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Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 07-01-2011 and ending 06-30-2012

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization
CORNELL UNIVERSITY

Doing Business As

Number and street (or P O box if mail is not delivered to street address)Room/suite

341 PINE TREE ROAD

City or town, state or country, and ZIP + 4
ITHACA, NY 14850

F Name and address of principal officer
DAVID J SKORTON PRESIDENT
341 PINE TREE ROAD
ITHACA, NY 14850

H(a) Is this a group return for affiliates?

☐ Yes ☒ No

H(b) Are all affiliates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ◀(insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ HTTP //WWW.CORNELL.EDU

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1865

M State of legal domicile NY

Part I Summary			
Activities & Governance	1	Briefly describe the organization's mission or most significant activities EDUCATION, RESEARCH, MEDICAL SERVICES AND OTHER PUBLIC SERVICES	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets	
	3	Number of voting members of the governing body (Part VI, line 1a)	64
	4	Number of independent voting members of the governing body (Part VI, line 1b)	53
Revenue	5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	37,153
	6	Total number of volunteers (estimate if necessary)	6,092
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	15,188,184
	7b	Net unrelated business taxable income from Form 990-T, line 34	
	8	Contributions and grants (Part VIII, line 1h)	384,515,000
	9	Program service revenue (Part VIII, line 2g)	2,355,852,366
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	472,520,000
Expenses	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	16,355,881
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	3,229,243,247
	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	104,790,791
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	1,830,907,000
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	1,058,577
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶58,374,236	
Net Assets or Fund Balances	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	997,855,298
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	2,934,611,666
	19	Revenue less expenses Subtract line 18 from line 12	294,631,581
		Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	10,808,214,000
	21	Total liabilities (Part X, line 26)	3,297,219,000
	22	Net assets or fund balances Subtract line 21 from line 20	7,510,995,000

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date

JOANNE DESTEFANO VP FINANCE AND CFO

Type or print name and title

Paid Preparer's Use Only

Preparer's signature

Firm's name (or yours if self-employed), address, and ZIP + 4

Date

KPMG LLP
345 Park Avenue
New York, NY 101540102

Check if self-employed ☐

EIN ▶

Preparer's taxpayer identification number (see instructions)

Phone no ▶ (212) 758-9700

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2011)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization’s mission

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

No

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

No

If “Yes,” describe these changes on Schedule O

4

Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 937,055,000 including grants of \$ 44,871,390) (Revenue \$ 508,331,000)

THE UNIVERSITY IS RENOWNED FOR ITS UNDERGRADUATE, GRADUATE, PROFESSIONAL, POST-DOCTORAL AND CONTINUING EDUCATION PROGRAMS THE PRIMARY CAMPUSES ARE IN ITHACA, NEW YORK AND WEILL-CORNELL MEDICAL COLLEGE LOCATED IN NEW YORK CITY THE UNIVERSITY ALSO HAS A SIGNIFICANT INTERNATIONAL PRESENCE IN EDUCATION AND IS NOTED FOR ITS MEDICAL COLLEGE IN QATAR DURING THIS FISCAL YEAR, THE UNIVERSITY GRANTED THE FOLLOWING ACADEMIC DEGREES UNDERGRADUATE (3,596), MASTERS (2,197), PHD (556), JD, MD, DVM (386)

4b

(Code) (Expenses \$ 761,708,000 including grants of \$) (Revenue \$ 679,938,000)

MEDICAL SERVICES, INCLUDING THE EDUCATION AND TRAINING OF PHYSICIANS, IS A MAJOR COMPONENT OF THE UNIVERSITY'S MISSION THE PHYSICIAN ORGANIZATION IS AN INTEGRAL PART OF THE MEDICAL SCHOOL AND UNIVERSITY IT PROVIDES THE PATIENT BASE ESSENTIAL FOR TEACHING, RESEARCH AND CLINICAL SERVICES

4c

(Code) (Expenses \$ 561,727,000 including grants of \$ 61,304,960) (Revenue \$ 604,731,000)

RESEARCH, BOTH BASIC AND APPLIED, IS ALSO A CORE MISSION-RELATED ACTIVITY REVENUES FROM SPONSORED AWARDS INCLUDE \$457 MILLION IN DIRECT SUPPORT AND \$147 MILLION IN INDIRECT COST RECOVERIES ALTHOUGH THE REVENUES INCLUDE GRANT AND CONTRACT REVENUE FOR PUBLIC OUTREACH AND INSTRUCTION, THE SINGLE LARGEST PORTION IS RESEARCH RELATED

4d

Other program services (Describe in Schedule O)


























(Expenses \$ 420,238,236 including grants of \$) (Revenue \$ 659,693,867)

4e

Total program service expenses

\$ 2,680,728,236

Part IV Checklist of Required Schedules

		Yes	No	
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors(see instructions)? 	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3		No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes	
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line10? If "Yes," complete Schedule D, Part VI. 	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. 	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. 	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. 	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. 	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X. 	11f		No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional 	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Part I 	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Part II and IV 	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Part III and IV 	16	Yes	
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I 	17	Yes	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18	Yes	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19		No
20a	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20a		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b		

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	Yes	
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a	Yes	
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	Yes	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance		
Check if Schedule O contains a response to any question in this Part V <input type="checkbox"/>		
		YesNo
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a27,597
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1cYes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return	2a37,153
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2bYes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3aYes
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3bYes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?	4aYes
b	If "Yes," enter the name of the foreign country <u>AS, ET, FR, IT, NP, QA, UK</u> See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts	
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .	5aNo
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5bNo
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6aNo
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b
7	Organizations that may receive deductible contributions under section 170(c).	
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7aYes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7bYes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7cNo
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7eNo
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .	7fNo
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7gYes
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7hYes
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8No
9	Sponsoring organizations maintaining donor advised funds.	
a	Did the organization make any taxable distributions under section 4966?	9a
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b
10	Section 501(c)(7) organizations. Enter	
a	Initiation fees and capital contributions included on Part VIII, line 12	10a
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b
11	Section 501(c)(12) organizations. Enter	
a	Gross income from members or shareholders	11a
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	
a	Is the organization licensed to issue qualified health plans in more than one state? Note. All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state	13a
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b
c	Enter the aggregate amount of reserves on hand	13c
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14aNo
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . .	14b

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	Yes	
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	Yes	
8b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
11b	Describe in Schedule O the process, if any, used by the organization to review the Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes	
12b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	Yes	
15b	Other officers or key employees of the organization	Yes	
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	Yes	
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	Yes	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	AL, AK, AZ, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request	
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization.	UNIVERSITY CONTROLLER 341 PINE TREE ROAD ITHACA, NY 148502820 (607) 255-3581

Check if Schedule O contains a response to any question in this Part VII

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

[illegible]

Part VII

1b	Sub-Total			
c	Total from continuation sheets to Part VII, Section A			
d	Total (add lines 1b and 1c)	21,141,810	0	1,664,599

2	Total number of individuals (including but not limited to those listed \$100,000 of reportable compensation from the organization)	3,126
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		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
GILBANE BLDG CO 16 CORPORATE WOODS ALBANY, NY 12211	CONSTRUCTION SVCS	3,175,657
LECHASE CONSTRUCTION 72 CASCADE DRIVE ROCHESTER, NY 14614	CONSTRUCTION SVCS	2,744,859
ENNEAD ARCHITECTS LLP 320 WEST 13TH STREET 8TH FLOOR NEW YORK, NY 10014	ARCHITECTURE SVCS	1,882,485
LECESSE CONSTRUCTION 75 THRUWAY PARK DR WEST HENRIETTA, NY 14586	CONSTRUCTION SVCS	1,672,424
GEMINI CONSULTING SERVICES LLC 3636 S GEYER ROAD SUITE 270 SUNSET HILLS, MO 63127	CONSULTING SVCS	1,410,410
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 102		

Part VIII

Statement of Revenue

				(A)	(B)	(C)	(D)	
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a					
	b	Membership dues	1b	2,291,535				
	c	Fundraising events	1c	1,466,210				
	d	Related organizations	1d	13,745,431				
	e	Government grants (contributions)	1e	61,089,000				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	593,683,824				
	g	Noncash contributions included in lines 1a-1f \$ 39,099,929						
	h	Total. Add lines 1a-1f		672,276,000				
Program Service Revenue	2a	EDUCATION TUITION NET OF DISCOUNT	Business Code	900099	508,331,000	508,331,000		
	b	GRANTS AND CONTRACTS FOR RESEARCH, ETC		900099	604,731,000	604,731,000		
	c	MEDICAL SERVICES		900099	679,938,000	679,938,000		
	d	LAND GRANT MISSION GOVT APPROP		900099	150,469,000	150,469,000		
	e	AUXILIARY ENT -ROOM AND BOARD		900099	153,408,000	153,408,000		
	f	All other program service revenue			355,816,867	355,816,867		
	g	Total. Add lines 2a-2f			2,452,693,867			
	Other Revenue	3	Investment income (including dividends, interest and other similar amounts)			111,380,479	0	15,147,820
4		Income from investment of tax-exempt bond proceeds . . .			99,521	0	0	99,521
5		Royalties			13,013,180	0	0	13,013,180
6a		Gross rents	(i) Real	(ii) Personal				
			92,000					
			9,000					
			83,000					
d		Net rental income or (loss)			83,000	0	0	83,000
7a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
			8,807,724,000					
			8,682,813,000					
			124,911,000					
d		Net gain or (loss)			124,911,000	0	0	124,911,000
8a		Gross income from fundraising events (not including \$ 1,466,210 of contributions reported on line 1c) See Part IV, line 18	a					
			75,870					
			474,423					
b		Less direct expenses	b		-398,553		0	-398,553
c		Net income or (loss) from fundraising events . . .						
9a		Gross income from gaming activities See Part IV, line 19	a					
				0	0	0	0	
10a	Gross sales of inventory, less returns and allowances	a						
		22,592,712						
		16,059,718						
c	Net income or (loss) from sales of inventory . . .			6,532,994	0	40,364	6,492,630	
Miscellaneous Revenue		Business Code						
11a								
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d			0				
12	Total revenue. See Instructions			3,380,591,488	2,452,693,867	15,188,184	240,433,437	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	61,304,960	61,304,960		
2	Grants and other assistance to individuals in the United States See Part IV, line 22	44,871,390	44,871,390		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	174,000	174,000		
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	6,446,740	644,674	1,934,022	3,868,044
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	1,526,953,709	1,324,873,262	167,964,908	34,115,539
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	86,273,000	66,064,955	16,796,491	3,411,554
9	Other employee benefits	241,829,777	210,391,906	26,601,275	4,836,596
10	Payroll taxes	77,116,773	67,091,593	8,482,845	1,542,335
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	8,270,474	7,360,722	909,752	
c	Accounting	674,555	674,555		
d	Lobbying	318,151			318,151
e	Professional fundraising See Part IV, line 17	804,055			804,055
f	Investment management fees	11,421,410	11,421,410		
g	Other	92,772,586	80,848,774	10,239,982	1,683,830
12	Advertising and promotion	0			
13	Office expenses	39,414,860	34,290,928	4,335,635	788,297
14	Information technology	18,944,806	16,481,981	2,083,929	378,896
15	Royalties	3,077,654	3,077,654		
16	Occupancy	107,734,000	93,728,580	11,850,740	2,154,680
17	Travel	35,622,988	27,429,701	5,343,448	2,849,839
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	8,762,434	7,623,317	963,868	175,249
20	Interest	86,201,000	86,201,000		
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	203,587,000	181,192,430	22,394,570	
23	Insurance	51,166,657	49,020,792	1,815,732	330,133
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	OTHER SUPPLIES(LAB,COMPUTER)	51,355,071	45,706,013	5,649,058	
b	SUB CONTRACTS	47,634,006	47,634,006		
c	COST OTHER THAN CAMPUS STORE	35,601,268	35,601,268		
d	COMMUNIC, MEMBERSH, EMPL TRG	26,933,477	26,664,143	269,334	
e					
f	All other expenses	193,482,434	150,354,222	42,011,174	1,117,038
25	Total functional expenses. Add lines 1 through 24f	3,068,749,235	2,680,728,236	329,646,763	58,374,236
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			5,464,098	1	52,598,376
	2	Savings and temporary cash investments			140,605,902	2	210,055,803
	3	Pledges and grants receivable, net			584,483,000	3	950,934,834
	4	Accounts receivable, net			353,568,000	4	231,812,987
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			0	5	0
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L			0	6	0
	7	Notes and loans receivable, net			69,093,000	7	69,441,000
	8	Inventories for sale or use			8,224,331	8	8,466,000
	9	Prepaid expenses and deferred charges			39,502,669	9	32,816,000
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	5,590,730,000			
	b	Less accumulated depreciation	10b	2,268,832,000	3,147,011,000	10c	3,321,898,000
	11	Investments—publicly traded securities			1,695,842,000	11	1,068,868,000
	12	Investments—other securities See Part IV, line 11			4,652,385,000	12	4,847,965,000
	13	Investments—program-related See Part IV, line 11			0	13	0
	14	Intangible assets			0	14	0
	15	Other assets See Part IV, line 11			112,035,000	15	105,557,000
	16	Total assets. Add lines 1 through 15 (must equal line 34)			10,808,214,000	16	10,900,413,000
Liabilities	17	Accounts payable and accrued expenses			367,160,000	17	623,396,000
	18	Grants payable			47,094,000	18	48,067,000
	19	Deferred revenue			294,035,000	19	205,973,000
	20	Tax-exempt bond liabilities			1,345,630,000	20	1,312,620,000
	21	Escrow or custodial account liability Complete Part IV of Schedule D			0	21	0
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			0	22	0
	23	Secured mortgages and notes payable to unrelated third parties			586,506,000	23	583,938,000
	24	Unsecured notes and loans payable to unrelated third parties			0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24) Complete Part X of Schedule D			656,794,000	25	648,749,000
	26	Total liabilities. Add lines 17 through 25			3,297,219,000	26	3,422,743,000
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			2,751,527,000	27	2,409,552,000
	28	Temporarily restricted net assets			2,432,376,000	28	2,616,355,000
	29	Permanently restricted net assets			2,327,092,000	29	2,451,763,000
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			7,510,995,000	33	7,477,670,000
	34	Total liabilities and net assets/fund balances			10,808,214,000	34	10,900,413,000

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,380,591,488
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,068,749,235
3	Revenue less expenses Subtract line 2 from line 1	3	311,842,253
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	7,510,995,000
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-345,167,253
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	7,477,670,000

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization CORNELL UNIVERSITY	Employer identification number 15-0532082
--	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**

11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support							
Calendar year (or fiscal year beginning in)		(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7	Amounts from line 4						
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11	Total support (Add lines 7 through 10)						
12	Gross receipts from related activities, etc (See instructions)					12	
13	First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage		
14	Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))	14
15	Public Support Percentage for 2010 Schedule A, Part II, line 14	15
16a	33 1/3% support test—2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>
b	33 1/3% support test—2010. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>
17a	10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization	<input type="checkbox"/>
b	10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization	<input type="checkbox"/>
18	Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions	<input type="checkbox"/>

Part IIIPart III

Support Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage			
15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15		
16 Public support percentage from 2010 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2011 (line 10c column (f) divided by line 13 column (f))	17		
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization			
b 33 1/3% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization			
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions			

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization CORNELL UNIVERSITY	Employer identification number 15-0532082
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1

Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV

2

Political expenditures

▶ \$

3

Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1

Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$

2

Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$

3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes ☐ No

4a

Was a correction made?

☐ Yes ☐ No

b

If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$

2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities

▶ \$

3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b

▶ \$

4

Did the filing organization file Form 1120-POL for this year?

☐ Yes ☐ No

5

Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check
- ☐
- if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check
- ☐
- if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures														
e	Total exempt purpose expenditures (add lines 1c and 1d)														
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)														
h	Subtract line 1g from line 1a. If zero or less, enter -0-														
i	Subtract line 1f from line 1c. If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?		No	
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
	c Media advertisements?		No	
	d Mailings to members, legislators, or the public?		No	
	e Publications, or published or broadcast statements?		No	
	f Grants to other organizations for lobbying purposes?		No	
	g Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		529,506
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
	i Other activities? If "Yes," describe in Part IV	Yes		
	j Total lines 1c through 1i			529,506
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
PART 1-A-LINE 1		THE UNIVERSITY DOES NOT PARTICIPATE DIRECTLY OR INDIRECTLY IN ANY POLITICAL CAMPAIGN AND REMINDS THE UNIVERSITY COMMUNITY, AT THE TIME OF ANY IMPORTANT LOCAL, STATE OR NATIONAL ELECTION, ABOUT THE PROHIBITION AGAINST UNIVERSITY PARTICIPATION. THE MESSAGE MAKES CLEAR THAT THIS PROHIBITION DOES NOT IN ANY WAY AFFECT AN INDIVIDUAL'S PERSONAL ACTIVITIES. THE UNIVERSITY DOES NOT DEEM IT NECESSARY TO ISSUE A FORMAL POLICY ON POLITICAL CAMPAIGN PROHIBITIONS THROUGH ITS POLICY OFFICE.
PART II-B-LINE 1 I		IN ADDITION TO MEETING WITH GOVERNMENT OFFICIALS TO ADVANCE THE INTERESTS OF HIGHER EDUCATION IN GENERAL AND UNIVERSITY BASED RESEARCH ACTIVITIES IN PARTICULAR, THE UNIVERSITY IS A MEMBER OF KEY ORGANIZATIONS THAT ALSO LOBBY ON BEHALF OF ISSUES AFFECTING HIGHER EDUCATION.

SCHEDULE D
(Form 990)

Supplemental Financial Statements

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

2011

Open to Public Inspection

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

Attach to Form 990. See separate instructions.

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	
	<div>Yes</div> <div>No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit	
	<div>Yes</div> <div>No</div>	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year

4

Number of states where property subject to conservation easement is located

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes

No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year

\$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

Yes

No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

\$

1,551,215

(ii)

Assets included in Form 990, Part X

\$

72,652,845

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

\$

b

Assets included in Form 990, Part X

\$

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2011

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒

Public exhibition

b

☒

Scholarly research

c

☒

Preservation for future generations

d

☒

Loan or exchange programs

e

☐

Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance	4,928,070,000	4,259,950,000	3,836,289,000	5,248,009,000
b	Contributions	107,392,000	112,278,000	109,055,000	249,810,000
c	Investment earnings or losses	-9,223,000	734,562,000	393,907,000	-1,369,893,000
d	Grants or scholarships	-30,500,080	-22,504,820	-26,326,325	-30,545,000
e	Other expenditures for facilities and programs	-154,846,560	-115,986,380	-129,429,505	-150,170,000
f	Administrative expenses	-49,269,360	-34,622,800	-39,769,170	-46,142,000
g	End of year balance	5,260,855,000	5,279,904,000	4,534,776,000	4,354,783,000

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 33 000 %

b

Permanent endowment ▶ 62 000 %

c

Term endowment ▶ 5 000 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

	Yes	No
(i) unrelated organizations	3a(i)	No
(ii) related organizations	3a(ii)	No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		16,187,431		16,187,431
b Buildings		3,624,095,302	1,481,355,025	2,142,740,277
c Leasehold improvements		279,115,912	10,179,752	268,936,160
d Equipment		641,189,429	481,728,831	159,460,598
e Other		1,030,141,926	295,568,392	734,573,534
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				3,321,898,000

Part XIReconciliation of Change in Net Assets from Form 990 to Financial Statements			
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	3,380,591,488
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	3,068,749,235
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	311,842,253
4	Net unrealized gains (losses) on investments	4	-193,429,000
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	-151,738,253
9	Total adjustments (net) Add lines 4 - 8	9	-345,167,253
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-33,325,000

Part XIIReconciliation of Revenue per Audited Financial Statements With Revenue per Return			
1	Total revenue, gains, and other support per audited financial statements	1	3,081,869,000
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	-193,429,000
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	51,001,512
e	Add lines 2a through 2d	2e	-142,427,488
3	Subtract line 2e from line 1	3	3,224,296,488
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	156,295,000
c	Add lines 4a and 4b	4c	156,295,000
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	3,380,591,488

Part XIIIReconciliation of Expenses per Audited Financial Statements With Expenses per Return			
1	Total expenses and losses per audited financial statements	1	3,115,194,000
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	48,617,155
e	Add lines 2a through 2d	2e	48,617,155
3	Subtract line 2e from line 1	3	3,066,576,845
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	2,172,390
c	Add lines 4a and 4b	4c	2,172,390
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	3,068,749,235

Part XIVSupplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
PART III, LINE 4		ART COLLECTION THE HERBERT F JOHNSON MUSEUM OF ART IS PARTICULARLY NOTED FOR ITS COLLECTION IN THE AREA OF ASIAN ART, 19TH AND 20TH CENTURY AMERICAN ART AND THE GRAPHIC ARTS THE COLLECTION IS USED FOR TEACHING, RESEARCH AND PUBLIC OUTREACH THE MUSEUM'S CALENDAR OF EVENTS IS AVAILABLE ON ITS WEB SITE AND PROVIDES INFORMATION TO ENCOURAGE USE OF THE COLLECTION BY THE PUBLIC
Part V, Line 4		ENDOWMENT FUNDS THE UNIVERSITY'S ENDOWMENT CONSISTS PRIMARILY OF PERMANENT ENDOWMENT AND BOARD DESIGNATED ENDOWMENT (I E FUNDS FUNCTIONING AS ENDOWMENT) THE INCOME FROM THE ENDOWMENT PROVIDES CRITICAL SUPPORT FOR ENDOWED FACULTY CHAIRS, STUDENT FINANCIAL AID AND SUPPORT FOR VARIOUS INSTITUTIONAL PROGRAMS FOR TEACHING, RESEARCH AND PUBLIC OUTREACH
PART XI, LINE 8		OTHER ADJUSTMENTS THE TOTAL ADJUSTMENT IN THE AMOUNT OF (\$151,738,253) CONSISTS OF THE FAIR MARKET VALUE ADJUSTMENTS FOR DEBT SWAPS AND SPLIT INTERESTS (\$156,295,000), ADJUSTMENT FOR SUBSIDIARY NET INCOME OF \$2,384,357 AND \$2,172,390 FOR NET INCREMENTAL INCREASE IN INSTITUTIONAL LOANS FOR STUDENTS
Part XII, Line 2d		OTHER ADJUSTMENTS THE TOTAL ADJUSTMENT IN THE AMOUNT OF \$51,001,512 CONSISTS OF SUBSIDIARY REVENUE AND REVENUE RELATED TO RECLASSIFICATIONS
Part XII, Line 4B		OTHER ADJUSTMENTS THE TOTAL ADJUSTMENT IN THE AMOUNT OF \$156,295,000 CONSISTS OF THE FAIR MARKET VALUE ADJUSTMENTS FOR INVESTMENTS, SPLIT INTEREST AGREEMENTS AND DEBT SWAPS
PART XIII, LINE 2D		OTHER ADJUSTMENTS THE TOTAL ADJUSTMENT IN THE AMOUNT OF \$48,617,155 CONSISTS OF SUBSIDIARY EXPENSES AND EXPENSES RELATED TO RECLASSIFICATIONS
Part XIII, Line 4B		OTHER ADJUSTMENTS THE TOTAL ADJUSTMENT IN THE AMOUNT OF \$2,172,390 CONSISTS OF NET INCREMENTAL INCREASE IN INSTITUTIONAL LOANS FOR STUDENTS

Additional Data

Software ID:

Software Version:

EIN: 15-0532082

Name: CORNELL UNIVERSITY

Form 990, Schedule D, Part VII - Investments— Other Securities

(a) Description of security or cateory (including name of security)	(b)Book value	(c) Method of valuation Cost or end-of-year market value
DOMESTIC EQUITIES	166,182,000	F
FOREIGN EQUITIES	104,673,000	F
HEDGED EQUITIES	505,441,000	F
PRIVATE EQUITIES	1,066,971,000	F
FIXED INCOME ASSET BACKED SEC	35,151,000	F
FIXED INCOME CORPORATE BONDS	392,415,000	F
FIXED INCOME EQUITY PRTSHPS	295,116,000	F
FIXED INCOME OTHER	546,262,000	F
MARKETABLE ALT AND RE	1,645,742,000	F
OTHER	12,433,000	F

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I		YES	NO
<div>1</div> Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
	2	Yes	
	3	Yes	
	4a	Yes	
<div>2</div> Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	4b	Yes	
	4c	Yes	
	4d	Yes	
	5a		No
<div>3</div> Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II			

Part III **Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
EXPLANATION FOR LINE 3		THE UNIVERSITY, ORGANIZED IN THE 1860'S, INCLUDED IN ITS CHARTER A COMMITMENT TO NON-DISCRIMINATION BASED ON RELIGION. FROM ITS EARLIEST HISTORY, THE UNIVERSITY ADMITTED A DIVERSE STUDENT BODY IN TERMS OF RACE, GENDER AND RELIGION. THE STATISTICS ABOUT THE COMPOSITION OF FACULTY, STAFF AND STUDENTS, AS WELL AS THE UNIVERSITY'S ONGOING EFFORTS TO INCREASE DIVERSITY, REFLECT THE STRONG COMMITMENT TO NON-DISCRIMINATION.
EXPLANATION FOR LINE 6A		CORNELL RECEIVES ASSISTANCE FROM BOTH THE FEDERAL GOVERNMENT AND NEW YORK STATE. THE FEDERAL GOVERNMENT PROVIDES SUPPORT FOR LOANS, STUDENT EMPLOYMENT AND GRANTS. THE MAJOR LOAN PROGRAMS ARE W D FORD SUBSIDIZED AND UNSUBSIDIZED LOANS, PERKINS LOANS AND DIRECT PLUS LOANS. EMPLOYMENT INCLUDES FUNDS FOR FEDERAL WORK-STUDY. THE MAJOR GRANTS ARE PELL GRANTS AND SUPPLEMENTAL EDUCATIONAL OPPORTUNITY ASSISTANCE PROGRAM(TAP).

OMB No 1545-0047

Open to Public Inspection

15-0532082

3 Activities per Region (Use Part V if additional space is needed)

Schedule F (Form 990) 2011

1

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ► _____

3 Enter total number of other organizations or entities ► _____

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Use Part V if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT TRAVEL GRANT	Cent America/Caribbean	13	0		26,000	TICKETS	COST
STUDENT TRAVEL GRANT	East Asia/Pacific	17	0		34,000	TICKETS	COST
STUDENT TRAVEL GRANT	Europe/Iceland/Greenland	18	0		36,000	TICKETS	COST
STUDENT TRAVEL GRANT	Middle East/North Africa	3	0		6,000	TICKETS	COST
STUDENT TRAVEL GRANT	North America	2	0		4,000	TICKETS	COST
STUDENT TRAVEL GRANT	Russia	1	0		2,000	TICKETS	COST
STUDENT TRAVEL GRANT	South America	17	0		34,000	TICKETS	COST
STUDENT TRAVEL GRANT	South Asia	5	0		10,000	TICKETS	COST
STUDENT TRAVEL GRANT	Sub-Saharan Africa	11	0		22,000	TICKETS	COST

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐ Yes ☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☒ Yes ☐ No

Part V **Supplemental Information**

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Identifier	Return Reference	Explanation
PART I, LINE 2		THE UNIVERSITY REVIEWS ALL INVOICES AND OTHER REQUIRED DOCUMENTATION FOR ACCURACY, APPROPRIATENESS OF THE EXPENDITURES IN COMPLIANCE WITH THE AWARD DOCUMENTS AND TIMELINESS OF SUBMISSION. ALL ISSUES ARE ADDRESSED IMMEDIATELY AND, IF DEEMED APPROPRIATE, REVIEWED WITH OTHER KEY OFFICES AT THE UNIVERSITY, PRIOR TO MAKING PAYMENT.

Identifier	Return Reference	Explanation
PART III, LINE 1		THESE ARE TRAVEL AWARDS TO GRADUATE STUDENTS WHERE CORNELL HAS DATA ON THE FOREIGN JUSISDICTION THE UNIVERSITY CURRENTLY DOES NOT CAPTURE DETAILED INFORMATION ON OTHER GRANTS TO OUR STUDENTS WHERE THEIR RESEARCH ACTIVITY OCCURS OUTSIDE THE U S

Additional Data

Software ID:
Software Version:
EIN: 15-0532082
Name: CORNELL UNIVERSITY

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Program Services	Grantmaking	812,378
Europe (Including Iceland and Greenland)	0	0	Program Services	Grantmaking	1,739,038
Middle East and North Africa	0	0	Program Services	Grantmaking	715,978
North America	0	0	Program Services	Grantmaking	902,649
Russia and the Newly Independent States	0	0	Program Services	Grantmaking	24,150
South America	0	0	Program Services	Grantmaking	1,146,934
South Asia	0	0	Program Services	Grantmaking	3,041,903
Sub-Saharan Africa	0	0	Program Services	Grantmaking	2,388,704
Central America and the Caribbean	0	0	Program Services	Medical Services	1,007,907
East Asia and the Pacific	0	0	Program Services	RESEARCH	101,170
Europe (Including Iceland and Greenland)	0	0	Program Services	RESEARCH	333,035
Middle East and North Africa	1	325	Program Services	RESEARCH	88,056,850
North America	0	0	Program Services	RESEARCH	113,443
South America	0	0	Program Services	RESEARCH	39,474
Sub-Saharan Africa	0	3	Program Services	EDUCATION & RESEARCH	319,762
Central America and the Caribbean	0	0	Investments	N/A	815,255,725
Europe (Including Iceland and Greenland)	0	0	Investments	N/A	85,394,945
East Asia and the Pacific	1	2	Program Services	EDUCATION	413,976
Europe (Including Iceland and Greenland)	1	9	Program Services	EDUCATION	2,486,485
North America	0	1	Program Services	EDUCATION	41,416
South America	0	1	Program Services	EDUCATION	134,515
South Asia	1	0	Program Services	EDUCATION	179,949

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I Fundraising Activities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

e

☒

Solicitation of non-government grants

b

☒

Internet and e-mail solicitations

f

☒

Solicitation of government grants

c

☒

Phone solicitations

g

☒

Special fundraising events

d

☒

In-person solicitations
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes ☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
GRENZENBACK GLIER ASSOC 401 N Michigan Chicago, IL 60611	CONSULTING		No	0	151,488	0
COMMUNITY CONSULTING SERVICE 461 5TH AVE NEW YORK, NY 10017	CONSULTING		No	0	530,000	0
JFM GROUP 629 fifth Avenue PELHAM, NY 10803	EVENT MGM		No	1,542,080	61,284	1,480,797
Total ▶				1,542,080	742,772	1,480,797

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

AL, AK, AZ, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, ND, OH, OK, OR, SC, TN, UT, VA, WA, WV, WI

Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events
		CABARET NIGHT (event type)	(event type)	0 (total number)	(Add col (a) through col (c))
Revenue	1	Gross receipts	1,542,080		1,542,080
	2	Less Charitable contributions	1,466,210		1,466,210
	3	Gross income (line 1 minus line 2)	75,870		75,870
Direct Expenses	4	Cash prizes			
	5	Non-cash prizes . . .	591		591
	6	Rent/facility costs . .	159,656		159,656
	7	Food and beverages . .	68,235		68,235
	8	Entertainment	33,895		33,895
	9	Other direct expenses .	212,046		212,046
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶			(474,423)
	11	Net income summary Combine lines 3 and 10 in column (d). ▶			-398,553

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes			
	4	Rent/facility costs . . .			
	5	Other direct expenses . .			
	6	Volunteer labor	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
7 Direct expense summary Add lines 2 through 5 in column (d) ▶					()
8 Net gaming income summary Combine lines 1 and 7 in column (d) ▶					

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," Explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," Explain _____

- 11

Does the organization operate gaming activities with nonmembers?

Yes

No
- 12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

Yes

No

13

Indicate the percentage of gaming activity operated in

a	The organization's facility	13a
b	An outside facility	13b

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

Yes

No

b

If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c

If "Yes," enter name and address

Name

Address

16

Gaming manager information

Name

Gaming manager compensation

\$

Description of services provided

Director/officer

Employee

Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

Yes

No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV

Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
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Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☐ Yes

☒ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

315

3

Enter total number of other organizations listed in the line 1 table ▶

27

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) FINANCIAL AID	5491	44,871,390			

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
SCHEDULE I	PART II	THE UNIVERSITY REPORTS ALL GRANT RECIPIENTS INCLUDING SUB-GRANTEES OF WHICH IT IS AWARE THIS INCLUDES SUB RECIPIENT AWARDS, PRIMARILY FOR RESEARCH, TO GOVERNMENT AGENCIES, ORGANIZATIONS EXEMPT UNDER 501(C)(3) AND FOR-PROFIT ENTITIES FOR WHICH NO AUTHORITY FOR EXEMPTION (E G , 501(C)(3) IS NOT PROVIDED)
SCHEDULE I	PART III	THE FINANCIAL AID OF \$44,871,390 REPRESENTS THE UNIVERSITY'S GRANT AID FOR APPROXIMATELY 5,491 STUDENTS IT CONSISTS OF \$42,699,000 FOR LIVING EXPENSES AND \$2,172,390 IN INCREMENTAL SUPPORT FROM INSTITUTIONAL LOANS REPORTED ON THE 990 AS FINANCIAL AID EXPENSE THE MAJORITY OF THE FINANCIAL AID PROVIDED BY THE UNIVERSITY TO ITS STUDENTS IS APPLIED TO TUITION AND MANDATORY FEES AND REFERRED TO AS SCHOLARSHIP ALLOWANCE OR TUITION DISCOUNT IN FISCAL YEAR 2012, THE SCHOLARSHIP ALLOWANCE WAS \$333,873,000

Software ID:
Software Version:
EIN: 15-0532082
Name: CORNELL UNIVERSITY

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AGING IN NEW YORK FUND INC2 LAFAYETTE STREET SUITE 2100 NEW YORK, NY 10007	13-3153550	501(c)(3)	25,000				RESEARCH
ALBANY MEDICAL COLLEGE47 NEW SCOTLAND AVENUE MC-116 ALBANY, NY 12208	14-1338310	501(c)(3)	35,255				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALBERT EINSTEIN COLLEGE OF MEDICINE1300 MORRIS PARK AVENUE BRONX,NY 10461	13-1624225	501(c)(3)	8,925				RESEARCH
AMERICAN ASSOC OF PEOPLE WITH DISABILITIES1629 K STREET NW SUITE 950 WASHINGTON,DC 20006	52-1930174	501(c)(3)	15,000				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN EVALUATION ASSOCIATION16 SCONTICUT NECK ROAD 290 FAIRHAVEN, MA 02719	52-1463557	501(c)(3)	20,000				RESEARCH
ARIZONA STATE UNIVERSITY (ASU) PO BOX 876011 TEMPE, AZ 852876011	99-9999999	501(c)(3)	562,406				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ASHIMA RESEARCH600 S LAKE AVENUE UNIT 104 PASADENA, CA 91106	26-4374627		20,000				RESEARCH
ATC-NY33 THORNWOOD DRIVE SUITE 500 ITHACA, NY 14850	16-1549760		303,014				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BANNER RESEARCH INSTITUTE10515 W SANTA FE DR SUN CITY,AZ 85351	86-0768795	501(c)(3)	34,630				RESEARCH
BAPTIST HEALTH 11900 COL GLENN RD SUITE 2000 LITTLE ROCK,AR 72210	71-0236856	501(c)(3)	23,236				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYLOR COLLEGE OF MEDICINE1 BAYLOR PLAZA ROOM BCMM929 HOUSTON, TX 77030	74-1613878	501(c)(3)	39,061				RESEARCH
BEDFORD VA RESEARCH200 SPRING ROAD MS151 BUILDING 2A R BEDFORD, MA 01730	04-3512440	501(c)(3)	47,096				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BELTON SPACE EXPLORATION INITIATIVES430 SOUTH RANDOLPH WAY TUCSON,AZ 85716	86-0987248		32,859				RESEARCH
BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVENUE BR264 BOSTON,MA 02215	04-2103881	501(c)(3)	166,500				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVE BOSTON, MA 02215	04-2103881	501(c)(3)	44,606				RESEARCH
BIOSONICS INC 4027 LEARY WAY NW SEATTLE, WA 98107	91-1034706		43,670				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOSTON UNIVERSITY715 ALBANY STREET BOSTON, MA 02218	04-2103547	501(c)(3)	24,580				RESEARCH
BOWLING GREEN STATE UNIVERSITY OFFICE OF SPONSORED PROGRAMS AND RE BOWLING GREEN, OH 434030230	34-6402018	501(c)(3)	5,682				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH 100 BOYCE THOMPSON TOWER ROAD ITHACA,NY 14853	13-1739923	501(c)(3)	671,708				RESEARCH
BRADLEY UNIVERSITY1501 W BRADLEY AVENUE 215 BRADLEY PEORIA,IL 616250313	37-0661949	501(c)(3)	27,174				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIGHAM & WOMENS HOSPITAL ONE BRIGHAM CIRCLE SUITE 418 DEPT O BOSTON, MA 02115	04-2312909	501(c)(3)	58,261				RESEARCH
BROWN UNIVERSITYBOX 1929 PROVIDENCE,RI 02912	05-0258809	501(c)(3)	70,713				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BURKE MEDICAL RESEARCH INST785 MAMARONECK AVENUE WHITE PLAINS NEW YORK, NY 10605	13-3434924	501(c)(3)	378,794				RESEARCH
BUTLER HOSPITAL 345 BLACKSTONE BLVD PROVIDENCE, RI 02906	05-0258812	501(c)(3)	27,667				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CAL STATE LA UNIVERSITY AUXILIARY SERV INC5151 STATE UNIVERSITY DRIVE GOLDEN LOS ANGELES, CA 900324226	95-4016653	501(c)(3)	27,273				RESEARCH
CALIFORNIA INSTITUTE OF TECHNOLOGY1200 E CALIFORNIA BLVD MAIL CODE PASADENA, CA 91125	95-1643307	501(c)(3)	369,198				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CARNEGIE MELLON UNIVERSITY5000 FORBES AVENUE PITTSBURGH, PA 15213	25-0969449	501(c)(3)	63,795				RESEARCH
CARY INSTITUTE OF ECOSYSTEM STUDIESBOX AB 2801 SHARON TURNPIKE MILLBROOK, NY 125450129	22-3232968	501(c)(3)	145,782				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CASE WESTERN RESERVE UNIVERSITY10900 EUCLID AVE CLEVELAND, OH 44106	34-1018992	501(c)(3)	153,501				RESEARCH
CATHOLIC HEALTHCARE WEST ARIZONA FILE 5743 LOS ANGELES, CA 900740878	94-1196203	501(c)(3)	61,153				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CENTER FOR ECONOMIC AND POLICY RESEARCH 1611 CONNECTICUT AVE NW SUITE 400 WASHINGTON, DC 20009	52- 2204029	501(c)(3)	55,944				RESEARCH
CHILD TRENDS INC 4301 CONNECTICUT AVE NW SUITE 350 WASHINGTON, DC 20008	13- 2982969	501(c)(3)	36,417				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CLARKSON UNIVERSITY8 CLARKSON AVENUE PO BOX 5630 POTSDAM, NY 136995630	15-0543659	501(c)(3)	97,731				RESEARCH
CLEMSON UNIVERSITY300 BRACKETT HALL CLEMSON, SC 296345702	57-6000254	501(c)(3)	23,539				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CLEVELAND CLINIC FOUNDATION9500 EUCLID AVENUE CLEVELAND, OH 44195	34-0714585	501(c)(3)	36,169				RESEARCH
COLD SPRING HARBOR LABORATORY1 BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	11-2013303	501(c)(3)	293,867				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLD SPRING HARBOR LABORATORY P O BOX 100 1 BUNG TOWN ROAD COLD SPRING HARBOR, NY 11724	11-2013303	501(c)(3)	23,494				RESEARCH
COLGATE UNIVERSITY G6 JAMES B COLGATE HALL 13 OAK DR HAMILTON, NY 133461398	15-0532078	501(c)(3)	39,873				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLLEGE OF CHARLESTON OFFICE OF RESEARCH GRANTS ADMINIS CHARLESTON, SC 29424	57-6000265	501(c)(3)	25,931				RESEARCH
COLLEGE OF WOOSTER1189 BEALL AVENUE WOOSTER, OH 446912393	34-0714654	501(c)(3)	23,219				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLORADO NEUROLOGICAL INSTITUTE701 EAST HAMPDEN AVENUE SUITE 510 ENGLEWOOD, CO 80113	84-1100975	501(c)(3)	77,370				RESEARCH
COLORADO STATE UNIVERSITY601 SOUTH HOWES ST 408 UNIVERSITY 615 WEST 131 STREET MC FORT COLLINS, CO 805232002	84-6000545	501(c)(3)	160,946				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIA UNIVERSITY SPONSORED PROJECTS ADMINISTRATION 6 NEW YORK, NY 10027	13-5598093	501(c)(3)	353,408				RESEARCH
COLUMBIA UNIVERSITY615 WEST 131TH STREET MC 8741 NEW YORK, NY 10027	13-5598093	501(c)(3)	807,001				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COMMISSION ON QUALITY OF CARE AND ADVOCACY FOR401 STATE STREET SCHENECTADY, NY 123052397		STATE OF NY	53,299				RESEARCH
CONTINUUM HEALTH PARTNERS 555 WEST 57TH STREET NEW YORK, NY 10019	13-3939476	501(c)(3)	11,060				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CORNELL COOPERATIVE EXTENSION121 SECOND STREET ORISKANY, NY 134249799	16-6072888	501(c)(3)	34,878				RESEARCH
CORNELL COOPERATIVE EXTENSION203 NORTH HAMILTON STREET WATERTOWN, NY 136012948	16-6072882	501(c)(3)	10,300				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CORNELL COOPERATIVE EXTENSION417 LIBERTY STREET PENN YAN, NY 14527	16-1159507	501(c)(3)	5,500				RESEARCH
CORNELL COOPERATIVE EXTENSION6055 ROUTE 23 ACRA, NY 12405	14-6036887	501(c)(3)	24,114				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CORNELL COOPERATIVE EXTENSION6064 ROUTE 22 SUITE 5 PLATTSBURGH, NY 129019601	16-1159507	501(c)(3)	15,160				RESEARCH
CORNELL COOPERATIVE EXTENSION99 NORTH BROAD STREET NORWICH, NY 138151386	16-6072876	501(c)(3)	20,348				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CORNELL COOPERATIVE EXT SUFFOLK COUNTY 423 GRIFFING AVENUE SUITE 100 RIVERHEAD, NY 119013071	11- 6081424	501(c)(3)	67,332				RESEARCH
CUBRC INC4455 GENESEE STREET BUFFALO, NY 14225	22- 2505934	501(c)(3)	208,983				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DANA FARBER44 BINNEY STREET BOSTON, MA 021156084	04-2263040	501(c)(3)	40,091				RESEARCH
DELAWARE STATE UNIVERSITY1200 N DUPONT HIGHWAY DOVER,DE 19901	51-0305893	501(c)(3)	184,685				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DICKINSON COLLEGEPO BOX 1773 CARLISE, PA 170132896	23-1365954	501(c)(3)	37,477				RESEARCH
DRS SENSORS & TARGETING SYSTEMS INC 10600 VALLEY VIEW STREET CYPRESS, CA 906304833	27-0203897		183,436				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DUKE UNIVERSITY OFFICE OF RESEARCH SUPPORT 2200 WES DURHAM, NC 27705	56-0532129	501(c)(3)	41,172				RESEARCH
DUKE UNIVERSITY MEDICAL CENTER 932 MORRENE ROAD ROOM 213 DURHAM, NC 27705	56-0532129	501(c)(3)	16,116				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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EMORY UNIVERSITY 954 GATEWOOD ROAD NE ATLANTA, GA 303294208	58-0566256	501(c)(3)	750,526				RESEARCH
ENVIRONMENTAL EDUCATION EXCHANGE 738 N 5TH AVENUE SUITE 100 TUCSON, AZ 85705	86-0682018	501(c)(3)	87,150				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ESS GROUP INC 888 WORCESTER STREET SUITE 240 WELLESLEY, MA 02482	04-6924295		47,806				RESEARCH
FRANKLIN & MARSHALL COLLEGE PO BOX 3003 LANCASTER, PA 176043003	23-1352635	501(c)(3)	21,385				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GENE NETWORK SCIENCES (GNS)58 CHARLES STREET CAMBRIDGE, MA 02141	16-1594168		21,024				RESEARCH
GEORGETOWN UNIVERSITY37TH AND O STREETS WASHINGTON, DC 20057	53-0196603	501(c)(3)	15,494				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GEORGIA TECH RESEARCH CORP 505 TENTH STREET N W ATLANTA, GA 303320420	58-0603146	501(c)(3)	77,358				RESEARCH
GEORGIA TECH RESEARCH CORPORATION (GTRC)505 TENTH STREET ATLANTA, GA 303320420	58-0603146	501(c)(3)	1,782,558				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GOOD OLD LOWER EAST SIDE169 AVENUE B NEW YORK, NY 10009	13-2915659	501(c)(3)	70,856				RESEARCH
H LEE MOFFITT CANCER CTR & RSRCH INSTITUTE 12902 MAGNOLIA DRIVE TAMPA, FL 33612	59-2451713	501(c)(3)	24,178				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HARVARD SCHL OF PUBLIC HEALTH 677 HUNTINGTON AVENUE LL-23 BOSTON, MA 02115	04-2103580	501(c)(3)	229,169				RESEARCH
HEALTH RESEARCH INCRIVERVIEW CENTER 150 BROADWAY SUITE MENANDS, NY 122042719	14-1402155	501(c)(3)	156,727				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HEALTH RESEARCH INC 150 BROADWAY SUITE 560 MENANDS, NY 12204	14-1402155	501(c)(3)	68,490				RESEARCH
HEBREW HOME AT RIVERDALE 5901 PALISADE AVENUE RIVERDALE, NY 10471	20-4352212	501(c)(3)	171,656				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HEBREW HOME FOR THE AGED 5901 PALISADE AVENUE BRONX,NY 10471	13-1739971	501(c)(3)	122,169				RESEARCH
HOBART AND WILLIAM SMITH COLLEGES300 PULTENEY STREET GENEVA,NY 14456	16-0743040	501(c)(3)	36,218				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HOFSTRA UNIVERSITY205 MEMORIAL HALL HEMPSTEAD,NY 11549	11-1630906	501(c)(3)	10,859				RESEARCH
HONEYBEE ROBOTICS INC460 W 34TH ST 7TH FLOOR NEW YORK,NY 10001	88-0193033		51,934				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK, NY 10021	13-1624135	501(c)(3)	1,403,867				RESEARCH
HOWARD UNIVERSITY (HU) OFFICE OF SPONSORED PROGRAMS 576 W WASHINGTON, DC 20059	53-0204707	501(c)(3)	804,304				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HUBBARD BROOK RESEARCH FOUNDATIONPO BOX 282 NORTH WOODSTOCK, NH 03262	02-0474938	501(c)(3)	102,885				RESEARCH
HYBRID SILICA TECHNOLOGIES INC95 BROWN ROAD1300 YORK AVENUE BOX 89ITHACA, NY 14850	20-1870710		368,045				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INSIGHTS INTERNATIONAL INC BOX 6401 ITHACA,NY 148516401	16-1404347		30,000				RESEARCH
INSTITUTE FOR EDUCATIONAL LEADERSHIP4455 CONNECTICUT AVENUE NW SUITE 31 WASHINGTON,DC 20008	52-1198450	501(c)(3)	23,356				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INSTITUTE FOR LEARNING INNOVATION3168 BRAVERTON STREET SUITE 280 EDGEWATER,MD 21037	52-1467051	501(c)(3)	71,914				RESEARCH
INSTITUTE FOR SYSTEMS BIOLOGY 1441 N 34TH STREET SEATTLE,WA 98103	91-2003593	501(c)(3)	143,020				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INSTITUTE NEURODEGENERATIVE 60 TEMPLE STREET SUITE 8B NEW HAVEN, CT 06511	06- 1582206	501(c)(3)	16,081				RESEARCH
INTERNATIONAL CITYCOUNTY MGT ASSOC777 N CAPITOL STREET NE SUITE 500 WASHINGTON, DC 20002	36- 2167755	501(c)(3)	17,036				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INTERNATIONAL FOOD POLICY RSRCH INSTITUTE2033 K STREET NW WASHINGTON, DC 20006	52-1041632	501(c)(3)	10,464				RESEARCH
INTERNATIONAL RELIEF AND DEVELOPMENT1621 N KENT STREET 4TH FLOOR SUITE ARLINGTON, VA 22209	54-1889077	501(c)(3)	7,874				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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IOWA STATE UNIVERSITY1138 PEARSON AMES,IA 500112207	42-6004224	501(c)(3)	181,630				RESEARCH
ITHACA COLLEGE 130 ALUMNI HALL ITHACA COLLEGE ITHACA,NY 148507006	15-0532204	501(c)(3)	9,000				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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JEWISH ASSOC FOR SERVICES FOR THE AGED132 WEST 31ST STREET 10TH FLOOR NEW YORK, NY 10001	13-2620896	501(c)(3)	13,114				RESEARCH
JOHNS HOPKINS UNIVERSITY615 N WOLFE STREET SUITE W1100 BALTIMORE, MD 21205	52-0595110	501(c)(3)	7,523				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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JOHNS HOPKINS UNIVERSITY12529 COLLECTIONS CENTER DRIVE CHICAGO, IL 60693	52-0595110	501(c)(3)	227,331				RESEARCH
JUST ONE BREAK INC201 IU WILLETS ROAD ALBERTSON, NY 11507	13-1850554	501(c)(3)	25,098				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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KANSAS STATE UNIVERSITY2 FAIRCHILD HALL MANHATTAN, KS 665061103	48-0771751	501(c)(3)	363,180				RESEARCH
KINETX INC SPACE NAVIGATION & FLIGHT DYNAMICS 21 WEST EASY ST SUITE 108 SIMI VALLEY, CA 93065	77-0326085		10,756				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LEHIGH UNIVERSITY (LU) 526 BRODHEAD AVENUE BETHLEHEM, PA 180153046	24-0795445	501(c)(3)	98,042				RESEARCH
LIGHTWORKS LLC 1525 FLEMMING DR LONGMONT, CO 80501	20-5476699		43,500				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LIQUID ROBOTICS 1329 MOFFETT PARK DRIVE SUNNYVALE, CA 94089	20- 8190286		77,890				RESEARCH
LSU HEALTH SCIENCE CENTER INSTITUTE1501 KINGS HWY ROOM 3-436 SHREVEPORT, LA 71103	72- 1418937	501(c)(3)	25,521				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MARQUETTE UNIVERSITYPO BOX 1881 MILWAUKEE, WI 532011181	39-0806251	501(c)(3)	13,623				RESEARCH
MASSACHUSETTS GENERAL HOSPITAL 50 STANFORD STREET- SUITE 1001 BOSTON, MA 02114	04-2697983	501(c)(3)	81,619				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MASSACHUSETTS INSTITUTE OF TECHNOLOGY77 MASSACHUSETTS AVENUE RM E19-750 CAMBRIDGE, MA 021394307	04-2103594	501(c)(3)	15,639				RESEARCH
MASSACHUSETTS INSTITUTE OF TECHNOLOGY77 MASSACHUSETTS AVENUE NE30-7049 CAMBRIDGE, MA 02142	04-2103594	501(c)(3)	110,740				RESEARCH

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MATHEMATICA POLICY RESEARCH INCPO BOX 2393 PRINCETON,NJ 08543	22- 2112296		12,715				RESEARCH
MAYO CLINIC ARIZONA13400 EAST SHEA BLVD SCOTTSDALE,AZ 85259	86- 0800150	501(c)(3)	27,034				RESEARCH

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MCLEAN HOSPITAL CORPORATIONPEAR MAILSTOP 320 115 MILL STREET BELMONT, MA 02478	04- 2697981	501(c)(3)	25,079				RESEARCH
MCW RESEARCH FOUNDATION INC 8701 WATERTOWN PLANK ROAD MILWAUKEE, WI 53226	39- 1454191	501(c)(3)	69,475				RESEARCH

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MEDICAL COLLEGE OF GEORGIA1429 HARPER HF 1121 AUGUSTA, GA 30912	58-1418202	501(c)(3)	44,549				RESEARCH
MEDICAL COLLEGE OF WISCONSIN9200 WEST WISCONSIN AVENUE MILWAUKEE, WI 53226	39-0806261	501(c)(3)	108,273				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MEDICAL UNIVERSITY OF SOUTH CAROLINA 326 CALHOUN STREET SUITE 308 CHARLESTON, SC 29401	57-6000722	STATE OF SC	19,060				RESEARCH
MEMORIAL SLOAN KETTERING1275 YORK AVENUE NEW YORK, NY 10021	13-1924236	501(c)(3)	4,033,735				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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METADATA MANAGEMENT ASSOCIATESPO BOX 282 JACKSONVILLE, NY 14854	26-1422818		131,271				RESEARCH
MIAMI UNIVERSITY 102 ROUDEBUSH HALL OXFORD, OH 450563653	31-6402089	501(c)(3)	66,796				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MICHIGAN STATE UNIVERSITY CONTRACT GRANT ADMINISTRATION 301 E LANSING,MI 488241046	38-6005984	501(c)(3)	263,965				RESEARCH
MINEX ENGINEERING CORP1000 APOLLO COURT UNIT G ANTIOCH,CA 94509	68-0205164		96,240				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MONTCCLAIR STATE UNIVERSITY OFFICE OF RESEARCH SPONSORED PROG MONTCCLAIR, NJ 07043	22-2912682	501(c)(3)	94,168				RESEARCH
MOUNT SINAI SCHOOL OF MEDICINE ONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK, NY 10029	13-6171197	501(c)(3)	505,991				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MOVIMIENTO PARA EL ALCANCE DE VIDA INDEPENDIENTEPO BOX 25277 SAN JUAN, PR 009285277	66-0446732	501(c)(3)	16,301				RESEARCH
NARROWS INSTITUTE FOR BIOMEDICAL800 POLY PLACE MAINE CODE 151 BROOKLYN,NY 11209	11-3059470	501(c)(3)	14,736				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NTL AGN FOR FINITE ELEMENT METHODS AND STD1829 DICKERSON BLVD SUITE 102 MONROE, NC 28110	99- 9999999	FOREIGN	7,853				RESEARCH
NATIONAL CENTER FOR GENOME RESOURCES2935 RODEO PARK DRIVE EAST SANTE FE, NM 87505	85- 0418280	501(c)(3)	93,389				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NATIONAL INSTITUTE OF STANDARDS AND TECH325 BROADWAY BOULDER,CO 80305	99-9999999	US DEPT OF COM	186,216				RESEARCH
NATIONAL RADIO ASTRONOMY OBSERVATORY520 EDMONT ROAD CHARLOTTESVILLE, VA 229032475	11-1630900	501(c)(3)	48,307				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW MEXICO BUSINESS LEADERSHIP NETWORKPO BOX 90803 ALBUQUERQUE, NM 87199	41-2055631	501(c)(3)	16,250				RESEARCH
NEW MEXICO STATE UNIVERSITYPO BOX 30001 MSC 3AFRLAS CRUCES, NM 880038001	85-6000401	501(c)(3)	5,990				RESEARCH

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NEW YORK CITY HEALTH AND HOSPITALS160 WATER STREET 7TH FL NEW YORK, NY 10038	13-2655001	STATE OF NY	289,220				RESEARCH
NEW YORK RESTORATION PROJECT254 W 31ST ST 10TH FLOOR NEW YORK, NY 10001	13-3959056	501(c)(3)	41,206				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW YORK UNIVERSITY665 BROADWAY SUITE 801 NEW YORK, NY 100122331	13-5562308	501(c)(3)	19,958				RESEARCH
NORTH AMERICAN ASSN FOR ENVR EDUCATION2000 P ST NW SUITE 540 WASHINGTON, DC 20036	23-7158061	501(c)(3)	811,029				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NC AGRICULTURAL & TECH STATE UNIVERSITY1601 EAST MARKET STREET GREENSBORO, NC 27411	56-6000007	501(c)(3)	28,235				RESEARCH
NORTH CAROLINA STATE UNIVERSITY 2701 SULLIVAN DRIVE SUITE 240 CAMPU RALEIGH, NC 276957514	56-6000756	STATE OF NC	314,345				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NORTH CAROLINA STATE UNIVERSITY2701 SULLIVAN DRIVE SUITE 240 CB,NC 276957214	56-6000756	STATE OF NC	71,092				RESEARCH
NORTH DAKOTA STATE UNIVERSITYDEPT 4000 PO BOX 6050 FARGO,ND 581056050	45-6002439	501(c)(3)	41,578				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NORTH SHORE UNIVERSITY HOSP 350 COMMUNITY DRIVE MANHASSET NEW YORK, NY 11030	11-1562701	501(c)(3)	32,586				RESEARCH
NORTH WESTERN UNIVERSITY 2205 TECH DRIVE HOGAN 2-100 EVANSTON, IL 602083500	36-2167817	501(c)(3)	170,700				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NORTHEAST ORGANIC FARMING ASSOCIATION OF NY249 HIGHLAND AVE ROCHESTER, NY 14620	03-0259137	501(c)(3)	47,126				RESEARCH
NORTHEASTERN UNIVERSITY (NU) 360 HUNTINGTON AVENUE 960 RENAISSAN BOSTON, MA 021155000	04-1679980	501(c)(3)	50,971				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NYU SCHOOL OF MEDICINE522 FIRST AVENUE NEW YORK, NY 10016	13-5562308	501(c)(3)	868,302				RESEARCH
OCHSNER CLINIC FOUNDATION1514 JEFFERSON HIGHWAY 2ND FL RESEA NEW ORLEANS, LA 70121	72-0502505	501(c)(3)	22,472				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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OFS LABORATORIES LLC19 SCHOOLHOUSE ROAD SOMERSET,NJ 08873	16-1745377		63,068				RESEARCH
OHIO STATE UNIVERSITY650 ACKERMAN ROAD ROOM344 COLUMBUS,OH 43202	31-6025986	STATE OF OH	78,805				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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OREGON HEALTH & SCIENCE UNIVERSITY3181 W SAM JACKSON PARK ROAD MAIL C PORTLAND, OR 972393098	93-1176109	501(c)(3)	15,161				RESEARCH
OREGON STATE UNIVERSITYPO BOX 1086 CORVALIS, OR 973312140	93-1070707	501(c)(3)	221,113				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ORGANIC GROWERS (OGRISN)1124 COUNTRY RD 38 BRAINBRIDGE, NY 13733	45-4134508	501(c)(3)	17,738				RESEARCH
PACE UNIVERSITY ONE PACE PLAZA NEW YORK, NY 10038	13-5562314	501(c)(3)	76,221				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PALEONTOLOGICAL RESEARCH INSTITUTION1259 TRUMANSBURG ROAD ITHACA,NY 14850	15-0554849	501(c)(3)	30,645				RESEARCH
PARKINSONS & MOVEMENT DISORDER 9940 TALBERT AVENUE SUITE 204 FOUNTAIN VALLEY,CA 92708	33-0865885	501(c)(3)	32,379				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PARKINSONS INSTITUTE675 ALMANOR AVENUE SUNNYVALE, CA 94085	94-3061594	501(c)(3)	29,264				RESEARCH
PARSONS THE NEW SCHOOL FOR DESIGN80 FIFTH AVE 4TH FLOOR NEW YORK, NY 10011	13-3297179	501(c)(3)	18,064				RESEARCH

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PENNSYLVANIA STATE UNIVERSITY 500 UNIVERSITY DRIVE MC H138 HERSHEY,PA 17033	24-6000376	STATE OF PA	155,354				RESEARCH
PENNSYLVANIA STATE UNIVERSITY 103 SHIELDS BUILDING UNIVERSITY PK,PA 16802	24-6000376	STATE OF PA	41,955				RESEARCH

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PENNSYLVANIA STATE UNIVERSITY (PSU)OFFICE OF SPONSORED PROGRAMS 110 TE UNIVERSITY PARK, PA 168027000	24-6000376	STATE OF PA	1,137,513				RESEARCH
PHILMONT BEAUTIFICATION INC113 MAIN STREET PO BOX 1072 PHILMONT, NY 12565	20-5877789	501(c)(3)	5,829				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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POLYTECHNIC INSTITUTE OF NEW YORK UNI6 METROTECH CENTER BROOKLYN, NY 11201	11-1630820	501(c)(3)	17,892				RESEARCH
POMONA COLLEGE 150 EAST EIGHTH STREET CLAREMONT, CA 91711	95-1664112	501(c)(3)	28,789				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PORT CITY NEUROLOGY7 PORTLAND FARMS RD SCARBOROUGH, ME 04074	20- 2978378	501(c)(3)	13,289				RESEARCH
PRESIDENT AND FELLOWS OF HARVARD COLLEGE (PFHC)OFFICE FOR SPONSORED RESEARCH 1350 CAMBRIDGE, MA 02138	04- 2103580	501(c)(3)	933,561				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PRIMET PRECISION MATERIALS INC 950 DANBY ROAD SUITE 204 ITHACA,NY 14850	72- 1543183		6,556				RESEARCH
PRINCETON UNIVERSITY4 NEW SOUTH BLDG PRINCETON,NJ 08544	21- 0634501	501(c)(3)	209,433				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PURDUE UNIVERSITY615 W STATE STREET SPS AGAD WEST LAFAYETTE, IN 479072108	35-6002041	501(c)(3)	185,061				RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA2150 SHATTUCK AVE SUITE 313 BERKELEY, CA 947205940	94-6002123	501(c)(3)	638,324				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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REGENTS OF THE UNIVERSITY OF CALIFORNIA BOX 951406 LOS ANGELES, CA 900951406	95-6006143	501(c)(3)	6,112				RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA OFFICE OF CONTRACT GRANT ADMINIST LA JOLLA, CA 920930934	95-2872494	501(c)(3)	186,573				RESEARCH

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REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF RESEARCH ADMINISTRATION 5 IRVINE, CA 926977600	95-2226406	501(c)(3)	158,779				RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIAROOM 3227 CHEADLE HALL SANTA BARBARA, CA 931062050	95-6006145	501(c)(3)	1,208,735				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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REGENTS OF THE UNI OF CALIFORNIA- DAVISOFFICE OF RESEARCH 1850 RESEARCH PA DAVIS,CA 95618	94-6036494	501(c)(3)	353,628				RESEARCH
REGENTS OF THE UNIVERSITY OF MICHIGAN WOLVERINE TOWER ROOM 1056 ANN ARBOR, MI 481091274	38-6006309	501(c)(3)	1,969,702				RESEARCH

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REGENTS OF THE UNIVERSITY OF MICHIGAN3003 S STATE STREET 1040 ANN ARBOR, MI 481091274	38-6006309	501(c)(3)	394,675				RESEARCH
REGENTS OF THE UNIVERSITY OF MINNESOTA NW 5957 PO BOX 1450 MINNEAPOLIS, MN 55485	41-6007513	501(c)(3)	843,212				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RENSSELAER POLYTECHNIC INSTITUTE110 8TH STREET WEST HALL TROY,NY 12180	14-1340095	501(c)(3)	488,420				RESEARCH
RESEARCH FOUNDATION FOR MENTAL150 BROADWAY SUITE301 MENANDS,NY 12204	14-1410842	501(c)(3)	752,510				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RESEARCH FOUNDATION FOR MENTAL HYGIENE INC140 OLD ORANGEBURG ROAD BLDG 35 ORANGEBURG, NY 10962	14-1410842	501(c)(3)	133,192				RESEARCH
RESEARCH FOUNDATION OF CUNY230 WEST 41ST STREET 7TH FL NEW YORK, NY 10036	13-1988190	501(c)(3)	1,178,834				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RSRCH FNDTN OF THE CITY UNIVERSITY OF NY 230 WEST 41ST STREET NEW YORK, NY 10036	13-1988190	501(c)(3)	23,250				RESEARCH
RSRCH FNDTN OF THE CITY UNIVERSITY OF NY 230 WEST 41ST STREET NEW YORK, NY 10036	13-1988190	501(c)(3)	44,487				RESEARCH

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RSRCH FNDTN OF THE STATE UNIVERSITY OF NY PO BOX 6000 BINGHAMTON, NY 139026000	14-1368361	501(c)(3)	196,872				RESEARCH
RSRCH FNDTN OF THE STATE UNIVERSITY OF NY 402 CROFTS HALL BUFFALO, NY 142607016	14-1368361	501(c)(3)	289,437				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RSRCH FNDTN OF THE STATE UNIVERSITY OF NY 312 AVENUE 1400 WASHINGTON ALBANY, NY 12222	14-1368361	501(c)(3)	173,867				RESEARCH
RSRCH FNDTN OF THE STATE UNIVERSITY OF NY OFFICE OF SPONSORED PROGRAMS STONY BROOK, NY 117943362	14-1368361	501(c)(3)	15,000				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RSRCH FNDTN OF THE STATE UNIVERSITY OF NY PO BOX 9 ALBANY, NY 122010009	14-1368361	501(c)(3)	89,278				RESEARCH
RESOURCES FOR INDEPENDENT LIVING INC351 HIGH STREET SUITE 103 BURLINGTON, NJ 08016	22-3314082	501(c)(3)	13,924				RESEARCH

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RHO FEDERAL SYSTEM DIVISION INC6330 QUADRANGLE DRIVE SUITE 500 CHAPEL HILL, NC 27517	56-1927659		8,859				RESEARCH
ROCHESTER INSTITUTE OF TECHNOLOGY141 LOMB BUILDING ROCHESTER, NY 146235608	16-0743140	501(c)(3)	58,249				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ROCKEFELLER UNIVERSITY1230 YORK AVENUE NEW YORK, NY 100216399	13-1624158	501(c)(3)	548,458				RESEARCH
RUSH UNIVERSITY MEDICAL CENTER 707 S WOOD STREET 0 ANNEX CHICAGO,IL 60612	36-2174823	501(c)(3)	68,146				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RUSH UNIVERSITY MEDICAL CENTER 1725 WEST HARRISON SUITE 755 CHICAGO, IL 60612	36- 2174823	501(c)(3)	103,858				RESEARCH
RUTGERS UNIVERSITY STATE UNIVERSITY OF NJ ADMINISTRATION SERVICES BLDG III 3 NEW BRUNSWICK, NJ 08901	22- 6001086	501(c)(3)	113,596				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SALISBURY UNIVERSITY1101 CAMDEN AVE SALISBURY, MD 21801	52-6002033	501(c)(3)	9,645				RESEARCH
SATHGURU INC 7982 HONEYGO BLVD 79 BALTIMORE, MD 21286	80-0664005		173,211				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SCHWABE NORTH AMERICA INC825 CHALLENGER DRIVE GREEN BAY,WI 54311	87-0388645		89,240				RESEARCH
SCIENCE APPLICATIONS INTERNATIONAL CORP1710 SAIC DRIVE M/S T2-4-5 MCLEAN,VA 22102	95-3630868		135,805				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SCIENCENTER DISCOVERY MUSEUM (SDM)601 FIRST ST ITHACA,NY 14850	22- 2470652	501(c)(3)	114,667				RESEARCH
SCIRE-SOUTHERN CALIFORNIA INSTITUTE5901 E SEVENTH STREET LONG BEACH,CA 908225201	33- 0331855	501(c)(3)	285,919				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SCRIPPS RESEARCH INSTITUTE10550 NORTH TORREY PINES RD LA JOLLA, CA 92037	33-0435954	501(c)(3)	439,894				RESEARCH
SETI INSTITUTE 189 BERNARDO A MOUNTAIN VIEW, CA 940432172	94-2951356	501(c)(3)	106,057				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SMITH COLLEGE OFFICE OF BUDGET AND GRANTS COLLEGE NORTH HAMPTON, MA 01063	04- 1843040	501(c)(3)	11,881				RESEARCH
SOCIETY FOR HUMAN RESOURCE MANAGEMENT1800 DUKE STREET ALEXANDRIA, VA 223143499	34- 0948453	501(c)(3)	31,800				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SOUTH DAKOTA STATE UNIVERSITY ADMIN 133 BOX 2201 BROOKINGS,SD 57007	46-6100364	501(c)(3)	46,502				RESEARCH
SPACE SCIENCE INSTITUTE4750 WALNUT STREET SUITE 205 BOULDER,CO 80301	84-1215290	501(c)(3)	12,258				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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STANFORD UNIVERSITY BECKMAN CENTER ROOM B062 STANFORD, CA 943055301	94-1156365	501(c)(3)	8,515				RESEARCH
SUNY DOWNSTATE MEDICAL CENTER 450 CLARKSON AVENUE BOX 1213 BROOK NEW YORK, NY 112030209	14-1368361	STATE OF NY	11,658				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SUNY UPSTATE MEDICAL CENTER 505 IRVING AVENUE SYRACUSE, NY 13210	14-1368361	501(c)(3)	35,003				RESEARCH
SYRACUSE UNIVERSITY113 BOWNE HALL SYRACUSE, NY 13244	15-0532081	501(c)(3)	589,371				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TAMARACK DESIGN INC950 DANBY ROAD SUITE 150 ITHACA,NY 14850	20- 5582363		240,000				RESEARCH
TETRAGENETICS INC 85 BOLTON ST CAMBRIDGE,MA 02140	04- 3784099		126,574				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TEXAS A&M UNIVERSITY HEALTH SCIENCE 400 HARVEY MITCHELL PKWY SO SUITE VALLEY PARK CENTER, TX 77845	74-1238434	501(c)(3)	213,775				RESEARCH
TEXAS TECH UNIVERSITY 203 HOLDEN HALL LUBBOCK, TX 794091035	75-6002622	501(c)(3)	93,626				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE ADVOCACY CENTER590 SOUTH AVENUE ROCHESTER, NY 14620	23-7298613	501(c)(3)	126,050				RESEARCH
THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIO 340 PANAMA STREET STANFORD, CA 943056025	94-1156365	501(c)(3)	3,232,145				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE BOARD OF TRUSTEES OF THE UNI OF IL1901 S FIRST STREET SUITE A CHAMPAIGN,IL 61820	37-6000511	501(c)(3)	108,881				RESEARCH
THE CONFERENCE BOARD INC (CBI) 845 THIRD AVENUE NEW YORK,NY 100226679	13-1624108	501(c)(3)	150,000				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE CT AGRICULTURAL EXPERIMENT STATION123 HUNTINGTON STREET BOX 1106 NEW HAVEN, CT 065041106	06-6032987	501(c)(3)	43,833				RESEARCH
THE CONSERVATION FUND1655 NORTH FORT MYER DRIVE SUITE 13 ARLINGTON, VA 222093199	52-1388917	501(c)(3)	11,319				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE CURATORS OF THE UNIVERSITY OF MISSOURI OFFICE OF SPONSORED PROGRAMS ADMINI COLUMBIA, MO 652111230	43-6003859	501(c)(3)	301,360				RESEARCH
THE GREENHORNS FILM PROJECT 22231 MULHOLLAND HWY SUITE 209 CALABASAS, CA 91302	95-4116679	501(c)(3)	16,497				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE GRODEN CENTER INC86 MOUNT HOPE AVENUE PROVIDENCE,RI 02906	05-0369378	501(c)(3)	61,074				RESEARCH
THE OHIO STATE UNIVERSITY (OSU) OFFICE OF SPONSORED PROGRAMS 1960 K COLUMBUS,OH 432101063	31-6025986	501(c)(3)	223,154				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE PLANETARY SOCIETY85 S GRAND AVE PASADENA, CA 911051602	95-3423566	501(c)(3)	12,140				RESEARCH
THE REGENTS OF THE UNIVERSITY OF MINNESOTA200 OAK STREET SE MINNEAPOLIS, MN 554552070	41-6007513	501(c)(3)	1,256,884				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE SCRIPPS RESEARCH INSTITUTE (SRI) 10550 NORTH TORREY PINES ROAD LA JOLLA, CA 92037	33-0435954	501(c)(3)	68,290				RESEARCH
THE UNIVERSITY OF TEXASNORTH OFFICE BUILDING SUITE 4300 AUSTIN, TX 787137726	74-0230239	501(c)(3)	700,844				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE WILLIAM H MINER AGRICULTURAL RSRCH INSTITUTE PO BOX 90 1034 MINER FARM ROAD CHAZY, NY 12921	14-1414736	501(c)(3)	15,000				RESEARCH
TRUMAN STATE UNIVERSITY CONTROLLERS OFFICE 105 MCCLAIN HALL KIRKSVILLE, MO 635014221	43-6005833	501(c)(3)	63,037				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TRUSTEE OF DARTMOUTH COLLEGE11 ROPE FERRY ROAD HANOVER, NH 03755	02-0222111	501(c)(3)	36,137				RESEARCH
TRUSTEES OF INDIANA UNIVERSITY620 UNION DRIVE RM 618 INDIANAPOLIS, IN 462020516	35-6001673	STATE OF IN	16,753				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA421 CURIE BLVD BRB II/III RM 911 PHILADELPHIA, PA 191046284	23-1352685	501(c)(3)	154,662				RESEARCH
TUFTS UNIVERSITY GRANTS AND CONTRACTS ADMIN 136 HAR BOSTON, MA 02111	04-2103634	501(c)(3)	95,098				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TULANE UNIVERSITY HEALTH1430 TULANE AVENUE TB-51 NEW ORLEANS, LA 70112	72-0423889	501(c)(3)	769,338				RESEARCH
TUSKEGEE UNIVERSITY OFFICE OF SPONSORED PROGRAMS CARNEG TUSKEGEE, AL 36088	63-0288878	501(c)(3)	11,902				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ULSTER COUNTY BOCES175 ROUTE 32 NORTH AT ULSTER BOCES NEW PALTZ, NY 12561	14- 6003939	501(c)(3)	17,500				RESEARCH
UNIIVERSITY OF LOUISVILLE220 ABRAHAM FLEXNER SUITE 606 LOUISVILLE, KY 40202	61- 1029626	501(c)(3)	48,875				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNION COLLEGE CARRIAGE HOUSE 202 807 UNION ST SCHENECTADY, NY 12308	14- 1338580	501(c)(3)	8,123				RESEARCH
UNITED SPINAL ASSOCIATION75-20 ASTORIA BLVD JACKSON HEIGHTS, NY 113701177	13- 5612621	501(c)(3)	29,804				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF ALABAMA1720 7TH AVENUE SOUTH BIRMINGHAM, AL 35233	63-6005396	STATE OF AL	14,428				RESEARCH
UNIVERSITY OF ALASKA- ANCHORAGE OFFICE OF RESEARCH AND GRADUATE STU ANCHORAGE, AK 995084614	92-6000147	501(c)(3)	31,185				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF ARIZONA888 N EUCLID AVENUE ROOM 510 TUCSON,AZ 85719	74-2652689	501(c)(3)	65,342				RESEARCH
UNIVERSITY OF ARKANSAS120 OZARK HALL FAYETTEVILLE,AR 72701	71-6003252	501(c)(3)	234,018				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF CALIFORNIA DAVIS2795 SECOND STREET SUITE 400 DAVIS,CA 95618	94-6036494	STATE OF CA	58,065				RESEARCH
UNIVERSITY OF CHICAGO UNIV RESEARCH ADMIN 6030 S ELLIS CHICAGO,IL 60637	36-2177139	501(c)(3)	232,934				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF CHICAGO P O BOX 37005 CHICAGO ,IL 60637	36-2177139	501(c)(3)	18,377				RESEARCH
UNIVERSITY OF CINCINNATI MED 231 ALBERT SABIN WAY CINCINNATI,OH 45267	31-6000989	STATE OF OH	34,673				RESEARCH

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UNIVERSITY OF COLORADO1635 N URSULA SUITE 3400 AURORA, CO 80045	74-2161737	501(c)(3)	87,325				RESEARCH
UNIVERSITY OF COLORADO (UCB) 572 UCB BOULDER, CO 803090574	84-6000555	501(c)(3)	1,025,367				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF CONNECTICUT (UCONN)438 WHITNEY RD EXT UNIT 1133 STORRS,CT 062691133	06-0772160	501(c)(3)	36,045				RESEARCH
UNIVERSITY OF DELAWARE210 HULLIHEN HALL NEWARK,DE 197161551	51-6000297	501(c)(3)	48,807				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF FLORIDADIVISION OF SPONSORED RESEARCH 219 GAINESVILLE,FL 32611	59-6002052	501(c)(3)	726,937				RESEARCH
UNIVERSITY OF FLORIDA219 GRINTER HALL GAINESVILLE,FL 32611	59-6002052	501(c)(3)	20,901				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF GEORGIA RESEARCH FNDTN INC617 BOYD GRADUATE STUDIES RESEARCH ATHENS, GA 306025016	58-1353149	501(c)(3)	125,563				RESEARCH
UNIVERSITY OF HAWAII2530 DOLE STREET SAKAMAKI D200 HONOLULU, HI 96822	99-6000354	501(c)(3)	43,475				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF HOUSTON316 E CULLEN BUILDING HOUSTON, TX 772042015	17-4600139	501(c)(3)	5,790				RESEARCH
UNIVERSITY OF ILLINOIS1737 WEST PLK STREET RESEARCH310 AD CHICAGO, IL 606127727	37-6000511	501(c)(3)	54,548				RESEARCH

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UNIVERSITY OF IOWA2 GILMORE HALL DIVISION OF SPONSORE IOWA CITY,IA 52242	42-6004813	STATE OF IA	8,747				RESEARCH
UNIVERSITY OF IOWA HOSPITALS AND CLINICS200 HAWKINS DRIVE IOWA CITY,IA 52242	42-6004813	STATE OF IA	15,550				RESEARCH

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UNIVERSITY OF KANSAS2385 IRVING HILL ROAD YOUNGBERG HAL LAWRENCE,KS 660457563	48-0680177	501(c)(3)	164,294				RESEARCH
UNIVERSITY OF KANSAS MEDICAL CENTERMSN 1039 3901 RAINBOW BLVD KANSAS CITY,KS 66160	48-1108830	501(c)(3)	14,303				RESEARCH

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UNIVERSITY OF KENTUCKY109 KINKEAD HALL LEXINGTON, KY 405060057	61-6033693	501(c)(3)	121,092				RESEARCH
UNIVERSITY OF MAINE SYSTEM ACTING THROUGH THE UNIV5717 CORBETT HALL ORONO, ME 044695717	01-6000769	501(c)(3)	159,995				RESEARCH

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UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK, MD 207425141	52-6002033	STATE OF MD	369,240				RESEARCH
UNIVERSITY OF MARYLAND515 WEST LOMBARD STREET BALTIMORE, MD 21201	52-6002033	STATE OF MD	16,658				RESEARCH

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UNIVERSITY OF MARYLAND EASTERN SHORE11868 ACADEMIC OVAL PRINCESS ANNE, MD 21853	08-2611302	501(c)(3)	43,552				RESEARCH
UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 70 AMHERST, MA 010039242	04-3167352	501(c)(3)	171,145				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF MEDICINE & DENTISTRY OF NJ 185 SOUTH ORANGE AVE MSB ROOM B-62 NEWARK SWICK, NJ 071011709	22-1775306	STATE OF NJ	549,953				RESEARCH
UNIVERSITY OF MEDICINE AND DENTISTRY OF NJ 65 BERGEN STREET NEWARK, NJ 07101	22-1775306	STATE OF NJ	46,529				RESEARCH

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UNIVERSITY OF MIAMI1501 NORTHWEST 9 AVENUE2ND FLOOR MIAMI, FL 33136	59-0624458	501(c)(3)	84,953				RESEARCH
UNIVERSITY OF MICHIGAN2570 MSRBII1150 W MED CENTER DR ANN ARBOR, MI 481090674	38-6006309	STATE OF MI	413,456				RESEARCH

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(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MINNESOTA505 ESSEX ST SE 305 DIEHL HALL MINNEAPOLIS, MN 55455	41-6007513	STATE OF MN	23,468				RESEARCH
UNIVERSITY OF NEBRASKA312 N 14TH STREET PO BOX 880430 LINCOLN, NE 685880430	47-0049123	501(c)(3)	7,689				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEW HAMPSHIRE 111 SERVICE BLDG 51 COLLEGE RD 2ND DURHAM, NH 03824	02-6000937	501(c)(3)	59,987				RESEARCH
UNIVERSITY OF NORTH CAROLINA 104 AIRPORT DRIVE SUITE 2200 CB 13 CHAPEL HILL, NC 275991350	56-6001393	501(c)(3)	20,102				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NORTH CAROLINA AT GREENSBORO POB 26170 SUITE 1601 ROOM 1713 MHRA GREENSBORO, NC 274026170	56-1611588	501(c)(3)	12,320				RESEARCH
UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BLDG 3451 WALNUT STRE PHILADELPHIA, PA 191046205	23-1352685	501(c)(3)	729,199				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF RHODE ISLAND70 LOWER COLLEGE RD KINGSTON, RI 02881	22-3011455	501(c)(3)	88,878				RESEARCH
UNIVERSITY OF ROCHESTER515 HYLAN BLDG RC BOX 270140 ROCHESTER, NY 146270140	16-0743209	501(c)(3)	613,931				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ROCHESTERPO BOX 270037 ROCHESTER, NY 14627	16-0743209	501(c)(3)	251,699				RESEARCH
UNIVERSITY OF SOUTH FLORIDA 4202 EAST FOWLER AVENUE TAMPA,FL 33620	59-0879015	501(c)(3)	37,490				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTHERN CALIFORNIA837 W DOWNEY WAY STO 330 LOS ANGELES, CA 900891147	95-1642394	501(c)(3)	102,722				RESEARCH
UNIVERSITY OF SOUTHERN CALIFORNIAFILE NO 52095 LOS ANGELES, CA 90074	95-1642394	501(c)(3)	757,566				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TENNESSEE1534 WHITE AVENUE KNOXVILLE, TN 379964506	62-6001636	501(c)(3)	221,775				RESEARCH
UNIVERSITY OF TENNESSEE910 MADISON SUITE 823 MEMPHIS, TN 38163	62-6001636	501(c)(3)	37,011				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS AT AUSTIN 24 GUADALAUPE PO BOX 7398 GUADALAUPE,TX 787130739	74-6000203	STATE OF TX	372,343				RESEARCH
UNIVERSITY OF TEXAS- SAN ANTONIOONE UTSA CIR UC 2 00 10 SAN ANTONIO,TX 78249	74-6000203	STATE OF TX	28,440				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNI OF TEXAS SOUTHWESTERN MEDICAL CENTER 5323 HARRY HINES BOULEVARD DALLAS, TX 753909041	75- 6002868	501(c)(3)	78,784				RESEARCH
UNIVERSITY OF UTAH1471 E FEDERAL WAY SALT LAKE CITY, UT 84112	87- 6000525	501(c)(3)	36,339				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF UTAH201 S PRESIDENTS CIRCLE ROOM 408 SALT LAKE CITY, UT 84112	87-6000525	501(c)(3)	283,213				RESEARCH
UNIVERSITY OF VERMONT340 WATERMAN BLDG BURLINGTON, VT 05405	03-0179440	501(c)(3)	50,991				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VERMONT221 WATERMAN BUILDING BURLINGTON, VT 05405	03-0179440	STATE OF VT	33,352				RESEARCH
UNIVERSITY OF VIRGINIAPO BOX 400195 CHARLOTTESVILLE, VA 229044195	54-6001796	501(c)(3)	94,633				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WASHINGTON4333 BROOKLYN AVE NE SEATTLE, WA 981959472	91-6001537	501(c)(3)	845,772				RESEARCH
UNIVERSITY OF WASHINGTON7425 FORSYTH AVENUE ST LOUIS, MO 63105	43-0653611	501(c)(3)	57,951				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WISCONSIN (UOWMAD) RESEARCH SPONSORED PROGRAMS 21 NO MADISON, WI 53715	39-6028867	501(c)(3)	275,926				RESEARCH
UNIVERSTIY OF MIAMI4600 RICKENBACKER CAUSEWAY MIAMI, FL 331491031	59-0624458	501(c)(3)	36,519				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037	52-0880375	501(c)(3)	106,500				RESEARCH
US BUSINESS LEADERSHIP NETWORK 1501 M ST NW 7TH FLOOR WASHINGTON, DC 20005	26-0482057	501(c)(3)	255,944				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
US DEPARTMENT OF THE INTERIOR US GEOLOGICAL SURVEY6505 NORTHEAST 65TH STREET SEATTLE, WA 98115	14-0001849	US DEPT OF INTR	80,808				RESEARCH
US GEOLOGICAL SURVEY US DEPT OF THE INTERIOR GEOLOGICAL SURVEY 2255 NORTH GEMINI FLAGSTAFF, AZ 86001	53-0196958	US DEPT OF INTR	329,350				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
USDA-ARS1815 N UNIVERSITY ST PEORIA,IL 61604	72-0564834	US DEPT OF AGRI	30,424				RESEARCH
USDA-ARS5601 SUNNYSIDE AVENUE BLDG 3 ROOM BELTSVILLE,MD 207055110	72-0564834	US DEPT OF AGRI	626,334				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
USDA-ARS600 EAST MERMAID LANE WYNDMOOR, PA 190380598	72- 0564834	US DEPT OF AGRI	10,787				RESEARCH
USDA-ARS950 COLLEGE STATION ROAD ATHENS, GA 30604	72- 0564834	US DEPT OF AGRI	31,139				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
USDA-ARS NATURAL RESOURCES RESEARCH CENTER 2 FORT COLLINS, CO 805268119	72- 0564834	US DEPT OF AGRI	24,481				RESEARCH
VANDERBILT UNIVERSITY110 21ST AVENUE SOUTH SUITE937 STAT NASHVILLE, TN 372357749	62- 0476822	501(c)(3)	179,225				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VANDERBILT UNIVERSITYDEPT AT 40379 ATLANTA,GA 31192	62-0476822	501(c)(3)	14,488				RESEARCH
VANDERBILT UNIVERSITY MEDICALDEPT AT 40379 ATLANTA,GA 31192	62-0476822	501(c)(3)	281,216				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VERMONT CENTER FOR ECOSTUDIES VCEPO BOX 420 NORWICH, VT 05055	51-0639429	501(c)(3)	25,937				RESEARCH
VETERINARY MEDICAL SPECIALISTS907 DELL AVENUE CAMPBELL, CA 95008	42-1551510		25,164				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIRGINIA POLYTECHNIC INSTITUTE & STATE UNI1880 PRATT DRIVE SUITE 2006 BLACKSBURG, VA 24060	54-6001805	501(c)(3)	75,347				RESEARCH
WALDRON EDUCATIONAL CONSULTING8201 E SCHOOLER RD CENTRALIA, MO 652403774			24,929				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WASHINGTON STATE UNIVERSITY OFFICE OF GRANT RESEARCH DEVELOPM PULLMAN, WA 991643140	91-6001108	501(c)(3)	142,074				RESEARCH
WASHINGTON UNIVERSITY CAMPUS BOX 1034 700 ROSEDALE ST LOUIS, MO 631121408	43-0653611	501(c)(3)	786,392				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WASHINGTON UNIVERSITY7425 FORSYTH AVENUE ST LOUIS, MO 63105	43-0653611	501(c)(3)	27,513				RESEARCH
WELLESLEY COLLEGE106 CENTRAL STREET GRH139 WELLESLEY, MA 02481	04-2103637	501(c)(3)	41,179				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST VIRGINIA UNI RESEARCH CORP (WVURC)886 CHESTNUT RIDGE ROAD PO BOX 6845 MORGANTOWN,WV 265066845	55- 0665758	501(c)(3)	96,726				RESEARCH
WOODS HOLE OCEANOGRAPHIC INSTGRANT CONTRACT SERVICES BELL HOUS WOODS HOLE,MA 02543	04- 2105850	501(c)(3)	247,251				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YALE UNIVERSITY 214 WHITNEY AVENUE ROOM 230 NEW HAVEN, CT 065208337	06-0646973	501(c)(3)	93,873				RESEARCH
YALE UNIVERSITY PO BOX 2503A NEW HAVEN, CT 06520	06-0646973	501(c)(3)	18,746				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BETTER HOUSING FOR TOMKINS COUNTY INC950 DANBY RD ITHACA,NY 14850	22-2378089	501(c)(3)	10,000				PUBLIC SUPPORT
CAYUGA LAKE WATERSHED NETWORK170 MAIN ST ITHACA,NY 14850	16-1556541	501(c)(3)	7,500				PUBLIC SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNITY ARTS PARTNERSHIP171 E STATE STREET ITHACA,NY 14850	16-1384455	501(c)(3)	7,000				PUBLIC SUPPORT
DAY CARE AND CHILD DEVLEOPMENT COUNCIL609 W CLINTON ST ITHACA,NY 14850	16-0918618	501(c)(3)	43,000				PUBLIC SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DOWNTOWN ITHACA ALLIANCE 312 EAST SENECA ST ITAHCA NY 14850 ITHACA,NY 14850	16-1521626	501(c)(3)	6,300				PUBLIC SUPPORT
FAMILY AND CHILDRENS SERVICE OF ITHACA127 W STATE ST ITHACA,NY 14850	15-0589039	501(c)(3)	20,000				PUBLIC SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FRANZISKA RACKER CENTERS INC3226 WILKENS RD ITHACA,NY 14850	15-0581887	501(c)(3)	10,000				PUBLIC SUPPORT
HANGAR THEATRE PARTNERS IN EDUCATION171 E STATE ST ITHACA,NY 14850	16-0902355	501(c)(3)	15,000				PUBLIC SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ITHACA NEIGHBORHOOD HOUSING SERVICES 115 WEST CLINTON ST ITAHCA,NY 14850	22-2141948	501(c)(3)	14,000				PUBLIC SUPPORT
ITHACA PUBLIC EDUCATION INITIATIVE INCPO BOX 4268 ITHACA,NY 14852	16-1506703	501(c)(3)	10,000				PUBLIC SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TOMPKINS COUNTY AREA DEVELOPMENT200 E BUFFALO ST ITHACA,NY 14850	16-6058339	501(c)(3)	31,250				PUBLIC SUPPORT
TOMPKINS COUNTY CHAMBER OF COMMERCE904 E SHORE RD ITHACA,NY 14850	15-0348795	501(c)(3)	11,255				PUBLIC SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TOMPKINS COUNTY PUBLIC LIBRARY101 E GREEN ST ITHACA,NY 14850	16-1098211	501(c)(3)	10,000				PUBLIC SUPPORT
UNITED WAY OF TOMKINS COUNTY313 N AURORA ST ITHACA ITHACA,NY 14850	15-0572883	501(c)(3)	8,500				PUBLIC SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOCAL CHARITIES (ITHACA) MISC VARIOUS VARIOUS,NY 99999	99-9999999	501(c)(3)	21,480				PUBLIC SUPPORT
LOCAL (ITHACA) GOVERNMENT AGENCIESVARIOUS VARIOUS,NY 99999	99-9999999	N/A	614,666				PUBLIC SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDICAL UNIVERSITY OF OHIO3000 ARLINGTON AVENUE TOLEDO, OH 43614	99-9999999	STATE OF OH	37,252				RESEARCH
MILTON S HERSHEY MEDICAL CENTER500 UNIVERSITY DRIVE H109 HERSHEY, PA 17033	24-6000376	STATE OF PA	60,131				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PARTNERS HEALTHCARE SYSTEMPO BOX 3715 BOSTON, MA 02241	90- 0656139	501(C)(3)	43,474				RESEARCH
PENN CARE AT HOME150 MONUMENT ROAD BALA CYNWYD, PA 19004	23- 2810852	501(C)(3)	51,162				RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIV OF CALIFORNIA IRVINE111 ACADEMY WAY IRVINE,CA 92697	95-2226406	STATE OF CA	8,688				RESEARCH
REGENTS OF THE UNIV OF CALIFORNIA DAVISON E SHIELDS AVE DAVIS,CA 95616	94-6036494	STATE OF CA	50,000				RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA CITY OF OAKLAND, CA 94720	94-3067788	STATE OF CA	37,442				RESEARCH
UNIVERSITY OF MASSACHUSETTS CITY OF BOSTON, MA 02215	04-3167352	STATE OF MA	190,322				RESEARCH

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2011

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div></div>		
	<div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div></div>		
	<div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div></div>		
	<div><div><input type="checkbox"/> Discretionary spending account</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div></div>		
	<div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div></div>		
	<div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment?	4a	No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	No
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) DAVID J SKORTON	(i)	690,035	0	7,801	69,014	98,481	865,331	0
	(ii)	0	0	0	0	0	0	0
(2) W KENT FUCHS	(i)	495,528	27,265	21,402	24,500	16,566	585,261	0
	(ii)	0	0	0	0	0	0	0
(3) ANTONIO M GOTTO JR	(i)	427,622	0	4,944	836,311	64,617	1,333,494	0
	(ii)	0	0	0	0	0	0	0
(4) JAMES J MINGLE	(i)	401,395	17,058	28,143	24,500	17,705	488,801	0
	(ii)	0	0	0	0	0	0	0
(5) JOANNE M DESTEFANO	(i)	296,674	11,756	18,000	35,545	8,734	370,709	0
	(ii)	0	0	0	0	0	0	0
(6) ALBERT J EDWARDS	(i)	359,299	314,674	0	24,500	19,311	717,784	0
	(ii)	0	0	0	0	0	0	0
(7) DANIEL M KNOWLES MD	(i)	739,697	0	740,114	36,660	17,062	1,533,533	0
	(ii)	0	0	0	0	0	0	0
(8) PAK H CHUNG MD	(i)	63,645	0	2,571,343	26,160	31,580	2,692,728	0
	(ii)	0	0	0	0	0	0	0
(9) MUKESH PRASAD MD	(i)	69,215	0	2,389,806	20,910	33,092	2,513,023	0
	(ii)	0	0	0	0	0	0	0
(10) ZEV ROSENWAKS MD	(i)	324,574	0	4,171,148	36,660	16,990	4,549,372	0
	(ii)	0	0	0	0	0	0	0
(11) STEVEN D SPANDORFER MD	(i)	47,438	0	3,107,741	26,160	24,996	3,206,335	0
	(ii)	0	0	0	0	0	0	0
(12) PHILIP E STEIG MD	(i)	386,512	0	2,922,269	36,660	25,453	3,370,894	0
	(ii)	0	0	0	0	0	0	0
(13) ROSEMARY J AVERY	(i)	170,782	0	47,458	32,701	9,149	260,090	0
	(ii)	0	0	0	0	0	0	0
(14) NELSON G HAIRSTON JR	(i)	169,264	0	3,000	17,565	11,582	201,411	0
	(ii)	0	0	0	0	0	0	0

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
LINES 1A AND 2		PAYMENT OR REIMBURSEMENT OF THE EXPENSES DESCRIBED IN 1A IS COVERED BY THE UNIVERSITY'S TRAVEL POLICIES OR SPECIFIC EMPLOYMENT CONTRACTS AND INCLUDES THE UNIVERSITY'S IMPLEMENTATION OF AN ACCOUNTABLE PLAN. THERE IS OCCASIONALLY UNIT DISCRETION.
LINE 4B		THE PRESIDENT AND PROVOST OF MEDICAL AFFAIRS PARTICIPATE IN BOARD AUTHORIZED DEFERRED COMPENSATION AGREEMENTS UNDER IRC SECTION 457(F). THE DEFERRAL FOR THE PRESIDENT OF \$44,514 AND THE DEFERRAL FOR THE PROVOST AND DEAN OF THE MEDICAL COLLEGE OF \$ 799,561 UNDER IRC SECTION 457(F) OF AMOUNTS NOT SUBSTANTIALLY VESTED ARE DISCLOSED ON SCHEDULE J, PART II, COLUMN (C) FOR EACH INDIVIDUAL AS A COMPONENT OF OVERALL QUALIFIED AND NON-QUALIFIED DEFERRED COMPENSATION.
LINE 7		BONUS PAYMENTS ARE PROVIDED TO INDIVIDUALS IN RECOGNITION OF ACCOMPLISHMENTS OF SPECIFIC GOALS OR OVERALL PERFORMANCE.
ADDITIONAL INFORMATION ON BOARD OF TRUSTEES		THE CORNELL BOARD INCLUDES FACULTY, STAFF AND STUDENTS. FACULTY MEMBERS ELECT TWO (2) MEMBERS, THE STUDENT BODY ELECTS TWO (2) MEMBERS, THE "EMPLOYEE ASSEMBLIES" ELECT ONE (1) MEMBER. FOR FISCAL YEAR ENDED JUNE 30, 2012, THE FACULTY MEMBERS WERE ROSEMARY J. AVERY AND NELSON G. HAIRSTON, THE STUDENT REPRESENTATIVES WERE ALEXANDER W. BORES AND DARRICK T. N. EVENSON, AND THE EMPLOYEE REPRESENTATIVE WAS BETH MCKINNEY.

Schedule K (Form 990) Department of the Treasury Internal Revenue Service	Supplemental Information on Tax Exempt Bonds ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990). ▶ Attach to Form 990. ▶ See separate instructions.										OMB No 1545-0047	
											2011	
	Name of the organization CORNELL UNIVERSITY										Employer identification number 15-0532082	

Part I Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DASNY (Series 1990B)	14-6000293	649905zh2	05-03-2010	55,997,134	Refund 1990 bonds (12/19/1990)		X		X		X
B	DASNY (Series 2004A & Series 2004B)	14-6000293	64983trf2	05-27-2004	92,100,000	See Part VI		X		X		X
C	DASNY (Series 2006A)	14-6000293	64983ql98	05-11-2006	258,479,025	See Part VI		X		X		X
D	DASNY (Series 2008B and Series 2008C)	14-6000293	649905a97	05-03-2010	139,688,300	Refunded 2008 DASNY bonds		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	5,010,000		12,900,000		65,265,000		7,020,000	
2	Amount of bonds defeased	0		0		0		0	
3	Total proceeds of issue	55,997,134		92,663,883		261,813,216		139,688,300	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrow	55,997,154		40,805,000		178,717,543		129,938,000	
7	Issuance costs from proceeds	0		1,482,587		3,511,634		770,300	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		50,376,296		79,584,039		0	
11	Other spent proceeds	0		0		0		8,980,000	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2010		2006		2008		2010	
14	Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No
		X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

		A		B		C		D	
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?	X		X		X		X	
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 100 %		0 300 %		0 600 %		0 100 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 100 %		0 100 %		0 100 %		0 %	
6	Total of lines 4 and 5	0 200 %		0 400 %		0 700 %		0 100 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X		X		X
2	Is the bond issue a variable rate issue?		X	X			X		X
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations ☒ Yes ☐ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE K	SEE SCHEDULE O	SEE SCHEDULE O

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons
▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ▶ \$ _____

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$ _____

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total ▶ \$ _____										

Part III Grants or Assistance Benefitting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of grant or type of assistance

Part IV

Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

Part V

Supplemental Information
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
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Additional Data

Software ID:
Software Version:
EIN: 15-0532082
Name: CORNELL UNIVERSITY

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
SB ASHLEY MGM CO	TRUSTEE- ASHLEY	323,535	RE MGMNT FEES PAID BY UNIV		No
FIRST RESERVE VARIOUS FUNDS	TRUSTEE- FAM MBR MACAULEY	65,818	INVESTMENT FEE PAID BY UNIV		No
FIRST RESERVE VARIOUS FUNDS	TRUSTEE- FAM MBR MACAULEY	3,208,603	DISTRIBUTIONS TO UNIV		No
FIRST RESERVE VARIOUS FUNDS	TRUSTEE- FAM MBR MACAULEY	3,707,920	INVESTMENT BY UNIV		No
CHARLES RIVER VARIOUS FUNDS	TRUSTEE- ZAK	167,801	INVESTMENT BY UNIV		No
CHARLES RIVER VARIOUS FUNDS	TRUSTEE- ZAK	1,238,712	DISTRIBUTIONS TO UNIV		No
US VENTURE VARIOUS FUNDS	FORMER TRUSTEE-YOUNG	360,000	INVESTMENT BY UNIV		No
US VENTURE VARIOUS FUNDS	FORMER TRUSTEE-YOUNG	3,113,507	DISTRIBUTIONS TO UNIV		No
JP MORGAN CHASE	TRUSTEE- BRAUNSTEIN	3,566,189	BANKING FEES PAID BY UNIV		No
KIONIX INC	TRUSTEE- GALVIN	1,490,000	ROYALTIES PAID TO UNIVERSITY		No
RHEONIX INC	TRUSTEE- GALVIN	107,780	RESEARCH CONTRACT		No
ASTRAZENECA	OFFICER- GOTTO	44,752	PURCHASES BY UNIVERSITY		No

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
ASTRAZENECA	OFFICER- GOTTO	204,500	RESEARCH SUPPORT PAID TO UNIV		No
WATERS CORP	OFFICER- GLIMCHER	43,992	PURCHASES BY UNIVERSITY		No
PFIZER	OFFICER- GLIMCHER	692	PURCHASES BY UNIVERSITY		No
PFIZER	OFFICER- GLIMCHER	641,420	RESEARCH SUPPORT PAID TO UNIV		No
MERCK	OFFICER- GOTTO	682,097	PURCHASES BY UNIVERSITY		No
MERCK	OFFICER- GLIMCHER	40,744	RESEARCH SUPPORT PAID TO UNIV		No
BRISTOL-MYERS SQUIBB	OFFICER- GLIMCHER	315,179	RESEARCH SUPPORT PAID TO UNIV		No
KOWA COMPANY LTD	OFFICER- GOTTO	100,000	RESEARCH SUPPORT PAID TO UNIV		No
P DESTEFANO	OFFICER- SPOUSE	75,428	COMPENSATION		No
R DAVISSON	OFFICER- SPOUSE	198,800	COMPENSATION		No

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

NonCash Contributions

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
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Employer identification number
15-0532082

Part I

Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining contribution amounts
1 Art—Works of art	X	50	1,551,215	FMV APPRAISALS
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		1,376,749	FMV APPRAISALS
5 Clothing and household goods				
6 Cars and other vehicles	X	2	28,200	FMV APPRAISALS
7 Boats and planes	X	2	6,000	FMV APPRAISALS
8 Intellectual property				
9 Securities—Publicly traded	X	818	31,765,721	SALES PRICE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	1	452,150	FMV APPRAISALS
12 Securities—Miscellaneous	X	1	100	FMV APPRAISALS
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
LAB AND OTHER				
25 Other ► (<u>SUPPLIES</u>)	X	164	3,641,872	FMV OF USE
26 Other ► (<u>HORSES</u>)	X	28	277,922	UNIVERSITY METHOD
COMPUTER AND OTHER				
27 Other ► (<u>EQUIPMENT</u>)	X	10	326,389	FMV OF USE
MUSICAL				
28 Other ► (<u>INSTRUMENTS</u>)	X	2	34,000	FMV APPRAISALS
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement			29	29
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?				<div>Yes</div> <div>No</div>
b If "Yes," describe the arrangement in Part II				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?				<div>31</div> <div>Yes</div>
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?				<div>32a</div> <div>Yes</div>
b If "Yes," describe in Part II				
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part III

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
SCHEDULE M		THE UNIVERSITY USES BROKERS TO SELL GIFTS OF PUBLICLY TRADED SECURITIES AND REAL ESTATE BROKERS TO SELL GIFTED REAL ESTATE IF, IN ANY YEAR, GIFTS OF REAL ESTATE ARE RECEIVED THE UNIVERSITY DOES NOT SEEK TO IDENTIFY THE SPECIFIC NUMBER OF DONORS FOR CONTRIBUTIONS OF BOOKS AND CERTAIN OTHER GIFTS OF PROPERTY HORSES THE UNIVERSITY ESTABLISHED A STANDARD VALUATION METHODOLOGY FOR RECORDING THE VALUE OF HORSES AND OTHER LIVESTOCK IN THE GENERAL LEDGER

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**
▶ **Attach to Form 990 or 990-EZ.**

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2011

**Open to Public
Inspection**

Name of the organization CORNELL UNIVERSITY	Employer identification number 15-0532082
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Identifier	Return Reference	Explanation
PART I	Line 4	INDEPENDENT BOARD MEMBERS A MEMBER OF THE BOARD, FOR PURPOSES OF FORM 990, IS NOT CONSIDERED INDEPENDENT IF THE INDIVIDUAL IS OTHERWISE EMPLOYED BY THE UNIVERSITY AND COMPENSATION EXCEEDS \$10,000 OR HAS A BUSINESS RELATIONSHIP WITH THE UNIVERSITY REPORTED ON SCHEDULE L

Identifier	Return Reference	Explanation
PART III		LINE 1 THE ORGANIZATION'S MISSION IS TO PROVIDE UNDERGRADUATE AND GRADUATE DEGREE PROGRAMS, DISCOVER, PRESERVE, AND DISSEMINATE KNOWLEDGE, PRODUCE CREATIVE WORK AND PROMOTE A CULTURE OF BROAD INQUIRY LINE 4D OTHER PROGRAM SERVICE ACCOMPLISHMENTS INCLUDE, BUT ARE NOT LIMITED TO, THE LAND-GRANT MISSION, ACADEMIC CONFERENCES, PUBLICATIONS AND ROOM AND BOARD FOR THE STUDENT BODY

Identifier	Return Reference	Explanation
PART VI SECTION B	Line 11b	REVIEW PROCESS FOR FORM 990 IN ADVANCE OF FILING, THE UNIVERSITY PRESENTS A DRAFT OF FORM 990 TO THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES AND ALSO MAKES A COPY AVAILABLE TO THE ENTIRE BOARD ON THE BOARD'S SECURE WEBSITE

Identifier	Return Reference	Explanation
PART VI	LINE 12c	INFORMATION ON MONITORING CONFLICT OF INTEREST UNDER THE UNIVERSITY'S CONFLICT OF INTEREST POLICY , OFFICERS, DIRECTORS, OTHER KEY EMPLOYEES AND FACULTY MEMBERS MUST FILE AN ANNUAL CONFLICT OF INTEREST STATEMENT ANY CONFLICT IDENTIFIED IS REPORTED TO THE APPROPRIATE OFFICE RESPONSIBLE FOR MANAGING THE CONFLICT, SUCH AS THE REAL ESTATE OFFICE FOR REAL ESTATE MATTERS THIS PROCEDURE IS DESIGNED TO HELP ENSURE THAT SUCH TRANSACTIONS ARE SUBJECT TO ADDITIONAL REVIEW AND AUTHORIZATION

Identifier	Return Reference	Explanation
PART VI	Line 15	<p>COMPENSATION THE UNIVERSITY HAS FORMAL PROCEDURES TO AUTHORIZE AND MANAGE COMPENSATION ISSUES THE PROCEDURES IDENTIFIED BELOW APPLY TO ALL OFFICERS, ALL FORMER OFFICERS WHO CONTINUE TO PROVIDE SERVICES TO THE INSTITUTION AS A WHOLE, ALL EMPLOYEES WHO OCCUPY CERTAIN ROLES SUCH AS DEAN AND VICE PRESIDENT, AND ALL EMPLOYEES WHOSE COMPENSATION EXCEEDS A PRE-ESTABLISHED THRESHOLD THE VICE PRESIDENT FOR THE DIVISION OF HUMAN RESOURCES ("VP") DEVELOPS THE LIST OF INDIVIDUALS SUBJECT TO REVIEW AND OBTAINS COMPARATIVE DATA FROM EXTERNAL, INDEPENDENT COMPENSATION CONSULTING FIRMS THE VP AND HER INTERNAL STAFF DEVELOP A FORMAL PRESENTATION FOR THE COMPENSATION SUBCOMMITTEE OF THE EXECUTIVE COMMITTEE OF THE BOARD THE SUBCOMMITTEE REVIEWS THE INFORMATION AND MODIFIES IT IF DEEMED APPROPRIATE THE SUBCOMMITTEE RECOMMENDS COMPENSATION FOR FINAL REVIEW AND APPROVAL TO THE EXECUTIVE COMMITTEE OF THE BOARD IN ADDITION, THE UNIVERSITY MAINTAINS CONTEMPORANEOUS WRITTEN RECORDS OF THE PROCEDURES THAT, TOGETHER WITH THE FOREGOING, GIVE RISE TO A "REBUTTABLE PRESUMPTION OF REASONABLENESS " NOTE COMPENSATION PROPOSALS WHICH OCCUR OUTSIDE THE SPRING TIMELINE AND REQUIRE EXECUTIVE COMMITTEE APPROVAL ARE PRESENTED FOR REVIEW AND APPROVAL IN A PRIVATE SESSION OF THE EXECUTIVE COMMITTEE IF THERE IS A DISCRETIONARY COMPONENT OF COMPENSATION, IT IS LIMITED BY AMOUNT OR PERCENTAGE OR OTHER CLEARLY SPECIFIED CRITERIA AMOUNTS IN EXCESS OF THESE AUTHORIZED GUIDELINES REQUIRE REVIEW BY THE BOARD AS A WHOLE LINE 16 PROCEDURES FOR PARTICIPATION IN JOINT VENTURES THE UNIVERSITY HAS NUMEROUS CONTROLS IN PLACE TO ENSURE THAT ANY INVESTMENT IN, CONTRIBUTION OF ASSETS TO OR PARTICIPATION IN A JOINT VENTURE OR SIMILAR ARRANGEMENT WITH A TAXABLE OR NON-TAXABLE ENTITY ARE IN FULL COMPLIANCE WITH UNIVERSITY POLICIES, INCLUDING, BUT NOT LIMITED TO, THE POLICY ON TRANSACTION AUTHORITY AND UNRELATED BUSINESS INCOME COMPLIANCE WITH THE UNIVERSITY'S POLICIES SERVES TO PROTECT THE ORGANIZATION'S EXEMPT STATUS LINE 19 GOVERNING DOCUMENTS THE UNIVERSITY'S CHARTER, BY-LAWS, POLICIES AND AUDITED FINANCIAL STATEMENTS ARE AVAILABLE TO THE PUBLIC THROUGH THE UNIVERSITY'S WEB SITE IDENTIFIED ON PAGE ONE OF THE FORM 990 THE UNIVERSITY ALSO MAKES THESE DOCUMENTS AVAILABLE, IF REQUESTED, IN PERSON, BY PHONE OR MAIL SECTION C LINE 9 GOVERNANCE, MANAGEMENT AND DISCLOSURE INFORMATION ON RELATIONSHIPS THE UNIVERSITY RELIES ON THE FOLLOWING DOCUMENTATION FOR DISCLOSURE OF RELATIONSHIPS THE POLICY ON STANDARDS OF ETHICAL CONDUCT AND CONFLICTS OF INTEREST AND THE ANNUAL STATEMENTS FOR REPORTING SUCH CONFLICTS</p>

Identifier	Return Reference	Explanation
PART XI RECONCILIATION OF NET ASSETS	LINE 5 OTHER CHANGES TO NET ASSETS	SUBSIDIARY NET INCOME \$ 2,384,357 FAIR MARKET VALUE ADJUSTMENTS \$ (349,724,000) INSTITUTIONAL STUDENT LOAN EXPENSE \$ 2,172,390 ----- TOTAL \$ (345,167,253) =====

Identifier	Return Reference	Explanation
SCHEDULE K	PART VI	<p>PART I, LINE A - ISSUER NAME DORMITORY AUTHORITY OF THE STATE OF NEW YORK (DASNY) *** PART I, LINE B, COLUMN F - CUSIP # 64983TRF2 DESCRIPTION OF PURPOSE REFUND 1998 TAX-EXEMPT CP (\$40,805,000) AND FINANCE NEW PROJECTS *** PART I, LINE C, COLUMN F - CUSIP # 64983QL98 (SERIES 2006)- DESCRIPTION OF PURPOSE REFUND 1996 BONDS (\$63,717,543 DATE OF ISSUE 6/20/1996), REFUND TAXABLE COMMERCIAL PAPER (\$40,000,000), REFUND TAX EXEMPT COMMERCIAL PAPER (\$75,000,000) AND FINANCE CAPITAL CONSTRUCTION ON THE ITHACA AND WEILL CORNELL MEDICAL COLLEGE CAMPUS *** PART II - (COLUMNS A-D)- CUSIP #S 649905ZH2, 64983TRF2, 64983QL98, 649905A97 - ALL FIGURES ARE CUMULATIVE SINCE INCEPTION OF BONDS *** PART II, COLUMN B CUSIP # 64983TRF2 (SERIES 2004) , LINE 3 - TOTAL PROCEEDS INCLUDE \$563,883 OF INVESTMENT INCOME EARNED ON CONSTRUCTION FUNDS *** PART II, COLUMN C CUSIP # 64983QL98 (SERIES 2006) , LINE 3 - TOTAL PROCEEDS INCLUDE \$3,334,192 OF INVESTMENT INCOME EARNED ON CONSTRUCTION FUNDS *** PART II, COLUMN C CUSIP#64983QL98 (SERIES 2006) - A PORTION OF THE ISSUANCE COSTS AND DEBT SERVICE OF THE 1996 BONDS WERE PAID BY AN EQUITY PAYMENT MADE BY THE UNIVERSITY AND FUNDS DEPOSITED IN THE DEBT SERVICE FUND AT THE CUSTODIAN BANK *** PART II, COLUMN D CUSIP # 649905A97 (SERIES 2008 B AND C) , LINE 11- INCLUDES BOND PROCEEDS RELATED TO SWAP TERMINATION PAYMENT *** PART III, LINE 3C- (COLUMNS A-D)- CUSIP #S 649905ZH2, 64983TRF2, 64983QL98, 649905A97 - CORNELL UNIVERSITY HAS INTERNAL COUNSEL'S OFFICE THAT IS RESPONSIBLE TO REVIEW MANAGEMENT CONTRACTS AND AN OFFICE OF SPONSORED PROGRAMS TO REVIEW RESEARCH AGREEMENTS EXTERNAL COUNSEL'S OFFICE REVIEW IS USED ON "AS NEEDED BASIS "</p>

Identifier	Return Reference	Explanation
SCHEDULE K	PART VI	<p>PART I, LINE A - TOMPKINS COUNTY INDUSTRIAL DEVELOPMENT AGENCY (TCIDA) VARIABLE RATE DEMAND CIVIC FACILITY REVENUE BONDS (CORNELLUNIVERSITY PROJECT) SERIES 2008 *** PART I, LINE B (DASNY 2009A) CUSIP# 649905DC7- REFUND \$150 MILLION OF TAX-EXEMPT CP AND FINANCE CAPITAL PROJECTS *** PART I, LINE C (DASNY 2010) CUSIP# 649905R99- FINANCE CONSTRUCTION OF MEDICAL RESEARCH BUILDING IN NEW YORK CITY, REFINANCE \$50 MILLION OF TAXABLE DEBT *** PART I, LINE D, COLUMN F, (5/12/2011 ISSUANCE) CUSIP#64983L- FINANCE CAPITAL CONSTRUCTION PROJECTS ON ITHACA AND WEILL MEDICAL COLLEGE CAMPUSES AND REFUND \$35 MILLION OF TAXABLE COMMERCIAL PAPER*** PART II - (COLUMNS A-C)- CUSIP#S 890099EQ3, 649905DC7, 649905R99 - ALL FIGURES ARE CUMULATIVE SINCE INCEPTION OF BONDS *** PART II, COLUMN A CUSIP# 890099EQ3 (SERIES 2008A) , LINE 11- INCLUDES BOND PROCEEDS RELATED TO SWAP TERMINATION PAYMENT *** PART II, COLUMN B (2009 DASNY) CUSIP# 649905DC7 - LINE 3 -TOTAL PROCEEDS INCLUDE \$420,791 OF INTEREST EARNED ON CONSTRUCTION FUNDS *** PART II, COLUMN C (2010 DASNY) CUSIP# 649905R99 -LINE 3 - TOTAL PROCEEDS INCLUDE \$279,210 OF INTEREST EARNED ON CONSTRUCTION FUNDS *** PART II, COLUMN D (MAY 2011 ISSUANCE) CUSIP#64983L- TOTAL PROCEEDS INCLUDES \$38 OF INTEREST INCOME EARNED ON CONSTRUCTION FUNDS*** PART III, COLUMN C (2010 DASNY) CUSIP# 649905R99 - BUILDING IS STILL IN CONSTRUCTION, THEREFORE, NO PRIVATE USE IS CALCULATED *** PART III, LINE 3C - (COLUMNS A-D)- CUSIP#S 890099EQ3, 649905DC7, 649905R99, 64983L - CORNELL UNIVERSITY HAS INTERNAL COUNSEL'S OFFICE THAT IS RESPONSIBLE FOR REVIEWING MANAGEMENT CONTRACTS AND AN OFFICE OF SPONSORED PROGRAMS TO REVIEW RESEARCH AGREEMENTS EXTERNAL COUNSEL'S OFFICE REVIEW IS USED ON "AS NEEDED BASIS "</p>

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
(1) CORNELL CENTER OF NEW YORK 6 EAST 44th ST NEW YORK, NY 10017 16-1301416	SOCIAL CLUB	NY	501(C)(7)	N/A	CORNELL UNIV	Yes	
(2) MRSI MANAGEMENT INC 575 LEXINGTON AVE NEW YORK, NY 10022 13-3366821	MEDICAL SERV	NY	501(C)(3)	11b(TYPEII)	CORNELL UNIV	Yes	
(3) CORNELL REAL PROPERTY SERVICES INC 15 THORNWOOD ITHACA, NY 14850 16-1450535	PROPERTY SERV	NY	501(C)(3)	11d TYPEIII	CORNELL UNIV	Yes	
(4) CORNELL RESEARCH FOUNDATION INC CORNELL UNIV DAY HALL ITHACA, NY 14850 16-6050703	HOLDS IP PROP	NY	501(C)(2)	N/A	CORNELL UNIV	Yes	
(5) SAMUEL CURTIS JOHNSON FOUNDATION 130 SENECA PL ITHACA, NY 14850 22-2572815	SUPPORT ORG	NY	501(C)(3)	11c TYPEIII	CORNELL UNIV	Yes	
(6) CORNELL UNIVERSITY FOUNDATION 130 SENECA PL ITHACA, NY 14850 22-2848738	SUPPORT ORG	NY	501(C)(3)	11a(TYPE I)	CORNELL UNIV	Yes	
(7) ROBERT J MIN MD PC 575 LEXINGTON AVE NEW YORK, NY 10022 13-3366820	MEDICAL SERV	NY	501(C)(3)	9	CORNELL UNIV	Yes	

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) ITHACA LP 35 THORNWOOD ITHACA, NY 14850	INVESTMENTS	PW	CORNELL UNIV	RELATED	3,282,571	33,429,808		No			No	100 000 %

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
(1) TOWER INNOVATIVE LEARNING SOLUTIONS 950 DANBY RD ITHACA, NY 14850 16-1593492	DIST LEARNING	NY	NA	C CORP	12,602	10,698,173	100 000 %
(2) LENROC CORPORATION 15 THORNWOOD ITHACA, NY 14850 16-1450466	REAL ESTATE	NY	NA	C CORP	-1,186	0	100 000 %
(3) UNIVERSITY VETERINARY SPECIALISTS INC 800 CANAL ST STAMFORD, CT 06902 27-2461725	VET SERVICES	CT	NA	C CORP	-977,009	5,109,896	100 000 %

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Sale of assets to related organization(s)

g

Purchase of assets from related organization(s)

h

Exchange of assets with related organization(s)

i

Lease of facilities, equipment, or other assets to related organization(s)

j

Lease of facilities, equipment, or other assets from related organization(s)

k

Performance of services or membership or fundraising solicitations for related organization(s)

l

Performance of services or membership or fundraising solicitations by related organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

n

Sharing of paid employees with related organization(s)

o

Reimbursement paid to related organization(s) for expenses

p

Reimbursement paid by related organization(s) for expenses

q

Other transfer of cash or property to related organization(s)

r

Other transfer of cash or property from related organization(s)

Yes

No

1a

Yes

1b

No

1c

Yes

1d

No

1e

No

1f

No

1g

No

1h

No

1i

Yes

1j

No

1k

No

1l

No

1m

Yes

1n

Yes

1o

No

1p

Yes

1q

No

1r

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1) See Additional Data Table			
(2)			
(3)			
(4)			
(5)			
(6)			

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation	
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Software ID:

Software Version:

EIN: 15-0532082

Name: CORNELL UNIVERSITY

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Exempt Code section	(e) Public charity status (if 501(c)(3))	(f) Direct Controlling Entity	(g) Section 512 (b)(13) controlled organization	
CORNELL CENTER OF NEW YORK 6 EAST 44th ST NEW YORK, NY 10017 16-1301416	SOCIAL CLUB	NY	501(C) (7)	N/A	CORNELL UNIV	Yes	
MRSI MANAGEMENT INC 575 LEXINGTON AVE NEW YORK, NY 10022 13-3366821	MEDICAL SERV	NY	501(C) (3)	11b(TYPEII)	CORNELL UNIV	Yes	
CORNELL REAL PROPERTY SERVICES INC 15 THORNWOOD ITHACA, NY 14850 16-1450535	PROPERTY SERV	NY	501(C) (3)	11d TYPEIII	CORNELL UNIV	Yes	
CORNELL RESEARCH FOUNDATION INC CORNELL UNIV DAY HALL ITHACA, NY 14850 16-6050703	HOLDS IP PROP	NY	501(C) (2)	N/A	CORNELL UNIV	Yes	
SAMUEL CURTIS JOHNSON FOUNDATION 130 SENECA PL ITHACA, NY 14850 22-2572815	SUPPORT ORG	NY	501(C) (3)	11c TYPEIII	CORNELL UNIV	Yes	
CORNELL UNIVERSITY FOUNDATION 130 SENECA PL ITHACA, NY 14850 22-2848738	SUPPORT ORG	NY	501(C) (3)	11a(TYPE I)	CORNELL UNIV	Yes	
ROBERT J MIN MD PC 575 LEXINGTON AVE NEW YORK, NY 10022 13-3366820	MEDICAL SERV	NY	501(C) (3)	9	CORNELL UNIV	Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization		(b) Transaction type(a-r)	(c) Amount Involved (\$)	(d) Method of determining amount involved
(1)	CORNELL REAL PROPERTY SERVICES INC	M	1,000	COST
(2)	CORNELL REAL PROPERTY SERVICES INC	P	40,000	COST
(3)	SAMUEL CURTIS JOHNSON FOUNDATION	M	1,000	COST
(4)	SAMUEL CURTIS JOHNSON FOUNDATION	C	2,489,629	COST
(5)	CORNELL UNIVERSITY FOUNDATION	C	13,745,431	COST
(6)	CORNELL UNIVERSITY FOUNDATION	M	1,000	COST
(7)	TOWER INNOVATIVE LEARNING SOLUTIONS INC	A	984,000	COST/AGREMENT
(8)	UNIVERSITY VETERINARY SPECIALISTS INC	A	358,201	COST/AGREMENT

Additional Data

Software ID:

Software Version:

EIN: 15-0532082

Name: CORNELL UNIVERSITY

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
DAVID J SKORTON PRESIDENT & EX OFFICO TRUSTEE	55 0	X		X				697,836	0	167,495
ELIZABETH J ALTMAN TRUSTEE	1 0	X						0	0	0
STEPHEN B BASHLEY TRUSTEE	1 0	X						0	0	0
JESSICA M BIBLIOWICZ TRUSTEE	1 0	X						0	0	0
SAMUEL W BODMAN III TRUSTEE	1 0	X						0	0	0
RICHARD L BOOTH TRUSTEE	1 0	X						0	0	0
ALEX W BORES TRUSTEE	15 0	X						2,575	0	0
DOUGLAS L BRAUNSTEIN TRUSTEE	1 0	X						0	0	0
RONNI S CHERNOFF TRUSTEE	1 0	X						0	0	0
EZRA CORNELL TRUSTEE	1 0	X						0	0	0
DAVID D CROLL TRUSTEE	1 0	X						0	0	0
ANDREW CUOMO EX-OFFICIO	1 0	X						0	0	0
DIANA M DANIELS VICE CHAIRMAN	1 0	X						0	0	0
IRA DRUKIER TRUSTEE	1 0	X						0	0	0
DARRICK TN EVENSEN TRUSTEE	15 0	X						33,730	0	0
DAVID R FISCHELL TRUSTEE	1 0	X						0	0	0
CHERYL A FRANCIS TRUSTEE	1 0	X						0	0	0
GREGORY J GALVIN TRUSTEE	1 0	X						0	0	0
RANA GLASGAL TRUSTEE	1 0	X						0	0	0
BLANCHE S GOLDENBERG TRUSTEE	1 0	X						0	0	0
KENNETH A GOLDMAN TRUSTEE	1 0	X						0	0	0
PAUL A GOULD TRUSTEE	1 0	X						0	0	0
PATRICIA E HARRIS TRUSTEE	1 0	X						0	0	0
ROBERT S HARRISON CHAIRMAN, EFFECTIVE JAN 1	1 0	X						0	0	0
DENIS M HUGHES TRUSTEE	1 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ROBERT J KATZ VICE CHAIRMAN	1 0	X						0	0	0
RUBEN J KING-SHAW JR TRUSTEE	1 0	X						0	0	0
ROBERT S LANGER JR TRUSTEE	1 0	X						0	0	0
LINDA R MACAULAY TRUSTEE	1 0	X						0	0	0
RONALD D MCCRAY TRUSTEE	1 0	X						0	0	0
BETH MCKINNEY TRUSTEE	55 0	X						59,903	0	21,435
PETER C MEINIG CHAIRMAN THROUGH DEC 31, 2011	1 0	X						0	0	0
HOWARD P MILSTEIN TRUSTEE	1 0	X						0	0	0
ELIZABETH D MOORE TRUSTEE	1 0	X						0	0	0
JOHN A NOBLE TRUSTEE	1 0	X						0	0	0
PETER J NOLAN TRUSTEE	1 0	X						0	0	0
LUBNA SULIMAN OLAYAN TRUSTEE	1 0	X						0	0	0
ARMANDO J OLIVERA TRUSTEE	1 0	X						0	0	0
DONALD C OPATRNY TRUSTEE	1 0	X						0	0	0
WILLAIM D PEREZ TRUSTEE	1 0	X						0	0	0
LELAND C PILLSBURY TRUSTEE	1 0	X						0	0	0
BRUCE S RANYOR TRUSTEE	1 0	X						0	0	0
PHILIP R REILLY TRUSTEE	1 0	X						0	0	0
GENE D RESNICK TRUSTEE	1 0	X						0	0	0
IRENE B ROSENFELD TRUSTEE	1 0	X						0	0	0
ROBERT L RYAN TRUSTEE	1 0	X						0	0	0
PAUL A SALVATORE TRUSTEE	1 0	X						0	0	0
SHELDON SILVER EX OFFICIO	1 0	X						0	0	0
DEAN G SKELOS EX OFFICIO	1 0	X						0	0	0
DALIA P STILLER TRUSTEE	1 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
CHIAKI TANUMA TRUSTEE EFFECTIVE JAN 1, 2012	1 0	X						0	0	0	
RATAN N TATA TRUSTEE	1 0	X						0	0	0	
LISA SKEETE TATUM TRUSTEE	1 0	X						0	0	0	
ANDREW H TISCH VICE CHAIRMAN	1 0	X						0	0	0	
SHERYL HILLIARD TUCKER TRUSTEE	1 0	X						0	0	0	
M EILEEN MCMANUS WALKER TRUSTEE	1 0	X						0	0	0	
BARTON J WINOKUR TRUSTEE	1 0	X						0	0	0	
SHERYL WUDUNN TRUSTEE	1 0	X						0	0	0	
CRAIG YUNKER TRUSTEE	1 0	X						0	0	0	
MICHAEL J ZAK TRUSTEE	1 0	X						0	0	0	
DAVID W ZALAZNICK VICE CHAIRMAN	1 0	X						0	0	0	
KAREN P ZIMMER TRUSTEE	1 0	X						0	0	0	
JAN ROCK ZUBROW TRUSTEE	1 0	X						0	0	0	
ROSEMARY J AVERY TRUSTEE	55 0	X						218,240	0	41,850	
NELSON G HAIRSTON JR TRUSTEE	55 0	X						172,264	0	29,147	
W KENT FUCHS PROVOST	55 0			X				544,195	0	41,066	
ANTONIO M GOTTO JR DEAN THROUGH DEC 31, 2011	55 0			X				432,566	0	900,928	
LAURIE H GLIMCHER DEAN EFFECTIVE JAN 1, 2012	55 0			X				0	0	0	
JAMES J MINGLE UNIVERSITY COUNSEL	55 0			X				446,596	0	42,205	
JOANNE M DESTEFANO VP FINANCE AND CFO	55 0			X				326,430	0	44,279	
ALBERT J EDWARDS CHIEF INVESTMENT OFFICER	55 0				X			673,973	0	43,811	
DANIEL M KNOWLES MD CHAIR & PROF OF PATHOLOGY	55 0				X			1,479,811	0	53,722	
PAK H CHUNG MD PROFESSOR OF REPRODUCTIVE MED	55 0					X		2,634,988	0	57,740	
MUKESH PRASAD MD ASSOC PROF OTORHINOLARYNGOLOGY	55 0					X		2,459,021	0	54,002	
ZEV ROSENWAKS MD PROFESSOR OF REPRODUCTIVE MED	55 0					X		4,495,722	0	53,650	

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
STEVEN D SPANDORFER MD PROFESSOR OF REPRODUCTIVE MED	55 0					X		3,155,179	0	51,156
PHILIP E STEIG MD CHAIR AND PROF OF NEUROLOGY	55 0					X		3,308,781	0	62,113