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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 07-01-2011 , and ending 06-30-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
COLORADO SPRINGS OSTEOPATHIC FOUNDATION

A Employer identification number
84-0488554

Number and street (or P O box number if mail is not delivered to street address)
2 NORTH CASCADE AVENUE SUITE 1100
NO 1100

Room/suite

B Telephone number (see page 10 of the instructions)
(719) 635-9057

City or town, state, and ZIP code
COLORADO SPRINGS, CO 80903

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)☒ \$ 7,291,605

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	32,128			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	176,518	176,518		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	127,492			
	b Gross sales price for all assets on line 6a <u>14,197,021</u>				
	7 Capital gain net income (from Part IV, line 2)		127,492		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	336,138	304,010	0	
	13 Compensation of officers, directors, trustees, etc	70,000	0	0	70,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	13,477	0	0	13,477
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	7,683	0	0	5,355
	19 Depreciation (attach schedule) and depletion	1,610	0	1,610	
	20 Occupancy	18,313	0	0	18,313
	21 Travel, conferences, and meetings	5,040	0	0	5,040
	22 Printing and publications	4,035	0	0	4,035
	23 Other expenses (attach schedule).	86,699	71,218	0	15,481
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	206,857	71,218	1,610	131,701
	25 Contributions, gifts, grants paid	317,300			261,300
	26 Total expenses and disbursements. Add lines 24 and 25	524,157	71,218	1,610	393,001
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-188,019			
	b Net investment income (if negative, enter -0-)		232,792		
	c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	111,688	16,990	16,990
	2	Savings and temporary cash investments	679,468	851,244	851,244
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	4,227	2,616	2,616
	10a	Investments—U S and state government obligations (attach schedule)	0	18,154	18,154
	b	Investments—corporate stock (attach schedule)	3,828,596	2,905,330	2,905,330
	c	Investments—corporate bonds (attach schedule).	3,038,738	3,474,605	3,474,605
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 29,112 Less accumulated depreciation (attach schedule) ▶ _____ 27,713	3,009	1,399	1,399
Liabilities	15	Other assets (describe ▶ _____)	22,440	21,267	21,267
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	7,688,166	7,291,605	7,291,605
	17	Accounts payable and accrued expenses	764		
	18	Grants payable		56,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	5,387	0	
	23	Total liabilities (add lines 17 through 22)	6,151	56,000	
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	7,682,015	7,234,780	
	25	Temporarily restricted		825	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	7,682,015	7,235,605	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	7,688,166	7,291,605	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	7,682,015
2	Enter amount from Part I, line 27a	2	-188,019
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	7,493,996
5	Decreases not included in line 2 (itemize) ▶ _____	5	258,391
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,235,605

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	127,492
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	376,892	7,557,297	0 049871
2009	323,247	6,371,510	0 050733
2008			
2007			
2006			

2	Total of line 1, column (d).	2	0 100604
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050302
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	7,302,310
5	Multiply line 4 by line 3.	5	367,321
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,328
7	Add lines 5 and 6.	7	369,649
8	Enter qualifying distributions from Part XII, line 4.	8	393,001

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,328
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	2,328
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,328
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	3,899	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	3,899
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,571
11		Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 1,571	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ CO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW CSOF ORG	13	Yes	
14	The books are in care of DORIS RALSTON Telephone no (719) 635-9057 Located at 2 NORTH CASCADE AVENUE SUITE 1100 COLORADO SPRINGS CO ZIP +4 80903			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 TO IMPROVE THE HEALTH AND WELL BEING OF THE PIKES PEAK COMMUNITY OF COLORADO THROUGH GRANTS TO PUBLICLY SUPPORTED CHARITIES, PROVIDING SCHOLARSHIPS TO OSTEOPATIC MEDICAL STUDENTS, AND EDUCATING THE PUBLIC IN MATTERS RELATING TO HEALTH	419,009
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,442,296
b	Average of monthly cash balances.	1b	971,217
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,413,513
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,413,513
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	111,203
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,302,310
6	Minimum investment return. Enter 5% of line 5.	6	365,116

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	365,116
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	2,328
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,328
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	362,788
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	362,788
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	362,788

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	393,001
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	393,001
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	2,328
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	390,673
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				362,788
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007.				
c From 2008.				
d From 2009.				6,955
e From 2010.				844
f Total of lines 3a through e.	7,799			
4 Qualifying distributions for 2011 from Part XII, line 4 ➤ \$ 393,001				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				362,788
e Remaining amount distributed out of corpus	30,213			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	38,012			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	38,012			
10 Analysis of line 9				
a Excess from 2007. . . .				
b Excess from 2008. . . .				
c Excess from 2009. . . .				6,955
d Excess from 2010. . . .				844
e Excess from 2011. . . .				30,213

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

2009-07-01

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

See Additional Data Table

b

The form in which applications should be submitted and information and materials they should include

See Additional Data Table

c

Any submission deadlines

See Additional Data Table

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Additional Data Table

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data TableSee Additional Data Table				
Total			 3a	261,300
b <i>Approved for future payment</i> CU AGING CENTER 1420 AUSTIN BLUFFS PARKWAY COLORADO SPRINGS, CO 80918	NONE		CHARITABLE	56,000
Total			 3b	56,000

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	176,518	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	127,492	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a MISC _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		304,010	0
13 Total. Add line 12, columns (b), (d), and (e).			13		304,010

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization		(b) Type of organization		(c) Description of relationship	
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge					
***** Signature of officer or trustee		2012-10-23 Date		***** Title	
Paid Preparer's Use Only	Preparer's Signature GREGORY P PARSONS CPA		Date		Check if self-employed ☒
	Firm's name OSBORNE PARSONS & ROSACKER LLP		Firm's EIN 84-0636698		PTIN P00052605
	Firm's address 720 NORTH TEJON STREET COLORADO SPRINGS, CO 809031012		Phone no (719) 636-2321		

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☐ No

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization COLORADO SPRINGS OSTEOPATHIC FOUNDATION	Employer identification number 84-0488554
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization COLORADO SPRINGS OSTEOPATHIC FOUNDATION	Employer identification number 84-0488554
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Part I

Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOHN H DRABING 265 HIDDEN VALLEY ROAD COLORADO SPRINGS, CO 80919	\$ 9,961	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization COLORADO SPRINGS OSTEOPATHIC FOUNDATION	Employer identification number 84-0488554
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ➤ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
—	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
—	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
—	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
—	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 84-0488554
Name: COLORADO SPRINGS OSTEOPATHIC FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
640 395 SHS AQR MOMENTUM FUND CLASS L	P	2011-04-04	2011-11-30
347 208 SHS AQR MOMENTUM FUND CLASS L	P	2011-10-19	2011-11-30
918 038 SHS RIDGEWORTH SEIX FLOATING RATE HIGH INCOME FUND CLASS I	P	2010-10-25	2011-11-16
426 241 SHS AMERICAN CENTURY MID CAP VALUE FUND INVESTOR CLASS	P	2011-03-22	2011-10-19
11 022 SHS GATEWAY FUND CLASS Y	P	2010-07-21	2011-10-19
6 SHS ISHARES TR BARCLAYS TIPS BD FD	P	2010-04-01	2011-10-19
4 SHS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	P	2010-10-22	2011-10-19
128 968 SHS PARNASSUS SMALL-CAP FUND	P	2011-03-22	2011-10-19
6 317 SHS PRUDENTIAL JENNISON HEALTH SCIENCES FUND CLASS Z	P	2011-08-17	2011-10-19
18 32 SHS RIDGEWORTH SEIX FLOATING RATE HIGH INCOME FUND CLASS I	P	2010-10-25	2011-10-19
22 SHS SPDR GOLD TR GOLD SHS	P	2010-08-18	2011-10-19
13 SHS SPDR GOLD TR GOLD SHS	P	2010-08-19	2011-10-19
447 606 SHS THORNBURG INTERNATIONAL VALUE FUND CLASS I	P	2010-04-01	2011-10-19
107 SHS ISHARES TR S&P GLOBAL INFRASTRUCTURE INDEX FD	P	2010-04-01	2011-10-18
59 SHS VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF	P	2010-04-01	2011-10-18
143 SHS ISHARES TR S&P GLOBAL INFRASTRUCTURE INDEX FD	P	2010-04-01	2011-10-17
13 SHS ISHARES TR S&P GLOBAL INFRASTRUCTURE INDEX FD	P	2010-04-01	2011-10-17
23 SHS VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF	P	2010-04-01	2011-10-17
147 SHS ISHARES TR MSCI EAFE INDEX FD	P	2010-04-01	2011-08-16
25000 SHS BARRICK GOLD FIN CO GTD NT 4 875% 11/15/14 B/E DTD 11/12/04 CLB C	P	2011-11-30	2011-12-08
120000 SHS BANC AMER MTG SECS INC 2004-5 MTG PASSTHRU CTF CL 2-A-3 5 500% 0	P	2007-04-25	2011-11-25
35000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2010-03-08	2011-11-15
15000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2011-07-15	2011-11-15
20000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2011-09-23	2011-11-15
25000 SHS MORGAN STANLEY DEAN WITTER CAP I TR 2002-IQ3 COML MTG PASSTHRU CTF	P	2011-08-19	2011-11-15
25000 SHS WORLD OMNI AUTO RECEIVABLES TR 2011-A ASSET BACKED NT CL A-2 0 64	P	2011-03-02	2011-11-15
100000 SHS FNMA 15 YEAR TBA SETT NOVEMBER 3 000% 11/01/25 REG DTD 11/01/10	P	2011-10-06	2011-11-14
25000 SHS RESIDENTIAL FDG MTG SECS II INC 2003-HS1 LN BKD NT CL A-I-5 5 150	P	2006-10-04	2011-11-10
85000 SHS UNITED STATES TREAS NTS 0 750% 06/15/14 B/E DTD 06/15/11 N/C	P	2011-06-10	2011-11-08
35000 SHS UNITED STATES TREAS NTS 0 750% 06/15/14 B/E DTD 06/15/11 N/C	P	2011-06-23	2011-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65000 SHS FNMA 30 YEAR TBA SETT NOVEMBER 3 500% 12/01/40 REG DTD 11/01/11 N	P	2011-09-23	2011-11-07
210000 SHS FNMA 30 YEAR TBA SETT NOVEMBER 4 000% 11/01/41 REG DTD 11/01/11	P	2011-10-07	2011-11-07
200000 SHS FNMA 30 YEAR TBA SETT NOVEMBER 5 000% 11/01/41 REG DTD 11/01/11	P	2011-10-07	2011-11-07
40000 SHS FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3589 CL CA 4 000% 10/15/2	P	2009-12-10	2011-11-07
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 579622 7 000% 02/15/32 B/E DT	P	2002-03-13	2011-11-07
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 563578 7 000% 05/15/32 B/E DT	P	2002-06-06	2011-11-07
35000 SHS UNITED STATES TREAS NTS 3 500% 05/15/20 B/E DTD 05/15/10 N/C	P	2011-05-06	2011-11-07
15000 SHS UNITED STATES TREAS NTS 3 500% 05/15/20 B/E DTD 05/15/10 N/C	P	2011-06-08	2011-11-07
160000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-11-04
165000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-11-04
100000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08226 "GOLD" 5 500%	P	2007-09-18	2011-11-04
65000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # 1G0731 "ARM" 2 972% 02	P	2006-05-09	2011-11-04
265000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C01725 "GOLD" 5 500%	P	2003-12-03	2011-11-04
204000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A12450 "GOLD" 6 000%	P	2004-04-15	2011-11-04
540000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A14500 "GOLD" 6 500%	P	2006-12-07	2011-11-04
500000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 253112 7 000% 03/01/30 B/E DT	P	2000-04-07	2011-11-04
90000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256638 6 000% 03/01/37 B/E DTD	P	2007-02-28	2011-11-04
107854 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256851 7 000% 08/01/37 B/E DT	P	2007-11-05	2011-11-04
245000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 545807 5 500% 08/01/17 B/E DT	P	2004-09-17	2011-11-04
125000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DT	P	2006-09-12	2011-11-04
20000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DTD	P	2006-12-07	2011-11-04
114000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 913253 "ARM" 2 392% 03/01/37	P	2009-02-13	2011-11-04
135578 SHS FNMA GTD MTG PASS THRU CTFS POOL # 981265 5 000% 03/01/23 B/E DT	P	2008-04-15	2011-11-04
15000 SHS UNITED STATES TREAS BDS 3 500% 02/15/39 B/E DTD 02/15/09 N/C	P	2010-03-09	2011-11-03
120000 SHS BANC AMER MTG SECS INC 2004-5 MTG PASSTHRU CTF CL 2-A-3 5 500% 0	P	2007-04-25	2011-10-25
15000 SHS UNITED STATES TREAS NTS 0 625% 01/31/13 B/E DTD 01/31/11 N/C	P	2011-05-06	2011-10-25
35000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2010-03-08	2011-10-17
15000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2011-07-15	2011-10-17
20000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2011-09-23	2011-10-17
25000 SHS MORGAN STANLEY DEAN WITTER CAP I TR 2002-IQ3 COML MTG PASSTHRU CTF	P	2011-08-19	2011-10-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
210000 SHS FNMA 30 YEAR TBA SETT OCTOBER 4 000% 10/01/41 REG DTD 10/01/11 N	P	2011-09-08	2011-10-07
200000 SHS FNMA 30 YEAR TBA SETT OCTOBER 5 000% 10/01/41 REG DTD 10/01/11 N	P	2011-09-08	2011-10-07
40000 SHS FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3589 CL CA 4 000% 10/15/2	P	2009-12-10	2011-10-07
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 579622 7 000% 02/15/32 B/E DT	P	2002-03-13	2011-10-07
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 563578 7 000% 05/15/32 B/E DT	P	2002-06-06	2011-10-07
85000 SHS FNMA 30 YEAR TBA SETT OCTOBER 5 500% 10/01/41 REG DTD 10/01/11 N/	P	2011-09-08	2011-10-06
160000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-10-06
165000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-10-06
100000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08226 "GOLD" 5 500%	P	2007-09-18	2011-10-06
65000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # 1G0731 "ARM" 2 972% 02	P	2006-05-09	2011-10-06
265000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C01725 "GOLD" 5 500%	P	2003-12-03	2011-10-06
204000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A12450 "GOLD" 6 000%	P	2004-04-15	2011-10-06
540000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A14500 "GOLD" 6 500%	P	2006-12-07	2011-10-06
500000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 253112 7 000% 03/01/30 B/E DT	P	2000-04-07	2011-10-06
90000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256638 6 000% 03/01/37 B/E DTD	P	2007-02-28	2011-10-06
107854 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256851 7 000% 08/01/37 B/E DT	P	2007-11-05	2011-10-06
245000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 545807 5 500% 08/01/17 B/E DT	P	2004-09-17	2011-10-06
125000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DT	P	2006-09-12	2011-10-06
20000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DTD	P	2006-12-07	2011-10-06
114000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 913253 "ARM" 2 392% 03/01/37	P	2009-02-13	2011-10-06
135578 SHS FNMA GTD MTG PASS THRU CTFS POOL # 981265 5 000% 03/01/23 B/E DT	P	2008-04-15	2011-10-06
20000 SHS DEVON FING CORP U L C NT 6 875% 09/30/11 B/E DTD 10/03/01 CLB MAT	P	2009-04-22	2011-09-30
15000 SHS SBC COMMUNICATIONS INC GLOBAL NT 5 100% 09/15/14 B/E DTD 11/03/04	P	2009-07-13	2011-09-27
120000 SHS BANC AMER MTG SECS INC 2004-5 MTG PASSTHRU CTF CL 2-A-3 5 500% 0	P	2007-04-25	2011-09-26
15000 SHS FEDERAL NATL MTG ASSN BENCHMARK NOTE 2 375% 07/28/15 B/E DTD 06/1	P	2010-09-02	2011-09-23
65000 SHS FNMA 30 YEAR TBA SETT OCTOBER 4 500% 10/01/41 REG DTD 10/01/11 N/	P	2011-09-08	2011-09-22
5000 SHS CITIGROUP INC FIXED RATE SR NT 5 375% 08/09/20 B/E DTD 08/09/10 CL	P	2011-08-08	2011-09-16
35000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2010-03-08	2011-09-15
15000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2011-07-15	2011-09-15
25000 SHS MORGAN STANLEY DEAN WITTER CAP I TR 2002-IQ3 COML MTG PASSTHRU CTF	P	2011-08-19	2011-09-15

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15000 SHS BEAR STEARNS COS INC 6 400% 10/02/17 B/E DTD 10/02/07 N/C	P	2010-08-09	2011-09-14
15000 SHS CSX CORP NT 5 500% 08/01/13 B/E DTD 08/05/03 N/C	P	2010-01-11	2011-09-14
210000 SHS FNMA 30 YEAR TBA SETT SEPTEMBER 4 000% 09/01/41 REG DTD 09/01/11	P	2011-08-05	2011-09-08
65000 SHS FNMA 30 YEAR TBA SETT SEPTEMBER 4 500% 09/01/41 REG DTD 09/01/11	P	2011-08-05	2011-09-08
200000 SHS FNMA 30 YEAR TBA SETT SEPTEMBER 5 000% 09/01/41 REG DTD 09/01/11	P	2011-08-08	2011-09-08
85000 SHS FNMA 30 YEAR TBA SETT SEPTEMBER 5 500% 09/01/41 REG DTD 09/01/11	P	2011-08-08	2011-09-08
40000 SHS FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3589 CL CA 4 000% 10/15/2	P	2009-12-10	2011-09-08
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 579622 7 000% 02/15/32 B/E DT	P	2002-03-13	2011-09-08
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 563578 7 000% 05/15/32 B/E DT	P	2002-06-06	2011-09-08
160000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-09-07
165000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-09-07
100000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08226 "GOLD" 5 500%	P	2007-09-18	2011-09-07
65000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # 1G0731 "ARM" 2 972% 02	P	2006-05-09	2011-09-07
265000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C01725 "GOLD" 5 500%	P	2003-12-03	2011-09-07
204000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A12450 "GOLD" 6 000%	P	2004-04-15	2011-09-07
540000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A14500 "GOLD" 6 500%	P	2006-12-07	2011-09-07
500000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 253112 7 000% 03/01/30 B/E DT	P	2000-04-07	2011-09-07
90000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256638 6 000% 03/01/37 B/E DTD	P	2007-02-28	2011-09-07
107854 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256851 7 000% 08/01/37 B/E DT	P	2007-11-05	2011-09-07
245000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 545807 5 500% 08/01/17 B/E DT	P	2004-09-17	2011-09-07
125000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DT	P	2006-09-12	2011-09-07
20000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DTD	P	2006-12-07	2011-09-07
114000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 913253 "ARM" 2 392% 03/01/37	P	2009-02-13	2011-09-07
135578 SHS FNMA GTD MTG PASS THRU CTFS POOL # 981265 5 000% 03/01/23 B/E DT	P	2008-04-15	2011-09-07
120000 SHS BANC AMER MTG SECS INC 2004-5 MTG PASSTHRU CTF CL 2-A-3 5 500% 0	P	2007-04-25	2011-08-25
10000 SHS CREDIT SUISSE FIRST BOSTON MTG SECS CORP 2001-CKN5 MTG PASSTHRU CT	P	2009-09-29	2011-08-19
5000 SHS UNITED STATES TREAS NTS 0 750% 06/15/14 B/E DTD 06/15/11 N/C	P	2011-06-10	2011-08-18
10000 SHS UNITED STATES TREAS NTS 3 500% 05/15/20 B/E DTD 05/15/10 N/C	P	2011-05-06	2011-08-17
15000 SHS CVS CAREMARK CORP SR NT 5 750% 08/15/11 B/E DTD 08/15/06 CLB MATU	P	2009-08-11	2011-08-15
35000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2010-03-08	2011-08-15

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15000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2011-07-15	2011-08-15
10000 SHS UNITED STATES TREAS BDS 3 500% 02/15/39 B/E DTD 02/15/09 N/C	P	2010-03-09	2011-08-10
15000 SHS UNITED STATES TREAS NTS 3 500% 05/15/20 B/E DTD 05/15/10 N/C	P	2011-05-06	2011-08-09
200000 SHS FNMA 30 YEAR TBA SETT AUG 5 000% 08/01/40 REG DTD 08/01/10 N/C	P	2011-07-08	2011-08-08
85000 SHS FNMA 30 YEAR TBA SETT AUGUST 5 500% 08/01/41 REG DTD 08/01/11 N/C	P	2011-07-08	2011-08-08
5000 SHS UNITED STATES TREAS NTS 3 500% 05/15/20 B/E DTD 05/15/10 N/C	P	2011-05-06	2011-08-08
150000 SHS FNMA 30 YEAR TBA SETT AUGUST 4 000% 08/01/41 REG DTD 08/01/11 N/	P	2011-07-11	2011-08-05
60000 SHS FNMA 30 YEAR TBA SETT AUGUST 4 000% 08/01/41 REG DTD 08/01/11 N/C	P	2011-07-14	2011-08-05
65000 SHS FNMA 30 YEAR TBA SETT AUGUST 4 500% 08/01/41 REG DTD 08/01/11 N/C	P	2011-07-08	2011-08-05
40000 SHS FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3589 CL CA 4 000% 10/15/2	P	2009-12-10	2011-08-05
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 579622 7 000% 02/15/32 B/E DT	P	2002-03-13	2011-08-05
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 563578 7 000% 05/15/32 B/E DT	P	2002-06-06	2011-08-05
160000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-08-04
165000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-08-04
100000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08226 "GOLD" 5 500%	P	2007-09-18	2011-08-04
65000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # 1G0731 "ARM" 2 972% 02	P	2006-05-09	2011-08-04
265000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C01725 "GOLD" 5 500%	P	2003-12-03	2011-08-04
204000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A12450 "GOLD" 6 000%	P	2004-04-15	2011-08-04
540000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A14500 "GOLD" 6 500%	P	2006-12-07	2011-08-04
500000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 253112 7 000% 03/01/30 B/E DT	P	2000-04-07	2011-08-04
90000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256638 6 000% 03/01/37 B/E DTD	P	2007-02-28	2011-08-04
107854 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256851 7 000% 08/01/37 B/E DT	P	2007-11-05	2011-08-04
245000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 545807 5 500% 08/01/17 B/E DT	P	2004-09-17	2011-08-04
125000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DT	P	2006-09-12	2011-08-04
20000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DTD	P	2006-12-07	2011-08-04
114000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 913253 "ARM" 2 392% 03/01/37	P	2009-02-13	2011-08-04
135578 SHS FNMA GTD MTG PASS THRU CTFS POOL # 981265 5 000% 03/01/23 B/E DT	P	2008-04-15	2011-08-04
30000 SHS UNITED STATES TREAS NTS INFLATION INDEXED NOTES TIPS 1 250% 07/15	P	2011-01-14	2011-08-01
30000 SHS UNITED STATES TREAS NTS INFLATION INDEXED NOTES TIPS 1 250% 07/15	P	2010-10-08	2011-07-26
10000 SHS UNITED STATES TREAS NTS INFLATION INDEXED NOTES TIPS 1 250% 07/15	P	2010-10-13	2011-07-26

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35000 SHS UNITED STATES TREAS NTS INFLATION INDEXED NOTES TIPS 1 250% 07/15	P	2010-10-13	2011-07-26
5000 SHS UNITED STATES TREAS NTS INFLATION INDEXED NOTES TIPS 1 250% 07/15/	P	2011-01-14	2011-07-26
120000 SHS BANC AMER MTG SECS INC 2004-5 MTG PASSTHRU CTF CL 2-A-3 5 500% 0	P	2007-04-25	2011-07-25
35000 SHS CHRYSLER FINL AUTO SECURITIZATION TR 2009-A ASSET BACKED NT CL A-3	P	2010-03-08	2011-07-15
10000 SHS CREDIT SUISSE FIRST BOSTON MTG SECS CORP 2001-CKN5 MTG PASSTHRU CT	P	2009-09-29	2011-07-15
50000 SHS FEDERAL HOME LN MTG CORP REFERENCE NTS FED REFERENCE BOND 3 750%	P	2011-03-02	2011-07-14
25000 SHS WELLS FARGO & CO NEW SR UNSECD NT 5 625% 12/11/17 B/E DTD 12/10/0	P	2010-08-19	2011-07-14
150000 SHS FNMA 30 YEAR TBA SETT JULY 4 000% 07/01/41 REG DTD 07/01/11 N/C	P	2011-06-08	2011-07-11
65000 SHS FNMA 30 YR TBA SETT JULY 4 500% 07/01/41 REG DTD 07/01/11 N/C	P	2011-06-08	2011-07-08
200000 SHS FNMA 30 YEAR TBA SETT JULY 5 000% 07/01/41 REG DTD 07/01/11 N/C	P	2011-06-08	2011-07-08
85000 SHS FNMA 30 YEAR TBA SETT JULY 5 500% 07/01/41 REG DTD 07/01/11 N/C	P	2011-06-08	2011-07-08
30000 SHS DAIMLERCHRYSLER AUTO TR 2008-B NT CL A-3A 4 710% 09/08/12 B/E DTD	P	2010-04-09	2011-07-08
40000 SHS FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3589 CL CA 4 000% 10/15/2	P	2009-12-10	2011-07-08
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 579622 7 000% 02/15/32 B/E DT	P	2002-03-13	2011-07-08
300000 SHS GNMA GTD MTG PASS THRU CTFS POOL # 563578 7 000% 05/15/32 B/E DT	P	2002-06-06	2011-07-08
160000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-07-07
165000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08167 "GOLD" 5 500%	P	2006-10-27	2011-07-07
100000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G08226 "GOLD" 5 500%	P	2007-09-18	2011-07-07
65000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # 1G0731 "ARM" 2 972% 02	P	2006-05-09	2011-07-07
265000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C01725 "GOLD" 5 500%	P	2003-12-03	2011-07-07
204000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A12450 "GOLD" 6 000%	P	2004-04-15	2011-07-07
540000 SHS FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A14500 "GOLD" 6 500%	P	2006-12-07	2011-07-07
500000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 253112 7 000% 03/01/30 B/E DT	P	2000-04-07	2011-07-07
90000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256638 6 000% 03/01/37 B/E DTD	P	2007-02-28	2011-07-07
107854 SHS FNMA GTD MTG PASS THRU CTFS POOL # 256851 7 000% 08/01/37 B/E DT	P	2007-11-05	2011-07-07
245000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 545807 5 500% 08/01/17 B/E DT	P	2004-09-17	2011-07-07
125000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DT	P	2006-09-12	2011-07-07
20000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 900318 6 000% 09/01/36 B/E DTD	P	2006-12-07	2011-07-07
114000 SHS FNMA GTD MTG PASS THRU CTFS POOL # 913253 "ARM" 2 392% 03/01/37	P	2009-02-13	2011-07-07
135578 SHS FNMA GTD MTG PASS THRU CTFS POOL # 981265 5 000% 03/01/23 B/E DT	P	2008-04-15	2011-07-07

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82 817 SHS IVA WORLDWIDE I	P	2010-12-06	2011-12-07
20 487 SHS MAINSTAY ICAP INTERNATIONAL I	P	2010-12-06	2011-12-07
2 41 SHS MAINSTAY ICAP INTERNATIONAL I	P	2011-07-10	2011-12-07
49 957 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2010-12-06	2011-12-07
318 683 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2010-12-06	2011-12-07
13 745 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2010-12-06	2011-12-07
39 215 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2010-12-06	2011-12-07
416 096 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2010-12-06	2011-12-07
212 039 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2011-07-10	2011-12-07
192 84 SHS WILLIAM BLAIR INTERNATIONAL GROWTH N	P	2011-07-10	2011-12-07
284 863 SHS ARTISAN EMERGING MARKETS ADV	P	2010-12-22	2011-12-23
521 322 SHS ARTISAN EMERGING MARKETS ADV	P	2011-07-26	2011-12-23
432 881 SHS ARTISAN INTERNATIONAL SMALL CAP INVESTOR	P	2010-12-22	2011-12-23
136 164 SHS ARTISAN INTERNATIONAL SMALL CAP INVESTOR	P	2011-07-26	2011-12-23
1365 187 SHS ARTISAN INTERNATIONAL VALUE INVESTOR	P	2010-12-22	2011-12-23
193 321 SHS ARTISAN INTERNATIONAL VALUE INVESTOR	P	2011-07-26	2011-12-23
867 987 SHS DODGE & COX INTERNATIONAL STOCK	P	2010-12-22	2011-12-23
438 598 SHS DODGE & COX INTERNATIONAL STOCK	P	2011-07-26	2011-12-23
708 341 SHS IVA WORLDWIDE I	P	2010-12-22	2011-12-23
47 568 SHS IVA WORLDWIDE I	P	2011-07-26	2011-12-23
990 489 SHS MAINSTAY ICAP INTERNATIONAL I	P	2010-12-22	2011-12-23
28 583 SHS MAINSTAY ICAP INTERNATIONAL I	P	2011-07-26	2011-12-23
3414 073 SHS MANNING & NAPIER WORLD OPPORTUNITIES A	P	2011-07-26	2011-12-23
1502 333 SHS OAKMARK INTERNATIONAL I	P	2010-12-22	2011-12-23
465 737 SHS OAKMARK INTERNATIONAL I	P	2011-07-26	2011-12-23
73 05 SHS ASTON/RIVER ROAD INDEPENDENT VALUE N	P	2011-03-12	2011-08-09
163 153 SHS ABSOLUTE STRATEGIES I	P	2010-08-08	2011-08-09
170 947 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2010-08-08	2011-08-09
17 895 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2011-03-12	2011-08-09
160 855 SHS BLACKROCK INFLATION PROTECTED BOND INSTL	P	2010-08-08	2011-08-09

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103 17 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2010-08-08	2011-08-09
114 959 SHS HARBOR HIGH-YIELD BOND INSTL	P	2011-03-12	2011-08-09
216 581 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2010-08-08	2011-08-09
46 209 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2010-08-08	2011-08-09
507 889 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2010-08-08	2011-08-09
177 102 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2010-08-08	2011-08-09
242 274 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2010-08-08	2011-08-09
592 656 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2010-08-08	2011-08-09
223 915 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2011-03-12	2011-08-09
110 199 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2011-03-12	2011-08-09
47 166 SHS METROPOLITAN WEST TOTAL RETURN BOND M	P	2011-03-12	2011-08-09
171 43 SHS PIMCO COMMODITY REAL RET STRAT ADMIN	P	2010-08-08	2011-08-09
228 707 SHS PIMCO TOTAL RETURN ADMIN	P	2010-08-08	2011-08-09
222 787 SHS TEMPLETON GLOBAL BOND ADV	P	2010-08-08	2011-08-09
2022 796 SHS ASTON/RIVER ROAD INDEPENDENT VALUE N	P	2011-07-26	2011-12-23
1371 372 SHS ABSOLUTE STRATEGIES I	P	2010-12-22	2011-12-23
14 396 SHS ABSOLUTE STRATEGIES I	P	2011-07-26	2011-12-23
1506 273 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2010-12-22	2011-12-23
217 908 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2011-07-26	2011-12-23
799 183 SHS ARTISAN EMERGING MARKETS ADV	P	2011-07-26	2011-12-23
832 023 SHS BLACKROCK INFLATION PROTECTED BOND INSTL	P	2010-12-22	2011-12-23
50 887 SHS BLACKROCK INFLATION PROTECTED BOND INSTL	P	2011-07-26	2011-12-23
1249 494 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2010-12-22	2011-12-23
44 352 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2011-07-26	2011-12-23
1778 819 SHS CHAMPLAIN SMALL COMPANY ADV	P	2010-12-22	2011-12-23
314 62 SHS CHAMPLAIN SMALL COMPANY ADV	P	2011-07-26	2011-12-23
6044 063 SHS DIAMOND HILL LARGE CAP A	P	2010-12-22	2011-12-23
435 815 SHS DIAMOND HILL LARGE CAP A	P	2011-07-26	2011-12-23
4199 983 SHS DODGE & COX GLOBAL STOCK	P	2010-12-22	2011-12-23
1226 005 SHS DODGE & COX GLOBAL STOCK	P	2011-07-26	2011-12-23

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2933 466 SHS DOUBLELINE TOTAL RETURN BOND I	P	2010-12-22	2011-12-23
310 051 SHS DOUBLELINE TOTAL RETURN BOND I	P	2011-07-26	2011-12-23
1480 488 SHS HARBOR HIGH-YIELD BOND INSTL	P	2011-07-26	2011-12-23
2020 208 SHS IVA WORLDWIDE I	P	2011-07-26	2011-12-23
3417 309 SHS MARSICO GLOBAL	P	2010-12-22	2011-12-23
298 788 SHS MARSICO GLOBAL	P	2011-07-26	2011-12-23
834 853 SHS OAKMARK INTERNATIONAL I	P	2010-12-22	2011-12-23
698 004 SHS OAKMARK INTERNATIONAL I	P	2011-07-26	2011-12-23
1596 105 SHS PIMCO COMMODITY REAL RET STRAT ADMIN	P	2010-12-22	2011-12-23
320 448 SHS PIMCO COMMODITY REAL RET STRAT ADMIN	P	2011-07-26	2011-12-23
1774 883 SHS PIMCO TOTAL RETURN ADMIN	P	2010-12-22	2011-12-23
76 744 SHS PIMCO TOTAL RETURN ADMIN	P	2011-07-26	2011-12-23
3032 094 SHS PRIMECAP ODYSSEY GROWTH	P	2010-12-22	2011-12-23
755 567 SHS PRIMECAP ODYSSEY GROWTH	P	2011-07-26	2011-12-23
1384 47 SHS TEMPLETON GLOBAL BOND ADV	P	2010-12-22	2011-12-23
544 455 SHS TEMPLETON GLOBAL BOND ADV	P	2011-07-26	2011-12-23
465 521 SHS THIRD AVENUE REAL ESTATE VALUE INSTL	P	2010-12-22	2011-12-23
22 759 SHS THIRD AVENUE REAL ESTATE VALUE INSTL	P	2011-07-26	2011-12-23
5 617 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2011-08-02	2011-12-30
0 085 SHS ASTON/RIVER ROAD INDEPENDENT VALUE N	P	2011-05-09	2011-10-06
43 379 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2010-10-05	2011-10-06
15 791 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2011-05-09	2011-10-06
312 453 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2010-10-05	2011-10-06
145 224 SHS DIAMOND HILL LONG-SHORT A	P	2010-10-05	2011-10-06
92 36 SHS DRIEHAUS ACTIVE INCOME	P	2010-10-05	2011-10-06
40 812 SHS DRIEHAUS ACTIVE INCOME	P	2011-05-09	2011-10-06
75 004 SHS EATON VANCE GLBL MACR ABSOLUTE RETURN I	P	2010-10-05	2011-10-06
16 416 SHS EATON VANCE GLBL MACR ABSOLUTE RETURN I	P	2011-05-09	2011-10-06
303 864 SHS IVA WORLDWIDE I	P	2010-10-05	2011-10-06
83 996 SHS T ROWE PRICE CAPITAL APPRECIATION	P	2010-10-05	2011-10-06

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1478 023 SHS ASTON/RIVER ROAD INDEPENDENT VALUE N	P	2011-07-26	2011-12-23
2586 676 SHS ABSOLUTE STRATEGIES I	P	2010-12-22	2011-12-23
437 06 SHS ABSOLUTE STRATEGIES I	P	2011-07-26	2011-12-23
1607 332 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2010-12-22	2011-12-23
130 269 SHS AQUILA THREE PEAKS HIGH INCOME I	P	2011-07-26	2011-12-23
1716 377 SHS ARBITRAGE I	P	2010-12-22	2011-12-23
392 593 SHS ARBITRAGE I	P	2011-07-26	2011-12-23
2282 71 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2010-12-22	2011-12-23
296 277 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2011-07-26	2011-12-23
983 806 SHS DIAMOND HILL LONG-SHORT A	P	2010-12-22	2011-12-23
1404 782 SHS DOUBLELINE TOTAL RETURN BOND I	P	2010-12-22	2011-12-23
505 29 SHS DOUBLELINE TOTAL RETURN BOND I	P	2011-07-26	2011-12-23
2495 738 SHS DRIEHAUS ACTIVE INCOME	P	2010-12-22	2011-12-23
301 016 SHS DRIEHAUS ACTIVE INCOME	P	2011-07-26	2011-12-23
2336 174 SHS EATON VANCE GLBL MACR ABSOLUTE RETURN I	P	2010-12-22	2011-12-23
131 129 SHS EATON VANCE GLBL MACR ABSOLUTE RETURN I	P	2011-07-26	2011-12-23
1142 224 SHS FPA NEW INCOME	P	2010-12-22	2011-12-23
561 31 SHS FPA NEW INCOME	P	2011-07-26	2011-12-23
1345 779 SHS IVA WORLDWIDE I	P	2010-12-22	2011-12-23
278 622 SHS IVA WORLDWIDE I	P	2011-07-26	2011-12-23
1328 687 SHS PIMCO COMMODITY REAL RET STRAT ADMIN	P	2010-12-22	2011-12-23
360 799 SHS PIMCO COMMODITY REAL RET STRAT ADMIN	P	2011-07-26	2011-12-23
963 113 SHS T ROWE PRICE CAPITAL APPRECIATION	P	2010-12-22	2011-12-23
154 128 SHS T ROWE PRICE CAPITAL APPRECIATION	P	2011-07-26	2011-12-23
1190 387 SHS WASATCH LONG/SHORT	P	2010-12-22	2011-12-23
61 091 SHS WASATCH LONG/SHORT	P	2011-07-26	2011-12-23
11 195 SHS CALAMOS MARKET NEUTRAL INCOME A	P	2011-08-02	2011-12-30
14 11 SHS ARTISAN MID CAP VAL INVS	P	2012-01-17	2012-03-26
132 SHS BRCLYS DJ AIG GRAINS ETN	P	2012-01-17	2012-04-03
31 64 SHS EUROPACIFIC GRW F2	P	2012-01-17	2012-03-26

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77 75 SHS GROWTH FD AMER F2	P	2012-01-17	2012-03-26
25 42 SHS HIGHMRK GENEVA MID CAP F	P	2012-01-17	2012-03-26
123 SHS ISHS BRCLY20+ YR TR ETF	P	2012-01-17	2012-04-03
16 SHS ISHS BC7-10 TREA ETF	P	2012-01-17	2012-04-03
74 SHS ISHS INVSTMNT BD FD ETF	P	2012-01-17	2012-04-03
78 SHS ISHS TR IBOXX CORP ETF	P	2012-01-17	2012-07-03
33 SHS ISHS BRCLY MBS BD ETF	P	2012-01-17	2012-04-03
191 SHS ISHS S&P 500 VAL ETF	P	2012-01-17	2012-04-03
178 SHS ISHS S&P 500 GRWTH ETF	P	2012-01-17	2012-04-03
828 489 SHS KEELEY SMALL CAP VALUE I	P	2012-01-17	2012-03-23
58 77 SHS MFS INTL GRWTH I	P	2012-01-17	2012-03-26
1716 SHS SPDR S&P 500 TR 1 ETF	P	2012-01-17	2012-08-01
174 SHS SPDR LEH HI YLD BOND ETF	P	2012-01-17	2012-07-03
33 SHS VNGRD REIT ETF	P	2012-01-17	2012-04-03
3233 448 SHS ALLIANZ AGIC GROWTH CL P	P	2007-10-31	2012-02-07
4 188 SHS ALLIANZ AGIC GROWTH CL P	P	2009-12-17	2012-02-07
110 SHS COLGATEPALMOLIVE COMPANY	P	1991-09-19	2012-02-29
4583 674 SHS EURO PAC GRWTH F2	P	2000-02-14	2012-02-29
486 034 SHS EURO PAC GRWTH F2	P	2005-12-28	2012-02-29
205 641 SHS EURO PAC GRWTH F2	P	2005-12-28	2012-03-01
1273 684 SHS EURO PAC GRWTH F2	P	2005-12-28	2012-03-01
1033 869 SHS EURO PAC GRWTH F2	P	2006-12-27	2012-03-01
4298 422 SHS THORNBURG INV VALUE CL I	P	2000-09-22	2012-02-07
25 704 SHS THORNBURG INV VALUE CL I	P	2005-06-30	2012-02-07
29 283 SHS THORNBURG INV VALUE CL I	P	2005-09-30	2012-02-07
32 228 SHS THORNBURG INV VALUE CL I	P	2005-12-30	2012-02-07
22 374 SHS THORNBURG INV VALUE CL I	P	2006-03-30	2012-02-07
23 526 SHS THORNBURG INV VALUE CL I	P	2006-06-28	2012-02-07
60 244 SHS THORNBURG INV VALUE CL I	P	2006-09-27	2012-02-07
521 717 SHS THORNBURG INV VALUE CL I	P	2006-11-17	2012-02-07

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19 149 SHS THORNBURG INV VALUE CL I	P	2006-11-17	2012-02-07
34 773 SHS THORNBURG INV VALUE CL I	P	2006-12-26	2012-02-07
24 639 SHS THORNBURG INV VALUE CL I	P	2007-03-26	2012-02-07
11 825 SHS THORNBURG INV VALUE CL I	P	2007-06-26	2012-02-07
38 108 SHS THORNBURG INV VALUE CL I	P	2007-09-26	2012-02-07
399 88 SHS THORNBURG INV VALUE CL I	P	2007-11-19	2012-02-07
1384 286 SHS THORNBURG INV VALUE CL I	P	2007-11-19	2012-02-07
2 809 SHS THORNBURG INV VALUE CL I	P	2007-12-26	2012-02-07
8 367 SHS THORNBURG INV VALUE CL I	P	2008-03-26	2012-02-07
34 55 SHS THORNBURG INV VALUE CL I	P	2008-06-26	2012-02-07
37 999 SHS THORNBURG INV VALUE CL I	P	2008-09-26	2012-02-07
91 21 SHS THORNBURG INV VALUE CL I	P	2008-12-26	2012-02-07
82 611 SHS THORNBURG INV VALUE CL I	P	2009-03-26	2012-02-07
66 237 SHS THORNBURG INV VALUE CL I	P	2009-06-26	2012-02-07
49 413 SHS THORNBURG INV VALUE CL I	P	2009-09-25	2012-02-07
46 667 SHS THORNBURG INV VALUE CL I	P	2009-12-24	2012-02-07
5 448 SHS THORNBURG INV VALUE CL I	P	2010-03-26	2012-02-07
2 499 SHS THORNBURG INV VALUE CL I	P	2010-06-25	2012-02-07
5 544 SHS THORNBURG INV VALUE CL I	P	2010-09-24	2012-02-07
165 SHS AES CORP	P	2012-04-09	2012-05-02
430 171 SHS AIM DEVELOPING MKTS CL Y	P	2011-10-19	2012-01-10
8 86 SHS AIM DEVELOPING MKTS CL Y	P	2011-12-13	2012-01-10
4 61 SHS AIM DEVELOPING MKTS CL Y	P	2011-12-13	2012-01-10
916 196 SHS AMERN CENTY MID CAP VALU	P	2011-03-22	2012-01-10
5 246 SHS AMERN CENTY MID CAP VALU	P	2011-06-14	2012-01-10
6 146 SHS AMERN CENTY MID CAP VALU	P	2011-09-20	2012-01-10
35 136 SHS AMERN CENTY MID CAP VALU	P	2011-12-13	2012-01-10
13 314 SHS AMERN CENTY MID CAP VALU	P	2011-12-13	2012-01-10
5 075 SHS AMERN CENTY MID CAP VALU	P	2011-12-29	2012-01-10
37 SHS AMERICAN TOWER CORP NEW	P	2012-01-10	2012-04-09

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85 SHS ANCESTRY COM INC	P	2012-01-10	2012-02-06
599 692 SHS BLAIR WILLIAM INTL SM I	P	2010-04-01	2012-01-10
2 693 SHS BLAIR WILLIAM INTL SM I	P	2010-12-17	2012-01-10
310 351 SHS BLAIR WILLIAM INTL SM I	P	2011-10-19	2012-01-10
13 373 SHS BLAIR WILLIAM INTL SM I	P	2011-12-19	2012-01-10
22 SHS CVS CAREMARK CORP	P	2012-02-06	2012-06-07
86 SHS CARDTRONICS INC	P	2012-01-10	2012-04-09
22 SHS CONCHO RESOURCES INC	P	2012-01-10	2012-06-05
41 SHS EQT CORP	P	2012-01-10	2012-04-09
86 SHS EZCORP INC CL A NON-VTG	P	2012-01-10	2012-03-06
174 SHS GLOBE SPECIALTY METALS	P	2012-01-10	2012-07-06
265 591 SHS GATEWAY FUND CLASS Y	P	2010-07-21	2012-01-10
2 007 SHS GATEWAY FUND CLASS Y	P	2010-09-23	2012-01-10
270 512 SHS GATEWAY FUND CLASS Y	P	2010-10-08	2012-01-10
3 756 SHS GATEWAY FUND CLASS Y	P	2010-12-21	2012-01-10
3 187 SHS GATEWAY FUND CLASS Y	P	2011-03-24	2012-01-10
2 544 SHS GATEWAY FUND CLASS Y	P	2011-06-23	2012-01-10
2 699 SHS GATEWAY FUND CLASS Y	P	2011-09-22	2012-01-10
2 859 SHS GATEWAY FUND CLASS Y	P	2011-12-22	2012-01-10
49 SHS GREEN MTN CFFEE ROASTERS	P	2012-01-10	2012-02-06
60 SHS HARRIS CORP DEL	P	2012-01-10	2012-07-06
111 SHS HARSCO CORP	P	2012-01-10	2012-03-06
49 SHS ISHS BRCLY20+ YR TR ETF	P	2012-01-10	2012-07-02
154 SHS ISHRS BC7-10 TREA ETF	P	2010-10-25	2012-01-10
45 SHS ISHS BRCLYS TIPS BD ETF	P	2010-04-01	2012-07-02
149 SHS ISHRS BRCLY1-3 CR ETF	P	2010-04-01	2012-01-10
2 SHS ISHRS BRCLY1-3 CR ETF	P	2011-10-19	2012-01-10
67 SHS LIVEPERSON INC	P	2012-01-10	2012-06-07
873 159 SHS MORGAN STNLY INTL EQTY I	P	2011-10-19	2012-01-10
22 79 SHS MORGAN STNLY INTL EQTY I	P	2011-12-20	2012-01-10

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107 SHS NII HLDGS INC CL B NEW	P	2012-01-10	2012-06-07
92 SHS NORTHERN OIL & GAS INC	P	2012-01-10	2012-06-05
581 811 SHS PARNASSUS SMALL CAP FD	P	2011-03-22	2012-01-10
19 912 SHS PARNASSUS SMALL CAP FD	P	2011-11-18	2012-01-10
0 033 SHS PARNASSUS SMALL CAP FD	P	2011-12-29	2012-01-10
373 78 SHS PRUDENTIAL HLTH SCINCE Z	P	2011-08-17	2012-01-10
14 453 SHS PRUDENTIAL HLTH SCINCE Z	P	2011-12-02	2012-01-10
825 38 SHS RDEWRTH SEIX FLTG INC I	P	2010-10-25	2012-01-10
1 292 SHS RDEWRTH SEIX FLTG INC I	P	2010-10-29	2012-01-10
8 439 SHS RDEWRTH SEIX FLTG INC I	P	2010-11-30	2012-01-10
8 769 SHS RDEWRTH SEIX FLTG INC I	P	2010-12-31	2012-01-10
8 32 SHS RDEWRTH SEIX FLTG INC I	P	2011-01-31	2012-01-10
7 808 SHS RDEWRTH SEIX FLTG INC I	P	2011-02-28	2012-01-10
9 537 SHS RDEWRTH SEIX FLTG INC I	P	2011-03-31	2012-01-10
8 326 SHS RDEWRTH SEIX FLTG INC I	P	2011-04-29	2012-01-10
8 604 SHS RDEWRTH SEIX FLTG INC I	P	2011-05-31	2012-01-10
7 974 SHS RDEWRTH SEIX FLTG INC I	P	2011-06-30	2012-01-10
8 137 SHS RDEWRTH SEIX FLTG INC I	P	2011-07-29	2012-01-10
8 543 SHS RDEWRTH SEIX FLTG INC I	P	2011-08-31	2012-01-10
7 781 SHS RDEWRTH SEIX FLTG INC I	P	2011-09-30	2012-01-10
8 228 SHS RDEWRTH SEIX FLTG INC I	P	2011-10-31	2012-01-10
6 106 SHS RDEWRTH SEIX FLTG INC I	P	2011-12-02	2012-01-10
0 549 SHS RDEWRTH SEIX FLTG INC I	P	2011-12-19	2012-01-10
3 919 SHS RDEWRTH SEIX FLTG INC I	P	2012-01-04	2012-01-10
534 SHS SPDR S&P 500 TR 1 ETF	P	2012-01-10	2012-08-01
2246 465 SHS SCHWAB CP FNDMNTL US LRG	P	2011-04-04	2012-01-10
997 598 SHS SCHWAB CP FNDMNTL US LRG	P	2011-10-19	2012-01-10
61 641 SHS SCHWAB CP FNDMNTL US LRG	P	2011-12-12	2012-01-10
52 SHS SIRONA DENTAL SYS INC	P	2012-01-10	2012-03-06
59 SHS SPDR GOLD TRUST GOLD ETF	P	2010-08-19	2012-01-10

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104 SHS STARWOOD PROPERTY TRUST	P	2012-04-09	2012-06-05
961 962 SHS TCW EMERGING MKTS INC FD	P	2011-11-16	2012-01-10
4 681 SHS TCW EMERGING MKTS INC FD	P	2011-12-02	2012-01-10
5 872 SHS TCW EMERGING MKTS INC FD	P	2012-01-03	2012-01-10
472 435 SHS THORNBURG INTL VALUE FD I	P	2010-04-01	2012-01-10
4 622 SHS THORNBURG INTL VALUE FD I	P	2010-06-25	2012-01-10
3 196 SHS THORNBURG INTL VALUE FD I	P	2010-09-24	2012-01-10
1 038 SHS THORNBURG INTL VALUE FD I	P	2010-12-27	2012-01-10
1 649 SHS THORNBURG INTL VALUE FD I	P	2011-03-25	2012-01-10
6 026 SHS THORNBURG INTL VALUE FD I	P	2011-06-24	2012-01-10
4 158 SHS THORNBURG INTL VALUE FD I	P	2011-09-26	2012-01-10
0 861 SHS THORNBURG INTL VALUE FD I	P	2011-12-28	2012-01-10
796 SHS VANGUARD DIV APPREC ETF	P	2010-04-01	2012-01-10
17 SHS VANGUARD DIV APPREC ETF	P	2011-10-19	2012-01-10
378 SHS VANGUARD TTL BD MKT ETF	P	2010-04-01	2012-01-10
1 SHS VANGUARD TTL BD MKT ETF	P	2011-10-19	2012-01-10
20000 SHS AGRUUM INC 6 75 011519	P	2009-07-31	2012-01-25
15000 SHS ALCOA INC 5 4 041521	P	2011-04-13	2012-04-17
15000 SHS ARCELORMITTAL 5 5 030121	P	2011-08-09	2012-07-25
5000 SHS ARCELORMITTAL 5 5 030121	P	2012-06-11	2012-07-25
120000 SHS BAMSI 04-52A3 5 5 072512	P	2007-04-25	2012-07-25
65000 SHS COMET 04-4A VAR 031517	P	2011-04-06	2012-06-26
20000 SHS CCCI04-A8 A8 4 9 121216	P	2010-03-24	2012-06-26
7000 SHS 8ENERGY TRANSF9 0 041519	P	2009-06-19	2012-02-07
210000 SHS AUG FNMA 30YR 4% TBA	P	2012-07-09	2012-08-08
210000 SHS MAY FNMA 30YR 4% TBA	P	2012-04-05	2012-05-08
135578 SHS FNMA 981265 5 0 030123	P	2008-04-15	2012-04-09
120000 SHS FNMA 0 625 103014	P	2011-11-08	2012-08-10
20000 SHS GECC MTN 6 875 011039	P	2009-06-08	2012-07-16
150000 SHS FEB FNMA 30YR 3 5%	P	2012-02-08	2012-02-08

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15000 SHS GLAXOSMITHKLN4 85 051513	P	2011-07-28	2012-08-06
100000 SHS APR FNMA 15YR 3%	P	2012-03-12	2012-04-12
150000 SHS APR FNMA 30YR 3 5%	P	2012-03-02	2012-04-09
150000 SHS MAY FNMA 30YR 3 5%	P	2012-04-09	2012-05-08
15000 SHS MAY FNMA 30YR 3 5%	P	2012-04-17	2012-05-08
100000 SHS MAY FNMA 15YR 3%	P	2012-04-12	2012-05-10
100000 SHS JUN FNMA 15YR 3%	P	2012-05-10	2012-06-13
165000 SHS JUL FNMA 30YR 3 5%	P	2012-06-07	2012-07-10
10000 SHS ENTERPRISE PRO5 7 021542	P	2011-08-10	2012-07-09
65000 SHS JAN FNMA 30YR 3 5%	P	2011-12-07	2012-01-09
85000 SHS JAN FNMA 30YR 3 5%	P	2011-12-16	2012-01-09
15000 SHS JPMCC 06CB175 429 121243	P	2011-03-15	2012-01-12
45000 SHS JPMORGAN CHS 6 45 020237	P	2007-09-27	2012-07-12
15000 SHS JPMCC7LDPXA3 5 42 011549	P	2011-09-23	2012-04-17
20000 SHS JPMCC7LDPXA3 5 42 011549	P	2012-06-13	2012-08-02
65000 SHS FHLMC 1G0731 VAR 020136	P	2006-05-09	2012-05-30
100000 SHS DEC FNMA 15YR 3%	P	2011-12-12	2011-12-12
210000 SHS FEB FNMA 30YR TBA 4%	P	2012-02-08	2012-02-08
210000 SHS MAR FNMA 30YR TBA 4%	P	2012-02-07	2012-03-05
100000 SHS JAN FNMA 15YR 3%	P	2012-01-12	2012-01-12
100000 SHS FEB FNMA 15YR 3%	P	2012-02-14	2012-02-14
100000 SHS MAR FNMA 15YR 3%	P	2012-02-13	2012-03-12
100000 SHS AUG FNMA 3% 15YR TBA	P	2012-07-09	2012-08-03
210000 SHS APR FNMA 30YR 4%	P	2012-03-02	2012-04-05
100000 SHS JUL FNMA 15YR 3%	P	2012-06-13	2012-07-09
200000 SHS FEB FNMA 30YR 5% TBA	P	2012-02-08	2012-02-08
200000 SHS APR FNMA 30YR 5% TBA	P	2012-03-02	2012-04-09
200000 SHS MAY FNMA 30YR 5% TBA	P	2012-04-09	2012-05-08
200000 SHS JUN FNMA 30YR 5%	P	2012-05-08	2012-06-11
200000 SHS JUN FNMA 30YR 5%	P	2012-06-13	2012-06-13

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200000 SHS JUL FNMA 30YR 5% TBA	P	2012-06-11	2012-07-05
200000 SHS DEC FNMA 30YR 5% TBA	P	2011-11-07	2011-12-08
210000 SHS JAN FNMA 4% 30YR TBA	P	2011-12-07	2012-01-09
210000 SHS JUN FNMA 30YR TBA 4%	P	2012-05-08	2012-06-07
210000 SHS JUL FNMA 30YR TBA 4%	P	2012-06-07	2012-07-10
200000 SHS JAN FNMA 30YR 5% TBA	P	2011-12-07	2012-01-09
200000 SHS MAR FNMA 30YR 5% TBA	P	2012-02-07	2012-03-02
210000 SHS DEC FNMA 30YR TBA 4%	P	2011-11-07	2011-12-08
150000 SHS MAR FNMA 30YR 3 5%	P	2012-02-07	2012-03-02
165000 SHS AUG FNMA 3 5% 30YR TBA	P	2012-07-05	2012-08-07
10000 SHS AUG FNMA 3 5% 30YR TBA	P	2012-07-05	2012-08-07
165000 SHS AUG FNMA 3 5% 30YR TBA	P	2012-07-06	2012-08-07
175000 SHS AUG FNMA 3 5% 30YR TBA	P	2012-07-05	2012-08-10
165000 SHS AUG FNMA 3 5% 30YR TBA	P	2012-08-08	2012-08-10
70000 SHS DEC FNMA 30YR TBA 3 5%	P	2011-11-07	2011-12-08
65000 SHS DEC FNMA 30YR TBA 3 5%	P	2011-11-07	2011-12-08
165000 SHS JUN FNMA 3 5% 30YR TBA	P	2012-05-08	2012-06-07
5000 SHS UST BOND 3 5 021539	P	2010-03-09	2012-01-31
10000 SHS UST BOND 3 5 021539	P	2010-08-27	2012-01-31
15000 SHS UST BOND 3 5 021539	P	2011-06-23	2012-01-31
10000 SHS UST BOND 3 5 021539	P	2011-06-23	2012-02-28
15000 SHS UST BOND 3 5 021539	P	2011-10-14	2012-02-28
10000 SHS UST BOND 3 5 021539	P	2012-01-23	2012-02-28
20000 SHS UST BOND 3 5 021539	P	2012-01-23	2012-07-13
25000 SHS UST NOTE 0 5 101514	P	2011-11-30	2012-03-08
15000 SHS UST NOTE 1 0 103116	P	2012-01-12	2012-04-30
10000 SHS UST NOTE 3 5 051520	P	2011-06-08	2011-12-16
40000 SHS UST NOTE 3 5 051520	P	2011-07-26	2011-12-16
5000 SHS UST NOTE 3 5 051520	P	2011-07-26	2011-12-16
35000 SHS UST NOTE 3 5 051520	P	2011-07-26	2012-02-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30000 SHS UST NOTE 3 5 051520	P	2011-08-01	2012-02-29
50000 SHS UST NOTE 3 5 051520	P	2011-11-30	2012-02-29
15000 SHS UST NOTE 2 5 063017	P	2011-09-14	2012-06-13
85000 SHS UST NOTE 0 5 101513	P	2012-02-28	2012-06-19
130000 SHS UST NOTE 0 5 101513	P	2012-02-29	2012-06-19
20000 SHS UST NOTE 3 125 051521	P	2012-01-23	2012-01-24
5000 SHS UST NOTE 3 125 051521	P	2012-01-23	2012-03-01
10000 SHS UST NOTE 3 125 051521	P	2012-01-25	2012-03-01
10000 SHS UST NOTE 3 125 051521	P	2012-01-25	2012-03-19
10000 SHS UST NOTE 3 125 051521	P	2012-02-29	2012-03-19
30000 SHS UST NOTE 3 125 051521	P	2012-02-29	2012-03-23
30000 SHS UST NOTE 3 125 051521	P	2012-02-29	2012-04-19
5000 SHS UST NOTE 3 125 051521	P	2012-02-29	2012-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,895		9,907	-1,012
4,823		4,663	160
7,978		8,134	-156
4,979		5,499	-520
284		271	13
688		620	68
411		396	15
2,552		3,138	-586
158		157	1
158		162	-4
3,522		2,635	887
2,081		1,567	514
11,154		11,700	-546
3,573		3,713	-140
2,296		2,538	-242
4,842		4,962	-120
442		451	-9
905		989	-84
7,901		8,346	-445
27,237		24,551	2,686
1,461		1,470	-9
1,566		1,344	222
671		678	-7
895		904	-9
1,055		1,085	-30
1,645		1,645	0
102,449		102,250	199
4,567		5,310	-743
85,916		85,107	809
35,377		35,128	249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66,503		66,818	-315
219,081		217,547	1,534
215,453		213,781	1,672
843		873	-30
10		10	0
13		13	0
39,960		36,256	3,704
17,126		15,878	1,248
1,010		995	15
1,042		1,026	16
1,493		1,473	20
40		39	1
1,517		1,524	-7
1,059		1,090	-31
33		34	-1
23		22	1
412		415	-3
493		511	-18
362		376	-14
782		782	0
125		127	-2
701		728	-27
2,267		2,278	-11
16,148		12,657	3,491
1,455		1,463	-8
15,084		15,032	52
1,611		1,382	229
690		698	-8
920		930	-10
333		342	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
218,080		218,466	-386
214,305		215,000	-695
988		1,023	-35
10		10	0
22		23	-1
91,986		92,584	-598
1,464		1,442	22
1,509		1,487	22
577		570	7
201		199	2
1,236		1,242	-6
1,698		1,748	-50
33		33	0
22		21	1
349		352	-3
326		338	-12
404		419	-15
1,119		1,119	0
179		181	-2
522		542	-20
1,504		1,512	-8
20,000		20,000	0
16,495		15,578	917
1,448		1,456	-8
15,866		4,047	11,819
69,388		68,768	620
5,278		5,300	-22
1,735		1,489	246
744		751	-7
670		688	-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,129		16,955	174
16,170		15,690	480
219,089		215,709	3,380
68,930		68,296	634
215,516		213,844	1,672
92,753		92,198	555
525		544	-19
10		10	0
14		14	0
898		885	13
926		912	14
887		874	13
428		424	4
1,464		1,471	-7
604		622	-18
33		33	0
20		20	0
724		730	-6
798		827	-29
375		389	-14
465		465	0
74		75	-1
994		1,032	-38
4,156		4,177	-21
1,441		1,450	-9
		458	-458
5,063		5,007	56
11,292		10,367	925
15,000		15,000	0
1,608		1,379	229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
689		696	-7
10,022		8,431	1,591
16,716		15,552	1,164
214,531		212,344	2,187
92,438		91,866	572
5,533		5,184	349
154,582		150,844	3,738
61,833		60,525	1,308
68,514		67,313	1,201
491		508	-17
15		15	0
26		27	-1
802		790	12
827		814	13
1,093		1,078	15
597		592	5
1,484		1,491	-7
1,422		1,464	-42
33		33	0
20		20	0
502		506	-4
633		657	-24
309		320	-11
563		563	0
90		91	-1
879		913	-34
1,043		1,048	-5
34,261		32,090	2,171
33,533		32,425	1,108
11,178		10,796	382

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,113		37,787	1,326
5,588		5,178	410
1,435		1,443	-8
1,636		1,403	233
1,593		1,644	-51
53,833		22,178	31,655
28,227		27,909	318
151,271		151,641	-370
67,509		67,742	-233
212,883		213,563	-680
92,019		92,398	-379
252		259	-7
499		517	-18
15		15	0
258		268	-10
728		717	11
751		739	12
229		225	4
539		534	5
1,063		1,068	-5
80		83	-3
32		33	-1
22		21	1
679		685	-6
437		453	-16
306		317	-11
48		48	0
8		8	0
476		495	-19
396		398	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,381		1,263	118
554		578	-24
65		65	0
967		994	-27
6,167		6,342	-175
266		274	-8
759		780	-21
8,051		8,281	-230
4,103		4,220	-117
3,731		3,838	-107
3,327		4,144	-817
6,089		7,585	-1,496
7,290		7,707	-417
2,293		2,424	-131
34,143		32,669	1,474
4,835		4,626	209
25,337		27,906	-2,569
12,803		14,101	-1,298
10,887		10,795	92
731		725	6
25,842		27,947	-2,105
746		806	-60
22,635		29,410	-6,775
24,758		26,282	-1,524
7,675		8,148	-473
749		787	-38
1,782		1,736	46
1,523		1,554	-31
159		159	0
1,877		1,752	125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,227		1,209	18
1,222		1,293	-71
2,281		2,212	69
487		472	15
5,348		5,188	160
1,865		1,809	56
2,551		2,475	76
6,241		6,054	187
2,358		2,287	71
1,160		1,126	34
497		482	15
1,462		1,436	26
2,532		2,527	5
3,041		2,970	71
21,866		21,786	80
15,154		14,597	557
159		153	6
13,556		13,689	-133
1,961		1,980	-19
9,334		12,276	-2,942
9,701		9,068	633
593		555	38
15,119		14,645	474
537		520	17
24,761		22,205	2,556
4,380		3,927	453
92,051		85,460	6,591
6,637		6,162	475
32,382		34,298	-1,916
9,453		10,012	-559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,561		31,980	581
3,442		3,380	62
15,619		16,593	-974
31,051		34,548	-3,497
32,977		32,380	597
2,883		2,831	52
13,758		15,395	-1,637
11,503		12,871	-1,368
11,636		13,336	-1,700
2,336		2,677	-341
19,257		19,605	-348
833		848	-15
45,512		42,733	2,779
11,341		10,649	692
17,209		18,414	-1,205
6,768		7,242	-474
9,431		9,798	-367
461		479	-18
68		66	2
1		1	0
381		394	-13
139		139	0
3,678		3,663	15
2,263		2,345	-82
914		1,013	-99
404		404	0
738		776	-38
162		162	0
4,889		4,682	207
1,638		1,615	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,977		15,918	59
28,583		27,594	989
4,830		4,662	168
14,466		14,591	-125
1,172		1,183	-11
22,416		22,476	-60
5,127		5,141	-14
27,621		26,763	858
3,585		3,474	111
16,587		15,886	701
15,593		15,371	222
5,609		5,529	80
24,932		27,375	-2,443
3,007		3,302	-295
23,011		24,181	-1,170
1,292		1,357	-65
12,153		12,492	-339
5,972		6,139	-167
20,685		20,708	-23
4,282		4,287	-5
9,686		11,204	-1,518
2,630		3,042	-412
19,908		18,533	1,375
3,186		2,966	220
15,439		14,190	1,249
792		728	64
135		131	4
307		284	23
6,272		5,618	654
1,261		1,141	120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,575		2,322	253
647		588	59
13,887		14,921	-1,034
1,656		1,692	-36
8,557		8,488	69
7,094		6,954	140
3,558		3,579	-21
12,404		11,525	879
13,526		12,307	1,219
21,731		20,066	1,665
1,583		1,410	173
237,104		222,805	14,299
6,853		6,725	128
2,108		1,946	162
84,425		85,000	-575
109		86	23
10,205		1,693	8,512
180,826		192,045	-11,219
19,174		19,841	-667
8,182		8,395	-213
50,680		51,995	-1,315
41,138		47,440	-6,302
144,126		138,185	5,941
862		815	47
982		980	2
1,081		1,105	-24
750		820	-70
789		821	-32
2,020		2,302	-282
17,493		20,730	-3,237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
642		761	-119
1,166		1,389	-223
826		1,026	-200
396		514	-118
1,278		1,713	-435
13,408		15,138	-1,730
46,415		52,402	-5,987
94		109	-15
281		285	-4
1,158		1,140	18
1,274		1,142	132
3,058		1,946	1,112
2,770		1,725	1,045
2,221		1,733	488
1,657		1,485	172
1,565		1,491	74
183		180	3
84		75	9
186		173	13
2,032		2,120	-88
12,634		12,604	30
260		257	3
135		134	1
10,985		11,819	-834
63		67	-4
74		71	3
421		408	13
160		154	6
61		60	1
2,306		2,274	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,642		2,280	362
6,986		6,681	305
31		35	-4
3,616		3,718	-102
156		148	8
983		955	28
2,156		2,300	-144
1,904		2,267	-363
1,990		2,245	-255
2,586		2,318	268
2,221		2,332	-111
7,059		6,534	525
53		51	2
7,190		6,917	273
100		98	2
85		84	1
68		67	1
72		68	4
76		75	1
3,386		2,350	1,036
2,522		2,291	231
2,299		2,277	22
6,203		5,783	420
16,139		15,296	843
5,390		4,653	737
15,543		15,556	-13
209		208	1
1,209		820	389
10,845		11,028	-183
283		270	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,194		2,284	-1,090
1,565		2,345	-780
12,206		14,155	-1,949
418		397	21
1		1	0
10,309		9,277	1,032
399		374	25
7,189		7,313	-124
11		11	0
74		75	-1
76		78	-2
72		75	-3
68		71	-3
83		86	-3
73		75	-2
75		78	-3
69		71	-2
71		73	-2
74		73	1
68		66	2
72		72	0
53		53	0
5		5	0
34		34	0
73,784		69,153	4,631
21,813		23,116	-1,303
9,687		9,138	549
599		568	31
2,506		2,259	247
9,380		7,113	2,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,057		2,146	-89
7,975		8,042	-67
39		38	1
49		48	1
11,882		12,349	-467
116		111	5
80		85	-5
26		30	-4
41		49	-8
152		174	-22
105		98	7
22		21	1
44,337		39,028	5,309
947		886	61
31,525		29,839	1,686
83		83	0
24,100		20,817	3,283
15,501		14,978	523
14,162		14,980	-818
4,721		4,712	9
1,516		12,003	-10,487
65,142		64,898	244
22,020		21,648	372
8,567		7,786	781
224,741		224,372	369
222,986		219,975	3,011
40,792		51,409	-10,617
120,770		119,992	778
27,515		18,193	9,322
155,455		154,523	932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,516		15,923	-407
104,258		103,688	570
155,438		154,734	704
156,316		154,992	1,324
15,632		15,504	128
104,477		104,000	477
104,602		104,250	352
174,668		172,631	2,037
11,302		9,989	1,313
67,143		66,361	782
87,802		87,231	571
16,346		16,097	249
45,000		41,807	3,193
16,731		15,863	868
22,768		22,175	593
16,871		17,267	-396
103,074		102,156	918
221,607		220,598	1,009
221,263		221,058	205
103,754		102,797	957
104,063		103,500	563
103,949		103,797	152
105,277		104,953	324
220,402		220,828	-426
105,102		104,422	680
215,992		215,719	273
217,156		215,719	1,437
217,945		216,813	1,132
215,938		217,594	-1,656
215,938		217,594	-1,656

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
216,750		216,000	750
215,456		215,000	456
221,205		219,023	2,182
223,305		222,567	738
224,700		222,830	1,870
216,125		215,195	930
215,992		215,688	304
219,461		218,630	831
155,209		155,039	170
174,307		173,663	644
10,564		10,525	39
174,307		173,663	644
184,871		184,188	683
174,307		173,663	644
71,428		71,433	-5
66,518		66,341	177
173,070		171,497	1,573
5,591		4,220	1,371
11,182		9,674	1,508
16,773		13,385	3,388
10,943		8,923	2,020
16,414		15,840	574
10,943		10,753	190
23,914		21,506	2,408
25,061		25,078	-17
15,194		15,154	40
11,547		10,582	965
46,187		42,417	3,770
5,773		5,296	477
40,043		37,073	2,970

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,323		32,324	1,999
57,205		56,756	449
16,278		16,109	169
85,259		85,329	-70
130,396		130,488	-92
22,007		21,980	27
5,518		5,495	23
11,036		10,994	42
10,744		10,994	-250
10,744		11,091	-347
32,594		33,274	-680
33,351		33,274	77
5,716		5,546	170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,012
			160
			-156
			-520
			13
			68
			15
			-586
			1
			-4
			887
			514
			-546
			-140
			-242
			-120
			-9
			-84
			-445
			2,686
			-9
			222
			-7
			-9
			-30
			0
			199
			-743
			809
			249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-315
			1,534
			1,672
			-30
			0
			0
			3,704
			1,248
			15
			16
			20
			1
			-7
			-31
			-1
			1
			-3
			-18
			-14
			0
			-2
			-27
			-11
			3,491
			-8
			52
			229
			-8
			-10
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-386
			-695
			-35
			0
			-1
			-598
			22
			22
			7
			2
			-6
			-50
			0
			1
			-3
			-12
			-15
			0
			-2
			-20
			-8
			0
			917
			-8
			11,819
			620
			-22
			246
			-7
			-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			174
			480
			3,380
			634
			1,672
			555
			-19
			0
			0
			13
			14
			13
			4
			-7
			-18
			0
			0
			-6
			-29
			-14
			0
			-1
			-38
			-21
			-9
			-458
			56
			925
			0
			229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			1,591
			1,164
			2,187
			572
			349
			3,738
			1,308
			1,201
			-17
			0
			-1
			12
			13
			15
			5
			-7
			-42
			0
			0
			-4
			-24
			-11
			0
			-1
			-34
			-5
			2,171
			1,108
			382

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,326
			410
			-8
			233
			-51
			31,655
			318
			-370
			-233
			-680
			-379
			-7
			-18
			0
			-10
			11
			12
			4
			5
			-5
			-3
			-1
			1
			-6
			-16
			-11
			0
			0
			-19
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			118
			-24
			0
			-27
			-175
			-8
			-21
			-230
			-117
			-107
			-817
			-1,496
			-417
			-131
			1,474
			209
			-2,569
			-1,298
			92
			6
			-2,105
			-60
			-6,775
			-1,524
			-473
			-38
			46
			-31
			0
			125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			-71
			69
			15
			160
			56
			76
			187
			71
			34
			15
			26
			5
			71
			80
			557
			6
			-133
			-19
			-2,942
			633
			38
			474
			17
			2,556
			453
			6,591
			475
			-1,916
			-559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			581
			62
			-974
			-3,497
			597
			52
			-1,637
			-1,368
			-1,700
			-341
			-348
			-15
			2,779
			692
			-1,205
			-474
			-367
			-18
			2
			0
			-13
			0
			15
			-82
			-99
			0
			-38
			0
			207
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			59
			989
			168
			-125
			-11
			-60
			-14
			858
			111
			701
			222
			80
			-2,443
			-295
			-1,170
			-65
			-339
			-167
			-23
			-5
			-1,518
			-412
			1,375
			220
			1,249
			64
			4
			23
			654
			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			253
			59
			-1,034
			-36
			69
			140
			-21
			879
			1,219
			1,665
			173
			14,299
			128
			162
			-575
			23
			8,512
			-11,219
			-667
			-213
			-1,315
			-6,302
			5,941
			47
			2
			-24
			-70
			-32
			-282
			-3,237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-119
			-223
			-200
			-118
			-435
			-1,730
			-5,987
			-15
			-4
			18
			132
			1,112
			1,045
			488
			172
			74
			3
			9
			13
			-88
			30
			3
			1
			-834
			-4
			3
			13
			6
			1
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			362
			305
			-4
			-102
			8
			28
			-144
			-363
			-255
			268
			-111
			525
			2
			273
			2
			1
			1
			4
			1
			1,036
			231
			22
			420
			843
			737
			-13
			1
			389
			-183
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,090
			-780
			-1,949
			21
			0
			1,032
			25
			-124
			0
			-1
			-2
			-3
			-3
			-3
			-2
			-3
			-2
			-2
			1
			2
			0
			0
			0
			0
			4,631
			-1,303
			549
			31
			247
			2,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-89
			-67
			1
			1
			-467
			5
			-5
			-4
			-8
			-22
			7
			1
			5,309
			61
			1,686
			0
			3,283
			523
			-818
			9
			-10,487
			244
			372
			781
			369
			3,011
			-10,617
			778
			9,322
			932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-407
			570
			704
			1,324
			128
			477
			352
			2,037
			1,313
			782
			571
			249
			3,193
			868
			593
			-396
			918
			1,009
			205
			957
			563
			152
			324
			-426
			680
			273
			1,437
			1,132
			-1,656
			-1,656

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			750
			456
			2,182
			738
			1,870
			930
			304
			831
			170
			644
			39
			644
			683
			644
			-5
			177
			1,573
			1,371
			1,508
			3,388
			2,020
			574
			190
			2,408
			-17
			40
			965
			3,770
			477
			2,970

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,999
			449
			169
			-70
			-92
			27
			23
			42
			-250
			-347
			-680
			77
			170

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN KURISH DO	IMMEDIATE PAST PRESIDENT 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
WILLIAM GRIFFIS DO	PRESIDENT 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
THOMAS MCCARTHY DO	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
PENNY SAYRE CPA	TREASURER 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
THOMAS HIGGINBOTHAM DO	PRESIDENT ELECT 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
WILLIAM DULANEY ESQ	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
DANIEL TELL DO	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
ROBERT MCDONALD	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
MARY WALL RNC	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
MICHAEL WELCH DO	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
CURRY HORAK MD	SECRETARY 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
LYNNE JONES	DIRECTOR 2 00	0	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				
DORIS RALSTON	EXECUTIVE DIRECTOR 40 00	70,000	0	0
2 NORTH CASCADE AVENUE COLORADO SPRINGS, CO 80903				

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

DORIS RALSTON
PO BOX 49577
COLORADO SPRINGS,CO 80909
(719) 635-3851

b The form in which applications should be submitted and information and materials they should include

APPLICATIONS ARE TO BE SUBMITTED USING THE COLORADO COMMON GRANT APPLICATION AND MAY BE SUBMITTED VIA EMAIL, FAX OR REGULAR MAIL

c Any submission deadlines

GRANTS DEADLINES FOR GRANT APPLICATIONS ARE SEPTEMBER 1 AND FEBRUARY 12

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS ARE LIMITED TO EL PASO AND TELLER COUTIES NO GRANTS ARE AWARDED TO INDIVIDUALS

a The name, address, and telephone number of the person to whom applications should be addressed

DORIS RALSTON
PO BOX 49577
COLORADO SPRINGS,CO 80909
(719) 635-3851

b The form in which applications should be submitted and information and materials they should include

THE SCHOLARSHIP APPLICATION IS AVAILABLE VIA THE FOUNDATIONS WEBSITE REQUIRED INFORMATION INCLUDED MEDICAL SCHOOL TRANSCRIPTS, MCAT SCORES, A LIST OF CLUBS AND ORGANIZATIONS THE APPLICANT BELONGS TOO, COMMUNITY SERVICE PROVIDED, AN ESSAY ABOUT WHY THEY WANT TO BE AN OSTEOPATHIC PHYSICIAN, A PHOTO AND CURRICULUM

c Any submission deadlines

APPLICATIONS MUST BE SUBMITTED BY MARCH 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SCHOLARSHIPS ARE LIMITED TO COLORADO RESIDENTS WHO ARE SECOND YEAR STUDENTS AND DO NOT ALREADY HAVE FULL SCHOLASHIPS FROM ANOTHER SOURCE

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ADAM FOSTER2 NORTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		TUITION SCHOLARSHIP	10,000
AMERICAN RED CROSS PIKES PEAK CHAPTER1040 S 8TH ST 200 COLORADO SPRINGS,CO 80905	NONE		CHARITABLE	500
BENJAMIN BARRICK2 NORTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		TUITION SCHOLARSHIP	10,000
CARE AND SHARE2605 PREAMBLE POINT COLORADO SPRINGS,CO 80915	NONE		CHARITABLE	12,000
CASA OF THE PIKES PEAK REGION701 SOUTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	16,000
CENTER FOR NONPROFIT EXCELLENCE518 NORTH NEVADA AVENUE COLORADO SPRINGS,CO 80903	NONE		SPONSORSHIP	1,200
COLORADO SPRINGS ECUMENICAL SOCIAL MINISTRIES201 NORTH WEBER STREET COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	15,000
COLORADO SPRINGS THERAPEUTIC RIDING CENTER 13620 HALLELUIAH TRAIL ELBERT,CO 80106	NONE		CHARITABLE	1,000
CU AGING CENTER1420 AUSTIN BLUFFS PARKWAY COLORADO SPRINGS,CO 80918	NONE		CHARITABLE	28,000
DAWNIELLE ENDLY2 NORTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		TUITION SCHOLARSHIP	10,000
EARLY CONNECTIONS LEARNING CENTERS104 E RIO GRANDE COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	8,000
FIRST CHRISTIAN CHURCH MEMORIAL16 EAST PLATTE AVENUE COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	100
HALF THE SKY FOUNDATION715 HEARST AVENUE STE 200 BERKELEY,CA 94710	NONE		CHARITABLE	500
HUMAN TRAFFICKING TASK FORCEPO BOX 784 COLORADO SPRINGS,CO 80901	NONE		CHARITABLE	1,000
JAMES PRICKETT2 NORTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		TUITION SCHOLARSHIP	10,000
JENNIFER QUICK2 NORTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		TUITION SCHOLARSHIP	10,000
KIDPOWER10 BOULDER CRESCENT SUITE 100 COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	5,000
LEADERSHIP PIKES PEAK24 SOUTH WEBER STREET 135 COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	1,000
MICHAEL GROOVER2 NORTH CASCADE AVENUE COLORADO SPRINGS,CO 80903	NONE		TUITION SCHOLARSHIP	10,000
MISSION MEDICAL CLINIC2125 E LA SALLE COLORADO SPRINGS,CO 80909	NONE		CHARITABLE	16,000
OPEN BIBLE MEDICAL CENTER 824 SOUTH UNION BLVD COLORADO SPRINGS,CO 80910	NONE		CHARITABLE	5,000
PIKES PEAK HOSPICE AND PALLIATIVE CARE825 E PIKES PEAK AVENUE SUITE 600 COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	15,000
PIKES PEAK URBAN GARDENS730 N NEVADA AVE COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	1,000
RONALD MCDONALD HOUSE CHARITIES OF SOUTHERN COLORADO311 N LOGAN AVE COLORADO SPRINGS,CO 80909	NONE		CHARITABLE	1,000
SET FAMILY MEDICAL CLINICS 825 E PIKES PEAK AVENUE BLDG 29 COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	11,000
SILVER KEY SENIOR SERVICES 2250 BOTT AVENUE COLORADO SPRINGS,CO 80904	NONE		CHARITABLE	10,000
SPECIAL KIDS SPECIAL FAMILIES 3450 NORTH NEVADA AVENUE COLORADO SPRINGS,CO 80907	NONE		CHARITABLE	5,000
STARFIT KIDSP O BOX 61059 COLORADO SPRINGS,CO 80960	NONE		CHARITABLE	10,000
SUICIDE PREVENTION PARTNERSHIP704 NORTH TEJON STREET COLORADO SPRINGS,CO 80903	NONE		CHARITABLE	1,000
TELLER SENIOR COALITIONPO BOX 6956 WOODLAND PARK,CO 80866	NONE		CHARITABLE	15,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TESSA435 GOLD PASS HEIGHTS COLORADO SPRINGS,CO 80906	NONE		CHARITABLE	12,000
WOMEN'S RESROUCE AGENCY750 CITADEL DRIVE E 3116 COLORADO SPRINGS,CO 80909	NONE		CHARITABLE	10,000
Total  3a				261,300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
b <i>Approved for future payment</i>				
CU AGING CENTER1420 AUSTIN BLUFFS PARKWAY COLORADO SPRINGS, CO 80918	NONE		CHARITABLE	56,000
Total  3b				56,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: COLORADO SPRINGS OSTEOPATHIC FOUNDATION

EIN: 84-0488554

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BOOKSHELVES	1994-10-13	126	126	SL	7 0000000000000	0	0	0	
FILE CABINET	1988-06-15	300	300	SL	7 0000000000000	0	0	0	
FILE CABINET	1986-07-23	291	291	SL	5 0000000000000	0	0	0	
FIREPROOF CABINET	1988-08-15	522	522	SL	7 0000000000000	0	0	0	
BARRISTER BOOKSHELF	1989-03-31	774	774	SL	7 0000000000000	0	0	0	
DESK, CREDENZA	1998-12-31	1,100	1,100	SL	7 0000000000000	0	0	0	
LATERAL FILE	1998-12-31	438	438	SL	7 0000000000000	0	0	0	
UNIX SERVER	2000-07-11	3,800	3,800	SL	5 0000000000000	0	0	0	
CREDENZA	2000-08-31	499	499	SL	7 0000000000000	0	0	0	
FILE CABINETS	2001-02-02	320	320	SL	5 0000000000000	0	0	0	
LDC PROJECTOR	2002-10-07	1,700	1,700	SL	5 0000000000000	0	0	0	
RAISERS EDGE SOFTWARE	2005-07-25	6,143	6,143	SL	3 0000000000000	0	0	0	
LAPTOP	2005-12-20	883	883	200DB	5 0000000000000	0	0	0	
COMPUTER SERVER	2006-12-14	3,300	3,159	200DB	5 0000000000000	56	0	56	
DONOR WALL	2007-06-11	1,778	1,332	200DB	7 0000000000000	127	0	127	
PRINTER	2007-09-14	475	364	SL	5 0000000000000	95	0	95	
FAX SERVER	2008-02-27	2,995	1,997	SL	5 0000000000000	599	0	599	
LASER PRINTER	2007-09-28	272	204	SL	5 0000000000000	54	0	54	
DELL WORKSTATION	2008-04-29	2,495	1,580	SL	5 0000000000000	499	0	499	
FUJITSU SCANNER	2008-05-14	901	571	SL	5 0000000000000	180	0	180	

**TY 2011 Investments Corporate
Bonds Schedule****Name:** COLORADO SPRINGS OSTEOPATHIC FOUNDATION**EIN:** 84-0488554

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SMH-0018	0	0
STIFEL NICOLAUS - 1788	47,520	47,520
STIFEL NICOLAUS - 6186	104,890	104,890
STIFEL NICOLAUS - 0846	3,151,560	3,151,560
STIFEL NICOLAUS - 9596	170,635	170,635

**TY 2011 Investments Corporate
Stock Schedule****Name:** COLORADO SPRINGS OSTEOPATHIC FOUNDATION**EIN:** 84-0488554

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SMH-5306	0	0
SMH-0001	0	0
MORINGSTAR -3532	0	0
MORINGSTAR -3494	0	0
MORINGSTAR -3518	0	0
STIFEL NICOLAUS - 1788	1,803,915	1,803,915
STIFEL NICOLAUS - 6186	223,893	223,893
STIFEL NICOLAUS - 9596	877,522	877,522

**TY 2011 Investments Government
Obligations Schedule****Name:** COLORADO SPRINGS OSTEOPATHIC FOUNDATION**EIN:** 84-0488554**US Government Securities - End of
Year Book Value:**

0

**US Government Securities - End of
Year Fair Market Value:**

0

**State & Local Government
Securities - End of Year Book
Value:**

18,154

**State & Local Government
Securities - End of Year Fair
Market Value:**

18,154

TY 2011 Land, Etc. Schedule**Name:** COLORADO SPRINGS OSTEOPATHIC FOUNDATION**EIN:** 84-0488554

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BOOKSHELVES	126	126	0	0
FILE CABINET	300	300	0	0
FILE CABINET	291	291	0	0
FIREPROOF CABINET	522	522	0	0
BARRISTER BOOKSHELF	774	774	0	0
DESK, CREDENZA	1,100	1,100	0	0
LATERAL FILE	438	438	0	0
UNIX SERVER	3,800	3,800	0	0
CREDENZA	499	499	0	0
FILE CABINETS	320	320	0	0
LDC PROJECTOR	1,700	1,700	0	0
RAISERS EDGE SOFTWARE	6,143	6,143	0	0
LAPTOP	883	883	0	0
COMPUTER SERVER	3,300	3,215	85	85
DONOR WALL	1,778	1,459	319	319
PRINTER	475	459	16	16
FAX SERVER	2,995	2,596	399	399
LASER PRINTER	272	258	14	14
DELL WORKSTATION	2,495	2,079	416	416
FUJITSU SCANNER	901	751	150	150

TY 2011 Other Assets Schedule

Name: COLORADO SPRINGS OSTEOPATHIC FOUNDATION

EIN: 84-0488554

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	1,375	1,375	1,375
ACCRUED INTEREST	21,065	19,892	19,892

TY 2011 Other Decreases Schedule

Name: COLORADO SPRINGS OSTEOPATHIC FOUNDATION

EIN: 84-0488554

Description	Amount
UNREALIZED LOSS	258,391

TY 2011 Other Expenses Schedule**Name:** COLORADO SPRINGS OSTEOPATHIC FOUNDATION**EIN:** 84-0488554

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	4,789	0	0	4,789
OFFICE EXPENSE	3,636	0	0	3,636
POSTAGE	1,197	0	0	1,197
DUES AND SUBSCRIPTIONS	1,639	0	0	1,639
TECHNOLOGY	2,471	0	0	2,471
TELEPHONE	550	0	0	550
MISCELLANEOUS	86	0	0	86
COMMUNITY RELATIONS	1,113	0	0	1,113
INVESTMENT FEES	71,218	71,218	0	0

TY 2011 Other Liabilities Schedule

Name: COLORADO SPRINGS OSTEOPATHIC FOUNDATION

EIN: 84-0488554

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL LIABILITIES	5,387	0

TY 2011 Other Professional Fees Schedule

Name: COLORADO SPRINGS OSTEOPATHIC FOUNDATION

EIN: 84-0488554

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL SERVICES	13,477	0	0	13,477

TY 2011 Taxes Schedule**Name:** COLORADO SPRINGS OSTEOPATHIC FOUNDATION**EIN:** 84-0488554

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	5,355	0	0	5,355
EXCISE TAX	2,328	0	0	0