2,573 0	20,872 0	5,733 0	233,148 0	0 0
DEROSA DONALD	(i) (ii)	41,184 0	0 0	179,713 0	5,482 0	16,256 0	242,635 0	0 0
CAVANAUGH PATRICK	(i) (ii)	271,182 0	0 0	12,797 0	41,000 0	12,499 0	337,478 0	0 0
BREHM LARRY	(i) (ii)	158,489 0	0 0	3,068 0	16,563 0	11,642 0	189,762 0	0 0



Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
BOYD ROBERT	(i)	298,155	0	2,350	24,500	16,458	341,463	0
	(ii)	0	0	0	0	0	0	0
PALLAVICINI MARIA	(i)	298,083	0	15,803	39,625	594	354,105	0
	(ii)	0	0	0	0	0	0	0
LACKEY MARY LOU	(i)	207,373	0	12,078	37,237	600	257,288	0
	(ii)	0	0	0	0	0	0	0
NADERSHAHI NADER	(i)	271,873	0	416	24,500	14,486	311,275	0
	(ii)	0	0	0	0	0	0	0
YARBOROUGH CRAIG	(i)	237,921	0	1,165	24,500	16,509	280,095	0
	(ii)	0	0	0	0	0	0	0
WEICK CYNTHIA	(i)	219,001	0	409	21,900	173	241,483	0
	(ii)	0	0	0	0	0	0	0
BECK LYNN	(i)	160,312	0	700	16,266	5,618	182,896	0
	(ii)	0	0	0	0	0	0	0
JOHNSTON CHRISTOPHER	(i)	248,011	25,000	7,397	41,000	15,035	336,443	0
	(ii)	0	0	0	0	0	0	0
PURNELL JEAN	(i)	167,736	3,432	914	17,503	16,413	205,998	0
	(ii)	0	0	0	0	0	0	0
GALE LEWIS	(i)	195,763	0	586	20,127	16,518	232,994	0
	(ii)	0	0	0	0	0	0	0
RAHMAN MALIK	(i)	181,511	0	14,911	18,467	9,135	224,024	0
	(ii)	0	0	0	0	0	0	0
MYERS JOHN	(i)	250,641	0	1,116	24,500	9,882	286,139	0
	(ii)	0	0	0	0	0	0	0