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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

07/01, 2011, and ending

06/30, 2012

Name of foundation THE BROOKDALE FOUNDATION		A Employer identification number 13 -6076863
Number and street (or P O box number if mail is not delivered to street address) 400 KELBY ST., 16TH FLOOR		B Telephone number (see instructions) () -
City or town, state, and ZIP code FORT LEE, NJ 07024		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A) check here ☐
Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,137,871.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch. B	428,448.			
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	85,351.	85,351.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-43,738.			
b	Gross sales price for all assets on line 6a 1,512,953.				
7	Capital gain net income (from Part IV, line 2)		-43,738.		
8	Net short-term capital gain				
9	Income modifications			103,218.	
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	-727.	-727.		ATCH 1
12	Total. Add lines 1 through 11	469,334.	40,886.	103,218.	
13	Compensation of officers, directors, trustees, etc.	70,795.			70,795.
14	Other employee salaries and wages	10,584.			10,584.
15	Pension plans, employee benefits	14,419.			14,419.
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 2	19,862.	9,931.		9,931.
c	Other professional fees (attach schedule) *	83.			83.
17	Interest				
18	Taxes (attach schedule) (see instructions) **	10,498.	2,379.		6,735.
19	Depreciation (attach schedule) and depletion	504.	504.		
20	Occupancy	14,524.			14,524.
21	Travel, conferences, and meetings	970.			970.
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 5	27,910.	27,667.		243.
24	Total operating and administrative expenses. Add lines 13 through 23	170,149.	40,481.		128,284.
25	Contributions, gifts, grants paid	209,521.			531,666.
26	Total expenses and disbursements. Add lines 24 and 25	379,670.	40,481.	0	659,950.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	89,664.			
b	Net investment income (if negative, enter -0-)		405.		
c	Adjusted net income (if negative, enter -0-)			103,218.	

For Paperwork Reduction Act Notice, see instructions

*ATCH 3 JSA ** ATCH 4

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	7,976.	7,861.	7,861.
	2 Savings and temporary cash investments	570,572.	621,621.	621,621.
	3 Accounts receivable ▶ 94,087.			
	Less allowance for doubtful accounts ▶	2,059.	94,087.	94,087.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,991.	1,966.	1,966.
	10 a Investments - U.S. and state government obligations (attach schedule) **	544,575.	518,723.	530,034.
	b Investments - corporate stock (attach schedule) ATCH 7	2,211,522.	2,152,249.	2,222,806.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶ 5,944.			
Less accumulated depreciation ▶ 5,288.	1,160.	656.	656.	
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 8	606,343.	550,747.	655,323.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ ATCH 9)	77,765.	3,517.	3,517.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,023,963.	3,951,427.	4,137,871.	
Liabilities	17 Accounts payable and accrued expenses	55,538.	105,393.	
	18 Grants payable	428,409.	106,264.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 10)		6,872.	
	23 Total liabilities (add lines 17 through 22)	483,947.	218,529.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	3,540,016.	3,732,898.	
	31 Total liabilities and net assets/fund balances (see instructions)	4,023,963.	3,951,427.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,540,016.
2 Enter amount from Part I, line 27a	2	89,664.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 11	3	103,218.
4 Add lines 1, 2, and 3	4	3,732,898.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,732,898.

**ATCH 6

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-43,738.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	1,070,615.	4,311,309.	0.248327
2009	859,189.	4,084,316.	0.210363
2008	1,436,549.	4,944,301.	0.290546
2007	631,383.	7,890,581.	0.080017
2006	337,852.	8,259,763.	0.040903
2 Total of line 1, column (d)			2 0.870156
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.174031
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 4,110,982.
5 Multiply line 4 by line 3			5 715,438.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4.
7 Add lines 5 and 6			7 715,442.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 659,950.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	8.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	8.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 3,806.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	3,806.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	3,798.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 3,798. Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ATTACHMENT 12		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		
Website address N/A				
14	The books are in care of STEPHEN L. SCHWARTZ Telephone no 212-308-7355			
	Located at 950 3RD AVE 19TH FLOOR NEW YORK, NY ZIP + 4 10022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ☐	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
	If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) ☐	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

5b

Organizations relying on a current notice regarding disaster assistance check here ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 13		70,795.	2,124.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE ----- ----- ----- ----- ----- ----- ----- -----		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B **Summary of Program-Related Investments** (see instructions)

Part III Summary of Program-Related Investments (see instructions)		Amount
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		
1	NOT APPLICABLE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,907,576.
b	Average of monthly cash balances	1b	187,738.
c	Fair market value of all other assets (see instructions)	1c	78,272.
d	Total (add lines 1a, b, and c)	1d	4,173,586.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,173,586.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	62,604.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,110,982.
6	Minimum investment return. Enter 5% of line 5	6	205,549.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	205,549.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	8.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	8.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	205,541.
4	Recoveries of amounts treated as qualifying distributions	4	103,218.
5	Add lines 3 and 4	5	308,759.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	308,759.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	659,950.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	659,950.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	659,950.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				308,759.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008 32,192.				
d From 2009 599,833.				
e From 2010 812,837.				
f Total of lines 3a through e	1,444,862.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 659,950.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				308,759.
e Remaining amount distributed out of corpus	351,191.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,796,053.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	693,854.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,102,199.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009 289,362.				
d Excess from 2010 812,837.				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE SCHEDULE ATTACHED			GENERAL	531,666.
Total			▶ 3a	531,666.
b <i>Approved for future payment</i> SEE SCHEDULE ATTACHED			GENERAL	106,264.
Total			▶ 3b	106,264.

Form **990-PF** (2011)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	85,351.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory	531390	9.	18	-43,747.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue a _____						
b THRU PARTNERSHIP _____	531390	598.	14	-1,325.		
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)		607.		40,279.		
13 Total. Add line 12, columns (b), (d), and (e)					13	40,886.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true and correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

1-25-2013
Date

► Vice Pres.
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Print/Type preparer's name MARCO SVAGNA	Preparer's signature 	Date 1/6/13	Check ☐ if self-employed	PTIN P00217291
Firm's name ▶ BERDON LLP			Firm's EIN ▶ 13-0485070	
Firm's address ▶ 360 MADISON AVE NEW YORK, NY 10017			Phone no 212-832-0400	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

THE BROOKDALE FOUNDATION

Employer identification number

13 -6076863

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE BROOKDALE FOUNDATION

Employer identification number
13 -6076863**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RAMAPO TRUST 400 KELBY ST. 16TH FL FORT LEE, NJ 07024	\$ 428,448.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE BROOKDALE FOUNDATION

Employer identification number

13 -6076863

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entryFor organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions) ► \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
626,100.		SEE ATTACHED SCHEDULE - ST PROPERTY TYPE: SECURITIES 682,991.				P	VARIOUS -56,891.	VARIOUS
886,853.		SEE ATTACHED SCHEDULE - LT PROPERTY TYPE: SECURITIES 873,700.				P	VARIOUS 13,153.	VARIOUS
TOTAL GAIN(LOSS)							<u>-43,738.</u>	

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
UBP ARV PLUS 1 25X LTD RR	5/7/2009	11/22/2011	16,329 61	18,367 75	-	2,038 14
UBP ARV PLUS 1 25X LTD RR	5/7/2009	5/16/2012	23,881 70	27,184 27	-	3,302 57
TD BANK NORTH CAPITAL GAINS DISTRIBUTION			-	948 22	-	948 22
AK STEEL HOLDING CORP	1/19/2010	7/7/2011	2,360 84	1,690 00	-	(670 84)
AK STEEL HOLDING CORP	1/19/2010	8/4/2011	254 60	109 74	-	(144 86)
AK STEEL HOLDING CORP	1/21/2010	8/4/2011	1,520 49	708 31	-	(812 18)
AK STEEL HOLDING CORP	3/29/2010	8/4/2011	1,473 27	628 50	-	(844 77)
AK STEEL HOLDING CORP	5/6/2010	8/4/2011	1,769 72	1,117 33	-	(652 39)
AK STEEL HOLDING CORP	12/10/2010	8/4/2011	1,576 28	1,077 43	(498 85)	-
AK STEEL HOLDING CORP	2/9/2011	8/4/2011	1,769 51	1,137 29	(632 22)	-
AK STEEL HOLDING CORP	2/11/2011	8/4/2011	15 40	9 98	(5 42)	-
AGCO CORP	8/4/2011	11/10/2011	1,398 66	1,502 23	103 57	-
AGCO CORP	8/4/2011	11/11/2011	1,229 13	1,352 18	123 05	-
AGCO CORP	8/4/2011	11/15/2011	1,271 51	1,395 72	124 21	-
AGCO CORP	8/4/2011	1/5/2012	550 99	594 97	43 98	-
AGCO CORP	8/5/2011	1/5/2012	1,495 19	1,693 36	198 17	-
AIRGAS INC	2/11/2011	9/29/2011	2,173 54	2,233 12	59 58	-
AIRGAS INC	2/11/2011	11/3/2011	1,342 48	1,471 95	129 47	-
AIRGAS INC	2/11/2011	11/18/2011	1,086 77	1,208 69	121 92	-
AIRGAS INC	2/11/2011	12/5/2011	1,214 63	1,474 06	259 43	-
AIRGAS INC	2/11/2011	12/9/2011	1,086 77	1,313 69	226 92	-
AIRGAS INC	2/16/2011	5/24/2012	1,003 96	1,420 42	-	416 46
ALERE INC	8/20/2010	11/3/2011	1,352 95	1,221 68	-	(131 27)
ALERE INC	8/23/2010	11/3/2011	200 17	181 95	-	(18 22)
ALERE INC	8/23/2010	1/4/2012	1,086 62	908 15	-	(178 47)
ALERE INC	8/24/2010	1/4/2012	450 82	382 38	-	(68 44)
ALERE INC	8/24/2010	1/9/2012	704 40	591 37	-	(113 03)
ALERE INC	8/24/2010	1/10/2012	169 06	142 20	-	(26 86)
ALERE INC	10/11/2010	1/10/2012	858 26	663 59	-	(194 67)
ALERE INC	10/11/2010	1/17/2012	582 39	425 85	-	(156 54)
ALERE INC	11/1/2010	1/17/2012	1,271 90	1,008 58	-	(263 32)
ALERE INC	11/1/2010	1/19/2012	197 85	159 73	-	(38 12)
ALERE INC	12/13/2010	1/19/2012	1,589 87	1,049 67	-	(540 20)
ALERE INC	2/11/2011	1/19/2012	119 43	68 46	(50 97)	-
ALLEGHENY TECHNOLOGIES	6/20/2011	9/27/2011	1,791 69	1,294 02	(497 67)	-
ALLEGHENY TECHNOLOGIES	6/20/2011	10/6/2011	1,493 07	912 62	(580 45)	-
ALLEGHENY TECHNOLOGIES	6/20/2011	10/10/2011	2,329 19	1,524 03	(805 16)	-
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	5/26/2011	4/30/2012	3,461 48	1,843 14	(1,618 34)	-
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	5/31/2011	4/30/2012	2,551 77	1,368 89	(1,182 89)	-
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	5/31/2011	5/2/2012	1,024 73	549 52	(475 21)	-
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	7/7/2011	5/2/2012	3,471 76	1,831 74	(1,640 02)	-
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	2/6/2012	5/2/2012	1,662 90	883 55	(779 35)	-
AVIS BUDGET GROUP INC	9/29/2010	5/1/2012	573 19	781 27	-	208 08
AVIS BUDGET GROUP INC	11/4/2010	5/1/2012	644 11	828 15	-	184 03
AVIS BUDGET GROUP INC	11/4/2010	5/9/2012	887 18	1,163 24	-	276 06
AVIS BUDGET GROUP INC	1/26/2011	5/9/2012	1,464 57	1,625 35	-	160 78
AVIS BUDGET GROUP INC	1/26/2011	6/13/2012	71 79	64 70	-	(7 09)
AVIS BUDGET GROUP INC	2/11/2011	6/13/2012	106 82	90 58	-	(16 24)
AVIS BUDGET GROUP INC	7/7/2011	6/13/2012	1,500 47	1,099 92	(400 55)	-
BALLY TECHNOLOGIES INC	12/28/2009	10/27/2011	1,063 82	965 56	-	(98 26)
BALLY TECHNOLOGIES INC	3/8/2010	10/27/2011	468 78	445 64	-	(23 14)

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
BALLY TECHNOLOGIES INC	3/8/2010	1/25/2012	820 37	871 30	-	50 93
BALLY TECHNOLOGIES INC	4/6/2010	1/25/2012	534 95	539 37	-	4 42
BALLY TECHNOLOGIES INC	4/6/2010	6/13/2012	905 31	992 15	-	86 84
BALLY TECHNOLOGIES INC	8/4/2010	6/13/2012	257 98	360 78	-	102 80
BANKUNITED INC	1/31/2011	12/28/2011	655 51	519 08	(136 43)	-
BANKUNITED INC	1/31/2011	12/29/2011	1,083 02	839 57	(243 45)	-
BANKUNITED INC	1/31/2011	1/11/2012	1,824 03	1,490 29	(333 74)	-
BANKUNITED INC	1/31/2011	4/10/2012	2,052 04	1,687 96	-	(364 08)
BANKUNITED INC	2/4/2011	4/17/2012	1,704 80	1,455 85	-	(248 95)
BANKUNITED INC	2/11/2011	4/17/2012	58 02	48 53	-	(9 49)
BANKUNITED INC	4/1/2011	4/17/2012	28 79	24 26	-	(4 52)
BANKUNITED INC	4/1/2011	4/20/2012	316 66	264 26	-	(52 40)
BANKUNITED INC	4/13/2011	4/20/2012	374 63	312 31	-	(62 33)
BANKUNITED INC	4/13/2011	4/24/2012	489 91	402 84	-	(87 06)
BANKUNITED INC	4/14/2011	4/24/2012	608 12	497 63	-	(110 49)
BROCADE COMMUNICATIONS	3/25/2010	11/28/2011	1,388 91	1,288 67	-	(100 24)
BROCADE COMMUNICATIONS	3/31/2010	11/28/2011	1,367 16	1,246 59	-	(120 57)
BROCADE COMMUNICATIONS	7/13/2010	11/28/2011	208 37	210 40	-	2 02
BROCADE COMMUNICATIONS	7/13/2010	12/9/2011	1,297 11	1,366 23	-	69 12
BROCADE COMMUNICATIONS	7/13/2010	2/3/2012	1,307 53	1,481 32	-	173 78
BROCADE COMMUNICATIONS	8/19/2010	2/3/2012	561 25	678 69	-	117 45
BROCADE COMMUNICATIONS	8/19/2010	3/8/2012	1,044 40	1,209 76	-	165 35
BROCADE COMMUNICATIONS	8/25/2010	3/8/2012	268 73	316 57	-	47 84
BROCADE COMMUNICATIONS	8/25/2010	5/1/2012	988 53	1,140 84	-	152 31
BROCADE COMMUNICATIONS	10/25/2010	5/1/2012	461 12	431 97	-	(29 15)
BROCADE COMMUNICATIONS	10/25/2010	5/24/2012	987 27	802 83	-	(184 44)
BROCADE COMMUNICATIONS	11/8/2010	5/24/2012	1,282 24	1,009 55	-	(272 69)
BROCADE COMMUNICATIONS	11/8/2010	6/6/2012	366 35	279 74	-	(86 62)
BROCADE COMMUNICATIONS	11/29/2010	6/6/2012	1,122 75	1,049 01	-	(73 74)
BROCADE COMMUNICATIONS	11/29/2010	6/11/2012	59 88	55 40	-	(4 48)
BROCADE COMMUNICATIONS	12/31/2010	6/11/2012	1,508 76	1,315 75	-	(193 01)
BROCADE COMMUNICATIONS	12/31/2010	6/12/2012	132 35	112 64	-	(19 71)
BROCADE COMMUNICATIONS	2/11/2011	6/12/2012	307 29	238 80	-	(68 49)
BROCADE COMMUNICATIONS	8/3/2011	6/12/2012	1,538 03	1,324 68	(213 35)	-
CAREFUSION CORP	12/13/2010	11/2/2011	1,279 47	1,312 12	32 65	-
CAREFUSION CORP	12/13/2010	11/11/2011	1,134 63	1,164 71	30 08	-
CAREFUSION CORP	12/14/2010	11/11/2011	245 05	247 81	2 76	-
CAREFUSION CORP	12/14/2010	11/28/2011	1,274 26	1,234 62	(39 64)	-
CAREFUSION CORP	12/14/2010	12/5/2011	955 70	987 31	31 61	-
CAREFUSION CORP	12/15/2010	12/5/2011	413 19	430 36	17 17	-
CAREFUSION CORP	12/15/2010	12/13/2011	2,017 35	2,028 31	10 96	-
CHIMERA INVESTMENT CORP	12/28/2009	8/4/2011	4,280 74	3,143 29	-	(1,137 45)
CHIMERA INVESTMENT CORP	3/29/2010	8/4/2011	1,307 46	988 15	-	(319 31)
CHIMERA INVESTMENT CORP	4/1/2010	8/4/2011	1,468 73	1,160 93	-	(307 80)
CIENA CORP	11/1/2011	4/20/2012	2,044 95	2,589 99	545 04	-
CIENA CORP	11/1/2011	6/19/2012	1,144 66	1,405 55	260 89	-
CIENA CORP	11/1/2011	6/27/2012	990 32	1,199 90	209 58	-
CIENA CORP	11/4/2011	6/27/2012	498 47	576 57	78 10	-
CINEMARK HOLDINGS INC	4/15/2011	12/27/2011	1,392 75	1,375 13	(17 62)	-
COMERICA INC	12/28/2009	3/6/2012	1,578 26	1,510 52	-	(67 74)
COMERICA INC	12/28/2009	5/11/2012	1,399 58	1,488 06	-	88 48
COMERICA INC	12/28/2009	5/15/2012	1,429 36	1,469 12	-	39 76

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
COMSTOCK RES INC NEW	12/28/2009	10/24/2011	2,681 50	1,160 49	-	(1,521 01)
COMSTOCK RES INC NEW	2/5/2010	10/24/2011	363 51	187 18	-	(176 33)
COMSTOCK RES INC NEW	2/5/2010	12/9/2011	763 37	400 20	-	(363 17)
COMSTOCK RES INC NEW	4/22/2010	12/9/2011	1,531 25	857 58	-	(673 67)
COMSTOCK RES INC NEW	5/5/2010	12/9/2011	124 64	76 23	-	(48 41)
COMSTOCK RES INC NEW	5/5/2010	12/13/2011	1,433 37	790 56	-	(642 80)
COMSTOCK RES INC NEW	5/20/2010	12/13/2011	859 12	515 59	-	(343 54)
COMSTOCK RES INC NEW	5/20/2010	12/30/2011	458 20	247 50	-	(210 70)
COMSTOCK RES INC NEW	5/4/2011	12/30/2011	1,744 00	943 60	(800 40)	-
COMSTOCK RES INC NEW	5/5/2011	12/30/2011	1,771 73	974 54	(797 19)	-
CONSTELLATION BRANDS INC	12/28/2009	11/3/2011	1,199 10	1,477 40	-	278 30
CONSTELLATION BRANDS INC	12/28/2009	11/10/2011	1,071 20	1,311 39	-	240 19
CONSTELLATION BRANDS INC	12/28/2009	11/30/2011	1,071 20	1,284 41	-	213 21
CONSTELLATION BRANDS INC	12/28/2009	12/9/2011	255 81	313 55	-	57 75
CONSTELLATION BRANDS INC	3/19/2010	12/9/2011	855 21	1,038 65	-	183 44
CONSTELLATION BRANDS INC	3/19/2010	1/6/2012	548 62	669 35	-	120 73
CONSTELLATION BRANDS INC	1/12/2011	1/6/2012	1,484 46	1,515 89	31 43	-
CONSTELLATION BRANDS INC	2/11/2011	1/6/2012	139 37	137 81	(1 56)	-
CURTISS-WRIGHT CORP	1/4/2010	7/6/2011	953 67	978 91	-	25 24
CURTISS-WRIGHT CORP	1/5/2010	7/6/2011	836 72	848 39	-	11 67
CURTISS-WRIGHT CORP	1/5/2010	7/29/2011	836 72	831 57	-	(5 15)
CURTISS-WRIGHT CORP	1/6/2010	7/29/2011	926 45	895 53	-	(30 92)
CURTISS-WRIGHT CORP	1/6/2010	7/29/2011	297 79	287 84	-	(9 95)
CURTISS-WRIGHT CORP	2/5/2010	7/29/2011	342 33	383 78	-	41 45
CURTISS-WRIGHT CORP	2/8/2010	7/29/2011	872 56	959 46	-	86 90
CURTISS-WRIGHT CORP	2/22/2010	8/12/2011	1,151 45	1,022 46	-	(128 99)
CURTISS-WRIGHT CORP	4/14/2010	8/12/2011	205 88	170 41	-	(35 47)
CURTISS-WRIGHT CORP	4/14/2010	8/15/2011	549 00	453 92	-	(95 08)
CURTISS-WRIGHT CORP	4/15/2010	8/15/2011	423 58	340 44	-	(83 14)
CURTISS-WRIGHT CORP	4/16/2010	8/15/2011	387 61	312 07	-	(75 54)
CURTISS-WRIGHT CORP	3/15/2011	8/15/2011	445 10	368 81	(76 29)	-
CURTISS-WRIGHT CORP	3/15/2011	8/17/2011	650 53	539 06	(111 47)	-
CURTISS-WRIGHT CORP	3/15/2011	8/17/2011	547 82	449 62	(98 19)	-
CURTISS-WRIGHT CORP	5/11/2011	8/17/2011	703 06	590 13	(112 93)	-
CURTISS-WRIGHT CORP	5/23/2011	8/17/2011	975 26	814 94	(160 32)	-
DAVITA INC	9/8/2011	11/3/2011	2,683 38	2,658 30	(25 08)	-
DAVITA INC	9/15/2011	11/3/2011	367 78	369 21	1 43	-
DAVITA INC	9/15/2011	12/2/2011	1,029 78	1,080 15	50 37	-
DAVITA INC	9/20/2011	12/2/2011	296 94	308 62	11 68	-
DAVITA INC	9/20/2011	12/5/2011	1,039 29	1,068 51	29 22	-
DAVITA INC	9/29/2011	12/5/2011	190 34	228 97	38 62	-
DAVITA INC - WASH SALE ADJ	9/8/2011	11/3/2011	(16 02)	-	16 02	-
DIAMONDROCK HOSPITALITY CO	7/26/2010	12/14/2011	1,381 13	1,303 51	-	(77 62)
DIAMONDROCK HOSPITALITY CO	7/26/2010	3/23/2012	1,438 28	1,485 06	-	46 78
DIAMONDROCK HOSPITALITY CO	8/11/2010	3/23/2012	82 85	88 51	-	5 67
DIAMONDROCK HOSPITALITY CO	8/11/2010	6/7/2012	1,242 68	1,346 65	-	103 98
DIAMONDROCK HOSPITALITY CO	8/30/2010	6/7/2012	44 99	49 88	-	4 89
DOLBY LABORATORIES INC	7/11/2011	2/16/2012	1,641 07	1,549 45	(91 62)	-
DOLBY LABORATORIES INC	7/11/2011	2/24/2012	1,066 69	1,012 23	(54 46)	-
DOLBY LABORATORIES INC	7/12/2011	2/24/2012	576 66	545 05	(31 61)	-
DOLBY LABORATORIES INC	7/12/2011	2/29/2012	1,894 75	1,763 71	(131 04)	-
DOLBY LABORATORIES INC	7/15/2011	2/29/2012	1,640 17	1,495 32	(144 85)	-
ENERGIZER HLDGS INC	12/28/2009	7/1/2011	369 54	436 48	-	66 94

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
ENERGIZER HLDGS INC	6/28/2010	7/1/2011	950 87	1,309 45	-	358 58
ENERGIZER HLDGS INC	6/28/2010	7/7/2011	316 96	453 38	-	136 43
ENERGIZER HLDGS INC	11/2/2010	7/7/2011	2,536 08	2,947 00	410 91	-
ENERGIZER HLDGS INC	11/2/2010	7/12/2011	65 03	75 47	10 45	-
ENERGIZER HLDGS INC	3/29/2011	7/12/2011	1,664 71	1,811 37	146 66	-
ENERGIZER HLDGS INC	4/18/2011	7/12/2011	1,795 51	1,962 32	166 81	-
ENERSYS COM	12/28/2009	7/28/2011	414 54	618 08	-	203 54
ENERSYS COM	12/28/2009	7/29/2011	698 18	1,030 10	-	331 92
ENERSYS COM	12/28/2009	11/10/2011	1,090 90	1,300 51	-	209 61
ENERSYS COM	3/1/2010	11/10/2011	115 72	130 05	-	14 33
ENERSYS COM	3/1/2010	1/12/2012	300 86	365 59	-	64 73
ENERSYS COM	3/2/2010	1/12/2012	929 62	1,096 78	-	167 16
ENERSYS COM	8/16/2010	1/12/2012	269 90	337 47	-	67 57
ENERSYS COM	8/16/2010	1/23/2012	967 16	1,221 88	-	254 72
ENERSYS COM	2/2/2011	1/23/2012	197 36	170 49	(26 87)	-
ENERSYS COM	2/2/2011	1/30/2012	1,546 01	1,368 94	(177 07)	-
ENERSYS COM	2/11/2011	1/30/2012	73 60	58 25	(15 35)	-
ENERSYS COM	5/5/2011	1/30/2012	142 60	116 51	(26 10)	-
ENERSYS COM	5/5/2011	2/1/2012	1,675 56	1,404 59	(270 97)	-
ENERSYS COM	6/8/2011	2/1/2012	160 53	149 42	(11 10)	-
ENERSYS COM	6/8/2011	2/6/2012	1,637 37	1,550 73	(86 64)	-
EXCO RES INC	7/21/2011	2/1/2012	6,903 43	3,060 48	(3,842 95)	-
EXCO RES INC	7/28/2011	2/1/2012	1,667 07	741 49	(925 58)	-
EXCO RES INC	7/29/2011	2/1/2012	1,585 27	712 42	(872 85)	-
EXCO RES INC	12/30/2011	2/1/2012	1,444 56	1,003 20	(441 36)	-
GAMESTOP CORP NEW	12/28/2009	7/7/2011	2,446 18	3,013 82	-	567 64
GAMESTOP CORP NEW	3/11/2010	7/7/2011	1,368 35	1,972 68	-	604 33
GAMESTOP CORP NEW	1/6/2011	7/7/2011	1,509 20	1,972 68	463 48	-
GAMESTOP CORP NEW	2/11/2011	7/7/2011	101 25	136 99	35 74	-
GAYLORD ENTERTAINMENT CO	7/14/2010	5/1/2012	1,365 43	1,714 77	-	349 34
GAYLORD ENTERTAINMENT CO	7/14/2010	6/5/2012	846 57	1,181 17	-	334 60
GENERAL CABLE CORP	8/24/2010	7/14/2011	112 30	218 74	106 44	-
GENERAL CABLE CORP	8/25/2010	7/14/2011	490 54	962 46	471 92	-
GENERAL CABLE CORP	8/27/2010	7/14/2011	365 29	699 97	334 68	-
GENERAL CABLE CORP	8/27/2010	7/28/2011	936 06	1,670 31	734 25	-
GENERAL CABLE CORP	8/27/2010	8/26/2011	45 66	56 51	10 84	-
GENERAL CABLE CORP	11/10/2010	8/26/2011	1,372 58	1,271 38	(101 20)	-
GENERAL CABLE CORP	11/10/2010	8/29/2011	152 51	144 85	(7 66)	-
GENERAL CABLE CORP	2/11/2011	8/29/2011	87 88	57 94	(29 94)	-
GENERAL CABLE CORP	5/17/2011	8/29/2011	1,719 98	1,245 73	(474 25)	-
GENERAL CABLE CORP	6/1/2011	8/29/2011	1,765 13	1,274 70	(490 43)	-
GENERAL CABLE CORP	6/6/2011	8/29/2011	1,746 27	1,390 58	(355 69)	-
GUESS INC	2/8/2012	5/30/2012	1,742 40	1,389 78	(352 62)	-
GUESS INC - WASH SALE ADJ	2/8/2012	5/30/2012	(352 62)	-	352 62	-
HARSCO CORP	3/15/2011	12/16/2011	2,807 73	1,767 75	(1,039 98)	-
HARSCO CORP	3/15/2011	12/20/2011	516 37	328 08	(188 29)	-
HARSCO CORP	4/27/2011	12/20/2011	874 44	512 62	(361 82)	-
HARSCO CORP	4/28/2011	12/20/2011	860 79	492 11	(368 68)	-
HARSCO CORP	4/28/2011	12/23/2011	107 60	61 88	(45 71)	-
HARSCO CORP	6/10/2011	12/23/2011	816 60	556 96	(259 64)	-
HARSCO CORP	6/10/2011	12/27/2011	877 09	599 18	(277 91)	-
HOLOGIC INC	4/30/2009	10/19/2011	310 28	296 52	-	(13 76)
HOLOGIC INC	5/5/2009	10/19/2011	435 25	533 73	-	98 48

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
HOLOGIC INC	5/7/2009	10/19/2011	341 11	415 12	-	74 02
HOLOGIC INC	5/7/2009	11/8/2011	12 18	18 12	-	5 94
HOLOGIC INC	11/9/2009	11/8/2011	200 11	235 62	-	35 51
HOLOGIC INC	11/10/2009	11/8/2011	248 28	289 99	-	41 71
HOLOGIC INC	12/28/2009	11/8/2011	838 36	1,033 10	-	194 75
HOLOGIC INC	12/28/2009	1/3/2012	1,058 98	1,291 56	-	232 58
HOLOGIC INC	12/28/2009	1/30/2012	1,117 81	1,450 18	-	332 37
HOLOGIC INC	12/28/2009	2/1/2012	1,161 93	1,635 33	-	473 40
INFORMATICA CORP	1/18/2012	5/11/2012	1,183 15	1,461 74	278 59	-
INFORMATICA CORP	1/18/2012	5/15/2012	1,183 15	1,428 06	244 91	-
INFORMATICA CORP	1/18/2012	6/19/2012	1,183 15	1,392 96	209 81	-
INTERPUBLIC GROUP COS INC	9/15/2011	6/13/2012	994 53	1,289 57	295 04	-
JANUS CAPITAL GROUP INC	5/6/2010	7/7/2011	527 40	413 42	-	(113 98)
JANUS CAPITAL GROUP INC	5/7/2010	7/7/2011	161 18	127 96	-	(33 22)
JANUS CAPITAL GROUP INC	5/19/2010	7/7/2011	1,402 37	1,171 36	-	(231 01)
JANUS CAPITAL GROUP INC	5/19/2010	7/21/2011	153 20	119 61	-	(33 59)
JANUS CAPITAL GROUP INC	5/28/2010	7/21/2011	1,258 06	1,085 66	-	(172 40)
JANUS CAPITAL GROUP INC	6/3/2010	7/21/2011	667 09	588 83	-	(78 26)
JANUS CAPITAL GROUP INC	6/3/2010	7/22/2011	667 09	581 49	-	(85 60)
JANUS CAPITAL GROUP INC	6/22/2010	7/22/2011	1,360 35	1,208 41	-	(151 94)
JANUS CAPITAL GROUP INC	7/1/2010	7/22/2011	1,049 79	1,053 95	-	4 16
JANUS CAPITAL GROUP INC	7/1/2010	7/26/2011	153 85	150 94	-	(2 91)
JANUS CAPITAL GROUP INC	8/27/2010	7/26/2011	1,299 73	1,225 28	(74 45)	-
JANUS CAPITAL GROUP INC	9/7/2010	7/26/2011	1,470 97	1,305 19	(165 78)	-
JANUS CAPITAL GROUP INC	10/21/2010	7/26/2011	1,337 64	1,092 10	(245 54)	-
JANUS CAPITAL GROUP INC	11/12/2010	7/26/2011	1,676 78	1,305 19	(371 59)	-
KANSAS CITY SOUTHERN INDS	12/28/2009	10/24/2011	810 45	1,451 40	-	640 95
KANSAS CITY SOUTHERN INDS	12/28/2009	11/3/2011	742 91	1,419 39	-	676 48
KANSAS CITY SOUTHERN INDS	12/28/2009	11/10/2011	709 14	1,347 74	-	638 60
KEYCORP -NEW	12/28/2009	7/7/2011	1,198 57	1,788 56	-	589 99
KEYCORP -NEW	12/28/2009	7/12/2011	2,307 11	3,316 34	-	1,009 23
KEYCORP -NEW	2/5/2010	7/12/2011	997 22	1,189 03	-	191 81
KEYCORP -NEW	2/11/2011	7/12/2011	160 79	137 51	(23 28)	-
LAMAR ADVERTISING CO	8/5/2010	9/15/2011	763 97	498 58	-	(265 39)
LAMAR ADVERTISING CO	8/11/2010	9/15/2011	1,858 42	1,188 93	-	(669 49)
LAMAR ADVERTISING CO	8/16/2010	9/15/2011	1,278 30	843 75	-	(434 55)
LAMAR ADVERTISING CO	8/19/2010	9/15/2011	1,344 81	901 28	-	(443 53)
LAMAR ADVERTISING CO	8/20/2010	9/15/2011	1,296 77	882 11	-	(414 66)
LAMAR ADVERTISING CO	8/24/2010	9/15/2011	1,283 41	920 46	-	(362 95)
LAMAR ADVERTISING CO	2/11/2011	9/15/2011	39 79	19 18	(20 61)	-
LAMAR ADVERTISING CO	4/6/2011	9/15/2011	1,769 91	977 99	(791 92)	-
LEAP WIRELESS INTL INC	11/3/2010	8/31/2011	1,679 35	1,370 13	(309 22)	-
LEAP WIRELESS INTL INC	11/3/2010	11/16/2011	1,296 15	1,086 09	-	(210 05)
LEAP WIRELESS INTL INC	11/9/2010	11/16/2011	1,247 11	1,029 43	-	(217 69)
LEAP WIRELESS INTL INC	11/9/2010	4/27/2012	286 04	146 12	-	(139 91)
LEAP WIRELESS INTL INC	11/16/2010	4/27/2012	1,543 36	783 21	-	(760 15)
LEAP WIRELESS INTL INC	12/7/2010	4/27/2012	1,419 16	748 14	-	(671 02)
LEAP WIRELESS INTL INC	2/11/2011	4/27/2012	122 85	52 60	-	(70 25)
LEAR CORP	5/5/2011	3/6/2012	1,814 04	1,571 42	(242 62)	-
LEGG MASON INC	2/4/2011	5/31/2012	2,598 91	1,901 75	-	(697 16)
LINCOLN NATIONAL CORP	10/2/2009	8/8/2011	509 83	470 56	-	(39 27)
LINCOLN NATIONAL CORP	12/28/2009	8/8/2011	981 55	873 91	-	(107 65)
LINCOLN NATIONAL CORP	12/28/2009	8/10/2011	1,585 58	1,337 19	-	(248 39)

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
LINCOLN NATIONAL CORP	12/28/2009	9/27/2011	1,912 77	1,293 06	-	(619 71)
LINCOLN NATIONAL CORP	12/28/2009	10/6/2011	931 22	563 30	-	(367 92)
LINCOLN NATIONAL CORP	4/29/2010	10/6/2011	904 70	441 50	-	(463 20)
LINCOLN NATIONAL CORP	4/29/2010	10/7/2011	592 74	295 09	-	(297 64)
LINCOLN NATIONAL CORP	5/20/2010	10/7/2011	1,352 99	792 09	-	(560 90)
LINCOLN NATIONAL CORP	6/14/2010	10/7/2011	753 97	419 34	-	(334 63)
LINCOLN NATIONAL CORP	6/15/2010	10/7/2011	662 42	372 75	-	(289 67)
LINCOLN NATIONAL CORP	8/20/2010	10/7/2011	403 92	295 09	-	(108 83)
LINCOLN NATIONAL CORP	8/20/2010	10/12/2011	871 63	708 54	-	(163 08)
LINCOLN NATIONAL CORP	8/25/2010	10/12/2011	1,252 00	1,019 61	-	(232 39)
LINCOLN NATIONAL CORP	12/9/2010	10/12/2011	1,429 51	898 64	(530 87)	-
LINCOLN NATIONAL CORP	2/11/2011	10/12/2011	156 20	86 41	(69 79)	-
MANITOWOC INC	12/14/2010	1/12/2012	1,722 80	1,446 51	-	(276 29)
MANITOWOC INC	12/14/2010	1/30/2012	1,472 92	1,466 95	-	(5 97)
MANITOWOC INC	2/11/2011	4/19/2012	75 52	59 77	-	(15 75)
MANITOWOC INC	2/17/2011	4/19/2012	1,741 33	1,240 26	-	(501 07)
MANITOWOC INC	4/29/2011	4/19/2012	437 14	298 86	(138 28)	-
MANITOWOC INC	4/29/2011	4/25/2012	1,355 13	886 66	(468 47)	-
MANITOWOC INC	5/17/2011	4/25/2012	1,732 53	1,401 49	(331 04)	-
MANITOWOC INC	6/6/2011	4/25/2012	1,702 91	1,473 00	(229 91)	-
MANITOWOC INC	6/13/2011	4/25/2012	357 74	328 92	(28 82)	-
MANITOWOC INC	6/13/2011	4/26/2012	1 275 44	1,155 22	(120 21)	-
MANITOWOC INC	12/1/2011	4/26/2012	1,399 08	1 789 19	390 11	-
MASCO CORP	10/15/2010	10/26/2011	1,112 84	970 64	-	(142 20)
MASCO CORP	10/25/2010	10/26/2011	391 06	336 36	-	(54 70)
MASCO CORP	10/25/2010	11/8/2011	1,094 98	948 33	-	(146 65)
MASCO CORP	11/29/2010	11/8/2011	448 50	406 43	(42 07)	-
MASCO CORP	11/29/2010	11/10/2011	864 96	741 84	(123 12)	-
MASCO CORP	12/10/2010	11/10/2011	1,670 14	1,135 65	(534 49)	-
MASCO CORP	2/11/2011	11/10/2011	126 15	82 43	(43 72)	-
MONSTER WORLDWIDE INC	4/30/2010	8/11/2011	1,474 21	710 72	-	(763 50)
MONSTER WORLDWIDE INC	8/9/2010	8/11/2011	1,108 47	710 72	-	(397 75)
MONSTER WORLDWIDE INC	8/9/2010	2/8/2012	224 33	123 84	-	(100 49)
MONSTER WORLDWIDE INC	8/12/2010	2/8/2012	638 35	393 39	-	(244 96)
MONSTER WORLDWIDE INC	8/12/2010	3/1/2012	709 27	466 89	-	(242 38)
MONSTER WORLDWIDE INC	8/19/2010	3/1/2012	1,242 11	871 53	-	(370 58)
MONSTER WORLDWIDE INC	9/29/2010	3/1/2012	1,576 31	957 13	-	(619 18)
MONSTER WORLDWIDE INC	1/28/2011	3/1/2012	1,589 40	723 68	-	(865 72)
MONSTER WORLDWIDE INC	2/11/2011	3/1/2012	33 24	15 56	-	(17 68)
MONSTER WORLDWIDE INC	4/4/2011	3/1/2012	1,811 22	848 19	(963 03)	-
MONSTER WORLDWIDE INC	4/27/2011	3/1/2012	1,742 14	770 37	(971 77)	-
MYRIAD GENETICS INC	10/12/2010	11/2/2011	1,080 13	1,222 22	-	142 09
NII HLDGS INC	3/19/2008	10/26/2011	446 36	427 35	-	(19 01)
NII HLDGS INC - WASH SALE ADJ	5/29/2009	10/26/2011	(19 01)	-	-	19 01
NII HLDGS INC	4/22/2009	10/26/2011	365 11	763 12	-	398 01
NII HLDGS INC	5/29/2009	10/26/2011	60 87	91 57	-	30 71
NII HLDGS INC	5/29/2009	6/20/2012	304 33	168 02	-	(136 31)
NII HLDGS INC	12/28/2009	6/20/2012	3,606 54	1,176 12	-	(2,430 42)
NABORS INDUSTRIES LTD	10/22/2010	10/31/2011	1,722 10	1,694 07	-	(28 03)
NABORS INDUSTRIES LTD	10/22/2010	11/7/2011	1,180 31	1,220 18	-	39 86
NABORS INDUSTRIES LTD	11/3/2010	11/7/2011	166 28	160 02	-	(6 26)
NABORS INDUSTRIES LTD	11/3/2010	11/11/2011	1,309 46	1,306 60	-	(2 86)
NABORS INDUSTRIES LTD	12/2/2010	11/11/2011	93 53	82 96	(10 57)	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
NABORS INDUSTRIES LTD	12/2/2010	4/2/2012	1,449 70	1,090 90	-	(358 80)
NABORS INDUSTRIES LTD	12/10/2010	4/2/2012	637 53	492 67	-	(144 86)
NABORS INDUSTRIES LTD	12/10/2010	4/4/2012	933 52	681 89	-	(251 63)
NABORS INDUSTRIES LTD	12/23/2010	4/4/2012	1,126 87	831 58	-	(295 29)
NAVISTAR INTL CORP	8/20/2010	2/2/2012	1,451 45	1,450 62	-	(0 83)
NAVISTAR INTL CORP	8/23/2010	2/2/2012	589 05	589 32	-	0 27
NAVISTAR INTL CORP	8/23/2010	5/16/2012	679 67	431 71	-	(247 96)
NAVISTAR INTL CORP	8/27/2010	5/16/2012	1,415 69	949 76	-	(465 93)
NAVISTAR INTL CORP	4/14/2011	5/16/2012	1,702 42	748 30	-	(954 12)
NAVISTAR INTL CORP	7/14/2011	5/16/2012	1,642 13	863 42	(778 71)	-
NAVISTAR INTL CORP	8/1/2011	5/16/2012	1,577 40	892 20	(685 20)	-
OWENS ILLINOIS INC NEW	1/29/2009	8/9/2011	270 10	238 29	-	(31 81)
OWENS ILLINOIS INC NEW	2/12/2009	8/9/2011	309 38	329 94	-	20 56
OWENS ILLINOIS INC NEW	2/20/2009	8/9/2011	318 43	384 93	-	66 50
OWENS ILLINOIS INC NEW	4/23/2009	8/9/2011	303 44	311 61	-	8 17
OWENS ILLINOIS INC NEW	12/28/2009	8/9/2011	2,318 69	1,264 76	-	(1,053 93)
OWENS ILLINOIS INC NEW	12/28/2009	8/29/2011	5,040 63	2,731 55	-	(2,309 08)
PMC SIERRA INC	4/23/2010	10/19/2011	9 11	6 40	-	(2 71)
PMC SIERRA INC	11/10/2010	10/19/2011	1,575 96	1,312 12	(263 84)	-
PMC SIERRA INC	1/28/2011	10/19/2011	620 13	499 24	(120 89)	-
PMC SIERRA INC	1/28/2011	1/12/2012	1,061 33	783 34	(277 99)	-
PMC SIERRA INC	2/11/2011	1/12/2012	147 41	108 46	(38 95)	-
PMC SIERRA INC	6/23/2011	1/12/2012	628 31	542 31	(86 00)	-
PMC SIERRA INC	6/23/2011	1/18/2012	1,005 29	888 26	(117 03)	-
PMC SIERRA INC	6/28/2011	1/18/2012	667 41	561 33	(106 08)	-
PMC SIERRA INC	6/28/2011	1/19/2012	1,034 12	899 73	(134 39)	-
PMC SIERRA INC	8/2/2011	1/19/2012	572 76	529 63	(43 13)	-
PMC SIERRA INC	8/2/2011	1/23/2012	1,076 51	997 87	(78 64)	-
PMC SIERRA INC	8/12/2011	1/23/2012	126 20	140 73	14 53	-
PMC SIERRA INC	8/12/2011	1/24/2012	1,193 15	1,352 62	159 47	-
PMC SIERRA INC	10/31/2011	1/24/2012	1,817 45	1,521 69	(295 76)	-
PMC SIERRA INC - WASH SALE ADJ	10/31/2011	1/24/2012	(353 92)	-	353 92	-
PAETEC HOLDING CORP	1/11/2010	8/1/2011	1,111 38	1,473 62	-	362 24
PAETEC HOLDING CORP	1/12/2010	8/1/2011	490 87	639 79	-	148 92
PAETEC HOLDING CORP	1/19/2010	8/1/2011	882 90	1,148 48	-	265 58
PAETEC HOLDING CORP	1/20/2010	8/1/2011	436 09	613 57	-	177 48
PAETEC HOLDING CORP	1/21/2010	8/1/2011	494 04	686 99	-	192 95
PAETEC HOLDING CORP	1/22/2010	8/1/2011	363 05	529 66	-	166 61
PAETEC HOLDING CORP	2/26/2010	8/1/2011	649 67	865 29	-	215 62
PAETEC HOLDING CORP	3/1/2010	8/1/2011	313 77	409 05	-	95 28
PAETEC HOLDING CORP	3/1/2010	8/5/2011	587 31	722 09	-	134 78
PAETEC HOLDING CORP	5/19/2010	8/5/2011	220 40	247 29	-	26 89
PAETEC HOLDING CORP	5/28/2010	8/5/2011	659 29	771 54	-	112 25
PAETEC HOLDING CORP	6/1/2010	8/5/2011	428 13	509 42	-	81 29
PAETEC HOLDING CORP	8/3/2010	8/5/2011	333 65	405 56	-	71 91
PAETEC HOLDING CORP	8/4/2010	8/5/2011	1,073 72	1,266 13	-	192 41
PAETEC HOLDING CORP	8/19/2010	8/5/2011	469 60	598 44	128 84	-
PAETEC HOLDING CORP	8/24/2010	8/5/2011	357 59	455 01	97 42	-
PAETEC HOLDING CORP	8/25/2010	8/5/2011	294 89	380 83	85 94	-
PAETEC HOLDING CORP	2/11/2011	8/5/2011	225 25	262 13	36 88	-
PATRIOT COAL CORP	4/7/2011	8/12/2011	3,318 31	1,852 61	(1,465 70)	-
PATRIOT COAL CORP	4/7/2011	8/15/2011	2,027 86	1,152 43	(875 42)	-
PATRIOT COAL CORP	7/25/2011	8/15/2011	1,296 51	793 23	(503 28)	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
PATRIOT COAL CORP	7/26/2011	8/15/2011	1,073 88	718 40	(355 48)	-
PATTERSON-UTI ENERGY INC	7/7/2011	4/2/2012	2,864 08	1,541 40	(1,322 68)	-
PATTERSON-UTI ENERGY INC	7/7/2011	5/3/2012	618 38	298 40	(319 98)	-
PATTERSON-UTI ENERGY INC	7/15/2011	5/3/2012	1,713 34	816 67	(896 67)	-
PATTERSON-UTI ENERGY INC	8/8/2011	5/3/2012	1,413 12	879 49	(533 63)	-
PATTERSON-UTI ENERGY INC	10/31/2011	5/3/2012	1,365 49	1,020 84	(344 65)	-
PETROHAWK ENERGY CORP	12/28/2009	7/15/2011	2,423 44	3,751 75	-	1,328 31
PETROHAWK ENERGY CORP	5/6/2010	7/15/2011	1,260 35	2,411 84	-	1,151 49
PETROHAWK ENERGY CORP	11/2/2010	7/15/2011	1,472 27	3,330 63	1,858 36	-
PETROHAWK ENERGY CORP	11/29/2010	7/15/2011	1,359 94	2,909 52	1,549 58	-
PETROHAWK ENERGY CORP	12/3/2010	7/15/2011	1,572 28	3,139 22	1,566 94	-
PETROHAWK ENERGY CORP	2/11/2011	7/15/2011	179 91	344 55	164 64	-
PHARMACEUTICAL PRODUCT	5/15/2009	7/18/2011	440 55	700 11	-	259 56
PHARMACEUTICAL PRODUCT	6/10/2009	7/18/2011	412 45	636 46	-	224 01
PHARMACEUTICAL PRODUCT	7/24/2009	7/18/2011	382 40	636 46	-	254 06
PHARMACEUTICAL PRODUCT	12/28/2009	7/18/2011	1,069 69	1,495 68	-	425 99
PHARMACEUTICAL PRODUCT	12/28/2009	8/30/2011	2,753 88	3,748 88	-	995 00
PHARMACEUTICAL PRODUCT	2/26/2010	8/30/2011	1,097 03	1,673 05	-	576 02
PHARMACEUTICAL PRODUCT	2/26/2010	10/3/2011	182 84	289 91	-	107 07
PHARMACEUTICAL PRODUCT	3/10/2010	10/3/2011	1,361 64	2,061 55	-	699 91
PHARMACEUTICAL PRODUCT	3/25/2010	10/3/2011	1,394 23	2,061 55	-	667 33
PHARMACEUTICAL PRODUCT	3/25/2010	10/5/2011	740 68	1,086 12	-	345 43
PHARMACEUTICAL PRODUCT	2/11/2011	10/5/2011	28 72	31 94	3 22	-
PHARMACEUTICAL PRODUCT	6/14/2011	10/5/2011	1,604 32	1,916 67	312 35	-
PHARMACEUTICAL PRODUCT	8/1/2011	10/5/2011	343 74	383 33	39 59	-
PHARMACEUTICAL PRODUCT	8/1/2011	10/6/2011	1,289 03	1,437 72	148 69	-
PINNACLE ENTMT INC	1/4/2010	7/5/2011	1,135 39	1,783 54	-	648 15
PINNACLE ENTMT INC	1/4/2010	8/3/2011	214 05	323 07	-	109 02
PINNACLE ENTMT INC	1/7/2010	8/3/2011	358 55	561 86	-	203 31
PINNACLE ENTMT INC	8/3/2010	8/3/2011	372 24	477 58	-	105 34
PINNACLE ENTMT INC	8/3/2010	3/9/2012	1,346 64	1,369 22	-	22 58
PINNACLE ENTMT INC	2/11/2011	3/9/2012	75 30	55 66	-	(19 64)
PINNACLE ENTMT INC	2/23/2011	3/9/2012	1,743 81	1,447 14	-	(296 67)
POST HLDGS INC	10/5/2011	2/10/2012	743 10	835 03	91 93	-
POST HLDGS INC	10/7/2011	2/10/2012	191 07	212 07	21 00	-
POST HLDGS INC	1/27/2012	2/10/2012	233 55	225 33	(8 22)	-
RF MICRO DEVICES INC	4/28/2011	9/14/2011	1,383 35	1,376 34	(7 01)	-
RF MICRO DEVICES INC	4/28/2011	9/15/2011	1,237 73	1,264 02	26 29	-
RF MICRO DEVICES INC	4/28/2011	9/20/2011	1,264 21	1,332 60	68 39	-
RF MICRO DEVICES INC	4/28/2011	10/14/2011	1,019 31	1,113 21	93 90	-
RF MICRO DEVICES INC	4/28/2011	10/18/2011	509 66	553 57	43 92	-
RF MICRO DEVICES INC	4/29/2011	10/18/2011	959 36	1,035 25	75 89	-
RF MICRO DEVICES INC	4/29/2011	10/21/2011	872 75	938 89	66 14	-
RF MICRO DEVICES INC	5/3/2011	10/21/2011	309 48	351 19	41 71	-
REGAL BELOIT CORP	5/26/2011	4/3/2012	2,031 06	1,942 04	(89 02)	-
REGIONS FINANCIAL CORP	12/28/2009	8/24/2011	2,497 61	1,904 81	-	(592 80)
REGIONS FINANCIAL CORP	12/28/2009	8/25/2011	684 57	536 32	-	(148 25)
REGIONS FINANCIAL CORP	1/6/2010	8/25/2011	1,180 11	871 52	-	(308 59)
REGIONS FINANCIAL CORP	12/22/2010	8/25/2011	848 32	519 56	(328 76)	-
REGIONS FINANCIAL CORP	2/11/2011	8/25/2011	55 58	29 33	(26 25)	-
ROWAN COMPANIES PLC SHS	11/8/2011	2/22/2012	1,530 20	1,657 16	126 96	-
ROWAN COMPANIES PLC SHS - WASH SALE ADJ	11/8/2011	2/22/2012	(324 13)	-	324 13	-
SBA COMMUNICATIONS CORP	12/28/2009	11/2/2011	1,293 63	1,445 90	-	152 27

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
SBA COMMUNICATIONS CORP	12/28/2009	11/16/2011	1,328 60	1,493 75	-	165 15
SBA COMMUNICATIONS CORP	12/28/2009	12/5/2011	1,223 71	1,443 92	-	220 21
SBA COMMUNICATIONS CORP	12/28/2009	1/11/2012	1,118 82	1,409 96	-	291 14
SANDRIDGE ENERGY INC	3/4/2011	8/15/2011	3,051 64	2,278 12	(773 52)	-
SANDRIDGE ENERGY INC	3/4/2011	8/16/2011	2,250 85	1,635 99	(614 86)	-
SANDRIDGE ENERGY INC	3/29/2011	8/16/2011	1,723 82	1,116 88	(606 94)	-
SANDRIDGE ENERGY INC	7/15/2011	8/16/2011	1,690 72	1,211 26	(479 46)	-
SEAGATE TECHNOLOGY PLC	11/30/2010	11/2/2011	1,657 63	2,063 74	406 11	-
SEAGATE TECHNOLOGY PLC	11/30/2010	11/3/2011	1,069 44	1,355 60	286 16	-
SEAGATE TECHNOLOGY PLC	11/30/2010	1/5/2012	1,015 97	1,357 56	-	341 59
SEAGATE TECHNOLOGY PLC	11/30/2010	1/17/2012	802 08	1,174 25	-	372 17
SEAGATE TECHNOLOGY PLC	12/3/2010	1/17/2012	179 91	234 85	-	54 94
SEAGATE TECHNOLOGY PLC	12/3/2010	1/20/2012	1,214 36	1,609 63	-	395 27
SEAGATE TECHNOLOGY PLC	12/3/2010	2/1/2012	149 92	245 84	-	95 92
SEAGATE TECHNOLOGY PLC	12/17/2010	2/1/2012	758 05	1,278 35	-	520 31
SEAGATE TECHNOLOGY PLC	12/17/2010	2/7/2012	860 09	1,575 53	-	715 44
SEAGATE TECHNOLOGY PLC	1/12/2011	2/9/2012	1,627 08	3,036 94	-	1,409 86
SEAGATE TECHNOLOGY PLC	2/11/2011	2/9/2012	100 45	186 48	86 03	-
SEAGATE TECHNOLOGY PLC	6/15/2011	2/9/2012	1,676 18	2,877 10	1,200 92	-
SEALED AIR CORP	7/21/2011	1/11/2012	1,882 45	1,433 61	(448 84)	-
SEALED AIR CORP	7/21/2011	5/2/2012	1,515 14	1,252 86	(262 28)	-
SEALED AIR CORP	8/1/2011	5/2/2012	256 38	227 79	(28 59)	-
SEALED AIR CORP	8/1/2011	5/8/2012	1,346 00	1,101 95	(244 05)	-
SEALED AIR CORP	8/4/2011	5/8/2012	1,559 59	1,346 83	(212 76)	-
SEALED AIR CORP	8/29/2011	5/8/2012	502 45	507 25	4 80	-
SEALED AIR CORP	8/29/2011	5/10/2012	883 61	925 69	42 08	-
SEALED AIR CORP	9/21/2011	5/10/2012	1,338 46	1,325 01	(13 45)	-
SEALED AIR CORP	10/28/2011	5/10/2012	1,409 68	1,397 62	(12 06)	-
SOLUTIA INC	11/14/2011	1/27/2012	2,003 44	3,343 05	1,339 61	-
SOLUTIA INC	11/15/2011	1/27/2012	1,867 97	3,096 43	1,228 46	-
SOLUTIA INC	11/17/2011	1/27/2012	855 24	1,507 11	651 87	-
SOLUTIA INC	11/17/2011	4/10/2012	497 60	883 43	385 84	-
SOLUTIA INC	11/21/2011	4/10/2012	650 85	1,214 72	563 87	-
SOLUTIA INC	11/21/2011	4/11/2012	872 72	1,634 63	761 91	-
SOLUTIA INC	12/1/2011	4/11/2012	1,220 07	2,105 63	885 56	-
SOLUTIA INC	1/11/2012	4/11/2012	1,423 41	2,161 04	737 63	-
SPIRIT AEROSYSTEMS HLDGS	12/28/2010	8/29/2011	660 84	517 00	(143 84)	-
SPIRIT AEROSYSTEMS HLDGS	12/29/2010	8/29/2011	1,122 14	872 44	(249 70)	-
SPIRIT AEROSYSTEMS HLDGS	12/29/2010	12/27/2011	62 34	62 82	0 48	-
SPIRIT AEROSYSTEMS HLDGS	12/30/2010	12/27/2011	1,251 36	1,256 34	4 98	-
SPIRIT AEROSYSTEMS HLDGS	12/30/2010	2/6/2012	229 42	258 05	-	28 63
SPIRIT AEROSYSTEMS HLDGS	1/4/2011	2/6/2012	1,134 17	1,290 24	-	156 07
SPIRIT AEROSYSTEMS HLDGS	1/4/2011	2/8/2012	515 53	585 35	-	69 82
SPIRIT AEROSYSTEMS HLDGS	1/10/2011	2/8/2012	1,596 61	1,802 89	-	206 28
SPIRIT AEROSYSTEMS HLDGS	2/10/2011	2/8/2012	72 81	70 24	(2 57)	-
SYNOVUS FINANCIAL CORP	12/28/2009	8/24/2011	2,165 33	1 421 33	-	(744 00)
SYNOVUS FINANCIAL CORP	4/28/2010	8/24/2011	1,547 62	649 87	-	(897 75)
SYNOVUS FINANCIAL CORP	4/28/2010	8/25/2011	907 33	373 05	-	(534 28)
SYNOVUS FINANCIAL CORP	4/28/2010	8/25/2011	1,988 42	817 54	-	(1,170 88)
SYNOVUS FINANCIAL CORP	4/28/2010	8/25/2011	701 42	288 39	-	(413 03)
SYNOVUS FINANCIAL CORP	4/29/2010	8/25/2011	1,215 77	521 21	-	(694 56)
SYNOVUS FINANCIAL CORP	2/11/2011	8/25/2011	103 23	48 95	(54 28)	-
TITANIUM METALS CORP	2/17/2011	8/31/2011	1,704 26	1,333 46	(370 80)	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
TITANIUM METALS CORP	2/17/2011	9/1/2011	1,827 46	1,404 69	(422 77)	-
TITANIUM METALS CORP	2/17/2011	9/7/2011	554 40	413 15	(141 25)	-
TITANIUM METALS CORP	2/18/2011	9/7/2011	1,230 18	918 10	(312 08)	-
TITANIUM METALS CORP	2/22/2011	9/7/2011	19 26	15 30	(3 95)	-
TITANIUM METALS CORP	2/22/2011	9/20/2011	1,733 01	1,458 07	(274 95)	-
TITANIUM METALS CORP	2/23/2011	9/20/2011	869 11	745 23	(123 88)	-
TITANIUM METALS CORP	2/23/2011	9/27/2011	944 69	808 10	(136 58)	-
TITANIUM METALS CORP	3/15/2011	9/27/2011	718 23	662 65	(55 59)	-
TITANIUM METALS CORP	3/15/2011	11/2/2011	910 93	863 61	(47 32)	-
TITANIUM METALS CORP	4/26/2011	11/2/2011	408 13	348 77	(59 36)	-
TITANIUM METALS CORP	4/26/2011	4/12/2012	1,399 30	1,002 03	(397 27)	-
TITANIUM METALS CORP	6/16/2011	4/12/2012	1,607 95	1,363 87	(244 08)	-
TRIQUINT SEMICONDUCTOR INC	8/1/2011	10/14/2011	1,274 98	1,305 31	30 33	-
VARIAN MEDICAL SYSTEMS	10/9/2009	10/18/2011	1,042 15	1,456 25	-	414 10
VARIAN MEDICAL SYSTEMS	10/9/2009	10/19/2011	440 91	625 14	-	184 23
VARIAN MEDICAL SYSTEMS	12/28/2009	10/19/2011	468 90	568 30	-	99 40
VARIAN MEDICAL SYSTEMS	12/28/2009	12/2/2011	1,078 47	1,463 48	-	385 01
VARIAN MEDICAL SYSTEMS	12/28/2009	1/3/2012	937 80	1,392 76	-	454 96
VISTEON CORP	1/4/2011	11/10/2011	1,784 16	1,416 21	(367 95)	-
VISTEON CORP	1/4/2011	11/16/2011	1,641 42	1,334 51	(306 91)	-
VISTEON CORP	1/4/2011	12/2/2011	1,570 06	1,236 53	(333 53)	-
VISTEON CORP	1/6/2011	12/2/2011	287 46	224 82	(62 63)	-
VISTEON CORP	1/6/2011	3/22/2012	1,437 28	1,072 83	-	(364 45)
VISTEON CORP	1/11/2011	3/22/2012	684 05	482 78	-	(201 27)
WARNACO GROUP INC	8/31/2011	1/10/2012	1,538 44	1,552 64	14 20	-
WARNER CHILCOTT PLC	12/22/2011	4/30/2012	2,145 43	3,037 46	892 03	-
WRIGHT MEDICAL GROUP INC	12/28/2009	7/7/2011	1,208 13	988 59	-	(219 54)
WRIGHT MEDICAL GROUP INC	12/28/2009	7/8/2011	978 01	778 62	-	(199 39)
WRIGHT MEDICAL GROUP INC	12/28/2009	7/29/2011	3,317 57	2,704 92	-	(612 65)
WRIGHT MEDICAL GROUP INC	12/28/2009	8/1/2011	383 53	308 18	-	(75 35)
WRIGHT MEDICAL GROUP INC	3/11/2010	8/1/2011	674 36	647 18	-	(27 19)
WRIGHT MEDICAL GROUP INC	3/11/2010	8/2/2011	802 82	753 72	-	(49 10)
ZIONS BANCORP	12/28/2009	3/21/2012	876 75	1,524 65	-	647 90
ASAHI KAISEI CORP	8/14/2007	10/11/2011	4,161 30	3,662 82	-	(498 48)
AXA S A	8/14/2007	9/13/2011	10,535 05	3,138 78	-	(7,396 27)
AXA S A	10/7/2010	9/13/2011	5,060 29	3,245 38	(1,814 91)	-
BANCO BILBAO VIZCAYA-SP	9/17/2010	9/14/2011	6,247 84	3,679 45	(2,568 39)	-
BANCO SANTANDER S A	8/14/2007	9/12/2011	6,175 76	2,408 80	-	(3,766 96)
BANCO SANTANDER S A	11/21/2008	9/12/2011	2,349 66	2,480 71	-	131 05
BANCO SANTANDER S A	4/7/2010	9/12/2011	4,248 30	2,229 04	-	(2,019 26)
BANCO SANTANDER S A	10/14/2010	9/12/2011	163 24	100 67	(62 57)	-
BANK OF CHINA-CNY	10/25/2010	11/23/2011	4,253 05	2,206 48	-	(2,046 57)
BANK OF CHINA-CNY	5/4/2011	11/23/2011	5,980 89	3,340 15	(2,640 74)	-
BAYER AG	9/15/2011	1/18/2012	2,265 23	2,946 50	681 27	-
BG GROUP PLC SPON ADR	6/18/2010	10/19/2011	4,149 27	5,429 91	-	1,280 64
BG GROUP PLC SPON ADR	8/10/2010	10/19/2011	2,125 55	2,823 56	-	698 01
COMPANHIA PARANAENSE DE ENERGIA COPEL	5/12/2009	8/31/2011	3,207 42	5,310 22	-	2,102 80
FOMENTO ECONOMICO MEXICANO SAB DE CV	3/17/2010	8/24/2011	2,545 94	3,747 44	-	1,201 50
FOMENTO ECONOMICO MEXICANO SAB DE CV	3/18/2010	8/24/2011	1,219 80	1,771 51	-	551 71
FOMENTO ECONOMICO MEXICANO SAB DE CV	3/18/2010	12/21/2011	1 360 55	1,911 49	-	550 94
FOMENTO ECONOMICO MEXICANO SAB DE CV	3/18/2010	1/19/2012	1,407 46	2 123 50	-	716 04

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
FOMENTO ECONOMICO MEXICANO SAB DE CV	3/18/2010	3/6/2012	1,407 46	2,174 02	-	766 56
FUJI HEAVY INDS LTD	3/16/2011	9/15/2011	7,061 54	5,790 70	(1,270 84)	-
GLAXOSMITHKLINE PLC	8/14/2007	1/10/2012	1,994 85	1,717 52	-	(277 33)
GLAXOSMITHKLINE PLC	8/14/2007	2/22/2012	8,235 15	7,124 72	-	(1,110 43)
IMPERIAL TOBACCO GROUP PLC	2/2/2011	1/10/2012	3,571 28	4,340 95	769 67	-
IMPERIAL TOBACCO GROUP PLC	2/2/2011	1/19/2012	1,724 07	1,926 23	202 16	-
IMPERIAL TOBACCO GROUP PLC	2/3/2011	1/19/2012	61 55	68 79	7 25	-
KONICA MINOLTA HLDGS INC	3/7/2011	4/24/2012	7,098 17	6,034 88	-	(1,063 29)
KONICA MINOLTA HLDGS INC	3/8/2011	4/24/2012	2,445 54	2,090 21	-	(355 33)
KONICA MINOLTA HLDGS INC - WASH SALE ADJ	1/21/2011	4/24/2012	(4 05)	-	-	4 05
LAFARGE	8/14/2007	8/1/2011	2,154 15	705 56	-	(1,448 59)
LAFARGE	5/5/2009	8/1/2011	2,367 86	1,988 39	-	(379 47)
LAFARGE	5/13/2009	8/1/2011	380 61	333 54	-	(47 07)
LAFARGE	5/13/2009	8/2/2011	1,815 20	1,528 89	-	(286 31)
LAFARGE	8/10/2010	8/2/2011	276 47	258 92	(17 55)	-
LAFARGE	8/11/2010	8/2/2011	1,183 49	1,109 68	(73 81)	-
NATIONAL AUSTRALIA BK LTD	1/30/2009	1/27/2012	1,773 20	3,737 72	-	1,964 52
NETEASE COM INC ADR	12/3/2009	7/26/2011	6,441 58	8,515 53	-	2,073 95
NETEASE COM INC ADR	4/14/2010	7/26/2011	3,301 33	4,644 84	-	1,343 51
NIPPON TELEG & TELEPHONE CORP	3/16/2011	2/21/2012	6,771 98	6,848 50	76 52	-
NOVARTIS AG	4/30/2009	2/22/2012	3,437 21	5,150 96	-	1,713 75
REXAM PLC	8/14/2007	4/18/2012	923 04	621 26	-	(301 78)
ROCHE HLDGS LTD	6/12/2009	1/18/2012	3,930 92	4,984 91	-	1,053 99
ROLLS ROYCE GROUP PLC	7/7/2009	12/21/2011	1,860 31	3,629 03	-	1,768 72
ROYAL DUTCH SHELL PLC	5/14/2010	1/12/2012	2,357 22	3,253 52	-	896 30
ROYAL DUTCH SHELL PLC	5/19/2010	1/12/2012	622 10	867 61	-	245 50
SANOFI	9/12/2011	12/21/2011	7,668 25	8,547 78	879 53	-
SEADRILL LIMITED	3/30/2011	6/14/2012	6,044 11	5,417 95	-	(626 17)
SEADRILL LIMITED	9/2/2011	6/14/2012	3,224 54	3,303 63	79 08	-
SIEMENS A G	6/3/2011	12/15/2011	4,254 13	2,977 22	(1,276 91)	-
SINGAPORE TELECOMMUNICATIONS LTD	3/9/2011	1/18/2012	2,703 68	2,690 42	(13 26)	-
SMITH & NEPHEW P L C	12/2/2010	2/22/2012	883 13	935 02	-	51 89
SMITH & NEPHEW P L C	12/6/2010	2/22/2012	1,683 73	1,771 61	-	87 88
SMITH & NEPHEW P L C	12/7/2010	2/22/2012	957 36	984 23	-	26 87
SOCIETE GENERALE FRANCE	10/22/2010	9/12/2011	9,115 33	3,161 91	(5,953 42)	-
SVENSKA CELLULOSA AKTIEBOLAGET SCA	8/3/2011	1/26/2012	1,703 20	2,008 50	305 30	-
SVENSKA CELLULOSA AKTIEBOLAGET SCA	8/3/2011	6/15/2012	3,435 02	3,556 99	121 96	-
SVENSKA CELLULOSA AKTIEBOLAGET SCA	8/4/2011	6/15/2012	425 62	459 44	33 83	-
SVENSKA CELLULOSA AKTIEBOLAGET SCA	8/4/2011	6/15/2012	3,501 05	3,774 42	273 37	-
TELENOR ASA	8/14/2007	4/18/2012	2,309 10	2,272 89	-	(36 21)
TELENOR ASA	8/14/2007	6/25/2012	1,503 60	1,297 06	-	(206 54)
TELENOR ASA	6/20/2011	6/25/2012	868 49	880 15	-	11 66
TNT EXPRESS N V ADR	6/1/2011	7/5/2011	2,539 20	1,867 25	(671 95)	-
TNT EXPRESS N V ADR	6/1/2011	7/6/2011	2,001 00	1,465 25	(535 75)	-
USINAS SIDERURGICAS DE MINAS GERAIS	8/18/2009	10/25/2011	1,802 65	938 84	-	(863 81)
VOLKSWAGEN A G ADR	1/21/2011	11/18/2011	2,294 96	2,228 95	(66 01)	-
CAP GAINS DIST	1/21/2011	11/18/2011	-	784 05	784 05	-
UNITED STATES TREAS BILLS, 0 0%	5/17/2011	7/11/2011	65,997 97	65,998 98	1 01	-
UNITED STATES TREAS BILLS, 0 0%	5/31/2011	9/1/2011	27,999 98	28,000 00	0 02	-
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	11/10/2010	1/26/2012	6,159 46	7,105 25	-	945 79
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	12/8/2010	1/26/2012	5,747 87	7,105 25	-	1,357 38

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	7/12/2011	1/26/2012	1,255 50	1,421 05	165 55	-
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	7/12/2011	1/27/2012	5,021 99	5,700 84	678 85	-
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	7/12/2011	1/31/2012	3,766 50	4,328 73	562 23	-
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	8/2/2011	1/31/2012	4,004 18	4,328 73	324 55	-
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	9/29/2010	2/14/2012	9,421 12	10,630 76	-	1,209 64
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	10/27/2010	2/14/2012	9,612 13	10,630 76	-	1,018 63
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	8/2/2011	2/28/2012	8,008 36	8,614 48	606 12	-
U S TREASURY NOTE SER G-2015, 875%	2/26/2010	2/29/2012	9,000 02	9,000 00	-	(0 02)
U S TREASURY NOTE SER G-2015, 875%	3/26/2010	2/29/2012	5,999 98	6,000 00	-	0 02
U S TREASURY NOTE SER G-2015, 875%	5/26/2010	2/29/2012	13,000 01	13,000 00	-	(0 01)
U S TREASURY NOTE SER G-2015, 875%	6/24/2010	2/29/2012	25,000 31	25,000 00	-	(0 31)
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	8/2/2011	2/29/2012	8,008 36	8,549 47	541 11	-
U S TREASURY NOTES SER W-2013, 1 375%	3/24/2010	3/1/2012	1,994 41	2,024 14	-	29 73
U S TREASURY NOTES SER W-2013, 1 375%	4/29/2010	3/1/2012	3,994 38	4,048 28	-	53 90
U S TREASURY NOTES SER W-2013, 1 375%	6/24/2010	3/1/2012	5,019 18	5,060 35	-	41 17
UNITED STATES TREAS NTS, 1 5%	8/8/2011	3/1/2012	21,386 84	21,654 61	267 77	-
US TSY INFLATION INDEX BDS CPI U NON-SEASONALLY	8/2/2011	3/1/2012	4,004 18	4,246 72	242 54	-
FNMA PL#735580, 5%	5/21/2009	4/5/2012	612 73	622 04	-	9 31
FNMA PL#735580, 5%	12/30/2009	4/5/2012	1,840 29	1,866 13	-	25 84
FNMA PL#745418, 5 5%	9/9/2011	4/5/2012	2,840 73	2,746 94	(93 79)	-
FNMA PL#AE0609, 5 5%	3/7/2012	4/5/2012	3,601 67	3,575 26	(26 41)	-
FNMA PL#190391, 6%	6/8/2009	4/5/2012	2,120 54	2,155 44	-	34 90
FNMA PL#995876, 6%	8/10/2009	4/5/2012	391 50	410 95	-	19 45
FNMA PL#AE0984, 4 5%	3/2/2011	4/5/2012	19,281 32	19,780 26	-	498 94
FNMA PL#AD0227, 5 5%	7/11/2011	4/16/2012	2,431 46	2,447 85	16 39	-
FNMA PL#888283, 5%	3/7/2012	4/16/2012	4,455 41	4,483 11	27 70	-
FNMA PL#190360, 5%	1/18/2012	4/16/2012	2,721 55	2,740 85	19 30	-
FNMA PL#745336, 5%	12/20/2011	4/16/2012	4,066 91	4,102 26	35 35	-
FNMA PL#745343, 5 5%	10/7/2011	4/16/2012	1,725 66	1,742 04	16 38	-
FNMA PL#903812, 5 5%	5/10/2011	4/16/2012	1,528 76	1,550 18	21 42	-
FNMA PL#AE0609, 5 5%	3/7/2012	4/16/2012	2,161 00	2,144 55	(16 45)	-
FNMA PL#995018, 5 5%	5/21/2009	4/16/2012	947 34	1,000 71	-	53 37
FNMA PL#889982, 5 5%	6/8/2009	4/16/2012	621 41	666 52	-	45 11
FNMA PL#889982, 5 5%	8/10/2009	4/16/2012	940 62	999 78	-	59 16
FNMA PL#889982, 5 5%	7/29/2010	4/16/2012	982 55	999 78	-	17 23
FHLMC PL#A97046, 4 5%	2/9/2011	4/16/2012	26,176 20	27,792 99	-	1,616 79
FHLMC PL#A97047, 4 5%	2/11/2011	4/16/2012	1,632 89	1,731 93	-	99 04
RETURN OF PRINCIPAL LOSSES	2/11/2011		3,608 72	-	-	(3,608 72)
ACCO BRANDS CORP	8/9/2007	5/4/2012	5 27	5 47	-	0 20
ACCO BRANDS CORP	8/9/2007	5/29/2012	515 78	458 38	-	(57 40)
ALCOA INC	10/2/2009	3/2/2012	504 41	398 63	-	(105 78)
ALCOA INC	10/9/2009	3/2/2012	354 72	255 53	-	(99 18)
ALCOA INC	10/9/2009	3/5/2012	354 72	247 49	-	(107 22)
ALCOA INC	10/13/2009	3/5/2012	156 09	108 90	-	(47 19)
ALCOA INC	10/15/2009	3/5/2012	185 12	128 70	-	(56 42)
ALCOA INC	10/15/2009	3/14/2012	85 44	61 50	-	(23 94)
ALCOA INC	10/19/2009	3/14/2012	196 60	143 50	-	(53 10)
ALCOA INC	10/21/2009	3/14/2012	238 67	174 25	-	(64 42)
ALCOA INC	10/26/2009	3/14/2012	195 09	143 50	-	(51 59)
ALCOA INC	10/27/2009	3/14/2012	498 51	389 49	-	(109 02)
ALCOA INC	10/29/2009	3/14/2012	142 77	112 75	-	(30 02)

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
ALCOA INC	10/29/2009	5/22/2012	272 55	178 95	-	(93 61)
ALCOA INC	11/23/2009	5/22/2012	345 92	221 55	-	(124 37)
ALCOA INC	12/4/2009	5/22/2012	156 59	102 25	-	(54 34)
ALCOA INC	12/8/2009	5/22/2012	308 69	204 51	-	(104 18)
ALCOA INC	12/8/2009	5/29/2012	51 45	35 12	-	(16 33)
ALCOA INC	12/31/2009	5/29/2012	278 29	149 26	-	(129 03)
ALCOA INC	1/25/2010	5/29/2012	241 02	158 04	-	(82 98)
ALCOA INC	1/26/2010	5/29/2012	201 73	131 70	-	(70 03)
ALCOA INC	1/28/2010	5/29/2012	51 83	35 12	-	(16 71)
ALCOA INC	1/28/2010	6/1/2012	298 03	193 20	-	(104 83)
ALCOA INC	2/5/2010	6/1/2012	194 55	126 00	-	(68 55)
ALCOA INC	3/1/2010	6/1/2012	199 50	126 00	-	(73 50)
ALCOA INC	4/13/2010	6/1/2012	441 44	260 40	-	(181 04)
ALCOA INC	4/19/2010	6/1/2012	176 41	109 20	-	(67 21)
ALCOA INC	5/6/2010	6/1/2012	506 35	344 40	-	(161 95)
ALCOA INC	6/4/2010	6/1/2012	184 50	142 80	-	(41 70)
ALCOA INC	6/4/2010	6/8/2012	293 03	228 44	-	(64 59)
ALCOA INC	12/1/2010	6/8/2012	391 98	245 36	-	(146 62)
ALCOA INC	12/15/2010	6/8/2012	547 89	329 97	-	(217 92)
ALCOA INC	4/4/2011	6/8/2012	458 29	219 98	-	(238 31)
ALCOA INC	6/3/2011	6/8/2012	510 29	270 74	-	(239 55)
ALCOA INC	8/3/2011	6/8/2012	579 19	346 89	(232 30)	-
ALLSTATE CORP	11/10/2010	7/19/2011	1,311 17	1,213 94	(97 23)	-
ALLSTATE CORP	12/6/2010	7/19/2011	666 78	621 09	(45 69)	-
ALLSTATE CORP	12/20/2010	7/19/2011	249 97	225 85	(24 12)	-
ALLSTATE CORP	2/10/2011	7/19/2011	619 97	564 62	(55 35)	-
ALLSTATE CORP	2/16/2011	7/19/2011	309 60	282 31	(27 29)	-
ALLSTATE CORP	3/8/2011	7/19/2011	478 75	423 47	(55 28)	-
ALLSTATE CORP	3/29/2011	7/19/2011	506 37	451 70	(54 67)	-
ALLSTATE CORP	4/28/2011	7/19/2011	508 30	423 47	(84 83)	-
ALLSTATE CORP	5/11/2011	7/19/2011	561 65	479 93	(81 72)	-
ALLSTATE CORP	5/12/2011	7/19/2011	230 15	197 62	(32 53)	-
ALLSTATE CORP	5/23/2011	7/19/2011	535 95	479 93	(56 02)	-
AMERICAN ELECTRIC POWER	8/9/2007	4/2/2012	528 00	428 36	-	(99 64)
AMERIPRISE FINL INC	7/24/2009	5/11/2012	196 58	405 03	-	208 45
ANADARKO PETROLEUM CORP	6/3/2010	7/26/2011	221 24	414 89	-	193 65
ANADARKO PETROLEUM CORP	6/3/2010	2/10/2012	88 49	173 56	-	85 07
ANADARKO PETROLEUM CORP	6/4/2010	2/10/2012	191 82	347 12	-	155 30
ANADARKO PETROLEUM CORP	6/8/2010	2/22/2012	214 18	436 99	-	222 81
ANADARKO PETROLEUM CORP	7/16/2010	2/22/2012	47 51	87 40	-	39 89
ANADARKO PETROLEUM CORP	7/16/2010	2/27/2012	475 06	868 32	-	393 26
ANADARKO PETROLEUM CORP	7/16/2010	3/20/2012	522 57	906 19	-	383 62
ANADARKO PETROLEUM CORP	7/16/2010	3/21/2012	332 54	566 42	-	233 88
ANADARKO PETROLEUM CORP	7/16/2010	3/22/2012	47 51	78 45	-	30 94
ANADARKO PETROLEUM CORP	7/27/2010	3/22/2012	347 26	549 14	-	201 88
ANADARKO PETROLEUM CORP	10/12/2010	3/22/2012	875 46	1,176 72	-	301 26
AT&T INC	8/9/2007	8/15/2011	2,067 52	1,488 39	-	(579 13)
BAKER HUGHES INC	6/23/2009	7/22/2011	220 50	475 89	-	255 39
BAKER HUGHES INC	6/23/2009	7/26/2011	110 25	241 19	-	130 94
BAKER HUGHES INC	7/1/2009	7/26/2011	74 40	160 80	-	86 40
CA INC	4/15/2009	1/23/2012	187 95	246 95	-	59 00
CA INC	4/21/2009	1/23/2012	34 36	44 90	-	10 54
CBS CORP NEW CLASS B	5/6/2010	7/8/2011	208 38	400 14	-	191 76

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
CHEVRON CORP	8/9/2007	1/31/2012	839 98	1,029 88	-	189 90
CLIFFS NAT RES INC	10/21/2011	2/8/2012	358 89	459 89	101 00	-
COMCAST CORP	8/9/2007	5/15/2012	750 88	874 82	-	123 94
DELL INC	12/31/2007	9/29/2011	911 13	556 89	-	(354 24)
DELL INC	12/31/2007	5/23/2012	1,551 38	779 37	-	(772 01)
DELL INC - WASH SALE ADJ	2/27/2012	5/23/2012	(414 03)	-	-	414 03
DEVON ENERGY CORP	6/4/2009	8/5/2011	715 03	784 37	-	69 34
DEVON ENERGY CORP	6/8/2009	8/5/2011	507 40	570 45	-	63 05
DEVON ENERGY CORP	6/9/2009	8/5/2011	127 32	142 61	-	15 29
DEVON ENERGY CORP	6/10/2009	8/5/2011	63 63	71 31	-	7 67
DEVON ENERGY CORP	6/10/2009	1/9/2012	381 81	394 28	-	12 47
ENERGIZER HLDGS INC	4/6/2011	3/30/2012	873 06	895 31	22 25	-
GAP INC DELAWARE	8/9/2007	3/2/2012	237 00	367 65	-	130 65
GAP INC DELAWARE	9/26/2007	5/11/2012	238 48	366 08	-	127 60
HOLOGIC INC	4/22/2008	1/31/2012	349 36	264 02	-	(85 34)
HOLOGIC INC	4/24/2008	1/31/2012	348 88	243 71	-	(105 17)
HOLOGIC INC	4/29/2008	1/31/2012	150 29	101 55	-	(48 74)
HOLOGIC INC - WASH SALE ADJ	1/19/2012	1/31/2012	(239 25)	-	-	239 25
HOME DEPOT INC	3/13/2008	2/22/2012	77 96	141 27	-	63 31
HOME DEPOT INC	3/17/2008	2/22/2012	382 63	706 34	-	323 71
HONEYWELL INTL INC	8/9/2007	12/30/2011	519 75	491 15	-	(28 60)
HONEYWELL INTL INC	8/9/2007	1/18/2012	577 50	579 52	-	2 02
HONEYWELL INTL INC	8/9/2007	6/22/2012	173 25	166 61	-	(6 64)
INTEL CORP	9/12/2008	7/19/2011	524 38	598 80	-	74 42
INTEL CORP	9/12/2008	11/7/2011	221 85	260 71	-	38 86
INTEL CORP	9/12/2008	11/8/2011	20 17	24 41	-	4 24
INTL BUSINESS MACHINES CORP	8/9/2007	7/19/2011	789 18	1,290 19	-	501 01
INTL BUSINESS MACHINES CORP	8/9/2007	9/2/2011	1,014 66	1,502 88	-	488 22
INTL BUSINESS MACHINES CORP	8/9/2007	9/13/2011	676 44	973 29	-	296 85
INTL BUSINESS MACHINES CORP	8/9/2007	9/15/2011	676 44	1,007 50	-	331 06
INTL BUSINESS MACHINES CORP	8/9/2007	9/21/2011	1,465 62	2,279 24	-	813 62
INTL BUSINESS MACHINES CORP	8/9/2007	9/27/2011	1,465 62	2,329 37	-	863 75
INTL BUSINESS MACHINES CORP	8/9/2007	9/28/2011	676 44	1,080 48	-	404 04
INTL BUSINESS MACHINES CORP	8/9/2007	9/29/2011	1,352 88	2,158 06	-	805 18
INTL BUSINESS MACHINES CORP	9/26/2007	9/30/2011	471 18	705 44	-	234 26
INTL BUSINESS MACHINES CORP	11/14/2007	9/30/2011	734 23	1,234 52	-	500 29
JPMORGAN CHASE & CO	8/9/2007	11/3/2011	448 79	333 91	-	(114 88)
JPMORGAN CHASE & CO	8/9/2007	5/11/2012	762 94	637 02	-	(125 92)
JPMORGAN CHASE & CO	8/9/2007	5/11/2012	493 67	408 76	-	(84 91)
JPMORGAN CHASE & CO - WASH SALE ADJ	1/13/2012	5/11/2012	(210 83)	-	-	210 83
KIMBERLY CLARK CORP	8/9/2007	2/6/2012	767 16	796 20	-	29 04
KIMBERLY CLARK CORP	8/9/2007	4/19/2012	767 16	827 73	-	60 57
KIMBERLY CLARK CORP	8/9/2007	5/29/2012	906 64	1,036 88	-	130 24
KIMBERLY CLARK CORP	8/9/2007	5/31/2012	348 71	398 39	-	49 68
KIMBERLY CLARK CORP	8/9/2007	6/14/2012	836 90	985 29	-	148 39
KIMBERLY CLARK CORP	8/9/2007	6/19/2012	697 42	831 38	-	133 96
KIMBERLY CLARK CORP	8/9/2007	6/22/2012	557 94	651 58	-	93 64
KIMBERLY CLARK CORP	8/9/2007	6/26/2012	836 90	981 96	-	145 06
KRAFT FOODS INC	8/9/2007	3/16/2012	704 88	842 87	-	137 99
KRAFT FOODS INC	8/9/2007	5/31/2012	224 28	269 00	-	44 72
LENNAR CORP	11/21/2008	1/10/2012	80 02	476 62	-	396 60
LENNAR CORP	12/23/2008	2/10/2012	179 29	490 87	-	311 58
LENNAR CORP	12/23/2008	5/3/2012	25 61	86 71	-	61 10

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
LENNAR CORP	1/13/2009	5/3/2012	161 27	549 19	-	387 91
LENNAR CORP	1/13/2009	6/27/2012	16 98	57 12	-	40 15
LENNAR CORP	1/15/2009	6/27/2012	108 35	399 86	-	291 50
MBIA INC	10/14/2009	8/9/2011	86 12	97 30	-	11 18
MBIA INC	10/16/2009	8/9/2011	39 05	48 65	-	9 60
MBIA INC	10/19/2009	8/9/2011	352 84	437 84	-	85 00
MBIA INC	10/30/2009	8/9/2011	32 49	55 60	-	23 11
MBIA INC	10/30/2009	8/12/2011	239 63	387 69	-	148 07
MBIA INC	11/10/2009	8/12/2011	353 78	597 97	-	244 19
MBIA INC	11/25/2009	8/12/2011	76 30	137 99	-	61 69
MBIA INC	12/7/2009	8/12/2011	242 78	420 55	-	177 77
MBIA INC	11/29/2010	8/12/2011	501 17	321 98	(179 19)	-
MBIA INC	3/3/2011	8/12/2011	404 28	249 70	(154 58)	-
MBIA INC	5/13/2011	8/12/2011	562 09	387 69	(174 40)	-
MBIA INC	5/23/2011	8/12/2011	328 45	243 13	(85 32)	-
MBIA INC	6/29/2011	8/12/2011	343 88	262 84	(81 04)	-
MEADWESTVACO CORP	8/9/2007	10/27/2011	800 87	737 21	-	(63 66)
MEADWESTVACO CORP	8/9/2007	1/9/2012	672 73	616 33	-	(56 40)
MEADWESTVACO CORP	8/9/2007	4/26/2012	929 01	916 97	-	(12 04)
MORGAN STANLEY	12/14/2009	9/23/2011	922 59	425 78	-	(496 81)
MORGAN STANLEY	12/16/2009	9/23/2011	391 61	178 55	-	(213 06)
MORGAN STANLEY	12/17/2009	9/23/2011	443 71	206 02	-	(237 69)
MORGAN STANLEY	12/18/2009	9/23/2011	407 12	192 29	-	(214 83)
MORGAN STANLEY	12/22/2009	9/23/2011	591 90	274 70	-	(317 20)
MORGAN STANLEY	12/24/2009	9/23/2011	443 46	206 02	-	(237 44)
MORGAN STANLEY	12/28/2009	9/23/2011	88 23	41 20	-	(47 02)
MORGAN STANLEY	12/28/2009	10/5/2011	352 91	163 95	-	(188 96)
MORGAN STANLEY	12/31/2009	10/5/2011	354 52	163 95	-	(190 57)
MORGAN STANLEY	1/13/2010	10/5/2011	500 54	218 60	-	(281 94)
MORGAN STANLEY	1/15/2010	10/5/2011	392 90	177 61	-	(215 29)
MORGAN STANLEY	1/21/2010	10/5/2011	708 48	327 90	-	(380 58)
MORGAN STANLEY	1/22/2010	10/5/2011	112 31	54 65	-	(57 66)
MORGAN STANLEY	1/25/2010	10/5/2011	249 46	122 96	-	(126 50)
MORGAN STANLEY	1/26/2010	10/5/2011	196 53	95 64	-	(100 89)
MORGAN STANLEY	1/27/2010	10/5/2011	165 15	81 98	-	(83 17)
MORGAN STANLEY	1/28/2010	10/5/2011	359 68	177 61	-	(182 07)
MORGAN STANLEY	3/26/2010	10/5/2011	493 00	232 26	-	(260 74)
MORGAN STANLEY	3/26/2010	10/7/2011	29 00	14 25	-	(14 75)
MORGAN STANLEY	4/27/2010	10/7/2011	519 52	242 27	-	(277 25)
MORGAN STANLEY	5/6/2010	10/7/2011	459 66	228 02	-	(231 64)
MORGAN STANLEY	5/6/2010	11/3/2011	57 46	32 88	-	(24 57)
MORGAN STANLEY	5/7/2010	11/3/2011	356 77	213 75	-	(143 03)
MORGAN STANLEY	5/7/2010	11/3/2011	54 89	32 90	-	(21 98)
MORGAN STANLEY	5/7/2010	11/3/2011	274 44	164 52	-	(109 92)
MORGAN STANLEY	5/12/2010	11/3/2011	27 66	16 45	-	(11 20)
MORGAN STANLEY	5/12/2010	11/4/2011	553 10	323 83	-	(229 28)
MORGAN STANLEY	6/4/2010	11/4/2011	234 70	145 72	-	(88 98)
MORGAN STANLEY	2/2/2011	11/4/2011	208 28	113 34	(94 94)	-
MORGAN STANLEY	2/2/2011	11/11/2011	684 34	377 68	(306 67)	-
MORGAN STANLEY	2/9/2011	11/11/2011	179 33	98 52	(80 81)	-
MORGAN STANLEY	2/9/2011	11/17/2011	926 55	451 39	(475 16)	-
MORGAN STANLEY	6/3/2011	11/17/2011	23 36	14 56	(8 80)	-
MORGAN STANLEY	6/3/2011	11/21/2011	490 48	282 10	(208 38)	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
MORGAN STANLEY	8/31/2011	11/21/2011	958 55	738 83	(219 72)	-
MOTOROLA MOBILITY HLDGS INC	9/28/2007	8/16/2011	185 45	113 33	-	(72 12)
MOTOROLA MOBILITY HLDGS INC	10/4/2007	8/16/2011	474 04	283 33	-	(190 71)
MOTOROLA MOBILITY HLDGS INC	10/11/2007	8/16/2011	100 32	59 35	-	(40 97)
MOTOROLA MOBILITY HLDGS INC	10/11/2007	8/25/2011	115 20	68 03	-	(47 18)
MOTOROLA MOBILITY HLDGS INC	11/5/2007	8/25/2011	247 55	155 54	-	(92 01)
MOTOROLA MOBILITY HLDGS INC	11/14/2007	8/25/2011	178 56	117 83	-	(60 73)
MOTOROLA MOBILITY HLDGS INC	11/19/2007	8/25/2011	547 01	381 78	-	(165 23)
MOTOROLA MOBILITY HLDGS INC	11/21/2007	8/25/2011	147 61	108 41	-	(39 20)
MOTOROLA MOBILITY HLDGS INC	12/20/2007	8/25/2011	498 35	348 78	-	(149 57)
MOTOROLA MOBILITY HLDGS INC	12/31/2007	8/25/2011	479 67	334 64	-	(145 03)
MOTOROLA MOBILITY HLDGS INC	1/24/2008	8/25/2011	218 15	240 38	-	22 23
MOTOROLA MOBILITY HLDGS INC	3/26/2008	8/25/2011	223 42	249 81	-	26 39
MOTOROLA MOBILITY HLDGS INC	9/12/2008	8/25/2011	313 40	428 91	-	115 51
MOTOROLA MOBILITY HLDGS INC	10/15/2008	8/25/2011	215 86	452 48	-	236 62
MOTOROLA MOBILITY HLDGS INC	6/24/2009	8/25/2011	65 95	117 83	-	51 88
MOTOROLA MOBILITY HLDGS INC	7/1/2009	8/25/2011	82 52	141 40	-	58 88
MOTOROLA MOBILITY HLDGS INC	1/25/2010	8/25/2011	148 82	230 95	-	82 13
MOTOROLA MOBILITY HLDGS INC	1/28/2010	8/25/2011	99 25	169 68	-	70 43
MOTOROLA MOBILITY HLDGS INC	3/26/2010	8/25/2011	70 15	108 41	-	38 26
MOTOROLA MOBILITY HLDGS INC	4/5/2010	8/25/2011	144 04	226 24	-	82 20
MOTOROLA MOBILITY HLDGS INC	10/1/2010	8/25/2011	398 44	523 18	124 74	-
MOTOROLA MOBILITY HLDGS INC	10/11/2010	8/25/2011	87 54	117 83	30 29	-
MOTOROLA MOBILITY HLDGS INC	10/15/2010	8/25/2011	250 35	353 50	103 15	-
MOTOROLA MOBILITY HLDGS INC	11/11/2010	8/25/2011	204 52	282 80	78 28	-
MOTOROLA MOBILITY HLDGS INC	1/12/2011	8/25/2011	606 44	720 66	114 22	-
MOTOROLA MOBILITY HLDGS INC	1/27/2011	8/25/2011	651 21	796 52	145 31	-
MOTOROLA MOBILITY HLDGS INC	2/16/2011	8/25/2011	815 08	1,062 02	246 94	-
MOTOROLA MOBILITY HLDGS INC	3/11/2011	8/25/2011	577 76	872 37	294 61	-
MOTOROLA MOBILITY HLDGS INC	3/24/2011	8/25/2011	311 98	455 15	143 17	-
MOTOROLA MOBILITY HLDGS INC	6/6/2011	8/25/2011	293 64	455 15	161 51	-
MOTOROLA MOBILITY HLDGS INC	6/8/2011	8/25/2011	258 59	417 22	158 63	-
MOTOROLA MOBILITY HLDGS INC	7/29/2011	8/25/2011	272 76	455 15	182 39	-
MOTOROLA SOLUTIONS INC	9/28/2007	10/27/2011	254 77	159 95	-	(94 82)
MOTOROLA SOLUTIONS INC	10/4/2007	10/27/2011	651 23	399 87	-	(251 36)
MOTOROLA SOLUTIONS INC	10/11/2007	10/27/2011	296 08	179 94	-	(116 14)
MOTOROLA SOLUTIONS INC	11/5/2007	10/27/2011	300 07	194 06	-	(106 02)
MOTOROLA SOLUTIONS INC	11/5/2007	11/3/2011	40 01	25 53	-	(14 48)
MOTOROLA SOLUTIONS INC	11/14/2007	11/3/2011	245 31	164 41	-	(80 90)
MOTOROLA SOLUTIONS INC	11/19/2007	11/3/2011	187 03	132 57	-	(54 46)
MOTOROLA SOLUTIONS INC	11/19/2007	12/16/2011	564 45	400 34	-	(164 11)
MOTOROLA SOLUTIONS INC	11/21/2007	12/16/2011	202 79	151 34	-	(51 45)
MOTOROLA SOLUTIONS INC	12/20/2007	12/16/2011	520 66	370 31	-	(150 35)
MOTOROLA SOLUTIONS INC	12/20/2007	12/20/2011	163 97	116 79	-	(47 18)
MOTOROLA SOLUTIONS INC	12/31/2007	12/20/2011	658 97	467 86	-	(191 11)
MOTOROLA SOLUTIONS INC	1/24/2008	12/20/2011	299 70	336 07	-	36 37
MOTOROLA SOLUTIONS INC	3/26/2008	12/20/2011	306 94	349 25	-	42 31
MOTOROLA SOLUTIONS INC	9/12/2008	12/20/2011	347 74	484 34	-	136 60
MOTOROLA SOLUTIONS INC	9/12/2008	12/22/2011	82 80	116 34	-	33 54
MOTOROLA SOLUTIONS INC	10/15/2008	12/22/2011	296 54	638 17	-	341 63
MOTOROLA SOLUTIONS INC	6/24/2009	12/22/2011	90 60	166 19	-	75 59
MOTOROLA SOLUTIONS INC	7/1/2009	12/22/2011	113 37	199 43	-	86 06
MOTOROLA SOLUTIONS INC	1/25/2010	12/22/2011	204 44	325 73	-	121 29

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
MOTOROLA SOLUTIONS INC	1/28/2010	12/22/2011	136 35	239 31	-	102 96
MOTOROLA SOLUTIONS INC	3/26/2010	12/22/2011	96 38	152 90	-	56 52
MOTOROLA SOLUTIONS INC	4/5/2010	12/22/2011	44 28	71 40	-	27 12
MOTOROLA SOLUTIONS INC	4/5/2010	12/22/2011	153 60	247 25	-	93 65
MOTOROLA SOLUTIONS INC	10/1/2010	12/22/2011	472 67	636 06	-	163 39
MOTOROLA SOLUTIONS INC	10/1/2010	12/30/2011	74 70	100 47	-	25 78
MOTOROLA SOLUTIONS INC	10/11/2010	12/30/2011	120 25	165 82	-	45 57
MOTOROLA SOLUTIONS INC	10/15/2010	12/30/2011	343 93	497 48	-	153 55
MOTOROLA SOLUTIONS INC	11/11/2010	12/30/2011	280 97	397 98	-	117 01
MOTOROLA SOLUTIONS INC	3/3/2011	12/30/2011	725 38	836 46	111 08	-
SPRINT NEXTEL CORP	7/19/2010	1/31/2012	96 95	44 52	-	(52 43)
SPRINT NEXTEL CORP	7/20/2010	1/31/2012	775 37	351 91	-	(423 46)
SPRINT NEXTEL CORP	7/21/2010	1/31/2012	389 30	180 20	-	(209 10)
SPRINT NEXTEL CORP	7/23/2010	1/31/2012	396 48	178 08	-	(218 40)
SPRINT NEXTEL CORP	7/27/2010	1/31/2012	407 64	175 96	-	(231 68)
SPRINT NEXTEL CORP	7/29/2010	1/31/2012	481 44	216 24	-	(265 20)
SPRINT NEXTEL CORP	8/2/2010	1/31/2012	443 65	201 40	-	(242 25)
SPRINT NEXTEL CORP	8/16/2010	1/31/2012	544 80	264 99	-	(279 81)
SPRINT NEXTEL CORP	8/26/2010	1/31/2012	192 62	99 64	-	(92 98)
SPRINT NEXTEL CORP	10/27/2010	1/31/2012	384 26	190 80	-	(193 46)
SPRINT NEXTEL CORP	11/4/2010	1/31/2012	302 99	159 00	-	(143 99)
SPRINT NEXTEL CORP	12/2/2010	1/31/2012	393 90	212 00	-	(181 90)
SPRINT NEXTEL CORP	1/20/2011	1/31/2012	873 60	440 95	-	(432 65)
SPRINT NEXTEL CORP	2/25/2011	1/31/2012	601 19	284 07	(317 12)	-
SPRINT NEXTEL CORP	7/28/2011	1/31/2012	872 90	430 35	(442 55)	-
SPRINT NEXTEL CORP	8/12/2011	1/31/2012	613 55	409 15	(204 40)	-
SPRINT NEXTEL CORP	9/30/2011	1/31/2012	373 20	258 63	(114 57)	-
SPRINT NEXTEL CORP	10/10/2011	1/31/2012	496 65	489 71	(6 94)	-
SPRINT NEXTEL CORP	11/8/2011	1/31/2012	426 09	313 75	(112 34)	-
SPRINT NEXTEL CORP - WASH SALE ADJ	11/8/2011	1/31/2012	(26 39)	-	-	26 39
SYMANTEC CORP	4/23/2010	5/29/2012	735 88	653 20	-	(82 68)
SYMANTEC CORP	4/23/2010	6/6/2012	376 50	322 76	-	(53 74)
SYMANTEC CORP	4/23/2010	6/6/2012	239 59	205 39	-	(34 20)
SYMANTEC CORP	4/23/2010	6/22/2012	872 79	742 62	-	(130 17)
SYMANTEC CORP	4/27/2010	6/22/2012	170 69	145 61	-	(25 08)
TE CONNECTIVITY LTD	8/9/2007	7/13/2011	484 26	510 43	-	26 17
TE CONNECTIVITY LTD	8/9/2007	7/20/2011	380 49	399 95	-	19 46
TE CONNECTIVITY LTD	8/9/2007	11/30/2011	933 93	842 92	-	(91 01)
TE CONNECTIVITY LTD	8/9/2007	12/27/2011	415 08	373 91	-	(41 17)
TENET HEALTHCARE CORP	8/9/2007	1/6/2012	224 94	235 22	-	10 28
TENET HEALTHCARE CORP	8/9/2007	1/10/2012	264 06	272 31	-	8 25
TENET HEALTHCARE CORP	8/9/2007	4/27/2012	53 79	57 31	-	3 52
TENET HEALTHCARE CORP	11/30/2007	4/27/2012	980 87	958 61	-	(22 26)
TENET HEALTHCARE CORP	11/30/2007	5/4/2012	1,300 72	1,227 54	-	(73 18)
TENET HEALTHCARE CORP	11/30/2007	5/10/2012	26 65	26 05	-	(0 60)
TENET HEALTHCARE CORP	3/26/2008	5/10/2012	1,010 28	1,000 32	-	(9 96)
TENET HEALTHCARE CORP	11/5/2008	5/10/2012	151 94	312 60	-	160 66
TENET HEALTHCARE CORP	11/5/2008	5/24/2012	91 16	168 87	-	77 71
TENET HEALTHCARE CORP	8/3/2010	5/24/2012	595 40	628 58	-	33 18
TENET HEALTHCARE CORP	5/4/2011	5/24/2012	479 33	347 12	-	(132 21)
TEREX CORP NEW	6/4/2010	2/1/2012	294 96	303 52	-	8 56
TEREX CORP NEW	6/4/2010	2/9/2012	484 57	533 86	-	49 29
THERMO FISHER SCIENTIFIC INC	10/3/2011	2/15/2012	299 67	335 75	36 08	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
TRAVELERS COMPANIES INC	8/9/2007	10/27/2011	1,001 91	1,183 82	-	181 91
UNITED STATES STEEL CORP	2/25/2009	10/5/2011	150 27	151 43	-	1 16
UNITED STATES STEEL CORP	3/24/2009	10/5/2011	23 82	21 63	-	(2 19)
UNITED STATES STEEL CORP	3/30/2009	10/5/2011	214 13	216 33	-	2 21
UNITED STATES STEEL CORP	3/30/2009	10/10/2011	21 41	22 87	-	1 46
UNITED STATES STEEL CORP	4/30/2009	10/10/2011	208 37	182 98	-	(25 39)
UNITED STATES STEEL CORP	5/5/2009	10/10/2011	303 56	228 72	-	(74 84)
UNITED STATES STEEL CORP	5/13/2009	10/10/2011	109 87	91 49	-	(18 38)
UNITED STATES STEEL CORP	5/13/2009	10/13/2011	219 74	188 80	-	(30 94)
UNITED STATES STEEL CORP	6/23/2009	10/13/2011	245 27	165 20	-	(80 07)
UNITED STATES STEEL CORP	6/23/2009	10/14/2011	105 12	73 30	-	(31 82)
UNITED STATES STEEL CORP	11/2/2009	10/14/2011	279 51	195 47	-	(84 04)
UNITED STATES STEEL CORP	1/26/2010	10/14/2011	356 70	171 03	-	(185 66)
UNITED STATES STEEL CORP	1/26/2010	10/18/2011	611 48	278 91	-	(332 57)
UNITED STATES STEEL CORP	5/3/2010	10/18/2011	268 70	116 21	-	(152 49)
UNITED STATES STEEL CORP	5/14/2010	10/18/2011	107 24	46 49	-	(60 75)
UNITED STATES STEEL CORP	5/14/2010	10/20/2011	375 34	158 21	-	(217 13)
UNITED STATES STEEL CORP	5/21/2010	10/20/2011	95 39	45 20	-	(50 19)
UNITED STATES STEEL CORP	6/28/2010	10/20/2011	291 34	158 21	-	(133 13)
UNITED STATES STEEL CORP	6/30/2010	10/20/2011	468 95	271 21	-	(197 74)
UNITED STATES STEEL CORP	6/30/2010	10/21/2011	234 48	138 74	-	(95 74)
UNITED STATES STEEL CORP	7/27/2010	10/21/2011	592 58	300 59	-	(291 99)
UNITED STATES STEEL CORP	4/4/2011	10/21/2011	707 46	300 59	(406 87)	-
UNITED STATES STEEL CORP	4/19/2011	10/21/2011	469 91	208 10	(261 81)	-
UNITED STATES STEEL CORP	4/27/2011	10/21/2011	624 26	300 59	(323 67)	-
UNITED STATES STEEL CORP	7/27/2011	10/21/2011	241 96	138 74	(103 23)	-
WEATHERFORD INTL LTD	8/12/2011	6/22/2012	1,406 08	975 29	(430 79)	-
VALERO ENERGY CORP - WASH SALE ADJ			(78 97)	-	-	78 97
YAHOO INC	8/17/2009	7/25/2011	160 51	151 58	-	(8 93)
YAHOO INC	8/19/2009	7/25/2011	266 17	248 03	-	(18 13)
YAHOO INC	8/19/2009	9/7/2011	88 72	80 71	-	(8 02)
YAHOO INC	8/24/2009	9/7/2011	571 52	511 15	-	(60 37)
YAHOO INC	9/2/2009	9/7/2011	212 81	201 77	-	(11 04)
YAHOO INC	9/2/2009	10/24/2011	297 93	350 31	-	52 38
YAHOO INC	10/9/2009	10/24/2011	33 87	33 36	-	(0 51)
YAHOO INC	10/9/2009	12/14/2011	169 35	150 20	-	(19 15)
YAHOO INC	10/23/2009	12/14/2011	486 15	420 57	-	(65 58)
YAHOO INC	10/28/2009	12/14/2011	258 96	240 32	-	(18 64)
YAHOO INC	10/28/2009	12/19/2011	113 30	104 23	-	(9 06)
YAHOO INC	11/12/2009	12/19/2011	403 25	372 27	-	(30 98)
YAHOO INC	11/19/2009	12/19/2011	155 50	148 91	-	(6 59)
YAHOO INC	12/9/2009	12/19/2011	198 25	193 58	-	(4 67)
YAHOO INC	1/7/2010	12/19/2011	284 92	253 14	-	(31 78)
YAHOO INC	1/27/2010	12/19/2011	558 25	521 17	-	(37 08)
YAHOO INC	3/26/2010	12/19/2011	16 40	14 89	-	(1 51)
YAHOO INC	3/26/2010	12/22/2011	442 71	434 42	-	(8 29)
YAHOO INC	9/20/2011	10/24/2011	(22 31)	-	-	22 31
ARCELORMITTAL SA	2/24/2011	11/28/2011	1,143 92	538 12	(605 80)	-
ARCELORMITTAL SA	2/25/2011	11/28/2011	144 19	67 26	(76 93)	-
ARCELORMITTAL SA		12/1/2011	757 00	399 47	-	(357 53)
ARCELORMITTAL SA	2/28/2011	12/1/2011	365 24	190 22	(175 02)	-
ARCELORMITTAL SA		12/9/2011	474 81	244 25	-	(230 56)

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
ARCELORMITTAL SA	3/15/2011	12/9/2011	674 35	375 77	(298 58)	-
ARCELORMITTAL SA	4/1/2011	12/9/2011	721 07	375 77	(345 30)	-
ARCELORMITTAL SA	5/4/2011	12/9/2011	181 34	93 94	(87 39)	-
ARCELORMITTAL SA		12/12/2011	616 54	293 79	-	(322 75)
ARM HOLDINGS PLC ADR	4/1/2010	10/11/2011	185 80	470 19	-	284 39
ASSA ABLOY AB-SEK	11/8/2010	1/24/2012	316 78	306 68	-	(10 10)
ASSA ABLOY AB-SEK		1/25/2012	151 50	146 06	-	(5 45)
ASSA ABLOY AB-SEK	11/9/2010	1/25/2012	357 83	345 22	-	(12 61)
BAYER AG SPON ADR	7/27/2011	9/6/2011	2,014 69	1,257 68	(757 01)	-
BAYER AG SPON ADR		9/15/2011	839 46	538 44	-	(301 01)
BAYER AG SPON ADR	8/10/2011	9/15/2011	882 25	753 82	(128 43)	-
BG GROUP PLC SPON ADR	4/1/2010	3/28/2012	504 00	644 82	-	140 82
BNP PARIBAS SPON ADR	4/1/2010	9/16/2011	3,449 60	1,801 27	-	(1,648 33)
BNP PARIBAS SPON ADR		9/20/2011	980 00	428 26	-	(551 74)
BNP PARIBAS SPON ADR	5/25/2010	9/20/2011	474 16	291 22	-	(182 94)
BNP PARIBAS SPON ADR	9/30/2010	9/20/2011	358 07	171 30	(186 77)	-
BNP PARIBAS SPON ADR		9/21/2011	751 96	338 32	-	(413 64)
BNP PARIBAS SPON ADR	10/21/2010	9/21/2011	814 01	354 43	(459 58)	-
BNP PARIBAS SPON ADR	3/10/2011	9/21/2011	762 26	338 32	(423 94)	-
CANADIAN NATL RAILWAY CO	4/1/2010	8/10/2011	1,286 43	1,434 05	-	147 62
CANADIAN NATL RAILWAY CO	4/1/2010	6/6/2012	367 55	485 13	-	117 58
CARNIVAL CORP	4/1/2010	1/17/2012	1,016 21	768 13	-	(248 08)
CHINA MERCHANTS UNSPON	4/1/2010	9/8/2011	1,273 30	996 32	-	(276 98)
CHINA MERCHANTS UNSPON		9/9/2011	1,123 50	852 12	-	(271 38)
CHINA MERCHANTS UNSPON		9/16/2011	823 90	588 33	-	(235 57)
CHINA MERCHANTS UNSPON		9/19/2011	786 45	537 76	-	(248 69)
CHINA MERCHANTS UNSPON	9/7/2010	9/19/2011	322 76	230 47	-	(92 29)
CNOOC LTD SPONS ADR	4/1/2010	1/10/2012	170 06	198 06	-	28 00
CNOOC LTD SPONS ADR		1/11/2012	340 11	390 31	-	50 20
CNOOC LTD SPONS ADR		1/12/2012	340 11	387 99	-	47 88
CREDIT SUISSE GROUP	2/18/2011	2/28/2012	519 05	302 36	-	(216 69)
CREDIT SUISSE GROUP		2/29/2012	1,793 07	1,025 33	-	(767 74)
DASSAULT SYSTEMS SA ADR	4/1/2010	11/4/2011	242 83	333 50	-	90 67
DASSAULT SYSTEMS SA ADR		11/8/2011	242 83	333 54	-	90 71
DASSAULT SYSTEMS SA ADR		12/14/2011	121 41	156 61	-	35 20
DASSAULT SYSTEMS SA ADR		12/23/2011	60 71	78 12	-	17 41
DASSAULT SYSTEMS SA ADR		12/27/2011	121 41	156 88	-	35 47
DASSAULT SYSTEMS SA ADR		12/28/2011	182 12	236 13	-	54 01
FANUC LTD JAPAN-JPY	4/1/2010	8/17/2011	620 98	965 87	-	344 89
FRESENIUS MEDICAL CARE	4/1/2010	7/27/2011	678 29	948 95	-	270 66
GAZPROM OAO SPONS ADR	4/1/2010	9/9/2011	972 00	926 60	-	(45 40)
GAZPROM OAO SPONS ADR		9/12/2011	2,364 00	2,132 67	-	(231 33)
GAZPROM OAO SPONS ADR	3/15/2011	9/12/2011	750 41	541 29	(209 12)	-
HENNES & MAURITZ AB ADR	4/1/2010	3/7/2012	664 11	670 37	-	6 26
ING GROEP ADR	8/12/2011	4/26/2012	696 97	573 29	(123 68)	-
ING GROEP ADR	8/12/2011	4/27/2012	576 51	468 67	(107 83)	-
ING GROEP ADR	8/16/2011	4/27/2012	630 60	496 65	(133 95)	-
ING GROEP ADR	8/19/2011	4/27/2012	743 87	650 55	(93 32)	-
ING GROEP ADR	9/16/2011	4/27/2012	1,368 89	1,294 10	(74 79)	-
KOMATSU LTD ADR NEW	4/1/2010	3/1/2012	491 05	673 88	-	182 83
LI & FUNG LTD PLC ADR	8/31/2011	3/27/2012	518 15	728 15	210 00	-
LI & FUNG LTD PLC ADR	9/1/2011	3/27/2012	277 32	366 55	89 23	-
LOGITECH INTERNATIONAL SA	4/1/2010	9/22/2011	1,341 09	626 96	-	(714 13)
LOGITECH INTERNATIONAL SA		9/23/2011	993 40	462 87	-	(530 53)
LOGITECH INTERNATIONAL SA		9/26/2011	1,274 87	598 03	-	(676 84)

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
LOGITECH INTERNATIONAL SA		9/27/2011	49 67	24 00	-	(25 67)
LOGITECH INTERNATIONAL SA	4/29/2010	9/27/2011	667 33	320 05	-	(347 28)
LVMH MOET HENNESSY LOUIS	4/1/2010	7/27/2011	601 96	943 20	-	341 24
MAN GROUP PLC-USD	4/1/2010	8/11/2011	1,400 10	1,117 99	-	(282 11)
MAN GROUP PLC-USD		8/12/2011	562 33	462 44	-	(99 90)
MAN GROUP PLC-USD	5/12/2010	8/12/2011	626 54	578 83	-	(47 71)
MITSUBISHI UFJ FINANCIAL	4/1/2010	3/1/2012	682 48	667 90	-	(14 58)
NESTLE S A SPONSORED ADR	4/1/2010	8/31/2011	561 61	672 85	-	111 24
NESTLE S A SPONSORED ADR		8/31/2011	561 61	634 91	-	73 30
NEW ORIENTAL ED & TECH	4/1/2010	7/20/2011	426 05	636 25	-	210 20
NEW ORIENTAL ED & TECH		9/13/2011	426 05	644 25	-	218 20
NEW ORIENTAL ED & TECH		9/15/2011	234 33	366 33	-	132 00
NEW ORIENTAL ED & TECH		10/17/2011	213 02	301 22	-	88 20
NEW ORIENTAL ED & TECH		11/18/2011	660 38	707 28	-	46 90
NEW ORIENTAL ED & TECH		11/21/2011	489 96	531 01	-	41 05
NEW ORIENTAL ED & TECH		11/22/2011	362 14	395 85	-	33 71
NEW ORIENTAL ED & TECH	3/10/2011	11/22/2011	218 85	232 86	14 01	-
NEW ORIENTAL ED & TECH		11/23/2011	240 74	254 24	-	13 50
NEW ORIENTAL ED & TECH		11/28/2011	240 73	262 16	-	21 43
NOKIA CORP	1/17/2012	4/11/2012	1,209 45	931 08	(278 37)	-
NOVARTIS AG ADR	4/1/2010	1/10/2012	754 58	796 48	-	41 90
NOVARTIS AG ADR		2/1/2012	592 88	610 26	-	17 38
SABMILLER PLC SPON ADR	4/1/2010	10/13/2011	542 92	638 95	-	96 03
SABMILLER PLC SPON ADR		10/14/2011	633 41	750 03	-	116 62
SAP AG SPONS ADR-USD	4/1/2010	11/21/2011	489 28	578 80	-	89 52
SAP AG SPONS ADR-USD	4/1/2010	3/5/2012	489 28	679 40	-	190 12
SAP AG SPONS ADR-USD	4/1/2010	4/13/2012	538 20	721 07	-	182 87
SOUTHERN COPPER CORP	12/9/2011	2/28/2012	12 81	13 25	0 44	-
TECK RES LTD	7/21/2011	3/22/2012	642 40	419 50	(222 90)	-
TECK RES LTD		3/23/2012	803 00	527 76	-	(275 24)
TECK RES LTD	7/25/2011	3/23/2012	1,494 40	985 14	(509 26)	-
TECK RES LTD	9/19/2011	3/23/2012	454 11	422 20	(31 91)	-
TECK RES LTD	12/1/2011	3/23/2012	259 52	246 29	(13 23)	-
TELEFONICA S A SPON ADR	4/1/2010	11/23/2011	1,604 96	1,169 54	-	(435 42)
TELEFONICA S A SPON ADR		1/25/2012	3,641 11	2,571 23	-	(1,069 88)
TELEFONICA S A SPON ADR	5/21/2010	1/25/2012	586 47	507 48	-	(78 99)
TENCENT HLDGS LTD-HKD	4/26/2010	3/20/2012	551 42	724 75	-	173 33
TESCO PLC SPONSORED ADR	4/1/2010	10/11/2011	1,185 31	1,124 61	-	(60 70)
TESCO PLC SPONSORED ADR	4/1/2010	6/29/2012	562 52	408 54	-	(153 98)
TESCO PLC SPONSORED ADR	4/1/2010	6/29/2012	301 35	219 58	-	(81 77)
TEVA PHARMACEUTICAL INDS	4/1/2010	7/26/2011	1,020 14	750 13	-	(270 01)
TEVA PHARMACEUTICAL INDS	4/1/2010	5/24/2012	765 10	464 60	-	(300 50)
TOYOTA MOTOR CORP ADR NEW	4/1/2010	2/16/2012	644 57	667 93	-	23 36
TURKIYE GARANTI BANKASI	4/5/2010	2/3/2012	875 00	677 90	-	(197 10)
TURKIYE GARANTI BANKASI		2/10/2012	815 00	598 24	-	(216 76)
VOLKSWAGEN A G	8/2/2010	3/12/2012	782 33	1,322 81	-	540 48
VOLKSWAGEN A G		3/13/2012	434 63	724 14	-	289 51
VOLKSWAGEN A G	8/25/2010	3/13/2012	452 87	832 76	-	379 89
VOLKSWAGEN A G	10/4/2010	3/13/2012	696 44	1,086 21	-	389 77
WAL-MART DE MEXICO SA DE	4/1/2010	8/30/2011	546 00	545 91	-	(0 09)
WAL-MART DE MEXICO SA DE		3/20/2012	520 00	660 10	-	140 10
ACCENTURE PLC	1/26/2011	7/5/2011	1,467 43	1,708 80	241 37	-
AFFILIATED MANAGERS GRP	6/22/2011	6/8/2012	2,787 68	2,895 97	108 29	-
AGILENT TECHNOLOGIES INC	8/27/2010	7/25/2011	82 33	140 07	57 74	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
AGILENT TECHNOLOGIES INC	8/30/2010	7/25/2011	613 94	1,027 15	413 21	-
AGILENT TECHNOLOGIES INC		12/21/2011	1,758 11	2,165 46	-	407 35
AGILENT TECHNOLOGIES INC	9/1/2010	12/21/2011	1,050 14	1,271 78	-	221 64
AGILENT TECHNOLOGIES INC	9/28/2010	12/21/2011	1,217 63	1,306 15	-	88 52
AGILENT TECHNOLOGIES INC	9/29/2010	12/21/2011	1,204 75	1,271 78	-	67 03
AGILENT TECHNOLOGIES INC	10/12/2010	12/21/2011	1,178 78	1,203 03	-	24 25
AGILENT TECHNOLOGIES INC	12/2/2010	12/21/2011	1,322 65	1,237 40	-	(85 25)
AMERICAN EXPRESS	7/13/2010	12/21/2011	3,940 41	4,256 01	-	315 60
ANHEUSER BUSCH INBEV SA NV	4/15/2011	7/6/2011	1,456 22	1,388 37	(67 85)	-
ANHEUSER BUSCH INBEV SA NV		7/27/2011	1,456 22	1,391 01	-	(65 21)
ANHEUSER BUSCH INBEV SA NV		8/3/2011	1,456 22	1,328 85	-	(127 37)
ANHEUSER BUSCH INBEV SA NV		8/12/2011	2,669 75	2,385 90	-	(283 85)
ANHEUSER BUSCH INBEV SA NV	4/27/2011	8/12/2011	2,335 07	2,006 32	(328 75)	-
ANHEUSER BUSCH INBEV SA NV	5/2/2011	8/12/2011	1,667 38	1,409 85	(257 53)	-
ANHEUSER BUSCH INBEV SA NV	5/13/2011	8/12/2011	1,128 79	1,030 27	(98 52)	-
ANHEUSER BUSCH INBEV SA NV	5/16/2011	8/12/2011	1,071 36	976 05	(95 31)	-
BROADCOM CORP	8/24/2011	4/11/2012	2,400 24	2,711 89	311 65	-
BROADCOM CORP	8/30/2011	4/11/2012	353 80	371 49	17 69	-
BROADCOM CORP	8/30/2011	5/14/2012	919 88	865 56	(54 32)	-
BROADCOM CORP	9/6/2011	5/14/2012	1,240 87	1,265 05	24 18	-
BROADCOM CORP	9/15/2011	5/14/2012	1,539 61	1,464 79	(74 82)	-
BROADCOM CORP	10/7/2011	5/14/2012	463 67	432 78	(30 89)	-
C H ROBINSON WORLDWIDE	7/23/2010	10/27/2011	120 98	141 34	-	20 36
C H ROBINSON WORLDWIDE	8/19/2010	10/27/2011	2,332 09	2,544 07	-	211 98
C H ROBINSON WORLDWIDE	8/24/2010	10/27/2011	519 22	565 35	-	46 13
C H ROBINSON WORLDWIDE	8/25/2010	10/27/2011	1,752 34	1,908 05	-	155 71
C H ROBINSON WORLDWIDE	8/26/2010	10/27/2011	129 99	141 34	-	11 35
C H ROBINSON WORLDWIDE	3/4/2011	10/27/2011	1,304 82	1,272 03	(32 79)	-
C H ROBINSON WORLDWIDE	3/18/2011	10/27/2011	1,375 98	1,342 70	(33 28)	-
CARDINAL HEALTH INC	3/4/2011	12/22/2011	5,415 42	5,191 71	(223 71)	-
CARDINAL HEALTH INC		12/23/2011	296 16	286 01	-	(10 14)
CARDINAL HEALTH INC	3/9/2011	12/23/2011	1,647 36	1,593 50	(53 86)	-
CARDINAL HEALTH INC	3/18/2011	12/23/2011	732 42	735 46	3 04	-
CARDINAL HEALTH INC		3/5/2012	610 35	617 39	-	7 04
CARDINAL HEALTH INC	4/7/2011	3/5/2012	2,779 92	2,716 52	(63 40)	-
CARDINAL HEALTH INC	5/25/2011	3/5/2012	1,386 66	1,275 94	(110 72)	-
CARDINAL HEALTH INC	5/27/2011	3/5/2012	1,679 06	1,522 90	(156 16)	-
CME GROUP INC		12/22/2011	1,342 96	1,461 75	-	118 79
CME GROUP INC	3/19/2009	12/22/2011	712 53	730 88	-	18 35
CME GROUP INC	3/26/2009	12/22/2011	1,762 86	1,705 38	-	(57 48)
CME GROUP INC	10/19/2009	12/22/2011	958 16	730 88	-	(227 28)
CME GROUP INC	10/22/2009	12/22/2011	950 28	730 88	-	(219 40)
CME GROUP INC	11/2/2009	12/22/2011	608 71	487 25	-	(121 46)
CME GROUP INC	11/3/2009	12/22/2011	301 37	243 63	-	(57 74)
CME GROUP INC	12/2/2009	12/22/2011	1,004 98	730 88	-	(274 10)
CME GROUP INC	12/2/2010	12/22/2011	626 43	487 25	-	(139 18)
CME GROUP INC	12/9/2010	12/22/2011	2,888 91	2,192 63	-	(696 28)
CME GROUP INC	4/14/2011	12/22/2011	911 70	730 88	(180 82)	-
CME GROUP INC	9/23/2008	8/19/2012	828 73	482 19	-	(346 54)
CME GROUP INC	12/8/2008	8/19/2012	223 83	241 09	-	17 27
COVIDIEN PLC	10/1/2010	7/25/2011	930 11	1,160 55	230 44	-
COVIDIEN PLC		12/21/2011	1,698 45	1,857 29	-	158 83
COVIDIEN PLC	11/5/2010	12/21/2011	1,288 92	1,370 86	-	81 94
COVIDIEN PLC	11/10/2010	12/21/2011	1,270 55	1,282 41	-	11 86
COVIDIEN PLC	12/28/2010	12/21/2011	1,373 70	1,326 63	(47 07)	-

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
COVIDIEN PLC	1/4/2011	12/21/2011	1,086 06	1,017 09	(68 97)	-
COVIDIEN PLC	3/17/2011	12/21/2011	1,033 40	884 42	(148 98)	-
DANAHER CORP	8/9/2007	8/3/2011	1,227 95	1,384 44	-	156 49
DANAHER CORP		8/10/2011	2,333 11	2,379 29	-	46 18
DANAHER CORP		8/11/2011	1,187 02	1,270 17	-	83 15
DANAHER CORP		8/18/2011	1,432 61	1,458 07	-	25 46
DANAHER CORP	10/13/2008	8/18/2011	636 93	916 50	-	279 57
DANAHER CORP		8/22/2011	289 51	406 69	-	117 18
DANAHER CORP	9/14/2009	8/22/2011	1,086 54	1,301 41	-	214 87
DANAHER CORP	2/9/2011	8/22/2011	2,885 50	2,358 81	(526 69)	-
DAVITA INC	3/18/2011	4/11/2012	2,997 00	3,156 76	-	159 76
DOLBY LABORATORIES	9/21/2010	7/11/2011	1,616 89	1,107 92	(508 97)	-
DOLBY LABORATORIES	9/22/2010	7/11/2011	795 05	533 44	(261 61)	-
DOLBY LABORATORIES	9/28/2010	7/11/2011	1,235 73	861 72	(374 01)	-
DOLBY LABORATORIES	9/30/2010	7/11/2011	1,141 11	820 68	(320 43)	-
DOLBY LABORATORIES	12/22/2010	7/11/2011	1,128 46	697 58	(430 88)	-
DOLBY LABORATORIES	1/4/2011	7/11/2011	1,621 44	984 82	(636 62)	-
DOLBY LABORATORIES	1/6/2011	7/11/2011	1,352 00	820 68	(531 32)	-
EMC CORP-MASS	9/14/2009	8/30/2011	67 52	88 16	-	20 64
EMC CORP-MASS	9/23/2009	8/30/2011	1,161 88	1,498 69	-	336 81
EMC CORP-MASS	10/6/2009	8/30/2011	1,117 00	1,410 53	-	293 53
EMC CORP-MASS	10/8/2009	8/30/2011	896 66	1,124 02	-	227 36
EMC CORP-MASS	7/14/2010	8/30/2011	2,278 18	2,468 43	-	190 25
EMC CORP-MASS	7/21/2010	8/30/2011	1,026 48	1,146 06	-	119 58
EMC CORP-MASS	8/20/2010	8/30/2011	907 48	1,079 94	-	172 46
GOODRICH CORP	10/19/2009	9/22/2011	633 48	1,328 12	-	694 64
GOODRICH CORP	10/20/2009	9/22/2011	1,067 86	2,294 03	-	1,226 17
GOODRICH CORP		12/5/2011	56 20	122 30	-	66 09
GOODRICH CORP	10/27/2009	12/5/2011	1,144 98	2,445 95	-	1 300 97
GOODRICH CORP	11/24/2009	12/5/2011	490 02	978 38	-	488 36
GOODRICH CORP	11/25/2009	12/5/2011	427 70	856 08	-	428 38
GOODRICH CORP	11/27/2009	12/5/2011	240 02	489 19	-	249 17
GOODRICH CORP	11/30/2009	12/5/2011	178 71	366 89	-	188 18
GOODRICH CORP	12/8/2009	12/5/2011	1,090 58	2,201 36	-	1,110 78
GOODRICH CORP	12/23/2009	12/5/2011	1,245 43	2,323 65	-	1,078 22
GOODRICH CORP	2/2/2010	12/5/2011	964 35	1,834 46	-	870 11
GOOGLE INC	3/12/2008	6/8/2012	879 91	1,157 25	-	277 34
GOOGLE INC	3/19/2008	6/8/2012	2,667 84	3,471 76	-	803 92
GOOGLE INC	4/22/2008	6/8/2012	1,107 03	1,157 25	-	50 22
GRAINGER WW INC	6/12/2009	2/28/2012	416 39	1,044 28	-	627 89
GRAINGER WW INC	6/15/2009	2/28/2012	246 01	626 57	-	380 56
GRAINGER WW INC	6/15/2009	2/28/2012	81 67	208 86	-	127 19
GRAINGER WW INC	6/15/2009	4/11/2012	408 35	1,043 88	-	635 53
GRAINGER WW INC	6/18/2009	4/11/2012	741 64	1,878 98	-	1,137 34
GREEN MTN COFFEE ROASTERS	12/6/2011	2/28/2012	2,569 23	3,000 54	431 31	-
GREEN MTN COFFEE ROASTERS - WASH SALE ADJ	1/21/2011	2/28/2012	(167 71)	-	-	167 71
GREEN MTN COFFEE ROASTERS		3/21/2012	3,140 17	2,972 46	-	(167 71)
HARLEY DAVIDSON INC	1/13/2011	6/25/2012	2,203 80	2,888 33	-	684 53
JACOBS ENGINEERING GRP	12/20/2010	9/13/2011	2,752 47	2,197 39	(555 08)	-
JACOBS ENGINEERING GRP	12/20/2010	5/15/2012	1,092 25	951 23	-	(141 02)
JACOBS ENGINEERING GRP	12/21/2010	5/15/2012	1,633 42	1,407 81	-	(225 61)
JACOBS ENGINEERING GRP	1/5/2011	6/8/2012	1,054 09	837 55	-	(216 54)
JACOBS ENGINEERING GRP	1/7/2011	6/8/2012	2,723 40	2,184 91	-	(538 49)
JACOBS ENGINEERING GRP	3/18/2011	6/8/2012	1,070 74	801 13	-	(269 61)
JACOBS ENGINEERING GRP	3/30/2011	6/8/2012	920 52	655 47	-	(265 05)

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
JACOBS ENGINEERING GRP	6/17/2011	6/8/2012	1,230 30	1,092 45	(137 85)	-
JOHNSON CONTROLS INC	3/25/2009	11/2/2011	542 94	1,358 34	-	815 40
JOHNSON CONTROLS INC		11/10/2011	202 03	496 95	-	294 92
JOHNSON CONTROLS INC	4/1/2009	11/10/2011	672 89	1,615 08	-	942 19
JOHNSON CONTROLS INC		1/20/2012	258 80	630 65	-	371 85
JOHNSON CONTROLS INC	12/14/2009	1/20/2012	1,167 03	1,324 36	-	157 33
JOHNSON CONTROLS INC	2/2/2010	1/20/2012	1,188 89	1,292 83	-	103 94
JOHNSON CONTROLS INC	7/23/2010	1/20/2012	1,080 93	1,198 23	-	117 30
JOHNSON CONTROLS INC	8/16/2010	1/20/2012	1,745 30	1,955 01	-	209 71
JOHNSON CONTROLS INC	8/23/2010	1/20/2012	896 32	1,009 04	-	112 72
JOHNSON CONTROLS INC	6/20/2011	1/20/2012	1,044 68	882 91	(161 77)	-
JUNIPER NETWORKS INC	5/12/2011	5/4/2012	2,909 78	1,450 59	(1,459 19)	-
JUNIPER NETWORKS INC	5/16/2011	5/4/2012	1,375 30	695 49	(679 81)	-
JUNIPER NETWORKS INC	5/18/2011	5/4/2012	1,391 04	715 36	(675 68)	-
JUNIPER NETWORKS INC	5/27/2011	5/4/2012	1,371 96	735 23	(636 73)	-
JUNIPER NETWORKS INC	6/17/2011	5/4/2012	1,087 80	735 23	(352 57)	-
JUNIPER NETWORKS INC	10/14/2011	5/4/2012	791 92	755 10	(36 82)	-
JUNIPER NETWORKS INC	10/27/2011	5/4/2012	535 70	437 16	(98 54)	-
JUNIPER NETWORKS INC	12/21/2011	5/4/2012	2,583 79	2,642 85	59 06	-
MARRIOTT INTL INC	1/7/2011	12/20/2011	7,622 13	5,665 26	(1,956 87)	-
MARRIOTT INTL INC	2/1/2011	12/20/2011	1,296 06	972 82	(323 24)	-
MARRIOTT INTL INC	2/3/2011	12/20/2011	1,331 90	1,001 44	(330 46)	-
MARRIOTT INTL INC	2/16/2011	12/20/2011	1,607 01	1,173 11	(433 90)	-
MARRIOTT INTL INC	3/9/2011	12/20/2011	1,108 25	858 37	(249 88)	-
MARRIOTT INTL INC	4/29/2011	12/20/2011	1,303 52	1,115 89	(187 63)	-
MARRIOTT INTL INC	5/6/2011	12/20/2011	1,095 93	915 60	(180 33)	-
MARRIOTT INTL INC	10/7/2011	12/20/2011	428 32	457 80	29 48	-
MARRIOTT INTL INC	10/11/2011	12/20/2011	467 20	486 41	19 21	-
MARRIOTT INTL INC	10/28/2011	12/20/2011	531 33	486 41	(44 92)	-
MARRIOTT VACATIONS WORLDWIDE	10/28/2011	10/28/2011	16 97	15 88	(1 09)	-
MARRIOTT VACATIONS WORLDWIDE	1/7/2011	11/28/2011	459 83	344 14	(115 69)	-
MARRIOTT VACATIONS WORLDWIDE	2/1/2011	11/28/2011	78 19	59 09	(19 10)	-
MARRIOTT VACATIONS WORLDWIDE	2/3/2011	11/28/2011	80 35	60 83	(19 52)	-
MARRIOTT VACATIONS WORLDWIDE	2/16/2011	11/28/2011	96 95	71 26	(25 69)	-
MARRIOTT VACATIONS WORLDWIDE	3/9/2011	11/28/2011	66 85	52 14	(14 71)	-
MARRIOTT VACATIONS WORLDWIDE	4/29/2011	11/28/2011	78 64	67 78	(10 86)	-
MARRIOTT VACATIONS WORLDWIDE	5/6/2011	11/28/2011	66 11	55 62	(10 49)	-
MARRIOTT VACATIONS WORLDWIDE	10/7/2011	11/28/2011	25 83	27 81	1 98	-
MARRIOTT VACATIONS WORLDWIDE	10/11/2011	11/28/2011	28 18	29 55	1 37	-
MARRIOTT VACATIONS WORLDWIDE	10/28/2011	11/28/2011	15 08	13 91	(1 17)	-
MEAD JOHNSON NUTRITION CO	8/6/2009	4/11/2012	259 87	580 15	-	320 28
MEAD JOHNSON NUTRITION CO	8/7/2009	4/11/2012	613 13	1,326 05	-	712 92
MEAD JOHNSON NUTRITION CO	8/10/2009	4/11/2012	394 79	828 78	-	433 99
MEAD JOHNSON NUTRITION CO	8/11/2009	4/11/2012	159 18	331 51	-	172 34
NATIONAL OILWELL VARCO INC	11/4/2010	9/30/2011	757 15	665 20	(91 95)	-
NATIONAL OILWELL VARCO INC	11/5/2010	9/30/2011	996 81	869 87	(126 93)	-
NATIONAL OILWELL VARCO INC	11/5/2010	6/26/2012	938 17	946 38	-	8 21
NATIONAL OILWELL VARCO INC	12/1/2010	6/26/2012	2,053 24	1,951 90	-	(101 34)
NIKE INC CL B	9/7/2010	7/1/2011	1,111 96	1,369 32	257 36	-
NIKE INC CL B	9/7/2010	4/11/2012	3,187 61	4,631 42	-	1,443 81
NIKE INC CL B	9/7/2010	6/25/2012	2,149 78	2,826 85	-	677 07
OCCIDENTAL PETROLEUM CORP	1/29/2010	5/5/2011	630 54	697 27	-	66 73
OCCIDENTAL PETROLEUM CORP	1/28/2010	7/27/2011	1,715 16	2,224 37	-	509 21
OCCIDENTAL PETROLEUM CORP		7/28/2011	857 58	1,111 08	-	253 50
OCCIDENTAL PETROLEUM CORP		8/2/2011	857 58	1,064 77	-	207 19

PART I LINE 6A - CAPITAL GAINS AND LOSSES

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
OCCIDENTAL PETROLEUM CORP		8/5/2011	233 89	261 48	-	27 59
OCCIDENTAL PETROLEUM CORP		8/19/2011	945 82	994 78	-	48 96
OCCIDENTAL PETROLEUM CORP		9/21/2011	1,261 09	1,255 20	-	(5 89)
QUALCOMM INC	3/19/2008	6/8/2012	424 92	642 05	-	217 13
QUALCOMM INC	7/25/2008	6/8/2012	1,303 20	1,400 85	-	97 65
QUALCOMM INC	12/5/2008	6/8/2012	475 77	933 90	-	458 12
ROCKWELL AUTOMATION	8/25/2009	12/22/2011	287 56	508 33	-	220 77
ROCKWELL AUTOMATION	9/1/2009	12/22/2011	998 65	1,742 85	-	744 20
ROCKWELL AUTOMATION	9/10/2009	12/22/2011	1,326 04	2,251 18	-	925 14
ROCKWELL AUTOMATION	12/11/2009	12/22/2011	379 63	580 95	-	201 32
ROCKWELL AUTOMATION		2/28/2012	759 25	1,294 06	-	534 80
ROCKWELL AUTOMATION	6/24/2010	2/28/2012	867 77	1,374 93	-	507 16
ROCKWELL AUTOMATION	8/23/2011	2/28/2012	937 14	1,374 93	437 79	-
ROCKWELL AUTOMATION	10/6/2011	2/28/2012	425 08	566 15	141 07	-
ROCKWELL AUTOMATION	10/14/2011	2/28/2012	525 44	647 03	121 59	-
SCHLUMBERGER LTD	8/9/2007	6/25/2012	4,281 22	2,773 27	-	(1,507 95)
SCHLUMBERGER LTD	7/14/2008	6/25/2012	205 53	120 58	-	(84 95)
SCRIPPS NETWORK INTERACTIVE	9/2/2010	8/19/2011	84 58	78 50	(6 08)	-
SCRIPPS NETWORK INTERACTIVE	9/7/2010	8/19/2011	763 39	706 49	(56 91)	-
SCRIPPS NETWORK INTERACTIVE	9/8/2010	8/19/2011	84 99	78 50	(6 49)	-
SCRIPPS NETWORK INTERACTIVE	9/14/2010	8/19/2011	89 93	78 50	(11 43)	-
SCRIPPS NETWORK INTERACTIVE		8/19/2011	404 66	351 44	-	(53 22)
SCRIPPS NETWORK INTERACTIVE	9/15/2010	8/19/2011	681 50	585 74	(95 76)	-
SCRIPPS NETWORK INTERACTIVE		10/5/2011	272 60	222 81	-	(49 79)
SCRIPPS NETWORK INTERACTIVE	9/16/2010	10/5/2011	632 55	519 89	-	(112 66)
SCRIPPS NETWORK INTERACTIVE	9/17/2010	10/5/2011	822 34	668 43	-	(153 91)
SCRIPPS NETWORK INTERACTIVE	9/20/2010	10/5/2011	556 91	445 62	-	(111 29)
SCRIPPS NETWORK INTERACTIVE	9/21/2010	10/5/2011	324 07	259 95	-	(64 12)
SCRIPPS NETWORK INTERACTIVE	10/13/2010	10/5/2011	242 37	185 68	(56 69)	-
SCRIPPS NETWORK INTERACTIVE	10/14/2010	10/5/2011	240 87	185 68	(55 19)	-
SCRIPPS NETWORK INTERACTIVE	10/15/2010	10/5/2011	288 85	222 81	(66 04)	-
SCRIPPS NETWORK INTERACTIVE	10/18/2010	10/5/2011	192 64	148 54	(44 10)	-
SCRIPPS NETWORK INTERACTIVE	10/19/2010	10/5/2011	332 20	259 95	(72 25)	-
SCRIPPS NETWORK INTERACTIVE	10/20/2010	10/5/2011	145 33	111 41	(33 92)	-
SCRIPPS NETWORK INTERACTIVE	10/21/2010	10/5/2011	386 67	297 08	(89 59)	-
SCRIPPS NETWORK INTERACTIVE	10/22/2010	10/5/2011	144 67	111 41	(33 26)	-
SCRIPPS NETWORK INTERACTIVE	10/25/2010	10/5/2011	145 41	111 41	(34 00)	-
SCRIPPS NETWORK INTERACTIVE	10/26/2010	10/5/2011	246 50	185 68	(60 82)	-
SCRIPPS NETWORK INTERACTIVE	1/7/2011	10/5/2011	1,067 00	816 98	(250 02)	-
STARBUCKS CORP	5/24/2011	5/14/2012	3,918 03	5,846 06	1,928 03	-
SUNCOR ENERGY INC	1/27/2011	12/21/2011	5,408 09	3,826 41	(1,581 68)	-
SUNCOR ENERGY INC	1/31/2011	12/21/2011	1,228 25	819 94	(408 31)	-
SUNCOR ENERGY INC	2/3/2011	12/21/2011	1,267 50	819 94	(447 56)	-
SUNCOR ENERGY INC	2/4/2011	12/21/2011	1,083 94	710 62	(373 32)	-
SUNCOR ENERGY INC	2/16/2011	12/21/2011	1,699 23	1,065 93	(633 30)	-
SUNCOR ENERGY INC	5/31/2011	12/21/2011	1,442 62	929 27	(513 35)	-
TE CONNECTIVITY LTD	1/12/2011	4/12/2012	5,554 47	5,428 53	-	(125 94)
TE CONNECTIVITY LTD	1/26/2011	4/12/2012	2,712 10	2,608 51	-	(103 59)
TE CONNECTIVITY LTD	2/1/2011	4/12/2012	1,452 75	1,374 76	-	(77 99)
TE CONNECTIVITY LTD	3/4/2011	4/12/2012	1,643 40	1,586 26	-	(57 14)
TE CONNECTIVITY LTD	6/23/2011	4/12/2012	1,060 82	1,092 76	31 94	-
TE CONNECTIVITY LTD	6/30/2011	4/12/2012	1,440 66	1,374 76	(65 90)	-
TRIMBLE NAV LTD	9/13/2010	6/8/2012	611 37	952 38	-	341 01
TRIMBLE NAV LTD	9/14/2010	6/8/2012	1,170 79	1,761 90	-	591 11
TRIMBLE NAV LTD	9/15/2010	6/8/2012	160 02	238 09	-	78 08

DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	SHORT-TERM GAIN/(LOSS)	LONG-TERM GAIN/(LOSS)
TRIMBLE NAV LTD	9/15/2010	6/25/2012	736 07	1,040 27	-	304 19
TRIMBLE NAV LTD	9/16/2010	6/25/2012	545 08	768 89	-	223 81
TRIMBLE NAV LTD	9/17/2010	6/25/2012	161 77	226 15	-	64 38
TRIMBLE NAV LTD	9/20/2010	6/25/2012	267 59	361 83	-	94 24
TRIMBLE NAV LTD	10/26/2010	6/25/2012	217 21	271 37	-	54 16
TRIMBLE NAV LTD	10/27/2010	6/25/2012	611 49	768 89	-	157 40
UNITED PARCEL SERVICE	4/8/2009	8/19/2011	675 66	804 51	-	128 85
UNITED PARCEL SERVICE	4/9/2009	8/19/2011	161 93	185 66	-	23 73
UNITED PARCEL SERVICE	4/9/2009	5/1/2012	917 58	1,337 58	-	420 01
UNITED PARCEL SERVICE	4/16/2009	5/1/2012	703 69	1,022 86	-	319 17
UNITED PARCEL SERVICE	6/25/2009	5/1/2012	1,224 04	1,967 03	-	742 99
UNITED PARCEL SERVICE	7/28/2009	5/1/2012	1,593 68	2,360 44	-	766 76
UNITED PARCEL SERVICE	8/25/2009	5/1/2012	1,140 60	1,652 31	-	511 71
UNITED PARCEL SERVICE	9/10/2009	5/1/2012	1,733 96	2,439 12	-	705 16
UNITED PARCEL SERVICE	12/11/2009	5/1/2012	1,164 97	1,573 62	-	408 65
MAXIM INTEGRATED PRODUCTS, INC SETTLEMENT				270 75		270 75
TD BANK NORTH SETTLEMENT				853 45		853 45
GLOBAL CROSSING LTD SETTLEMENT				33 16		33 16
HEALTHWAYS INC SETTLEMENT				18 19		18 19
			-	-	-	-
			<u>1,556,691 20</u>	<u>1,512,953 10</u>	<u>(56,890 52)</u>	<u>13,152 42</u>
		SHORT TERM	682,991 02	626,100 50	(56,890 52)	-
		LONG TERM	<u>873,700 18</u>	<u>886,852 60</u>	-	13 152 42
			1,556,691 20	1,512,953 10	(56,890 52)	13,152 42

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
THRU PARTNERSHIP INVESTMENT	-727.	-727.
TOTALS	-727.	-727.

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BERDON LLP	19,862.	9,931.		9,931.
TOTALS	<u>19,862.</u>	<u>9,931.</u>		<u>9,931.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

REVENUE
AND
EXPENSES
PER BOOKS

CHARITABLE
PURPOSES

DESCRIPTION

CONSULTING FEES

83.

83.

TOTALS

83.

83.

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL EXCISE TAX	850.		
FLORIDA FILING FEES	53.		53.
FOREIGN TAXES	2,379.	2,379.	
NYS FILING FEES	250.		250.
PAYROLL TAXES	6,432.		6,432.
NYS UBT	534.		
TOTALS	<u>10,498.</u>	<u>2,379.</u>	<u>6,735.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT FEES	27,174.	27,174.	
BANK FEES	250.	250.	
MISCELLANEOUS EXPENSE	486.	243.	243.
TOTALS	27,910.	27,667.	243.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSDESCRIPTIONENDING
BOOK VALUEATTACHMENT 6ENDING
FMV

U.S. OBLIGATIONS

518,723. 530,034.

US OBLIGATIONS TOTAL

518,723. 530,034.

BROOKDALE FOUNDATION**FORM 990 PF****ID # 13-6076863****June 30, 2012****PART II LINE 10A - INVESTMENTS U.S. AND STATE GOVERNMENT OBLIGATIONS**

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
FNMA PL#888283, 5%	1,036.30	1,045.81
FNMA PL#889974, 5%	8,957.08	9,017.02
FNMA PL#AE0115, 5.5%	10,086.28	10,274.76
FNMA PL#745275, 5%	595.36	644.93
FNMA PL#745275, 5%	906.79	967.39
FNMA PL#745275, 5%	612.57	644.93
FNMA PL#745275, 5%	617.37	644.93
FNMA PL#745275, 5%	3,145.82	3,224.65
FNMA PL#745275, 5%	945.15	967.39
FNMA PL#745275, 5%	947.77	967.39
FHLMC PL#A79234, 5%	7,151.87	7,141.78
FNMA PL#256635, 5%	12,517.71	13,080.92
FNMA PL#AE0609, 5.5%	3,394.50	3,377.34
FHLMC PL#G03432, 5.5%	10,765.71	11,231.97
FHLMC PL#G03432, 5.5%	6,971.54	7,052.63
FHLMC PL#G03432, 5.5%	2,328.76	2,350.88
FNMA PL#889579, 6%	8,875.90	8,963.72
FNMA PL#889579, 6%	10,760.25	10,865.11
FHLMC PL#G04688	2,515.08	2,533.48
FNMA PL#995876, 6%	3,670.47	3,849.46
FNMA PL#AD0440, 6%	2,616.35	2,718.06
FHLMC PL#G06031, 5.5%	23,120.00	23,758.08
FHLMC PL#A97047, 4.5%	24,287.42	25,847.51
FNMA PL#AH0057, 4.5%	39,433.94	42,411.67
FNMA PL#AB4115, 4%	47,281.22	47,688.76
FNMA PL#AB4297, 3.5%	30,148.17	30,764.13
FNMA PL#AB5468, 3.5%	32,443.81	32,597.50
US TSY INFL INDEX NTS CPI U NON-SEASONALLY ADJ 3%	39,372.15	37,117.36
UNITED STATES TREAS NTS, 1.375%	31,856.71	32,674.88
UNITED STATES TREAS NTS, 2.125%	30,347.70	31,532.70
UNITED STATES TREAS NTS, 2%	19,082.75	19,699.20
UNITED STATES TREAS NTS, 2%	4,025.84	4,147.20
UNITED STATES TREAS NTS, 2%	4,066.56	4,147.20
UNITED STATES TREAS NTS, 2%	25,101.87	25,920.00

UNITED STATES TREAS NTS, 2%	4,856.32	5,184.00
UNITED STATES TREAS NTS, 2%	9,082.50	9,303.03
UNITED STATES TREAS NTS, 2%	6,001.88	6,202.02
UNITED STATES TREAS NTS, 2%	6,975.06	7,235.69
FEDERAL NATL MTG ASSN NOTES, 0.750%	27,837.22	28,185.08
FEDERAL HOME LN MTG CORP NOTES, 0.625%	<u>13,982.93</u>	<u>14,053.76</u>
TOTAL	<u>518,722.68</u>	<u>530,034.32</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE STATEMENT ATTACHED	2,152,249.	2,222,806.
TOTALS	<u>2,152,249.</u>	<u>2,222,806.</u>

BROOKDALE FOUNDATION
FORM 990 PF
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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
ACME PACKET INC	1,473.70	913.85
ACME PACKET INC	3,087.07	1,846.35
ACME PACKET INC	2,133.42	1,305.50
ACME PACKET INC	1,467.52	895.20
ACME PACKET INC	1,597.18	1,119.00
ACTUANT CORP	3,415.54	3,585.12
ACTUANT CORP	1,587.01	1,819.72
ACTUANT CORP	1,437.30	1,711.08
ACTUANT CORP	1,491.05	1,629.60
AIRGAS INC	878.47	1,176.14
AIRGAS INC	1,457.82	1,848.22
AIRGAS INC	1,938.22	2,436.29
AIRGAS INC	1,619.78	2,184.26
ALLEGHENY TECHNOLOGIES	2,508.36	1,339.38
ALLEGHENY TECHNOLOGIES	1,763.69	892.92
ALLEGHENY TECHNOLOGIES	1,667.62	829.14
ALLEGHENY TECHNOLOGIES	1,543.53	924.81
ALLEGHENY TECHNOLOGIES	1,342.21	988.59
ALLEGHENY TECHNOLOGIES	1,536.11	988.59
AMERIGROUP CORP	4,845.15	4,745.52
AMERIGROUP CORP	1,645.39	1,581.84
AMERIGROUP CORP	1,420.28	1,384.11
AMERIGROUP CORP	1,428.02	1,515.93
ARCH CAPITAL GROUP LTD	928.85	992.25
ARCH CAPITAL GROUP LTD	2,125.41	2,262.33
ARCH CAPITAL GROUP LTD	1,560.86	1,627.29
ARCH CAPITAL GROUP LTD	3,067.97	3,095.82
ARCH CAPITAL GROUP LTD	1,431.14	1,468.53
ARCH CAPITAL GROUP LTD	1,483.84	1,508.22
ARCH CAPITAL GROUP LTD	1,398.76	1,468.53
ARCH CAPITAL GROUP LTD	1,414.66	1,508.22
ATMEL CORP	4,282.97	2,784.65
ATMEL CORP	1,432.69	912.56
ATMEL CORP	1,405.52	959.53
ATMEL CORP	1,298.45	993.08
ATMEL CORP	1,344.27	993.08
AVIS BUDGET GROUP INC	194.18	167.20
AVIS BUDGET GROUP INC	1,641.88	1,580.80
AVIS BUDGET GROUP INC	1,269.24	1,641.60
AVIS BUDGET GROUP INC	1,469.22	1,808.80

BROOKDALE FOUNDATION
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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
BALLY TECHNOLOGIES INC	1,257.65	1,819.74
BALLY TECHNOLOGIES INC	678.82	979.86
BALLY TECHNOLOGIES INC	1,275.45	1,819.74
BALLY TECHNOLOGIES INC	1,224.27	1,773.08
BALLY TECHNOLOGIES INC	1,459.46	1,913.06
BALLY TECHNOLOGIES INC	118.80	139.98
CABOT OIL & GAS CORP	5,623.64	6,816.20
CABOT OIL & GAS CORP	1,623.47	1,812.40
CAMDEN PPTY TR	3,663.02	4,466.22
CAMDEN PPTY TR	1,106.51	1,421.07
CAMDEN PPTY TR	1,489.38	1,691.75
CAMDEN PPTY TR	1,416.47	1,421.07
CAREFUSION CORP	753.47	796.08
CAREFUSION CORP	1,584.02	1,669.20
CAREFUSION CORP	1,531.73	1,515.12
CAREFUSION CORP	1,580.24	1,515.12
CAREFUSION CORP	165.18	154.08
CAVIUM INC	4,539.55	4,004.00
CAVIUM INC	1,377.36	1,176.00
CAVIUM INC	1,359.57	1,148.00
CAVIUM INC	1,340.82	1,400.00
CAVIUM INC	1,563.57	1,344.00
CHART INDS INC	2,711.20	2,887.92
CHICOS FAS INC	2,834.93	2,819.60
CHICOS FAS INC	1,497.83	1,454.32
CHICOS FAS INC	1,366.28	1,365.28
CHICOS FAS INC	1,401.04	1,469.16
CHICOS FAS INC	1,419.70	1,498.84
CIENA CORP	929.59	1,129.53
CIENA CORP	2,018.19	2,602.83
CIENA CORP	1,325.18	1,964.40
CINEMARK HOLDINGS INC	2,089.12	2,467.80
CINEMARK HOLDINGS INC	1,805.61	2,125.05
CINEMARK HOLDINGS INC	1,631.21	1,805.15
CINEMARK HOLDINGS INC	1,312.92	1,462.40
CINEMARK HOLDINGS INC	1,399.10	1,462.40
COMERICA INC	2,471.61	2,548.93
COMERICA INC	-	-
COMERICA INC	-	-
COMERICA INC	2,771.55	2,149.70

BROOKDALE FOUNDATION
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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
COMERICA INC	1,665.85	1,320.53
COMERICA INC	157.88	122.84
COMERICA INC	1,796.69	1,381.95
COMERICA INC	1,592.69	1,320.53
COMERICA INC	1,695.73	1,566.21
COMERICA INC	1,451.96	1,719.76
CROWN HLDGS INC	4,430.63	4,207.78
CROWN HLDGS INC	1,390.49	1,310.62
CROWN HLDGS INC	1,546.94	1,448.58
DAVITA INC	1,078.61	1,669.57
DAVITA INC	1,474.10	2,258.83
DIAMONDROCK HOSPITALITY CO	1,232.73	1,397.40
DIAMONDROCK HOSPITALITY CO	1,587.25	1,540.20
DIAMONDROCK HOSPITALITY CO	2,196.35	2,172.60
DIGITAL RIVER INC	712.37	432.12
DIGITAL RIVER INC	1,432.75	814.38
DIGITAL RIVER INC	1,417.34	764.52
DIGITAL RIVER INC	620.24	382.26
DIGITAL RIVER INC	572.11	349.02
DIGITAL RIVER INC	2,334.24	1,196.64
DIGITAL RIVER INC	316.25	149.58
DIGITAL RIVER INC	405.66	199.44
DIGITAL RIVER INC	533.34	265.92
DIGITAL RIVER INC	1,818.37	864.24
DIGITAL RIVER INC	1,624.33	797.76
DIGITAL RIVER INC	1,691.04	1,080.30
ESTERLINE TECH CORP	1,985.67	1,621.10
ESTERLINE TECH CORP	1,850.89	1,496.40
ESTERLINE TECH CORP	1,547.13	1,309.35
ESTERLINE TECH CORP	1,353.64	1,247.00
ESTERLINE TECH CORP	1,418.55	1,558.75
ESTERLINE TECH CORP	1,559.41	1,496.40
FLOWSERVE CORP	4,464.19	4,704.75
FLOWSERVE CORP	1,483.79	1,491.75
FLOWSERVE CORP	1,686.92	1,606.50
FLOWSERVE CORP	1,442.07	1,491.75
GAYLORD ENTERTAINMENT CO	436.94	616.96
GAYLORD ENTERTAINMENT CO	1,327.56	1,889.44
GAYLORD ENTERTAINMENT CO	1,240.07	1,426.72
GAYLORD ENTERTAINMENT CO	1,463.94	1,658.08

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
GAYLORD ENTERTAINMENT CO	151.08	154.24
GAYLORD ENTERTAINMENT CO	1,521.00	2,159.36
GAYLORD ENTERTAINMENT CO	1,365.82	2,159.36
GUESS INC	2,982.19	2,702.93
GUESS INC	1,567.56	1,397.02
GUESS INC	1,566.43	1,397.02
GUESS INC	1,518.43	1,305.91
GUESS INC	1,484.24	1,488.13
GUESS INC	1,854.79	1,731.09
HANCOCK HLDG CO	6,850.62	6,392.40
HANCOCK HLDG CO	875.42	791.44
HANCOCK HLDG CO	810.54	730.56
HANCOCK HLDG CO	1,269.26	1,187.16
HANCOCK HLDG CO	521.94	487.04
HANCOCK HLDG CO	1,708.35	1,704.64
HANCOCK HLDG CO	1,309.70	1,400.24
HARRY WINSTON DIAMOND CORP	1,532.63	1,235.19
HARRY WINSTON DIAMOND CORP	1,600.54	1,325.84
HARRY WINSTON DIAMOND CORP	1,564.65	1,235.19
HARRY WINSTON DIAMOND CORP	898.50	781.91
HARRY WINSTON DIAMOND CORP	591.54	509.94
HOLOGIC INC	2,132.66	2,615.80
HOLOGIC INC	1,419.79	1,461.24
HOLOGIC INC	1,449.35	1,443.20
HOLOGIC INC	1,390.99	1,551.44
HOLOGIC INC	1,420.59	1,587.52
HOLOGIC INC	1,525.70	1,695.76
HOLOGIC INC	1,460.50	1,569.48
HOLOGIC INC	394.59	360.80
ICON PUB LTD CO	1,887.17	1,937.58
ICON PUB LTD CO	1,688.14	1,734.81
ICON PUB LTD CO	557.67	540.72
ICON PUB LTD CO	350.88	337.95
ICON PUB LTD CO	747.72	720.96
ICON PUB LTD CO	1,597.48	1,577.10
ICON PUB LTD CO	1,826.49	1,869.99
ICON PUB LTD CO	87.96	90.12
ICON PUB LTD CO	1,603.09	1,802.40
ICON PUB LTD CO	1,421.78	1,532.04
IDEX CORP	3,126.44	2,884.52

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
IDEX CORP	1,574.00	1,481.24
IDEX CORP	1,537.43	1,364.30
IDEX CORP	1,560.57	1,403.28
INFORMATICA CORP	2,366.30	2,711.04
INFORMATICA CORP	1,524.96	1,652.04
INFORMATICA CORP	1,434.89	1,397.88
INTERPUBLIC GROUP COS INC	4,355.08	5,891.55
INTERPUBLIC GROUP COS INC	1,258.20	1,714.30
INTERPUBLIC GROUP COS INC	1,385.01	1,475.60
JDS UNIPHASE CORP	2,636.50	2,750.00
JDS UNIPHASE CORP	1,286.95	1,375.00
JDS UNIPHASE CORP	1,396.97	1,463.00
JDS UNIPHASE CORP	1,317.09	1,375.00
JDS UNIPHASE CORP	1,361.74	1,320.00
JDS UNIPHASE CORP	1,467.54	1,331.00
JDS UNIPHASE CORP	1,428.43	1,507.00
KBR INC	3,034.35	2,223.90
KBR INC	3,042.81	2,199.19
KBR INC	1,467.28	1,260.21
KANSAS CITY SOUTHERN INDS	1,958.58	4,034.48
KANSAS CITY SOUTHERN INDS	1,291.63	1,878.12
KANSAS CITY SOUTHERN INDS	1,584.69	1,599.88
KANSAS CITY SOUTHERN INDS	1,837.21	1,808.56
LASALLE HOTEL PPTYS	1,563.34	1,660.98
LASALLE HOTEL PPTYS	1,650.81	1,719.26
LASALLE HOTEL PPTYS	1,445.97	1,457.00
LASALLE HOTEL PPTYS	1,451.59	1,457.00
LEAR CORP	3,527.30	2,641.10
LEAR CORP	1,767.57	1,358.28
LEAR CORP	1,706.25	1,320.55
LEAR CORP	1,628.62	1,207.36
LEAR CORP	1,391.36	1,245.09
LEAR CORP	1,457.47	1,169.63
LEGG MASON INC	2,460.31	1,872.27
LEGG MASON INC	35.00	26.37
LEGG MASON INC	1,658.65	1,213.02
LEGG MASON INC	1,806.14	1,397.61
LEGG MASON INC	1,700.02	1,344.87
LEGG MASON INC	1,570.40	1,529.46
MYRIAD GENETICS INC	1,800.22	2,377.00

BROOKDALE FOUNDATION
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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
MYRIAD GENETICS INC	1,441.13	1,711.44
MYRIAD GENETICS INC	1,365.45	1,640.13
MYRIAD GENETICS INC	76.48	95.08
MYRIAD GENETICS INC	1,713.88	2,067.99
MYRIAD GENETICS INC	1,783.57	2,115.53
MYRIAD GENETICS INC	1,721.91	2,020.45
MYRIAD GENETICS INC	1,424.65	1,473.74
NII HLDGS INC	4,465.24	1,329.90
NII HLDGS INC	1,454.92	398.97
NII HLDGS INC	1,418.23	368.28
NII HLDGS INC	1,504.33	378.51
NII HLDGS INC	83.76	20.46
NII HLDGS INC	1,400.71	603.57
NII HLDGS INC	1,565.04	726.33
NABORS INDUSTRIES LTD	518.36	331.20
NABORS INDUSTRIES LTD	1,655.64	1,036.80
NABORS INDUSTRIES LTD	154.56	86.40
NABORS INDUSTRIES LTD	1,706.13	835.20
NABORS INDUSTRIES LTD	1,794.27	878.40
NABORS INDUSTRIES LTD	1,791.43	979.20
NABORS INDUSTRIES LTD	1,958.72	1,123.20
NISOURCE INC	2,525.38	2,871.00
NISOURCE INC	1,285.78	1,485.00
NISOURCE INC	2,582.57	2,945.25
NISOURCE INC	1,481.80	1,608.75
NISOURCE INC	2,374.11	2,499.75
OWENS ILLINOIS INC NEW	739.29	421.74
OWENS ILLINOIS INC NEW	1,249.82	920.16
OWENS ILLINOIS INC NEW	1,337.11	881.82
OWENS ILLINOIS INC NEW	1,249.77	900.99
OWENS ILLINOIS INC NEW	1,283.79	920.16
OWENS ILLINOIS INC NEW	1,417.40	996.84
OWENS ILLINOIS INC NEW	1,453.20	996.84
OWENS ILLINOIS INC NEW	1,537.81	1,016.01
OWENS ILLINOIS INC NEW	1,249.44	785.97
OWENS ILLINOIS INC NEW	456.28	287.55
OWENS ILLINOIS INC NEW	1,800.37	1,131.03
PENN NATL GAMING INC	3,233.74	3,968.51
PENN NATL GAMING INC	1,661.14	2,140.32
PENN NATL GAMING INC	1,727.31	2,274.09

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
PENN NATL GAMING INC	73.26	89.18
PENN NATL GAMING INC	1,704.11	2,051.14
PENN NATL GAMING INC	1,779.34	1,917.37
PENN NATL GAMING INC	1,608.48	1,917.37
PLAINS EXPL & PRODTN CO	3,420.59	2,884.76
RF MICRO DEVICES INC	915.79	616.25
RF MICRO DEVICES INC	494.94	340.00
RF MICRO DEVICES INC	1,641.25	1,313.25
RF MICRO DEVICES INC	1,342.37	1,130.50
RF MICRO DEVICES INC	2,401.83	1,912.50
RF MICRO DEVICES INC	1,881.49	1,661.75
RF MICRO DEVICES INC	1,619.45	1,432.25
RALCORP HOLDINGS INC	4,069.52	4,204.62
RALCORP HOLDINGS INC	1,046.41	1,067.84
RALCORP HOLDINGS INC	1,279.01	1,134.58
RALCORP HOLDINGS INC	2,134.42	1,935.46
RALCORP HOLDINGS INC	1,545.94	1,401.54
REGAL BELOIT CORP	1,421.75	1,307.46
REGAL BELOIT CORP	1,804.77	1,681.02
REGAL BELOIT CORP	1,733.54	1,618.76
REGAL BELOIT CORP	1,781.16	1,618.76
REGAL BELOIT CORP	1,535.01	1,431.98
RIVERBED TECHNOLOGY INC	3,970.44	2,826.25
RIVERBED TECHNOLOGY INC	1,438.92	888.25
RIVERBED TECHNOLOGY INC	1,357.73	823.65
RIVERBED TECHNOLOGY INC	1,364.01	904.40
RIVERBED TECHNOLOGY INC	1,417.51	1,211.25
RIVERBED TECHNOLOGY INC	1,407.72	1,275.85
ROWAN COMPANIES PLC SHS	1,352.27	1,228.54
ROWAN COMPANIES PLC SHS	1,466.67	1,357.86
ROWAN COMPANIES PLC SHS	1,417.26	1,293.20
ROWAN COMPANIES PLC SHS	1,468.58	1,325.53
ROWAN COMPANIES PLC SHS	1,327.17	1,260.87
ROWAN COMPANIES PLC SHS	3,062.70	2,909.70
ROWAN COMPANIES PLC SHS	1,612.72	1,422.52
SBA COMMUNICATIONS CORP	3,216.60	5,248.60
SBA COMMUNICATIONS CORP	1,723.44	2,681.35
SBA COMMUNICATIONS CORP	1,375.38	2,282.00
SBA COMMUNICATIONS CORP	1,342.36	2,224.95
SL GREEN RLTY CORP	2,785.06	3,049.12

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
SL GREEN RLTY CORP	2,839.93	3,289.84
SL GREEN RLTY CORP	1,257.99	1,524.56
SL GREEN RLTY CORP	1,391.45	1,604.80
SL GREEN RLTY CORP	1,360.76	1,524.56
SL GREEN RLTY CORP	1,355.39	1,765.28
SPIRIT AEROSYSTEMS HLDGS	1,747.52	1,715.76
SPIRIT AEROSYSTEMS HLDGS	25.39	23.83
SPIRIT AEROSYSTEMS HLDGS	2,217.71	2,192.36
SPIRIT AEROSYSTEMS HLDGS	1,788.77	1,763.42
SPIRIT AEROSYSTEMS HLDGS	1,850.09	1,787.25
SPIRIT AEROSYSTEMS HLDGS	1,712.77	1,906.40
TRINITY INDS INC	5,946.24	5,770.38
TRINITY INDS INC	1,471.80	1,473.82
TRIQUINT SEMICONDUCTOR INC	3,600.37	2,733.50
TRIQUINT SEMICONDUCTOR INC	1,567.46	1,116.50
TRIQUINT SEMICONDUCTOR INC	1,310.87	1,028.50
TRIQUINT SEMICONDUCTOR INC	1,294.62	1,386.00
TRIQUINT SEMICONDUCTOR INC	1,452.61	1,556.50
TRIUMPH GROUP INC	2,859.48	2,588.42
TRIUMPH GROUP INC	1,420.95	1,294.21
TRIUMPH GROUP INC	2,825.60	2,700.96
VALUECLICK INC	69.98	114.73
VALUECLICK INC	1,514.19	2,376.55
VALUECLICK INC	1,583.60	2,556.84
VALUECLICK INC	85.68	98.34
VALUECLICK INC	1,730.51	1,901.24
VARIAN MEDICAL SYSTEMS	187.56	243.08
VARIAN MEDICAL SYSTEMS	-	-
VARIAN MEDICAL SYSTEMS	-	-
VARIAN MEDICAL SYSTEMS	1,363.74	1,640.79
VARIAN MEDICAL SYSTEMS	137.64	121.54
VARIAN MEDICAL SYSTEMS	1,790.26	1,580.02
VARIAN MEDICAL SYSTEMS	1,672.32	1,519.25
VARIAN MEDICAL SYSTEMS	1,271.74	1,458.48
VISTEON CORP	912.06	450.00
VISTEON CORP	1,730.29	937.50
VISTEON CORP	1,757.55	1,050.00
VISTEON CORP	1,807.27	1,087.50
VISTEON CORP	1,371.74	1,050.00
WMS INDS INC	4,774.80	4,329.15

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
WMS INDS INC	1,727.74	1,536.15
WMS INDS INC	1,433.19	1,177.05
WMS INDS INC	834.95	698.25
WMS INDS INC	619.38	518.70
WMS INDS INC	1,498.37	1,316.70
WARNACO GROUP INC	1,220.15	979.34
WARNACO GROUP INC	1,475.68	1,234.82
WARNACO GROUP INC	1,363.86	1,192.24
WARNACO GROUP INC	1,300.10	1,192.24
WARNACO GROUP INC	1,379.27	1,277.40
WARNACO GROUP INC	1,384.45	1,192.24
WARNACO GROUP INC	1,494.56	1,192.24
WARNER CHILCOTT PLC	544.13	627.55
WARNER CHILCOTT PLC	1,430.55	1,631.63
WARNER CHILCOTT PLC	1,433.78	1,541.98
WARNER CHILCOTT PLC	1,492.66	1,595.77
WARNER CHILCOTT PLC	1,535.51	1,649.56
WARNER CHILCOTT PLC	1,423.97	1,506.12
WARNER CHILCOTT PLC	1,679.96	1,739.21
WHITING PETE CORP	3,979.64	3,659.68
WHITING PETE CORP	1,348.91	1,192.48
WHITING PETE CORP	1,509.03	1,356.96
WHITING PETE CORP	1,193.34	1,069.12
ZIONS BANCORP	1,143.58	1,747.80
ZIONS BANCORP	1,396.12	1,068.10
ZIONS BANCORP	1,763.11	1,592.44
ZIONS BANCORP	1,231.25	1,204.04
ZIONS BANCORP	1,283.93	1,301.14
ZIONS BANCORP	297.48	233.04
ZIONS BANCORP	1,273.02	1,456.50
ZIONS BANCORP	1,479.99	1,670.12
ZIONS BANCORP	1,301.49	1,378.82
ZIONS BANCORP	1,276.99	1,223.46
ALLIANZ SE	12,916.75	6,074.20
ALLIANZ SE	3,584.38	3,212.80
AMERICA MOVIL SAB DE C V	4,202.34	4,352.02
AMERICA MOVIL SAB DE C V	4,235.18	4,795.04
ASAHI KAISEI CORP	7,993.70	6,024.90
BANCO BILBAO VIZCAYA-SP	2,436.27	1,315.02

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
BANCO BILBAO VIZCAYA-SP	2,733.04	1,611.96
BANCO DO BRASIL	6,675.23	4,365.11
BASF SE COMMON STOCK	5,603.50	6,247.53
BAYER AG	3,721.44	4,971.93
BAYER AG	3,208.26	3,891.08
BHP BILLITON LTD	3,245.07	5,158.70
BHP BILLITON LTD	2,829.25	2,285.50
BHP BILLITON LTD	2,624.76	2,089.60
BNP PARIBAS	9,657.00	3,464.64
BNP PARIBAS	2,689.26	1,308.86
BNP PARIBAS	3,595.78	2,790.96
BP PLC	7,619.87	7,945.84
CREDIT SUISSE GROUP	6,080.04	2,822.82
CREDIT SUISSE GROUP	1,672.63	824.85
CREDIT SUISSE GROUP	3,187.13	2,474.55
CREDIT SUISSE GROUP	2,373.68	1,833.00
DAIMLER AG	6,149.27	5,651.73
DAIMLER AG	2,570.88	2,601.59
DESARROLLADORA HOMEX	3,974.51	2,233.00
DESARROLLADORA HOMEX	2,570.63	2,217.60
DESARROLLADORA HOMEX	3,974.74	2,926.00
DEUTSCHE LUFTHANSA AG	5,450.78	2,417.09
DEUTSCHE LUFTHANSA AG	1,951.70	1,387.80
DEUTSCHE LUFTHANSA AG	3,814.21	2,579.00
FUJITSU LTD	6,584.76	4,987.50
FUJITSU LTD	1,832.35	1,353.75
FUJITSU LTD	2,611.30	2,280.00
GDF SUEZ	4,148.75	3,474.95
GDF SUEZ	4,191.44	3,379.74
GDF SUEZ	2,478.55	2,261.10
HANNOVER REINS CORP	4,018.55	5,325.79
HANNOVER REINS CORP	4,626.04	5,653.07
HSBC HLDGS PLC	8,765.12	9,179.04
IMPERIAL TOBACCO GROUP PLC	4,616.02	5,775.83
ING GROEP N V	8,839.64	6,780.20
KOMATSU LTD	5,665.85	5,860.71
KOMATSU LTD	5,400.47	4,189.59
KONINKLIJKE AHOLD NV ADR	7,999.55	8,062.60
KURARAY CO LTD	7,585.18	6,564.38
MITSUBISHI CORP	10,972.50	8,401.05

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
MTN GROUP LTD	5,981.03	6,323.41
NATIONAL AUSTRALIA BK LTD	4,056.50	8,059.42
NEXEN INC	11,913.42	8,389.84
NEXEN INC	1,178.72	1,268.63
NISSAN MOTOR LTD	8,567.70	7,837.08
NOVARTIS AG	3,055.29	4,472.00
NOVARTIS AG	1,325.33	1,285.70
PDG RLTY S A EMPREENDIMENTOS E PARTICIPACOES	1,765.20	818.53
PDG RLTY S A EMPREENDIMENTOS E PARTICIPACOES	2,705.48	1,238.29
PETROLEO BRASILEIRO SA	9,674.24	4,805.12
PETROLEO BRASILEIRO SA	1,905.12	900.96
POSCO	2,488.20	2,332.76
POSCO	1,799.26	1,689.24
POSCO	1,629.63	1,447.92
PRUDENTIAL PLC	13,021.97	11,136.00
REED ELSEVIER NV	11,527.19	6,446.74
REXAM PLC	12,666.16	8,154.95
RIO TINTO PLC	4,025.67	3,776.99
RIO TINTO PLC	4,200.03	4,159.47
ROCHE HLDGS LTD	2,905.46	3,673.02
ROCHE HLDGS LTD	3,752.40	4,753.32
ROLLS ROYCE GROUP PLC	4,579.21	10,765.92
ROYAL DUTCH SHELL PLC	4,043.67	5,454.54
ROYAL DUTCH SHELL PLC	3,840.71	5,244.75
ROYAL KPN N V	1,754.32	1,879.44
ROYAL KPN N V	2,214.00	2,282.18
SANOFI	7,873.66	8,236.04
SBM OFFSHORE NV ADR	6,153.79	2,928.57
SBM OFFSHORE NV ADR	4,359.55	2,541.78
SIEMENS A G	5,583.54	3,530.94
SINGAPORE TELECOMMUNICATIONS LTD	4,176.22	4,506.65
SINGAPORE TELECOMMUNICATIONS LTD	2,260.59	2,578.95
SMITH & NEPHEW P L C	1,388.16	1,449.71
SMITH & NEPHEW P L C	4,415.53	4,299.14
STATOIL ASA	8,711.20	7,754.50
SUMITOMO MITSUI TR HLDGS INC	7,060.13	6,034.32
TELECOM ITALIA	3,842.72	4,097.60
TELENOR ASA	5,210.95	5,689.97
TEVA PHARMACEUTICAL INDUSTRIES LTD	6,304.46	6,192.08
TEVA PHARMACEUTICAL INDUSTRIES LTD	3,947.25	3,746.80

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
VOLKSWAGEN A G ADR	7,007.26	6,907.79
VOLKSWAGEN A G ADR	2,389.69	2,111.55
XSTRATA PLC	4,139.47	2,580.15
XSTRATA PLC	2,948.31	1,991.48
XSTRATA PLC	2,210.10	1,740.98
ZURICH INS GROUP LTD	11,575.56	9,586.73
AES CORP	219.79	218.11
AES CORP	241.61	243.77
AES CORP	254.97	256.60
AES CORP	286.33	295.09
AES CORP	352.21	359.24
AES CORP	356.25	449.05
AES CORP	460.60	436.22
AES CORP	495.14	487.54
AES CORP	504.26	526.03
AES CORP	553.71	551.69
AES CORP	645.57	718.48
AES CORP	662.04	692.82
AES CORP	720.01	833.95
AES CORP	1,943.14	1,975.82
AMERICAN ELECTRIC POWER	377.36	399.00
AMERICAN ELECTRIC POWER	1,506.54	1,396.50
AMERICAN ELECTRIC POWER	10,464.00	8,698.20
AMERICAN EXPRESS CO	219.79	640.31
AMERICAN EXPRESS CO	223.56	756.73
AMERICAN EXPRESS CO	327.85	407.47
AMERICAN EXPRESS CO	343.32	407.47
AMERICAN EXPRESS CO	344.04	523.89
AMERICAN EXPRESS CO	379.93	1,862.72
AMERICAN EXPRESS CO	408.78	523.89
AMERICAN EXPRESS CO	430.53	523.89
AMERICAN EXPRESS CO	549.62	756.73
AMERICAN EXPRESS CO	550.08	698.52
AMERICAN EXPRESS CO	632.79	814.94
AMERICAN EXPRESS CO	5,790.25	5,529.95
AMERIPRISE FINL INC	139.88	261.30
AMERIPRISE FINL INC	224.02	261.30
AMERIPRISE FINL INC	227.90	313.56
AMERIPRISE FINL INC	230.70	418.08

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
AMERIPRISE FINL INC	234.87	418.08
AMERIPRISE FINL INC	264.90	365.82
AMERIPRISE FINL INC	289.20	522.60
AMERIPRISE FINL INC	364.36	522.60
AMERIPRISE FINL INC	448.96	836.16
AMERIPRISE FINL INC	466.71	418.08
AMERIPRISE FINL INC	471.06	522.60
AMERIPRISE FINL INC	492.96	522.60
AMERIPRISE FINL INC	546.12	574.86
AMERIPRISE FINL INC	614.31	1,306.50
AMERIPRISE FINL INC	631.30	836.16
AMERIPRISE FINL INC	669.09	627.12
AMERIPRISE FINL INC	718.83	1,411.02
AMERIPRISE FINL INC	959.28	1,097.46
AT&T INC	544.00	606.22
AT&T INC	12,961.76	11,625.16
BAKER HUGHES INC	94.19	82.20
BAKER HUGHES INC	111.60	123.30
BAKER HUGHES INC	170.63	164.40
BAKER HUGHES INC	238.12	287.70
BAKER HUGHES INC	306.48	287.70
BAKER HUGHES INC	319.23	287.70
BAKER HUGHES INC	321.96	328.80
BAKER HUGHES INC	324.97	287.70
BAKER HUGHES INC	346.00	411.00
BAKER HUGHES INC	428.18	452.10
BAKER HUGHES INC	446.20	411.00
BAKER HUGHES INC	523.08	493.20
BAKER HUGHES INC	532.99	411.00
BAKER HUGHES INC	554.29	657.60
BAKER HUGHES INC	564.40	534.30
BAKER HUGHES INC	601.12	657.60
BAKER HUGHES INC	611.85	493.20
BAKER HUGHES INC	628.03	575.40
BAKER HUGHES INC	650.28	452.10
BAKER HUGHES INC	656.26	452.10
BAKER HUGHES INC	718.25	698.70
BAKER HUGHES INC	965.70	780.90
BAKER HUGHES INC	979.44	1,027.50
CA INC	106.25	162.54

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
CA INC	160.35	189.63
CA INC	175.66	216.72
CA INC	187.77	297.99
CA INC	226.93	352.17
CA INC	274.84	433.44
CA INC	298.92	406.35
CA INC	369.34	568.89
CA INC	369.71	487.62
CA INC	458.59	704.34
CA INC	497.00	514.71
CA INC	544.58	731.43
CA INC	581.12	893.97
CA INC	596.49	921.06
CBS CORP NEW CLASS B	105.42	262.24
CBS CORP NEW CLASS B	157.82	360.58
CBS CORP NEW CLASS B	178.61	393.36
CBS CORP NEW CLASS B	214.28	491.70
CBS CORP NEW CLASS B	228.48	557.26
CBS CORP NEW CLASS B	247.00	524.48
CBS CORP NEW CLASS B	380.36	917.84
CBS CORP NEW CLASS B	469.73	524.48
CBS CORP NEW CLASS B	834.30	1,934.02
CBS CORP NEW CLASS B	1,100.93	2,360.16
CHEVRON CORP	80.00	105.50
CHEVRON CORP	322.07	422.00
CHEVRON CORP	371.39	527.50
CHEVRON CORP	1,704.28	2,215.50
CHEVRON CORP	11,339.73	14,242.50
CISCO SYSTEMS INC	159.35	137.36
CISCO SYSTEMS INC	223.16	240.38
CISCO SYSTEMS INC	300.22	309.06
CISCO SYSTEMS INC	403.45	429.25
CISCO SYSTEMS INC	441.69	463.59
CISCO SYSTEMS INC	442.75	446.42
CISCO SYSTEMS INC	446.80	463.59
CISCO SYSTEMS INC	499.77	515.10
CISCO SYSTEMS INC	503.98	412.08
CISCO SYSTEMS INC	529.12	515.10
CISCO SYSTEMS INC	644.02	618.12
CISCO SYSTEMS INC	684.27	652.46

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
CISCO SYSTEMS INC	711.37	875.67
CISCO SYSTEMS INC	963.94	1,013.03
CISCO SYSTEMS INC	2,414.90	2,661.35
CLIFFS NAT RES INC	275.00	197.16
CLIFFS NAT RES INC	610.84	492.90
CLIFFS NAT RES INC	792.96	542.19
CLIFFS NAT RES INC	3,349.68	2,760.24
COMCAST CORP	121.94	223.79
COMCAST CORP	211.96	447.58
COMCAST CORP	434.72	607.43
COMCAST CORP	916.09	1,502.59
COMCAST CORP	1,571.72	2,749.42
COMCAST CORP	8,109.53	10,358.28
DANA HLDG CORP	139.28	102.48
DANA HLDG CORP	141.20	102.48
DANA HLDG CORP	225.48	153.72
DANA HLDG CORP	229.14	230.58
DANA HLDG CORP	383.16	397.11
DANA HLDG CORP	407.65	397.11
DANA HLDG CORP	452.92	333.06
DANA HLDG CORP	489.73	345.87
DANA HLDG CORP	491.13	345.87
DANA HLDG CORP	503.51	422.73
DANA HLDG CORP	683.20	781.41
DANA HLDG CORP	1,025.41	755.79
DANA HLDG CORP	1,374.70	947.94
DANA HLDG CORP	1,661.97	1,255.38
DELL INC	39.12	25.02
DELL INC	147.75	75.06
DELL INC	195.15	187.65
DELL INC	236.95	325.26
DELL INC	263.70	187.65
DELL INC	274.83	225.18
DELL INC	278.30	287.73
DELL INC	288.70	187.65
DELL INC	300.23	187.65
DELL INC	346.83	287.73
DELL INC	382.87	237.69
DELL INC	385.88	237.69
DELL INC	412.02	450.36

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
DELL INC	444.55	362.79
DELL INC	471.85	237.69
DELL INC	487.80	300.24
DELL INC	512.04	300.24
DELL INC	578.74	487.89
DELL INC	582.41	300.24
DELL INC	595.71	375.30
DELL INC	612.95	337.77
DELL INC	626.05	550.44
DELL INC	636.24	550.44
DELL INC	646.21	487.89
DELL INC	827.22	512.91
DELL INC	1,013.64	788.13
DELL INC	1,503.75	1,050.84
DEVON ENERGY CORP	57.36	57.99
DEVON ENERGY CORP	62.79	57.99
DEVON ENERGY CORP	114.05	115.98
DEVON ENERGY CORP	123.53	115.98
DEVON ENERGY CORP	126.74	115.98
DEVON ENERGY CORP	141.48	115.98
DEVON ENERGY CORP	142.27	115.98
DEVON ENERGY CORP	151.98	173.97
DEVON ENERGY CORP	168.36	173.97
DEVON ENERGY CORP	177.54	173.97
DEVON ENERGY CORP	184.50	173.97
DEVON ENERGY CORP	184.50	173.97
DEVON ENERGY CORP	188.48	173.97
DEVON ENERGY CORP	201.47	173.97
DEVON ENERGY CORP	224.86	231.96
DEVON ENERGY CORP	225.46	231.96
DEVON ENERGY CORP	266.68	231.96
DEVON ENERGY CORP	283.97	289.95
DEVON ENERGY CORP	314.04	289.95
DEVON ENERGY CORP	333.61	347.94
DEVON ENERGY CORP	386.44	405.93
DEVON ENERGY CORP	519.16	463.92
DEVON ENERGY CORP	569.65	463.92
DEVON ENERGY CORP	660.53	579.90
DEVON ENERGY CORP	702.09	579.90
DEVON ENERGY CORP	796.76	695.88

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
DEVON ENERGY CORP	1,038.61	811.86
ENERGIZER HLDGS INC	210.63	225.75
ENERGIZER HLDGS INC	368.30	376.25
ENERGIZER HLDGS INC	419.31	451.50
ENERGIZER HLDGS INC	424.50	451.50
ENERGIZER HLDGS INC	436.53	451.50
ENERGIZER HLDGS INC	473.94	451.50
ENERGIZER HLDGS INC	502.66	526.75
ENERGIZER HLDGS INC	523.04	526.75
ENERGIZER HLDGS INC	562.63	602.00
ENERGIZER HLDGS INC	596.00	602.00
ENERGIZER HLDGS INC	766.59	827.75
ENERGIZER HLDGS INC	901.60	978.25
ENERGIZER HLDGS INC	1,011.36	1,053.50
ENERGIZER HLDGS INC	1,054.23	1,128.75
ENSCO PLC SHS CL A	40.83	46.97
ENSCO PLC SHS CL A	43.46	46.97
ENSCO PLC SHS CL A	80.35	93.94
ENSCO PLC SHS CL A	124.52	140.91
ENSCO PLC SHS CL A	132.76	140.91
ENSCO PLC SHS CL A	137.40	140.91
ENSCO PLC SHS CL A	168.90	187.88
ENSCO PLC SHS CL A	172.23	187.88
ENSCO PLC SHS CL A	218.49	234.85
ENSCO PLC SHS CL A	248.91	281.82
ENSCO PLC SHS CL A	281.05	281.82
ENSCO PLC SHS CL A	343.76	328.79
ENSCO PLC SHS CL A	349.05	422.73
ENSCO PLC SHS CL A	374.03	375.76
ENSCO PLC SHS CL A	381.71	375.76
ENSCO PLC SHS CL A	382.10	422.73
ENSCO PLC SHS CL A	382.70	375.76
ENSCO PLC SHS CL A	399.68	375.76
ENSCO PLC SHS CL A	405.06	422.73
ENSCO PLC SHS CL A	408.07	375.76
ENSCO PLC SHS CL A	416.98	422.73
ENSCO PLC SHS CL A	420.38	469.70
ENSCO PLC SHS CL A	438.62	422.73
ENSCO PLC SHS CL A	487.81	563.64
ENSCO PLC SHS CL A	582.18	563.64

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
ENSCO PLC SHS CL A	584.98	516.67
ENSCO PLC SHS CL A	603.22	610.61
ENSCO PLC SHS CL A	608.45	657.58
ENSCO PLC SHS CL A	663.17	657.58
ENSCO PLC SHS CL A	787.33	798.49
ENSCO PLC SHS CL A	933.30	845.46
GAP INC DELAWARE	132.51	355.68
GAP INC DELAWARE	199.52	300.96
GAP INC DELAWARE	203.59	300.96
GAP INC DELAWARE	225.32	410.40
GAP INC DELAWARE	363.64	519.84
GAP INC DELAWARE	363.97	465.12
GAP INC DELAWARE	431.60	629.28
GAP INC DELAWARE	540.86	820.80
GAP INC DELAWARE	559.28	1,012.32
GAP INC DELAWARE	693.31	984.96
GAP INC DELAWARE	705.96	1,231.20
GAP INC DELAWARE	709.69	1,067.04
GAP INC DELAWARE	752.12	1,121.76
GAP INC DELAWARE	1,000.38	1,504.80
GENERAL ELECTRIC CO	46.72	41.68
GENERAL ELECTRIC CO	250.88	604.36
GENERAL ELECTRIC CO	313.25	729.40
GENERAL ELECTRIC CO	352.45	395.96
GENERAL ELECTRIC CO	380.85	479.32
GENERAL ELECTRIC CO	440.21	479.32
GENERAL ELECTRIC CO	449.01	562.68
GENERAL ELECTRIC CO	517.32	562.68
GENERAL ELECTRIC CO	568.51	604.36
GENERAL ELECTRIC CO	594.20	875.28
GENERAL ELECTRIC CO	597.68	646.04
GENERAL ELECTRIC CO	644.87	333.44
GENERAL ELECTRIC CO	658.87	333.44
GENERAL ELECTRIC CO	673.88	437.64
GENERAL ELECTRIC CO	675.88	708.56
GENERAL ELECTRIC CO	808.90	416.80
GENERAL ELECTRIC CO	812.59	437.64
GENERAL ELECTRIC CO	849.08	958.64
GENERAL ELECTRIC CO	888.30	1,021.16
GENERAL ELECTRIC CO	1,287.76	687.72

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
GENERAL ELECTRIC CO	1,445.70	812.76
GENERAL ELECTRIC CO	2,454.58	1,292.08
GENERAL ELECTRIC CO	3,214.70	1,625.52
HOLOGIC INC	28.68	36.08
HOLOGIC INC	219.01	180.40
HOLOGIC INC	227.22	180.40
HOLOGIC INC	244.63	180.40
HOLOGIC INC	256.90	234.52
HOLOGIC INC	360.69	216.48
HOLOGIC INC	370.03	396.88
HOLOGIC INC	391.90	342.76
HOLOGIC INC	426.82	324.72
HOLOGIC INC	438.18	432.96
HOLOGIC INC	460.07	487.08
HOLOGIC INC	472.70	505.12
HOLOGIC INC	513.61	757.68
HOLOGIC INC	549.18	414.92
HOLOGIC INC	551.79	432.96
HOLOGIC INC	626.14	631.40
HOLOGIC INC	672.26	631.40
HOLOGIC INC	701.16	721.60
HOLOGIC INC	746.14	451.00
HOLOGIC INC	758.97	595.32
HOLOGIC INC	916.94	1,208.68
HOLOGIC INC	963.33	667.48
HOME DEPOT INC	102.04	211.96
HOME DEPOT INC	192.37	529.90
HOME DEPOT INC	197.76	423.92
HOME DEPOT INC	261.79	582.89
HOME DEPOT INC	330.26	635.88
HOME DEPOT INC	347.10	688.87
HOME DEPOT INC	348.76	688.87
HOME DEPOT INC	400.80	794.85
HOME DEPOT INC	409.76	794.85
HOME DEPOT INC	417.40	1,059.80
HOME DEPOT INC	423.30	900.83
HOME DEPOT INC	435.09	1,218.77
HOME DEPOT INC	445.07	1,112.79
HOME DEPOT INC	474.44	741.86
HOME DEPOT INC	547.00	1,430.73

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
HOME DEPOT INC	590.08	741.86
HOME DEPOT INC	760.61	1,218.77
HOME DEPOT INC	1,329.21	1,430.73
HOME DEPOT INC	1,975.66	3,709.30
HONEYWELL INTL INC	102.45	167.52
HONEYWELL INTL INC	289.50	390.88
HONEYWELL INTL INC	366.74	502.56
HONEYWELL INTL INC	385.48	558.40
HONEYWELL INTL INC	607.75	1,060.96
HONEYWELL INTL INC	707.68	893.44
HONEYWELL INTL INC	9,875.25	9,548.64
INTEL CORP	40.91	53.30
INTEL CORP	157.57	213.20
INTEL CORP	301.91	506.35
INTEL CORP	303.54	346.45
INTEL CORP	322.70	426.40
INTEL CORP	354.00	399.75
INTEL CORP	380.99	666.25
INTEL CORP	382.84	639.60
INTEL CORP	415.00	533.00
INTEL CORP	598.95	959.40
INTEL CORP	655.63	879.45
INTEL CORP	764.46	852.80
INTEL CORP	765.47	1,412.45
INTEL CORP	806.19	826.15
INTEL CORP	1,141.43	1,625.65
INTEL CORP	1,406.55	1,998.75
INTEL CORP	1,541.69	2,158.65
JOHNSON & JOHNSON	411.85	472.92
JOHNSON & JOHNSON	484.29	540.48
JOHNSON & JOHNSON	515.67	540.48
JOHNSON & JOHNSON	522.08	540.48
JOHNSON & JOHNSON	587.98	675.60
JOHNSON & JOHNSON	817.55	945.84
JOHNSON & JOHNSON	861.09	945.84
JOHNSON & JOHNSON	971.82	1,080.96
JOHNSON & JOHNSON	1,284.49	1,418.76
JPMORGAN CHASE & CO	39.30	35.73
JPMORGAN CHASE & CO	123.31	107.19
JPMORGAN CHASE & CO	298.06	321.57

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
JPMORGAN CHASE & CO	658.30	535.95
JPMORGAN CHASE & CO	840.83	786.06
JPMORGAN CHASE & CO	857.44	857.52
JPMORGAN CHASE & CO	921.02	750.33
JPMORGAN CHASE & CO	986.75	964.71
JPMORGAN CHASE & CO	1,311.93	1,250.55
JPMORGAN CHASE & CO	10,950.48	8,718.12
KIMBERLY CLARK CORP	1,362.63	2,010.48
KIMBERLY CLARK CORP	1,401.90	1,842.94
KIMBERLY CLARK CORP	2,022.51	2,429.33
KRAFT FOODS INC	186.19	270.34
KRAFT FOODS INC	444.11	656.54
KRAFT FOODS INC	548.38	811.02
KRAFT FOODS INC	10,284.84	12,397.02
LAM RESEARCH CORP	519.83	452.88
LAM RESEARCH CORP	531.11	528.36
LAM RESEARCH CORP	870.84	792.54
LAM RESEARCH CORP	2,910.37	2,566.32
LENNAR CORP	113.98	247.28
LENNAR CORP	127.62	494.56
LENNAR CORP	247.67	989.12
LENNAR CORP	280.25	1,050.94
LENNAR CORP	294.27	525.47
LENNAR CORP	300.09	710.93
LENNAR CORP	417.01	865.48
LENNAR CORP	419.68	1,669.14
LENNAR CORP	527.33	1,050.94
LENNAR CORP	617.76	1,112.76
LENNAR CORP	694.38	2,472.80
LENNAR CORP	719.43	1,576.41
MEADWESTVACO CORP	4,188.06	4,226.25
MEDTRONIC INC	194.45	193.65
MEDTRONIC INC	408.74	426.03
MEDTRONIC INC	468.59	464.76
MEDTRONIC INC	468.94	464.76
MEDTRONIC INC	473.70	503.49
MEDTRONIC INC	496.47	503.49
MEDTRONIC INC	500.49	503.49
MEDTRONIC INC	581.23	580.95
MEDTRONIC INC	2,271.83	2,285.07

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
MYLAN INC	267.42	320.55
MYLAN INC	347.56	470.14
MYLAN INC	482.98	448.77
MYLAN INC	673.13	705.21
MYLAN INC	796.61	790.69
MYLAN INC	4,176.69	4,850.99
NABORS INDS LTD	282.70	172.80
NABORS INDS LTD	321.11	172.80
NABORS INDS LTD	342.53	259.20
NABORS INDS LTD	385.26	230.40
NABORS INDS LTD	592.18	374.40
NABORS INDS LTD	614.57	288.00
NABORS INDS LTD	665.26	316.80
NABORS INDS LTD	769.55	374.40
NABORS INDS LTD	5,110.55	2,433.60
PEPSICO INC	551.99	565.28
PEPSICO INC	656.67	706.60
PEPSICO INC	683.48	706.60
PEPSICO INC	688.78	706.60
PEPSICO INC	4,387.70	4,734.22
PFIZER INC	164.67	253.00
PFIZER INC	199.90	230.00
PFIZER INC	208.56	276.00
PFIZER INC	251.41	322.00
PFIZER INC	345.60	460.00
PFIZER INC	656.10	1,035.00
PFIZER INC	782.55	943.00
PFIZER INC	1,042.37	1,173.00
PFIZER INC	1,137.96	1,150.00
PFIZER INC	13,417.91	12,581.00
STATE STREET CORP	70.54	89.28
STATE STREET CORP	79.96	89.28
STATE STREET CORP	225.14	223.20
STATE STREET CORP	228.60	223.20
STATE STREET CORP	266.81	267.84
STATE STREET CORP	319.49	401.76
STATE STREET CORP	387.08	446.40
STATE STREET CORP	399.80	446.40
STATE STREET CORP	449.13	491.04
STATE STREET CORP	477.86	580.32

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
STATE STREET CORP	493.45	580.32
STATE STREET CORP	498.29	624.96
STATE STREET CORP	511.13	491.04
STATE STREET CORP	516.74	491.04
STATE STREET CORP	550.90	535.68
STATE STREET CORP	639.97	714.24
STATE STREET CORP	679.08	937.44
STATE STREET CORP	703.46	714.24
STATE STREET CORP	884.92	892.80
STATE STREET CORP	891.83	1,160.64
STATE STREET CORP	962.62	937.44
STATE STREET CORP	1,091.80	1,026.72
SYMANTEC CORP	269.76	233.76
SYMANTEC CORP	273.11	233.76
SYMANTEC CORP	313.02	262.98
SYMANTEC CORP	316.80	350.64
SYMANTEC CORP	318.34	321.42
SYMANTEC CORP	336.96	350.64
SYMANTEC CORP	505.89	511.35
SYMANTEC CORP	519.63	467.52
SYMANTEC CORP	1,005.87	803.55
SYMANTEC CORP	1,474.99	1,183.41
TE CONNECTIVITY LTD	201.00	478.65
TE CONNECTIVITY LTD	207.03	446.74
TE CONNECTIVITY LTD	220.76	414.83
TE CONNECTIVITY LTD	404.90	414.83
TE CONNECTIVITY LTD	411.74	829.66
TE CONNECTIVITY LTD	461.35	797.75
TE CONNECTIVITY LTD	567.46	510.56
TE CONNECTIVITY LTD	622.52	542.47
TE CONNECTIVITY LTD	760.98	702.02
TE CONNECTIVITY LTD	814.28	1,563.59
TE CONNECTIVITY LTD	878.60	797.75
TE CONNECTIVITY LTD	1,032.28	989.21
TE CONNECTIVITY LTD	1,168.06	989.21
TE CONNECTIVITY LTD	1,218.95	1,116.85
TEREX CORP NEW	162.97	142.64
TEREX CORP NEW	197.53	160.47
TEREX CORP NEW	213.46	213.96
TEREX CORP NEW	250.02	160.47

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
TEREX CORP NEW	285.01	267.45
TEREX CORP NEW	286.61	231.79
TEREX CORP NEW	355.48	320.94
TEREX CORP NEW	365.89	356.60
TEREX CORP NEW	366.96	392.26
TEREX CORP NEW	370.95	320.94
TEREX CORP NEW	372.40	499.24
TEREX CORP NEW	376.74	231.79
TEREX CORP NEW	389.70	338.77
TEREX CORP NEW	398.88	213.96
TEREX CORP NEW	432.96	374.43
TEREX CORP NEW	442.43	374.43
TEREX CORP NEW	442.77	231.79
TEREX CORP NEW	474.64	249.62
TEREX CORP NEW	568.51	463.58
TEREX CORP NEW	579.24	534.90
TEREX CORP NEW	584.25	338.77
TEREX CORP NEW	598.73	463.58
TEREX CORP NEW	745.50	624.05
TEXTRON INC	37.68	49.74
TEXTRON INC	41.83	49.74
TEXTRON INC	151.42	198.96
TEXTRON INC	233.18	248.70
TEXTRON INC	234.33	298.44
TEXTRON INC	266.27	348.18
TEXTRON INC	294.22	348.18
TEXTRON INC	298.04	373.05
TEXTRON INC	316.89	422.79
TEXTRON INC	339.12	323.31
TEXTRON INC	378.37	422.79
TEXTRON INC	379.08	422.79
TEXTRON INC	387.39	547.14
TEXTRON INC	388.72	472.53
TEXTRON INC	414.75	472.53
TEXTRON INC	424.45	397.92
TEXTRON INC	427.89	572.01
TEXTRON INC	464.18	621.75
TEXTRON INC	470.72	621.75
TEXTRON INC	475.06	671.49
TEXTRON INC	475.92	447.66

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
TEXTRON INC	522.88	522.27
TEXTRON INC	549.86	721.23
TEXTRON INC	695.60	845.58
TEXTRON INC	720.97	770.97
TEXTRON INC	781.16	1,044.54
TEXTRON INC	1,745.33	2,263.17
THERMO FISHER SCIENTIFIC INC	305.76	311.46
THERMO FISHER SCIENTIFIC INC	329.70	311.46
THERMO FISHER SCIENTIFIC INC	346.25	363.37
THERMO FISHER SCIENTIFIC INC	555.15	571.01
THERMO FISHER SCIENTIFIC INC	1,130.65	1,297.75
THERMO FISHER SCIENTIFIC INC	1,248.61	1,297.75
TIME WARNER INC	348.66	435.86
TIME WARNER INC	6,253.59	6,070.64
TRAVELERS COMPANIES INC	204.09	319.20
TRAVELERS COMPANIES INC	11,121.23	14,172.48
TYCO INTL LTD	52.80	105.70
TYCO INTL LTD	232.02	475.65
TYCO INTL LTD	327.34	581.35
TYCO INTL LTD	414.78	528.50
TYCO INTL LTD	948.31	951.30
TYCO INTL LTD	1,404.26	1,796.90
TYCO INTL LTD	1,621.75	2,166.85
TYCO INTL LTD	2,324.09	3,065.30
TYCO INTL LTD	2,537.59	3,118.15
VALERO ENERGY CORP	122.57	96.60
VALERO ENERGY CORP	125.95	144.90
VALERO ENERGY CORP	130.18	96.60
VALERO ENERGY CORP	169.19	120.75
VALERO ENERGY CORP	185.68	241.50
VALERO ENERGY CORP	203.59	289.80
VALERO ENERGY CORP	205.24	265.65
VALERO ENERGY CORP	229.68	265.65
VALERO ENERGY CORP	270.83	217.35
VALERO ENERGY CORP	308.83	458.85
VALERO ENERGY CORP	329.71	458.85
VALERO ENERGY CORP	356.28	338.10
VALERO ENERGY CORP	429.81	313.95
VALERO ENERGY CORP	460.13	603.75
VALERO ENERGY CORP	563.14	724.50

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
VALERO ENERGY CORP	771.81	724.50
VALERO ENERGY CORP	883.39	748.65
VALERO ENERGY CORP	942.25	676.20
WEATHERFORD INTL LTD	198.12	189.45
WEATHERFORD INTL LTD	299.25	239.97
WEATHERFORD INTL LTD	372.24	303.12
WEATHERFORD INTL LTD	394.17	290.49
WEATHERFORD INTL LTD	404.50	315.75
WEATHERFORD INTL LTD	410.88	303.12
WEATHERFORD INTL LTD	440.37	341.01
WEATHERFORD INTL LTD	547.84	404.16
WEATHERFORD INTL LTD	833.23	606.24
WESTERN DIGITAL CORP	446.37	457.20
WESTERN DIGITAL CORP	1,190.64	1,249.68
YAHOO INC	240.98	237.45
YAHOO INC	358.75	364.09
YAHOO INC	376.25	364.09
YAHOO INC	393.52	379.92
YAHOO INC	506.94	474.90
YAHOO INC	521.52	538.22
YAHOO INC	573.86	585.71
YAHOO INC	620.03	617.37
YAHOO INC	662.17	617.37
YAHOO INC	699.94	633.20
YAHOO INC	781.25	807.33
ACCENTURE PLC IRELAND	1,161.92	1,261.89
ADIDAS SALOMON AG	1,492.68	1,433.00
ADIDAS SALOMON AG	727.93	680.68
ADIDAS SALOMON AG	404.85	394.08
ADIDAS SALOMON AG	366.61	358.25
ADIDAS SALOMON AG	725.52	680.68
ADIDAS SALOMON AG	664.50	716.50
ARM HOLDINGS PLC ADR	929.00	2,022.15
ASSA ABLOY AB-SEK	564.27	572.03
ASSA ABLOY AB-SEK	1,552.29	1,534.72
ASSA ABLOY AB-SEK	662.75	641.79
ASSA ABLOY AB-SEK	386.81	376.70
ASSA ABLOY AB-SEK	603.52	599.94

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
ASSA ABLOY AB-SEK	760.35	781.31
ASSA ABLOY AB-SEK	302.14	334.85
ASSA ABLOY AB-SEK	460.57	516.22
BAIDU COM INC	666.82	574.90
BAIDU COM INC	670.39	574.90
BAIDU COM INC	629.77	574.90
BAIDU COM INC	601.10	574.90
BG GROUP PLC SPON ADR	3,546.00	4,016.83
BG GROUP PLC SPON ADR	600.65	713.65
CANADIAN NATL RAILWAY CO	3,981.79	5,491.07
CANADIAN NATURAL RES LTD	2,579.33	1,795.33
CANADIAN NATURAL RES LTD	482.62	375.14
CANADIAN NATURAL RES LTD	695.83	589.51
CANON INC ADR	2,279.78	2,436.34
CANON INC ADR	522.44	559.16
CANON INC ADR	591.73	599.10
CANON INC ADR	572.84	559.16
CANON INC ADR	780.58	639.04
CANON INC ADR	718.51	639.04
CARNIVAL CORP	5,667.31	4,969.15
CARNIVAL CORP	610.47	685.40
CHECK POINT SOFTWARE TECHNOLOGIES LTD	744.25	644.67
CHECK POINT SOFTWARE TECHNOLOGIES LTD	459.72	396.72
CHECK POINT SOFTWARE TECHNOLOGIES LTD	652.59	595.08
CHECK POINT SOFTWARE TECHNOLOGIES LTD	616.43	595.08
CHECK POINT SOFTWARE TECHNOLOGIES LTD	654.87	545.49
CHECK POINT SOFTWARE TECHNOLOGIES LTD	632.15	595.08
CNOOC LTD SPONS ADR	2,890.97	3,421.25
CNOOC LTD SPONS ADR	612.35	805.00
CNOOC LTD SPONS ADR	739.87	805.00
CREDIT SUISSE GROUP	2,076.18	806.52
CREDIT SUISSE GROUP	713.54	311.61
CREDIT SUISSE GROUP	376.18	164.97
CREDIT SUISSE GROUP	340.29	146.64
CREDIT SUISSE GROUP	736.81	366.60
CREDIT SUISSE GROUP	679.60	348.27
CREDIT SUISSE GROUP	628.18	439.92
DASSAULT SYSTEMS SA ADR	1,821.19	2,814.24
EMBRAER S A SPON ADR	3,587.74	3,952.97
EMBRAER S A SPON ADR	665.29	795.90

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
FANUC LTD JAPAN-JPY	3,214.48	4,760.80
FLSMIDTH & CO A S	2,481.48	1,889.85
FLSMIDTH & CO A S	751.18	475.19
FRESENIUS MEDICAL CARE	4,634.95	5,788.38
HANG LUNG PROPERTIES LTD	3,164.70	2,600.75
HENNES & MAURITZ AB ADR	5,869.63	6,272.00
HONG KONG EXCHANGES AND	3,434.00	2,864.56
HSBC HLDGS PLC	670.24	661.95
HSBC HLDGS PLC	2,052.69	2,029.98
HSBC HLDGS PLC	1,035.31	1,014.99
HSBC HLDGS PLC	1,504.67	1,456.29
INDUSTRIAL & COML BK	3,511.05	2,461.07
INDUSTRIAL & COML BK	527.16	414.79
INDUSTRIAL & COML BK	1,036.55	763.21
ITAU UNIBANCO HLDG SA NS	2,649.10	1,642.56
ITAU UNIBANCO HLDG SA NS	545.48	431.52
ITAU UNIBANCO HLDG SA NS	793.37	515.04
ITAU UNIBANCO HLDG SA NS	1,118.69	696.00
ITAU UNIBANCO HLDG SA NS	652.86	431.52
ITAU UNIBANCO HLDG SA NS	674.15	515.04
ITAU UNIBANCO HLDG SA NS	269.50	236.64
ITAU UNIBANCO HLDG SA NS	311.43	278.40
KDDI CORP	2,947.55	2,764.56
KINGFISHER PLC	4,175.58	5,680.08
KINGFISHER PLC	695.70	802.42
KOMATSU LTD ADR NEW	3,864.35	4,260.20
LAS VEGAS SANDS CORP	1,178.66	1,217.72
LAS VEGAS SANDS CORP	1,221.07	1,217.72
LAS VEGAS SANDS CORP	694.92	521.88
LAS VEGAS SANDS CORP	459.64	434.90
LI & FUNG LTD PLC ADR	464.69	473.80
LI & FUNG LTD PLC ADR	940.36	962.89
LI & FUNG LTD PLC ADR	842.96	855.90
LI & FUNG LTD PLC ADR	369.19	389.74
LI & FUNG LTD PLC ADR	349.39	389.74
LVMH MOET HENNESSY LOUIS	5,008.31	6,327.15
LVMH MOET HENNESSY LOUIS	700.68	730.06
LVMH MOET HENNESSY LOUIS	663.43	699.64
MERCADOLIBRE INC	274.35	303.20
MERCADOLIBRE INC	477.27	530.60

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
MERCADOLIBRE INC	418.52	454.80
MERCADOLIBRE INC	540.19	682.20
MERCADOLIBRE INC	583.88	758.00
MICHELIN COMPAGNIE GEN	907.17	849.29
MICHELIN COMPAGNIE GEN	1,777.41	1,607.12
MITSUBISHI UFJ FINANCIAL	3,271.76	2,983.00
MITSUBISHI UFJ FINANCIAL	740.77	655.50
NESTLE S A SPONSORED ADR	4,186.57	4,899.17
NOVARTIS AG ADR	3,880.67	4,024.80
NOVARTIS AG ADR	565.30	614.90
NOVARTIS AG ADR	709.61	726.70
NOVO-NORDISK A S ADR	4,363.86	8,139.04
PEARSON PLC	1,192.72	1,250.55
PEARSON PLC	1,299.15	1,349.80
PEARSON PLC	685.11	714.60
PEARSON PLC	646.07	714.60
POTASH CORP SASK INC	2,949.40	3,274.65
POTASH CORP SASK INC	1,085.71	829.58
POTASH CORP SASK INC	636.03	654.93
PUBLICIS GROUPE ADR	350.34	388.88
PUBLICIS GROUPE ADR	718.13	846.38
PUBLICIS GROUPE ADR	1,222.69	1,303.88
PUBLICIS GROUPE ADR	572.47	549.00
PUBLICIS GROUPE ADR	677.68	617.63
RECKITT BENCKISER GP ADR	6,189.89	5,763.58
RECKITT BENCKISER GP ADR	590.76	675.58
RECKITT BENCKISER GP ADR	738.65	791.70
SABMILLER PLC SPON ADR	2,051.03	2,725.03
SAP AG SPONS ADR-USD	4,207.78	5,104.96
SAP AG SPONS ADR-USD	831.29	1,009.12
SAP AG SPONS ADR-USD	699.50	771.68
SBERBANK RUSSIA SPONS ADR	943.71	884.20
SBERBANK RUSSIA SPONS ADR	2,635.74	2,641.94
SBERBANK RUSSIA SPONS ADR	95.65	95.88
SCHLUMBERGER LTD	5,353.50	5,387.53
SCHLUMBERGER LTD	620.82	649.10
SIEMENS A G	1,149.91	840.70
SIEMENS A G	1,656.93	1,176.98
SIEMENS A G	765.14	504.42
SIEMENS A G	637.87	420.35

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
SIEMENS A G	687.07	420.35
SIEMENS A G	923.31	756.63
SIEMENS A G	582.38	504.42
SOUTHERN COPPER CORP	560.19	560.44
SOUTHERN COPPER CORP	624.04	636.94
SWATCH GROUP AG ADR	1,172.41	1,223.32
SWATCH GROUP AG ADR	422.72	434.08
SWATCH GROUP AG ADR	597.44	572.20
SWATCH GROUP AG ADR	644.40	730.05
SYNGENTA AG SPON ADR	1,075.22	1,095.04
SYNGENTA AG SPON ADR	953.16	958.16
TENCENT HLDGS LTD-HKD	1,972.40	2,709.56
TENCENT HLDGS LTD-HKD	272.25	495.30
TENCENT HLDGS LTD-HKD	690.10	874.05
TENCENT HLDGS LTD-HKD	271.41	407.89
TENCENT HLDGS LTD-HKD	518.07	757.51
TESCO PLC SPONSORED ADR	2,410.80	1,750.68
TESCO PLC SPONSORED ADR	610.93	437.67
TESCO PLC SPONSORED ADR	1,561.02	1,167.12
TESCO PLC SPONSORED ADR	734.94	554.38
TEVA PHARMACEUTICAL INDS	7,906.05	4,890.56
TEVA PHARMACEUTICAL INDS	201.80	157.76
TEVA PHARMACEUTICAL INDS	713.20	591.60
TOYOTA MOTOR CORP ADR NEW	4,834.26	4,828.80
TOYOTA MOTOR CORP ADR NEW	595.34	643.84
TOYOTA MOTOR CORP ADR NEW	714.10	643.84
TURKIYE GARANTI BANKASI	2,010.00	1,577.85
TURKIYE GARANTI BANKASI	481.89	439.60
TURKIYE GARANTI BANKASI	763.65	522.03
VODAFONE GROUP SPON ADR	1,150.69	1,239.92
VODAFONE GROUP SPON ADR	1,346.23	1,380.82
WAL-MART DE MEXICO SA DE	3,224.00	3,296.29
YANDEX N V SHS CLASS A	723.15	400.05
YANDEX N V SHS CLASS A	560.90	323.85
YANDEX N V SHS CLASS A	787.75	495.30
YANDEX N V SHS CLASS A	841.98	533.40
YANDEX N V SHS CLASS A	706.27	685.80
YUM BRANDS INC	1,325.43	1,288.40
YUM BRANDS INC	1,397.71	1,288.40
YUM BRANDS INC	573.88	579.78

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
YUM BRANDS INC	576.03	579.78
ACCENTURE PLC	506.70	540.81
ACCENTURE PLC	1,034.54	1,201.80
ACCENTURE PLC	1,129.38	1,201.80
ACCENTURE PLC	1,149.74	1,261.89
ACCENTURE PLC	1,393.74	1,622.43
ACCENTURE PLC	1,478.12	1,682.52
ACCENTURE PLC	1,625.02	1,862.79
ACCENTURE PLC	6,865.49	7,871.79
AFFILIATED MANAGERS GRP	418.86	547.25
AFFILIATED MANAGERS GRP	785.79	985.05
AFFILIATED MANAGERS GRP	1,154.56	1,203.95
AFFILIATED MANAGERS GRP	1,250.08	1,422.85
AFFILIATED MANAGERS GRP	1,326.00	1,422.85
AFFILIATED MANAGERS GRP	1,422.95	1,532.30
AFFILIATED MANAGERS GRP	2,985.08	3,064.60
ALLERGAN INC	622.44	1,481.12
ALLERGAN INC	1,022.32	1,573.69
ALLERGAN INC	1,917.67	2,777.10
ALLERGAN INC	2,698.86	2,869.67
ALLERGAN INC	2,850.76	2,869.67
ALLERGAN INC	3,442.69	4,906.21
AMAZON COM INC	3,076.66	3,881.95
AMAZON COM INC	7,615.02	9,590.70
AMERICAN EXPRESS	849.71	1,164.20
AMERICAN EXPRESS	957.75	1,338.83
AMERICAN EXPRESS	1,129.47	1,571.67
AMERICAN EXPRESS	1,155.81	1,571.67
AMERICAN EXPRESS	1,176.42	1,571.67
AMERICAN EXPRESS	1,349.70	1,746.30
AMERICAN EXPRESS	2,889.64	3,841.86
APPLE INC	249.62	1,752.00
APPLE INC	901.64	5,840.00
APPLE INC	991.92	4,088.00
APPLE INC	1,334.68	8,176.00
APPLE INC	1,371.41	2,336.00
APPLE INC	1,511.37	6,424.00
APPLE INC	1,554.19	2,336.00

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
APPLE INC	1,984.93	11,096.00
APPLE INC	2,327.09	5,256.00
APPLE INC	2,477.70	5,256.00
APPLE INC	5,127.85	7,592.00
BROADCOM CORP	2,602.80	3,038.40
BROADCOM CORP	2,980.66	2,667.04
CITRIX SYSTEMS INC	508.19	671.52
CITRIX SYSTEMS INC	702.92	1,007.28
CITRIX SYSTEMS INC	1,255.07	1,510.92
CITRIX SYSTEMS INC	1,390.68	1,678.80
CITRIX SYSTEMS INC	1,492.19	1,510.92
CITRIX SYSTEMS INC	1,590.63	2,098.50
CITRIX SYSTEMS INC	1,656.00	2,014.56
CITRIX SYSTEMS INC	2,697.17	3,861.24
CITRIX SYSTEMS INC	4,111.63	4,364.88
COLGATE PALMOLIVE CO	1,090.43	1,145.10
COLGATE PALMOLIVE CO	1,203.96	1,249.20
COLGATE PALMOLIVE CO	2,343.87	2,810.70
COLGATE PALMOLIVE CO	2,443.54	3,018.90
COLGATE PALMOLIVE CO	2,510.46	2,810.70
COLGATE PALMOLIVE CO	2,904.64	3,018.90
COLGATE PALMOLIVE CO	5,049.22	6,141.90
DAVITA INC	1,407.55	1,571.36
DAVITA INC	1,484.44	1,669.57
DAVITA INC	2,151.24	2,553.46
DAVITA INC	2,430.00	2,946.30
DAVITA INC	2,736.66	3,339.14
DISCOVERY COMMUNICATIONS INC	486.79	1,080.00
DISCOVERY COMMUNICATIONS INC	575.35	1,620.00
DISCOVERY COMMUNICATIONS INC	752.32	1,674.00
DISCOVERY COMMUNICATIONS INC	763.81	972.00
DISCOVERY COMMUNICATIONS INC	771.02	1,026.00
DISCOVERY COMMUNICATIONS INC	778.80	1,080.00
DISCOVERY COMMUNICATIONS INC	885.96	2,268.00
DISCOVERY COMMUNICATIONS INC	2,243.12	2,376.00
DOLLAR GEN CORP	1,098.30	1,142.19
DOLLAR GEN CORP	1,156.98	1,196.58
DOLLAR GEN CORP	5,922.07	6,363.63
DONALDSON INC	2,537.73	2,602.86
DONALDSON INC	2,664.09	2,602.86

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
DONALDSON INC	2,745.20	2,669.60
DONALDSON INC	3,050.08	2,936.56
EBAY INC	822.71	1,428.34
EBAY INC	1,130.40	2,226.53
EBAY INC	1,142.13	2,016.48
EBAY INC	1,791.24	3,486.83
EBAY INC	3,593.68	6,343.51
EBAY INC	4,011.42	7,141.70
GILEAD SCIENCES INC	776.15	974.32
GILEAD SCIENCES INC	794.56	1,025.60
GILEAD SCIENCES INC	804.75	1,025.60
GILEAD SCIENCES INC	856.80	1,025.60
GILEAD SCIENCES INC	1,025.19	1,384.56
GILEAD SCIENCES INC	1,368.84	1,743.52
GILEAD SCIENCES INC	4,580.67	4,717.76
GILEAD SCIENCES INC	6,684.13	8,615.04
GOOGLE INC	595.65	580.07
GOOGLE INC	1,009.01	1,160.14
GOOGLE INC	1,107.03	1,160.14
GOOGLE INC	1,311.75	2,320.28
GOOGLE INC	1,335.14	1,740.21
GOOGLE INC	1,352.11	1,740.21
GOOGLE INC	1,390.63	2,320.28
GOOGLE INC	2,585.83	2,900.35
GOOGLE INC	5,023.44	4,640.56
GRAINGER WW INC	824.04	1,912.40
GRAINGER WW INC	888.65	1,912.40
GRAINGER WW INC	893.30	1,721.16
GRAINGER WW INC	924.71	2,103.64
GRAINGER WW INC	2,627.52	2,677.36
GRAINGER WW INC	4,052.37	4,016.04
GREEN MTN COFFEE ROASTERS	3,200.62	1,546.38
GREEN MTN COFFEE ROASTERS	5,076.44	2,482.92
GREEN MTN COFFEE ROASTERS	5,081.37	1,938.42
HARLEY DAVIDSON INC	491.54	640.22
HARLEY DAVIDSON INC	521.68	640.22
HARLEY DAVIDSON INC	550.95	685.95
HARLEY DAVIDSON INC	552.32	731.68
HARLEY DAVIDSON INC	1,067.75	1,143.25
HARLEY DAVIDSON INC	1,120.27	1,326.17

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<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
HARLEY DAVIDSON INC	1,179.00	1,463.36
HARLEY DAVIDSON INC	1,349.25	1,600.55
HARLEY DAVIDSON INC	1,364.56	1,692.01
HARLEY DAVIDSON INC	1,380.75	1,600.55
HARLEY DAVIDSON INC	1,397.20	1,600.55
HARLEY DAVIDSON INC	1,454.04	1,646.28
IDEXX LABS INC	5,999.48	6,056.19
JP MORGAN CHASE & CO	4,635.90	4,573.44
JP MORGAN CHASE & CO	8,283.23	7,539.03
JP MORGAN CHASE & CO	8,389.24	8,110.71
MASTERCARD INC	9,017.19	9,032.31
MEAD JOHNSON NUTRITION CO	238.76	483.06
MEAD JOHNSON NUTRITION CO	368.15	402.55
MEAD JOHNSON NUTRITION CO	552.14	1,127.14
MEAD JOHNSON NUTRITION CO	850.19	1,368.67
MEAD JOHNSON NUTRITION CO	930.98	1,449.18
MEAD JOHNSON NUTRITION CO	1,089.64	1,771.22
MEAD JOHNSON NUTRITION CO	1,123.20	1,046.63
MEAD JOHNSON NUTRITION CO	1,894.91	2,978.87
MEAD JOHNSON NUTRITION CO	2,157.72	3,864.48
MEAD JOHNSON NUTRITION CO	2,578.40	3,220.40
NATIONAL OILWELL VARCO INC	1,313.13	1,353.24
NATIONAL OILWELL VARCO INC	1,866.58	1,933.20
NATIONAL OILWELL VARCO INC	2,721.04	2,770.92
NIKE INC CL B	1,055.16	1,053.36
NIKE INC CL B	1,274.14	1,316.70
NIKE INC CL B	2,555.85	2,896.74
NIKE INC CL B	2,668.70	3,160.08
NIKE INC CL B	5,129.87	4,652.34
OCCIDENTAL PETROLEUM CORP	472.91	514.62
OCCIDENTAL PETROLEUM CORP	889.44	943.47
OCCIDENTAL PETROLEUM CORP	938.29	943.47
OCCIDENTAL PETROLEUM CORP	1,064.05	1,115.01
OCCIDENTAL PETROLEUM CORP	1,133.39	1,200.78
OCCIDENTAL PETROLEUM CORP	1,219.25	1,286.55
OCCIDENTAL PETROLEUM CORP	1,264.95	1,286.55
OCCIDENTAL PETROLEUM CORP	1,345.42	1,029.24
OCCIDENTAL PETROLEUM CORP	1,384.09	1,372.32
PRAXAIR INC	714.71	1,196.03
PRAXAIR INC	1,100.03	1,522.22

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PRAXAIR INC	1,117.22	1,522.22
PRAXAIR INC	1,421.83	2,500.79
PRAXAIR INC	7,029.20	9,459.51
PRECISION CASTPARTS CORP	483.22	493.47
PRECISION CASTPARTS CORP	516.45	493.47
PRECISION CASTPARTS CORP	1,049.37	1,151.43
PRECISION CASTPARTS CORP	1,218.09	1,315.92
PRECISION CASTPARTS CORP	1,237.52	1,315.92
PRECISION CASTPARTS CORP	1,280.21	1,480.41
PRECISION CASTPARTS CORP	2,980.61	2,796.33
PRECISION CASTPARTS CORP	4,417.92	4,276.74
PRECISION CASTPARTS CORP	5,256.00	5,263.68
PRICE T ROWE GROUP INC	10,502.35	11,584.64
PRICELINE COM INC	532.58	664.52
PRICELINE COM INC	1,585.74	1,993.56
PRICELINE COM INC	3,174.20	3,322.60
PRICELINE COM INC	3,753.26	4,651.64
QUALCOMM INC	489.22	501.12
QUALCOMM INC	696.78	779.52
QUALCOMM INC	1,159.70	2,171.52
QUALCOMM INC	1,295.68	1,614.72
QUALCOMM INC	2,240.99	3,062.40
QUALCOMM INC	2,311.88	3,062.40
QUALCOMM INC	2,352.23	3,006.72
QUALCOMM INC	3,393.56	4,176.00
SBA COMMUNICATIONS CORP	1,786.24	1,825.60
SBA COMMUNICATIONS CORP	12,391.79	13,634.95
SCHLUMBERGER LTD	521.01	454.37
SCHLUMBERGER LTD	553.17	519.28
SCHLUMBERGER LTD	825.32	843.83
SCHLUMBERGER LTD	915.78	1,038.56
SCHLUMBERGER LTD	932.41	843.83
SCHLUMBERGER LTD	933.54	1,233.29
SCHLUMBERGER LTD	967.62	1,168.38
SCHLUMBERGER LTD	991.68	1,038.56
SCHLUMBERGER LTD	996.16	1,038.56
SCHLUMBERGER LTD	1,011.31	778.92
SCHLUMBERGER LTD	1,053.98	1,038.56
SCHLUMBERGER LTD	1,072.78	1,103.47
SCHLUMBERGER LTD	1,130.06	1,622.75

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PART II LINE 10B - INVESTMENTS CORPORATE STOCK

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
SCHLUMBERGER LTD	1,130.41	714.01
SCHLUMBERGER LTD	1,166.08	1,233.29
SCHLUMBERGER LTD	1,387.74	1,428.02
STARBUCKS CORP	722.54	1,119.72
STARBUCKS CORP	1,076.70	1,599.60
STARBUCKS CORP	1,095.33	1,546.28
STARBUCKS CORP	1,225.00	1,866.20
STARBUCKS CORP	1,359.38	1,972.84
STARBUCKS CORP	1,421.94	2,079.48
STARBUCKS CORP	1,559.95	2,292.76
STARBUCKS CORP	5,204.79	6,131.80
STERICYCLE INC	66.00	91.67
STERICYCLE INC	712.71	825.03
STERICYCLE INC	718.42	825.03
STERICYCLE INC	906.71	1,283.38
STERICYCLE INC	1,175.75	1,741.73
STERICYCLE INC	1,249.87	1,833.40
STERICYCLE INC	2,238.06	3,116.78
STERICYCLE INC	6,321.19	6,600.24
SYNGENTA AG	1,537.14	1,505.68
SYNGENTA AG	2,871.11	2,942.92
SYNGENTA AG	5,516.04	5,885.84
TRIMBLE NAV LTD	1,139.40	1,242.27
TRIMBLE NAV LTD	1,187.01	1,518.33
TRIMBLE NAV LTD	2,630.81	2,714.59
UNION PACIFIC CORP	12,744.93	13,362.72
WHOLE FOODS MKT INC	534.88	762.56
WHOLE FOODS MKT INC	656.54	953.20
WHOLE FOODS MKT INC	885.50	1,334.48
WHOLE FOODS MKT INC	915.45	1,429.80
WHOLE FOODS MKT INC	1,136.76	1,143.84
WHOLE FOODS MKT INC	1,214.48	1,620.44
WHOLE FOODS MKT INC	1,222.74	1,715.76
WHOLE FOODS MKT INC	1,232.10	1,715.76
WHOLE FOODS MKT INC	<u>2,588.40</u>	<u>3,431.52</u>
 TOTAL	 <u>2,152,249.59</u>	 <u>2,222,806.47</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED	550,747.	655,323.
TOTALS	<u>550,747.</u>	<u>655,323.</u>

BROOKDALE FOUNDATION
FORM 990 PF
ID # 13-6076863
June 30, 2012

PART II LINE 13 - INVESTMENTS OTHER

<u>DESCRIPTION</u>	<u>COST/BASIS</u>	<u>MARKET VALUE</u>
FP ALTERNATIVE FUND LP-FORTRESS	31,093.47	18,825.00
FP ALTERNATIVE FUND LP FORTRESS	19,601.95	83,451.00
SKYBRIDGE MULTI ADVISOR HEDGE FUND	201,000.00	204,975.50
UBP ARV PLUS 1.25X LTD RR	99,051.80	115,151.74
CITIGROUP FUNDING INC INDEX LASERS	<u>200,000.00</u>	<u>232,920.00</u>
 TOTAL	 <u>550,747.23</u>	 <u>655,323.24</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DUE FROM BROKER FEDERAL EXCISE TAX PAYABLE	3,517.	3,517.
TOTALS	<u>3,517.</u>	<u>3,517.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE TO BROKER	6,872.
TOTALS	<u>6,872.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR YEAR PLEDGES REFUNDED/CANCELLED	103,218.
TOTAL	<u>103,218.</u>

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
RAMAPO TRUST 400 KELBY ST. 16TH FL FORT LEE, NJ 07024		428,448.
TOTAL CONTRIBUTION AMOUNTS		<u>428,448.</u>

THE BROOKDALE FOUNDATION

13 -6076863

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
STEPHEN L SCHWARTZ C/O BROOKDALE 400 KELBY ST. 16FL FORT LEE, NJ 07024	PRESIDENT/DIRECTOR 8.00	0	0	0
MARY ANN VAN CLIEF C/O BROOKDALE 400 KELBY ST. 16FL FORT LEE, NJ 07024	VP/DIRECTOR/TREASURER 8.00	0	0	0
VICTOR BIGGS C/O BROOKDALE 400 KELBY ST. 16FL FORT LEE, NJ 07024	ASST VP 40.00	70,795.	2,124.	0
MARY DE LA CRUZ C/O BROOKDALE 400 KELBY ST. 16FL FORT LEE, NJ 07024	SECRETARY 8.00	0	0	0
MANUEL F. BILLENA C/O BROOKDALE 400 KELBY ST. 16FL FORT LEE, NJ 07024	TREASURER 8.00	0	0	0
ANDREW M SCHREIER 400 KELBY ST., 16TH FLOOR FORT LEE, NJ 07024	DIRECTOR 1.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 13 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ARTHUR N. FIELD C/O BROOKDALE 400 KELBY ST. 16FL FORT LEE, NJ 07024	DIRECTOR 1.00	0	0	0
WILLIAM S. SCHREIER C/O BROOKDALE 400 KELBY ST. 16FL FOR LEE, NJ 07024	DIRECTOR 1.00	0	0	0
GRAND TOTALS		<u>70,795.</u>	<u>2,124.</u>	<u>0</u>

SCHEDULE D
(Form 1041)

Capital Gains and Losses

OMB No 1545-0092

Department of the Treasury
Internal Revenue Service

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

2011

Name of estate or trust

THE BROOKDALE FOUNDATION

Employer identification number

13 -6076863

Note: Form 5227 filers need to complete only Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-56,891.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	-56,891.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	13,153.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	13,153.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
13	Net short-term gain or (loss)	13		-56,891.
14	Net long-term gain or (loss):			
a	Total for year	14a		13,153.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		-43,738.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	(3,000)
a	The loss on line 15, column (3) or		
b	\$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2011

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2011

Name of estate or trust

THE BROOKDALE FOUNDATION

Employer identification number

13 -6076863

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b -56,891.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Employer identification number

[illegible]

13,153.

JSA

BROOKDALE FOUNDATION
FORM 990PF
ID# 13-6076863
JUNE 30, 2012

PART XV LINE 3A GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>GRANTEE</u>	<u>AMOUNT PAID</u>
Columbia University 722 West 168th Street, Room 430G, New York, NY 10032	128,000.00
New York University 665 Broadway, Suite 801, New York, NY 10012	112,776.00
Fordham University 441 East Fordham Road, AND 221 Bronx, NY 10458	98,422.00
Yale University School of Medicine 47 College Street, Suite 203 New Haven, CT 06510-3209	89,211 00
Johns Hopkins University 733 N Broadway, Suite 117, Baltimore, MD 21205	103,257.00
	<u><u>\$ 531,666.00</u></u>

BROOKDALE FOUNDATION
FORM 990PF
ID# 13-6076863
JUNE 30, 2012

PART XV LINE 3B GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTS

<u>GRANTEE</u>	<u>APPROVED AMOUNT</u>
Johns Hopkins University 733 N Broadway, Suite 117, Baltimore, MD 21205	106,264.00
	<u>\$ 106,264.00</u>

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions.

Enter filer's identifying number, see instructions

Employer identification number (EIN) or

THE BROOKDALE FOUNDATION

☒ 13 -6076863

Number, street, and room or suite no. If a P.O. box, see instructions

Social security number (SSN)

400 KELBY ST., 16TH FLOOR

City, town or post office, state, and ZIP code. For a foreign address, see instructions

FORT LEE, NJ 07024

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ STEPHEN L. SCHWARTZ

Telephone No. ▶ 212 308-7355

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☐ calendar year 20 or
- ▶ ☒ tax year beginning 07/01, 20 11, and ending 06/30, 20 12

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	883.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	3,806.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFIPS (Electronic Federal Tax Payment System). See instructions	3c \$	

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2012)