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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 07-01-2011 , and ending 06-30-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
The Pokagon Fund Inc

A Employer identification number
30-0130499

Number and street (or P O box number if mail is not delivered to street address)
821 East Buffalo Street

Room/suite

B Telephone number (see page 10 of the instructions)
(269) 469-9322

City or town, state, and ZIP code
New Buffalo, MI 49117

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 10,129,849

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,849,005			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	266,783	266,783		
	4 Dividends and interest from securities.				
	5a Gross rents	12,225	12,225		
	b Net rental income or (loss) <u>12,225</u>				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,128,013	279,008		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	82,200	7,108		75,092
	14 Other employee salaries and wages	38,956	3,896		96,530
	15 Pension plans, employee benefits	19,219	1,922		17,297
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	7,600	760		6,840
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	10,244	2,537		7,707
	19 Depreciation (attach schedule) and depletion . . .	29,404	294		
	20 Occupancy				
	21 Travel, conferences, and meetings	2,296	0		2,296
	22 Printing and publications				
	23 Other expenses (attach schedule).	137,982	66,648		71,334
	24 Total operating and administrative expenses. Add lines 13 through 23	327,901	83,165		277,096
	25 Contributions, gifts, grants paid	3,191,126			3,191,126
	26 Total expenses and disbursements. Add lines 24 and 25	3,519,027	83,165		3,468,222
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-391,014			
	b Net investment income (if negative, enter -0-)		195,843		
	c Adjusted net income (if negative, enter -0-)				

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Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	296,701	574,019	574,019
	2	Savings and temporary cash investments	9,029,101	8,652,094	8,652,094
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ <u>1,007,521</u> Less accumulated depreciation (attach schedule) ▶ <u>103,785</u>	933,140	903,736	903,736
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,258,942	10,129,849	10,129,849
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	2,405	1,871	
	23	Total liabilities (add lines 17 through 22)	2,405	1,871	
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	10,256,537	10,127,978	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	10,256,537	10,127,978	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	10,258,942	10,129,849	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	10,256,537
2	Enter amount from Part I, line 27a	2	-391,014
3	Other increases not included in line 2 (itemize) ▶ _____	3	262,455
4	Add lines 1, 2, and 3	4	10,127,978
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,127,978

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)	
1a					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a					
b					
c					
d					
e					
2	Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				2
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8				3

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2010	2,781,983	8,221,808	0 338366	
2009	962,186	6,766,711	0 142194	
2008	2,605,659	4,704,332	0 553885	
2007	1,862,062	1,518,698	1 226091	
2006				
2 Total of line 1, column (d).			2	2 260536
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3	0 565134
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.			4	9,079,858
5 Multiply line 4 by line 3.			5	5,131,336
6 Enter 1% of net investment income (1% of Part I, line 27b).			6	1,958
7 Add lines 5 and 6.			7	5,133,294
8 Enter qualifying distributions from Part XII, line 4.			8	3,468,222
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18				

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,917
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	3,917
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,917
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,245	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	2,245
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	1
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,673
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax ☐	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.POKAGONFUND.ORG	13	Yes	
14	The books are in care of MARY L DUNBAR Telephone no (269) 469-9322 Located at 821 E Buffalo Street NEW BUFFALO MI ZIP +4 49117			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
		16		No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20__ , 20__ , 20__ , 20__			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☒ Yes	☐ No	
(4)	Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☒ Yes	☐ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b	Yes	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	9,218,130
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	9,218,130
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,218,130
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	138,272
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,079,858
6	Minimum investment return. Enter 5% of line 5.	6	453,993

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	453,993
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	3,917
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,917
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	450,076
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	450,076
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	450,076

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,468,222
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,468,222
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,468,222
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				450,076
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007.				1,786,945
c From 2008.				2,372,751
d From 2009.				626,220
e From 2010.				2,372,710
f Total of lines 3a through e.	7,158,626			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 3,468,222				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				450,076
e Remaining amount distributed out of corpus	3,018,146			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,176,772			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	10,176,772			
10 Analysis of line 9				
a Excess from 2007. . . .				1,786,945
b Excess from 2008. . . .				2,372,751
c Excess from 2009. . . .				626,220
d Excess from 2010. . . .				2,372,710
e Excess from 2011. . . .				3,018,146

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

See Additional Data Table

b

The form in which applications should be submitted and information and materials they should include

See Additional Data Table

c

Any submission deadlines

See Additional Data Table

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Additional Data Table

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED STATEMENT #12 821 E Buffalo Street New Buffalo, MI 49117	None	Other public charity	Various purposes	3,191,126
Total			3a	3,191,126
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments					266,783
4	Dividends and interest from securities.					
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					12,225
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e).		0		0	279,008
13	Total. Add line 12, columns (b), (d), and (e).					279,008

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

[illegible]

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
		2012-11-13 Date		
Paid Preparer's Use Only	Preparer's Signature MICHAEL W LAYHER	Date 2012-11-13	Check if self-employed ☒	PTIN P00736155
	Firm's name Schaffer & Layher PLLC 805 Van Brunt		Firm's EIN 38-3541290	
	Firm's address St Joseph, MI 49085		Phone no (269) 983-0131	

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☐ No

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization The Pokagon Fund Inc	Employer identification number 30-0130499
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The Pokagon Fund Inc	Employer identification number 30-0130499
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Part I

Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Four Winds Casino Resort 11111 Wilson Road New Buffalo, MI 49117 	\$ 2,849,005	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization The Pokagon Fund Inc	Employer identification number 30-0130499
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Part II Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	

Name of organization The Pokagon Fund Inc	Employer identification number 30-0130499
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ➤ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed Mary L Dunbar 821 E Buffalo Street New Buffalo, MI 49117 (269) 469-9322
b The form in which applications should be submitted and information and materials they should include All applications are required to be submitted on line at www.pokagonfund.org using the pre-fomatted forms
c Any submission deadlines Scholarship applications are due March 15
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors All distributions are to be allocated in the following manner that benefit areas of arts, education, environment, recreation, health, and human services Ten percent (10%) of fiscal year distributions shall be allocated in or benefiting the communities surrounding Pokagon Band trust land consolidation sites in Michigan and Indiana Forty-Five Percent (45%) of fiscal year distributions shall be allocated to projects approved within the New Buffalo region Forty-Five percent (45%) of fiscal year distributions shall be allocated to the following local entities (New Buffalo Township, City of New Buffalo, Chikaming Township, Three Oaks Township, New Buffalo School District, Village of Three Oaks, Village of Michiana, Village of Grand Beach, and River Valley School District)

a The name, address, and telephone number of the person to whom applications should be addressed Mary L Dunbar 821 E Buffalo Street new Buffalo, MI 49117 (269) 469-9322
b The form in which applications should be submitted and information and materials they should include All applications are required to be submitted on line at www.pokagonfund.org using the pre-fomatted forms
c Any submission deadlines Grants are reviewed on an ongoing basis and approved or declined within 90 days
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors All distributions are to be allocated in the following manner that benefit areas of arts, education, environment, recreation, health, and human services Ten percent (10%) of fiscal year distributions shall be allocated in or benefiting the communities surrounding Pokagon Band trust land consolidation sites in Michigan and Indiana Forty-Five Percent (45%) of fiscal year distributions shall be allocated to projects approved within the New Buffalo region Forty-Five percent (45%) of fiscal year distributions shall be allocated to the following local entities (New Buffalo Township, City of New Buffalo, Chikaming Township, Three Oaks Township, New Buffalo School District, Village of Three Oaks, Village of Michiana, Village of Grand Beach, and River Valley School District)

TY 2011 Accounting Fees Schedule

Name: The Pokagon Fund Inc

EIN: 30-0130499

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting fees	7,600	760		6,840

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: The Pokagon Fund Inc

EIN: 30-0130499

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Computer	2007-07-30	2,128	1,668	SL	5 0000000000000	426	0		
Software	2007-10-10	964	964	SL	3 0000000000000	0	0		
Conference Table	2008-01-22	1,092	533	SL	7 0000000000000	156	0		
Speaker Phone	2008-01-25	387	188	SL	7 0000000000000	55	0		
Software	2008-07-23	16,431	15,975	SL	3 0000000000000	456	0		
Dell Computer	2008-07-16	1,888	1,102	SL	5 0000000000000	378	0		
Server	2008-10-02	1,419	781	SL	5 0000000000000	284	0		
Copier	2008-05-19	4,365	1,872	SL	7 0000000000000	624	0		
BUILDING (BUFFALO STREET)	2009-07-01	563,232	28,884	SL	39 0000000000000	14,442	0		
Land	2009-06-30	36,500		L		0	0		
LANDSCAPING	2009-07-24	7,239	925	SL	15 0000000000000	483	0		
BUILDING (RED ARROW)	2009-06-22	350,000	17,948	SL	39 0000000000000	8,974	0		
SIGN	2010-02-08	3,245	657	SL	7 0000000000000	464	0		
FURNATURE & EQUIPMENT	2010-06-01	18,631	2,884	SL	7 0000000000000	2,662	0		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Expenditure Responsibility Statement

Name: The Pokagon Fund Inc

EIN: 30-0130499

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Friends of Harbor Country Trails	12 Pond Path New Buffalo, MI 49117	2012-02-14	10,850	Trail Signage	5,711	None	11/30/2012	2012-11-30	Charitable purpose confirmed
Friends of Harbor Country Trails	12 Pond Path New Buffalo, MI 49117	2012-05-14	32,450	Project Manager	6,681	None	09/30/12	2012-09-30	Charitable purpose confirmed
Miss New Buffalo Pageant	305 S Townsend Street New Buffalo, MI 49117	2011-10-14	5,000	Miss New Buffalo Pageant	5,000	None	12/15/2011	2011-12-15	Charitable purpose confirmed
New Buffalo Halloween Fund	127 S Harrison Street New Buffalo, MI 49117	2011-10-14	1,500	Halloween Parade	300	None	11/30/2011	2011-11-30	Charitable purpose confirmed
New Buffalo Rocket Football	309 S Mayhew Street New Buffalo, MI 49117	2012-05-14	5,900	Uniforms and Equipment	1,652	None	12/3/2012	2012-12-03	Charitable purpose confirmed
New Buffalo Service League	10675 Kissman Drive Union Pier, MI 49129	2011-10-14	25,000	Holiday support for the needy	25,000	None	3/31/2012	2012-03-31	Charitable purpose confirmed
New Buffalo Sports Inc	PO Box 491 New Buffalo, MI 49117	2012-01-19	9,000	Uniforms and Equipment	9,000	None	01/31/2013	2013-01-31	Charitable purpose confirmed
Own it	3250 N Sunset Drive Bridgman, MI 49106	2012-06-19	750	Self Confidence Camp	167	None	09/11/2012	2012-09-11	Charitable purpose confirmed
Protective Proceedings Counsel PLC	515 Ship Street Suite 206 St Joseph, MI 49085	2011-08-15	5,000	Support for Foster Children 2011	5,000	None	04/17/2012	2012-04-17	Charitable purpose confirmed
Protective Proceedings Counsel PLC	515 Ship Street Suite 206 st Joseph, MI 49085	2012-05-14	5,000	Support for Foster Children 2012, 2013	1,844	None	06/30/2012	2012-06-30	Charitable purpose confirmed
Three Oaks Baseball Association	3141 Warren Woods Rd Galen, MI 49113	2012-04-23	80,800	Field Improvements	13,478	None	05/15/12	2012-05-15	Charitable purpose confirmed
Three Oaks Flag Day Festival	702 N elm Street Three Oaks, MI 49128	2012-03-09	15,500	Three Oaks Kids Zone	15,500	None	07/20/12	2012-07-20	Charitable purpose confirmed
Three Oaks Pageant Committee	702 N elm Street Three Oaks, MI 49128	2011-10-14	5,000	Miss Three Oaks Pageant	5,000	None	08/1/2012	2012-08-01	Charitable purpose confirmed

TY 2011 Land, Etc. Schedule**Name:** The Pokagon Fund Inc**EIN:** 30-0130499

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Computer	2,128	2,094	34	34
Software	964	964	0	0
Conference Table	1,092	689	403	403
Speaker Phone	387	243	144	144
Software	16,431	16,431	0	0
Dell Computer	1,888	1,480	408	408
Server	1,419	1,065	354	354
Copier	4,365	2,496	1,869	1,869
BUILDING (BUFFALO STREET)	563,232	43,326	519,906	519,906
Land	36,500	0	36,500	36,500
LANDSCAPING	7,239	1,408	5,831	5,831
BUILDING (RED ARROW)	350,000	26,922	323,078	323,078
SIGN	3,245	1,121	2,124	2,124
FURNATURE & EQUIPMENT	18,631	5,546	13,085	13,085

TY 2011 Other Expenses Schedule

Name: The Pokagon Fund Inc

EIN: 30-0130499

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Utilities	5,136	514		4,622
Office supplies/postage	11,129	1,113		10,016
Technology costs	3,239	324		2,915
Insurance	3,347	335		3,012
Advertising	17,763	0		17,763
Dues/subscriptions	2,723	272		2,451
Education	700	0		700
Meals/Entertainment	2,574	0		2,574
Repairs and maintenance	9,340	0		9,340
Investment fees	63,925	63,925		0
Bank Service Fees	165	165		0
Art Gallery Expenses	17,941	0		17,941

TY 2011 Other Increases Schedule

Name: The Pokagon Fund Inc

EIN: 30-0130499

Description	Amount
Unrealized Gain	262,455

TY 2011 Other Liabilities Schedule**Name:** The Pokagon Fund Inc**EIN:** 30-0130499

Description	Beginning of Year - Book Value	End of Year - Book Value
Payroll withholdings	2,405	1,871

TY 2011 Substantial Contributors Schedule**Name:** The Pokagon Fund Inc**EIN:** 30-0130499

Name	Address
FOUR WINDS CASINO RESORT	11111 Wilson Road NEW BUFFALO, MI 49117

TY 2011 Taxes Schedule**Name:** The Pokagon Fund Inc**EIN:** 30-0130499

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FICA	8,563	856		7,707
Federal Excise Tax	1,681	1,681		0

The Pokagon Fund Grants Awarded in FY 2011/2012

Date	Name	Project Description	Grant Awards	Nonprofit Status
Band Grants (Dowagiac, South Bend)				
12/01/2011	Aids Ministries	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
08/16/2011	Cass County Council on Aging	Handy Helpers Program	\$ 609.00	501 (C) 3
12/01/2011	Catholic Charities	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
12/01/2011	Christ the King	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
12/01/2011	Dismas House of South Bend	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
01/19/2012	Dowagiac Chamber of Commerce	Ice Time Festival	\$ 3,000.00	501 (C) 3
02/14/2012	Dowagiac Chamber of Commerce	Summer in the City	\$ 5,000.00	501 (C) 6
05/14/2012	Dowagiac Dogwood Fine Arts Festival	youth Summer Choral Camp	\$ 4,080.00	501 (C) 3
04/24/2012	Dowagiac Union Schools	Dowagiac Union HS Media Center	\$ 10,000.00	501 (C) 3
12/01/2011	Faith Baptist Church	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
12/01/2011	Faith Deliverance Center	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
07/25/2011	Hartford Public Schools	Project SAFE	\$ 10,000.00	501 (C) 3
08/15/2011	Hartford Public Schools	Core Reading Enhancement	\$ 5,000.00	501 (C) 3
12/01/2011	Holy Family St. Vincent de Paul	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
12/01/2011	Hope Ministries	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
10/14/2011	Junior Achievement of Michiana	JA Works!	\$ 5,000.00	501 (C) 3
12/01/2011	La Casa de Amistad	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
06/19/2012	La Casa de Amistad	Nuestra Casa	\$ 24,400.00	501 (C) 3
01/19/2012	Lewis Cass Intermediate School District	Career Pathways Days	\$ 2,700.00	501 (C) 3
02/14/2012	Life Treatment Centers	Detoxification Program	\$ 10,000.00	501 (C) 3
12/01/2011	Living Epistle Fellowship	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
12/01/2011	Mother Hubbard's Food Pantry	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
10/14/2011	New Peter's Rock Food Pantry	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
06/19/2012	Potawatomi Zoological Society	Signage for Bison Exhibit	\$ 1,400.00	501 (C) 3
12/01/2011	REAL Services, Inc	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
03/09/2012	River Bend Cancer Services	Cancer Survivors Day	\$ 10,000.00	501 (C) 3
02/21/2012	River Park Food Pantry	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
04/24/2012	Senior Nutrition Services	Dowagiac Senior Meals	\$ 8,000.00	501 (C) 3
12/01/2011	Sr. Maura Brannick Health Center	Food Pantry Mini Grant	\$ 1,000.00	501 (C) 3
03/09/2012	St Adalbert Parish	Cinco de Mayo Fiesta	\$ 5,000.00	501 (C) 3
07/15/2011	St Joseph County Bridges Out of Poverty	Getting Ahead	\$ 30,000.00	501 (C) 3
10/15/2011	St Joseph County Bridges Out of Poverty	Getting Ahead	\$ 30,000.00	501 (C) 3
07/25/2011	St. Margaret's House	Raising Healthy Families	\$ 6,000.00	501 (C) 3
12/01/2011	St. Michael and All Angels Episcopal Church	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
12/01/2011	Sunnyside Presbyterian Church	Food Pantry Mini Grant	\$ 500.00	501 (C) 3
08/15/2011	Youth Service Bureau	Safe Station	\$ 10,000.00	501 (C) 3
		Self-sufficiency for victims of domestic violence	\$ 10,000.00	501 (C) 3
01/19/2012	YWCA of St. Joseph County		\$ 203,689.00	
		TOTAL BAND GRANTS FUNDED	\$ 203,689.00	

	Municipal Grants								
	07/25/2011	New Buffalo Township	Red Arrow Highway Study	\$	24,185.00				Municipality
	02/14/2012	New Buffalo Township	Cemetery property purchase	\$	15,000.00				Municipality
	07/25/2011	New Buffalo Township	BS&A Software	\$	34,385.00				Municipality
	08/15/2011	New Buffalo Township	Warning Sirens	\$	59,700.00				Municipality
	10/14/2011	New Buffalo Township	Electric Sign	\$	46,378.00				Municipality
	01/19/2012	New Buffalo Township	Recycling Station	\$	22,845.00				Municipality
	02/14/2012	New Buffalo Township	Fire Department Turnout Gear	\$	28,000.00				Municipality
	02/14/2012	New Buffalo Township	Maudlin Road Bike Trails	\$	165,000.00				Municipality
	05/14/2012	New Buffalo Township	Recycling Bins	\$	123,850.00				Municipality
	07/11/2011	City of New Buffalo	Oselka Park	\$	212,500.00				Municipality
	09/08/2011	City of New Buffalo	Riverfront Project	\$	16,870.00				Municipality
	04/18/2012	City of New Buffalo	Harbor Dredging	\$	85,000.00				Municipality
	02/14/2012	City of New Buffalo	Cemetery property purchase	\$	15,000.00				Municipality
	4/14/2012	New Buffalo Area Schools	Summer School	\$	70,000.00				Municipality
	07/25/2011	Chikaming Township	Red Arrow Highway Study	\$	24,185.00				Municipality
	02/29/2012	Three Oaks Township	Commerce Park Study	\$	15,350.00				Municipality
	02/14/2012	Village of Grand Beach	Generator	\$	35,000.00				Municipality
	04/24/2012	Village of Grand Beach	Life Guard Radios	\$	1,522.00				Municipality
			Return of unused municipal funds	\$	(18,379.66)				
			TOTAL MUNICIPAL GRANTS FUNDED	\$	976,390.34				
	Discretionary Grants								
	03/09/2012	Animal Lovers, Inc.	Spay/neuter dogs and cats	\$	7,000.00				501 (C) 3
	04/24/2012	Animal Lovers, Inc.	Pet Adoption	\$	8,000.00				501 (C) 3
	02/14/2012	Bread of Life Food Pantry	Food pantry support	\$	5,750.00				501 (C) 3
	01/19/2012	Brookview School	Children's Film Festival	\$	1,400.00				501 (C) 3
	11/14/2011	Chikaming Fire Department	Fire Training Vehicle	\$	15,500.00				501 (C) 3
	08/15/2011	Chikaming Open Lands	Eradication of non-native plants	\$	25,000.00				501 (C) 3
	12/12/2011	Chikaming Open Lands	Land preservation	\$	300,000.00				501 (C) 3
	07/25/2011	Chikaming Township	Red Arrow Highway Study	\$	24,185.00				501 (C) 3
	07/11/2011	City of New Buffalo	Oselka Park	\$	37,500.00				501 (C) 3
	04/18/2012	City of New Buffalo	Harbor Dredging	\$	15,000.00				501 (C) 3
	02/14/2012	Coach Candy Basketball Camp	Summer Basketball Camp	\$	13,360.00				501 (C) 3
	02/14/2012	Feeding America West MI Food Bank	Mobile Food Pantries	\$	20,000.00				501 (C) 3
	02/14/2012	Friends of Harbor Country Trails	Trail signage	\$	10,850.00				*
	05/14/2012	Friends of Harbor Country Trails	Project Manager	\$	32,450.00				*
	09/08/2011	Gallen Township Schools	GED preparation	\$	4,000.00				501 (C) 3
	03/09/2012	Harbor Arts, Inc	Bixby's Rainforest	\$	12,750.00				501 (C) 3
	04/24/2012	Harbor Arts, Inc	Opera at the Acorn	\$	20,000.00				501 (C) 3
	03/09/2012	Harbor Country Chamber of Commerce	Economic Development Plan	\$	20,000.00				501 (C) 6
	04/26/2012	Harbor Country Chamber of Commerce	Branding and Beautification	\$	25,000.00				501 (C) 6
	10/19/2011	Help for Southwest Michigan	General Operating	\$	6,000.00				501 (C) 3
	07/25/2011	Hospice at Home	Compassionate Care	\$	10,000.00				501 (C) 3
	04/24/2012	Hospice at Home	Compassionate Care	\$	20,000.00				501 (C) 3

07/25/2011	Junior Achievement of Michiana	JA Works!	\$	10,000.00	501 (C) 3
10/14/2011	Kaleidoscope Preschool	Scholarships	\$	35,000.00	501 (C) 3
02/14/2012	LaSalle Council, Boy Scouts of America	Scouting for at-risk youth	\$	7,000.00	501 (C) 3
03/09/2012	Memorial Health Foundation	Unsolicited gift	\$	1,500.00	501 (C) 3
06/19/2012	Michigan City Chamber Music Festival, Inc	Music Share Program	\$	10,000.00	501 (C) 3
10/14/2011	Miss New Buffalo Pageant	Miss New Buffalo Pageant	\$	5,000.00	*
09/28/2011	New Buffalo Area Schools	Student Field Trips	\$	1,500.00	501 (C) 3
10/14/2011	New Buffalo Area Schools	Student Field Trips	\$	260.00	501 (C) 3
10/14/2011	New Buffalo Area Schools	Student Field Trips	\$	970.00	501 (C) 3
11/02/2011	New Buffalo Area Schools	Student Field Trips	\$	14,507.00	501 (C) 3
01/19/2012	New Buffalo Area Schools	Student Field Trips	\$	8,150.00	501 (C) 3
05/14/2012	New Buffalo Area Schools	Summer Day Camp	\$	104,380.00	501 (C) 3
11/14/2011	New Buffalo Business Association	New Buffalo Planters	\$	3,650.00	501 (C) 3
01/19/2012	New Buffalo Business Association	Holiday Ball	\$	750.00	501 (C) 3
04/24/2012	New Buffalo Business Association	Fireworks	\$	10,000.00	501 (C) 3
07/25/2011	New Buffalo Fine Arts Council	2011/12 Season	\$	11,550.00	501 (C) 3
05/14/2012	New Buffalo Fine Arts Council	2012/13 Season	\$	12,000.00	501 (C) 3
10/14/2011	New Buffalo Halloween Fund	Halloween Parade	\$	1,500.00	*
06/19/2012	New Buffalo Lions Club	Adult Vision Program	\$	50,000.00	501 (C) 3
11/14/2011	New Buffalo Railroad Museum	New Buffalo Story reprinting	\$	10,000.00	501 (C) 3
05/14/2012	New Buffalo Rocket Football	Uniforms and Equipment	\$	5,900.00	*
10/14/2011	New Buffalo Service League	Holiday support for the needy	\$	25,000.00	*
01/19/2012	New Buffalo Sports, Inc	Uniforms and Equipment	\$	9,000.00	*
04/18/2012	New Buffalo Township	Maudlin Road Bike Trails	\$	220,000.00	501 (C) 3
08/15/2011	New Buffalo Township Library	Print and Online Resources	\$	50,541.00	501 (C) 3
04/24/2012	Night Blue Performing Arts	Summer Theater	\$	30,000.00	501 (C) 3
05/14/2012	Niles Adult Education	GED preparation	\$	4,875.00	501 (C) 3
06/19/2012	Own It	Self-confidence Camp	\$	750.00	*
01/19/2012	Portoluz	Jazz on a Summer's Day	\$	6,000.00	501 (C) 3
08/15/2011	Protective Proceedings Counsel, PLC	Support for Foster Children	\$	5,000.00	*
05/14/2012	Protective Proceedings Counsel, PLC	Support for Foster Children	\$	5,000.00	*
05/14/2012	Radio Harbor Country	Website, equipment	\$	9,430.00	501 (C) 3
11/02/2011	River Valley School District	Student Field Trips	\$	27,490.00	501 (C) 3
01/19/2012	River Valley School District	Post Prom	\$	2,000.00	501 (C) 3
04/26/2012	River Valley School District	Playground improvements	\$	118,022.00	501 (C) 3
04/26/2012	River Valley School District	Sports fields enhancements	\$	340,000.00	501 (C) 3
06/19/2012	River Valley School District	Equestrian Team	\$	1,840.00	501 (C) 3
06/19/2012	River Valley Wranglers 4H Club	Equipment	\$	13,230.00	501 (C) 3
08/15/2011	Senior Nutrition Services	Meals on Wheels	\$	50,000.00	501 (C) 3
08/15/2011	Southold Dance Theatre	Nutcracker Ballet	\$	15,925.00	501 (C) 3
01/19/2012	Southwest Michigan Symphony Orchestra	Independence Day Concert	\$	20,000.00	501 (C) 3
11/02/2011	St Mary of the Lake	Student Field Trips	\$	3,507.00	501 (C) 3
04/23/2012	Three Oaks Baseball Association	Field Improvements	\$	80,800.00	*
03/09/2012	Three Oaks Flag Day Festival	Three Oaks Kids Zone	\$	15,500.00	*
10/14/2011	Three Oaks Pageant Committee	Miss Three Oaks Pageant	\$	5,000.00	*
12/02/2011	Three Oaks Rotary Club	Hat and Mittens Campaign	\$	2,000.00	501 (C) 6

10/14/2011	Toys for Tots	Toys for the Holidays	\$ 8,000.00	501 (C) 3
		Return of unused discretionary funds	\$ (10,225 04)	
		TOTAL DISCRETIONARY GRANTS FUNDED	\$ 1,996,046.96	
		Scholarships		
07/11/2011	Amanda Clark and Central Michigan University	Scholarship	\$ 1,250.00	Scholarship
01/09/2012	Amanda Clark and Central Michigan University	Scholarship	\$ 1,250.00	Scholarship
07/11/2011	Courtney Kliss and Southwestern Michigan College	Scholarship	\$ 1,250.00	Scholarship
01/09/2012	Courtney Kliss and Southwestern Michigan College	Scholarship	\$ 1,250.00	Scholarship
07/11/2011	Morgan Weers and Western Michigan University	Scholarship	\$ 1,250.00	Scholarship
01/09/2012	Morgan Weers and Western Michigan University	Scholarship	\$ 1,250.00	Scholarship
07/11/2011	Rachel Conley and Northern Michigan University	Scholarship	\$ 1,250.00	Scholarship
01/09/2012	Rachel Conley and Northern Michigan University	Scholarship	\$ 1,250.00	Scholarship
07/25/2011	Rebecca Wise and Moody Bible Institute	Scholarship	\$ 1,250.00	Scholarship
01/09/2012	Rebecca Wise and Moody Bible Institute	Scholarship	\$ 1,250.00	Scholarship
07/11/2011	Trevor Gray and Southwestern Michigan College	Scholarship	\$ 1,250.00	Scholarship
01/09/2012	Trevor Gray and Southwestern Michigan College	Scholarship	\$ 1,250.00	Scholarship
		TOTAL SCHOLARSHIPS FUNDED	\$ 15,000.00	

* See attached chart for expenditure responsibility

Band	\$ 203,689.00
Municipal	\$ 976,390 34
Discretionary	\$ 1,996,046 96
Scholarships	\$ 15,000.00
Total all grants awarded	\$ 3,191,126 30

Additional Data

Software ID:
Software Version:
EIN: 30-0130499
Name: The Pokagon Fund Inc

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VICKIE WAGNER	DIRECTOR 1 00	2,400	0	0
6707 West Martin Road Three Oaks, MI 49128				
MICHAELINA MAGNUSON	TREASURER 1 00	2,500	0	0
1 Deveonshire Ct Apt 1 Michigan City, IN 46360				
Viki Gudas	Secretary 1 00	2,000	0	0
16374 RAZ ROAD UNION PIER, MI 49129				
Mary L Dunbar	EXECUTIVE DIRECTOR 40 00	68,300	16,929	0
202 SOUTH WILLARD STREET NEW BUFFALO, MI 49117				
Rose Dudiak	Chair 1 00	2,800	0	0
19011 CODI STREET New Buffalo, MI 49117				
ROBERT CARPENTER	DIRECTOR 1 00	1,200	0	0
4030 PONCHARTRAIN DRIVE MICHIANANA, MI 49117				
MARGARET MURRAY	Vice Chair 1 00	1,500	0	0
315 WEST MECHANIC STREET NEW Buffalo, MI 49117				
ALICE OVERLY	DIRECTOR 1 00	1,500	0	0
1603 EAST WABASH ROAD PERU, IN 46970				