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EXTENSION GRANTED TO 5/15/2013
Return of Private Foundation
 or Section 4947(a)(1) Nonexempt Charitable Trust
 Treated as a Private Foundation

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning JUL 1, 2011, and ending JUN 30, 2012

Name of foundation WOCKNER FOUNDATION C/O HKP & CO, P.S.		A Employer identification number 42-1624231	
Number and street (or P O box number if mail is not delivered to street address) 601 UNION ST. SUITE 2700		B Telephone number (206) 682-9200	
City or town, state, and ZIP code SEATTLE, WA 98101-2329		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here ► <input type="checkbox"/> Foreign organizations meeting the 85% test, 2. check here and attach computation ► <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 5,479,820.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income
1 Contributions, gifts, grants, etc., received		15,462.	N/A
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments			
3 Dividends and interest from securities		30,114.	30,114.
4a Gross rents b Net rental income or (loss)		131,126.	131,126.
5a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a		14,245.	RECEIVED
6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a		2,196,341.	14,245.
7 Capital gain net income (from Part IV, line 2)			MAR 27 2013
8 Net short-term capital gain			RECDEN, UN
9 Income modifications Gross sales less returns and allowances			
10a Less Cost of goods sold			
10b Gross profit or (loss)			
11 Other income			
12 Total. Add lines 1 through 11		190,947.	175,485.
13 Compensation of officers, directors, trustees, etc		0.	0.
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees			
b Accounting fees		2,680.	0.
c Other professional fees		31,699.	0.
17 Interest			
18 Taxes			
19 Depreciation and depletion			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses		40.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		34,419.	0.
25 Contributions, gifts, grants paid		590,000.	590,000.
26 Total expenses and disbursements Add lines 24 and 25		624,419.	31,699.
27 Subtract line 26 from line 12		-433,472.	
a Excess of revenue over expenses and disbursements			
b Net investment income (if negative, enter -0-)		143,786.	
c Adjusted net income (if negative, enter -0-)			N/A

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12-02-11 a Excess of revenue over expenses and disbursements

LHA For Paperwork Reduction Act Notice, see Instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		461,884.	176,953.	176,953.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations	STMT 6	1,946,675.	2,116,020.	2,224,166.
	b Investments - corporate stock	STMT 7	3,039,500.	2,721,614.	3,078,701.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers)		5,448,059.	5,014,587.	5,479,820.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
	23 Total Liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		5,448,059.	5,014,587.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		0.	0.	
	30 Total net assets or fund balances		5,448,059.	5,014,587.	
	31 Total Liabilities and net assets/fund balances		5,448,059.	5,014,587.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 5,448,059.
2 Enter amount from Part I, line 27a	2 -433,472.
3 Other increases not included in line 2 (itemize) ►	3 0.
4 Add lines 1, 2, and 3	4 5,014,587.
5 Decreases not included in line 2 (itemize) ►	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 5,014,587.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a	SEE ATTACHED STATEMENT				
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e	2,196,341.	2,182,096.	14,245.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e			14,245.		
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	14,245.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }		3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	475,740.	6,030,288.	.078892
2009	449,025.	5,946,119.	.075516
2008	358,770.	5,644,993.	.063555
2007	360,000.	6,568,104.	.054810
2006	250,000.	5,054,614.	.049460
2 Total of line 1, column (d)			2 .322233
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .064447
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 5,701,428.
5 Multiply line 4 by line 3			5 367,440.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,438.
7 Add lines 5 and 6			7 368,878.
8 Enter qualifying distributions from Part XII, line 4			8 590,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	(attach copy of letter if necessary-see instructions)	1,438.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		
3 Add lines 1 and 2		0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		1,438.
5 Tax based on Investment Income. Subtract line 4 from line 3 If zero or less, enter -0-		0.
6 Credits/Payments		1,438.
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,862.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d	7	1,862.
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	424.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ►	11	0.

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1a	X
c Did the foundation file Form 1120-POL for this year?	1b	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.	1c	X
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.	2	X
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	3	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	4a	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4b	
b If "Yes," has it filed a tax return on Form 990-T for this year?	5	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	6	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	7	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	8b	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>WA</u>	9	X
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	10	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		

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Part VII-A Statements Regarding Activities (continued)

11		X
12		X
13	X	
Website address ► N/A		
14 The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Telephone no ► 206-682-9200		
Located at ► 601 UNION ST, STE. 2700, SEATTLE, WA ZIP+4 ► 98101		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ► <input type="checkbox"/> N/A	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ► <input type="checkbox"/> N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) ► <input type="checkbox"/> N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> N/A	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/> N/A	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A ► <input type="checkbox"/>	
Organizations relying on a current notice regarding disaster assistance check here		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i>	<input type="checkbox"/>	Yes <input type="checkbox"/> No
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
<i>If "Yes" to 6b, file Form 8870</i>		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
	5b	
	6b	
	7b	

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E WOCKNER	MANAGING TRUSTEE	0.	0.	0.
601 UNION ST, SUITE 2700				
SEATTLE, WA 98101	TRUSTEE	0.	0.	0.
FRANCES A WOCKNER				
601 UNION ST, SUITE 2700	SECRETARY/TREASURER	0.	0.	0.
SEATTLE, WA 98101				
MICHAEL R BAUER	SECRETARY/TREASURER	0.	0.	0.
601 UNION ST, SUITE 2700				
SEATTLE, WA 98101				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.
Total number of other employees paid over \$50,000				► 0

Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3	
All other program-related investments See instructions	
Total. Add lines 1 through 3 ► 0.	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	1a	5,277,309.
a Average monthly fair market value of securities	1b	510,943.
b Average of monthly cash balances	1c	
c Fair market value of all other assets	1d	5,788,252.
d Total (add lines 1a, b, and c)		
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,788,252.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	86,824.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,701,428.
6 Minimum investment return. Enter 5% of line 5	6	285,071.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1 Minimum investment return from Part X, line 6	1	285,071.
2a Tax on investment income for 2011 from Part VI, line 5	2a	1,438.
b Income tax for 2011 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,438.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	283,633.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	283,633.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	283,633.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	1a	590,000.
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1b	0.
b Program-related investments - total from Part IX-B	2	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	3a	
3 Amounts set aside for specific charitable projects that satisfy the	3b	
a Suitability test (prior IRS approval required)	4	590,000.
b Cash distribution test (attach the required schedule)	5	1,438.
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	6	588,562.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b		
6 Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				283,633.
2 Undistributed income, if any, as of the end of 2011			0.	
a Enter amount for 2010 only		0.		
b Total for prior years				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007	169,141.			
c From 2008	78,980.			
d From 2009	153,669.			
e From 2010	176,746.			
f Total of lines 3a through e	578,536.			
4 Qualifying distributions for 2011 from Part XII, line 4 ► \$ 590,000.			0.	
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				283,633.
e Remaining amount distributed out of corpus	306,367.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	884,903.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	884,903.			
10 Analysis of line 9				
a Excess from 2007	169,141.			
b Excess from 2008	78,980.			
c Excess from 2009	153,669.			
d Excess from 2010	176,746.			
e Excess from 2011	306,367.			

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2011)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ASSISTANCE LEAGUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A	PUBLICLY SUPPORT ORGANIZATION	TO BUY CLOTHES FOR CHILDREN	25,000.
CHILDHAVEN 316 BROADWAY SEATTLE, WA 98122	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND THE THERAPEUTIC CHILD CARE PROGRAM	35,000.
DAWSON PLACE CHILD ADVOCACY CENTER 1509 CALIFORNIA STREET EVERETT, WA 98201	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL CARE SERVICES TO CHILDREN	30,000.
ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND NURSING HOME RELOCATION PROJECT	20,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORT ORGANIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	50,000.
Total	SEE CONTINUATION SHEET(S)			► 3a 590,000.
b Approved for future payment				
NONE				
Total				► 3b 0.

Form 990-PF (2011)

123611 12-02-11

18150218 759448 23067

11
2011.05050 WOCKNER FOUNDATION C/O HKP 23067_1

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	30,114.	
4 Dividends and interest from securities			14	131,126.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	14,245.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		175,485.	0.
13 Total. Add line 12, columns (b), (d), and (e)			13		175,485.
(See worksheet in line 13 instructions to verify calculations.)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011**Name of the organization**WOCKNER FOUNDATION
C/O HKP & CO, P.S.**Employer identification number**

42-1624231

Organization type (check one):**Filers of:**

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.

► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization
WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Employer identification number

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF IRENE WOCKNER	\$ 15,462.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
	19417 35TH AVENUE SE		(Complete Part II if there is a noncash contribution.)
	BOTHELL, WA 98012		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)

Name of organization

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Employer identification number

42-1624231

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

Name of organization

Employer identification number

WOCKNER FOUNDATION

42-1624231

C/O HKP & CO, P.S.

Part III *Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ► \$ _____*

Use duplicate copies of Part III if additional space is needed.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a KEY BANK-SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
b FEDERAL HOME LN BANKS	P		11/18/11
c UNITED STATES TREAS NTS	P		11/30/11
d ONTARIO PROV CDA	P		01/20/12
e FANNIE MAE	P		05/18/12
f FEDERAL HOME LN BANKS	P		06/08/12
g EXPRESS SCRIPT - COST-BASIS ADJUSTMENT	P		04/04/12
h UNITED STATES TREAS NTS INFL IDX	P		VARIOUS
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,794,516.		1,773,514.	21,002.
b 50,000.		49,990.	10.
c 100,000.		104,438.	-4,438.
d 100,000.		100,310.	-310.
e 50,000.		49,949.	51.
f 100,000.		100,362.	-362.
g		1,708.	-1,708.
h 1,825.		1,825.	0.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(l) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (l) over col (j), if any	
a			21,002.
b			10.
c			-4,438.
d			-310.
e			51.
f			-362.
g			-1,708.
h			0.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	14,245.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

42-1624231

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	18,000.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	10,000.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND THE HIPPOTHERAPY PROGRAM EXPANSION	30,000.
MDA SUMMER CAMP 22522 29TH DRIVE SE #205 BOTHELL, WA 98021	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR MUSCULAR DYSTROPHY	7,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A	PUBLICLY SUPPORT ORGANIZATION	SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	30,000.
PROVIDENCE HOSPICE & HOME CARE 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	20,000.
PROVIDENCE HOSPITAL OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	30,000.
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQAH, WA 98029	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	30,000.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	30,000.
SEATTLE EDUCATION ACCESS 6920 ROOSEVELT WAY NE #355 SEATTLE, WA 98115	N/A	PUBLICLY SUPPORT ORGANIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	10,000.
Total from continuation sheets				430,000.

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

42-1624231

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE 0 MUKILTEO, WA 98275	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND SENIOR SERVICES	40,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	25,000.
STARLIGHT CHILDRENS FOUNDATION 5001 150TH AVENUE NE #110 REDMOND, WA 98052	N/A	PUBLICLY SUPPORT ORGANIZATION	TO PROVIDE FUNDS FOR SERIOUSLY ILL CHILDREN AND HELP THEIR FAMILIES	100,000.
SEATTLE PARKS FOUNDATION 105 SOUTH MAIN STREET #235 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORT ORGANIZATION	TO IMPROVE AND SUPPORT PARK PROJECTS THROUGHOUT THE CITY	10,000.
ARC OF SNOHOMISH COUNTY 2500 HEWITT AVE SUITE 300 EVERETT, WA 98201	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND PROGRAMS THAT PROVIDE ASSISTANCE TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES	25,000.
BETHANY OF NORTHWEST FOUNDATION 916 PACIFIC AVE 4TH FLOOR P.O. BOX 5128 EVERETT, WA 98206	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND SENIOR SERVICES	10,000.
MUSIC CENTER OF THE NORTHWEST 901 NORTH 96TH STREET SEATTLE, WA 98103	N/A	PUBLICLY SUPPORT ORGANIZATION	TO SUPPORT ACTIVITIES OF MUSIC CENTER	5,000.

Wockner Foundation

FYE 2012 Form 990-PF, Capital Gains Attachment
KEYBANK

EIN: 42-1624231

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
APPLE INC	11/2	10/28	25 00	\$3,759 41	\$10,131 55	\$ 0 00	\$6,372 14
EOG RESOURCES INC	11/2	10/28	-325 00	-29,464 50	30,848 66	0 00	1,384 16
EATON CORP	11/2	10/28	-130 00	-3,006 25	6,100 79	0 00	3,094 54
EXPEDITORS INT'L WASH INC	11/2	10/28	-719 00	-29,856 27	33,921 76	0 00	4,065 49
FREEPORT-MCMORAN COPPER & GOLD	11/2	10/28	-440 00	-14,490 41	18,895 23	0 00	4,314 82
INTEL CORP	11/2	10/28	-1,050 00	-24,212 90	26,207 60	-1,994 70	0 00
ISHARES MSCI EAFE INDEX FUND	11/2	10/28	3,600 00	254,131 40	197,708 20	0 00	-56,423.20
KBR INC	11/2	10/28	-820 00	-19,634 00	23,394 31	0 00	3,760 31
LABORATORY CORP AMER HLDGS	11/2	10/28	102 00	-6,802 85	8,869 75	0 00	2,066 90
MEDCO HEALTH SOLUTIONS INC	11/2	10/28	-250 00	-12,601 25	13,919 75	0 00	1,318 48

Wockner Foundation

FYE 2012 Form 990-PF, Capital Gains Attachment
KEYBANK

EIN: 42-1624231

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
STERICYCLE INC	11/2	10/28	-125 00	6,122.43	10,551.04	0.00	4,426.61
3M CO	11/2	10/28	-178 00	13,299.82	14,398.16	0.00	1,098.34
EATON VANCE STRATEGIC INCOME FD	12/14	12/13	-12,626.26	101,899.41	100,000.00	-741.03	-1,156.38
APACHE CORP	12/16	12/13	-370 00	40,110.20	34,960.62	-3,768.29	-1,381.29
APPLE INC	12/16	12/13	-60 00	5,118.36	23,559.14	0.00	18,440.76
BHP BILLITON LTD	12/16	12/13	-420 00	31,279.09	30,172.26	3,182.37	2,075.54
CUMMINS INC	12/16	12/13	-190 00	21,114.70	17,278.34	3,836.36	0.00
EXXON MOBIL CORP	12/16	12/13	-644 00	42,874.94	52,549.45	0.00	9,674.51
GENERAL ELECT CAP CORP	12/16	12/13	-2,000 00	49,432.30	51,847.00	0.00	2,414.70
ISHARES MSCI EMERGING MKTS INDEX	12/16	12/13	-1,100 00	51,199.90	41,887.30	-842.06	8,470.54
ISHARES MSCI EAFE INDEX FUND	12/16	12/13	-1,200 00	65,795.00	59,434.97	0.00	-6,360.03
PEABODY ENERGY CORP	12/16	12/13	-660 00	27,436.20	22,763.09	0.00	-4,673.11
SCHLUMBERGER LTD	12/16	12/13	275 00	28,516.25	19,813.39	0.00	-8,702.66
ABB LTD	1/24	1/19	-2,030 00	44,680.30	43,177.26	0.00	-1,503.04
DEERE & CO	1/24	1/19	-300 00	22,406.94	25,985.56	0.00	3,578.62
EATON CORP	1/24	1/19	-500 00	11,562.50	24,989.51	0.00	13,427.01
FLUOR CORP	1/24	1/19	-520 00	31,054.30	29,363.88	1,690.42	0.00
JUNIPER NETWORKS INC	1/24	1/19	-860 00	33,352.00	20,776.49	0.00	-12,575.51
POTASH CORP OF SASKATCHEWAN INC	1/24	1/19	-600 00	36,779.88	27,149.53	-9,630.35	0.00
VMWARE INC	1/24	1/19	295 00	23,765.30	25,658.63	0.00	1,893.33
JPMORGAN CORE BOND FUND	3/2	3/1	-12,030.31	133,087.40	143,160.67	92.50	9,980.77
EATON VANCE STRATEGIC INCOME FD	4/5	4/4	-58,445.14	462,998.23	471,652.27	124.65	8,529.39
ISHARES MSCI EMERGING MKTS INDEX	4/10	4/4	-250 00	11,625.00	10,582.29	0.00	-1,042.71
EXPRESS SCRIPTS HOLDING CO	4/16	4/16	-0.50	-28.15	28.13	0.00	-0.02
SMUCKER J M CO	5/14	5/9	-510 00	32,046.14	38,599.04	0.00	6,552.90
APPLE INC	5/29	5/23	-75 00	5,655.37	42,717.54	0.00	37,062.17
BANK OF NEW YORK MELLON CORP	5/29	5/23	-830 00	23,820.92	16,931.70	0.00	-6,889.22
WATERS CORP	5/29	5/23	300 00	18,493.68	24,620.68	0.00	6,127.00
Total Realized Gain/Loss from Sales				-\$1,773,513.97	\$1,794,515.52	-\$21,479.03	\$42,480.58

This is for informational purposes only and is not tax advice. Please see your tax advisor for tax advice.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA	20.
KEY BANK	30,094.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	30,114.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
KEY BANK	131,018.	0.	131,018.
RBC WEALTH MANAGEMENT	108.	0.	108.
TOTAL TO FM 990-PF, PART I, LN 4	131,126.	0.	131,126.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,680.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,680.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KEY BANK	31,699.	31,699.		0.
TO FORM 990-PF, PG 1, LN 16C	31,699.	31,699.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	40.	0.		0.
TO FORM 990-PF, PG 1, LN 23	40.	0.		0.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		2,116,020.	2,224,166.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,116,020.	2,224,166.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,116,020.	2,224,166.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	2,721,614.	3,078,701.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,721,614.	3,078,701.