Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning 07/01 **, 2011, and ending** 06/30, **20**12

Name of foundation
THE SAMUEL RUBIN FOUNDATION, INC.

A Employer identification number
13-6164671

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
777 UNITED NATIONS PLAZA | 10D

B Telephone number (see instructions)
(212) 697-8945

City or town, state, and ZIP code
NEW YORK, NY 10017

C If exemption application is pending check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations check here ▶ ☐
2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 11,230,588.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts grants etc received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	401,131.	401,131.		ATCH 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	88,507.			
	b	Gross sales price for all assets on line 6a 4,016,282.				
	7	Capital gain net income (from Part IV, line 2)		88,507.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	489,638.	489,638.		
Operating and Administrative Expenses	13	Compensation of officers, directors trustees, etc	25,000.	1,250.		23,750.
	14	Other employee salaries and wages	65,890.	9,884.		56,007.
	15	Pension plans, employee benefits	11,839.	592.		11,247.
	16a	Legal fees (attach schedule)	90.	68.		23.
	b	Accounting fees (attach schedule) ATCH 2	17,500.	13,125.		4,375.
	c	Other professional fees (attach schedule) *	79,301.	79,301.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) **	33,885.	3,759.		6,539.
	19	Depreciation (attach schedule) and depletion	191.	63.		
	20	Occupancy	26,982.	8,904.		18,078.
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	16,390.	4,479.		11,911.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	277,068.	121,425.		131,930.
	25	Contributions, gifts, grants paid	589,250.			589,250.
	26	**Total expenses and disbursements** Add lines 24 and 25	866,318.	121,425.	0	721,180.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-376,680.			
	b	**Net investment income** (if negative, enter -0-)		368,213.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	10,812.	16,348.	16,348.
2	Savings and temporary cash investments	249,068.	408,929.	408,929.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule) **	3,224,616.	2,381,657.	2,465,920.
b	Investments - corporate stock (attach schedule) ATCH 7	7,384,434.	7,369,809.	7,993,845.
c	Investments - corporate bonds (attach schedule) ATCH 8	21,838.	337,536.	337,867.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶ 22,565.			
	Less accumulated depreciation (attach schedule) ▶ 19,147.	3,609.	3,418.	3,418.
15	Other assets (describe ▶ ATCH 9)	4,261.	4,261.	4,261.
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	10,898,638.	10,521,958.	11,230,588.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers directors trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	10,898,638.	10,521,958.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	10,898,638.	10,521,958.	
31	**Total liabilities and net assets/fund balances** (see instructions)	10,898,638.	10,521,958.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,898,638.
2	Enter amount from Part I, line 27a	2	-376,680.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,521,958.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,521,958.

**ATCH 6

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	88,507.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	690,811.	11,538,029.	0.059873
2009	670,976.	11,440,085.	0.058651
2008	686,232.	10,797,701.	0.063554
2007	816,144.	13,644,504.	0.059815
2006	823,369.	13,740,197.	0.059924

2	Total of line 1, column (d)	2	0.301817
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060363
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	11,172,899.
5	Multiply line 4 by line 3	5	674,430.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,682.
7	Add lines 5 and 6	7	678,112.
8	Enter qualifying distributions from Part XII, line 4	8	721,180.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	3,682.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	3,682.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,682.
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	13,224.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	13,224.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	9,542.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 4,100. **Refunded** ▶			11	5,442.

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ SAMUELRUBINFOUNDATION.ORG

14 The books are in care of ▶ CORA WEISS Telephone no ▶ 212-697-8945

Located at ▶ 777 UNITED NATIONS PLAZA NEW YORK, NY ZIP + 4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
ATTACHMENT 10		25,000.	4,909.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		65,890.	6,930.	0

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATTACHMENT 12		79,301.
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	10,907,904.
b	Average of monthly cash balances	1b	427,366.
c	Fair market value of all other assets (see instructions)	1c	7,775.
d	**Total** (add lines 1a, b, and c)	1d	11,343,045.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	11,343,045.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	170,146.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,172,899.
6	**Minimum investment return.** Enter 5% of line 5	6	558,645.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	558,645.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	3,682.		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,682.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	554,963.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	554,963.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	554,963.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	721,180.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	721,180.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,682.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	717,498.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				554,963.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09 ,20 08 ,20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006 ... 175,198.				
b From 2007 ... 159,938.				
c From 2008 ... 149,708.				
d From 2009 ... 101,849.				
e From 2010 ... 127,131.				
f **Total** of lines 3a through e	713,824.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 721,180.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				554,963.
e Remaining amount distributed out of corpus	166,217.			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	880,041.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	175,198.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	704,843.			
10 Analysis of line 9				
a Excess from 2007 ... 159,938.				
b Excess from 2008 ... 149,708.				
c Excess from 2009 ... 101,849.				
d Excess from 2010 ... 127,131.				
e Excess from 2011 ... 166,217.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest dividends rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 13

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 14

c Any submission deadlines

1ST FRIDAY OF JANUARY, MAY AND SEPTEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 15

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 16				
Total ▶ **3a**				589,250.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	401,131.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	88,507.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				489,638.	

13 Total. Add line 12, columns (b), (d) and (e) . . . 13 489,638.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Cora Weiss   10/23/12   ▶ President

Signature of officer or trustee   Date   Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MYLES SCHUMER	MYLES SCHUMER	10/10/2012		P01346926
Firm's name ▶ CORNICK, GARBER & SANDLER, LLP			Firm's EIN ▶ 13-2620561	
Firm's address ▶ 825 THIRD AVENUE, 4TH FL NEW YORK, NY 10022-9524			Phone no 212-557-3900	

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FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SHORT TERM GAINS - SEE ATTACHED SCHEDULE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
987,405.		1,023,766.					-36,361.	
		LONG TERM GAINS - SEE ATTACHED SCHEDULE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,028,877.		2,904,009.					124,868.	
TOTAL GAIN(LOSS)							88,507.	

The Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/(Loss)
MARTIN MARIETTA MATERIALS INC	70	10/28/2010	8/16/2011	4,747 86	5,581 90	(834 04)
Vulcan Materials Co	510	8/27/2010	8/16/2011	16,663 17	19,519 33	(2,856 16)
Ericsson L M Tel Co	7,080 00	4/1/2011	8/25/2011	75,086 20	90,579 40	(15,493 20)
Strayer Education Inc	200	6/15/2011	8/25/2011	18,206 21	25,503 08	(7,296 87)
Washington Post Co	60	6/15/2011	9/7/2011	19,512 39	25,169 74	(5,657 35)
Liberty Global Inc	210	11/30/2010	10/24/2011	8,761 66	7,478 84	1,282 82
GENERAL MILLS INC	1,370 00	3/17/2011	10/31/2011	53,417 46	49,320 00	4,097 46
CARNIVAL CORP	230	3/23/2011	11/2/2011	7,721 16	8,958 18	(1,237 02)
OWENS ILLINOIS INC	210	6/15/2011	11/2/2011	4,173 25	5,461 03	(1,287 78)
Norfolk Southern Corp	700	2/23/2011	11/8/2011	51,106 15	44,138 01	6,968 14
BANCO SANTANDER CENTRAL	5,180 00	10/11/2011	11/10/2011	39,189 57	44,281 23	(5,091 66)
FEDERAL HOME LN DUE 10/28/13	3,060 00	10/31/2011	11/21/2011	307,591 20	309,022 36	(1,431 16)
BANK NEW YORK INC	2,340 00	5/9/2011	1/31/2012	46,788 81	66,938 27	(20,149 46)
Norfolk Southern Corp	370	2/23/2011	1/31/2012	27,148 70	23,330 09	3,818 61
Flowserve Corp	390	5/24/2011	2/1/2012	43,973 29	46,218 71	(2,245 42)
BOSTON SCIENTIFIC CORP	8,490 00	6/6/2011	2/24/2012	50,990 86	57,703 98	(6,713 12)
AMAZON COM INC	80	11/28/2011	5/2/2012	18,402 06	15,328 15	3,073 91
FEDERAL HOME LN DUE 3/15/31	220	10/28/2011	6/5/2012	33,907 50	31,433 60	2,473 90
FEDERAL HOME LN DUE 7/15/32	240	10/28/2011	6/5/2012	35,760 00	33,040 80	2,719 20
FEDERAL NATL MTG ASSN DUE 5/15/29	240	10/28/2011	6/5/2012	34,710 00	32,359 20	2,350 80
FEDERAL NATL MTG ASSN DUE 5/15/30	220	10/28/2011	6/5/2012	35,310 00	32,769 00	2,541 00
FEDERAL NATL MTG ASSN DUE 11/15/30	220	10/28/2011	6/5/2012	33,495 00	30,995 80	2,499 20
AMC NETWORKS INC-A	520	12/13/2011	6/20/2012	20,743 11	18,635 76	2,107 35
TOTAL SHORT-TERM GAINS (LOSSES)				**987,405.61**	**1,023,766.46**	**(36,360.85)**
FEDEX CORP	240	6/28/2010	7/6/2011	23,262 27	18,239 83	5,022 44
FEDERAL HOME LN DUE 3/15/31	240	5/5/2009	8/10/2011	34,020 00	31,185 00	2,835 00
FEDERAL NATL MTG ASSN DUE 11/15/30	350	5/5/2009	8/10/2011	49,043 75	44,603 13	4,440 62
MARTIN MARIETTA MATERIALS INC	190	6/29/2010	8/16/2011	12,887 04	15,908 40	(3,021 36)
Manning & Napier			8/25/2011	65,172 36	68,154 07	(2,981 71)
SAFEWAY INC SR SUB DEBS REG	2,880 00	12/14/2009	8/29/2011	50,062 65	62,961 12	(12,898 47)
BANK NEW YORK INC	1,900 00	10/27/2009	9/1/2011	40,606 59	52,675 41	(12,068 82)
WESTERN UNION COMPANY	4,540 00	2/9/2010	9/2/2011	73,427 64	72,703 56	724 08
WESTERN UNION COMPANY	680	2/24/2010	9/2/2011	10,997 97	10,890 13	107 84
FEDERAL NATL MTG ASSN DUE 5/15/30	250	5/5/2009	9/30/2011	38,768 25	33,970 07	4,798 18
FEDERAL NATL MTG ASSN DUE 11/15/30	50	5/5/2009	9/30/2011	7,340 20	6,371 88	968 32
FEDERAL NATL MTG ASSN DUE 5/15/29	420	5/5/2009	10/3/2011	60,438 88	51,121 88	9,317 00
FEDERAL NATL MTG ASSN DUE 5/15/30	130	5/5/2009	10/3/2011	20,662 85	17,664 43	2,998 42
GOOGLE INC	40	10/7/2008	10/19/2011	23,552 42	14,166 40	9,386 02
Kraft Foods Inc Class A	570	5/7/2010	10/20/2011	19,988 60	17,071 33	2,917 27
QUALCOMM INC	790	4/8/2010	10/20/2011	41,269 12	33,485 18	7,783 94
ELECTRONIC ARTS	2,190 00	1/23/2008	10/21/2011	52,438 10	102,232 70	(49,794 60)
MASTERCARD INC	150	6/7/2010	10/21/2011	49,282 48	30,327 48	18,955 00
Visa Inc	400	8/13/2010	10/21/2011	37,167 12	29,198 88	7,968 24
GENERAL MILLS INC	1,200 00	4/30/2009	10/31/2011	46,789 02	30,239 58	16,549 44
MASTERCARD INC	50	6/7/2010	10/31/2011	17,638 72	10,109 16	7,529 56
Visa Inc	190	8/13/2010	10/31/2011	18,018 38	13,869 47	4,148 91
OWENS ILLINOIS INC	1,460 00	5/26/2010	11/2/2011	29,014 02	43,296 74	(14,282 72)
Manning & Napier			11/21/2011	119,474 25	112,918 23	6,556 02
BOSTON SCIENTIFIC CORP	2,720 00	2/12/2010	12/20/2011	14,073 82	19,954 74	(5,880 92)
U S TREASURY NOTES SER S-2014	350,000 00	11/23/2009	1/9/2012	369,578 13	353,117 19	16,460 94
BOSTON SCIENTIFIC CORP	1,400 00	2/12/2010	1/11/2012	7,678 57	10,270 82	(2,592 25)
BOSTON SCIENTIFIC CORP	2,420 00	4/23/2010	1/11/2012	13,272 96	17,378 75	(4,105 79)
BOSTON SCIENTIFIC CORP	1,870 00	6/15/2010	1/11/2012	10,256 38	11,098 82	(842 44)
WALT DISNEY CO	390	11/12/2008	1/18/2012	15,189 50	8,170 85	7,018 65

The Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

AUTODESK INC	810	4/9/2008	1/30/2012	29,159 43	26,728 79	2,430 64
AUTODESK INC	200	6/27/2008	1/30/2012	7,199 86	6,768 06	431 80
AUTODESK INC	50	1/20/2009	1/30/2012	1,799 97	794 84	1,005 13
AUTODESK INC	120	1/20/2009	1/31/2012	4,321 41	1,907 63	2,413 78
BANK NEW YORK INC	1,120 00	10/27/2009	1/31/2012	22,394 64	31,050 77	(8,656 13)
QUALCOMM INC	240	4/8/2010	1/31/2012	14,146 55	10,172 71	3,973 84
QUALCOMM INC	140	5/20/2010	1/31/2012	8,252 15	5,048 37	3,203 78
QUALCOMM INC	420	6/3/2010	1/31/2012	24,756 46	15,325 76	9,430 70
STATE STREET CORP	1,870 00	1/24/2011	2/1/2012	75,067 08	89,531 86	(14,464 78)
CISCO SYS INC	3,070 00	1/17/2008	2/10/2012	60,990 82	76,597 12	(15,606 30)
BOSTON SCIENTIFIC CORP	540	6/15/2010	2/24/2012	3,243 24	3,205 01	38 23
U S TREASURY NOTES SER U-2014	150,000 00	1/13/2010	3/14/2012	158,390 63	150,679 69	7,710 94
U S TREASURY NOTES SER R-2015	2,960 00	10/26/2010	3/14/2012	300,995 00	296,185 00	4,810 00
UNITED PARCEL SERVICE CL B	210	3/5/2007	3/19/2012	16,772 03	14,683 94	2,088 09
FEDEX CORP	480	6/28/2010	3/21/2012	45,839 36	36,479 67	9,359 69
Liberty Global Inc	1,040 00	11/30/2010	3/29/2012	51,956 50	37,038 04	14,918 46
AMERICAN EXPRESS CO	220	6/23/2009	3/30/2012	12,756 41	4,992 22	7,764 19
SCHWAB CHARLES CORP	5,340 00	8/17/2010	4/2/2012	76,697 76	76,075 24	622 52
SCHWAB CHARLES CORP	1,260 00	12/28/2010	4/2/2012	18,097 23	21,929 29	(3,832 06)
UNITED PARCEL SERVICE CL B	150	3/5/2007	4/12/2012	11,933 99	10,488 53	1,445 46
UNITED PARCEL SERVICE CL B	540	2/17/2009	4/12/2012	42,962 35	23,151 47	19,810 88
Qiagen-Eur	510	3/1/2011	4/27/2012	8,226 11	10,447 71	(2,221 60)
Visa Inc	10	8/13/2010	5/11/2012	1,183 37	729 97	453 40
Visa Inc	570	11/18/2010	5/11/2012	67,452 06	43,811 06	23,641 00
Visa Inc	140	12/28/2010	5/11/2012	16,567 17	9,890 86	6,676 31
MASTERCARD INC	10	6/7/2010	5/15/2012	4,155 81	2,021 83	2,133 98
MASTERCARD INC	190	10/15/2010	5/15/2012	78,960 44	44,182 33	34,778 11
CISCO SYS INC	95	1/17/2008	5/17/2012	1,583 78	2,370 27	(786 49)
CISCO SYS INC	4,200 00	4/23/2008	5/17/2012	70,019 56	106,901 76	(36,882 20)
U S TREASURY NOTES SER T-2015	3,460 00	12/6/2010	6/7/2012	356,596 25	343,712 74	12,883 51
KROGER CO	2,860 00	12/8/2009	6/18/2012	65,027 22	57,750 84	7,276 38
TOTAL LONG TERM GAINS (LOSSES)				**3,028,876.68**	**2,904,008.61**	**124,868.07**
				4,016,282.29	**3,927,775.07**	**88,507.22**

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME	76,232.	76,232.
DIVIDEND INCOME	324,899.	324,899.
TOTAL	401,131.	401,131.

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	17,500.	13,125.		4,375.
TOTALS	17,500.	13,125.		4,375.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CUSTODIAN AND INVESTMENT FEES	79,301.	79,301.
TOTALS	79,301.	79,301.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL TAXES	7,186.	647.	6,539.
FOREIGN WITHHOLDING TAX	3,112.	3,112.	
FEDERAL EXCISE TAX	23,587.		
TOTALS	33,885.	3,759.	6,539.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OFFICE EXPENSES	11,793.	2,948.	8,845.
INSURANCE	3,833.	958.	2,875.
CONSULTING FEES	764.	573.	191.
TOTALS	16,390.	4,479.	11,911.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
U.S. TREASURY AND GOVERNMENT AGENCY OBLIGATIONS (SEE SCHEDULE ATTACHED)	3,224,616.	2,381,657.	2,465,920.
US OBLIGATIONS TOTAL	3,224,616.	2,381,657.	2,465,920.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE STOCK (SEE SCHEDULE ATTACHED)	3,476,493.	3,138,001.	3,574,580.
MUTUAL FUNDS (SEE SCHEDULE ATTACHED)	3,907,941.	4,231,808.	4,419,265.
TOTALS	7,384,434.	7,369,809.	7,993,845.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS (SEE SCHEDULE ATTACHED)	21,838.	337,536.	337,867.
TOTALS	21,838.	337,536.	337,867.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2012

	Description	Number of Shares or Face Amount	Cost	Market Value
Corporate Bonds and Notes				
GNMA PL#002671M--1	6.00% due 11/20/28	$ 34,000	$ -	$ 1,304
GNMA PL#002766M--2	6.00% due 6/20/29	46,000	-	1,861
GNMA PL#002972M--4	7 5% due 9/20/30	55,000	614	374
GNMA PL#591923X	6.00% due 3/15/33	95,000	8,500	5,421
GNMA PL#608432X	5 50% due 5/15/33	66,000	7,149	3,930
FHLMC PL#A95828	4.50% due 12/1/40	110,000	107,311	109,646
FNMA PL#AE0984	4 50% due 2/1/41	246,000	213,962	215,331
Total corporate bonds and notes			337,536	337,867
Mutual Funds:				
Manning & Napier - Core Plus Bond		206,166	$ 2,074,259	$ 2,282,262
Manning & Napier - Emerging Markets		8,551	85,508	90,300
Manning & Napier - Financial Services		31,929	241,274	183,912
Manning & Napier - High Yield Bond		41,596	419,625	445,913
Manning & Napier - Inflation Focus Equity		8,096	83,956	84,765
Manning & Napier - International Class A		38,779	342,393	298,212
Manning & Napier - Life Sciences		19,334	226,575	249,410
Manning & Napier - Real Estate Series		17,823	190,717	262,527
Manning & Napier - Small Cap Fund - Class A		23,934	286,661	220,671
Manning & Napier - Technology		15,622	128,663	172,936
Manning & Napier - World Opportunity - Class A		18,442	152,177	128,357
Total mutual funds			4,231,808	4,419,265
Common Stocks:				
ALCOA INC		6,510	$ 66,923	$ 56,963
ALEXANDRIA REAL EST EQUITIES		250	14,952	18,180
ALLSCRIPTS HEALTHCARE SOLUTIONS INC		3,250	52,399	35,523
AMADEUS IT HOLDINGS SA-A SH		2,270	41,655	48,106
AMAZON COM INC		280	53,037	63,938
AMC NETWORKS INC-A		930	33,329	33,062
AMERICAN EXPRESS CO		930	21,103	54,135
APACHE CORP		290	28,021	25,488
AUTODESK INC		2,730	62,611	95,523
BAKER HUGHES INC		1,555	71,453	63,911
BECTON DICKINSON & CO		740	47,440	55,315
BIOMED REALTY TRUST INC		900	17,325	16,812
CARNIVAL CORP		2,500	94,907	85,675
CERNER CORP		1,020	41,006	84,313
CORPORATE OFFICE PROPERTIES TR SBI (MD)		770	16,352	18,103
DIGITAL REALTY TR INC		290	16,471	21,770
DIRECTV CL A		1,750	80,445	85,435
DISCOVER FINANCIAL		2,370	63,599	81,955
DUPONT FABROS TECHNOLOGY INC		750	16,845	21,420

(Continued)

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2011

	Description	Number of Shares or Face Amount	Cost	Market Value
Common Stocks:				
ELECTRONIC ARTS		5,950	$ 142,930	$ 73,483
EMC CORP-MASS		6,810	103,437	174,540
EOG RESOURCES INC		260	27,493	23,429
FLOWSERVE		590	55,591	67,703
GOOGLE INC		290	135,408	168,220
HESS CORP		4,470	219,511	194,222
JUNIPER NETWORKS INC		7,270	143,416	118,574
KRAFT FOODS INC CLASS A		2,380	71,280	91,916
MASTERCARD INC		190	77,900	81,721
MONSANTO CO		1,810	99,444	149,832
MOODYS CORPORATION		1,680	55,074	61,404
NESTLE SA0CHF		1,620	55,992	96,789
NORFOLK SOUTHERN CORP		770	50,978	55,263
PALL CORP		940	49,034	51,521
QIAGEN-EUR		4,400	71,651	73,480
QUALCOMM INC		740	27,003	41,203
RANGE RESOURCES CORP		680	40,047	42,072
SCHLUMBERGER LTD		1,100	72,679	71,401
SIEMENS AG REG SHS ORD		820	66,117	68,750
SOUTHWEST AIRLINES CO		13,305	94,518	122,672
SYNGENTA LTD BASEL		140	45,273	47,820
TELENOR ASA-NOK		5,720	91,509	95,164
TIME WARNER INC		4,190	126,461	161,315
TOYOTA MOTOR CORP		580	46,079	46,678
UNILEVER PLC SPONS ADR NEW		3,918	87,239	132,154
VIRGIN MEDIA INC		3,650	81,095	89,024
WATERS CORP		520	37,175	41,324
WALT DISNEY CO		2,160	38,303	104,760
WEATHERFORD INTL INC		4,950	85,491	62,519
Total common stocks			3,138,001	3,574,580
United States Government Obligations				
Federal Home Loan Mtg Corp	3.750% due 3/27/19	$ 295,000	$ 313,057	$ 340,734
Federal Home Loan Mtg Corp	2.375% due 1/13/22	166,000	171,561	170,358
U S Treasury Notes	1 375% due 11/30/15	1,594,000	1,583,041	1,640,943
U S Treasury Notes	3.000% due 2/28/17	284,000	313,998	313,885
Total United States Government Obligations			2,381,657	2,465,920
Total Investments			$ 10,089,002	$ 10,797,632

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SECURITY DEPOSITS	4,261.	4,261.	4,261.
TOTALS	4,261.	4,261.	4,261.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CORA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	PRESIDENT 40.00	25,000.	4,909.	0
PETER WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	TREASURER 1.00	0	0	0
JUDY WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	VICE PRESIDENT 1.00	0	0	0
TAMARA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DANIEL WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0	0	0
ALISON BERNSTEIN C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0	0	0
	GRAND TOTALS	25,000.	4,909.	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LAURANNE JONES 93 HAWTHORNE AVENUE BLOOMFIELD, NJ 07003	GRANS ADMINISTRATOR 40.00	65,890.	6,930.	0
	TOTAL COMPENSATION	65,890.	6,930.	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 12

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
MANNING & NAPPIER 1100 CHASE SQUARE ROCHESTER, NY 14604	INVESTMENT ADVISOR	79,301.
	TOTAL COMPENSATION	79,301.

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

LAURANNE JONES
777 UNITED NATIONS PLAZA, #10D
NEW YORK, NY 10017
212-697-8945

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A FULL STATEMENT AS TO THE PURPOSE OF THE REQUEST, INCLUDING A BUDGET AND A COPY OF THE TREASURY DEPARTMENT TAX EXEMPT DETERMINATION LETTER.

ATTACHMENT 15

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

NO GRANTS TO INDIVIDUALS, FOR BUILDING FUNDS, ENDOWMENTS OR SCHOLARSHIPS.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS - SEE STATEMENT ATTACHED	NONE EXEMPT ORGANIZATIONS	PURSUIT OF PEACE AND JUSTICE AND THE SEARCH FOR AN EQUITABLE REALLOCATION OF THE WORLD'S RESOURCES THROUGH THE FULLEST IMPLEMENTATION OF SOCIAL, ECONOMIC, POLITICAL, CIVIL AND CULTURAL RIGHTS FOR ALL THE WORLD'S PEOPLE.	514,250
TRANSNATIONAL INSTITUTE PO BOX 14656 1001 LD 1001 LD AMSTERDAM NETHERLANDS	NONE EXEMPT ORGANIZATION	PURSUIT OF INTERNATIONAL PEACE AND JUSTICE	75,000
		TOTAL CONTRIBUTIONS PAID	589,250.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2012

Direct Grants Paid:

A.J Muste Memorial Institute (for: European Center for Constitutional and Human Rights)	$ 20,000
Americans for Peace Now	35,000
Bikes Not Bombs	5,000
Center for Constitutional Rights	40,000
Center for International Policy	1,000
Center for International Policy (for: Arms and Security Project)	5,000
Clarity Educational Productions (for: Martin Luther King, Jr., in Palestinel documentary)	7,500
Colorado Nonprofit Development Center (for: Women's Regional Network)	1,000
Columbia University (Trustees of)	1,000
Death Penalty Focus	5,000
Delaware Riverkeeper Network	2,500
District One Community Education Center (for: Singers in the Band documentary)	6,500
Downtown Community Television Center	100,000
EarthRights International	2,500
Edmund Burke School	20,000
Encounter Programs	10,000
Fellowship of Reconciliation	2,000
Global Kids	30,000
Global Network Against Weapons and Nuclear Power in Space (for: Global Campaign to Save Jeju Island)	1,000
Global Policy Forum	3,500
Goddard College	1,500
Government Accountability Project	3,500
Grantmakers Without Borders	500
Grassroots International	10,000
Hague Appeal for Peace	2,500
Hampshire College (Trustees of)	5,000
Institute for America's Future	1,000
Institute for Policy Studies	5,000
Institute for Research & Education on Human Rights	5,000
International Civil society Action Network (for: The Global Network of Women Peacebuilders)	10,000
Lawyers Committee on Nuclear Policy	50,000
Long Island Alliance for Peaceful Alternatives	3,500
Michigan State University/African Studies Center	5,000
Miriam College	2,000

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2012

Direct Grants Paid (Continued):

National Center for Law and Economic Justice (for: The Paul M. Dodyk Fellowship for Economic Justice)	$ 500
National Employment Law Project	5,000
National Peace Academy (for. International Institute on Peace Education)	2,750
Nautilus of America	4,000
New Israel Fund (for: Isha L'Isha Haifa Feminist Center)	10,000
Nonviolent Peaceforce	15,000
PeaceJam Foundation (for: International Campaign to Stop Armed Robots)	5,000
Ploughshares Fund (for: Peace and Security Funders Group)	2,500
Public Interest Projects (for: Legacies of War: Raising the Voices of the Forgotten)	5,000
San Francisco Film Society (for: Line in the Sand documentary)	5,000
Soccer for Peace	5,000
Social Ventures (for: Movement Resource Group)	5,000
The Abortion Access Project	5,000
The Hunter College Foundation (for. The Hunts Point Express)	5,000
The Proteus Fund (for: International Human Rights Funders Group)	1,500
Transnational Institute	75,000
Tri-Valley Communities Against a Radioactive Environment	7,500
University of San Francisco (for· Witness to Guantanamo)	5,000
Urgent Action Fund for Women's Human Rights	5,000
Veterans for Peace (for: Vietnam Agent Orange Relief & Responsibility Campaign)	2,500
Washington Office on Latin America	10,000
World Federalist Movement – Institute for Global Policy	5,000
Total Grants Paid	**$ 589,250**

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust: THE SAMUEL RUBIN FOUNDATION, INC.

Employer identification number: 13-6164671

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-36,361.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	-36,361.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	124,868.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	124,868.

Part III Summary of Parts I and II

Caution: *Read the instructions before completing this part*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-36,361.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			124,868.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			88,507.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17	
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19			
20	Add lines 18 and 19	20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21			
22	Subtract line 21 from line 20 If zero or less, enter -0-			22	
23	Subtract line 22 from line 17 If zero or less, enter -0-			23	
24	Enter the **smaller** of the amount on line 17 or $2,300			24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25	
26	Subtract line 25 from line 24			26	
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28	
29	Subtract line 28 from line 27			29	
30	Multiply line 29 by 15% (15)			30	
31	Figure the tax on the amount on line 23 Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			31	
32	Add lines 30 and 31			32	
33	Figure the tax on the amount on line 17 Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)			34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: THE SAMUEL RUBIN FOUNDATION, INC.

Employer identification number: 13-6164671

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a SHORT TERM GAINS - SEE ATTACHED SCHEDULE	VARIOUS	VARIOUS	987,405.	1,023,766.	-36,361.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . -36,361.

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a LONG TERM GAINS - SEE ATTACHED SCHEDULE	VARIOUS	VARIOUS	3,028,877.	2,904,009.	124,868.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	124,868.

JSA

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NEWSDAY
AFFIDAVIT OF PUBLICATION

CORNICK GARBER & SANDLER
825 THIRD AVENUE 4TH FLOO
NEW YORK , NY 10022-7519

STATE OF NEW YORK)
SS.:
COUNTY OF SUFFOLK)

Legal Notice No. 16968324

R. Lopes

of Newsday LLC, Suffolk County, N.Y., being duly sworn, says that such person is, and at the time of publication of the annexed Notice was a duly authorized custodian of records of Newsday LLC, the publisher of NEWSDAY, a newspaper published in the County of Suffolk, County of Nassau, County of Queens, and elsewhere in the State of New York and other places, and that the Notice of which the annexed is a true copy, was published in the following editions/ counties of said newspaper on the following dates:

WEDNESDAY OCTOBER 03 2012 Queens

SWORN to before me this
03 Day of October, 2012.

Guy P. Wasser
Notary Public, State of New York
No. 01WA6045924
Commission Expires 10/20/2014
Qualified in Suffolk County

Legal Notice 16968324

THE ANNUAL RETURN OF THE SAMUEL RUBIN FOUNDATION for the fiscal year ended JUNE 30, 2012 is available at its principal office located at 777 UNITED NATIONS PLAZA, NEW YORK, NY 10017 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is CORA WEISS.