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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
on may be able to use a copy of this return to satisfy state rer

OMB No 1545-0052

| _ | | | ote. The foundation may t | e able to | | | | | ng requirements | 25/2 | | | | |
|--------------------------------------|-----------------------------------|--|--|---------------|--------------------|-------------------|--------------|----------|--|-----------------------|---|--|--|--|
| _ | | | tax year beginning | | 0 | 7/01, 2011 | , and endir | | | | 0 , 20 1 2 | | | |
| ı | Name | of foundation | | | | | | / | Employer identific | ation number | | | | |
| 2 | ARTI | TUR J. SCHMITT | FOUNDATION | | | | | | 36-2217999 | - | | | | |
| Ī | Numb | er and street (or P O b | ox number if mail is not delive | red to stree | et address) | | Room/suit | te [| 3 Telephone numbe | • | • | | | |
| | PO BOX 340 | | | | | | | | 40) | 7) 983- | 0813 | | | |
| | City or town, state, and ZIP code | | | | | | | | | | | | | |
| | • | | | | | | | (| If exemption applicat | tion is | ▶ | | | |
| : | LA (| GRANGE, IL 605 | 525 | | | | | - 1 | pending, check here | | • – | | | |
| _ | | ck all that apply | Initial return | | Initial return | of a former | oublic chari | tv |) 4 Farana amazanak | | ▶□ | | | |
| Ŭ | 00 | on an enacappry | Final return | | Amended re | | | , l, | 1 Foreign organizati 2 Foreign organizati | | • • | | | |
| | | Í | Address change | <u> </u> | Name chan | | | - { | 85% test, check hi | ere and attach | | | | |
| _ | Cho | ck type of organizat | ion X Section 501(| c)/3) ev | | | <u></u> . | _ | computation • | | | | | |
| ï | | | exempt charitable trust | $\overline{}$ | - | rivate founda | tion | 1 | If private foundation | | ~ 1 | | | |
| | | market value of all | | | | Cash X Ac | | \dashv | under section 507(b) | | | | | |
| ' | _ | · · | | - | | Jasii 14 AU | biuai |) F | If the foundation is | | _ | | | |
| | | ear (from Part II, col ► \$ 15,56 | | ther (sp | | n cash basis) | | | under section 507(b) | (T)(B), check here | . – | | | |
| | | | _ | COIGITIII | (u) must be o | T Casii Dasis , | | | | (d) Dishur | | | | |
| Li | art i | | nue and Expenses (The blumns (b), (c), and (d) | | evenue and | (b) Net inv | estment | (c) | Adjusted net | (d) Disbur for cha | | | | |
| | | may not necessarily e | equal the amounts in | exp | enses per books | incor | j | , | ıncome | purpo | ses | | | |
| _ | | column (a) (see ınstru | uctions)) | | | | | | | (cash ba | sis only) | | | |
| | 1 | Contributions, gifts, grants e | etc , received (attach schedule) | | | ļ | | | | | | | | |
| | 2 | Check ► X attach S | oundation is not required to Sch B | | | <u> </u> | | | | | | | | |
| | 3 | | temporary cash investments | | | | | | | | | | | |
| í | 4 | Dividends and interes | st from securities | | 511,772 | . 51 | 1,772. | | | | | | | |
| ם ' | 5 a | Gross rents | | | | <u> </u> | | | | | | | | |
| • | ь | Net rental income or (los | s) | | | L | | | | | | | | |
| | 6a | Net gain or (loss) from s | sale of assets not on line 10 | | -91,505 | | | | | | | | | |
| Revenue | b | Gross sales price for all assets on line 6a | 8,090,218. | | | 1 | | _ | _ | | | | | |
| 9 6 | 7 | | me (from Part IV, line 2) . | | | | | | | | | | | |
| Revenue | 8 | | lgain | | | _ | | | · · · | _ | | | | |
| Ž | 9 | | ; • • • • • • • • • • • | | | | | | | | | | | |
| ξ. | | Gross sales less returns | i | | - | | | | | | | | | |
| ζ | h | and allowances · · · · Less Cost of goods sold | | | · · · · · | | | | | | | | | |
| ด | | • | (attach schedule) | | | | | | | | | | | |
| | | | schedule) | <u> </u> | | | | | - | | | | | |
| | 12 | | ough 11 | | 420,267 | . 53 | 1,772. | | - | | | | | |
| _ | | | | | 117,785 | | 57,785. | | - | | 60,000 | | | |
| | 13 | | s, directors, trustees, etc | | <u>.</u> | | | | | | | | | |
| es | 14 | | • | _ | 10,015 | | 2,003. | | | | 8,01 | | | |
| Š | 15 | | byee benefits | | | ` | | | | | -,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | |
| ĝ | 1 6a | | nedule) | | 28,550 | | L4,275. | | | | 14,27 | | | |
| Ü | b | | ach schedule)ATCH 1 | | 87,321 | | 37,321. | | | <u> </u> | | | | |
| ξį | С | • | es (attach schedule).*. | | 07,321 | ' | 37,321. | | RECEIV | | | | | |
| ta | 17 | | | <u> </u> | 8,200 | | | | ULALIA | | | | | |
| nis | 18 | • | (see instructions) | <u> </u> | 8,200 | | | | | | | | | |
| Ē | 19 | · | schedule) and depletion. | <u> </u> | | | | 1-32 | NOV 07 2 | RS-OSC | | | | |
| Ą | 20 | | | | 2 017 | | | ᇤ | | <u> (2) </u> | 7 01 | | | |
| Þ | 21 | | and meetings | <u> </u> | 7,917 | - | | ᅶ | 000511 | <u> </u> | 7,91 | | | |
| Operating and Administrative Expense | 22 | | ions | | | | | | OGDEN. | UT | | | | |
| ing | 23 | | ch schedule) ATCH 4 | | 8,945 | | 682. | | | | 8,26 | | | |
| rat | 24 | Total operating and | administrative expenses. | 1 | | 1 | | | | 1 | | | | |
| e E | .[| Add lines 13 through | 23 | | 268,733 | | 52,066. | | | <u> </u> | 98,46 | | | |
| O | 25 | Contributions, gifts, | grants paid | | 891,000 | | | | | | 891,000 | | | |
| _ | 26 | Total expenses and disbur | sements Add lines 24 and 25 | <u> </u> | 1,159,733 | . 10 | 52,066. | | 0 | <u> </u> | 989,46 | | | |
| | 27 | Subtract line 26 from | n line 12 | 1 | | 1 | | | | | | | | |
| | a | | penses and disbursements | <u></u> | -739,466 | · <u> </u> | | | | | | | | |
| | 1 | | me (if negative, enter -0-) | | | 34 | 19,706. | | | | | | | |
| | 1 | | e (if negative, enter -0-) | | | | | | | 1 | | | | |

*ATCH 2 JSA ** ATCH 3

Form 990-PF (2011)

For Paperwork Reduction Act Notice, see instructions.

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PAGE 1

| | art II | Polonos Shoots | Attached schedules and amounts in the description column should be for end-of-year | Beginning of year | | nd of | year |
|-------------|----------------|--|---|--|---------------------------------------|----------|-----------------------|
| | artii | Datatice Stieets | amounts only (See instructions) | (a) Book Value | (b) Book Value | | (c) Fair Market Value |
| | 1 | Cash - non-interest-bear | ng | 103,254. | 116,04 | 15. | 116,045. |
| | | | cash investments | 877,084. | 30,83 | 35. | 30,835. |
| | 3 | Accounts receivable ▶ | | | | Ì | |
| | | Less allowance for dou | btful accounts ▶ | | · · · · · · · · · · · · · · · · · · · | | |
| | 4 | Pledges receivable ▶_ | | } | | - 1 | |
| | | | btful accounts ▶ | | | | |
| | 5 | Grants receivable | | | | | |
| | 6 | Receivables due from | officers, directors, trustees, and other | | | Ì | |
| | | disqualified persons (at | tach schedule) (see instructions) | 1,290. | 1,29 | 90. | 1,290 |
| | 7 | Other notes and loans | receivable (attach schedule) | _ | - | | - |
| | | Less allowance for dou | btful accounts ▶ | | | | |
| S | 8 | Inventories for sale or us | se | | | | |
| Assets | 9 | | leferred charges | 11,777. | 1,9 | 15. | 1,915 |
| As | 10 a | Investments - US and state | e government obligations (attach schedule). | | | | |
| | ь | Investments - corporate | stock (attach schedule) ATCH 5 | 17,162,288. | 15,406,53 | 31. | 15,406,531. |
| | | | e bonds (attach schedule) | <u> </u> | | | |
| | 11 | Investments - land, building and equipment basis Less accumulated depreci (attach schedule) | | | | | |
| | 12 | • | loans | | | | |
| | 13 14 | Investments - other (att | ach schedule) | | | | |
| | | Less accumulated deprecia | ation | | | | |
| | 15 | Other assets (describe | ▶ ATCH 6) | 15,005. | 6,70 | 00. | 6,700 |
| | 16 | | completed by all filers - see the | | | | |
| | | instructions Also, see p | page 1, item I) | 18,170,698. | 15 <u>,5</u> 63, <u>3</u> 1 | 16. | 15,563,316. |
| | 17 | Accounts payable and | accrued expenses | 10,997. | 5,9 | 05. | |
| | 18 | | | 411,000. | | | |
| S | 19 | | | | · | | |
| Liabilities | 20 | Loans from officers, direct | ors, trustees, and other disqualified persons | | | | |
| ap | 21 | Mortgages and other no | otes payable (attach schedule) | | | | |
| _ | 22 | Other liabilities (describ | e ▶) | | | | |
| | ŀ | | | | | ł | |
| _ | 23 | | es 17 through 22) | 421,997. | 5,9 | 05. | |
| | | | llow SFAS 117, check here $ ightharpoonup egin{array}{c} X\\ 24 & 1\\ 1 & 1 \end{array}$ 24 through 26 and lines 30 and 31. | | |] | |
| 90 | 24 | Unrestricted | | 17,748,701. | 15,557,4 | 11. | |
| 2 | 25 | Temporarily restricted | | | _ | | |
| ä | 26 | Permanently restricted | | | | | |
| Fund | 24 25 26 | | not follow SFAS 117, nplete lines 27 through 31. ▶ | | | | |
| c | 27 | Capital stock, trust prin | ncipal, or current funds | | | | |
| to S | 28 | Paid-in or capital surplus, o | or land, bldg, and equipment fund | <u></u> | | [| |
| Assets | 29 | • | ulated income, endowment, or other funds | | | | |
| | | Total net assets or fun | d balances (see instructions) | 17,748,701. | 15,557,43 | 11. | |
| ž | 31 | | I net assets/fund balances (see | | | _ | |
| | | | | | 15,563,3 | 16. | |
| | | | anges in Net Assets or Fund | | , <u> </u> | Ť | |
| 1 | | | palances at beginning of year - Part | | | | 18 840 855 |
| _ | | | ed on prior year's return) | | | 1 | 17,748,701 |
| | | er amount from Part I, | | | | 2 | -739,466 |
| | | | ded in line 2 (itemize) ▶ | | | 3 | 17 000 005 |
| 4 | Add | lines 1, 2, and 3 | n line 2 (itemize) ► ATTACH | ······································ | | 4 | 17,009,235 |
| 5 | Dec | reases not included if | alances at end of year (line 4 minus | INC 5) Part II column /5 | | <u>5</u> | 15,557,411. |
| O | 100 | <u>ii iiet assets 01 luii0 0</u> | alances at end of year (line 4 minus | inic oj - narcii, winiiii (b | 7, III IC 30 | 0 | |

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)

(f) Depreciation allowed (or allowable)

b <u>c</u> d е

<u>a</u> _b _c

1a SEE PART IV SCHEDULE

(e) Gross sales price

| | | | , |
|-------|------|----------------------|---------------------------------------|
| | | | |
| | | 36-2217 | 999 |
| | | | Page 3 |
|) Ho | nw. | (a) Data | |
| duit | ed | (c) Date acquired | (d) Date sold (mo , day, yr) |
| Dona | | (mo , day, yr) | (110 ; day,),) |
| | | | |
| | | <u> </u> | - |
| _ | | | |
| | | | |
| | | (h) Gain or (| |
| | | (e) plus (f) mii | nus (g) |
| | | _ | · · · · · · · · · · · · · · · · · · · |
| | | | |
| - | | | |
| | | | |
| | //\ | Gains (Col (h) | GOID MIDUS |
| (| | (k), but not less | s than -0-) or |
| | | Losses (from o | col (h)) |
| | | | |
| | | | |
| | | | |
| | | | |
| | _ | | |
| 2 | | | -91,505. |
| | | | <u> </u> |
| | | | |
| 3 | | · | - 0 |
| om | | | |
| nt II | nco | me) | |
| | | | |
| | | | |
| е ре | erio | d? | Yes X No |
| | | | |
| ny e | entr | | |
| | | (d) Distribution | ratio |
| | | (col (b) divided | |
| | | | 0.061675 |
| | | | 0.063692 |
| | | | 0.061781 |
| | | | 0.061618 |
| | | | |
| 2 | | | 0.302677 |
| | _ | | |
| 3 | | | 0.060535 |
| | | <u> </u> | 0.45 === |
| 4 | | 16 | ,047,579. |
| 5 | | | 971,440. |
| 5 | - | | J/1,44U. |
| | | | |

| d | | | | |
|---|--|--|----------------------|---|
| e | | | L | |
| Complete only for assets | showing gain in column (h) and owner | d by the foundation on 12/31/69 | (I) G | ains (Col (h) gain minus |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | col (I | k), but not less than -0-) or Losses (from col. (h)) |
| a | | | - | |
| b | | | | |
| C | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or | r (net canital loce) | gain, also enter in Part I, line 7 (loss), enter -0- in Part I, line 7 | 2 | -91,505. |
| Net short-term capital gair | n or (loss) as defined in sections 12 | | | |
| | rt I, line 8, column (c) (see insti | · · · · · · · · · · · · · · · · · · · | | |
| _ | | | 3 | - 0 |
| Part V Qualification | Under Section 4940(e) for Rec | duced Tax on Net Investment I | ncome | |
| | ic private foundations subject to the | | | (e) |
| (i or optional doo by domeon | o privato rouridationo odojost to tri | | | , |
| If section 4040(d)(2) applies | loave this part blank | | | |
| If section 4940(d)(2) applies, | , leave this part blank. | | | |
| Was the foundation lights for | the certion 1012 toy on the distrib | outable amount of any year in the b | aca pariad | Yes X No |
| | the section 4942 tax on the distribenot qualify under section 4940(e). | | ase periou | Yes A No |
| | | | | |
| | nount in each column for each year | | g any entrie | S |
| (a) Base penod years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of nonchantable-use assets | | Distribution ratio col (b) divided by col (c)) |
| 2010 | 1,070,871. | 17,363,249. | ļ | 0.061675 |
| 2009 | 1,058,276. | 16,109,685. | ļ <u>.</u> | 0.065692 |
| 2008 | 835,132. | 16,087,802. | l | 0.051911 |
| 2007 | 1,438,432. | 23,282,706. | | 0.061781 |
| 2006 | 1,402,277. | 22,757,699. | | 0.061618 |
| | | | | |
| 2 Total of line 1, column (d |)) | | 2 | 0.302677 |
| 3 Average distribution ratio | for the 5-year base period - divide | | | |
| - | ndation has been in existence if less | | 3 | 0.060535 |
| , | | | | |
| 4 Enter the net value of no | ncharitable-use assets for 2011 fro | om Part X. line 5 | 4 | 16,047,579. |
| | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | |
| 5 Multiply line 4 by line 3 | | | 5 | 971,440. |
| • Manapiy into 4 by into 0 | | | • - | 3,12,110; |
| 6 Enter 1% of not investme | ent income (1% of Part I, line 27b) | | 6 | 3,497. |
| Citter 1/0 of flet investine | sit income (170 of Fart i, line 27 b). | | | 3,137. |
| 7 Add lines F and 6 | | | , | 974 927 |
| 7 Add lines 5 and 6 | | | 7 | 974,937. |
| O Fatas analéssas distribut | and from Dort VII line 4 | | | 000 467 |
| 8 Enter qualifying distribution | ons from Part XII, line 4 | in Bort VII line 1h and complete | 8 | 989,467. |
| Part VI instructions | reater than line 7, check the box | in Fait vi, line 10, and complete | ınat part u | sing a 170 tax rate. See the |
| JSA Part VI IIISTIUCTIONS | | | | Fam. 000 DF (2-1) |
| 30 1 000 | | | | Form 990-PF (2011) |
| 3355AS L073 10 | /23/2012 3:00:54 PM V | 11-6 880262 | .002 | PAGE : |

(g) Cost or other basis

plus expense of sale

1E14

| Par | t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see in | <u>struc</u> | tions |) |
|-----|--|--------------|---------------|-------------|
| 1 a | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1) | | | |
| | Date of ruling or determination letter (attach copy of letter if necessary - see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check | | 3,4 | 97. |
| | here X and enter 1% of Part I, line 27b | | | |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of | | | |
| · | Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 2 | | | |
| 3 | Add lines 1 and 2 | | _3,4 | <u> 97.</u> |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 4 | | | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0 | | <u>3,4</u> | 97. |
| 6 | Credits/Payments | | | |
| а | 2011 estimated tax payments and 2010 overpayment credited to 2011 6a 10,115. | | | |
| b | Exempt foreign organizations - tax withheld at source6b | | | |
| С | Tax paid with application for extension of time to file (Form 8868). | | | |
| | Backup withholding erroneously withheld 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 10,1 | .15. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8 | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 6.6 | 18. |
| 11 | Enter the amount of line 10 to be: Credited to 2012 estimated tax 3,600. Refunded 11 | | | 18. |
| | t VII-A Statements Regarding Activities | | | |
| | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate | | Yes | No |
| та | | 1a | 163 | X |
| | or intervene in any political campaign? | - 1 <i>a</i> | | |
| D | | 1b | , | X |
| | instructions for definition)? | 10 | $\overline{}$ | <u> </u> |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or | - | , l | |
| | distributed by the foundation in connection with the activities | ایما | | v |
| | Did the foundation file Form 1120-POL for this year? | 1c | | <u> </u> |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year | , } | 1 | İ |
| | (1) On the foundation ▶\$(2) On foundation managers ▶\$ | 1 1 | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed | , 1 | | |
| | on foundation managers > \$ | | | l |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | <u> </u> |
| | If "Yes," attach a detailed description of the activities | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of | | | |
| | incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3_ | | <u> </u> |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | | <u> </u> |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | <u> </u> |
| | If "Yes," attach the statement required by General Instruction T | | | } |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either | | | |
| | By language in the governing instrument, or | | 1 |] |
| | • By state legislation that effectively amends the governing instrument so that no mandatory directions that | | ļ | ŀ |
| | conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7 | X | <u> </u> |
| 8a | | 1 | | 1 |
| | IL, | 1 | 1 | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General | | | 1 |
| _ | (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8 b | х | 1 |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or | | | |
| ~ | 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete | ' | | Ì |
| | Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names | | | |
| | and addresses | 10 | | x |
| | | | QO-DE | (2011) |

36-2217999

| Pai | t VII-A Statements Regarding Activities (continued) | , | | |
|-----|---|---|--|--------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | х |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified | | | |
| 12 | person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | х |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Х | |
| 14 | The books are in care of ▶ PATRICIA A. SHEVLIN Telephone no ▶708-522 | -936 | 1 | |
| | Located at ►P.O. BOX 340 LA GRANGE, IL ZIP+4 ► 60525 | | | - - |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here | | · · > | <u></u> |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority | , | Yes | No |
| | over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of | | _ | |
| | the foreign country | | | |
| Pai | t VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
| 1 a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No | 1 | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a | } | | |
| | disqualified person? X Yes No | , , | . ! | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for | | | |
| | the benefit or use of a disqualified person)? | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the |] [| | |
| | foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days) | | | |
| Ł | of any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | | | |
| - | section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | Х |
| | Organizations relying on a current notice regarding disaster assistance check here | | | |
| | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that | | | |
| | were not corrected before the first day of the tax year beginning in 2011? | 1c | | х |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private | | | |
| _ | operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | ł | |
| , | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and | | ļ | |
| • | 6e, Part XIII) for tax year(s) beginning before 2011? Yes X No | | ĺ | |
| | If "Yes," list the years | | İ | |
| ŀ | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) | | { | |
| | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to | • | ļ | |
| | all years listed, answer "No" and attach statement - see instructions) | 2b | | |
| , | of the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | | |
| | | | | İ |
| 3: | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise | } | } | ļ |
| • | at any time during the year? | | | |
| | of "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or | 1 | { | } |
| • | disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the | | | |
| | Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of | | 1 | ĺ |
| | the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the | 1 | | 1 |
| | foundation had excess business holdings in 2011) | 3b | [| ĺ |
| 4. | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | Х |
| | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its | | | |
| • | charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 46 | | x |
| _ | | orm 99 | n-DF | |

| orm | 990-PF (2011) ARTHUR J. SCHM | ITT FOUNDATIO | ON | 36-2 | 2217999 | Page 6 |
|-----------------|---|---|---|---|------------------------|----------------|
| Par | t VII-B Statements Regarding Activities for | or Which Form 47 | 720 May Be Requi | red (continued) | | |
| 5 a | During the year did the foundation pay or incur any amou | nt to | | | | |
| | (1) Carry on propaganda, or otherwise attempt to influen | ice legislation (section | 4945(e))? | . Yes X No | . } } | |
| | (2) Influence the outcome of any specific public elec- | ction (see section 4 | 955), or to carry or | ı, | 1 1 | |
| | directly or indirectly, any voter registration drive? | | | . Yes X No | | i i |
| | (3) Provide a grant to an individual for travel, study, or oth | | | | .] } | |
| | (4) Provide a grant to an organization other than a | | | | | |
| | section 509(a)(1), (2), or (3), or section 4940(d)(2)? (s | | | | | |
| | (5) Provide for any purpose other than religious, ch | • | | | 1 1 | |
| | purposes, or for the prevention of cruelty to children o | | | 1 1 | . 1 | 1 |
| | If any answer is "Yes" to 5a(1)-(5), did any of the | | | | 1 1 | |
| U | Regulations section 53 4945 or in a current notice regard | | | | 5ь | |
| | - | • | • | . \square | | |
| | Organizations relying on a current notice regarding disast | | | | 1 1 | |
| С | If the answer is "Yes" to question 5a(4), does the | | • | | | |
| | because it maintained expenditure responsibility for the gr | | | . L Yes No | ' | |
| | If "Yes," attach the statement required by Regulations sect | | | | 1 1 | |
| 6 a | Did the foundation, during the year, receive any fund | • | • • • • | | | |
| | on a personal benefit contract? | | | . Yes X No | 1 1 | ١,, |
| þ | Did the foundation, during the year, pay premiums, direct | tly or indirectly, on a p | personal benefit contrac | ^{դշ} | 6b | X _ |
| | If "Yes" to 6b, file Form 8870 | | | | | |
| - | At any time during the tax year, was the foundation a pa | • | • | | 1 1 | - |
| | If "Yes," did the foundation receive any proceeds or have | | | | 7b | |
| Par | Information About Officers, Directors and Contractors | , Trustees, Foun | uation managers, | niging Paid Empid | oyees, | |
| _ | | | | | | |
| 1 | List all officers, directors, trustees, foundation n | | compensation (see | instructions). | | |
| 1 | List all officers, directors, trustees, foundation n (a) Name and address | (b) Title, and average | (c) Compensation (see | (d) Contributions to | (e) Expense | |
| 1 | | | c) Compensation (see (c) Compensation (If not paid, enter -0-) | | (e) Expense other allo | |
| 1 | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | | |
| AT | | (b) Title, and average hours per week | (c) Compensation (If not paid, enter | (d) Contributions to employee benefit plans | | |
| <u>1</u> AT' | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | | |
| AT" | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | | |
| AT' | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | | |
| AT" | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | | |
| AT' | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | | |
| | (a) Name and address TACHMENT 8 | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation 683. | other allo | wances 0 |
| AT' | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation 683. | other allo | wances 0 |
| | (a) Name and address TACHMENT 8 | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation 683. | ons). If no | o ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation 683. | other allo | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." | (b) Title, and average hours per week devoted to position (other than thos | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. e 1 - see instruction (d) Contributions to employee benefit | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |
| 2 | (a) Name and address TACHMENT 8 Compensation of five highest-paid employees "NONE." a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position (other than those b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) 117, 785. | (d) Contributions to employee benefit plans and deferred compensation 683. (d) Contributions to employee benefit plans and deferred | ons). If no | ne, enter |

....▶ 0 Form **990-PF** (2011)

Total number of other employees paid over \$50,000

Form 990-PF (2011)

Total. Add lines 1 through 3......

Page 8

| Pa | Minimum Investment Return (All domestic foundations must complete this part. Foreign see instructions.) | gn founda | itions, |
|-----|--|--|------------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes: | | |
| а | Average monthly fair market value of securities | 1a | 15,800,595. |
| | Average of monthly cash balances | 1b | 491,363. |
| С | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 16,291,958. |
| е | | | |
| | 1c (attach detailed explanation) | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | | 3 | 16,291,958. |
| 4 | Subtract line 2 from line 1d | | |
| | | 4 | 244,379. |
| 5 | Instructions) Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 16,047,579. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 802,379. |
| Pá | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this property of the complete that the complete the property of the complete that the complete tha | part) | |
| _ | | 1 | 802,379. |
| 1 | Minimum investment return from Part X, line 6 | - | 002,373. |
| 2 a | 10.00 | | |
| b | Modifie tax for 2011. (This does not motivate the tax norm tax the) | _ | 3,497. |
| C | Add lines 2a and 2b | 2c 3 | 798,882. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 4 | 730,002. |
| 4 | Recoveries of amounts treated as qualifying distributions | 5 | 700 002 |
| 5 | Add lines 3 and 4 | 6 | 798,882. |
| 6 | Deduction from distributable amount (see instructions) | - | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, | _ | 700 000 |
| | line 1 | 7 | 798,882. |
| Pa | art XII Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes. | | |
| а | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 989,467. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., | | |
| | | 2 | |
| 3 | purposes Amounts set aside for specific charitable projects that satisfy the: | | |
| а | and the second s | 3a | |
| b | | 3 b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 989,467. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. | | |
| | Enter 1% of Part I, line 27b (see instructions) | 5 | 3,497. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | | 985,970. |
| - | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when ca | lculating v | whether the foundation |

Form **990-PF** (2011)

Form 990-PF (2011) Page 9 Part XIII Undistributed Income (see instructions) (b) (a) (c) (d) Years prior to 2010 2010 2011 Corpus Distributable amount for 2011 from Part XI, 798,882. Undistributed income, if any, as of the end of 2011 a Enter amount for 2010 only **b** Total for prior years 20 09 20 08 20 07 Excess distributions carryover, if any, to 2011: 326,324. a From 2006 **b** From 2007 319,475. 46,192. **c** From 2008 **d** From 2009 264,246. e From 2010 222,479. 1,178,716. Total of lines 3a through e Qualifying distributions for 2011 from Part XII, 989,467. line 4 ► \$ a Applied to 2010, but not more than line 2a . . . Applied to undistributed income of prior years (Election required - see instructions)..... Treated as distributions out of corpus (Election required - see instructions) 798,882. d Applied to 2011 distributable amount 190,585. e Remaining amount distributed out of corpus . . Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a)) Enter the net total of each column as indicated below: 1,369,301. a Corpus Add lines 3f, 4c, and 4e Subtract line 5 Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) Excess distributions carryover from 2006 not 326,324. applied on line 5 or line 7 (see instructions) . . . Excess distributions carryover to 2012. 1,042,977. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 319,475. a Excess from 2007 . . . b Excess from 2008 . . . 46,192. 264,246. c Excess from 2009 . . .

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d Excess from 2010 . . .

e Excess from 2011 . . .

222,479. 190,585.

| Pa | rt XIV Private Oper | ating Foundations (| see instructions and | l Part VII-A, questioi | n 9) | NOT APPLICABLE |
|------------|--|---|-------------------------|---|--|---------------------------|
| 1 a | If the foundation has | • | | | ating | |
| _ | foundation, and the ruling | | | | . • | Va. 1 |
| b | Check box to indicate wh | | private operating found | Prior 3 years | 4942(J |)(3) or 4942(j)(5) |
| 2 a | Enter the lesser of the ad- justed net income from Part | Tax year (a) 2011 | - (ы) 2010 | (c) 2009 | (d) 2008 | (e) Total |
| | for the minimum investment | (4) 2011 | (0) 2010 | (6) 2003 | (4) 2000 | |
| | return from Part X for each year listed | | | | | |
| b | 85% of line 2a | | | | | |
| c | Qualifying distributions from Part | | | | | |
| - | XII, line 4 for each year listed . | | · <u></u> | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| е | Qualifying distributions made directly for active conduct of exempt activities Subtract line | | | | | |
| _ | 2d from line 2c | <u>-</u> | | <u> </u> | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | | | |
| а | "Assets" alternative test - enter | | | | | |
| | (1) Value of all assets(2) Value of assets qualifying under section | | | | | |
| b | 4942(j)(3)(B)(i) | | | | | - |
| | enter 2/3 of minimum invest- ment return shown in Part X, | | | | | |
| | line 6 for each year listed | | | <u></u> | | |
| С | "Support" alternative test - enter | | | | | |
| | (1) Total support other than gross investment income | | | | | |
| | (interest, dividends, rents, payments on securities | | | | | |
| | loans (section 512(a)(5)), or royalties) | Ì | | | | |
| | (2) Support from general | | | | | |
| | public and 5 or more exempt organizations as | | | | | |
| | g(X3)(B)(III) | | | | | |
| | (3) Largest amount of sup- port from an exempt | | | | | |
| | organization (4) Gross investment income | | <u> </u> | | | - |
| Pa | | ary Information (C | omplete this part | only if the found | ation had \$5,000 | or more in assets |
| | at any time | during the year - se | e instructions.) | | | · |
| 1 | Information Regardin | - | | - th 00/ -£ th - t-t- | -1 | |
| а | List any managers of before the close of any | tne toungation who t v tax year (but only if t | nave contributed more | e than 2% of the tota nore than \$5,000). (S | ai contributions receiv See section 507(d)(2).) | ed by the foundation |
| | N/A | , , , , | • | | | |
| ь | List any managers of | | | | | large portion of the |
| | ownership of a partne | rship or other entity) o | f which the foundation | n has a 10% or greate | r interest. | |
| | 1- | | | | | |
| 2 | N/A Information Regardin | a Contribution Grant | . Gift. Loan. Scholars | nip. etc Programs: | | |
| - | Check here ▶ ☐ If | _ | | | itable organizations a | and does not accept |
| | unsolicited requests f | | | | | |
| | other conditions, com | | | | | |
| а | The name, address, a | | of the person to who | m applications should t | oe addressed. | |
| _ | ATTACHMI The form in which app | | hmitted and information | on and materials they | should include | |
| b | The form in which app | nications should be su | bilitted and informati | on and materials they | Should include | |
| | LETTER, COI | NDENSED REQUEST | AND SUPPORT MA | TERIAL | | |
| С | Any submission dead | | | | | |
| | | | | | | |
| | QUARTERLY I | MEETINGS ON AWAI | | anhical areas charite | able fields kinds of | institutions or other |
| a | factors | iiiiiialioiis oii awalus | , such as by geogra | aprillodi dicas, Cilditto | abic neids, Killus UI | montations, or other |
| | NONE. YET I | MOST AWARDS ARE | IN THE IMMEDIA | TE CHICAGO METE | ROPOLITAN AREA. | |
| JSA 1F1 | 490 1 000 | | | | | Form 990-PF (2011) |

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| 3 Grants and Contributions Paid Duri | ng the Year or Appr | oved for F | uture Payment | |
|--|--------------------------------|------------------------|----------------------------------|--------------|
| 3 Grants and Contributions Paid During Recipient Name and address (home or business) | If recipient is an individual, | Foundation | Purnose of grant or | |
| Name and address (home or business) | any foundation manager | status of recipient | Purpose of grant or contribution | Amount |
| a Paid during the year | or substantial contributor | | | |
| | | - | | |
| ATTACHMENT 11 | | , | 1 | |
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| | | <u> </u> | <u> </u> | 891,000. |
| Total | | · · · · · · · · | <u></u> 3a | 891,000. |
| b Approved for future payment | | ĺ | | |
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| | <u></u> | | | |
| Total | <u> </u> | <u> </u> | <u></u> 3 <u>b</u> | <u> </u> |

Page 12

| art XVI-A Analysis of Income-Produ | | | Evaluded by | / section 512 512 514 | (e) |
|--|-------------------------|-------------------|----------------|---|--------------------------------------|
| ter gross amounts unless otherwise indicated | (a) | (b) Amount | (c) | / section 512, 513, or 514 (d) Amount | Related or exempt function income |
| Program service revenue | Business code | | Exclusion code | Amount | (See instructions) |
| a | | | | | |
| b | | | | | |
| c | - | | | · | |
| d e | | | | | |
| | | | | | ···· |
| g Fees and contracts from government agencies | | | | <u> </u> | |
| Membership dues and assessments | | _ | | · | |
| Interest on savings and temporary cash investments | | | | | <u> </u> |
| Dividends and interest from securities | | | 14 | 511,772. | |
| Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| Net rental income or (loss) from personal property . | | | | | |
| Other investment income | | | | | |
| Gain or (loss) from sales of assets other than inventory | , | | 18 | -91,505. | |
| Net income or (loss) from special events | | | | | |
| Gross profit or (loss) from sales of inventory | | | | | |
| Other revenue a | | | | | |
| b | | | | | |
| c | | <u>-</u> | | | |
| d | | | | | |
| e | | | 1 | l . | |
| | | | | 7 | |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculart XVI-B Relationship of Activities | culations) s to the Ac | complishment of E | xempt Purp | oses | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculated art XVI-B Relationship of Activities | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calc art XVI-B Relationship of Activities ne No. Explain below how each activity | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) the worksheet in line 13 instructions to verify calculart XVI-B Relationship of Activities The No. Explain below how each activities. | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) the worksheet in line 13 instructions to verify calculart XVI-B Relationship of Activities The No. Explain below how each activities. | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calc art XVI-B Relationship of Activities ne No. Explain below how each activity | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculated a columns. Relationship of Activities ne No. Explain below how each activities and the columns of the columns. | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculated a columns. Relationship of Activities ne No. Explain below how each activities and the columns of the columns. | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculated a columns. Relationship of Activities ne No. Explain below how each activities and the columns of the columns. | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculat XVI-B Relationship of Activities ne No. Explain below how each activities and the substitution of the substitu | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calce rt XVI-B Relationship of Activities ne No. Explain below how each activity | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
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| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calc art XVI-B Relationship of Activities ne No. Explain below how each activity | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
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| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calc art XVI-B Relationship of Activities ne No. Explain below how each activity | culations) s to the Act | complishment of E | xempt Purp | ooses e) of Part XVI-A contrib | 420,26 |
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Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| 1 | Did the | organization direct | tly or indirectly | engage in any | of the followin | g with any other | organization described | | Yes | No | |
|--|---|------------------------------|---------------------------|-------------------------|-------------------------|---|---------------------------------------|--------------------|---------------|----------|--|
| | in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political | | | | | | | | | | |
| • | organiza | | | | | | | | - | | |
| а | _ | rs from the reporting | na foundation to | a noncharitable | e exempt organ | nization of. | | | | ļ | |
| | | | - | | | | · · · · · · · · · · · · · · · · · · · | 1a(1) | , | x | |
| | | | | | | a contract of the contract of | | 1a(2) | | х | |
| b | | ansactions: | | | | | | | | | |
| _ | | | ncharitable exen | not organization | ì | | | 1b(1) | | x | |
| | | | | | | | | | | Х | |
| (2) Purchases of assets from a noncharitable exempt organization | | | | | | | | | | | |
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| a | | | | | | | foundation received les | | | | |
| | | | | | | | goods, other assets, or | | | | |
| / 0\ | | | | | | | of transfers, transactions, and si | | | | |
| (a) L | ine no | (b) Amount involved | (c) Name of h | oncharitable exemp | ot organization | N/A | or transfers, transactions, and s | lanny ana | angeme | 1115 | |
| | | N/A | | | | IN/A | | | | | |
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| 2a | Is the | foundation directly | or indirectly aff | filiated with, or | related to, or | ne or more tax- | exempt organizations | | | | |
| | | - | = | | | | | Y | es X | No. | |
| b | If "Yes, | " complete the follo | owing schedule | | | | | | | | |
| | | (a) Name of organization | | (b) Typ | e of organization | | (c) Description of relation | onship | | | |
| | | | | | | | | | | | |
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| | | | | | | | | - | | | |
| | Under | penalties of perjury, I dec | lare that I have exami | ned this return, inclu | ding accompanying | schedules and statemen | ts, and to the best of my knowl | edge and | belief, it | is true, | |
| Sig | | t, and complete Declaration | of preparer (other than t | axpayer) is based of al | Il information of which | n preparer has any knowl | edge | | | | |
| Hei | | nary no | or jen | 10/00 | 0/12 | Juan | | IRS discus | | | |
| 1161 | | nature of officer or trustee | | Date | | Title | with the (see instruc | preparer bons)? | . T | below | |
| | | atulain A | West. | 10/31 | /12 / | Eleution K | (see mistruc | ,0113) | -] 185 | No | |
| | | Print/Type preparer' | s name | Pre | 10: | Date | | PTIN | | | |
| Pai | d | 1 | | Sus | tufkki_ | 2012.10.23 22: | | 1 | 5405 | 56 | |
| Pre | parer | KRISTIN FICKE | | L IID | , | | | • | | | |
| | Only | | ROWE HORWAT | | TP 700 | | Firm's EIN ► 35- | 03210 | 00 | | |
| _50 | - Jiiiy | Firm's address > 7 | | | 15 \OO | 60600 | Dr | 000 7 | 000 | | |
| | | 1 C. | HICAGO, IL | | | 60602 | Phone no 312- | 899-7 | | (0011) | |
| | | | | | | | | -om w | | 1711111 | |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kınd of F | Property | INS AND LO | Desc | ription | | or D | Date acquired | Date sold |
|-----------------------|-----------------------|---------------|-------------------|--------------------|--------------------|---------|------------------|-----------|
| Gross sale price less | Depreciation | Cost or other | FMV as of | Adj basis as of | Excess of FMV over | | Gain or | |
| expenses of sale | allowed/ allowable | basis | as of 12/31/69 | as of 12/31/69 | adj basis | ╀ | (loss) | |
| | | SALE OF SECU | JRITIES | | | P | VAR | · VA |
| 8,090,218. | | 8,181,723. | | | | | -91,505. | |
| | | | | | | | - | |
| | | | | | ` | . | | |
| OTAL GAIN(L | oss) | | | | | 1 | -91,505. | |
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FORM 990PF, PART I - ACCOUNTING FEES

| CHARITABLE PURPOSES | 14,275. | 14,275. |
|---|-----------------------|---------|
| ADJUSTED NET INCOME | | |
| NET INVESTMENT INCOME | 14,275. | 14,275. |
| REVENUE AND EXPENSES PER BOOKS | 28,550. | 28,550. |
| | | TOTALS |
| DESCRIPTION | ACCOUNTING/AUDIT FEES | |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| CHARITABLE <u>PURPOSES</u> | | |
|---|----------------------|---------|
| ADJUSTED NET INCOME | | |
| NET INVESTMENT INCOME | 87,321. | 87,321. |
| REVENUE AND EXPENSES PER BOOKS | 87,321. | 87,321. |
| | | TOTALS |
| DESCRIPTION | INVESTMENT MGMT FEES | |

ATTACHMENT 3

FORM 990PF, PART I - TAXES

REVENUE

AND

EXPENSES

PER BOOKS

DESCRIPTION FEDERAL TAXES

8,200.

TOTALS

8,200.

- OTHER EXPENSES

PART I

FORM 990PE,

ATTACHMENT 4

| CHARITABLE PURPOSES 683. 1,390. 6,006. 169. | 8,263. |
|--|--------|
| ADJUSTED NET INCOME | |
| NET INVESTMENT INCOME 520. | 682. |
| REVENUE AND EXPENSES INVE PER BOOKS 1,203. 1,390. 6,006. 6,006. | 8,945. |
| | TOTALS |
| DESCRIPTION INSURANCE BANK SERVICE CHARGES DUES AND SUBSCRIPTIONS OFFICE EXPENSE MISCELLANEOUS ILLINOIS FILING FEE | |

VARIOUS INVESTMENTS

ENDING BOOK VALUE

ENDING FMV

15,406,531.

15,406,531.

15,406,531.

15,406,531.

TOTALS

880262.002

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ATTACHMENT 5 PAGE 19

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ATTACHMENT 6

- OTHER ASSETS PART II FORM 990PF,

DESCRIPTION

OTHER RECEIVABLES UBTI REFUND RECEIVABLE

ENDING BOOK VALUE

ENDING FMV

6,700.

6,700.

TOTALS

6,700.

6,700.

ATTACHMENT 7

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

TUOMA

UNREALIZED LOSS ON INVESTMENTS

1,451,824.

TOTAL

1,451,824.

ATTACHMENT 8

FORM 990PF,

- LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

| ₽! | 0 | 0 | 0 | 0 | 0 | 0 |
|---|--|---|---|--|--|---|
| EXPENSE ACCT AND OTHER ALLOWANCES | | | | | | |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | . 0 | 0 | 0 | 0 | | 0 |
| COMPENSATION | 6,000. | 11,000. | 11,000. | 11,000. | . 57,785. | 11,000. |
| TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | PRESIDENT EMERITUS 1.00 | FOUNDATION PRESIDENT/CHAIRMAN 1.00 | VICE PRESIDENT/TREASURER 1.00 | VICE PRESIDENT 1.00 | EXECUTIVE DIRECTOR 20.00 | VICE PRESIDENT 1.00 |
| NAME AND ADDRESS | RICHARD C. BECKER PO BOX 340 LA GRANGE, IL 60525 | JOHN J. GEAREN PO BOX 340 LA GRANGE, IL 60525 | MARY DWYER PO BOX 340 LA GRANGE, IL 60525 | DANIEL E. MAYWORM PO BOX 340 LA GRANGE, IL 60525 | PATRICIA A. SHEVLIN PO BOX 340 LA GRANGE, IL 60525 | PETER J. WRENN PO BOX 340 LA GRANGE, IL 60525 |

| FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES | FICERS, DIRECTORS, AND TRUSTEES | | ATTACH | ATTACHMENT 8 (CONT'D) | |
|---|---|--------------|---|-----------------------------------|--|
| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES | |
| PATRICK EILERS PO BOX 340 LA GRANGE, IL 60525 | DIRECTOR 1.00 | 5,000. | 0 | 0 | |
| CAROL SULLIVAN ' PO BOX 340 LA GRANGE, IL 60525 | DIRECTOR 1.00 | 5,000. | 0 | 0 | |
| ROBERT M. DOW, JR. Po BOX 340 LA GRANGE, IL 60525 | DIRECTOR 1.00 | 0 | 0 | 0 | |
| | GRAND TOTALS | 117,785. | 683. | 0 | |

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 9

NAME AND ADDRESS

TYPE OF SERVICE

COMPENSATION

SYNTRINSIC INVESTMENT COUNSEL ONE PERSHING PLAZA JERSEY CITY, NJ 07399 INVESTMENT MANAGER

87,321.

TOTAL COMPENSATION

87,321.

ATTACHMENT 10

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ARTHUR J. SCHMITT FOUNDATION P.O. BOX 340 LA GRANGE, IL 60525

FORM 990PF, PART XV - GRANTS AND CONTRIBULIONS PAID DURING THE YEAR

880262 002

AMOUNT ATTACHMENT 11 PURPOSE OF GRANT OR CONTRIBUTION SCHOLARSHIPS SCHOLARSHIPS SCHOLARSHIPS SCHOLARSHIPS SCHOLARSHIPS SCHOLARSHIPS RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR FOUNDATION STATUS OF RECIPIENT RELIGIOUS ORGANIZATION 509(A)(1) 509(A)(1) 509 (A) (1) 509(A)(1) 501 (C) (3) N/A N/A N/A N/A N/A N/A DE LA SALLE INSTITUTE TOLTON CENTER 820 NORTH MICHIGAN AVE - 15TH FL RECIPIENT NAME AND ADDRESS CATHOLIC THEOLOGICAL UNION LOYOLA UNIVERSITY CHICAGO UNIVERSITY OF NOTRE DAME 5401 S CORNELL AVENUE NOTRE DAME, IN 46556 MARQUETTE UNIVERSITY 1 EAST JACKSON BLVD MILWAUKEE, WI 53201 CHICAGO, IL 60615 CHICAGO, 1L 60611 CHICAGO, IL 60616 DEPAUL UNIVERSITY CHICAGO, IL 60604 3455 SOUTH WABASH 1100 GRACE HALL PO BOX 1881

100,000.

100,000

100,000

25,000

100,000.

15,000

ATTACHMENT 11 (CONT'D)

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ATTACHMENT 11

10,000. 75,000. 75,000 25,000 AMOUNT ATTACHMENT 11 (CONT'D) PURPOSE OF GRANT OR CONTRIBUTION GENERAL OPERATIONAL SUPPORT SCHOLARSHIPS SCHOLARSHIPS SCHOLARSHIPS RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR FOUNDATION STATUS OF RECIPIENT FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR 509(A)(1) 509(A)(1) 509(A)(1) 509 (A) (1) N/A N/A N/A N/A DANIEL MURPHY SCHOLARSHIP FOUNDATION AUSTIN CAREER EDUCATION CENTER RECIPIENT NAME AND ADDRESS 100 WEST MONROE, SUITE 500 5352 WEST CHICAGO AVENUE 315 WEST WALTON STREET 2221 SOUTH STATE ST CHICAGO, IL 60613 CHICAGO, IL 60616 CHICAGO, IL 60610 CHICAGO, IL 60651 LINK UNLIMITED HIGHSIGHT

TOTAL CONTRIBUTIONS PAID

891,000