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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 07-01-2011 , and ending 06-30-2012

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
PEARL DIXON BALTHIS FDN TUA

A Employer identification number
56-6041570

Number and street (or P O box number if mail is not delivered to street address)
1525 W WT HARRIS BLVD D1114-044

Room/suite

B Telephone number (see page 10 of the instructions)
(336) 747-8187

City or town, state, and ZIP code
CHARLOTTE, NC 28288

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,596,124

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	46,781	45,866		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	13,049			
	b	Gross sales price for all assets on line 6a 278,591				
	7	Capital gain net income (from Part IV, line 2)		13,049		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	186			
	12	**Total.** Add lines 1 through 11	60,016	58,915		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	12,441	9,331		3,110
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	750	0	0	750
	c	Other professional fees (attach schedule)	118	118		0
	17	Interest				0
	18	Taxes (attach schedule) (see page 14 of the instructions)	2,924	675		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	409			409
	24	**Total operating and administrative expenses.** Add lines 13 through 23	16,642	10,124	0	4,269
	25	Contributions, gifts, grants paid	64,500			64,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	81,142	10,124	0	68,769
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-21,126			
	b	**Net investment income** (if negative, enter -0-)		48,791		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	53,466	20,435	20,435
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	224,180	755,922	863,218
	c	Investments—corporate bonds (attach schedule)		97,000	97,196
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,180,171	564,243	615,275
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,457,817	1,437,600	1,596,124
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	1,457,817	1,437,600	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,457,817	1,437,600	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,457,817	1,437,600	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,457,817
2	Enter amount from Part I, line 27a	2	-21,126
3	Other increases not included in line 2 (itemize) ▶	3	3,941
4	Add lines 1, 2, and 3	4	1,440,632
5	Decreases not included in line 2 (itemize) ▶	5	3,032
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,437,600

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	13,049
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010			
2009			
2008			
2007			
2006			

2	**Total** of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	976
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	976
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	976
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,420		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,420
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	444
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 444		**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶WELLS FARGO BANK NA Telephone no ▶(336) 747-8187

Located at ▶1 W 4TH ST D4000-062 WINSTON SALEM NC ZIP+4 ▶27101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☑ Yes ☐ No			
	If "Yes," list the years ▶ 20____, 2008 , 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Wells Fargo Bank NA 1525 WEST WT HARRIS BLVD CHARLOTTE, NC 282885709	Trustee 40	12,441		

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,541,032
b	Average of monthly cash balances	1b	46,406
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,587,438
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,587,438
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	23,812
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,563,626
6	**Minimum investment return.** Enter 5% of line 5	6	78,181

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	78,181
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a: 976		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	976
3	Distributable amount before adjustments Subtract line 2c from line 1	3	77,205
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	77,205
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	77,205

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	68,769
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	68,769
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	68,769

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				77,205
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			64,455	
b Total for prior years 2009 , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006. 0				
b From 2007. 0				
c From 2008. 0				
d From 2009. 0				
e From 2010. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 68,769				
a Applied to 2010, but not more than line 2a			64,455	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				4,314
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				72,891
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2007. . . . 0				
b Excess from 2008. . . . 0				
c Excess from 2009. . . . 0				
d Excess from 2010. . . . 0				
e Excess from 2011. . . . 0				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total **3a**				64,500
b *Approved for future payment*				
Total **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	46,781	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	13,049	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a FEDERAL TAX REFUND			01	186	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				60,016	

13 Total. Add line 12, columns (b), (d), and (e). **13** 60,016

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
11	0
11	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-11-01	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed ☐	PTIN
Firm's name		Firm's EIN	
Firm's address		Phone no	

May the IRS discuss this return with the preparer shown above? See instructions ☐ **Yes** ☑ **No**

Additional Data

Software ID:
Software Version:
EIN: 56-6041570
Name: PEARL DIXON BALTHIS FDN TUA

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
28 ABERCROMBIE & FITCH CO CL A		2011-11-04	2012-02-27
8 ALLEGHENY TECHNOLOGIES INC COM		2010-05-07	2011-09-22
20 ALLEGHENY TECHNOLOGIES INC COM		2011-03-14	2011-10-04
3 ALLEGHENY TECHNOLOGIES INC COM		2010-05-07	2011-10-04
1 ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2/18/2010		2009-12-18	2011-12-27
1 AMAZON COM INC COM		2009-12-18	2011-07-13
4 AMAZON COM INC COM		2009-12-18	2011-07-27
11 AMAZON COM INC COM		2010-09-09	2011-10-26
3 AMAZON COM INC COM		2010-09-14	2011-11-22
41 AMERICAN ELEC PWR CO INC COM		2011-05-23	2012-01-20
29 AMERICAN EXPRESS CO COM		2011-10-24	2012-04-30
2 APPLE COMPUTER INC COM		2009-12-18	2012-03-22
1 APPLE COMPUTER INC COM		2009-12-18	2012-04-17
1 APPLE COMPUTER INC COM		2009-12-18	2012-05-17
65 BG GROUP PLC- SPON ADR ISIN US0554342032		2011-04-20	2012-05-17
6 BAKER HUGHES INC COM		2011-01-28	2011-08-10
20 BAKER HUGHES INC COM		2011-01-28	2011-09-28
3 BIOGEN IDEC INC		2011-11-02	2012-04-20
6 BOEING CO COM		2010-06-10	2011-09-22
13 BOEING CO COM		2010-06-10	2011-09-27
5 BORG WARNER INC COM		2010-08-10	2012-05-15
51 BRISTOL-MYERS SQUIBB CO COM		2011-01-25	2011-10-12
5 CME GROUP INC		2010-12-03	2011-10-24
10 CANADIAN NAT RES LTD COM CN$		2009-12-18	2011-08-10
24 CANADIAN NAT RES LTD COM CN$		2010-11-15	2011-10-05
27 CANADIAN NAT RES LTD COM CN$		2009-12-18	2011-10-05
10 CATERPILLAR INC COM W/RTS EXP 12/11/2006		2010-07-27	2011-08-16
4 CATERPILLAR INC COM W/RTS EXP 12/11/2006		2011-02-18	2011-08-16
1 CERNER CORP COM		2011-11-21	2011-12-27
3 CINCINNATI FINL CORP COM		2011-11-17	2012-02-03

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53 CINCINNATI FINL CORP COM		2011-01-25	2012-02-03
13 CITRIX SYS INC COM		2009-12-18	2011-08-11
4 CITRIX SYS INC COM		2010-04-22	2011-10-27
10 CITRIX SYS INC COM		2010-04-22	2012-01-26
1 COACH INC COM W/RTS ATTACHED EXP 5/2/2011		2010-01-28	2011-12-27
5 COACH INC COM W/RTS ATTACHED EXP 5/2/2011		2010-01-28	2012-02-21
22 COACH INC COM W/RTS ATTACHED EXP 5/2/2011		2010-01-28	2012-06-07
13 COCA-COLA CO COM		2010-01-28	2011-12-23
22 COCA-COLA CO COM		2010-03-04	2012-02-09
11 COGNIZANT TECH SOLUTIONS CRP COM		2011-03-22	2011-08-02
9 COGNIZANT TECH SOLUTIONS CRP COM		2011-04-27	2011-08-10
6 0004 COGNIZANT TECH SOLUTIONS CRP COM		2012-01-10	2012-05-18
7 9996 COGNIZANT TECH SOLUTIONS CRP COM		2012-01-10	2012-05-18
26 COGNIZANT TECH SOLUTIONS CRP COM		2012-01-10	2012-06-11
51 CONSOLIDATED EDISON INC COM		2011-01-25	2012-01-05
7 CUMMINS INC COM		2010-04-29	2011-08-10
2132 353 DODGE & COX INCOME FD COM		2009-12-09	2012-06-26
44 DOMINION RES INC VA NEW COM		2011-01-25	2011-11-10
45 DOMINION RES INC VA NEW COM		2011-01-25	2012-01-05
5 EOG RES INC COM		2010-10-13	2011-09-22
3 EOG RES INC COM		2010-10-13	2012-03-02
5 EOG RES INC COM		2010-10-13	2012-04-24
7 EOG RES INC COM		2011-02-24	2012-06-18
9 EOG RES INC COM		2011-12-06	2012-06-21
1 EOG RES INC COM		2011-02-24	2012-06-21
4 EDWARDS LIFESCIENCES CORP COM		2011-12-09	2012-06-18
4 EDWARDS LIFESCIENCES CORP COM		2011-12-09	2012-06-20
8 EDWARDS LIFESCIENCES CORP COM		2011-12-09	2012-06-26
7 EXPRESS SCRIPTS INC CL A W/RIGHTS ATTACHED EXP 7/25/2001		2010-06-14	2011-08-03
57 FIFTH THIRD BANCORP COM		2012-03-13	2012-06-01

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10 FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED EXP 5/3/2010		2010-10-29	2011-09-22
44 FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED EXP 5/3/2010		2010-11-15	2011-09-28
19 GILEAD SCIENCES INC COM		2011-07-21	2011-09-28
14 GILEAD SCIENCES INC COM		2012-02-03	2012-05-09
2 GOOGLE INC CL A		2011-02-11	2012-01-11
2 GOOGLE INC CL A		2011-02-14	2012-04-30
6 ILLUMINA INC COM		2011-01-12	2011-10-04
12 ILLUMINA INC COM		2011-01-12	2011-12-09
4 ILLUMINA INC COM		2011-01-12	2012-01-26
4 ILLUMINA INC COM		2011-03-16	2012-01-26
35 INTEL CORP COM		2011-05-19	2011-11-04
23 JP MORGAN CHASE & CO COM		2011-12-05	2012-05-07
61 JP MORGAN CHASE & CO COM		2012-03-02	2012-06-11
11 JOHNSON & JOHNSON COM		2011-05-12	2011-11-04
14 JOHNSON & JOHNSON COM		2011-06-24	2011-12-02
1 JOHNSON & JOHNSON COM		2011-06-24	2011-12-27
7 JOHNSON & JOHNSON COM		2011-06-24	2012-03-19
27 JOHNSON & JOHNSON COM		2011-07-20	2012-04-18
632 111 JPMORGAN HIGH YIELD FUND SS #3580		2008-11-20	2012-05-16
58 JUNIPER NETWORKS INC COM		2011-03-04	2012-04-25
9 KIMBERLY-CLARK CORP COM		2011-09-23	2011-12-06
1 KIMBERLY-CLARK CORP COM		2011-09-23	2011-12-27
19 KIMBERLY-CLARK CORP COM		2011-09-30	2012-01-09
8 KOHLS CORP COM		2011-08-11	2011-12-09
30 KOHLS CORP COM		2011-09-29	2012-05-16
3 KROGER CO COM		2011-06-24	2011-12-27
30 KROGER CO COM		2011-06-24	2012-01-20
63 KROGER CO COM		2011-07-06	2012-02-09
99971 LAS VEGAS SANDS CORP		2011-06-07	2011-10-04
6 00029 LAS VEGAS SANDS CORP		2011-06-07	2011-10-04

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15 LAS VEGAS SANDS CORP		2011-06-07	2011-11-28
1 LAS VEGAS SANDS CORP		2011-06-07	2011-12-27
15 LAS VEGAS SANDS CORP		2011-06-07	2012-01-24
7 LAS VEGAS SANDS CORP		2011-06-07	2012-03-02
12 LAS VEGAS SANDS CORP		2011-06-07	2012-06-26
12 LORILLARD INC		2011-10-03	2012-01-31
1 MCDONALDS CORP COM		2011-05-26	2011-12-27
23 MCDONALDS CORP COM		2011-01-25	2012-01-05
13 MCDONALDS CORP COM		2011-05-26	2012-04-19
15 MCDONALDS CORP COM		2011-05-26	2012-05-08
6 MEAD JOHNSON NUTRITION CO		2009-12-21	2011-09-30
25 MICROSOFT CORP COM		2011-08-10	2011-12-02
1 NATIONAL OILWELL INC COM		2011-03-18	2011-12-27
4 NATIONAL OILWELL INC COM		2011-03-18	2012-03-02
6 NATIONAL OILWELL INC COM		2011-03-18	2012-04-24
11 NATIONAL OILWELL INC COM		2011-07-27	2012-05-09
8 NATIONAL OILWELL INC COM		2011-03-18	2012-05-09
12 NETAPP INC		2012-02-21	2012-05-18
51 NETAPP INC		2012-03-27	2012-06-11
1 NETFLIX COM INC COM		2010-08-06	2011-07-05
2 NETFLIX COM INC COM		2010-08-06	2011-07-13
2 NETFLIX COM INC COM		2010-09-01	2011-09-15
7 NETFLIX COM INC COM		2011-07-26	2011-09-29
2 NETFLIX COM INC COM		2010-09-01	2011-09-29
1 NETFLIX COM INC COM		2011-11-04	2011-12-27
3 NETFLIX COM INC COM		2011-11-04	2012-01-26
17 NETFLIX COM INC COM		2011-11-04	2012-06-01
149 NEW YORK CMNTY BANCORP INC COM		2011-08-03	2011-11-10
16 OCCIDENTAL PETE CORP COM		2012-02-03	2012-06-11
23 ORACLE CORP COM		2010-04-13	2012-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
56 ORACLE CORP COM		2010-04-13	2012-03-26
31 ORACLE CORP COM		2010-09-28	2012-06-22
435 35 PIMCO EMERG MKTS BD-INST #137		2006-12-21	2012-05-16
22 PEPSICO INC COM		2011-05-19	2011-12-01
1 PEPSICO INC COM		2011-06-22	2011-12-27
9 PHILIP MORRIS INTERNATIONAL IN		2011-01-25	2012-04-11
1 5 PHILLIPS 66		2011-11-17	2012-05-03
35 5 PHILLIPS 66		2011-01-25	2012-05-03
39 POTASH CORP SASK INC COM W/RTS ATTACHED		2011-01-06	2011-08-22
7 PROCTER & GAMBLE CO COM		2009-12-18	2011-09-27
17 PROCTER & GAMBLE CO COM		2009-12-18	2011-10-27
11 PROCTER & GAMBLE CO COM		2009-12-18	2011-12-05
3 00388 PROCTER & GAMBLE CO COM		2011-01-25	2012-04-11
11 99612 PROCTER & GAMBLE CO COM		2011-01-25	2012-04-11
7 PROCTER & GAMBLE CO COM		2011-05-11	2012-06-20
84 PROGRESS ENERGY INC COM		2011-08-03	2011-10-12
1 RANGE RES CORP COM		2009-12-18	2011-12-27
8 RAYTHEON CO COM		2011-05-24	2011-10-28
23 RAYTHEON CO COM		2011-05-24	2011-12-06
48 REPUBLIC SVCS INC COM		2011-08-22	2011-12-07
4846 626 RIDGEWORTH FD-MIDCAP VAL EQ I #5412		2010-11-17	2012-05-16
16 ROCKWELL INTL CORP NEW COM		2011-02-10	2011-08-23
7 ROCKWELL INTL CORP NEW COM		2011-12-07	2012-04-25
9 ROCKWELL INTL CORP NEW COM		2011-12-07	2012-06-26
24 ROCKWELL COLLINS-WI COM		2010-04-28	2011-08-11
1431 707 ROWE T PRICE REAL ESTATE FD COM		2007-06-26	2012-05-16
5 SALESFORCE COM INC		2010-05-21	2011-07-21
3 SALESFORCE COM INC		2010-05-21	2011-07-27
4 SALESFORCE COM INC		2010-11-10	2011-07-28
1 SALESFORCE COM INC		2011-12-06	2012-04-19

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10 SANDISK CORP COM W/RTS ATTACHED EXP 04/28/2007		2011-12-06	2012-04-30
10 SANDISK CORP COM W/RTS ATTACHED EXP 04/28/2007		2011-12-06	2012-05-17
16 SANDISK CORP COM W/RTS ATTACHED EXP 04/28/2007		2011-12-23	2012-06-26
1 SCHLUMBERGER LTD COM		2010-01-11	2011-12-27
4 SCHLUMBERGER LTD COM		2010-01-11	2012-03-02
21 SIGMA ALDRICH CORP COM		2011-07-07	2012-04-17
6 STARBUCKS CORP COM		2010-05-13	2012-02-21
7 STARWOOD HOTELS & RESORTS WORLDWIDE		2011-01-26	2011-11-22
1 STARWOOD HOTELS & RESORTS WORLDWIDE		2011-01-26	2011-12-27
8 STARWOOD HOTELS & RESORTS WORLDWIDE		2011-01-26	2012-04-25
13 STARWOOD HOTELS & RESORTS WORLDWIDE		2011-01-26	2012-05-18
5 STARWOOD HOTELS & RESORTS WORLDWIDE		2011-08-04	2012-06-26
3 STARWOOD HOTELS & RESORTS WORLDWIDE		2011-01-26	2012-06-26
8 STERICYCLE INC COM		2011-08-22	2012-03-21
9 STRYKER CORP COM		2011-05-18	2011-08-03
7 STRYKER CORP COM		2011-05-18	2011-08-10
28 STRYKER CORP COM		2011-05-20	2011-08-22
184 TELEFONICA S A SPONSORED ADR		2011-05-11	2012-05-18
51 TELEFONICA S A SPONSORED ADR		2012-03-20	2012-05-18
16 3M CO COM		2010-06-10	2011-12-05
43 TIME WARNER INC		2011-05-20	2012-03-26
4 TYSON FOODS INC COM CL A		2011-06-28	2011-12-27
8 UNION PAC CORP COM		2011-10-05	2012-03-20
1 UNITED TECHNOLOGIES CORP COM		2009-12-18	2011-12-27
9 UNITED TECHNOLOGIES CORP COM		2009-12-18	2012-02-03
9 UNITED TECHNOLOGIES CORP COM		2009-12-18	2012-04-23
24 UNITEDHEALTH GRP INC COM		2010-01-21	2011-11-07
1 UNITEDHEALTH GRP INC COM		2010-01-21	2011-12-27
16 UNITEDHEALTH GRP INC COM		2010-06-16	2012-02-03
5 V F CORP COM W/RTS ATTACHED EXP 01/25/2008		2010-04-22	2011-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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2 V F CORP COM W/RTS ATTACHED EXP 01/25/2008		2010-04-22	2011-11-04
3 V F CORP COM W/RTS ATTACHED EXP 01/25/2008		2010-07-14	2012-02-21
2 642 VANGUARD REIT INDEX SIGNAL #1355		2010-11-17	2012-05-16
9 VERIFONE SYSTEMS, INC		2011-09-19	2012-04-20
19 VERIFONE SYSTEMS, INC		2011-11-02	2012-04-27
37 WAL MART STORES INC COM		2011-06-23	2011-12-06
1 WAL MART STORES INC COM		2011-06-23	2011-12-27
17 WAL MART STORES INC COM		2011-10-04	2012-01-05
10 WATERS CORP COM		2011-02-08	2011-12-09
23 WATERS CORP COM		2011-07-26	2012-01-10
11 YUM! BRANDS INC COM		2011-05-26	2011-09-30
6 YUM! BRANDS INC COM		2011-05-26	2012-02-03
3 COVIDIEN PLC		2010-01-26	2011-12-27
4 SINA COM SHS		2011-01-13	2011-07-12
3 SINA COM SHS		2011-01-13	2011-07-19
5 SINA COM SHS		2011-01-13	2011-08-03
11 SINA COM SHS		2011-01-13	2011-09-29
35 TYCO INTERNATIONAL LTD NEW		2011-06-29	2012-02-14
18 CHECK POINT SOFTWARE TECH COM		2010-09-30	2011-08-04
12 CHECK POINT SOFTWARE TECH COM		2010-07-12	2011-08-04
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,344		1,713	-369
316		405	-89
646		1,012	-366
97		152	-55
88		65	23
215		131	84
898		522	376
2,211		1,565	646
562		427	135
1,675		1,579	96
1,740		1,453	287
1,199		388	811
608		194	414
533		194	339
1,291		1,636	-345
353		402	-49
993		1,341	-348
384		349	35
352		384	-32
825		832	-7
375		297	78
1,678		1,329	349
1,334		1,555	-221
356		347	9
710		833	-123
799		937	-138
900		733	167
360		293	67
62		57	5
100		96	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,762		1,698	64
801		579	222
299		178	121
648		446	202
62		35	27
375		174	201
1,357		764	593
905		787	118
1,494		1,332	162
781		864	-83
564		707	-143
361		404	-43
481		539	-58
1,527		1,808	-281
3,028		2,584	444
618		386	232
29,000		28,282	718
2,247		1,926	321
2,317		1,969	348
392		504	-112
340		305	35
521		509	12
667		712	-45
797		915	-118
89		102	-13
402		266	136
408		266	142
813		532	281
357		389	-32
726		806	-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
327		471	-144
1,430		2,071	-641
759		819	-60
693		755	-62
1,253		1,195	58
1,212		1,195	17
231		393	-162
340		787	-447
213		262	-49
213		262	-49
833		811	22
962		816	146
2,038		2,164	-126
703		723	-20
887		921	-34
66		66	
456		460	-4
1,716		1,776	-60
5,000		3,534	1,466
1,219		2,129	-910
634		635	-1
74		71	3
1,379		1,341	38
407		382	25
1,400		1,431	-31
74		74	
716		744	-28
1,490		1,563	-73
37		42	-5
221		251	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
673		633	40
44		42	2
720		633	87
399		316	83
512		554	-42
1,296		1,370	-74
101		83	18
2,277		1,735	542
1,233		1,072	161
1,402		1,237	165
421		265	156
631		617	14
69		79	-10
329		316	13
467		473	-6
738		868	-130
537		631	-94
409		538	-129
1,519		2,285	-766
287		139	148
598		279	319
350		321	29
772		1,122	-350
221		321	-100
71		90	-19
346		271	75
1,047		1,537	-490
1,817		2,753	-936
1,350		1,619	-269
689		610	79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,620		1,485	135
864		822	42
5,037		4,775	262
1,412		1,521	-109
66		69	-3
786		520	266
47		48	-1
1,108		855	253
1,998		1,878	120
444		443	1
1,103		1,077	26
711		697	14
199		201	-2
796		802	-6
421		443	-22
4,229		3,817	412
63		51	12
349		399	-50
1,045		1,148	-103
1,298		1,347	-49
50,211		55,300	-5,089
911		1,386	-475
529		535	-6
575		688	-113
1,064		1,479	-415
29,350		25,989	3,361
749		445	304
444		267	177
587		356	231
161		125	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
371		493	-122
325		493	-168
577		789	-212
69		74	-5
309		297	12
1,515		1,524	-9
292		157	135
316		387	-71
50		55	-5
461		441	20
666		717	-51
252		276	-24
151		166	-15
684		646	38
464		575	-111
327		447	-120
1,260		1,790	-530
2,298		4,496	-2,198
637		1,246	-609
1,301		1,270	31
1,597		1,584	13
84		74	10
891		699	192
74		70	4
730		626	104
717		626	91
1,087		799	288
51		33	18
820		532	288
619		407	212

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
274		163	111
437		244	193
64		52	12
485		351	134
1,029		742	287
2,166		1,950	216
60		53	7
1,007		896	111
747		832	-85
1,717		1,915	-198
535		614	-79
383		335	48
137		150	-13
427		340	87
363		255	108
493		425	68
800		934	-134
1,714		1,676	38
978		589	389
652		392	260
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-369
			-89
			-366
			-55
			23
			84
			376
			646
			135
			96
			287
			811
			414
			339
			-345
			-49
			-348
			35
			-32
			-7
			78
			349
			-221
			9
			-123
			-138
			167
			67
			5
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			64
			222
			121
			202
			27
			201
			593
			118
			162
			-83
			-143
			-43
			-58
			-281
			444
			232
			718
			321
			348
			-112
			35
			12
			-45
			-118
			-13
			136
			142
			281
			-32
			-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-144
			-641
			-60
			-62
			58
			17
			-162
			-447
			-49
			-49
			22
			146
			-126
			-20
			-34
			-4
			-60
			1,466
			-910
			-1
			3
			38
			25
			-31
			-28
			-73
			-5
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			40
			2
			87
			83
			-42
			-74
			18
			542
			161
			165
			156
			14
			-10
			13
			-6
			-130
			-94
			-129
			-766
			148
			319
			29
			-350
			-100
			-19
			75
			-490
			-936
			-269
			79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			135
			42
			262
			-109
			-3
			266
			-1
			253
			120
			1
			26
			14
			-2
			-6
			-22
			412
			12
			-50
			-103
			-49
			-5,089
			-475
			-6
			-113
			-415
			3,361
			304
			177
			231
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-122
			-168
			-212
			-5
			12
			-9
			135
			-71
			-5
			20
			-51
			-24
			-15
			38
			-111
			-120
			-530
			-2,198
			-609
			31
			13
			10
			192
			4
			104
			91
			288
			18
			288
			212

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			111
			193
			12
			134
			287
			216
			7
			111
			-85
			-198
			-79
			48
			-13
			87
			108
			68
			-134
			38
			389
			260

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY PROMISEPO BOX 67 GASTONIA, NC 28053	NONE	EXEMPT	GENERAL SUPPORT	5,000
ST MARK'S CHURCH FBO GASTON COUNTY FAITH NETWORK258 W FRANKLIN BLVD GASTONIA, NC 28052	NONE	EXEMPT	GENERAL SUPPORT	6,500
GASTON COUNTY FBO GASTON COUNTY LIBRARY1555 E GARRISON BLVD GASTONIA, NC 28054	NONE	EXEMPT	GENERAL SUPPORT	20,000
GASTON COUNTY FBO FOREST HEIGHTS ELEMENTARY SCHOOL1 LEARNING PI GASTONIA, NC 28052	NONE	EXEMPT	GENERAL SUPPORT	2,500
HOLLY TRINITY LUTHERAN CHURCH FBO WEEKEND BACK PACK PROGRAM805 SOUTH YORK STREET GASTONIA, NC 28052	NONE	EXEMPT	GENERAL SUPPORT	5,000
THOMPSON CHILD AND FAMILY 6800 ST PETERS LANE MATTHEWS, NC 28105	NONE	EXEMPT	GENERAL SUPPORT	10,000
BELMONT ABBEY COLLEGE100 BELMONT MT HOLLY RD BELMONT, NC 28012	NONE	EXEMPT	GENERAL SUPPORT	3,000
CRISIS ASSISTANCE MINISTRY 500-A SPRATT ST CHARLOTTE, NC 28206	NONE	EXEMPT	GENERAL SUPPORT	6,000
GASTON TOGETHER COMMUNTIES OF EXCELLENCE601 W FRANKLIN BLVD GASTONIA, NC 28052	NONE	EXEMPT	GENERAL SUPPORT	2,500
GASTON COUNTY FBO SHERWOOD ELEMENTARY SCHOOL1744 DIXON ROAD GASTONIA, NC 28054	NONE	EXEMPT	GENERAL SUPPORT	4,000
Total . . . . ▶ **3a**				64,500

TY 2011 Accounting Fees Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	750			750

TY 2011 Investments Corporate Bonds Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Name of Bond	End of Year Book Value	End of Year Fair Market Value
949917652 WF ADV SHORT-TERM BO	97,000	97,196

TY 2011 Investments Corporate Stock Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Name of Stock	End of Year Book Value	End of Year Fair Market Value
023135106 AMAZON COM INC COM	4,347	5,252
099724106 BORG WARNER INC.	1,246	1,377
189754104 COACH INC		
517834107 LAS VEGAS SANDS CORP	1,662	1,566
580135101 MCDONALDS CORP	1,508	1,771
641101L106 NETFLIX.COM		
655664100 NORDSTROM INC		
855244109 STARBUCKS CORP COM	1,333	2,719
85590A401 STARWOOD HOTELS & RE	1,986	1,909
887317303 TIME WARNER INC		
918204108 V F CORP	978	1,601
988498101 YUM BRANDS INC	1,395	1,611
191216100 COCA COLA CO	6,060	7,350
501044101 KROGER CO		
582839106 MEAD JOHNSON NUTRITI	2,009	3,140
713448108 PEPSICO INC	4,811	5,088
742718109 PROCTER & GAMBLE CO	3,788	3,553
931142103 WAL MART STORES INC		
057224107 BAKER HUGHES INC COM		
26875P101 EOG RESOURCES		
637071101 NATIONAL OILWELL		
75281A109 RANGE RES CORP	3,261	3,650
12572Q105 CME GROUP INCE		
200340107 COMERICA INC	2,142	1,812
018490102 ALLERGAN INC	1,498	2,129
302182100 EXPRESS SCRIPTS		
452327109 ILLUMINA INC	3,238	2,423
478160104 JOHNSON & JOHNSON	4,634	5,135
863667101 STRYKER CORP		
91324P102 UNITEDHEALTH GROUP I	732	1,287

Name of Stock	End of Year Book Value	End of Year Fair Market Value
941848103 WATERS CORP		
097023105 BOEING CO	1,616	1,783
149123101 CATERPILLAR INC		
231021106 CUMMINS INC		
235821102 DANAHER CORP		
740189105 PRECISION CASTPARTS	2,816	3,619
755111507 RAYTHOEN CO COM		
773903109 ROCKWELL AUTOMATION	1,835	1,585
774341101 ROCKWELL COLLINS		
913017109 UNITED TECHNOLOGIES		
88579Y101 3M CO COM		
037833100 APPLE INC	5,231	15,768
177376100 CITRIX SYS INC COM	1,114	2,099
192446102 COGNIZANT TECH		
268648102 E M C CORP MASS	1,294	1,922
38259P508 GOOGLE INC	4,181	4,060
458140100 INTEL CORP	1,600	1,839
48203R104 JUNIPER NETWORKS INC		
68389X105 ORACLE CORPORATION	1,458	1,634
79466L302 SALESFORCE COM INC	1,246	1,383
01741R102 ALLEGHENY TECH		
35671D857 FREEPORT-MCMORAN		
61166W101 MONSANTO CO NEW	4,915	5,546
826552101 SIGMA ALDRICH CORP		
025537101 AMERICAN ELECTRIC PO	1,812	1,915
580135101 MCDONALDS CORP		
02209S103 ALTRIA GROUP INC	5,952	8,050
191216100 COCA COLA CO		
423074103 HEINZ H J CO	6,350	7,287
494368103 KIMBERLY CLARK CORP	6,916	8,712

Name of Stock	End of Year Book Value	End of Year Fair Market Value
544147101 LORILLARD INC	1,262	2,243
718172109 PHILIP MORRIS INTERN	9,609	12,304
742718109 PROCTOR & GAMBLE CO		
761713106 REYNOLDS AMERICAN IN	4,365	6,057
166764100 CHEVRON CORP	1,594	1,794
20825C104 CONOCOPHILLIPS	6,221	6,538
172062101 CINCINNATI FINANCIAL		
649445103 NEW YORK CMNTY		
002824100 ABBOTT LABS	4,424	5,738
110122108 BRISTOL MYERS SQUIBB	5,325	7,298
532457108 ELI LILLY & CO COM	6,392	7,638
478160104 JOHNSON & JOHNSON		
00206R102 AT & T INC	7,364	9,093
156700106 CENTURYLINK, INC	6,589	6,516
92343V104 VERIZON COMMUNICATIO	11,676	13,599
97381W104 WINDSTREAM CORP	1,917	1,449
209115104 CONSOLIDATED EDISON		
25746U109 DOMINION RES INC VA	1,707	2,106
26441C105 DUKE ENERGY HOLDING	5,147	6,503
69351T106 PPL CORPORATION	3,954	3,949
743263105 PROGRESS ENERGY INC		
842587107 SOUTHERN CO	6,662	7,964
008882532 INVESCO INTL GROWTH-	65,000	64,271
04314H709 ARTISAN MID CAP VALU	51,000	51,610
046353108 ASTRAZENECA PLC ADR	6,560	6,041
05534B760 BCE INC	5,508	6,345
09062X103 BIOGEN IDEC INC	1,859	2,310
156782104 CERNER CORP COM	1,723	2,067
228227104 CROWN CASTLE INTL CO	3,521	3,696
235851102 DANAHER CORP	2,312	2,239

Name of Stock	End of Year Book Value	End of Year Fair Market Value
28176E108 EDWARDS LIFESCIENCES	1,463	2,273
30219G108 EXPRESS SCRIPTS HOLD	1,947	1,954
30303M102 FACEBOOK INC	822	622
307000109 FAMILY DLR STORES IN	2,741	3,125
31428X106 FEDEX CORPORATION	1,450	1,466
37733W105 GLAXOSMITHKLINE PLC	6,033	7,291
38142Y401 GOLDMAN SACHS GRTH O	39,221	60,247
40414L109 HCP INC	2,267	2,693
413838202 OAKMARK INTERNATIONA	61,560	59,838
42217K106 HEALTH CARE REIT INC	2,655	3,265
481165108 JOY GLOBAL INC	3,536	2,496
50075N104 KRAFT FOODS INC	3,124	3,051
518439104 ESTEE LAUDER COMPANI	1,671	1,948
52106N889 LAZARD EMERGING MKTS	54,997	69,666
53578A108 LINKEDIN CORP	2,753	2,657
58933Y105 MERCK & CO INC NEW	6,291	6,764
594918104 MICROSOFT CORP	4,735	5,353
636274300 NATIONAL GRID PLC AD	7,261	8,054
654106103 NIKE INC CL B	2,133	1,668
74005P104 PRAXAIR INC COM	1,480	1,631
747525103 QUALCOMM INC	3,687	3,396
779919109 T ROWE PRICE REAL ES	57,472	67,387
780259107 ROYAL DUTCH SHELL PL	7,322	7,413
80004C101 SANDISK CORP COM	1,579	1,167
806857108 SCHLUMBERGER LTD	3,340	2,921
87234N849 TCW SMALL CAP GROWTH	39,500	39,395
89151E109 TOTAL S.A. - ADR	6,045	4,855
902494103 TYSON FOODS INC CL A	2,237	2,260
904311107 UNDER ARMOUR INC	1,896	1,795
904767704 UNILEVER PLC - ADR	3,673	4,149

Name of Stock	End of Year Book Value	End of Year Fair Market Value
907818108 UNION PACIFIC CORP	1,397	1,909
92276F100 VENTAS INC COM	1,879	2,146
922908553 VANGUARD REIT VIPER	49,948	62,289
922908793 VANGUARD SMALL CAP V	39,500	43,352
92532F100 VERTEX PHARMACEUTICA	1,500	1,398
92857W209 VODAFONE GROUP PLC N	5,788	6,481
G2554F113 COVIDIEN PLC	1,597	1,712
N07059186 ASML HOLDING NV0NY R	2,767	3,188

TY 2011 Investments - Other Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
256210105 DODGE & COX INCOME F	AT COST	131,718	135,161
4812C0803 JPMORGAN HIGH YIELD	AT COST	56,559	79,628
693390304 PIMCO LOW DURATION F	AT COST	100,000	101,649
693390700 PIMCO TOTAL RET FD-I	AT COST	120,000	124,518
922031869 VANGUARD INFLAT PROT	AT COST	50,279	61,743
949917652 WELLS FARGO ADVANT			
693391559 PIMCO EMERG MKTS BD-	AT COST	53,059	56,749
693390882 PIMCO FOREIGN BD FD	AT COST	52,628	55,827
38142Y401 GOLDMAN SACHS			
76628R615 RIDGEWORTH FD-MIDCAP			
87234N849 TCW SMALL CAP GROWTH			
922908793 VANGUARD SMALL CAP			
008882532 AIM INTERNATIONAL			
52106N889 LAZARD EMERGING MRKT			
413838202 OAKMARK FUNDS			
779919109 T ROWE PRICE			
921908836 VANGUARD REIT INCEX			
055434203 BG GROUP PLC ADR			
136385101 CANADIAN NAT RES LTD			
M22465104 CHECK PIONT SOFTWARE			
G2554F113 COVIDIEN PLC			
73755L107 POTASH CORP SASK			
806857108 SCHLUMBERGER LTD			
G81477104 SINA CORPORATION			
H89128104 TYCO INTERNATIONAL			
046353108 ASTRAZENECA PLC			
05534B760 BCE INC			
37733W105 GLAXOSMITHKLINE PLC			
636274300 NATIONAL GRID PLC			
780259107 ROYAL DUTCH SHELL PL			

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
879382208 TELEFONICA SA			
89151E109 TOTAL SA ADR			
904767704 UNILEVER PLC			
92857W209 VODAFONE GROUP PLC			
42217K106 HEALTH CARE REIT INC			

TY 2011 Other Decreases Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE AFTER TYE	1,970
ROC BASIS ADJUSTMENT	955
WASH SALES DISALLOWED	94
ROUNDING	13

TY 2011 Other Expenses Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COURT FEES	409	0		409

TY 2011 Other Income Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	186	0	

TY 2011 Other Increases Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	2,752
SALES WITH GAIN POSTING CURRENT TAX YEAR EFFECTIVE PREV	287
COST BASIS ADJUSTMENT - PHILLIPS 66	902

TY 2011 Other Professional Fees Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES-SUBJECT T	118	118		

TY 2011 Taxes Schedule

Name: PEARL DIXON BALTHIS FDN TUA

EIN: 56-6041570

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	245	245		0
FEDERAL TAX PAYMENT - PRIOR YE	829	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,420	0		0
FOREIGN TAXES ON QUALIFIED FOR	388	388		0
FOREIGN TAXES ON NONQUALIFIED	42	42		0