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Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 07-01-2011 and ending 06-30-2012

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Doing Business As

Number and street (or P O box if mail is not delivered to street address)Room/suite

615 WEST 131ST STREET MC 8741

City or town, state or country, and ZIP + 4

NEW YORK, NY 100277922

F Name and address of principal officer

Lee C Bollinger
615 West 131st St MC 8741
New York, NY 100277922

H(a) Is this a group return for affiliates?

☐ Yes ☒ No

H(b) Are all affiliates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number

D Employer identification number

13-5598093

E Telephone number

(212) 854-4684

G Gross receipts \$ 7,149,808,012

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ☐ (Insert no) ☐ 4947(a)(1) or ☐ 527

J Website:

www.columbia.edu

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation

1754

M State of legal domicile

NY

Part I

Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities SEE STATEMENT 1		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	23
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	16
	5 Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	31,577
	6 Total number of volunteers (estimate if necessary)	6	8,167
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	-8,486,699
	b Net unrelated business taxable income from Form 990-T, line 34	7b	-15,085,962
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	1,969,196,418	1,419,840,375
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,016,494,653	2,164,623,741
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	570,158,034	419,888,453
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	154,118,767	156,131,305
Expenses			4,709,967,872
	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)		
	14 Benefits paid to or for members (Part IX, column (A), line 4)		
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)		
	16a Professional fundraising fees (Part IX, column (A), line 11e)		
	b Total fundraising expenses (Part IX, column (D), line 25)		
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)		
Net Assets or Fund Balances	18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)		
	19 Revenue less expenses Subtract line 18 from line 12		
			Beginning of Current Year
	20 Total assets (Part X, line 16)		
	21 Total liabilities (Part X, line 26)		
	22 Net assets or fund balances Subtract line 21 from line 20		
			End of Year
	20 Total assets (Part X, line 16)		
	21 Total liabilities (Part X, line 26)		
	22 Net assets or fund balances Subtract line 21 from line 20		

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

2013-05-14

Date

ANNE SULLIVAN EXEC VP FOR FINANCE

Type or print name and title

Paid Preparer's Use Only

Preparer's signature

Date

Check if self-employed ☐

Preparer's taxpayer identification number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4

PricewaterhouseCoopers LLP
300 Madison Avenue
New York, NY 10017

EIN

Phone no (646) 471-3000

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2011)

Part IIIStatement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization’s mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

If “Yes,” describe these new services on Schedule O

Yes

No

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

If “Yes,” describe these changes on Schedule O

Yes

No

4

Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 1,236,986,607 including grants of \$ 379,509,877) (Revenue \$ 1,084,831,000)

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 28,221 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 30,000 FULL-TIME AND PART-TIME EMPLOYEES, INCLUDING 5,459 FULL-TIME FACULTY MEMBERS

4b

(Code) (Expenses \$ 714,052,099 including grants of \$ 6,369,935) (Revenue \$ 842,367,540)

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 1 8 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 660 UNIVERSITY MEDICAL STUDENTS AND 950 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c

(Code) (Expenses \$ 578,151,000 including grants of \$ 152,194,831) (Revenue \$ 105,932,788)

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

(Code) (Expenses \$ 61,700,000 including grants of \$ 0) (Revenue \$ 0)

LIBRARY

(Code) (Expenses \$ 224,614,000 including grants of \$ 0) (Revenue \$ 0)

CAMPUS OPERATIONS

(Code) (Expenses \$ 210,091,000 including grants of \$ 0) (Revenue \$ 0)

INSTITUTIONAL SUPPORT

(Code) (Expenses \$ 92,604,000 including grants of \$ 0) (Revenue \$ 121,687,000)

AUXILIARY ENTERPRISES

(Code) (Expenses \$ 150,565,789 including grants of \$ 5,868,990) (Revenue \$ 9,805,413)

OTHER SOURCES

4d

Other program services (Describe in Schedule O)

























(Expenses \$ 739,574,789 including grants of \$ 5,868,990) (Revenue \$ 131,492,413)

4e

Total program service expenses

\$ 3,268,764,495

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4	Yes
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	Yes
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	Yes
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a	Yes
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. 	11b	Yes
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. 	11c	No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. 	11d	No
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. 	11e	Yes
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X. 	11f	No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12a	No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional 	12b	Yes
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13	Yes
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Part I 	14b	Yes
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II and IV 	15	Yes
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S? If "Yes," complete Schedule F, Part III and IV 	16	Yes
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I 	17	Yes
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18	Yes
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20a	No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	Yes	
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a	Yes	
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

<div>Part V</div> <div>Statements Regarding Other IRS Filings and Tax Compliance</div>						
Check if Schedule O contains a response to any question in this Part V <input checked="" type="checkbox"/>						
		Yes	No			
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. .	1a	42,855			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes			
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return. .	2a	31,577			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes			
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	Yes			
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?	4a	Yes			
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a				No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b				No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c				
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a				No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b				
7	Organizations that may receive deductible contributions under section 170(c).					
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes			
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes			
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c				No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e				No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f				No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g				
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h				
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8				No
9	Sponsoring organizations maintaining donor advised funds.					
a	Did the organization make any taxable distributions under section 4966?	9a				No
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b				No
10	Section 501(c)(7) organizations. Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b				
11	Section 501(c)(12) organizations. Enter					
a	Gross income from members or shareholders.	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b				
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a				
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b				
13	Section 501(c)(29) qualified nonprofit health insurance issuers.					
a	Is the organization licensed to issue qualified health plans in more than one state? Note. All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state.	13a				
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b				
c	Enter the aggregate amount of reserves on hand.	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a				No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b				

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	8a	Yes
8b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
11b	Describe in Schedule O the process, if any, used by the organization to review the Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
12b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	15a	Yes
15b	Other officers or key employees of the organization	15b	Yes
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	CA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request	
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization.	RENOTTA YOUNG DEP CONTROLL 615 W 131ST MC 8749 New York, NY 10027 (212) 854-4684

Check if Schedule O contains a response to any question in this Part VII

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

[illegible]

Part VII

1b	Sub-Total			
c	Total from continuation sheets to Part VII, Section A			
d	Total (add lines 1b and 1c)	28,263,429	0	7,548,671

2	Total number of individuals (including but not limited to those listed \$100,000 of reportable compensation from the organization)	4,089
----------	--	-------

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
LEND LEASE US CONSTRUCTION LMB	CONSTRUCTION	53,552,058
DAVIS BRODY BOND LLP	ARCHITECTURAL	13,687,586
HELLMAN CONSTRUCTION CO INC	CONSTRUCTION	13,635,502
NEXTSOURCE INC	MANAGEMENT CONSULT	12,263,500
STRUCTURE TONE	CONSTRUCTION	9,210,831

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶278

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	5,588,110				
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	857,587,000				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	556,665,265				
	g	Noncash contributions included in lines 1a-1f \$ 17,969,206						
	h	Total. Add lines 1a-1f		1,419,840,375				
Program Service Revenue			Business Code					
	2a	TUITION & FEES	611600	1,084,831,000	1,084,831,000			
	b	OTHER EDUCATION & RESEARCH	541700	105,932,788	105,932,788			
	c	PATIENT CARE REVENUE	621110	842,367,540	842,367,540			
	d	AUXILIARY ENTERPRISES	900099	121,687,000	115,748,807	5,938,193		
	e	OTHER SOURCES	900099	8,388,417	8,388,417			
	f	All other program service revenue		1,416,996	1,416,996			
	g	Total. Add lines 2a-2f		2,164,623,741				
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		43,176,561			43,176,561	
	4	Income from investment of tax-exempt bond proceeds . . .		0				
	5	Royalties		83,262,875			83,262,875	
	6a		(i) Real	(ii) Personal				
		Gross rents	148,251,937					
		b Less rental expenses	132,032,464					
		c Rental income or (loss)	16,219,473					
	d	Net rental income or (loss)		16,219,473		27,952	16,191,521	
	7a		(i) Securities	(ii) Other				
		Gross amount from sales of assets other than inventory	3,231,878,804					
		b Less cost or other basis and sales expenses	2,855,166,912					
		c Gain or (loss)	376,711,892					
	d	Net gain or (loss)		376,711,892			376,711,892	
	8a	Gross income from fundraising events (not including \$ 5,588,110 of contributions reported on line 1c) See Part IV, line 18						
	a	922,623						
	b	Less direct expenses	b	2,124,762				
	c	Net income or (loss) from fundraising events . . .		-1,202,139			-1,202,139	
	9a	Gross income from gaming activities See Part IV, line 19						
	a							
	b	Less direct expenses	b					
c	Net income or (loss) from gaming activities . . .		0					
10a	Gross sales of inventory, less returns and allowances							
a								
b	Less cost of goods sold	b						
c	Net income or (loss) from sales of inventory . . .		0					
Miscellaneous Revenue		Business Code						
11a	PARTNERSHIP INCOME	900099	57,851,096		-14,452,844	72,303,940		
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d		57,851,096					
12	Total revenue. See Instructions		4,160,483,874	2,158,685,548	-8,486,699	590,444,650		

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	105,084,965	105,084,965		
2	Grants and other assistance to individuals in the United States See Part IV, line 22	379,272,707	379,272,707		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	59,585,961	59,585,961		
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	14,673,691	0	14,673,691	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	2,862,179	335,687	2,526,492	
7	Other salaries and wages	1,720,100,591	1,552,714,562	122,655,465	44,730,564
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	103,191,349	91,940,528	8,444,129	2,806,692
9	Other employee benefits	194,082,458	141,517,375	37,633,473	14,931,610
10	Payroll taxes	90,824,189	80,606,344	7,757,635	2,460,210
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	24,666,413	22,343,393	2,160,261	162,759
c	Accounting	2,445,665	0	2,445,665	0
d	Lobbying	449,140	0	449,140	0
e	Professional fundraising See Part IV, line 17	531,810			531,810
f	Investment management fees	8,831,389	0	8,831,389	0
g	Other	56,272,607	40,786,247	12,330,344	3,156,016
12	Advertising and promotion	4,408,437	2,269,989	968,132	1,170,316
13	Office expenses	310,044,335	269,754,706	32,273,042	8,016,587
14	Information technology	15,828,338	7,374,184	8,050,846	403,308
15	Royalties	0			
16	Occupancy	127,249,144	121,509,874	4,429,189	1,310,081
17	Travel	55,773,108	52,159,275	1,309,458	2,304,375
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	16,383,669	11,947,893	807,580	3,628,196
20	Interest	57,116,608	53,324,065	3,198,530	594,013
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	191,278,000	178,564,366	10,722,342	1,991,292
23	Insurance	40,920,063	34,393,186	6,306,262	220,615
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	LIBRARY	24,135,348	24,135,348	0	0
b	EMPLOYEE EDUCATION	9,744,362	9,061,381	576,947	106,034
c	DUES & SUBSCRIPTIONS	9,080,126	6,908,032	1,880,191	291,903
d	UNRELATED BUS INCOME TAX EXP	408,855	0	408,855	0
e					
f	All other expenses	67,624,551	23,174,427	40,004,705	4,445,419
25	Total functional expenses. Add lines 1 through 24f	3,692,870,058	3,268,764,495	330,843,763	93,261,800
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			0	1	0
	2	Savings and temporary cash investments			598,858,292	2	825,719,295
	3	Pledges and grants receivable, net			998,758,000	3	1,039,384,000
	4	Accounts receivable, net			226,569,456	4	241,100,795
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			1,475,000	5	1,475,000
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L			0	6	0
	7	Notes and loans receivable, net			107,456,084	7	111,108,803
	8	Inventories for sale or use			1,885,000	8	1,547,000
	9	Prepaid expenses and deferred charges			34,444,148	9	41,433,948
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	5,928,927,759			
	b	Less: accumulated depreciation	10b	2,209,968,486	3,507,786,755	10c	3,718,959,273
	11	Investments—publicly traded securities			3,195,965,939	11	2,737,609,594
	12	Investments—other securities. See Part IV, line 11			4,352,804,825	12	4,455,342,462
	13	Investments—program-related. See Part IV, line 11			0	13	0
	14	Intangible assets			0	14	0
	15	Other assets. See Part IV, line 11			469,239,545	15	453,571,679
	16	Total assets. Add lines 1 through 15 (must equal line 34)			13,495,243,044	16	13,627,251,849
Liabilities	17	Accounts payable and accrued expenses			248,844,974	17	227,280,816
	18	Grants payable			0	18	0
	19	Deferred revenue			196,898,000	19	239,703,597
	20	Tax-exempt bond liabilities			1,423,861,000	20	1,333,131,000
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			0	21	0
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			0	22	0
	23	Secured mortgages and notes payable to unrelated third parties			0	23	0
	24	Unsecured notes and loans payable to unrelated third parties			115,299,000	24	202,769,000
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D			951,638,000	25	1,066,560,000
	26	Total liabilities. Add lines 17 through 25			2,936,540,974	26	3,069,444,413
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			4,736,075,673	27	4,634,928,039
	28	Temporarily restricted net assets			3,681,110,000	28	3,646,550,000
	29	Permanently restricted net assets			2,141,516,397	29	2,276,329,397
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			10,558,702,070	33	10,557,807,436
	34	Total liabilities and net assets/fund balances			13,495,243,044	34	13,627,251,849

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,160,483,874
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,692,870,058
3	Revenue less expenses Subtract line 2 from line 1	3	467,613,816
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	10,558,702,070
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-468,508,450
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	10,557,807,436

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII ☒

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support							
Calendar year (or fiscal year beginning in)		(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7	Amounts from line 4						
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11	Total support (Add lines 7 through 10)						
12	Gross receipts from related activities, etc (See instructions)					12	
13	First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage		
14	Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))	14
15	Public Support Percentage for 2010 Schedule A, Part II, line 14	15
16a	33 1/3% support test—2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	
b	33 1/3% support test—2010. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	
17a	10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization	
b	10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization	
18	Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions	

Part IIIPart III

Support Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage			
15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15		
16 Public support percentage from 2010 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2011 (line 10c column (f) divided by line 13 column (f))	17		
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization			
b 33 1/3% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization			
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions			

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check
- ☐
- if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check
- ☐
- if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures														
e	Total exempt purpose expenditures (add lines 1c and 1d)														
f	Lobbying nontaxable amount Enter the amount from the following table in both columns														
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)														
h	Subtract line 1g from line 1a If zero or less, enter -0-														
i	Subtract line 1f from line 1c If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)	
		Yes	No	Amount	
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of				
	a Volunteers?		No		
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes			
	c Media advertisements?		No		
	d Mailings to members, legislators, or the public?		No		
	e Publications, or published or broadcast statements?		No		
	f Grants to other organizations for lobbying purposes?	Yes			13,752
	g Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes			85,588
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No		
	i Other activities? If "Yes," describe in Part IV	Yes			349,800
j Total lines 1c through 1i			449,140		
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No		
b	If "Yes," enter the amount of any tax incurred under section 4912				
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?				

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION	SCHEDULE C	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS PROJECT, COORDINATOR FOR STATE AND FEDERAL RELATIONS, DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

SCHEDULE D
(Form 990)

Supplemental Financial Statements

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

2011

Open to Public Inspection

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

Attach to Form 990. See separate instructions.

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	6
2	Aggregate contributions to (during year)	0
3	Aggregate grants from (during year)	1,519,000
4	Aggregate value at end of year	23,130,769

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☒ Yes ☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit

☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii)

Assets included in Form 990, Part X

▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____ 0

b

Assets included in Form 990, Part X

▶ \$ _____ 2,621,325

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2011

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒

Public exhibition

d

☒

Loan or exchange programs

b

☒

Scholarly research

e

☐

Other

c

☒

Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a Beginning of year balance	7,789,579,000	6,516,512,000	5,892,798,000	7,345,226,000	
b Contributions	183,419,000	202,175,000	159,335,000	159,922,000	
c Investment earnings or losses	161,239,000	1,503,257,000	946,146,321	-1,138,085,412	
d Grants or scholarships	84,742,000	71,786,000	72,771,000	81,367,000	
e Other expenditures for facilities and programs	363,392,000	329,582,000	387,564,000	365,444,000	
f Administrative expenses	31,951,000	30,998,000	21,432,321	27,453,588	
g End of year balance	7,654,152,000	7,789,578,000	6,516,512,000	5,892,798,000	

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 39 000 %

b

Permanent endowment ▶ 61 000 %

c

Term endowment ▶ 0 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i) Yes

(ii) related organizations

3a(ii) No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		442,353,000		442,353,000
b Buildings	1,050,001,278	4,067,912,481	2,018,769,486	3,099,144,273
c Leasehold improvements				
d Equipment		368,661,000	191,199,000	177,462,000
e Other				
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				3,718,959,273

Schedule D (Form 990) 2011

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A, 2A & 4	COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET. COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED. FOUR OF THE CONTRIBUTIONS OF ARTWORK REPORTED IN SCHEDULE M, PART I, LINE 1 (VALUED AT APPROXIMATELY \$15 MILLION) WERE RECEIVED IN PARTIAL SATISFACTION OF A PLEDGE THAT WAS RECOGNIZED FOR GAAP PURPOSES DURING THE YEAR ENDED JUNE 30, 2011. WHILE THESE ITEMS WERE HELD FOR SALE, AND SOME WERE SOLD DURING THE YEAR ENDED JUNE 30, 2012, THERE WAS NO CONTRIBUTION REVENUE ASSOCIATED WITH THE GIFTS DURING THE CURRENT REPORTING PERIOD.
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS.
PART X, LINE 2 (FIN 48)		THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN.

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule D, Part X, - Other Liabilities

1	(a) Description of Liability	(b) Amount
	LIABILITY FOR SECURITIES PURCH	10,026,000
	SECURITIES LOAN AGRMT PAYABLE	30,536,000
	CAPITAL LEASE OBLIGATIONS	114,421,000
	COND'L ASSET RETIREMENT OBLIGA	106,282,000
	ACCRUED EMPLOYEE BENEFIT LIAB	229,928,000
	FEDERAL STUDENT LOAN FUNDS	79,603,000
	ACTUARIAL LIAB- SPLIT INT AGRM	41,444,000
	OTHER LONG TERM LIABILITIES	454,320,000

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

- 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

- 4 Does the organization maintain the following?
- a Records indicating the racial composition of the student body, faculty, and administrative staff?
- b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d Copies of all material used by the organization or on its behalf to solicit contributions?
- If you answered "No" to any of the above, please explain If you need more space, use Part II

- 5 Does the organization discriminate by race in any way with respect to
- a Students' rights or privileges?
- b Admissions policies?
- c Employment of faculty or administrative staff?
- d Scholarships or other financial assistance?
- e Educational policies?
- f Use of facilities?
- g Athletic programs?
- h Other extracurricular activities?
- If you answered "Yes" to any of the above, please explain If you need more space, use Part II

- 6a Does the organization receive any financial aid or assistance from a governmental agency?
- b Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either line 6a or line 6b, explain on Part II

- 7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	
4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	
5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No
6a	Yes	
6b		No
7	Yes	

Part III **Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
SCHEDULE E, LINE 3		COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD. ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA. IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS. IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING. IT THEREFORE MEETS THE CRITERIA UNDER REV. PROC. 75-50, SECTION 4.03-2(B) FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4.03-1.
SCHEDULE E, LINE 6A		COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS.

OMB No 1545-0047

Open to Public Inspection

13-5598093

3 Activities per Region (Use Part V if additional space is needed)

Schedule F (Form 990) 2011

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 ☐ ☐
Use Part V if additional space is needed.

[illegible]

2	Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter	296
3	Enter total number of other organizations or entities	0

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Part V if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
See Add'l Data							

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☒ Yes ☐ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☒ Yes ☐ No

Part V Supplemental Information

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION		<p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U S GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY ----- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE \$3,272,962,500 2) EAST ASIA AND THE PACIFIC - BOOK VALUE \$335,213,619 AND EXPENDITURES \$168,283 3) EUROPE - BOOK VALUE \$529,907,784 AND EXPENDITURES \$240,772 4) NORTH AMERICA - BOOK VALUE \$24,915,038 AND EXPENDITURES \$3,009 5) SOUTH ASIA - EXPENDITURES \$9,961 6) SUB-SAHARAN AFRICA - BOOK VALUE \$39,556,059 -----</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	2	692	Program Services	EDUC/RESEARCH/OUTREACH	720,214
Central America and the Caribbean			Grantmaking		380,437
Central America and the Caribbean			Fundraising		477
Central America and the Caribbean			Investments		3,272,962,500
East Asia and the Pacific	6	1,498	Program Services	EDUC/RESEARCH/OUTREACH	7,512,600
East Asia and the Pacific			Grantmaking		3,725,142
East Asia and the Pacific			Fundraising		121,031
East Asia and the Pacific			Investments		335,381,902
Europe (Including Iceland and Greenland)	11	3,045	Program Services	EDUC/RESEARCH/OUTREACH	13,814,761
Europe (Including Iceland and Greenland)			Grantmaking		6,915,716
Europe (Including Iceland and Greenland)			Fundraising		686,171
Europe (Including Iceland and Greenland)			Investments		530,148,556
Middle East and North Africa	4	573	Program Services	EDUC/RESEARCH/OUTREACH	2,066,315
Middle East and North Africa			Grantmaking		250,256
Middle East and North Africa			Fundraising		7,468
North America	5	728	Program Services	EDUC/RESEARCH/OUTREACH	2,078,730
North America			Grantmaking		3,503,602
North America			Fundraising		12,194
North America			Investments		24,918,047
Russia and the Newly Independent States	0	128	Program Services	EDUC/RESEARCH/OUTREACH	2,162,175
Russia and the Newly Independent States			Grantmaking		67,678
Russia and the Newly Independent States			Fundraising		4,751
South America	0	786	Program Services	EDUC/RESEARCH/OUTREACH	2,236,263
South America			Grantmaking		720,238
South America			Fundraising		33,827
South Asia	3	401	Program Services	EDUC/RESEARCH/OUTREACH	2,295,734
South Asia			Grantmaking		684,373
South Asia			Fundraising		33,165
South Asia			Investments		6,492,556
Sub-Saharan Africa	31	1,293	Program Services	EDUC/RESEARCH/OUTREACH	145,901,915
Sub-Saharan Africa			Grantmaking		51,154,234
Sub-Saharan Africa			Fundraising		1,479
Sub-Saharan Africa			Investments		33,073,464

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	57,609	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	10,000	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	154,146	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	9,030	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	REIMBURSEMENT	16,721	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	117,078	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	32,260	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	317,742	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	44,820	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	145,224	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	29,983	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	19,574	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	RESEARCH	176,505	Wire Transfe		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	26,498	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	13,605	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	70,000	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	11,880	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	120,396	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	37,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	14,651	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	136,066	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	220,327	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	45,910	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	197,287	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	83,345	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	58,760	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,032,597	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Sub-Saharan Africa	EDUC/RESEARCH	854,668	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	763,893	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	60,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Europe/Iceland/Greenland	EDUC/RESEARCH	197,961	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	7,560	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	200,883	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	11,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	115,790	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	FELLOWSHIPS	29,571	Wire Transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	45,760	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	71,589	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	9,145	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	627,051	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	4,396,191	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	13,965	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	1,798,835	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	274,297	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	14,136	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	56,700	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	276,064	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	151,333	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	DONATION	5,010	Wire Transfe		N/A	N/A
		Europe/Iceland/Greenland	TUITION	14,312	Wire Transfe		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	12,960	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	38,930	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	274,362	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	43,157	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	248,010	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	132,906	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	363,006	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	24,446	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	80,283	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	FUNDS TO SUPPORT	13,568	Wire Transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	301,141	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	101,547	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	55,890	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	433,170	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	412,925	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,232,244	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	2,946,364	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	22,500	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	795,795	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	20,430	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	114,847	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	154,277	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	151,977	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	155,467	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	15,781	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	98,752	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	59,663	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	150,579	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	26,909	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	214,105	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	17,199	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	18,261	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	8,804	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	27,311	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	126,685	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	25,347	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	6,052	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	165,204	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	83,320	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	150,471	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	69,121	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	97,200	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	22,624	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	10,387	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	107,440	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	15,390	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	224,991	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	41,350	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	21,953	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	25,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	27,800	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	491,369	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	11,048	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	46,952	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	92,500	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	272,916	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	6,489	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	108,630	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	160,380	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	5,500	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	74,405	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	28,747	Check		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,898,360	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	909,808	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	671,843	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	495,862	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	590,288	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	2,295,250	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	18,685	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	97,679	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	118,012	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	75,504	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	42,842	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	30,056	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	493,806	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	130,740	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	15,150	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	648,273	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	50,149	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	11,250	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	12,373	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	308,326	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	26,554	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	355,579	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	51,552	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	2,184,165	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	15,257	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	763,525	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	608,566	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	92,931	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	552,546	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	54,246	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	574,996	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	9,169	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	20,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,213,466	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	92,657	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	1,201,443	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	14,876	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	17,156	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	54,715	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	36,612	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	52,200	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Russia	EDUC/RESEARCH	36,000	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	40,410	Wire Transfe		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	7,135	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	63,825	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	76,270	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	12,504	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	5,890	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	333,148	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	10,800	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	12,129	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	144,088	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	76,228	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	13,500	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	44,793	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	522,368	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	50,289	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	26,840	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	84,400	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Sub-Saharan Africa	EDUC/RESEARCH	49,049	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	42,000	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	138,908	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	46,298	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	78,867	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	75,296	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	EDUC/RESEARCH	72,646	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	18,600	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	14,040	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	119,249	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	79,011	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	11,290	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	17,912	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	780,103	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	265,490	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	25,003	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	556,540	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	113,948	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	88,862	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	12,800	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	68,268	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	177,930	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	790,839	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	10,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	15,017	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	10,800	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	FELLOWSHIPS	18,507	Wire Transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	FELLOWSHIPS	31,235	Wire Transfe		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	263,069	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,511,258	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	44,617	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	40,618	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	FELLOWSHIPS	21,357	Wire Transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	332,966	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	14,888	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	412,370	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	24,212	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	36,586	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	22,885	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	19,981	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	14,957	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	36,884	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	55,185	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,254	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,254	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,254	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,254	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	42,788	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	33,000	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	1,704,716	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	301,845	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	310,182	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,254	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	41,100	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	64,989	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	9,009	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	18,000	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	18,000	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	27,112	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	88,901	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	7,931	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	62,472	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,254	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	9,009	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	23,891	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	11,800	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	11,800	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	11,800	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	53,298	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	77,189	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	23,600	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	181,308	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	35,254	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	8,220	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	187,898	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	18,000	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	18,000	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	98,489	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	88,034	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	99,880	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	5,707	EQUIPMENT	NBV

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(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	40,833	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	14,209	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	28,000	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	11,500	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	11,965	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	48,690	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	52,608	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	20,775	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	63,256	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	67,869	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	83,186	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	28,880	EQUIPMENT	NBV

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(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	29,270	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	31,723	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	96,237	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	35,256	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	106,708	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	119,380	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	121,066	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	123,530	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	49,000	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	49,000	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	52,261	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	52,603	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	54,750	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	55,557	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	180,065	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	203,010	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	69,126	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	227,755	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	76,117	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	78,742	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	88,071	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	105,292	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	324,564	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	109,500	equipment	nbv

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	127,750	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	132,000	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	397,033	equipment	nbv

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(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		n/a	147,627	equipment	nbv
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	14,209	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	48,690	EQUIPMENT	NBV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	18,800	EQUIPMENT	NBV
		Sub-Saharan Africa	EDUC/RESEARCH		N/A	22,439	EQUIPMENT	NBV

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV , appraisal, other)
Research	Cent America/Caribbean	2	5,892	Check		N/A	N/A
Scholarships	Cent America/Caribbean	8	374,545	Check		N/A	N/A
Dissertation	East Asia/Pacific	4	41,200	Check		N/A	N/A
Fellowships	East Asia/Pacific	2	100,000	Check		N/A	N/A
General Support	East Asia/Pacific	2	6,102	Check		N/A	N/A
Honorarium	East Asia/Pacific	4	5,707	CHECK		N/A	N/A
Internship Award	East Asia/Pacific	4	12,000	Check		N/A	N/A
PhD Grant	East Asia/Pacific	6	116,250	DIRECT DEPOS		N/A	N/A
Prize	East Asia/Pacific	13	8,750	Check		N/A	N/A
Prize	East Asia/Pacific	4	18,334	Cash		N/A	N/A
Research	East Asia/Pacific	17	125,252	Check		N/A	N/A
Scholarships	East Asia/Pacific	42	1,664,773	Check		N/A	N/A
Stipend	East Asia/Pacific	18	30,370	Check		N/A	N/A
Study Abroad	East Asia/Pacific	7	32,000	Check		N/A	N/A
Travel	East Asia/Pacific	74	67,789	check		N/A	N/A
Travel	East Asia/Pacific	54	119,625	DIRECT DEPOS		N/A	N/A
Conference	Europe/Iceland/Greenland	34	7,991	Check		N/A	N/A
General Support	Europe/Iceland/Greenland	19	56,868	Check		N/A	N/A
Honorarium	Europe/Iceland/Greenland	38	40,658	Check		N/A	N/A
Internship Award	Europe/Iceland/Greenland	3	9,200	Check		N/A	N/A
PhD Grant	Europe/Iceland/Greenland	3	33,500	DIRECT DEPOS		N/A	N/A
Prize	Europe/Iceland/Greenland	15	30,424	Check		N/A	N/A
Research	Europe/Iceland/Greenland	25	147,434	Check		N/A	N/A
Scholarships	Europe/Iceland/Greenland	51	1,673,624	Check		N/A	N/A
Stipend	Europe/Iceland/Greenland	47	117,091	Check		N/A	N/A
Travel	Europe/Iceland/Greenland	88	92,005	check		N/A	N/A
Travel	Europe/Iceland/Greenland	23	62,600	DIRECT DEPOS		N/A	N/A
General Support	Middle East/North Africa	2	9,230	Check		N/A	N/A
Honorarium	Middle East/North Africa	4	5,450	Check		N/A	N/A
PhD Grant	Middle East/North Africa	1	16,750	Check		N/A	N/A
Research	Middle East/North Africa	35	88,520	Check		N/A	N/A
Scholarships	Middle East/North Africa	4	65,002	Check		N/A	N/A
Stipend	Middle East/North Africa	19	42,859	Check		N/A	N/A
Travel	Middle East/North Africa	14	14,445	CHECK		N/A	N/A
Travel	Middle East/North Africa	1	8,000	DIRECT DEPOS		N/A	N/A
PhD Grant	North America	2	30,500	DIRECT DEPOS		N/A	N/A
Prize	North America	4	5,855	Check		N/A	N/A
Scholarships	North America	75	2,760,734	Check		N/A	N/A
Stipend	North America	7	7,292	Check		N/A	N/A
Travel	North America	15	11,566	Check		N/A	N/A
Travel	North America	6	25,650	DIRECT DEPOS		N/A	N/A
Research	Russia	2	18,338	Check		N/A	N/A
Travel	Russia	12	13,340	CHECK		N/A	N/A
Prize	South America	2	13,000	Check		N/A	N/A
General Support	South America	23	64,535	Check		N/A	N/A
PhD Grant	South America	1	20,250	DIRECT DEPOS		N/A	N/A
Research	South America	2	7,783	Check		N/A	N/A
Scholarships	South America	8	216,800	Check		N/A	N/A
Travel	South America	17	32,197	DIRECT DEPOS		N/A	N/A
Travel	South America	46	38,780	CHECK		N/A	N/A
Travel	South America	4	18,863	WIRE		N/A	N/A
General Support	South Asia	3	6,720	Check		N/A	N/A
Research	South Asia	7	62,225	Check		N/A	N/A
Scholarships	South Asia	17	245,461	Check		N/A	N/A
Stipend	South Asia	7	10,621	Check		N/A	N/A
Travel	South Asia	25	24,833	CHECK		N/A	N/A
Travel	South Asia	9	19,900	DIRECT DEPOS		N/A	N/A
Fellowships	Sub-Saharan Africa	1	50,000	Check		N/A	N/A
General Support	Sub-Saharan Africa	14	45,910	Check		N/A	N/A
Internship Award	Sub-Saharan Africa	6	14,800	Check		N/A	N/A
PhD Grant	Sub-Saharan Africa	1	18,250	DIRECT DEPOS		N/A	N/A
Research	Sub-Saharan Africa	5	28,383	Check		N/A	N/A
Scholarships	Sub-Saharan Africa	21	1,050,185	Check		N/A	N/A
Stipend	Sub-Saharan Africa	6	10,448	Check		N/A	N/A
Travel	Sub-Saharan Africa	15	12,358	CHECK		N/A	N/A
Travel	Sub-Saharan Africa	1	13,228	DIRECT DEPOS		N/A	N/A

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

b

☒

Internet and e-mail solicitations

c

☒

Phone solicitations

d

☒

In-person solicitations

e

☒

Solicitation of non-government grants

f

☒

Solicitation of government grants

g

☒

Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes

☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
RUFFALO CODY AND ASSOCIATES PO Box 3018 CEDAR RAPIDS, IA 52406	CONSULTING		No	1,139,454	466,397	673,057
MARILYN SILVERSTEIN 24 Merril Road WATERTOWN, MA 02472	CONSULTING		No	19,047,211	65,413	18,981,798
Total ▶				20,186,665	531,810	19,654,855

3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

NY

Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events	
			<u>ANNUAL DINNER</u>	<u>AWARDS DINNER</u>	<u>48</u>	(Add col (a) through	
			(event type)	(event type)	(total number)	col (c))	
	1	Gross receipts	966,250	573,997	4,970,486	6,510,733	
	2	Less Charitable contributions	824,800	384,797	4,378,513	5,588,110	
3	Gross income (line 1 minus line 2)	141,450	189,200	591,973	922,623		
Direct Expenses	4	Cash prizes	0	0	0	0	
	5	Non-cash prizes	0	6,575	0	6,575	
	6	Rent/facility costs	0	3,484	0	3,484	
	7	Food and beverages	253,455	163,666	0	417,121	
	8	Entertainment	0	0	0	0	
	9	Other direct expenses	20,276	162,708	1,514,598	1,697,582	
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶					(2,124,762)
	11	Net income summary Combine lines 3 and 10 in column (d). ▶					-1,202,139

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
7 Direct expense summary Add lines 2 through 5 in column (d) ▶					()
8 Net gaming income summary Combine lines 1 and 7 in column (d) ▶					

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," Explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," Explain _____

- 11

Does the organization operate gaming activities with nonmembers?

Yes

No
- 12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

Yes

No

13

Indicate the percentage of gaming activity operated in

a	The organization's facility	13a
b	An outside facility	13b

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

Yes

No

b

If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c

If "Yes," enter name and address

Name

Address

16

Gaming manager information

Name

Gaming manager compensation

\$

Description of services provided

Director/officer

Employee

Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

Yes

No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV

Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
------------	-----------------	-------------

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public
Inspection

Employer identification number

13-5598093

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

313

3

Enter total number of other organizations listed in the line 1 table ▶

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) STUDENT TUITION GRANTS AND SCHOLARSHIPS	26223	379,281,468		N/A	N/A

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I	THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ACTION AGAINST HUNGER247 WEST 37TH STREET 10TH FLOOR NEW YORK, NY 10018	13-3327220	501(C)(3)	541,707		N/A	N/A	RESEARCH
ADULTS AND CHILDREN IN TRUST1047 AMSTERDAM AVENUE NEW YORK, NY 10025	13-1623934	501(C)(3)	13,000		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AETHLABS2180 FOLSOM STREET 3RD FLOOR SAN FRANCISCO, CA 94110	45-2532548	501(C)(3)	36,923		N/A	N/A	RESEARCH
ALBANY MEDICAL COLLEGE SPONSORED PROGRAMS MC-107 47 NEWSCOTLAND AVE ALBANY,NY 12208	14-1338310	501(C)(3)	548,040		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALBERT EINSTEIN COLLEGE OF MEDICINE OF YESHIVA UNII300 MORRIS PARK AVE BRONX,NY 10461	13-1624225	501(C)(3)	1,710,551		N/A	N/A	RESEARCH
ALBERT EINSTEN HEALTHCARE NETWORK5501 OLD YORK ROAD KORMAN SITE 100 PHILADELPHIA,PA 19141	23-2290323	501(C)(3)	12,185		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALCATEL LUCENT USA INCDBA LGS INNOVATIONS LLC 13665 DULLES TECHNOLOGY DRIVE HERNDON, VA 20171	22- 3408857	501(C)(3)	52,516		N/A	N/A	RESEARCH
ALEXANDER ROBERTSON SCHOOL3 WEST 95TH STREET NEW YORK, NY 10025	13- 1760095	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALIANZA DOMINICANA INC 2410 AMSTERDAM AVE NEW YORK, NY 10033	13-3402057	501(C)(3)	131,184		N/A	N/A	RESEARCH
ALMAC CLINICAL SERVICES ACCOUNTS RECEIVABLE 25 FRETZ ROAD SOUDERTON, PA 18964	23-2857741	501(C)(3)	13,815		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN COLLEGE OF NURSE MIDWIVES 8403 COLESVILLE ROAD SUITE 1550 SILVER SPRING, MD 20910	74-1685515	501(C)(3)	50,507		N/A	N/A	RESEARCH
AMERICAN MUSEUM OF NATURAL HISTORY 79TH ST AT CENTRAL PARK WEST NEW YORK, NY 10024	13-6162659	501(C)(3)	116,070		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARIZONA STATE UNIVERSITYAWARD MANAGEMENT PO BOX 876011 TEMPE,AZ 85287	86-0196696	501(C)(3)	96,455		N/A	N/A	RESEARCH
ARTSTOR151 EAST 61ST STREET NEW YORK,NY 10065	30-0152767	501(C)(3)	224,583		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ASRI RES320 EAST NORTH AVENUE PITTSBURGH, PA 15212	25-1320493	501(C)(3)	22,093		N/A	N/A	RESEARCH
BALLET HISPANICO OF NEW YORK107 WEST 89TH STREET NEW YORK, NY 10024	13-2685755	501(C)(3)	6,500		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BARNARD COLLEGE 3009 BROADWAY 015 MILLBANK HALL NEW YORK, NY 10027	13-1628149	501(C)(3)	507,246		N/A	N/A	RESEARCH
BARROW ARCTIC SCI CONSORTIUM PO BOX 577 BARROW, AK 99723	92-0158733	501(C)(3)	6,129		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BATTELLEATTN CASHIER PO BOX 84262 SEATTLE, WA 98124	31-4379427	501(C)(3)	99,860		N/A	N/A	RESEARCH
BAYLOR COLLEGE OF MEDICINEPO BOX 201361 HOUSTON, TX 77216	74-1613878	501(C)(3)	688,875		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYLOR RES INST C/O SHARI HAND 6301 GASTON AVENUESUITE 100 DALLAS,TX 75214	75-2654757	501(C)(3)	22,302		N/A	N/A	RESEARCH
BEHIND THE BOOK INC145 WEST 96TH STREET NEW YORK,NY 10025	32-0086097	501(C)(3)	7,500		N/A	N/A	EDUCATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BERMUDA INSTITUTE OF OCEAN SCIENCE PO BOX 604 ALEXANDRIA,VA 22313	06-0706038	501(C)(3)	124,411		N/A	N/A	RESEARCH
BLOOD SYS INCPO BOX 53022 PHOENIX,AZ 85072	86-0098929	501(C)(3)	49,939		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOSTON UNIVERSITYOFFICE OF THE COMPTROLLER 25 BUICK STREET BOSTON, MA 02215	04-2103547	501(C)(3)	1,346,867		N/A	N/A	RESEARCH
BOWDOIN COLLEGE KERRI MORTHLAND 5400 COLLEGE STATION BRUNSWICK, ME 04011	01-0215213	501(C)(3)	95,188		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRANDEIS UNIVERSITYPO BOX 549110 MS 144 WALTHAM, MA 02454	21-2103552	501(C)(3)	380,592		N/A	N/A	RESEARCH
BRENTWOOD BIOMEDICAL RESEARCH INSTITUTEATTN KENNETH HICKMAN 11301 WILSHIRE BLVD LOS ANGELES, CA 90073	95-4183712	501(C)(3)	5,689		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIGHAM & WOMENS HOSPITALPO BOX 3887 BOSTON, MA 02241	04-2312909	501(C)(3)	409,804		N/A	N/A	RESEARCH
BROAD INST INC7 CAMBRIDGE CENTER ATTN CASH MANAGER CAMBRIDGE, MA 02142	04-2103594	501(C)(3)	1,365,803		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BROADWAY COMMUNITY INC 601 WEST 114TH STREET NEW YORK, NY 10025	13-3652817	501(C)(3)	6,750		N/A	N/A	PROGRAM SERVICES
BROADWAY PRESBYTERIAN NURSERY SCHOOL 601 WEST 114TH STREET NEW YORK, NY 10025	13-1623916	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRONX LEBANON HOSPITAL CENTER 1276 FULTON AVE BRONX, NY 10456	13-1974191	501(C)(3)	623,120		N/A	N/A	RESEARCH
BROOKHAVEN NATIONAL LAB ATTNFISCAL DIV BLDG 400 D UPTON, NY 11973	11-1630900	501(C)(3)	131,406		N/A	N/A	RESEARCH

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BROOKHAVEN SCI ASSOC INC20 BROOKHAVEN AVENUE POBOX 5000 UPTON, NY 11973	11-3403915	501(C)(3)	30,000		N/A	N/A	RESEARCH
CALIFORNIA INSTITUTE OF TECHTREASURY SERVICES MAIL CODE 117-15 PASADENA, CA 91125	95-1643307	501(C)(3)	116,485		N/A	N/A	RESEARCH

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CALIFORNIA PACIFIC MEDICAL CENTER RESEARCH INSTITU475 BRANNAN STREET SUITE 220 SAN FRANCISCO, CA 94107	94- 0562680	501(C)(3)	24,057		N/A	N/A	RESEARCH
CANCER PREVENTION INST OF CALIFORNIA 2201 WALNUT AVENUE FREEMONT,CA 94538	23- 7427232	501(C)(3)	326,453		N/A	N/A	RESEARCH

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CARNEGIE HILL IMAGING70 EAST 90TH STREET NEW YORK, NY 10128	20-3492938	501(C)(3)	11,441		N/A	N/A	RESEARCH
CARNEGIE MELLON UNIVERSITYP O BOX 371032 PITTSBURGH, PA 15250	25-0969449	501(C)(3)	45,512		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CAROLINA BEHAVIORAL CARE PO BOX 1630 ATTN KIM WISE PINEHURST, NC 28370	56-1780933	501(C)(3)	101,260		N/A	N/A	RESEARCH
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE ATTN CONTROLLERS OFF CLEVELAND, OH 44106	34-1018992	501(C)(3)	13,707		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CATHEDRAL COMMUNITY CARES OF ST JOHN 1047 AMSTERDAM AVENUE NEW YORK, NY 10025	13-1623934	501(C)(3)	8,000		N/A	N/A	SUPPLIES FOR PANTRY
CATHOLIC MEDICAL MISSION BD10 WEST 17TH STREET NEW YORK, NY 10011	05-1159436	501(C)(3)	59,913		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CENTER FOR FETAL MED & WOMEN6310 W SAN VINCENTE BLVD 510 LOS ANGELES, CA 90048	95-3865023	501(C)(3)	7,654		N/A	N/A	RESEARCH
CENTER FOR NEURO IMMUNE DISORDERS8720 N KENDALL DRIVE SUITE 108 MIAMI, FL 33176	27-0770570	501(C)(3)	84,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHAPMAN UNIVERSITYONE UNIVERSITY DRIVE FINANCIAL SERVICES ORANGE, CA 92866	95-1643992	501(C)(3)	8,262		N/A	N/A	RESEARCH
CHILDFUND INERNATIONAL USA 2821 EMERYWOOD PARKWAY PO BOX 26484 RICHMOND, VA 23261	54-0536100	501(C)(3)	49,942		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDRENS HEALTH FUND215 WEST 125 STREET NEW YORK, NY 10027	13-3468427	501(C)(3)	147,500		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL300 LONGWOOD AVE RES FINANCE DEPT BOSTON, MA 02115	04-2774441	501(C)(3)	80,057		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDRENS HOSPITALLOS ANGELES 4650 SUNSET BOULEVARD LOS ANGELES,CA 90027	95-1690977	501(C)(3)	338,160		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL MEDICAL CENTER3333 BURNET AVE MLC 7032 ATTN SEVEN HILLS BIOREAGENTS CINCINNATI,OH 45229	31-0833936	501(C)(3)	105,948		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDRENS HOSPITAL OF PHILADELPHIA LOCK BOX 1457 PHILADELPHIA, PA 19178	23-1352166	501(C)(3)	197,537		N/A	N/A	RESEARCH
CHILDREN'S LEARNING CENTER OF MORNINGSIDE 90 LA SALLE STREET NEW YORK, NY 10025	13-4111840	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHRISTIANA CARE HEALTH SERVICES 4735 OGLETOWN-STANTON ROAD MAP 2 SUITE 3203 NEWARK, DE 19713	51-0103684	501(C)(3)	1,501,409		N/A	N/A	RESEARCH
CICATELLI ASSOCIATES INC 505 8TH AVE 16TH FLOOR NEW YORK, NY 10018	13-3020576	501(C)(3)	448,469		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CJ MEDIA INC DBA INTERVISION261 EAST 12TH AVENUE SUITE 100 EUGENE,OR 97401	93-1095523	501(C)(3)	24,430		N/A	N/A	RESEARCH
CLEVELAND CLINIC FOUNDATIONPO BOX 931562 CLEVELAND,OH 44195	34-0714585	501(C)(3)	25,550		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CLEVELAND VA MEDICAL RESIDENT EDUCATION FOUNDATIONVAMC 151C W 10701 EAST BLVD CLEVELAND, OH 44106	34-1710663	501(C)(3)	27,490		N/A	N/A	RESEARCH
CLIMATE CENTRAL INC1 PALMER SQUARE SUITE 330 PRINCETON, NJ 08542	26-1797336	501(C)(3)	25,288		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CLINICAL DIRECTORS NETWORK INC5 WEST 37TH STREET NEW YORK, NY 10018	14-1717344	501(C)(3)	186,649		N/A	N/A	RESEARCH
CLINICAL RESEARCH FOUNDATION INC 800 ZORN AVENUE LOUISVILLE, KY 40206	61-1144514	501(C)(3)	8,100		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CLINSYS CLINICAL RES INCONE CROSS ROADS DRIVE BUILDING A SECOND FLOOR BEDMINSTER, NJ 07921	22- 3169948	501(C)(3)	363,338		N/A	N/A	RESEARCH
COLD SPRING HARBOR LABPO BOX 100 COLD SPRING HA, NY 11724	11- 2013303	501(C)(3)	73,294		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COMMONWEALTH MEDICAL COLLEGE 525 PINE STREET SCRANTON, PA 18509	26-0812968	501(C)(3)	239,764		N/A	N/A	RESEARCH
COMMUNITY IMPACT INC2980 BROADWAY 105 EARL HALL NEW YORK, NY 10027	13-3386904	501(C)(3)	12,000		N/A	N/A	PROGRAM SERVICES

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COMMUNITY LEAGUE OF W 159TH ST INC500 W 159TH ST NEW YORK, NY 10032	00-0162240	501(C)(3)	51,358		N/A	N/A	RESEARCH
COOK FOR YOUR LIFE32 BROADWAY SUITE 1101 NEW YORK, NY 10004	26-0525634	501(C)(3)	28,998		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COOPER HEALTH SYSTEMS ONE COOPER PLAZA GRANTS MGT OFF CAMDEN,NJ 08103	21-0634462	501(C)(3)	15,595		N/A	N/A	RESEARCH
CORNELL UNIVERSITY ACCT DEPT 234 JOHN M OLIN LIB ITHACA,NY 14853	15-0532082	501(C)(3)	1,498,144		N/A	N/A	RESEARCH

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CORNELL UNIVERSITY MEDICAL COLLEGE FINANCE RESEARCH ACCOUNTING 575 LEXINGTON AVENUE NEW YORK, NY 10022	13-1623978	501(C)(3)	2,541,952		N/A	N/A	RESEARCH
CRA INCATTN MARTHA HEATLEY 5901 PALISADE AVENUE RIVERDALE, NY 10471	23-7227845	501(C)(3)	9,000		N/A	N/A	RESEARCH

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CREIGHTON UNIVERSITY2500 CALIFORNIA PLAZA CONTROLLER S OFFICE OMAHA,NE 68178	47-0376583	501(C)(3)	50,385		N/A	N/A	RESEARCH
CTVRFCTVRF TEMPLE,TX 76504	74-2623309	501(C)(3)	62,450		N/A	N/A	RESEARCH

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DENVER RESEARCH INSTITUTE1055 CLERMONT STREET VAMC-151 DENVER,CO 80200	84-1392442	501(C)(3)	9,500		N/A	N/A	RESEARCH
DM STAT MP INC DBA DM STAT INC ONE SALEM STREET MALDEN,MA 02148	20-2981457	501(C)(3)	24,993		N/A	N/A	RESEARCH

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DOING ART TOGETHER INC127 WEST 127TH STREET SUITE 107 109 NEW YORK, NY 10027	13-3363579	501(C)(3)	5,500		N/A	N/A	PROGRAM SERVICES
DREXEL UNIVERSITY3201 ARCH STREET SUITE 420 PHILADELPHIA, PA 19104	23-1352630	501(C)(3)	100,696		N/A	N/A	RESEARCH

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DREXEL UNIVERSITY COLLEGEPO BOX 95000-1010 PHILADELPHIA, PA 19195	23-2979433	501(C)(3)	179,398		N/A	N/A	RESEARCH
DUKE UNIVERSITY OFFICE OF SPONSORED PROGRAMS 2200 WEST MAIN STREET DURHAM, NC 27705	56-0532129	501(C)(3)	298,877		N/A	N/A	RESEARCH

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ECONOMIC OPPORTUNITY COMM OF NASSAU COUNTY INC134 JACKSON STREET HEMPSTEAD, NY 11550	11-2195458	501(C)(3)	296,500		N/A	N/A	RESEARCH
EMBLEMHEALTH SERV CO55 WATER STREET NEW YORK, NY 10041	26-1330097	501(C)(3)	22,047		N/A	N/A	RESEARCH

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EMORY UNIVERSITY101 WOODRUFF CIRCLE SUITE 6215 ATLANTA,GA 30322	58-0566256	501(C)(3)	341,403		N/A	N/A	RESEARCH
EMSIPOBOX 202669 DALLAS,TX 75320	75-1444139	501(C)(3)	190,097		N/A	N/A	RESEARCH

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FAMILY HEALTH INTLPO BOX 13950 RES TRIANGLE PK, NC 27709	23-7413005	501(C)(3)	316,953		N/A	N/A	RESEARCH
FEINSTEIN INSTITUTE FOR MEDICAL RESIDENTSOFFICE OF GRANTS CONTRACTS 5 DAKOTA DRIVE SUITE 307 LAKE SUCCESS, NY 11042	11-2673595	501(C)(3)	9,710		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FIGURE SKATING IN HARLEM361 WEST 125TH STREET 2ND FLOOR NEW YORK, NY 10027	13-3945168	501(C)(3)	6,500		N/A	N/A	PROGRAM SERVICES
FOX CHASE CANCER CENTER 604 COTTMAN AVENUE CHELTENHAM, PA 19012	23-2003072	501(C)(3)	114,461		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FRED HUTCHINSON 1100 FAIRVIEW AVENUE N J6-330 PO BOX 19024 SEATTLE,WA 89109	23-7156071	501(C)(3)	604,067		N/A	N/A	RESEARCH
FUND FOR PUBLIC HEALTH IN NY22 CORTLANDT STREET SUITE 1103 NEW YORK,NY 10007	05-0539199	501(C)(3)	40,000		N/A	N/A	RESEARCH

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FUNDA CONCIENCIA INC 6538 COLLINS AVE SUITE 107 MIAMI BEACH, FL 33141	27- 0824212	501(C)(3)	243,757		N/A	N/A	RESEARCH
FXB UMDNJ65 BERGEN STREET ATTN VIRGINIA ALLREAD NEWARK, NJ 07107	22- 1775306	501(C)(3)	2,860,721		N/A	N/A	RESEARCH

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GARDEN STATE INFECTIOUS DISEASE709 HADDONFIELD-BERLIN ROAD VOORHEES, NJ 08043	22-3310855	501(C)(3)	237,712		N/A	N/A	RESEARCH
GEISINGER CLINIC 100 NORTH ACADEMY AVENUE WEIS CENTER FOR RESEARCH DANVILLE, PA 17822	23-6291113	501(C)(3)	41,463		N/A	N/A	RESEARCH

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GENERAL HOSPITAL CORPORATIONDBA MASS GEN HOSPITAL 101 HUNTINGTON AVE3RD FLOOR BOSTON, MA 02199	04-2697983	501(C)(3)	10,847		N/A	N/A	RESEARCH
GEORGE MASON UNIVERSITY4400 UNIVERSITY DRIVE CASHIRE S OFFICE - MS 2E1 FAIRFAX, VA 22030	54-0836354	501(C)(3)	559,132		N/A	N/A	RESEARCH

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GEORGE WASHINGTON UNIVERSITY GRANTS CONTRACTS ACCOUNTING 44983 KNOLL SQUARE ASHBURN, VA 20147	53-0196584	501(C)(3)	315,471		N/A	N/A	RESEARCH
GEORGETOWN UNIVERSITY OFFICE OF SPONSORED ACCOUNTING BOX 571164 WASHINGTON, DC 20057	53-0196603	501(C)(3)	583,507		N/A	N/A	RESEARCH

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GEORGIA TECH RESEARCH CORP PO BOX 100117 ATLANTA, GA 30384	58-0603146	501(C)(3)	42,698		N/A	N/A	RESEARCH
GRAHAM WINDHAM-BEACON CENTER YOUTH33 IRVING PLACE 7TH FLOOR NEW YORK, NY 10003	13-2926426	501(C)(3)	6,000		N/A	N/A	PROGRAM SERVICES

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GREAT LAKES MEDICAL RESEARCH LLC138 EAST MAIN STREET WESTFIELD,NY 14787	16-1602698	501(C)(3)	43,363		N/A	N/A	RESEARCH
GULF REGIONAL RES109 HOLLY DRIVE METARIE,LA 70005	72-1485603	501(C)(3)	10,600		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HALE HOUSE CENTER INC152 WEST 122ND STREET NEW YORK, NY 10027	13-2700687	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID
HARLEM ACADEMY1330 FIFTH AVENUE NEW YORK, NY 10026	56-2454573	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HARLEM HOSPITAL CENTER506 LENOX AVE NEW YORK, NY 10037	13-2655001	501(C)(3)	1,396,127		N/A	N/A	RESEARCH
HARLEM UNITED COMMUN AIDS CENTER123-125 WEST 124TH STREET NEW YORK, NY 10027	13-3461695	501(C)(3)	190,442		N/A	N/A	RESEARCH

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HARVARD UNIVERSITYPO BOX 415649 BOSTON,MA 02241	04-2103580	501(C)(3)	709,409		N/A	N/A	RESEARCH
HEALTH & CLIMATE FOUND1425 K ST NW SUITE 350 WASHINGTON,DC 20005	26-5875658	501(C)(3)	29,009		N/A	N/A	RESEARCH

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HEALTH RES INC 150 BROADWAY SUITE 560 MENANDS, NY 12204	00-0057342	501(C)(3)	10,645		N/A	N/A	RESEARCH
HEALTH RES INC PO BOX 2966 ROSWELL PARK DIVISION BUFFALO, NY 14240	14-1402155	501(C)(3)	762,295		N/A	N/A	RESEARCH

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HEKTOEN INST OF MEDICINE 2240 WOGDEN AVENUE SECOND FLOOR CHICAGO, IL 60612	36-2244897	501(C)(3)	29,251		N/A	N/A	RESEARCH
HENRY FORD HEALTH SYSTEM ONE FORD PLACE 5EF DETROIT, MI 48202	13-8125702	501(C)(3)	34,332		N/A	N/A	RESEARCH

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HENRY JACKSON FOUNDFOR THE ADVANCEMENT OF MILITAR 6720-A ROCKLEDGE DRIVE BETHESDA, MD 20817	52-1317896	501(C)(3)	54,293		N/A	N/A	RESEARCH
HHAR AT RIVERDALE5901 PALISADE AVE RIVERDALE, NY 10471	13-1739971	501(C)(3)	169,348		N/A	N/A	RESEARCH

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HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK, NY 10021	13-1624135	501(C)(3)	188,350		N/A	N/A	RESEARCH
HOWARD UNIVERSITY 2400 SIXTH STREET NW WASHINGTON, DC 20059	53-0204707	501(C)(3)	5,198		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INDIANA UNIVERSITY FINANCIAL MANAGEMENT SERVICES P O BOX 66057 INDIANAPOLIS, IN 46266	35-6001673	501(C)(3)	272,866		N/A	N/A	RESEARCH
INTEGRATED GENETICS3400 COMPUTER DRIVE WESTBOROUGH, MA 01581	27-3267315	501(C)(3)	113,337		N/A	N/A	RESEARCH

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INTERNATIONAL FOOD POLICY RESEARCH INSTITUTE 2033 K STREET NW WASHINGTON, DC 20006	52-1041632	501(C)(3)	27,562		N/A	N/A	RESEARCH
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	13-5660870	501(C)(3)	35,937		N/A	N/A	RESEARCH

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JEWISH BOARD OF FAMILY CHILD SERVICESPO BOX 1000 ATTN JASON GROSSO HAWTHORNE, NY 10532	13-5564937	501(C)(3)	89,625		N/A	N/A	RESEARCH
JOHN HOPKINS UNIVERSITY12529 COLLECTIONS CENTER DR CHICAGO, IL 60693	52-0595110	501(C)(3)	203,147		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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JOHNS HOPKINS UNIVERSITY CENTRAL12529 COLLECTIONS CENTER DR CHICAGO, IL 60693	15-2059511	501(C)(3)	1,512,036		N/A	N/A	RESEARCH
KAISER FOUNDATION RESEARCH INSTITUTE1800 HARRISON ST 16TH FLR OAKLAND, CA 94612	94-1105628	501(C)(3)	359,797		N/A	N/A	RESEARCH

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KANAS STATE UNIVERSITY ANDERSON HALL ROOM 10 MANHATTAN, KS 66506	48-0771751	501(C)(3)	29,997		N/A	N/A	RESEARCH
KANSAS UNIVERSITY ENDOWMENT ASSOCPO BOX 928 LAWRENCE, KS 66044	48-0547734	501(C)(3)	14,918		N/A	N/A	RESEARCH

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KUMC RESEARCH INSTITUTE3901 RAINBOW BLVD MSN 1039 KANSAS CITY, KS 66160	48-1108830	501(C)(3)	26,640		N/A	N/A	RESEARCH
LA BIOMEDICAL RESEARCH INSTITUTEPO BOX 60637-TERMINAL ANNEX LOS ANGELES, CA 90060	95-2138184	501(C)(3)	26,470		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LEHIGH VALLEY HEALTH SERVICES FINANCE DEPARTMENT 2100 MACK BLVD ALLENTOWN, PA 18103	23-1689692	501(C)(3)	10,420		N/A	N/A	RESEARCH
LOUISIANA STATE UNIVERSITY OFFICE OF ACCOUNTING SERVICES SPONSORED PROGRAM ACCOUNTING BATON ROUGE, LA 70803	72-6000848	501(C)(3)	32,760		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LOVELACE BIOMEDICAL & ENV 2425 RIDGECREST DR SE ALBUQUERQUE, NM 87108	51- 0154068	501(C)(3)	140,953		N/A	N/A	RESEARCH
LSU HEALTH SCIENCES CENTER 1501 KINGS HIGHWAY 1-214 ATTN ROGER KELLY SHREVEPORT, LA 71103	72- 0702002	501(C)(3)	14,150		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LUCINDA BATEMAN1002 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84102	87-0634117	501(C)(3)	70,000		N/A	N/A	RESEARCH
MAINE MEDICAL CENTER22 BRAMHALL STREET PORTLAND, ME 04102	01-0238552	501(C)(3)	139,584		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MASSACHUSETES GENERAL HOSPITAL PO BOX 3829 BOSTON,MA 02241	04- 1564655	501(C)(3)	502,304		N/A	N/A	RESEARCH
MAYO CLINIC200 1ST STREET SW ROCHESTER,MN 55905	41- 6011702	501(C)(3)	69,603		N/A	N/A	RESEARCH

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MAYO CLINIC JACKSONVILLE4500 SAN PABLO ROAD DEPT OF NEUROLOGY JACKSONVILLE, FL 32224	59- 3337028	501(C)(3)	17,461		N/A	N/A	RESEARCH
MCGRIPO BOX 945552 ATTN CLAIMS ATLANTA,GA 30394	58- 1418202	501(C)(3)	97,010		N/A	N/A	RESEARCH

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MED COLLEGE OF WISCONSIN8701 WATERTOWN PLANK ROAD MILWAUKEE,WI 53226	39-0806261	501(C)(3)	12,311		N/A	N/A	RESEARCH
MEDICAL UNIVERSITY OF SOUTH CAROLINA 19 HAGOOD AVE MSC 817 CHARLESTON,SC 29425	57-6000722	501(C)(3)	21,283		N/A	N/A	RESEARCH

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MEMORIAL SLOAN KETTERING CANCER CTR FINANCE PO BOX 26338 NEW YORK, NY 10087	13-1924236	501(C)(3)	644,306		N/A	N/A	RESEARCH
MHMRTC3840 HULEN STREET FORT WORTH, TX 76107	75-1249456	501(C)(3)	259,717		N/A	N/A	RESEARCH

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MICHIGAN STATE UNIVERSITY218 W FEE HALL CTSI ATTN ANN SMITH EAST LANSING,MI 48824	38-6005984	501(C)(3)	286,415		N/A	N/A	RESEARCH
MIDWEST BIOMED RESEARCH FOUNDATION MIDWEST BIOMEDICAL RESEARCH 4801 LINWOOD BLVD KANSAS CITY,MO 64128	43-1496422	501(C)(3)	96,440		N/A	N/A	RESEARCH

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MIDWESTERN UNIVERSITY555 31ST ST DOWNERS GROVE, IL 60515	36-3377698	501(C)(3)	15,436		N/A	N/A	RESEARCH
MONTEFIORE MEDICAL CENTER GPO 29581 NEW YORK, NY 10087	13-1740114	501(C)(3)	199,232		N/A	N/A	RESEARCH

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MOUNT SINAI MEDICAL CENTER ONE GUSTAVE L LEVY PLACE ATTN JOHN PERSAUD NEW YORK, NY 10029	13-1624096	501(C)(3)	17,909		N/A	N/A	RESEARCH
MOUNT SINAI SCHOOL OF MEDICINEATTN RAJ APPAVU BOX 3500 DIRECTOR - SPONSORED PROJECTS NEW YORK, NY 10029	13-6171197	501(C)(3)	2,035,128		N/A	N/A	RESEARCH

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NASASHARE SERVICES CENTER BUILDING 1111 ROAD C STENNIS SPACE C, MS 39529	00-0047392	501(C)(3)	190,109		N/A	N/A	RESEARCH
NATIONAL BUREAU OF ECONOMIC RES IN1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138	13-1641075	501(C)(3)	78,524		N/A	N/A	RESEARCH

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NATIONAL COALITION ON HEALTH CARE1030 15TH STREET NW SUITE 690 WEST WASHINGTON,DC 20005	52-1687849	501(C)(3)	69,006		N/A	N/A	RESEARCH
NATIONAL JEWISH HEALTH1400 JACKSON ST ROOM M213 ATTN DIANE SULLIVAN DENVER,CO 80206	74-2044647	501(C)(3)	29,614		N/A	N/A	RESEARCH

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NATIONWIDE CHILDRENS HOSPITALPO BOX 715245 COLUMBUS, OH 43271	31-6056230	501(C)(3)	47,799		N/A	N/A	RESEARCH
NAZARENE COMPASSIONATE 12351 W 96TH TERRACE LENEXA, KS 66215	43-1550318	501(C)(3)	611,709		N/A	N/A	RESEARCH

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NEW YORK BLOOD CENTER ACCOUNTS REC DEPT PO BOX 9674 UNIONDALE, NY 11553	13-1949477	501(C)(3)	1,668,371		N/A	N/A	RESEARCH
NEW YORK HOSPITAL QUEENSTHERESA EUGENE M LANG CNTR 56-45 MAIN STREET FLUSHING, NY 11355	11-1839362	501(C)(3)	133,120		N/A	N/A	RESEARCH

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NEW YORK MEDICAL ALLIANCE1400 PELHAM PARKWAY S BLD 1 3C15 ACP BRONX,NY 10461	13-4169311	501(C)(3)	6,340		N/A	N/A	RESEARCH
NEW YORK MEDICAL COLLEGE40 SUNSHINE COTTAGE RD HEALTH SCIENCES LIBRARY VALHALLE,NY 10595	13-1099420	501(C)(3)	143,446		N/A	N/A	RESEARCH

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NEW YORK PRESBYTERIAN HOSPITAL525 EAST 68TH STREET BOX 156 NEW YORK, NY 10021	13-3957095	501(C)(3)	222,108		N/A	N/A	RESEARCH
NEW YORK STEM CELL FOUND1995 BROADWAY SUITE 600 NEW YORK, NY 10023	20-2905531	501(C)(3)	699,522		N/A	N/A	RESEARCH

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NEW YORK UNIVERSITY545 FIRST AVENUE NEW YORK, NY 10016	13-5562308	501(C)(3)	311,994		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY SPONSORED PROGRAMS ACCOUNTING PO BOX 5166 NEW YORK, NY 10087	13-5562309	501(C)(3)	1,093,211		N/A	N/A	RESEARCH

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NORTH CAROLINA AT STATE UNIVERSITYOFFICE OF CONTRACTS GRANTS 1601 E MARKET STREET GREENSBORO,NC 27411	56-6000007	501(C)(3)	280,429		N/A	N/A	RESEARCH
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDU4150 CLEMENT STREET SAN FRANCISCO, CA 94121	94-3084159	501(C)(3)	81,043		N/A	N/A	RESEARCH

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NORTHERN ILLINOIS UNIVERSITYLOWDEN HALL 201 GRANT FISCAL ADMINISTRATION DEKALB,IL 60115	36-6008480	501(C)(3)	73,852		N/A	N/A	RESEARCH
NORTHWESTERN UNIVERSITY633 CLARK STREET EVANSTON,IL 60208	36-2167817	501(C)(3)	72,202		N/A	N/A	RESEARCH

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NUNAHEALTH1111 LANTERN BAY HERCULES, CA 94547	80- 0570221	501(C)(3)	25,000		N/A	N/A	RESEARCH
NYC DEPARTMENT OF HEALTH & MENTAL HYGIENE 125 WORTH STREET ROOM 204 NEW YORK, NY 10013	13- 6400434	501(C)(3)	137,952		N/A	N/A	RESEARCH

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OHIO STATE UNIVERSITY1960 KENNY ROAD COLUMBUS, OH 43210	31-6025986	501(C)(3)	85,844		N/A	N/A	RESEARCH
OKLAHOMA MED RESEARCH FDN825 NORTHEAST 13TH STREET OKLAHOMA, OK 73104	73-0580274	501(C)(3)	226,442		N/A	N/A	RESEARCH

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OREGON RESEARCH INSTITUTE1715 FRANKLIN BOULEVARD EUGENE, OR 97403	93-0495655	501(C)(3)	5,789		N/A	N/A	RESEARCH
OREGON STATE UNIVERSITY OFFICE OF POST AWARD ADMIN PO BOX 1086 CORVALLIS, OR 97339	48-1278540	501(C)(3)	161,407		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PACT INC1828 L STREET NW SUITE 300 WASHINGTON, DC 20036	13-2702768	501(C)(3)	62,607		N/A	N/A	RESEARCH
PALO ALTO INSTITUTEFOR RES AND ED INC PO BOX V-38 PALO ALTO, CA 94304	94-1179505	501(C)(3)	19,231		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PATH2201 WESTLAKE AVENUE SUITE 200 SEATTLE,WA 98121	91- 1157127	501(C)(3)	91,397		N/A	N/A	RESEARCH
PATHFINDER INTERNATIONAL9 GALEN ST WATERTOWN,MA 02472	53- 0235320	501(C)(3)	1,390,251		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PENN STATE UNIVERSITY227 W BEAVER AVE STE 401 STATE COLLEGE, PA 16801	24-6000376	501(C)(3)	478,069		N/A	N/A	RESEARCH
PHARMASEEK FINANCIAL SERVICESFBO CLINICAL INSIGHTS MADISON, WI 53744	27-3635780	501(C)(3)	27,820		N/A	N/A	RESEARCH

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PHYSICIANS FOR REPRODUCTIVE CHOICE AND HEALTH 55 WEST 39TH STREET NEW YORK, NY 10018	13-3693391	501(C)(3)	89,361		N/A	N/A	RESEARCH
PIANO OUTREACH OF NEW YORK (PONY)461 CENTRAL PARK WEST APT 3A NEW YORK, NY 10025	04-3763130	501(C)(3)	5,500		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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POLYTECHNIC UNIVERSITY FINANCIAL OPERATION 6 METROTECH CENTER BROOKLYN,NY 11201	11-1630820	501(C)(3)	140,666		N/A	N/A	RESEARCH RELATED SPORTS EQUIPMENT AND REFRESHMENTS
PRINCETON UNIVERSITY ACCOUNTS PAYABLE DEPT 701 CARNEGIE CENTER PRINCETON,NJ 08540	21-0634501	501(C)(3)	472,269		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PUBLIC HEALTH INSTITUTE555 12TH STREET 10TH FLOOR OAKLAND,CA 94607	94-1646278	501(C)(3)	305,352		N/A	N/A	RESEARCH
PUBLIC HEALTH SOLUTIONS40 WORTH STREET NEW YORK,NY 10013	13-5669201	501(C)(3)	152,805		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PURDUE UNIVERSITY SPONSORED PROGRAM SERVICES 23510 NETWORK PLACE CHICAGO,IL 60673	35-6002041	501(C)(3)	251,522		N/A	N/A	RESEARCH
PURPLE CIRCLE DAY CARE251 WEST 100TH STREET NEW YORK,NY 10022	13-3073319	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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QUEENS COMPREHENSIVE PERINATAL COUNCIL INC111-06 MERRICK BLVD JAMAICA, NY 11433	11-2870422	501(C)(3)	293,705		N/A	N/A	RESEARCH
RANDFILE 53174 LOS ANGELES, CA 90074	95-1958142	501(C)(3)	168,628		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RARITAN BAY MED CENTER530 NEW BRUNSWICK AVENUE PERT AMBOY,NJ 08861	22-1494442	501(C)(3)	78,668		N/A	N/A	RESEARCH
RED BALLOON DAY CARE CENTER INC 560 RIVERSIDE DRIVE NEW YORK,NY 10027	13-2772534	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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REGENTS OF THE UNIV OF MICHIGANBOX 223131 PITTSBURGH, PA 15251	38-6006309	501(C)(3)	2,782,060		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA2195 HEARST AVENUE ROOM 130 MC 1103 BERKELEY, CA 94720	94-6002123	501(C)(3)	372,489		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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REGENTS OF THE UNIVERSITY OF CALIFORNIA ACCOUNTING OFFICE EMF BOX 0897 UNIV OF CALIF SAN FRANCISCO SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	405,820		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA CASHIERS OFFICE P O BOX 989062 WEST SACREMENO, CA 95798	94-6036494	501(C)(3)	143,078		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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REGENTS OF THE UNIVERSITY OF CALIFORNIA CONTRACTS GRANTS ACCOUNTING BIOSCI LLL SUITE 1400 IRVINE,CA 92697	95-2226406	501(C)(3)	1,231,675		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA C/O MARTINA COTTON 9500 GILMAN DRIVE MC 0831 LA JOLLA,CA 92093	95-6006144	501(C)(3)	1,178,973		N/A	N/A	RESEARCH

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REGENTS OF THE UNIVERSITY OF MINNESOTA SPONSERED FINANCIAL REPORTING 450 MCNAMARA ALUMI CENTER MINNEAPOLIS, MN 55455	41-6007513	501(C)(3)	272,324		N/A	N/A	RESEARCH
REGENTS UNIVERSITY OF CALIFORNIA BOX 951432 1125 MURPHY HALL 405 HILGARD AVENUE LOS ANGELES, CA 90095	95-6006143	501(C)(3)	414,535		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RENSSELAER POLYTECHNIC INSTITUTE P O BOX 33375 HARTFORD, CT 06150	14-1340095	501(C)(3)	23,394		N/A	N/A	RESEARCH
RES FOUND FOR MENTAL HYGIENE RIVERVIEW CENTER 150 BROADWAY MENANDS, NY 12204	14-1410842	501(C)(3)	3,820,790		N/A	N/A	RESEARCH

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RES FOUND OF STATE UNIVERSITY OF NEW YORKPO BOX 9 CASH RECEIPT DEPT ALBANY,NY 12201	14-1368361	501(C)(3)	2,431,372		N/A	N/A	RESEARCH
RESEARCH TRIANGLE INSTITUTERTI PO BOX 900002 RALEIGH,NC 27675	56-0686338	501(C)(3)	39,256		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RESIDENT FOUNDATION OF CUNY230 WEST 41ST STREET 7TH FLOOR NEW YORK, NY 10036	13-1988190	501(C)(3)	1,301,683		N/A	N/A	RESEARCH
RETINA FOUNDATION OF THE SOUTHWEST 9900 NCENTRAL EXPRESSWAY SUITE 400 DALLAS,TX 75231	51-0151514	501(C)(3)	166,894		N/A	N/A	RESEARCH

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REV LINNETTE C WILLIAMSON MEMORIAL PARK141 WEST 139TH STREET C44 NEW YORK, NY 10030	13-3861293	501(C)(3)	6,000		N/A	N/A	PROGRAM SERVICES
RHODE ISLAND HOSPITALRESEARCH ADMINISTRATION ALDRICH 3 PROVIDENCE, RI 02903	05-0258954	501(C)(3)	55,150		N/A	N/A	RESEARCH

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ROCKEFELLER UNIVERSITY1230 YORK AVE BOX 259 NEW YORK, NY 10065	13-1624158	501(C)(3)	1,348,457		N/A	N/A	RESEARCH
ROCKY MOUNTAIN TREE RING RESEARCH2901 MOORE LANE FORT COLLINS, CO 80526	84-1413400	501(C)(3)	36,623		N/A	N/A	RESEARCH

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ROWAN UNIVERSITY201 MULLICA HILL RD GLASSBORO ,NJ 08028	22-2764819	501(C)(3)	62,997		N/A	N/A	RESEARCH
RUSH UNIVERSITY MEDICAL CENTER 1700 WEST VAN BUREN FUND ACCOUNTING ROOM 277 CHICAGO,IL 60612	36-2174823	501(C)(3)	10,993		N/A	N/A	RESEARCH

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RUTGERS UNIVERSITYDIV OF GRANT CONTRACT 3 RUTGERS PLAZA NEW BRUNSWICK, NJ 08901	22-6001086	501(C)(3)	184,467		N/A	N/A	RESEARCH
SAINT PETERS UNIVERSITY HOSPITAL254 EASTON AVENUE NEW BRUNSWICK, NJ 08901	22-1487330	501(C)(3)	316,793		N/A	N/A	RESEARCH

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SANDIA NATIONAL LAB C/O BANK OF AMERICA PO BOX 840140 DALLAS, TX 75284	85-0097942	501(C)(3)	162,250		N/A	N/A	RESEARCH
SANFORD RES USD2301 EAST 60TH STREET NORTH SIOUX FALLS, SD 57104	46-0450378	501(C)(3)	211,598		N/A	N/A	RESEARCH

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SCHEPENS EYE RES INSTITUT20 STANIFORD STREET BOSTON, MA 02114	04- 2129889	501(C)(3)	94,173		N/A	N/A	RESEARCH
SCRIPPS RES INST 10550 NORTH TORREY PINES RD TPC7 LA JOLLA, CA 92037	33- 0435954	501(C)(3)	52,972		N/A	N/A	RESEARCH

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SEATTLE CHILDRENS HOSPITALSEATTLE CHILDRENS RESEARCH INSTITUTE SEATTLE, WA 98124	91-0564748	501(C)(3)	28,441		N/A	N/A	RESEARCH
SEATTLE INSTITUTE BIOMEDICAL CLINICAL RESEARCH 1660 S COLUMBIAN WAY SEATTLE, WA 98108	91-1452438	501(C)(3)	12,475		N/A	N/A	RESEARCH

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SEATTLE UNIVERSITY901 12TH AVENUE SEATTLE, WA 98122	91-0565006	501(C)(3)	14,513		N/A	N/A	RESEARCH
SIERRA INTERNAL MEDICINE865 TAHOE 306 INCLINE VILLAGE, NV 89451	88-0403942	501(C)(3)	77,000		N/A	N/A	RESEARCH

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SOUTHERN METHODIST UNIVERSITYGRANT AND CONTRACT ACCOUNTING P O BOX 750259 DALLAS, TX 75275	75-0800689	501(C)(3)	518,724		N/A	N/A	RESEARCH
SOUTHWEST RESEARCH INSTITUTEPO BOX 841671 DALLAS, TX 75284	74-1070544	501(C)(3)	134,534		N/A	N/A	RESEARCH

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SPAULDING REHABILITATION HOSPITAL125 NASHUA STREET BOSTON, MA 02114	04-2551124	501(C)(3)	19,995		N/A	N/A	RESEARCH
SPOKANE MENTAL HEALTH400 S JEFFERSON STREET SUITE 109 SPOKANE, WA 99204	91-0853801	501(C)(3)	21,750		N/A	N/A	RESEARCH

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ST LUKES ROOSEVELT HOSPITAL555 W 57TH ST NEW YORK, NY 10019	13-2914343	501(C)(3)	75,000		N/A	N/A	RESEARCH
ST LUKES ROOSEVELT HOSPITAL555 W 57TH ST FUND ACCOUNTING DEPT NEW YORK, NY 10019	13-2999730	501(C)(3)	752,499		N/A	N/A	RESEARCH

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ST MARY'S EPISCOPAL CHURCH SOUP KITCHEN521 WEST 126TH STREET NEW YORK, NY 10027	13-1624179	501(C)(3)	6,000		N/A	N/A	FOOD AND EQUIPMENT
STANFORD UNIVERSITY300 PASTEUR DRIVE SUITE H3584 STANFORD, CA 94305	94-1156365	501(C)(3)	635,566		N/A	N/A	RESEARCH

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STEVENS INST OF TECHNOLOGY CASTLE PT ON THE HUDSON HOBOKEN, NJ 07030	22-1487354	501(C)(3)	185,077		N/A	N/A	RESEARCH
SUFFOLK COUNTY PERINATAL COALITION INC 475 EAST MAIN STREET PATCHOGUE, NY 11772	11-2978393	501(C)(3)	312,031		N/A	N/A	RESEARCH

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SURVEY RESEARCH MGT 5775 CENTRAL AVE SUITE 225 BOULDER, CO 80301	84-1277395	501(C)(3)	52,081		N/A	N/A	RESEARCH
SUSAN LEVINE 115 EAST 72ND STREET NEW YORK, NY 10021	09-5502879	501(C)(3)	84,000		N/A	N/A	RESEARCH

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SWOG CLINICAL TRIALS INITIATIVE24 FRANK LLOYD WRIGHT DRIVE PO BOX 483 ANN ARBOR, MI 48106	26-1146046	501(C)(3)	104,110		N/A	N/A	RESEARCH
SYMANTEC CORP FILE 32168 PO BOX 60000 SAN FRANCISCO, CA 94160	77-0181864	501(C)(3)	387,500		N/A	N/A	RESEARCH

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TEACHERS COLLEGE 525 W 120TH ST BOX 21 NEW YORK, NY 10027	13-1624202	501(C)(3)	1,312,058		N/A	N/A	RESEARCH
TEMPLE UNIVERSITY PO BOX 824242 PHILADELPHIA, PA 19182	23-1365971	501(C)(3)	49,002		N/A	N/A	RESEARCH

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TEXAS A&M UNIVERSITY OFFICE OF SPONS RES SERV- 400 HARVEY MITCHELL PKY S ST300 COLLEGE STATIO, TX 77845	74-1238434	501(C)(3)	56,297		N/A	N/A	RESEARCH
TEXAS TECH UNIVERSITY HSC PO BOX 41105 LUBBOCK, TX 79409	75-6002622	501(C)(3)	12,777		N/A	N/A	RESEARCH

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THE CV STARR RES FOUND41 COOPER SQUARE SUITE 205 NEW YORK, NY 10003	13- 2879769	501(C)(3)	17,810		N/A	N/A	RESEARCH
THE FAMILY ANNEX DAYCARE CENTER560 WEST 113TH STREET NEW YORK, NY 10025	13- 3083081	501(C)(3)	7,500		N/A	N/A	FINANCIAL AID

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THE UNIVERSITY OF CHICAGO FINANCIAL SERVICES 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	36-2177139	501(C)(3)	2,587,401		N/A	N/A	RESEARCH
THOMAS JEFFERSON UNIVERSITY ATTENTION ROBERT OSINSKI 834 CHESTNUT STREET SUITE 400 PHILADELPHIA, PA 19107	23-1352651	501(C)(3)	370,711		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TOP HONORS INC 323 WEST 75TH STREET 4E NEW YORK, NY 10023	75-3062601	501(C)(3)	10,500		N/A	N/A	SUPPLIES
TRANSLATIONAL GENOMICS RES INS 445 N FIFTH STREET PHOENIX, AZ 85004	75-3065445	501(C)(3)	167,816		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIAPO BOX 785541 PHILADELPHIA, PA 19178	23-1352685	501(C)(3)	457,165		N/A	N/A	RESEARCH
TULANE UNIVERSITYGRANTS AND CONTRACTS ACCT 800 EAST COMMERCE RD HARAHAH, LA 70123	72-0423889	501(C)(3)	116,041		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UGA RESEARCH FOUNDATION INC CONTRACTS GRANTS DIV 475 NORTH LUMPKIN STREET ATHENS,GA 30602	58-1353149	501(C)(3)	35,536		N/A	N/A	RESEARCH
UMASS AMHERST 140 HICKS WAY ACCOUNTS PAYABLE AMHERST,MA 01003	04-3167352	501(C)(3)	287,562		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UMDNJ NJMSDIV OF CARDIOLOGY 185 SOUTH ORANGE AVE NEWARK, NJ 07103	12-2177530	501(C)(3)	6,000		N/A	N/A	RESEARCH
UNC AT CHAPEL HILL384 MEDICAL SCHOOL WIND D ATTN FRED JARSKOG CHEPEL HILL, NC 27599	56-6001393	501(C)(3)	983,209		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNC WILMINGTON CONTROLLER 601 S COLLEGE ROAD - H0155 WILMINGTON, NC 28403	56- 1258660	501(C)(3)	42,338		N/A	N/A	RESEARCH
UNIVERSITY CORP FOR ATMOSPHERIC RESEARCH UCAR/NCAR P O BOX 3000 BOULDER, CO 80307	84- 0412668	501(C)(3)	22,759		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF ALABAMA AT BIRMINGHAM1530 3RD AVENUE S AB 990 BIRMINGHAM,AL 35294	63-6005396	501(C)(3)	87,402		N/A	N/A	RESEARCH
UNIVERSITY OF ARIZONA SPONSORED PROJECTS SERVICES FRS 401020 TUCSON,AZ 85722	74-2652689	501(C)(3)	25,131		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ARIZONA FRS401020 PO BOX 3520 TUCSON,AZ 85722	86-6004791	501(C)(3)	8,437		N/A	N/A	RESEARCH
UNIVERSITY OF ARKANSAS UAF GRANTS CONTRACTS ADMIN 109 ADMINISTRATIVE SVCS CENTER FAIRBANKS,AK 99775	92-6000147	501(C)(3)	40,697		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF CALIFORNIA SANTA CRUZUCSC CASHIER OFF 1156 HIGH ST SANTA CRUZ, CA 95064	94- 1539563	501(C)(3)	172,147		N/A	N/A	RESEARCH
UNIVERSITY OF COLORADO HEALTH SCI CTR OFF OF GRANTS CONTRACTS F428 DENVER, CO 80291	84- 6000555	501(C)(3)	86,347		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE, FL 32611	59-6001874	501(C)(3)	437,905		N/A	N/A	RESEARCH
UNIVERSITY OF FLORIDA CONTRACTS ACCOUNTING SVCS PO BOX 113001 GAINESVILLE, FL 32611	59-6002052	501(C)(3)	88,885		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF HAWAII2530 DOLE STREET SAKAMAKI D200 HONOLULU, HI 96822	99-6000354	501(C)(3)	42,671		N/A	N/A	RESEARCH
UNIVERSITY OF MARYLAND4101 CHESAPEAKE BLDG COLLEGE PARK, MD 20742	52-6002033	501(C)(3)	980,982		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF MASSACHUSETTES MED SCHBURSARS OFFICE 55 LAKE AVENUE NORTH WORCHESTER, MA 01605	82-5530000	501(C)(3)	20,432		N/A	N/A	RESEARCH
UNIVERSITY OF MIAMISPONSORED PROGRAMS PO BOX 025405 MIAMI, FL 33102	59-0624458	501(C)(3)	801,408		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF PUERTO RICO PO BOX 365067 SAN JUAN, PR 00936	66-0433762	501(C)(3)	18,750		N/A	N/A	RESEARCH
UNIVERSITY OF SC RESEARCH FOUNDATION PO BOX 751475 CHARLOTTE, NC 28275	57-0967350	501(C)(3)	16,423		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF SOUTHERN CALIFORNIA SPONSORED PROJECTS ACCOUNTING 3500 S FIGUEROA STREET LOS ANGELES, CA 90089	95-1642394	501(C)(3)	357,848		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTHERN FLORIDA PO BOX 864568 RESEARCH PROJECTS RECEIVABLES ORLANDO, FL 32886	59-3102112	501(C)(3)	301,407		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF TENNESSEEBURSARS OFFICE 210 STUDENT SERV BLDG KNOXVILLE, TN 37996	62-6001636	501(C)(3)	183,207		N/A	N/A	RESEARCH
UNIVERSITY OF TEXASHEALTH SCI CTR AT HOUSTON FINANCIAL ADMINISTRATIVE HOUSTON, TX 77216	74-1761309	501(C)(3)	59,501		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF TEXAS AT AUSTIN POBOX 7159 AUSTIN, TX 78713	74-6000203	501(C)(3)	531,015		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS HEALTH SCI CPO BOX 1898 SAN ANTONIO T, TX 78298	74-1586031	501(C)(3)	21,024		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF TEXAS MED BRANCH AMEGY BANK OF TEXAS PO BOX 4786-750 HOUSTON, TX 77210	74-6000949	501(C)(3)	465,036		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERN MEDCTR AT DALLAS PO BOX 841765 DALLAS, TX 75284	75-6002868	501(C)(3)	73,976		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF TX AT ARLINGTON OFFICE OF ACCOUNTING BUS SER BOX 19136 ARLINGTON, TX 76019	75- 6000121	501(C)(3)	1,516,720		N/A	N/A	RESEARCH
UNIVERSITY OF UTAH201 S PRESIDENTS CIRCLE ROOM 411 SALT LAKE CITY, UT 84112	87- 6000525	501(C)(3)	407,474		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF VIRGINIAATTNOFF OF SPONSORED PROGRAMS PO BOX 400195 CHARLOTTESVILLE, VA 22904	54-6001796	501(C)(3)	443,587		N/A	N/A	RESEARCH
UNIVERSITY OF WASHINGTONGRANT CONTRACT ACCOUNTING 12455 COLLECTIONS DRIVE CHICAGO,IL 60693	91-6001537	501(C)(3)	591,732		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF CINCINNATI ACCOUTANTS REC PO BOX 691031 CINCINNATI, OH 45269	31-6000989	501(C)(3)	176,106		N/A	N/A	RESEARCH
UNIVERSITY OF ILLINOIS AT CHICAGO GRANTS CONTRACTS PO BOX 20787 SPRINGFIELD, IL 62708	37-6000511	501(C)(3)	215,505		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF IOWA105 JESSUP HALL IOWA CITY, IA 52242	42-6004813	501(C)(3)	516,915		N/A	N/A	RESEARCH
UNIVERSITY OF KANSAS CENTER FOR RESEARCH ACCOUNTS RECEIVABLE 2385 IRVING HILL RD LAWRENCE, KS 66044	48-0680117	501(C)(3)	232,714		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF KENTUCKY RES FOUNDC/O NATIONAL CITY BANK CLEVELAND,OH 44193	61-6033693	501(C)(3)	79,274		N/A	N/A	RESEARCH
UNIVERSITY OF MAINEOFF RES SPON PROGRAMS 5717 CORBETT HALL ORONO,ME 04469	01-6000769	501(C)(3)	45,169		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF MOSSOURI COLUMBIA ARP O BOX 807012 KANSAS CITY, MO 64180	43- 6003859	501(C)(3)	204,728		N/A	N/A	RESEARCH
UNIVERSITY OF NEW HAMPSIRE OFFICE OF SPONSORED RESEARCH SERVICE BUILDING ROOM 109 DURHAM, NH 03824	02- 6000937	501(C)(3)	99,088		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF PENNSYLVANIA 221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104	12-3125268	501(C)(3)	15,542		N/A	N/A	RESEARCH
UNIVERSITY OF PITTSBURGH 3109 CATHEDRAL OF LEARNING PITTSBURGH, PA 15260	25-0965591	501(C)(3)	104,452		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF ROCHESTER601 ELMWOOD AVENUE DEPT OF CARDIOLOGY ROCHESTER, NY 14642	16-0743209	501(C)(3)	369,440		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERN GRANTS ACCTING DALLAS, TX 75284	00-0155944	501(C)(3)	50,788		N/A	N/A	RESEARCH

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UNIVERSITY OF VERMONT WATERMAN BUILDING BURLINGTON, VA 05405	03-0179440	501(C)(3)	81,285		N/A	N/A	RESEARCH
US TREASURY USAMRIID ATTN BUDGET OFFICE 1425 PORTER STREET FORT DETRICK, MD 21702	35-1996956	501(C)(3)	184,342		N/A	N/A	RESEARCH

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VANDERBILT UNIVERSITY2200 CHILDREN S WAY 11124 DOCTOR S OFFICE TOWER NASHVILLE, TN 37232	62-0476822	501(C)(3)	523,194		N/A	N/A	RESEARCH
VASSAR COLLEGE 124 RAYMOND AVENUE BOX 12 POUGHKEEPSIE, NY 12604	14-1338587	501(C)(3)	13,913		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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VISITING NURSE SERVICE OF NY5 PENN PLAZA 12TH FLOOR NEW YORK, NY 10001	13-3189926	501(C)(3)	225,664		N/A	N/A	RESEARCH
WAKE FOREST UNIVERSITY MEDICAL CENTER BOULEVARD CONTROLLER S OFFICE WINSTON SALEM, NC 27157	56-0532138	501(C)(3)	135,914		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WASHINGTON UNIVERSITY MALLINCKROT INSTITUTE OF RADIOLOGY ST LOUIS,MO 63110	43-0653611	501(C)(3)	74,146		N/A	N/A	RESEARCH
WENDY HILLIARD FOUNDATION550 WEST 155TH STREET NEW YORK,NY 10025	13-3879321	501(C)(3)	10,000		N/A	N/A	SUPPLIES AND EQUIP

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WEST HARLEM ENVIRONMENTAL ACTION1854 AMSTERDAM AVENUE NEW YORK,NY 10031	13-3800068	501(C)(3)	108,798		N/A	N/A	RESEARCH
WEST SIDE CAMPAIGN AGAINST HUNGER263 WEST 86TH STREET NEW YORK,NY 10024	71-0908184	501(C)(3)	10,000		N/A	N/A	FOOD AND EQUIPMENT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WESTERN ENVIRONMENTAL TECH LAB16326 COLLECTIONS CENTER DRIVE CHICAGO,IL 60693	93-1072453	501(C)(3)	145,745		N/A	N/A	RESEARCH
WILLIAM S MIDDLETON MEM VETERANS HOSPITAL ATTN LOU ELLEN WILLIAMS MADISON,WI 53705	74-1612229	501(C)(3)	11,743		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WINTHROP UNIVERSITY HOSPITAL259 FIRST STREET MINEOLA,NY 11501	11-1633486	501(C)(3)	5,670		N/A	N/A	RESEARCH
WOODS HOLE OCEANOGRAPHIC INSTACCOUNTS RECEIVABLE MS 14 569 WOODS HOLE ROAD WOODS HOLE,MA 02543	04-2105850	501(C)(3)	285,740		N/A	N/A	RESEARCH

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WOODS HOLE RES CTR149 WOODS HOLE ROAD FALMOUTH, MA 02540	04-3005094	501(C)(3)	11,550		N/A	N/A	RESEARCH
WORLD VISION INC 34834 WEYERHAEUSER WAY S FEDERAL WAY, WA 98001	95-1922279	501(C)(3)	800,196		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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YALE UNIVERSITY PO BOX 1873 NEW HAVEN, CT 06508	06-0646973	501(C)(3)	2,051,031		N/A	N/A	RESEARCH
COMMUNITY IMPACT 204 EARL HALL 2980 BROADWAY NEW YORK, NY 10027	13-3386904	501(C)(3)	60,000		N/A	N/A	YOUTH PRGRMS

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THE NEXT GENERATION INITIATIVEPO BOX 7322 ARLINGTON, VA 22207	02-0568169	501(C)(3)	50,000		N/A	N/A	YOUTH PRGRMS
BARNARD COLLEGE3009 BROADWAY NEW YORK, NY 10027	13-1628149	501(C)(3)	1,354,000		N/A	N/A	CONSTRUCTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOOMER ESIASON FOUNDATION200 B ARMSTRONG ROAD GARDEN CITY, NY 11040	11-3142753	501(C)(3)	10,000		N/A	N/A	ENRICHMENT
NTL MUSEUM AMER JEWISH HISTORY 101 S INDEPENDENCE MALL E PHILADELPHIA, PA 19106	23-7379280	501(C)(3)	35,000		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIABARNARD HILLEL INC606 WEST 115TH STREET NEW YORK, NY 10025	23-7077182	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2011

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div> <div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div></div> <div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment?	4a	No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	Yes
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	Yes
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	Yes

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual.

[illegible]

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION		<p>NOTES TO PART I, LINE 1A TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR INAUGURATIONS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAID CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS AND PROFESSIONAL FEES ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THOSE AMOUNTS. THE TOTAL AMOUNT FOR PREMIUMS, FEES AND THE TAXES THEREON \$221,324 IS SHOWN IN COLUMN (B)(III) AS OTHER REPORTABLE COMPENSATION. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$342,285 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$5,761 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)).</p> <p>NOTE TO PART I, LINE 4B THE UNIVERSITY HAS AGREED TO PROVIDE PRESIDENT BOLLINGER WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD \$722,000 ARE INCLUDED AS DEFERRED COMPENSATION.</p> <p>NOTE TO PART I, LINE 5A DR. DAVID SILVER'S COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR.</p> <p>NOTE TO PART I, LINE 7 NOTE TO N. P. NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR. NARVEKAR AND MR. HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY. BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN B(II). AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN C. COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990. THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II. THEREFORE, THE NUMBER IN COLUMN E REPRESENTS SOME DOUBLE REPORTING. THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) IS \$3,913,972 FOR MR. NARVEKAR AND \$ 3,521,896 FOR MR. HOLLAND.</p> <p>PART I, LINES 8 AND 9 THE APPOINTMENT OF PROVOST CLAUDE STEELE WAS ELIGIBLE FOR TREATMENT UNDER THE INITIAL CONTRACT EXCEPTION DESCRIBED IN IRS REGULATIONS 53.4958-4(A)(3), AND THE UNIVERSITY ALSO FOLLOWED THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN IRS REGULATIONS SECTION 53.4958-6(C).</p> <p>NOTE TO PROVOST BRINKLEY'S COMPENSATION PROVOST BRINKLEY STEPPED DOWN ON AUGUST 30, 2010. NOTE TO MS. FEAGIN'S COMPENSATION MS. FEAGIN STEPPED DOWN AS EXECUTIVE VICE PRESIDENT ON DECEMBER 31, 2010 AND BECAME SPECIAL ADVISOR TO THE PRESIDENT EFFECTIVE JANUARY 1, 2011. THE AMOUNT SHOWN IN COLUMN (B)(iii) REFLECTS A ONE-TIME BONUS IN CONNECTION WITH THE SUCCESSFUL COMPLETION OF COLUMBIA'S CAPITAL CAMPAIGN.</p> <p>NOTE TO DR. GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO PROVIDE DR. GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD (\$432,000) ARE INCLUDED AS DEFERRED COMPENSATION.</p> <p>NOTE TO MR. VAN SICKLE'S COMPENSATION MR. VAN SICKLE BECAME EXECUTIVE VICE PRESIDENT ON JANUARY 1, 2011.</p> <p>NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED BASE COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR. FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN. DR. FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE.</p> <p>NOTE TO DR. MOSES', DR. SMITH'S, DR. BACHA'S, & DR. QUAEGEBEUR'S COMPENSATION THE COMPENSATION OF DR. MOSES, DR. SMITH, DR. BACHA, AND DR. QUAEGEBEUR IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES.</p> <p>NOTE ON TRUSTEES TRUSTEES' TIME DEVOTED TO POSITION (3 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR. BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES MAY DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION.</p>

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
EMILE BACHA	(i) (ii)	1,487,005 0	0 0	86,000 0	27,835 0	82,359 0	1,683,199 0	0 0
LEE C BOLLINGER	(i) (ii)	988,668 0	0 0	221,324 0	747,285 0	370,067 0	2,327,344 0	0 0
JANE E BOOTH	(i) (ii)	513,240 0	0 0	0 0	25,285 0	38,031 0	576,556 0	0 0
ALAN BRINKLEY	(i) (ii)	427,994 0	0 0	40,000 0	37,535 0	38,403 0	543,932 0	0 0
JOHN COATSWORTH	(i) (ii)	458,216 0	0 0	41,146 0	20,635 0	18,138 0	538,135 0	0 0
JEROME DAVIS	(i) (ii)	291,988 0	0 0	0 0	25,285 0	15,762 0	333,035 0	0 0
NICHOLAS B DIRKS	(i) (ii)	458,676 0	0 0	0 0	26,535 0	37,082 0	522,293 0	0 0
SUSAN K FEAGIN	(i) (ii)	512,760 0	520,200 0	0 0	25,285 0	8,706 0	1,066,951 0	0 0
KENNETH A FORDE MD	(i) (ii)	789,000 0	0 0	0 0	0 0	2,592 0	791,592 0	0 0
DR LEE GOLDMAN MD	(i) (ii)	948,468 0	240,000 0	75,361 0	457,285 0	17,538 0	1,738,652 0	0 0
PETER HOLLAND	(i) (ii)	744,110 0	2,028,397 0	0 0	2,249,837 0	30,455 0	5,052,799 0	1,530,903 0
JOSEPH A IENUSO	(i) (ii)	407,127 0	0 0	10 0	25,285 0	76,995 0	509,417 0	0 0
ROBERT KASDIN	(i) (ii)	687,724 0	0 0	0 0	25,285 0	40,822 0	753,831 0	0 0
JEFFREY W MOSES	(i) (ii)	3,087,952 0	0 0	0 0	27,064 0	47,950 0	3,162,966 0	0 0
NIRMAL NARVEKAR	(i) (ii)	825,878 0	2,256,948 0	0 0	2,499,745 0	34,799 0	5,617,370 0	1,703,398 0
JAN MODEST QUAEGEBEUR	(i) (ii)	1,479,936 0	0 0	0 0	34,521 0	34,734 0	1,549,191 0	0 0
DAVID N SILVERS	(i) (ii)	2,997,215 0	2,184,534 0	0 0	30,064 0	10,247 0	5,222,060 0	0 0
CRAIG R SMITH	(i) (ii)	2,072,382 0	50,000 0	0 0	34,387 0	35,328 0	2,192,097 0	0 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
CLAUDE M STEELE	(i)	399,664	0	112	25,285	18,801	443,862	0
	(ii)	0	0	0	0	0	0	0
ANNE R SULLIVAN	(i)	444,342	0	0	25,285	20,444	490,071	0
	(ii)	0	0	0	0	0	0	0
FREDERICK M VAN SICKLE	(i)	497,052	0	0	25,285	44,604	566,941	0
	(ii)	0	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS	X			X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2004A	14-6000293	64983TSW4	06-08-2004	75,547,466	REFUND 1994A BONDS		X		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS		X		X		X
D DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A	14-6000293	64983QUE7	01-18-2006	239,661,669	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	24,655,000		51,010,000		30,880,000		27,920,000	
2	Amount of bonds defeased	63,120,000		0		0			
3	Total proceeds of issue	123,718,793		75,547,466		159,406,375		246,367,334	
4	Gross proceeds in reserve funds	0		0		0			
5	Capitalized interest from proceeds	0		0		0			
6	Proceeds in refunding escrow	0		0		0			
7	Issuance costs from proceeds	802,880		0		1,020,611		1,516,885	
8	Credit enhancement from proceeds	0		0		0			
9	Working capital expenditures from proceeds	0		0		0			
10	Capital expenditures from proceeds	122,097,219		0		157,343,063		243,286,427	
11	Other spent proceeds	818,694		75,547,466		1,042,701		1,564,052	
12	Other unspent proceeds	0		0		0			
13	Year of substantial completion	2004		2007				2009	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X			X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X				X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X				X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?	X				X		X	
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X				X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X				X		X	
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X				X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government <div>▶</div>	0 %		0 %		0 %		0 060 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government <div>▶</div>	0 %				0 %		0 170 %	
6	Total of lines 4 and 5	0 %				0 %		0 230 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X				X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X		X		X
2	Is the bond issue a variable rate issue?	X			X		X		X
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?	X			X	X		X	

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations ☒ Yes ☐ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
SEE SCHEDULE O	0	

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006B	14-6000293	64983QUE7	01-18-2006	167,228,781	REFUND 1998A, 2000A & 2002B BONDS		X		X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2008A	14-6000293	649903ZN4	04-23-2008	293,606,826	FUND NEW QUALIFIED PROJECTS	X			X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A	14-6000293	649905DF0	05-14-2009	117,000,000	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A	14-6000293	649906AV6	02-16-2011	312,102,192	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	21,560,000		0		0			
2	Amount of bonds defeased	0		82,715,000		0			
3	Total proceeds of issue	167,228,781		295,785,662		117,067,254		312,102,192	
4	Gross proceeds in reserve funds	0		0		0			
5	Capitalized interest from proceeds	0		0		0			
6	Proceeds in refunding escrow	40,124,206		0		0			
7	Issuance costs from proceeds	1,095,577		1,641,578		823,388		1,678,394	
8	Credit enhancement from proceeds	0		0		0			
9	Working capital expenditures from proceeds	0		0		0			
10	Capital expenditures from proceeds	0		292,178,836		115,269,253		307,849,790	
11	Other spent proceeds	126,008,998		1,965,248		974,613		2,574,000	
12	Other unspent proceeds	0		0		0			
13	Year of substantial completion	2009				2009			
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?	X			X		X		X
16	Has the final allocation of proceeds been made?	X		X		X			X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?			X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?			X		X		X	
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?			X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?			X		X		X	
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?			X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government			0 %		0 %		0 %	
6	Total of lines 4 and 5			0 %		0 %		0 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?			X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X	X			X		X
2	Is the bond issue a variable rate issue?		X		X	X			X
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?		X	X		X		X	

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations ☐ Yes ☒ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
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Schedule K (Form 990)	<div>Supplemental Information on Tax Exempt Bonds</div> <div>▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990). ▶ Attach to Form 990. ▶ See separate instructions.</div>		OMB No 1545-0047
			2011
			Open to Public Inspection

Department of the Treasury Internal Revenue Service	Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A	14-6000293	649906F33	06-07-2012	174,118,498	REFUNDING AND FUND NEW PROJECTS		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	0							
2	Amount of bonds defeased	0							
3	Total proceeds of issue	174,118,498							
4	Gross proceeds in reserve funds	0							
5	Capitalized interest from proceeds	0							
6	Proceeds in refunding escrow	88,304,928							
7	Issuance costs from proceeds	738,570							
8	Credit enhancement from proceeds	0							
9	Working capital expenditures from proceeds	0							
10	Capital expenditures from proceeds	0							
11	Other spent proceeds	75,000							
12	Other unspent proceeds	85,000,000							
13	Year of substantial completion								
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X						
15	Were the bonds issued as part of an advance refunding issue?	X							
16	Has the final allocation of proceeds been made?		X						
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X							

Part III Private Business Use

				A		B		C		D	
				Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?			X							

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?	X							
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c	Are there any research agreements that may result in private business use of bond-financed property?	X							
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X							
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government <div>▶</div>	0 %							
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government <div>▶</div>	0 %							
6	Total of lines 4 and 5	0 %							
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X							

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X						
2	Is the bond issue a variable rate issue?		X						
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X						
b	Name of provider	0							
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X						
b	Name of provider	0							
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X						
6	Did the bond issue qualify for an exception to rebate?	X							

Part V

Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations ☐ Yes ☒ No

Part VI

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
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Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II

Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c)Original principal amount	(d)Balance due	(e) In default?		(f) Approved by board or committee?		(g)Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
(1) JCOATSWORTH HOUSING		X	1,475,000	1,475,000		No	Yes		Yes	
Total ▶ \$ 1,475,000										

Part III

Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b)Relationship between interested person and the organization	(c)Amount of grant or type of assistance

Part IV

Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

Part V

Supplemental Information
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
NOTES TO SCHEDULE L		UNLESS NOTED, ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE L WERE EMPLOYEES OF THE UNIVERSITY DURING THE REPORTING PERIOD THEIR COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE L, PART IV WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION SCHEDULE L, PART II THE LOAN REPORTED IN PART II IS INTEREST BEARING AND SECURED BY AN INTEREST IN THE RESIDENCE

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
EVANGELINE MORPHOS	SPOUSE OF FORMER OFFICER	68,755	EMPLOYMENT		No
JANAKI BAKHLE	SPOUSE OF KEY EMPLOYEE	143,145	EMPLOYMENT		No
JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	88,184	EMPLOYMENT		No
CITIGROUP	TRUSTEE IS OFFICER	377,812	RENT/INTEREST PMTS TO UNIV		No
CITIGROUP	TRUSTEE IS OFFICER	53,587	CARD PAYMENTS/ACCOUNT FEES		No
GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	7,694,305	CONTRACTS, FEES & COMMISSIONS		No
GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	2,174,697	DISTRIBUTIONS AND INTEREST		No
APPLE COMPUTER	TRUSTEE IS DIRECTOR	2,615,579	PURCHASE OF GOODS		No
BAIN CAPITAL AFFILIATES	TRUSTEE IS OFFICER/DIR	5,221,571	INVESTMENT FEES		No
BAIN CAPITAL AFFILIATES	TRUSTEE IS OFFICER/DIR	66,507,194	INVESTMENT DISTRIBUTIONS		No

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

NonCash Contributions

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining contribution amounts
1 Art—Works of art	X	5	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock	X	5	314,035	COST/SALES PRICE
11 Securities—Partnership, LLC, or trust interests	X	334	17,655,171	COST/SALES PRICE
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	4	0	
19 Food inventory	X	41	0	
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (<u>EQUIPMENT</u>)	X	4	0	0
PERSONAL				
26 Other ► (<u>PAPERS</u>)	X	6	0	0
27 Other ► (<u>BOOKS</u>)	X	5	0	0
OTHER				
28 Other ► (<u>EVENTS</u>)	X	1	0	0
HOUSEHOLD				
Other ► (<u>GOODS</u>)	X	2	0	0
Other ► (<u>SOFTWARE</u>)	X	3	0	0
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29			26
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a			No
b If "Yes," describe the arrangement in Part II				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes		
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	32a	Yes		
b If "Yes," describe in Part II				
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part III

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I LINE 33	UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS FOUR OF THE CONTRIBUTIONS OF ARTWORK REPORTED IN SCHEDULE M, PART I, LINE 1 (VALUED AT APPROXIMATELY \$15 MILLION) WERE RECEIVED IN PARTIAL SATISFACTION OF A PLEDGE THAT WAS RECOGNIZED FOR GAAP PURPOSES DURING THE YEAR ENDED JUNE 30, 2011 WHILE THESE ITEMS WERE HELD FOR SALE, AND SOME WERE SOLD DURING THE YEAR ENDED JUNE 30, 2012, THERE WAS NO CONTRIBUTION REVENUE ASSOCIATED WITH THE GIFTS DURING THE CURRENT REPORTING PERIOD
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B)	THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED
USE OF THIRD PARTIES	SCHEDULE M, PART I, LINE 32	ON OCCASION, THE UNIVERSITY ENGAGES THIRD PARTIES TO ASSIST IN THE SALE OF DONATED PROPERTIES DURING THE YEAR ENDED JUNE 30, 2012, THE UNIVERSITY HIRED A MAJOR AUCTION HOUSE TO FACILITATE THE SALE OF CONTRIBUTED ARTWORK, AS NOTED ABOVE THE AUCTION HOUSE IS UNRELATED TO THE UNIVERSITY AND THE ARRANGEMENTS WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**
▶ **Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

2011

**Open to Public
Inspection**

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT V OTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 R EPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE FIVE TRUSTEES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L, NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED F ROM THE UNIVERSITY AND RECEIVED PREVIOUSLY -ACCRUED COMPENSATION DURING THE REPORTING PERIO D BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT -----</p> <p>----- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE N UMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALU MINI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OT HER PROGRAMS ----- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH BRAZIL CHILE CHINA CONGO DR ETHIOPIA FRANCE GE RMAN Y INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LES OTHO MALAWI MALI MOZAMBIQUE NIGERIA RWANDA SOUTH AFRICA SWAZILAND TAJIKISTAN TANZANIA TURK EY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) ZAMBIA -----</p> <p>----- FORM 990, PART V, LINES 7G AND 7H CONTRIBUTI ONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2012, THE UNIVERSITY DID N OT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, O R OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098- C TO REPORT SUCH CONTRIBUTIONS -----</p> <p>----- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIONS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP -----</p> <p>----- FORM 990, PART VI, LINE 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED O F MEMBERS OF TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FO RM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE F ULL BOARD OF TRUSTEES AT A MEETING ON MAY 8, 2013 CHANGES MADE SUBSEQUENT TO THE MAY 8, 2 013 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE CHANG ES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVI EW PRIOR TO FILING -----</p> <p>----- FORM 990 , PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTERE ST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUST EES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONF LICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHA IR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGH T IN IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTI VITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIV E RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST ," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THES E DISCLOSURES ARE REVIEWED THROUGH A FORMAL ADMINISTRATIVE PROCESS ANY DISCLOSURES THAT C OULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIA TE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED OT THE CHAIR OF THE BOARD OF TRUSTEES -----</p> <p>----- FORM 990, PART VI , LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIB ED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COM MITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMP ENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATIO N, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIB ERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICERS AN D KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA A ND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS I</p>

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		<p>N THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, T HE ORGANIZATION HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMININ G COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS ALSO REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COM PRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE" OR "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(III) OF REGULATION 53 4958-6 OF THE INTERNAL REVENUE CODE IN ACCORDAN CE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION ----- FORM 990, PART VI, QUESTION 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEM ENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON TH E ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU -----</p> <p>----- FORM 990, PART VIII DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSI TY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USA GE OF THESE PATENTS TO COMPANIES OVER SEVERAL YEARS THE REVENUE, NET OF PAYMENTS DUE TO T HIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN T HE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING TH ESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHER S IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES ----- FORM 990, PART VII I, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKE D THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRAC KED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES -----</p> <p>----- FORM 990, PART IX, LINE 11F INVESTMENT MANA GEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSE S REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORM ANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNC TIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES -----</p>

Identifier	Return Reference	Explanation
FORM 990, PART X, LINE 7		<p>LOANS RECEIVABLE FROM STUDENTS TOTAL \$94,633,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$19,145,804 THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 1.95% TO 6.5%, WITH MATURITY DATES RANGING FROM 2012 THROUGH 2042 THE OUTSTANDING BALANCES RANGE FROM \$5,928 TO \$2,492,203 AND ARE GENERALLY SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$1,410,719 -----</p> <p>----- FORM 990, PART X BEGINNING BALANCES CERTAIN PRIOR YEAR BALANCE SHEET ITEMS HAVE BEEN RESTATED TO CONFORM TO CURRENT YEAR GAAP PRESENTATION -----</p> <p>----- FORM 990, PART XI, LINE 5 OTHER CHANGES IN NET ASSETS OR FUND BALANCE PRIOR PERIOD ADJUSTMENT (1,080,604) UNREALIZED LOSSES (399,061,846) CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY (8,088,000) PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS 2,907,000 CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS (56,415,000) OTHER NON-OPERATING ACTIVITIES (6,770,000) - -----</p> <p>----- TOTAL OTHER CHANGES IN NET ASSETS (468,508,450) -----</p> <p>----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR -----</p> <p>-----</p>

Identifier	Return Reference	Explanation
SCHEDULE K, PART II, LINE 3		<p>DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS ----- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A BOND ISSUE -----</p> <p>----- SCHEDULE K, PART II, LINE 13 YEAR OF SUBSTANTIAL COMPLETION, LINE 13, HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS -----</p> <p>SCHEDULE K, PART III, LINES 4-5 FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2009A, SERIES 2011A AND SERIES 2012A ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K -----</p> <p>----- SCHEDULE K, PART I, COLUMN (G) COLUMN (G) OF PART I HAS BEEN ANSWERED YES FOR THE 2003A&B AND 2008A BOND ISSUES THE 2003A BOND ISSUE WAS FULLY DEFEASED AND THE 2008A BOND ISSUE WAS PARTIALLY DEFEASED</p>

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
See Additional Data Table							

Part III

Identification of Related Organizations Taxable as a Partnership

(Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) AP MC FUND I LP ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO, MI 107-0052 JA 98-0504300	INVESTMENTS	JA	NA	EXCLUDED	11	5,697,672		No	0		No	66 478 %
(2) AP RX FUND I LP STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO, MI 107-0052 JA 98-0515907	INVESTMENTS	JA	NA	EXCLUDED	18	11,170,897		No	0		No	99 502 %
(3) MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 500 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	NA	EXCLUDED	11,077,662	35,496,332		No	0		No	96 408 %
(4) PRIDES MONSTER FUND LP 200 HIGH STREET SUITE 700 BOSTON, MA 02110 27-0574628	INVESTMENTS	DE	NA	EXCLUDED	439,295	3,593,950		No	0		No	100 000 %
(5) EMPIRE INSTOPP I 6617 N SCOTTSDALE RD STE 101 SCOTTSDALE, AZ 85250 45-2787490	INVESTMENTS	AZ	NA	EXCLUDED	-109,743	24,890,163		No	0		No	99 206 %
(6) WAYPOINT FUND I LP 1999 HARRISON STREET 22ND FLOOR OAKLAND, CA 94612 45-2787363	INVESTMENTS	CA	NA	EXCLUDED	-662,966	24,273,112		No	0		No	99 010 %
(7) WC SELECT LP 3 BETHESDA METRO CTR STE 810 BETHESDA, MD 20814 20-5041324	INVESTMENTS	MD	NA	EXCLUDED	85,942	46,737,203		No	0		No	60 262 %

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

(Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
See Additional Data Table							

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Sale of assets to related organization(s)

g

Purchase of assets from related organization(s)

h

Exchange of assets with related organization(s)

i

Lease of facilities, equipment, or other assets to related organization(s)

j

Lease of facilities, equipment, or other assets from related organization(s)

k

Performance of services or membership or fundraising solicitations for related organization(s)

l

Performance of services or membership or fundraising solicitations by related organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

n

Sharing of paid employees with related organization(s)

o

Reimbursement paid to related organization(s) for expenses

p

Reimbursement paid by related organization(s) for expenses

q

Other transfer of cash or property to related organization(s)

r

Other transfer of cash or property from related organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

Yes

No

No

No

No

No

No

No

No

No

No

No

No

No

No

No

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1) See Additional Data Table			
(2)			
(3)			
(4)			
(5)			
(6)			

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE R		<p>COLUMBIA UNIVERSITY OWNS AN 81 57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING</p> <p>CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS ----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN</p> <p>DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES -----</p> <p>----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN</p> <p>DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM XIII, LLC, WHICH OWNS A 100% INTEREST IN THE PROFITS, LOSSES AND CAPITAL OF HAMILTON FORESTS, LP HAMILTON FORESTS, LP IS THE SOLE MEMBER OF CIM PARENT, LLC WHICH IS THE SOLE MEMBER OF CIM TIMBER, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM XIII, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO HAMILTON FORESTS, LP, WHICH INCLUDES 100% SHARE OF TOTAL INCOME AND END OF YEAR ASSETS ATTRIBUTABLE TO CIM PARENT, LLC AND CIM TIMBER, LLC, AS REPORTED IN SCHEDULE R, PART I -----</p> <p>SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2011 IS SET FORTH BELOW</p> <p>ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME \$22,028,838 SHARE OF END-OF-YEAR (GROSS) ASSETS \$120,394,538 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME \$4,565 SHARE OF END-OF-YEAR (GROSS) ASSETS \$4,189,600 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS \$(114,973) SHARE OF END-OF-YEAR (GROSS) ASSETS \$8,330,214 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$83,261,769 SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,482,092,671 HARBOUR LITIGATION INVESTMENT OFFSHORE FUND SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$6,538,606 INDEA LONG TERM OPPORTUNITIES FUND SHARE OF TOTAL (GROSS) INCOME \$2,621,843 SHARE OF END-OF-YEAR (GROSS) ASSETS \$35,560,249 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS \$(81,564) SHARE OF END-OF-YEAR (GROSS) ASSETS \$2,217,205 -----</p> <p>----- SCHEDULE R, PART IV AS OF JUNE 30, 2012, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 53 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 4 POOLED INCOME FUNDS DOMICILED IN NEW YORK</p>

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income (\$)	(e) End-of-year assets (\$)	(f) Direct Controlling Entity
COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	NA
CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	0	533,494,666	NA
CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	NA
CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	0	45,061	NA
CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	7,672,368	163,435,710	NA
CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	2,474,000	24,285,477	NA
CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093	INVESTMENTS	DE	2,474,000	24,285,477	CIM V
CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	0	0	NA
CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	NA
CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	NA
CIM IX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901860	INVEST HOLDNG	DE	0	0	NA
CIM X LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901862	INVEST HOLDNG	DE	0	0	NA
CIM XI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901863	INVEST HOLDNG	DE	54	15,753	NA
CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	6,359,376	25,666,063	NA
CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	-2,115,235	0	NA
HAMILTON FORESTS LP 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 13-5598093	INVESTMENTS	DE	-2,115,235	0	CIM XIII
CIM PARENT LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 13-5598093	INVESTMENTS	DE	0	0	HAMILTON
CIM TIMBER LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 13-5598093	INVESTMENTS	DE	0	0	CIM PARENT
CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	0	0	NA
CIM XV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901868	INVEST HOLDNG	DE	1,356,836	63,560,502	NA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income (\$)	(e) End-of-year assets (\$)	(f) Direct Controlling Entity
CIM XVI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3951901	INVEST HOLDNG	DE	37,994	0	NA
CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	-151,347	3,540,758	NA
CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	NA
CIM XIX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977503	INVEST HOLDNG	DE	0	0	NA
CIM XX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977505	INVEST HOLDNG	DE	0	0	NA
CIM XXI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977507	INVEST HOLDNG	DE	0	0	NA
DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	5,989,552	130,898,759	NA
COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	0	0	NA
COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	NA
HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	0	NA
COLUMBIA GLOBAL CENTERS LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	679,272	NA
COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
CU MIDDLE EAST RESEARCH CENTER LLC 5 MOHD AL SAD AL-BATAYNEH ST P O BOX 144706,AMMAN 11814 JO 13-5598093	EDUCATION	JO	0	2,067,237	NA
CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
CU STUDIO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
EI RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	0	NA
MSPH ETHIOPIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	2,890,623	NA
MSPH KENYA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	1,859,688	NA
MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	435,723	NA
MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	2,589,147	NA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income (\$)	(e) End-of-year assets (\$)	(f) Direct Controlling Entity
MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	599,463	NA
MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	196,827	NA
MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	618,842	NA
MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	3,469,613	NA
MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	132,065	NA
MSPH KG 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	130,466	NA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Exempt Code section	(e) Public charity status (if 501(c)(3))	(f) Direct Controlling Entity	(g) Section 512 (b)(13) controlled organization	
CIS I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901821	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
CIS II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901826	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
COLUMBIA OPHTHALMOLOGY CONSULTANTS INC 635 WEST 165TH STREET ROOM 218 NEW YORK, NY 10032 13-3908637	HEALTHCARE	NY	501(C)(3)	7	COLUMBIA	Yes	
COLUMBIA UNIVERSITY HEALTH CARE INC 630 WEST 168TH STREET NEW YORK, NY 10032 13-3948652	HEALTHCARE	NY	501(c)(3)	9	COLUMBIA	Yes	
COL PRESBYTERIAN MEDICAL CENTER FUND INC 630 WEST 168TH STREET NEW YORK, NY 10032 13-6162924	FUNDRAISING	NY	501(C)(3)	11A	NA		No
COL UNIV RETIREE MED LIFE INS BNFITS TR 535 WEST 116TH STREET NEW YORK, NY 10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	11A	COLUMBIA	Yes	
COLUMBIA UNIVERSITY PRESS 136 SOUTH BROADWAY IRVINGTON, NY 10533 13-1623968	ACADEMIC PUB	NY	501(C)(3)	11A	COLUMBIA	Yes	
FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR 1051 RIVERSIDE DRIVE NEW YORK, NY 10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	11A	NA		No
MORNINGSIDE HEIGHTS LEGAL SERVICES INC 435 WEST 116TH STREET BOX C16 RM8 NEW YORK, NY 10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	11A	COLUMBIA	Yes	
REID HALL INC 535 WEST 116TH STREET NEW YORK, NY 10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	11A	COLUMBIA	Yes	
CENTRE UNIVERSITAIRE AMERICAN REID HALL 535 WEST 116TH STREET NEW YORK, NY 10027	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
COLUMBIA UNIVERSITY PROGRAMS IN PARIS 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
CU NEWYORK 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
RESEARCH COLLECTIONS AND PRES CONSORTIUM 400 FORRESTAL ROAD PRINCETON, NJ 08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	11A	NA		No
FOUNDATION FOR CTR FOR ENERGY MARINE 3225 GALLOWS RD FAIRFAX, VA 22037 54-1962639	EDUC SUPPORT	DE	501(C)(3)	11	NA		No
THE CLYDE & HELEN WU FAMILY FOUNDATION 1275 AUDOBON AVENUE GROSSE POINTE PARK, MI 48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	11	NA		No
CENTER FOR ENVIRONMENT ECONOMY & SOCIETY 2852 BROADWAY NEW YORK, NY 10025	RESEARCH	DR	N/A	N/A	CIP	Yes	
CENTER FOR NATL HLTH DEVELP IN ETHIOPIA KEBELE 06 H NO 447 P O BOX 664,ADDIS ABABA CODE 1250 ET	HEALTHCARE	ET	N/A	N/A	COLUMBIA	Yes	
CENTERS FOR INTERNATIONAL PROJECTS TRUST APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN	RESEARCH	IN	N/A	N/A	CIP	Yes	
COLUMBIA GLOBAL CENTER IN INDIA 630 W 168TH STREET NEW YORK, NY 10032	RESEARCH	IN	N/A	N/A	CGC LLC	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Exempt Code section	(e) Public charity status (if 501 (c) (3))	(f) Direct Controlling Entity	(g) Section 512 (b)(13) controlled organization	
COLUMBIA UK FUND LTD 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	FUNDRAISING	UK	N/A	N/A	COLUMBIA	Yes	
COLUMBIA UNIVERSITY HONG KONG FUND	FUNDRAISING	HK	N/A	N/A	COLUMBIA	Yes	
MSPH SWAZILAND 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	HEALTHCARE	WZ	N/A	N/A	CIP	Yes	
FUNDACION COLUMBIA U GLOBAL CTR - CHILE AVDAG HAMMASKJOLD 32691ST FL VITACURA, SANTIAGO CI	RESEARCH	CI	N/A	N/A	COLUMBIA	Yes	
ASSOCIACAO COLUMBIA GLOBAL CENTERBRASIL RUA DA CANDELARIA 9 30 ANDAR CENTRO, RIO DE JANEIRO BR	RESEARCH	BR	N/A	N/A	GCG LLC	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income (\$)	(g) Share of end- of-year assets (\$)	(h) Disproprtionate allocations?		(i) Code V- UBI amount on Box 20 of K-1 (\$)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
AP MC FUND I LP ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5- CHOME, TOKYO,MI 107-0052 JA 98-0504300	INVESTMENTS	JA	NA	EXCLUDED	11	5,697,672		No	0		No	66 478 %
AP RX FUND I LP STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5- CHOME, TOKYO,MI 107-0052 JA 98-0515907	INVESTMENTS	JA	NA	EXCLUDED	18	11,170,897		No	0		No	99 502 %
MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 500 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	NA	EXCLUDED	11,077,662	35,496,332		No	0		No	96 408 %
PRIDES MONSTER FUND LP 200 HIGH STREET SUITE 700 BOSTON, MA 02110 27-0574628	INVESTMENTS	DE	NA	EXCLUDED	439,295	3,593,950		No	0		No	100 000 %
EMPIRE INSTOPP I 6617 N SCOTTSDALE RD STE 101 SCOTTSDALE, AZ 85250 45-2787490	INVESTMENTS	AZ	NA	EXCLUDED	-109,743	24,890,163		No	0		No	99 206 %
WAYPOINT FUND I LP 1999 HARRISON STREET 22ND FLOOR OAKLAND, CA 94612 45-2787363	INVESTMENTS	CA	NA	EXCLUDED	-662,966	24,273,112		No	0		No	99 010 %
WC SELECT LP 3 BETHESDA METRO CTR STE 810 BETHESDA, MD 20814 20-5041324	INVESTMENTS	MD	NA	EXCLUDED	85,942	46,737,203		No	0		No	60 262 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165	PHYS PRACTICE	NY	NA	C-CORP	0	0	100 000 %
FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997	NEW MEDIA	NY	NA	C-CORP	0	0	100 000 %
FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
ALLIANCE FOR COMMUNITY ENHANCEMENT AT CU 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4159743	COMM OUTREACH	NY	NA	C-CORP	0	0	100 000 %
COLUMBIA COMMITTEE FOR COMMUNITY SERVICE 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-6168288	SUPPORT COMM	NY	NA	C-CORP	0	0	100 000 %
COLUMBIA DOCTORS OF NEW JERSEY 630 WEST 168TH STREET NEW YORK, NY 10032 26-4364931	HEALTHCARE	NJ	NA	C-CORP	4,905,683	974,225	100 000 %
ASSC FOR COLLABORATIVE STUDIO X PROJECTS PRACA TIRADENTES 48 - CENTRO RIO DE JANEIRO 20060-070 BR	RESEARCH	BR	NA	PRIVATE LAW ASC	0	184,010	100 000 %
COLUMBIA INTERNATIONAL CENTER IN BEIJING TOWERA TSINGHUA SCIENCEPARK CHENGFURD,HAIDIAN 100084 CH	EDUCATION	CH	CGC LLC	FOREIGN CORP	0	379,708	100 000 %
COL GLOBAL CENTER EASTERN & SOUTH AFRICA PO BOX 51412 NAIROBI 00100 KE	RESEARCH	KE	CIP LLC	LTD GUARANTEE	0	1,092,588	100 000 %
COL GLOBAL DANISMANLIK VE ARASTIRMA MECIDIYE MAH MAVI APT NO 37/7 ISTANBUL TU	RESEARCH	TU	CGC LLC	LTD COMPANY	0	170,568	100 000 %
COL RESEARCH & CONSULTANCY SERVICES PLC EXPRESS TOWERS - 11TH FLOOR MUMBAI 400021 IN	RESEARCH	IN	CIP LLC	LTD COMPANY	0	630,524	100 000 %
ASM HUDSON RIVER FUND WALKER HOUSE 87 MARY STREET GEORGE TOWN,GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	NA	REG MUTUAL FUND	10,628,446	105,703,238	100 000 %
CALUNIUS LITIGATION RISK FUND LP 1ST FLOOR DOREY COURT ADMIRAL PARK ST PETER PORT, GUERNSEY GY1 6HJ GK 98-0684876	INVESTMENTS	GK	NA	FOREIGN CORP	5,711	6,788,252	74 979 %
COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN ROAD FESTIVAL SQUARE EDINBURGH,SCOTLAND EH3 9BY UK	INVESTMENTS	UK	NA	FOREIGN CORP	-1,718	8,098,096	100 000 %
FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HOUSE 87 MARY STREET GEORGE TOWN,GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	CIM I LLC	FOREIGN CORP	0	533,494,666	100 000 %
HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1- 1104 CJ 98-0660716	INVESTMENTS	CJ	NA	FOREIGN CORP	737,139	6,578,596	100 000 %
INDEA LONG TERM OPPORTUNITIES FUND WALKERS SPV LTD PO BOX 908GT GEORGE TOWN,GRAND CAYMAN KY1-11 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	-10,160,025	0	58 988 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
NCH INVESTORS FUND (CU) CORP UGLAND S CHURCH ST PO BOX 309 GEORGE TOWN, GRAND CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	59,524	772,347	100 000 %
CHARITABLE REMAINDER TRUSTS (53)	N/A	NY	NA	TRUST			
POOLED INCOME FUNDS (4)	N/A	NY	NA	TRUST			
CENTERS FOR INTERNATIONAL PROG 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093	RESEARCH	DE	COLUMBIA	C-CORP	0	391,516	100 000 %
GLOBAL HEALTH RESEARCH CNTR-CE 1255 AMSTERDAM AVENUE NEW YORK, NY 10027	RESEARCH	DE	COLUMBIA	C-CORP	0	280,696	100 000 %

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization		(b) Transaction type(a-r)	(c) Amount Involved (\$)	(d) Method of determining amount involved
(1)	COLUMBIA UNIVERSITY PRESS	B	908,000	FMV
(2)	REID HALL INC	B	237,170	FMV
(3)	COLUMBIA OPHTHALMOLOGY CONSULTANTS INC	B	562,000	FMV
(4)	COLUMBIA UNIVERSITY HEALTH CARE INC	B	5,807,935	FMV
(5)	COLUMBIAPATRON SCOTLAND LP	B	234,932	FMV
(6)	CALUNIUS LITIGATION RISK FUND LP	B	8,018,208	FMV
(7)	HARBOUR LITIGATION INVEST OFFSHORE FUND	B	4,826,995	FMV
(8)	HARBOUR LITIGATION INVEST OFFSHORE FUND	R	1,126,991	FMV
(9)	MERIT ENERGY PARTNERS V LP	R	20,009,709	FMV
(10)	EMPIRE INSTITUTIONAL OPPORTUNITY FUND I LP	B	27,500,000	FMV
(11)	WAYPOINT FUND I LP	B	25,000,000	FMV
(12)	ASM HUDSON RIVER FUND	B	13,055,667	FMV
(13)	NCH INVESTORS FUND (CU) CORP	R	59,524	FMV
(14)	ASM HUDSON RIVER FUND	R	43,055,607	FMV
(15)	EMPIRE INSTITUTIONAL OPPORTUNITY FUND	R	311,447	FMV
(16)	WAYPOINT FUND I LP	R	267,340	FMV
(17)	INDEA LONG TERM OPPORTUNITIES FUND	R	39,839,975	FMV
(18)	COLUMBIAPATRON SCOTLAND LP	R	141,427	FMV

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services					
(Code LIBRARY)	(Expenses \$ 61,700,000	including grants of \$	0)	(Revenue \$	0)
(Code CAMPUS OPERATIONS)	(Expenses \$ 224,614,000	including grants of \$	0)	(Revenue \$	0)

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services				
(Code)	(Expenses \$	210,091,000	including grants of \$	0) (Revenue \$ 0)
INSTITUTIONAL SUPPORT				
(Code)	(Expenses \$	92,604,000	including grants of \$	0) (Revenue \$ 121,687,000)
AUXILIARY ENTERPRISES				

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services					
(Code) (Expenses \$	150,565,789	including grants of \$	5,868,990) (Revenue \$	9,805,413)
OTHER SOURCES					

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE C BOLLINGER PRESIDENT	60.0	X		X				1,209,992	0	1,117,352
KENNETH A FORDE MD TRUSTEE	3.0	X						789,000	0	2,592
ROLANDO T ACOSTA TRUSTEE (AS OF 9/6/2011)	3.0	X						0	0	0
ARMEN A AVANESSIANS TRUSTEE	3.0	X						0	0	0
A'LELIA BUNDLES TRUSTEE	3.0	X						0	0	0
JOSE A CABRANES TRUSTEE	3.0	X						0	0	0
WILLIAM V CAMPBELL TRUSTEE	3.0	X						0	0	0
LISA CARNOY TRUSTEE	3.0	X						0	0	0
FIONA DRUCKENMILLER TRUSTEE (THROUGH 1/27/2012)	3.0	X						0	0	0
NOAM GOTTESMAN TRUSTEE	3.0	X						0	0	0
JOSEPH A GREENAWAY JR TRUSTEE (AS OF 6/1/2012)	3.0	X						0	0	0
JAMES HARDEN TRUSTEE	3.0	X						0	0	0
ANN F KAPLAN TRUSTEE	3.0	X						0	0	0
MARK E KINGDON TRUSTEE	3.0	X						0	0	0
JONATHAN LAVINE TRUSTEE (AS OF 9/6/2011)	3.0	X						0	0	0
GERRY LENFEST TRUSTEE	3.0	X						0	0	0
PAUL J MADDON TRUSTEE	3.0	X						0	0	0
PHILIP MILSTEIN TRUSTEE	3.0	X						0	0	0
VIKRAM PANDIT TRUSTEE	3.0	X						0	0	0
MICHAEL B ROTHFELD TRUSTEE	3.0	X						0	0	0
JONATHAN D SCHILLER TRUSTEE	3.0	X						0	0	0
ESTA STECHER TRUSTEE	3.0	X						0	0	0
KYRIAKOS TSAKOPOULOS TRUSTEE	3.0	X						0	0	0
FAYE WATTLETON TRUSTEE	3.0	X						0	0	0
RICHARD E WITTEN TRUSTEE	3.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
STEPHEN H CASE TRUSTEE EMERITUS	3 0	X						0	0	0
SAVIO W TUNG TRUSTEE EMERITUS	3 0	X						0	0	0
JANE E BOOTH GENERAL COUNSEL	60 0			X				513,240	0	63,316
JOHN COATSWORTH PROVOST	60 0			X				499,362	0	38,773
JEROME DAVIS SECRETARY	60 0			X				291,988	0	41,047
ROBERT KASDIN SENIOR EXECUTIVE VP	60 0			X				687,724	0	66,107
ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE	60 0			X				444,342	0	45,729
NICHOLAS B DIRKS EXEC VP - ARTS & SCIENCES	60 0				X			458,676	0	63,617
DR LEE GOLDMAN MD EXEC VP FOR HEALTH SCIENCES	60 0				X			1,263,829	0	474,823
PETER HOLLAND EXEC VP OF INVESTMENT MGMT	60 0				X			2,772,507	0	2,280,292
JOSEPH A IENUSO EXEC VP OF FACILITIES	60 0				X			407,137	0	102,280
NIRMAL NARVEKAR PRESIDENT OF INVESTMENT MGMT	60 0				X			3,082,826	0	2,534,544
FREDERICK M VAN SICKLE EXEC VP-UNIV DEV & ALUM	60 0				X			497,052	0	69,889
EMILE BACHA PROFESSOR OF SURGERY	60 0					X		1,573,005	0	110,194
JEFFREY W MOSES PROFESSOR OF MEDICINE	60 0					X		3,087,952	0	75,014
JAN MODEST QUAEGBEUR PROFESSOR OF SURGERY	60 0					X		1,479,936	0	69,255
DAVID N SILVERS CLINICAL PROFESSOR	60 0					X		5,181,749	0	40,311
CRAIG R SMITH PROFESSOR OF SURGERY	60 0					X		2,122,382	0	69,715
ALAN BRINKLEY PROVOST (FORMER)	60 0						X	467,994	0	75,938
CLAUDE M STEELE PROVOST (FORMER)	60 0						X	399,776	0	173,892
SUSAN K FEAGIN EXEC VP-UNIV DEV&ALUM (FORMER)	60 0						X	1,032,960	0	33,991