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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

For calendar year 2011 or tax year beginning JUL 1, 2011, and ending JUN 30, 2012

Name of foundation <b>THE SPERRY FUND</b>		A Employer identification number <b>13-6114308</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>99 PARK AVENUE</b>		B Telephone number <b>(212) 370-1144</b>
City or town, state, and ZIP code <b>NEW YORK, NY 10016</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> Foreign organizations meeting the 85% test, 2. check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 16,200,879.</b> (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		10,000.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		69.	69.		STATEMENT 1
4 Dividends and interest from securities		250,660.	250,660.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		584,600.			
b Gross sales price for all assets on line 6a		589,101.			
7 Capital gain net income (from Part IV, line 2)			584,600.		
8 Net short-term capital gain					
9 Income modifications					
Gross sales less returns and allowances					
10a b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<1,955.>	<1,955.>		STATEMENT 3
12 Total. Add lines 1 through 11		843,374.	833,374.		
13 Compensation of officers, directors, trustees, etc		4,000.	0.		4,000.
14 Other employee salaries and wages		30,611.	0.		30,611.
15 Pension plans, employee benefits		8,515.	0.		8,515.
16a Legal fees					
b Accounting fees STMT 4		12,946.	12,946.		0.
c Other professional fees STMT 5		68,977.	0.		68,977.
17 Interest					
18 Taxes STMT 6		<417.>	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		68,483.	55,700.		12,783.
24 Total operating and administrative expenses. Add lines 13 through 23		193,115.	68,646.		124,886.
25 Contributions, gifts, grants paid		780,216.			780,216.
26 Total expenses and disbursements. Add lines 24 and 25		973,331.	68,646.		905,102.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<129,957.>			
b Net investment income (if negative, enter -0-)			764,728.		
c Adjusted net income (if negative, enter -0-)				N/A	

D  
20  
NE

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	11,765.	98,936.	98,936.
	2 Savings and temporary cash investments	568,916.	730,803.	730,803.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	16,438,419.	15,371,134.	15,371,134.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 9)	4.	6.	6.
	16 Total assets (to be completed by all filers)	17,019,104.	16,200,879.	16,200,879.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	17,019,104.	16,200,879.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	17,019,104.	16,200,879.	
	31 Total liabilities and net assets/fund balances	17,019,104.	16,200,879.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,019,104.
2 Enter amount from Part I, line 27a	2	<129,957.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,889,147.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON PARTNERSHIP ASSETS	5	688,268.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,200,879.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 589,101.		4,501.	584,600.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			584,600.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	584,600.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	976,271.	14,726,000.	.066296
2009	924,828.	14,682,377.	.062989
2008	841,444.	18,316,173.	.045940
2007	822,858.	19,613,791.	.041953
2006	821,038.	17,232,938.	.047644

2 Total of line 1, column (d)	2	.264822
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052964
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	16,516,450.
5 Multiply line 4 by line 3	5	874,777.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,647.
7 Add lines 5 and 6	7	882,424.
8 Enter qualifying distributions from Part XII, line 4	8	905,102.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	7,647.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,647.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,647.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	7,547.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,250.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	9,797.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,150.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input checked="" type="checkbox"/> 2,150. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>ROBERT J. BARLETTA, TREASUER</b> Telephone no. <b>(212) 370-1144</b> Located at <b>99 PARK AVENUE, SUITE 2220, NEW YORK, NY</b> ZIP+4 <b>10016</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ANTAEUS ENTERPRISES, INC. - 99 PARK AVENUE, SUITE 2200, NEW YORK, NY 10016	ADMINISTRATIVE	98,926.
THOMAS L. PARKINSON BOX 125, 8240 PEACH LN, FOGELSVILLE, PA 18051	SCHOLARSHIP CONSULTANT	68,977.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	0.
b Average of monthly cash balances	1b	329,551.
c Fair market value of all other assets	1c	16,438,419.
d Total (add lines 1a, b, and c)	1d	16,767,970.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	16,767,970.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	251,520.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,516,450.
6 Minimum investment return. Enter 5% of line 5	6	825,823.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	825,823.
2a Tax on investment income for 2011 from Part VI, line 5	2a	7,647.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	1,571.
c Add lines 2a and 2b	2c	9,218.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	816,605.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	816,605.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	816,605.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	905,102.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	905,102.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,647.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	897,455.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				816,605.
2 Undistributed income, if any, as of the end of 2011			0.	
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	20,338.			
b From 2007				
c From 2008				
d From 2009	222,590.			
e From 2010	244,309.			
f Total of lines 3a through e	487,237.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 905,102.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				816,605.
e Remaining amount distributed out of corpus	88,497.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	575,734.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	20,338.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	555,396.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009	222,590.			
d Excess from 2010	244,309.			
e Excess from 2011	88,497.			



**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
GRANTS (SEE ATTACHED SCHEDULE)				780,216.
<b>Total</b>			<b>3a</b>	780,216.
<b>b Approved for future payment</b>				
SCHOLARSHIPS (SEE ATTACHED SCHEDULE)				2,674,699.
<b>Total</b>			<b>3b</b>	2,674,699.





## THE SPERRY FUND

CONTINUATION FOR 990-PF, PART IV

13-6114308

PAGE

1 OF

1

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a K-1 - PROSPECT CAPITAL PARTNERS		P	VARIOUS	12/31/11
b K-1 - PROSPECT CAPITAL PARTNERS		P	VARIOUS	12/31/11
c K-1 - VENTURE INVESTMENT ASSOCIATES		P	VARIOUS	12/31/11
d K-1 - VENTURE INVESTMENT ASSOCIATIES II		P	VARIOUS	12/31/11
e K-1 - VENTURE INVESTMENT ASSOCIATES III		P	VARIOUS	12/31/11
f K-1 - VENTURE INVESTMENT ASSOCIATES III			VARIOUS	12/31/11
g K-1 - VENTURE INVESTMENT ASSOCIATIES IV		P	VARIOUS	12/31/11
h K-1 - VENTURE INVESTMENT ASSOCIATIES IV		P	VARIOUS	12/31/11
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 19,873.			19,873.
b 368,462.			368,462.
c 232.			232.
d 8,200.			8,200.
e 83,666.			83,666.
f		4,501.	<4,501.>
g 61.			61.
h 108,607.			108,607.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			19,873.
b			368,462.
c			232.
d			8,200.
e			83,666.
f			<4,501.>
g			61.
h			108,607.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	584,600.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
INTEREST	69.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	69.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
PROSPECT CAPITAL PARTNERS	245,519.	0.	245,519.
VENTURE INVESTMENT ASSOCIATES II	625.	0.	625.
VENTURE INVESTMENT ASSOCIATES III	1,688.	0.	1,688.
VENTURE INVESTMENT ASSOCIATES IV	2,823.	0.	2,823.
VENTURE INVESTMENT ASSOCIATES LP	5.	0.	5.
TOTAL TO FM 990-PF, PART I, LN 4	250,660.	0.	250,660.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UNRELATED BUSINESS TAXABLE INCOME	25,475.		
OTHER PARTNERSHIP INCOME	<27,430.>		
TOTAL TO FORM 990-PF, PART I, LINE 11	<1,955.>		

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,946.	12,946.		0.
TO FORM 990-PF, PG 1, LN 16B	12,946.	12,946.		0.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
THOMAS L. PARKINSON	68,977.	0.		68,977.
TO FORM 990-PF, PG 1, LN 16C	68,977.	0.		68,977.

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FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE TAXES	<417.>	0.		0.
TO FORM 990-PF, PG 1, LN 18	<417.>	0.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODY FEES	1,500.	1,500.		0.
PHONE AND COMPUTER EXPENSE	5,600.	0.		5,600.
FILING FEES	750.	0.		750.
OFFICE EXPENSE	671.	0.		671.
SELECTION COMMITTEE FEES	4,500.	0.		4,500.
ADMINISTRATION	3,400.	3,400.		0.
INVESTMENT OPERATIONS	33,300.	33,300.		0.

## THE SPERRY FUND

13-6114308

FINANCIAL REPORTING	17,500.	17,500.	0.
MEALS	171.	0.	171.
TRAVEL	91.	0.	91.
FEDERAL EXCISE TAX	1,000.	0.	1,000.
TO FORM 990-PF, PG 1, LN 23	68,483.	55,700.	12,783.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PROSPECT CAPITAL PARTNERS	FMV	14,426,425.	14,426,425.
VENTURE INVESTMENT ASSOCIATES II	FMV	22,379.	22,379.
VENTURE INVESTMENT ASSOCIATES III	FMV	261,296.	261,296.
VENTURE INVESTMENT ASSOCIATES IV	FMV	554,457.	554,457.
VENTURE INVESTMENT ASSOCIATES L.P.	FMV	106,577.	106,577.
TOTAL TO FORM 990-PF, PART II, LINE 13		15,371,134.	15,371,134.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	4.	6.	6.
TO FORM 990-PF, PART II, LINE 15	4.	6.	6.

**THE SPERRY FUND**  
**EIN 13 - 6114308**  
**INVESTMENT IN PROSPECT CAPITAL PARTNERS - DETAILS**  
**JUNE 30, 2012**

	Quantity	Security	Book/Market Value	
<b>CASH AND EQUIVALENTS</b>				
		BBIF T/E Fund Cl 1	1	
		Merill Lynch	-	
		Northern Municipal Money Mkt Fund	23,128	
		Northern Trust Euro Account	57	
		Schwab US Treasury Money Fund	15	
	259,375 00	Elbrook Offshore Fund Ltd	259,375	
	259,375 00	Kettle Hill Offshore Ltd	259,375	541,951
<b>FORWARD CURRENCY CONTRACTS</b>				
	165 76	P/E Funds Ltd - Class 2	216,336	216,336
<b>TAXABLE BONDS</b>				
	19,510 09	Pimco Total Return Fund Cl D	220,464	220,464
<b>DOMESTIC EQUITIES</b>				
Large Capitalization				
	477,250 00	Edgewood Management LLC-PCP	530,447	
	21,243 32	Jensen Portfolio Fund Cl I	601,186	
	21,295 47	Longleaf Partners Fund	607,347	1,738,980
Small Capitalization				
	51,167 41	Wellington Micro Cap Equity	959,793	959,793
<b>FOREIGN EQUITIES</b>				
Developed Markets				
	11,567 11	First Eagle Overseas Fund Cl I	248,924	
	17,724 93	Highclere Intl	255,746	
	12,882 03	Silchester Intl Investors	890,663	1,395,333
Emerging Markets				
	27,939 86	Capital Emerging Mkts Total Oppor Fund	303,147	
	207,500 00	Indus Select Fund Ltd	207,586	
	311,250 00	Newport Asia Institutional Fund(PCP)	316,199	
	17,606 79	Oppenheimer Developing Markets Fund Cl A	557,431	
	4,150 00	Sandstone Capital India Offshore Fund, Ltd	7,263	
	12 27	Spinnaker Capital PACNET Holdings-PCP	1,227	
	207,500 00	Spinnaker GO Fund Cl I	216,945	1,609,798
<b>ABSOLUTE RETURN</b>				
	128,130 33	AG Long-Term SuperFund	19,295	
	103,750 00	Artorius Offshore Investments, Ltd	109,602	
	255 39	Cerberus Intl SPV, Ltd	337,207	
	622,500 00	Convexity Capital Offshore LP	942,121	
	415,000 00	Davidson Kempner Institutional	566,150	
	726,250 00	Farallon Captl Institutional Ptnrs	827,806	
	389 06	Friedberg Milstein Clo Pfd Shares 144A	3,891	
	18,513 30	Madoff Securities-Prosp Capl Ptnrs	-	
	20 75	Silver Point Capital Offshore Fund, Ltd	280,260	
	1,198 11	Vega - Liquidating Assets	-	3,086,332

HEDGED EQUITY

207,500 00	Merchants Gate Offshore Fund Ltd	222,411	
207,500 00	Palo Alto Healthcare Offshore II, Ltd	293,229	
6.49	Sansar Capital Holdings, Ltd -PCP	9,859	
1,006 12	Swiftcurrent Offshore, Ltd CI A1 Ser 1	11,705	
61 90	Swiftcurrent Offshore, Ltd CI A1 Ser 10	283	
3 62	Swiftcurrent Offshore, Ltd CI A1 Ser 11	17	
6 84	Swiftcurrent Offshore, Ltd CI A1 Ser 7	34	
584 45	Swiftcurrent Offshore, Ltd CI A2 Ser 1	3,251	
52 86	Swiftcurrent Offshore, Ltd CI A2 Ser 22	241	
20 38	Swiftcurrent Offshore, Ltd CI D Ser 1	1,884	
0 80	Swiftcurrent Offshore, Ltd CI E Ser 1	102	
415,000 00	WMIB - Spindrift Class A	369,599	912,614

HARD ASSETS

Real Estate Investments

207,499 79	Cerberus Asia Partners, L.P	37,833	
307,961 33	Cronus Real Estate Fund, L.P	265,264	
830 00	LBA Realty Fund Inc(06/12)	257,815	
1 04	Prana Associates 21 (06/12)	40,598	
1 04	Prana Associates 22 (06/12)	100,905	
311,250 00	Rossrock 2005 Fund LLC(06/12)	6,657	
321,963 64	Rossrock Fund II LP(06/12)	209,498	918,570

Oil & Gas

372,575 40	Encap Energy Capital Fund VII(06/12)	263,371	
41,908 57	VIA Energy II(06/12)	41,275	304,646

VENTURE CAPITAL INVESTMENTS

Partnerships-VC

203,531 98	Amberbrook IV LLC(06/12)	94,765	
183,188 47	Amberbrook V LLC(06/12)	238,211	
145,509 38	DFJ Dragon Fund China L.P.(03/12)	149,394	
162,731 93	Next Wave Fund I LP(12/11)	104,872	
373,690 28	Venture Investment Associates V(06/12)	486,533	
288,106 07	Venture Investment Associates VI(06/12)	354,051	1,427,825

PRIVATE EQUITY INVESTMENTS

Partnerships-PE

122,051 04	Clearview Partners Fund II(06/12)	121,987	
933,203 09	Fortress Credit Oppor Fund(B)LP (06/12)	340,950	
184,428 06	Founders Equity SBIC I, LP(06/12)	135,012	
382,286 80	MissionPoint Capital Ptnrs Fund(06/12)	159,056	
30,221 96	MissionPoint HA Parallel Fund II	80,290	
47,507 75	MissionPoint SE Parallel Fund	38,301	
22,299 82	Voltaix Investment LLC	18,393	893,989

Direct Investments-PE

207,500 00	SRB OPCO II, Inc	199,794	199,794
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TOTAL INVESTMENTS IN PROSPECT CAPITAL PARTNERS

(INCLUDED IN INVESTMENTS REPORTED ON FOR 990-PF, PART II, LINE 13

14,426,425

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FREDERICK W. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	PRESIDENT/DIRECTOR 2.00	0.	0.	0.
WILLIAM S. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0.	0.
R. SCOTT GREATHEAD 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	SECRETARY/DIRECTOR 2.00	2,000.	0.	0.
MELVYN L. SHAFFIR 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	2,000.	0.	0.
ROBERT J. BARLETTA 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	TREASURER 5.00	0.	0.	0.
JOHN B. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	VICE-PRESIDENT/DIRECTOR 2.00	0.	0.	0.
FRANCES B. ELSTON 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0.	0.
SARAH B. RICHARDSON 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		4,000.	0.	0.

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FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 11
	PART XV, LINES 2A THROUGH 2D	

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

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THE SPERRY FUND  
99 PARK AVENUE, SUITE 2220  
NEW YORK, NY 10016

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TELEPHONE NUMBER

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212-370-1144

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FORM AND CONTENT OF APPLICATIONS

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PLEASE SEE WEBSITE - [WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL](http://WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL) FOR FULL APPLICATION REQUIREMENTS.

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ANY SUBMISSION DEADLINES

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APPLICATIONS FOR GRANTS MAY BE SUBMITTED AT ANY TIME.

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RESTRICTIONS AND LIMITATIONS ON AWARDS

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PLEASE SEE WEBSITE [WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL](http://WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL) FOR AWARD RESTRICTIONS AND LIMITATIONS.

THE SPERRY FUND  
EIN: 13-6114308  
FORM 990-PF  
June 30, 2012

Sperry Fund  
EIN: 13-6114308  
Attachment: Form 990-PF, Pg 3, Part IV (a)

**Page 3, Part IV: CAPITAL GAINS & LOSSES**

**(a) From Passthroughs:**

	<u>Gain/(Loss)</u>
Schedule K-1: Venture Investment Associates, LP - EIN: 22-3248650	232
Schedule K-1: Venture Investment Associates II, LP - EIN: 22-3284167	8,200
Schedule K-1: Venture Investment Associates III, LP - EIN: 22-3543341	(4,501)
Schedule K-1: Venture Investment Associates III, LP - EIN: 22-3543341	83,666
Schedule K-1: Venture Investment Associates IV, LP - EIN: 22-3679965	61
Schedule K-1: Venture Investment Associates IV, LP - EIN: 22-3679965	108,607
Schedule K-1: Prospect Capital Partners - EIN: 13-3745680	19,873
Schedule K-1: Prospect Capital Partners - EIN: 13-3745680	<u>368,462</u>
	<b>584,600</b>

# Sperry Fund

EIN: 13-6114308

Attachment: Pg 11 Part XV 3b

## The Sperry Fund - FY 6/30/12

980100

Beinecke Scholarship Program

Account ID	Account Desc	Date	Reference	Jrnl	Trans Description	Amount
		7/20/11	1956	CDJ	SPW - grant#955114	100,000
		7/21/11	1954	CDJ	Columbia University - grant#955113	25,000
		6/19/12	2064	CDJ	Keewaydin Foundation - grant #954817-payment 3 of 5	25,000
980100	Beinecke Schol	7/1/11	1947	CDJ	Amanda J Rysling - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1949	CDJ	Aruse G Vance - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1936	CDJ	Asad L Asad - Beinecke Scholarship	4,000
980100	Beinecke Schol	8/9/11	1974	CDJ	Brown University - Beinecke Scholarship Paula S Dias	6,000
980100	Beinecke Schol	7/1/11	1946	CDJ	Charles P Rousseau - Beinecke Scholarship	4,000
980100	Beinecke Schol	8/9/11	1964	CDJ	Columbia University - Beinecke Scholarship Yesenia Barragan	7,500
980100	Beinecke Schol	8/11/11	2010	CDJ	Cornell University - Beinecke Scholarship Ashley E Smith	7,500
980100	Beinecke Schol	8/11/11	1995	CDJ	Cornell University - Beinecke Scholarship Jason C Oaks	6,000
980100	Beinecke Schol	8/9/11	1975	CDJ	CUNY Graduate School - Beinecke Scholarship Mya B Dosch	7,500
980100	Beinecke Schol	8/11/11	2013	CDJ	Duke University - Beinecke Scholarship David A. Suzenski	15,000
980100	Beinecke Schol	8/9/11	1967	CDJ	Duke University - Beinecke Scholarship Luke J Caldwell	6,000
980100	Beinecke Schol	8/9/11	1976	CDJ	Duke University - Beinecke Scholarship Robert F Dudley	7,500
980100	Beinecke Schol	8/11/11	2004	CDJ	Duke University - Beinecke Scholarship Sarah E Robinson	6,000
980100	Beinecke Schol	8/4/11	1959	CDJ	Duke University - Beinecke Scholarship Zaid Adhami	6,000
980100	Beinecke Schol	8/23/11	Transfer	3 CDJ	Emmanuel College	6,000
980100	Beinecke Schol	7/1/11	1943	CDJ	Gabrielle L Ramaiah - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1939	CDJ	Grace T Harpster - Beinecke Scholarship	4,000
980100	Beinecke Schol	8/11/11	2005	CDJ	Harvard Divinity School - Beinecke Scholarship Seth P Robinson	8,416
980100	Beinecke Schol	7/29/11	1957	CDJ	Harvard Law School - Beinecke Scholar Jodi M Guinn	15,000
980100	Beinecke Schol	5/3/12	2057	CDJ	Harvard University - Beinecke Scholar Benjamin B Lockwood	7,000
980100	Beinecke Schol	8/11/11	1999	CDJ	Harvard University - Beinecke Scholarship Andrew J Pope	4,000
980100	Beinecke Schol	8/8/11	1961	CDJ	Harvard University - Beinecke Scholarship Asad L Asad	4,000
980100	Beinecke Schol	8/10/11	1988	CDJ	Harvard University - Beinecke Scholarship Benjamin B Lockwood	4,000
980100	Beinecke Schol	8/11/11	2015	CDJ	Harvard University - Beinecke Scholarship David W Ungvary	4,000
980100	Beinecke Schol	8/8/11	1960	CDJ	Harvard University - Beinecke Scholarship Enk T Andersen	15,000
980100	Beinecke Schol	8/11/11	2000	CDJ	Harvard University - Beinecke Scholarship Gabrielle L Ramaiah	4,000
980100	Beinecke Schol	8/11/11	1990	CDJ	Harvard University - Beinecke Scholarship Kevin P Madore	4,000
980100	Beinecke Schol	8/2/11	1973	CDJ	Harvard University - Beinecke Scholarship Maria P Devlin	4,000
980100	Beinecke Schol	8/9/11	1965	CDJ	Harvard University - Beinecke Scholarship Rudi Batzell	1,500
980100	Beinecke Schol	8/11/11	1993	CDJ	Harvard University - Calista A McRae	4,000
980100	Beinecke Schol	8/9/11	1970	CDJ	Johns Hopkins University - Beinecke Scholarship Catherine E Cobb	6,000
980100	Beinecke Schol	7/1/11	1941	CDJ	Joshua A McIntire - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1940	CDJ	Kevin P Madore - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1944	CDJ	Leslie B Ribovich - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1937	CDJ	Maria P Devlin - Beinecke Scholarship	4,000
980100	Beinecke Schol	5/3/12	2058	CDJ	Massachusetts Inst of Technolo - Beinecke Scholar Rebecca V Millsop	6,000
980100	Beinecke Schol	8/11/11	2017	CDJ	Massachusetts Institute of - Beinecke Scholarship Rebecca V Millsop	4,000
980100	Beinecke Schol	8/10/11	1985	CDJ	McGill University - Beinecke Scholarship Patrick R. Kennedy	3,000
980100	Beinecke Schol	8/11/11	1991	CDJ	New York University - Beinecke Scholarship Hudson A. McFann	7,500
980100	Beinecke Schol	1/4/12	2035	CDJ	New York University - Beinecke Scholarship-Hudson A. McFann	7,500
980100	Beinecke Schol	7/1/11	1938	CDJ	Nicole Gervasio - Beinecke Scholarship	4,000
980100	Beinecke Schol	7/1/11	1942	CDJ	Paige M Patchin - Beinecke Scholarship	4,000
980100	Beinecke Schol	8/9/11	1971	CDJ	Princeton University - Beinecke Scholarship Adam D Crager	6,000
980100	Beinecke Schol	8/11/11	2006	CDJ	Princeton University - Beinecke Scholarship Benjamin J Sacks	7,500
980100	Beinecke Schol	8/11/11	2021	CDJ	Princeton University - Beinecke Scholarship Heidi L Hausse	6,000
980100	Beinecke Schol	8/11/11	2002	CDJ	Princeton University - Beinecke Scholarship Joseph A Ricci	6,000
980100	Beinecke Schol	8/11/11	2001	CDJ	Princeton University - Beinecke Scholarship Leslie B Ribovich	6,000
980100	Beinecke Schol	8/11/11	2016	CDJ	Queen's University Belfast - Beinecke Scholarship Anise G Vance	6,000
980100	Beinecke Schol	8/9/11	1977	CDJ	Regents, Univ of California - Beinecke Scholarship Christopher G Fiorello	6,000
980100	Beinecke Schol	8/9/11	1980	CDJ	Regents, Univ of California - Beinecke Scholarship Grace T Harpster	6,000
980100	Beinecke Schol	8/11/11	1998	CDJ	Regents, Univ of California - Beinecke Scholarship Hillary Pietricola	5,000
980100	Beinecke Schol	8/17/11	2025	CDJ	Regents, Univ of California - Beinecke Scholarship John C Sylak	6,000
980100	Beinecke Schol	8/9/11	1968	CDJ	Rutgers University - Beinecke Scholarship Julie B Camarda	10,000
980100	Beinecke Schol	7/1/11	1945	CDJ	Seth P Robinson - Beinecke Scholarship	4,000



Sperry Fund  
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The Sperry Fund - FY 6/30/12

980100

Beinecke Scholarship Program

Account ID	Account Descr Date	Reference Jnl	Trans Description	Amount
980100	Beinecke Schol 8/23/11	Transfer2	CDJ St Antony's College	15,000
980100	Beinecke Schol 8/11/11	2007	CDJ Stanford University - Beinecke Scholarship Andrew P Schupanitz [REDACTED]	5,000
980100	Beinecke Schol 8/10/11	1987	CDJ Stanford University - Beinecke Scholarship Matthew P Loa [REDACTED]	8,000
980100	Beinecke Schol 8/11/11	2020	CDJ Stanford University - Beinecke Scholarship Rodolfo Cortes [REDACTED]	6,000
980100	Beinecke Schol 5/3/12	2055	CDJ The University of Chicago - Beinecke Scholar Victoria Baranov [REDACTED]	6,000
980100	Beinecke Schol 8/11/11	2018	CDJ The University of Chicago - Beinecke Scholarship Lauren K. Caldwell [REDACTED]	12,000
980100	Beinecke Schol 8/9/11	1963	CDJ The University of Chicago - Beinecke Scholarship Victoria Baranov [REDACTED]	13,000
980100	Beinecke Schol 8/11/11	2019	CDJ Univ of Maryland, College Park - Beinecke Scholarship Dustin A Chaco [REDACTED]	6,000
980100	Beinecke Schol 5/3/12	2056	CDJ Univ of North Carolina Chapel - Beinecke Scholar Veronica R Koven-Matasy [REDACTED]	4,000
980100	Beinecke Schol 8/11/11	1996	CDJ Univ of Southern California - Beinecke Scholarship Elizabeth A Ogon [REDACTED]	15,000
980100	Beinecke Schol 8/11/11	2024	CDJ University of British Columbia - Beinecke Scholarship Paige M Patchin [REDACTED]	15,000
980100	Beinecke Schol 8/10/11	1983	CDJ University of Chicago - Beinecke Scholarship Dhananjay Jagannathan [REDACTED]	7,500
980100	Beinecke Schol 8/23/11	Transfer1	CDJ University of London	6,000
980100	Beinecke Schol 8/11/11	1989	CDJ University of Michigan - Beinecke Scholarship Holly E Rapp [REDACTED]	6,000
980100	Beinecke Schol 8/11/11	2009	CDJ University of Michigan - Beinecke Scholarship Kyera C Singleton	6,000
980100	Beinecke Schol 8/11/11	2023	CDJ University of North Carolina - Beinecke Scholarship Veronica R. Koven-Matasy [REDACTED]	7,000
980100	Beinecke Schol 8/11/11	1992	CDJ University of Notre Dame - Beinecke Scholarship Joshua A. McIntire [REDACTED]	7,500
980100	Beinecke Schol 8/11/11	2012	CDJ University of Notre Dame - Beinecke Scholarship Michael J Stahl [REDACTED]	15,000
980100	Beinecke Schol 8/11/11	2011	CDJ University of Pennsylvania - Beinecke Scholarship Laura E Sockol [REDACTED]	3,750
980100	Beinecke Schol 8/11/11	1994	CDJ University of Pennsylvania - M Emily Neumeier 000-00-6253	7,500
980100	Beinecke Schol 8/9/11	1972	CDJ University of Toronto - Beinecke Scholarship Nathaniel J Del Prete [REDACTED]	15,000
980100	Beinecke Schol 8/11/11	2022	CDJ University of Virginia - Beinecke Scholarship James F Hrdlicka [REDACTED]	6,000
980100	Beinecke Schol 8/9/11	1978	CDJ University of Wisconsin - Beinecke Scholarship Rebecca R Genaue [REDACTED]	7,000
980100	Beinecke Schol 7/1/11	1948	CDJ Valeria A. Tsygankova - Beinecke Scholarship	4,000
980100	Beinecke Schol 8/11/11	2014	CDJ Yale Law School - Beinecke Scholarship Charles W Tyler [REDACTED]	7,500
980100	Beinecke Schol 8/11/11	2008	CDJ Yale Law School - Beinecke Scholarship Rachel G Shalev [REDACTED]	7,900
980100	Beinecke Schol 1/4/12	2037	CDJ Yale Law School - Beinecke Scholarship-Charles W Tyler [REDACTED]	7,500
980100	Beinecke Schol 1/4/12	2036	CDJ Yale Law School - Beinecke Scholarship-Rachel G Shalev [REDACTED]	7,900
980100	Beinecke Schol 5/3/12	2059	CDJ Yale School of Art - Beinecke Scholar Jessica M Rizzo [REDACTED]	5,960
980100	Beinecke Schol 8/10/11	1984	CDJ Yale University - Beinecke Scholarship Benjamin T Jones [REDACTED]	3,250
980100	Beinecke Schol 8/11/11	1979	CDJ Yale University - Beinecke Scholarship Devin R Goure [REDACTED]	3,500
980100	Beinecke Schol 8/11/11	2003	CDJ Yale University - Beinecke Scholarship Jessica M Rizzo [REDACTED]	9,040
	5/10/12	2061	CDJ Citytrack Inc - grant #954815 payment 3 of 3	10,000
	5/21/12	2062	CDJ Friends of FAFG - grant #955262 payment 1 of 2	10,000
	5/21/12	2063	CDJ Wildlife Conservation Society - grant #955115	20,000
	8/9/11	1982	CDJ Wildlife Conservation Society - Beinecke African Scholarship Inaoyom Imong	15,000
	8/29/11	2027	CDJ Wildlife Conservation Society - Inaoyom Imong-additional payment	3,000
	6/30/12		Ending Balance	<u>780,216</u>

# THE BEINECKE SCHOLARSHIP PROGRAM

## FINANCIAL OBLIGATIONS AS OF JUNE 30, 2012

Sperry Fund

EIN: 13-6114308

Attachment: Pg 11 Part XV 3b

SCHOOL		REMAINING FUNDS AVAILABLE	SELECTION YEAR TOTAL
<b>2011 BEINECKE SCHOLARS (21)</b>			
<b>2016-2017</b>			
New African (Not yet selected)		\$36,000	
Jonathan Askonas	Senior	\$34,000	
Jonanna Chromik	Senior	\$34,000	
David Cotrone	Senior	\$34,000	
Zachary Domach	Senior	\$34,000	
Julia Frankenbach	Senior	\$34,000	
Gabriella Friedman	Senior	\$34,000	
Tara Gildea	Senior	\$34,000	
Ben Goossen	Senior	\$34,000	
Kasay Greer	Senior	\$34,000	
Robert Iafolla	Senior	\$34,000	
Mateo Jarquin	Senior	\$34,000	
Keri Lambert	Senior	\$34,000	
Andrew Lee	Senior	\$34,000	
Muir McCammon	Senior	\$34,000	
Stephanie Nicholson	Senior	\$34,000	
Mahmoud Samori	Senior	\$34,000	
Jenny Tang	Senior	\$34,000	
Flora Thomson-DeVeaux	Senior	\$34,000	
Sean Wehle	Senior	\$34,000	
Daniel Young	Senior	\$34,000	\$716,000

<b>2011 BEINECKE SCHOLARS (21)</b>			
<b>2016-2017</b>			
Patrick Boundja		MASSACHUSETTS	\$36,000
Tyler Bamford	TEMPLE	\$34,000	
Daniel Carranza	LEAVE-1	\$34,000	
Katherine Finley	NOTRE DAME	\$34,000	
Blythe George	LEAVE-1	\$34,000	
Byron Gray	OXFORD (UK)	\$34,000	
Jamie Greenberg	LEAVE-1	\$34,000	
Jasmine Hu	HARVARD	\$34,000	
Kip Hutchins	LEAVE-1	\$34,000	
Michelle Kahn	STANFORD	\$34,000	
Patricia Kim	PENN	\$34,000	
Spencer Lenfield	OXFORD (UK)	\$34,000	
Adam Lerner	PRINCETON	\$34,000	
Sarah Lukowski	OHIO STATE	\$34,000	
Sean McElroy	LEAVE-1	\$34,000	
Kristen Mills	MICHIGAN STATE	\$34,000	
Michael Murto	CHICAGO	\$34,000	
James Purcell	LEAVE-1	\$34,000	
Caress Reeves	USC	\$34,000	
Cristina Vignone	NYU	\$34,000	
Wenfei Zhou	LEAVE-1	\$34,000	\$ 716,000

<b>2010 BEINECKE SCHOLARS (21)</b>		
<b>2015-2016</b>		
Nsengi Barakabuye		UEA (WCS)
		\$36,000
Asad Asad	HARVARD	\$26,000
Marie Devlin	HARVARD	\$26,000

# THE BEINECKE SCHOLARSHIP PROGRAM

## FINANCIAL OBLIGATIONS AS OF JUNE 30, 2012

Sperry Fund

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Attachment: Pg 11 Part XV 3b

	SCHOOL	REMAINING FUNDS AVAILABLE	SELECTION YEAR TOTAL
Christopher Fiorello	BERKELEY	\$24,000	
James Gerien-Chen	COLUMBIA	\$34,000	
Grace Harpster	BERKELEY	\$20,115	
Catherine Hood	BERKELEY	\$34,000	
Erica Kowsz	UMASS	\$34,000	
Kevin Madore	HARVARD	\$26,000	
Paige Patchin	BRITISH COLUMBIA	\$15,000	
J. Mackenzie Pierce	CORNELL	\$34,000	
Andrew Pope	HARVARD	\$26,000	
Leslie Ribovich	PRINCETON	\$24,000	
Jessica Rizzo	YALE	\$15,000	
Seth Robinson	HARVARD DIVINITY	\$21,584	
Charles Rousseau	LEAVE-1	\$24,000	
Amanda Rysling	MASSACHUSETTS	\$30,000	
Kyera Singleton	MICHIGAN	\$24,000	
Hana Suckstorff	LEAVE-2	\$34,000	
Valeria Tsygankova	COLUMBIA	\$24,000	
Anise Vance	RUTGERS-CAMDEN	\$24,000	
<b>2009 BEINECKE SCHOLARS (17)</b>	<b>2014-2015</b>		<b>\$ 555,699</b>
Zaid Adhami	DUKE	\$18,000	
Luke Caldwell	DUKE	\$18,000	
Aimee Carbaugh	LEAVE-2	\$15,000	
Dustin Chacon	MARYLAND	\$18,000	
Michael Esquivel	LEAVE-3	\$34,000	
Devin Goure	YALE	\$7,000	
James Hrdlicka	VIRGINIA	\$18,000	
Veronica Koven-Matsay	NORTH CAROLINA	\$15,000	
Hudson McFann	NYU	\$15,000	
Joshua McIntire	NOTRE DAME	\$22,500	
Rebecca Millsop	MIT	\$10,000	
Janae Peters	LEAVE-3	\$34,000	
Gabrielle Ramaiah	HARVARD	\$26,000	
Benjamin Sacks	PRINCETON	\$22,500	
Claire Roosien (Saperstein)	CHICAGO	\$34,000	
David Ungvary	HARVARD	\$16,000	
Andrew Werner	CHICAGO	\$30,000	
<b>2008 BEINECKE SCHOLARS (15)</b>	<b>2013-2014</b>		<b>\$ 353,000</b>
Hananie Albert	BROWN	\$18,000	
Rudi Batzell	HARVARD	\$13,500	
Catherine Cobb	JOHNS HOPKINS	\$12,000	
Rodolfo Cortes	STANFORD	\$12,000	
Paula Dias	BROWN	\$12,000	
Mya Dosch	CUNY	\$15,000	
Jodi Guinn	HARVARD LAW SCHOOL	\$15,000	
Heidi Hausse	PRINCETON	\$12,000	
Dhananjay Jagannathan	CHICAGO	\$15,000	
Calista McRae	HARVARD	\$22,000	
Jason Oaks	CORNELL	\$12,000	
Nathana O'Brien	NYU	\$22,500	
Sarah Robinson	DUKE	\$12,000	

# THE BEINECKE SCHOLARSHIP PROGRAM

## FINANCIAL OBLIGATIONS AS OF JUNE 30, 2012

Sperry Fund

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Attachment: Pg 11 Part XV 3b

	SCHOOL	REMAINING FUNDS AVAILABLE	SELECTION YEAR TOTAL
Harris Sockel	LEAVE-4	\$34,000	
Dmitry Taubinsky	HARVARD	\$30,000	\$ 257,000
<b>2007 BEINECKE SCHOLARS (10) 2012-2013</b>			
Yesina Barragan	COLUMBIA	\$7,500	
Julie Camarda	RUTGERS	\$10,000	
Robert Dudley	DUKE	\$7,500	
Rebecca Genauer	WISCONSIN	\$4,000	
Benjamin Lockwood	HARVARD	\$15,000	
Holly Rabb (Lutwitz)	MICHIGAN	\$6,000	
Emily Neumeier	PENN	\$7,500	
Joseph Ricci	PRINCETON	\$6,000	
Ashley Smith	CORNELL	\$7,500	
John Sylak	BERKELEY	\$6,000	\$ 77,000
<b>DEBT</b>			
	BEINECKE SCHOLARSHIP PROGRAM	\$2,566,699	
	BEINECKE AFRICAN SCHOLARS PROGRAM	\$108,000	
<b>OUTSTANDING</b>	<b>TOTAL OBLIGATIONS OUTSTANDING</b>	<b>\$2,674,699</b>	<b>\$2,674,699</b>