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Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

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1

Briefly describe the organization's mission

DOMINICAN UNIVERSITY PROVIDES UNDERGRADUATE AND GRADUATE INSTRUCTION TO STUDENTS AND ADMINISTERS ALL OF ITS PROGRAMS WITHOUT DISCRIMINATION AS TO RACE, COLOR, GENDER, RELIGION, NATIONAL OR ETHNIC ORIGIN, DISABILITY, AGE, MARITAL STATUS OR SEXUAL ORIENTATION AS A SINSINAWA DOMINICAN-SPONSORED INSTITUTION, DOMINICAN UNIVERSITY PREPARES STUDENTS TO PURSUE TRUTH, TO GIVE COMPASSIONATE SERVICE AND TO PARTICIPATE IN THE CREATION OF A MORE JUST AND HUMANE WORLD

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code ) (Expenses \$ 52,163,063 including grants of \$ 24,416,025 ) (Revenue \$ 51,052,654 )
INSTRUCTIONAL THE UNIVERSITY OFFERS INSTRUCTION THROUGH THE UNDERGRADUATE COLLEGE OF ARTS AND SCIENCES, THE ADULT, DEGREE-COMPLETION SCHOOL OF LEADERSHIP AND CONTINUING STUDIES, AND FOUR GRADUATE PROGRAMS BUSINESS, LIBRARY AND INFORMATION SCIENCE, EDUCATION, AND SOCIAL WORK THE ROSARY COLLEGE OF ARTS AND SCIENCES STRIVES TO EMBODY A COMMUNITY OF LEARNERS SEEKING TRUTH THROUGH FREE AND OPEN INQUIRY AND DIALOGUE WITH A DIVERSE ARRAY OF PERSONS, PLACES, TEXTS, OBJECTS, IDEAS AND EVENTS, PAST AND PRESENT, SUPPORTIVE OF EACH LEARNER'S DEVELOPMENT AND COMMITTED TO USING TALENTS TO MAKE A POSITIVE CONTRIBUTION TO THE WORLD THE COLLEGE STRIVES TO PRODUCE GRADUATES OF A LIBERAL ARTS AND SCIENCES PROGRAM WHO CAN THINK CRITICALLY, COMMUNICATE IDEAS WELL, ORALLY AND IN WRITING, AND ACHIEVE BOTH BREADTH OF UNDERSTANDING ACROSS FIELDS AND DEPTH OF KNOWLEDGE IN ONE FIELD THE BRENNAN SCHOOL OF BUSINESS PROVIDES ETHICS-CENTERED MANAGEMENT EDUCATION FOR STUDENTS WHO ARE EITHER ENTERING BUSINESS PROFESSIONS OR CONTINUING THEIR PROFESSIONAL DEVELOPMENT THE CURRICULUM PROVIDES STUDENTS WITH AN ENDURING FOUNDATION IN BUSINESS AND LEADERSHIP SKILLS AND AN UNDERSTANDING OF THE BEST IN CURRENT BUSINESS PRACTICES RESONANT WITH THE UNIVERSITY'S CORE VALUES OF CARITAS ET VERITAS MORE THAN 500 STUDENTS PURSUE UNDERGRADUATE AND GRADUATE DEGREES IN THE FIELDS OF ACCOUNTING, BUSINESS, ECONOMICS AND INTERNATIONAL BUSINESS THE STUDENT BODY, WITH REPRESENTATIVES FROM AROUND THE WORLD, IS DIVERSE IN TERMS OF BOTH BUSINESS EXPERIENCE AND CULTURAL BACKGROUNDS IN RECENT YEARS, THE BRENNAN SCHOOL OF BUSINESS HAS EXPANDED ITS GLOBAL REACH BY PARTNERING WITH TOP-RANKED UNIVERSITIES ABROAD TO OFFER EXECUTIVE MBA PROGRAMS IN POLAND AND THE CZECH REPUBLIC THE GOALS OF THE GRADUATE SCHOOL OF LIBRARY AND INFORMATION SCIENCE ARE TO EDUCATE INDIVIDUALS FOR POSITIONS IN A WIDE SPECTRUM OF ESTABLISHED AND EMERGING LIBRARY, INFORMATION, KNOWLEDGE, AND MEDIA CONTEXTS, TO PROVIDE CONTINUING EDUCATION FOR THE PROFESSIONAL COMMUNITY, AND TO ADVANCE AND ENHANCE TEACHING BY MEANS OF FACULTY PUBLICATION, SERVICE IN PROFESSIONAL ORGANIZATIONS AND OTHER VENUES THE SCHOOL OF EDUCATION HAS BEEN DEDICATED TO PRODUCING TEACHERS WHO HAVE GONE ON TO BE THE LEADERS, CONTINUING SCHOLARS AND ROLE MODELS IN AMERICAN SCHOOLS WHILE THE PROGRAMS HAVE ADVANCED TO MEET EVER CHANGING NEEDS, THE CORE VALUES OF SCHOLARSHIP, LEADERSHIP AND SERVICE HAVE REMAINED CONSTANT THE GRADUATE SCHOOL OF SOCIAL WORK OFFERS A RIGOROUS AND CHALLENGING CURRICULUM, WHICH EMPHASIZES A GLOBALLY FOCUSED AND FAMILY-CENTERED PRACTICE THE PROGRAM EQUIPS STUDENTS WITH NEW KNOWLEDGE TO ADDRESS DOMESTIC AND INTERNATIONAL SOCIAL WORK ISSUES DOMINICAN UNIVERSITY WAS REACCREDITED BY THE NORTH CENTRAL ASSOCIATION IN 2005 FOR THE MAXIMUM TEN-YEAR TERM THE UNIVERSITY RECEIVED APPROVAL FOR ITS FIRST PH D IN THE GRADUATE SCHOOL OF LIBRARY AND INFORMATION SCIENCE AND THE INAUGURAL CLASS BEGAN IN FALL, 2009 DURING 2011-12, THE UNIVERSITY SERVED 3,612 STUDENTS, EVENLY SPLIT BETWEEN THE UNDERGRADUATE AND GRADUATE PROGRAMS (54% AND 46% RESPECTIVELY) STUDENTS IN ATTENDANCE ARE FROM FIFTEEN DIFFERENT STATES AND SEVERAL FOREIGN COUNTRIES THE AVERAGE ACT SCORE FOR ENTERING FRESHMEN WAS 22 85 88% OF UNDERGRADUATE STUDENTS RECEIVE SOME FORM OF INSTITUTIONAL FINANCIAL AID INSTRUCTION IS OFFERED BY 370 FACULTY MEMBERS OF WHOM 156 ARE FULL-TIME 67 OF THE FULL-TIME FACULTY ARE TENURED, AND THE OTHER 67 ARE ON TENURE-TRACK 90% OF THE FULL-TIME FACULTY HAS A DOCTORATE OR TERMINAL DEGREE IN THEIR FIELD, AND ALL FACULTY MEMBERS ARE EXPECTED TO ACTIVELY ENGAGE IN RESEARCH AND PUBLICATION	























4b	(Code ) (Expenses \$ 7,943,298 including grants of \$ 0 ) (Revenue \$ 7,774,207 )
Academic Support Academic support is provided under the leadership of the Provost and Associate Provost Faculty are supported by the Center for Teaching and Learning Excellence, whose mission is to support all Dominican faculty (both full-time and part-time), at all stages of their careers, in their roles as teachers, scholars, and citizens of the University and wider communities Student support, including undergraduate and graduate tutoring programs, is provided by the Academic Learning Resources department and the Academic Enrichment Center Beginning the first year and extending beyond graduation, Career Development, part of the Academic Enrichment Center, actively assists students of all majors with career-related issues, educating them about the career planning process, internships, job search strategies and the graduate/professional school application process as they transition from college to career The mission of the Rebecca Crown Library mandates the academic support of students, faculty, and staff for resources and services In addition to supplying print and electronic materials, services such as reference, circulation, interlibrary loan and instruction provide means through which the university Mission may be realized The library houses a collection of over 300,000 volumes and subscribes to more than 18,000 journals, many of which are available electronically through over 120 databases The library is a partial depository for U S government publications and maintains special collections and archival materials The library currently houses 34% of all publications printed by the Government Printing Office, the federal agency responsible for publishing information produced by the Federal Government The collection offers a wide array of publications from all branches of the U S government Collected formats include print, microfiche, and CD The collection's strengths include census materials, the Congressional Record and its predecessor, the Congressional Globe, dating to 1834, and a partial collection of the U S Serials Set Information Technology is responsible for creating and maintaining a technology environment that supports the university's strategic direction by providing a high-quality infrastructure along with superior support services to enable the user community to utilize technologies for enhanced teaching, learning and administration	

4c	(Code ) (Expenses \$ 6,800,120 including grants of \$ 0 ) (Revenue \$ 6,655,364 )
Student Services and Athletics Undergraduate Admissions and the Financial Aid Office are led by the Vice President for Enrollment Management A freshman class of 416 full-time students was enrolled in 2011 The Vice President coordinates graduate recruitment with the individual colleges Financial aid for all students, including participation in the U S Department of Education's student financial assistance programs, is managed by the Financial Aid Office The office is audited each year under the compliance requirements of OMB Circular A-133 Student services include the Dean of Students office, providing leadership and supervision to student affairs while serving as a liaison between administration and students and working with the community to develop policy regarding student life, the campus Wellness Center, offering a full array of health care, counseling, and wellness services and programs, the Student Involvement Office, which supports student clubs and organizations and offers leadership training, intramural activities, and other campus activities, and Athletics The Department of Athletics provides intercollegiate competition opportunities for the student-athletes of Dominican University who have the experience and talent to compete at the intercollegiate level regardless of race, gender, ethnicity or orientation The overall mission of the department is to provide an experience that will enhance the participant's level of self-esteem, self-motivation and self-actualization through mental and physical training, team dynamics and competitive participation It is intended that the benefits derived from the sport experience will positively impact many aspects of the student-athlete's life and will serve as a complement to the rigorous academic environment of Dominican University	

4d	Other program services (Describe in Schedule O )
	(Expenses \$ 5,025,392 including grants of \$ 0 ) (Revenue \$ 5,363,842 )
4e	Total program service expenses \$ 71,931,873

Part IV

Checklist of Required Schedules

		Yes	No	
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		No
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	Yes	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes	
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. 	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. 	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. 	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. 	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X. 	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional 	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Part I 	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II and IV 	15		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S? If "Yes," complete Schedule F, Part III and IV 	16		No
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I 	17		No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18	Yes	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19		No
20a	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20a		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? <b>Note.</b> All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b		

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21		No
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .	34	Yes	
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	35b		No
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

<b>Part V</b> <b>Statements Regarding Other IRS Filings and Tax Compliance</b>						
Check if Schedule O contains a response to any question in this Part V <input type="checkbox"/>						
		<b>Yes</b>	<b>No</b>			
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. .	<b>1a</b>	141			
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	<b>1b</b>	0			
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>	Yes			
<b>2a</b>	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	<b>2a</b>	1,733			
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	<b>2b</b>	Yes			
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>				No
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	<b>3b</b>	Yes			
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?	<b>4a</b>	Yes			
<b>b</b>	If "Yes," enter the name of the foreign country: <u>UK</u> See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>				No
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>				No
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>				
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	<b>6a</b>				No
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>				
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>					
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	Yes			
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	Yes			
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>				No
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year.	<b>7d</b>				
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>				No
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>				No
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>				
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>				
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	<b>8</b>				
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>					
<b>a</b>	Did the organization make any taxable distributions under section 4966?	<b>9a</b>				
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>				
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter					
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12.	<b>10a</b>				
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	<b>10b</b>				
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter					
<b>a</b>	Gross income from members or shareholders.	<b>11a</b>				
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	<b>11b</b>				
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>				
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>				
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>					
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state.	<b>13a</b>				
<b>b</b>	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	<b>13b</b>				
<b>c</b>	Enter the aggregate amount of reserves on hand.	<b>13c</b>				
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>				No
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	<b>14b</b>				

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  
Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1a	30		
b	Enter the number of voting members included in line 1a, above, who are independent	1b	27
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	Yes
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	Yes
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review the Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	Yes
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization. RICHARD WALSTRA 7900 W DIVISION STREET River Forest, IL 603051066 (708) 524-6772

Check if Schedule O contains a response to any question in this Part VII . . . . .

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

[illegible]



## Part VII

<b>1b</b>	<b>Sub-Total</b>			
<b>c</b>	<b>Total from continuation sheets to Part VII, Section A</b>			
<b>d</b>	<b>Total (add lines 1b and 1c)</b>	2,344,383	0	402,031

**2** Total number of individuals (including but not limited to those listed in the table below) who received more than \$100,000 of reportable compensation from the organization. 45

		Yes	No
3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	5	No

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Chartwells 3 International Drive RYE BROOK, NY 105731058	Food Services Mgmt	2,011,743
Kimco 135 S Lasalle Street CHICAGO, IL 60674	Janitorial Services	850,160
JP Morgan Chase 300 S Riverside CHICAGO, IL 60606	Banking	389,701
MB Financial 6111 North River Road ROSEMONT, IL 60018	Banking	379,637
KOR Group 374 Congress Street ste 503 BOSTON, MA 02210	Marketing/Consulting	370,269
<b>2</b> Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization <b>35</b>		

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a					
	b	Membership dues . . . . .	1b					
	c	Fundraising events . . . . .	1c	129,970				
	d	Related organizations . . . .	1d					
	e	Government grants (contributions)	1e	5,694,610				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	4,416,435				
	g	Noncash contributions included in lines 1a-1f \$ 436,011						
	h	Total. Add lines 1a-1f . . . . .		10,241,015				
Program Service Revenue			Business Code					
	2a	TUITION & FEES	900099	65,482,225	65,482,225			
	b	ROOM AND BOARD	721310	5,175,769	5,175,769			
	c	PERFORMING ARTS	900099	188,073	188,073			
	d							
	e							
	f	All other program service revenue						
	g	Total. Add lines 2a-2f . . . . .		70,846,067				
Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . .		1,024,606			1,024,606	
	4	Income from investment of tax-exempt bond proceeds . .		0				
	5	Royalties . . . . .		0				
	6a	(i) Real		(ii) Personal				
		Gross rents	696,347					
		b Less rental expenses	392,317					
		c Rental income or (loss)	304,030					
	d	Net rental income or (loss) . . . . .		304,030			304,030	
	7a	(i) Securities		(ii) Other				
		Gross amount from sales of assets other than inventory	7,377,030					
		b Less cost or other basis and sales expenses	5,857,337					
		c Gain or (loss)	1,519,693					
	d	Net gain or (loss) . . . . .		1,519,693			1,519,693	
	8a	Gross income from fundraising events (not including \$ 129,970 of contributions reported on line 1c) See Part IV, line 18 . . . . .	a 312,238					
	b	Less direct expenses . . . . .	b 211,106					
	c	Net income or (loss) from fundraising events . .		101,132			101,132	
	9a	Gross income from gaming activities See Part IV, line 19 . . . . .	a					
	b	Less direct expenses . . . . .	b					
	c	Net income or (loss) from gaming activities . .		0				
	10a	Gross sales of inventory, less returns and allowances . . . . .	a					
	b	Less cost of goods sold . . . . .	b					
	c	Net income or (loss) from sales of inventory . .		0				
	Miscellaneous Revenue		Business Code					
11a	PAYMENT PLAN FEES	900099	221,490			221,490		
b	PARKING AND SECURITY	900099	175,669			175,669		
c	ALL OTHER REVENUE	900099	310,161			310,161		
d	All other revenue . . . . .							
e	Total. Add lines 11a-11d . . . . .		707,320					
12	Total revenue. See Instructions . . . . .		84,743,863	70,846,067		3,656,781		

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	0			
2	Grants and other assistance to individuals in the United States See Part IV, line 22	24,416,025	24,416,025		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees . . . . .	1,400,850	806,507	276,908	317,435
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0			
7	Other salaries and wages	27,440,353	24,743,610	957,781	1,738,962
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	1,753,925	1,467,330	178,105	108,490
9	Other employee benefits . . . . .	4,015,381	3,535,040	227,064	253,277
10	Payroll taxes . . . . .	2,033,644	1,786,974	116,615	130,055
11	Fees for services (non-employees)				
a	Management . . . . .	812,125	346,262	253,154	212,709
b	Legal . . . . .	85,251		85,251	
c	Accounting . . . . .	147,745		147,745	
d	Lobbying . . . . .	0			
e	Professional fundraising See Part IV, line 17 . . . . .	0			
f	Investment management fees . . . . .	0			
g	Other . . . . .	4,056,745	3,642,198	231,418	183,129
12	Advertising and promotion . . . . .	603,962	409,882		194,080
13	Office expenses . . . . .	2,456,384	1,972,164	140,327	343,893
14	Information technology . . . . .	783,085	754,395		28,690
15	Royalties . . . . .	0			
16	Occupancy . . . . .	1,229,527	1,045,553	128,806	55,168
17	Travel . . . . .	491,503	457,495	12,500	21,508
18	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0			
19	Conferences, conventions, and meetings . . . . .	764,714	608,902	90,130	65,682
20	Interest . . . . .	1,993,090	1,645,482	250,815	96,793
21	Payments to affiliates . . . . .	0			
22	Depreciation, depletion, and amortization . . . . .	3,342,882	2,841,450	334,288	167,144
23	Insurance . . . . .	537,622	460,564	51,372	25,686
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O )				
a	APPRECIATION AND HOSPITALITY	764,234	507,964	178,864	77,406
b	UNCOLLECTIBLE ACCOUNTS	331,378		331,378	
c	INTERCOLLEGIATE SPORTS ACTIVIT	217,350	217,350		
d	HONORARIUM	147,447	144,386	3,061	
e					
f	All other expenses	199,570	122,340	77,230	
25	Total functional expenses. Add lines 1 through 24f	80,024,792	71,931,873	4,072,812	4,020,107
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing . . . . .			0	1	0
	2	Savings and temporary cash investments . . . . .			453,025	2	2,711,620
	3	Pledges and grants receivable, net . . . . .			6,294,174	3	5,503,397
	4	Accounts receivable, net . . . . .			2,780,819	4	2,633,066
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .			0	5	65,283
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L . . . . .			0	6	0
	7	Notes and loans receivable, net . . . . .			799,121	7	785,198
	8	Inventories for sale or use . . . . .			0	8	0
	9	Prepaid expenses and deferred charges . . . . .			955,182	9	1,126,708
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	10a	118,499,026			
	b	Less: accumulated depreciation . . . . .	10b	38,070,867	80,693,584	10c	80,428,159
	11	Investments—publicly traded securities . . . . .			21,974,338	11	20,848,283
	12	Investments—other securities. See Part IV, line 11 . . . . .			0	12	0
	13	Investments—program-related. See Part IV, line 11 . . . . .			0	13	0
	14	Intangible assets . . . . .			0	14	0
	15	Other assets. See Part IV, line 11 . . . . .			2,729,343	15	2,710,485
16	Total assets. Add lines 1 through 15 (must equal line 34) . . . . .			116,679,586	16	116,812,199	
Liabilities	17	Accounts payable and accrued expenses . . . . .			3,438,486	17	3,922,001
	18	Grants payable . . . . .			0	18	0
	19	Deferred revenue . . . . .			63,000	19	87,394
	20	Tax-exempt bond liabilities . . . . .			35,273,272	20	34,634,838
	21	Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .			622,498	21	675,880
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .			0	22	0
	23	Secured mortgages and notes payable to unrelated third parties . . . . .			1,575,000	23	0
	24	Unsecured notes and loans payable to unrelated third parties . . . . .			0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .			6,792,887	25	10,343,244
	26	Total liabilities. Add lines 17 through 25 . . . . .			47,765,143	26	49,663,357
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets . . . . .			44,025,693	27	42,925,075
	28	Temporarily restricted net assets . . . . .			8,920,573	28	7,955,629
	29	Permanently restricted net assets . . . . .			15,968,177	29	16,268,138
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds . . . . .				30	
	31	Paid-in or capital surplus, or land, building or equipment fund . . . . .				31	
	32	Retained earnings, endowment, accumulated income, or other funds . . . . .				32	
	33	Total net assets or fund balances . . . . .			68,914,443	33	67,148,842
	34	Total liabilities and net assets/fund balances . . . . .			116,679,586	34	116,812,199

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI ☒

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	84,743,863
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	80,024,792
<b>3</b>	Revenue less expenses Subtract line 2 from line 1	<b>3</b>	4,719,071
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	68,914,443
<b>5</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>5</b>	-6,484,672
<b>6</b>	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	<b>6</b>	67,148,842

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII ☐

		Yes	No
<b>1</b>	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
<b>2a</b>	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
<b>b</b>	Were the organization's financial statements audited by an independent accountant?	Yes	
<b>c</b>	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
<b>d</b>	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
<b>3a</b>	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
<b>b</b>	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A  
(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization Dominican University	Employer identification number 36-2167855
--	--

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )
- 10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h  

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support							
Calendar year (or fiscal year beginning in)		(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7	Amounts from line 4						
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income (Explain in Part IV ) Do not include gain or loss from the sale of capital assets						
11	Total support (Add lines 7 through 10)						
12	Gross receipts from related activities, etc (See instructions )					12	
13	First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage			
14	Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))	14	
15	Public Support Percentage for 2010 Schedule A, Part II, line 14	15	
16a	<b>33 1/3% support test—2011.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization		
b	<b>33 1/3% support test—2010.</b> If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization		
17a	<b>10%-facts-and-circumstances test—2011.</b> If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
b	<b>10%-facts-and-circumstances test—2010.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
18	<b>Private Foundation</b> If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions		

Part IIIPart III

Support Schedule for Organizations Described in IRC 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and <b>stop here</b>						

Section C. Computation of Public Support Percentage			
15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15		
16 Public support percentage from 2010 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2011 (line 10c column (f) divided by line 13 column (f))	17		
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization			
b 33 1/3% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization			
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions			



**Part IV** **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE D  
(Form 990)

Supplemental Financial Statements

Department of the Treasury  
Internal Revenue Service

OMB No 1545-0047

2011

Open to Public Inspection

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

Attach to Form 990. See separate instructions.

Name of the organization  
Dominican University

Employer identification number  
36-2167855

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	
	<div>Yes</div> <div>No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit	
	<div>Yes</div> <div>No</div>	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year

4

Number of states where property subject to conservation easement is located

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes

No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year

\$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

Yes

No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

\$

(ii)

Assets included in Form 990, Part X

\$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

\$

b

Assets included in Form 990, Part X

\$

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☒ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance . . . . .	22,813,514	18,757,836	16,327,426	20,559,576
b	Contributions . . . . .	319,677	2,909,852	77,326	5,430
c	Investment earnings or losses . . . . .	-526,772	4,329,670	2,701,842	-3,891,580
d	Grants or scholarships . . . . .	780,500	3,183,844	348,758	346,000
e	Other expenditures for facilities and programs . . . . .			0	0
f	Administrative expenses . . . . .				
g	End of year balance . . . . .	21,825,919	22,813,514	18,757,836	16,327,426

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 31 340 %

b

Permanent endowment ▶ 64 890 %

c

Term endowment ▶ 3 770 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations . . . . .

(ii) related organizations . . . . .

3a(i)

☐ Yes

☐ No

3a(ii)

☐ Yes

☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐ Yes

☐ No

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .		3,070,652		3,070,652
b Buildings . . . . .		99,577,966	28,436,568	71,141,398
c Leasehold improvements . . . . .		6,091,192	3,151,566	2,939,626
d Equipment . . . . .		9,669,820	6,482,733	3,187,087
e Other . . . . .		89,396	0	89,396
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) . . . . . ▶				80,428,159

Schedule D (Form 990) 2011



Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	84,743,863
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	80,024,792
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	4,719,071
4	Net unrealized gains (losses) on investments	4	-6,484,672
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	-6,484,672
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-1,765,601

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements . . . . .	1	55,846,125
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments . . . . .	2a	-6,484,672
b	Donated services and use of facilities . . . . .	2b	
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	-6,484,672
3	Subtract line 2e from line 1 . . . . .	3	62,330,797
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV) . . . . .	4b	22,413,066
c	Add lines 4a and 4b . . . . .	4c	22,413,066
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12 ) . . . . .	5	84,743,863

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements . . . . .	1	57,611,726
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities . . . . .	2a	
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	
3	Subtract line 2e from line 1 . . . . .	3	57,611,726
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV) . . . . .	4b	22,413,066
c	Add lines 4a and 4b . . . . .	4c	22,413,066
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18 ) . . . . .	5	80,024,792

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
SCHEDULE D, PART IV, LINE 2B	ESCROW AND CUSTODIAL ARRANGEMENTS	THE BALANCE INCLUDES A) STUDENT TUITION PREPAYMENTS FOR THE JULY/AUGUST SUMMER TERM, B) FUNDS ON DEPOSIT FOR VARIOUS STUDENT ORGANIZATIONS, AND C) CHARITABLE GIFT ANNUITY OBLIGATIONS Deposit amounts related to students include student tuition and housing deposits for subsequent academic terms The university also holds funds for a number of student organizations The university holds these amounts in internally designated accounts Student organizations deposit funds to and request funds from their internal accounts through the university's normal receipting and accounts payable procedures The balance also includes the university's obligation under two charitable gift annuities Annual disbursements are made to the annuitants out of invested annuity funds
SCHEDULE D, PART V, Line 4	Intended Uses of Endowment Funds	The University's endowment consists of 136 individual funds established for a variety of purposes Its endowment includes donor-restricted endowment funds, endowment pledges receivable and funds designated by the Board of Trustees to function as endowments Net assets associated with endowment funds, including funds designated by the Board of Trustees to function as endowments, are classified and reported based on the existence or absence of donor-imposed restrictions University management, subject to Board ratification, has interpreted the Uniform Prudent Management of Institutional Funds Act (UPMIFA) as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary As a result of this interpretation, the University classifies as permanently restricted net assets (a) the original value of gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the University in a manner consistent with the standard of prudence prescribed by UPMIFA In accordance with UPMIFA, the University will consider the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds (1) The duration and preservation of the fund (2) The purposes of the organization and the donor-restricted endowment fund (3) General economic conditions (4) The possible effect of inflation and deflation (5) The expected total return from income and the appreciation of investments (6) Other resources of the organization (7) The investment policies of the organization From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the level that the donor or UPMIFA requires the University to retain as a fund of perpetual duration There were no deficiencies of this nature as of June 30, 2012 and 2011, respectively
Schedule D, Part X, Line 2	FIN 48	The University has received a favorable determination letter from the Internal Revenue Service, stating that it is exempt from Federal income taxes under the provisions of Section 501(c)(3) of the Internal Revenue Code of 1986 (IRC), except for income taxes pertaining to unrelated business income The Financial Accounting Standards Board issued guidance that requires tax effects from uncertain tax positions to be recognized in the financial statements only if the position is more likely than not to be sustained if the position were to be challenged by a taxing authority Management has determined there are no material uncertain positions that require recognition in the financial statements The organization has not accrued any provision for income taxes as the University has no unrelated business income in the current year There is no interest or penalties recognized in the statement of activities or statement of position The tax years ending 2008, 2009, 2010, and 2011 are still open to audit for both Federal and state purposes
Schedule D, Part XII, Line 4B	Other	OTHER - DISCOUNTS ON TUITION AND FEES 23,016,489 OTHER - RENTAL EXPENSES (392,317) OTHER-FUNDRAISING EXPENSES (211,106) ----- TOTAL 22,413,066
Schedule D, Part XIII, Line 4B	Other	OTHER - DISCOUNTS ON TUITION AND FEES 23,016,489 OTHER - RENTAL EXPENSES (392,317) OTHER - FUNDRAISING EXPENSES (211,106) ----- TOTAL 22,413,066

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,  
or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization  
Dominican University

Employer identification number

36-2167855

Part I

- 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

- 4 Does the organization maintain the following?
- a Records indicating the racial composition of the student body, faculty, and administrative staff?
- b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d Copies of all material used by the organization or on its behalf to solicit contributions?
- If you answered "No" to any of the above, please explain If you need more space, use Part II

- 5 Does the organization discriminate by race in any way with respect to
- a Students' rights or privileges?
- b Admissions policies?
- c Employment of faculty or administrative staff?
- d Scholarships or other financial assistance?
- e Educational policies?
- f Use of facilities?
- g Athletic programs?
- h Other extracurricular activities?
- If you answered "Yes" to any of the above, please explain If you need more space, use Part II

- 6a Does the organization receive any financial aid or assistance from a governmental agency?
- b Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either line 6a or line 6b, explain on Part II

- 7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	
4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	
5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No
6a	Yes	
6b		No
7	Yes	

**Part III Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
SCHEDULE E, PART I, LINE 3	RACIALLY NONDISCRIMINATORY POLICY	The school's nondiscriminatory policy is published in a newspaper of general circulation that serves all racial segments of the community, and in university view books, the brochure dealing with student admissions and programs.
SCHEDULE E, PART I, LINE 6A	FINANCIAL AID OR ASSISTANCE FROM A GOVERNMENT AGENCY	THE UNIVERSITY RECEIVES FUNDS FROM THE U.S. DEPARTMENT OF EDUCATION FOR SEVERAL STUDENT FINANCIAL ASSISTANCE PROGRAMS, INCLUDING THE FEDERAL PELL GRANT PROGRAM, FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT PROGRAM, FEDERAL WORK-STUDY PROGRAM, Federal Perkins loan program, federal family education loan program, Teacher Education Assistance for College and Higher Education Grant Program. The university also receives state funding from the Illinois Monetary Assistance Program.





[illegible]

**3** Enter total number of other organizations or entities . . . . . ►

## Part III

[illegible]

**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☐

Yes

☒

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐

Yes

☒

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☐

Yes

☒

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☐

Yes

☒

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☐

Yes

☒

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☐

Yes

☒

No

## Supplemental Information

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

[illegible]

SCHEDULE G  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,  
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization  
Dominican University

Employer identification number  
36-2167855

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☐ Mail solicitations

b

☐ Internet and e-mail solicitations

c

☐ Phone solicitations

d

☐ In-person solicitations

e

☐ Solicitation of non-government grants

f

☐ Solicitation of government grants

g

☐ Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total . . . . . ▶						

3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events
		Trustee Benefit (event type)	(event type)	0 (total number)	(Add col (a) through col (c))
Revenue	1	Gross receipts . . . .	442,208		442,208
	2	Less Charitable contributions . . . .	129,970		129,970
	3	Gross income (line 1 minus line 2) . . . .	312,238		312,238
Direct Expenses	4	Cash prizes . . . .	7,000		7,000
	5	Non-cash prizes . . . .			
	6	Rent/facility costs . . . .			
	7	Food and beverages . . . .	67,300		67,300
	8	Entertainment . . . .	60,000		60,000
	9	Other direct expenses . . . .	76,806		76,806
	10	Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶			( 211,106 )
	11	Net income summary Combine lines 3 and 10 in column (d). . . . . ▶			101,132

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
Direct Expenses	3	Non-cash prizes . . . . .			
	4	Rent/facility costs . . . . .			
	5	Other direct expenses . . . . .			
	6	Volunteer labor . . . . .	<input type="checkbox"/> Yes ..... <input type="checkbox"/> No	<input type="checkbox"/> Yes ..... <input type="checkbox"/> No	<input type="checkbox"/> Yes ..... <input type="checkbox"/> No
7 Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶					( )
8 Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶					

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," Explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," Explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- 11

Does the organization operate gaming activities with nonmembers?

☐ Yes ☐ No
- 12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity operated in

a	The organization's facility	13a
b	An outside facility	13b

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization and the amount of gaming revenue retained by the third party

\$

c

If "Yes," enter name and address

Name

Address

16

Gaming manager information

Name

Gaming manager compensation

Description of services provided

☐ Director/officer

☐ Employee

☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year

\$

Part IV

Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
------------	-----------------	-------------

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Dominican University

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public  
Inspection

Employer identification number

36-2167855

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3

Enter total number of other organizations listed in the line 1 table . . . . . ▶



Part IIIGrants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) UNDERGRADUATE SCHOLARSHIPS AND GRANTS	1709	19,122,237		Book Value	
(2) GRADUATE SCHOLARSHIPS AND GRANTS	473	1,425,716		Book Value	
(3) FEDERAL PELL GRANTS	910	3,652,072		Book Value	
(4) FEDERAL SEOG GRANTS	113	216,000		Book Value	

Part IVSupplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
FORM 990, SCHEDULE I, PART IV, LINE 2	MONITORING THE USE OF GRANT FUNDS IN THE U S	The awarding of student financial aid grants under the U S Department of Education's Student Financial Assistance Program are monitored by the university's Financial Aid Office, which ensures that the university meets the compliance requirements of OMB Circular A-133 The Financial Aid Office is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs Administration of grant activities is coordinated between the academic Office of Research and Sponsored projects, the University Advancement and the Business Office These Offices ensure that appropriate controls are established and that grant requirements for documentation, tracking, expenditure of funds and reporting are met

Schedule J  
(Form 990)

Compensation Information

OMB No 1545-0047

2011

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization

Dominican University

Employer identification number

36-2167855

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div></div>		
	<div><div><input type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Payments for business use of personal residence</div></div>		
	<div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div></div>		
	<div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div></div>		
	<div><div><input type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div></div>		
	<div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment?	4a	No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	No
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) Donna M Carroll	(i)	319,500	0	15,000	64,110	8,436	407,046	0
	(ii)	0	0	0	0	0	0	0
(2) Cheryl Johnson Odim	(i)	206,329	0	0	20,633	20,601	247,563	0
	(ii)	0	0	0	0	0	0	0
(3) Amy McCormack	(i)	182,717	0	0	16,500	2,082	201,299	0
	(ii)	0	0	0	0	0	0	0
(4) Mary Ann Rowan	(i)	159,500	0	0	6,180	1,672	167,352	0
	(ii)	0	0	0	0	0	0	0
(5) Graczyna Cichomska	(i)	175,100	0	0	17,510	13,837	206,447	0
	(ii)	0	0	0	0	0	0	0
(6) Arvid Johnson	(i)	175,850	0	0	16,500	20,535	212,885	0
	(ii)	0	0	0	0	0	0	0
(7) Susan Roman	(i)	147,126	0	0	14,713	522	162,361	0
	(ii)	0	0	0	0	0	0	0
(8) Jeffrey Carlson	(i)	134,113	0	0	6,706	16,065	156,884	0
	(ii)	0	0	0	0	0	0	0
(9) Dan Condon	(i)	133,729	0	0	0	20,151	153,880	0
	(ii)	0	0	0	0	0	0	0
(10) Mark Rodgers	(i)	130,313	0	0	13,031	20,350	163,694	0
	(ii)	0	0	0	0	0	0	0
(11) Therese Hogan	(i)	108,963			9,807	8,925	127,695	
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**Part III**   **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
SCHEDULE J, PART I, LINE 1A	COMPENSATION INFORMATION	Payments for business use of personal residence. Annually, the University pays \$15,000 to the President for the use of her home for University functions. This arrangement is part of the President's employment contract and the payment was included in her reportable compensation.
SCHEDULE J, PART I, LINE 4B	PAYMENT FROM A SUPPLEMENTAL NONQUALIFIED REQUIREMENT PLAN	The University has a non-qualified 457(f) plan for the University's President Donna Carroll. The deferred amount for FY 2012 was \$42,110.

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Dominican University

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Employer identification number  
36-2167855

Part I

Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A VILLAGE OF RIVER FOREST COOK COUNTY IL	36-6006070		12-01-2009	16,200,000	REFUND OF BONDS & RENOVATIONS		X	X			X
B Illinois Finance Authority	86-1091967	45200BYKB	03-15-2006	30,000,000	CONSTRUCTION AND RENOVATIONS		X	X			X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	16,200,000		30,000,000					
2	Amount of bonds defeased	0		0					
3	Total proceeds of issue	0		0					
4	Gross proceeds in reserve funds	0		0					
5	Capitalized interest from proceeds	0		0					
6	Proceeds in refunding escrow	0		0					
7	Issuance costs from proceeds	0		314,000					
8	Credit enhancement from proceeds	0		0					
9	Working capital expenditures from proceeds	0		0					
10	Capital expenditures from proceeds	16,200,000		29,686,000					
11	Other spent proceeds	0		0					
12	Other unspent proceeds	0		0					
13	Year of substantial completion	2009		2007					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?	X			X				
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?	X		X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X				

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?	X			X				
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X				
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %					
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	4 600 %							
6	Total of lines 4 and 5	4 600 %							
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X					

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?								
2	Is the bond issue a variable rate issue?	X		X					
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X				
b	Name of provider	0		0					
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X				
b	Name of provider	0		0					
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X				
6	Did the bond issue qualify for an exception to rebate?		X		X				

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations . . . . . ☐ Yes ☒ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
Procedures to Undertake Corrective Action	Schedule K, Part V	DOMINICAN UNIVERSITY HAS NOT VIOLATED ANY APPLICABLE REQUIREMENTS FOR TAX EXEMPT BONDS BENEFITTING THE UNIVERSITY THE UNIVERSITY IS IN THE PROCESS OF ESTABLISHING WRITTEN PROCEDURES TO ENSURE TIMELY IDENTIFICATION OF VIOLATIONS OF FEDERAL TAX REQUIREMENTS OR TIMELY CORRECTION OF ANY IDENTIFIED VIOLATIONS

Schedule L  
(Form 990 or 990-EZ)

Transactions with Interested Persons  
▶ Complete if the organization answered  
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,  
or Form 990-EZ, Part V lines 38a or 40b.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

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**2011**  
Open to Public Inspection

Name of the organization  
Dominican University

Employer identification number  
36-2167855

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c)Original principal amount	(d)Balance due	(e) In default?		(f) Approved by board or committee?		(g)Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
(1) Amy McCormack see below		X	65,283	65,283		No	Yes		Yes	
Total . . . . . ▶ \$				65,283						

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b)Relationship between interested person and the organization	(c)Amount of grant or type of assistance

**Part IV**

**Business Transactions Involving Interested Persons.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

**Part V**

**Supplemental Information**  
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
Form 990, Schedule L, Part II	Loans to Interested Persons	The purpose of the loan was to provide a vice president support for advanced education in the field of educational leadership, and to enhance her portfolio for greater leadership responsibilities in the future



SCHEDULE M  
(Form 990)

NonCash Contributions

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2011

Open to Public Inspection

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

Name of the organization  
Dominican University

Employer identification number  
36-2167855

Part I

Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining contribution amounts
1 Art—Works of art . . . . .				
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	2,913	71,011	Comparable Sale
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .	X	1	365,000	FMV/Comparable sale
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ► ( )				
26 Other ►( )				
27 Other ►( )				
28 Other ► ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .

29

1

30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .	Yes	No
b	If "Yes," describe the arrangement in Part II		No
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? . . . . .	Yes	
b	If "Yes," describe in Part II		
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II		

Part III

**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
FORM 990, SCHEDULE M, PART I, LINE 32A	USE OF THIRD PARTIES	The university uses an independent third party broker to receive donations of stock and real estate. The broker also sells the securities and real estate based on the directions from the university.

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization Dominican University	Employer identification number 36-2167855
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Identifier	Return Reference	Explanation
FORM 990, PART III, LINE 4D	Auxiliary Services	TOTAL EXPENSES \$5,025,392 (INCLUDING NO GRANTS) TOTAL REVENUE \$5,363,842 Auxiliary Services includes student housing and campus dining The university offers four campus residence hall options, as well as nearby off-site housing Housing includes a variety of options ranging from suites to single rooms The student meal plan can be tailored for a number of flex meal arrangements and can be used at several campus dining facilities Approximately 40% of the student population takes advantage of housing opportunities Auxiliary Services also includes the activity of the Performing Arts Center, which offers a variety of musical and theater acts to the university and nearby communities Theater productions are integrated with the undergraduate theater program and utilize many students as part of the productions Theater students also learn and assist with box office, stage crew and audience/guest artist, and support all musical events

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 1A	the governing body's authority delegated to the committee	<p>The Board of Trustees shall have exclusive responsibility for the determination of policy for the Corporation, including the general outlines of procedures essential to implement policy, but the administration of the ordinary activities of the Corporation shall be conducted by its officers and other persons appointed by the officers for that purpose. Subject to the rights of the Members, the responsibilities of the Board of Trustees include, but are not limited to, the following:</p> <ul style="list-style-type: none"> <li>(a) Appoint or remove the President and other officers of the University in accordance with these Bylaws,</li> <li>(b) Approve degrees in courses upon the recommendation of faculty and honorary degrees on the recommendation of the President,</li> <li>(c) Grant tenure upon the recommendation of the President,</li> <li>(d) Establish and review the educational programs of the University,</li> <li>(e) Oversee long-range planning which ensures that goals, programs, services and functions of the University are consistent with the mission and with educational needs and opportunities,</li> <li>(f) Authorize any changes in tuition and any material changes in fees within the University,</li> <li>(g) Establish annually the budget of the University, which shall be submitted to it upon recommendation of the Finance and Investment Committee,</li> <li>(h) Authorize the construction of new buildings and renovation of existing buildings and assure the proper management of the physical plant,</li> <li>(i) Approve and participate in a comprehensive financial development program to add to the current, special and endowment resources of the University,</li> <li>(j) Authorize officers or agents of the University to accept gifts for the University,</li> <li>(k) Recommend to the Members the acquisition, purchase, sale, mortgage, lease, transfer or encumbrance of the real property owned or proposed to be owned by the University,</li> <li>(l) Require an independent yearly certified audit of financial accounts, records and resources by a certified public accountant,</li> <li>(m) Periodically review these Bylaws and recommend changes to the Members.</li> </ul>

Identifier	Return Reference	Explanation
Form 990, Part VI, Line 2	Family relationships or Business relationships	FAMILY Relationship RAYMOND PARMER, A TRUSTEE HAS A FAMILY RELATIONSHIP WITH CAROLYN NOONAN PARMER, A TRUSTEE EMERITUS OF DOMINICAN UNIVERSITY James Donahugh has a family relationship with a member of the university Business Relationship Eugene T McEnery MD is a trustee of the university and a director of the Community Bank. Martin J Noll is a trustee of the University and a chairman of the community bank Kevin M Killips is a trustee of the university and the Chief Financial Officer of the Private Bank. Robert F Coleman is a trustee of the university and a director of the Private Bank.

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 6	members of the organization	MEMBERS ELECT THE MEMBERS OF THE GOVERNING BODY AND APPROVE SIGNIFICANT DECISIONS OF THE GOVERNING BODY

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 7A	member elections & resignations	<p>Members shall be the Prioress, general Councillors or delegates as determined by the Members, and the General Finance Officer of the Sinsinawa Dominican Congregation. The Members may appoint, in their sole discretion, different Members (a) when the Members, in their sole discretion, decide to increase the number of Members (within the limitations set forth in Article II, Section 1), or (b) when vacancies occur by reason of death, resignation, failure of qualification, or otherwise. At all times the Members shall be comprised of a majority of persons who are elected officials of the Sinsinawa Dominican Congregation. Each Member shall be entitled to one vote on each matter submitted to a vote of the Members. Any Member may resign by filing a resignation with the Elected Chair (unless the Elected Chair is the person resigning, in which case the resignation shall be filled with the remaining Members.) Unless otherwise stated in a resignation, it shall take effect when received by the person or person(s) to whom delivered as specified above, without any need for its acceptance. Any Member may be removed with or without cause by the affirmative vote of a majority of the Members.</p>

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 7B	DECISIONS SUBJECT TO APPROVAL	Members amend, restate, or modify the articles of incorporation and the bylaws of the university. Also they approve the acquisition, purchase, sale, mortgage, lease, transfer, or encumbrance of the real property owned by the university. Members ratify the appointment, removal, or replacement of any one or more of the trustees, and approve any plan for dissolution, merger, consolidation, or liquidation of the university. The responsibilities of the Members include the following: (a) To approve the mission of the University, and to assure continuing harmony between the mission of the Sinsinawa Dominican Congregation and the mission of the University; (b) To amend, restate, or modify the Articles of Incorporation and the Bylaws of the University; (c) To approve the acquisition, purchase, sale, mortgage, lease, transfer, or encumbrance of the real property owned by the Corporation; (d) To ratify the appointment, removal, or replacement of any one or more of the Trustees; (e) To approve any plan for dissolution, merger, consolidation, or liquidation of the Corporation.



Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 11	BOARD REVIEW OF 990	The tax returns have been reviewed by the grant Thornton tax services team and the returns were provided to the audit and risk management committee. At the meeting, Grant Thornton along with staff reviewed any significant changes. The tax return was approved at the audit and risk management meeting with the understanding that the Senior Vice President for Finance and Administration reviewed and finalized any follow-up that was requested at the meeting. Any follow-up to the items presented at the meeting was completed by the staff and reviewed by grant Thornton tax Service team and final approval by the Senior Vice President for Finance and Administration. Approval by Audit & Risk Management committee was noted in the minutes. A copy of the tax return was emailed to all members of the board of trustees prior to being filed.

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 12C	CONFLICT OF INTEREST POLICY	<p>Dominican University has a written Conflict of Interest Policy (the policy) that has been approved by the Board of Trustees, which covers each member of the board of trustees, officers and key employees of the Dominican University. The policy requires each member of the Board of Trustees and officers annually 1) to review the policy, 2) to disclose any possible personal, family or business relationships that reasonably could give rise to a conflict involving the University, 3) to acknowledge by his or her signature that he or she is in accordance with the policy. Annually, the policy is mailed to all Trustees and officers for review, sign-off, and return. Follow-up calls and emails are made to ensure all forms are returned timely. Responses are collected and reviewed by the Compliance and Financial Reporting Manager to determine whether conflicts exist. The Board of Trustees performs due diligence process as documented in the policy in determining the proposed or disclosed potential conflict of interest situation. This would include 1) any material facts of the proposed or disclosed transaction not known to the board or committee, 2) the trustees or officer's interest or relationship with respect to the proposed or disclosed transaction. The presence of a trustee or officer who is directly or indirectly a party to a proposed transaction may not be counted when the board or the committee of the board takes action on the proposed transaction. However, this individual may be counted in determining whether a quorum is present for that particular meeting.</p>

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 15A&B	compensation process for the president, officers, and key employees	Compensation for the President of the University is determined by the Board of Trustees. Annually, they determine the base compensation based on the comparability data provided by the Director of Human Resources. Bonuses and other indirect compensation is based on meeting stated goals, which are approved by the Executive Committee of the Board of Trustees annually and included as part of the President's contract. Bonuses for the president are determined by the Executive Compensation Committee of the Board of Trustees annually as part of her evaluation. Bonuses for other members of the senior management team are determined by the President. For all other top management positions such as officers, key employees, and academic deans, the President determines the initial compensation based on the data that the Director of Human Resources gathered in relation to market data for similar positions. Annually the Director of Human Resources reviews all compensation, including the senior or top management for equity. Any compensation recommendation is approved by the President and the Senior Vice President of Administration. The compensation review process for the president, officers, and key employees is completed on an annual basis.

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 19	ORGANIZATION DOCUMENTS	Dominican makes its governing documents and financial data, such as conflict of interest policy, bylaws, financial statements, and annual operating budgets, available to the public upon request

Identifier	Return Reference	Explanation
FORM 990, PART XI, LINE 5	OTHER CHANGES IN NET ASSETS OR FUND BALANCES	NET UNREALIZED GAINS/(LOSS) \$(6,484,672)

SCHEDULE R  
(Form 990)

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2011

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Dominican University

Employer identification number  
36-2167855

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
(1) SINSINAWA DOMINICAN CONGREGATION OF MOST  39-0816854	Preaching	WI	501(C)(3)	1	NA		No
(2) 585 COUNTRY ROAD Z SINSINAWA WI 53824					N/A		

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Sale of assets to related organization(s)

g

Purchase of assets from related organization(s)

h

Exchange of assets with related organization(s)

i

Lease of facilities, equipment, or other assets to related organization(s)

j

Lease of facilities, equipment, or other assets from related organization(s)

k

Performance of services or membership or fundraising solicitations for related organization(s)

l

Performance of services or membership or fundraising solicitations by related organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

n

Sharing of paid employees with related organization(s)

o

Reimbursement paid to related organization(s) for expenses

p

Reimbursement paid by related organization(s) for expenses

q

Other transfer of cash or property to related organization(s)

r

Other transfer of cash or property from related organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

No

No

No

No

No

No

No

Yes

No

No

No

Yes

Yes

No

No

No

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Schedule R (Form 990) 2011



Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation	
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Additional Data

Software ID:

Software Version:

EIN: 36-2167855

Name: Dominican University

Form 990, Special Condition Description:

Special Condition Description
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Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Richard M King Trustee	1 0	X						0	0	0
Kevin M Killips Trustee	1 0	X						0	0	0
Donna M Carroll President and Trustee	65 0	X		X				334,500	0	71,444
Gregory W Cappelli Trustee	1 0	X						0	0	0
Robert F Coleman Trustee	1 0	X						0	0	0
Thomas R Dee Trustee	1 0	X						0	0	0
James P Donahugh Trustee	1 0	X						0	0	0
Catherine Dooley OP Trustee	1 0	X						0	0	0
Elizabeth K Dunn Student Trustee	1 0	X						0	0	0
Manuel Flores Trustee	1 0	X						0	0	0
Michael J Furey Trustee	1 0	X						0	0	0
Paul C Gearen Trustee	1 0	X						0	0	0
Daniel C Hill Trustee	1 0	X						0	0	0
Judith A Jewison OP Trustee	1 0	X						0	0	0
Lily Elizabeth Li Trustee	1 0	X						0	0	0
Eugene T McEnery MD Trustee	1 0	X						0	0	0
Patricia Mulcahey OP Trustee	1 0	X						0	0	0
Martin J Noll Trustee	1 0	X						0	0	0
Raymond C Parmer Trustee	1 0	X						0	0	0
J David Pepper Trustee	1 0	X						0	0	0
Raymond J Reid Trustee	1 0	X						0	0	0
Nancy C Rodriguez Trustee	1 0	X						0	0	0
Chad Rohman Faculty Trustee	1 0	X						84,377	0	28,185
Gail L Rosseau MD Trustee	1 0	X						0	0	0
M Catherine Crowley Ryan Trustee	1 0	X						0	0	0

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Mary Jo Schuler Trustee	1 0	X						0	0	0
Judy Purvin Scully Trustee	1 0	X						0	0	0
Susan L Secker Trustee	1 0	X						0	0	0
R Matthew Simon Trustee	1 0	X						0	0	0
James Winikates Trustee	1 0	X						10,000	0	0
Cheryl Johnson Odum VP Academic Affairs	50 0			X				206,329	0	40,381
Amy McCormack SVP Finance and Administration	50 0			X				182,717	0	16,500
Mary Ann Rowan VP Enrollment Management	50 0			X				159,500	0	7,137
Patricia Jill Albin-Hill Chief Information Officer	50 0			X				108,600	0	23,910
Graczyna Cichomska VP University Advancement	50 0			X				175,100	0	30,560
Arvid Johnson Dean Brennan School Business	50 0				X			175,850	0	36,248
Susan Roman Dean Library Science	50 0				X			147,126	0	14,713
Jeffrey Carlson Dean Arts and Science	50 0					X		134,113	0	22,150
Dan Condon Dean of Economics	50 0					X		133,729	0	19,748
Khalid Razaki Professor of Accountancy	50 0					X		133,500	0	13,350
Mark Rodgers Dean School of Social Work	50 0					X		130,313	0	32,779
Jeffrey Kraft Chief Marketing Officer	50 0					X		119,666	0	26,588
Therese Hogan Former Trustee	0 0						X	108,963		18,338